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# **Constraining the symmetry energy and effective mass splitting from HICs**

### <u>Yingxun Zhang (张英逊)</u>

### CHINA INSTITUTE OF ATOMIC ENERGY

**Collaborator:** 

M.B.Tsang (曾敏儿),NSCL/Michigan State University

**Pierre Morfauce, NSCL/MSU** 

Hang Liu (刘航), Texas Advanced Computer center, University of Texas, Zhuxia Li (李祝霞), China Institute of Atomic Energy,

INT, Seattle, Aug. 9, 2016

### Outline

1, Symmetry energy constraints and its uncertainty

2, Sensitivity study of parameter x and observables O in transport model

3, Constraints on L, ms\* and fi from the HIC observables

- CI-DR(n/p) at 120AMeV,  $R_{diff}$  at 35, 50AMeV
- 4, Summary and outlook

Isospin asymmetric Equation of State

 $E(\rho,\delta) = E(\rho,\delta=0) + S(\rho)\delta^{2} + O(\delta^{4})$ 

It is a fundamental properties of nuclear matter, and is very important for understanding

- properties of nuclear structure
- properties of neutron star
- properties of heavy ion reaction mechanism

### Theoretical predictions on the properties of nuclear matter

- Effective field theory approaches (Based on Chiral perturbation theory, .....)
- Ab initio approaches (Based on the high precision free space nucleon-nucleon interaction) DBHF, SCGF, QMC



C. Fuchs / Progress in Particle and Nuclear Physics 56 (2006) 1-103

• Phenomenological density functional (Based on Gogny or Skyrme force or RMF)

E. Chabanat et al. / Nuclear Physics A 627 (1997) 710-746

$$V(\mathbf{r}_{1}, \mathbf{r}_{2}) = t_{0} (1 + x_{0} P_{\sigma}) \,\delta(\mathbf{r}) \qquad \text{central term} \qquad \text{Effect} \\ + \frac{1}{2} t_{1} (1 + x_{1} P_{\sigma}) \left[ \mathbf{P}^{\prime 2} \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{P}^{2} \right] \\ + t_{2} (1 + x_{2} P_{\sigma}) \mathbf{P}^{\prime} \cdot \delta(\mathbf{r}) \mathbf{P} \qquad \text{non-local terms} \\ + \frac{1}{6} t_{3} (1 + x_{3} P_{\sigma}) \left[ \mathbf{\rho} (\mathbf{R}) \right]^{\sigma} \delta(\mathbf{r}) \qquad \text{density-dependent term} \\ + i W_{0} \boldsymbol{\sigma} \cdot \left[ \mathbf{P}^{\prime} \times \delta(\mathbf{r}) \mathbf{P} \right] \qquad \text{spin-orbit term}.$$

- Skyrme force (Skyrme, 1956):
- simple and widely used
- effectively taken into account the complicated correlations
- parameter are determined by fitting, *rho*<sub>0</sub>, *K*<sub>0</sub>, *m*<sub>s</sub>\*, *kappa*, *mass*, .....
- Many applications in nuclear structure studies
- parameterization is not unique and there exists >240 sets (drawback)



Effective Skyrme

Density dependent of symmetry energy

$$S(\rho) = S_0 + \frac{L}{3} \left( \frac{\rho - \rho_o}{\rho_o} \right) + \frac{K_{\text{sym}}}{18} \left( \frac{\rho - \rho_o}{\rho_o} \right)^2 + \dots,$$

S<sub>0</sub>: symmetry energy coefficient L: slope of density dependent of symmetry energy

K<sub>sym</sub>: curvature of density dependent of symmetry energy



 $S(\rho)$  is the density dependence of symmetry energy, it is a key ingredient of the isospin asymmetric *EOS*. *However*,  $S(\rho)$  uncertainty

### Strategy for constraining the symmetry energy



### Progress on the constraints of symmetry energy



Consensus on symmetry energy have been obtained at subsaturation density.

