

# Constraining the Dense Matter Equation of State from Observations

J. M. Lattimer

Department of Physics & Astronomy  
Stony Brook University



18 July, 2016, INT Workshop INT-16-2b  
Dense Matter  
Seattle, WA

# Outline

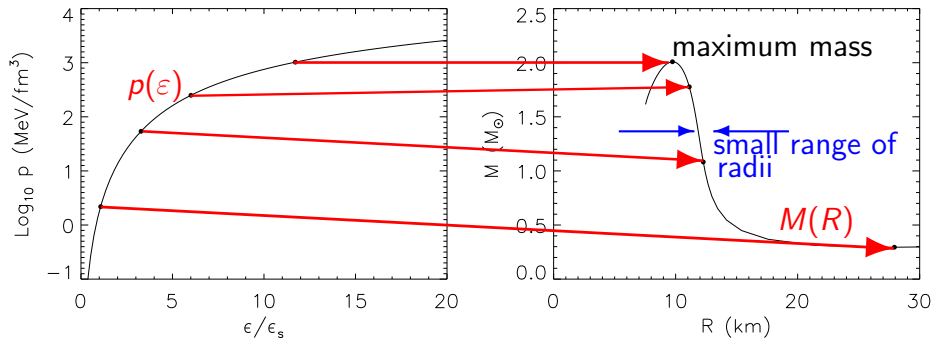
- ▶ The Dense Matter EOS and Neutron Star Structure
  - ▶ General Causality, Maximum Mass and GR Limits
  - ▶ Neutron Matter and the Nuclear Symmetry Energy
  - ▶ Theoretical and Experimental Constraints on the Symmetry Energy
- ▶ Extrapolating to High Densities with Piecewise Polytropes
- ▶ Radius Constraints Without Radius Observations
- ▶ Universal Relations
- ▶ Observational Constraints on Radii
  - ▶ Photospheric Radius Expansion Bursts
  - ▶ Thermal Emission from Quiescent Binary Sources
  - ▶ Ultra-Relativistic Neutron Star Binaries
  - ▶ Neutron Star Mergers
  - ▶ Supernova Neutrinos
  - ▶ Pulse Modeling of X-ray Bursts and X-ray Pulsars
  - ▶ Effects of Systematic Uncertainties

# Neutron Star Structure

## Tolman-Oppenheimer-Volkov equations

$$\frac{dp}{dr} = -\frac{G}{c^4} \frac{(mc^2 + 4\pi pr^3)(\epsilon + p)}{r(r - 2Gm/c^2)}$$

$$\frac{dm}{dr} = 4\pi \frac{\epsilon}{c^2} r^2$$

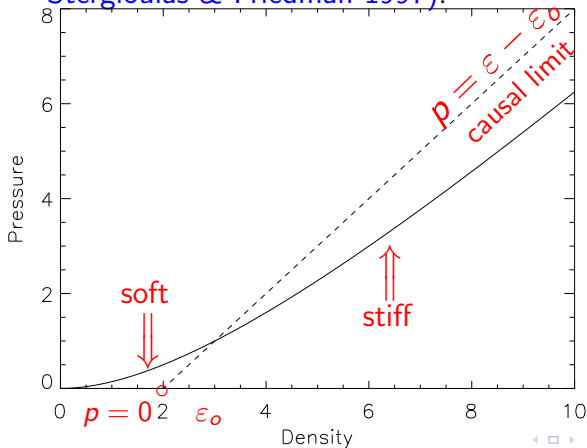


Equation of State

Observations

# Extremal Properties of Neutron Stars

- ▶ The most compact and massive configurations occur when the low-density equation of state is "soft" and the high-density equation of state is "stiff" (Koranda, Stergioulas & Friedman 1997).



$\epsilon_0$  is the only EOS parameter

The TOV solutions scale with  $\epsilon_0$

# Causality + GR Limits and the Maximum Mass

A lower limit to the maximum mass sets a lower limit to the radius for a given mass.

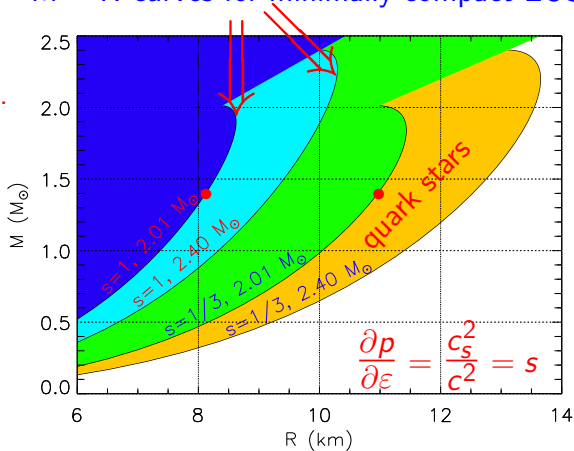
Similarly, a precision upper limit to  $R$  sets an upper limit to the maximum mass.

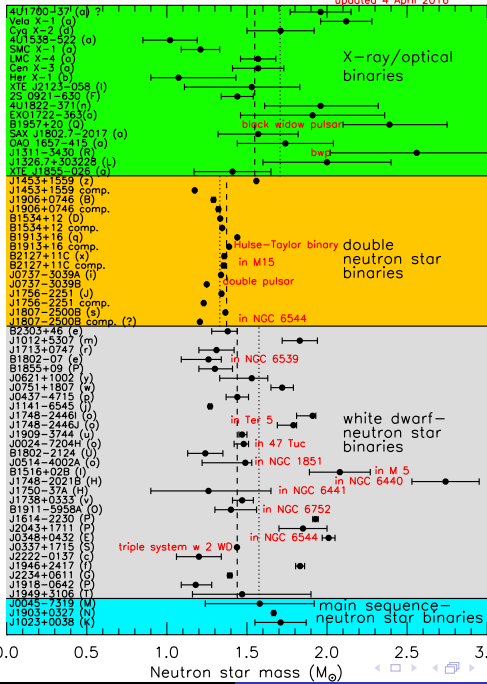
$$R_{1.4} > 8.15 M_{\odot} \text{ if } M_{\max} \geq 2.01 M_{\odot}.$$

$$M_{\max} < 2.4 M_{\odot} \text{ if } R < 10.3 \text{ km}.$$

If quark matter exists in the interior, the minimum radii are substantially larger.

$M - R$  curves for minimally compact EOS





vanKerkwijk 2010  
Romani et al. 2012

Although simple average mass of w.d. companions is  $0.23 M_{\odot}$  larger, weighted average is  $0.04 M_{\odot}$  smaller

Demorest et al. 2010  
Fonseca et al. 2016  
Antoniadis et al. 2013  
Barr et al. 2016

Champion et al. 2008

0.0 0.5 1.0 1.5 2.0 2.5 3.0  
Neutron star mass ( $M_{\odot}$ )

