

# The dissection of $\gamma_V N \rightarrow N$ and $\gamma_V N \rightarrow R$ electromagnetic form factors

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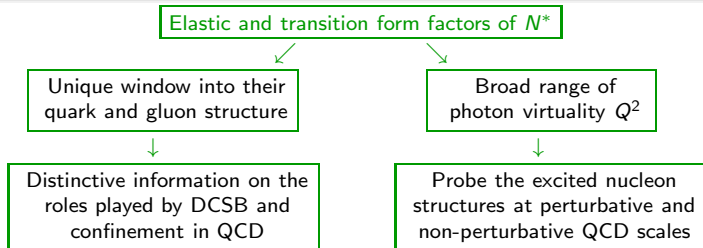


**Alexander von Humboldt**  
Stiftung/Foundation

INT Workshop INT-16-62W  
**Spectrum and Structure of Excited Nucleons from Exclusive  
Electroproduction**

November 14 - 18, 2016

*A central goal of Nuclear Physics: understand the properties of hadrons in terms of the elementary excitations in Quantum Chromodynamics (QCD): quarks and gluons.*



## CEBAF Large Acceptance Spectrometer (CLAS@JLAB)

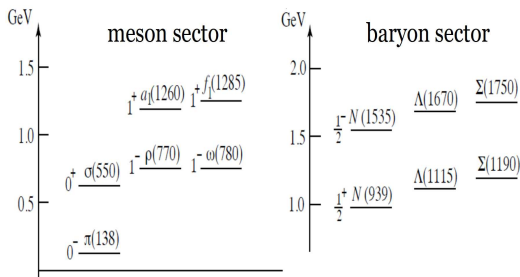
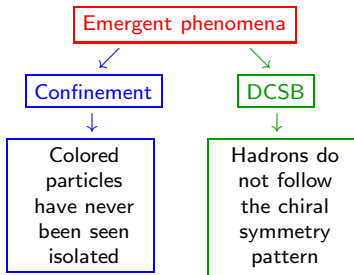
- ☞ Most accurate results for the electroexcitation amplitudes of the four lowest excited states.
- ☞ They have been measured in a range of  $Q^2$  up to:
  - $8.0 \text{ GeV}^2$  for  $\Delta(1232)P_{33}$  and  $N(1535)S_{11}$ .
  - $4.5 \text{ GeV}^2$  for  $N(1440)P_{11}$  and  $N(1520)D_{13}$ .
- ☞ The majority of new data was obtained at JLab.



Upgrade of CLAS up to  $12 \text{ GeV}^2$  → CLAS12 (commissioning runs are underway)

*Hadrons, as bound states, are dominated by non-perturbative QCD dynamics*

- Explain how quarks and gluons bind together  $\Rightarrow$  Confinement
- Origin of the 98% of the mass of the proton  $\Rightarrow$  DCSB



*Neither of these phenomena is apparent in QCD's Lagrangian*

*however!*

*They play a dominant role in determining the characteristics of real-world QCD*

*The best promise for progress is a strong interplay between experiment and theory*

# Non-perturbative QCD: Confinement and dynamical chiral symmetry breaking (II)

*From a quantum field theoretical point of view: Emergent phenomena could be associated with dramatic, dynamically driven changes in the analytic structure of QCD's propagators and vertices.*

## ☞ Dressed-quark propagator in Landau gauge:

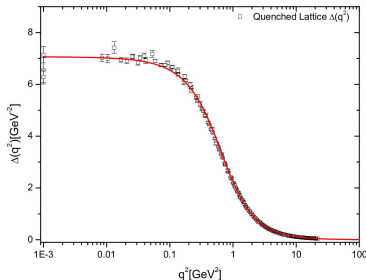
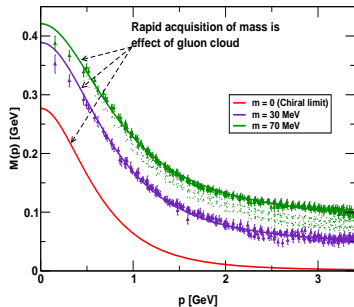
$$S^{-1}(p) = Z_2(i\gamma \cdot p + m^{\text{bm}}) + \Sigma(p) = \left( \frac{Z(p^2)}{i\gamma \cdot p + M(p^2)} \right)^{-1}$$

- Mass generated from the interaction of quarks with the gluon-medium.
- Light quarks acquire a **HUGE** constituent mass.
- Responsible of the 98% of the mass of the proton and the large splitting between parity partners.

## ☞ Dressed-gluon propagator in Landau gauge:

$$i\Delta_{\mu\nu} = -iP_{\mu\nu}\Delta(q^2), \quad P_{\mu\nu} = g_{\mu\nu} - q_\mu q_\nu / q^2$$

- An inflexion point at  $p^2 > 0$ .
- Breaks the axiom of reflexion positivity.
- No physical observable related with.



The quantum equations of motion whose solutions are the Schwinger functions

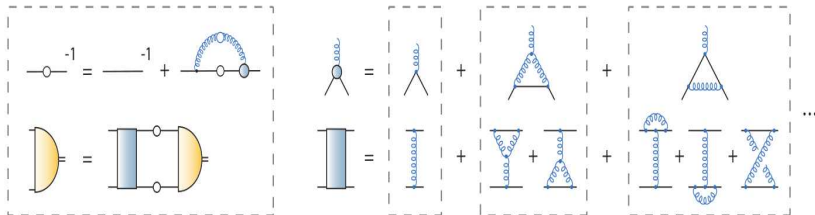
☞ **Continuum** Quantum Field Theoretical Approach:

- Generating tool for perturbation theory → No model-dependence.
- Also **nonperturbative** tool → Any model-dependence should be incorporated here.

☞ Poincaré **covariant** formulation.

☞ All momentum scales and valid from light to heavy quarks.

☞ EM gauge invariance, chiral symmetry, massless pion in chiral limit...



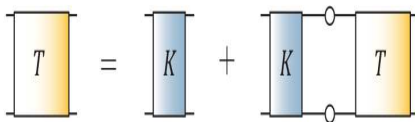
- No constant quark mass unless NJL contact interaction.
- No crossed-ladder unless consistent quark-gluon vertex.
- Cannot add e.g. an explicit confinement potential.