Uncertainties on the constraints still need to be understand and improved! (L+-  $\Delta$ L)



parameter  $\theta$ )

### **Origin of the uncertainty:**

- Experimental uncertainty (need high precision experiment data)
- Model uncertainty (transport code comparison, talk by H. Wolter) or missed physics (??)
- sensitivity and parameters correlation in symmetry energy constraints (this talk)

#### Writing group

J. Xu L.W. Chen B. Tsang H. Wolter Y.X. Zhang

### Transport Code Comparison Project

#### Boltzmann-like (9)

I BLOB P. Napolitar	ni
2 SMF M. Colonna	ì
3 GiBUU(Sky) J. Weil/U. N	Aosel
4 GiBUU(RMF) J. Weil	
5 RVUU Taesoo Son	g
6 IBUU(04) Jun Xu	
7 IBL Wen-Jie Xie	e
8 RBUU Kyungil Kir	m
9 pBUU P. Danielew	vicz

#### MD-like (9)

	Code Name	Who did?
1	AMD	Akira Ono
2	CoMD	Maximo Papa
3	ImQMD-CIAE	Ying-Xun Zhang
4	IQMD	Ch. Hartnack
5	IQMD-BNU	Jun Su
6	IQMD-SINAP-	Guo-Qiang Zhang
7	LQMD-IMP	Zhao-Qing Feng
8	UrQMD (L=1)	Yong-Jia Wang
9	TuQMD	Dan Cozma

#### Efforts on this direction, since 2004, 2009, 2014, 2015, 2016, ....

#### PHYSICAL REVIEW C 93, 044609 (2016)

#### Understanding transport simulations of heavy-ion collisions at 100A and 400A MeV: Comparison of heavy-ion transport codes under controlled conditions

Jun Xu,<sup>1,\*</sup> Lie-Wen Chen,<sup>2,†</sup> ManYee Betty Tsang,<sup>3,‡</sup> Hermann Wolter,<sup>4,§</sup> Ying-Xun Zhang,<sup>5,∥</sup> Joerg Aichelin,<sup>6</sup> Maria Colonna,<sup>7</sup> Dan Cozma,<sup>8</sup> Pawel Danielewicz,<sup>3</sup> Zhao-Qing Feng,<sup>9</sup> Arnaud Le Fèvre,<sup>10</sup> Theodoros Gaitanos,<sup>11</sup> Christoph Hartnack,<sup>6</sup> Kyungil Kim,<sup>12</sup> Youngman Kim,<sup>12</sup> Che-Ming Ko,<sup>13</sup> Bao-An Li,<sup>14</sup> Qing-Feng Li,<sup>15</sup> Zhu-Xia Li,<sup>5</sup> Paolo Napolitani,<sup>16</sup> Akira Ono,<sup>17</sup> Massimo Papa,<sup>18</sup> Taesoo Song,<sup>19</sup> Jun Su,<sup>20</sup> Jun-Long Tian,<sup>21</sup> Ning Wang,<sup>22</sup> Yong-Jia Wang,<sup>15</sup> Janus Weil,<sup>19</sup> Wen-Jie Xie,<sup>23</sup> Feng-Shou Zhang,<sup>24</sup> and Guo-Qiang Zhang<sup>1</sup>



### where the differences come from? Box simualtions



Analytical value: ~118

Reason for the differences in Cascade without Pauliblocking (A.Ono, Y.Zhang, J.Xu,,...) :

• Spurious collision made those difference and time step dependence

Particle *i* and *j* collide more than once without colliding with others,

## S(ρ) and correlations between L, ms\* and fi in Skyrme-HF

Density dependent of symmetry energy from SHF

$$S(\rho) = \frac{1}{3} \frac{\hbar^2}{2m} \left(\frac{3\pi^2}{2}\right)^{2/3} \rho^{2/3} - \frac{1}{8} t_0 (2x_0 + 1)\rho - \frac{1}{48} t_3 (2x_3 + 1)\rho^{\sigma + 1} - \frac{1}{24} \left(\frac{3\pi^2}{2}\right)^{2/3} (3\Theta_v - 2\Theta_s)\rho^{5/3}$$
(C8)

$$S(\rho) = \frac{1}{3} \epsilon_F \rho^{2/3} + A_{sym} \rho + B_{sym} \rho^{\sigma+1} + C_{sym} (m_s^*, m_v^*) \rho^{5/3}$$
(C11)