# Mass-Radius Diagram and Theoretical Constraints

GR:

$$R > 2GM/c^2$$

$P < \infty$ :

$$R > (9/4)GM/c^2$$

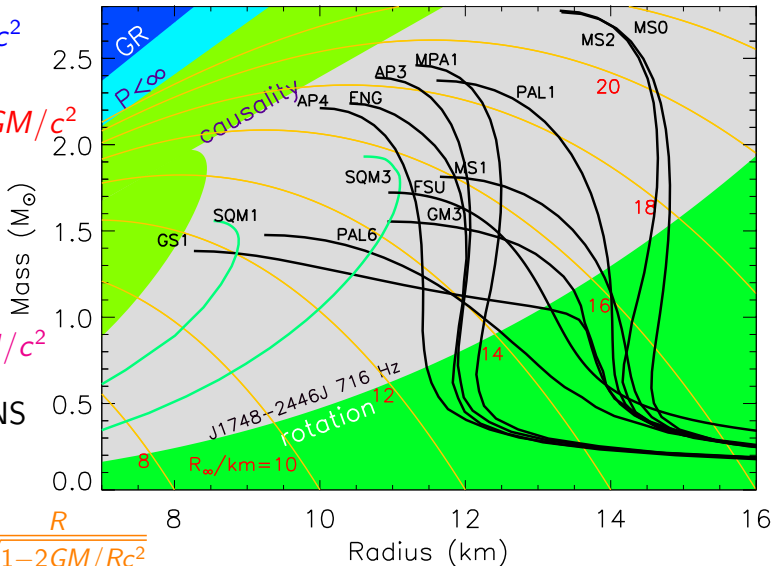
causality:

$$R \gtrsim 2.9GM/c^2$$

— normal NS

— SQS

$$— R_\infty = \frac{R}{\sqrt{1-2GM/Rc^2}}$$



# Neutron Star Radii and Nuclear Symmetry Energy

- ▶ Radii are highly correlated with the neutron star matter pressure around  $n_s - 2n_s \simeq (0.16 - 0.32) \text{ fm}^{-3}$ . (Lattimer & Prakash 2001)
- ▶ Neutron star matter is nearly purely neutrons,  $x \sim 0.04$ .
- ▶ Nuclear symmetry energy

$$S(n) \equiv E(n, x = 0) - E(n, 1/2)$$

$$E(n, x) \simeq E(n, 1/2) + S_2(n)(1 - 2x)^2 + \dots$$

$$S(n) \simeq S_2(n) \simeq S_v + \frac{L}{3n_s}(n - n_s) + \frac{K_{\text{sym}}}{18} \left( \frac{n - n_s}{n_s} \right)^2 \dots$$

- ▶  $S_v \sim 32 \text{ MeV}$ ;  $L \sim 50 \text{ MeV}$  from nuclear systematics.
- ▶ Neutron matter energy and pressure at  $n_s$ :

$$E(n_s, 0) \simeq S_v + E(n_s, 1/2) = S_v - B \sim 16 \text{ MeV}$$

$$p(n_s, 0) = \left( n^2 \frac{\partial E(n, 0)}{\partial n} \right)_{n_s} \simeq \frac{Ln_s}{3} \sim 2.5 \text{ MeV fm}^{-3}$$

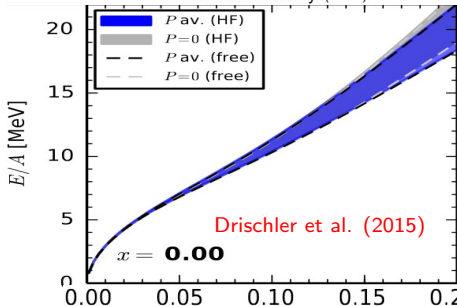
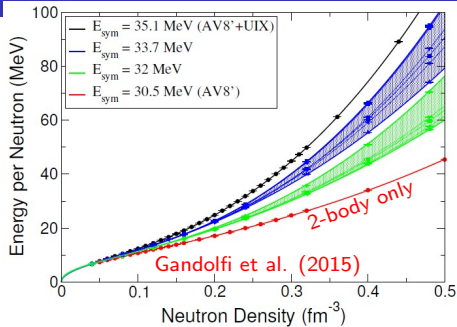


# Theoretical Neutron Matter Calculations

Nuclei provide information for matter up to  $n_s$ .

Theoretical studies, beginning from fitting low-energy neutron scattering data and few-body calculations of light nuclei, can probe higher densities.

- ▶ Auxiliary Field Diffusion Quantum Monte Carlo (Gandolfi & Carlson)
- ▶ Chiral Lagrangian Expansion (Drischler, Hebeler & Schwenk; Sammarruca et al.)



# Nuclear Experimental Constraints

The liquid droplet model is a useful frame of reference. Its symmetry parameters  $S_v$  and  $S_s$  are related to  $S_v$  and  $L$ :

$$\frac{S_s}{S_v} \simeq \frac{aL}{r_o S_v} \left[ 1 + \frac{L}{6S_v} - \frac{K_{sym}}{12L} + \dots \right].$$

- ▶ Symmetry contribution to the binding energy:

$$E_{sym} \simeq S_v A I^2 \left[ 1 + \frac{S_s}{S_v A^{1/3}} \right]^{-1}.$$

- ▶ Giant Dipole Resonance (dipole polarizability)

$$\alpha_D \simeq \frac{AR^2}{20S_v} \left( 1 + \frac{5}{3} \frac{S_s}{S_v A^{1/3}} \right).$$

- ▶ Neutron Skin Thickness

$$r_{np} \simeq \sqrt{\frac{3}{5}} \frac{2r_o I}{3} \frac{S_s}{S_v} \left( 1 + \frac{S_s}{S_v A^{1/3}} \right)^{-1} \left( 1 + \frac{10}{3} \frac{S_s}{S_v A^{1/3}} \right).$$

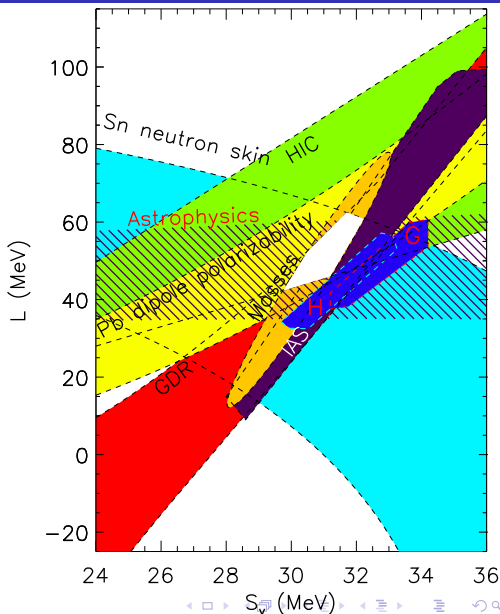
# Theoretical and Experimental Constraints

H Chiral Lagrangian

G: Quantum Monte Carlo

$S_v - L$  constraints from  
Hebeler et al. (2012)

Neutron matter constraints  
are compatible with  
experimental constraints.

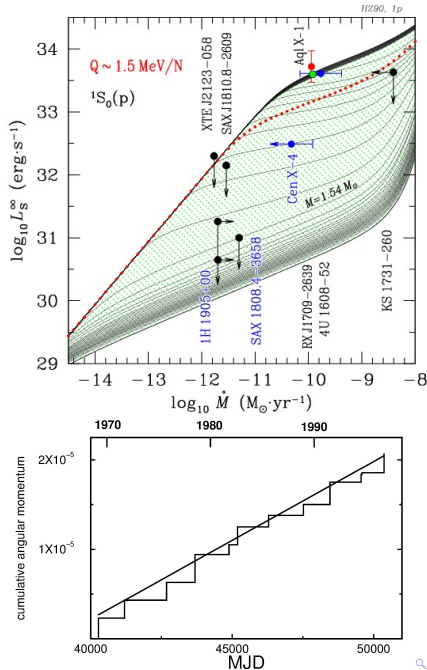


# Neutron Star Crusts

The evidence is overwhelming that neutron stars have crusts.

- ▶ Neutron star cooling, both long term (ages up to millions of years) and transient (days to years), supports the existence of  $\sim 0.5 - 1$  km thick crusts with masses  $\sim 0.02 - 0.05 M_{\odot}$ .
- ▶ Pulsar glitches are best explained by  $n$   ${}^1S_0$  superfluidity, largely confined to the crust,  $\Delta I/I \sim 0.01 - 0.05$ .

