

Magicity evolution and electron capture rates toward the dripline and their impact on core-collapse

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The Phases of Dense Matter, 17-23 July 2016, Seattle

Nuclear composition, EC rates and CC evolution

Core composition and collapse evolution depend on EC rates

[Aufderheide et al, ApJS **91**, 389 (1994), post-Si burning stage]

[Langanke et al, PRL **90**, 241102 (2003), core-collapse]

Finite- T : many configurations and possible, averaging over NSE distributions

Electron capture rate:

$$\langle \lambda^{(NSE)} \rangle = \frac{\sum \lambda(A, Z) n(A, Z)}{\sum n(A, Z)}$$

$$n(A, Z) \propto g_{A,Z}(T) \left(\frac{M_{A,Z} T}{2\pi} \right)^{3/2} \exp \left(\frac{-M_{A,Z}}{T} \right)$$

$$g_{A,Z}(T) = g_{A,Z}^{GS} + \int_0^{E_{max}} d\epsilon \rho(\epsilon) \exp(-\epsilon/T)$$

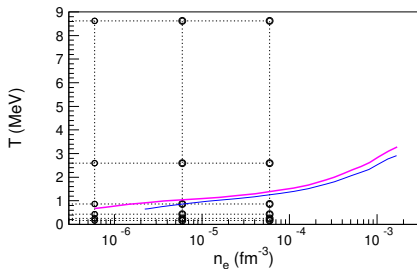
subject to major uncertainties coming from nuclear masses, excited states densities

Nuclear composition, EC rates and CC evolution

Core composition and collapse evolution depend on EC rates
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[Langanke et al, PRL **90**, 241102 (2003), core-collapse]

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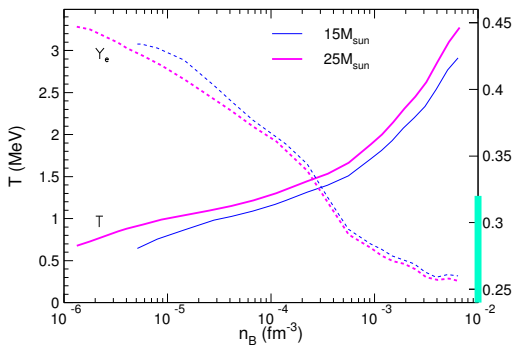


- λ_{EC} are known only for a limited number of nuclei (sd- and pf-shells) and limited thermodyn. conditions
- for CC, weak-int. tabulations are not sufficient too scarce in T not wide enough in n_e
- analytic param. are *probably* not appropriate for (T, n_e) , nor representative for n-rich nuclei

Pre-bounce evolution

Thermodynamic conditions from Juodagalvis et al., NPA848, 454 (2010)
 $0.05M_{\odot}$ enclosed mass; $15M_{\odot}$ and $25M_{\odot}$ progenitors;

NSE, EC rates from shell model + RPA with param. single part. occ. numbers



- $T(n_B)$, $Y_e(n_B)$ have similar patterns, slight progenitor dependence
- more massive progenitors lead to higher T and n_B and lower Y_e
- for $Y_e < 0.32$ no exp. values exist for $B(A, Z)$

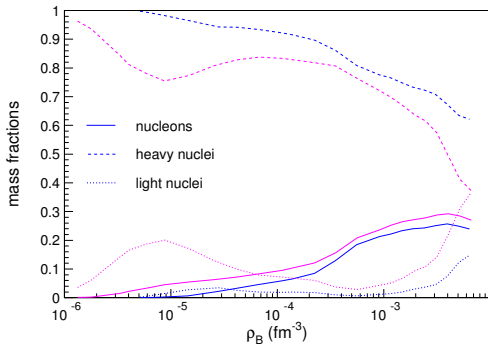
Matter composition - mass fractions

NSE model of Gulminelli and Raduta, PRC 92, 055803 (2015)

Nuclear masses from Audi et al., Chinese Physics C36, 1287 (2012); ibid. C36, 1603 (2012) + Duflo and Zuker, PRC **52**, R23 (1995);

level densities from von Egidy and D. Bucurescu, PRC 72, 044311 (2005), PRC 73, 049901 (2006).

$15M_{\odot}$, $25M_{\odot}$



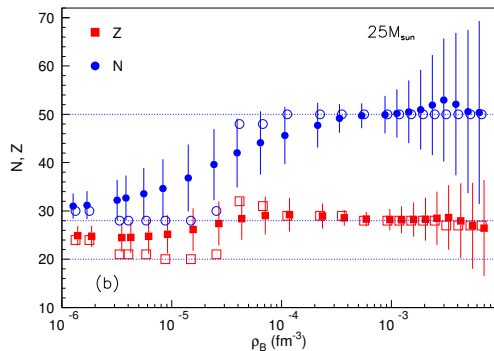
- dep. on thermo conditions heavy ($Z \geq 20$) or light ($2 \leq A < 20$) nuclei and nucleons dominate
- heavy nuclei bound an important amount of matter and neutrons
 - ▶ if subject to uncertainties they can impact the evolution

Matter composition - average and most probable N and Z

NSE model of Gulminelli and Raduta, PRC 92, 055803 (2015)

Nuclear masses from Audi et al., Chinese Physics C36, 1287 (2012); ibid. C36, 1603 (2012) + Duflo and Zuker, PRC **52**, R23 (1995);