#### Density dependent of symmetry depends not only on density, effective mass splitting but also isoscalar effective mass

$$f_I = \frac{1}{2\delta} \left(\frac{m}{m_n^*} - \frac{m}{m_p^*}\right) = \frac{m}{k} \frac{\partial((U_n - U_p)/2\delta)}{\partial k} = \frac{\partial U_{sym}}{\partial E_k}.$$

$$f_I = \frac{m}{8\hbar^2} [t_2(2x_2+1) - t_1(2x_1+1)] \frac{\rho}{2}$$
  
=  $m/8\hbar^2(\Theta_s - 2\Theta_v)\rho$   
=  $(m/m_s^* - m/m_v^*)$ 

$$\frac{\Delta m*}{m} = \frac{m_n^* - m_p^*}{m} = -\frac{\delta}{m} \frac{2m_s^* m_v^* (m_v^* - m_s^*)}{m_v^{*2} - \delta^2 (m_v^* - m_s^*)^2}$$
$$= -2\frac{m_s^*}{m} [\frac{m_v^* - m_s^*}{m_v^*} \delta + (\frac{m_v^* - m_s^*}{m_v^*})^2 \delta^2 + \cdots$$

#### • Correlations between nuclear matter parameters from Skyrme sets

$$C_{AB} = \frac{cov(A, B)}{\sigma(A)\sigma(B)}$$

$$cov(A, B) = \frac{1}{N-1} \sum_{i} (A_i - \langle A \rangle) (B_i - \langle B \rangle)$$

$$\sigma(X) = \sqrt{\frac{1}{N-1} \sum_{i} (X_i - \langle X \rangle)^2, X = A, B}$$

$$\langle X \rangle = \frac{1}{N} \sum_{i} X_{i}, i = 1, N.$$
 (4)





 $<\delta x_i\delta x_j>$ 

Parameter probability distribution from 120 Skyrme sets C\_AB between pairs of variables from 120 Skyrme sets

C	V	C	Т	Ma*	M <sub>w</sub> *	
	Γ <b>Γ</b> 0	$\mathbf{S}_0$		IVIS ·	IVI V ·	Ms*, strongly
K <sub>0</sub>	1	0.003	-0.161	-0.131	-0.295	influence L
S <sub>0</sub>	0.003	1	0.764	-0.397	-0.228	
L	-0.161	0.764	1	-0.460	-0.212	Mu* strongly
Ms*	-0.131	-0.397	-0.460	1	0.715	influence ms*
Mv*	-0.295	-0.228	-0.212	0.715	1	

So, we need sensitivity study in the model since the parameters are correlated and complicate the constraints

How a given observable y=O changes due to the change of a parameter  $x_i$ ?

$$C_{AB} = \frac{cov(A, B)}{\sigma(A)\sigma(B)}$$

 $Cov(y_i, x_j)$ 

### Model: transport code, Improved Quantum Molecular Dynamics model

7 Nuclear matter parameters:

 $\{\rho_0, E_0, K_0, S_0, L, m_s^*, m_v^*\}$ fi

### **Observables:** isospin sensitive observables

5 Isospin sensitive observables:

{  $R_2(n/p), DR(n/p), R_{21}(n/n), R_{21}(p/p), R_{diff}$  }

High kinetic energy part of R(n/p) or DR(n/p), Ek>40MeV

#### How do NM parameters enter into the transport models ?

Schrodinger Equation for N-body system with spinless particles,



In the new version of ImOMD code, nucleons are represented by Gaussian wavepackets