The crust EOS, dominated by relativistic degenerate electrons, is very well understood.



# Piecewise Polytropes

Crust EOS is known:  $n < n_0 = 0.4n_s$ .

Read, Lackey, Owen & Friedman (2009) found high-density EOS can be modeled as piecewise polytropes with 3 segments.

They found universal break points ( $n_1 \simeq 1.85n_s$ ,  $n_2 \simeq 3.7n_s$ ) optimized fits to a wide family of modeled EOSs.

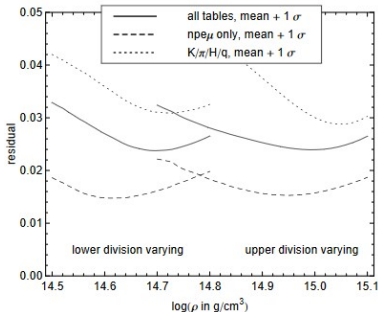
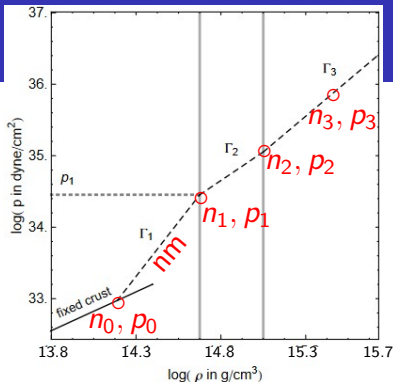
For  $n_0 < n < n_1$ , assume neutron matter EOS. Arbitrarily choose  $n_3 = 7.4n_s$ .

For a given  $p_1$  (or  $\Gamma_1$ ):

$0 < \Gamma_2 < \Gamma_{2c}$  or  $p_1 < p_2 < p_{2c}$ .

$0 < \Gamma_3 < \Gamma_{3c}$  or  $p_2 < p_3 < p_{3c}$ .

Minimum values of  $p_2, p_3$  set by  $M_{max}$ ; maximum values set by causality.



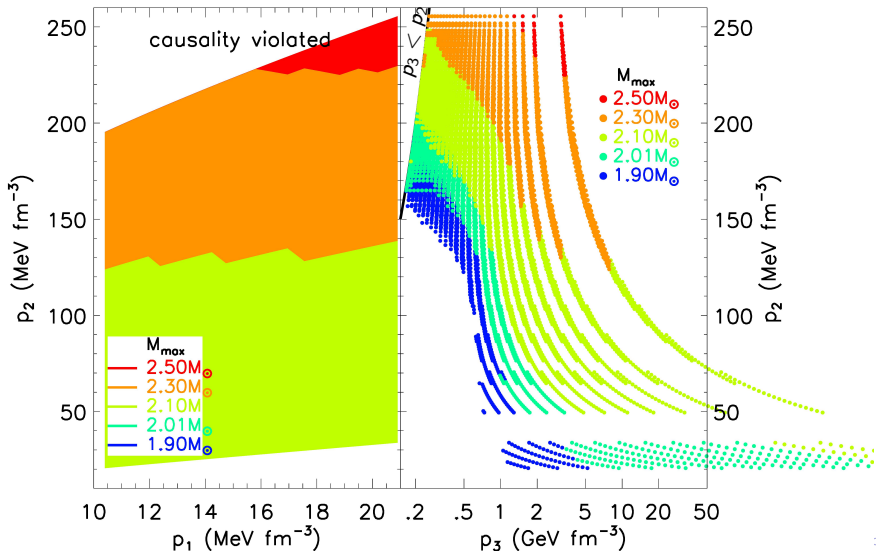
Even if the EOS becomes acausal at high densities, it may not do so in a neutron star.

We automatically reject parameter sets which become acausal for  $n \leq n_2$ . We consider two model subsets:

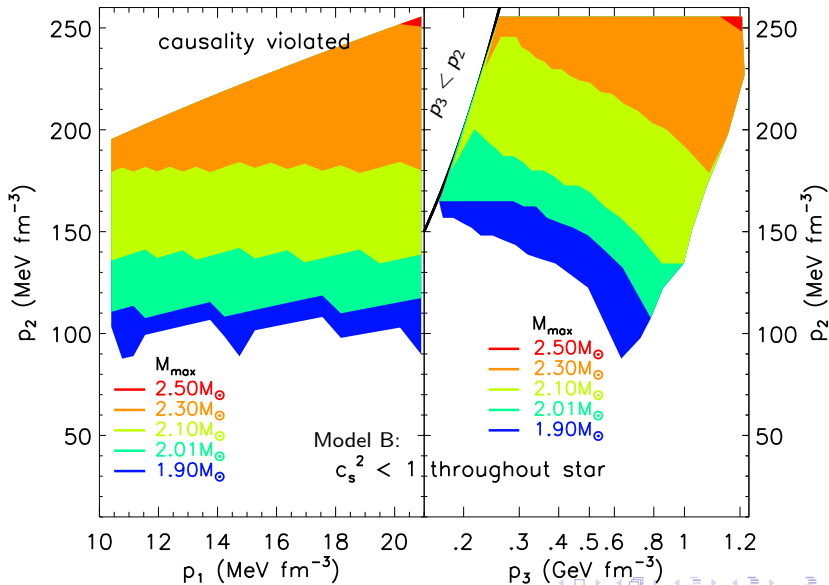
- ▶ Model A: If a parameter set results in causality being violated within the maximum mass star, extrapolate to higher densities assuming  $c_s = c$ .
- ▶ Model B: Reject parameter sets that violate causality in the maximum mass star.

# Maximum Mass and Causality Constraints

Model A: where EOS gives  $c_s > c$ , force  $c_s = c$ .

