

# In-Medium Similarity Renormalization Group Techniques in Nuclear Physics

Heiko Hergert

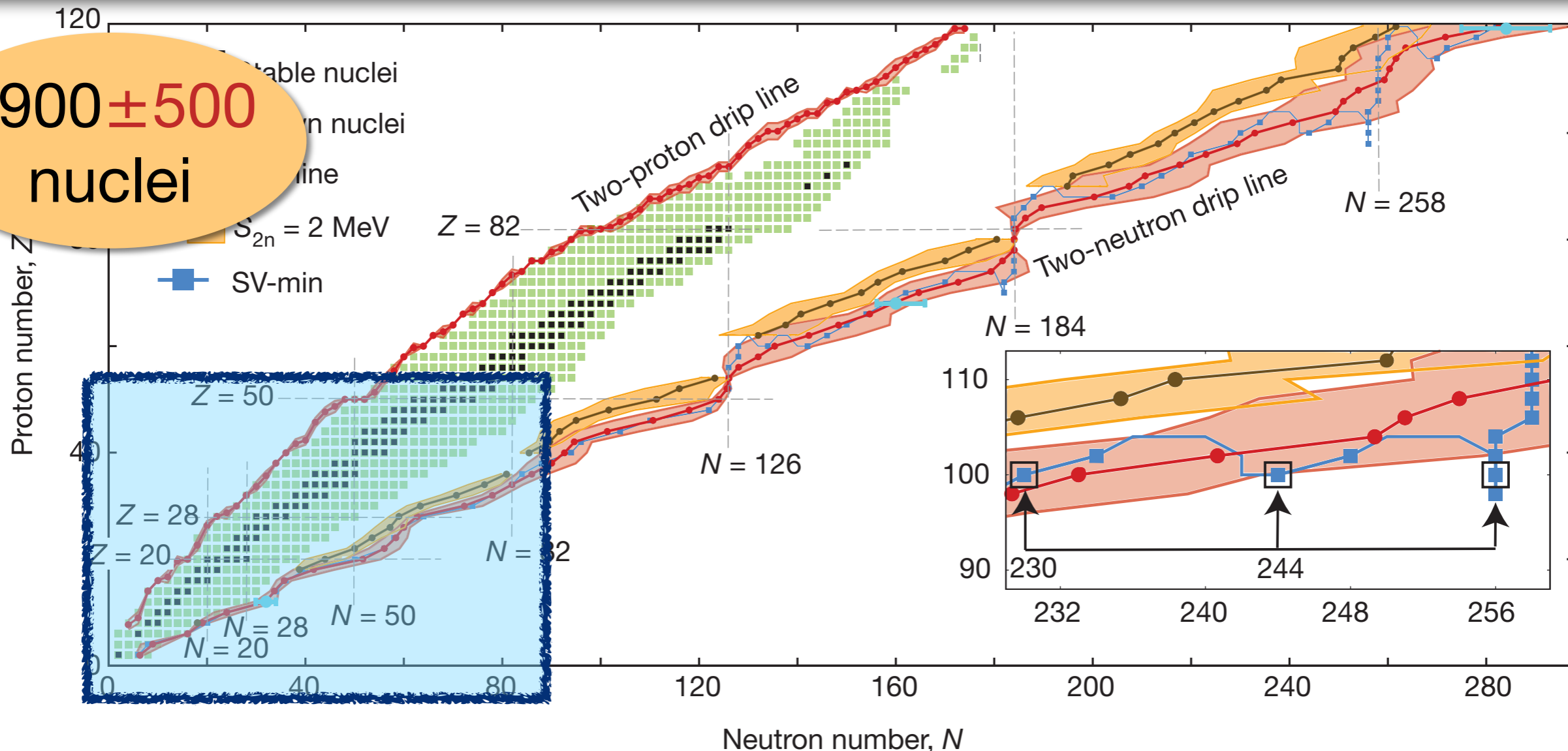
Facility for Rare Isotope Beams  
& Department of Physics and Astronomy  
Michigan State University



# Key Directions for Nuclear Physics



**6900 ± 500  
nuclei**



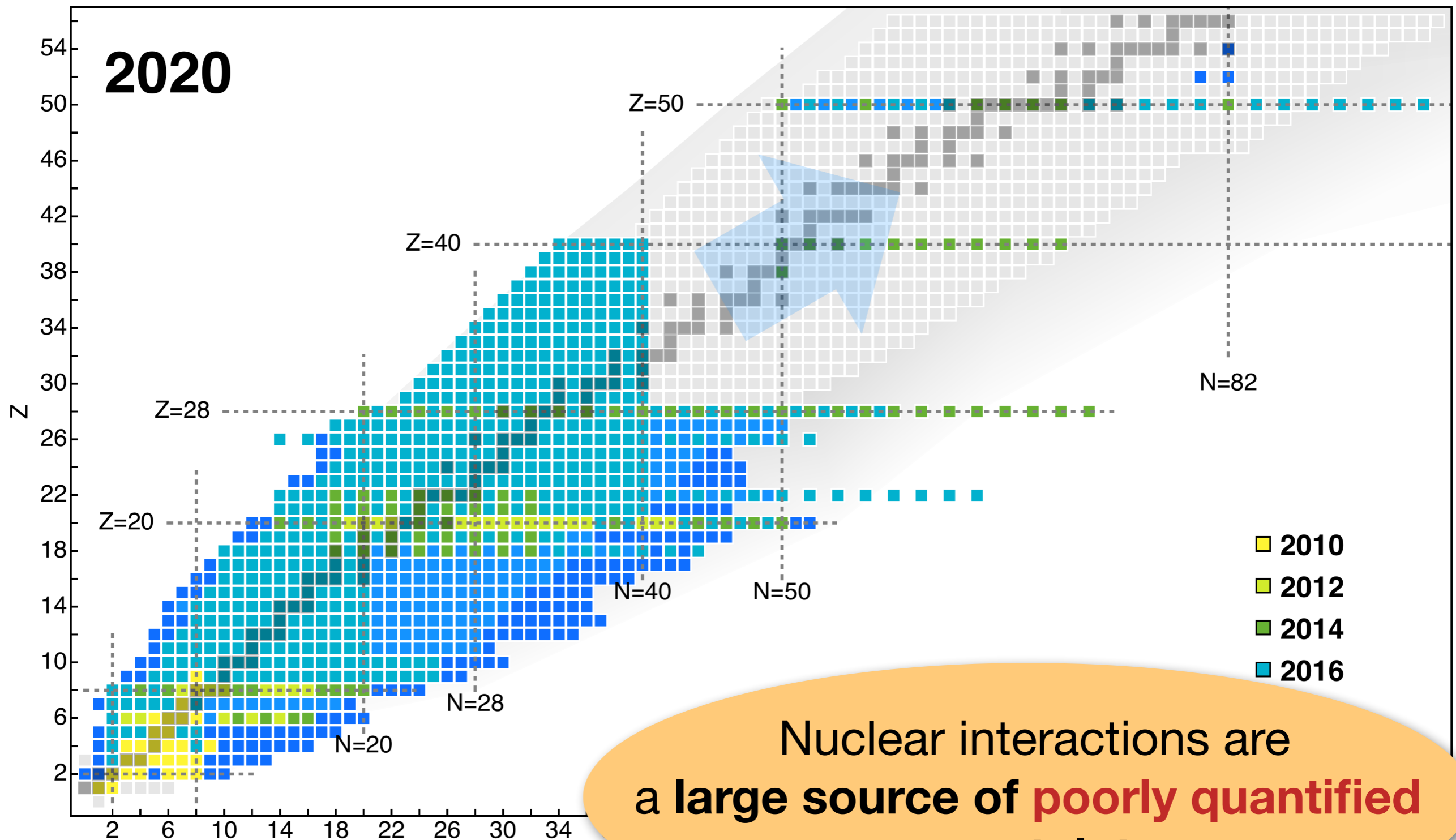
[from J. Erler et al., Nature 486, 509 (2012)]

- Limits of nuclear existence
- Evolution of nuclear structure towards the drip lines
- Nucleosynthesis in stellar (or cosmic) environments
- Tests of fundamental symmetries

# Progress in *Ab Initio* Calculations



HH, *Front. Phys.* 8, 379 (2020)



Nuclear interactions are a large source of **poorly quantified uncertainty**

- **non-relativistic** many-body Schrödinger equation
  - this talk: **configuration space** methods
- nuclei are **compact, self-bound** objects
  - **rotational** symmetry
  - **translational (& boost) symmetry**: at least need decoupling of intrinsic and center-of-mass d.o.f.
- Hamiltonian: low-energy QCD
  - (approximate) **chiral symmetry**
  - **neutrons & protons** interact via **pion** exchange (and contact interactions)
  - **composite, effective** degrees of freedom:  $3N$ , ... forces



# Interactions from Chiral EFT



	Two-nucleon force	Three-nucleon force	Four-nucleon force
LO ( $Q^0$ )			
NLO ( $Q^2$ )			
N <sup>2</sup> LO ( $Q^3$ )			
N <sup>3</sup> LO ( $Q^4$ )			

[figure by H. Krebs]

- organization in powers  $(Q/\Lambda_\chi)^\nu$  allows **systematic improvement**
- low-energy constants **fit to NN, 3N data** (future: from Lattice QCD (?))
- **consistent NN, 3N, ... interactions & operators (electroweak transitions!)**

# The Similarity Renormalization Group

## Review:

S. Bogner, R. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. **65**, 94 (2010)

E. Anderson, S. Bogner, R. Furnstahl, and R. Perry, Phys. Rev. C **82**, 054001 (2011)

E. Jurgenson, P. Navratil, and R. Furnstahl, Phys. Rev. C **83**, 034301 (2011)

R. Roth, S. Reinhardt, and H. H., Phys. Rev. C **77**, 064003 (2008)

H. H. and R. Roth, Phys. Rev. C **75**, 051001 (2007)

## Basic Idea

**continuous unitary transformation** of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- **flow equation** for Hamiltonian  $H(\mathbf{s}) = U(\mathbf{s})HU^\dagger(\mathbf{s})$  :

$$\frac{d}{ds}H(\mathbf{s}) = [\eta(\mathbf{s}), H(\mathbf{s})], \quad \eta(\mathbf{s}) = \frac{dU(\mathbf{s})}{ds}U^\dagger(\mathbf{s}) = -\eta^\dagger(\mathbf{s})$$

- choose  $\eta(\mathbf{s})$  to achieve desired behavior, e.g.,

$$\eta(\mathbf{s}) = [H_d(\mathbf{s}), H_{od}(\mathbf{s})]$$

to **suppress** (suitably defined) **off-diagonal Hamiltonian**

- **consistent evolution** for all **observables** of interest

# Operator Bases for the RG Flow



- choose a **basis of operators**  $\{O_i\}_{i \in \mathbb{N}}$  to represent the flow (make an educated guess about physics):

$$H(s) \equiv \sum_i h_i(s) O_i, \quad \eta(s) \equiv \sum_i \eta_i(s) O_i.$$

- **close algebra by truncating induced terms** (if necessary)

$$[O_i, O_k] = \sum_l c_{ikl} O_l + \text{. . .}$$

- **flow equations** for the coefficient (**coupling constants**):

$$\frac{dh_i}{ds} = \sum_k f_{ik}(h, \eta) O_k$$



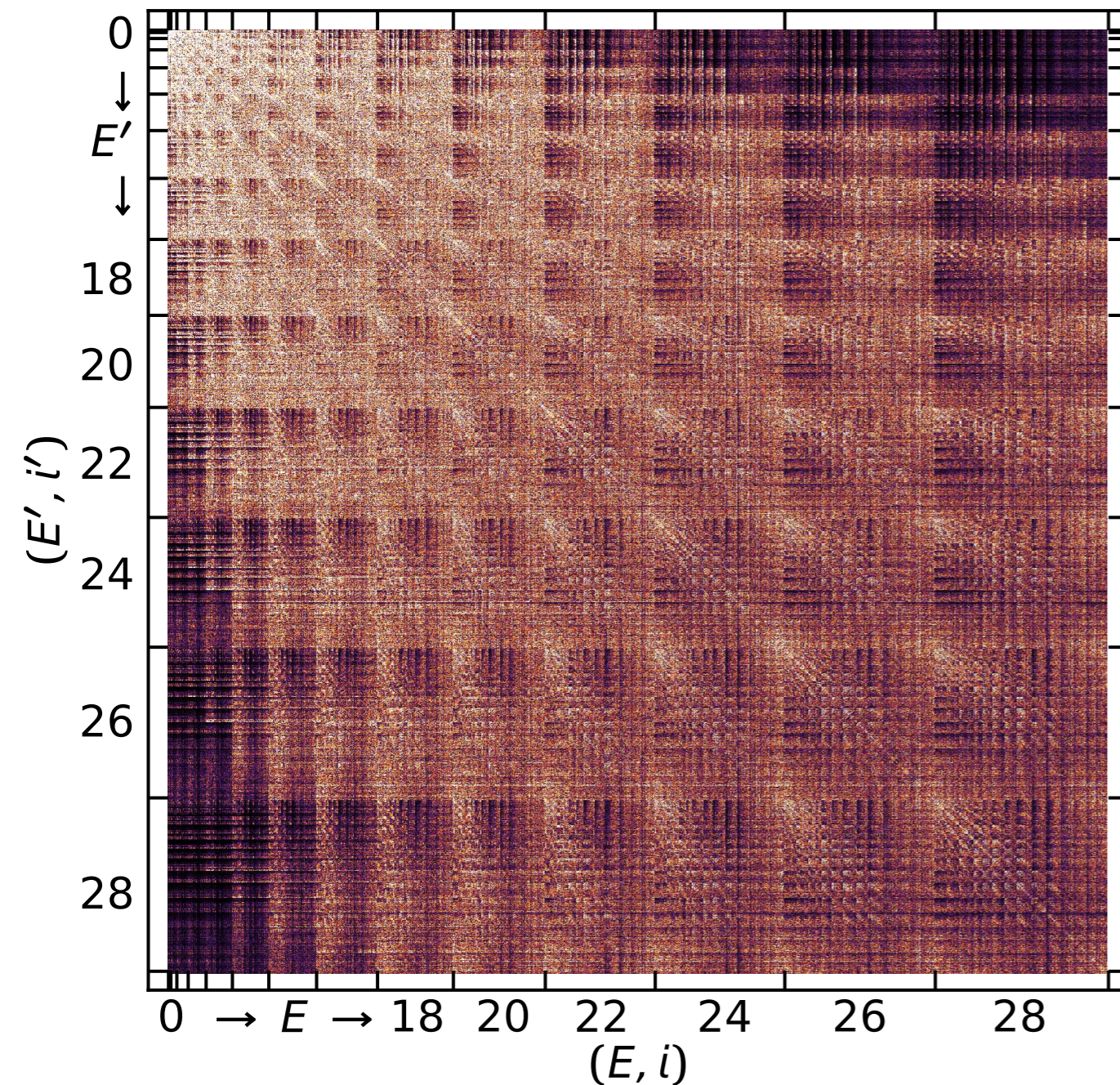
# SRG in Three-Body Space



[figures by R. Roth, A. Calci, J. Langhammer]

