The single-particle Green's function in action: a pedagogical example

G. F. Bertsch

Department of Physics and Institute of Nuclear Theory, Box 351560 University of Washington, Seattle, Washington 98915, USA

PACS numbers:

A. Introduction

Many of us are familiar with the time-ordered Green's functions as a formal tool in many-particle quantum mechanics. But for practical applications it is very helpful to have a transparent example that illustrates how it works. To that end, this note presents the Green's function algebra going with a simple Hamiltonian. The Hamiltonian contains 4 orbitals and has one- and two-body terms

$$\hat{H} = \hat{H}_1 + \hat{H}_2 \tag{1}$$

where

$$\hat{H}_1 = \sum_{i=1}^4 \varepsilon_i \hat{n}_i \tag{2}$$

and

$$\hat{H}_2 = v(\hat{a}_3^{\dagger} \hat{a}_4^{\dagger} \hat{a}_2 \hat{a}_1 + \text{h.c.}).$$
(3)

The single-particle orbital energies in \hat{H}_1 are set to

$$\varepsilon_i = -\varepsilon/2, \quad i = 1, 2 \tag{4}$$
$$= \varepsilon/2, \quad i = 3, 4.$$

In this note we focus on the particle-removal Green's function G^h but we also make the connection with the particle-addition Green;s function G^p .

B. General

The Green's function is to be calculated for operator expectation values in the two-particle ground state $|gs\rangle$. The ground state wave function is obtained by diagonalizing the Hamiltonian

$$\begin{bmatrix} -\varepsilon & v \\ v & \varepsilon \end{bmatrix}$$
(5)

The lower-energy eigenfunction is

$$|\mathrm{gs}\rangle = \alpha \; \hat{a}_1^{\dagger} \hat{a}_2^{\dagger} |\rangle + \beta \; \hat{a}_3^{\dagger} \hat{a}_4^{\dagger} |\rangle \tag{6}$$

where the amplitudes α, β are given by

$$\alpha = \left(\varepsilon + \sqrt{\varepsilon^2 + v^2}\right) \mathcal{N}$$

$$\beta = -v\mathcal{N};$$
(7)

the normalization factor \mathcal{N} is

$$\mathcal{N} = \left((\varepsilon + \sqrt{\varepsilon^2 + v^2})^2 + v^2 \right)^{-1/2}.$$
 (8)

The energy of ground state is

$$E_{\rm gs} = -\sqrt{\varepsilon^2 + v^2}.\tag{9}$$

The particle-removal Green's function for an orbital \boldsymbol{k} is defined as

$$G_{kk}^{h}(\tau) = i \langle \mathrm{gs} | \hat{a}_{k}^{\dagger}(\tau) \hat{a}_{k} | \mathrm{gs} \rangle = i \langle \mathrm{gs} | e^{i\hat{H}\tau} \hat{a}_{k}^{\dagger} e^{-i\hat{H}\tau} a_{k} | \mathrm{gs} \rangle$$
(10)

for $\tau > 0$. It is set to zero if $\tau < 0$.

To evaluate the Green's function G_{11}^h we make the following substitutions:

$$\begin{aligned} \hat{a}_{1}|\mathrm{gs}\rangle &\to \alpha \hat{a}_{2}^{\dagger}|\rangle \\ e^{-i\hat{H}\tau} \hat{a}_{2}^{\dagger}|\rangle &\to e^{-i(-\varepsilon/2)\tau} \hat{a}_{2}^{\dagger}|\rangle \\ \langle \mathrm{gs}|e^{i\hat{H}\tau} \to e^{iE_{\mathrm{gs}}\tau} \langle \mathrm{gs}| \\ \langle \mathrm{gs}|\hat{a}_{1}^{\dagger} \to \alpha \langle |\hat{a}_{2}^{\dagger} \end{aligned}$$

The result is

$$G_{11}^h(\tau) = i\alpha^2 e^{i(\varepsilon/2 - \sqrt{\varepsilon^2 + v^2})\tau}.$$
(11)

The Table below collects the results for several Green's functions, including this one and G_{33}^h , derived in the same way. Other removal Green's functions are $G_{22}^h = G_{11}^h$ and $G_{44}^h = G_{33}^h$, as is obvious from the symmetry of the Hamiltonian.

