

# Supersymmetry on the Lattice

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- I. Symmetries, supersymmetry,  $N=1$  SUSY Yang-Mills theory on the lattice
- II. Extended SUSY, orbifold/twisting technique for latticizing
- III. Anatomy of a lattice theory for extended SUSY Yang-Mills
- IV. Extensions

# Part I:

## Relevance & symmetry

- Relevance
- Naturalness
- Symmetry
- Accidental symmetry

# I. Relevance and symmetry

Relevance

Operators are classified by how they scale in the IR:

Naturalness

- Irrelevant: less important in the IR
- Relevant: more important in the IR
- Marginal: scale invariant

Symmetry

Accidental symmetry

Summary

At the classical level, operators are marginal if their mass dimension equals the spacetime dimension.

Lower operator dimension = more relevant.

**Example:**  $(\bar{\psi}\psi)^2 \sim \text{mass dimension} = 6 \text{ (irrelevant)}$

$$\sigma_{\nu e \rightarrow \nu e} \propto G_F^2 E^2 \longrightarrow 0 \text{ as } E \longrightarrow 0$$

## Quantum corrections change the dimensions of operators

For a generic weakly coupled theory:

- Only small effects for relevant or irrelevant operators
- A large effect on marginal operators

Example: QCD interaction is marginal (dimensionless coupling constant) at the classical level, but relevant at one-loop (asymptotic freedom).

Conformal field theories:

- Scale invariant
- Marginal operators, whose engineering dimension may be far from the spacetime dimension if the theory is strongly coupled.

Example: N=4 Supersymmetric Yang-Mills theory

## Naturalness

### Old fashioned view:

- Irrelevant operators are bad (**nonrenormalizable!**)
- Relevant operators are great (**superrenormalizable!**)

### Modern view:

- Irrelevant operators are fine (*irrelevant!*)
- Relevant operators are baffling

**(particles with relevant interactions  
should be too heavy to see!)**

Example:

dimensionless coupling

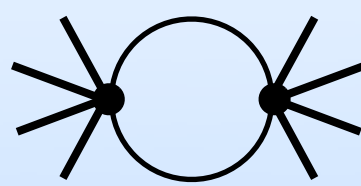
scalar field

$$\mathcal{L}_6 = \frac{g}{\Lambda^2} \phi^6$$

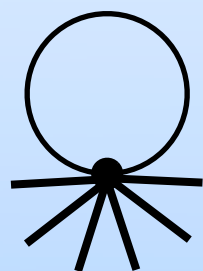
UV momentum cutoff

If you also have:  $\mathcal{L}_8 = \frac{\kappa}{\Lambda^4} \phi^8$ , then the two

operators renormalize each other at one loop:



$$\delta \kappa \sim \frac{g^2}{16\pi^2} \ln \Lambda' / \Lambda$$



$$\delta g \sim \frac{\kappa}{16\pi^2}$$

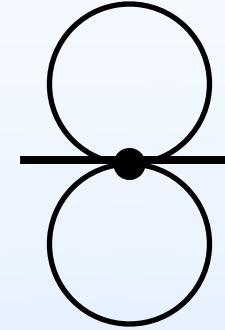
Couplings are "natural" if  $g \gtrsim \delta g$ ,  $\kappa \gtrsim \delta \kappa \dots$

But now consider a mass term (relevant operator):

$$\mathcal{L}_2 = c\Lambda^2\phi^2$$

One quantum correction:

$$\delta c \sim \frac{g}{(4\pi)^4}$$



Note that  $m_\phi \lesssim \Lambda$ ; can't have  $m_\phi \ll \Lambda$  unless:

1. All interactions are extremely weak, or
2. Tree level value + radiative corrections miraculously cancel

To have light, interacting particles, relevant operators have to be “unnaturally” small

# Symmetry

Relevance

Naturalness

Symmetry

Accidental symmetry

Summary

Relevant operators can sometimes have naturally small coefficients due to symmetries

## Example 1:

$$\mathcal{L}_{\text{bare}} = \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}c\Lambda^2\phi^2 - \epsilon\Lambda\phi^3 - \lambda\phi^4$$

It is natural to have  $\epsilon \lll 1$  because the  $\phi^3$  violates  $\phi \rightarrow -\phi$  symmetry, implying that  $\epsilon$  must be multiplicatively renormalized...

...but unnatural to have  $c \ll 1$



## Example 2:

$$\bar{\psi} i \not{D} \psi - m \bar{\psi} \psi$$

Approximate chiral symmetry!

Dirac fermion: mass term can be naturally small, because it violates chiral symmetry, and is therefore multiplicatively renormalized.

## Example 3:

$$\bar{\psi} i \not{D} \psi - m \bar{\psi} \psi - r a \bar{\psi} \Delta \psi$$

No approximate chiral symmetry!

Wilson fermion: "Irrelevant" Wilson term violates chiral symmetry; fermion mass must be fine-tuned to be  $\ll 1/a$

# Example 4: Supersymmetry

Relevance

Naturalness

Symmetry

Accidental  
symmetry

Summary

Boson-fermion symmetry relates  
fermion mass term to scalar mass term

$$m\bar{\psi}\psi \longleftrightarrow m^2\phi^2$$

Protected by  
chiral symmetry,  
so that fermion  
mass can be  
naturally small.

Supersymmetry requires  
boson and fermion to be  
degenerate...so scalar mass  
can be naturally small too.  
(Radiative corrections to  
scalar mass cancel)

## Accidental symmetry

Have seen: symmetry controls relevant operators

Converse: allowed relevant operators determine the symmetries in the IR. Symmetries in the IR which are not symmetries in the UV = “accidental symmetries”

### Example 1:

Baryon number symmetry is accidental in the Standard Model: lowest dimension B-violating operator allowed by Lorentz x gauge symmetries has 3 quarks + 1 lepton:

$$qqq\ell$$

Dimension 6 & irrelevant  $\rightarrow$  baryon number is a good approximate symmetry in IR, even if not in UV (eg, SU(5) in UV)

## Example 2:

Lorentz symmetry emerges as an accidental symmetry in lattice QCD.

Lattice breaks Lorentz symmetry down to 4d cubic crystal group...but no relevant operator consistent with gauge symmetry x crystal symmetry breaks Lorentz symmetry...

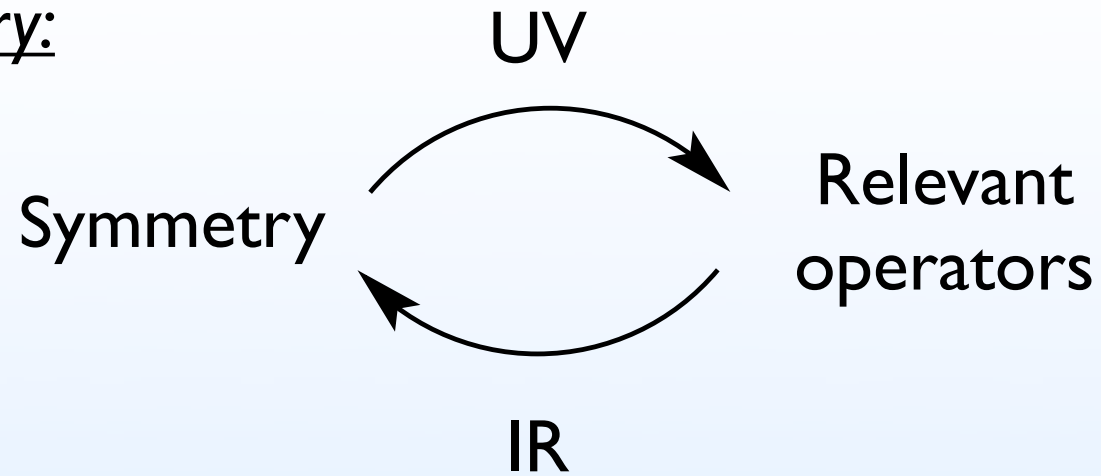
So the IR (continuum) limit is Lorentz invariant!

E.g:  $A_1 A_2 A_3 A_4$   
 $A_\mu \equiv$  gauge field

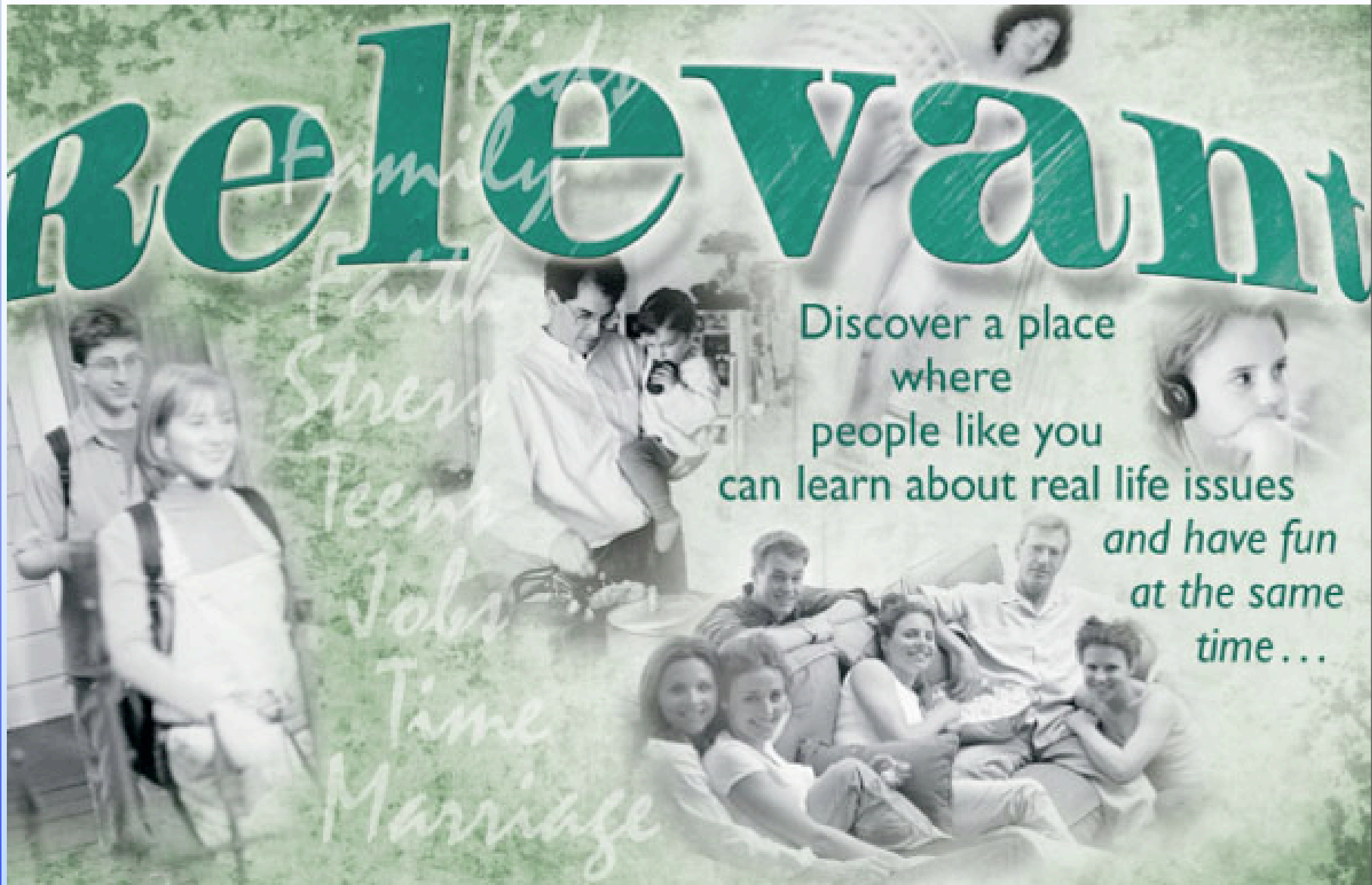
**Violates Lorentz symmetry**

- Consistent with cubic symmetry
- ...but violates gauge symmetry

## Summary:



- Irrelevant operators disappear in IR
- Unprotected relevant operators are “un-natural” (eg, Higgs mass, cosmological constant)
- Exact or softly broken symmetries can protect relevant operators and make them “naturally” small or zero.
- Enhanced “accidental symmetries” can emerge in the IR if they can’t be broken by allowed relevant & marginal operators.



# Part II.

## Supersymmetry

- What & Why
- $N=1$  SUSY Yang-Mills
- Lattice SUSY
- Accidental SUSY
- Lattice SUSY Yang-Mills

## II. Supersymmetry

What &amp; Why

What & WhyN=1 SUSY  
Yang-Mills

Supersymmetry is a generalization of Poincare symmetry, which relates bosons and fermions

Lattice SUSY

Accidental  
SUSY YM

Poincare group generators:  $P_\mu, \Sigma_{\mu\nu}$

Algebra:

$$[P, P] = 0, \quad [P, \Sigma] \sim P, \quad [\Sigma, \Sigma]$$

 $P = 4\text{-vector}$  $\Sigma = \text{a.s. tensor}$ 

Super-Poincare:  $Q_\alpha, \bar{Q}_{\dot{\alpha}}$

$$\{Q, Q\} = 0$$

**Grassmann,**

$$[Q, \Sigma] \sim Q$$

LH Weyl spinor

$$\{Q, \bar{Q}\} \sim P$$





# Supersymmetry is interesting because:

What & Why

N=1 SUSY  
Yang-Mills

Lattice SUSY

Accidental  
SUSY YM

Lattice SUSY  
Yang-Mills

- Supersymmetry can protect relevant operators (such as the Higgs mass) from large radiative corrections
- Can study many interesting features of strongly coupled SUSY analytically
  - ❖ chiral symmetry breaking
  - ❖ confinement & magnetic monopole condensation
  - ❖ massless composite fermions
- Consequence of superstring theory
- Large- $N_c$  gauge theories related to supergravity & string theory

N=1 SUSY Yang-Mills

What &amp; Why

In d=4 dimensions, minimal SUSY called “N=1”  
One complex Weyl fermion supercharge Q

N=1 SUSY  
Yang-Mills

Lattice SUSY

Supersymmetric Yang-Mills theory (no matter):

Accidental  
SUSY YM

“vector supermultiplet”: one gauge boson  $V_m$  (2 helicities) plus one  
Weyl fermion gaugino  $\lambda_\alpha$  (2 helicities), both adjoints of the gauge  
group

Lattice SUSY  
Yang-Mills

$$\mathcal{L} = \bar{\lambda} i \bar{\sigma}^m D_m \lambda - \frac{1}{4} V_{mn} V^{mn}$$

$$\bar{\sigma}^m = \{1, -\vec{\sigma}\}$$

Assume gauge group  $SU(N)$ 

What &amp; Why

N=1 SUSY  
Yang-Mills

Lattice SUSY

Accidental  
SUSY YMLattice SUSY  
Yang-Mills

- Classical action has a  $U(1)$  symmetry acting on the gaugino:

$$\lambda \rightarrow e^{i\alpha} \lambda$$

(this symmetry does not commute with the supercharges  $Q$ , since  $v_m$  does not transform, and so it is called an “R”-symmetry)

- This  $U(1)$  R-symmetry is broken to a  $Z_{2N}$  symmetry by anomalies:  $\alpha = 2\pi n/(2N)$ ,  $n = (1, 2, \dots, 2N)$
- Theory is asymptotically free; gauginos condense, spontaneously breaking the  $Z_{2N}$  R symmetry
- Condensate, string tension, domain wall tension can be analytically related

Can we study SUSY on the lattice? Obstacles:

- Supersymmetry will not be preserved on the lattice

SUSY algebra:  $\{Q_\alpha, \bar{Q}_{\dot{\alpha}}\} = 2\sigma_{\alpha\dot{\alpha}}^m P_m$

P = generator of infinitesimal translations...not a symmetry of the lattice

- Gauge bosons, scalars and fermions are treated so *differently* on the lattice:
  - (i) Gauge bosons on **links**
  - (ii) scalars on **sites**
  - (iii) fermions on **sites** (Wilson), or **hypercube** (staggered) or **5th dimension** (DWF)...

Poincare symmetry emerges as accidental symmetry...

Can SUSY emerge as an accidental symmetry in the IR?

## Accidental SUSY Yang-Mills

What &amp; Why

N=1 SUSY  
Yang-Mills

Lattice SUSY

Accidental  
SUSY YMLattice SUSY  
Yang-Mills

Accidental supersymmetry looks difficult: scalars, fermions, gauge bosons are treated so differently on the lattice.

But, the point of these lectures: **Yes**, SUSY can emerge as an accidental symmetry of the lattice.

Start with a SUSY theory without scalars - N=1 SUSY Yang-Mills in d=4 dimensions in the continuum:

$$\mathcal{L} = \bar{\lambda} i \bar{\sigma}^m D_m \lambda - \frac{1}{4} v_{mn} v^{mn}$$

What relevant interactions could be added that would *spoil* the SUSY, consistent with Lorentz & gauge symmetry?


$$\mathcal{L} = \bar{\lambda} i \bar{\sigma}^m D_m \lambda - \frac{1}{4} V_{mn} V^{mn}$$

The only relevant operator that can be added to this Lagrangian is a gaugino mass term:

$$\delta \mathcal{L} = m \lambda \lambda + h.c.$$

The gaugino mass breaks:

- Supersymmetry
- $Z_{2N}$  chiral symmetry (the R-symmetry)

...so imposing a  $Z_{2N}$  chiral symmetry on the theory forbids the gaugino mass, and the IR theory is *accidentally* supersymmetric!  
(D.K., 1984)  (my first paper!)

# Lattice SUSY Yang Mills:

What & Why

N=1 SUSY  
Yang-Mills

Lattice SUSY

Accidental  
SUSY YM

Lattice SUSY  
Yang-Mills

Need to construct a lattice theory with:

- $SU(N)$  gauge symmetry

- 2-component adjoint fermion

- $Z_{2N}$  discrete chiral symmetry

...but *not* lattice supersymmetry

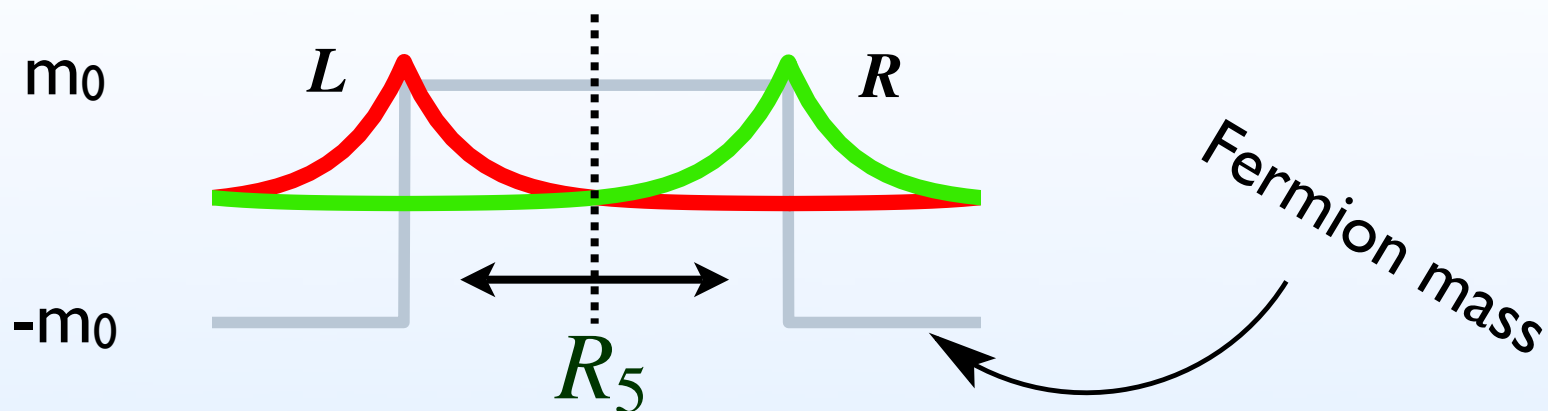
Chiral lattice fermion

Majorana condition

D.K., M. Schmaltz (2000): used domain wall fermions to construct a lattice theory for supersymmetric YM theory



# Domain wall fermion



$$\Psi = \begin{pmatrix} \alpha \\ \bar{\beta} \end{pmatrix} \quad \bar{\Psi} = (\bar{\alpha}^T \beta^T)$$

= massless 4d Dirac fermion

5d fermion

Zero-mode components

“Majorana” constraint:

$$\Psi = R_5 C \bar{\Psi}^T \longrightarrow \alpha = \beta$$

$$\Psi = R_5 C \bar{\Psi}^T$$

only possible because fermion is in a real representation of the gauge group (adjoint)

$$\bar{\Psi} \not{D} \Psi \longrightarrow \Psi^T (R_5^T C^T \not{D}) \Psi$$

$$\det(\not{D}) \longrightarrow \text{Pf}(\underbrace{R_5^T C^T \not{D}}_{\text{Antisymmetric matrix}})$$

Pfaffian

Antisymmetric matrix

The Pfaffian is an analytic square root of the Dirac operator.  
Is there a fermion sign problem?

