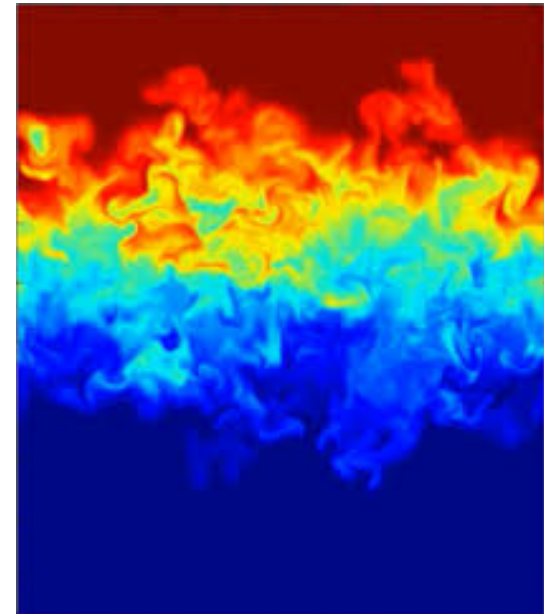


Instabilities in two-(super)fluid systems

A. Haber, A. Schmitt and S. Stetina, PRD 93, 025011 (2016)

A. Schmitt, work in progress

- two-component superfluids
- two-fluid picture of a superfluid
 - first and second sound
 - field-theoretic approach
- energetic and dynamical instabilities
 - onset of instabilities through relative flow
 - role of dissipation



D. Livescu *et al.*, JPhCS, 318, 082007 (2011)

- **Two-component superfluids in the laboratory**

- ^3He - ^4He mixtures: difficult to create experimentally

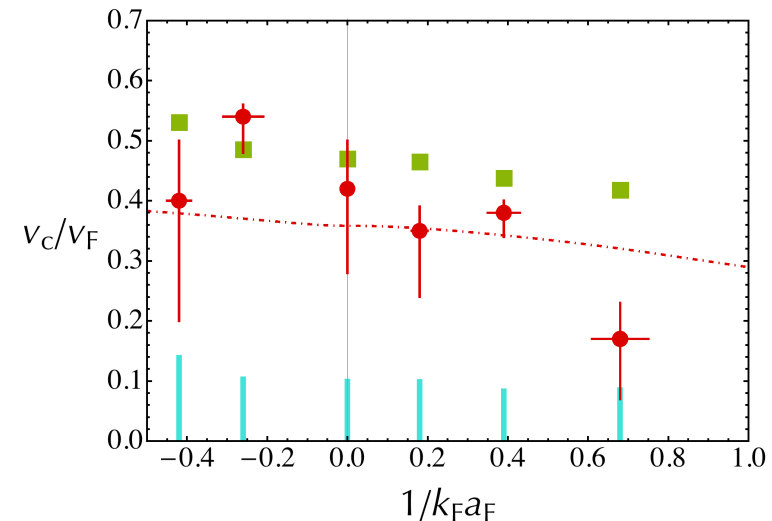
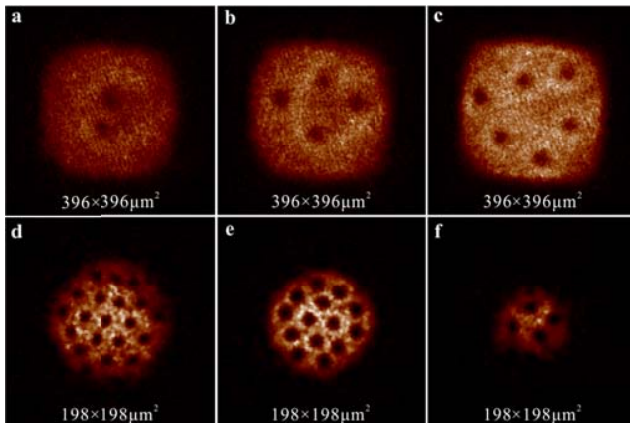
J. Tuoriniemi, *et al.*, JLTTP 129, 531 (2002)

- Bose-Fermi gas mixtures

^6Li - ^7Li superfluid I. Ferrier-Barbut *et al.*, Science 345, 1035 (2014)

- Simultaneous vortex lattices in ^6Li - ^41K

Yao, X.-c. *et al.* arXiv:1606.01717



- Critical counterflow velocity in ^6Li - ^7Li (comparing data to

$$v_{\text{two-stream}} = v_{L,1} + v_{L,2}$$

Delehaye, M. *et al.* PRL 115, 265303 (2015)

- **Two-component (super)fluids in compact stars**

- neutron superfluid/proton superconductor
 - M. A. Alpar, S. A. Langer and J. A. Sauls, *Astrophys. J.* 282, 533 (1984)
 - M. G. Alford and G. Good, *PRB* 78, 024510 (2008)
 - nucleon-hyperon: M.E. Gusakov, E.M. Kantor, P. Haensel, *PRC* 79, 055806 (2009)

- neutron superfluid in ion lattice
 - two-stream instability as trigger for collective vortex unpinning
 - pulsar glitches N. Andersson, G.L. Comer, R. Prix, *PRL* 90, 091101 (2003)
 - Landau and dynamical instabilities of BEC in optical lattice
 - B. Wu and Q. Niu, *PRA* 64, 061603 (2001)

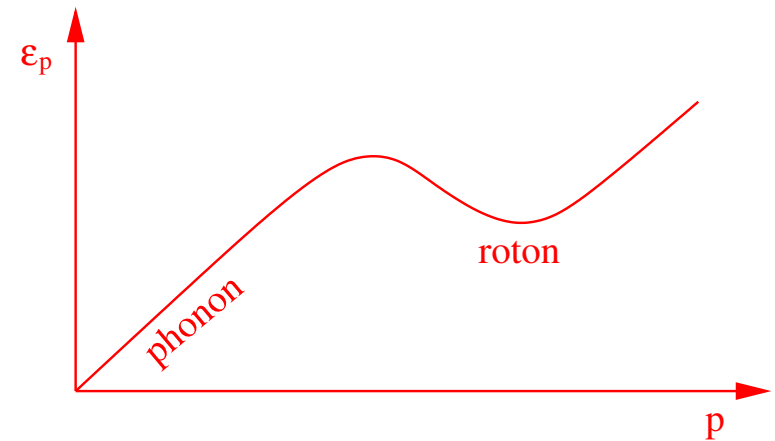
- CFL- K^0 quark matter
 - P. F. Bedaque and T. Schäfer, *NPA* 697, 802 (2002)
 - D. B. Kaplan and S. Reddy, *PRD* 65, 054042 (2002)

● Two-fluid picture of a superfluid (liquid helium)

London, Tisza (1938); Landau (1941)

relativistic: Khalatnikov, Lebedev (1982); Carter (1989)

- “superfluid component”: condensate, carries no entropy
- “normal component”: excitations (Goldstone mode), carries entropy

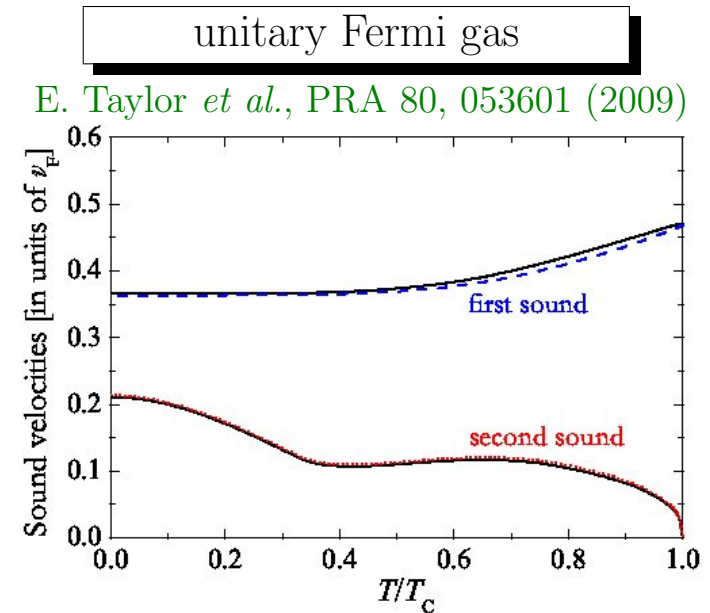
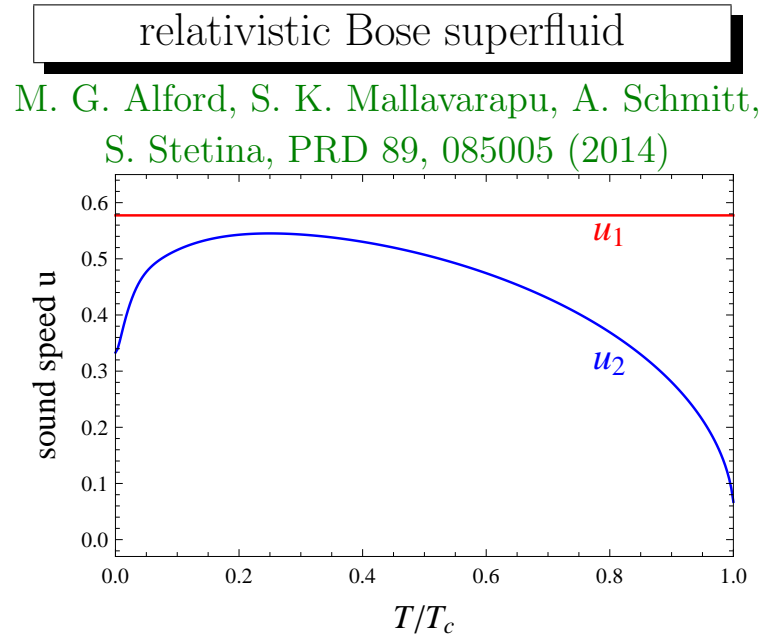
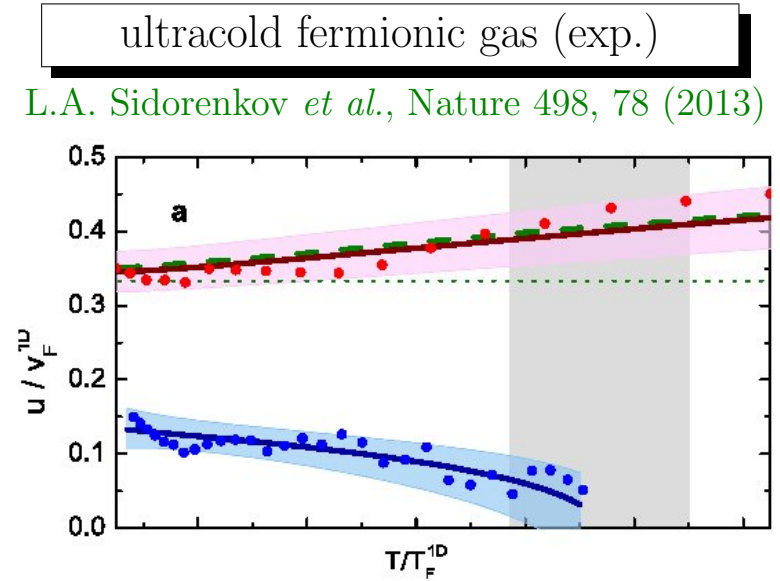
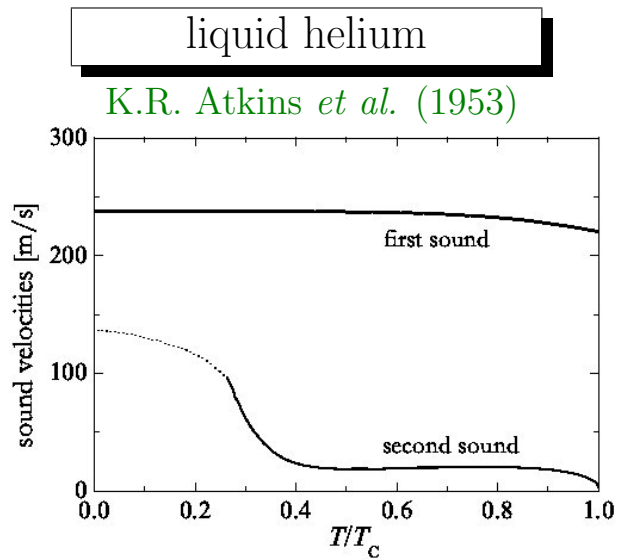


Hydrodynamic eqs. \Rightarrow **two sound modes**

1st sound	2nd sound
in-phase oscillation (primarily) density wave	out-of-phase oscillation (primarily) entropy wave

(two-fluid picture also explains thermomechanical effect, “viscosity paradox”, etc.)