## 3B Jacobi-HO Matrix Elements

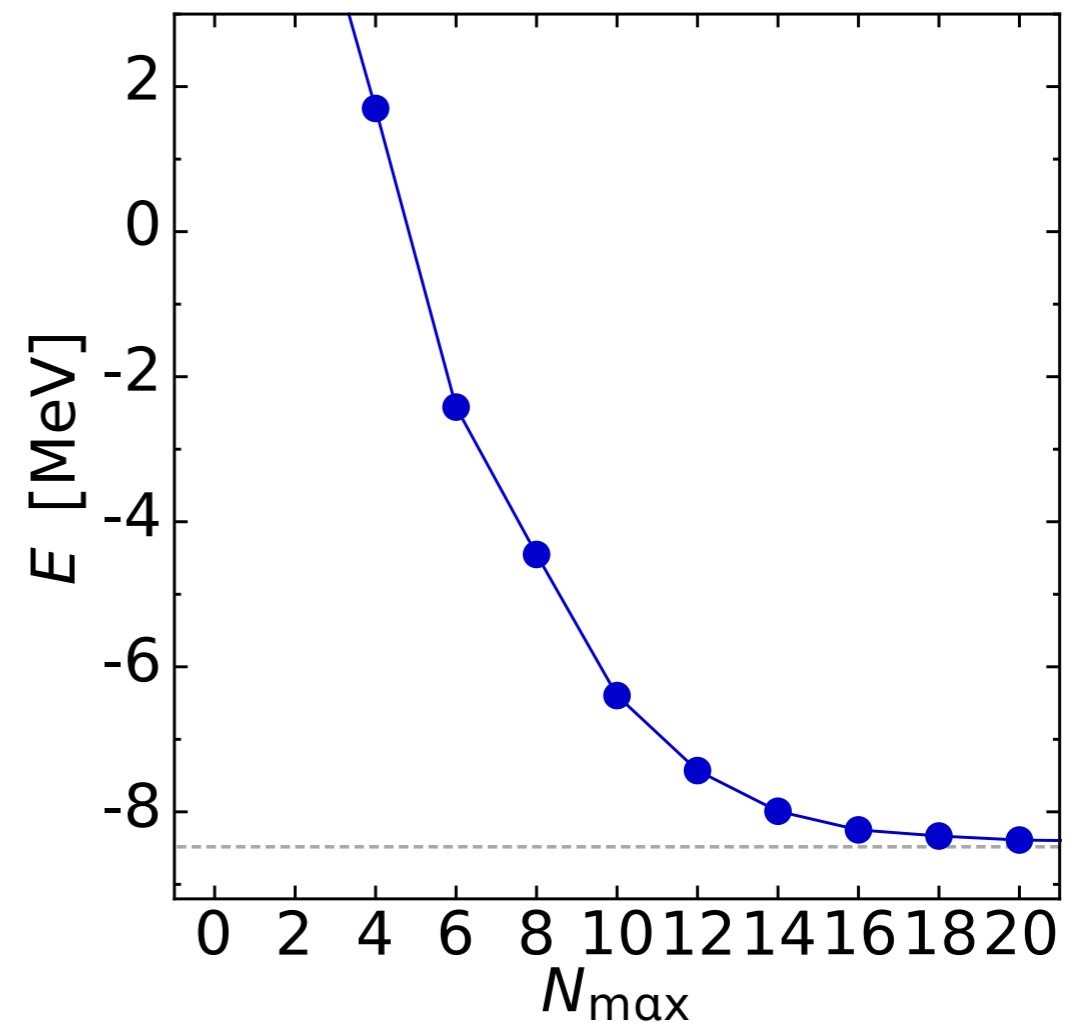
$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$



chiral NN + 3N

N<sup>3</sup>LO + N<sup>2</sup>LO (<sup>3</sup>H fit)

<sup>3</sup>H ground-state (NCSM)





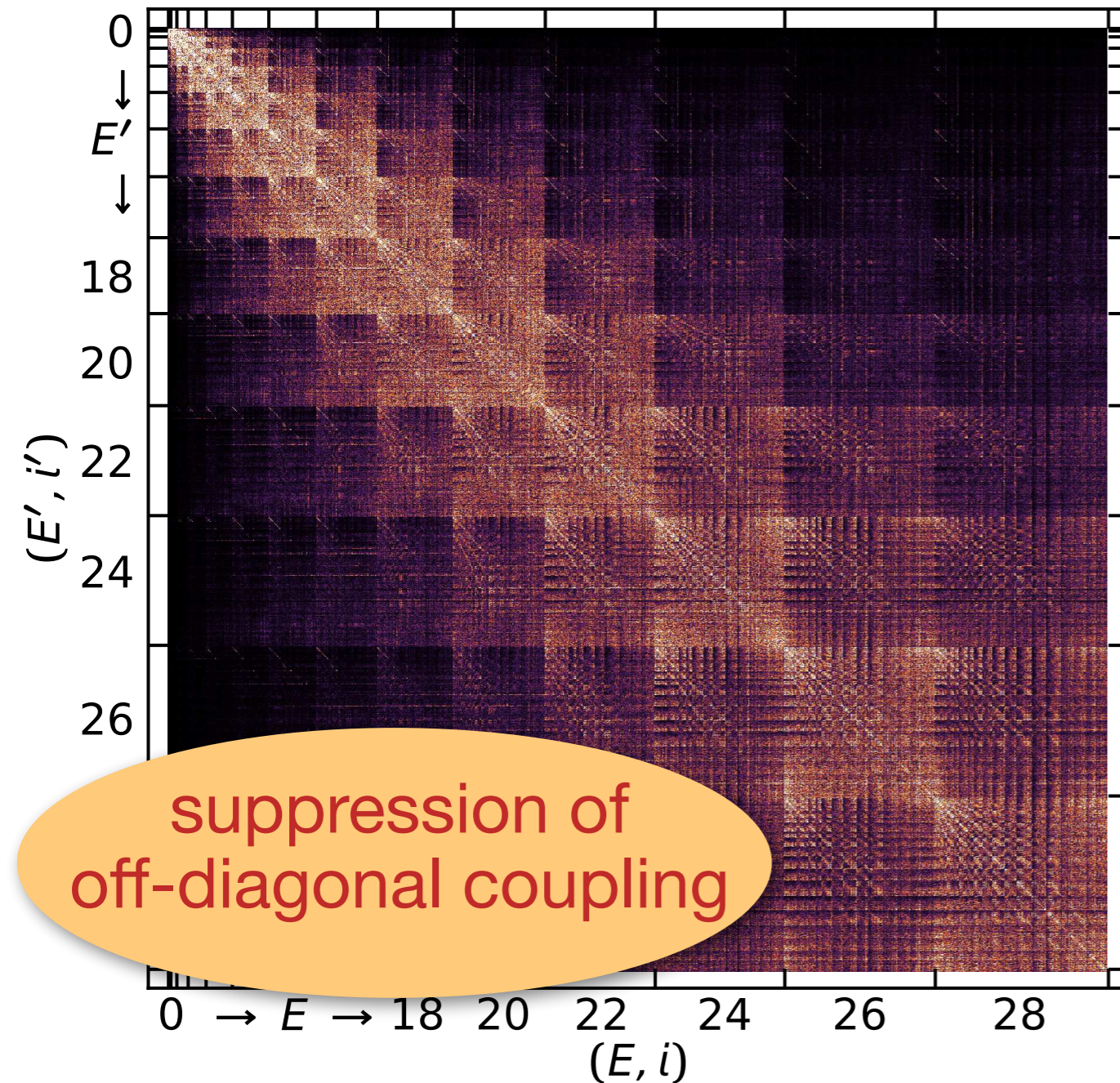
# SRG in Three-Body Space



[figures by R. Roth, A. Calci, J. Langhammer]

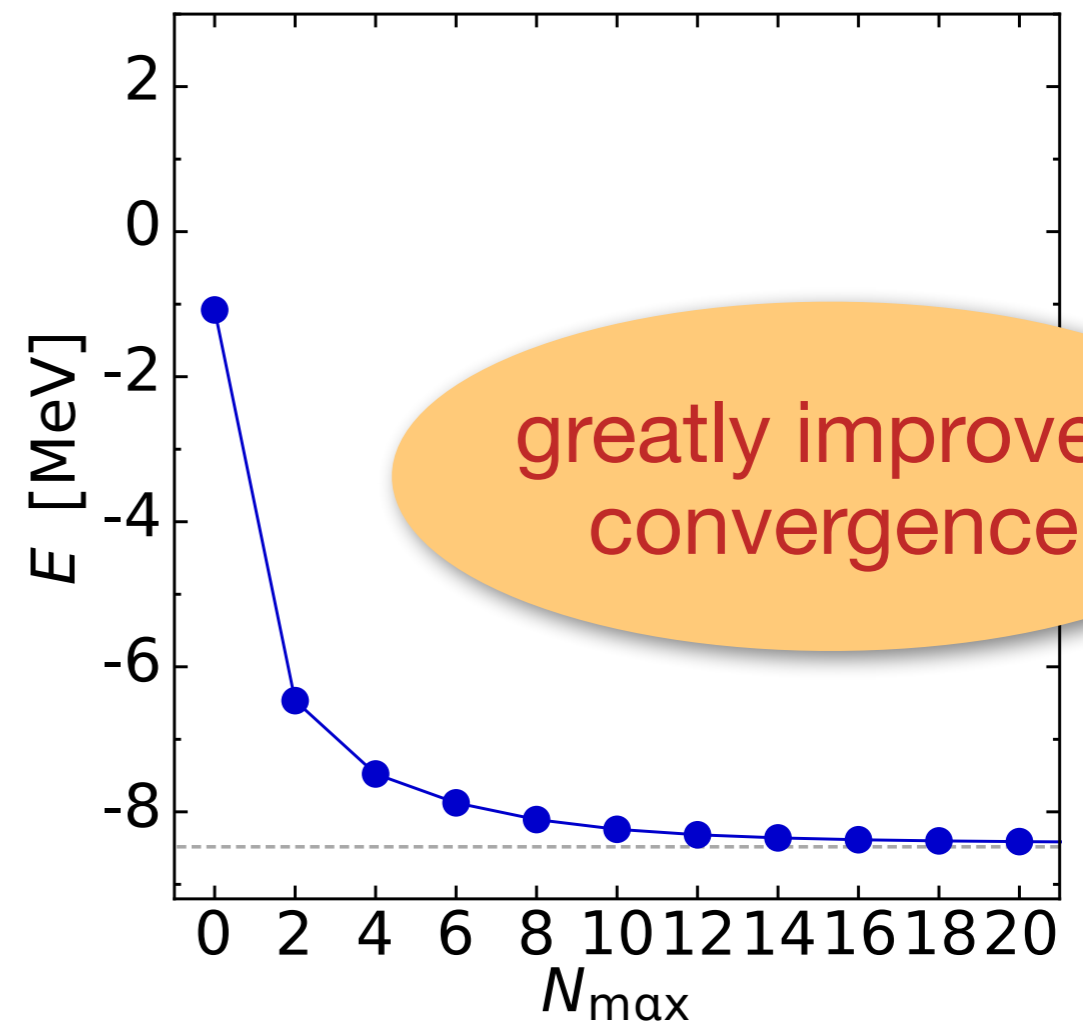
## 3B Jacobi-HO Matrix Elements

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$



$$\lambda = 1.33 \text{ fm}^{-1}$$

## $^3\text{H}$ ground-state (NCSM)



# (Multi-Reference) In-Medium Similarity Renormalization Group

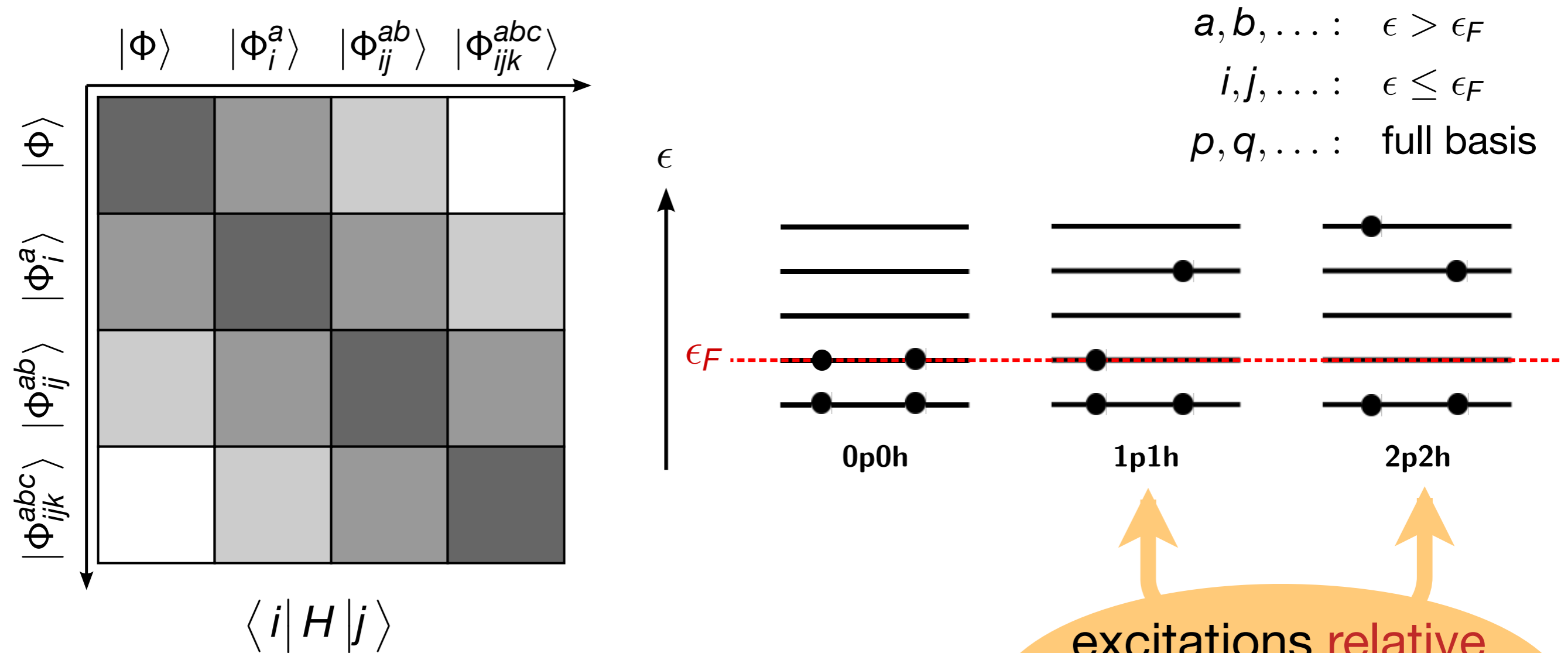
HH, Phys. Scripta, Phys. Scripta 92, 023002 (2017)

HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskiyama, Phys. Rept. **621**, 165 (2016)

HH, S. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C **90**, 041302 (2014)

HH, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

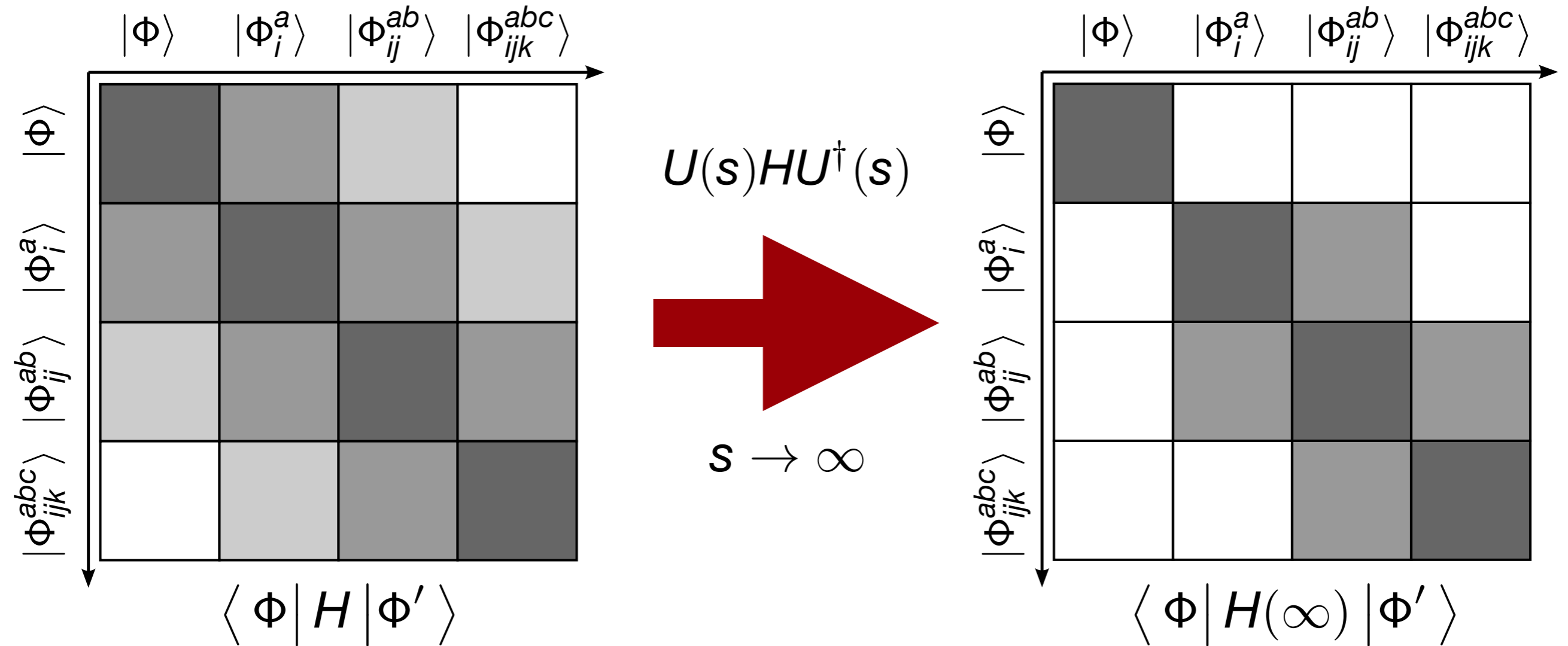
# Transforming the Hamiltonian



- reference state: **single Slater determinant**

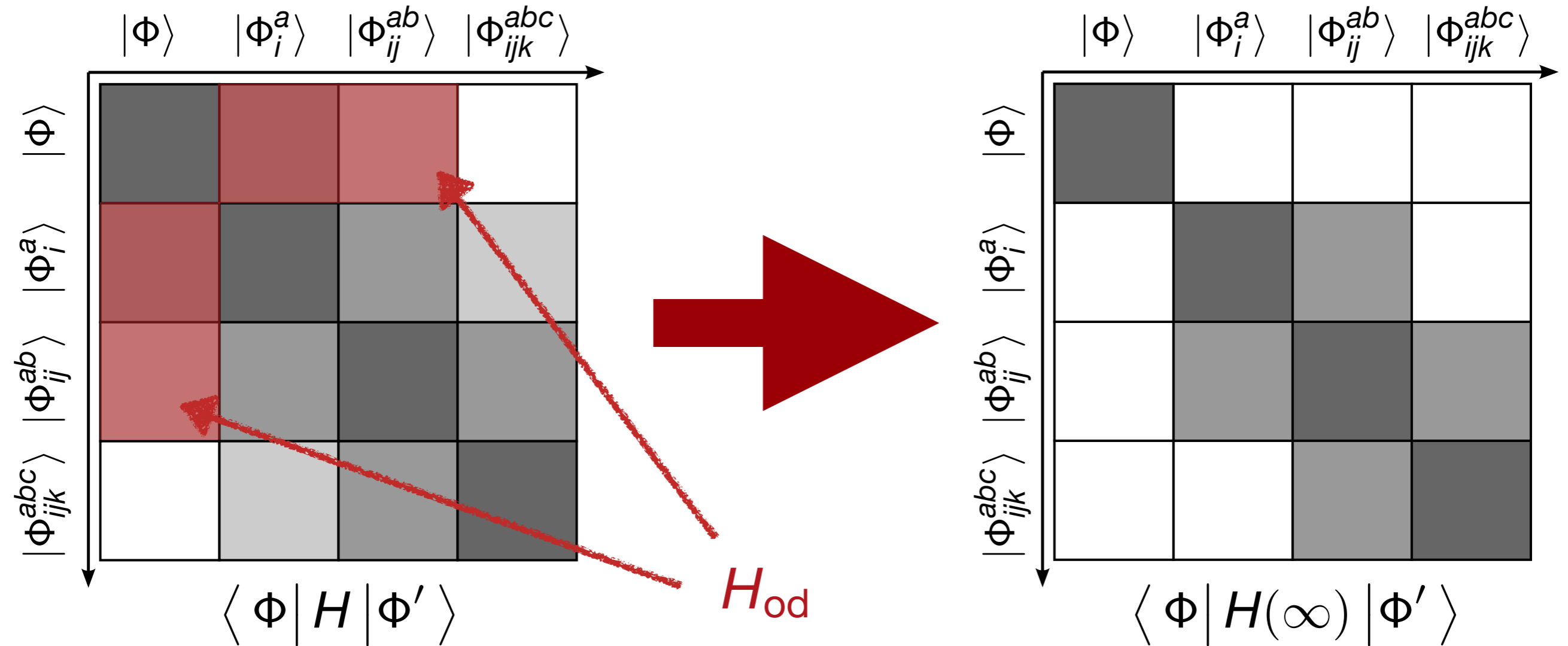


# Decoupling in A-Body Space

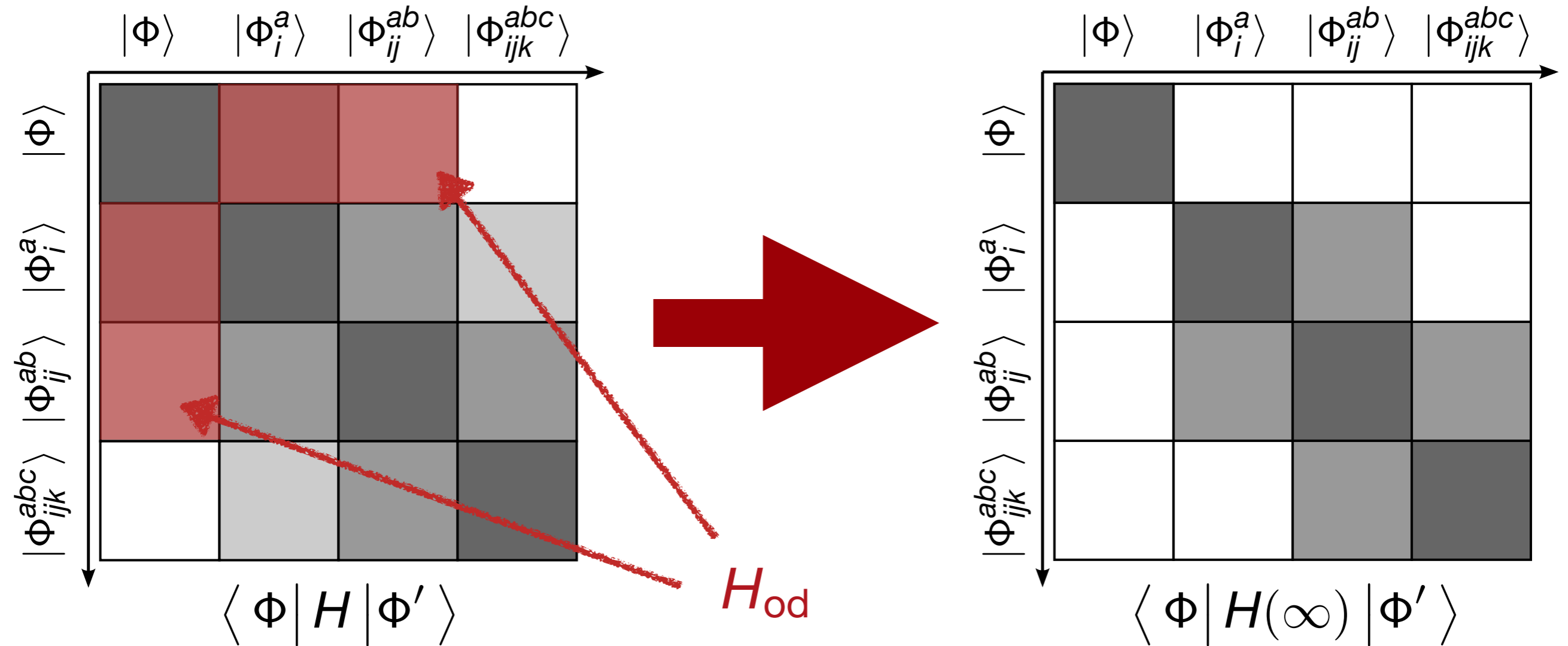


**goal:** decouple reference state  $|\Phi\rangle$   
from excitations

# Flow Equation



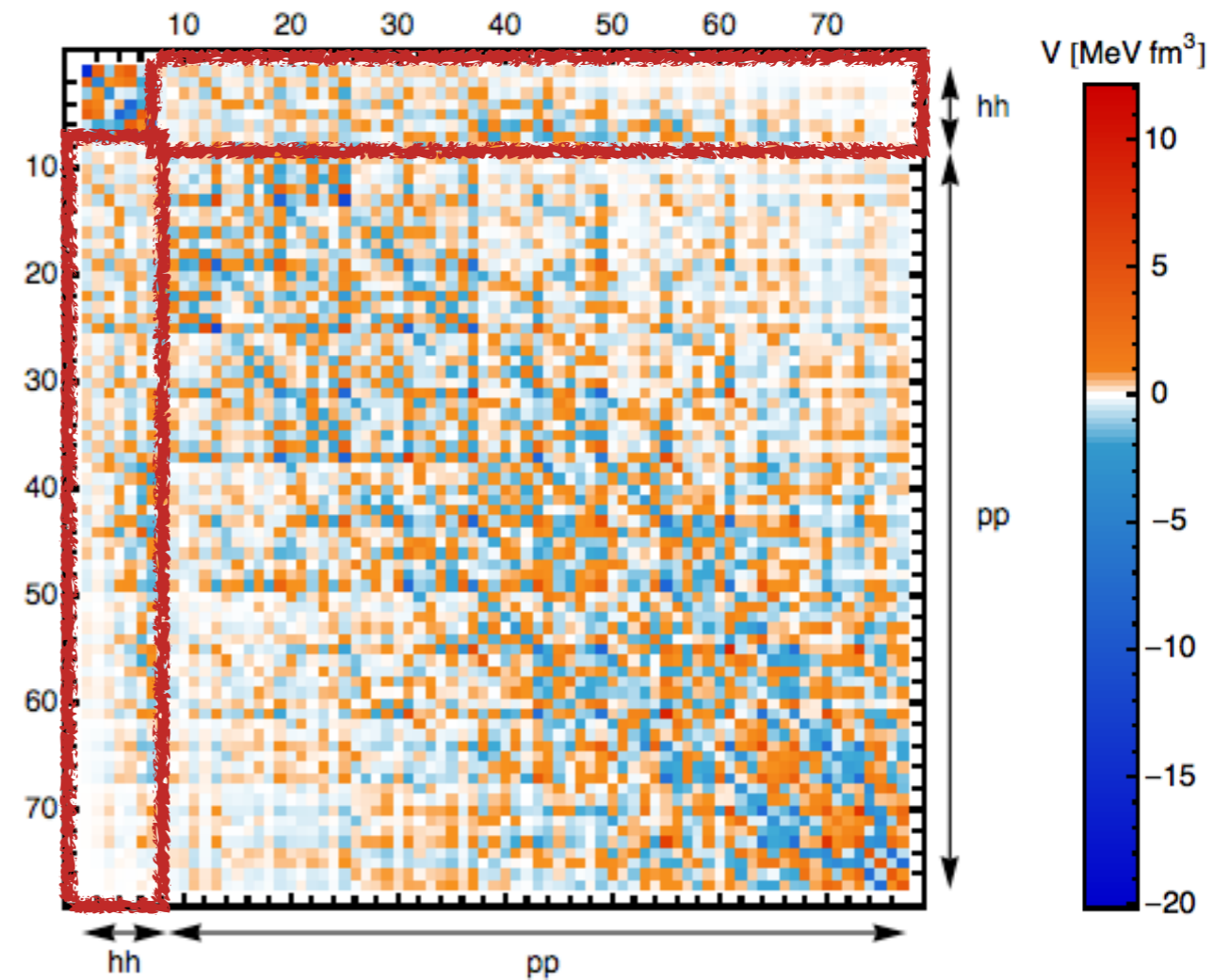
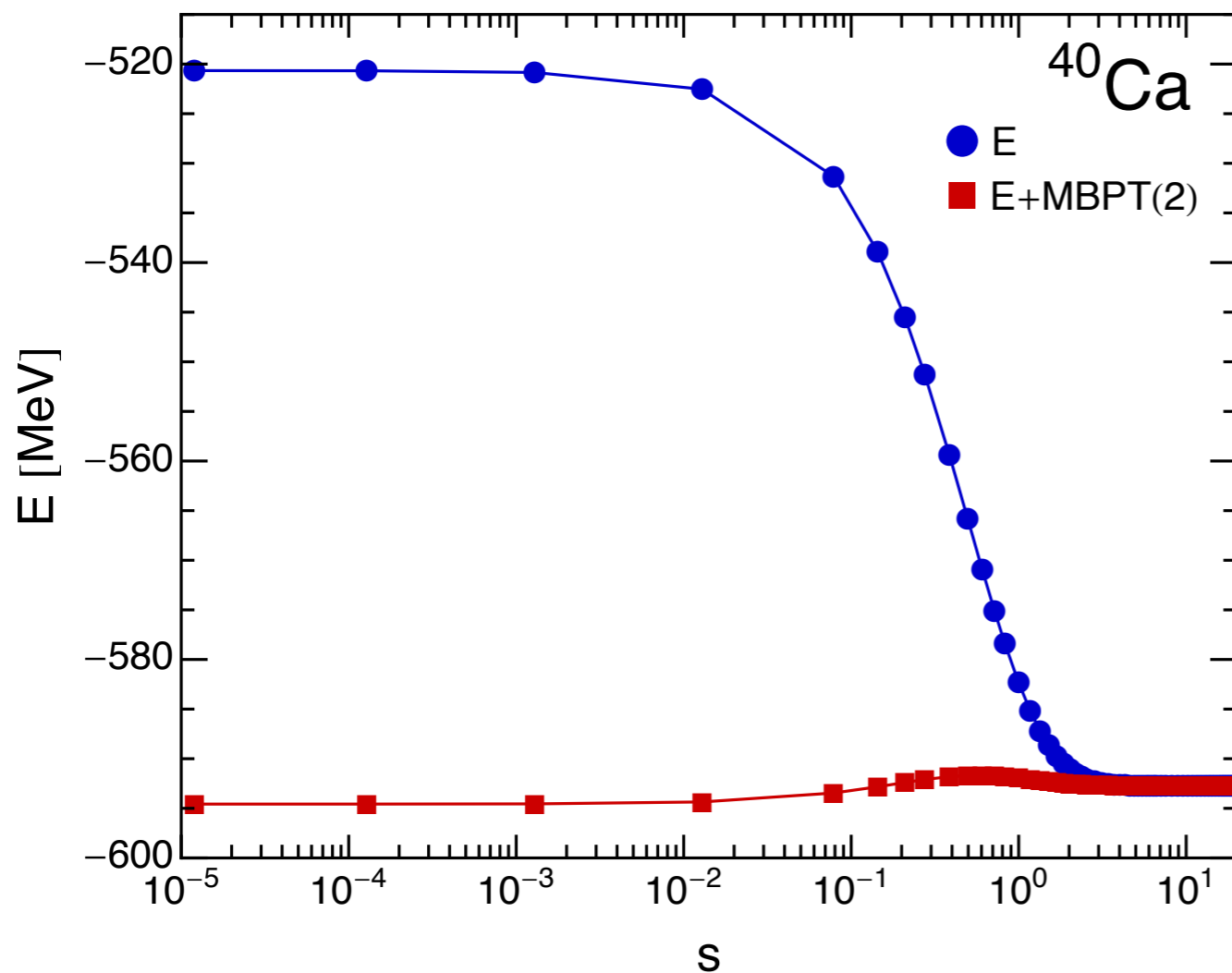
# Flow Equation