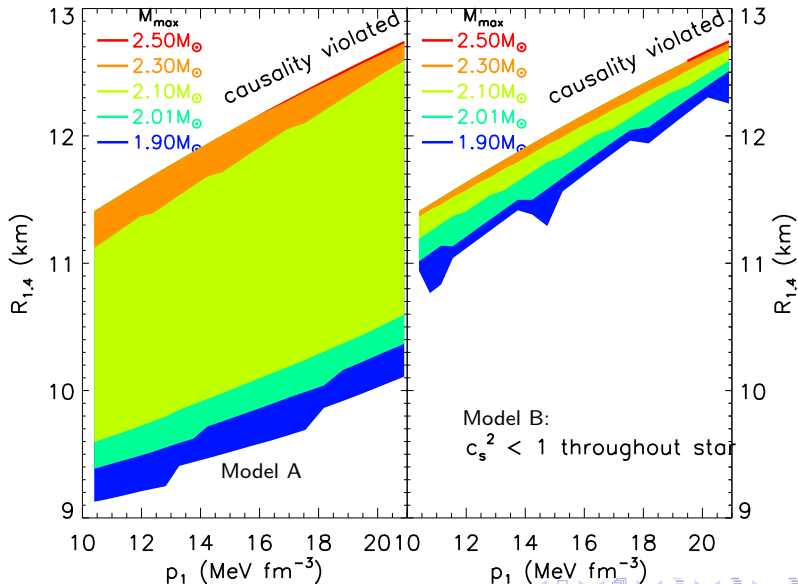


# Maximum Mass and Causality Constraints

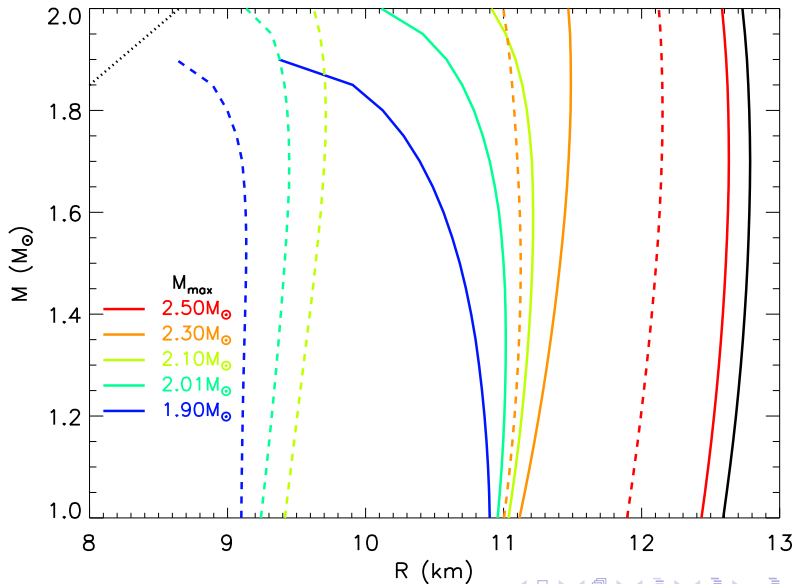




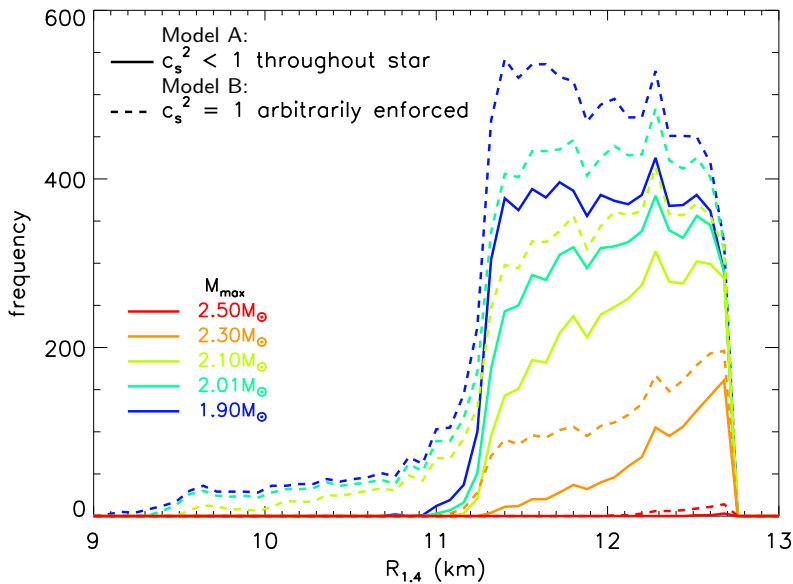
# Radius - $\rho_1$ Correlation



# Mass-Radius Constraints from Causality

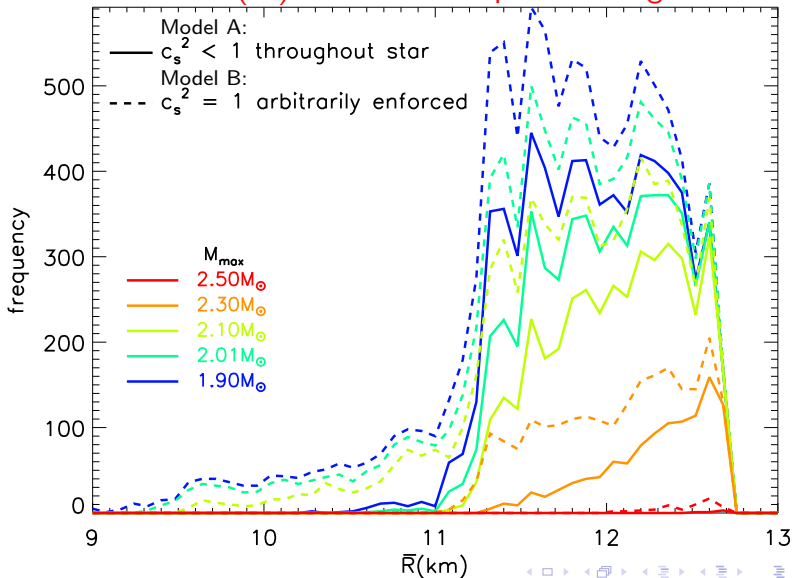


# Piecewise-Polytrope $R_{M=1.4}$ Distributions

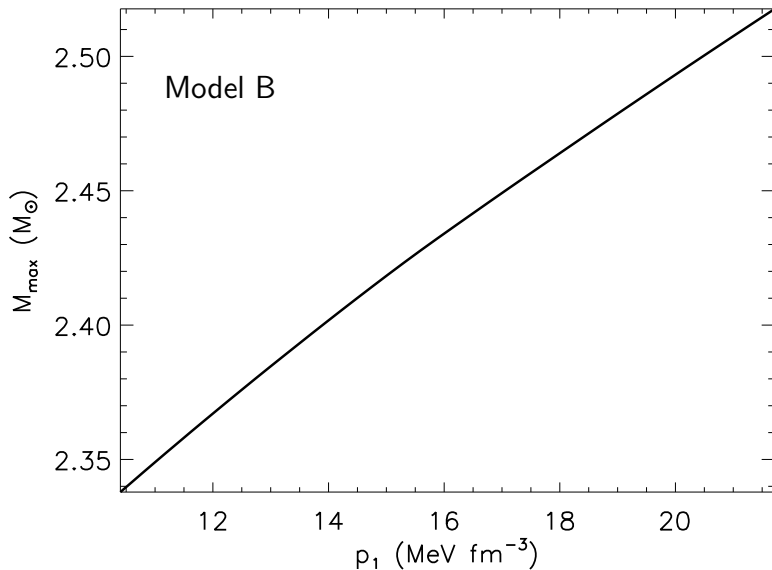


# Piecewise-Polytrope Average Radius Distributions

Assumes  $P(M)$  from observed pulsar-timing masses



# Upper Limits to Maximum Mass



# Universal Relations

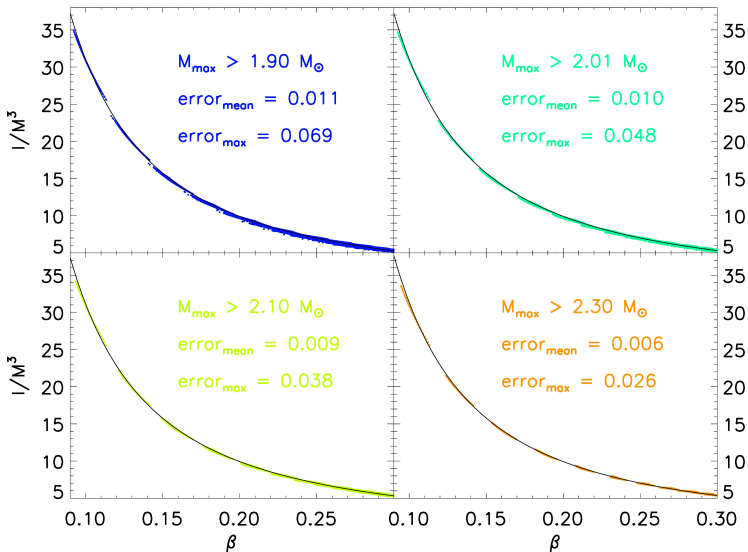
With the assumptions

- ▶ Known crust EOS
- ▶ Bounded neutron matter EOS ( $p_{min} < p_1 < p_{max}$ )
- ▶ Two piecewise polytropes for  $p > p_1$
- ▶ Causality is not violated
- ▶  $M_{max}$  is limited from below