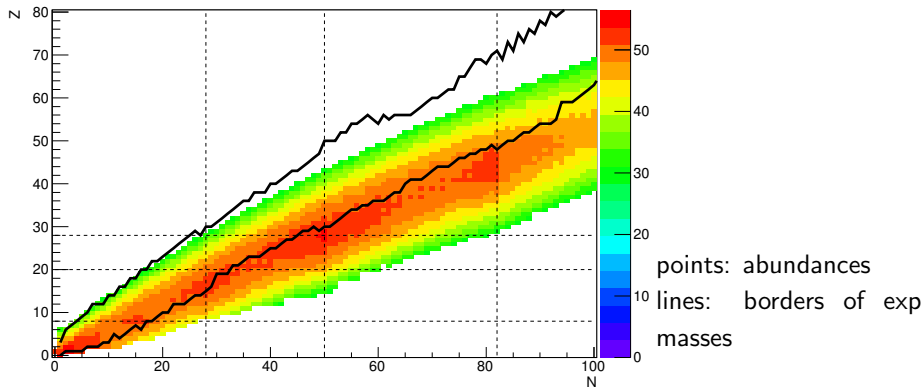
level densities from von Egidy and D. Bucurescu, PRC 72, 044311 (2005), PRC 73, 049901 (2006).



- increasing $\langle N \rangle$, $\langle Z \rangle$
- $\langle N \rangle$ increases faster than $\langle Z \rangle$
- large RMS
- $\langle N \rangle \neq N_{MP}$, $\langle Z \rangle \neq Z_{MP}$
- $N_{MP}=28, 50$; magic numbers
- competition of N -magic numbers

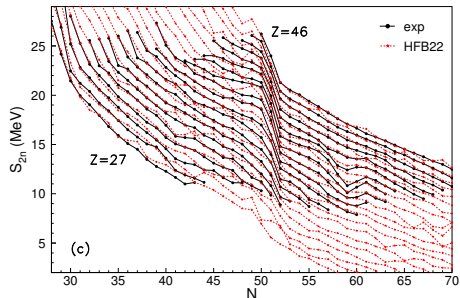
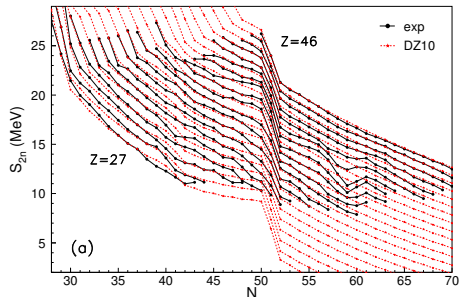
Matter composition - N magic numbers

Nuclear masses from Audi et al., Chinese Physics C36, 1287 (2012); ibid. C36, 1603 (2012) + Duflo and Zuker, PRC **52**, R23 (1995);



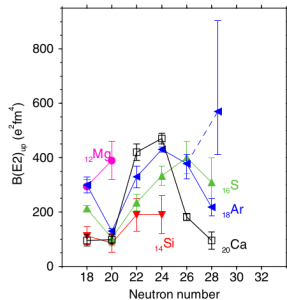
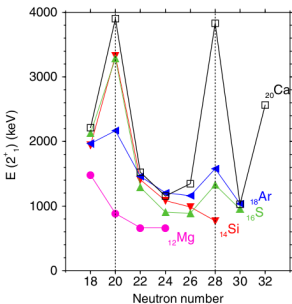
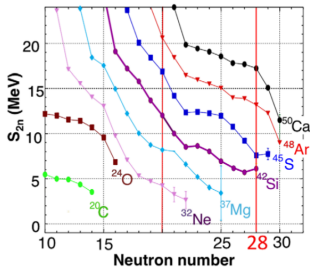
$T=2$ MeV, $n_B = 1.18 \cdot 10^{-3} \text{ fm}^{-3}$, $Y_e = 0.275$

Magicity evolution in n-rich nuclei



- HFB22 microscopic model predicts magicity quenching for $N = 50$
- at variance, HFB22 preserves magicity for $N = 82$
- phenomenological models (DZ, FRDM) do not account for magicity quenching

Magicity quenching in n-rich $N = 28$ nuclei



Sorlin and Porquet, Phys. Scr. T152, 014003 (2013)

Shell gap evolution due to NN interaction (central, SO, tensor, 3B)

Expected to occur also for $N = 50, 82$

Controlled magicity quenching

$$B_m(A, Z) = B_{LDM}(A, Z) + f(\alpha, \Delta Z) [B_{DZ}(A, Z) - B_{LDM}(A, Z)]$$

$$f(x, \Delta Z, \alpha) = \exp[\alpha x / \Delta Z], \alpha < 0$$

$$\Delta Z = 10, \Delta Z = 5, \alpha = \log(10^{-2})$$

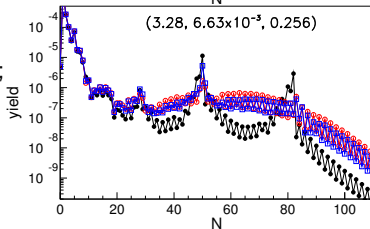
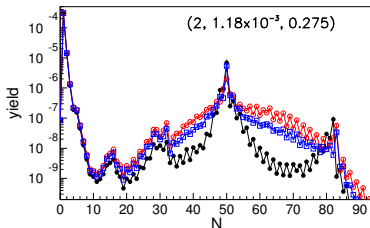
$$n(A, Z) \propto \exp(B(A, Z)/T) \text{ (Saha eqs.)}$$

if the shell gap is reduced:

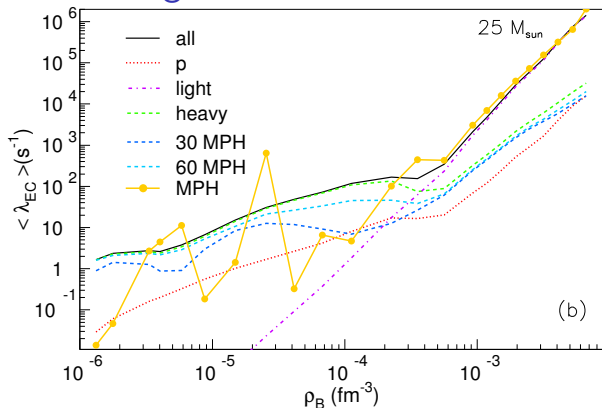
- diminished production of N -magic nuclei;
- more non-magic nuclei

Consequences of shell quenching on
r-process nucleosynthesis [Pearson et al, PLB387, 445 (1996)]:

better agreement with solar system abundances for $A \approx 110$



NSE-average EC rates



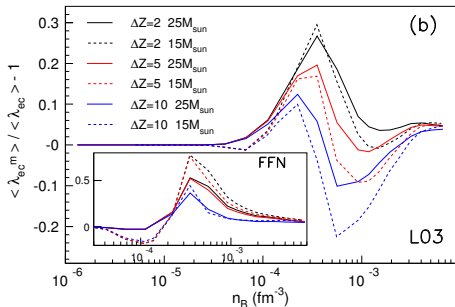
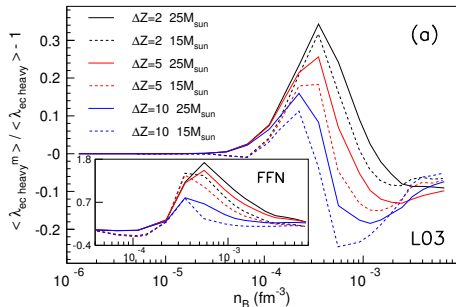
EC on protons
(Fuller et al., Ap.J 1982)

EC on other species
(PRL90, 241102 (2003))

$$\langle \lambda_{EC} \rangle = \frac{\sum_{A,Z} n(A, Z) \lambda(A, Z)}{\sum_{A,Z} n(A, Z)}$$

- NSE-average EC is the contribution of several tens of nuclei
- SNA is never acceptable

NSE-average EC rates

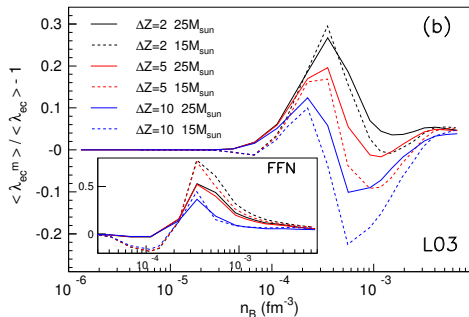


EC rates from Langanke et al, PRL90, 241102 (2003),

Fowler, Fuller, Newman, Astrophys. J. 293, 1 (1985)

- EC rates are modified by up to 30%
- stronger modif. if the unblocking effect of $N > 40$ nuclei is overestimated
- strong sensitivity to individual rates

NSE-average EC rates



non-monotonic evolution make the consequences on CC difficult to anticipate
need for experimental data on n -rich $N = 50, 82$ nuclei

AR, Gulminelli, Oertel, PRC93, 025803 (2016)

Electron capture rates

$$\lambda^\alpha = \frac{\ln 2}{K} \sum_i \frac{(2J_i+1) \exp(-E_i/k_B T)}{G(A,Z,T)} \sum_j B_{ij} \Phi_{ij}^\alpha$$

accurate nuclear structure data

experimental uncertainties / EC rates at finite temperature [Cole et al., PRC86, 015809]

finite temperature and electron density: limited number of nuclei, on a finite grid

$17 \leq A \leq 39$ Oda et al., ADNDT 56, 231 (1994);

$45 \leq A \leq 65$ Langanke & Martinez-Pinedo, ADNDT 79, 1 (2001);

$65 \leq A \leq 80$ Pruet et al., ApJS149, 189 (2003);

$18 \leq A \leq 100$ Nabi et al., ADNDT 71, 149 (1999); *ibid.* 88, 237476 (2004);

analytic param. used otherwise

$$\lambda_{EC} = \frac{\ln 2 \cdot B}{K} \left(\frac{T}{m_e c^2} \right)^5 [F_4(\eta) - 2\chi F_3(\eta) + \chi^2 F_2(\eta)], \quad \eta = (Q - \Delta E)/T,$$

$$\Delta E = 2.5 \text{ MeV}, \quad B=4.6$$

Langanke et al, PRL90, 241102 (2003)

Electron capture rates

Question: how do the uncertainties on EC rates affect CC?