First, consider the 4d continuum Pfaffian for an adjoint fermion:

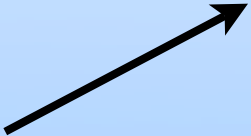
$$\text{Pf}[C \not{D}] = \sqrt{\det \not{D}}$$

Look at eigenvalue equation

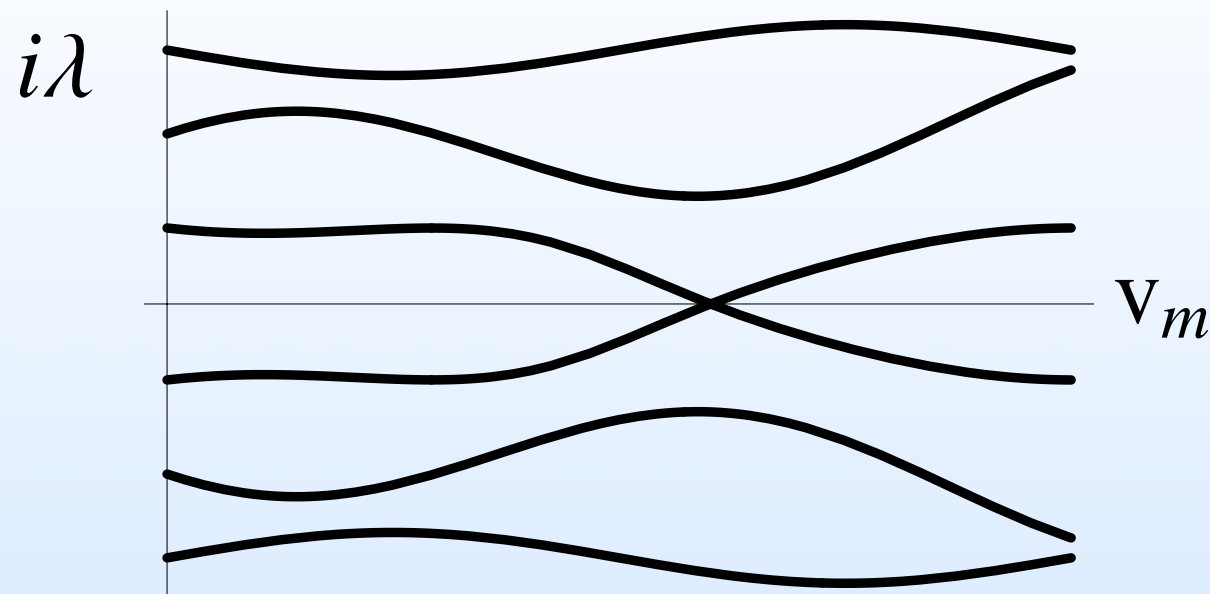
$$\not{D}\psi = \lambda\psi, \quad \not{D} = -\not{D}^\dagger \quad \lambda \text{ is imaginary}$$

$$\not{D}\gamma_5\psi = -\gamma_5\psi \quad \lambda \text{ comes in } \pm \text{ pairs}$$

$$\not{D}C\psi^* = \lambda C\psi^*, \quad \langle \psi | C\psi^* \rangle = 0$$

(because C is antisymmetric)   $\lambda$  comes in degenerate pairs

# Eigenvalues of the Dirac operator for adjoint fermion



Each spectral line has even degeneracy

Therefore can define  $\sqrt{D}$

which is real & positive for all gauge fields

## Can similarly show that for 5d domain wall fermions

- $\text{Pf}[R_5 C \not{D}]$  is real, positive in continuum
- Lattice analogue is real, positive at finite a

Neuberger (1997), Kikkukawa

Simulations are hard! (massless dynamical domain wall fermions). Early attempt: Fleming, Kogut, Vranas (2000).

People should return to studying this system!

## Epilog:

Is “accidental” supersymmetry necessary? Can’t one just use Wilson fermions and fine-tune away the relevant gaugino mass?

Suffers from fermion sign problem!

This approach has been tried by Montvay & collaborators...not particularly successful.  
Definitely not a recommended approach for more complicated SUSY theories, with more fine-tuning.

Next: SUSY with scalars and deconstruction

# Part III.

## Accidental SUSY with scalars

- Recap
- Accidental SUSY requires exact SUSY!
- Why it looks impossible

# I. Accidental SUSY with scalars

We have considered N=1 SYM theory:

- ◆ SU(N) gauge theory with one Weyl fermion  $\lambda$  in adjoint representation
- ◆ Only relevant operator is a fermion mass:

$$\cancel{\mathbf{Z}_{2N}} \quad m \lambda \lambda \quad \cancel{\text{SUSY}}$$

Violates both SUSY & discrete  $\mathbf{Z}_{2N}$  chiral symmetry

- ◆ Realize  $\mathbf{Z}_{2N}$  symmetry on the lattice, and SUSY follows “accidentally”



## Accidental SUSY requires Exact SUSY

More complicated supersymmetric theories have scalars. A challenge for latticization!

- ◆ Scalar mass is a relevant operator that violates SUSY:

$$m^2|\phi|^2$$



...but typically it violates no other symmetry (except a shift symmetry, which only applies to Goldstone bosons)

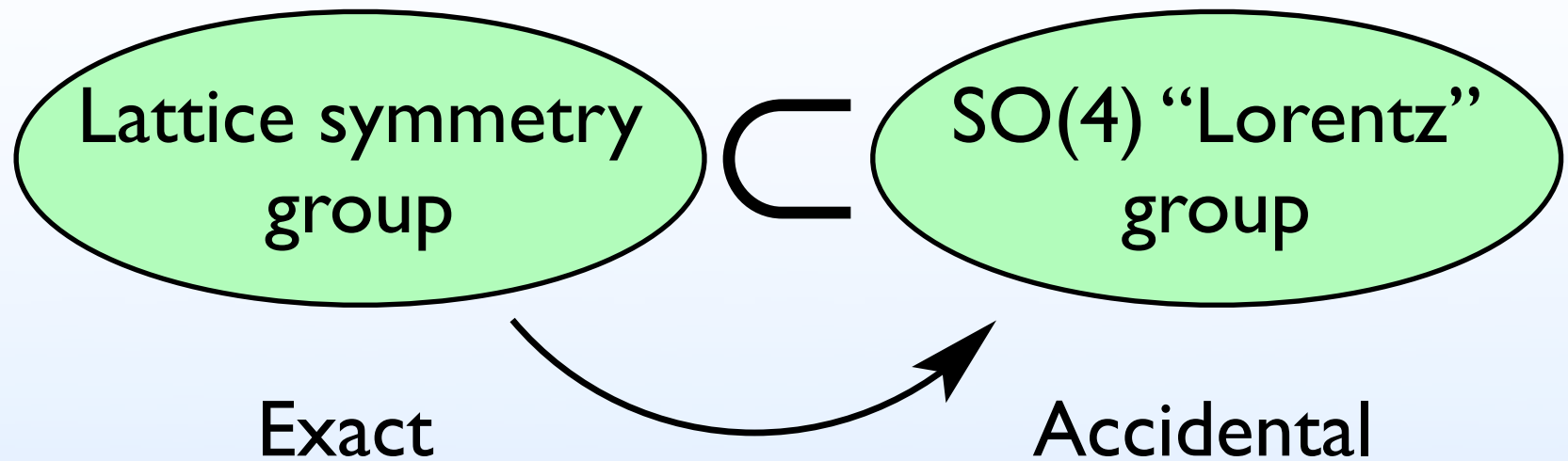
- ◆ Implication: need to implement exact SUSY on the lattice to forbid relevant operator which violates SUSY ?!

Recap

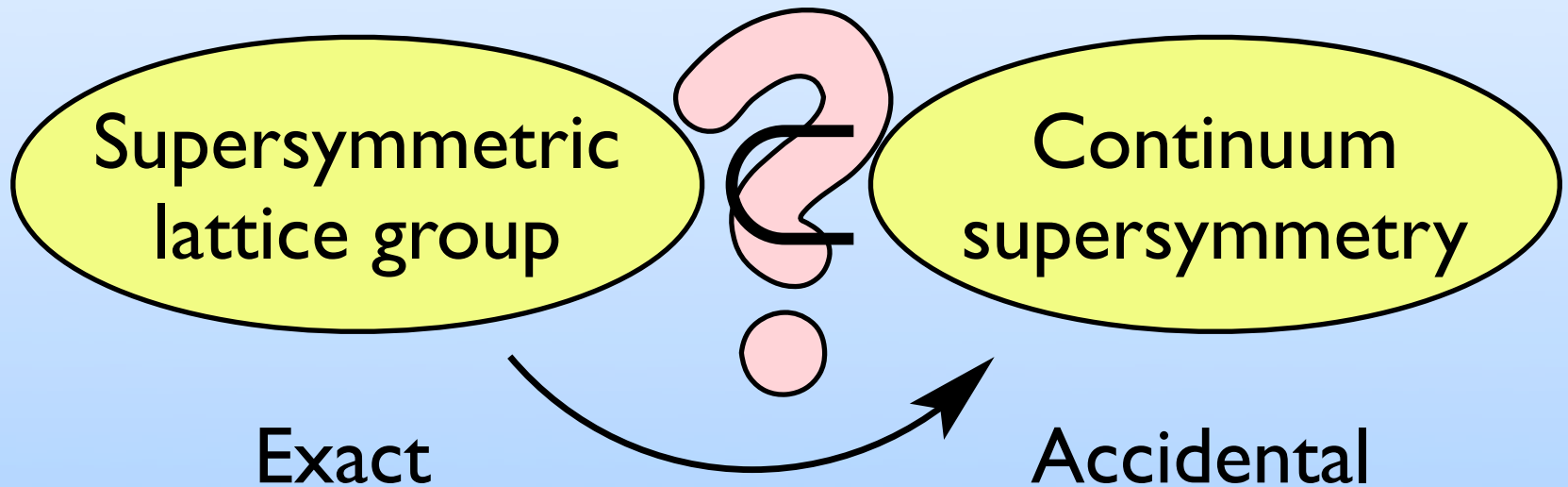
Accidental  
SUSY requires  
exact SUSY

Why it looks  
impossible

# Analogy with Lorentz invariance & the lattice:



## Lattice subgroup of supersymmetry?



Supersymmetry is not a classical group; rotation “angles” are Grassmann.

“Finite supersymmetry transformations” analogous to “finite translations” or “finite rotations” do not exist. No discrete subgroup of supersymmetry.

Can consider a subalgebra of the full SUSY algebra

$$\{Q_\alpha, Q_\beta\} = 0, \quad \{Q_\alpha, \bar{Q}_{\dot{\beta}}\} = 2P_m \sigma_{\alpha\dot{\alpha}}^m,$$

But which subalgebra?

How to avoid ruining Lorentz symmetry?

## Why it looks impossible

- Except for the special case of SUSY without scalars, only exact SUSY can prevent the appearance of SUSY violating relevant operators
- There is no exact SUSY subgroup we can impose
- No guide to how to pick a subalgebra of SUSY
- Exact SUSY on the lattice seems impossible anyway: scalars, fermions, gauge bosons are treated so differently

For example:  $N=4$  SUSY on the lattice:

1 gauge field, 4 Weyl fermions, 6 real scalars

Exact SUSY, if it commutes with the gauge symmetry, implies each of these fields must live at same part of lattice (eg, site, link...)

How can scalars live on links? They will transform nontrivially under rotations by 90 degrees...won't be scalars in the continuum!?

# Part IV.

## Deconstruction

- The lesson from the 5th dimension
- The AHCG model

# A lesson from deconstruction

Arkani-Hamed, Cohen, Georgi: “Deconstruction”

*One of their models:*

- 4D,  $N=1$  supersymmetric gauge theory with gauge group  $SU(k)^N$  and spontaneous symmetry breaking at scale  $\langle \phi \rangle = 1 / \sqrt{2} a$
- Continuum 4D, discrete 5<sup>th</sup> dimension, lattice spacing  $a$
- $N \rightarrow \infty, a \rightarrow 0, g \rightarrow \infty, g^2/a$  fixed: fifth dimension continuous
- **→ 5D Lorentz invariant, supersymmetric.**
- **→ 5D theory has 8 real supercharges, twice the number of the 4D theory!**

# The AHCG model

equal gauge  
couplings  $g$

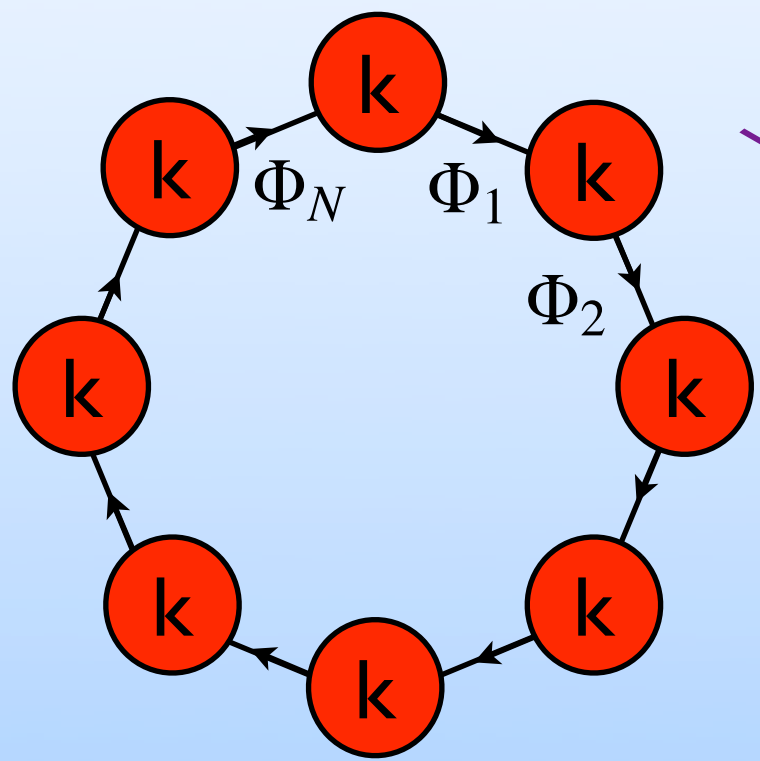
Gauge group:

$$G = \underbrace{SU(k) \times \dots \times SU(k)}_N$$

Chiral superfields:

$$\Phi : \{\phi, \psi, F\} \quad \text{**k x k matrices**}$$

scalar  
Weyl fermion  
Auxiliary field



$$SU(k) \times SU(k) \times \dots$$

$$\Phi_1 = (k, \bar{k}, 1, 1, \dots)$$

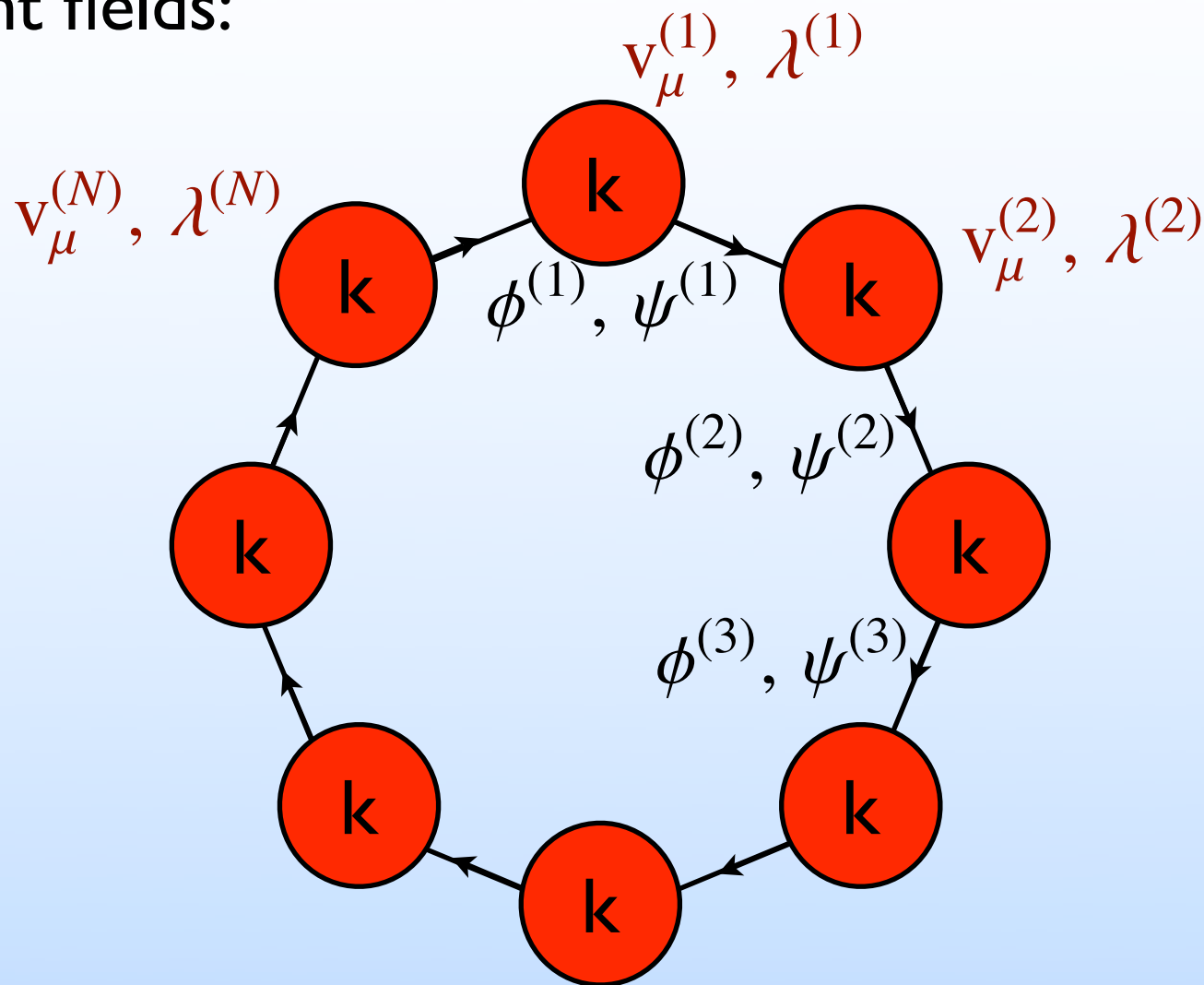
$$\Phi_2 = (1, k, \bar{k}, 1, \dots)$$

$\vdots$

$$\Phi_N = (\bar{k}, 1, 1, \dots, k)$$



# Component fields:



Gauge & gaugino fields at the sites:  $U(k)$  adjoints  
Scalars & fermions on links:  $U(k) \times U(k)$  bifundamentals