• First and second sound in various systems



• Superfluidity from field theory

- starting point:
complex scalar field

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi^* - m^2 |\varphi|^2 - \lambda |\varphi|^4$$

- Bose condensate $\langle \varphi \rangle = \rho e^{i\psi}$ spontaneously breaks $U(1)$
- zero temperature: single-fluid system

	Field theory	Hydrodynamics
current j^μ	$\frac{(\partial\psi)^2}{\lambda} \partial^\mu \psi$	$n v^\mu$
stress-energy tensor $T^{\mu\nu}$	$-g^{\mu\nu} \mathcal{L} + \frac{(\partial\psi)^2}{\lambda} \partial^\mu \psi \partial^\nu \psi$	$(\epsilon + P) v^\mu v^\nu - g^{\mu\nu} P$

- superfluid velocity

$$v^\mu = \frac{\partial^\mu \psi}{\sigma}$$

$$\sigma = \sqrt{\partial_\mu \psi \partial^\mu \psi^*}$$

$$\mu = \partial_0 \psi$$

$$\vec{v} = -\nabla \psi / \mu$$

- **Relativistic two-fluid formalism**

- write stress-energy tensor as

$$T^{\mu\nu} = -g^{\mu\nu}\Psi + j^{\mu}\partial^{\nu}\psi + s^{\mu}\Theta^{\nu}$$

- “generalized pressure” $\Psi = \Psi[(\partial\psi)^2, \Theta^2, \partial\psi \cdot \Theta]$
- “generalized energy density” $\Lambda \equiv -\Psi + j \cdot \partial\psi + s \cdot \Theta = \Lambda[j^2, s^2, j \cdot s]$

$$j^{\mu} = \frac{\partial\Psi}{\partial(\partial_{\mu}\psi)} = \mathcal{B}\partial^{\mu}\psi + \mathcal{A}\Theta^{\mu}$$

$$s^{\mu} = \frac{\partial\Psi}{\partial\Theta_{\mu}} = \mathcal{A}\partial^{\mu}\psi + \mathcal{C}\Theta^{\mu}$$

non-relativistically:

$$\vec{j}_1 = \rho_{11}\vec{v}_1 + \rho_{12}\vec{v}_2$$

$$\vec{j}_2 = \rho_{12}\vec{v}_1 + \rho_{22}\vec{v}_2$$

- \mathcal{A} “entrainment coefficient” (“Andreev-Bashkin effect”)
- $\mathcal{A}, \mathcal{B}, \mathcal{C}$ can be computed from microscopic physics

M.G. Alford, S.K. Mallavarapu, A. Schmitt, S. Stetina, PRD 87, 065001 (2013)

- $U(1) \times U(1)$ superfluid: setup

A. Haber, A. Schmitt, S. Stetina, PRD 93, 025011 (2016)

Lagrangian: $\mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_I$

$$\mathcal{L}_i = \partial_\mu \varphi_i \partial^\mu \varphi_i^* - m_i^2 |\varphi_i|^2 - \lambda_i |\varphi_i|^4$$

entrainment coupling:

$$\mathcal{L}_I = -g(\varphi_1 \varphi_2 \partial_\mu \varphi_1^* \partial^\mu \varphi_2^* + \text{c.c.})$$

(non-entrainment coupling: $\mathcal{L}_I = -h|\varphi_1|^2|\varphi_2|^2$)

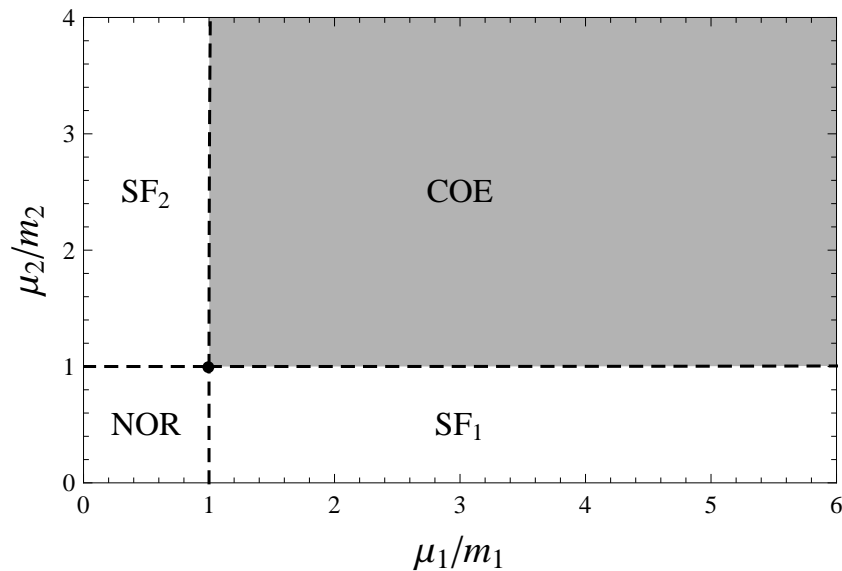
conserved currents:

$$j_1^\mu = \rho_1^2 \left(\partial^\mu \psi_1 + \frac{g}{2} \rho_2^2 \partial^\mu \psi_2 \right)$$

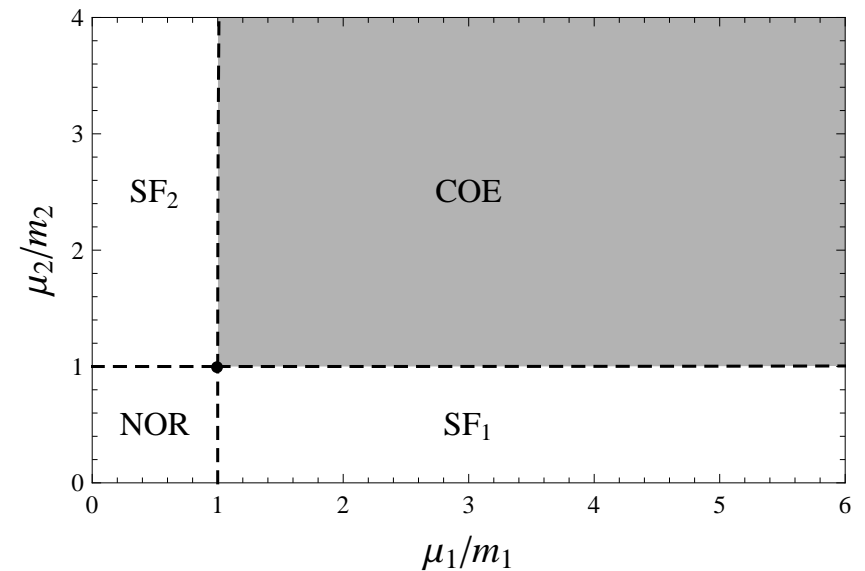
$$j_2^\mu = \rho_2^2 \left(\partial^\mu \psi_2 + \frac{g}{2} \rho_1^2 \partial^\mu \psi_1 \right)$$

• Thermodynamics without superflow

$$g = 0$$

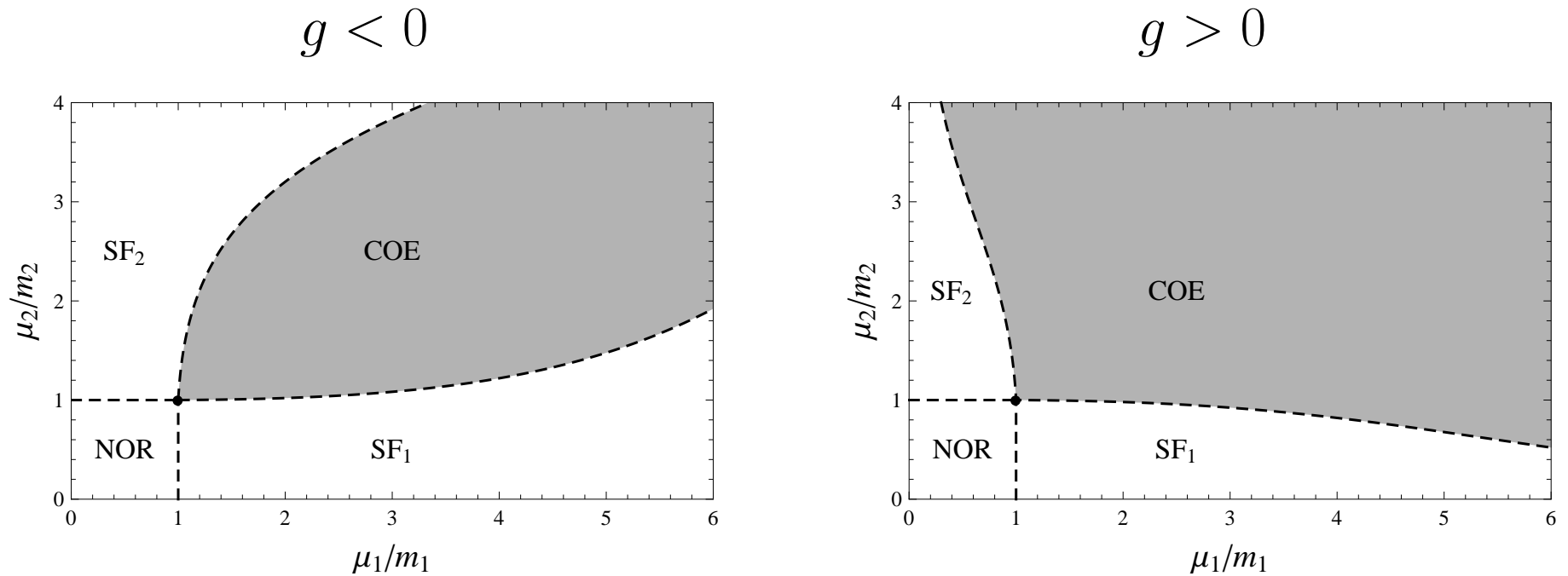


$$g = 0$$



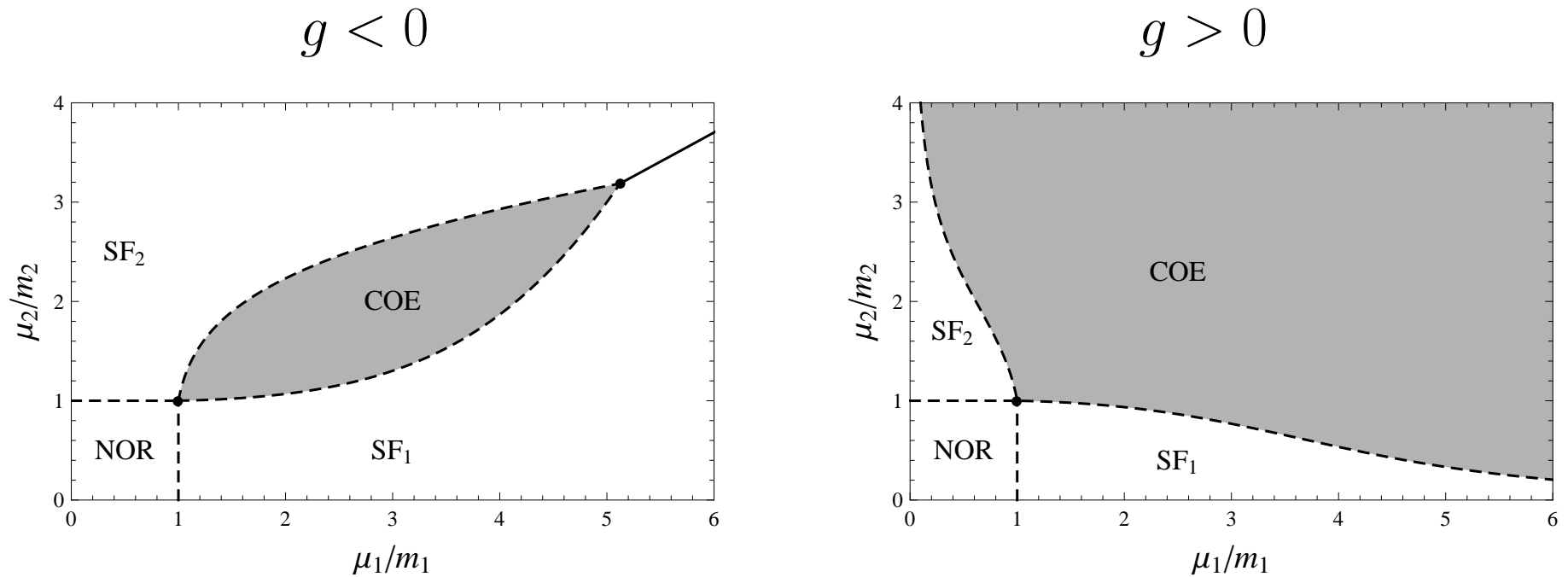
- COE: both superfluids coexist, $U(1) \times U(1) \rightarrow 1$
- SF₁, SF₂: only one superfluid, $U(1) \times U(1) \rightarrow U(1)$ or $U(1)$
- NOR: normal phase, no superfluid, $U(1) \times U(1)$ intact