Answer: Sullivan et al, Ap.J 816, 44 (2016) modified EC rates by by factors ranging from 0.1 and 10 (present exp. error bars)

- systematic modifications
- statistic modifications

Conclusions:

- *Systematic modif.* impact by +16/-4% the mass of the inner core at bounce and by $\pm 20\%$ the ν_e luminosity-peak
- no effect

..... very nice but unrealistic

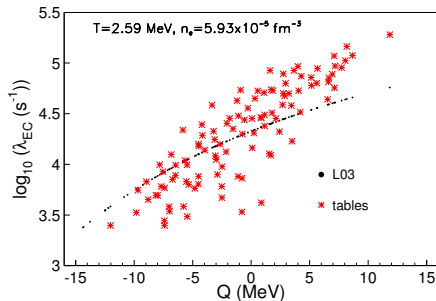
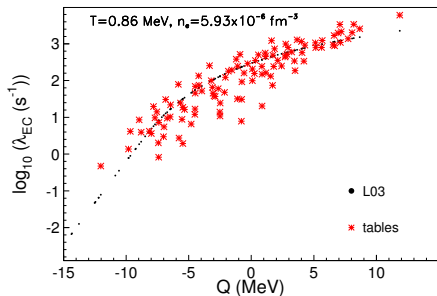
..... maybe a significant deviation monotonically dependent on X would be more realistic and make an effect

e.g.: $X = (N - Z)/A$

EC rates on n-rich nuclei at high (T, n_e)

- no microscopic calculations
- analytic param. [Langanke et al, PRL90, 241102 (2003)] fitted on *pf-nuclei* and (T, n_e)-values too low for CC are used

Question: Could λ_{EC}^{n-rich} depart from λ_{EC}^{pf} such as to obtain, globally, an effect similar to the one of Sullivan et al, Ap.J 816, 44?



$45 \leq A \leq 65$

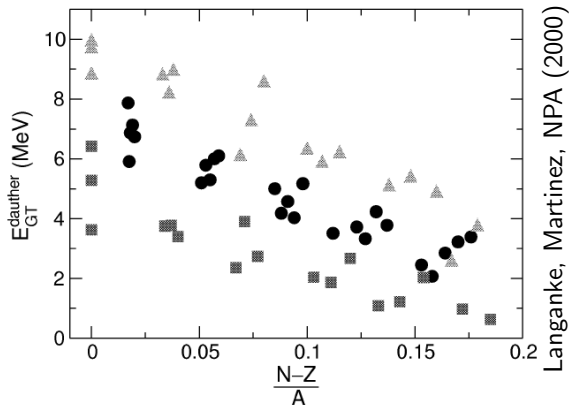
Langanke and Martinez-Pinedo, ADNDT 79, 1 (2001).

L03 is not that good at high (T, n_e)

$\Delta E(I, \delta)$

GT₊ centroid energy depends linearly on $I = 1 - 2Z/A$,
it manifests odd-even effects

square: EE; circles: O; triangles: OO (pairing effect)



Langanke, Martinez, NPA (2000)

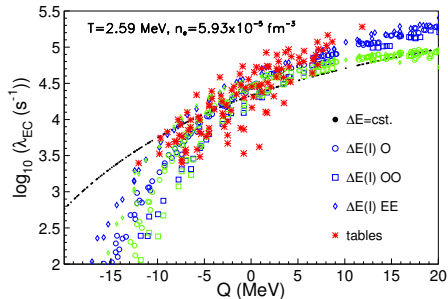
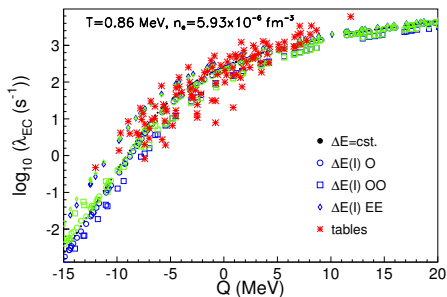
similar effect in experimental data

$\Delta E = E_f - E_i$, E_f assimilated with the centroid of GT₊

$\Delta E(I, \delta)$

GT₊ centroid energy depends on $I = 1 - 2Z/A$, it manifests odd-even effects

$\Delta E = E_f - E_i$, E_f assimilated with the centroid of GT₊



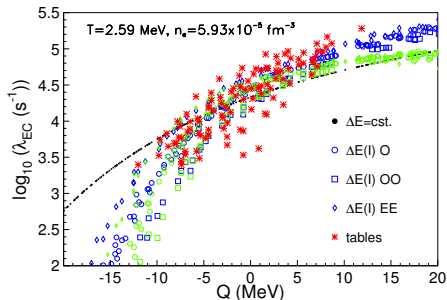
$45 \leq A \leq 65$

improved agreement (linear vs. quadratic I -dependence)

lower λ_{EC} for n-rich nuclei; *the most important nuclei according to Sullivan 2016*

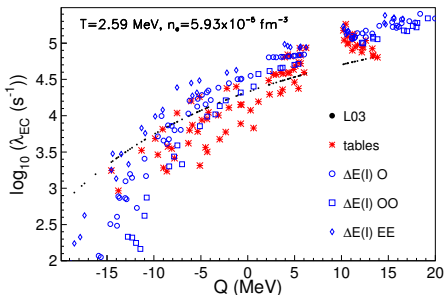
λ_{EC} reduction not systematic but progressive; though expected to play a role