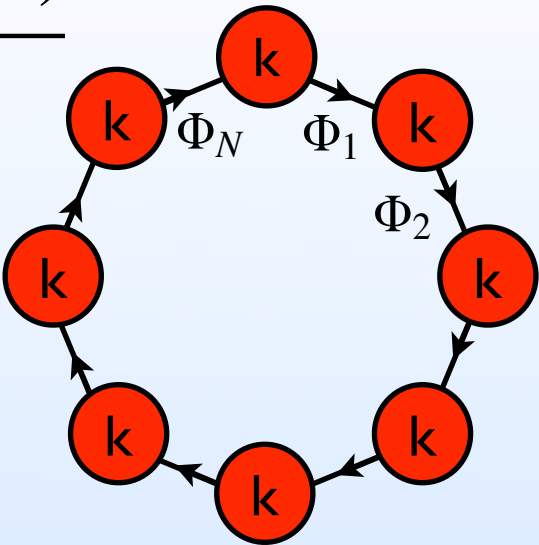
Model has degenerate vacua. Expand about

Lesson from  
the 5th  
dimension

$$\phi^{(n)}(x) = \frac{1}{\sqrt{2}a} \mathbf{1}_k + \frac{s^{(n)}(x) + i v_5^{(n)}(x)}{\sqrt{2}}$$

The AHCG  
model

and treat as lattice 5th dimension  
with lattice spacing  $a \rightarrow 0$



Examples of terms in action:

- 1. Scalar kinetic term ( $\mu = 1, \dots, 4$ )

$$\sum_n |D_\mu \phi^{(n)}|^2 = \sum_n \left| \partial_\mu \phi^{(n)} + i v_\mu^{(n)} \phi^{(n)} - i \phi^{(n)} v_\mu^{(n+1)} \right|^2$$

x5 hopping term  
for gauge field

Deconstruction

Lesson from  
the 5th  
dimension

The AHCG  
model

$$\frac{1}{g^2} \sum_n |D_\mu \phi^{(n)}|^2 = \frac{1}{g^2} \sum_n |\partial_\mu \phi^{(n)} + i v_\mu^{(n)} \phi^{(n)} - i \phi^{(n)} v_\mu^{(n+1)}|^2$$

**Substitute:**  $\phi^{(n)}(x) = \frac{1}{\sqrt{2} a} \mathbf{1}_k + \frac{s^{(n)}(x) + i v_5^{(n)}(x)}{\sqrt{2}}$

$$= \frac{1}{2g^2} \sum_n \text{Tr} \left| \partial_\mu s^{(n)} + i v_\mu^{(n)} s^{(n)} - i s^{(n)} v_\mu^{(n+1)} \right.$$

$$\left. + i \left( \partial_\mu v_5^{(n)} + i v_\mu^{(n)} v_5^{(n)} - i v_5^{(n)} v_\mu^{(n+1)} \right) + i \left( v_\mu^{(n)} - v_\mu^{(n+1)} \right) / a \right|^2$$

$$\xrightarrow{a \rightarrow 0} \frac{1}{2g_5^2} \int dx_5 \left[ \text{Tr} (D_\mu s)^2 - \text{Tr} v_{\mu 5} v^{\mu 5} \right] + O(a)$$

$$g_5^2 \equiv g^2 a \quad (\text{kept fixed as } a \rightarrow 0)$$

# AHCG action continued:

Lesson from  
the 5th  
dimension

## 2. Scalar self-coupling “D”-term:

The AHCG  
model

$$\frac{1}{2g^2} \sum_n \text{Tr} \left( \phi^{(n+1)} \bar{\phi}^{(n+1)} - \bar{\phi}^{(n)} \phi^{(n)} \right)$$

$$\xrightarrow{a \rightarrow 0} \frac{1}{2g_5^2} \int dx_5 \text{Tr} (D_5 s)^2$$

# AHCG action continued:

Lesson from  
the 5th  
dimension

## 3. Fermion kinetic term

$$\frac{1}{g^2} \sum_n \text{Tr} \left( \bar{\lambda}^{(n)} i \bar{\sigma}^\mu D_\mu \lambda^{(n)} + \bar{\psi}^{(n)} i \bar{\sigma}^\mu D_\mu \psi^{(n)} \right)$$

$$\xrightarrow{a \rightarrow 0} \frac{1}{g_5^2} \int dx_5 \text{Tr} \bar{\Psi} i \gamma^\mu D_\mu \Psi$$

$$\Psi = \begin{pmatrix} \lambda \\ \bar{\psi} \end{pmatrix}, \quad \bar{\Psi} = (\psi \quad \bar{\lambda})$$

$$\gamma_\mu = \begin{pmatrix} & \bar{\sigma}_\mu \\ \sigma_\mu & \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$$

# AHCG action continued:

Lesson from  
the 5th  
dimension

## 4. Squark-quark-gaugino Yukawa coupling:

$$\frac{1}{g^2} \sum_n i \sqrt{2} \text{Tr} \lambda^{(n)} \left( \psi^{(n)} \bar{\phi}^{(n)} - \bar{\phi}^{(n-1)} \psi^{(n-1)} \right) + \text{h.c.}$$

$$\xrightarrow{a \rightarrow 0} \frac{1}{g_5^2} \int dx_5 \text{Tr} \lambda (i D_5 \psi + [\psi, s]) + \text{h.c.} + O(a)$$

$$= \frac{1}{g_5^2} \int dx_5 \text{Tr} \bar{\Psi} i \gamma_5 D_5 \Psi - \text{Tr} \bar{\Psi} \gamma_5 [s, \Psi]$$

After the  $a \rightarrow 0$  limit, one finds a 5D theory:

Lesson from  
the 5th  
dimension

- Gauge invariant
- 5D Lorentz invariant

The AHCG  
model

$$V_m = \begin{pmatrix} V_1 \\ V_2 \\ \vdots \\ V_5 \end{pmatrix} \quad \Psi = \begin{pmatrix} \lambda \\ \bar{\psi} \end{pmatrix}, \quad \bar{\Psi} = (\psi \quad \bar{\lambda}) \quad s$$

5D gauge field  
 $v_5$  from  $Im[\phi]$

5D Dirac field  
4 components  
from  $\lambda, \psi$

Real scalar  $s$   
from  $Re[\phi]$

**...and theory still respects the 4D supersymmetry.**

# What is amazing about the AHCG model

Lesson from  
the 5th  
dimension

- 5D Lorentz invariant
- 4D supersymmetric = 2 complex or 4 real supercharges

The AHCG  
model

**But there is no 5D Lorentz invariant theory with 4 real supercharges!**

**Minimum number of supercharges = 8**

In "IR" ( $a \rightarrow 0$ ) we see:

- enhanced Lorentz symmetry
- enhanced SUSY

*Magical!*



# Part V.

## From orbifolds to lattices

- A symmetry approach
- AHCG from orbifold projection
- Constructing SUSY lattices

## V. Orbifolds to lattices

A symmetry  
approach

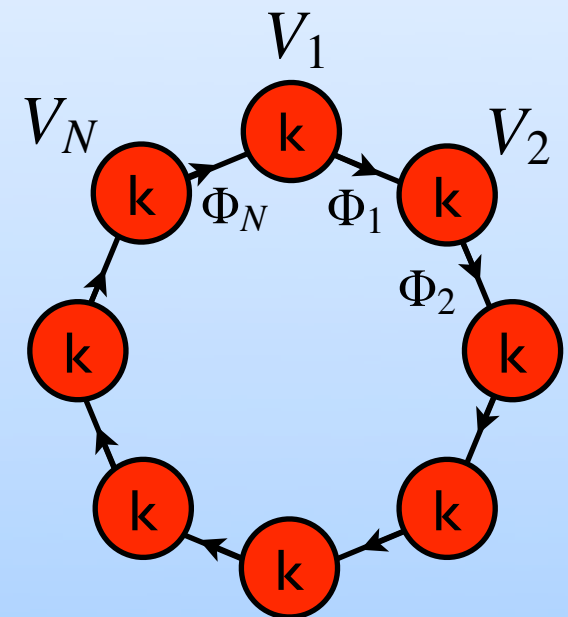
AHCG from  
orbifold  
projection

Constructing  
SUSY lattices

Goal:

- Find a general principle behind the AHCG model's successful realization of accidental SUSY in IR
- Harness that principle to construct true SUSY lattices.

Where did this come from?  
What are its analogues for a full  
spacetime lattice?

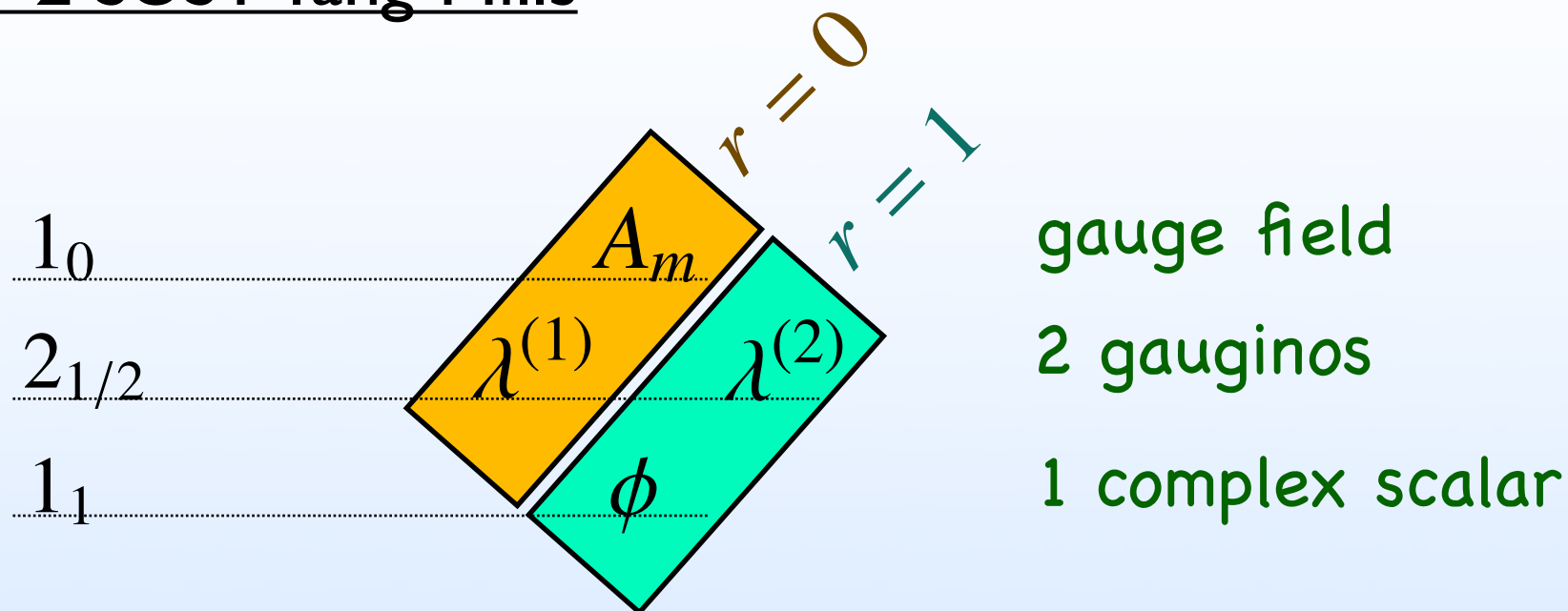


# A symmetry approach to the AHCG model

*Consider extended supersymmetric Yang-Mills theories in 4D:*

Supersymmetry	# Real Super-charges	# gauge bosons	# Weyl gauginos	# complex scalars
	$Q$	$V_m$	$\lambda$	$\phi$
N=1	4	1	1	0
N=2	8	1	2	1
N=4	16	1	4	3

## N=2 SUSY Yang-Mills



Theory possesses an  $SU(2) \times U(1)$  global “R”-symmetry

Define charge  $r = Y - T_3$

$\nearrow$   $U(1)$        $\nwarrow$   $SU(2)$

$r=0 \rightarrow$  sites and  $r=1 \rightarrow$  links in AHCG model

# Orbifolds

How to get the AHCG model from an  $N=2$  SYM theory through symmetry projection

1. Start with an  $N=2$  supersymmetric gauge theory with gauge group  $U(kN)$

*All fields are adjoints:  $kN \times kN$  matrices*

2. Define a  $Z_N$  subgroup of  $\underbrace{U(kN)}_{\text{gauge}} \times \underbrace{SU(2) \times U(1)}_R$

$$r = (Y - T_3)$$

$$\hat{\gamma} = \omega^r$$

$$\begin{pmatrix} \omega & & & \\ & \omega^2 & & \\ & & \ddots & \\ & & & \omega^N \end{pmatrix}$$

$$\omega = e^{2\pi i/N}$$

Action of  $Z_N$  generator on a field  $X$  in the  $N=2$  SUSY theory:

$$\hat{\gamma}X = \omega^r \Omega X \Omega^\dagger$$

Projection operator which annihilates anything transforming nontrivially under the  $Z_N$ :

$$\hat{P} = \frac{1}{N} \sum_{n=1}^N \hat{\gamma}^n$$

$\hat{P}$  = "orbifold projection operator"

3. Project out of the theory all variables that are charged under the  $Z_N$ . (Note different variables have different  $\mathbf{r}$  charges):

$$X \rightarrow \hat{P}X = \omega^{\mathbf{r}} \Omega(\hat{P}X)\Omega^\dagger$$

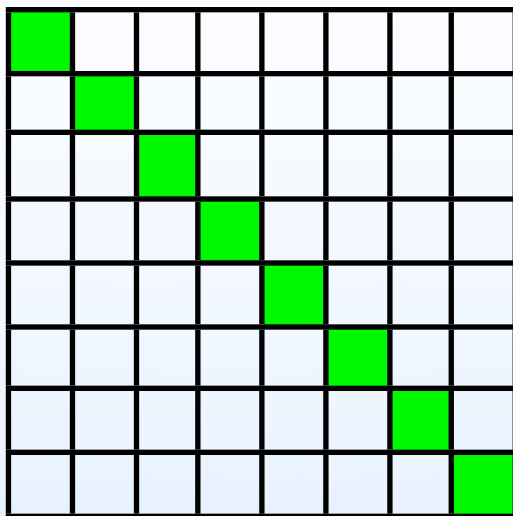
- Only  $N$   $k \times k$  blocks survive in the original  $kN \times kN$  matrix variable  $X$
- Which blocks survive depends on the  $\mathbf{r}$ -charge of  $X$ 
  - i.  $\mathbf{r}=\mathbf{0}$ : diagonal blocks survive.  
Interpreted as **site variables** on  $N$  site lattice
  - ii.  $\mathbf{r}=\mathbf{1}$ : super-diagonal blocks survive.  
Interpreted as **link variables** on  $N$ -site lattice

From orbifolds  
to lattices

A symmetry  
approach

AHCG from  
orbifold  
projection

Constructing  
SUSY lattices



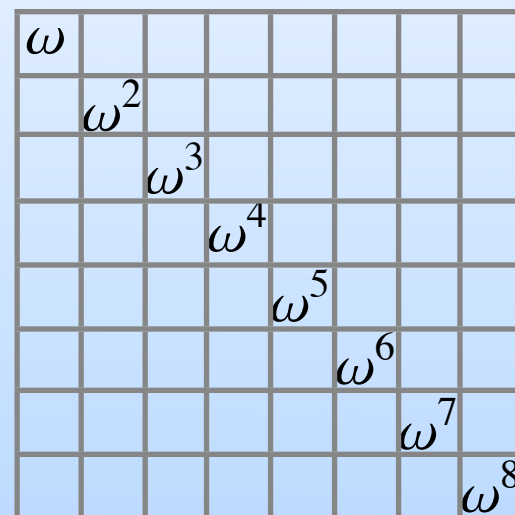
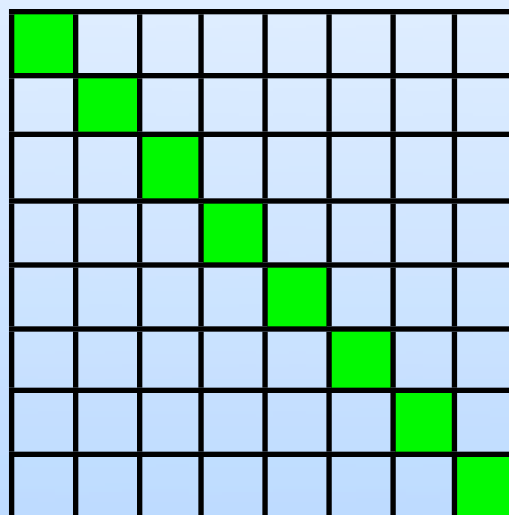
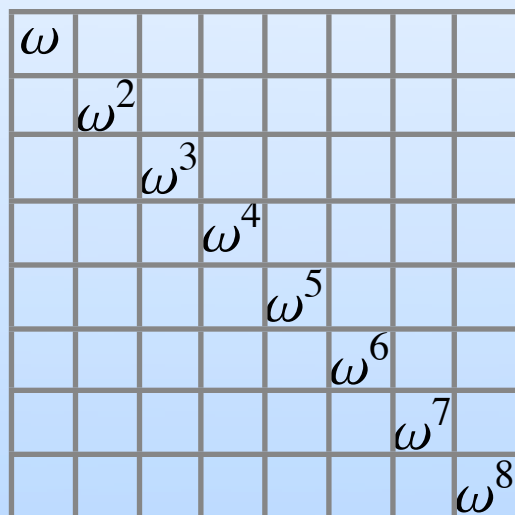
$\hat{P}X$

Example: gauge group =  $U(8k)$

Project out  $Z_8$  ( $\omega = e^{2\pi i/8}$ )

$r = 0$  variables become  $k \times k$   
site variables on 8-site, 1d  
lattice ( $X = v_m$  and  $X = \lambda_1$ )

=



$= \Omega(\hat{P}X)\Omega^\dagger$

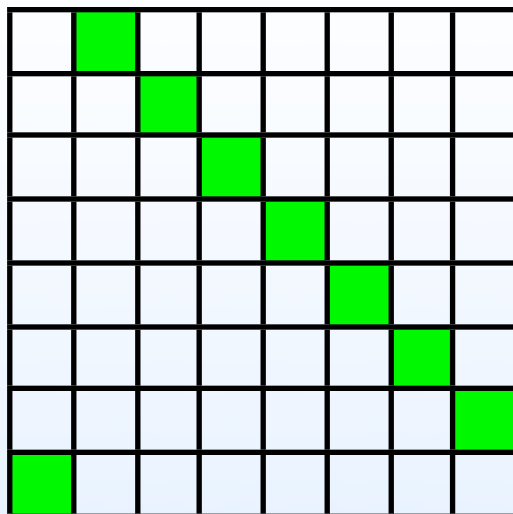


From orbifolds  
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orbifold  
projection