• Thermodynamics without superflow



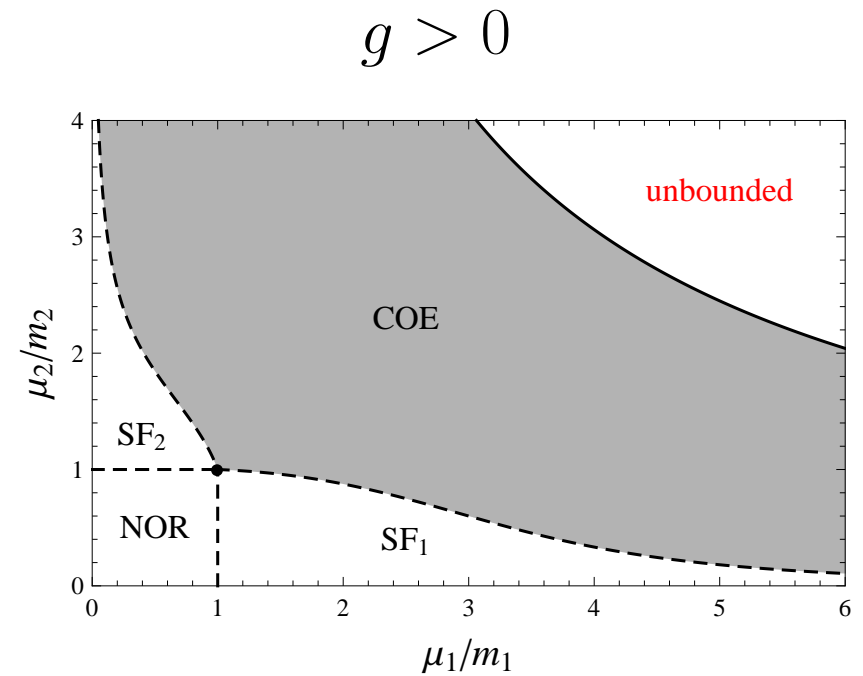
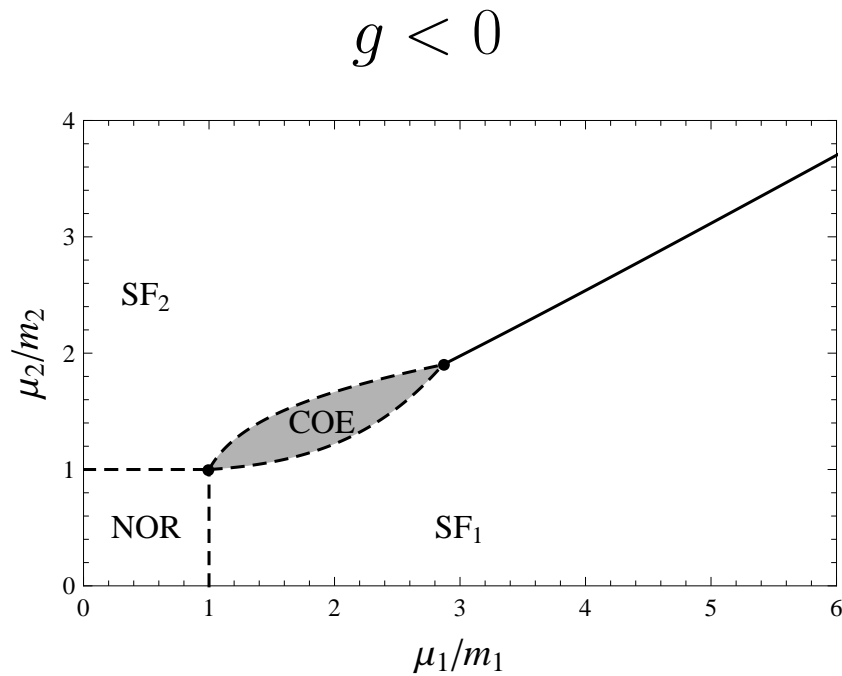
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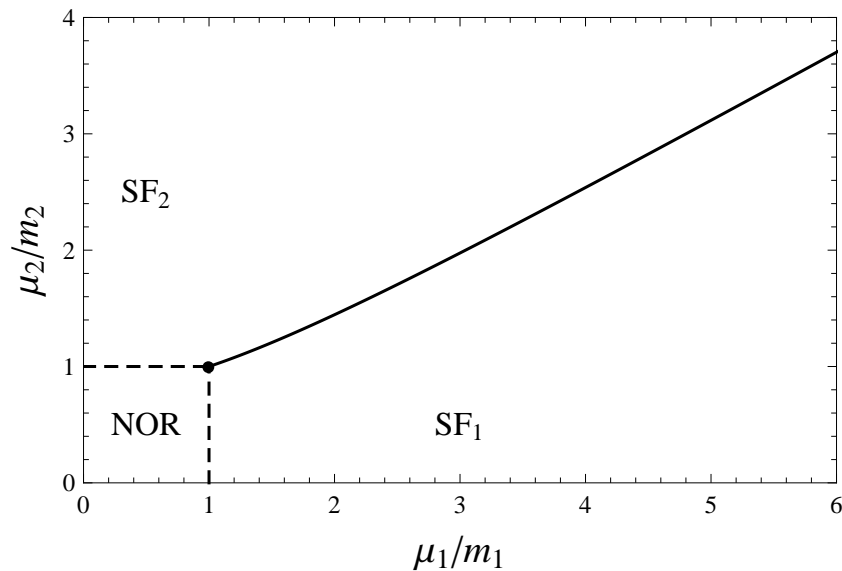
• Thermodynamics without superflow



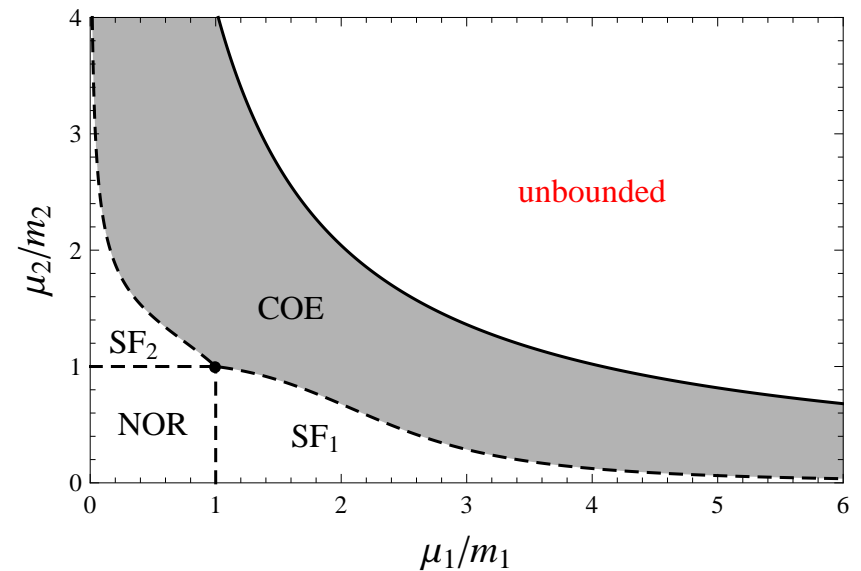
- COE: both superfluids coexist, $U(1) \times U(1) \rightarrow 1$
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- NOR: normal phase, no superfluid, $U(1) \times U(1)$ intact

• Thermodynamics without superflow

$$g < 0$$



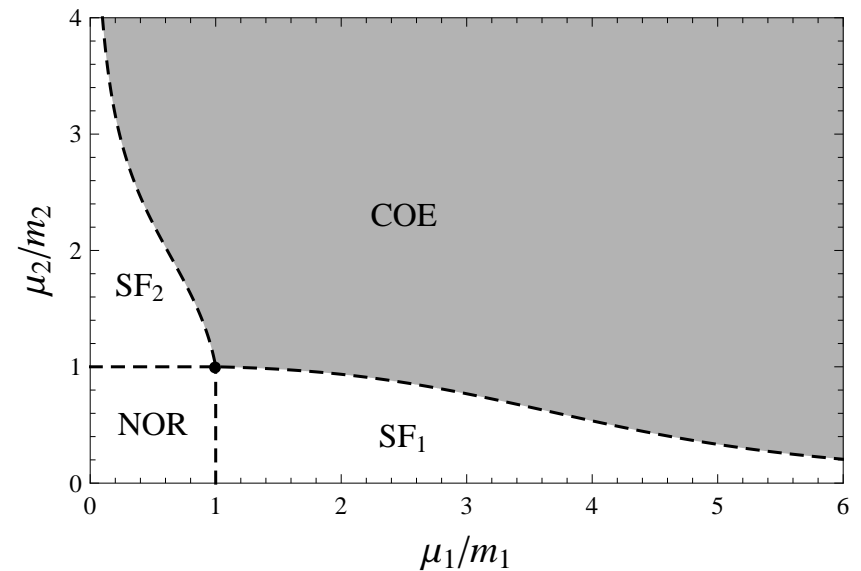
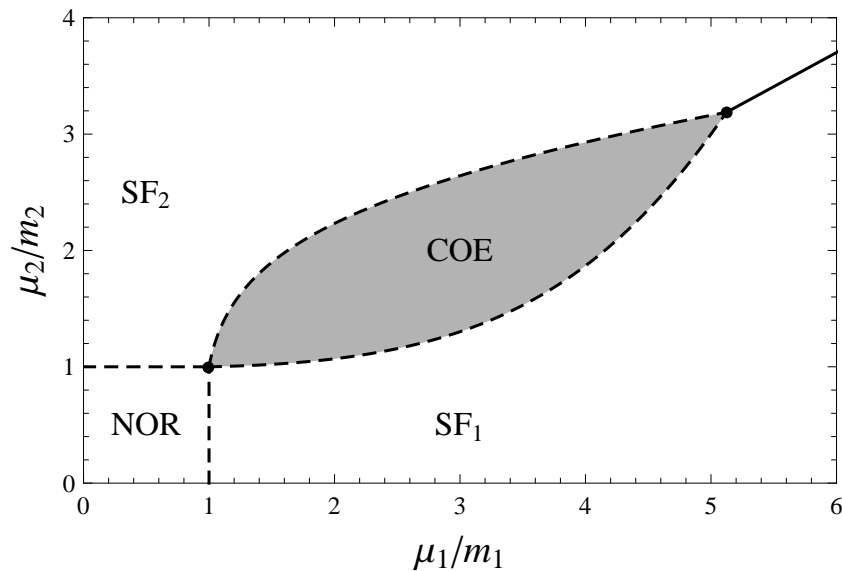
$$g > 0$$



- COE: both superfluids coexist, $U(1) \times U(1) \rightarrow 1$
- SF₁, SF₂: only one superfluid, $U(1) \times U(1) \rightarrow U(1)$ or $U(1)$
- NOR: normal phase, no superfluid, $U(1) \times U(1)$ intact