$$\frac{d}{ds} H(s) = [\eta(s), H(s)]$$

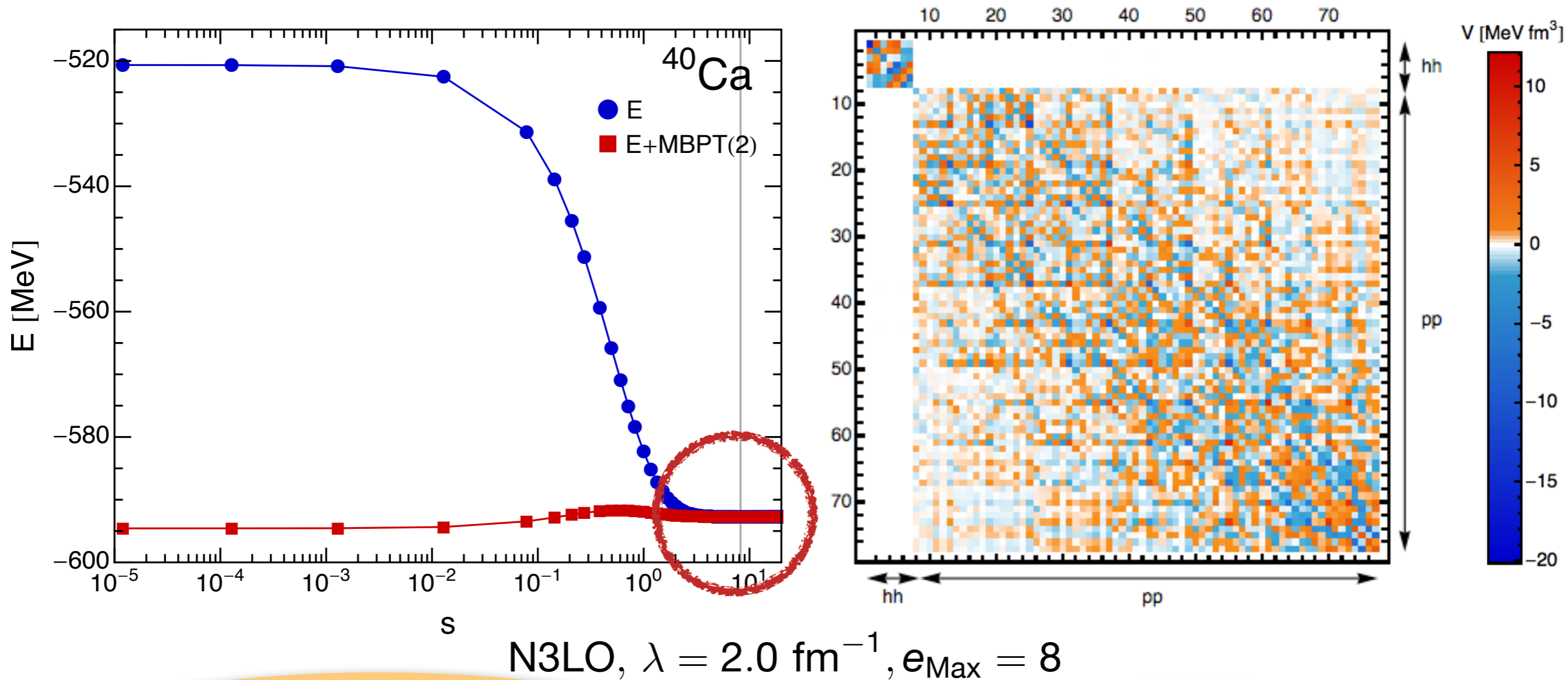
Operators truncated at **two-body level** - matrix is never constructed explicitly!

# Decoupling



N3LO,  $\lambda = 2.0 \text{ fm}^{-1}$ ,  $e_{\text{Max}} = 8$

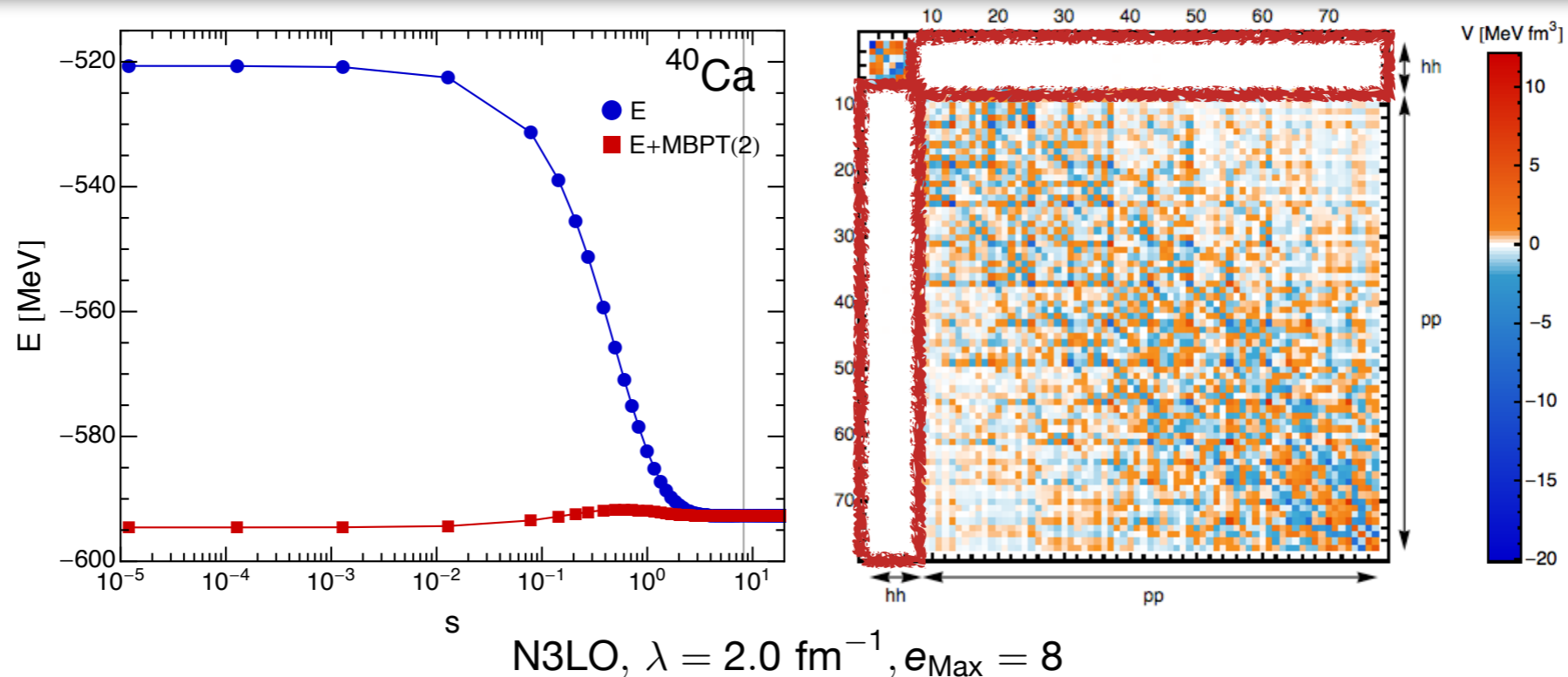
# Decoupling



non-perturbative  
resummation of MBPT series  
(correlations)

off-diagonal couplings  
are rapidly driven to zero

# Decoupling



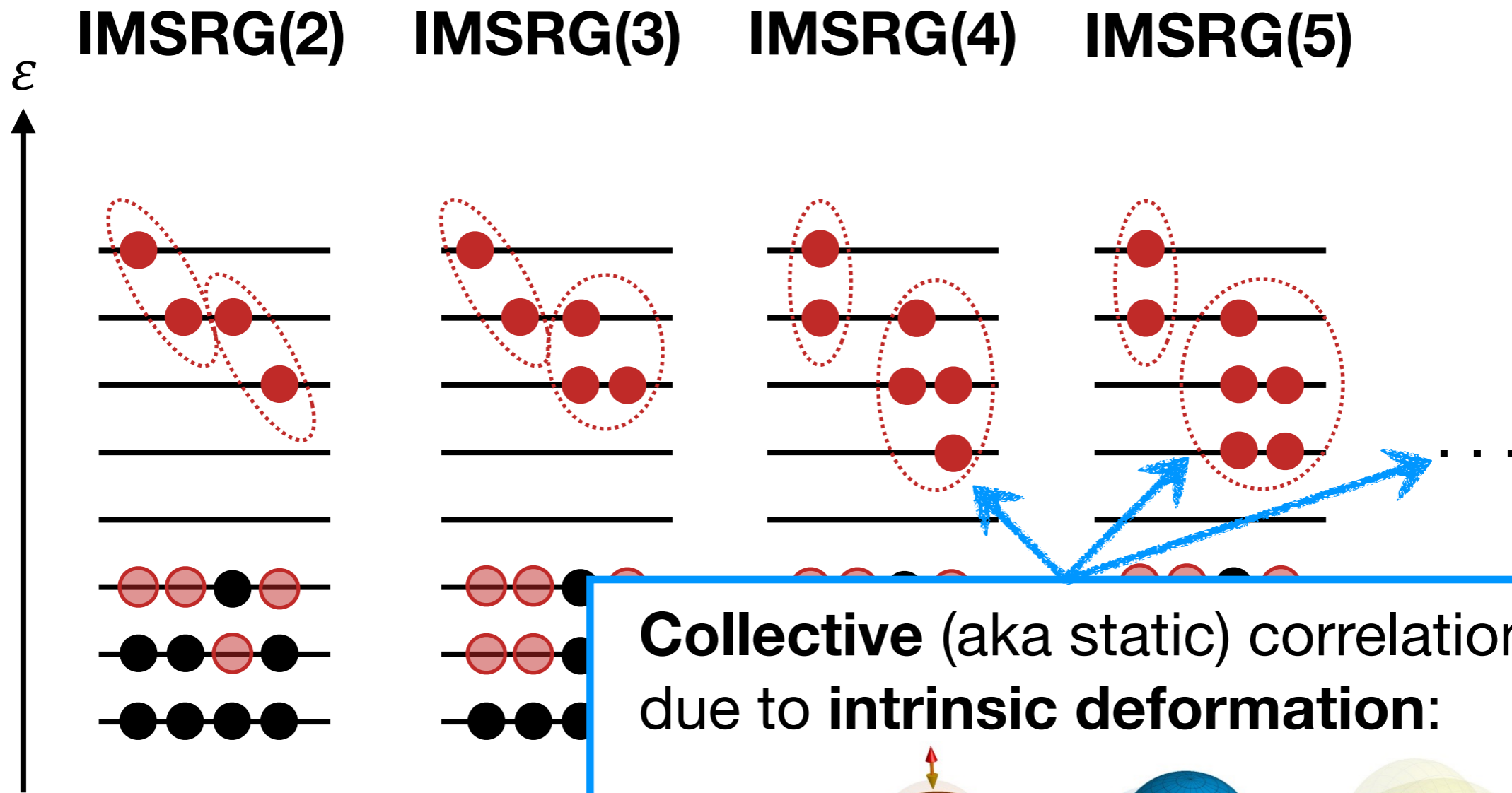
- absorb correlations into **RG-improved Hamiltonian**

$$U(s) H U^\dagger(s) U(s) |\Psi_n\rangle = E_n U(s) |\Psi_n\rangle$$

- reference state is ansatz for transformed, **less correlated** eigenstate:

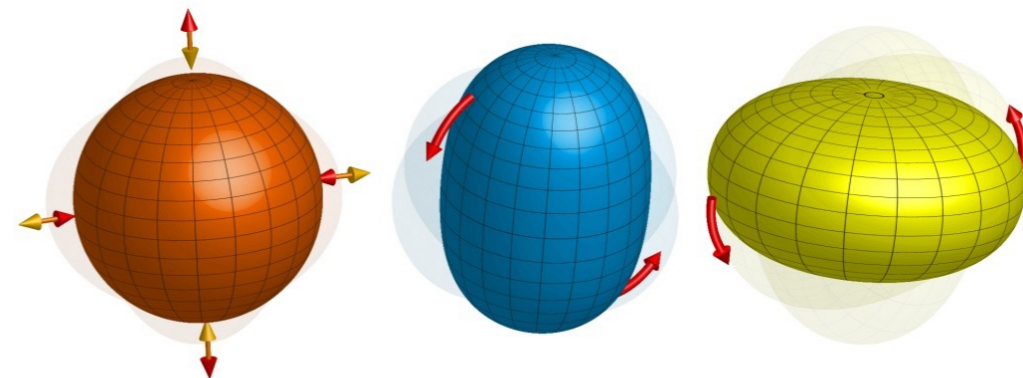
$$U(s) |\Psi_n\rangle \stackrel{!}{=} |\Phi\rangle$$

# Correlated Reference States

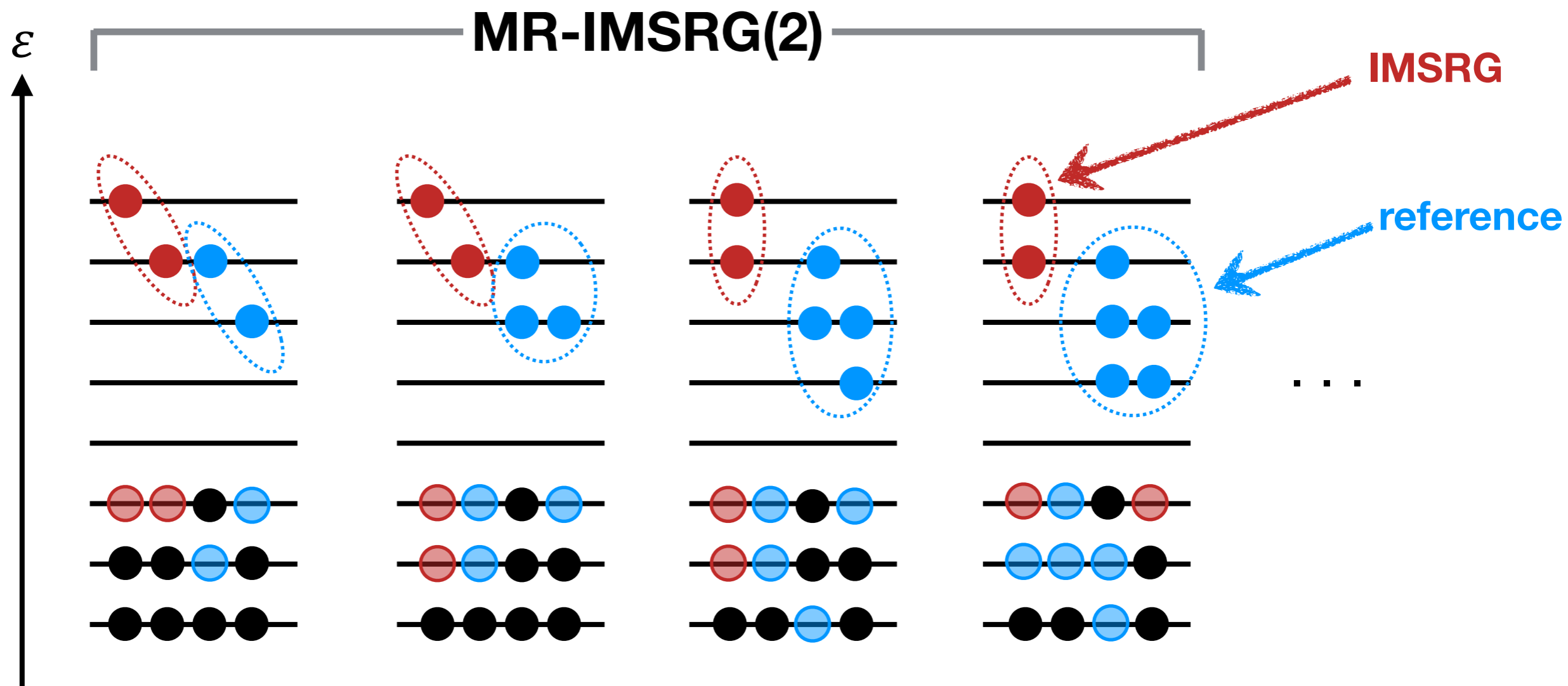


“standard” IMS  
Slater determinan

**Collective** (aka static) correlations, e.g. due to **intrinsic deformation**:

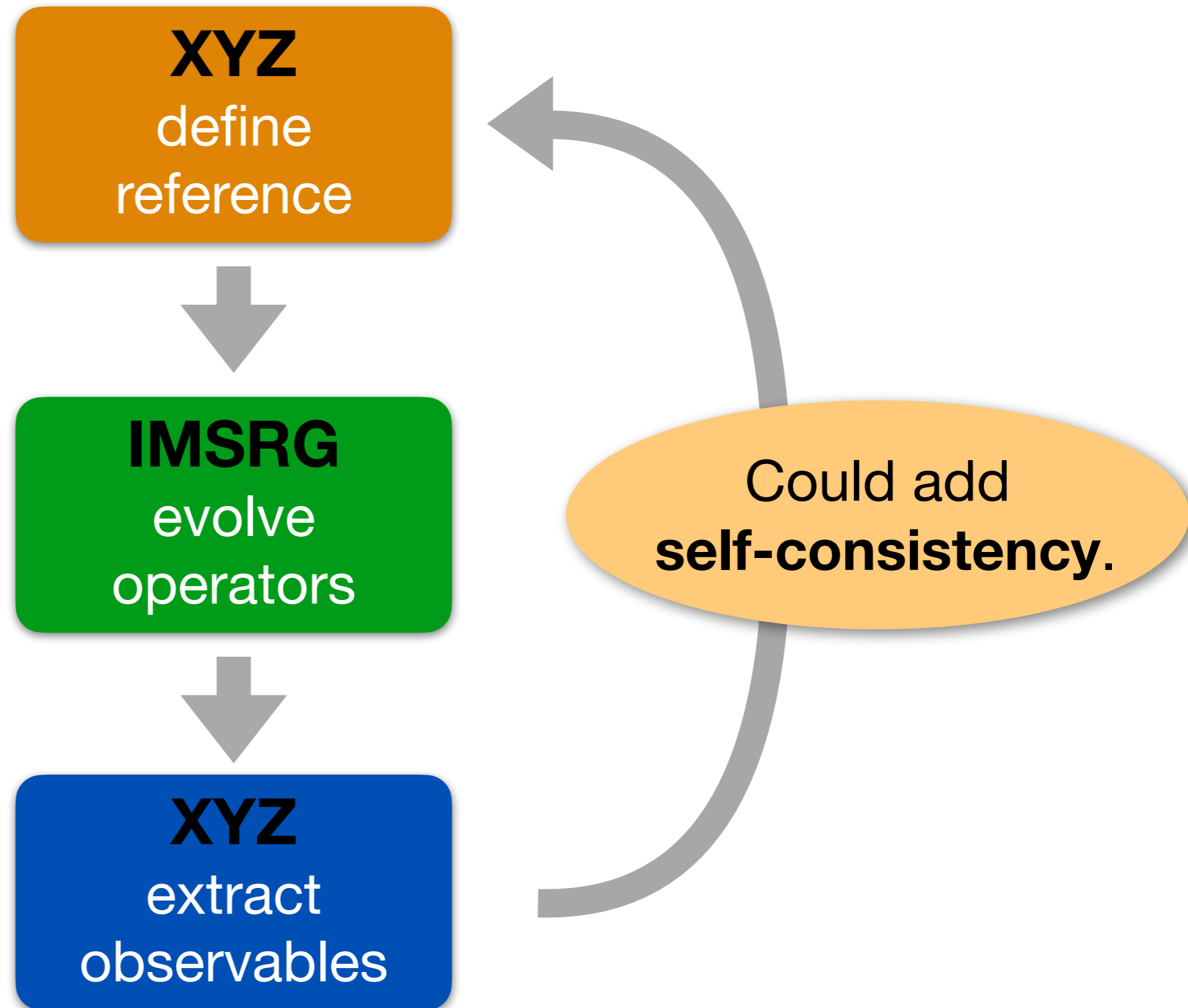


# Correlated Reference States



**MR-IMSRG:** build correlations on top of **already correlated** state (e.g., from a method that describes static correlation well)





# Selected Results

**HF / PHFB**

define  
reference



**IMSRG**

evolve  
operators



**HF / PHFB**

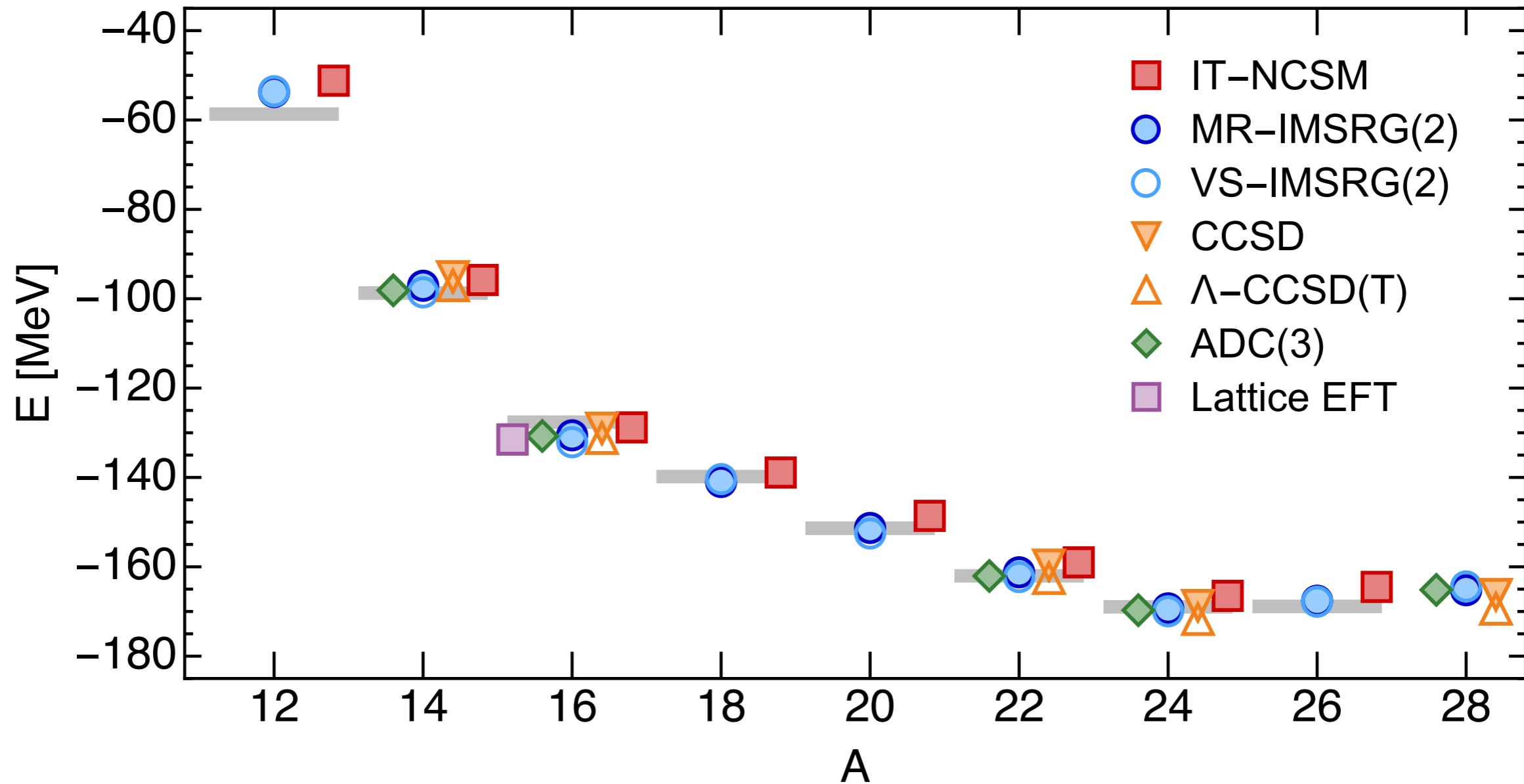
extract  
observables

- closed shell: HF Slater determinant
- open shell: number-projected HFB state
- evolve Hamiltonian and observables with MR-IMSRG
- decoupling in A-body space
- calculation is trivial, energy can be directly read off the evolved Hamiltonian

# Consistent Ground-State Energies



HH, *Front. Phys.* **8**, 379 (2020)