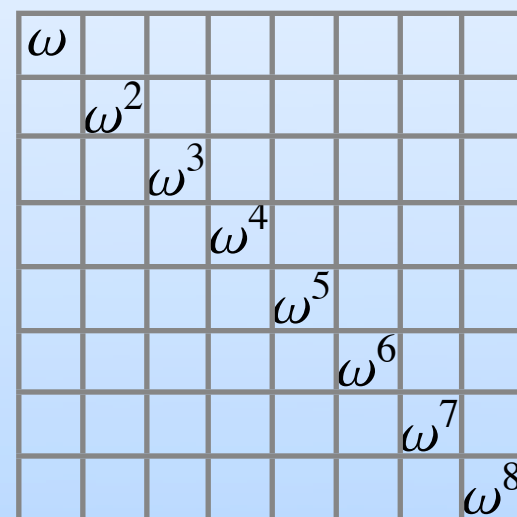
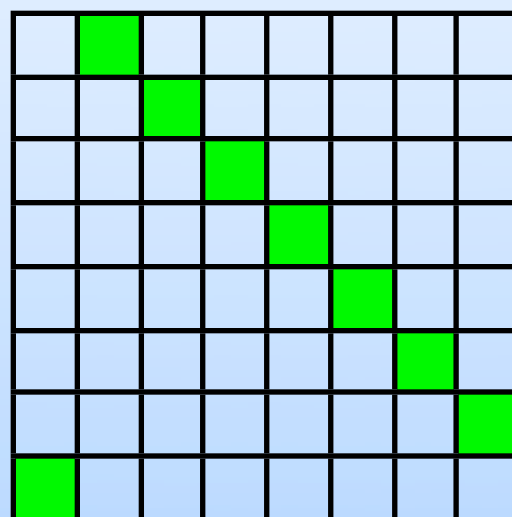
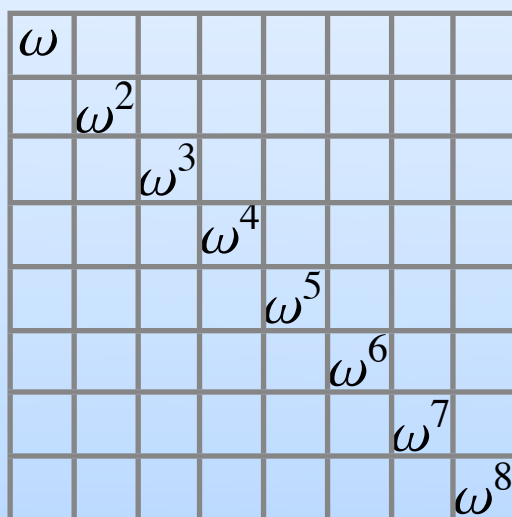
Constructing  
SUSY lattices



$\hat{P}X$

$r = 1$  variables become  $k \times k$   
link variables on 8-site, 1d  
lattice (  $X = \phi$  and  $X = \lambda_2$  )

$\omega$



$$= \omega \Omega(\hat{P}X) \Omega^\dagger$$

After the projection, compute the original action ( $N=2$  SYM) with the sparse matrix variables. One gets the AHCG model.

Symmetry of original action has been reduced:

★ gauge symmetry:  $U(kN) \Rightarrow U(k)^N$

★ supersymmetry:  $N=2$  (8Q's)  $\Rightarrow N=1$  (4Q's)

Deconstruction procedure then restores the broken Q's and adds a dimension!

From orbifolds  
to lattices

4d,  $N=2$  SYM (8 real Q's)  
 $U(kN)$  gauge symmetry

$Z_N$  “orbifold”  
projection

A symmetry  
approach

AHCG from  
orbifold  
projection

AHCG model  
4d  $N=1$  SYM (4 real Q's)  
 $U(k)^N$  gauge symmetry

Constructing  
SUSY lattices

5d  $N=1$  SYM (8 real Q's)  
 $U(k)$  gauge symmetry

Deconstruction  
limit

$$\langle \phi \rangle \propto 1/a$$

$$a \rightarrow 0, N \rightarrow \infty$$

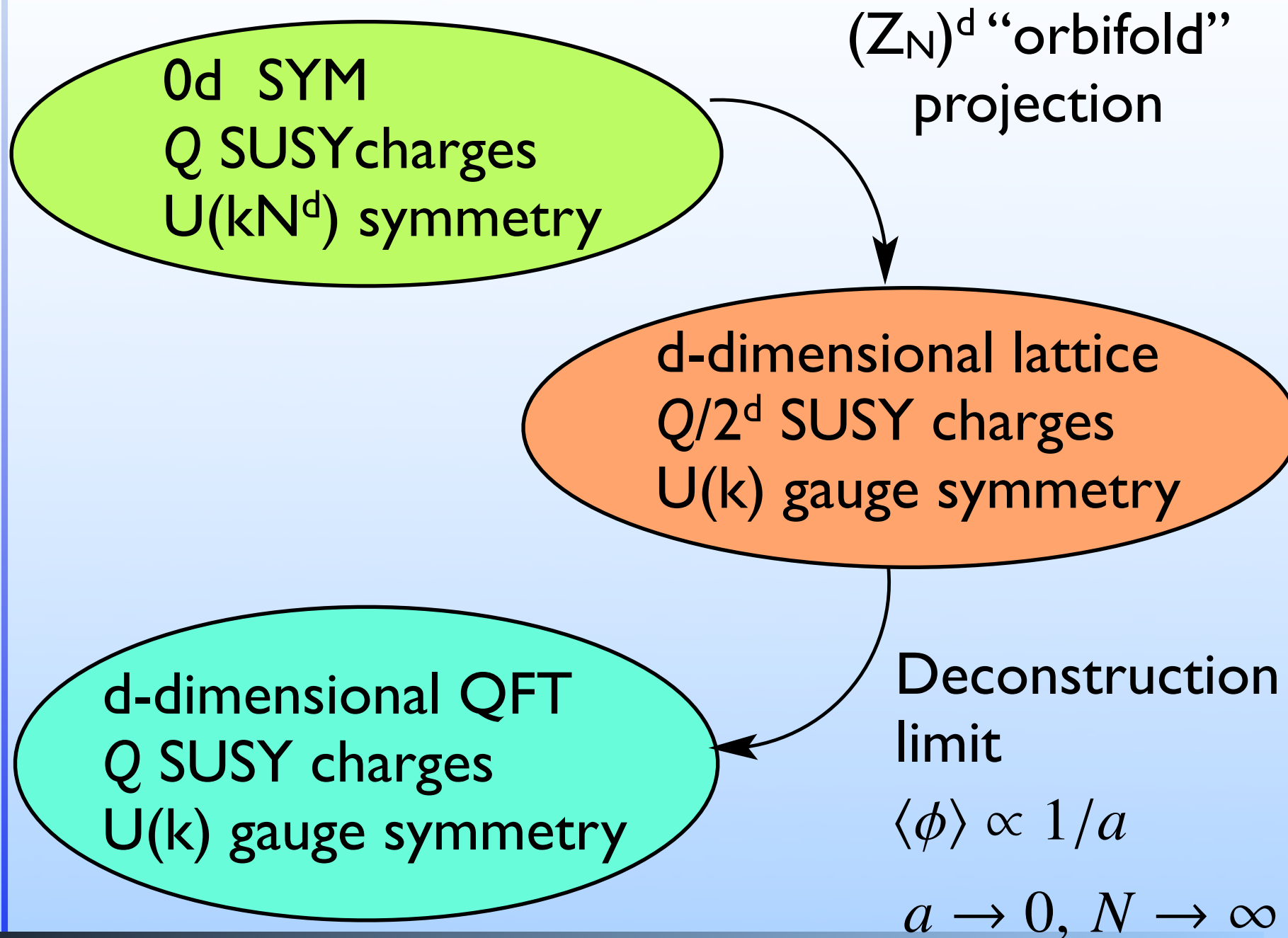
From orbifolds  
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A symmetry  
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orbifold  
projection

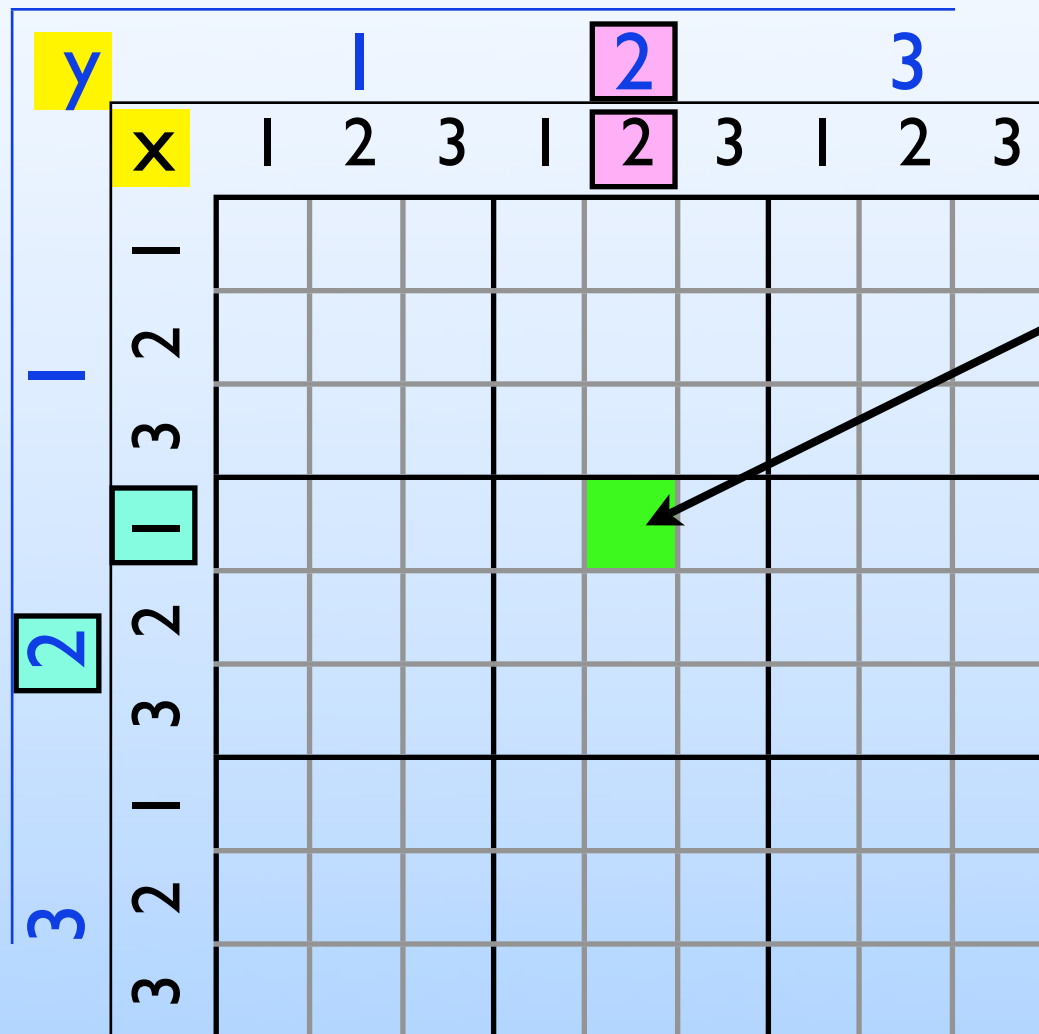
Constructing  
SUSY lattices

## Application to SUSY lattices



# Example: 2d lattice from $Z_N^2$ orbifold

Encoding link & site variables on a 2d lattice  
in a matrix (here, 3x3 lattice in 9x9 matrix)



Matrix-valued  
link variable

from  $(x,y)=(1,2)$

to  $(x,y)=(2,2)$

# Generating a 2d lattice from a $Z_N^2$ orbifold projection

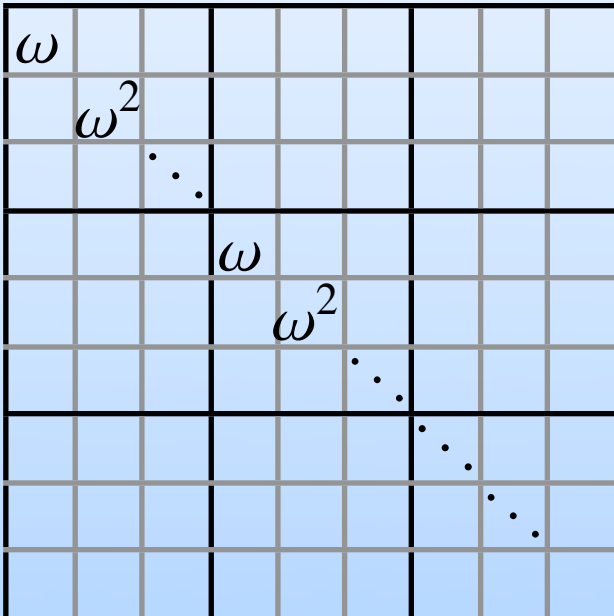
A symmetry  
approach

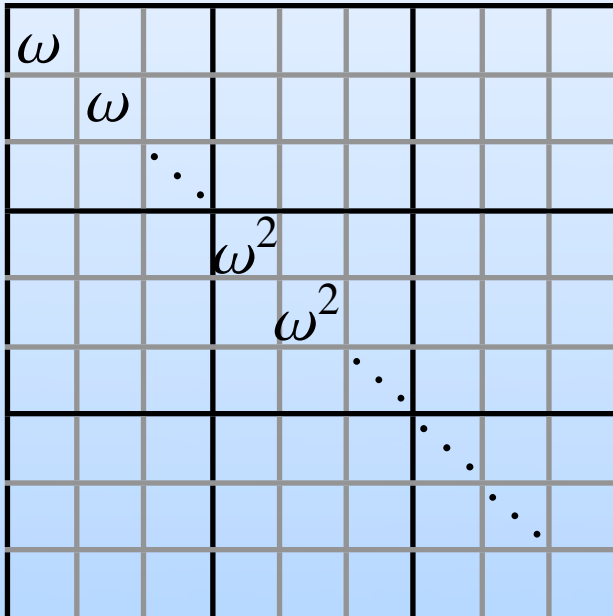
AHCG from  
orbifold  
projection

Constructing  
SUSY lattices

$Z_N^2$  generators:

$$\gamma_1 = \omega^{r_1} \Omega_1, \quad \gamma_2 = \omega^{r_2} \Omega_2,$$

$$\Omega_1 =$$


$$\Omega_2 =$$


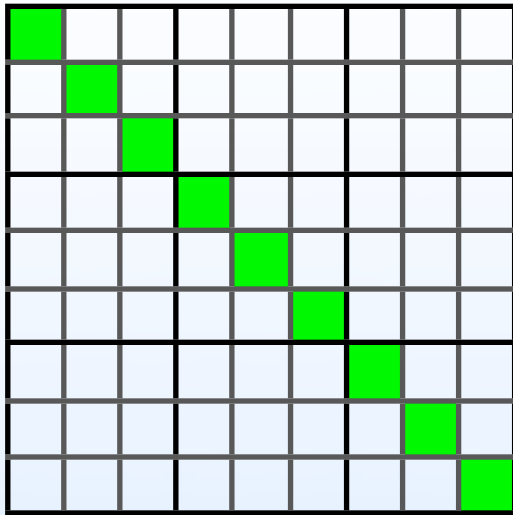
From orbifolds  
to lattices

A symmetry  
approach

AHCG from  
orbifold  
projection

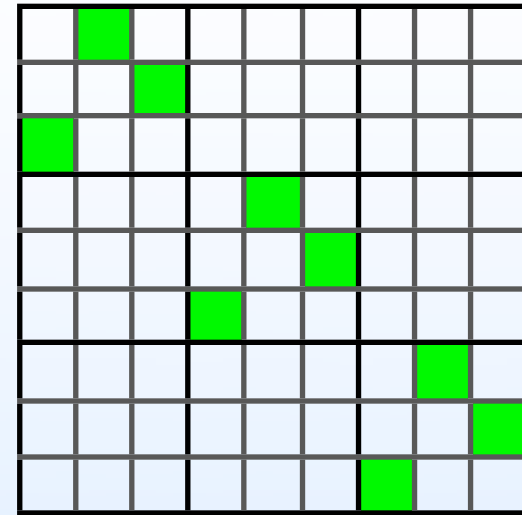
Constructing  
SUSY lattices

$$(r_1, r_2) = (0, 0)$$



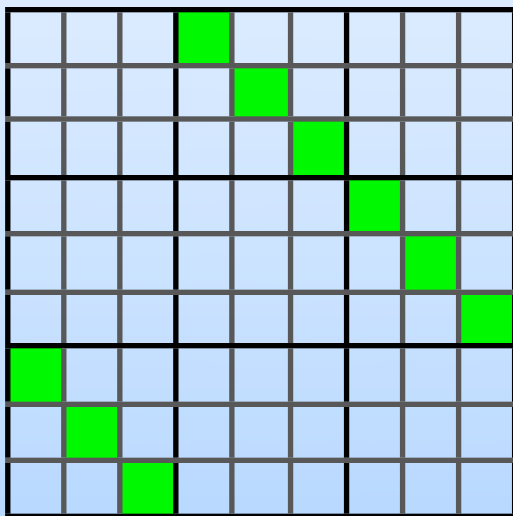
site variables

$$(r_1, r_2) = (1, 0)$$



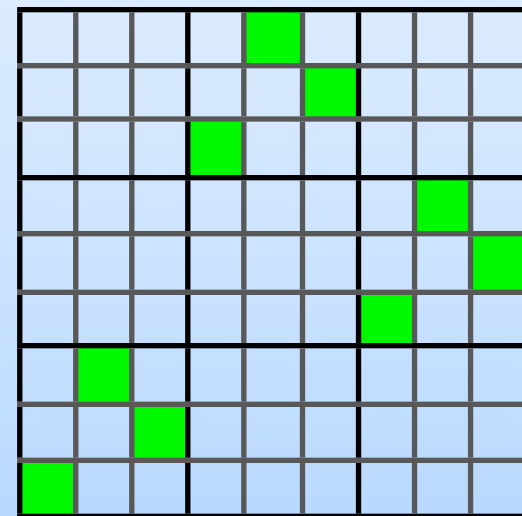
x-link variables

$$(r_1, r_2) = (0, 1)$$



y-link variables

$$(r_1, r_2) = (1, 1)$$



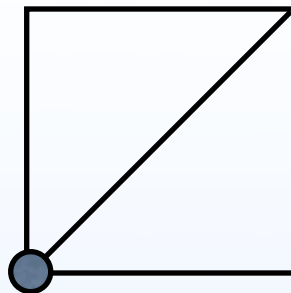
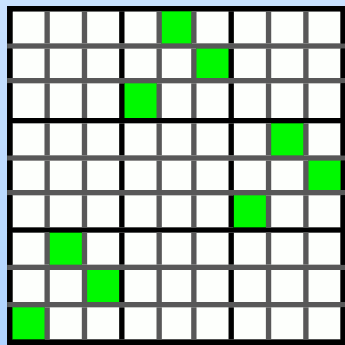
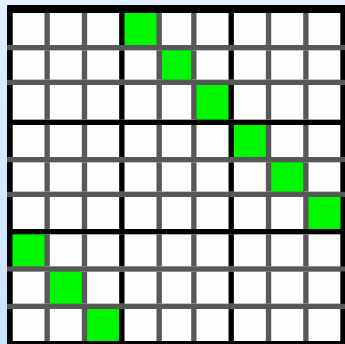
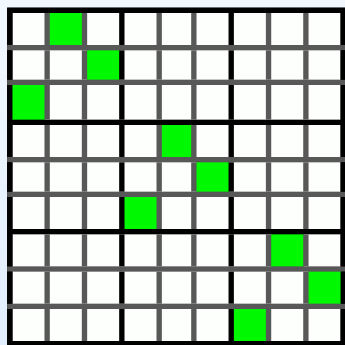
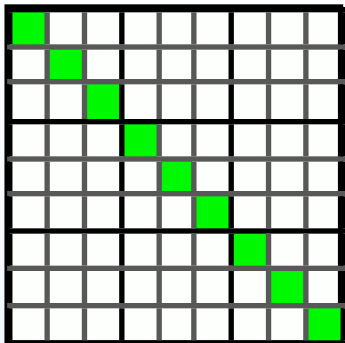
diagonal-link variables