- **Thermodynamics with (homogeneous) superflow**

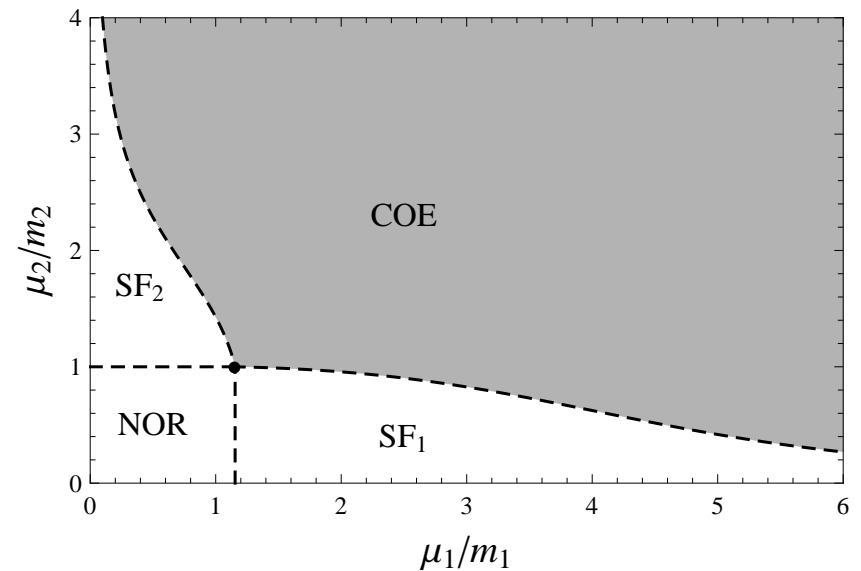
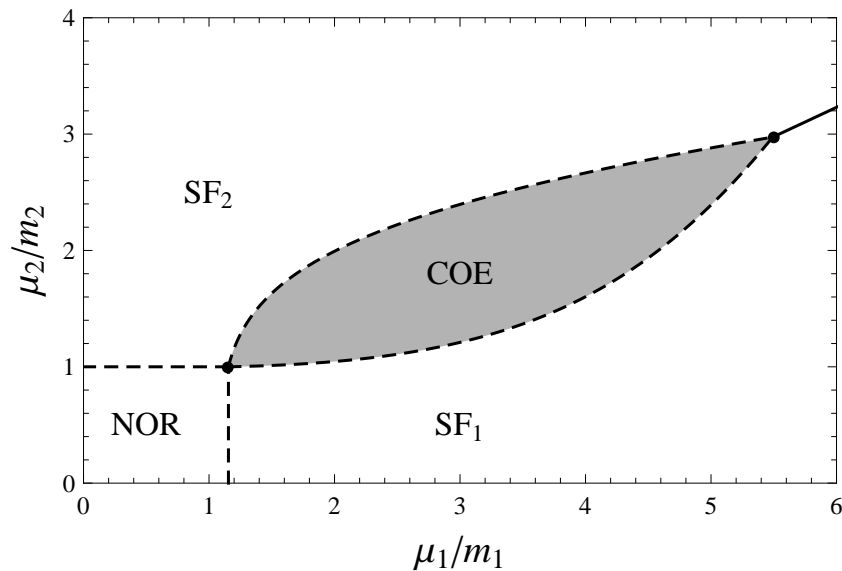
- equilibrium thermodynamics with $(\mu_1, \mu_2, \vec{v}_1, \vec{v}_2, T)$, all measured in “lab frame”
- $\vec{v}_2 = 0$: lab frame = rest frame of superfluid 2



$$v_1 = 0$$

- **Thermodynamics with (homogeneous) superflow**

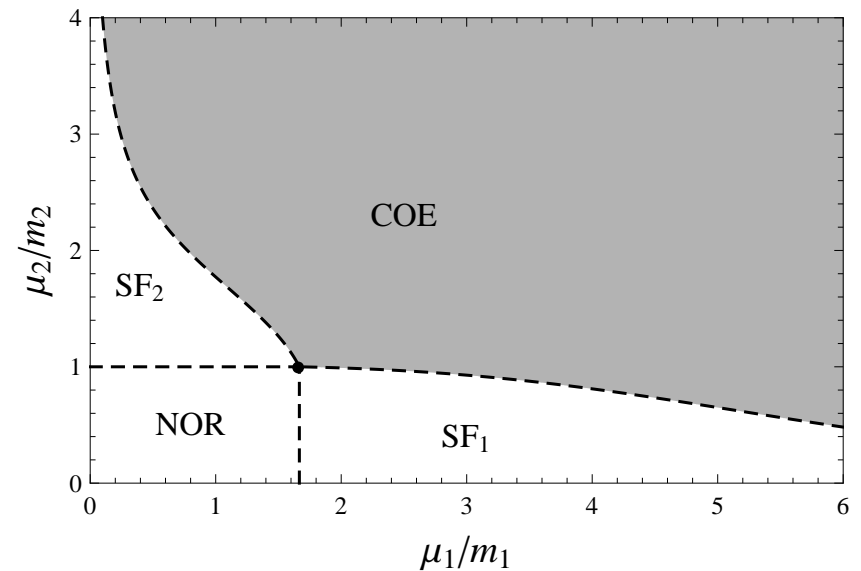
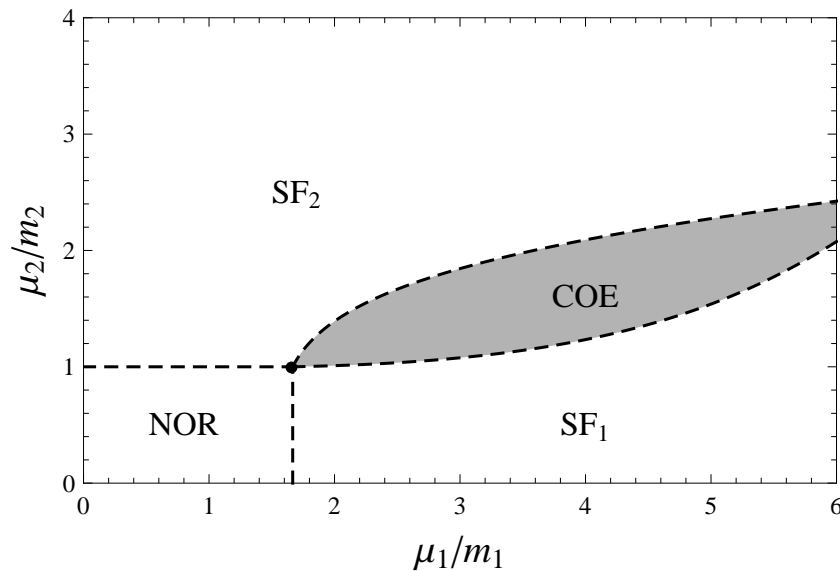
- equilibrium thermodynamics with $(\mu_1, \mu_2, \vec{v}_1, \vec{v}_2, T)$, all measured in “lab frame”
- $\vec{v}_2 = 0$: lab frame = rest frame of superfluid 2



$$v_1 = 0.5$$

- **Thermodynamics with (homogeneous) superflow**

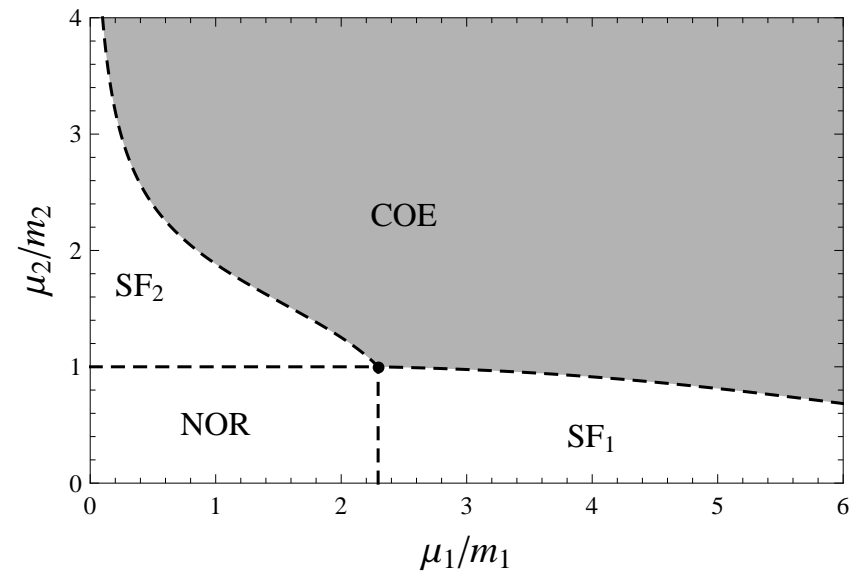
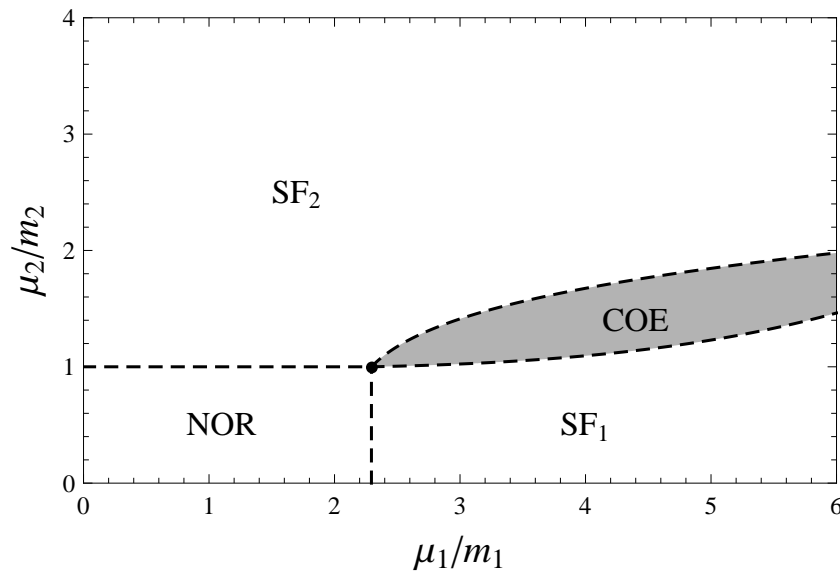
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all measured in “lab frame”
- $\vec{v}_2 = 0$: lab frame = rest frame of superfluid 2



$$v_1 = 0.8$$

- **Thermodynamics with (homogeneous) superflow**

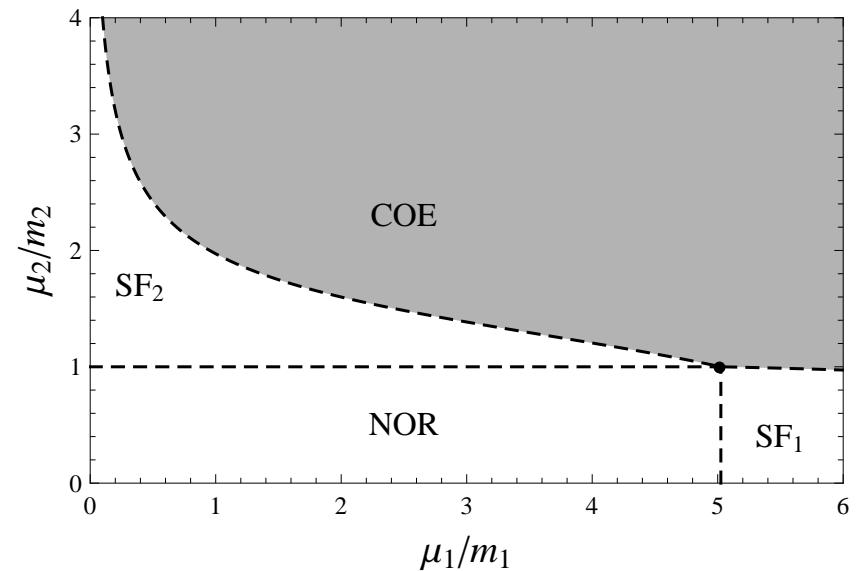
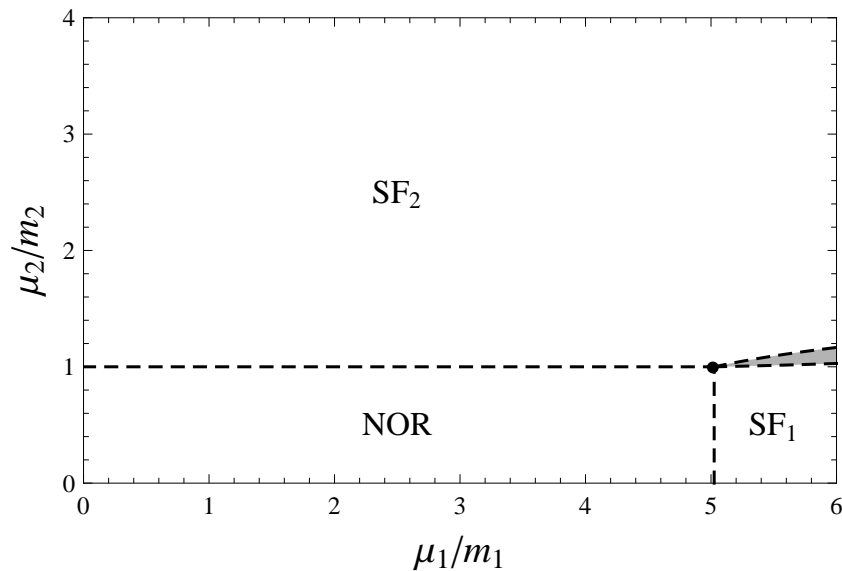
- equilibrium thermodynamics with $(\mu_1, \mu_2, \vec{v}_1, \vec{v}_2, T)$, all measured in “lab frame”
- $\vec{v}_2 = 0$: lab frame = rest frame of superfluid 2



$$v_1 = 0.9$$

- **Thermodynamics with (homogeneous) superflow**

- equilibrium thermodynamics with $(\mu_1, \mu_2, \vec{v}_1, \vec{v}_2, T)$,
all measured in “lab frame”
- $\vec{v}_2 = 0$: lab frame = rest frame of superfluid 2



$$v_1 = 0.98$$

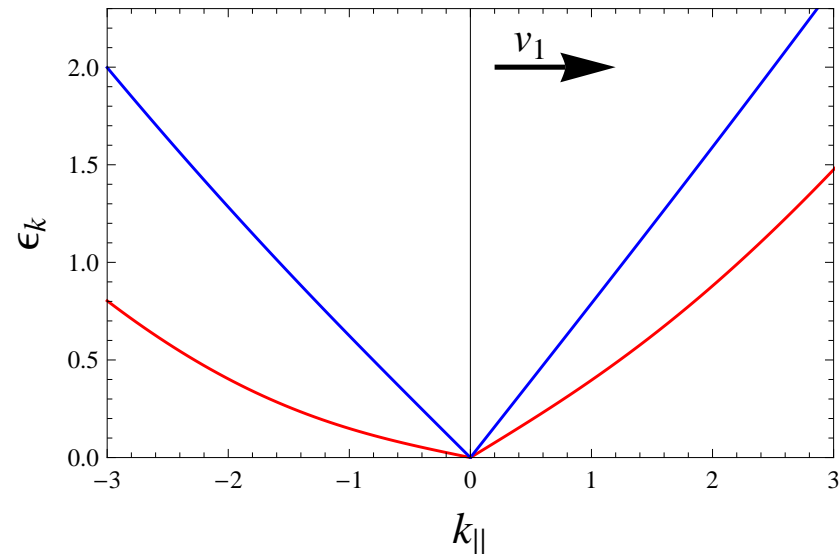
- **Excitations and sound modes**

- excitations = poles of (tree-level) propagator

- **2 Goldstone modes**

$$\epsilon_{i,k} = c_i(\theta)k + d_i(\theta)k^3 + \dots$$

(+ 2 massive modes)