$\Delta E(I, \delta)$



$$45 \leq A \leq 65$$

Langanke & Martinez-Pinedo, ADNDT (2001)



$$17 \leq A \leq 39$$

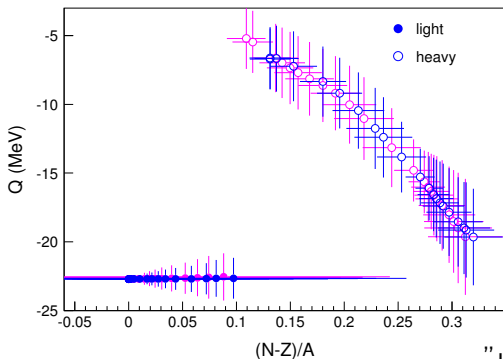
Oda et al., ADNDT (1994)

improved agreement

fitting param. depend on T, n_e

ee/o/oo ordering is the same as in Langanke and Martinez-Pinedo, NPA673, 481

Nuclear abundances: $I - Q$

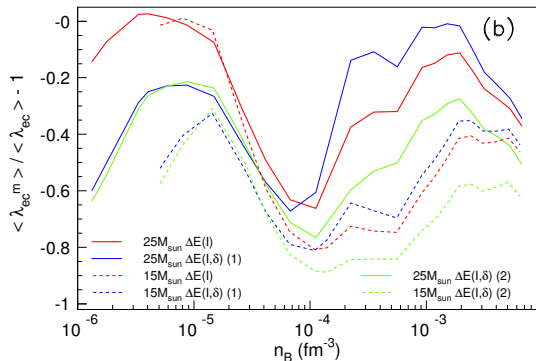


- $A \geq 20$, $-5 < \langle Q \rangle < -21$ MeV
- $2 \leq A \leq 19$, $\langle Q \rangle \approx -23$ MeV; is $\lambda_{EC}(Q)$ accurate enough?

"universality" does not hold when inclusive distrib. are considered

Nucl. abundances are dominated by the binding energies, strong correlation between I and Q , similar $T(n_B)$, $Y_e(n_B)$

NSE-average EC rates

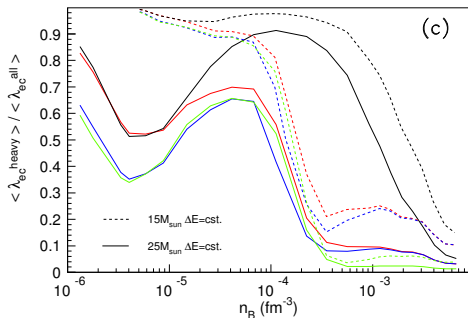
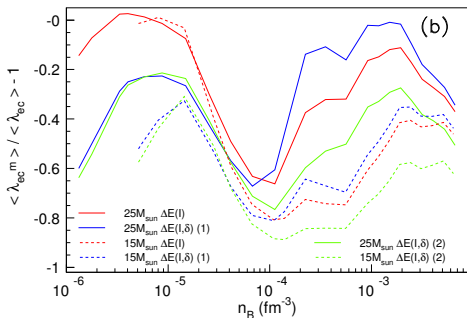


qualitative results,
highly sensitive to individual rates

preliminary results

- systematic reduction of EC
- non monotonic over the trajectory
- EC rates are more important than magicity quenching; not always in the same direction
- the net effect will be given by simulations (in progress...)
- identification of most important nuclei; *are they exp. accessible?*

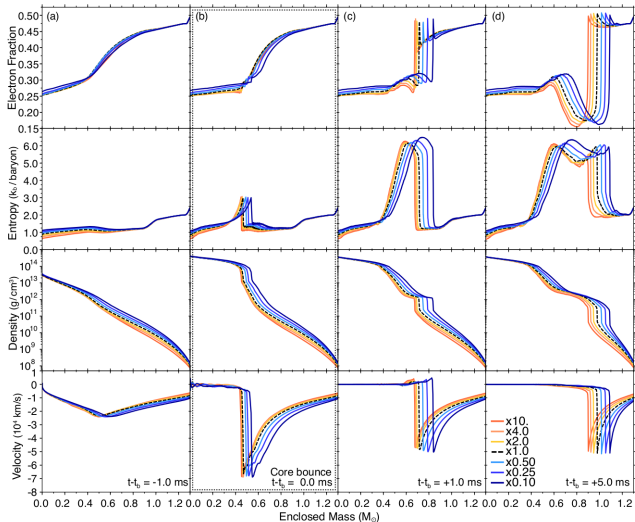
NSE-average EC rates



preliminary results

light nuclei are important as well despite the low Q -values and λ_{EC}

NSE-average EC rates and CC evolution



lower EC rates:

larger mass of the inner core at bounce (up to 16%)

larger ν_e luminosity peaks (up to 20%)

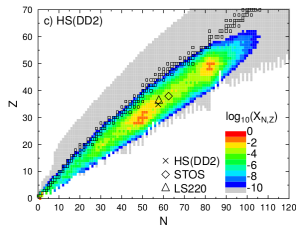
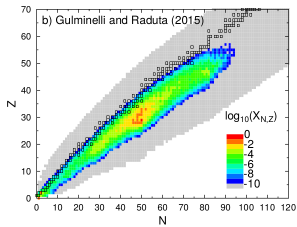
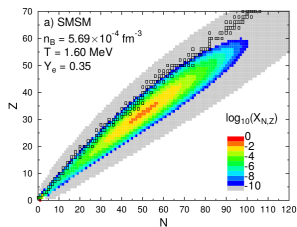
^{78}Ni , ^{79}Cu , ^{79}Zn - the most important

Sullivan et al, ApJ 816, 44 (2016)

Modeling dependence

Nuclear abundances within (extended) NSE

courtesy of M. Oertel



interactions between unbound nucleons:

no

yes

yes

binding energies:

LDM

exp+DZ10

exp+FRDM

upper excitation energy:

B

$\min(S_n, S_p)$

B

nucleon-cluster interaction:

excluded volume

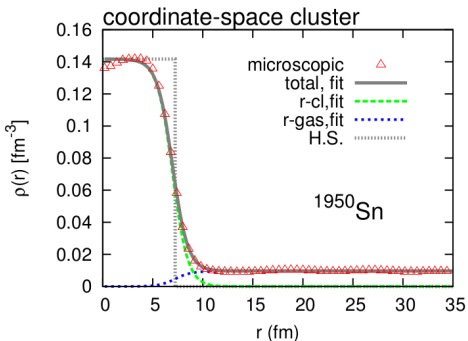
excl. vol+ e-clusters

excl. vol.

electron screening (Wigner-Seitz)

significant model dependence

Nucleus in a nucleon gas



the nucleus is the **high density** component!