From orbifolds  
to lattices

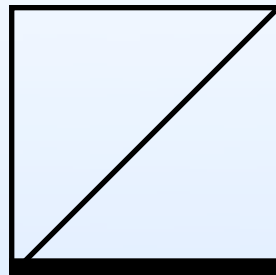
A symmetry  
approach

AHCG from  
orbifold  
projection

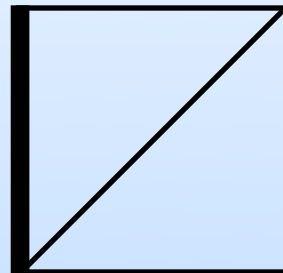
Constructing  
SUSY lattices



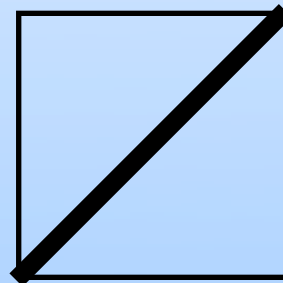
$$(r_1, r_2) = (0, 0)$$



$$(r_1, r_2) = (1, 0)$$



$$(r_1, r_2) = (0, 1)$$



$$(r_1, r_2) = (1, 1)$$



## Summary:

A symmetry  
approach

AHCG from  
orbifold  
projection

Constructing  
SUSY lattices

By “sparsifying” adjoint representations of  $U(kN^d)$ , into  $N^d$   $k \times k$  blocks, we can turn the internal group space into a physical  $d$ -dimensional  $N^d$  site lattice with a  $U(k)$  gauge symmetry.

*Matrix commutators turn into derivatives.*

“Sparsifying” can be accomplished by projecting out a  $Z_{N^d}$  symmetry of the theory (orbifolding)

In a SUSY YM theory, if the  $Z_N^d$  symmetry is properly embedded in the gauge  $\times$  R-symmetry, the resultant lattice will enjoy residual SUSY.

A continuum limit can be defined which at the classical level restores all of the original theory's SUSY, as well as d-dimensional Lorentz symmetry

*Tomorrow: construct the 2d lattice for (2,2) SUSY YM (4 supercharges in 2d), and explore the renormalization properties.*

# Part VI.

## A lattice for (2,2) SUSY YM

- The target theory
- Constructing the lattice
- The lattice action
- Dispersion relations
- Lattice SUSY
- Radiative corrections
- Other theories

# Constructing a supersymmetric lattice

The target

Target theory: (2,2) Super Yang-Mills in 2d

Lattice construction

*Continuum action obtained by reducing  $N=1$  SYM from 4d to 2d*

The lattice action

Dispersion relations

- 4d gauge field  $\Rightarrow$  2d gauge field + complex scalar
- 4d Weyl fermion  $\Rightarrow$  2d Dirac fermion

Lattice SUSY

Radiative corrections

Other theories

$$\mathcal{L} = \frac{1}{g_2^2} \text{Tr} \left( |D_m s|^2 + i \bar{\psi} \not{D} \psi + \frac{1}{4} V_{mn} V_{mn} \right. \\ \left. + i \sqrt{2} \left( \bar{\psi}_L [s, \psi_R] + \bar{\psi}_R [s^\dagger, \psi_L] \right) + \frac{1}{2} [s^\dagger, s]^2 \right)$$

## Orbifold method for a 2d SUSY lattice:

The target

Lattice  
construction

The lattice  
action

Dispersion  
relations

Lattice SUSY

Radiative  
corrections

Other theories

- (1) Start in zero dimensions with an action invariant under a  $U(kN^2)$  gauge symmetry and 4 supercharges
- (2) Project out a  $Z_N \times Z_N$  symmetry
- (3) Identify the “flat direction” of the theory (moduli space) and equate a scalar vev with an inverse lattice spacing
- (4) Take the appropriate continuum limit

# Step (I): Creating a zero dimensional “mother theory”

- Start with  $N=1$   $U(kN^2)$  SYM in 4d
- Reduce to zero dimensions

Result (just erase all spacetime dependence in gluon/gluino fields!) is a matrix model in zero dimensions:

$$\mathcal{L}_0 = \frac{1}{g^2} \text{Tr} \left( \frac{1}{4} V_{mn} V_{mn} + \bar{\psi} \bar{\sigma}_m [V_m, \psi] \right)$$

$$V_{mn} \equiv i[V_m, V_n] \quad m, n = 1, \dots, 4$$

Still possesses all 4 supercharges

## Step (2): Identify symmetries

$$\mathcal{L}_0 = \frac{1}{g^2} \text{Tr} \left( \frac{1}{4} V_{mn} V_{mn} + \bar{\psi} \bar{\sigma}_m [V_m, \psi] \right)$$

The target

Lattice construction

$$\bullet \text{U(kN}^2\text{): } V_m \rightarrow U V_m U^\dagger, \quad \psi \rightarrow U \psi U^\dagger$$

The lattice action

$$\bullet \text{SO(4): "Lorentz" transformation}$$

Dispersion relations

$$\bullet \text{U(1): } \psi \rightarrow e^{i\alpha} \psi$$

Lattice SUSY

$$\bullet \text{SUSY:}$$

Radiative corrections

$$\delta = i\kappa Q + i\bar{\kappa} \bar{Q}$$

Other theories

$\kappa, \bar{\kappa} = 2$  component **Grassmann** spinor parameters

$$\delta V_m = -i\bar{\psi} \bar{\sigma}_m \kappa + i\bar{\kappa} \bar{\sigma}_m \psi$$

$$\delta \psi = -i V_{mn} \sigma_{mn} \kappa$$

$$\delta \bar{\psi} = i V_{mn} \bar{\kappa} \bar{\sigma}_{mn}$$

Step (3): Identify  $Z_N \times Z_N$  charges for orbifold

$$\hat{\gamma}_1 = \omega^{r_1} \Omega_1, \quad \hat{\gamma}_2 = \omega^{r_2} \Omega_2,$$

The target

Lattice  
constructionThe lattice  
actionDispersion  
relations

Lattice SUSY

Radiative  
corrections

Other theories

$$\hat{P}_{2d} = \frac{1}{N^2} \sum_{n,m=1}^N \hat{\gamma}_1^m \hat{\gamma}_2^n$$

- $r_1, r_2$  constructed from diagonal generators of the  $SO(4) \times U(1)$  R-symmetry (rank 3).
- Maximize # of preserved supercharges = number of fermions with  $(r_1, r_2) = (0, 0)$
- Only allow values 0, +1, -1 for the  $r_{1,2}$  (near neighbor interactions only)



# A solution:

(Cohen, Kaplan, Katz, Unsal, hep-lat/0302017)

The target

Lattice construction

The lattice action

Dispersion relations

Lattice SUSY

Radiative corrections

Other theories

	$r_1$	$r_2$
$z_1 = \frac{1}{\sqrt{2}}(v_0 - i v_3)$	1	0
$\bar{z}_1 = \frac{1}{\sqrt{2}}(v_0 + i v_3)$	-1	0
$z_2 = -\frac{i}{\sqrt{2}}(v_1 - i v_2)$	0	1
$\bar{z}_2 = \frac{i}{\sqrt{2}}(v_1 + i v_2)$	0	-1

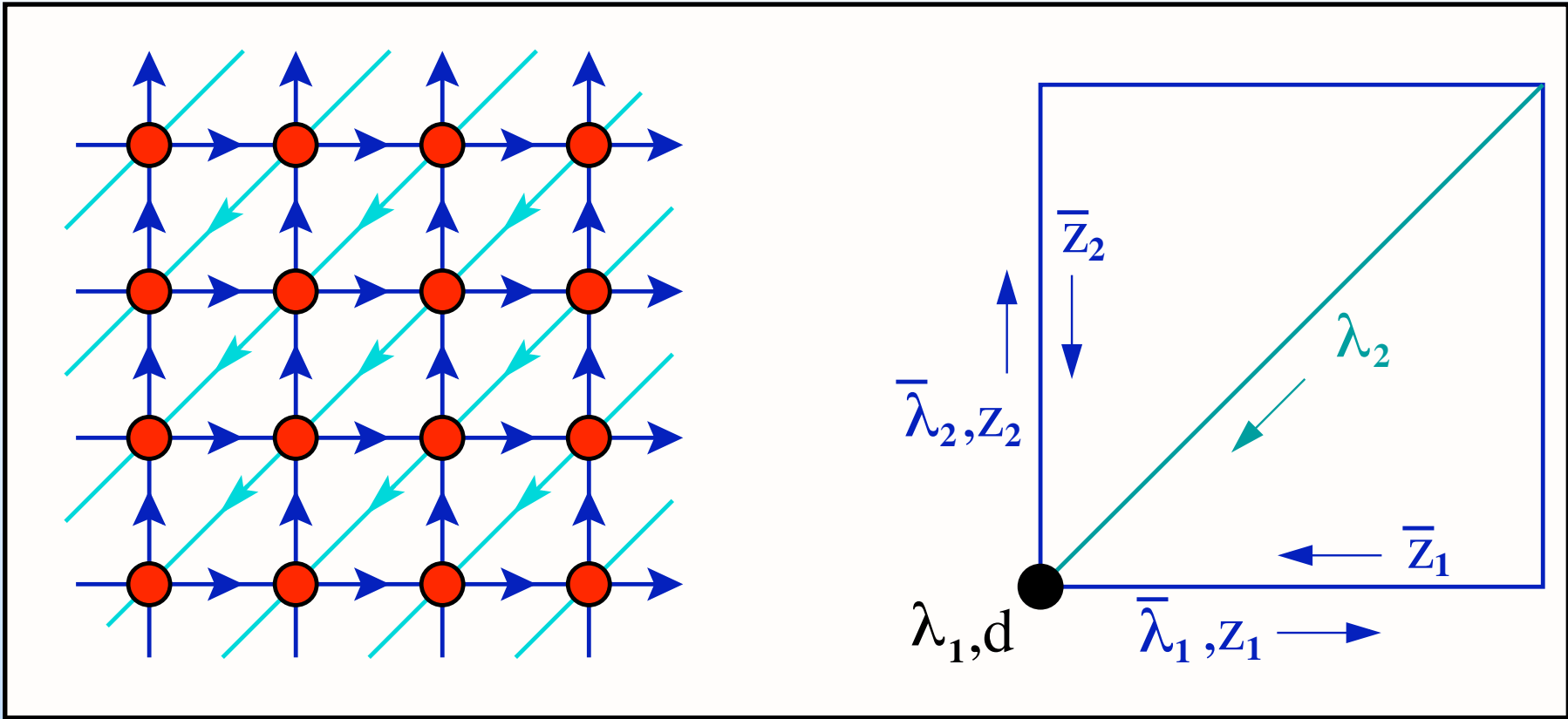
	$r_1$	$r_2$
$\lambda_1$	0	0
$\lambda_2$	-1	-1
$\bar{\lambda}_1$	1	0
$\bar{\lambda}_2$	0	1

$$\psi = \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix}, \quad \bar{\psi} = (\bar{\lambda}_1 \quad \bar{\lambda}_2)$$

Only one supercharge survives orbifold projection

# The “lattice”:

- The target
- Lattice construction
- The lattice action
- Dispersion relations
- Lattice SUSY
- Radiative corrections
- Other theories

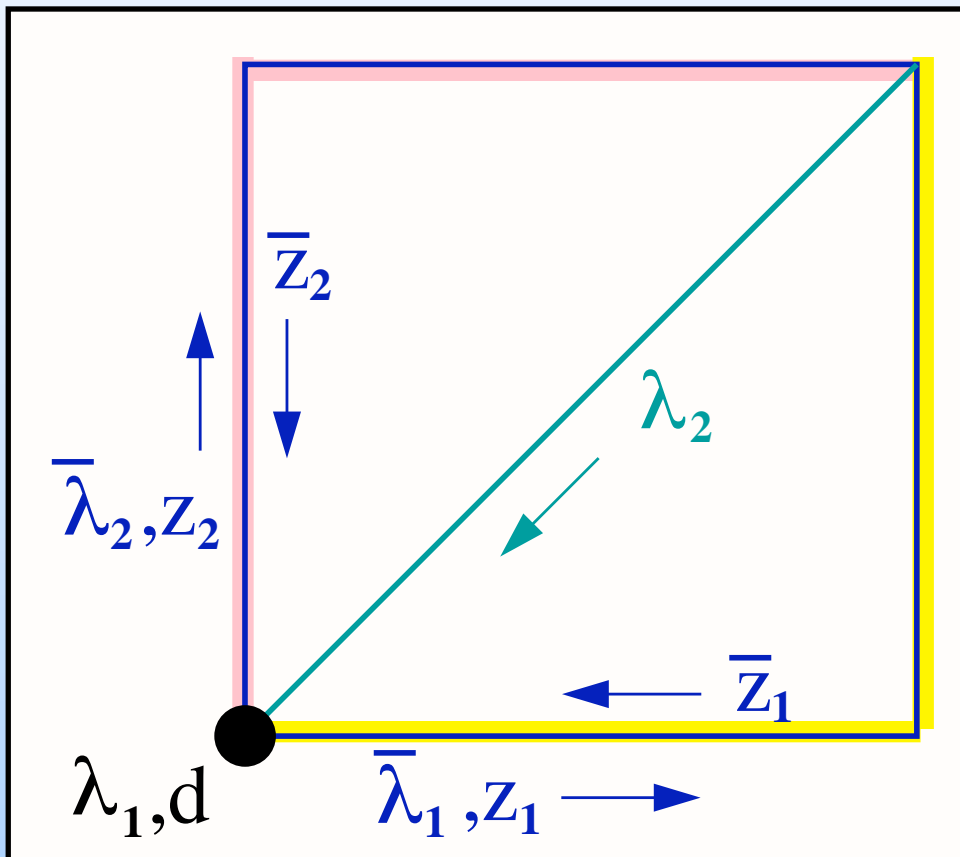


I. Stick the sparse, projected matrices into the action of the mother theory:

$$\mathcal{L}_0 = \frac{1}{g^2} \text{Tr} \left( \frac{1}{4} V_{mn} V_{mn} + \bar{\psi} \bar{\sigma}_m [V_m, \psi] \right)$$

Arrive at the action  $S = S_{\text{bose}} + S_{\text{fermi}}$

$$\begin{aligned}
S_{\text{bose}} = & \frac{1}{g^2} \sum_{\mathbf{n}} \text{Tr} \left[ \frac{1}{2} (\bar{z}_1(\mathbf{n} - \hat{\mathbf{x}}) z_1(\mathbf{n} - \hat{\mathbf{x}}) - z_1(\mathbf{n}) \bar{z}_1(\mathbf{n}) \right. \\
& + \bar{z}_2(\mathbf{n} - \hat{\mathbf{y}}) z_2(\mathbf{n} - \hat{\mathbf{y}}) - z_2(\mathbf{n}) \bar{z}_2(\mathbf{n}))^2 \\
& \left. + 2 \left[ \boxed{z_1(\mathbf{n}) z_2(\mathbf{n} + \hat{\mathbf{x}})} - \boxed{z_2(\mathbf{n}) z_1(\mathbf{n} + \hat{\mathbf{y}})} \right]^2 \right]
\end{aligned}$$



A 2d example

$$S_{\text{fermi}} =$$

$$\frac{1}{g^2} \sum_{\mathbf{n}} \text{Tr} \left[ \sqrt{2} \left( \bar{\lambda}_1(\mathbf{n}) \bar{z}_1(\mathbf{n}) \lambda_1(\mathbf{n}) - \bar{\lambda}_1(\mathbf{n} - \hat{\mathbf{x}}) \lambda_1(\mathbf{n}) \bar{z}_1(\mathbf{n} - \hat{\mathbf{x}}) \right) \right. \\ \left. + \sqrt{2} \left( \bar{\lambda}_2(\mathbf{n}) \bar{z}_2(\mathbf{n}) \lambda_1(\mathbf{n}) - \bar{\lambda}_2(\mathbf{n} - \hat{\mathbf{y}}) \lambda_1(\mathbf{n}) \bar{z}_2(\mathbf{n} - \hat{\mathbf{y}}) \right) \right. \\ \left. - \sqrt{2} \left( \bar{\lambda}_1(\mathbf{n}) z_2(\mathbf{n} + \hat{\mathbf{x}}) \lambda_2(\mathbf{n}) - \bar{\lambda}_1(\mathbf{n} + \hat{\mathbf{y}}) \lambda_2(\mathbf{n}) z_2(\mathbf{n}) \right) \right. \\ \left. + \sqrt{2} \left( \bar{\lambda}_2(\mathbf{n}) z_1(\mathbf{n} + \hat{\mathbf{y}}) \lambda_2(\mathbf{n}) - \bar{\lambda}_2(\mathbf{n} + \hat{\mathbf{x}}) \lambda_2(\mathbf{n}) z_1(\mathbf{n}) \right) \right]$$