**consistent ground-state energies** for the **same interaction**  
(and comparable Lattice EFT action)

# Valence-Space IMSRG



**HF**  
define  
reference



**IMSRG**  
evolve  
operators



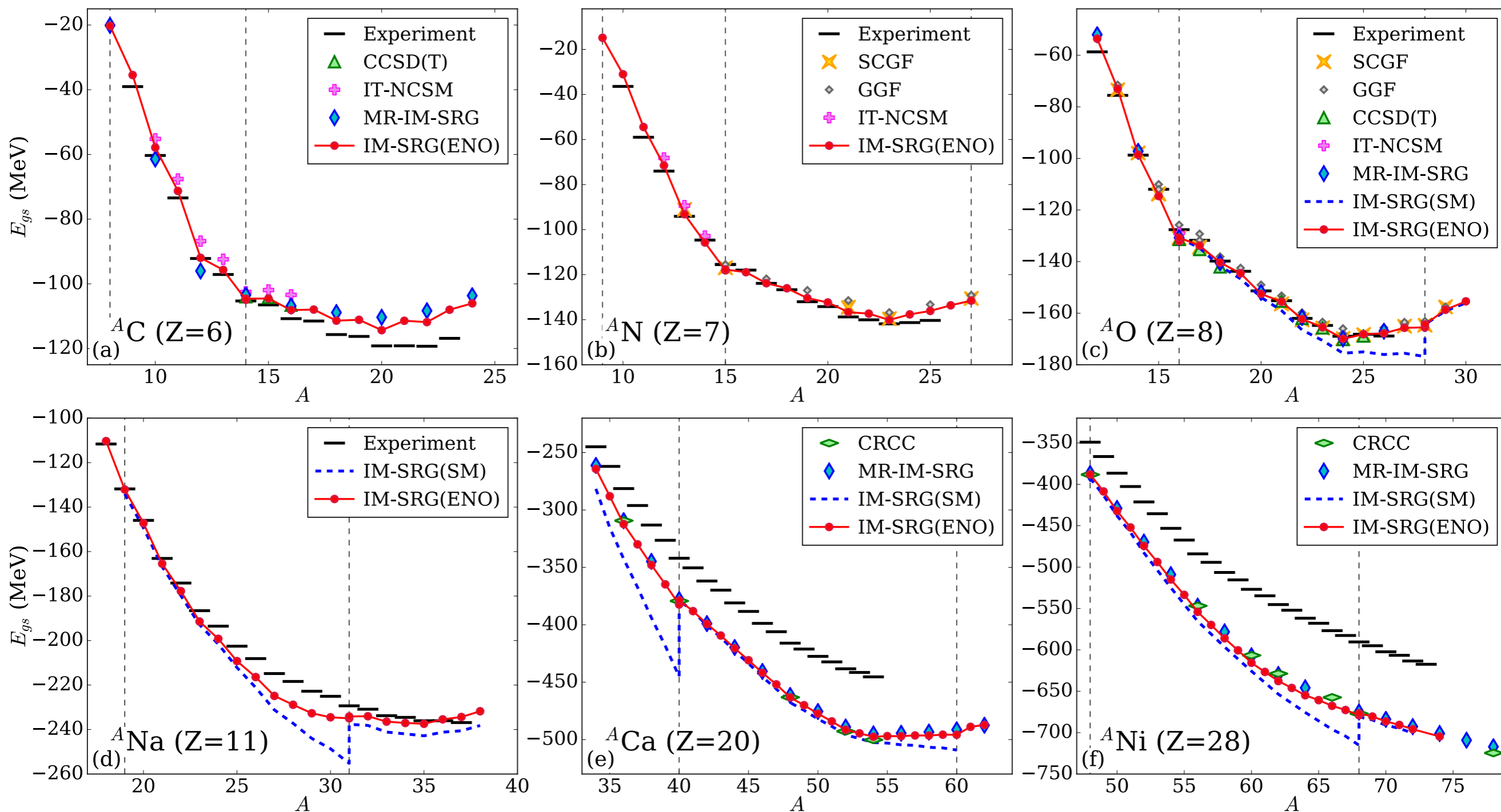
**Valence CI**  
extract  
observables

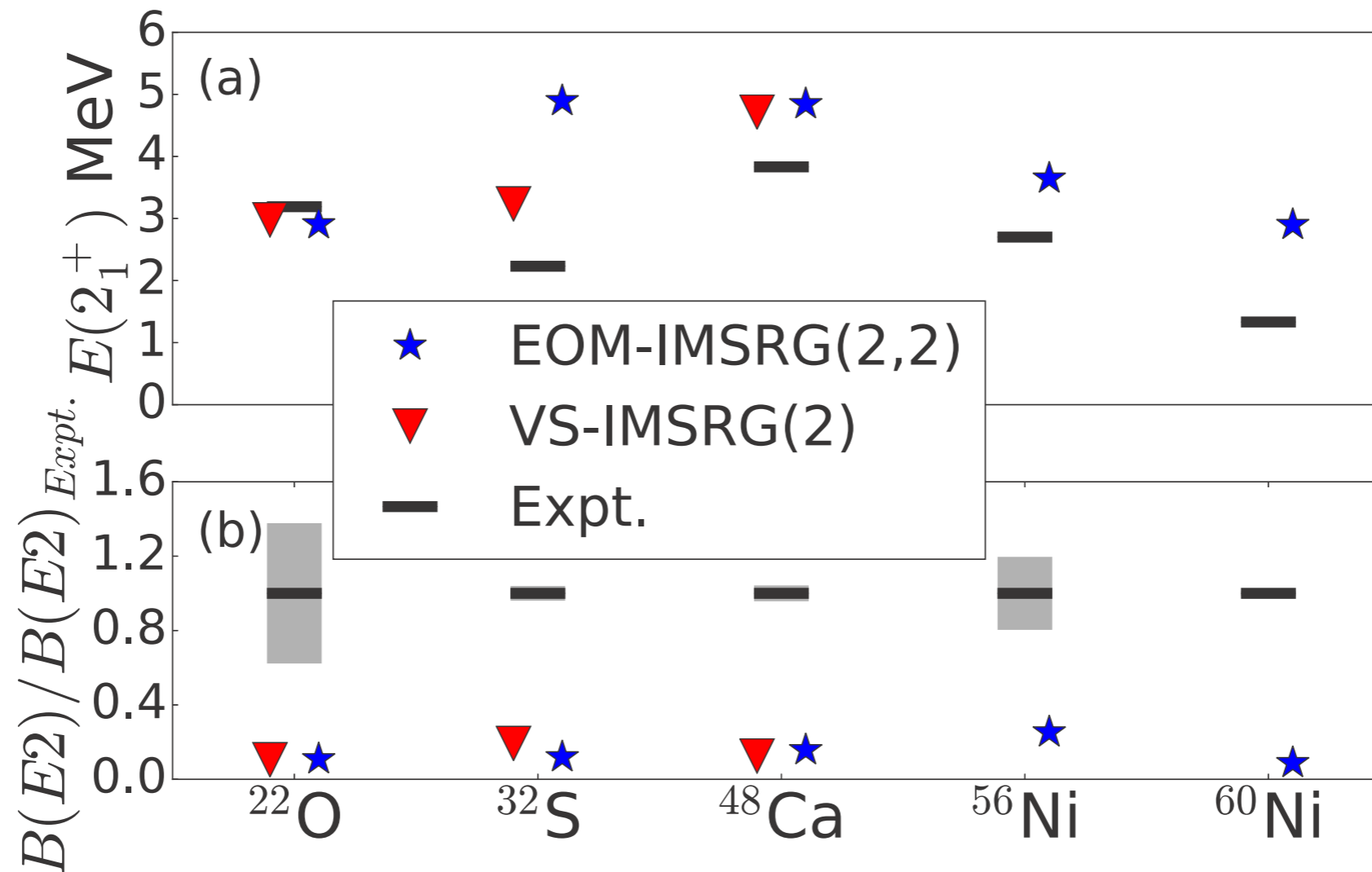
- defines meaning of  $P$  (=valence) and  $Q$ (=core + non-valence excitation) spaces
- evolve Hamiltonian and observables
- decouple  $P$  and  $Q$  spaces
- determines core part of w.f.
- determines valence part of w.f.

# Consistent Ground-State Energies



*S. R. Stroberg, A. Calci, HH, J. D. Holt, S. K. Bogner, R. Roth, A. Schwenk, PRL 118, 032502 (2017)*





- **B(E2) much too small:** missing collectivity due to intermediate 3p3h, ... states that are truncated in IMSRG evolution (**static correlation**)

**GCM**  
define  
reference



**IMSRG**  
evolve  
operators



**GCM**  
extract  
observables

- no-core (or valence space) GCM calculation to prepare reference state

- evolve Hamiltonian and observables with MR-IMSRG
- decoupling in A-body space

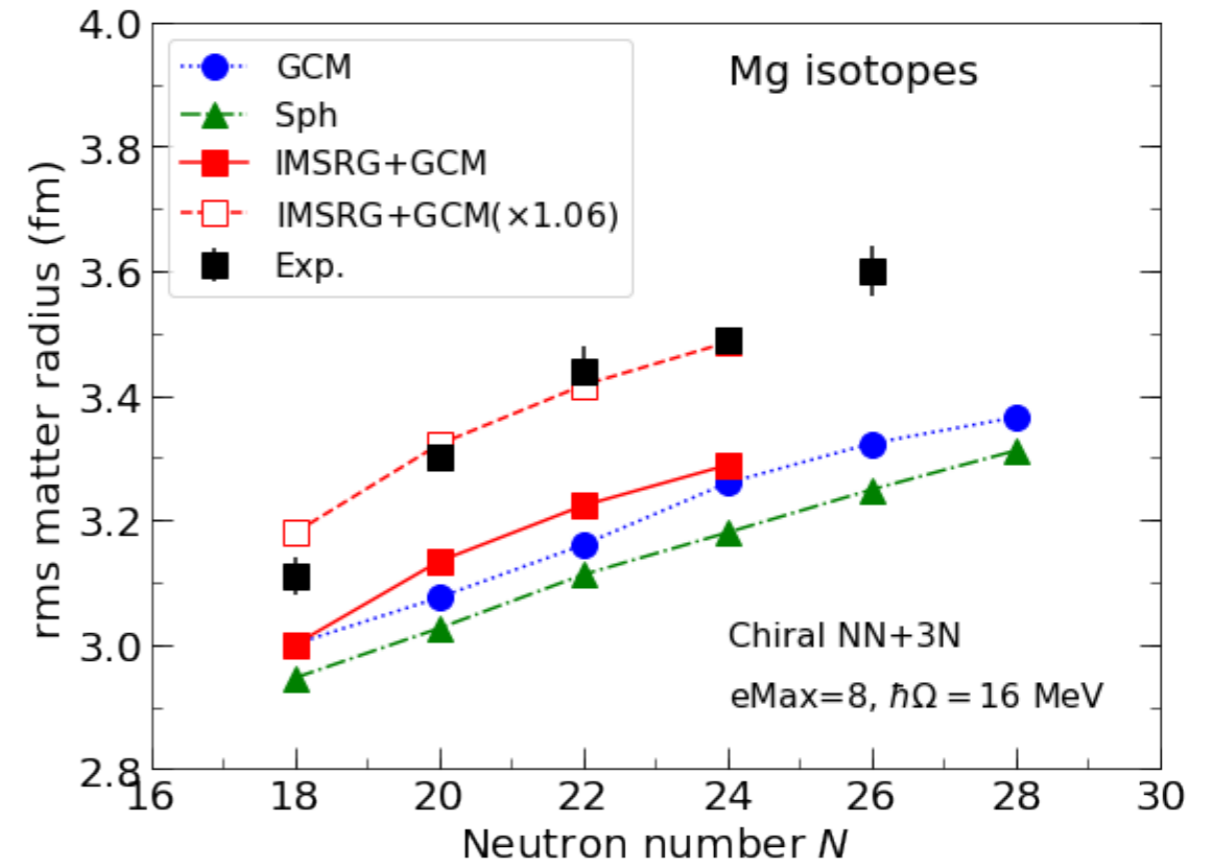
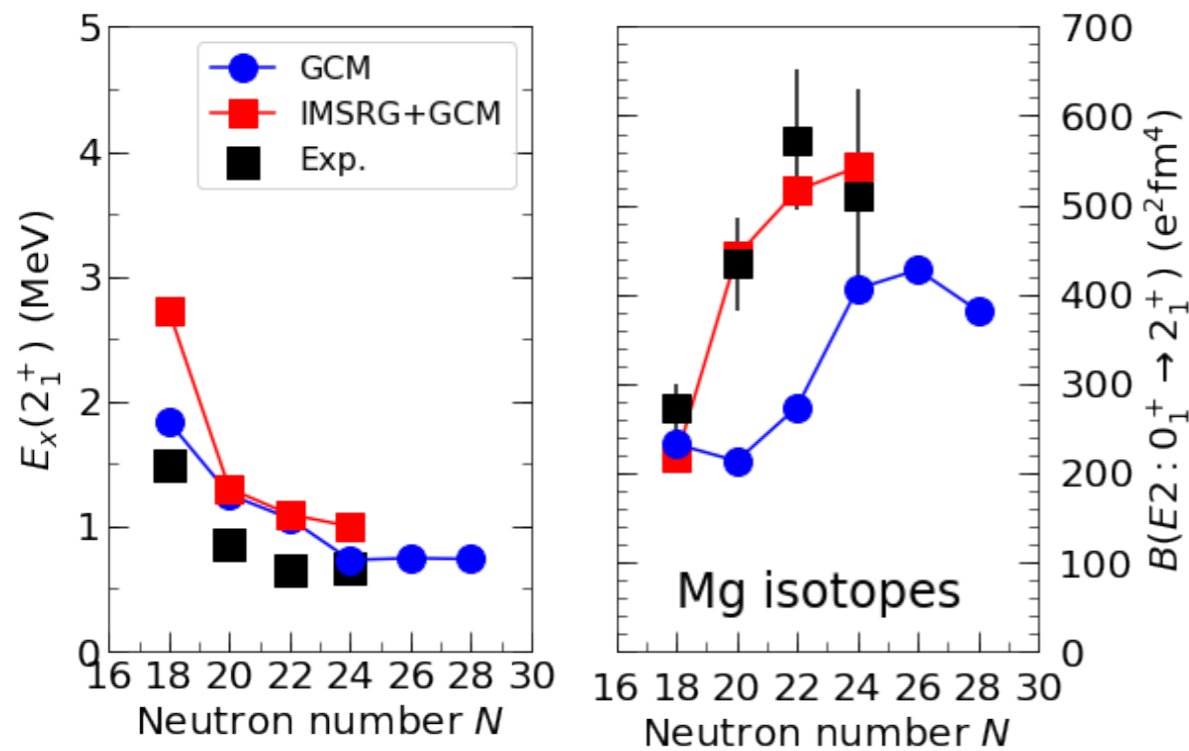
- no-core GCM calculation using evolved Hamiltonian
- calculate GCM wave functions, observables