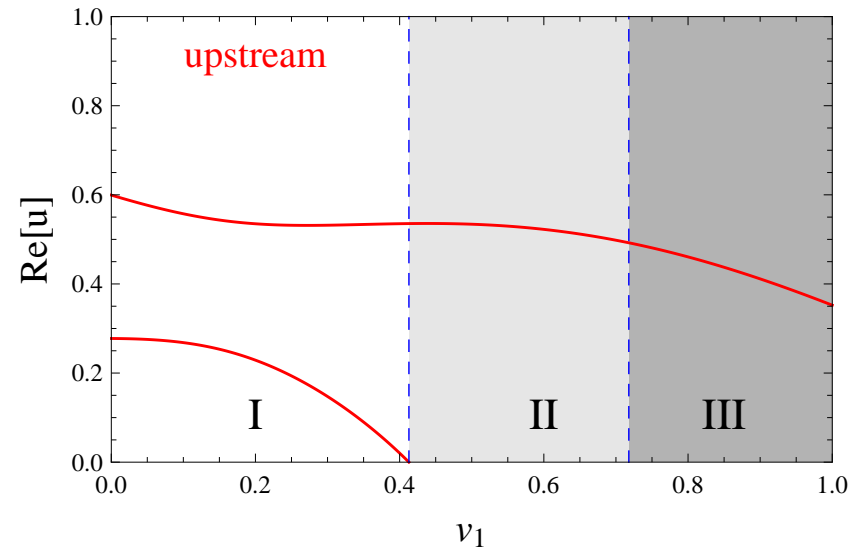
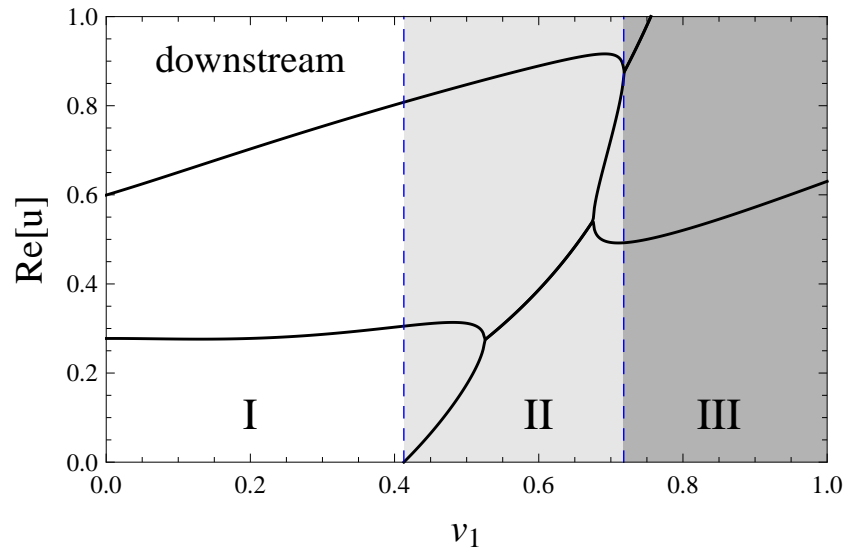
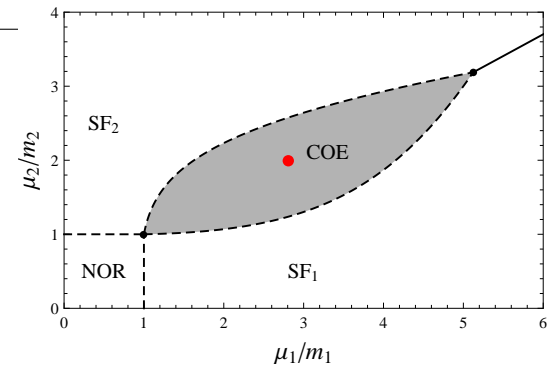
- alternatively: wave equations from (linearized) hydro

$$\partial_\mu j_1^\mu = 0, \quad \partial_\mu j_2^\mu = 0, \quad \partial_\mu T^{\mu\nu} = 0$$

- **2 “first sounds”** with sound velocities $c_i(\theta)$

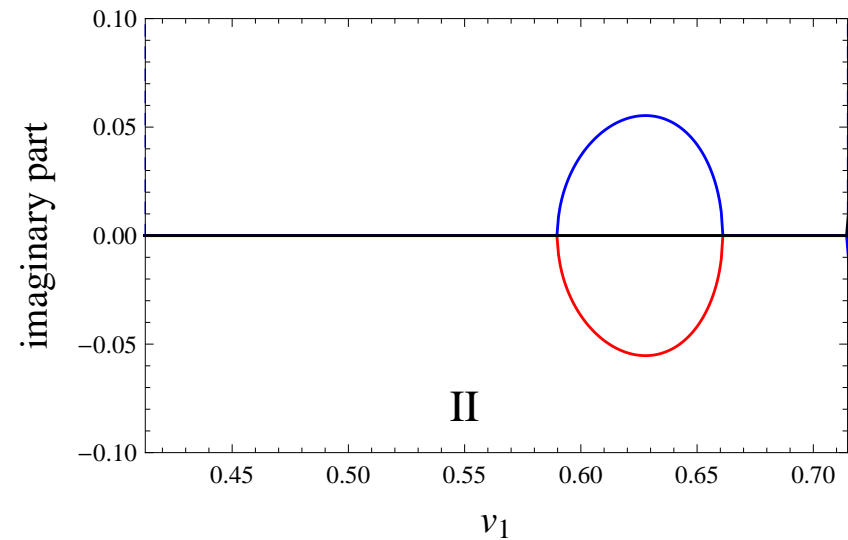
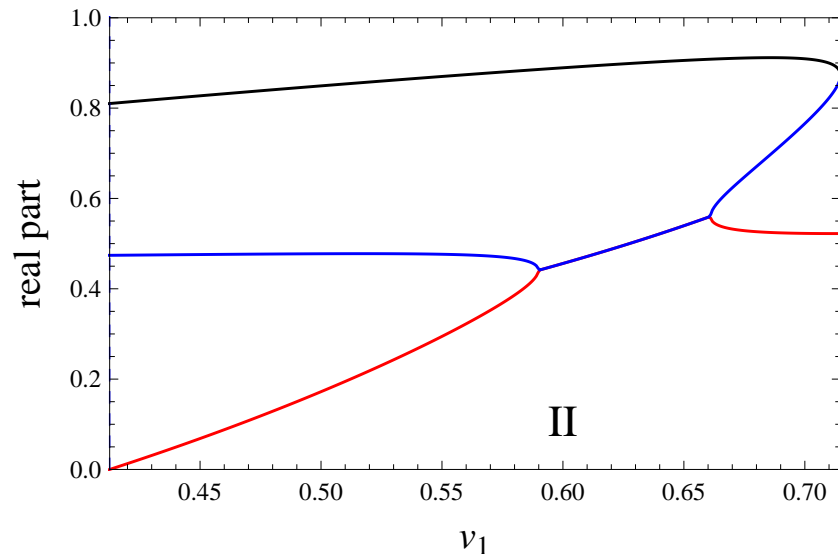
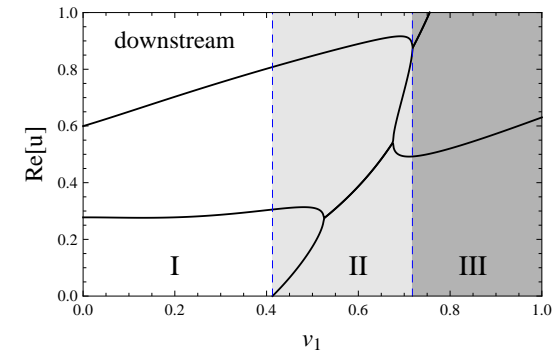
($T > 0$: speeds of first and second sound in general different from Goldstone mode!)

● Instabilities with superflow



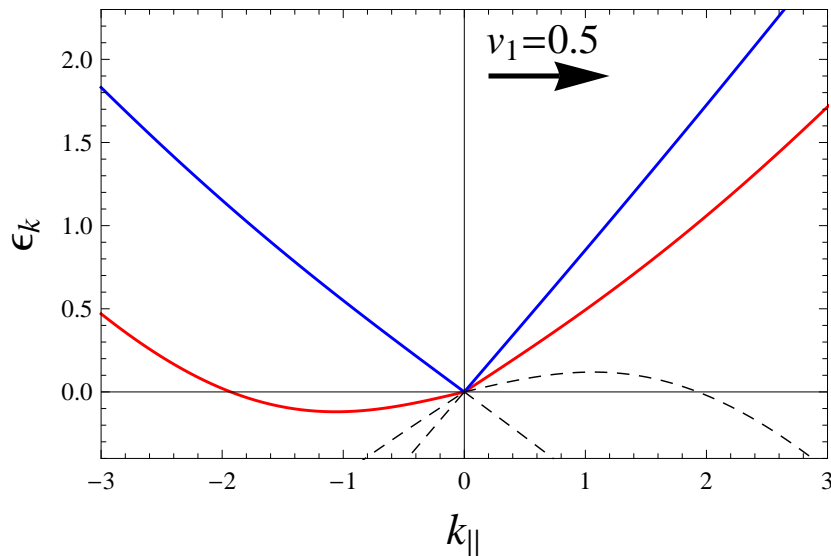
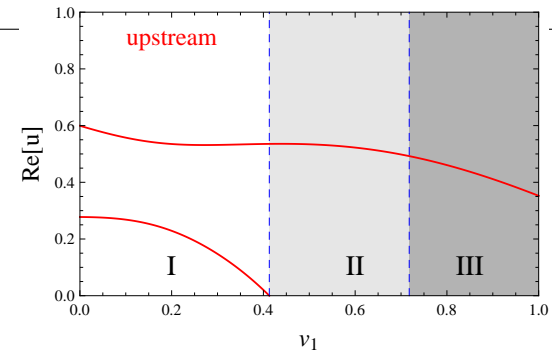
- region I: stable
- region III: SF₂ preferred

- **Two-stream instability**
(or “counterflow instability”)



- complex sound speeds \rightarrow one mode damped, one mode explodes
- plasma physics: O. Buneman, *Phys.Rev.* 115, 503 (1959); D.T. Farley, *PRL* 10, 279 (1963)
- general two-fluid system: L. Samuelsson *et al.* *Gen. Rel. Grav.* 42, 413 (2010)
- atomic gases: M. Abad, A. Recati, S. Stringari, F. Chevy, *EPJD* 69, 126 (2015)

- Landau's critical velocity



- negative energies in Goldstone dispersion $\epsilon_k(\vec{v}) < 0$
- Landau's original argument

$$\epsilon_k - \vec{k} \cdot \vec{v} < 0$$

(for a single fluid)

- **Two qualitatively different instabilities**

“energetic instability” (Landau)

