

# Nuclear matter from an effective lattice theory of heavy QCD

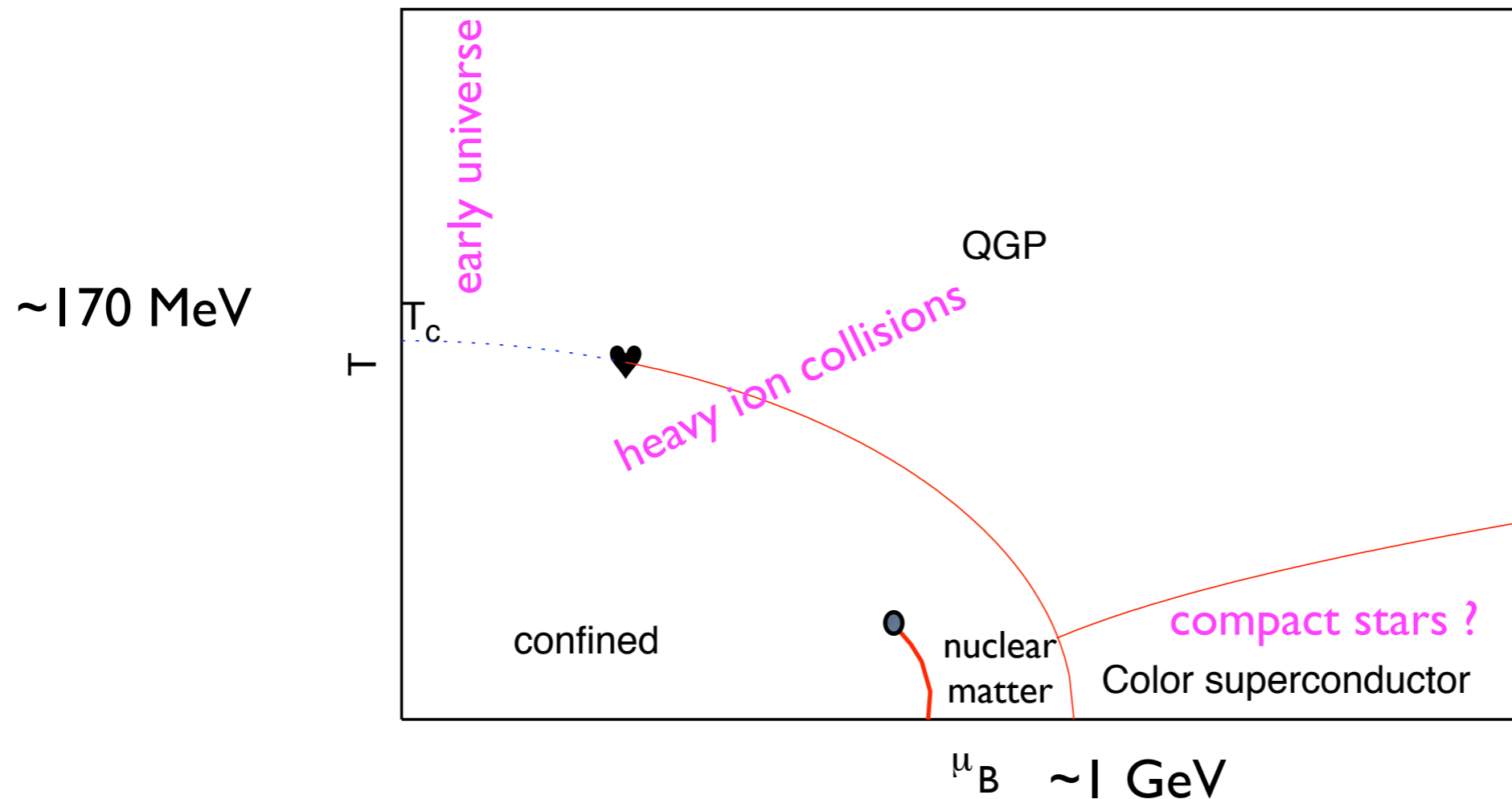


Owe Philipsen



- A 3d effective theory for heavy QCD: Strong coupling + hopping expansions
- The (heavy) nuclear equation of state in the continuum
- A 4d effective theory for chiral QCD at strong coupling

# QCD phase diagram: theorist's science fiction

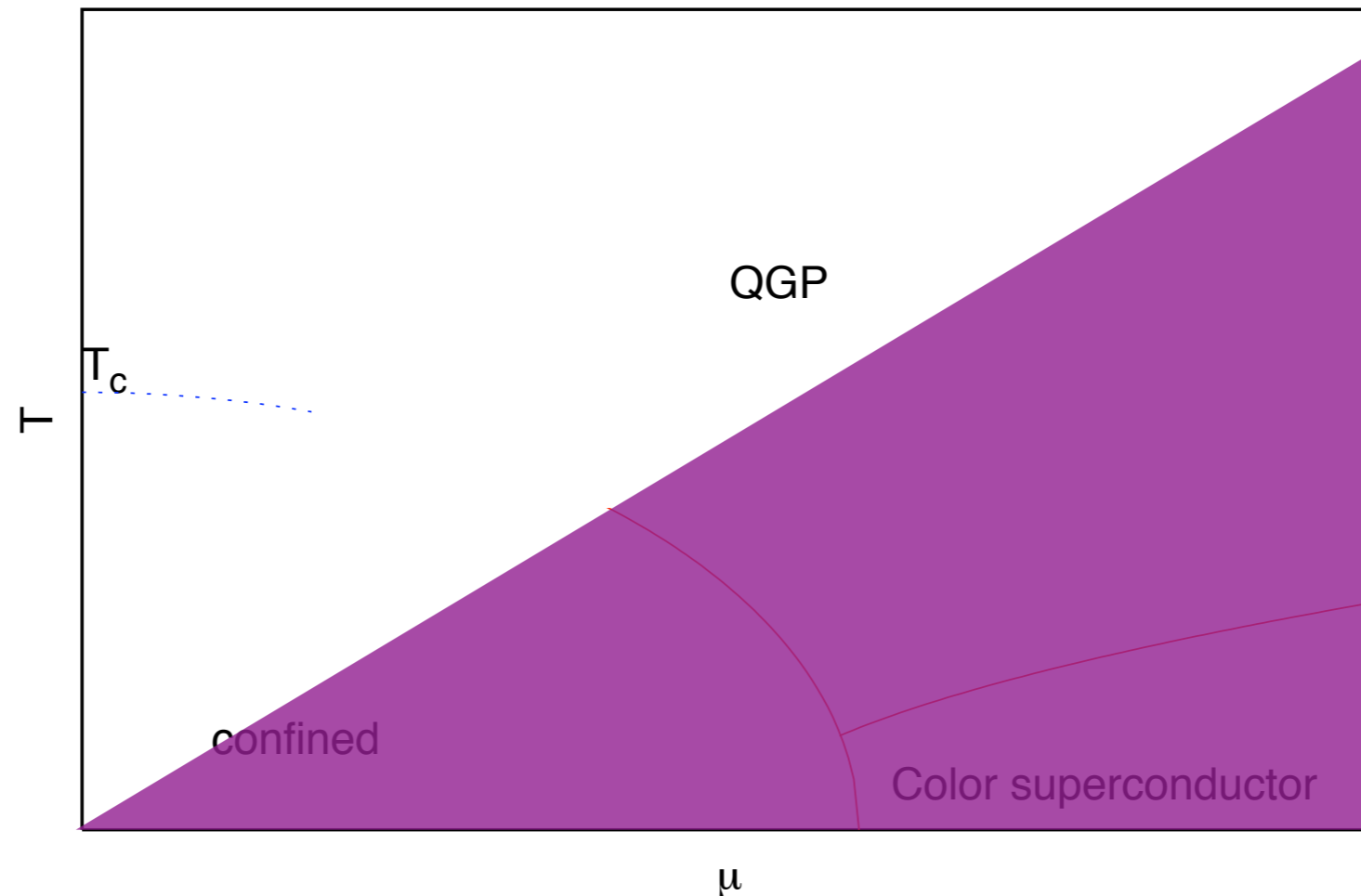


Until 2001: no finite density lattice calculations, **sign problem!**

Expectation based on simplifying models (NJL, linear sigma model, random matrix models, ...)

**Check this from first principles QCD!**

# The lattice-calculable region of the phase diagram



- Sign problem prohibits direct simulation, circumvented by approximate methods: reweighting, Taylor expansion, imaginary chem. pot., need  $\mu/T \lesssim 1$  ( $\mu = \mu_B/3$ )
- No critical point in the controllable region, some signals beyond
- Complex Langevin: lots of progress, but not in all parameter space, no “guarantees”

# New computational avenues in LQCD:

*"(Wall)Time is Money (CPU hrs)"*

CPU



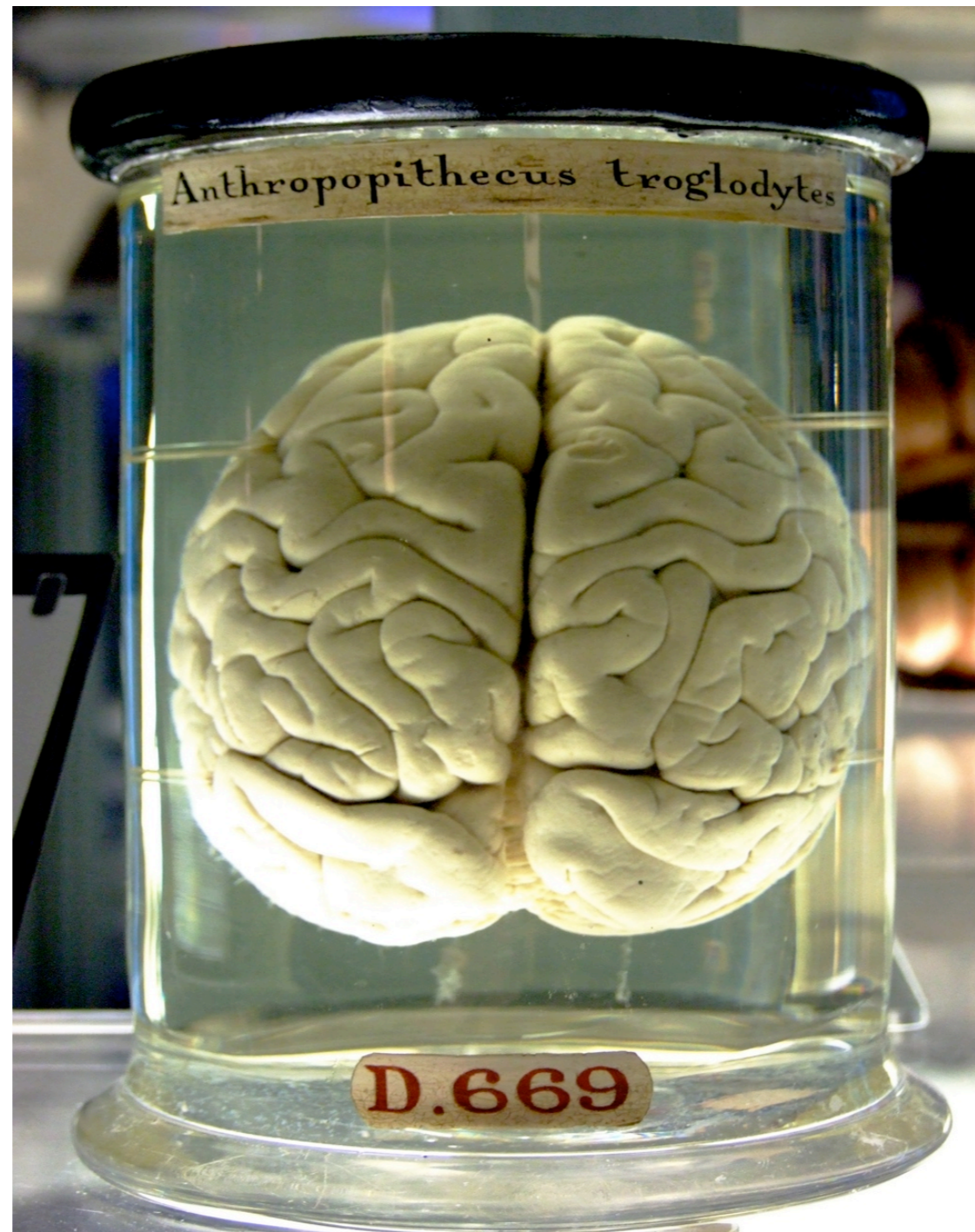
GPU



Here, very old-fashioned approach:

**BPU!**

# Biological Processing Unit!



Large densities?

Effective theories!

# Effective lattice theory for heavy and dense QCD

with M.Fromm, J.Langelage, S.Lottini, M.Neuman, J.Glesaaen

- Two-step treatment:

- I. Calculate effective theory analytically

- II. Simulate effective theory

- Step I.: split temporal and spatial link integrations:

$$Z = \int DU_0 DU_i \det Q e^{S_g[U]} \equiv \int DU_0 e^{-S_{eff}[U_0]} = \int DL e^{-S_{eff}[L]}$$

Spatial integration after analytic strong coupling and hopping expansion

- Truncation valid for heavy quarks on reasonably fine lattices,  $a \sim 0.1$  fm

- Step II.: Mild sign problem, complex Langevin, Monte Carlo

- Check in SU(2): Scior, von Smekal 15

- New Step II.: Analytic solution by cluster expansion!

# Starting point: Wilson's lattice Yang-Mills action

Partition function; link variables as degrees of freedom

$$Z = \int \prod_{x, \mu} dU(x; \mu) \exp(-S_g[U]) \equiv \int DU \exp(-S_g[U])$$

Wilson's gauge action

$$S_g[U] = \sum_x \sum_{1 \leq \mu < \nu \leq 4} \beta \left( 1 - \frac{1}{3} \text{ReTr} U_p \right) \equiv \sum_p S_p \quad \beta = \frac{2N}{g^2}$$

Plaquette:

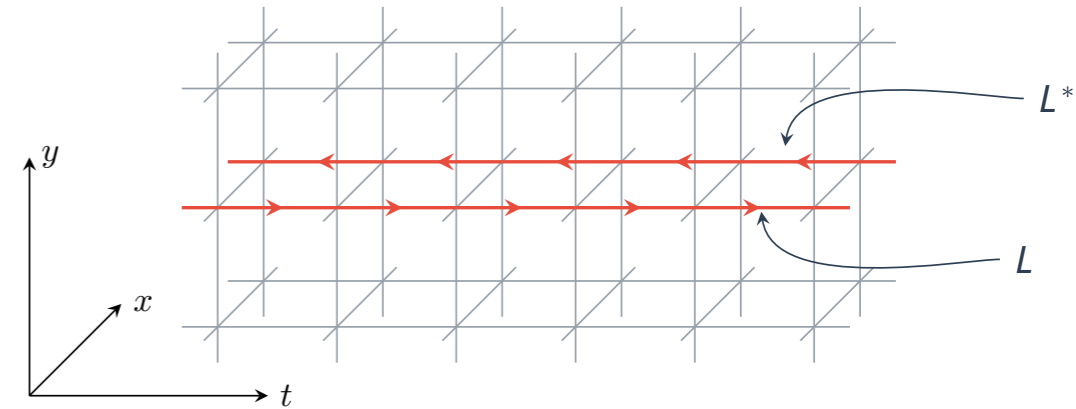
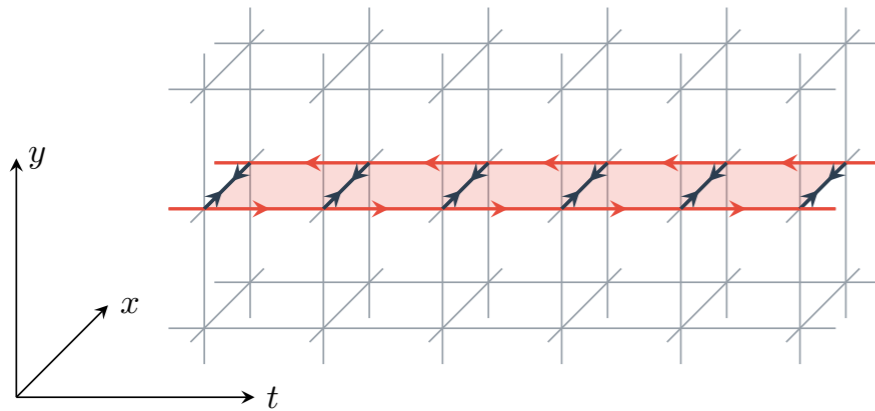
$$\square \rightarrow 1 + ia^2 g F_{\mu\nu} - \frac{a^4 g^2}{2} F_{\mu\nu} F^{\mu\nu} + O(a^6) + \dots$$

$U_\mu(x) = e^{-ia g A_\mu(x)}$

$$T = \frac{1}{aN_t} \quad \text{continuum limit} \quad a \rightarrow 0, N_t \rightarrow \infty$$

Small  $\beta(a) \Rightarrow$  small T

# LO 3d effective theory for lattice YM



Integrate over all spatial gauge links

What remains is an interaction between Polyakov Loops

$$-S_1 = u^{N_\tau} \sum_{\langle ij \rangle} \text{tr } W_i \text{tr } W_j$$

Polonyi, Szachlanyi 82

Character expansion:

$$u = \frac{\beta}{18} + O(\beta^2) < 1$$

- larger distances between loops, higher powers of loops
- higher representations of loops
- decorations of LO graphs by additional plaquettes

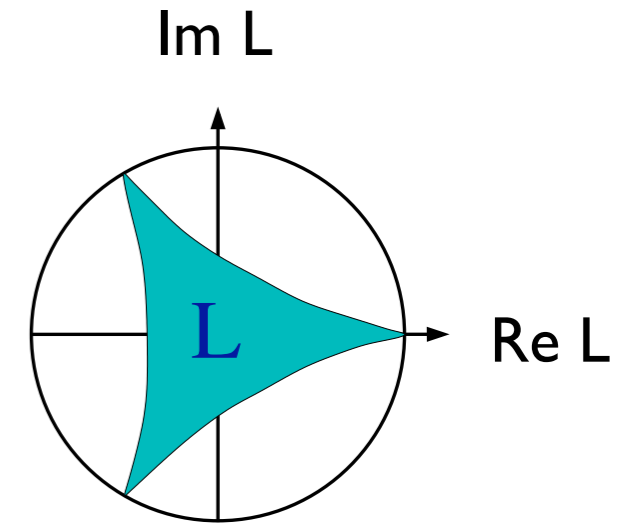


# Effective one-coupling theory for SU(3) YM

Langelage, Lottini, O.P. 10

( $L = \text{Tr } W$ )