# Collectivity in Magnesium Isotopes

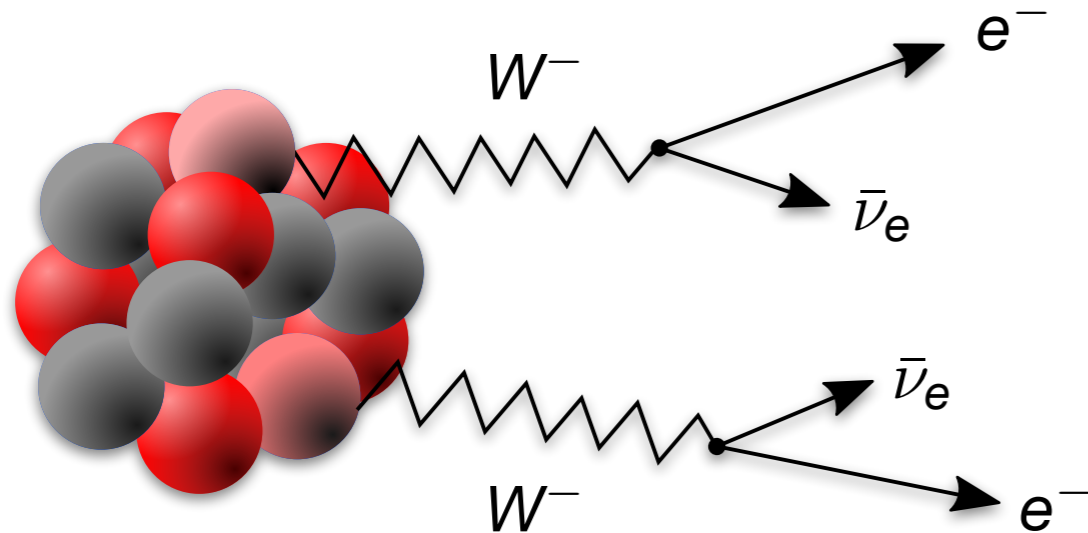


J. M. Yao, HH, in preparation



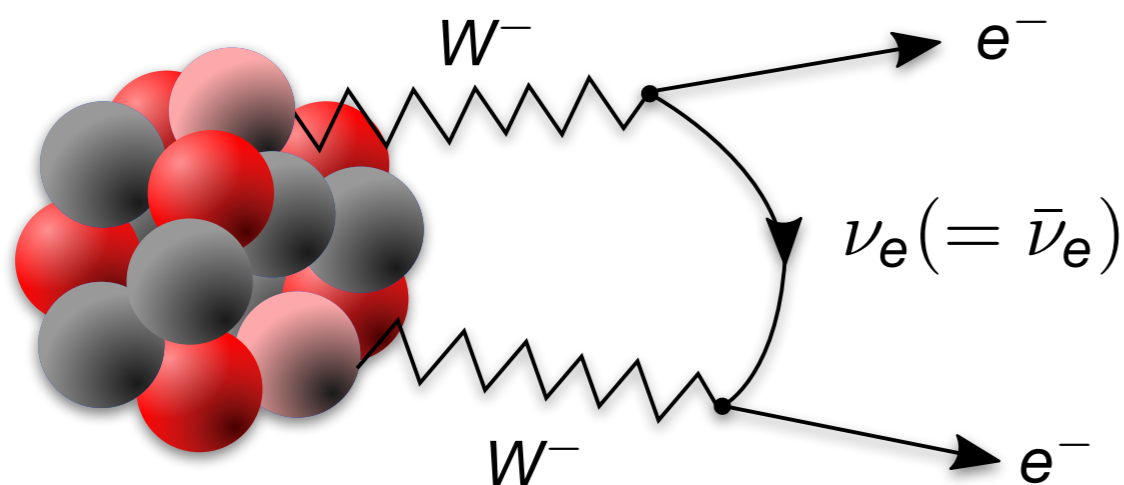
- **improved**  $B(E2)$  values compared to plain GCM or VS-IMSRG
  - **dynamical and static correlations included**
- **induced 2B quadrupole operator** **small** in IM-GCM but **dominant** in VS-IMSRG
  - GCM reference equips IMSRG operator basis with capability to capture collectivity

## “Standard” Double Beta Decay



- neutrinos are **Dirac** particles
- **Standard Model valid**

## Neutrinoless Double Beta Decay



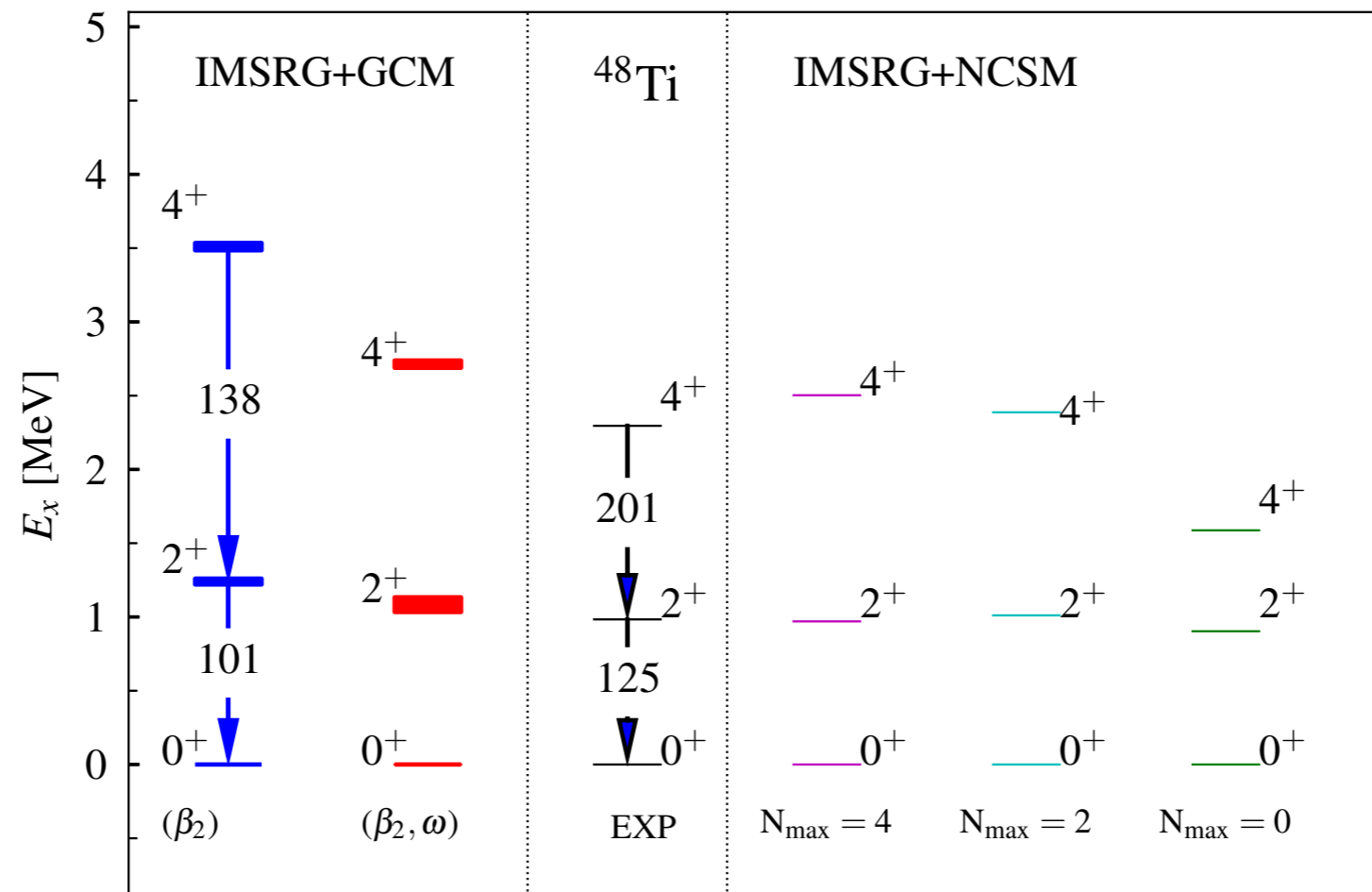
- neutrinos are **Majorana** particles
- **beyond Standard Model: new physics**

# $0\nu\beta\beta$ Decay of $^{48}\text{Ca}$



*J. M. Yao et al., PRL 124, 232501 (2020); PRC 103, 014315 (2021)*

EM1.8/2.0,  $\hbar\Omega = 16$  MeV

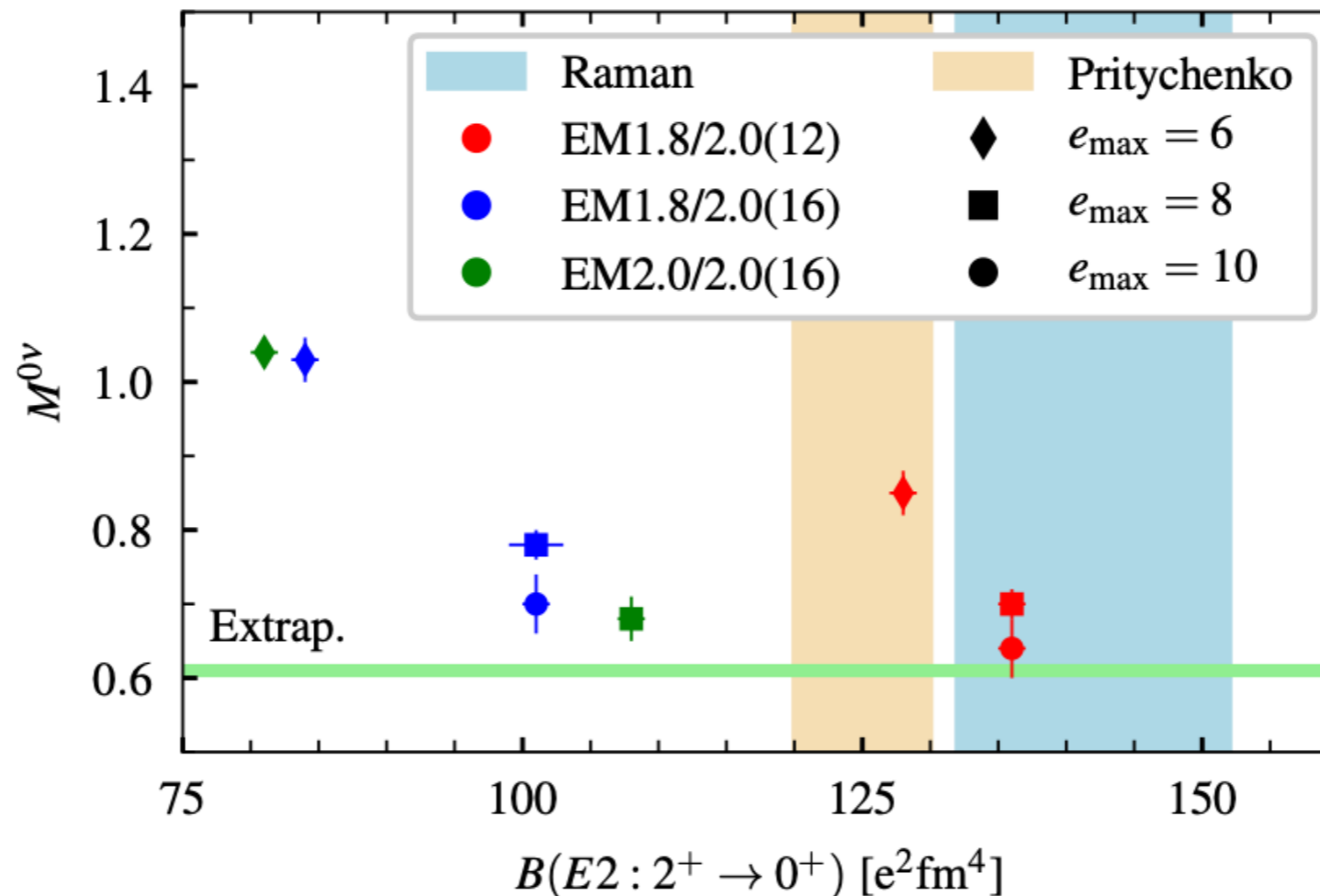


- **consistency** between IM-GCM and IM-NCSM
- nuclear matrix element **insensitive to spread of spectrum**
- “lore” based on phenomenological interactions may be misleading (scale/scheme dependence)

# $0\nu\beta\beta$ Decay of $^{48}\text{Ca}$



*J. M. Yao et al., PRL 124, 232501 (2020); PRC 103, 014315 (2021)*



- NME **consistent** with **VS-IMSRG** and **CC** results (A. Belley et al., PRL 126, 042502, S. Novario et al.)
- only **weak correlation** with  $B(E2)$
- $^{76}\text{Ge}$  and heavier candidates in progress

**not the full story yet:** improve IMSRG truncations, additional GCM correlations, include currents, ...

# Interfaces with Tensor Networks

- “obvious” operator basis for many-body problems:

$$\{O_{pq}, O_{pqrs}, O_{pqrst}, \dots\} \equiv \{a_p^\dagger a_q, a_p^\dagger a_q^\dagger a_s a_r, a_p^\dagger a_q^\dagger a_r^\dagger a_u a_t a_s, \dots\}$$

- state of the art:  $O(10^8)$  operators & coupling coefficients, **next-level:  $O(10^{12})$**  or even more
  - normal ordering “informs” the operator basis of physics, but doesn’t change its size
  - **in contrast:**  $O(10)$  interaction **operators** (even with  $3N$ ),  $O(100)$  particles - there must be **lots of redundancy**
- ➔ **principal component analysis & tensor factorization**

see talk by  
**A. Tichai**

# IMSRG Hybrid Approaches



- **VS-IMSRG**

[review: S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, Ann. Rev. Nucl. Part. Sci **69**, 307 (2019)]

- **IM-NCSM**

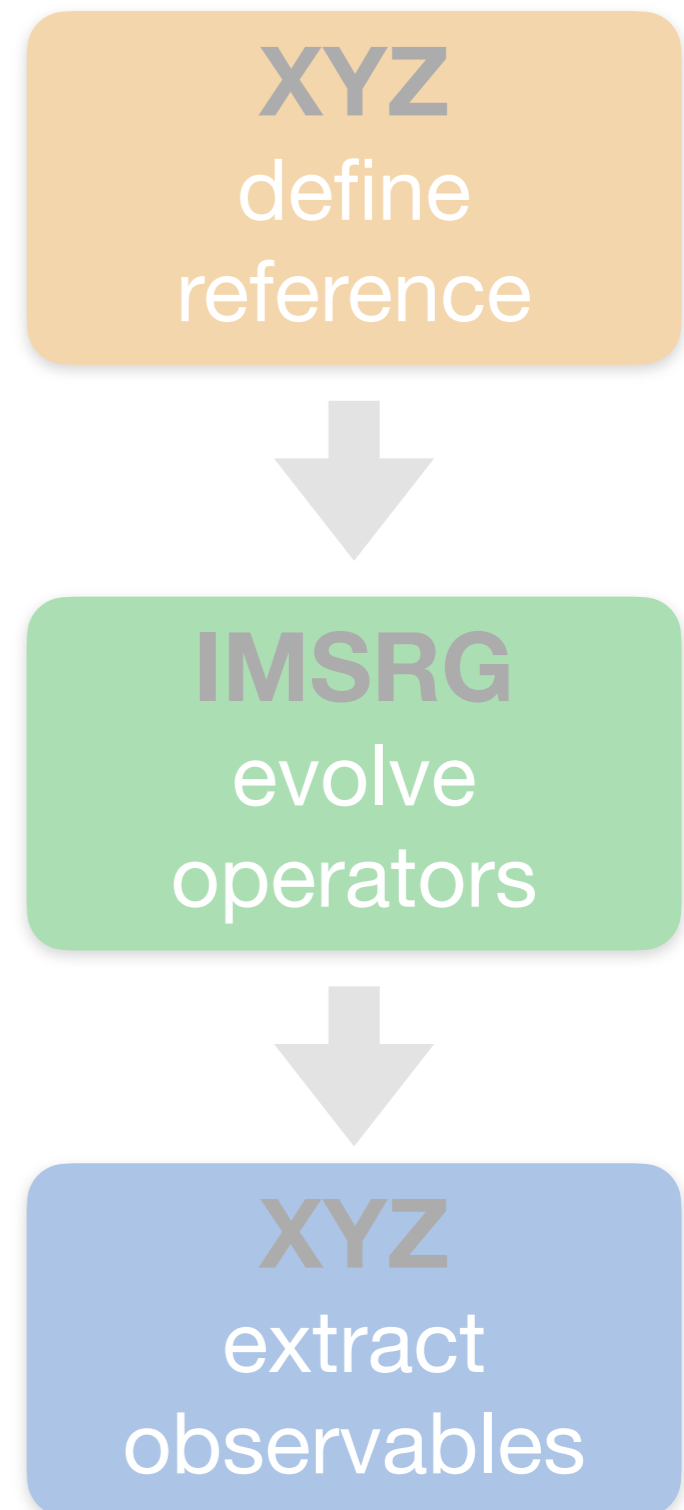
[E. Gebrerufael, K. Vobig, HH, R. Roth, PRL **118**, 152503; with R. Roth, T. Mongolia, R. Wirth...]