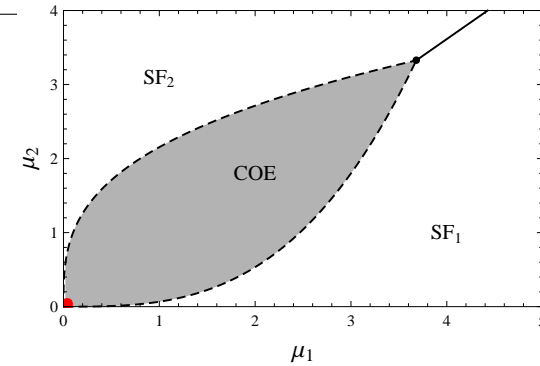
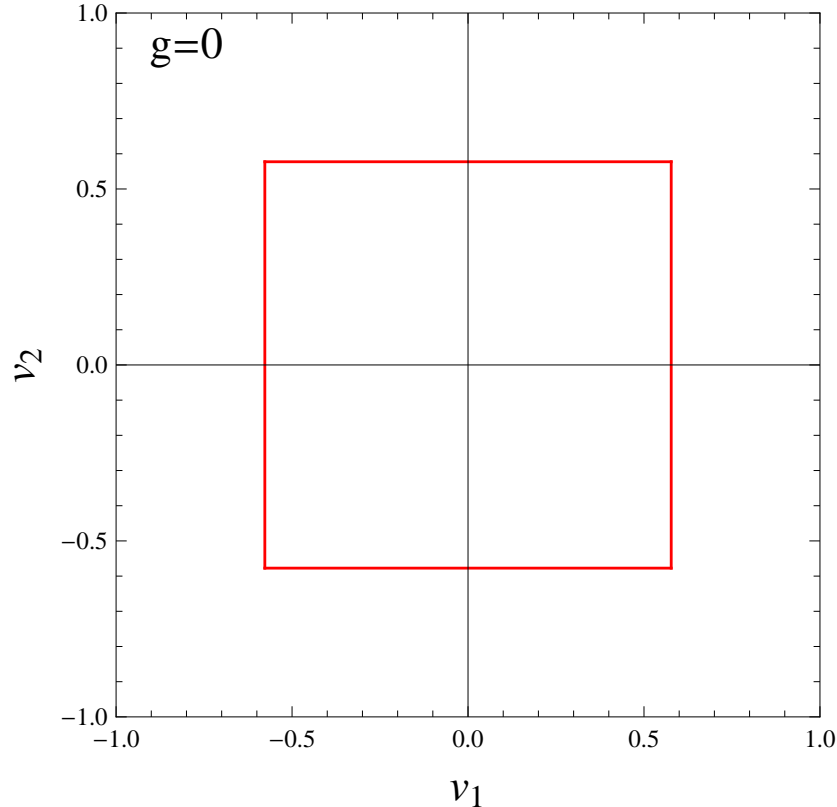
VS.

“dynamical instability” (two-stream)

- any meaning of two-stream instability if it occurs ”after”
(= at larger critical velocity than) $\epsilon_k(\vec{v}) < 0$?
new (inhomogeneous) ground state?
- does it always occur after $\epsilon_k(\vec{v}) < 0$?

- Analysis of the onset of instabilities

- \vec{v}_1, \vec{v}_2 (anti-)aligned
- $m_1 = m_2 = 0$ for simplicity



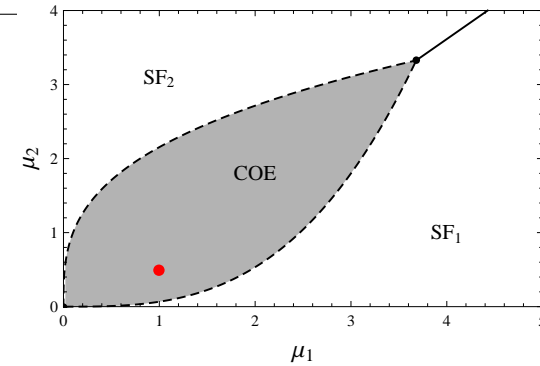
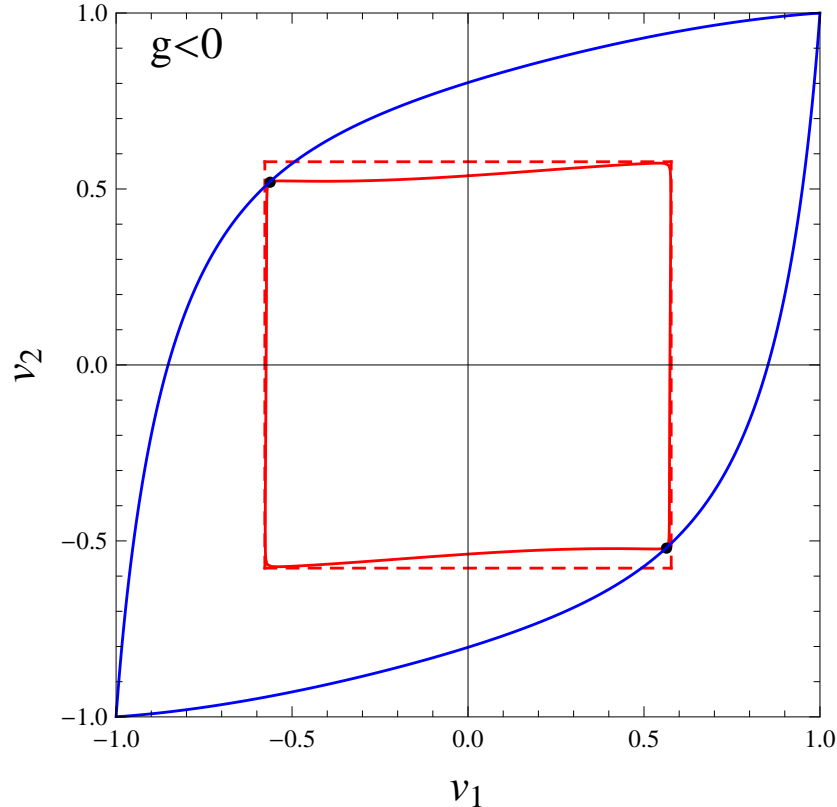
- Landau's critical velocity

$$v_1, v_2 = \pm \frac{1}{\sqrt{3}}$$

- no two-stream instability

- Analysis of the onset of instabilities

- \vec{v}_1, \vec{v}_2 (anti-)aligned
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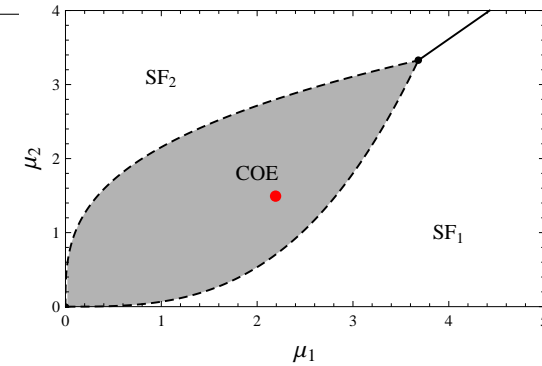
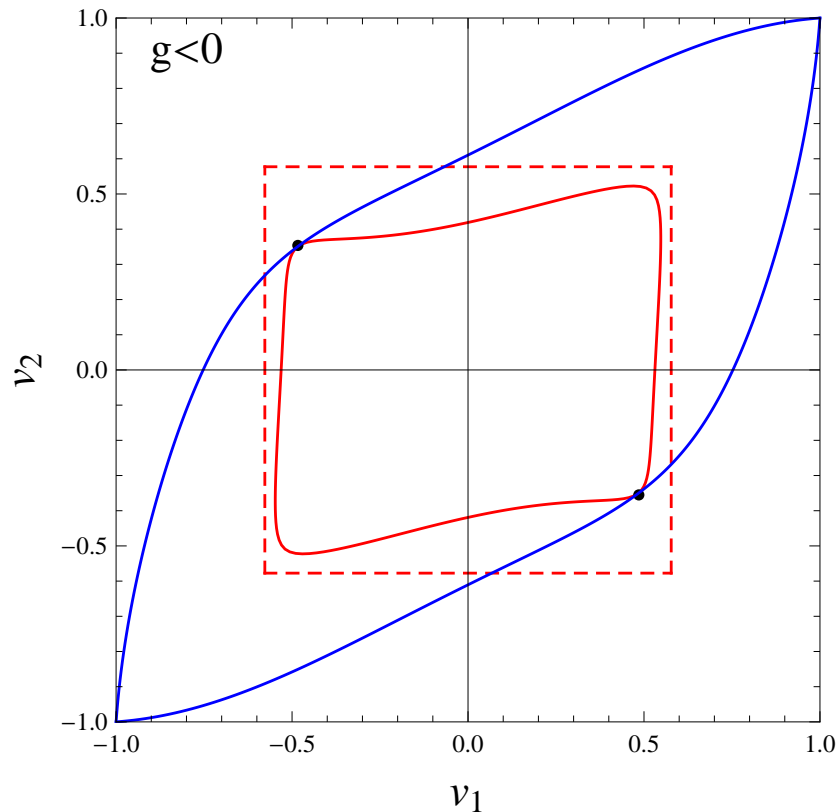


- Landau's critical velocity reduced
- two-stream instability $\forall v_1 \neq v_2$
- two-stream always “after” Landau
- $v_2 = 0$:

$$v_{\text{two-stream}} = \frac{\sqrt{3}}{2} + \mathcal{O}(g)$$

- Analysis of the onset of instabilities

- \vec{v}_1, \vec{v}_2 (anti-)aligned
- $m_1 = m_2 = 0$ for simplicity

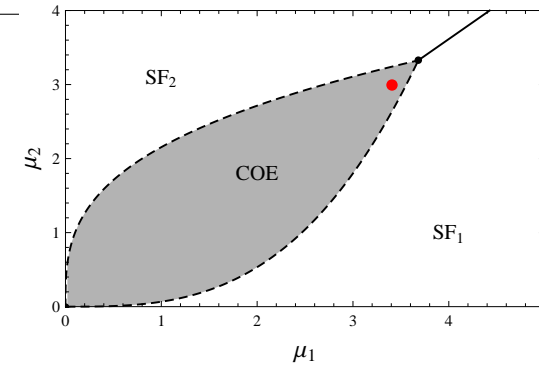
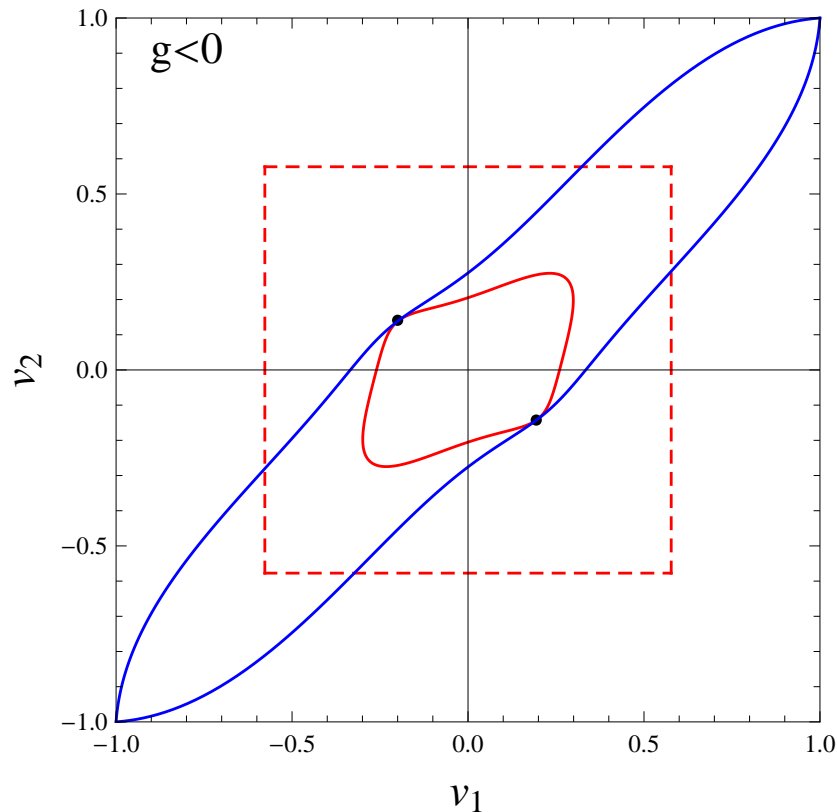


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- **Analysis of the onset of instabilities**