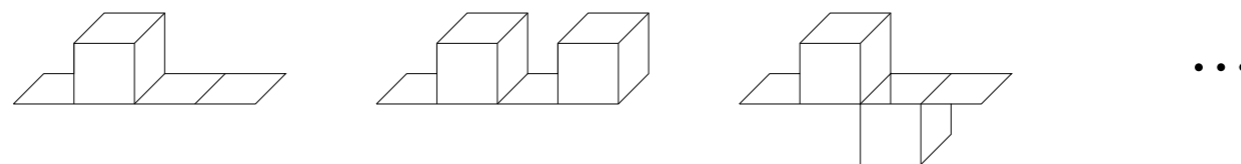
$$\begin{aligned}
 Z &= \int [dL] \exp [-S_1 + V_{SU(3)}] \\
 &= \int [dL] \prod_{\langle ij \rangle} \left[ 1 + 2\lambda_1 \text{Re}(L_i L_j^*) \right] * \\
 &\quad * \prod_i \sqrt{27 - 18|L_i|^2 + 8\text{Re}L_i^3 - |L_i|^4}
 \end{aligned}$$



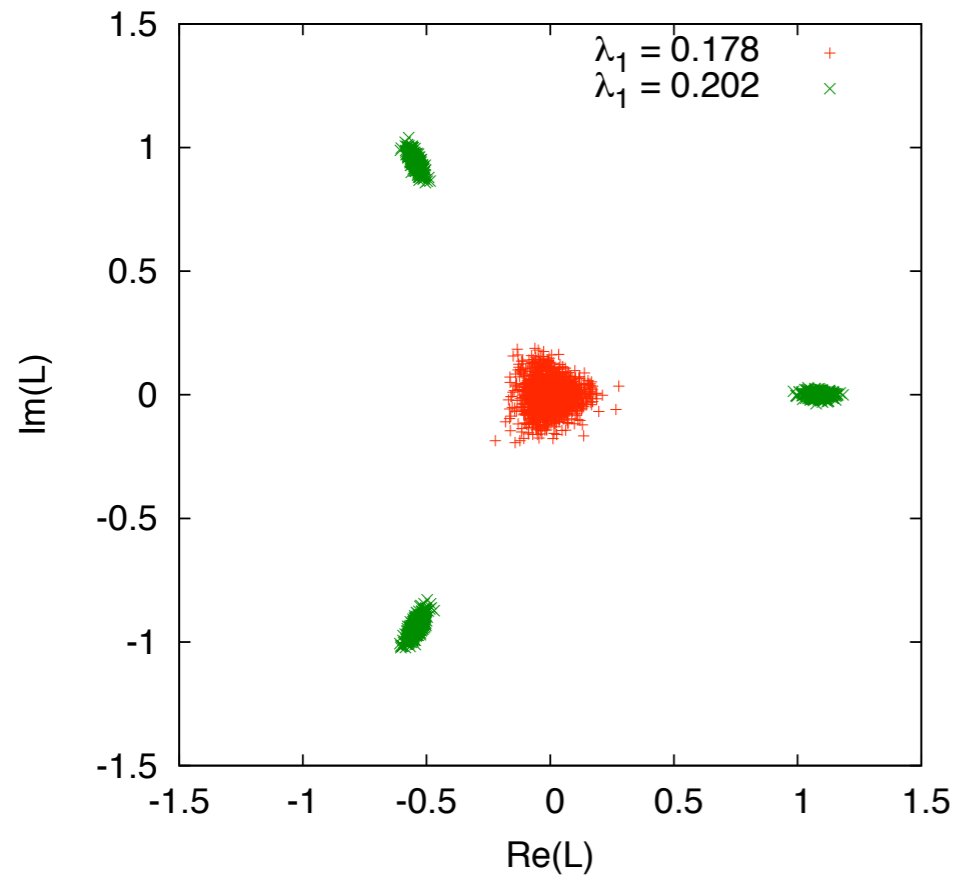
Resummations:

$$\sum_{\langle ij \rangle} \left( \lambda_1 L_i L_j - \frac{\lambda_1^2}{2} L_i^2 L_j^2 + \frac{\lambda_1^3}{3} L_i^3 L_j^3 - \dots \right) = \sum_{\langle ij \rangle} \ln(1 + \lambda_1 L_i L_j)$$

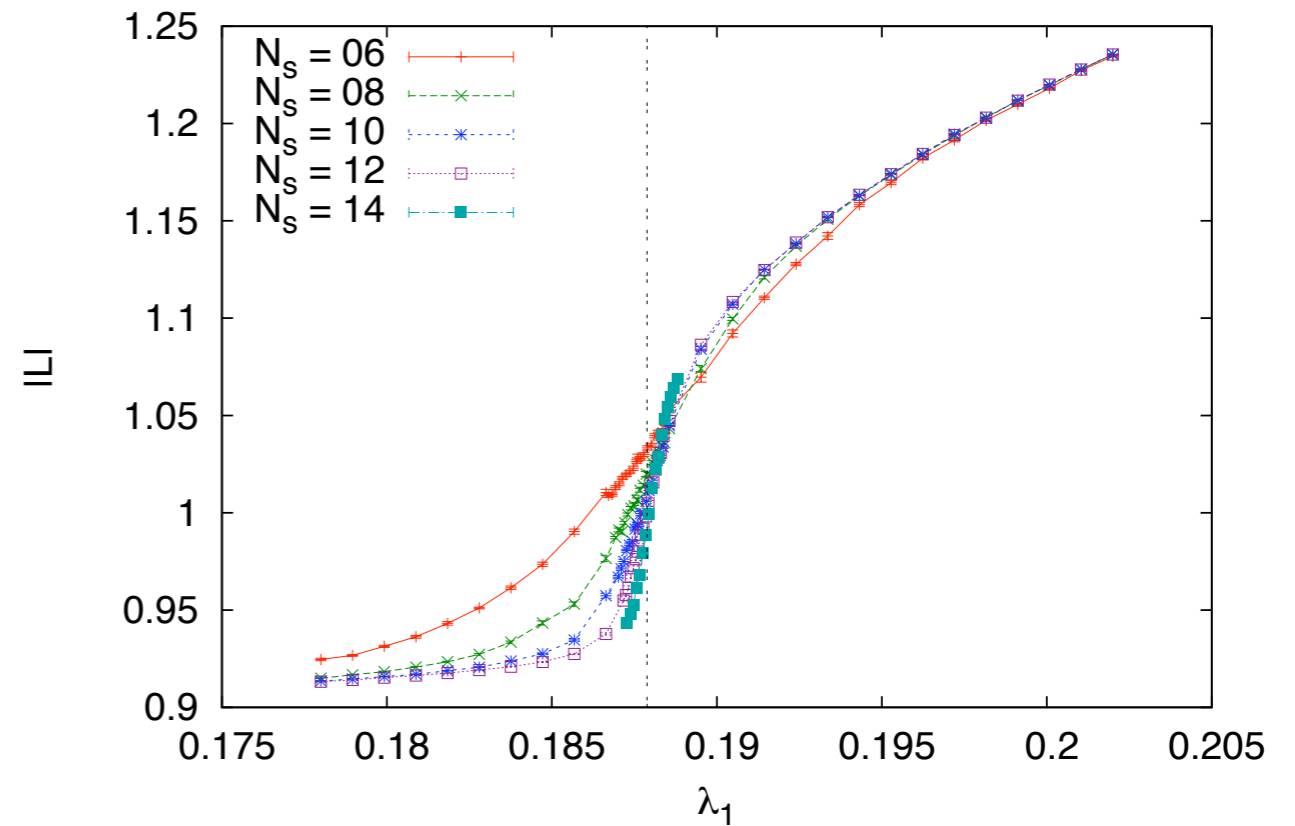
$$\lambda(u, N_\tau \geq 5) = u^{N_\tau} \exp \left[ N_\tau \left( 4u^4 + 12u^5 - 14u^6 - 36u^7 + \frac{295}{2}u^8 + \frac{1851}{10}u^9 + \frac{1055797}{5120}u^{10} \right) \right]$$



# Numerical results for SU(3), one coupling



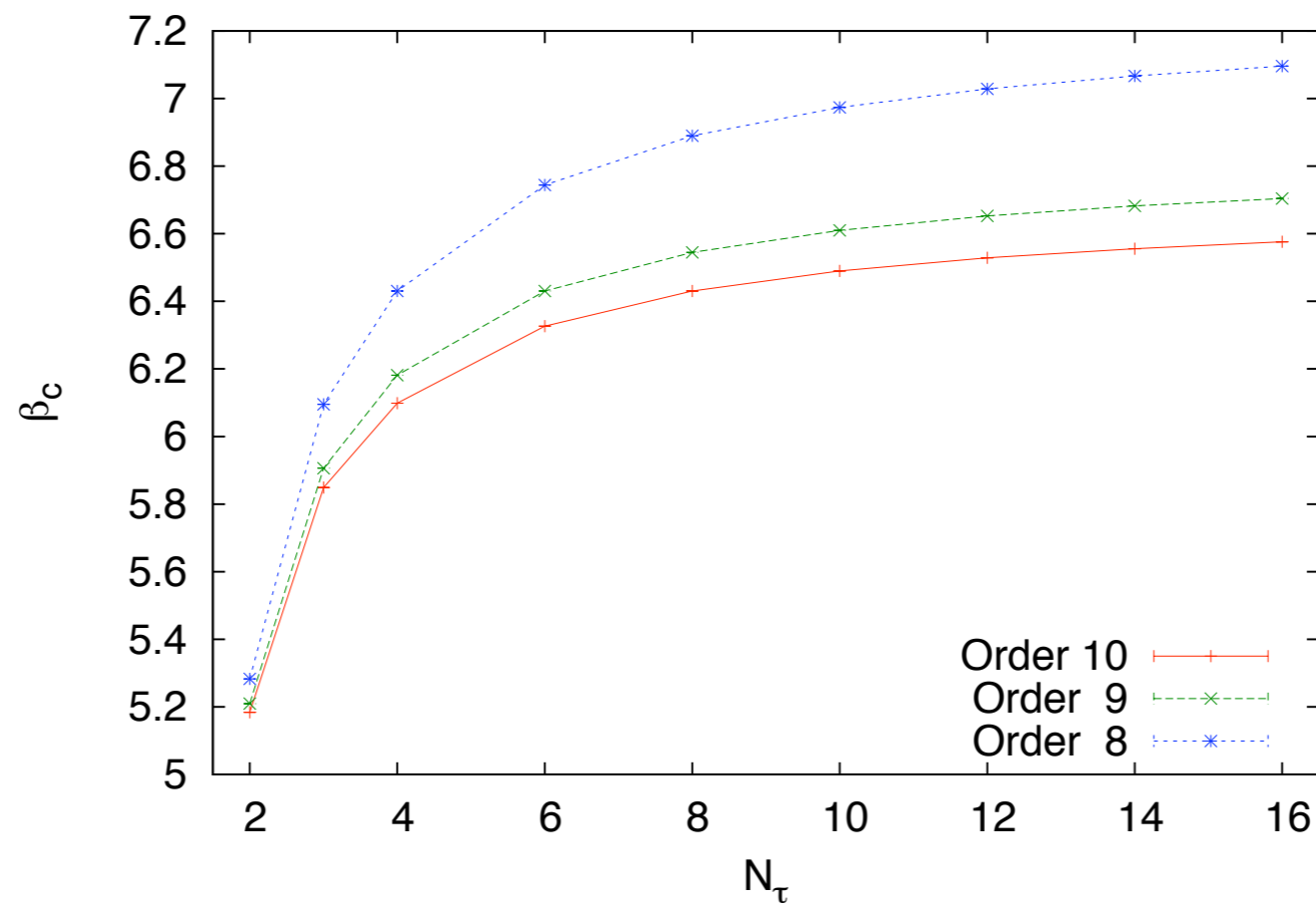
Order-disorder transition  
=Z(3) breaking



# Mapping back to 4d finite T Yang-Mills

Inverting

$$\lambda_1(N_\tau, \beta) \rightarrow \beta_c(\lambda_{1,c}, N_\tau) \quad \dots \text{points at reasonable convergence}$$

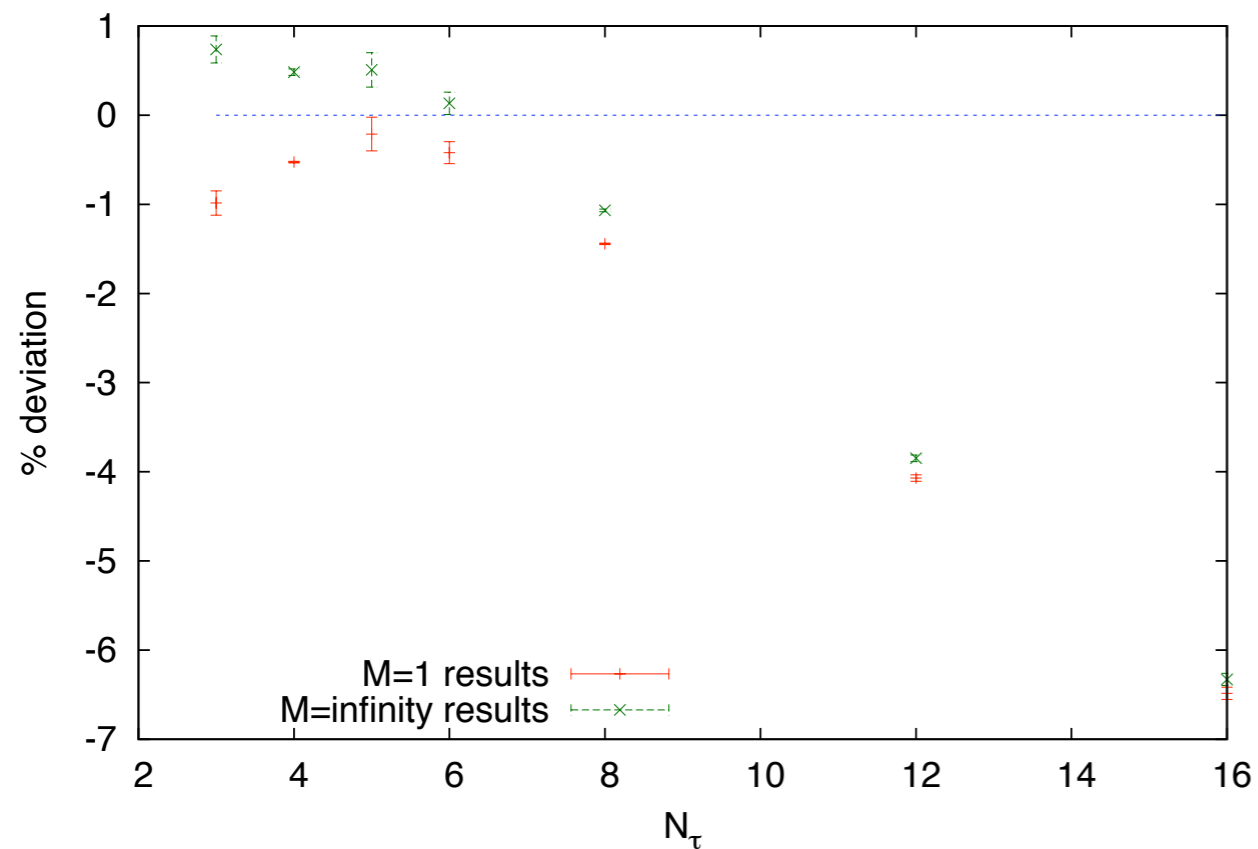


SU(3)

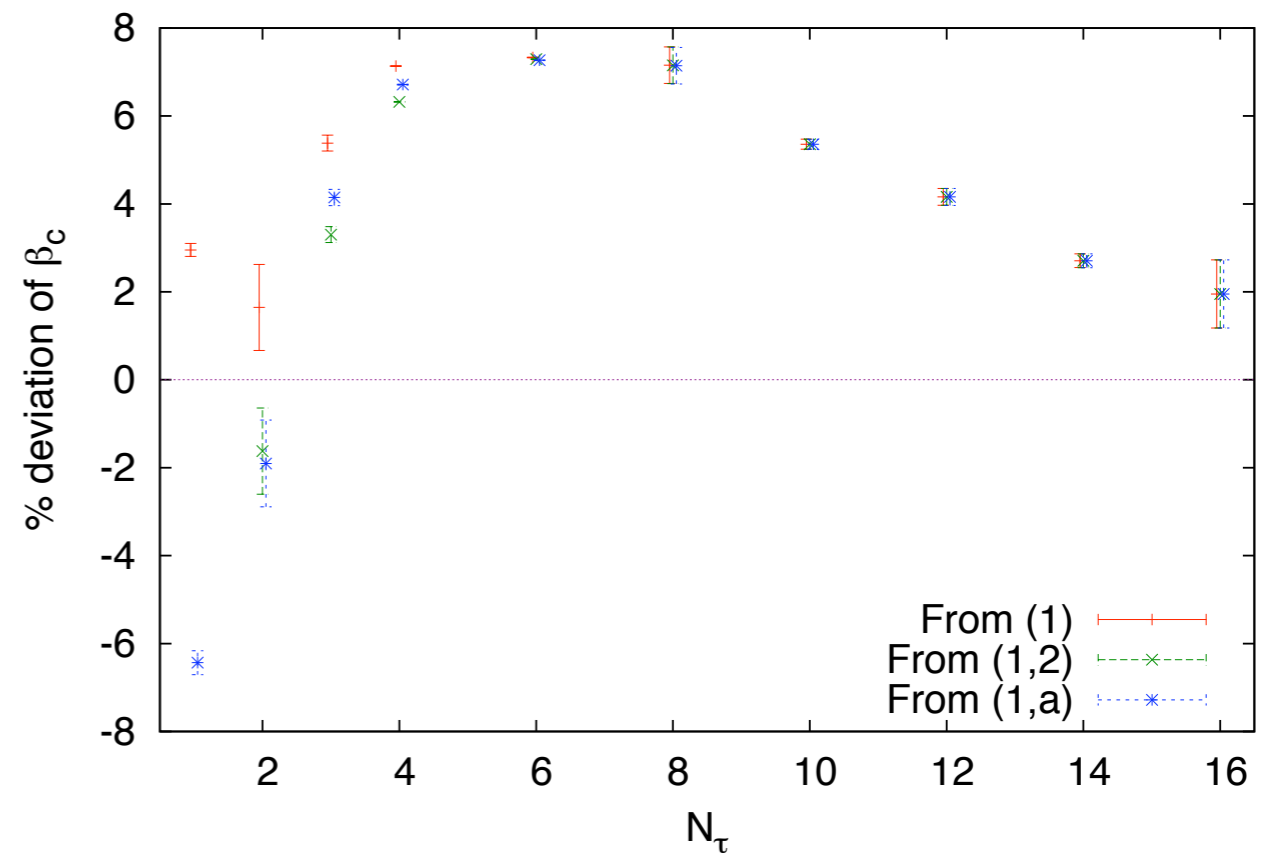
# Comparison with 4d Monte Carlo

Relative accuracy for  $\beta_c$  compared to the full theory

SU(2)