### ImQMD with standard Skyrme interaction

the potential energy U that includes the full Skyrme potential energy without the spin-orbit term:

$$U = U_{\rho} + U_{md} + U_{coul} \tag{2}$$

and  $U_{coul}$  is the Coulomb energy. The nuclear contributions are represented in a local form with

and

Li, HLiu, PLB732,186

and  

$$U_{\rho,md} = \int u_{\rho,md} d^{3}r \qquad u_{\rho} = \frac{\alpha}{2} \frac{\rho^{2}}{\rho_{0}} + \frac{\beta}{\eta+1} \frac{\rho^{\eta+1}}{\rho_{0}^{\eta}} + \frac{g_{sur}}{2\rho_{0}} (\nabla \rho)^{2} + \frac{g_{sur,iso}}{\rho_{0}} [\nabla (\rho_{n} - \rho_{p})]^{2} + A_{sym} \rho^{2} \delta^{2} + B_{sym} \rho^{\eta+1} \delta^{2}$$
Li, HLiu, PLB732,186 (2014)  

$$u_{md} = \frac{1}{2\rho_{0}} \sum_{N_{1},N_{2}=n,p} \frac{1}{16\pi^{6}} \int d^{3}p_{1} d^{3}p_{2} f_{N_{1}}(\mathbf{p}_{1}) f_{N_{2}}(\mathbf{p}_{2}) + N_{1} (1 + 5. \times 10^{-4} (\Delta p)^{2})]^{2}, \qquad (4)$$

$$u_{md} = u_{md}(\rho\tau) + u_{md}(\rho_n\tau_n) + u_{md}(\rho_p\tau_p)$$
(5)  
=  $C_0 \int d\vec{p} \, d\vec{p}' f(\vec{r}, \vec{p}) f(\vec{r}, \vec{p}') (\vec{p} - \vec{p}')^2$ **Skyrme type MDI**  
+ $D_0 [\int d\vec{p} \, d\vec{p}' f_n(\vec{r}, \vec{p}) f_n(\vec{r}, \vec{p}') (\vec{p} - \vec{p}')^2 + \int d\vec{p} \, d\vec{p}' f_p(\vec{r}, \vec{p}) f_p(\vec{r}, \vec{p}') (\vec{p} - \vec{p}')^2]$ 

Nuclear matter parameters in transport model

$$g_{\rho\tau} = \frac{3}{5} \left(\frac{m}{m_s^*} - 1\right) \frac{\hbar^2}{m} \epsilon_F^0$$
$$\gamma = \frac{K_0 + \frac{6}{5} \epsilon_F^0 - 10g_{\rho\tau}}{\frac{9}{5} \epsilon_F^0 - 6g_{\rho\tau} - 9E_0}$$

$$C_{sym} = -\frac{1}{24} \left(\frac{3\pi^2}{2}\right)^{2/3} (3\Theta_v - 2\Theta_s) \rho^{5/3}$$

$$B_{sym} = \frac{3S_0 - L - \frac{1}{3}\epsilon_F^0 + 2C_{sym}(m_s^*, m_v^*)}{-3\sigma}$$

$$A_{sym} = S_0 - \frac{1}{3}\epsilon_F^0 - B_{sym} - C_{sym}(m_s^*, m_v^*)$$

$$\Theta_s = \left(\frac{m}{m_s^*} - 1\right) \frac{8\hbar^2}{m} \frac{1}{\rho_0}, \Theta_v = \left(\frac{m}{m_v^*} - 1\right) \frac{4\hbar^2}{m} \frac{1}{\rho_0}$$

$$\beta = \frac{(\frac{1}{5}\epsilon_F^0 - \frac{2}{3}g_{\rho\tau} - E_0)(\gamma + 1)}{\gamma - 1}$$
$$\alpha = E_0 - \epsilon_F^0 - \frac{8}{3}g_{\rho\tau} - \beta$$

$$C_{0} = \frac{1}{16\hbar^{2}} [t_{1}(2+x_{1}) + t_{2}(2+x_{2})] = \frac{1}{16\hbar^{2}} \Theta_{v}$$
$$D_{0} = \frac{1}{16\hbar^{2}} [t_{2}(2x_{2}+1) - t_{1}(2x_{1}+1)] = \frac{1}{16\hbar^{2}} (\Theta_{s} - 2\Theta_{v})$$