The target

Lattice  
construction

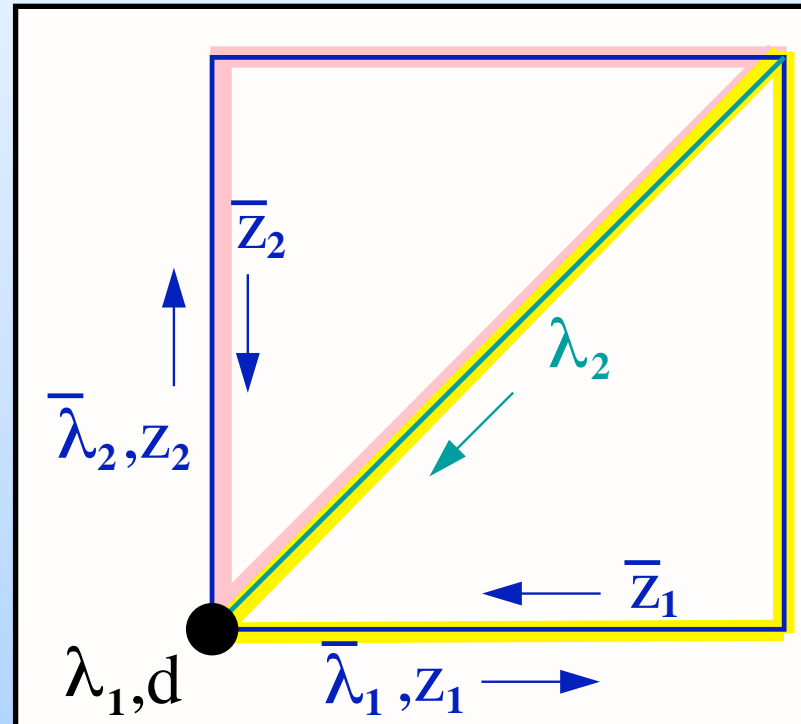
The lattice  
action

Dispersion  
relations

Lattice SUSY

Radiative  
corrections

Other theories

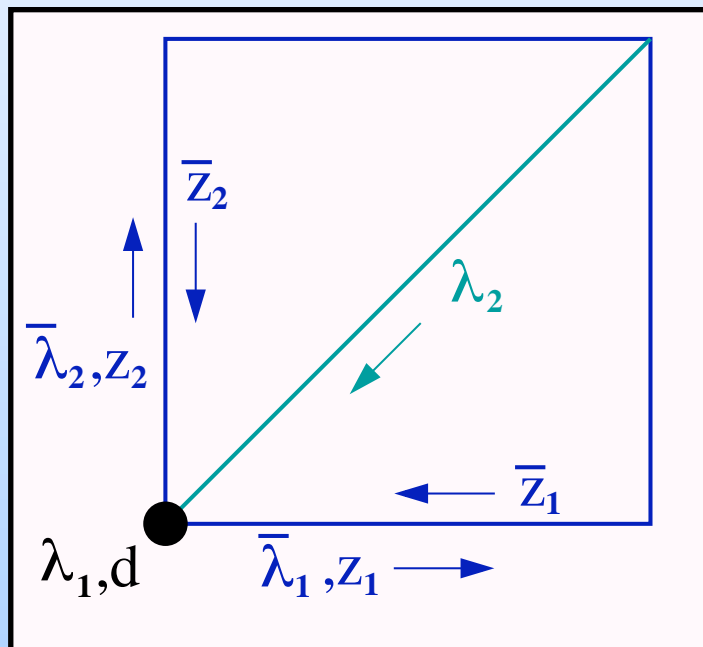


2. Theory has a moduli space (=degenerate vacua) parametrized by

$$\langle z_1(\mathbf{n}) \rangle = \langle z_2(\mathbf{n}) \rangle = \frac{1}{\sqrt{2}a} \mathbf{1}_k$$

$k \times k$  unit matrix

to become the  
lattice spacing



Expand fields about this point

$$\langle z_1(\mathbf{n}) \rangle = \langle z_2(\mathbf{n}) \rangle = \frac{1}{\sqrt{2}a} \mathbf{1}_k$$

## Quadratic part of the fermionic action:

$$\frac{1}{g^2} \sum_n \frac{1}{a} \text{Tr} \left[ \left( \bar{\lambda}_1(\mathbf{n}) - \bar{\lambda}_1(\mathbf{n} - \hat{\mathbf{x}}) \right) \lambda_1(\mathbf{n}) + \left( \bar{\lambda}_2(\mathbf{n}) - \bar{\lambda}_2(\mathbf{n} - \hat{\mathbf{y}}) \right) \lambda_1(\mathbf{n}) \right. \\ \left. - \left( \bar{\lambda}_1(\mathbf{n}) - \bar{\lambda}_1(\mathbf{n} + \hat{\mathbf{y}}) \right) \lambda_2(\mathbf{n}) + \left( \bar{\lambda}_2(\mathbf{n}) - \bar{\lambda}_2(\mathbf{n} + \hat{\mathbf{x}}) \right) \lambda_2(\mathbf{n}) \right] + O(a)$$

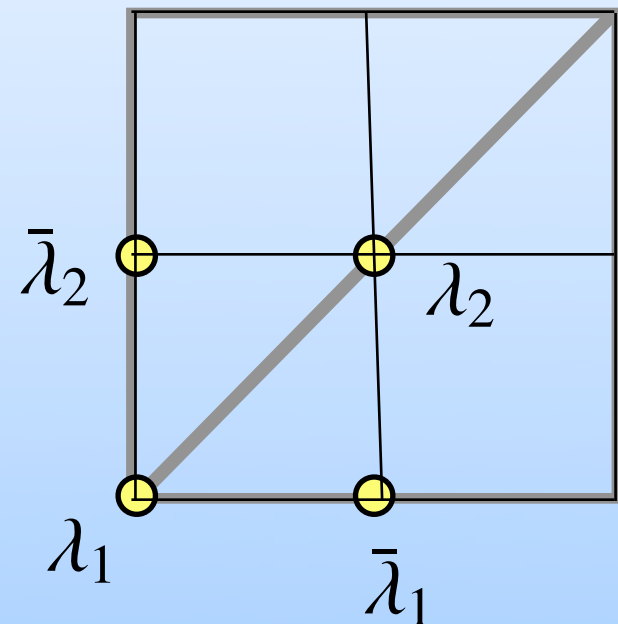
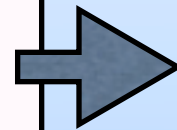
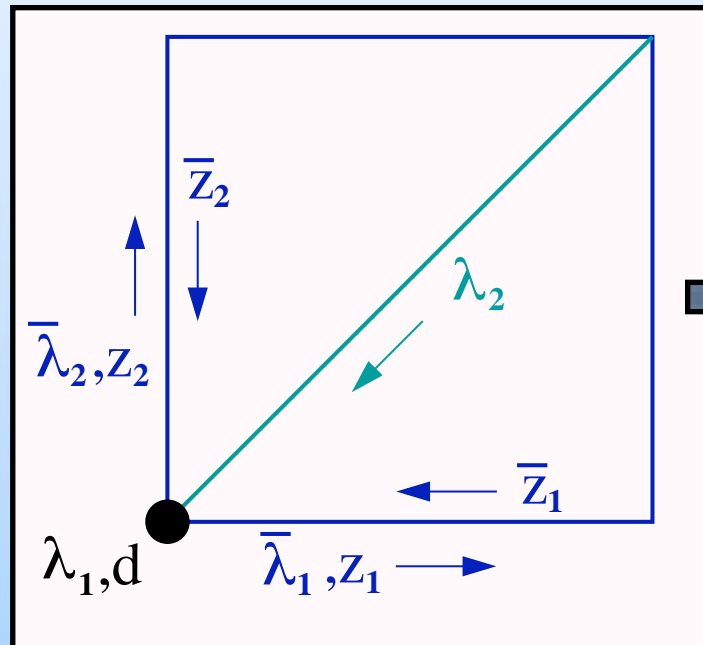
$$= \frac{1}{g^2} \sum_{\mathbf{p}} \begin{pmatrix} \bar{\lambda}_1(\mathbf{p}) & \bar{\lambda}_2(\mathbf{p}) \end{pmatrix} \boxed{iK(\mathbf{p})} \begin{pmatrix} \lambda_1(-\mathbf{p}) \\ \lambda_2(-\mathbf{p}) \end{pmatrix}$$

$$\boxed{K^\dagger K} = \left( \mathcal{P}_x^2 + \mathcal{P}_y^2 \right) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \mathcal{P}_i \equiv \frac{2}{a} \sin \frac{ap_i}{2}$$

$$\mathcal{P}_i \big|_{p_i = \pm \pi/a} \neq 0 \iff \text{No doublers.}$$

Have we invented a new type of fermion??

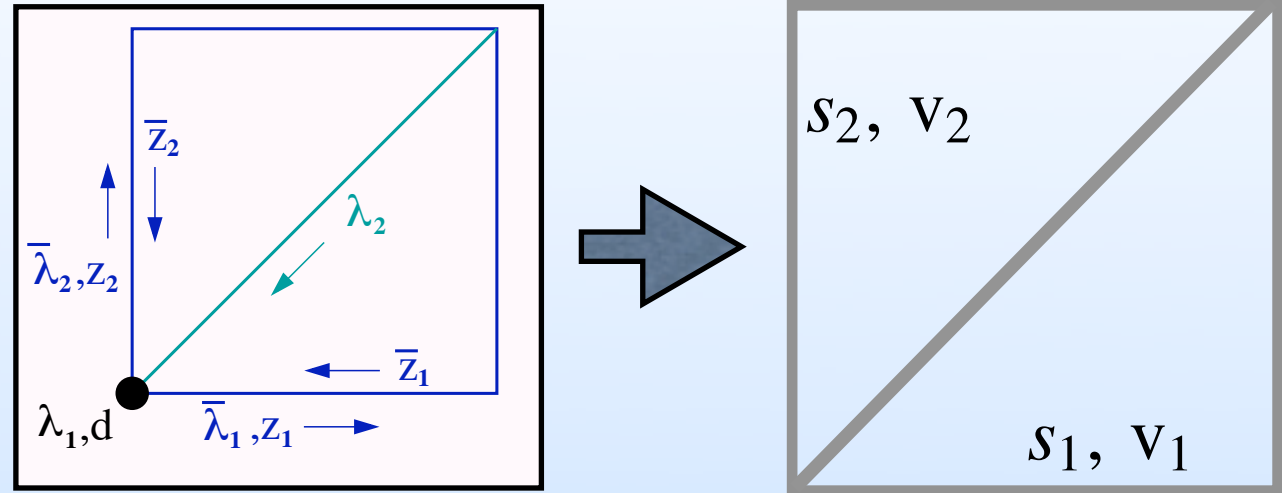
No, these are staggered fermions in disguise (“reduced staggered fermions”) on a lattice with spacing  $a/2$





# What about the bosons? Staggered scalars?

$$z_i(\mathbf{n}) = \frac{1}{\sqrt{2}} \left( \frac{1}{a} \mathbf{1}_k + s_i(\mathbf{n}) + i v_i(\mathbf{n}) \right)$$



$$\frac{1}{2g^2 a^2} \sum_{\mathbf{n}} \text{Tr} \left[ \left( s_{1,\mathbf{n}-\hat{x}} - s_{1,\mathbf{n}} + s_{2,\mathbf{n}-\hat{y}} - s_{2,\mathbf{n}} \right)^2 \right. \\ \left. + \left| \left( s_{1,\mathbf{n}+\hat{y}} - s_{1,\mathbf{n}} + s_{2,\mathbf{n}} - s_{2,\mathbf{n}+\hat{x}} \right) - i \left( v_{1,\mathbf{n}+\hat{y}} - v_{1,\mathbf{n}} - v_{2,\mathbf{n}+\hat{x}} + v_{2,\mathbf{n}} \right) \right|^2 \right]$$

# Bosonic action at quadratic order

A 2d example

The target

$$\rightarrow (\partial_1 s_1 + \partial_2 s_2)^2$$

$$\rightarrow (\partial_2 s_1 - \partial_1 s_2)^2$$

Lattice construction

$$\frac{1}{2g^2 a^2} \sum_{\mathbf{n}} \text{Tr} \left[ \left( s_{1,\mathbf{n}-\hat{x}} - s_{1,\mathbf{n}} + s_{2,\mathbf{n}-\hat{y}} - s_{2,\mathbf{n}} \right)^2 \right]$$

The lattice action

$$+ \left[ \left( s_{1,\mathbf{n}+\hat{y}} - s_{1,\mathbf{n}} + s_{2,\mathbf{n}} - s_{2,\mathbf{n}+\hat{x}} \right) - i \left( v_{1,\mathbf{n}+\hat{y}} - v_{1,\mathbf{n}} - v_{2,\mathbf{n}+\hat{x}} + v_{2,\mathbf{n}} \right) \right]^2$$

Dispersion relations

Lattice SUSY

Radiative corrections

$$= \frac{1}{2g^2} \sum_{\mathbf{n}} \text{Tr} \left[ \sum_{\hat{\mu}} \sum_{i=1,2} \left( \frac{s_{i,\mathbf{n}} - s_{i,\mathbf{n}-\hat{\mu}}}{a} \right)^2 + \left( \frac{v_{1,\mathbf{n}+\hat{y}} - v_{1,\mathbf{n}}}{a} - \frac{v_{2,\mathbf{n}+\hat{x}} - v_{2,\mathbf{n}}}{a} \right)^2 \right]$$

Other theories

$$\rightarrow (\partial_m s_1)^2 + (\partial_m s_2)^2$$

$$\rightarrow (\partial_2 v_1 - \partial_1 v_2)^2$$

# Bosonic dispersion relations:

A 2d example

The target

**Complex scalar**  $s = \frac{s_1 + i s_2}{\sqrt{2}}$

Lattice construction

$$\sum_{\mathbf{p}} s^*(\mathbf{p}) \left[ \mathcal{P}_x^2 + \mathcal{P}_y^2 \right] s(-\mathbf{p}) \quad \mathcal{P}_i \equiv \frac{2}{a} \sin \frac{a p_i}{2}$$

The lattice action

Dispersion relations

Same as fermions!

Lattice SUSY

**Gauge bosons:**  $\sum_{\mathbf{p}, m, n} v_m(\mathbf{p}) G(\mathbf{p})_{mn} v_n(-\mathbf{p})$

Radiative corrections

Other theories

$$G(\mathbf{p}) = \begin{pmatrix} \mathcal{P}_y^2 & -e^{-ia(p_x - p_y)} \mathcal{P}_x \mathcal{P}_y \\ -e^{ia(p_x - p_y)} \mathcal{P}_x \mathcal{P}_y & \mathcal{P}_x^2 \end{pmatrix} \xrightarrow{a \rightarrow 0} (\mathbf{p}^2 \delta_{ij} - p_i p_j)$$

Standard dispersion without gauge-fixing

Since no doublers, continuum limit is trivial to take

$$a \rightarrow 0, N \rightarrow \infty, g/a \rightarrow g_2 \text{ (fixed)}, Na \rightarrow L \text{ (fixed)}$$

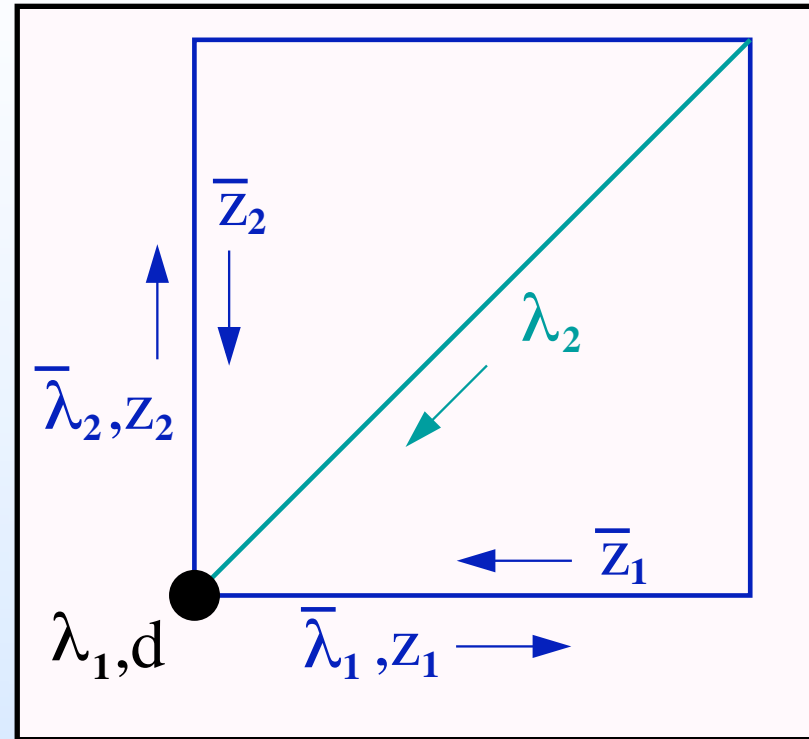
...and one finds the desired target theory

$$\mathcal{L} = \frac{1}{g_2^2} \text{Tr} \left( |D_m s|^2 + i \bar{\psi} \not{D} \psi + \frac{1}{4} V_{mn} V_{mn} \right. \\ \left. + i \sqrt{2} \left( \bar{\psi}_L [s, \psi_R] + \bar{\psi}_R [s^\dagger, \psi_L] \right) + \frac{1}{2} [s^\dagger, s]^2 \right)$$

## Is there any SUSY left on the lattice?

Yes! One supercharge  $Q$ .

$$\delta = i\eta Q$$

 $\eta =$  **Grassmann** parameter


$$\delta z_i(\mathbf{n}) = i\sqrt{2}\eta\bar{\lambda}_i(\mathbf{n})$$

$$\delta\lambda_1(\mathbf{n}) = -i\left[\bar{z}_1(\mathbf{n} - \hat{\mathbf{x}})z_1(\mathbf{n} - \hat{\mathbf{x}}) - z_1(\mathbf{n})\bar{z}_1(\mathbf{n}) + \bar{z}_2(\mathbf{n} - \hat{\mathbf{y}})z_2(\mathbf{n} - \hat{\mathbf{y}}) - z_2(\mathbf{n})\bar{z}_2(\mathbf{n}) + id(\mathbf{n})\right]\eta$$

$$\delta\lambda_2(\mathbf{n}) = 2i\left[\bar{z}_1(\mathbf{n} + \hat{\mathbf{y}})\bar{z}_2(\mathbf{n}) - \bar{z}_2(\mathbf{n} + \hat{\mathbf{x}})\bar{z}_1(\mathbf{n})\right]\eta$$

$$\delta\bar{z}_i(\mathbf{n}) = 0$$

$$\delta\bar{\lambda}_i(\mathbf{n}) = 0$$

(before shifting vev)

A 2d example

Analyzing theory is simplified by introducing superfields in terms of **Grassmann** coordinate  $\theta$ , with  $Q = \partial/\partial\theta$

The target

Lattice construction

$$\mathbf{Z}_{1\mathbf{n}} = z_1(\mathbf{n}) + \sqrt{2} \theta \bar{\lambda}_1(\mathbf{n})$$

The lattice action

$$\mathbf{Z}_{2\mathbf{n}} = z_2(\mathbf{n}) + \sqrt{2} \theta \bar{\lambda}_2(\mathbf{n})$$

Dispersion relations

$$\Lambda_{\mathbf{n}} = \lambda_1(\mathbf{n})$$

Lattice SUSY

$$- \left[ \bar{z}_1(\mathbf{n} - \hat{\mathbf{x}}) z_1(\mathbf{n} - \hat{\mathbf{x}}) - z_1(\mathbf{n}) \bar{z}_1(\mathbf{n}) + \bar{z}_2(\mathbf{n} - \hat{\mathbf{y}}) z_2(\mathbf{n} - \hat{\mathbf{y}}) - z_2(\mathbf{n}) \bar{z}_2(\mathbf{n}) + id(\mathbf{n}) \right] \theta$$

Radiative corrections

$$\Xi_{\mathbf{n}} = \xi_{\mathbf{n}} + 2 (\bar{z}_1(\mathbf{n} + \hat{\mathbf{y}}) \bar{z}_2(\mathbf{n}) - \bar{z}_2(\mathbf{n} + \hat{\mathbf{x}}) \bar{z}_1(\mathbf{n})) \theta$$

Other theories

The lattice action may be written in manifestly supersymmetric form using these superfields.