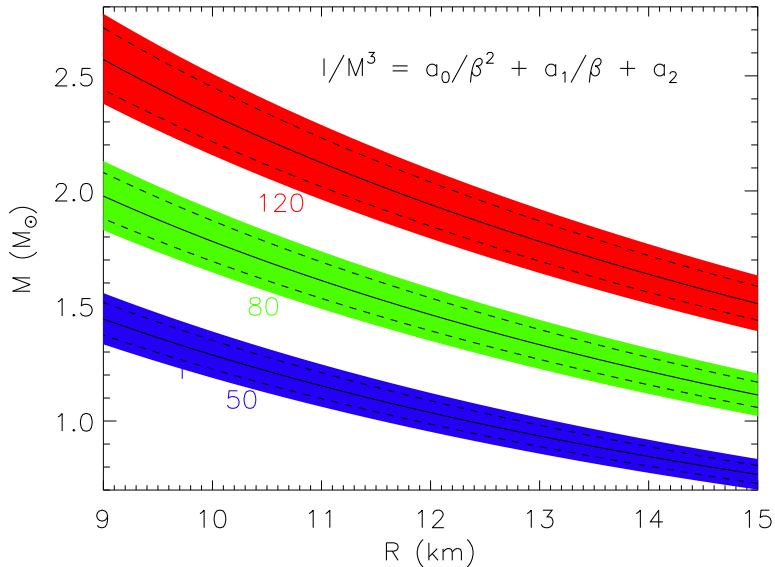
tight correlations among the compactness, moment of inertia, binding energy and tidal deformability result.

We use Model B in the following.

# Moment of Inertia - Compactness Correlations

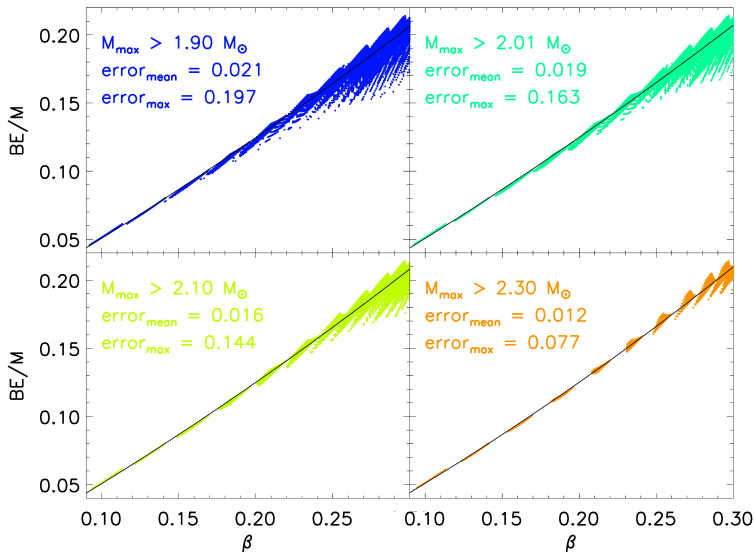


# Moment of Inertia - Radius Constraints

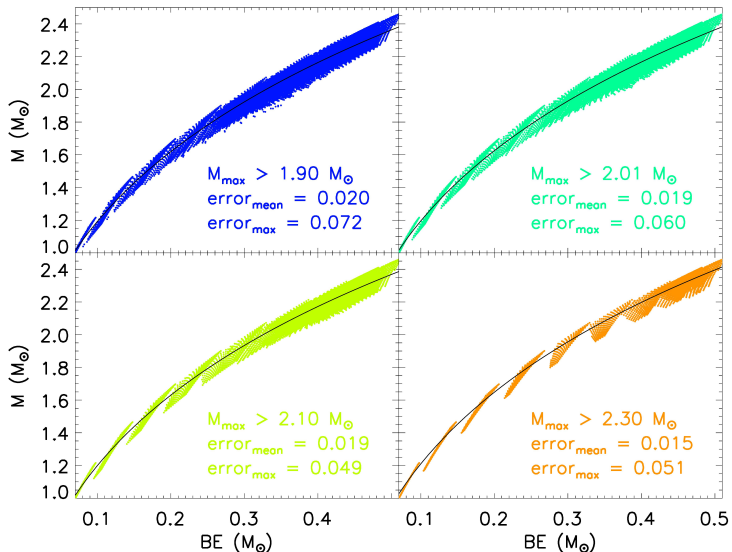




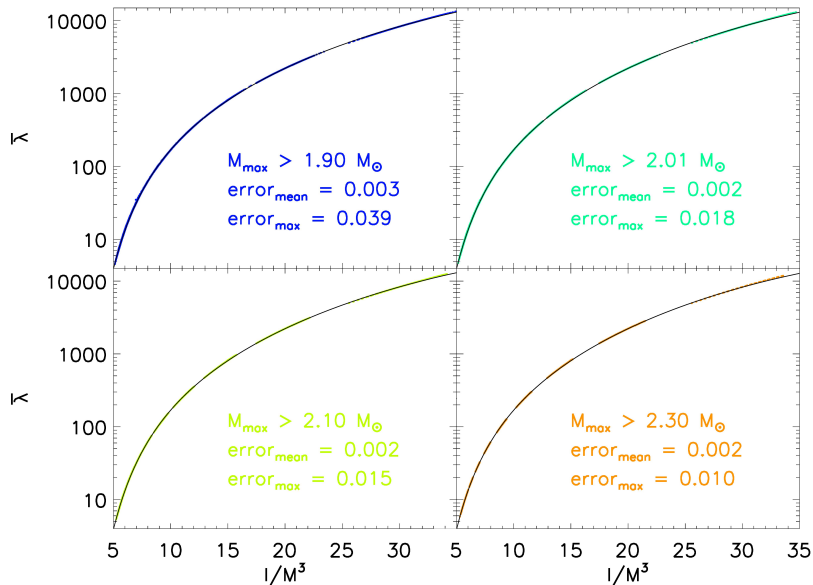
# Binding Energy - Compactness Correlations