⇒ **modelling only within these constraints!**

# The bound-state problem in quantum field theory

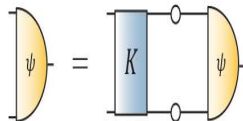
Extraction of hadron properties from poles in  $q\bar{q}$ ,  $qqq$ ,  $qq\bar{q}\bar{q}$ ... scattering matrices

Use **scattering equation** (inhomogeneous BSE) to obtain  $T$  in the first place:  $T = K + KG_0 T$



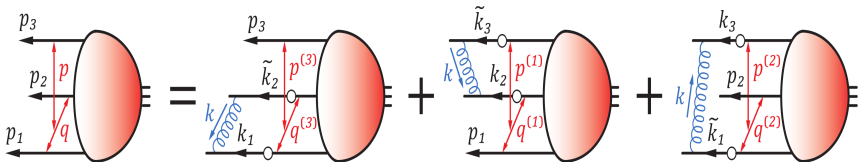
$p^2 \rightarrow -m^2$

Homogeneous BSE for **BS amplitude**:



☞ **Baryons**. A 3-body bound state problem in quantum field theory:

Faddeev equation in rainbow-ladder truncation

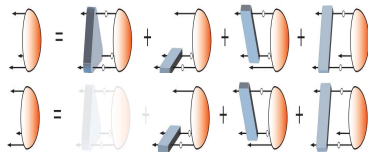


**Faddeev equation**: Sums all possible quantum field theoretical exchanges and interactions that can take place between the three dressed-quarks that define its valence quark content.

*The attractive nature of quark-antiquark correlations in a color-singlet meson is also attractive for  $\bar{3}_c$  quark-quark correlations within a color-singlet baryon*

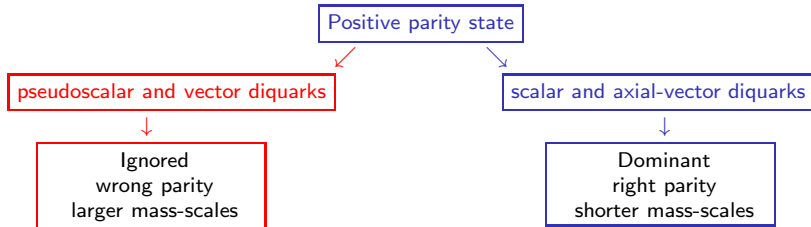
## Diquark correlations:

- A tractable truncation of the Faddeev equation.
- In  $N_c = 2$  QCD: diquarks can form color singlets with are the baryons of the theory.
- In our approach: Non-pointlike color-antitriplet and fully interacting.

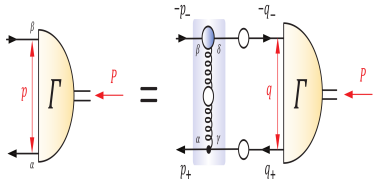


Thanks to G. Eichmann.

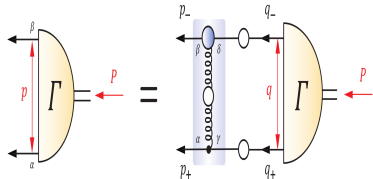
## Diquark composition of the Nucleon and Roper



Meson BSE



Diquark BSE



☞ Owing to properties of charge-conjugation, a diquark with spin-parity  $J^P$  may be viewed as a partner to the analogous  $J^{-P}$  meson:

$$\Gamma_{q\bar{q}}(p; P) = - \int \frac{d^4 q}{(2\pi)^4} g^2 D_{\mu\nu}(p-q) \frac{\lambda^a}{2} \gamma_\mu S(q+P) \Gamma_{q\bar{q}}(q; P) S(q) \frac{\lambda^a}{2} \gamma_\nu$$

$$\Gamma_{qq}(p; P) C^\dagger = - \frac{1}{2} \int \frac{d^4 q}{(2\pi)^4} g^2 D_{\mu\nu}(p-q) \frac{\lambda^a}{2} \gamma_\mu S(q+P) \Gamma_{qq}(q; P) C^\dagger S(q) \frac{\lambda^a}{2} \gamma_\nu$$

☞ Whilst no pole-mass exists, the following mass-scales express the strength and range of the correlation:

$$m_{[ud]_{0+}} = 0.7-0.8 \text{ GeV}, \quad m_{\{uu\}_{1+}} = 0.9-1.1 \text{ GeV}, \quad m_{\{dd\}_{1+}} = m_{\{ud\}_{1+}} = m_{\{uu\}_{1+}}$$

☞ Diquark correlations are soft, they possess an electromagnetic size:

$$r_{[ud]_{0+}} \gtrsim r_\pi, \quad r_{\{uu\}_{1+}} \gtrsim r_\rho, \quad r_{\{uu\}_{1+}} > r_{[ud]_{0+}}$$



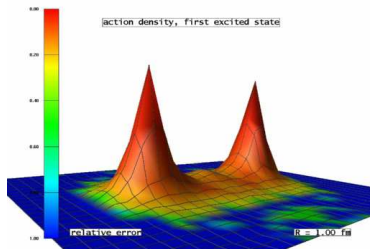
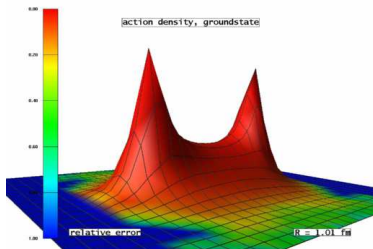
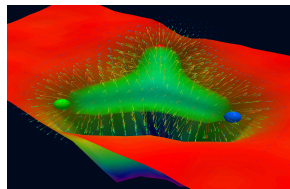
# Remark about the 3-gluon vertex

☞ A Y-junction flux-tube picture of nucleon structure is produced in **quenched** lattice QCD simulations that use **static sources** to represent the proton's valence-quarks.

*F. Bissey et al. PRD 76 (2007) 114512.*

☞ This might be viewed as originating in the 3-gluon vertex which signals the non-Abelian character of QCD.

☞ These suggest a key role for the three-gluon vertex in nucleon structure if they were equally valid in real-world QCD: **finite quark masses and light dynamical quarks.**

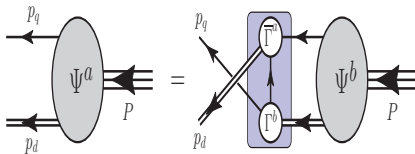


*G.S. Bali, PRD 71 (2005) 114513.*

*The dominant effect of non-Abelian multi-gluon vertices is expressed in the formation of diquark correlations through Dynamical Chiral Symmetry Breaking.*

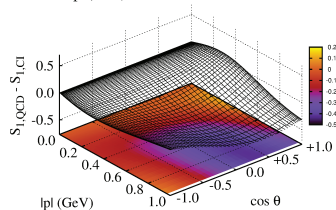
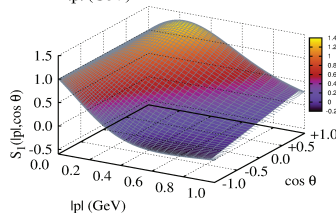
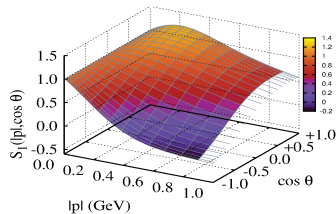
# The quark+diquark structure of the nucleon (I)