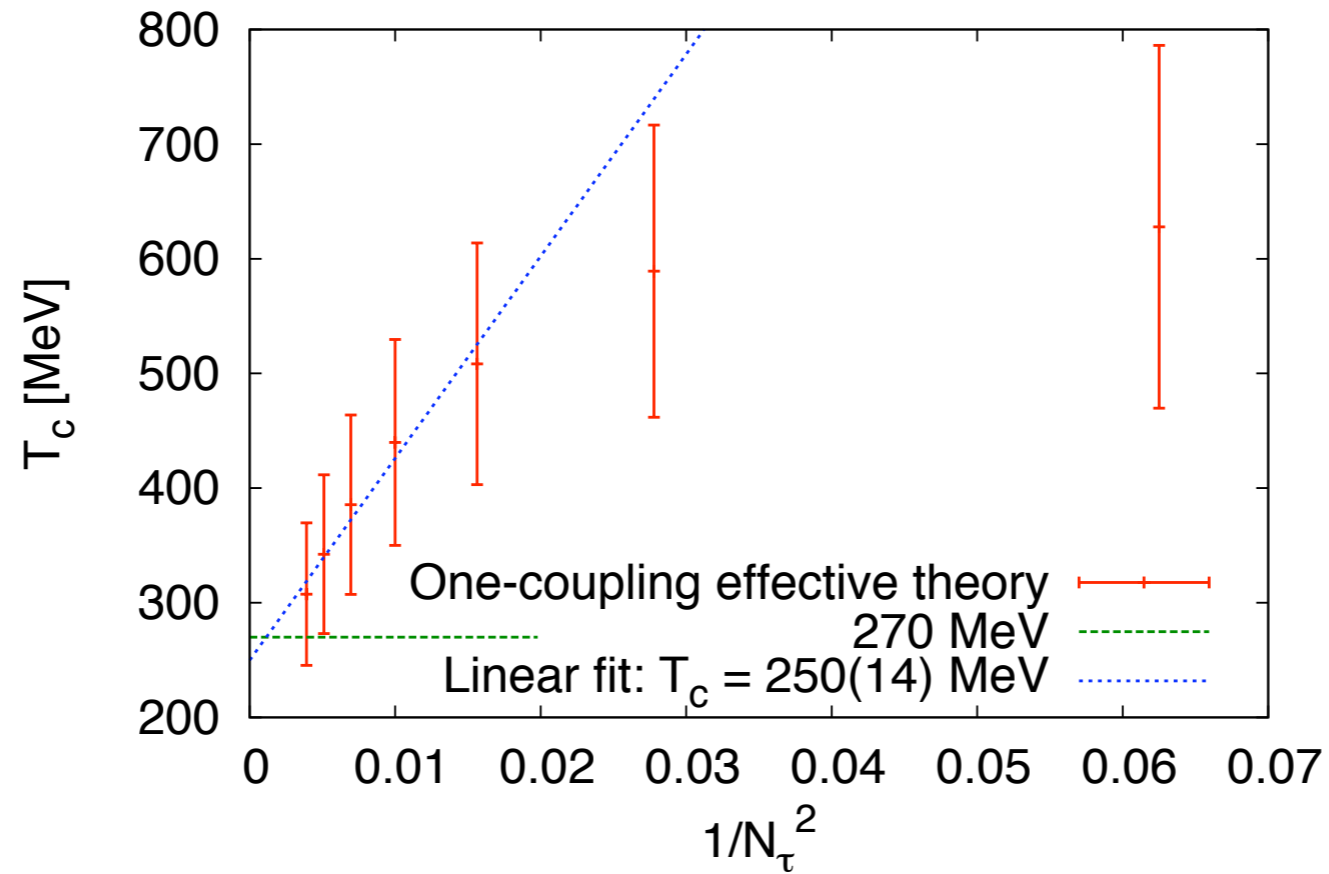


SU(3)



Note: influence of additional couplings checked explicitly!

# Continuum limit feasible!



-error bars: difference between last two orders in strong coupling exp.

-using non-perturbative beta-function (4d  $T=0$  lattice)

-all data points from one single 3d MC simulation!

# Including dynamical Wilson fermions

Integrate the Grassmann variables  $\psi, \bar{\psi}$ :

$$S = S_{\text{gauge}} - N_f \text{Tr} \log(\mathbb{1} - \kappa H)$$

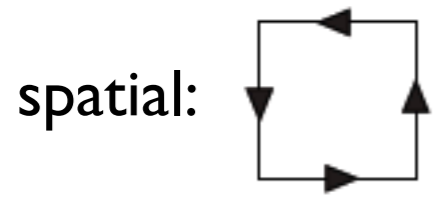
Expand in the *hopping parameter*  $\kappa = 1/(2aM + 8)$

$$Z_{\text{eff}}(\lambda_1, h_1, \bar{h}_1; N_\tau) = \int [dL] \left( \prod_{\langle ij \rangle} [1 + 2\lambda_1 \text{Re} L_i L_j^*] \right) \left( \prod_x \underbrace{\det[(1 + h_1 W_x)(1 + \bar{h}_1 W_x^\dagger)]^{2N_f}}_{\equiv Q(L_x, L_x^*)^{N_f}} \right)$$

Corrections:      exact      expand in spatial hops

$$\begin{aligned} \det[Q] &\equiv \det[Q_{\text{stat}}] \det[Q_{\text{kin}}] , \\ \det[Q_{\text{kin}}] &= \det[1 - (1 - T)^{-1}(S^+ + S^-)] \\ &\equiv \det[1 - P - M] = \exp [\text{Tr} \ln(1 - P - M)] \end{aligned}$$

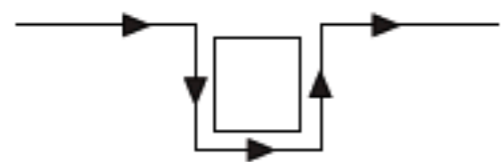
Fromm, Langelage, Lottini, Neuman, Glesaaen, O.P. 12-15



reabsorbed in gauge part:  $\begin{cases} \beta \rightarrow \beta + \mathcal{O}(\kappa^4) \\ u(\beta) \rightarrow u(\beta, \kappa) \end{cases}$

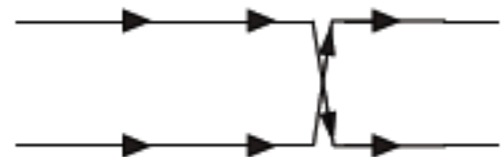


LO Polyakov “magnetic” term  $\sim \begin{cases} \underbrace{(2\kappa e^{+a\mu})^{N_\tau}}_{h_1} L \\ \underbrace{(2\kappa e^{-a\mu})^{N_\tau}}_{\bar{h}_1} L^* \end{cases}$



higher corrections to the above:

$$h_1 = (2\kappa e^{a\mu})^{N_\tau} [1 + \mathcal{O}(k^2)f(u) + \dots]$$

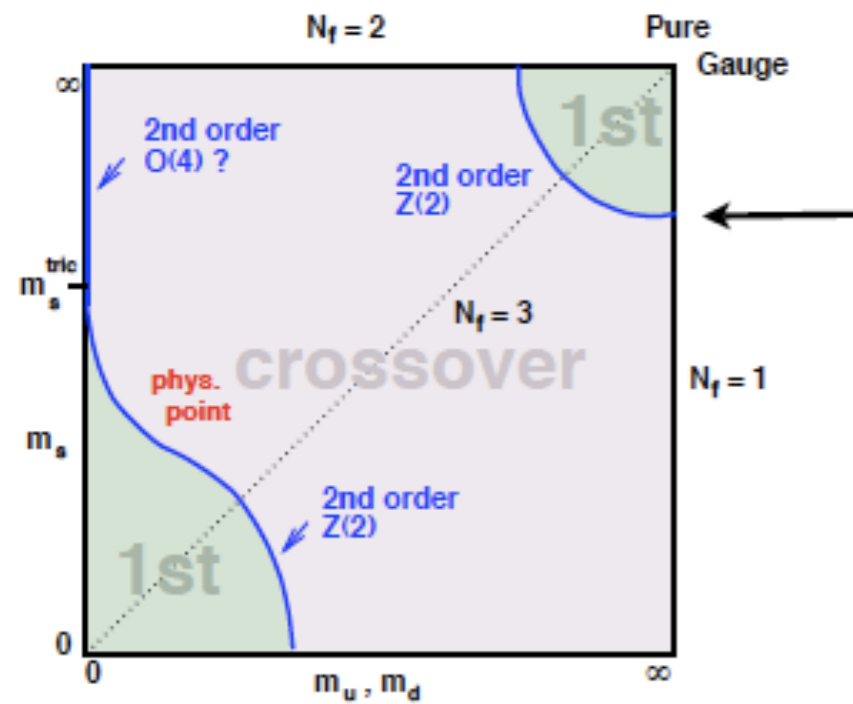


other (suppressed) terms, such as  $h_2(L_x L_{x+\hat{i}})$ ,

$$h_2 \sim (2\kappa e^{a\mu})^{2N_\tau} \kappa^2 N_\tau.$$

# The deconfinement transition for heavy quarks

NLO:  $\sim \kappa^2$



$N_f$	$M_c/T$	eff. theory	4d MC, WHOT	4d MC, de Forcrand et al
1	7.22(5)	$\kappa_c(N_\tau = 4)$	$\kappa_c(4)$ , Ref. [23]	$\kappa_c(4)$ , Ref. [22]
2	7.91(5)	0.0822(11)	0.0783(4)	$\sim 0.08$
3	8.32(5)	0.0691( 9)	0.0658(3)	—
		0.0625( 9)	0.0595(3)	—

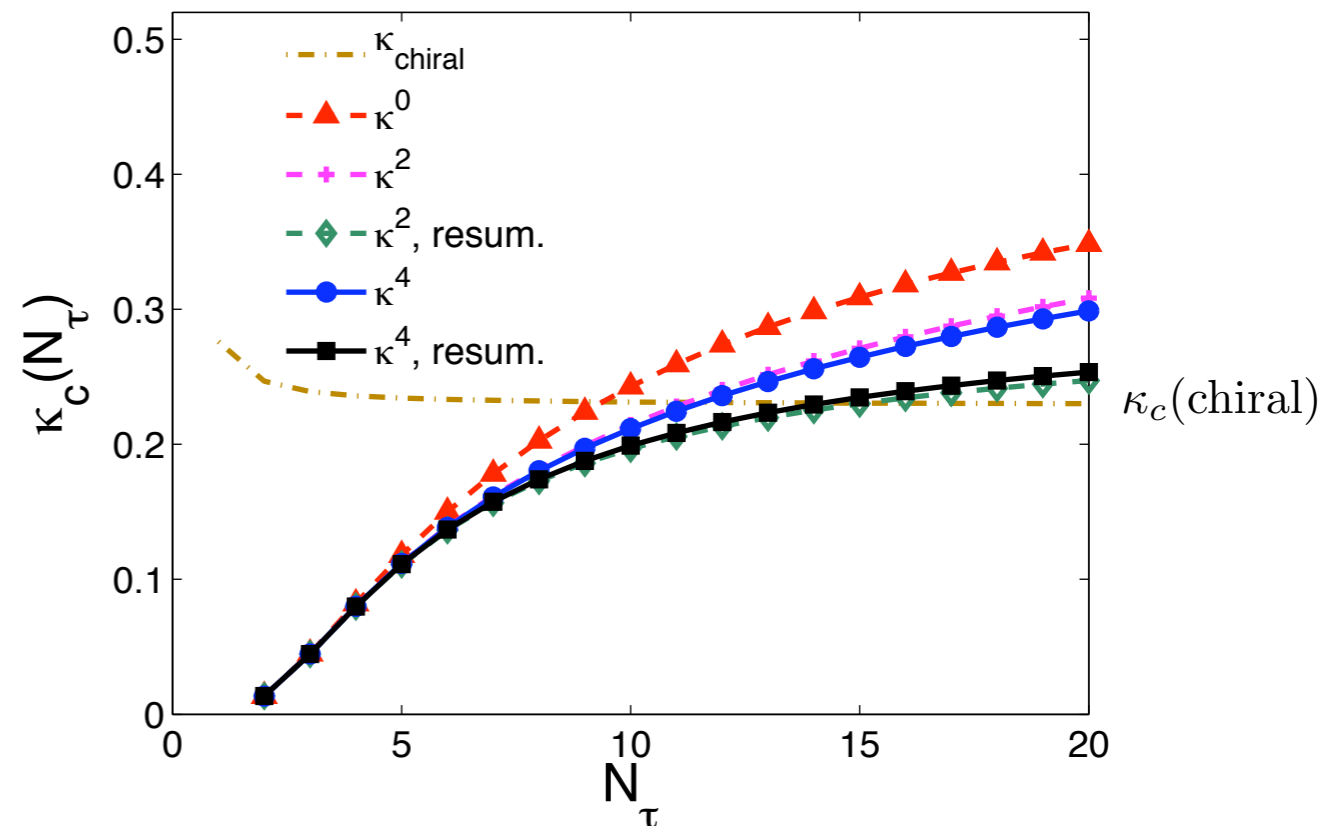
Accuracy  $\sim 5\%$ , predictions for  $N_t=6,8,\dots$  available!

Fromm, Langelage, Lottini, O.P. 11

Continuum:

Friman, Lo, Redlich 14

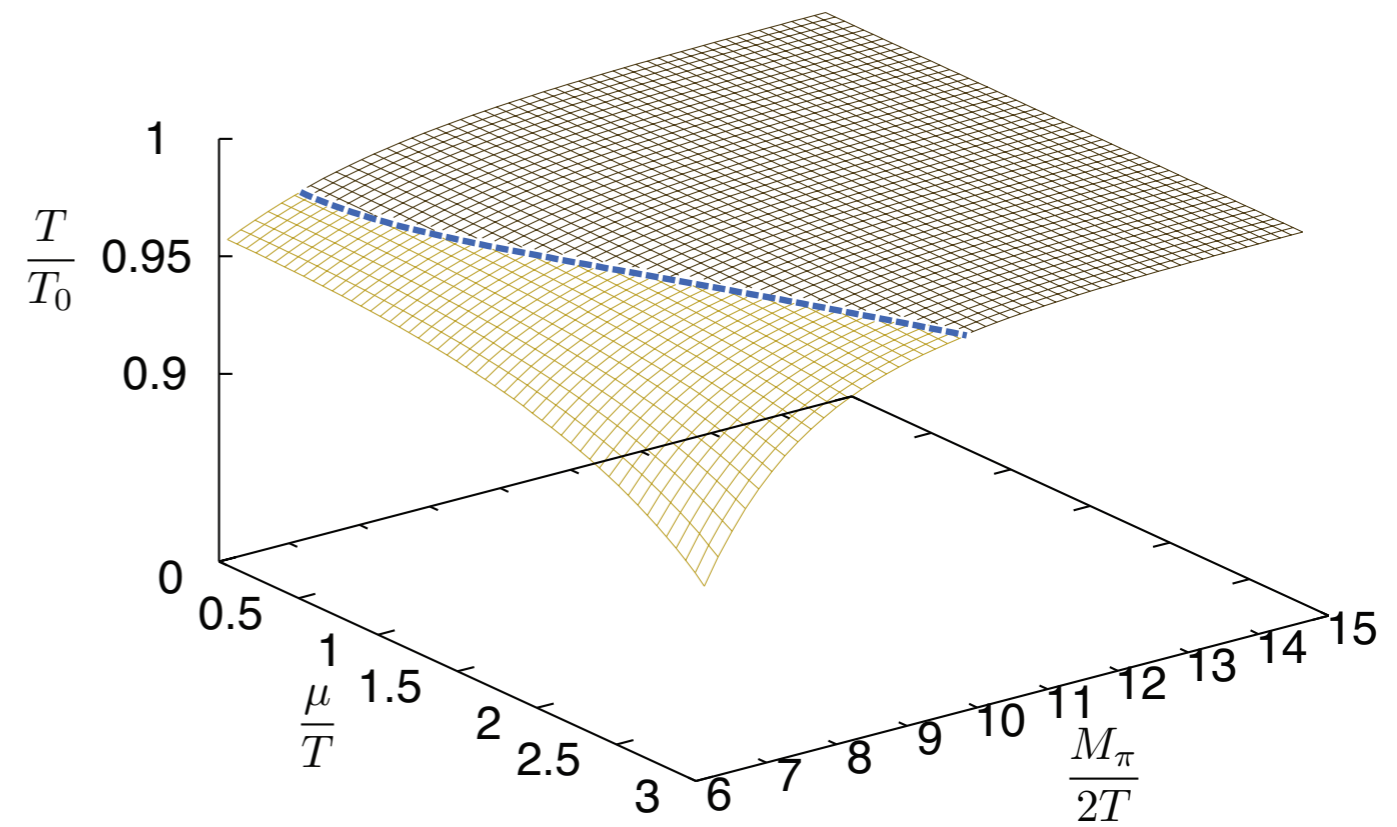
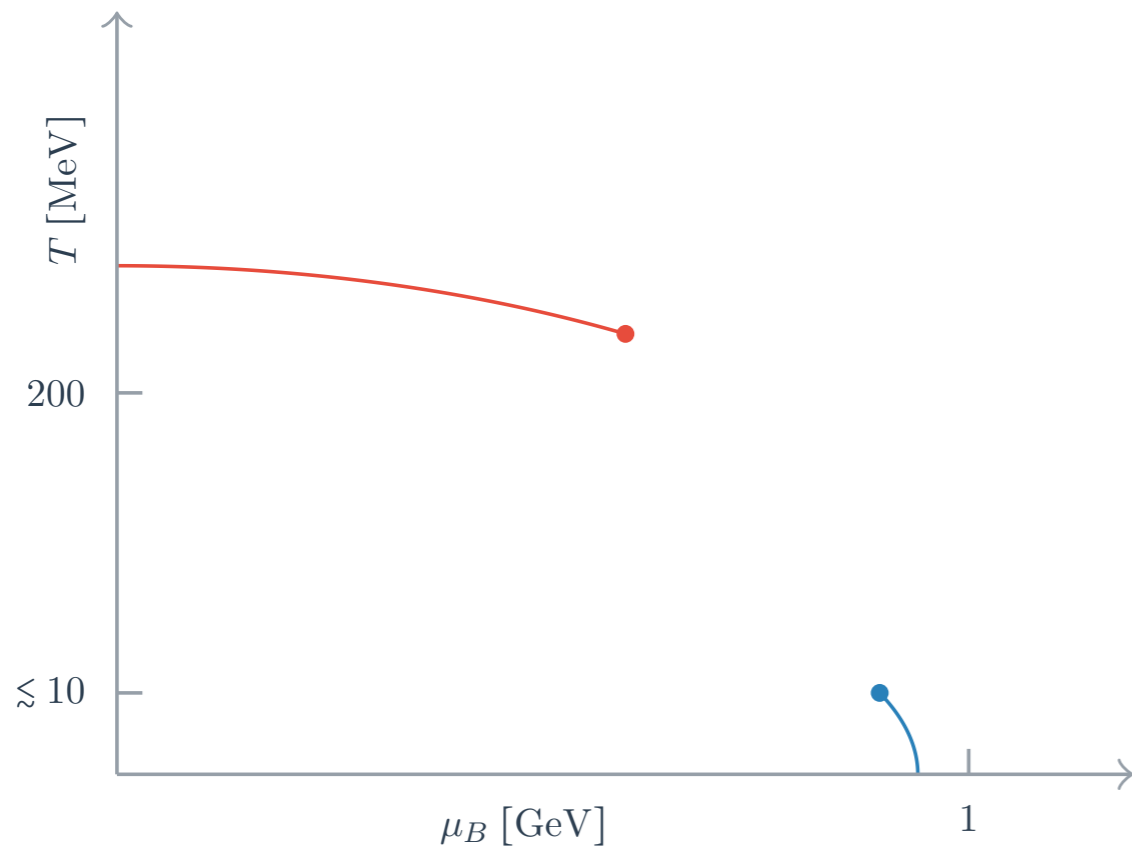
Fischer, Lücker, Pawłowski 15





# The fully calculated deconfinement transition

"Heavy QCD" phase diagram



Fromm, Langelage, Lottini, O.P. | |

# Cold and dense QCD: static strong coupling limit

Fromm, Langelage, Lottini, Neuman, O.P., PRL 13

For  $T=0$  (at finite density) anti-fermions decouple  $N_f = 1, h_1 = C, h_2 = 0$

$$C_f \equiv (2\kappa_f e^{a\mu_f})^{N_\tau} = e^{(\mu_f - m_f)/T}, \bar{C}_f(\mu_f) = C_f(-\mu_f)$$

$$Z(\beta = 0) \xrightarrow{T \rightarrow 0} \left[ \prod_f \int dW (1 + C_f L + C_f^2 L^* + C_f^3) \right]^{N_s^3}$$

$$= [1 + 4C^{N_c} + C^{2N_c}]^{N_s^3}$$

Free gas of baryons!

