

Happy BLOOMSDAY June 16

Five lines of text and ten pages of notes. JJ: U, 1



A Pedestrian's Perspective of Applying Bayesian Statistics in Effective Field Theories



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- Error-Bars for Nuclear Physics!
- 2 Compton Scattering $\gamma X \rightarrow \gamma X$ Explores Dynamics
- 3 Some Bayesian Questions & Opportunities
- Concluding Statements and Disclaimer



How to bridge between QCD and Nuclear Physics?

Office of Nuclear Physics

Institute for Nuclear Studies THE GEORGE WASHINGTON UNIVERSITY

BUGEYE COLLABORATION Phys. Rev. C92 (2015) 024005 [1506.01343] etc. Polarisabilities & Bayes in χ EFT for lattice-QCD: hg/JMcG/DRP Europ. Phys. J. A52 (2016) 139

1. Error-Bars for Nuclear Physics!



(a) Physical Models vs. Physical Theories – Sliding Scale

Model: Capture some aspects with lots of data - no "fail" but "tuned".

The Trouble With Nuclear Physics

In fact the trouble in the recent past has been a surfeit of different *models* [of the nucleus], each of them successful in explaining the behavior of nuclei *in some situations*, and each in *apparent contradiction with other successful models* or with our ideas about nuclear forces. Rudolph E. Peierls:

"The Atomic Nucleus", Scientific American 200 (1959), no. 1, p. 75; emphasis added

Theory: Comprehensive, prescriptive, predictive, may fail.

Explain-All-To-Some-Degree mode.

ayes, Bayes+Nuclear INT 45', 16.06.2016

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Cargo Cult mode.

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Weinberg's "Folk Theorem": Throw In the Kitchen Sink

As long as you let it be the most general possible Lagrangian consistent with the symmetries of the theory, you're simply writing down the most general theory you could possibly write down.

Original: Weinberg: Physica 96A (1979) 327 - here 1997 version

Infinitely many terms. \implies Impossible to calculate.

Problems: What interacts, what symmetries?

Explain-All-To-Some-Degree mode.





Cargo Cult mode.

(b) Way Out: What You See Is What You Get

Weinberg: "folk lore theorem"



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Tenet: Short-distance physics does not have to be right for a good calculation, because a low-energy process cannot probe details of the high-energy structure.

→ Effective Field Theories

Identify those degrees of freedom and symmetries which are

appropriate to resolve the relevant Physics at the scale of interest.

Systematic approximation of real world with estimate of theoretical uncertainties.

(c) Chiral Effective Field Theory of Nuclear Physics

At low energies, quarks & gluons rearrange into new, effective low-energy degrees of freedom: Nucleons, Pions, $\Delta(1232)$.

$$\mathcal{L}_{\chi \mathsf{EFT}} = (D_{\mu}\pi^a)(D^{\mu}\pi^a) - m_{\pi}^2 \pi^a \pi^a + \dots$$

$$+N^{\dagger}[\mathrm{i} D_{0}+\frac{\vec{D}^{2}}{2M}+\frac{g_{A}}{2f_{\pi}}\vec{\sigma}\cdot\vec{D}\pi+\ldots]N+C_{0}\left(N^{\dagger}N\right)^{2}+H_{0}\left(N^{\dagger}N\right)^{3}+\ldots$$

Correct long-range + symmetries: Chiral SSB, gauge, iso-spin,... ⇒ Write most general Interaction Lagrangean permitted. Short-range: ignorance into minimal parameter-set at given order. Coefficients from experiment or QCD or...



 \implies Chiral Effective Field Theory χ EFT \equiv low-energy QCD





(d) What Can Possibly Go Wrong??