Faddeev equation in the quark-diquark picture



Dominant piece in nucleon's eight-component Poincaré-covariant Faddeev amplitude:  $s_1(|p|, \cos \theta)$

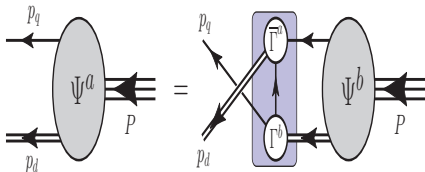
- There is strong variation with respect to both arguments in the quark+scalar-diquark relative momentum correlation.
- Support is concentrated in the forward direction,  $\cos \theta > 0$ . Alignment of  $p$  and  $P$  is favoured.
- Amplitude peaks at  $(|p| \sim M_N/6, \cos \theta = 1)$ , whereat  $p_q \sim p_d \sim P/2$  and hence the *natural* relative momentum is zero.
- In the anti-parallel direction,  $\cos \theta < 0$ , support is concentrated at  $|p| = 0$ , i.e.  $p_q \sim P/3, p_d \sim 2P/3$ .



# The quark+diquark structure of the nucleon (II)

A nucleon (and kindred baryons) can be viewed as a **Borromean bound-state**, the binding within which has two contributions:

- Formation of tight diquark correlations.
- Quark exchange depicted in the shaded area.



The exchange ensures that diquark correlations within the nucleon are **fully dynamical**: no quark holds a special place.

The rearrangement of the quarks guarantees that the nucleon's wave function complies with **Pauli statistics**.

Modern diquarks are **different from the old static, point-like diquarks** which featured in early attempts to explain the so-called missing resonance problem.

The number of states in the **spectrum of baryons obtained is similar** to that found in the three-constituent quark model, just as it is in today's LQCD calculations.

Modern diquarks enforce certain **distinct interaction patterns** for the singly- and doubly-represented valence-quarks within the proton.

## One-loop diagrams

## Two-loop diagrams

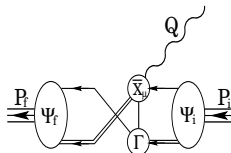
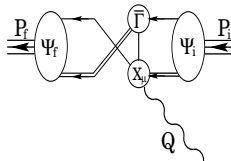
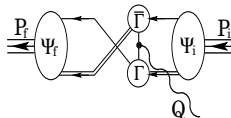
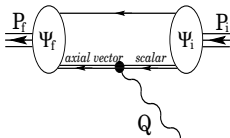
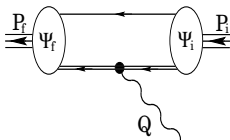
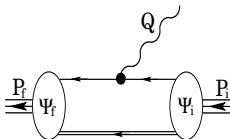
One must specify how the photon couples to the constituents within the baryon.



Six contributions to the current in the quark-diquark picture



- 1 Coupling of the photon to the dressed quark.
- 2 Coupling of the photon to the dressed diquark:
  - ➔ Elastic transition.
  - ➔ Induced transition.
- 3 Exchange and seagull terms.



- ☞ **Gluon propagator:** Contact interaction.

$$g^2 D_{\mu\nu}(p - q) = \delta_{\mu\nu} \frac{4\pi\alpha_{\text{IR}}}{m_G^2}$$

- ☞ **Truncation scheme:** Rainbow-ladder.

$$\Gamma_\nu^a(q, p) = (\lambda^a/2)\gamma_\nu$$

- ☞ **Quark propagator:** Gap equation.

$$\begin{aligned} S^{-1}(p) &= i\gamma \cdot p + m + \Sigma(p) \\ &= i\gamma \cdot p + M \end{aligned}$$

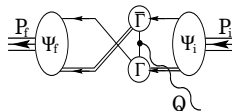
Implies momentum independent constituent quark mass ( $M \sim 0.4 \text{ GeV}$ ).

- ☞ **Hadrons:** Bound-state amplitudes independent of internal momenta.

- ☞ **Form Factors:** Two-loop diagrams not incorporated.

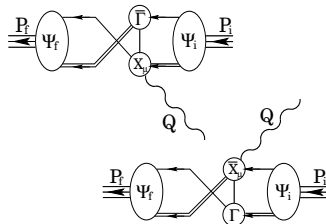
Exchange diagram

It is zero because our treatment of the contact interaction model



Seagull diagrams

They are zero

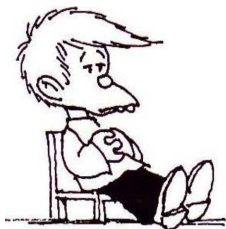


*CI framework has judiciously been applied to a large body of hadron phenomena.  
Produces results in qualitative agreement with those obtained using  
most-sophisticated interactions.*

- ④ **Features and flaws of a contact interaction treatment of the kaon**  
C. Chen, L. Chang, C.D. Roberts, S.M. Schmidt S. Wan and D.J. Wilson  
Phys. Rev. C **87** 045207 (2013). arXiv:1212.2212 [nucl-th]
- ④ **Spectrum of hadrons with strangeness**  
C. Chen, L. Chang, C.D. Roberts, S. Wan and D.J. Wilson  
Few Body Syst. **53** 293-326 (2012). arXiv:1204.2553 [nucl-th]
- ④ **Nucleon and Roper electromagnetic elastic and transition form factors**  
D.J. Wilson, I.C. Cloët, L. Chang and C.D. Roberts  
Phys. Rev. C **85**, 025205 (2012). arXiv:1112.2212 [nucl-th]
- ④  **$\pi^-$  and  $\rho$ -mesons, and their diquark partners, from a contact interaction**  
H.L.L. Roberts, A. Bashir, L.X. Gutierrez-Guerrero, C.D. Roberts and D.J. Wilson  
Phys. Rev. C **83**, 065206 (2011). arXiv:1102.4376 [nucl-th]
- ④ **Masses of ground and excited-state hadrons**  
H.L.L. Roberts, L. Chang, I.C. Cloët and C.D. Roberts  
Few Body Syst. **51**, 1-25 (2011). arXiv:1101.4244 [nucl-th]
- ④ **Abelian anomaly and neutral pion production**  
H.L.L. Roberts, C.D. Roberts, A. Bashir, L.X. Gutierrez-Guerrero and P.C. Tandy  
Phys. Rev. C **82**, 065202 (2010). arXiv:1009.0067 [nucl-th]

A truncation which produces Faddeev amplitudes that are independent of relative momentum:

- Underestimates the quark orbital angular momentum content of the bound-state.
- Eliminates two-loop diagram contributions in the EM currents.
- Produces hard form factors.