	G(au)	$G(\omega)$
G_{11}^{h}	$i\alpha^2 e^{i(\varepsilon/2 - \sqrt{\varepsilon^2 + v^2})\tau}$	$-\alpha^2/(\omega+\varepsilon/2-\sqrt{e^2+v^2}+i0^+)$
G_{33}^{h}	$i\beta^2 e^{i(-\varepsilon/2-\sqrt{\varepsilon^2+v^2})\tau}$	$-\beta^2/(\omega-\varepsilon/2-\sqrt{\varepsilon^2+v^2}+i0^+)$
G_{11}^{p}	$i\beta^2 e^{i(-\varepsilon/2 - \sqrt{\varepsilon^2 + v^2})\tau}$	$-\beta^2/(\omega-\varepsilon/2-\sqrt{\varepsilon^2+v^2}+i0^+))$
G_{33}^{p}	$i\alpha^2 e^{i(\varepsilon/2 - \sqrt{\varepsilon^2 + v^2})\tau}$	$-\alpha^2/(omegae + \varepsilon/2 - \sqrt{\varepsilon^2 + v^2} + i0^+))$

The first property to be noted is that G_{kk}^h at $\tau = 0^+$ gives the occupation probabilities of the orbitals n_k ,

$$n_k = -iG_{kk}^h(0^+) = \langle gs|\hat{a}_k^\dagger \hat{a}_k | gs \rangle = \langle gs|\hat{n}_k | gs \rangle \quad (12)$$

The total particle number N is obtained by summing over k,

$$N = \sum_{k} \langle \mathrm{gs} | \hat{n}_{k} | \mathrm{gs} \rangle = -i \sum_{k} G^{h}_{kk}(0^{+}).$$
 (13)

It is trivial to verify these relations for the Hamilonian in Eq. (1-4) so we skip the details.

A less trivial application of single-particle Green's functions concerns the energy of the system. To derive an energy formula we start with the equation of motion for operators in the Heisenberg representation,

$$\frac{d}{d\tau}\hat{O} = i[\hat{H}, \hat{O}]. \tag{14}$$

Application of this to the Green's function G_{kk}^h yields the equation

$$\frac{d}{d\tau}G^{h}_{kk}|_{0^{+}} = -\langle \mathrm{gs}|[\hat{H}, \hat{a}^{\dagger}_{k}]\hat{a}_{k}|\mathrm{gs}\rangle.$$
(15)

We now have to distinguish between the one-body and the two-body parts of the Hamiltonian. For the onebody part $\sum_k [\hat{H}_1, \hat{a}_k^{\dagger}] \hat{a}_k = \hat{H}_1$ but the sum for the two-body part includes each interaction term twice: $\sum_k [\hat{H}_2, \hat{a}_k^{\dagger}] \hat{a}_k = 2\hat{H}_2$. Thus the derivative of the Green's function at $\tau = 0^+$ is

$$\frac{d}{d\tau} \sum_{k} G_{kk}^{h}|_{0^{+}} = -\langle \mathrm{gs}|\hat{H}_{1}|\mathrm{gs}\rangle - 2\langle \mathrm{gs}|\hat{H}_{2}|\mathrm{gs}\rangle.$$
(16)

This relationship was originally derived by Galitskii and Migdal [1] and reformulated for nuclear binding energies by Koltun [2]. Note that additional information is needed to get the total energy $E_{gs} = \langle gs | \hat{H}_1 | gs \rangle + \langle gs | \hat{H}_2 | gs \rangle$ from Eq. (16); normally one relies on experiment or microscopic theory to estimate the first term. An example of the application to nuclear physics may be found in Refs. [3, 4] and an application to condensed matter physics in Ref. [5].

Let us now verify that the Green's function Eq. (10) satisfies Eq. (16). The explicit derivative of Eq. (10) gives

$$\left. \frac{d}{d\tau} G_{11}^h \right|_{0^+} = -\alpha^2 (E_{\rm gs} + \varepsilon/2).$$
 (17)

The righthand side of Eq. (16) is

$$-\langle \mathrm{gs}|[\hat{H}_{1} + \hat{H}_{2}, \hat{a}_{1}^{\dagger}]\hat{a}_{1}|\mathrm{gs}\rangle = -(-\varepsilon)\langle \mathrm{gs}|\hat{a}_{1}\hat{a}_{1}|\mathrm{gs}\rangle/2 - v\langle \mathrm{gs}|(\hat{a}_{3}^{\dagger}\hat{a}_{4}^{\dagger}\hat{a}_{2}\hat{a}_{1}|\mathrm{gs}\rangle) = -\alpha^{2}(-\varepsilon)/2 - \alpha\beta v.$$
(18)

It is now just a few steps of algebra using Eq. (7) to verify that the two sides are indeed equal. The corresponding expressions on the right and left for G_{33}^h are

$$\beta^2 (E_{\rm gs} - \varepsilon/2) = \varepsilon \beta^2 + v \alpha \beta. \tag{19}$$

Again, it is simple algebra with Eq. (7) to verify the equality.