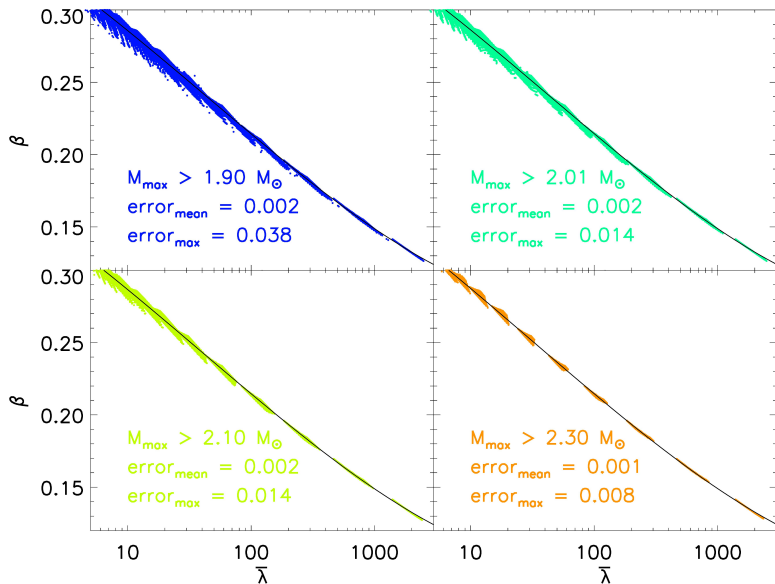
# Binding Energy - Mass Correlations



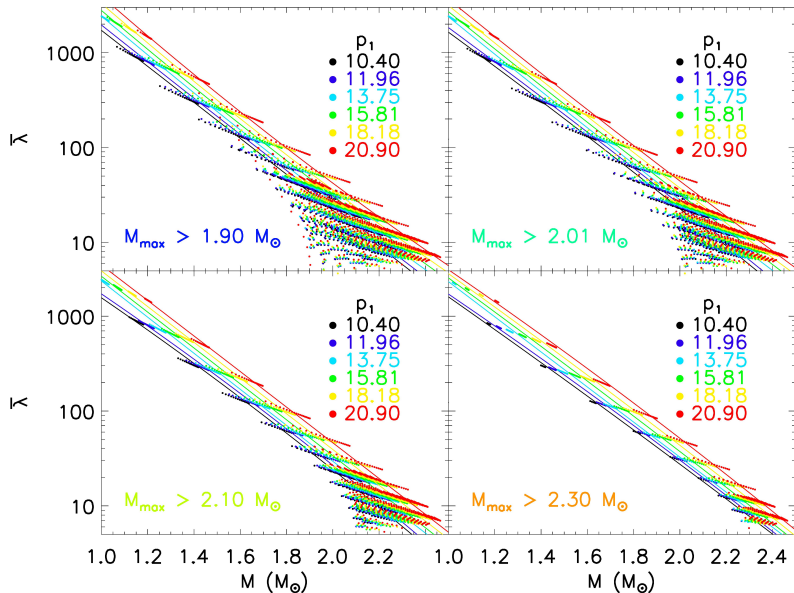
# Tidal Deformatibility - Moment of Inertia



# Tidal Deformability - Compactness



# Tidal Deformatibility - Mass



# Binary Tidal Deformability

In a neutron star merger, both stars are tidally deformed. The most accurate measured deformability parameter is

$$\bar{\Lambda} = \frac{8}{13} \left[ (1 + 7\eta - 31\eta^2)(\bar{\lambda}_1 + \bar{\lambda}_2) - \sqrt{1 - 4\eta(1 + 9\eta - 11\eta^2)}(\bar{\lambda}_1 - \bar{\lambda}_2) \right]$$

where

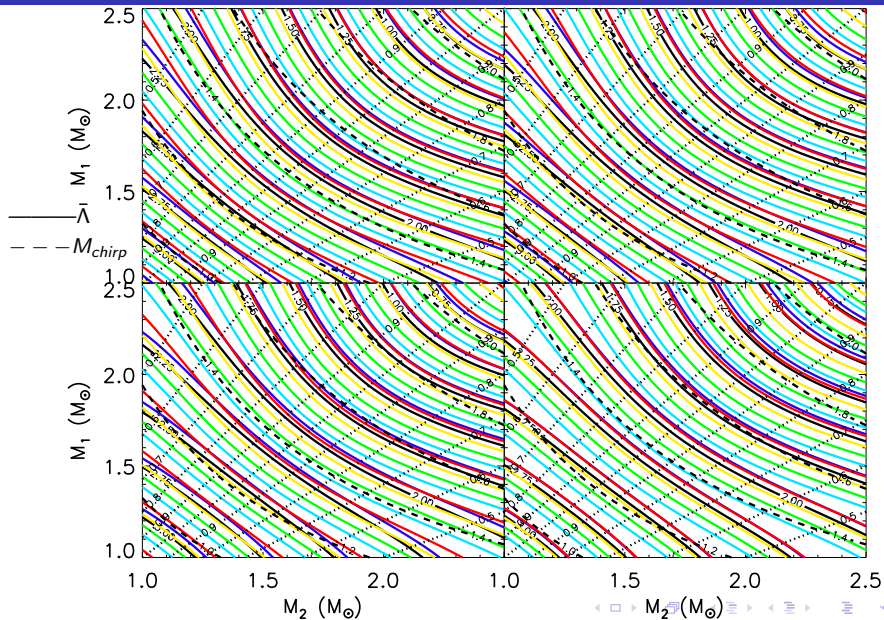
$$\eta = \frac{M_1 M_2}{(M_1 + M_2)^2}.$$

For  $S/N \approx 20 - 30$ , typical measurement accuracies are expected to be (Rodriguez et al. 2014; Wade et al. 2014):

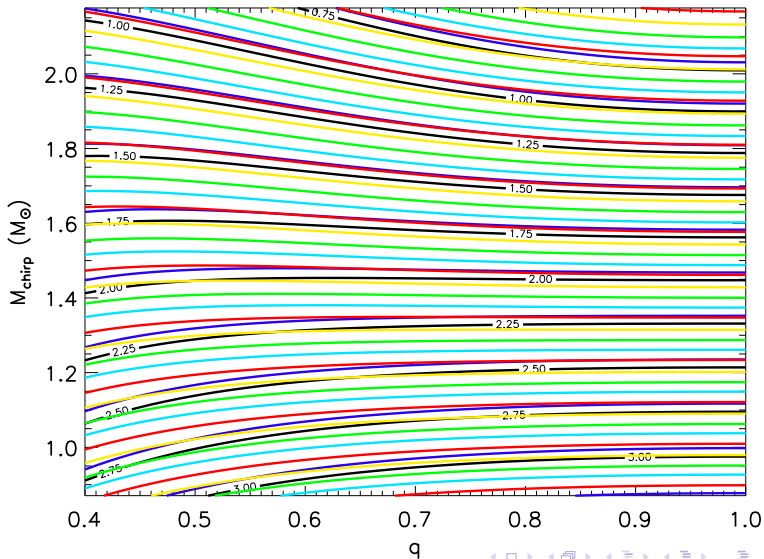
$$M_{chirp} \sim 0.01 - 0.02\%, \quad \bar{\Lambda} \sim 20 - 25\%$$

$$M_1 + M_2 \sim 1 - 2\%, \quad M_2/M_1 \sim 10 - 15\%$$

# Tidal Deformability - $\bar{\Lambda}$ - $M_{chirp}$

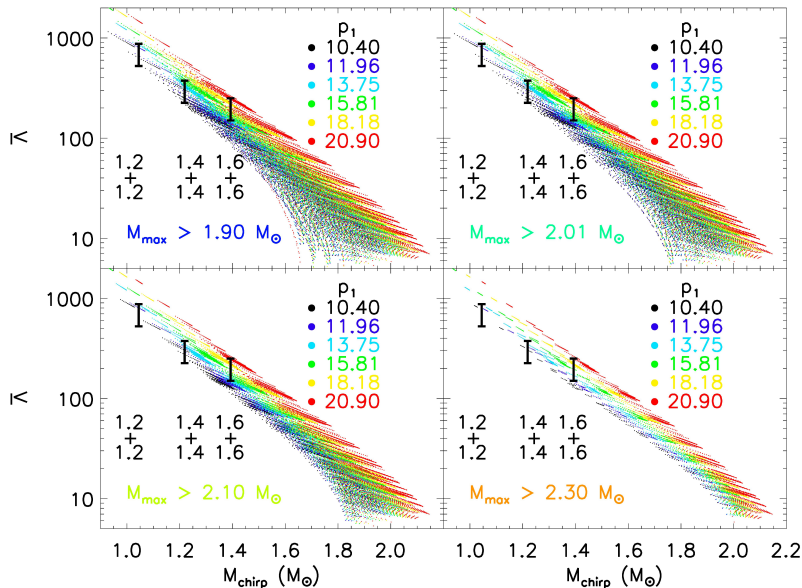


# Tidal Deformatibility - $\bar{\Lambda}$





# Tidal Deformatibility - $\bar{\Lambda}$



# Simultaneous Mass/Radius Measurements

- ▶ Measurements of flux  $F_\infty = (R_\infty/D)^2 \sigma T_{\text{eff}}^4$  and color temperature  $T_c \propto \lambda_{\text{max}}^{-1}$  yield an apparent angular size (pseudo-BB):

$$R_\infty/D = (R/D) / \sqrt{1 - 2GM/Rc^2}$$

- ▶ Observational uncertainties include distance  $D$ , interstellar absorption  $N_H$ , atmospheric composition  
Best chances are:

- ▶ Isolated neutron stars with parallax (atmosphere ??)
- ▶ Quiescent low-mass X-ray binaries (QLMXBs) in globular clusters (reliable distances, low  $B$  H-atmospheres)
- ▶ Bursting sources (XRBs) with peak fluxes close to Eddington limit (gravity balances radiation pressure)

$$F_{\text{Edd}} = \frac{cGM}{\kappa D^2} \sqrt{1 - 2GM/Rc^2}$$



# PRE Burst Models

Observational measurements:

$$F_{Edd,\infty} = \frac{GMc}{\kappa_i D} \sqrt{1 - 2\beta}, \quad \beta = \frac{GM}{Rc^2}$$

$$A = \frac{F_\infty}{\sigma T_\infty^4} = f_c^{-4} \left( \frac{R_\infty}{D} \right)^2$$

Determine parameters:

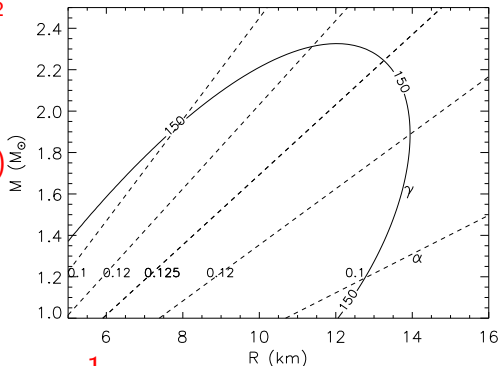
$$\alpha = \frac{F_{Edd,\infty}}{\sqrt{A}} \frac{\kappa_i D}{f_c^4 c^3} = \beta(1 - 2\beta)$$

$$\gamma = \frac{A f_c^4 c^3}{\kappa_i F_{Edd,\infty}} = \frac{R_\infty}{\alpha}$$

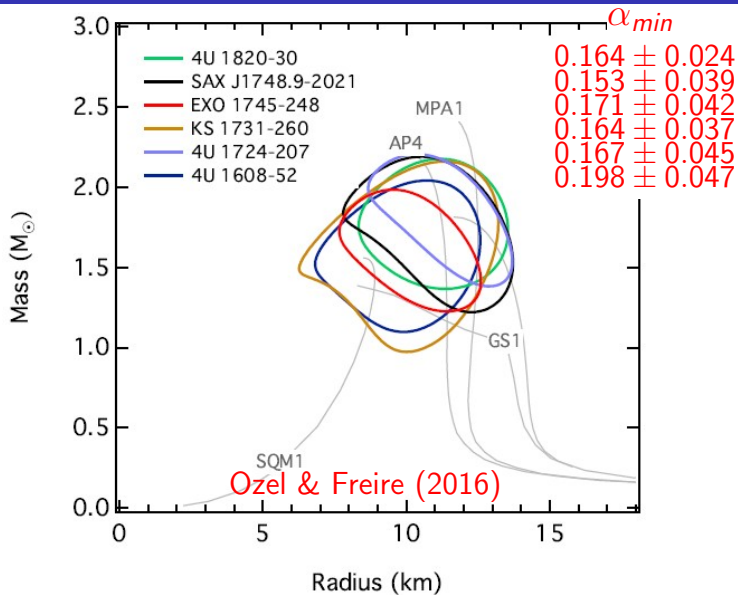
Solution:

$$\beta = \frac{1}{4} \pm \frac{\sqrt{1 - 8\alpha}}{4},$$

$$\alpha \leq \frac{1}{8} \text{ for real solutions.}$$



# PRE $M - R$ Estimates



# QLMXB $M - R$ Estimates

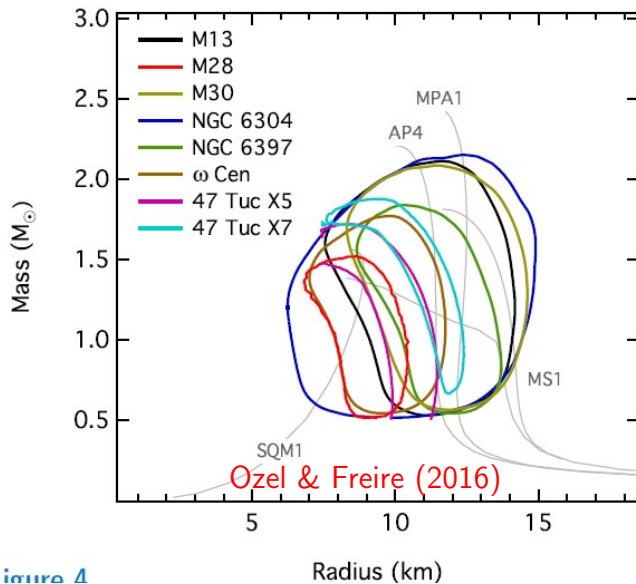
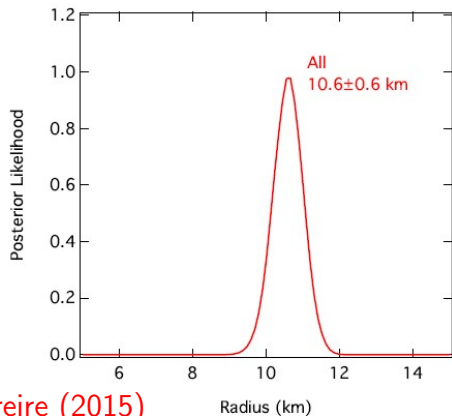
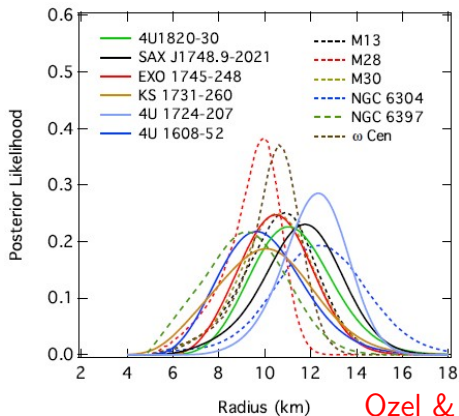