Momentum dependence in the gluon propagator

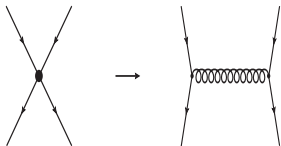


QCD-based framework



Contrasting the results obtained for the same observables one can expose those quantities which are most sensitive to the momentum dependence of elementary quantities in QCD.

- ☞ Gluon propagator:  $1/k^2$ -behaviour.



- ☞ Truncation scheme: Rainbow-ladder.

$$\Gamma_{\nu}^a(q, p) = (\lambda^a/2)\gamma_{\nu}$$

- ☞ Quark propagator: Gap equation.

$$\begin{aligned} S^{-1}(p) &= Z_2(i\gamma \cdot p + m^{\text{bm}}) + \Sigma(p) \\ &= [1/Z(p^2)] [i\gamma \cdot p + M(p^2)] \end{aligned}$$

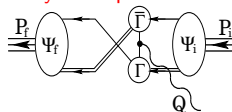
Implies momentum dependent constituent quark mass ( $M(p^2 = 0) \sim 0.33 \text{ GeV}$ ).

- ☞ Hadrons: Bound-state amplitudes dependent of internal momenta.

- ☞ Form Factors: Two-loop diagrams incorporated.

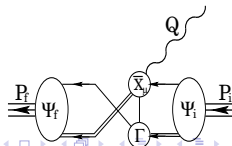
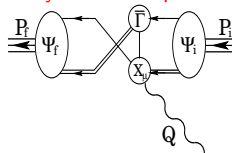
Exchange diagram

Play an important role



Seagull diagrams

They are less important





# The $\gamma^* N \rightarrow$ Nucleon reaction

Work in collaboration with:

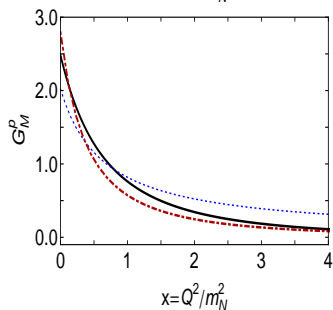
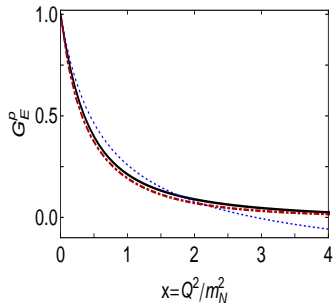
- Craig D. Roberts (Argonne)
- Ian C. Cloët (Argonne)
- Sebastian M. Schmidt (Jülich)

Based on:

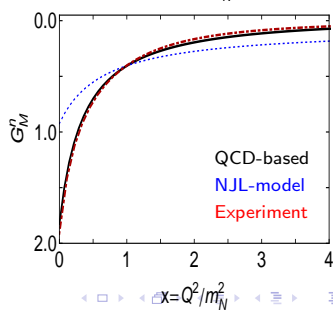
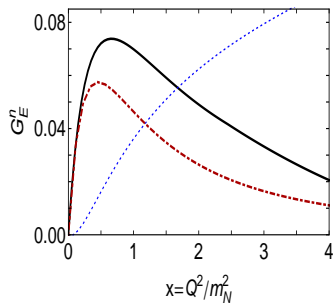
- Phys. Lett. B750 (2015) 100-106 [arXiv: 1506.05112 [nucl-th]]
- Few-Body Syst. 55 (2014) 1185-1222 [arXiv: 1408.2919 [nucl-th]]

# Sachs electric and magnetic form factors

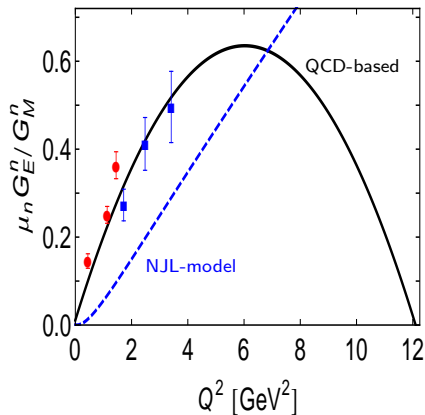
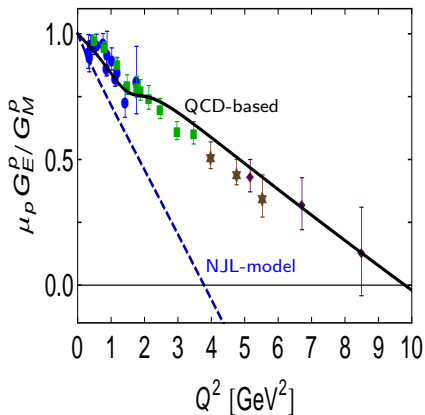
☞  $Q^2$ -dependence of **proton** form factors:



☞  $Q^2$ -dependence of **neutron** form factors:



Both CI and QCD-kindred frameworks predict a zero crossing in  $\mu_p G_E^p / G_M^p$



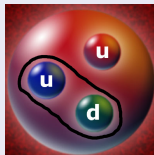
The possible existence and location of the zero in  $\mu_p G_E^p / G_M^p$  is a fairly direct measure of the nature of the quark-quark interaction

# A world with only scalar diquarks

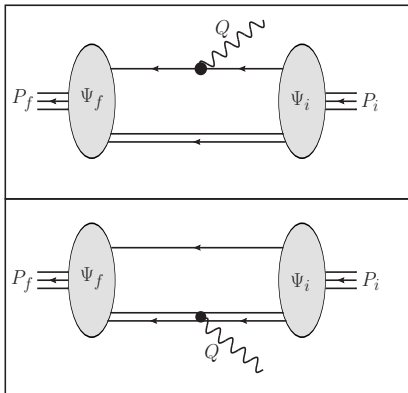
The singly-represented  $d$ -quark in the proton  $\equiv u[ud]_{0+}$  is sequestered inside a soft scalar diquark correlation.