Expand observables as $\mathcal{O} = c_0 + c_1 Q^1 + c_2 Q^2 + \dots$ with $Q = \frac{\text{typ. momentum } p_{\text{typ.}}}{\text{breakdown scale } \overline{\Lambda}_{\text{EFT}}} < 1.$

Check assumptions:

 $-p_{\text{typ.}} \nearrow \bar{\Lambda}_{\text{EFT}} \Longrightarrow Q \not\ll 1?$

"EFTs carry seed of own destruction." D. R. Phillips

– No separation/jungle of scales? e.g. N^* at $2~{
m GeV}$

- Wrong constituents/degrees of freedom? new d.o.f. e.g. QED at 100 GeV without W,Z phase transition changes d.o.f. $N, \pi \rightarrow$ quarks, gluons

- Nature does not have assumed symmetry?

e.g. impose Parity in weak interactions

Check Quantitatively Predicted Convergence Pattern:

- Convergence?: Coefficients of Natural Size?
- Order by order smaller corrections.
- Order by order less cut-off/RScheme dependence.





Falsifiability: Convergence to Nature tests assumptions. - After theoretical uncertainties determined.

(e) The Onion We Call Nature: The World Is Effective



All Physics Theories applicable only in a *initial energy range* (except in-enective

(f) Nuclear Physics as a Series of Effective Field Theories



2. Compton Scattering $\gamma X \rightarrow \gamma X$ Explores Dynamics

(a) Polarisabilities: Stiffness of Charged Constituents in El.- Mag. Fields

Example: induced electric dipole radiation from harmonically bound charge, damping Γ Lorentz/Drude 1900/1905



 fundamental hadron property => link to emergent lattice-QCD results Alexandru/Lee/...2005-, NPLQCD 2006-, LHPC 2007-, Leinweber/...2013



(b) There Is Money In It...

2015 LRP: Great progress has been made in determining the electric and magnetic polarizabilities. Within the next few years, data are expected from [HlγS] that will allow accurate extraction of proton-neutron differences and spin polarizabilities.... 2015 QCD White Paper: "Synergistic Blend of Theory and Experiment"

Lattice QCD: relate to fundamental interactions

Experiment: Significant investments; data taken/scheduled/approved:

HI γ S (DOE): a central goal; > 3000 hrs committed at 60 - 100 MeVproton doubly & beam pol. (E-06-09/10)deuteron beam pol. (E-18-09, running)³He unpol & doubly pol. (E-07-10, E-08-16)⁴He unpol⁶Li unpol. (E-15-11, first!)

A2 @ MAMI (DFG: 5-year SFB): running, data cooking and planned proton 100 – 400 MeV: beam & target pol. deuteron. ³He. ⁴He unpol., beam & target pol.

MAXIab: data cooking deuteron 100 - 160 MeV: unpol.

Chiral EFT: data consistency, binding effects, analysis, extraction

Goal: Unified framework with reliable error bars for proton, deuteron, ^3He (elastic & inelastic) into $\Delta(1232)$ region.

(c) All 1N Contributions to N⁴LO

Bernard/Kaiser/Meißner 1992-4, Butler/Savage/Springer 1992-3, Hemmert/...1998 McGovern 2001, hg/Hemmert/Hildebrandt/Pasquini 2003 McGovern/Phillips/hg 2013



(d) Fit Discussion: Parameters and Uncertainties



Residual Theoretical Uncertainty

McGovern/Phillips/hg: EPJA49 12 (2013); many before Observable/Series

 $\mathcal{O} = c_0 + c_1 \delta^1 + c_2 \delta^2 + \mathsf{unknown} imes \delta^3$

Convergence pattern of $\alpha_{E1} - \beta_{M1}$ by *most conservative/worst-case* of:

(1) $\delta \approx \frac{2}{5}$	of	$NLO \rightarrow N^2LO;$
(2) $\delta^2 \approx \frac{1}{6}$	of	$LO \rightarrow NLO;$
(3) $\delta^2 \approx \frac{1}{6}$	of	LO→N ² LO. ←

Fit Stability: floating norms within exp. sys. errors; vary dataset, b_1 , vertex dressing,...