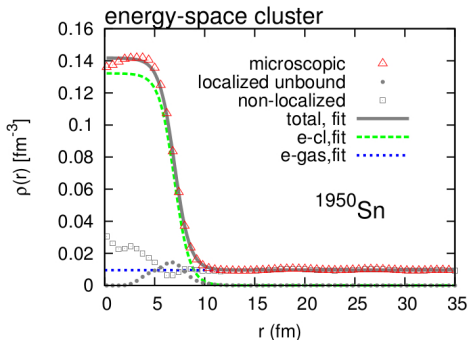
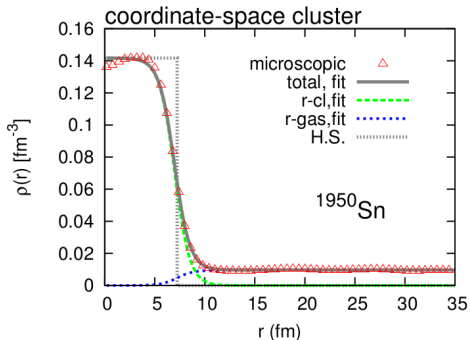
$$\rho^{WS}(r) = \rho_0 / [1 + \exp((r - R^{WS})/a)] + \rho_{gas} / [1 + \exp(-(r - R^{WS})/a)]$$

$$\rho^{WS}(r) = \rho_{r-cl}^{WS}(r) + \rho_{r-gas}^{WS}(r);$$

$$A^{WS} = Ar + \rho_g (V^{WS} - V_{cl}),$$

$$E^{WS} = Er + \epsilon_g (V^{WS} - V_{cl}).$$

Nucleus in a nucleon gas



the nucleus is the **high density** component!

$$\rho^{WS}(r) = \rho_0 / [1 + \exp((r - R^{WS})/a)] + \rho_{gas} / [1 + \exp(-(r - R^{WS})/a)]$$

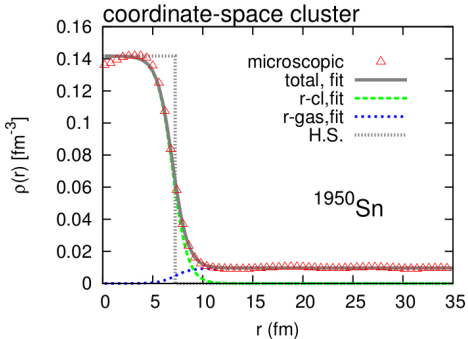
$$\begin{aligned} \rho^{WS}(r) &= \rho_{r-cl}^{WS}(r) + \rho_{r-gas}^{WS}(r); \\ A^{WS} &= A^r + \rho_g (V^{WS} - V_{cl}); \\ E^{WS} &= E^r + \epsilon_g (V^{WS} - V_{cl}); \end{aligned}$$

the nucleus is the **bound** component!

$$(\rho_0 - \rho_{gas}) / [1 + \exp((r - R^{WS})/a)] + \rho_{gas}(r),$$

$$\begin{aligned} \rho^{WS}(r) &= \rho_{e-cl}^{WS}(r) + \rho_{e-gas}^{WS}(r); \\ A^{WS} &= A^e + \rho_g V^{WS}, \\ E^{WS} &= E^e + \epsilon_g V^{WS}, \end{aligned}$$

Nucleus in a nucleon gas



the nucleus is the **high density** component!

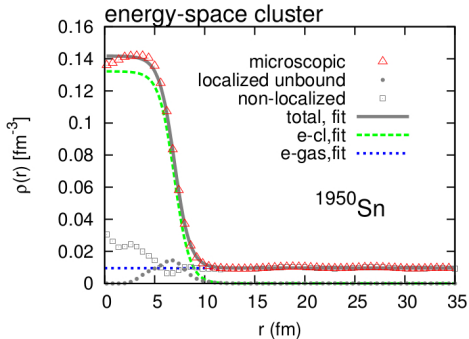
$$A^e = A^r (1 - \rho_{gas} \mathcal{V}_{cl}),$$

$$E^e = E^r (1 - \epsilon_{gas} \mathcal{V}_{cl}),$$

similar to an excluded volume correction

at $T = 0$: mapping between r- and e-clusters

Papakonstantinou et al., PRC 88, 045805 (2013)



the nucleus is the **bound** component!