🔍 *Observation:*

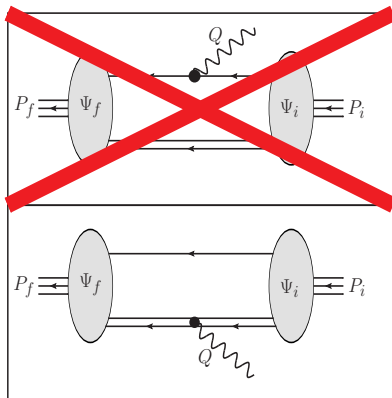
$$\text{diquark-diagram} \propto 1/Q^2 \times \text{quark-diagram}$$



Contributions coming from  $u$ -quark



Contributions coming from  $d$ -quark

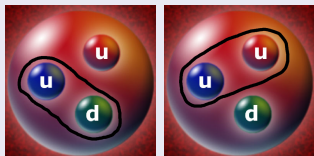


# A world with scalar and axial-vector diquarks (I)

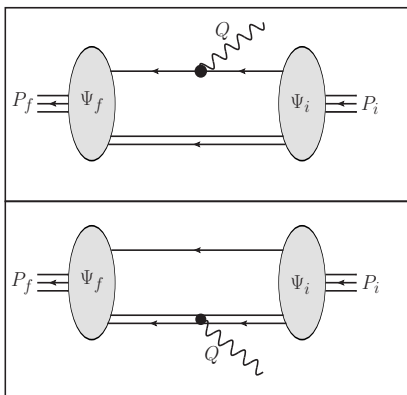
The singly-represented  $d$ -quark in the proton is **not always (but often)** sequestered inside a soft scalar diquark correlation.

👁 Observation:

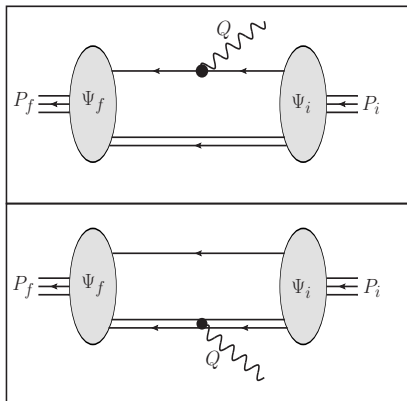
$$\mathcal{P}_{\text{scalar}} \sim 0.62, \quad \mathcal{P}_{\text{axial}} \sim 0.38$$



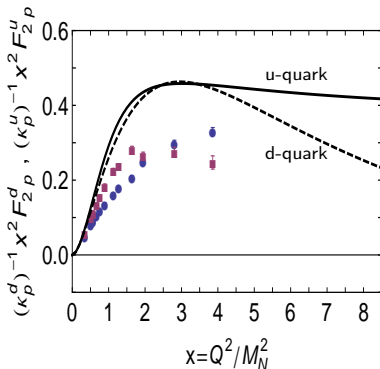
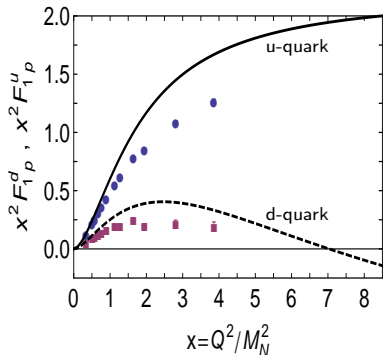
Contributions coming from  $u$ -quark



Contributions coming from  $d$ -quark



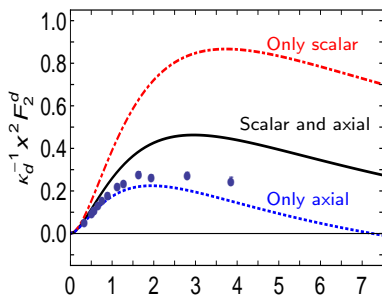
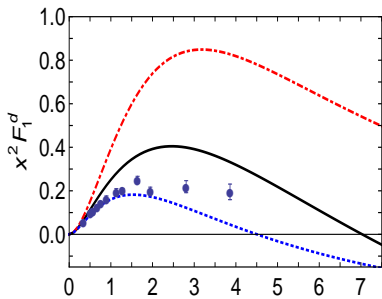
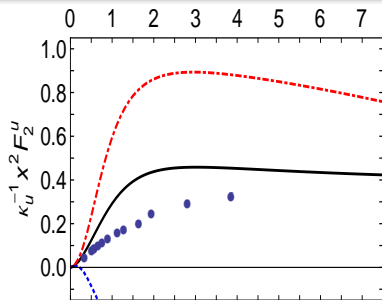
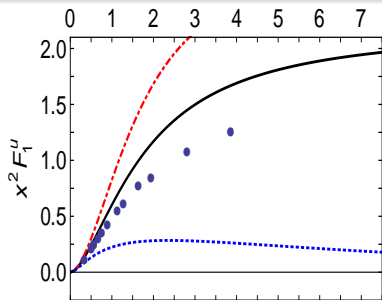
# A world with scalar and axial-vector diquarks (II)



## Observations:

- $F_{1p}^d$  is suppressed with respect  $F_{1p}^u$  in the whole range of momentum transfer.
- The location of the zero in  $F_{1p}^d$  depends on the relative probability of finding  $1^+$  and  $0^+$  diquarks in the proton.
- $F_{2p}^d$  is suppressed with respect  $F_{2p}^u$  but only at large momentum transfer.
- There are contributions playing an important role in  $F_2$ , like the anomalous magnetic moment of dressed-quarks or meson-baryon final-state interactions.

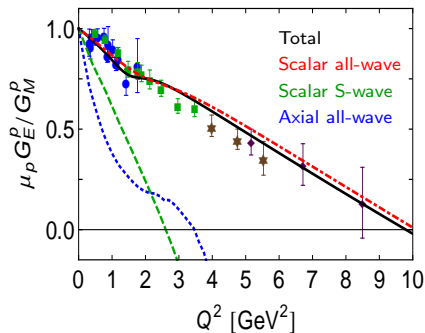
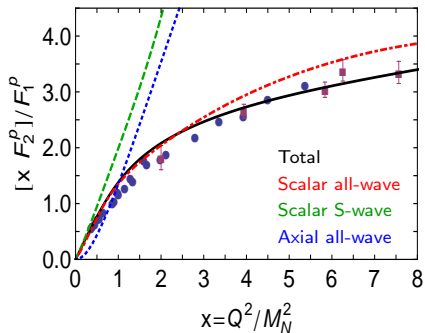
# Comparison between worlds (I)



$$x = Q^2/M_N^2$$

$$x = Q^2/M_N^2$$

## Comparison between worlds (II)



### Observations:

- Axial-vector diquark contribution is not enough in order to explain the proton's electromagnetic ratios.
- Scalar diquark contribution is dominant and responsible of the  $Q^2$ -behaviour of the the proton's electromagnetic ratios.
- Higher quark-diquark orbital angular momentum components of the nucleon are critical in explaining the data.