Quarkyonic?

$$n = \frac{T}{V} \frac{\partial}{\partial \mu} \ln Z = \frac{1}{a^3} \frac{4N_c C^{N_c} + 2N_c C^{2N_c}}{1 + 4C^{N_c} + C^{2N_c}}$$

$$\lim_{\mu \rightarrow \infty} (a^3 n) = 2N_c$$

Silver blaze property + saturation!

$$\lim_{T \rightarrow 0} a^3 n = \begin{cases} 0, & \mu < m \\ 2N_c, & \mu > m \end{cases}$$

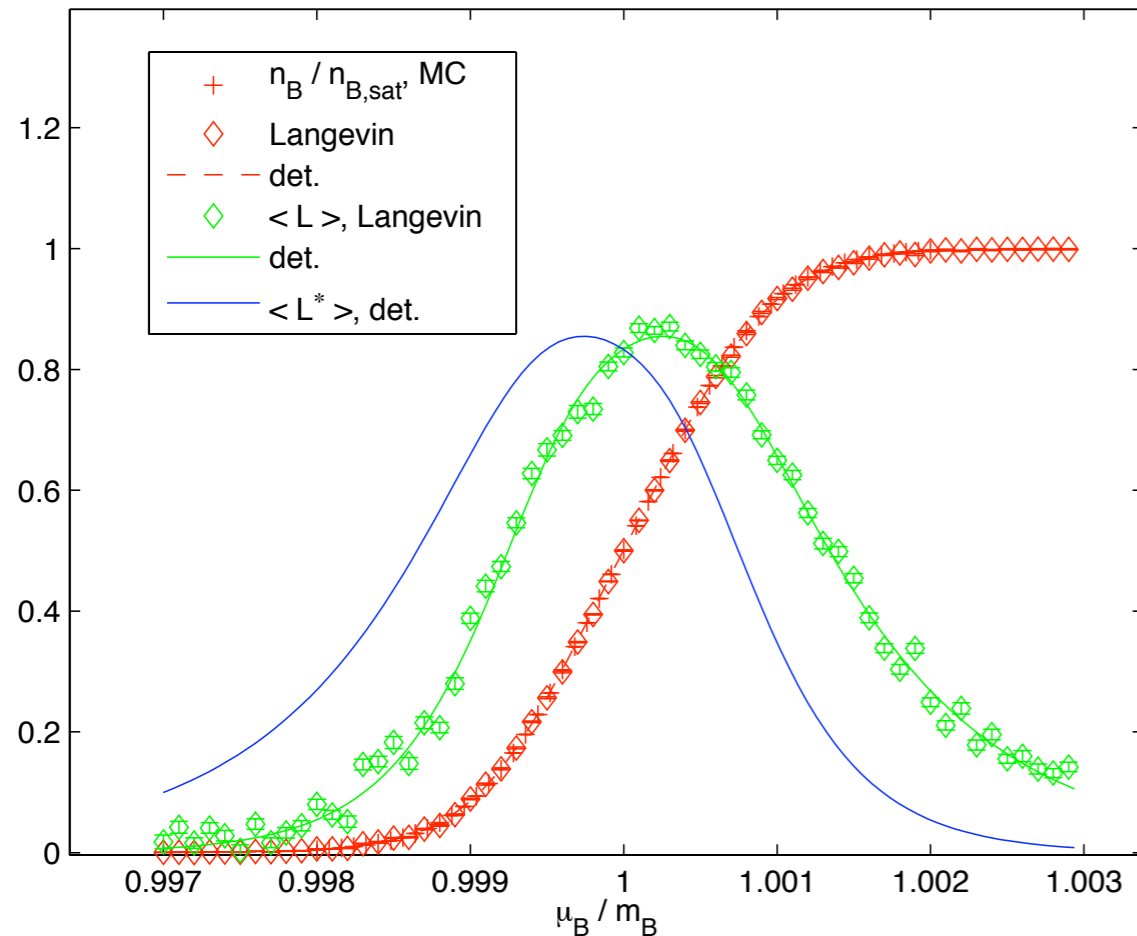
$$N_f = 2$$

$$\begin{aligned} z_0 = & (1 + 4h_d^3 + h_d^6) + (6h_d^2 + 4h_d^5)h_u + (6h_d + 10h_d^4)h_u^2 + (4 + 20h_d^3 + 4h_d^6)h_u^3 \\ & + (10h_d^2 + 6h_d^5)h_u^4 + (4h_d + 6h_d^4)h_u^5 + (1 + 4h_d^3 + h_d^6)h_u^6 . \end{aligned} \quad (3.11)$$

Free gas of baryons: complete spin flavor structure of vacuum states!

# Cold and dense, interacting: onset to nuclear matter

Fromm, Langelage, Lottini, Neuman, O.P., PRL 13



● Silver blaze property

no dependence on chem. pot.  
until onset

● Lattice saturation

Pauli principle, strongly limits density!

● Screening of Polyakov loop

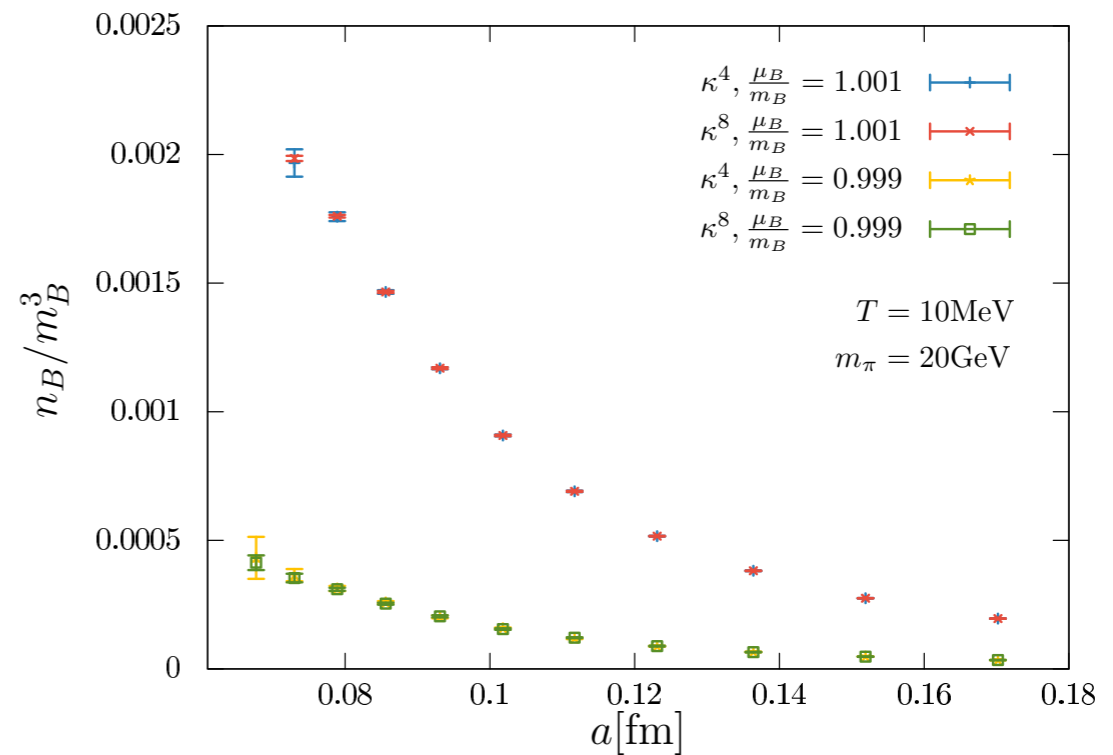
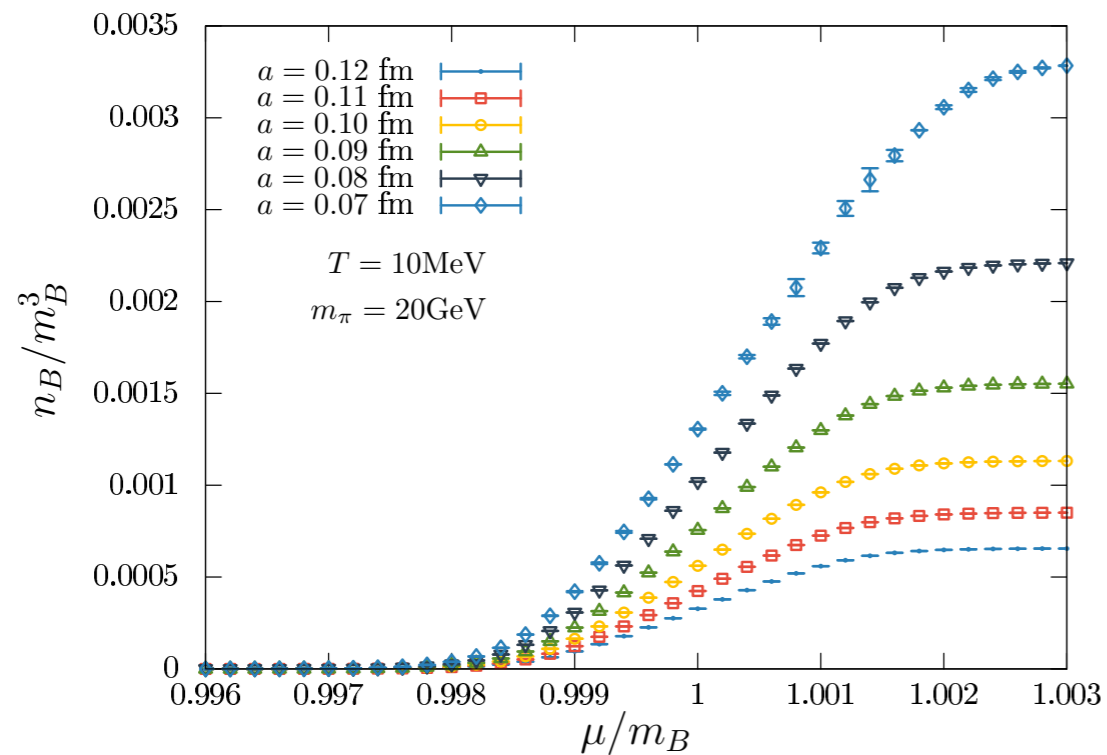
But no deconfinement!

$$m_\pi = 20 \text{ GeV}, T = 10 \text{ MeV}, a = 0.17 \text{ fm}$$

$$\beta = 5.7, \kappa = 0.0000887, N_\tau = 116$$

$$\lambda_1(\beta, \kappa, N_\tau) \sim 10^{-26}$$

# Continuum approach

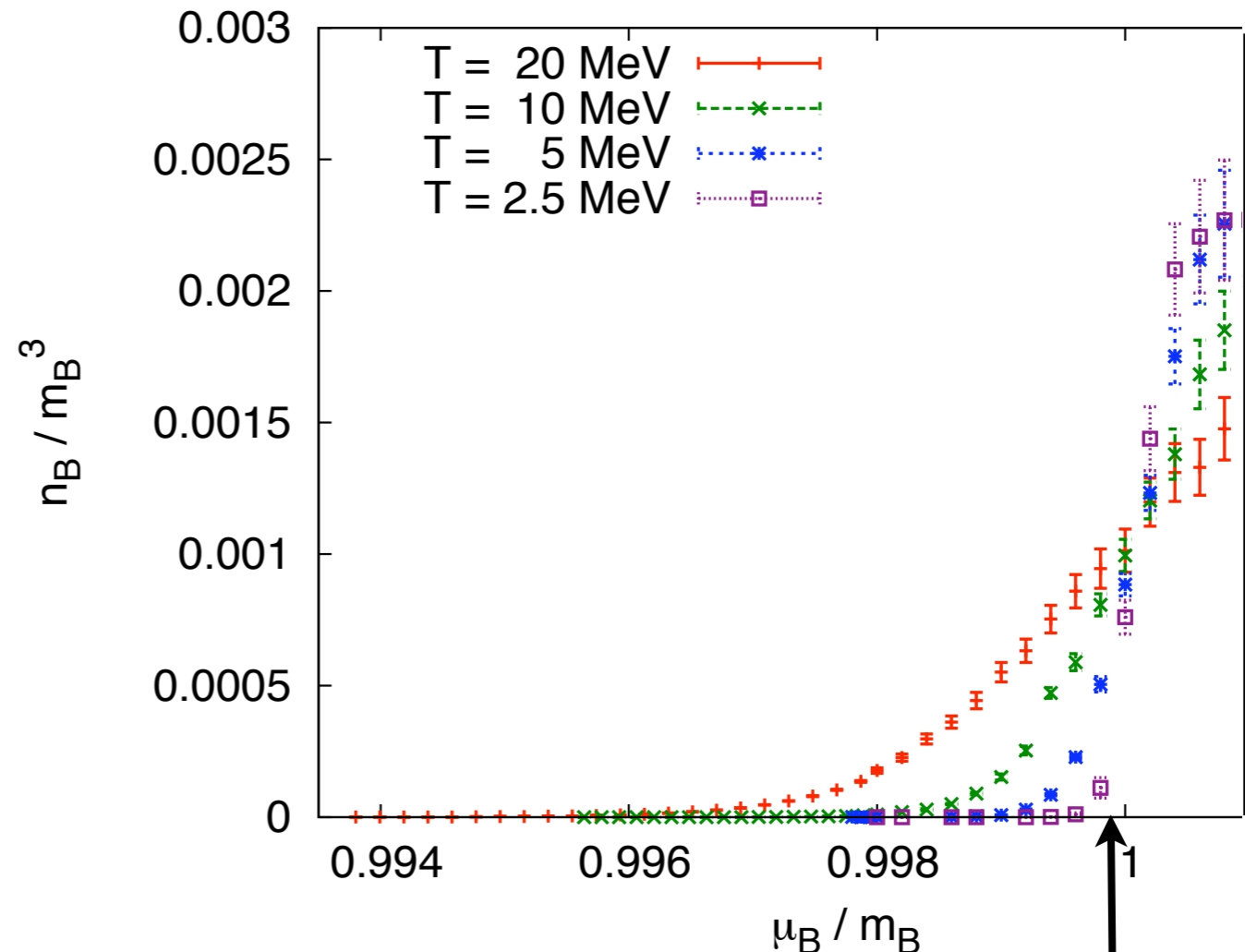


- Continuum approach  $\sim a$  as expected for Wilson fermions
- Cut-off effects grow rapidly beyond onset transition
- Finer lattice necessary for larger density to avoid saturation

# Cold and dense, interacting: onset to nuclear matter

continuum extrapolated

$$m_\pi = 20 \text{ GeV}$$



Effect of binding between baryons:

Binding energy per nucleon:

Transition is smooth crossover:

$$\mu_c < m_B$$

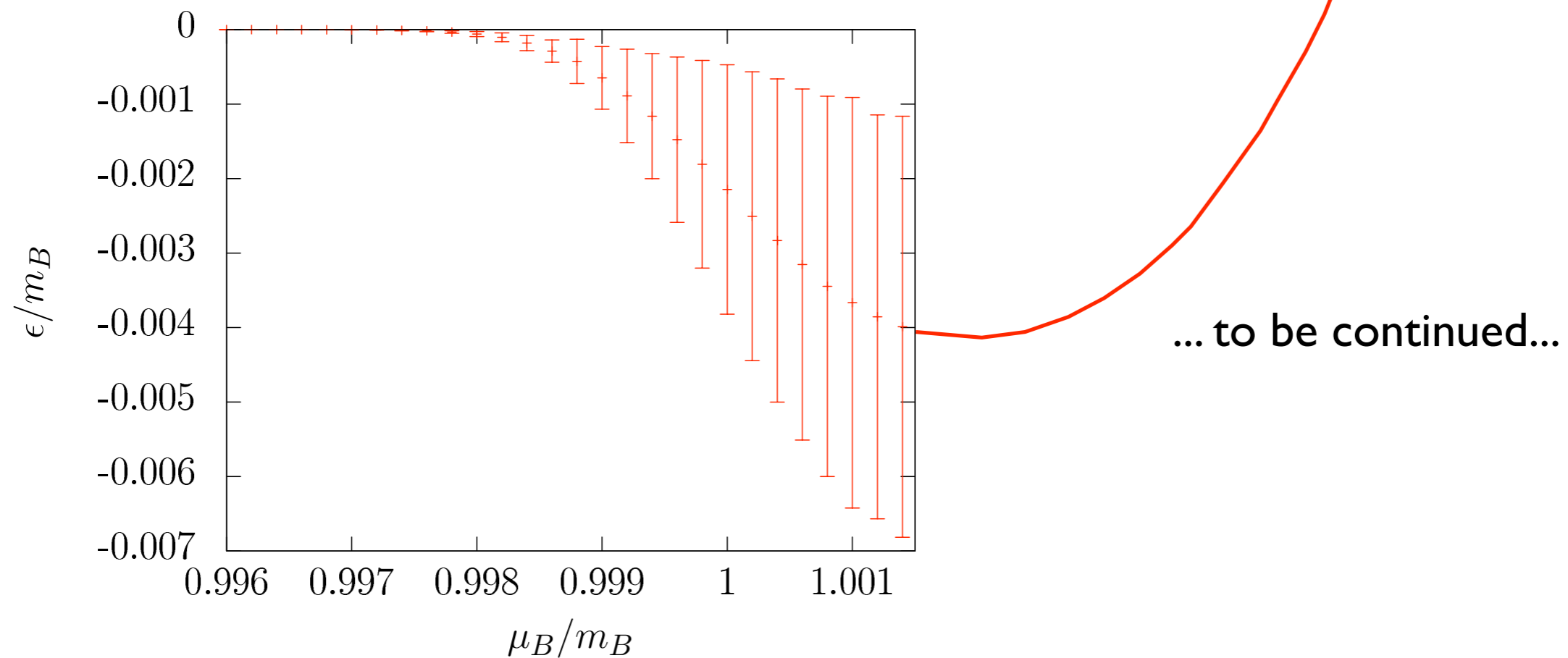
$$\epsilon = \frac{\mu_c - m_B}{m_B} \sim 10^{-3}$$

$$T > T_c \sim \epsilon m_B$$

$$\frac{\mu}{T} \sim 4000$$

# Binding energy per nucleon

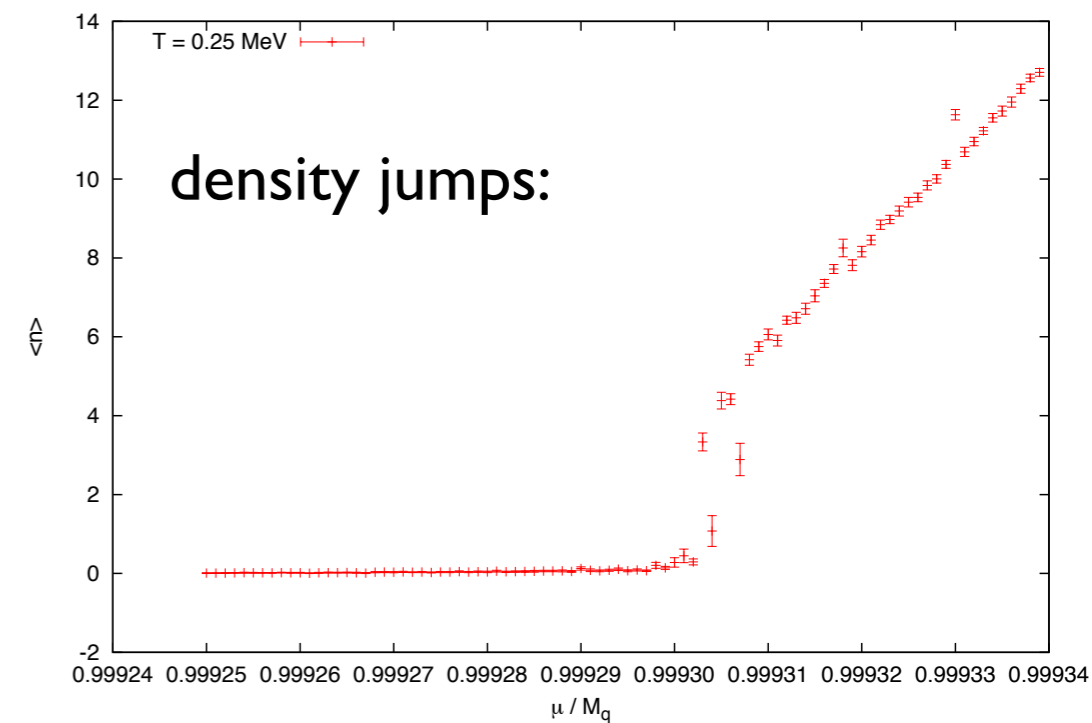
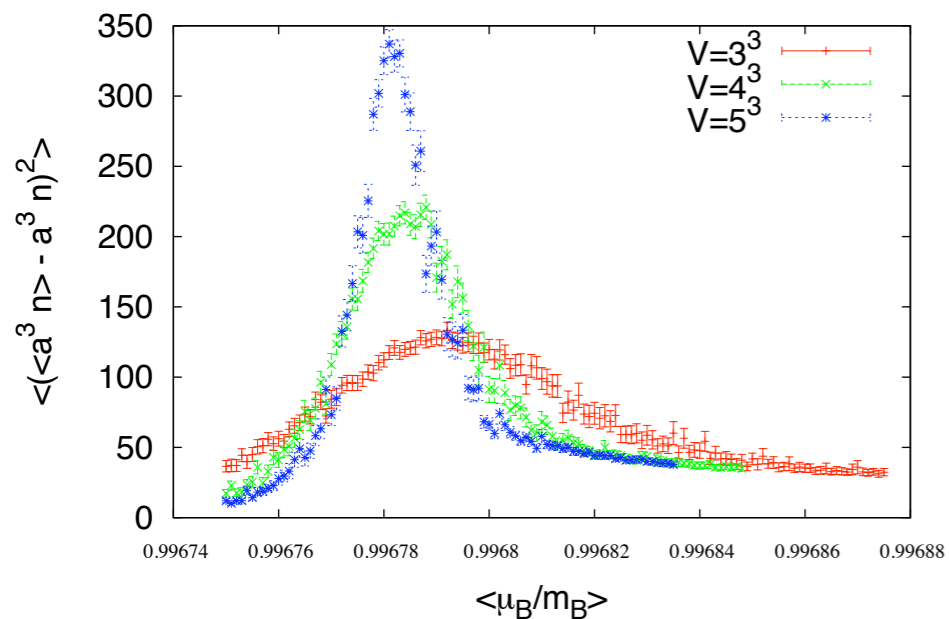
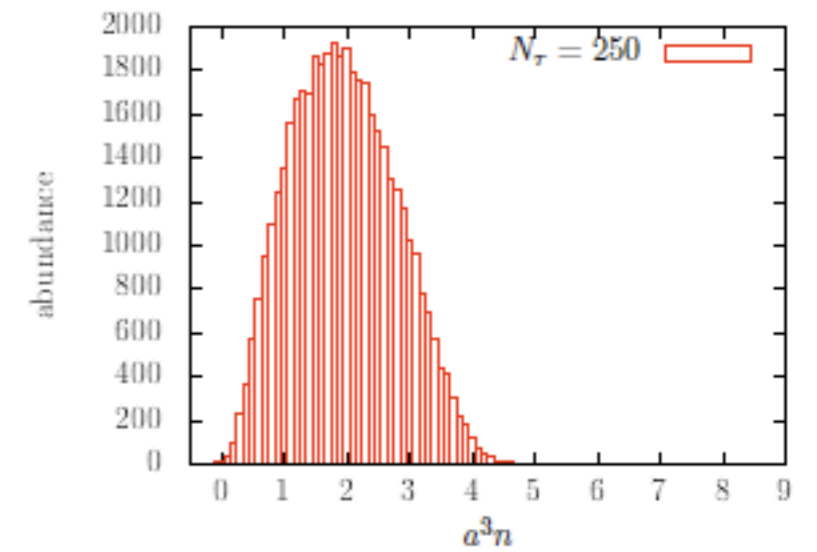
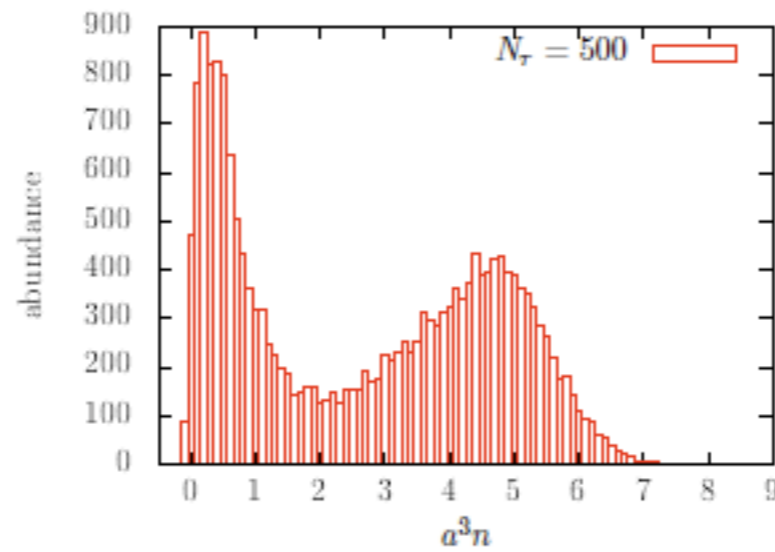
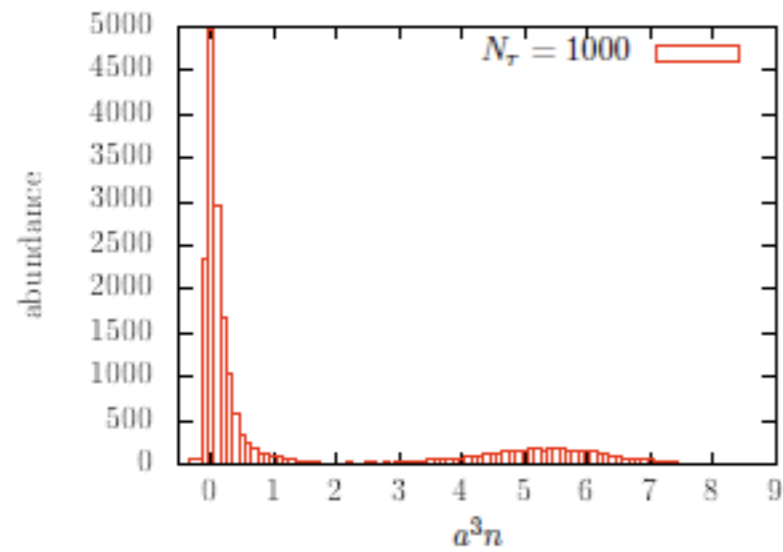
$$\epsilon = \frac{e - n_B m_B}{n_B m_B} = \frac{e}{n_B m_B} - 1$$



**Minimum:** access to nucl. binding energy, nucl. saturation density!

$\epsilon \sim 10^{-3}$  consistent with the location of the onset transition

# Light quarks: first order transition + endpoint

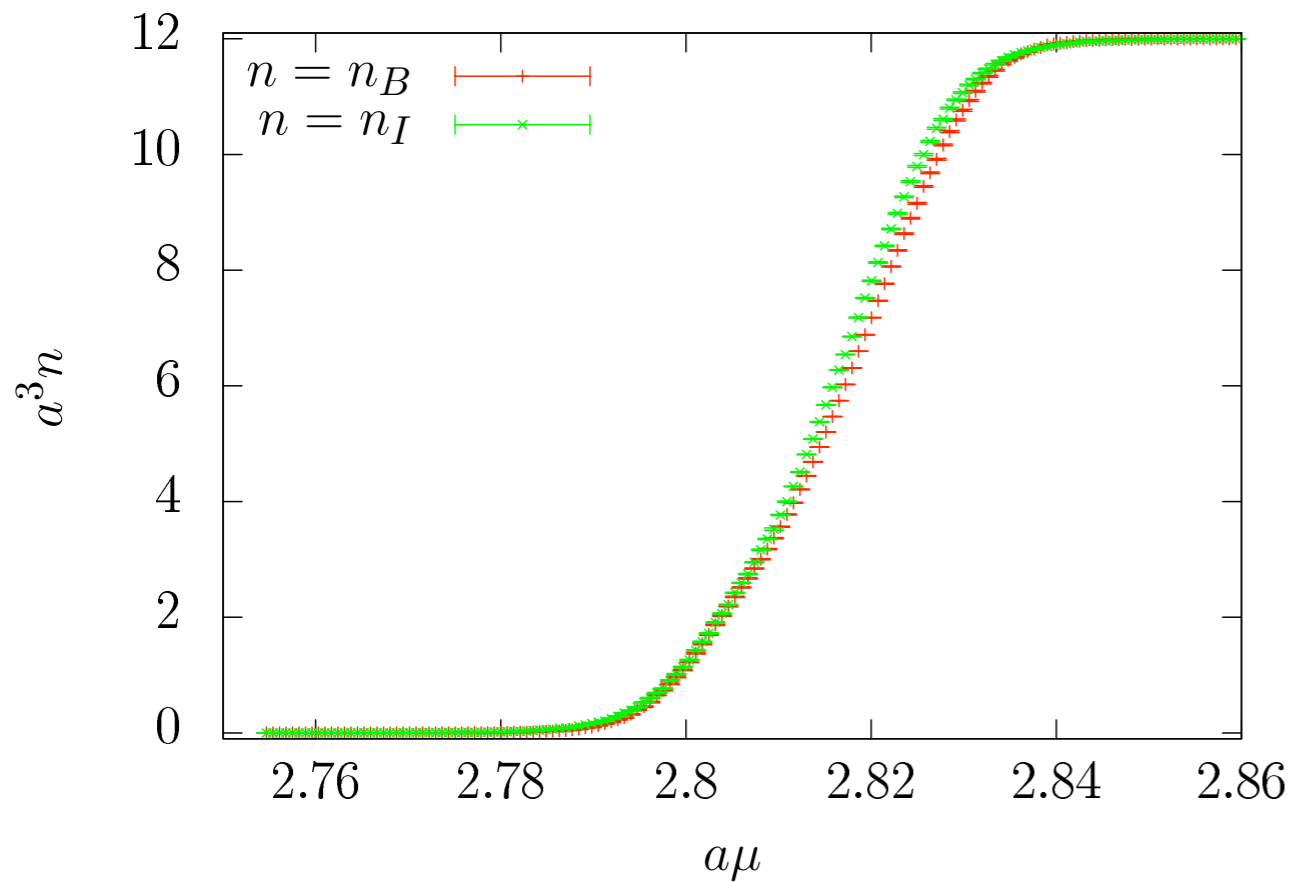


For sufficiently light quarks:  $\kappa \sim 0.1$

- Coexistence of vacuum and finite density phase: 1st order
- If the temperature  $T = \frac{1}{aN_\tau}$  or the quark mass is raised this changes to a crossover **nuclear liquid gas transition!!!**