### 7 (5) model parameters:

 $\{\rho_0, E_0, K_0, S_0, L, m_s^*, m_v^*\}$ 

Table 1: List of twelve parameters used in the ImQMD calculations.  $\rho_0 = 0.16 fm^{-3}$ ,  $E_0 = -16 MeV$ , and  $g_{sur} = 24.5 MeV fm^2$ ,  $g_{sur,iso} = -4.99 MeV fm^2$ 

Para.	$K_0$ (MeV)	$S_0({\rm MeV})$	L (MeV)	$m_s^*/m$	$f_I$		
1	230	32	46	0.7	-0.238	_	
2	280	32	46	0.7	-0.238		
3	330	32	46	0.7	-0.238		
4	230	30	46	0.7	-0.238		
5	230	<b>34</b>	46	0.7	-0.238		
6	230	32	60	0.7	-0.238	ms	
7	230	32	80	0.7	-0.238		
8	230	32	100	0.7	-0.238		
9	230	32	46	0.85	-0.238		
10	230	32	46	1.00	-0.238	fi	
11	230	32	46	0.7	0.0		
12(SLy4)	230	32	46	0.7	0.178		
						-	

### Correlation coefficient of x={} and O={}

Y.X.Zhang, M.B.Tsang, Z.X.Li, PLB749,262(2015)





The ratios are constructed with Ek>40MeV

• Ms\* also play important roles for isospin diffusion, and neutron to proton yield ratio observables at 120MeV/u.

### Correlation coefficient of A={} and B={}

Y.X.Zhang, M.B.Tsang, Z.X.Li, PLB749,262 (2015)



 Ms\* also play important roles for isospin diffusion, and neutron to proton yield ratio observables at 120MeV/u, one can reasonable determine {L,ms\*, fi} by combination analysis. Constraints on L, ms\* and fi

$$P(X|D) = \frac{P(D|X)P(X)}{P(D)}$$

# Prior distribution of L, ms\* and fi Range of L

$$P(L) \propto \exp\left(-\frac{(L-L_0)^2}{2\sigma_L^2}\right), L_0 = 60 MeV, \sigma_L^2 = 30^2$$

### • Information of Effective mass and effective mass splitting

### ms\*/m~0.65-0.9,

Table 2

#### F.Chappert, PLB668(2008)402,

Infinite and semi-infinite nuclear matter properties of the D1S and D1N interactions compared to empirical values

	D1S	D1N	Emp. values
$\rho_0  ({\rm fm}^{-3})$	0.16	0.16	$0.17\pm0.02$
$E_0/A$ (MeV)	-15.9	-16.0	$-16 \pm 1$
$K_{\infty}$ (MeV)	210	230	$220 \pm 10$
m*/m	0.70	0.75	$0.70\pm0.05$
E <sub>sym</sub> (MeV)	32.0	29.3	$30 \pm 2$
E <sub>surf</sub> (MeV)	20.0	19.3	$21\pm2$

P. Danielewicz / Nuclear Physics A 673 (2000) 375-410



P. Klupfel, et.al., PRC79, 0343310(2009) GQR Pb208, ms\*/m=0.9

#### X.H.Li, et.al., PLB743(2015)408 Table 4

Nucleon isoscalar effective mass  $m_0^*/m$  and the neutronting  $m_{n-p}^*$  from the three cases studied in this work.