- **unbiased**

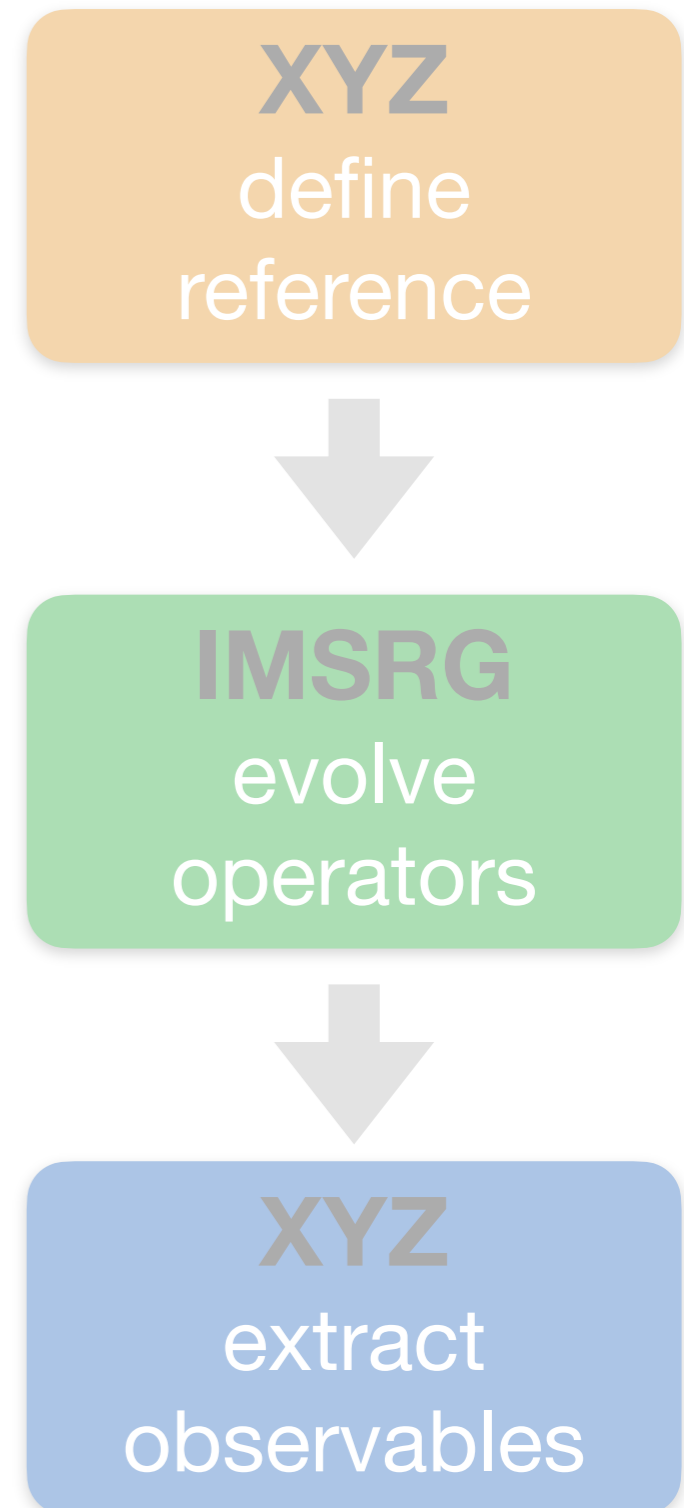
- active-space CI / FCI: **exponential scaling**

- **IM-GCM**

- requires **very few states ( $O(10)$ - $O(100)$ )**
- **biased** selection of configurations and generator coordinates



- How about **IM-DMRG** (or IMSRG + other tensor network methods)?
- aka **Canonical Transformation Theory + DMRG**  
[S. White, JCP **117**, 7472; Yanai et al. JCP **124**, 194106; JCP **127**, 104107; JCP **132**, 024105]
- **Efficient and unbiased ?**





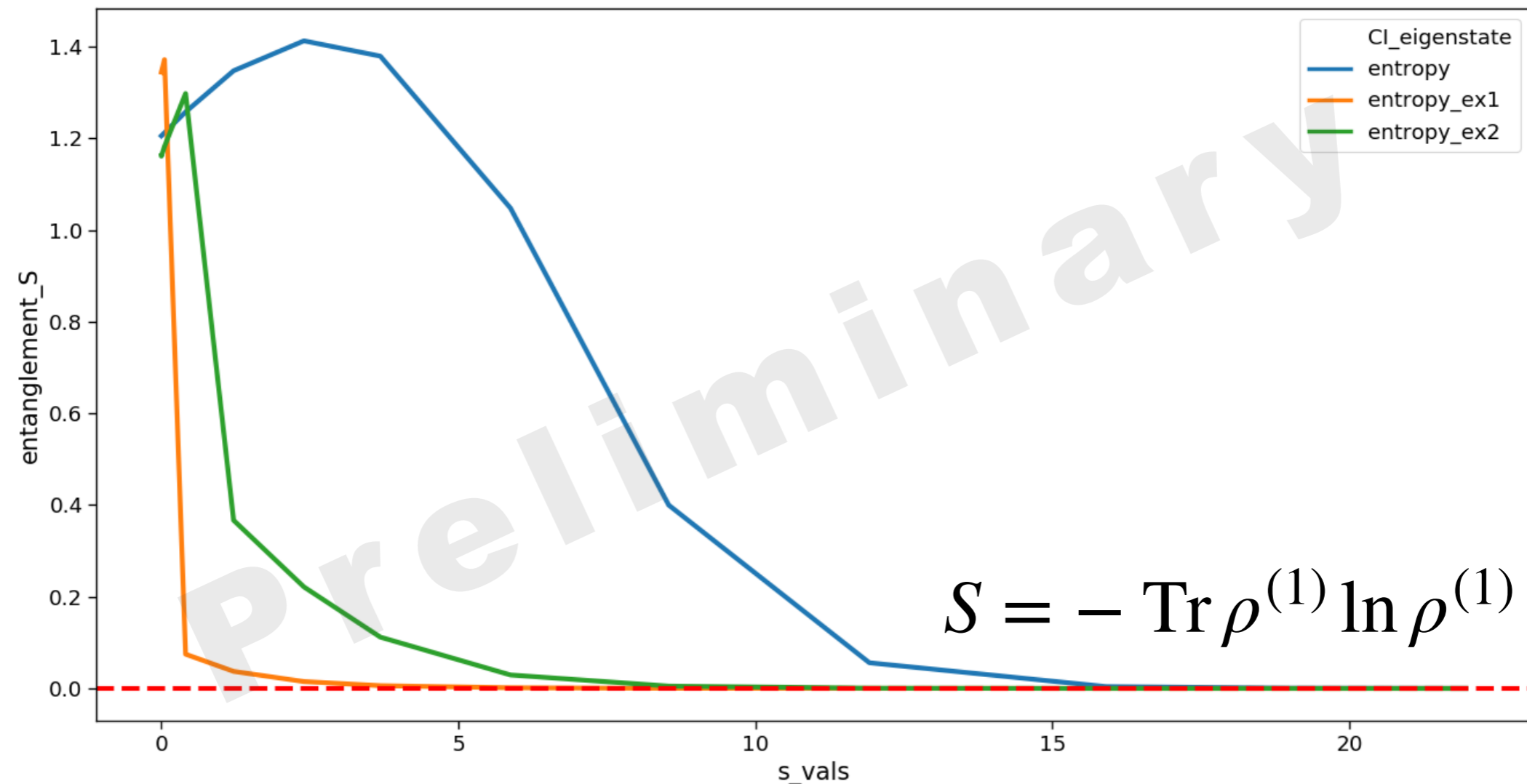
- **valence-space / active space DMRG**
  - based on **empirical** interactions (= **low-resolution**)
  - **issues:** mapping of orbitals to 1D chain, implementation of symmetries  
[Papenbrock & Dean, JPG 31, S1377 (2004); Thakur et al., PRC 78, 041303]
  - recent advances: better accounting for **entanglement**  
[Legeza et al., PRC 02, 051303; Kruppa et al., JPG 48, 025107]
  - inclusion of **continuum** possible via Gamow-DMRG  
[J. Rotureau et al., PRC 79, 014304; K. Fosseiz et al., PRC 98, 061302 and arXiv:2105.05287]
- ab initio **No-Core Gamow Shell Model / DMRG** based on RG-evolved **two-nucleon interactions** [J. Rotureau et al.]
- **slow convergence** an issue beyond mass  $A=8-10$

# IMSRG as a Disentangler



Pairing model  $g = 1.20$ ,  $pb = 0.00$

[figures by J. Davison]



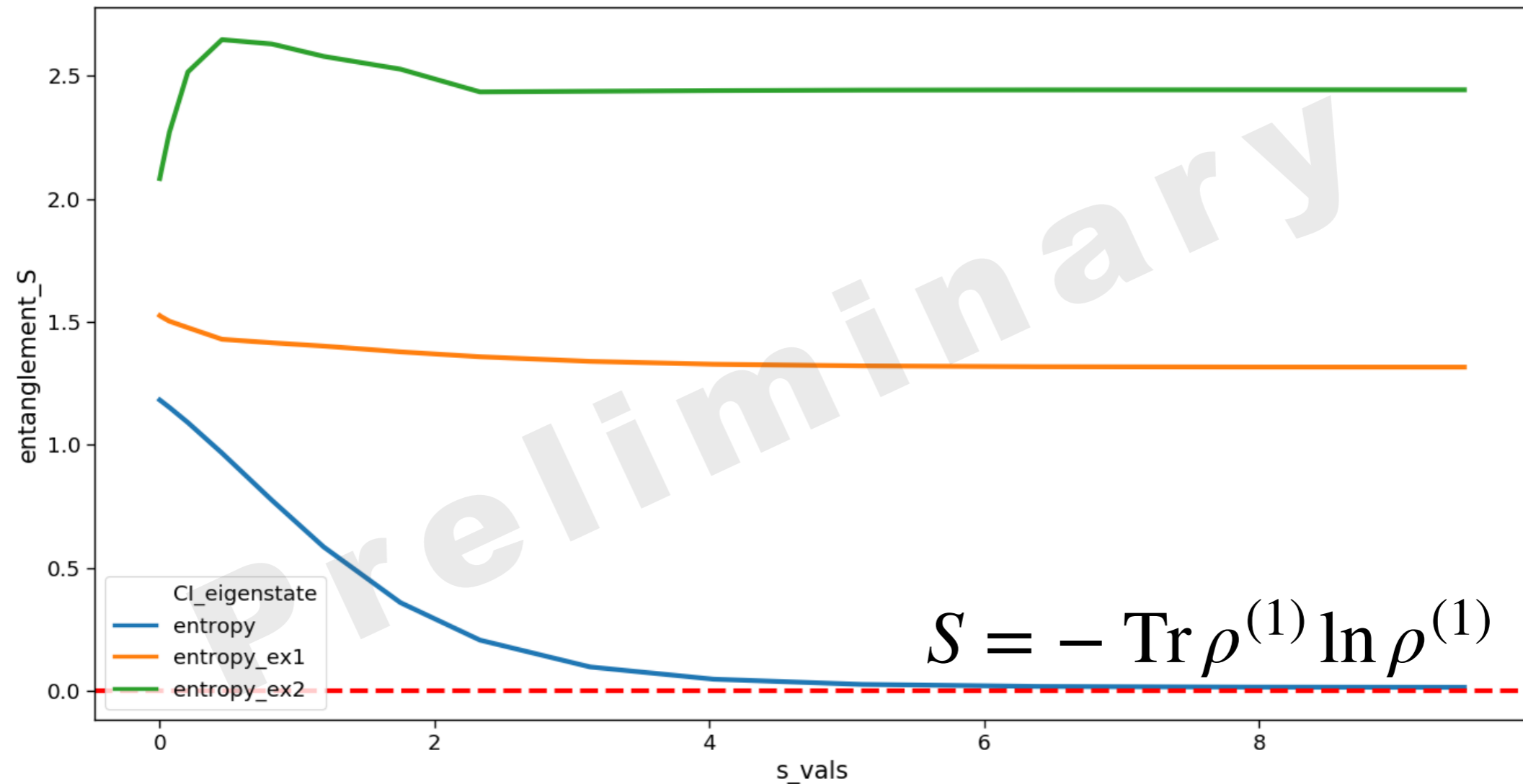
- IMSRG maps **interacting ground state to reference state** (here, a Slater determinant)
- eigenstates with similar structure (fully paired) are mapped onto Slater determinants by the same transformation

# IMSRG as a Disentangler



Pairing model  $g = 1.20$ ,  $pb = 0.20$

[figures by J. Davison]



- ground-state mapping still successful for more “complex” Hamiltonian (pairing plus pair-breaking)

- **IM-DMRG** [with K. Fosseze and J. Rotureau]
- **entanglement-based generators** for the IMSRG ?
  - need to translate entanglement from wave function property into operator property, e.g., **entangling power** [see, e.g., Zanardi et al., PRA **62**, 030301; Beane & Farrell, arXiv:2011.01278]
- derive **effective (no-core, active-space, schematic) Hamiltonians** using SRG and IMSRG flows
  - e.g., Coulomb: free-space SRG has little effect, but IMSRG decoupling of active space might

- Could (IM)SRG transformations be used as **disentangler** in tensor networks?
- Computational cost benefits compared to variational optimization?
- **Tensor network structure** of the IMSRG transformation / wave function  $|\Psi\rangle = U(s) |\Phi_{\text{ref}}\rangle$ ?
- relation with tensor networks, e.g., (c)MERA [Haegemann et al., PRL **100**, 100402], ...
- Unitary neural networks?
- **And probably many more... I'm happy to discuss!**

# Acknowledgments



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**and many more...**