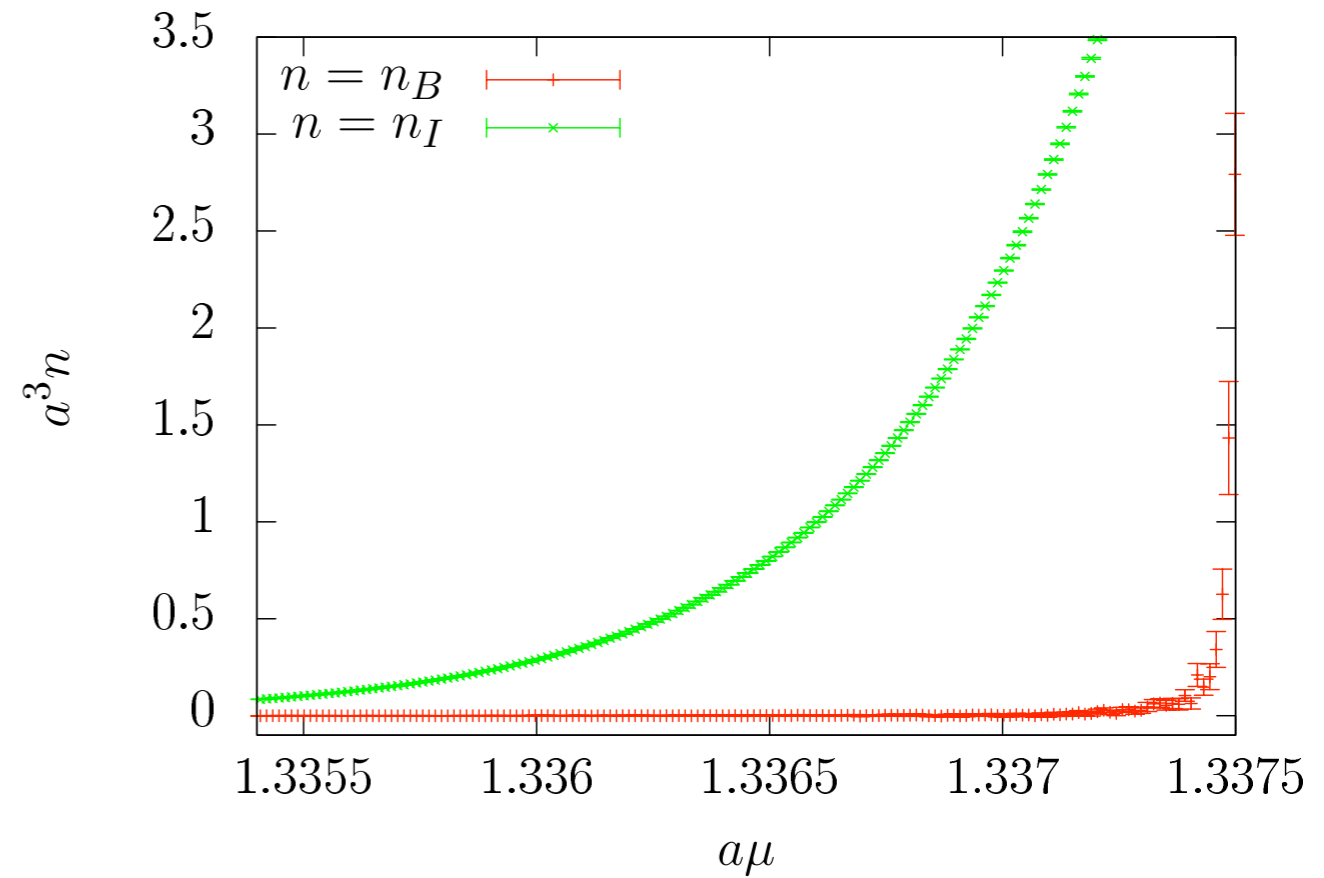


# Finite isospin vs baryon chemical potential



nearly static quarks

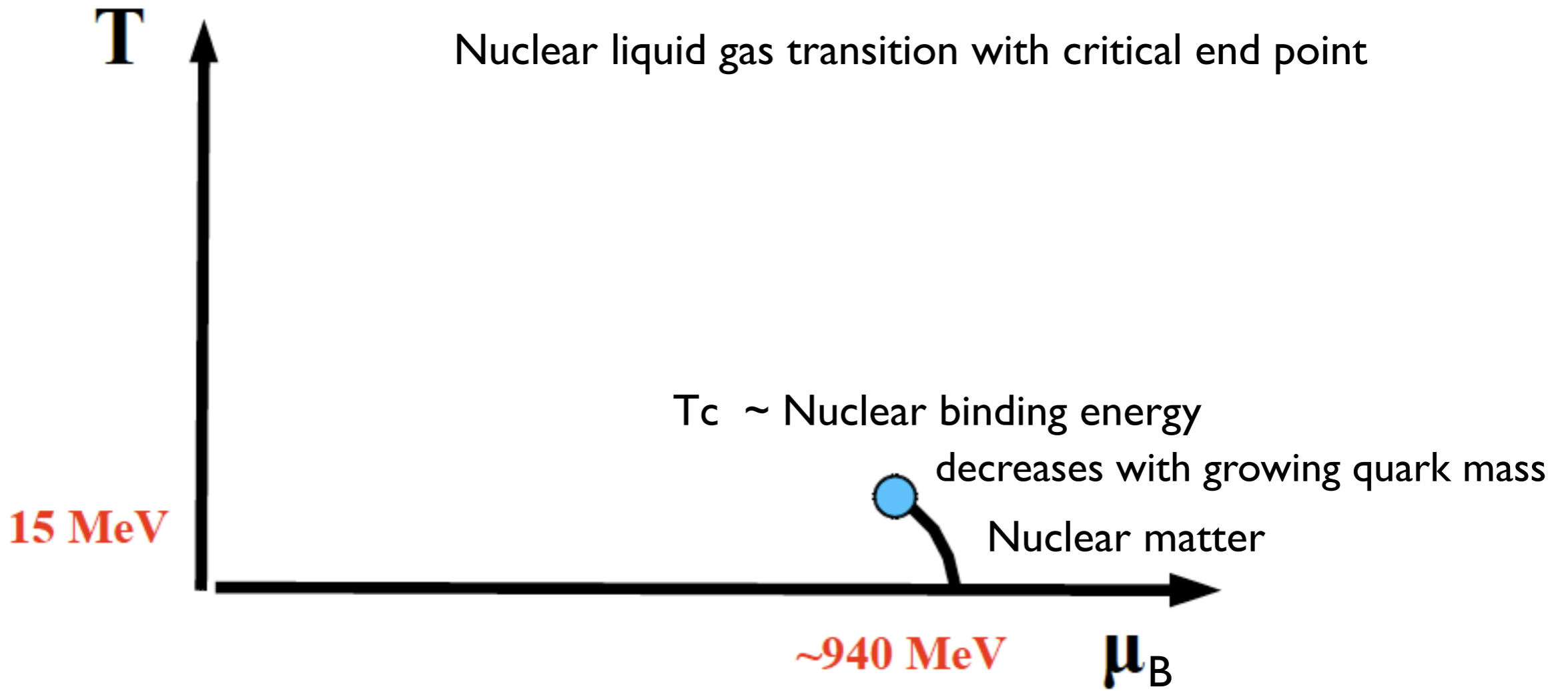
$$\frac{m_\pi}{2} \approx \frac{m_B}{3}$$



lighter quarks

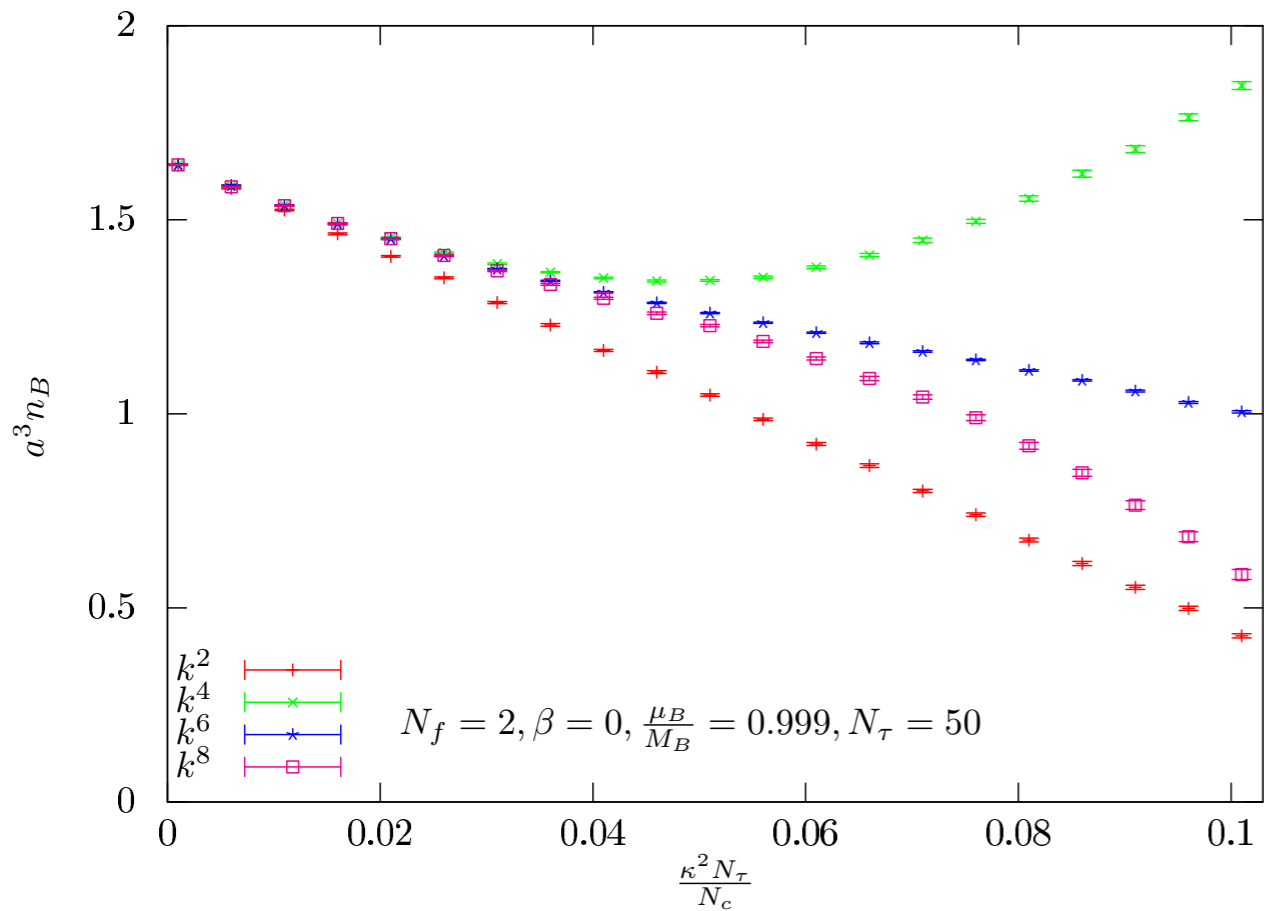
$$\frac{m_\pi}{2} < \frac{m_B}{3}$$

onset at smaller chemical potential

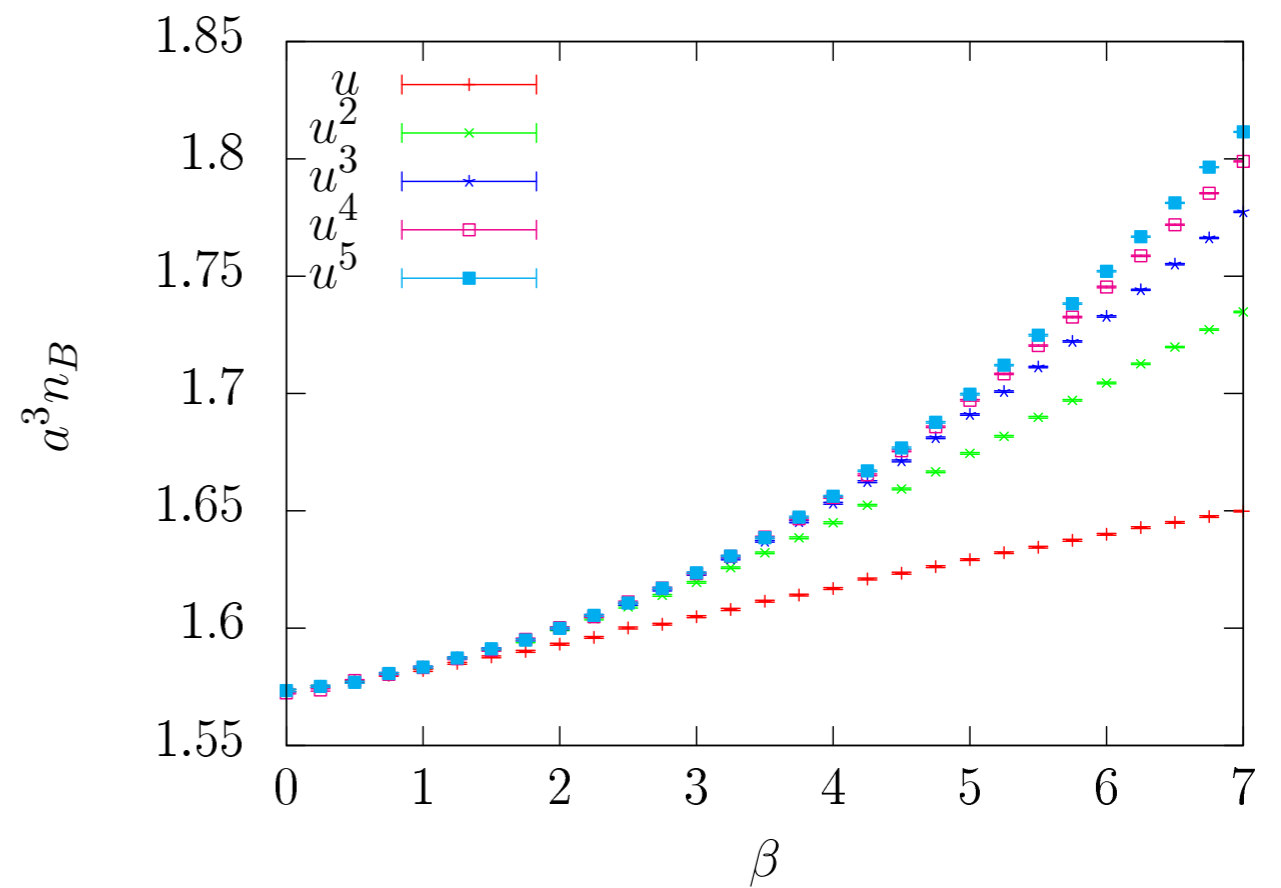


$$\epsilon = -\frac{4}{3} \frac{1}{a^3 n_B} \left( \frac{z_3}{z_0} \right)^2 \kappa^2 = -\frac{1}{3} \frac{1}{a^3 n_B} \left( \frac{z_3}{z_0} \right)^2 e^{-am_M} + \dots$$

# Convergence of the effective theory



hopping expansion



strong coupling expansion  $\kappa^8$

# Linked cluster expansion of effective theory

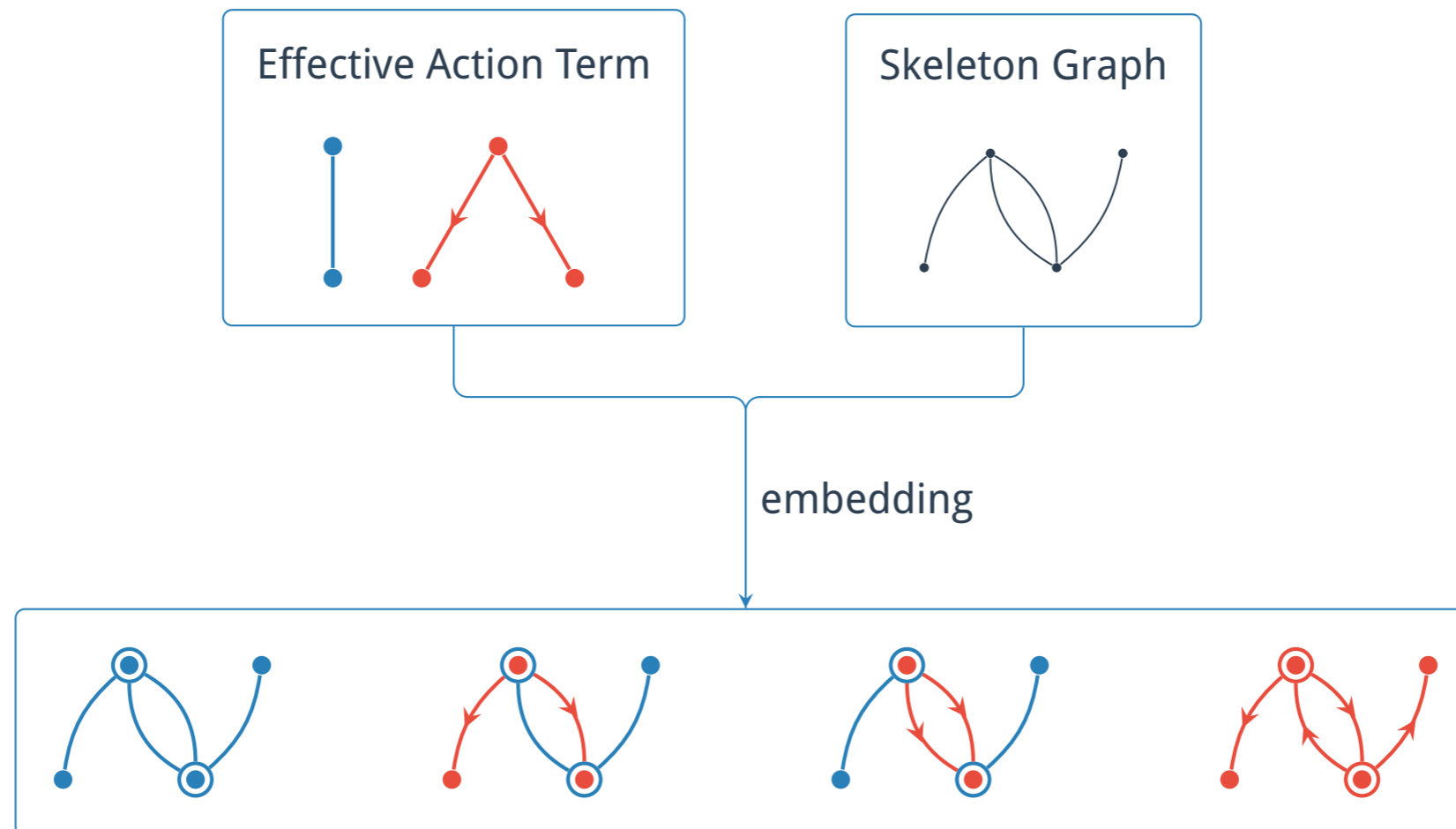
Glesaaen, Neuman, O.P. 15

$$\mathcal{Z} = \int \mathcal{D}\phi e^{-S_0[\phi] + \frac{1}{2} \sum v_{ij}(x,y) \phi_i(x) \phi_j(y) + \frac{1}{3!} \sum u_{ijk}(x,y,z) \phi_i(x) \phi_j(y) \phi_k(z) + \dots}$$

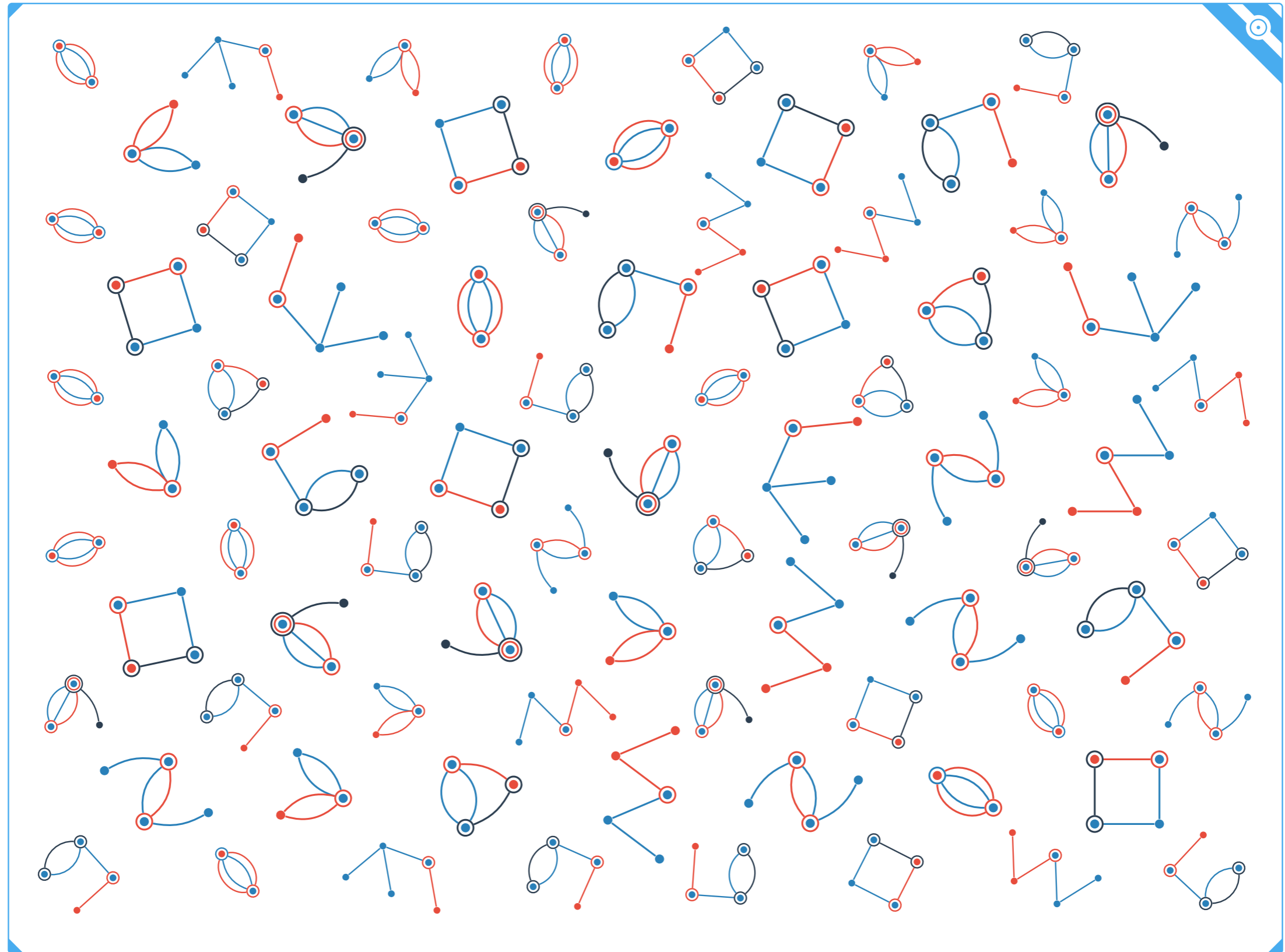
$$W = \bullet + \frac{1}{2} \begin{array}{c} \bullet \\ | \\ \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ / \quad \backslash \\ \bullet \quad \bullet \end{array} + \frac{1}{4} \begin{array}{c} \bullet \\ \text{---} \\ \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ / \quad \backslash \\ \bullet \quad \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ \text{---} \\ \bullet \end{array} + \mathcal{O}(v^3)$$