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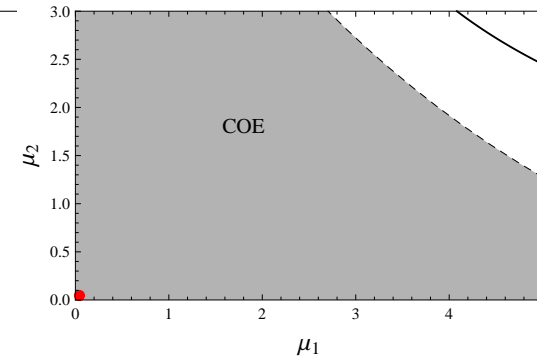
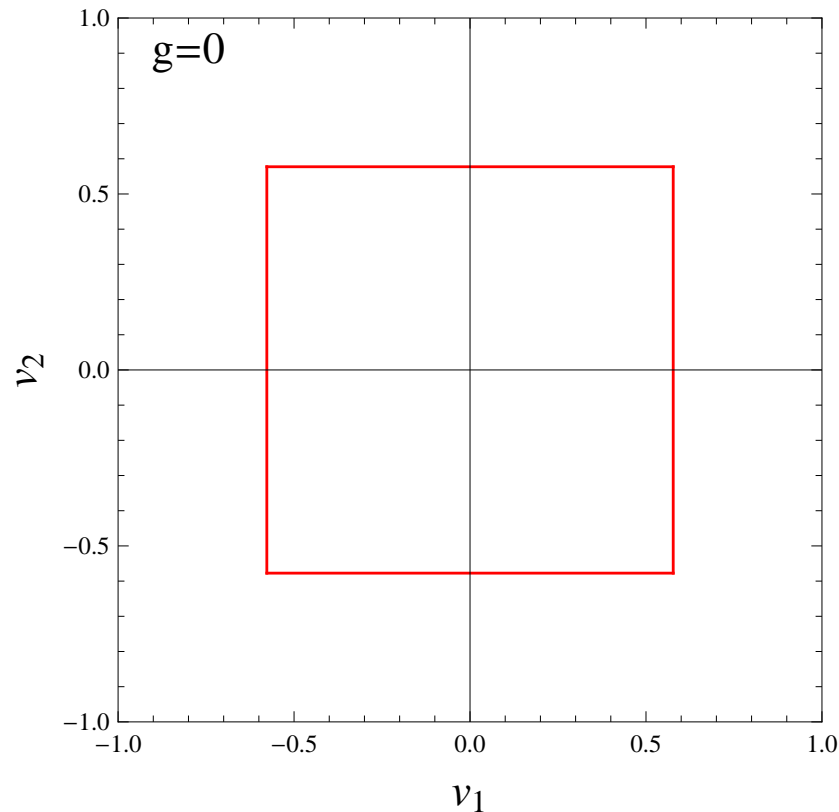


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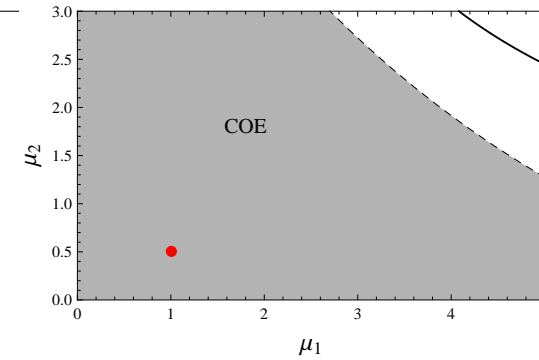
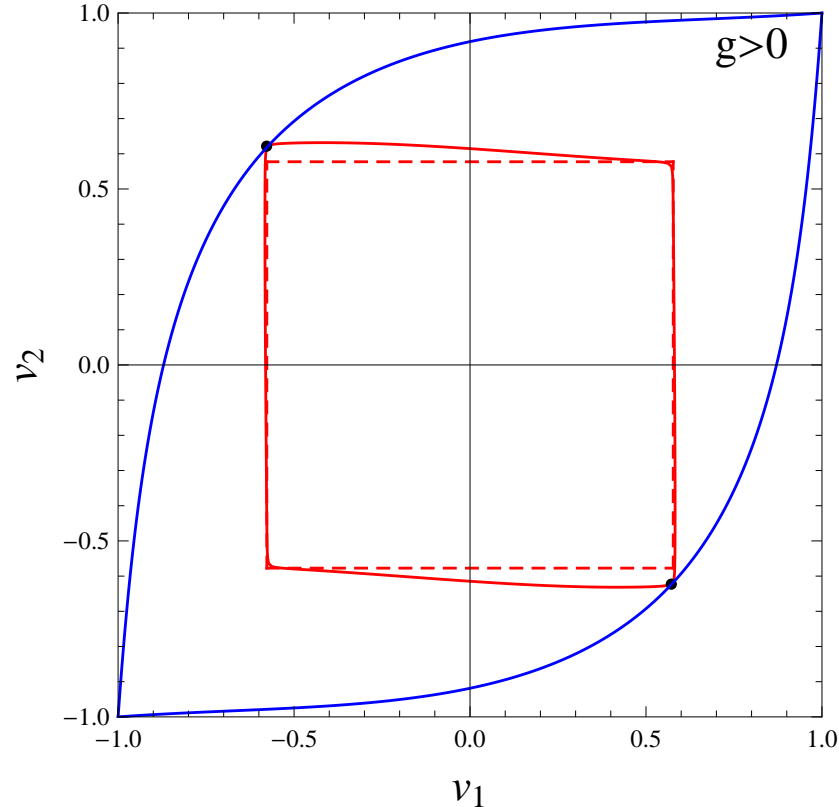
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$$v_1, v_2 = \pm \frac{1}{\sqrt{3}}$$

- no two-stream instability

- **Analysis of the onset of instabilities**

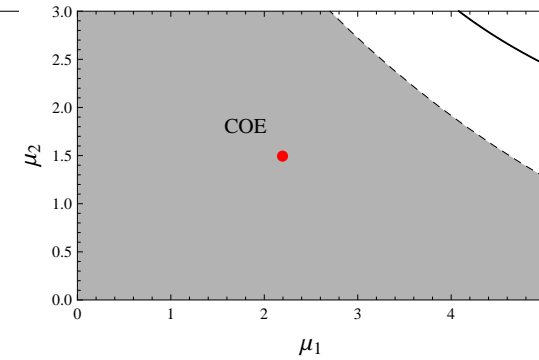
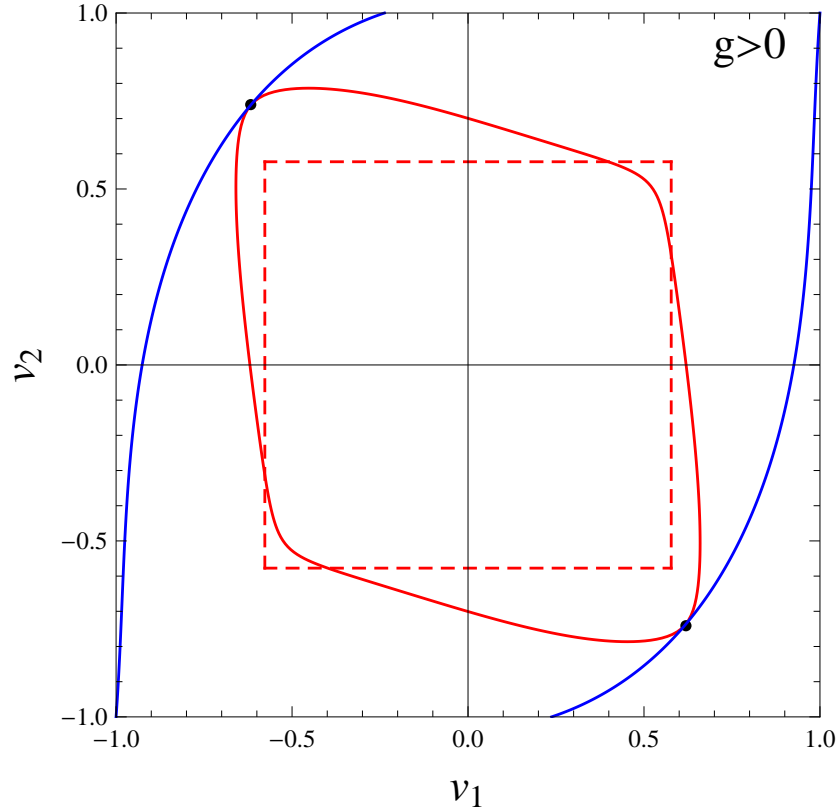
- \vec{v}_1, \vec{v}_2 (anti-)aligned
- $m_1 = m_2 = 0$ for simplicity



- Landau's critical velocity enhanced for anti-aligned flow
- two-stream always "after" Landau

- **Analysis of the onset of instabilities**

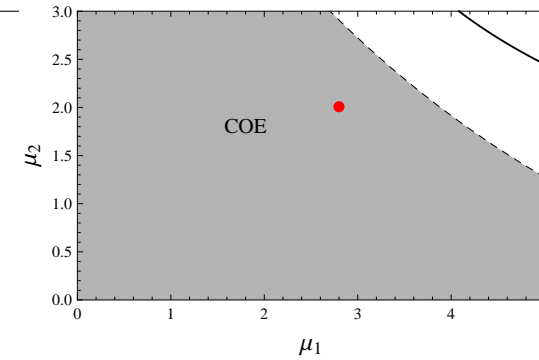
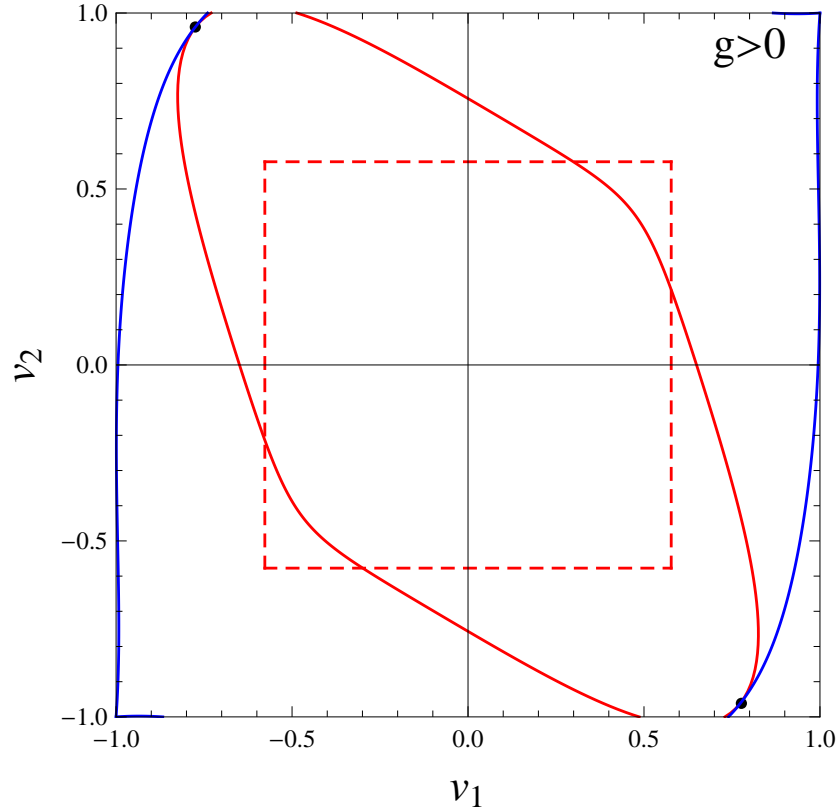
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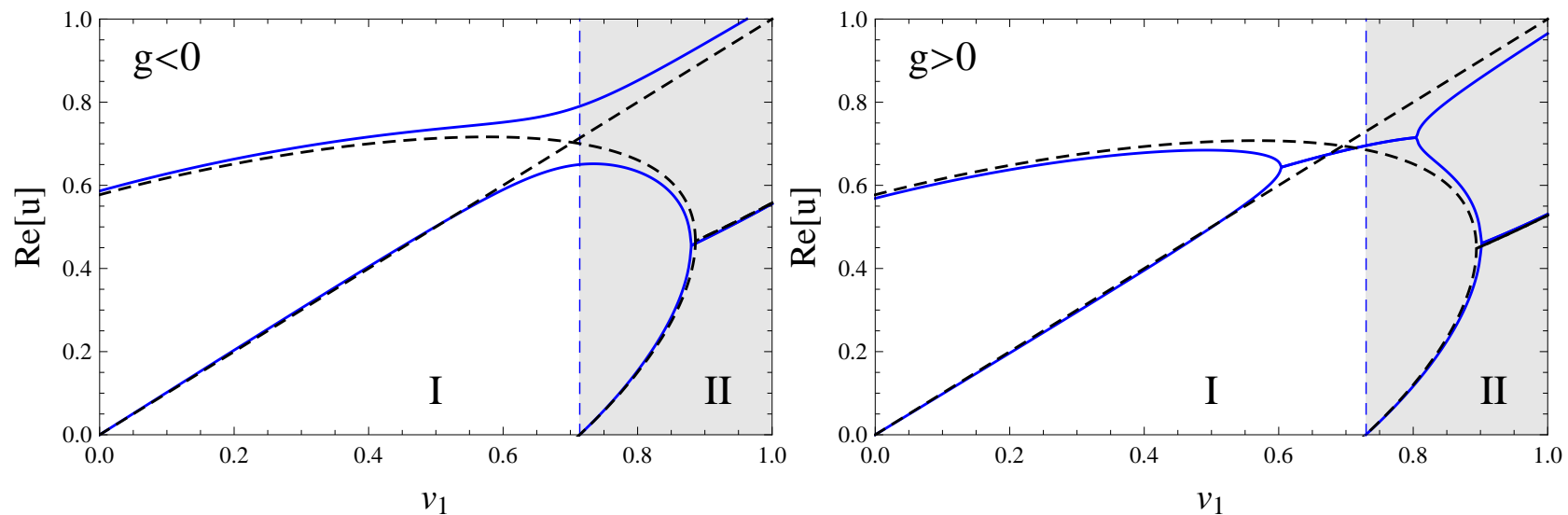
- \vec{v}_1, \vec{v}_2 (anti-)aligned
- $m_1 = m_2 = 0$ for simplicity



