

# From EFTs to Nuclei

Thomas Papenbrock

THE UNIVERSITY of TENNESSEE  KNOXVILLE

and

OAK RIDGE NATIONAL LABORATORY

INT Program

Nuclear Physics from Lattice QCD

Seattle, May 16 2016

Research partly funded by the US Department of Energy



SciDAC

Scientific Discovery through Advanced Computing

**NUCLEI**

Nuclear Computational Low-Energy Initiative

# Collaborators

@ ORNL / UTK: **S. Binder**, **E. A. Coello Pérez**, G. Hagen, G. R. Jansen, **D. Odell**, L. Platter

@ Chalmers: **B. Carlsson**, A. Ekström, C. Forssén, **D. Sääf**

@ Hebrew U: N. Barnea

@ Michigan State U: M. Hjorth-Jensen, W. Nazarewicz

@ MPI-K Heidelberg: H. A. Weidenmüller

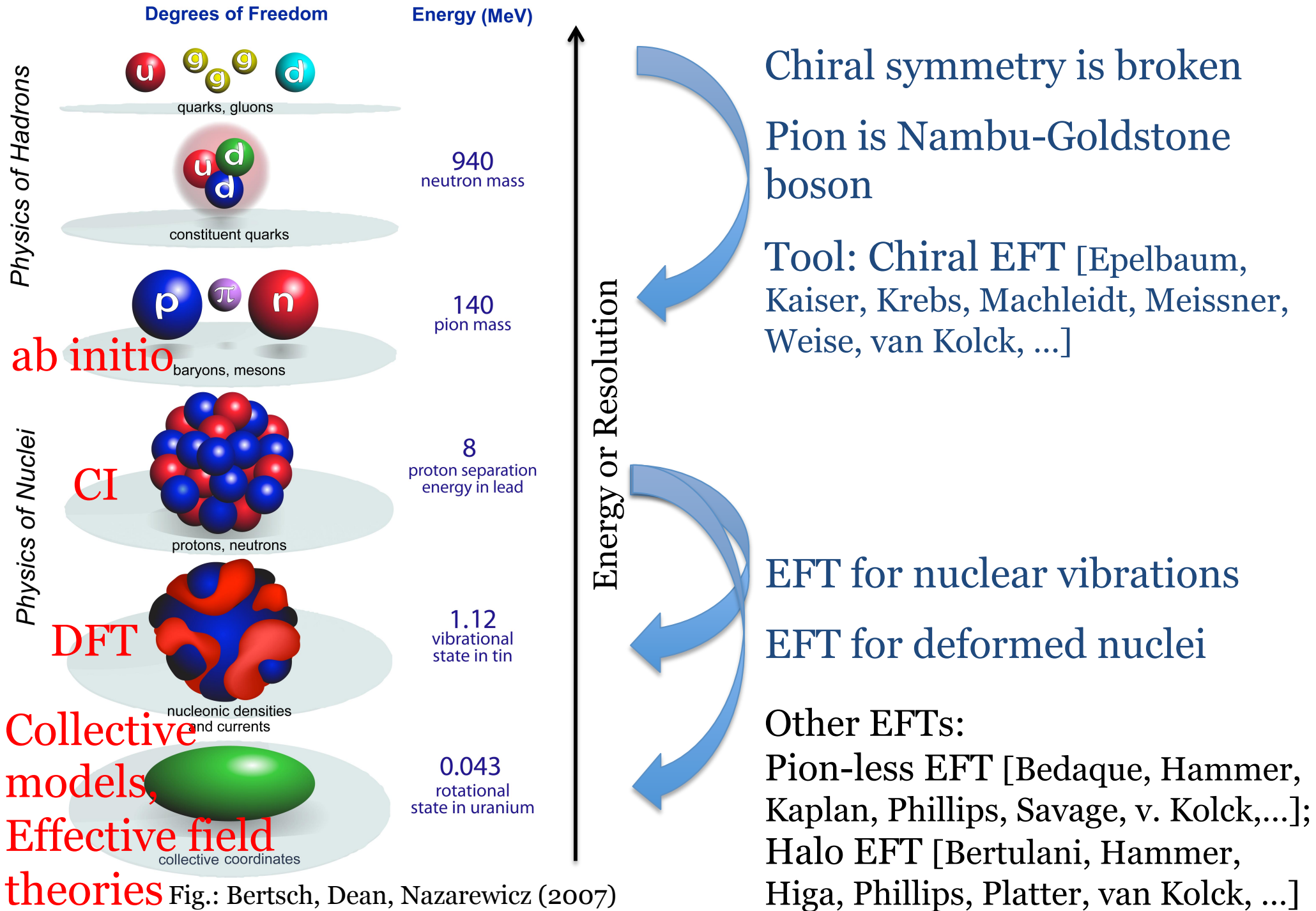
@ Ohio State University: R. J. Furnstahl, **S. König**, **S. More**