## Have found so far:

- Can construct a 2d lattice action which reproduces the (2,2) SUSY YM action in the continuum limit at tree level (*including the desired  $U(1)$  chiral R-symmetry*)
- The lattice action possesses one exact supercharge, which can be made manifest by writing in terms of superfields
- Fermions are realized as “reduced staggered fermions” : one Dirac flavor on a 2d lattice (*more on this later!*)
- Scalars appear as link variables

## Symanzik action and renormalization

Is the continuum limit of this lattice action spoiled by renormalization??

The target

Lattice construction

The lattice action

Dispersion relations

Lattice SUSY

Radiative corrections

Other theories

- (i) Construct the Symanzik action: shift the vevs of the bosons by  $(1/\sqrt{2}a)\mathbf{1}_k$
- (ii) Expand the action for smooth superfields in powers of  $a$ .
- (iii) Include all operators allowed by the exact symmetries (SUSY, lattice reflection, discrete translation) with coefficients known at tree level
- (iv) Consider loop corrections to coefficients
- (v) Watch out for: relevant operators consistent with lattice symmetry, but not target theory symmetry.



Symanzik action: ( $O$ =operator,  $C_O$  = coefficient)

mass dimension:  $0 = (-2) + (1/2) + (-2) + (7/2 - p) + p$

$$S = \frac{1}{g_2^2} \int d\theta \int d^2x \sum_O C_O O$$

$$\dim \int d\theta = \frac{1}{2} \text{ because } \int d\theta \sim \partial_\theta \sim Q \sim \sqrt{P}$$

If  $O$  has  $\dim = p$ ,  $C_O$  must have dimension  $(7/2 - p)$

Radiative corrections at  $l$ -loops

The target

Lattice  
constructionThe lattice  
actionDispersion  
relations

Lattice SUSY

Radiative  
corrections

Other theories

$$\delta S = \frac{1}{g_2^2} \int d^2 x \sum_O \delta C_O O$$

An  $l$ -loop contribution will be proportional to  $(g_2^2)^l$

$$\delta C_O = c_\ell a^{p-7/2} (g_2^2 a^2)^\ell$$

- (i)  $c_l$  is dimensionless, can only depend on  $a$  logarithmically
- (ii) radiative correction is “bad” if  $O$  is “bad” and  $C_O$  doesn’t vanish when  $a$  vanishes
- (iii) So: potential problem only for  $p \leq (7/2 - 2l)$

\*  $p$  = mass dimension of  $O$

A 2d example

Problem if  $p \leq (7/2 - 2l)$  and  $O$  violates symmetries of the target theory

- $l=0$ : No problem, tree level theory is good
- $l=1$ : Only a potential problem for  $p \leq 3/2$
- $l \geq 2$ : never a problem ( $p$  can't be negative)

Conclusion: only a problem if we can construct a bad operator  $O$  with dimension  $p \leq 3/2$ , consistent with the symmetries of the lattice.

**One finds there is no such operator, so no fine tuning is necessary in this theory!**

# Other SUSY lattices

The target

Lattice  
construction

The lattice  
action

Dispersion  
relations

Lattice SUSY

Radiative  
corrections

Other theories

- For target theory with  $Q$  supercharges in  $d$  dimensions, lattice has  $Q/2^d$  exact SUSY charges. Want  $Q/2^d > 0$ .
- Can construct lattices for pure Super Yang-Mills target theories with
  - 4 supercharges in  $d=1,2$
  - 8 supercharges in  $d=1,2,3$
  - 16 supercharges in  $d=1,2,3,4$
- Can construct lattices for SYM with 4 supercharges & matter fields in  $d=2$ .

# Example: target = SYM with 16 supercharges

A 2d example

The target  
Lattice  
construction

The lattice  
action

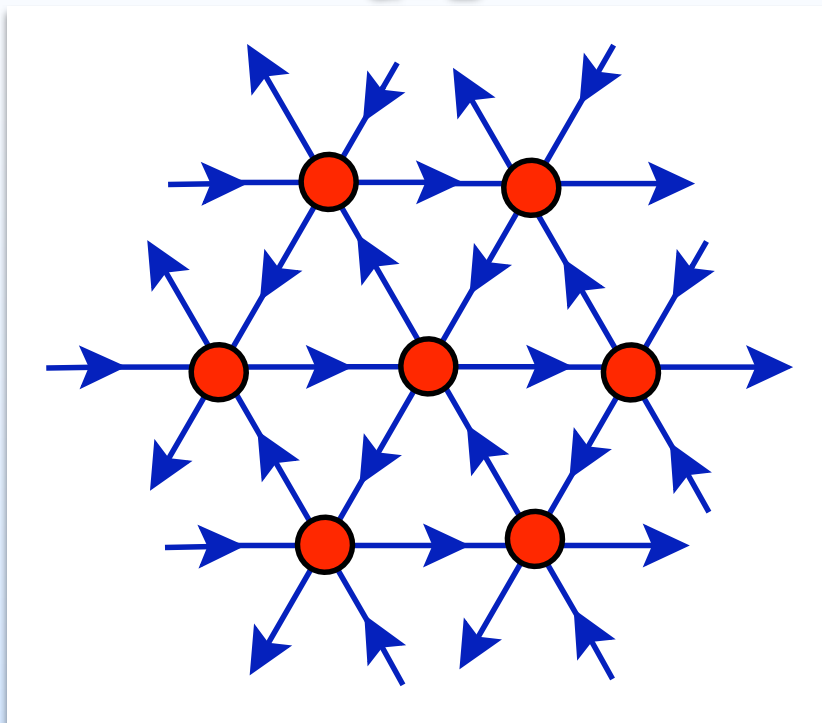
Dispersion  
relations

Lattice SUSY

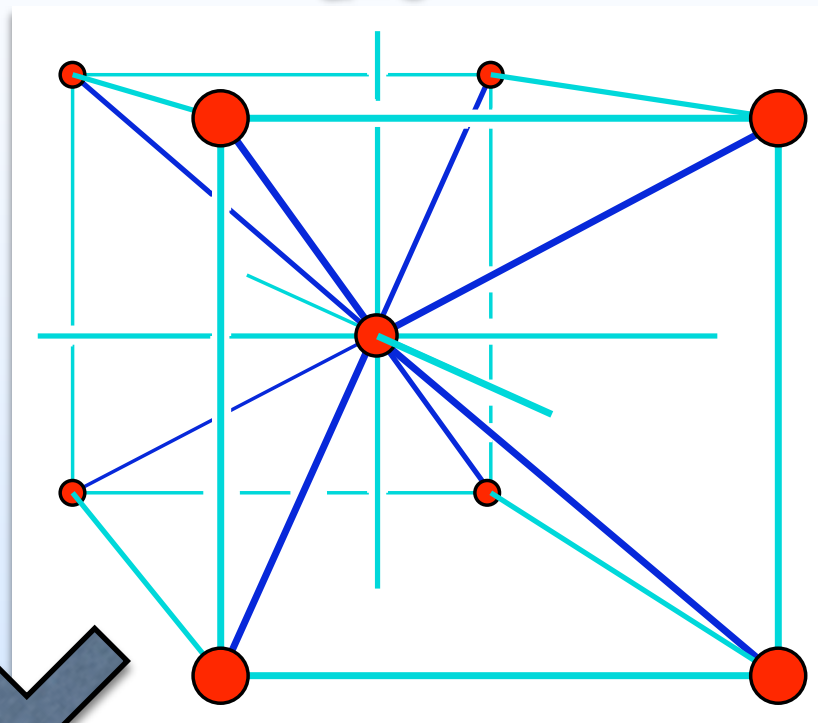
Radiative  
corrections

Other theories

$d=2$



$d=3$



**Site: 2 fermions, 2 real bosons**

**Light blue link: 2 fermions**

**Dark blue link: 2 real bosons, 2 fermions**

Kaplan and Unsal, hep-lat/0503039

## These lattices are pretty, but:

- Most probably have a fermion sign problem
- Only a limited number of theories can be constructed this way (eg, we cannot use these methods to construct a lattice for SUSY QCD with  $N_f$  flavors of quarks in  $d=4$  dimensions)
- Analysis of fine-tuning problem not powerful enough to address renormalization of marginal operators in the  $N=4$  SUSY theory in  $d=4$  dimensions
- The orbifold technique: only good for gauge theories?

This technique does not seem like the last word.

Next lecture: return to the fermions for hints on how to extend these lattice constructions.

# Part VII

## Fermions on the SUSY lattice

- Open questions
- Reduced staggered fermions
- Dirac-Kahler fermions
- “Twisted” supersymmetry

We have learned interesting things about SUSY lattices:

- How SUSY can be realized in terms of component fields on the lattice
- How chiral R-symmetries can emerge in the continuum
- How scalars can appear nontrivially on the lattice
- How there are limitations on what sort of SUSY lattices can be constructed.



## But we would like to know more:

- What is the connection to the “Twisted lattice SUSY” approach by Catterall?
- Can we broaden the class of SUSY lattices? (E.g., fewer supercharges, more matter fields)
- Can we use chiral fermion formulations to allow for fewer fermions in non-adjoint representations (eg, for SUSY QCD in  $d=4$ )
- Might the renormalization properties be better than expected?

I don't have the answers.

But it seems that progress might be made by understanding better the connection between lattice SUSY and staggered fermions.

Consider staggered fermions in **d=2**, as  
conceived of by Susskind

Dirac action:  $\bar{\psi} \gamma_{\mu} \partial_{\mu} \psi$

$\psi$  is a 2-component Dirac fermion

Naive discretization:

$$S = \frac{1}{2a} \sum_{\mu} \bar{\psi}(\mathbf{n}) \gamma_{\mu} (\psi(\mathbf{n} + \hat{\mu}) - \psi(\mathbf{n} - \hat{\mu}))$$

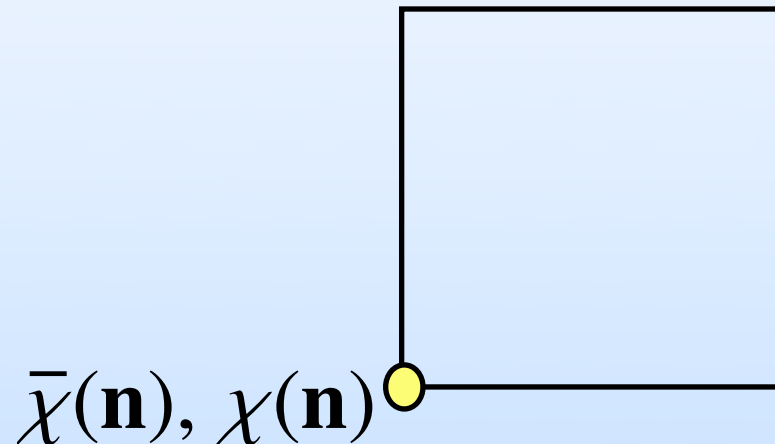
Define:  $\psi(\mathbf{n}) = \gamma_2^{n_2} \gamma_1^{n_1} \chi(\mathbf{n})$

$$\bar{\psi}(\mathbf{n}) = \bar{\chi}(\mathbf{n}) \gamma_1^{n_1} \gamma_2^{n_2}$$

## Action becomes:

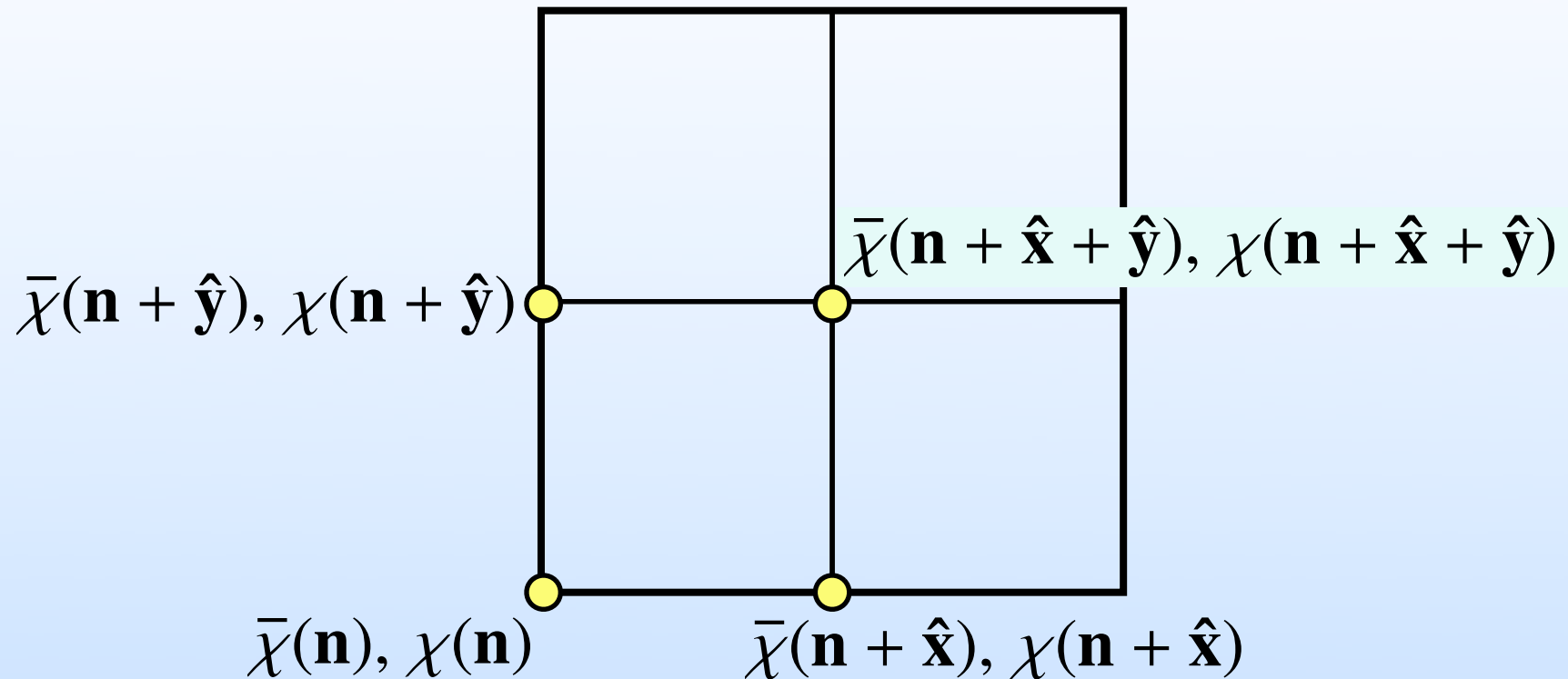
$$S = \sum_{\mathbf{n}} \bar{\chi}(\mathbf{n}) [(-1)^{n_2} (\chi(\mathbf{n} + \hat{\mathbf{x}}) - \chi(\mathbf{n} - \hat{\mathbf{x}})) + (\chi(\mathbf{n} + \hat{\mathbf{y}}) - \chi(\mathbf{n} - \hat{\mathbf{y}}))]$$

Note: no more  $\gamma$  structure left. Can make  $\chi$  into a one-component fermion.



Naive action had 4 Dirac fermions in the continuum; this will have 2 Dirac fermions (4x each 1-component fermion  $\bar{\chi}, \chi$ )

To make the doubling explicit, go to a lattice twice as coarse:



Now there is no doubling, and you see all 8 degrees of freedom explicitly

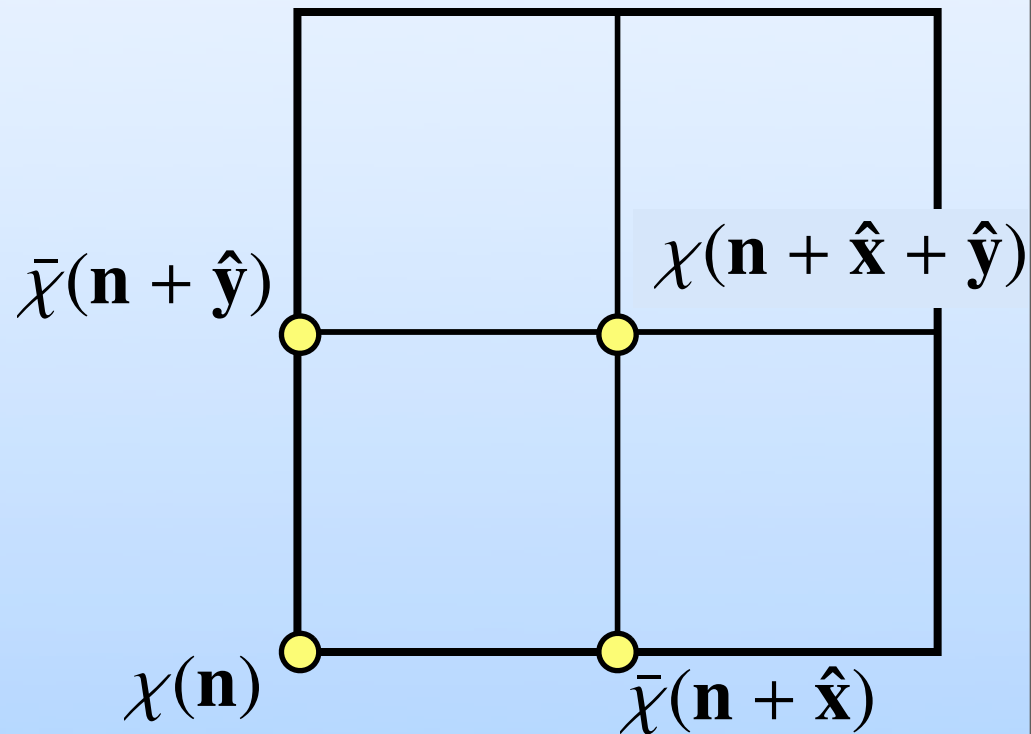
Next: note that in action  $\bar{\chi}$  on odd sites only interacts with  $\chi$  on even sites, & converse. So only keep odd site  $\bar{\chi}$  and even site  $\chi$ .