The particle-addition Green's function G^p is defined

$$G_{kk}^{p}(\tau) = \Theta(\tau) \langle \mathrm{gs} | \hat{a}_{k}(\tau) \hat{a}_{k}^{\dagger} | \mathrm{gs} \rangle$$
⁽²⁰⁾

It can be evaluated in the same way we did for G^h . The expressions are given in the Table.

C. Many-body perturbation theory and the Dyson equation

Many-body perturbation theory is usually formulated with Fourier-transformed Green's functions. They are defined

$$G^{h}_{kk}(\omega) = \int_{-\infty}^{\infty} d\tau \ e^{i\omega\tau} G^{h}_{kk}(\tau).$$
(21)

We will use the same symbol for both Green's function representations as there is no danger of confusion. Note that the range of integration in Eq. (21) can be reduced to $[0, +\infty]$ because $G^h(\tau)$ vanishes for negative τ . As an example, the Green's function in Eq. (11) is transformed to

$$G_{11}^{h}(\omega) = -\frac{-\alpha^{2}}{\omega - \sqrt{e^{2} + v^{2}} + \varepsilon/2 + i0^{+}}$$
(22)

Here 0^+ is an infinitesimal positive quantity. It is needed only as an instruction for carrying out the contour integral in the inverse Fourier transform

$$G_{kk}^{h}(\tau) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \ e^{-i\omega\tau} G_{kk}^{h}(\omega).$$
(23)

For many-body perturbation theory, one starts with non-interacting Green's functions G^0 . They are constructed using only the single-particle term in the Hamiltonian. For the H_1 in Eq. (2) one obtains

$$G_{11}^{h0}(\omega) = -\frac{1}{\omega - \varepsilon/2 + i0^+}.$$
(24)

Interactions are included by the term $\Sigma(\omega)$ in the Dyson equation,

$$G^{h}(\omega)^{-1} = G^{h0}(\omega)^{-1} + \Sigma(\omega).$$
 (25)

Here G^h, G^{h0} and Σ are matrices in the space of orbitals k. The orbitals are usually defined to make G^0 diagonal. The simplest approximation to Σ is a second-order perturbative expression. For the Hamiltonian in the example, it turns out that the Dyson equation gives the exact G^h as one of its terms. The perturbative Σ_{11} for H_2 in the example is

$$\Sigma_{11}(\omega) = \frac{v^2}{\omega + \varepsilon_2 - \varepsilon_3 - \varepsilon_4}.$$
(26)

The interaction Green's function from the Dyson equation is

$$G_{11}^{h0} = \frac{1}{(\omega + \varepsilon_1) - \frac{v^2}{\omega + \varepsilon_2 - \varepsilon_3 - \varepsilon_4)} + i0^+}$$
(27)

This function has two poles at

$$\omega_{\pm} = \frac{\varepsilon}{2} \pm \sqrt{\varepsilon^2 + v^2} \,; \tag{28}$$

the one closest to the unperturbed pole is ω_{-} . This is just the same frequency as in Eq. (11) for G_{11}^h . The residual of the pole can be found in the usual way by expanding Eq. (25) as a sum over the two poles. After some algebra one can show that the residue is equal to α^2 , the amplitude of the exponential in Eq. (11). This demonstrates the assertion the Dyson equation provides

- V.M. Galitskii and A.B. Migdal, Soviet Physics JETP 7 96 (1958).
- [2] D.S. Koltun, Phys. Rev. Lett. 28 182 (1972).
- [3] M.H. Mahzoon, et al., Phys. Rev. Lett. 112 162503 (2014).
- [4] V. Somaá, C. Barbieri, and T. Duguet, Phys. Rev. C 89

the exact G^h .

But what about the second pole? It has the same frequency and residue (up to a sign) as the particle-addition Green's function G_{11}^p . This motivates the contruction of the time-order Green's function treating G^h and G^p together in the function.

024323 (2014).

[5] J.J. Kas, T.D. Blanton, and J.J. Rehr, Phys. Rev. B 100 195144 (2019).