*The presence of higher orbital angular momentum components in the nucleon is an inescapable consequence of solving a realistic Poincaré-covariant Faddeev equation*



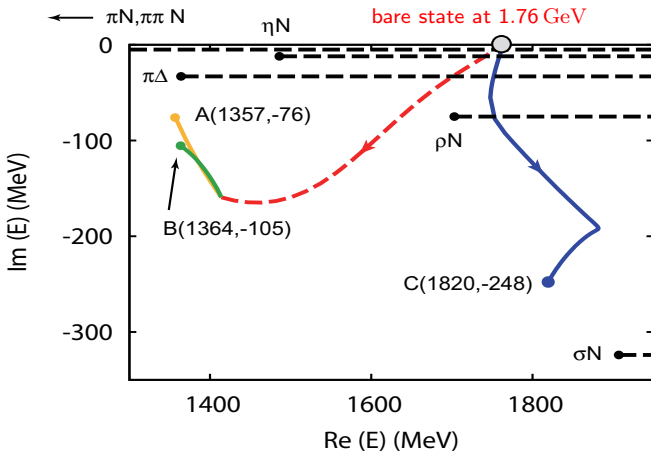
# The $\gamma^* N \rightarrow$ Roper reaction

Work in collaboration with:

- Craig D. Roberts (Argonne)
- Ian C. Cloët (Argonne)
- Bruno El-Bennich (São Paulo)
- Eduardo Rojas (São Paulo)
- Shu-Sheng Xu (Nanjing)
- Hong-Shi Zong (Nanjing)

Based on:

- Phys. Rev. Lett. 115 (2015) 171801 [arXiv: 1504.04386 [nucl-th]]
- Phys. Rev. C94 (2016) 042201(R) [arXiv: 1607.04405 [nucl-th]]

Disentangling the Dynamical Origin of  $P_{11}$  Nucleon ResonancesN. Suzuki,<sup>1,2</sup> B. Juliá-Díaz,<sup>3,2</sup> H. Kamano,<sup>2</sup> T.-S. H. Lee,<sup>2,4</sup> A. Matsuyama,<sup>5,2</sup> and T. Sato<sup>1,2</sup>

**The Roper is the proton's first radial excitation.** *Its unexpectedly low mass arise from a dressed-quark core that is shielded by a meson-cloud which acts to diminish its mass.*

# Nucleon's first radial excitation in DSEs

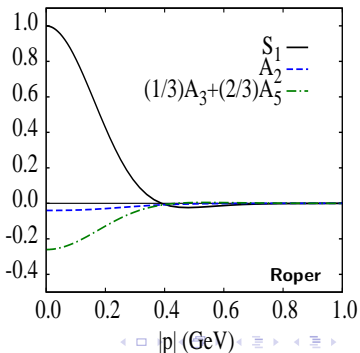
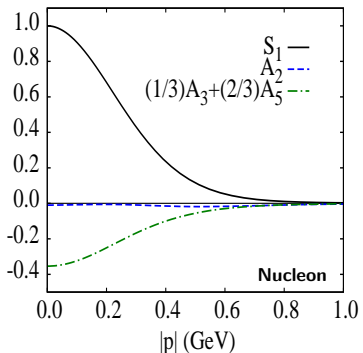
The bare  $N^*$  states correspond to hadron structure calculations which exclude the coupling with the meson-baryon final-state interactions:

$$M_{\text{Roper}}^{\text{DSE}} = 1.73 \text{ GeV} \quad M_{\text{Roper}}^{\text{EBAC}} = 1.76 \text{ GeV}$$

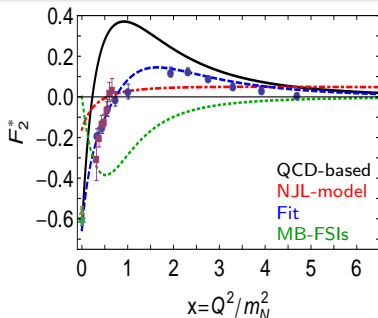
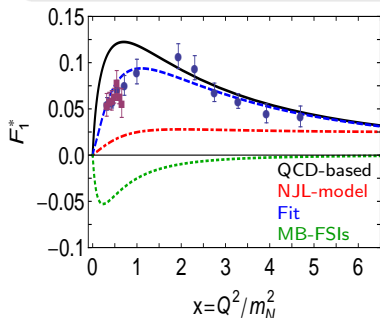
## Observation:

- Meson-Baryon final state interactions reduce dressed-quark core mass by 20%.
- Roper and Nucleon have very similar wave functions and diquark content.
- A single zero in S-wave components of the wave function  $\Rightarrow$  A radial excitation.

0th Chebyshev moment of the S-wave components



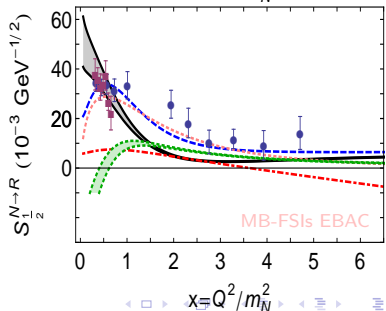
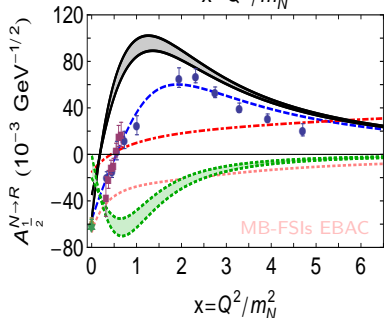
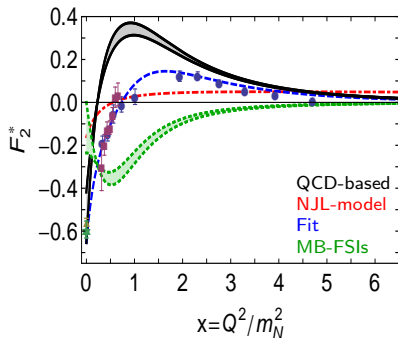
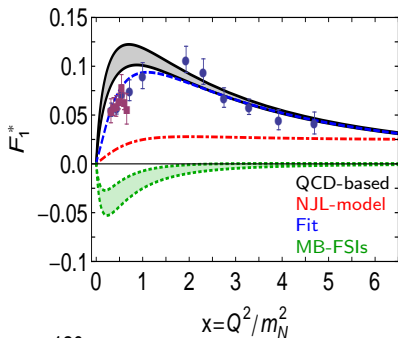
*Nucleon-to-Roper transition form factors at high virtual photon momenta penetrate the meson-cloud and thereby illuminate the dressed-quark core*



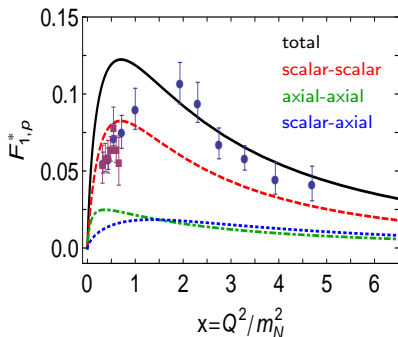
## Observations:

- Our calculation agrees quantitatively in magnitude and qualitatively in trend with the data on  $x \gtrsim 2$ .
- The mismatch between our prediction and the data on  $x \lesssim 2$  is due to meson cloud contribution.
- The dotted-green curve is an inferred form of meson cloud contribution from the fit to the data.
- The Contact-interaction prediction disagrees both quantitatively and qualitatively with the data.