(e) (Dis)Agreement Significant Only When All Error Sources Explored (2011) 040001 Editorial PRA 83 (2011) 040001

physical effects not included in the calculation from the beginning, such as electron correlation and relativistic corrections. It is of course never possible to state precisely what the error is without in fact doing a larger calculation and obtaining the higher accuracy. However, the same is true for the uncertainties in experimental data. The aim is to estimate the uncertainty, not to state the exact amount of the error or provide a rigorous bound.

There are many cases where it is indeed not practical to give a meaningful error estimate for a theoretical calculation; for example, in scattering processes involving complex systems. The comparison with experiment itself provides a test of our theoretical understanding. However, there is a broad class of papers where estimates of theoretical uncertainties can and should be made. Papers presenting the results of theoretical calculations are expected to include uncertainty estimates for the calculations whenever practicable, and especially under the following circumstances:

- 1. If the authors claim high accuracy, or improvements on the accuracy of previous work.
- 2. If the primary motivation for the paper is to make comparisons with present or future high precision experimental measurements.
- 3. If the primary motivation is to provide interpolations or extrapolations of known experimental measurements.

These guidelines have been used on a case-by-case basis for the past two years. Authors have adapted well to this, resulting in papers of greater interest and significance for our readers.

 $lpha_{E1}^{p} = 10.65 \pm 0.35_{stat} \pm 0.2_{\Sigma} \pm 0.3_{theory}$

Non-Theory Errors: Numerical \implies better computers.

Statistical/parameter \implies better data.



Scientific Method: Quantitative results with corridor of theoretical uncertainties for falsifiable predictions.

Need procedure which is established, economical, reproducible: room to argue about "error on the error".

"Double-Blind" Theory Errors: Assess with pretense of no/very limited data.

The Editor

(f) Fit Discussion: What Does "Conservative" Error Mean?

hg/JMcG/DRP 1511.01952

Observable/Series $\mathcal{O} = \delta^n \left(c_0 + c_1 \delta^1 + c_2 \delta^2 + \mathsf{unknown} imes \delta^3
ight) \Longrightarrow$

Estimate next term *"most conservatively"* as $|\text{unknown } c_3| \leq \max\{|c_0|; |c_1|; |c_2|\}$.



Bayes makes you specify your premises/assumptions about series.

Priors: leading-omitted term dominates ($\delta \ll 1$); putative distributions of all c_k 's and of largest value \bar{c} in series.

"Least informed/informative": All values c_k equally likely, given upper bound \overline{c} of series.

"Any upper bound": In-uniform prior sets no bias on scale of \bar{c} .



likely not Bayes

Quantifying One's Beliefs

hg/JMcG/DRP1511.01952 applying BUQEYE 1506.01343

1

Information: Convergence LO \rightarrow NLO \rightarrow N²LO gives probable "largest number" $R = \delta^k \max\{|c_0| \dots |c_{k-1}|\}$.

Result: Posterior \equiv Degree of Belief (DoB) that next term $c_k \delta^k$ differs from order-k central value by Δ .

$$\operatorname{pr}(\Delta|\operatorname{max}. R, \operatorname{order} k) \propto \int_{0}^{\infty} d\bar{c} \operatorname{pr}(\bar{c}) \operatorname{pr}(c_{k} = \frac{\Delta}{\delta^{k}}|\bar{c}) \prod_{n}^{k-1} \operatorname{pr}(c_{n}|\bar{c}) \to \frac{k}{k+1} \frac{1}{2R} \begin{cases} 1 & |\Delta| \leq R \\ \left(\frac{R}{|\Delta|}\right)^{k+1} & |\Delta| > R \end{cases}$$



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order	DOB in $\pm R$	σ	$\Delta(95\%)$
LO	50%	1.6 <i>R</i>	$11R = 7\sigma$
NLO	66.7%	1.0 <i>R</i>	$2.7R = 2.6\sigma$
Gauß	68.27%	1.0 <i>R</i>	2.0 o