Extended NSE models

Souza et al., PRC 79, 054602 (2009)

Heckel et al., PRC80, 015805 (2009)

Botvina and Mishustin, NPA 843, 98 (2010)

Hempel, Schaffner-Bielich, NPA 837, 210 (2010)

AR and Gulminelli, PRC82, 065801 (2010)

Blinnikov et al., A&A. 535, A37 (2011)

NSE with e-clusters [Gulminelli and Raduta, PRC 92, 055803 (2015)]

$$\begin{aligned} Z_{\beta\mu_B\mu_3}^{cl} &= \sum_k \exp \left[-\beta \sum_i n_i^{(k)} G_{\beta\mu_B\mu_3}^e(i) \right] = \prod_i \sum_{n=0}^{\infty} \frac{\left[\exp \left(-\beta G_{\beta\mu_B\mu_3}^e(i) \right) \right]^n}{n!} \\ &= \prod_i \exp \omega_{\beta\mu_B\mu_3}(i). \end{aligned}$$

cluster multiplicities

$$\langle n_i \rangle_{\beta, \mu_B, \mu_3} = \omega_{\beta\mu_B\mu_3}(i) = \exp \left[-\beta \left(F_{\beta}^e(A, \delta, \rho_g, y_g, \rho_p) - \mu_B A_e - \mu_3 I_e \right) \right].$$

Advantages:

- in the limit $T \rightarrow \infty$, NSE \rightarrow SNA

Conclusions

- n-rich nuclei with unconstrained masses and EC rates might impact the CC evolution
- identify the most important nuclei and propose experiments/theoretical calculations

Ongoing work:

- CC simulations,
- systematic EC rates calculations within QRPA
A. F. Fantina, E. Khan, G. Col, N. Paar, and D. Vretenar PRC86, 035805;
N. Paar, G. Colo, E. Khan and D. Vretenar, PRC80, 055801 (2009);

Collaborators: F. Gulminelli (Caen, France), M. Oertel (Meudon, France)

Partial support from NewCompStar, COST Project MP1304

Weak interaction rates

$$\lambda^\alpha = \frac{\ln 2}{K} \sum_i \frac{(2J_i+1) \exp(-E_i/k_B T)}{G(A,Z,T)} \sum_j B_{ij} \Phi_{ij}^\alpha$$

$$K = \frac{2\pi^3 \ln 2 \hbar^7}{G_F^2 V_{ud}^2 g_v^2 m_e^5 c^4} = \text{const.}$$

G_F = Fermi cc

V_{ud} = the up-down element of the quark mixing matrix

g_v = the weak vector cc = 1

partition fct. of the parent:

$$G(A, Z, T) = \sum_i \exp(-E_i/k_B T)$$

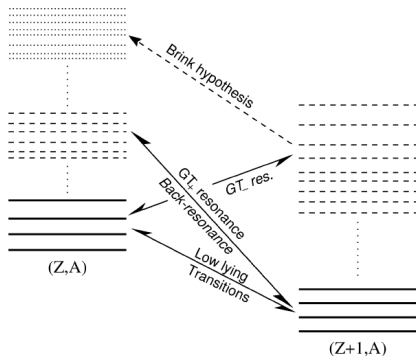
reduced trans. prob. of the transition:

$$B_{ij} = B_{ij}(F) + B_{ij}(GT)$$

Phase space integral:

$$\Phi_{ij}^{EC} = \int_{w_e}^{\infty} w p(Q_{ij} + w)^2 F(Z, w) S_e(w) (1 - S_\nu(Q_{ij} + w)) dw$$

K. Langanke, G. Martínez-Pinedo / Nuclear Physics A 673 (2000) 481–508



EC rates: Analytical expressions

$\lambda_{EC} = \log \langle ft \rangle I_e$ Fuller, Fowler, Newman, *Astrophys. J* 293, 1 (1985)

for $Q_n > -m_e c^2$, $\tilde{\eta}_e = \eta_e^F - \eta_e^L$, $\eta_e^F = \mu_e/T$, $\eta_e^L = m_e c^2/T$

$$I_e = \left(\frac{T}{m_e c^2}\right)^5 F_4(\tilde{\eta}_e) + (4\eta_e^L + 2\zeta_n) F_3(\tilde{\eta}_e) + \left[6(\eta_e^L)^2 + 6\eta_e^L \zeta_n + (\zeta_n)^2\right] F_2(\tilde{\eta}_e) \\ + \left[4(\eta_e^L)^3 + 6(\eta_e^L)^2 \zeta_n + 2\eta_e^L (\zeta_n)^2\right] F_1(\tilde{\eta}_e) + \left[(\eta_e^L)^4 + 2(\eta_e^L)^3 \zeta_n + (\eta_e^L \zeta_n)^2\right] F_0(\tilde{\eta}_e)$$

for $Q_n < -m_e c^2$, $\eta_e^L = |\zeta_n|$, $\zeta_n = Q_n/T$ (threshold case)

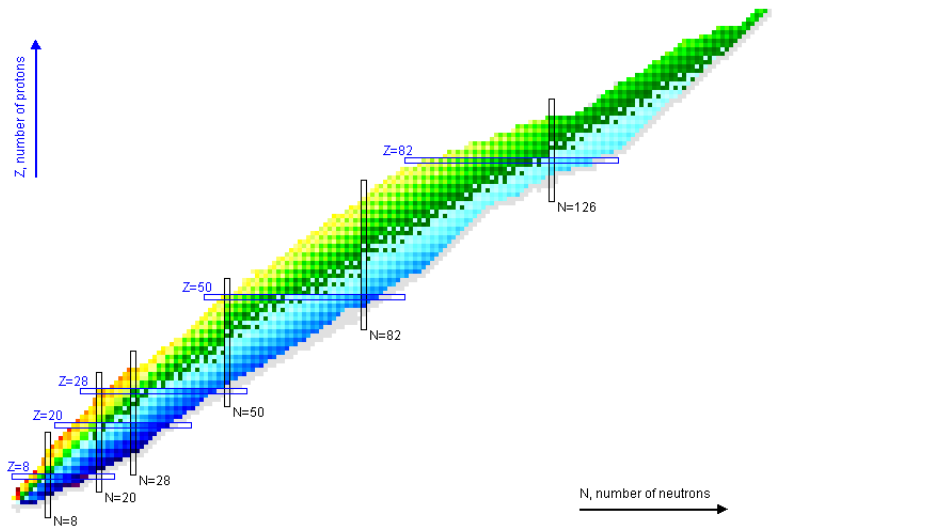
$$I_e = \left(\frac{T}{m_e c^2}\right)^5 \left[F_4(\tilde{\eta}_e) + 2|\zeta_n| F_3(\tilde{\eta}_e) + \zeta_n^2 F_2(\tilde{\eta}_e)\right]$$