Case	$m_0^*/m$		/ / \)	
I II	$0.65 \pm 0.05$ $0.67 \pm 0.06$	$P(ms) \propto ex$	$\exp\left(-\frac{(ms-ms_0)^2}{2\sigma_{ms}^2}\right)$	$ms = 0.7, \sigma_{ms}^2 = 0.15$
III	$0.65 \pm 0.06$			



### B.A.Li, C. Xu, et.al., PRC2006,2010, X.H.Li, PLB743(2015)408)

#### At normal density,

 $(m_n^*-m_p^*)/m=0.32\delta,$  $(m_n^*-m_p^*)/m=(0.41+-0.15)\delta$  Effective mass splitting depends not only on the in-medium density but also on momentum or energy ??

### Information on fi from HICs

Ono, Talk at NN2015





### **Prior information of L, ms\* and fi**

$$\begin{split} P(L) &\propto \exp\left(-\frac{(L-L_0)^2}{2\sigma_L^2}\right), L_0 = 60 MeV, \sigma_L^2 = 30^2\\ P(ms) &\propto \exp\left(-\frac{(ms-ms_0)^2}{2\sigma_{ms}^2}\right), ms = 0.7, \sigma_{ms}^2 = 0.15^2\\ P(f_i) &= 1 \end{split}$$

$$P(L, ms *) \propto \exp\left(-\frac{1}{1-\rho^{2}}\left(\frac{(L-L_{0})^{2}}{2\sigma_{L}^{2}}, \frac{2\rho(L-L_{0})(ms-ms_{0})}{2\sigma_{ms}}, -\frac{(ms-ms_{0})^{2}}{2\sigma_{ms}^{2}}\right)\right)$$

$$P(L, f_{i}) \propto \exp\left(-\frac{1}{1-\eta^{2}}\left(\frac{(L-L_{0})^{2}}{2\sigma_{L}^{2}}, \frac{2\eta(L-L_{0})(f_{i}-f_{i0})}{2\sigma_{fi}}, -\frac{(f_{i}-f_{i0})^{2}}{2\sigma_{fi}^{2}}\right)\right)$$

$$P(ms, f_{i}) \propto \exp\left(-\frac{1}{1-\alpha^{2}}\left(\frac{(ms-ms_{0})^{2}}{2\sigma_{ms}^{2}}, -\frac{2\alpha(ms-ms_{0})(f_{i}-f_{i0})}{2\sigma_{ms}}, -\frac{(f_{i}-f_{i0})^{2}}{2\sigma_{fi}^{2}}\right)\right)$$

### **Likelihood function**

$$L(x) \sim \exp(-\sum_{a} \frac{\left(y_{M,a}(x) - y_{a}^{exp}\right)^{2}}{2\sigma_{a}^{2}})$$

Use  $K_0$ =230 and  $S_0$ =32MeV

48 set points

L=46-100MeV,
m<sub>s</sub>\*/m=0.6-1.0
f<sub>i</sub>=-0.238-0.178





#### One- and two-dimensional likelihood projection



---B SUITS 80 100 60 10080 60 ms\* 0.9 1.0 0.6 0.7Z Axis Title 100 80 **E**0.8 60 0.7 0.6 0.0 -0.2 -0.2-0.1 0.1 -0.1 0.0 0.1 fi fi

• Use large ms\* in the model, lead to larger L constraints

• Use large ms\*, lead to smaller fi

• Use smaller L, lead to smaller f<sub>i</sub>

•In one-dimension figure, {L~70, ms\*~0.8, fi~0.01} give largest probability of constraints

If  $\rho$ ,  $\eta$ ,  $\alpha$  =0, i.e. the L, ms\* and fi are independent.



If  $\rho = \eta = \alpha$  =0.5, i.e. the L, ms\* and fi are correlated.



If  $\rho = \eta = \alpha$  =-0.5, i.e. the L, ms<sup>\*</sup> and fi are correlated.



### 4, Summary and outlook

1, The results have identified the importance of  $ms^*$  on isospin sensitive observables as well as L and  $f_I$ .

2, The larger ms\* used in transport model lead to larger L values in the constraints on symmetry energy, or smaller fi.

3, L, ms and fi can be extracted and work is in progress

4, Model or method uncertainties are necessary in future.

### Thanks for your attention!