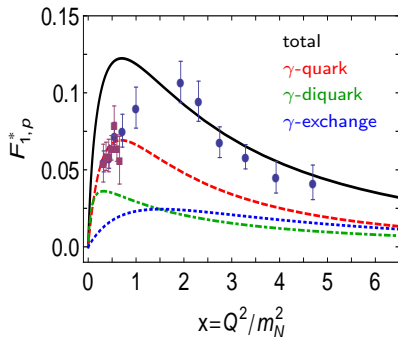
# Transition form factors (II)



## Diquark dissection



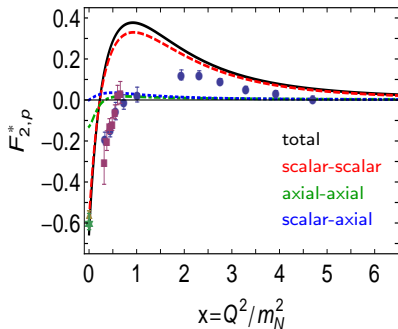
## Scatterer dissection



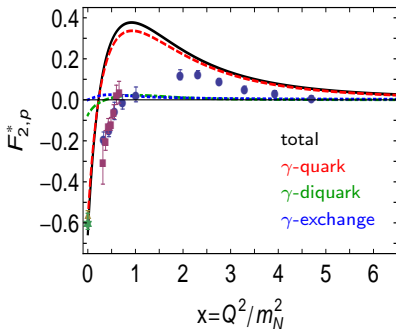
### Observations:

- The Dirac transition form factor is primarily driven by a photon striking a bystander dressed quark that is partnered by a scalar diquark.
- Lesser but non-negligible contributions from all other processes are found.
- In exhibiting these features,  $F_{1,p}^*$  shows marked qualitative similarities to the proton's elastic Dirac form factor.

## Diquark dissection



## Scatterer dissection

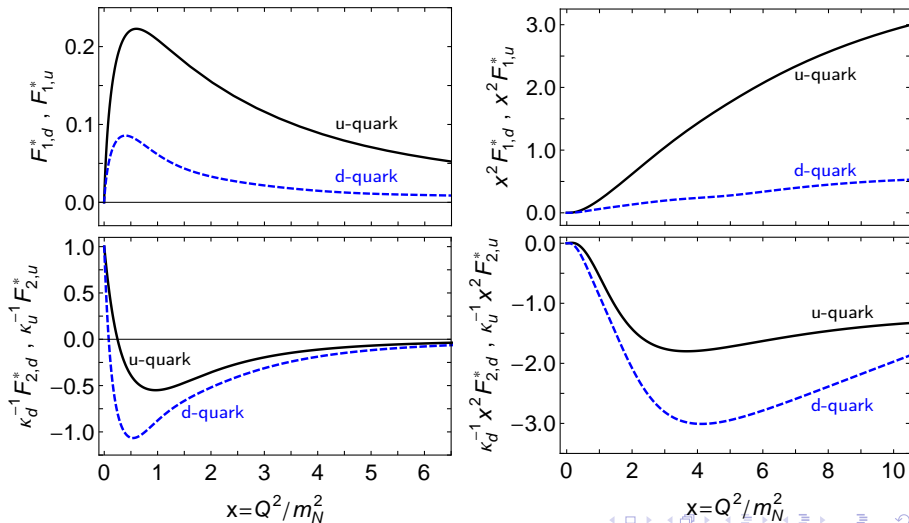


### Observations:

- A single contribution is overwhelmingly important: photon strikes a bystander dressed-quark in association with a scalar diquark.
- No other diagram makes a significant contribution.
- $F_{2,p}^*$  shows marked qualitative similarities to the proton's elastic Pauli form factor.

# Flavour-separated transition form factors

*Obvious similarity to the analogous form factor determined in elastic scattering*  
*The d-quark contributions of the form factors are suppressed with respect to the u-quark contributions*





## Quantum Field Theory view of a baryon:

- Poincaré covariance demands the presence of dressed-quark orbital angular momentum in the baryon.
- Dynamical chiral symmetry breaking and its correct implementation produces pions as well as strong electromagnetically-active diquark correlations.

## The $\gamma^* N \rightarrow$ Nucleon reaction:

- The presence of strong diquark correlations within the nucleon is sufficient to understand empirical extractions of the flavour-separated form factors.
- Scalar diquark dominance and the presence of higher orbital angular momentum components are responsible of the  $Q^2$ -behaviour of  $G_E^p/G_M^p$  and  $F_2^p/F_1^p$ .