Eliminate:

$$\bar{\chi}(\mathbf{n}), \bar{\chi}(\mathbf{n} + \hat{\mathbf{x}} + \hat{\mathbf{y}})$$

$$\chi(\mathbf{n} + \hat{\mathbf{x}}), \chi(\mathbf{n} + \hat{\mathbf{y}})$$

Now only get one  
2-component Dirac  
fermion in the  
continuum...just  
like our SUSY  
lattice



To make identification obvious –

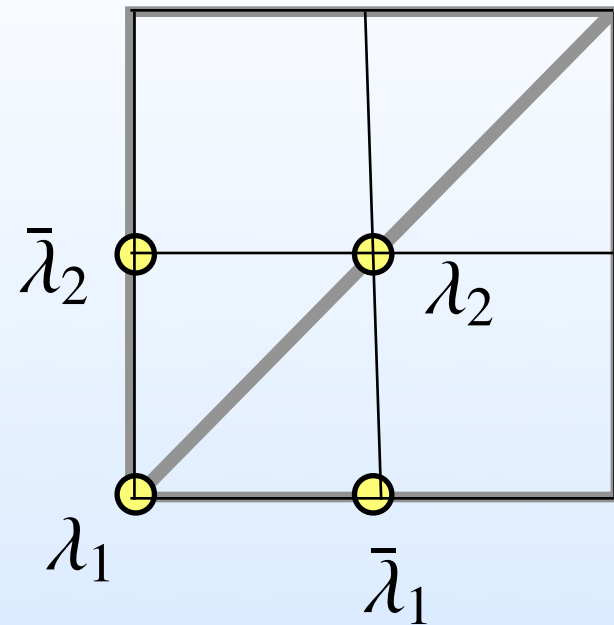
Rename:

$$\chi(\mathbf{n}) \rightarrow \lambda_1(\mathbf{n})$$

$$\chi(\mathbf{n} + \hat{\mathbf{x}} + \hat{\mathbf{y}}) \rightarrow \lambda_2(\mathbf{n})$$

$$\bar{\chi}(\mathbf{n} + \hat{\mathbf{x}}) \rightarrow \bar{\lambda}_1(\mathbf{n})$$

$$\bar{\chi}(\mathbf{n} + \hat{\mathbf{y}}) \rightarrow \bar{\lambda}_2(\mathbf{n})$$



In terms of these variables, the Susskind action

$$S = \sum_{\mathbf{n}} \bar{\chi}(\mathbf{n}) [(-1)^{n_2} (\chi(\mathbf{n} + \hat{\mathbf{x}}) - \chi(\mathbf{n} - \hat{\mathbf{x}})) + (\chi(\mathbf{n} + \hat{\mathbf{y}}) - \chi(\mathbf{n} - \hat{\mathbf{y}}))]$$

becomes equivalent to our free fermion lattice action (up to unimportant overall sign)

# The connection between staggered fermions and geometry

Open  
questions

Reduced  
staggered  
fermions

Dirac-Kahler  
fermions

“Twisted”  
SUSY

$d=2$  dimensions: the Euclidian “Lorentz” group =  $SO(2)$ .

The flavor symmetry of a single Dirac fermion is also  $U(1)=SO(2)$ .

Somehow the reduced staggered fermion scrambles up this  $SO(2) \times SO(2)$  symmetry, but the derivation makes it hard to see.

Much clearer in the equivalent Dirac-Kahler formulation (Kahler 1962; Rabin 1981; Becher & Joos 1982)



*Disclaimer: For the rest of this section, be wary of signs & numerical factors!*

A quick summary of p-forms, useful for describing totally anti-symmetric tensors in a geometric context:

$$F = f + f_\mu dx_\mu + \frac{1}{2!} f_{[\mu\nu]} dx_\mu \wedge dx_\nu + \dots$$

0-form      1-form      2-form    +...

All f's are functions of x. Two types of differential operators:

$$dF = \partial_\mu f dx_\mu + \frac{1}{2!} \partial_\mu f_\nu dx_\mu \wedge dx_\nu + \dots$$

curl:  $p \rightarrow p+1$

$$\delta F = \partial_\mu f_\mu + \partial_\mu f_{[\mu\nu]} dx_\nu + \dots$$

div:  $p \rightarrow p-1$

The Dirac equation can be formulated in terms of p-forms, for the right number of flavors (Kahler)

Example:  $d=2$ , 2 flavors of Dirac fermion.

Write as a  $2 \times 2$  matrix, and then expand in the gamma matrix basis:

$$\Psi_{\alpha i} = \left[ \psi + \psi_{\mu} \gamma_{\mu} + \frac{1}{2} \psi_{[12]} (\gamma_1 \gamma_2 - \gamma_2 \gamma_1) \right]_{\alpha i}$$

👉 Under  $SO(2)_L \times U(2)_f$  symmetry, the fermion transforms as  $\Psi \rightarrow \Lambda \Psi U^{\dagger}$

👉 The components  $\psi$ ,  $\psi_{\mu}$ ,  $\psi_{[\mu\nu]}$  transform as **tensors** under the diagonal subgroup

$$SO(2) \subset SO(2)_L \times U(2)_f$$

Now that the fermions are classified as tensors, instead of spinors, they have a natural geometric interpretation when latticizing them:

$\psi$     0-forms     $\Rightarrow$     sites

$\psi_\mu$     1-forms     $\Rightarrow$     links

$\psi_{[\mu\nu]}$     2-forms     $\Rightarrow$     plaquettes

Furthermore, the  $d$  and  $\delta$  operations have natural interpretations as lattice difference operators.

Latticized Dirac-Kahler fermions are equivalent to staggered fermions.

One can produce *reduced* staggered fermions from Dirac-Kahler for real representations (like adjoints)

This formulation makes it clear that staggered fermions have a well defined geometric significance, and that the point group of the lattice lies in a nontrivial subgroup of (Lorentz x Flavor), as we have seen in our SUSY lattices.

In supersymmetry, the supercharges are spinors. Like fermions, they do not have any natural geometric interpretation.

Kahler trick: Classify supercharges as antisymmetric tensors under Lorentz x R-symmetry

$$\{Q, Q_\mu, Q_{[\mu\nu]}, \dots\}$$

For a large number of supercharges, there could be multiple copies.

*Called “twisted supersymmetry”*

Now both fermions and bosons can be given geometric meaning and assigned roles on a lattice:

Open  
questions

0 index tensors  sites

Reduced  
staggered  
fermions

1 index tensors  links

Dirac-Kahler  
fermions

2 index tensors  plaquettes

“Twisted”  
SUSY

Only the 0-index supercharges (located at sites) are unbroken by the latticization, since only they interchange bosons and fermions at the same place on the lattice.

Using “twisted SUSY” to construct SUSY lattices has been pioneered by Simon Catterall.

The resulting lattices for SYM have been shown by Unsal to be equivalent to those produced via orbifolding.

This approach naturally leads to staggered fermions. Is there either a generalization or alternative that leads to overlap/domain wall fermions? Not known.

# Part VIII

Some idle thoughts about lattice supergravity

- Staggered gravitinos?
- A lattice for vierbeins?
- Where in the world does this lattice live??



# Can we use our technology to construct lattice supergravity?

Motivation

The wishful thinking:

Staggered  
gravitinos?

\* Lattice gravity is very confusing!

Lattice  
vierbeins?

\* We have machinery for creating supersymmetric lattices; link length is dynamical

Where in the  
world...?

\* Perhaps without thinking much we can construct a supersymmetric lattice with a spin  $3/2$  fermion?

\* Supersymmetric partner will then be a graviton

\* Gravity without tears!?

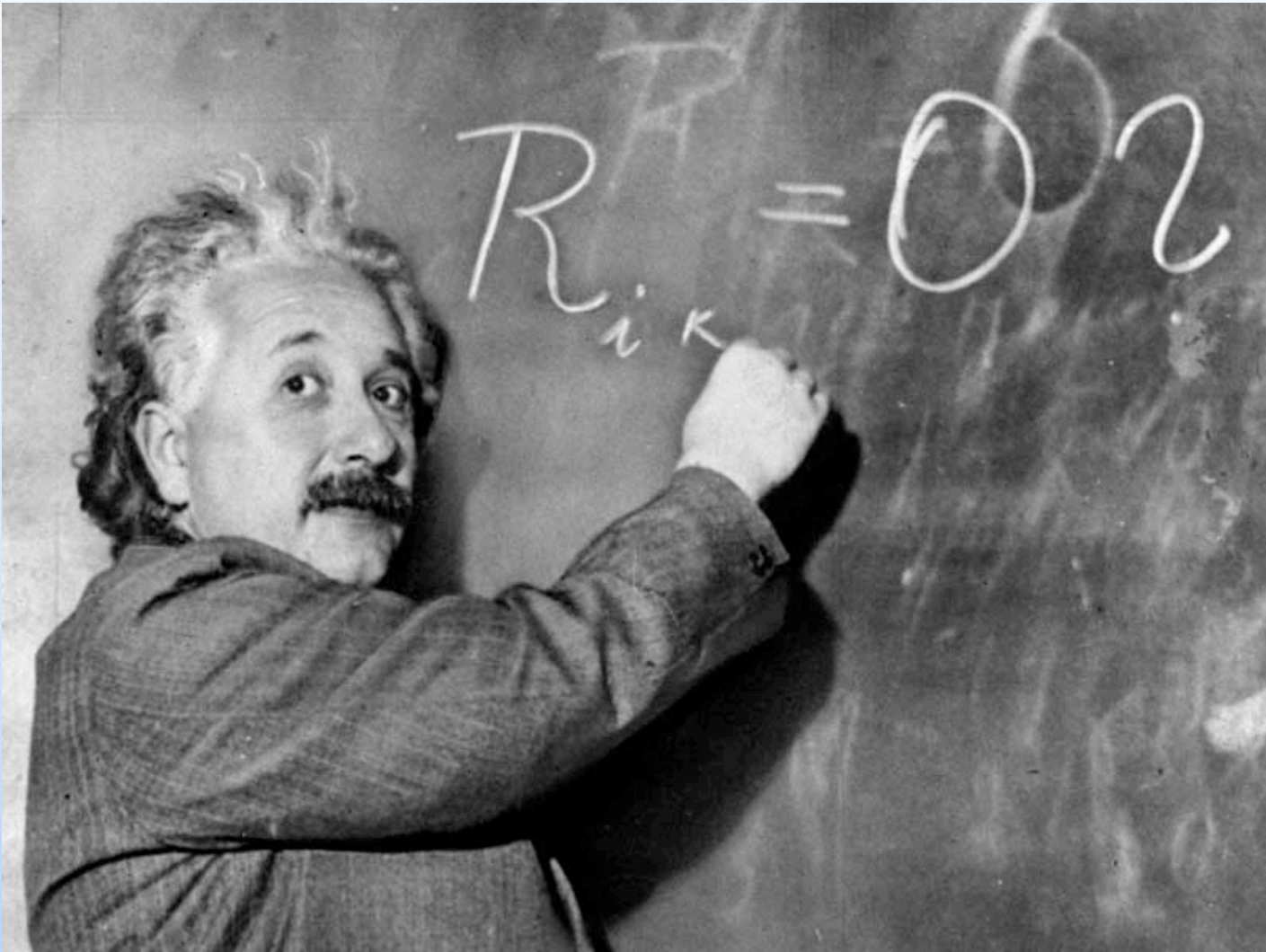
# Then:

Motivation

Staggered  
gravitinos?

Lattice  
vierbeins?

Where in the  
world...?



Now:

Motivation

Staggered  
gravitinos?

Lattice  
vierbeins?

Where in the  
world...?



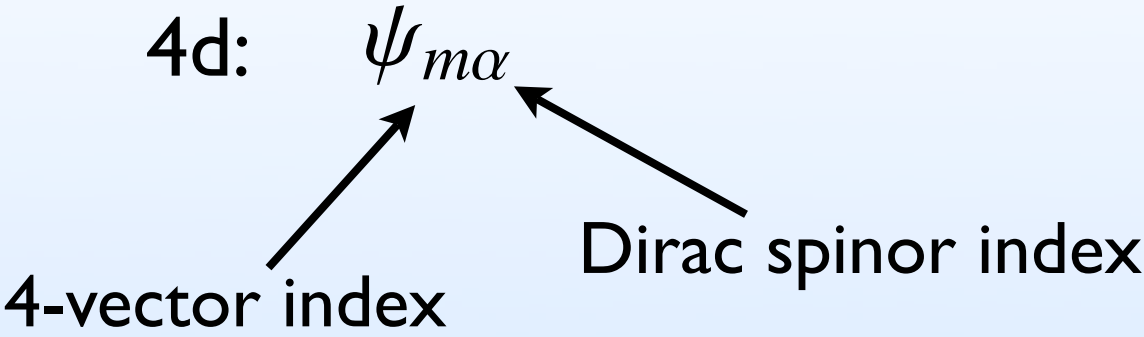
# Step I: Can we construct staggered Rarita-Schwinger (spin 3/2) fermions?

Motivation

Staggered gravitinos?

Lattice vierbeins?

Where in the world...?



Continuum action:  $\epsilon_{mnpq} \psi_m^T C \gamma_n \gamma_5 \partial_p \psi_q$

Gauge freedom:  $\psi_m \rightarrow \psi_m + \partial_m \chi$

$\chi$  = arbitrary Majorana spinor

## Can naively discretize:

$$\epsilon_{mnpq} \psi_m^T C \gamma_n \gamma_5 \partial_p \psi_q$$

Motivation

$$\rightarrow \frac{1}{2a} \epsilon_{mnpq} \psi_m^T(\mathbf{n}) C \gamma_n \gamma_5 \left( \psi_q(\mathbf{n} + \hat{\mathbf{e}}_p) - \psi_q(\mathbf{n} - \hat{\mathbf{e}}_p) \right)$$

Staggered  
gravitinos?

*Exact fermionic gauge symmetry is preserved.*

Lattice  
vierbeins?Where in the  
world...?

Next, perform the analog of Susskind spin diagonalization:

$$\psi_m(\mathbf{n}) = \gamma_m \left( \gamma_1^{n_1} \cdots \gamma_4^{n_4} \right) \lambda_m(\mathbf{n})$$

Allows one to reduce number of modes to 1/4

*Looks like staggered fermion, but with vector index, different phases.*

*With reduced staggered gravitinos, left with a minimum of 2 gravitinos on the lattice...appropriate for N=2 SUGRA*

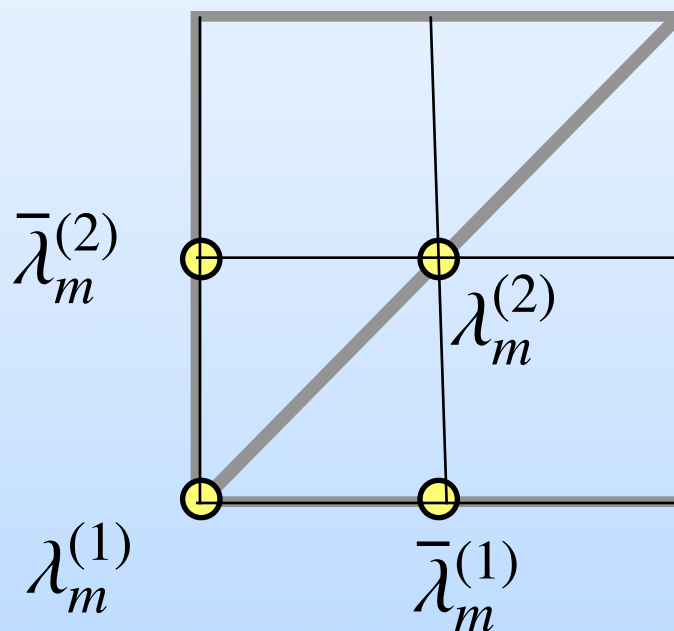
Motivation

Staggered gravitinos?

Lattice vierbeins?

M. Endres, D.K.

As a first step, we considered instead 4 supercharge SUGRA in 2d. Get a familiar lattice:



In SUGRA, partner of the gravitino is the vierbein (square root of the metric)  $e_{am}$

Motivation

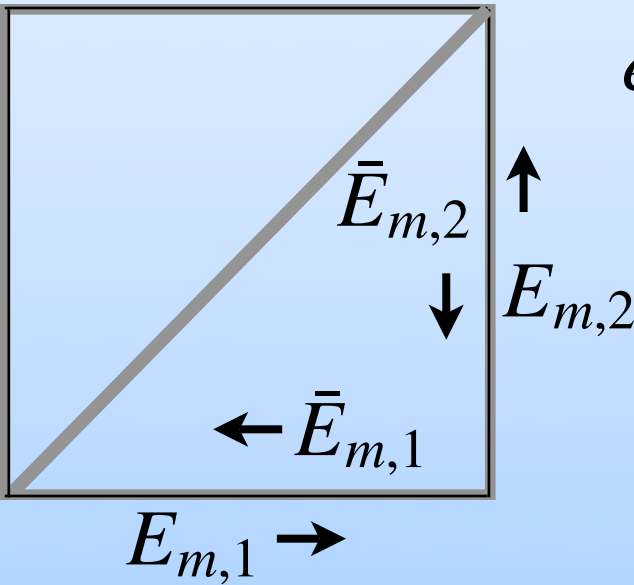
$$e_{am}e_n^a = g_{mn} \ , \quad e_{am}e_b^m = \eta_{ab}$$

Staggered gravitinos?

“a” is a flat space index, and knows about Lorentz  $SO(4)$ ; “m” is a curved space index and does not. Using  $SO(4)$ , can assign the vierbein to the lattice as well:

Lattice vierbeins?

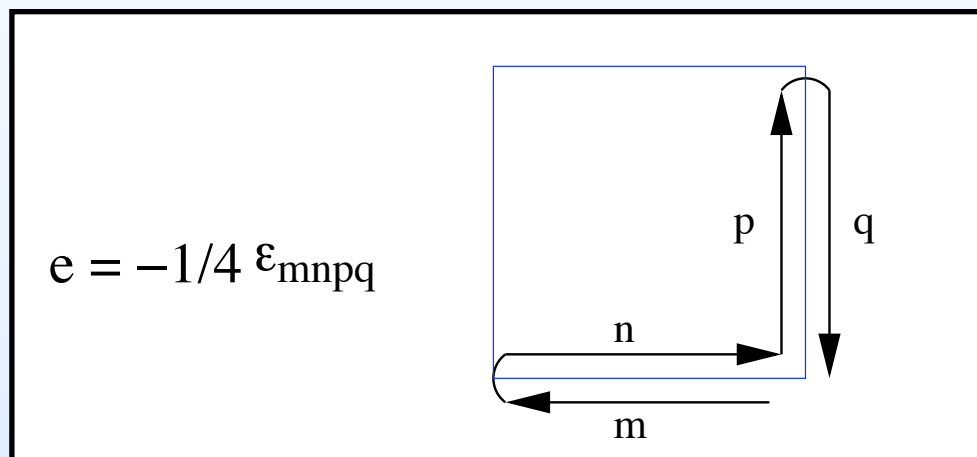
Where in the world...?



$$e_{m,\alpha\dot{\beta}} \equiv e_m^a \sigma_{a,\alpha\dot{\alpha}} \equiv \begin{pmatrix} E_{m,1} & E_{m,2} \\ -\bar{E}_{m,2} & \bar{E}_{m,1} \end{pmatrix}$$

SUGRA also needs things like  $e = \det(e_{ma})$ ,  $e^{-1} = e^m_a$ ; those also have simple forms on the lattice. For example:

Motivation

Staggered  
gravitinos?Lattice  
vierbeins?Where in the  
world...?

The rest of the SUGRA multiplet can be similarly represented on the lattice.



The problem we couldn't hide from:

Our lattice knew all about flat space indices and the local Lorentz group, but curved space indices had no role.

Couldn't figure out what space the lattice represented!  
How to define covariant derivatives!



Motivation

Staggered  
gravitinos?

Lattice  
vierbeins?

Where in the  
world...?

Motivation

Staggered  
gravitinos?

Lattice  
vierbeins?

Where in the  
world...?

Attempts to put Supergravity on the lattice has both its encouraging and discouraging features.

Perhaps it's stupid, or perhaps it is just waiting for the next good idea...

# Conclusions:

- Supersymmetry is a fascinating symmetry, and for at least a few theories we know now how to define a nonperturbative lattice regulator.
- Perhaps some of these lattices will some day be numerically tractable; perhaps they will some day be of use for better understanding field theoretical descriptions of quantum gravity
- Is a supergravity lattice theory possible to construct? Maybe: currently a mix of encouraging and discouraging results.
- More structure here to be discovered? It would seem so.

Many thanks to my collaborators on lattice supersymmetry (in alphabetical order):

- A. Cohen
- M. Endres
- E. Katz
- M. Unsal