Required generalization: n-point interactions

Mapping of the effective theory by embedding:

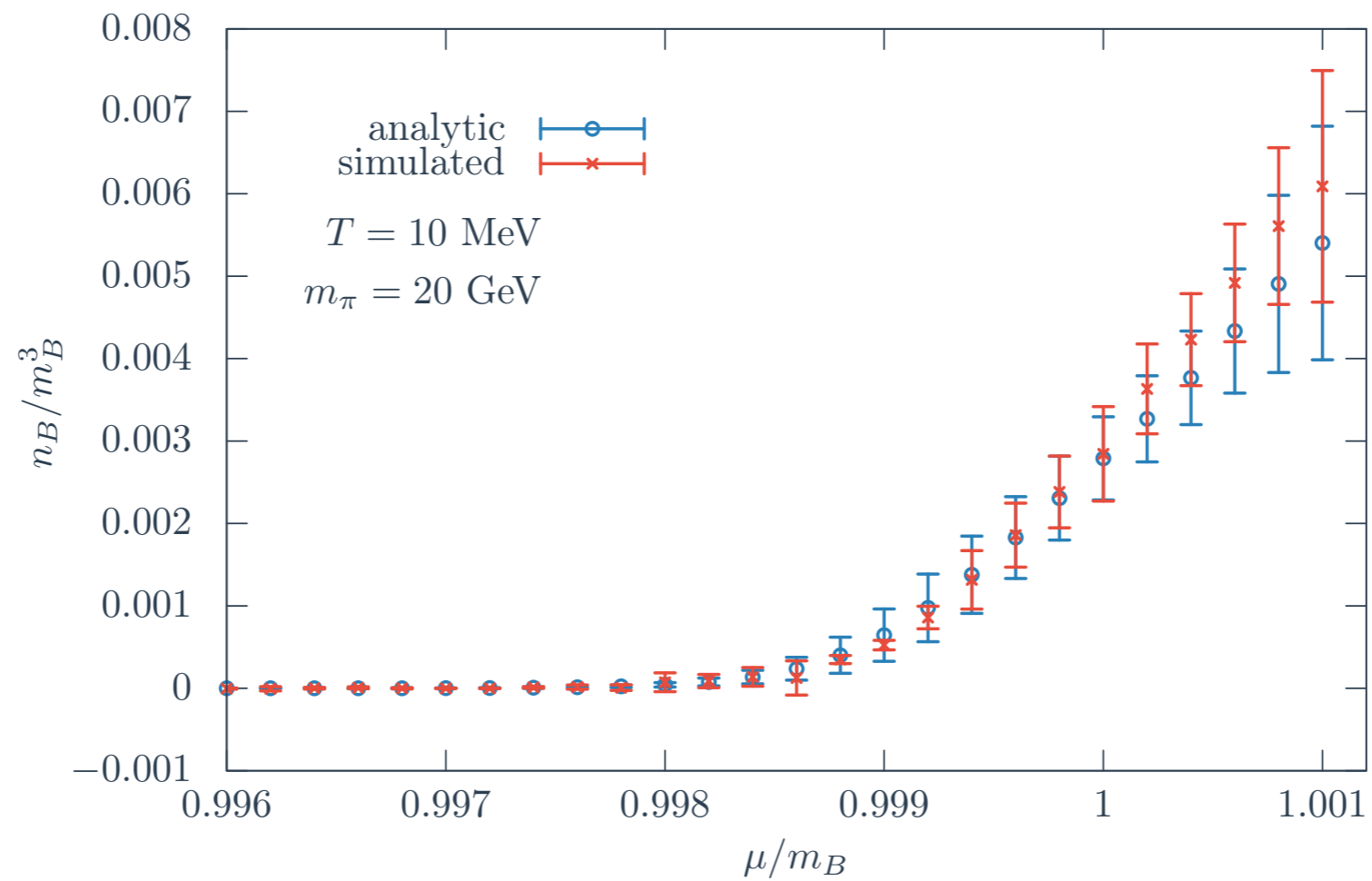


# Fun with diagrams....

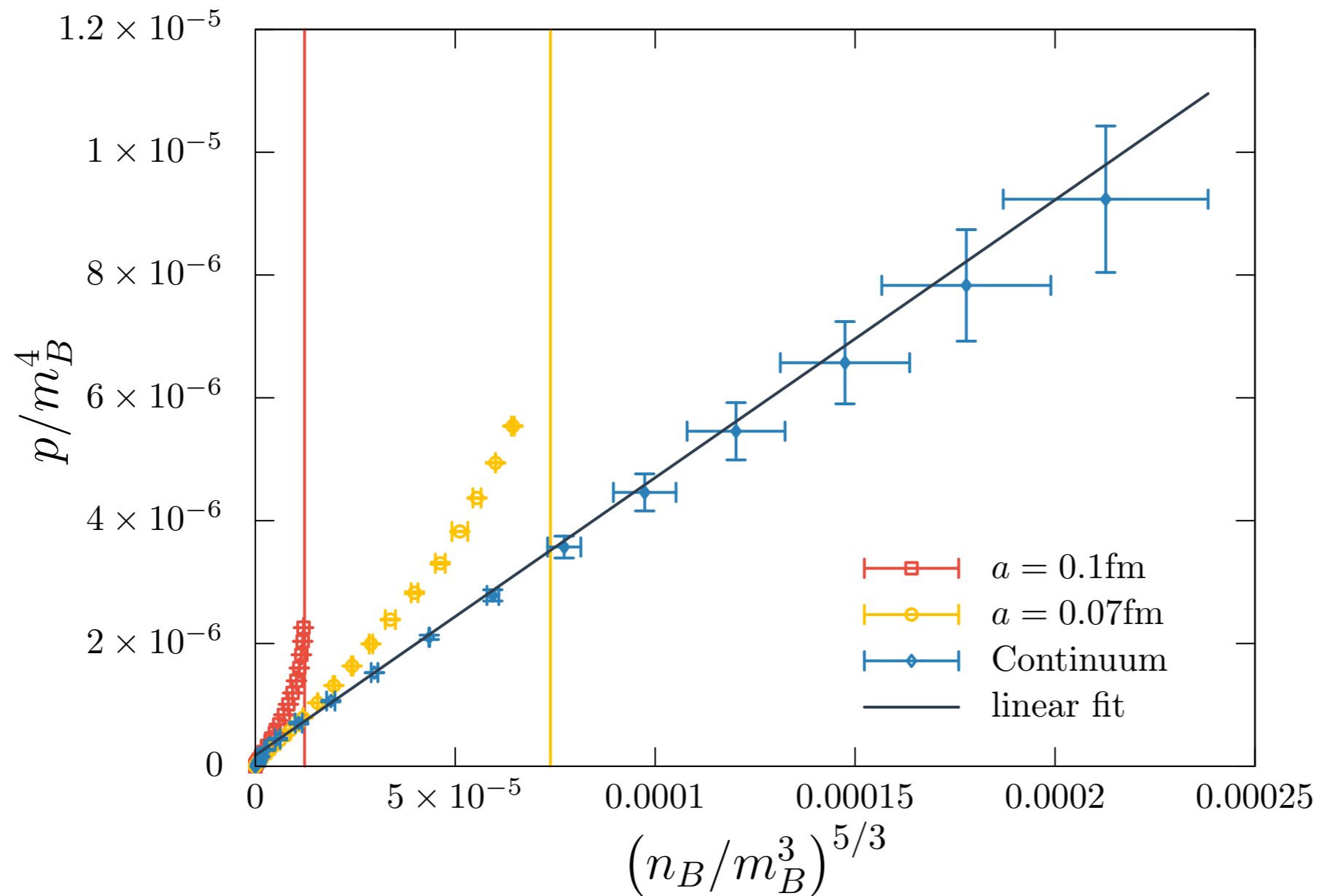


# Compare continuum extrapolated results

through  $u^5 \kappa^8$



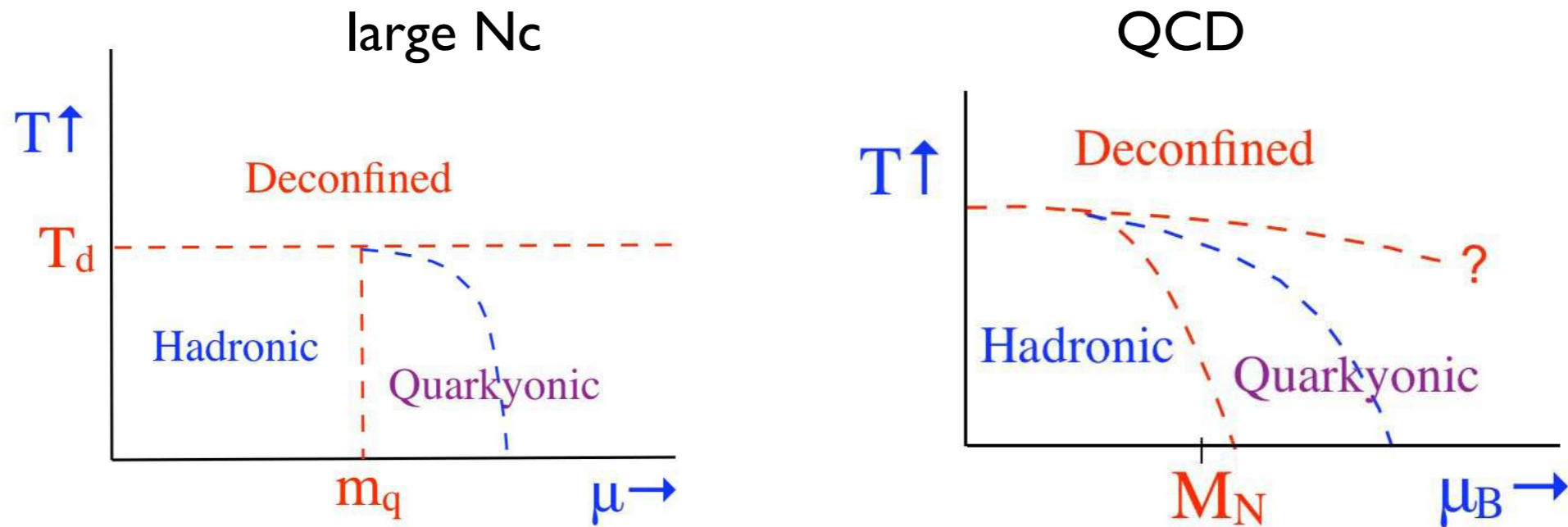
# Equation of state of heavy nuclear matter, continuum



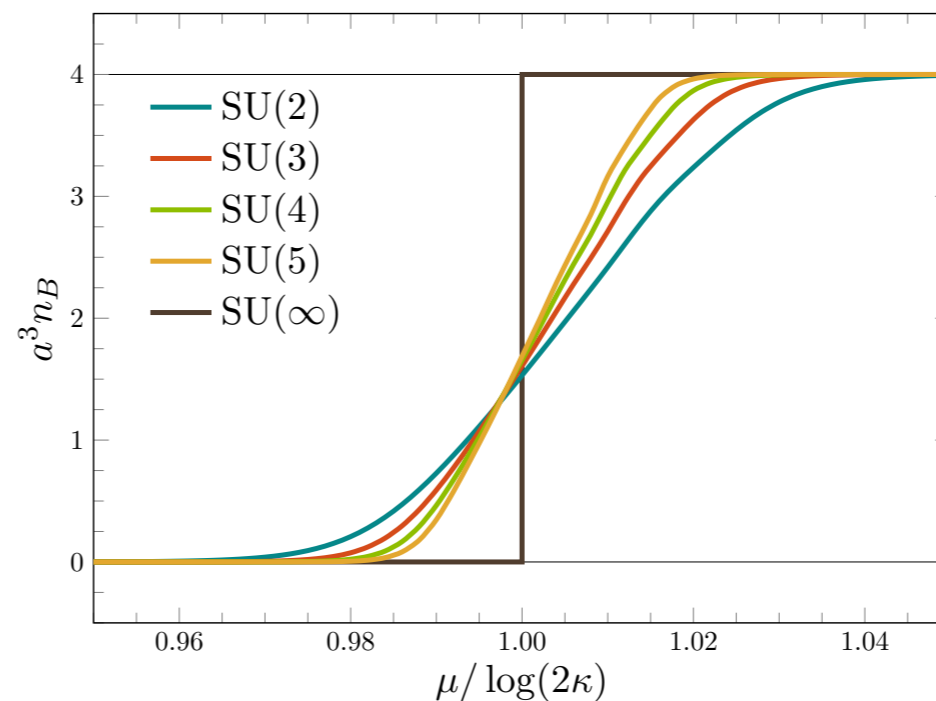
- EoS fitted by polytrope, non-relativistic fermions!
- Can we understand the pre-factor? Interactions, mass-dependence...

# Quarkyonic vs baryonic phase

McLerran, Pisarski 07:



Glesaaen, O.P. in progress:





# The effective lattice theory approach II

- Two-step treatment:

de Forcrand, Langelage, O.P., Unger  
Phys.Rev.Lett. 113 (2014) 152002

- I. Calculate effective theory analytically
- II. Simulate effective theory

- Step I.: integrate over gauge links in strong coupling expansion, leave fermions

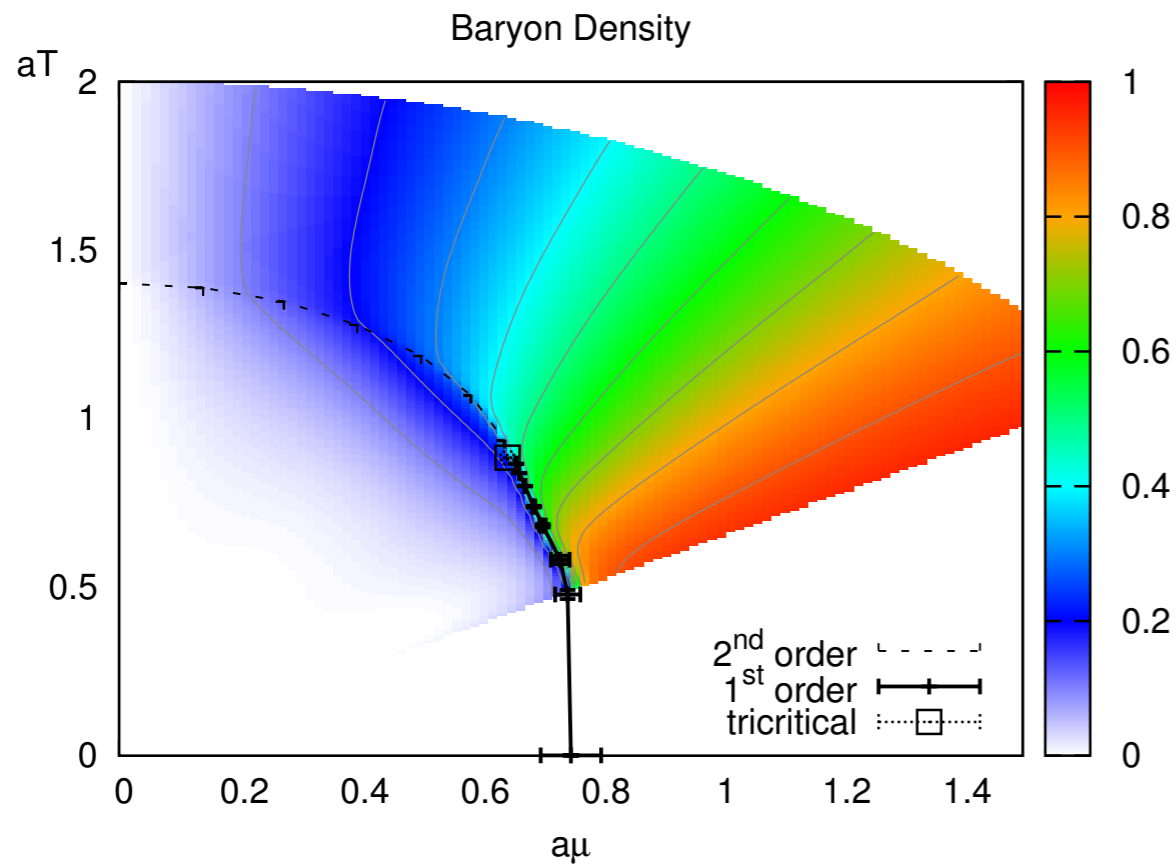
Wolff; Karsch Mütter

$$Z_{\text{QCD}} = \int d\psi d\bar{\psi} dU e^{S_F + S_G} = \int d\psi d\bar{\psi} Z_F \langle e^{S_G} \rangle_{Z_F}$$
$$\langle e^{S_G} \rangle_{Z_F} \simeq 1 + \langle S_G \rangle_{Z_F} = 1 + \frac{\beta}{2N_c} \sum_P \langle \text{tr}[U_P + U_P^\dagger] \rangle_{Z_F} \quad Z_F(\psi, \bar{\psi}) = \int dU e^{S_F}$$