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LO	50%	1.6 <i>R</i>	$11R = 7\sigma$
NLO	66.7%	1.0 <i>R</i>	$2.7R = 2.6\sigma$
N ² LO	75%	0.9 <i>R</i>	$1.8R = 1.9\sigma$
k	$\frac{k}{k+1}\%$		
Gauß	68.27%	1.0 <i>R</i>	2.0 o

For "high enough" order, largest number R limits $\gtrsim 68\%$ degree-of-belief interval.

Varying priors: When $k \ge 2$ orders known, DoBs with different assumptions about \bar{c} , c_n vary by $\lesssim \pm 20\%$.

Posterior pdf not Gauß'ian: Plateau & power-law tail. - Do not add in quadrature in convolution! \Rightarrow Interpretation of all theory uncertainties, with these priors; " $A \pm \sigma$ ": 68% DoB interval $[A - \sigma; A + \sigma]$.

(g) Extending Chiral Corridors of Uncertainties





At physical $m_{\pi} = 140$ MeV: paramagnetic $\Delta(1232)$ fine-tuned against diamagnetic NLO π N loops. Only physical point has no substantial isospin splitting.

(h) Electric Polarisabilities: This Is Not A Fit

Criteria: $m_{\pi} \ll \Lambda_{\gamma} \approx 800$ MeV, extrapolated to infinite volume, fully dynamical (except for charging sea).

Lattice computations use χ EFT for infinite-volume and partial-quenching: Detmold/Tiburzi/Walker-Loud 2006.



Eventually, use χ EFT's functional form & uncertainties to extrapolate lattice to physical point.

3. Some Bayesian Questions & Opportunities

(a) Prior Choice: What is "Natural Size"? (SCOTUS: I Know It When I see It.)

Observable/Series $\mathcal{O} = c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^3$ with *"naturally-sized coefficients"* c_i .



More informed choices: more complicated structures, more thought, more parameters: \bar{c} , typ. size, spread,...

BUGEYE (Wesolowski/Klco/...): When $k \ge 2$ orders known, DoBs with different assumptions about \bar{c} , c_n vary by $\le \pm 20\%$ for some "reasonable priors".

(b) More Bayes Comments and Questions

Observable/Series $\mathcal{O} = c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^3$ with *"naturally-sized coefficients"* c_i .

- This is Tiny Data: Usually only few orders known; symmetries may force some to exact zero: not counted in k.
- Achilles Heel: δ as input, but e.g. thermal triton capture $\sigma(nd \rightarrow t\gamma, \text{EFT}(\pi)) = [0.485 + 0.011 + 0.007] \text{mb}$.

Is split of terms in series into $c_i \times \delta^i$ artificial, or just convenient?



Fit/Extrapolation: How to reflect that $\delta(k)$ changes?

Can one extrapolate "by persistence" to where EFT does not converge (well)?

How to test Theory against Data to identify correct symmetries, degrees of freedom,...?

The Three Big Lies of Nuclear Physics

Nuclear Power is Safe.

They have Weapons of Mass Destruction.

My Power-Counting is Systematic.

(c) Chiral Effective Field Theory of Nuclear Physics

Correct long-range + symmetries: Chiral SSB, gauge, iso-spin,... \implies Write most general Interaction Lagrangean permitted. Short-range: ignorance into minimal parameter-set at given order. Coefficients from experiment or QCD or...

"The Power Counting": $\frac{\text{typ. momentum } p_{\text{typ}}}{\text{breakdown scale } \overline{\Lambda}_{\text{EFT}}} \ll 1$ Systematic ordering in Q =Controlled approximation: model-independent, error-estimate. Space for improvement.

 \implies Chiral Effective Field Theory $\chi EFT \equiv$ low-energy QCD

Shallow real/virtual QCD bound states \implies Few-N non-perturbative!