@ Trento: G. Orlandini

@ TRIUMF: S. Bacca, J. D. Holt, **M. Miorelli**, P. Navrátil, **T. Xu**

@ TU Darmstadt: **C. Drischler**, K. Hebeler, A. Schwenk, **J. Simonis**, **K. Wendt**

# Energy scales and relevant degrees of freedom



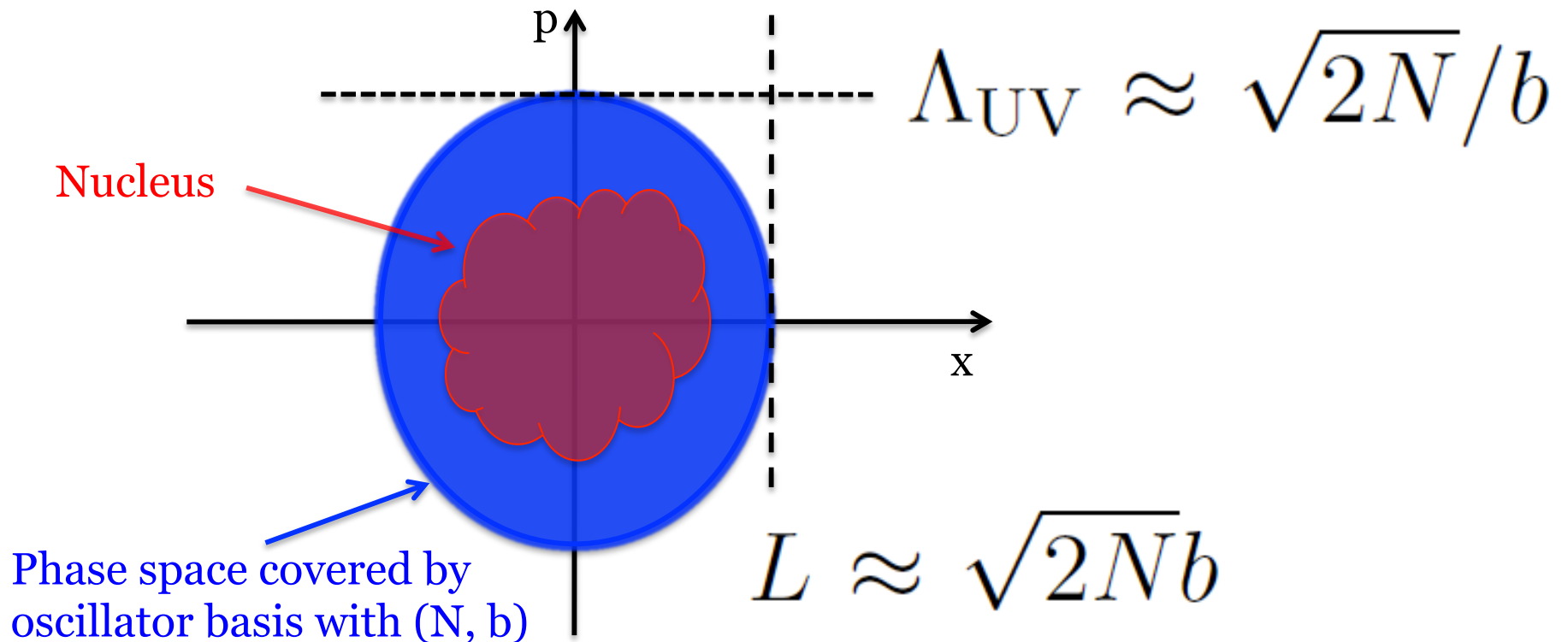
# A key question of this INT program

In each of the three subdisciplines [Lattice QCD, nuclear EFTs, *ab initio* methods] ultraviolet and infrared cutoffs are imposed to limit the model spaces where explicit calculations are performed. Can the errors due to these truncations be estimated, and reliable extrapolation methods be developed?