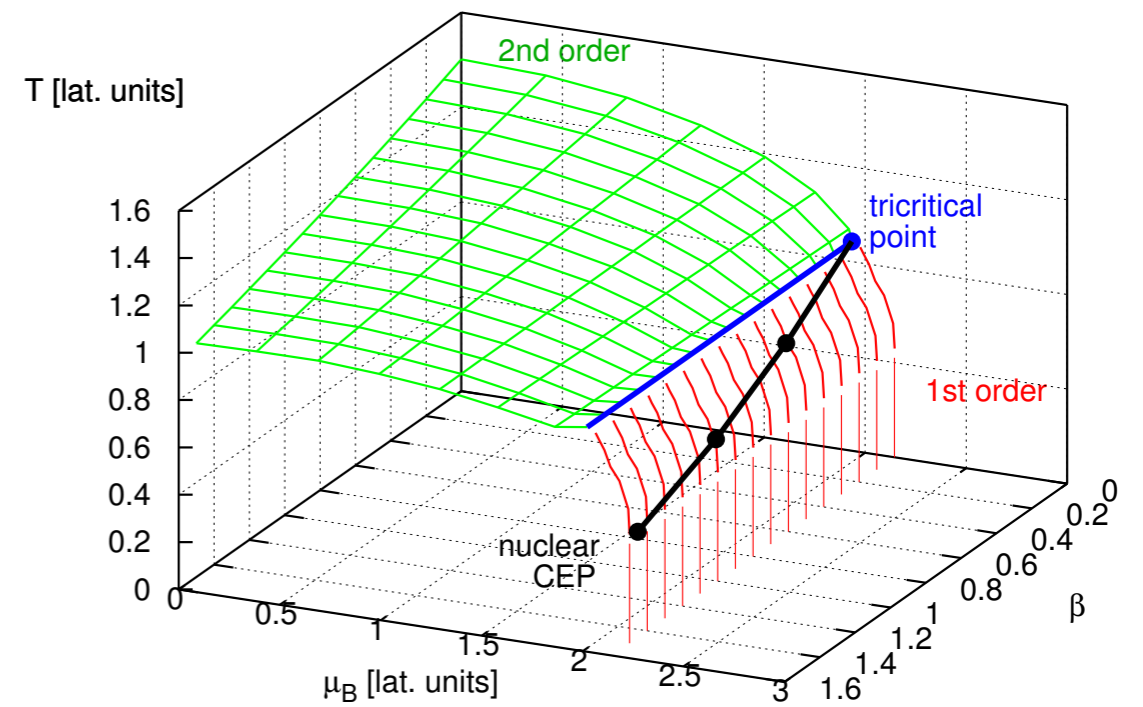
- Result: 4d “polymer” model of QCD (hadronic degrees of freedom!)  
Valid for all quark masses (also  $m=0!$ ), at strong coupling (very coarse lattices)
- Step II: sign problem milder: Monte Carlo with worm algorithm
- Numerical simulations without fermion matrix inversion, **very cheap!**

# Chiral QCD at strong coupling

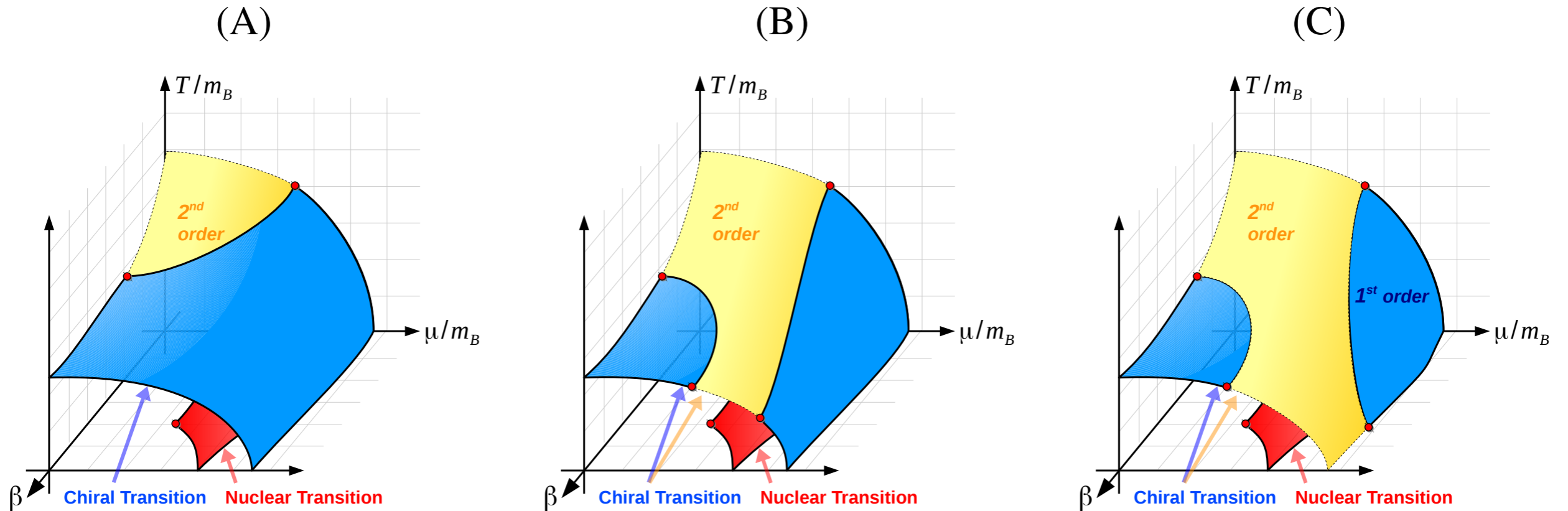
Strong coupling limit:



LO gauge corrections:



# Possibilities for continuum $N_f=4$ phase diagram:



$N_f=4$  is known to have first order transition at zero density

# Conclusions

- Nuclear matter directly from QCD in “one-parameter distortions”:
- Heavy dense QCD near continuum with fully analytic methods
- Chiral dense QCD on coarse lattices
- Larger than nuclear densities out of reach because of lattice saturation

**Backup slides**

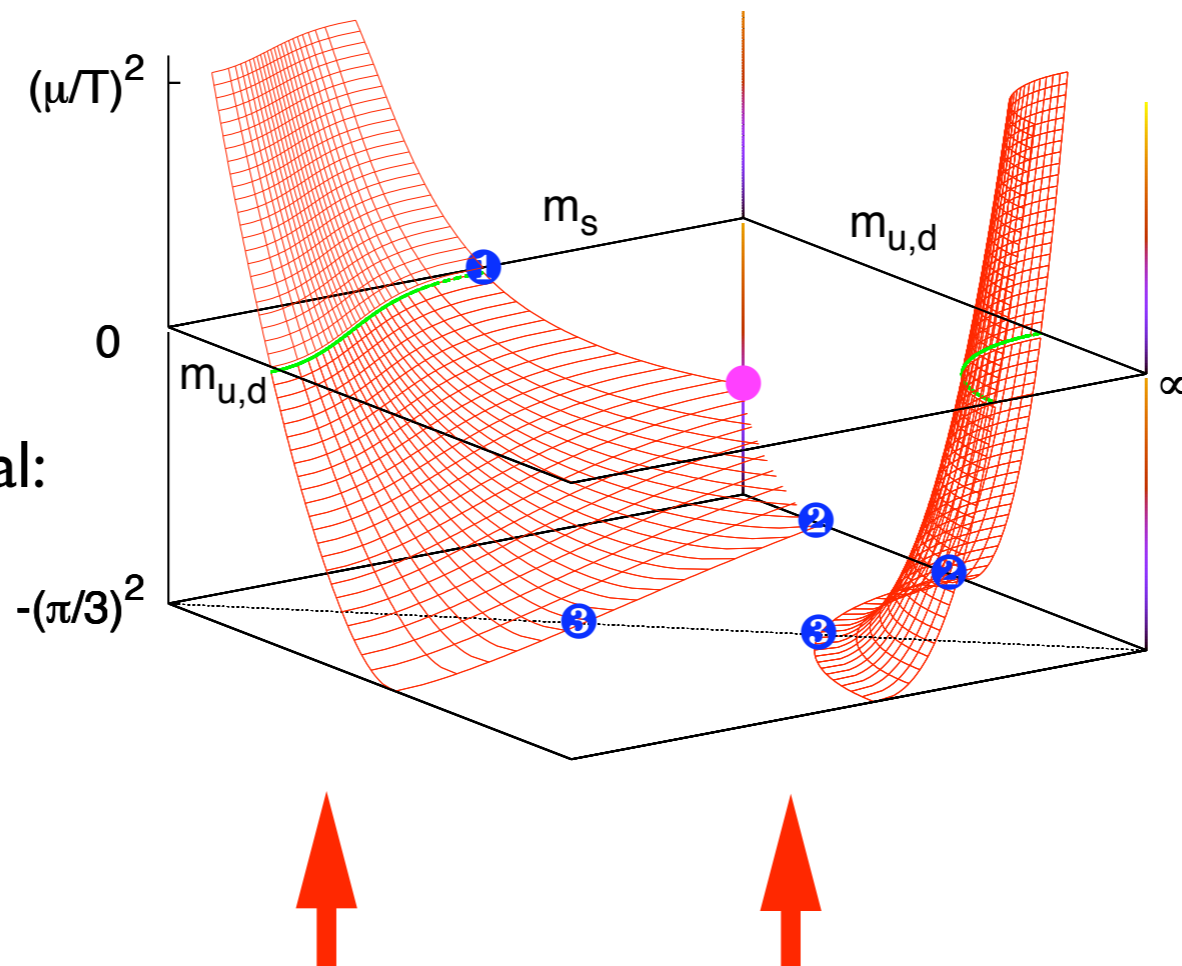
# Chiral and deconfinement critical surfaces

Qualitative structure done on coarse  $Nt=4$  lattices:

Real chemical potential:  
sign problem

Imaginary chemical potential:  
no sign problem

Structure related to  
 $Z(3)$  symmetry



staggered,  $N_f=3$ :  
de Forcrand, O.P. 10

staggered,  $N_f=2$ :  
D'Elia, Sanfillippo 11


Wilson,  $N_f=2$ :  
Pinke, O.P. 14

shape, sign of curvatures determined by tricritical scaling!


# Strong coupling expansion (pure gauge)

Wilson action:  $S_g[U] = \sum_x \sum_{1 \leq \mu < \nu \leq 4} \beta \left( 1 - \frac{1}{3} \text{ReTr} U_p \right) \equiv \sum_p S_p$       Plaquette action

Character of rep.  $r$ :  $\chi_r(U) = \text{Tr} D_r(U)$



Character expansion:  $\exp -S_p = c_0(\beta) \left[ 1 + \sum_{r \neq 0} d_r c_r(\beta) \chi_r(U_p) \right]$ , convergent inside radius of c.



Expansion coefficients: combinations of modified Bessel fcns. for SU(N)

$c_f \equiv u = \frac{\beta}{18} + O(\beta^2) < 1$ , all others can be expressed by fundamental one

Wilson 74: static potential, string tension Münster, Seo 80-82: glueball masses,  
 Polonyi, Szachlanyi 82: strong coupling limit of free energy, effective action, Green 83: finite T string  
 Langelage, Münster, O.P. 08: strong coupling series for finite T

# Subleading couplings

Subleading contributions for next-to-nearest neighbours:

$$\lambda_2 \mathcal{S}_2 \propto u^{2N_\tau+2} \sum_{[kl]}' 2\text{Re}(L_k L_l^*) \quad \text{distance} = \sqrt{2}$$

$$\lambda_3 \mathcal{S}_3 \propto u^{2N_\tau+6} \sum_{\{mn\}}'' 2\text{Re}(L_m L_n^*) \quad \text{distance} = 2$$

as well as terms from loops in the *adjoint* representation:

$$\lambda_a \mathcal{S}_a \propto u^{2N_\tau} \sum_{\langle ij \rangle} \text{Tr}^{(a)} W_i \text{Tr}^{(a)} W_j \quad ; \quad \text{Tr}^{(a)} W = |L|^2 - 1$$



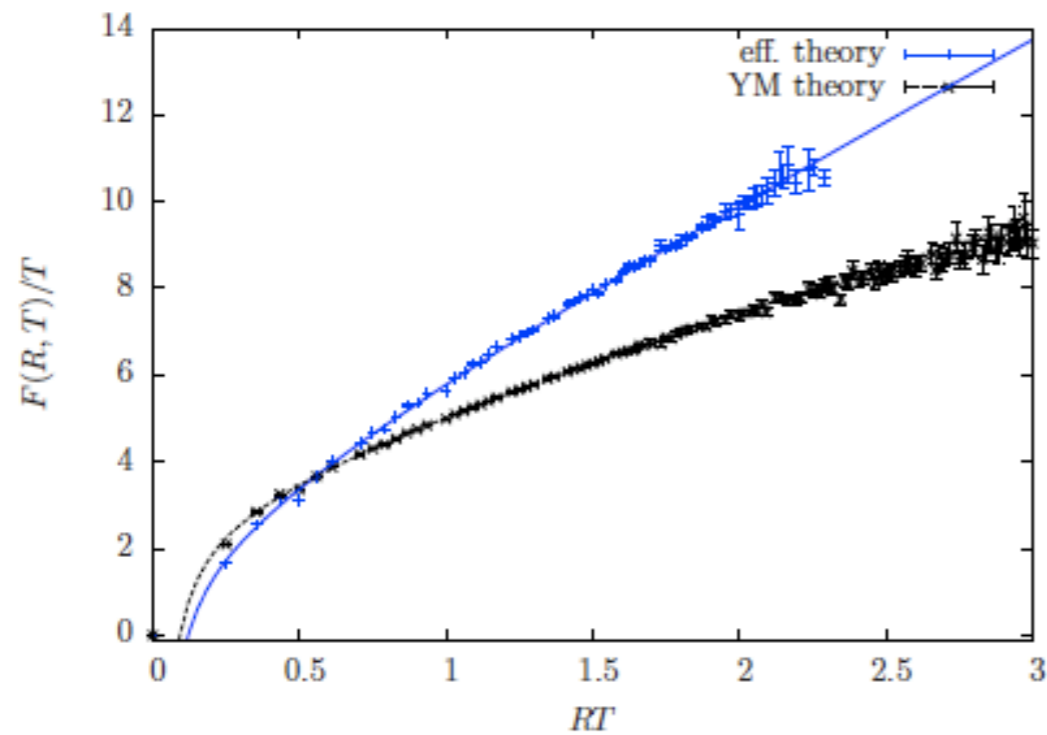
Simplification for  $T=0$ , only leading powers in  $Nt$ , fermion contribution:

$$\begin{aligned}
-S_{\text{eff}} = & -\log \sum_{\vec{x}} (1 + h_1 \text{Tr} W_{\vec{x}} + h_1^2 \text{Tr} W_{\vec{x}}^\dagger + h_1^3)^2 - 2h_2 \sum_{\vec{x},i} \text{Tr} \frac{h_1 W_{\vec{x}}}{1 + h_1 W_{\vec{x}}} \text{Tr} \frac{h_1 W_{\vec{x}+i}}{1 + h_1 W_{\vec{x}+i}} \\
& + 2 \frac{\kappa^4 N_\tau^2}{N_c^2} \sum_{\vec{x},i} \text{Tr} \frac{h_1 W_{\vec{x}}}{(1 + h_1 W_{\vec{x}})^2} \text{Tr} \frac{h_1 W_{\vec{x}+i}}{(1 + h_1 W_{\vec{x}+i})^2} \\
& + \frac{\kappa^4 N_\tau^2}{N_c^2} \sum_{\vec{x},i,j} \text{Tr} \frac{h_1 W_{\vec{x}}}{(1 + h_1 W_{\vec{x}})^2} \text{Tr} \frac{h_1 W_{\vec{x}-i}}{1 + h_1 W_{\vec{x}-i}} \text{Tr} \frac{h_1 W_{\vec{x}-j}}{1 + h_1 W_{\vec{x}-j}} \\
& + 2 \frac{\kappa^4 N_\tau^2}{N_c^2} \sum_{\vec{x},i,j} \text{Tr} \frac{h_1 W_{\vec{x}}}{(1 + h_1 W_{\vec{x}})^2} \text{Tr} \frac{h_1 W_{\vec{x}-i}}{1 + h_1 W_{\vec{x}-i}} \text{Tr} \frac{h_1 W_{\vec{x}+j}}{1 + h_1 W_{\vec{x}+j}} \\
& + \frac{\kappa^4 N_\tau^2}{N_c^2} \sum_{\vec{x},i,j} \text{Tr} \frac{h_1 W_{\vec{x}}}{(1 + h_1 W_{\vec{x}})^2} \text{Tr} \frac{h_1 W_{\vec{x}+i}}{1 + h_1 W_{\vec{x}+i}} \text{Tr} \frac{h_1 W_{\vec{x}+j}}{1 + h_1 W_{\vec{x}+j}} .
\end{aligned}$$

Current state of the art for fermionic sector:  $u^5 \kappa^8$

# One coupling: What does and does not work?

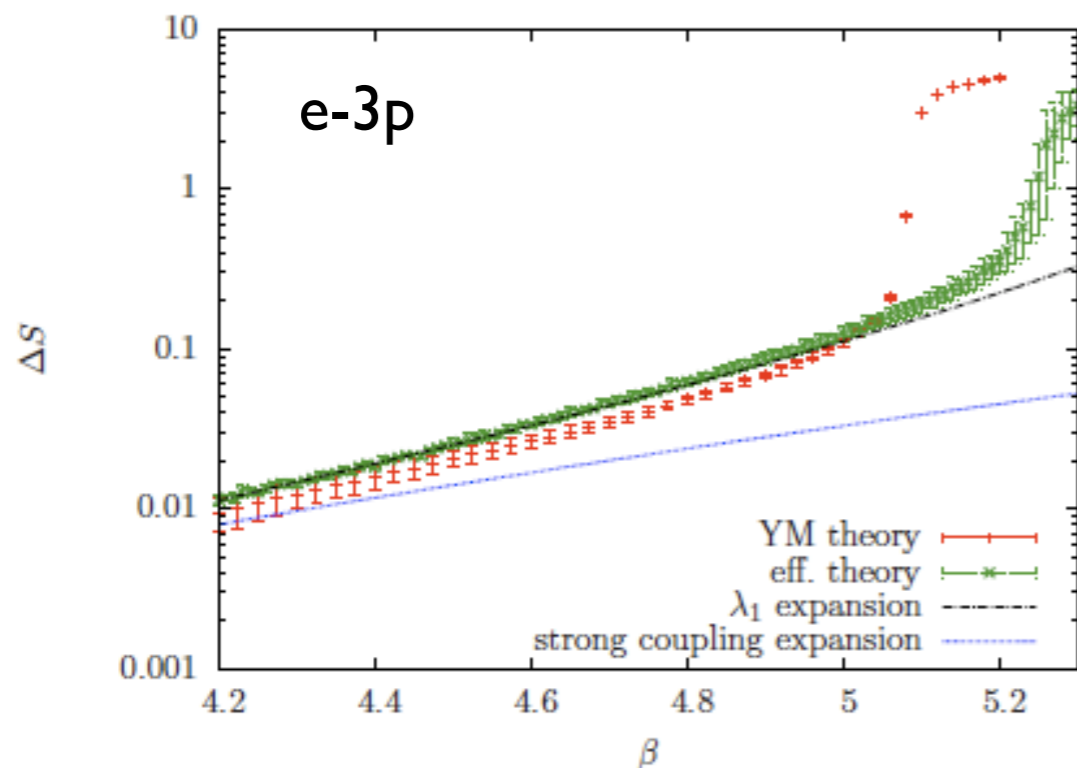
G.Bergner, J.Langelage, O.P. 14,15



Correlation functions and spectrum:

**NO**

couplings over large distances needed



Thermodynamics and critical coupling:

**YES**

partition function needed, local!

# The equation of state for nuclear matter, $N_f=2$