Langanke et al., *PRL*90, 241102 (2003)

$$\lambda_{EC} = \frac{\ln 2 \cdot \mathcal{B}}{K} \left(\frac{T}{m_e c^2}\right)^5 \left[F_4(\eta) - 2\chi F_3(\eta) + \chi^2 F_2(\eta)\right]$$

$$K = 6146 \text{ s}, \chi = (Q - \Delta E)/T, \eta = \chi + \mu_e/T,$$

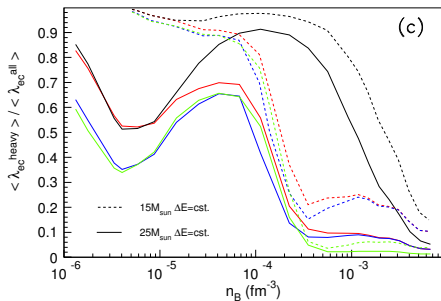
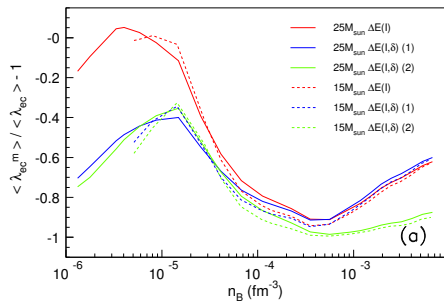
$\mathcal{B} = 4.6 \text{ MeV}$ and $\Delta E = 2.5 \text{ MeV}$ (from fit of microsc. results)



Improved $\lambda_{EC}(Q)$

T (MeV)	n_e (fm $^{-3}$)	$\Delta E = \text{cst.}$		$\Delta E(I) = a_1 I + a_0$			$\Delta E(I, \delta) = a_1(\delta) I + a_0(\delta)$			$\Delta E(I, \delta) = a_1(\delta) I^2 + a_0(\delta)$		
		ΔE	χ^2	a_1	a_0	χ^2	a_1	a_0	χ^2	a_1	a_0	χ^2
0.86	$5.93 \cdot 10^{-6}$	2.96	20.8	2.01	2.71	20.8	-1.23	3.52	6.52	-20.8	3.72	6.43
							-10.0	6.30	3.74	-70.0	6.37	3.42
							-1.31	1.54	1.92	-20.7	1.73	1.87
0.86	$5.93 \cdot 10^{-5}$	3.81	11.4	56.3	-2.33	7.25	57.7	-2.23	3.50	259	0.40	3.89
							50.8	0.09	1.68	193	3.00	1.89
							56.4	-3.92	1.30	239	-1.16	1.47
2.59	$5.93 \cdot 10^{-6}$	2.35	7.75	26.3	-0.298	6.32	22.6	0.18	1.99	93.5	1.30	2.17
							23.9	2.38	1.55	88.1	3.74	1.67
							19.9	-1.84	0.69	82.8	-0.87	0.75
2.59	$5.93 \cdot 10^{-5}$	2.44	9.23	73.1	-4.84	5.11	70.7	-4.55	2.31	334	-1.64	2.66
							70.6	-2.46	1.36	295	1.03	1.58
							70.1	-6.39	0.86	323	-3.41	0.99

Average-NSE EC rates



Preliminary results

Evolution of neutron shell gaps

- the mechanism that creates the large SO $N=28$ shell gap between $f_{7/2}$ and $p_{3/2}$ is probably due to 3B forces [Holt et al., JPG 2012; Hagen et al., PRL2012]
 - ▶ also the $d_{5/2}$ - $s_{1/2}$ sub-shell gap in $N=14$ comes from SO
 - ▶ SO shell gap expected also $N = 50$ ($g_{9/2}$ - $d_{5/2}$) (Sorlin and Porquet, Phys. Scr. T 2013, effective monopole terms $V_{nn}^{g_{9/2}g_{9/2}} = -200$ keV, $V_{nn}^{g_{9/2}d_{5/2}} = +130$ keV extracted from $^{88,90}\text{Zn}$ spectroscopy)
 - ▶ SO shell gap expected also in $N = 82$ ($h_{11/2}$ - $f_{7/2}$) but probably hard to see because of pairing with neutrons on $s_{1/2}$, $d_{3/2}$.
- SO magic numbers $N=14$, 28 and 50 disappear far from stability, while $N = 82$ does not; $N = 82$ does not come from $h_{11/2}$ and $f_{7/2}$; $h_{11/2}$ lower than $d_{3/2}$. (Phys. Scr. T 2013)
- nucl.-potential is more diffuse towards the drip line; low- l orbits more bound than high- l orbits; level inversion; all N-magic numbers are expected to vanish in n-rich nuclei.
- n-p interaction modified due to reduced overlap between n (loosely bound) and p (deeply bound) wave functions.