- Landau's critical velocity enhanced for anti-aligned flow
- two-stream always "after" Landau

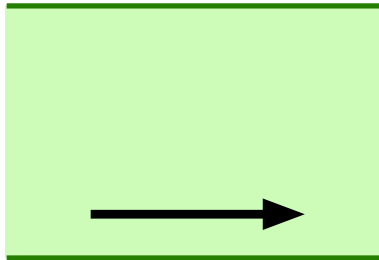
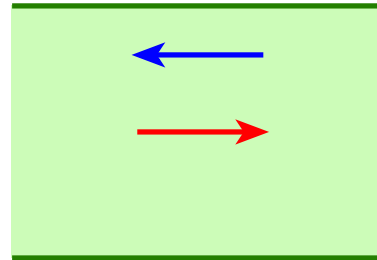
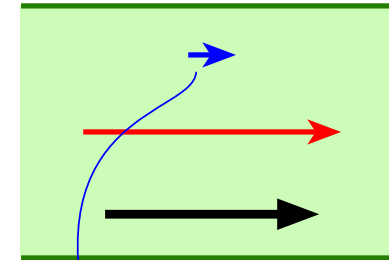
• Normal fluids

- superfluid: only longitudinal modes allowed, $\omega\delta(\mu\vec{v}) = \vec{k}\delta\mu$
(because \vec{v} and μ are not independent, both related to ψ)
- normal fluid: no such restriction
- downstream modes without (black dashed) and with (blue solid) entrainment coupling, including the transverse mode $u = v_1 \cos\theta$

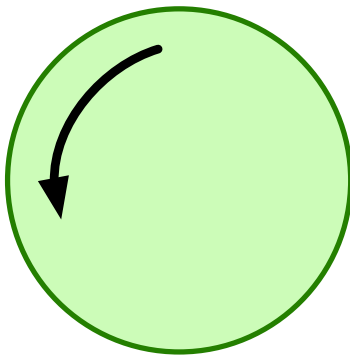
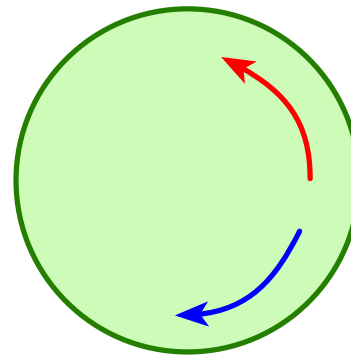
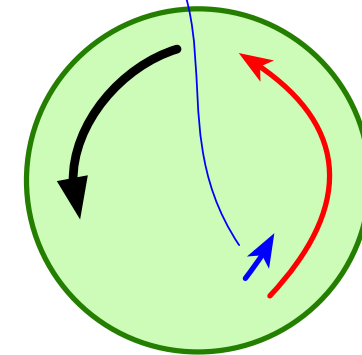


Two-stream instability "before" energetic instability for $g > 0$!

- Energetic instability: analogy to star pulsations

relative superflow v sound modes for $v = 0$ sound modes for $v > v_c$ 

negative energy modes

rotating star Ω f-modes for $\Omega = 0$ f-modes for $\Omega > \Omega_c$ 

(slight difference to r -modes, which only exist in rotating star, and have $\Omega_c = 0$)

- **General picture**

(I) fluid (star, superfluid, ...) with
propagating modes (sound modes, f -modes, ...)

+

(II) second rest frame
(non-rotating frame, second fluid, walls of a capillary, ...)

relative (angular) velocity between (I) and (II) sufficiently fast to
flip direction of propagating mode
→ **energetic ("secular") instability**

negative energy mode can become **exponentially growing mode** if (angular) momentum is exchanged (gravitational waves, dissipation, interaction with the walls of the capillary...)

- **How dissipation can induce unstable modes (page 1/3)**

A. Schmitt, work in progress

- go back to single superfluid, but $T > 0$, from

$$\mathcal{L} = \partial_\mu \varphi \partial^\mu \varphi^* - m^2 |\varphi|^2 - \lambda |\varphi|^4$$

- add dissipative terms to stress-energy tensor

$$T^{\mu\nu} = (\epsilon_n + P_n) u^\mu u^\nu - g^{\mu\nu} P_n + (\epsilon_s + P_s) v^\mu v^\nu - g^{\mu\nu} P_s + \delta T^{\mu\nu}(\eta, \zeta_1, \zeta_2, \zeta_3, \zeta_4, \kappa)$$

$$j^\mu = n_n u^\mu + n_s v^\mu$$

(in "Eckart frame")

- set $\zeta_1 = \zeta_3 = \zeta_4 = 0$ for simplicity, keep shear viscosity η , bulk viscosity ζ_2 , and heat conductivity κ as free parameters

- compute sound modes from

$$\partial_\mu T^{\mu\nu} = \partial_\mu j^\mu = 0, \quad u^\mu \partial_\mu \psi = \mu$$

- **How dissipation can induce unstable modes (page 2/3)**

- damped sound mode in normal fluid, $T = 0$

$$\omega \simeq ck + ik^2\Gamma + \mathcal{O}(k^3), \quad c^2 = \frac{n}{\mu} \left(\frac{\partial n}{\partial \mu} \right)^{-1}, \quad \Gamma = \frac{4\eta + 3\zeta}{6\mu n}$$

- superfluid, vanishing superflow, low- T expansion ($x \equiv \frac{m}{\mu} < 1$)
conformal limit $x = 0$: C. P. Herzog, N. Lisker, P. Surowka, A. Yarom, JHEP 1108, 052 (2011)

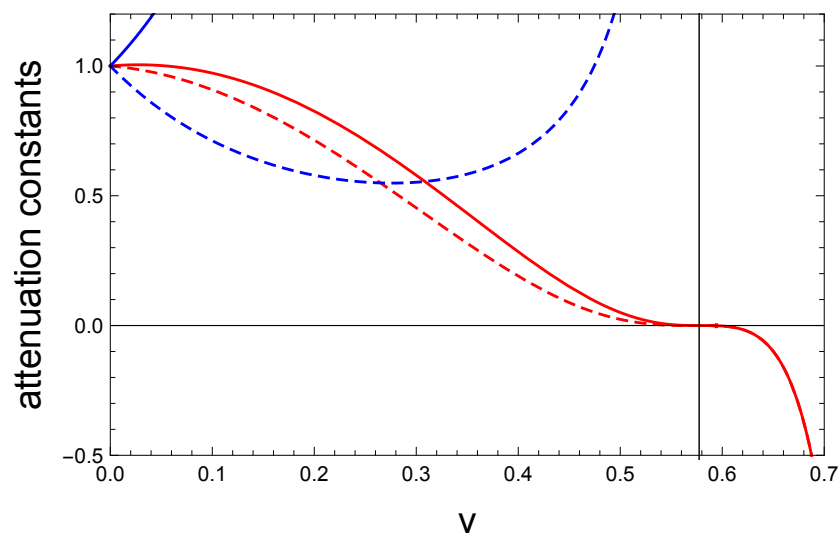
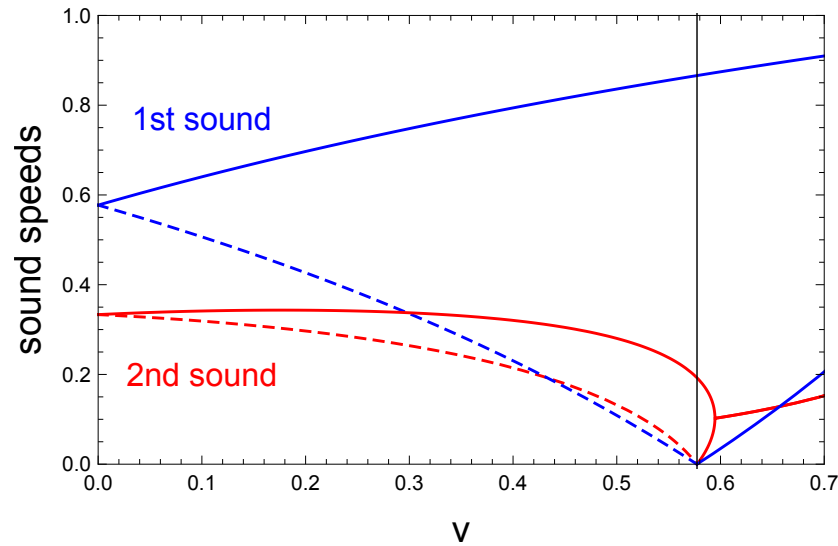
– first sound

$$c_1 = \frac{1}{\sqrt{3}} \sqrt{\frac{1-x^2}{3-x^2}} + \mathcal{O}(m^2 T^4), \quad \Gamma_1 = \frac{\lambda(4\eta + 3\zeta_2)}{4\mu^4} \frac{54 - 27x^2 - 2x^6}{(1-x^2)(3-x^2)^4} + \mathcal{O}(T)$$

– second sound

$$c_2 = \frac{c_1}{\sqrt{3}} + \mathcal{O}(T^4), \quad \Gamma_2 = \frac{15(4\eta + 3\zeta_2)c_1^5}{4\pi^2 T^4} + \frac{15\kappa c_1^3}{\pi^2 T^3} \frac{2-x^2}{(3-x^2)^2} + \mathcal{O}(T^{-2})$$

- **How dissipation can induce unstable modes (page 3/3)**
- nonvanishing superflow v , in rest frame of normal fluid



- $m = 0$ for simplicity
- sound mode gets "flipped" at

$$v_c = \frac{1}{\sqrt{3}}$$

(independent of dissipation)

- viscous effects induce negative Γ
→ exponentially growing mode
- close to v_c :

$$\Gamma_2 \simeq -\frac{135(4\eta + 3\zeta_2)}{2\pi^2 T^4} (v - v_c)^3$$

- **Summary**

- (relativistic) two-component superfluids exist in compact stars and can be created in the laboratory
- they show hydrodynamic instabilities in the presence of a sufficiently large relative flow
- in $U(1) \times U(1)$ model at $T = 0$: energetic (Landau) instability and dynamical (two-stream) instability with

$$v_{\text{Landau}} < v_{\text{two-stream}}$$

(exception: two normal fluids with entrainment)

- energetic instability can become dynamical through dissipation, as demonstrated for a single superfluid at $T > 0$

● Outlook

- add **electric charge** to (one of) the fields
(\rightarrow neutron/proton system)
 - instabilities in the presence of electromagnetism
 - use model for Meissner and flux tube phases in coupled system
 - M. G. Alford and G. Good, PRB 78, 024510 (2008)
 - A. Haber, A. Schmitt, work in progress
- **inhomogeneous condensates** as resolution for energetic instability?
 - L. A. Melnikovsky, JPhCS 150, 032057 (2009)
 - I. S. Landea, 1410.7865
- **time evolution** of two-stream instability
 - I. Hawke, G. L. Comer and N. Andersson, Class. Quant. Grav. 30, 145007 (2013)
- relevance of instabilities for **pulsar glitches**
 - N. Andersson, G. L. Comer, R. Prix, MNRAS 354, 101 (2004)
 - B. Haskell and A. Melatos, Int. J. Mod. Phys. D 24, 1530008 (2015)