 $V_{\rm NN}$



22-1

(e) NN χ EFT Power Counting Comparison

prepared for Orsay Workshop by Grießhammer 7.3.2013 based on and approved by the authors in private communications

Derived with explicit & implicit assumptions; contentious issue. Proposed order Q^n at which counter-term enters *differs*. \implies Predict *different* accuracy, # of parameters.

wave	order	Yang/Long PRC86(2012) 024001 etc.	Pavon Valderrama PRC74 (2006) 054001 etc.	Birse PR C74 (2006) 014003
$^{1}S_{0}$	LO	-1		
	NLO	0		
	N ² LO	1 2		2
3 S ₁	LO	-1		
	NLO	1	2	$\frac{1}{2}$
3 SD ₁	LO	1	$-\frac{1}{2}$	-1
	NLO		2	$\frac{1}{2}$
$^{3}D_{1}$	LO		$-\frac{1}{2}$	-1
	NLO		2	$\frac{1}{2}$
$^{3}P_{0}$ (attr. triplet)	LO	-1		$-\frac{1}{2}$
TPE	LO	1 2		
# of param. at Q^{-1}		2	3	4
# of param. at	Q^0	4	6	6
# of param. at Q^1 8		6	9	

Weinberg: LO: 2; NLO: +0; N²LO: +7 = 9 - different channels; consistency questioned Beane/...2002; Nogga/...2005

With same χ^2 , proposal with least parameters *wins*: minimum information bias.



M. Robilotta: Impression of the Workshop on Nuclear Forces at the ECT*, Trento 1999

Observable $\mathcal{O}(k)$ at momentum *k*, order Q^n in EFT, cut-off Λ :

$$\mathcal{O}_{n}(k;\boldsymbol{\mu}) = \underbrace{\sum_{i}^{n} \left(\frac{k, p_{\text{typ.}}}{\overline{\Lambda}_{\text{EFT}}}\right)^{i} \mathcal{O}_{i}(k, p_{\text{typ.}})}_{\text{renormalised, } \boldsymbol{\Lambda}\text{-indep.}} + \underbrace{\mathcal{C}(\boldsymbol{\Lambda}; k, p_{\text{typ.}}, \overline{\Lambda}_{\text{EFT}}) \left(\frac{k, p_{\text{typ.}}}{\overline{\Lambda}_{\text{EFT}}}\right)^{n+1}}_{\text{residual } \boldsymbol{\Lambda}\text{-dependence}}_{\substack{\text{parametrically small}\\\mathcal{C} \text{ "of natural size"}}}$$

$$\implies \text{Difference between any two cut-offs: } \frac{\mathcal{O}_n(k;\Lambda_1) - \mathcal{O}_n(k;\Lambda_2)}{\mathcal{O}_n(k;\Lambda_1)} = \left(\frac{k, p_{\text{typ.}}}{\overline{\Lambda}_{\text{EFT}}}\right)^{n+1} \times \frac{\mathcal{C}(\Lambda_1) - \mathcal{C}(\Lambda_2)}{\mathcal{C}(\Lambda_1)}$$





 \implies Fit to $k \in [70; 100...130]$ MeV

Slope Confirms Power Counting; Estimates $\Lambda_{\pi} \approx 140$ MeV; Determines Mom.-Dep. Uncertainties.

(h) Case of Interest: NN in χ EFT: Fitting Parameters Obscures Slopes



Plot stolen from Epelbaum/Krebs/Meißner EPJA51 (2015) 5, 53.

Inconclusive: Breakdown scale $400 - 500 \text{ MeV} \iff \Delta(1232)$? NLO, N²LO parallel? Slopes?

Coupled channels; attractive tensor? Fit- & slope-regions not clearly separated.

4. Concluding Statements and Disclaimer



The efficient person gets the job done right. The effective person gets the right job done.