## The $\gamma^* N \rightarrow$ Roper reaction:

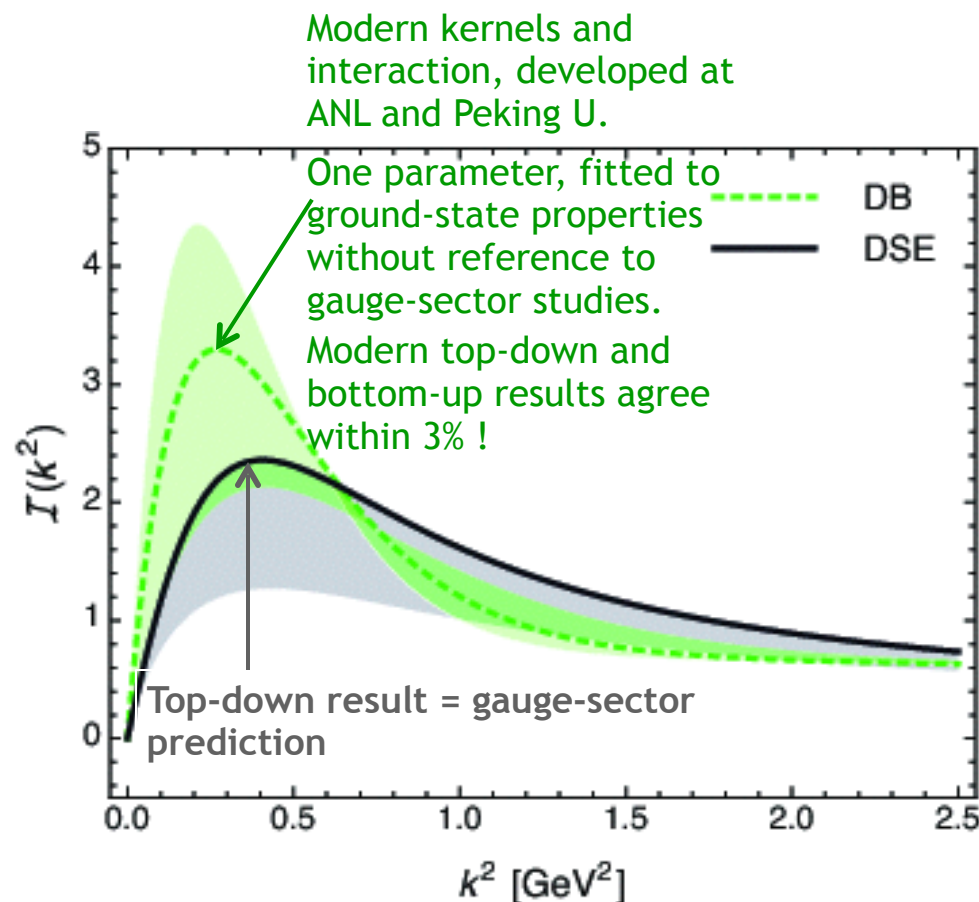
- The Roper is the proton's first radial excitation. It consists on a dressed-quark core augmented by a meson cloud that reduces its mass by approximately 20%.
- Our calculation agrees quantitatively in magnitude and qualitatively in trend with the data on  $x \gtrsim 2$ . The mismatch on  $x \lesssim 2$  is due to meson cloud contribution.
- Flavour-separated versions of transition form factors reveal that, as in the case of the elastic form factors, the  $d$ -quark contributions are suppressed with respect the  $u$ -quark ones.

# Bridging a gap between continuum-QCD

## & ab initio predictions of hadron observables Top down & Bottom up

D. Binosi (Italy), L. Chang (Australia), J. Papavassiliou (Spain),  
C. D. Roberts (US), [arXiv:1412.4782 \[nucl-th\]](https://arxiv.org/abs/1412.4782), *Phys. Lett. B* **742** (2015) 183

- Top-down approach - ab initio computation of the interaction via direct analysis of the gauge-sector gap equations
- Bottom-up scheme - infer interaction by fitting data within a well-defined truncation of the matter sector DSEs that are relevant to bound-state properties.
- *Serendipitous collaboration, conceived at one-week ECT\* Workshop on DSEs in Mathematics and Physics, has united these two approaches*
  - Interaction predicted by modern analyses of QCD's gauge sector coincides with that required to describe ground-state observables using the sophisticated matter-sector ANL-PKU DSE truncation



$$\int_0^1 dx_{Bj} \left( g_1^p(x_{Bj}, Q^2) - g_1^n(x_{Bj}, Q^2) \right) \equiv \frac{g_A}{6} \left[ 1 - \frac{\alpha_{g1}(Q^2)}{\pi} \right]$$

# Running coupling in QCD

S.J. Brodsky, H.J. Lu, *Phys. Rev. D* 51 (1995) 3652

S.J. Brodsky, G.T. Gabadadze, A.L. Kataev, H.J. Lu, *Phys. Lett. B* 372 (1996) 133

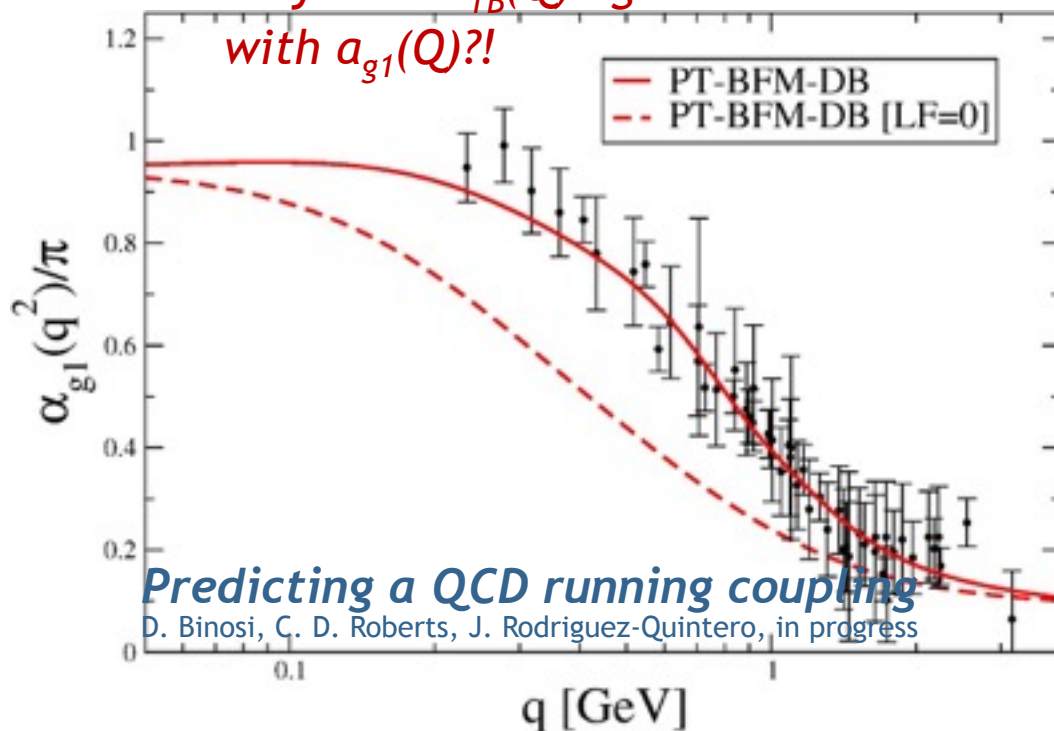
A. Deur, V. Burkert, Jian-Ping Chen, *Phys. Lett. B* 650 (2007) 244-248

➤ Data = running coupling defined from the Bjorken sum-rule

➤ Curve = predicted RGI running coupling, determined from the Top-Down/Bottom-Up DSE interaction (pictured previously)

- ✓ No parameters
- ✓ No matching condition
- ✓ No extrapolation
- ✓ Curve completely determined from lQCD information on the propagator of the massless-ghost and massive gluon
- ✓ Prediction implicitly incorporates infinitely many loops

Why does  $a_{TB}(Q)$  agree so well with  $a_{g1}(Q)$ ?!



- The curve is a running coupling that does NOT depend on the choice of observable
- It predicts and unifies an enormous body of empirical data via the matter-sector bound-state equations.