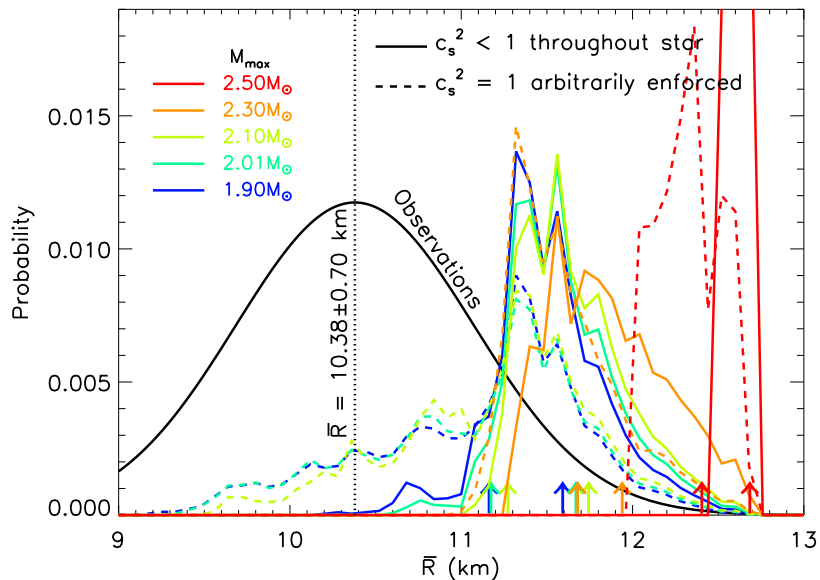
Figure 4

# Combined $R$ fits

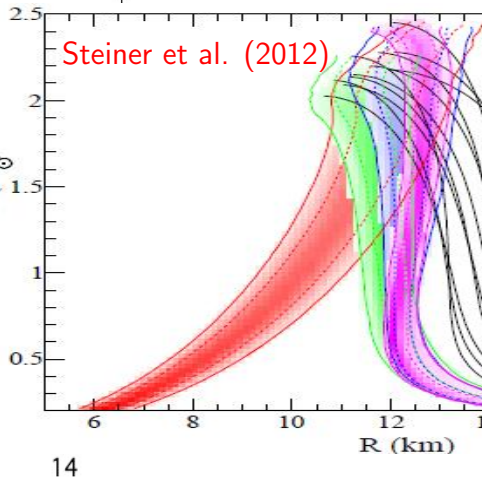
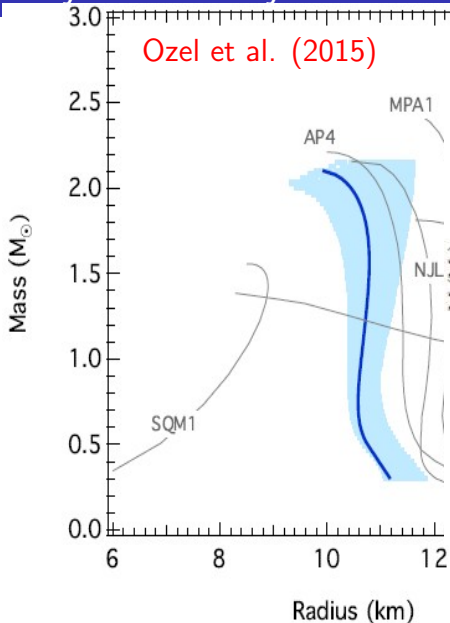
Assumed  $P(M)$  is that measured from pulsar timing  
( $\bar{M} = 1.4M_{\odot}$ ).



# Folding Observations with Piecewise Polytropes



# Bayesian Analyses





# Role of Systematic Uncertainties

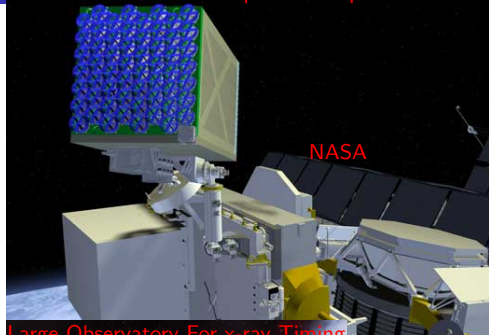
Systematic uncertainties plague radius measurements.

- ▶ Non-uniform temperature distributions
- ▶ Interstellar absorption
- ▶ Atmospheric composition: In quiescent sources, He or C atmospheres can produce about 50% larger radii.
- ▶ Non-spherical geometries: In bursting sources, improper to use spherically-symmetric Eddington flux formula.
- ▶ Disc shadowing: In burst sources, leads to underprediction of  $A = f_c^{-4}(R_\infty/D)^2$ , overprediction of  $\alpha \propto 1/\sqrt{A}$ , and underprediction of  $R_\infty \propto \sqrt{\alpha}$ .

# Additional Proposed Radius and Mass Constraints

Neutron star Interior Composition Explorer

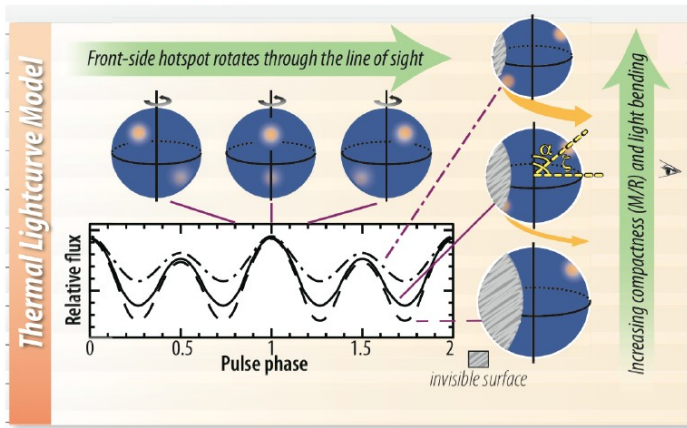
- ▶ **Pulse profiles** Hot or cold regions on rotating neutron stars alter pulse shapes: NICER and LOFT will enable timing and spectroscopy of thermal and non-thermal emissions. Light curve modeling  $\rightarrow M/R$ ; phase-resolved spectroscopy  $\rightarrow R$ .
- ▶ **Moment of inertia** Spin-orbit coupling of ultra-relativistic binary pulsars (e.g., PSR 0737+3039) vary  $i$  and contribute to  $\dot{\omega}$ :  $I \propto MR^2$ .
- ▶ **Supernova neutrinos** Millions of neutrinos detected from a Galactic supernova will measure  $BE = m_B N - M, \langle E_\nu \rangle, \tau_\nu$ .
- ▶ **QPOs from accreting sources** ISCO and crustal oscillations



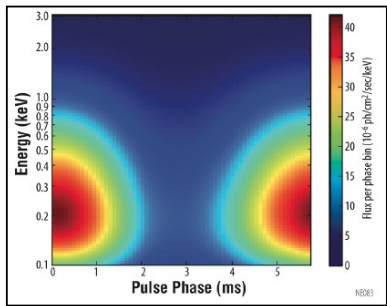
Large Observatory For x-ray Timing



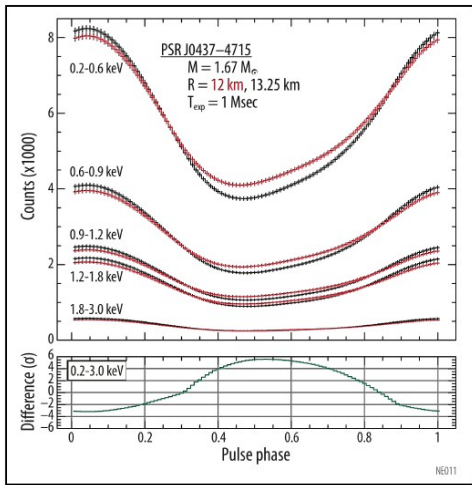
Reveal stellar structure through lightcurve modeling, long-term timing, and pulsation searches



**Lightcurve modeling** constrains the compactness ( $M/R$ ) and viewing geometry of a non-accreting millisecond pulsar through the depth of modulation and harmonic content of emission from rotating hot-spots, thanks to **gravitational light-bending**...



... while phase-resolved spectroscopy promises a direct constraint of radius  $R$ .



# Conclusions

- ▶ Neutron matter calculations and nuclear experiments are consistent with each other and set reasonably tight constraints on symmetry energy behavior near the nuclear saturation density.
- ▶ These constraints, together with assumptions that neutron stars have hadronic crusts and are causal, predict neutron star radii  $R_{1.4}$  in the range  $12.0 \pm 1.0$  km.
- ▶ Astronomical observations of photospheric radius expansion X-ray bursts and quiescent sources in globular clusters suggest  $R_{1.4} \sim 10.5 \pm 1$  km, unless maximum mass and EOS priors are implemented.
- ▶ Should observations require smaller or larger neutron star radii, a strong phase transition in extremely neutron-rich matter just above the nuclear saturation density is suggested. Or should GR be modified?