# Convergence in finite oscillator spaces

What is the equivalent of Lüscher's formula for the harmonic oscillator basis?  
[Lüscher, *Comm. Math. Phys.* 104, 177 (1986)]

Convergence in momentum space (UV) and in position space (IR) needed  
[Stetcu *et al.*, *PLB* (2007); Hagen *et al.*, *PRC* (2010); Jurgenson *et al.*, *PRC* (2011); Coon *et al.*, *PRC* (2012); König *et al.*, *PRC* (2014)]

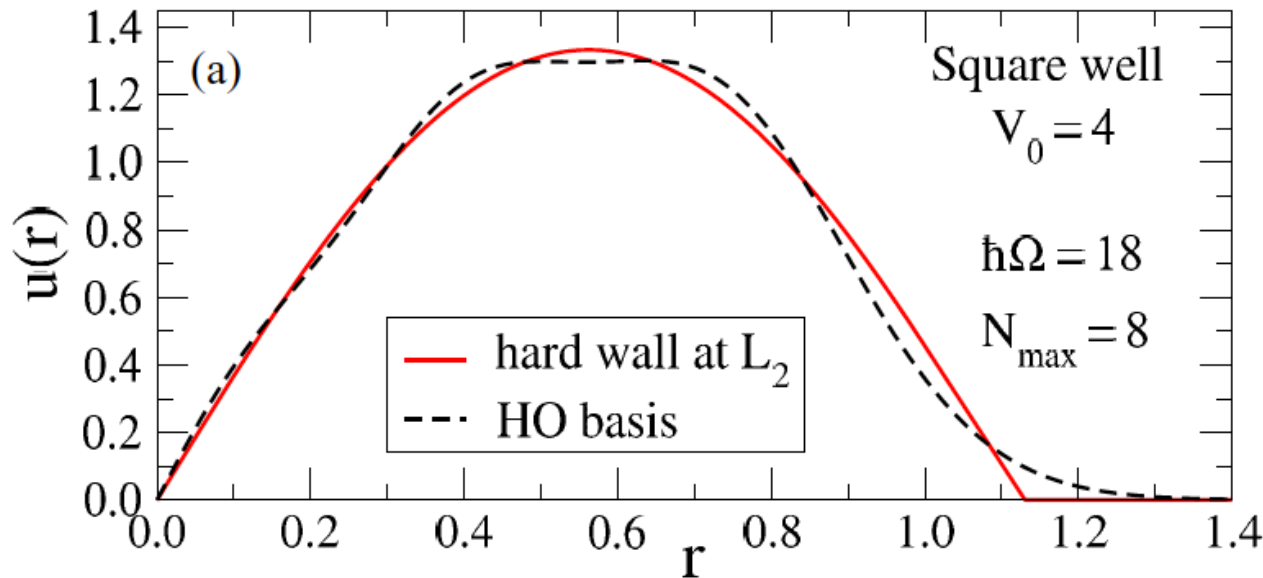


Phase space covered by oscillator basis with  $(N, b)$

Nucleus needs to “fit” into basis:

- Nuclear radius  $R < L$
- cutoff of interaction  $\Lambda < \Lambda_{UV}$

# For long wave lengths, a finite HO basis resembles a spherical box



Ground-state wave functions in position space

[Coon *et al.* (2012); Furnstahl, Hagen TP (2012); More, Ekström, Furnstahl, Hagen, TP (2013); Furnstahl, More, TP (2014)]

$$u_E(r) \xrightarrow{r \gg R} A_E (e^{-k_E r} + \alpha_E e^{+k_E r})$$

$$E_L = E_\infty + a_0 e^{-2k_\infty L} \quad \langle r^2 \rangle_L \approx \langle r^2 \rangle_\infty [1 - (c_0 \beta^3 + c_1 \beta) e^{-\beta}]$$

$$\beta \equiv 2k_\infty L$$

Notes:

- Leading asymptotic formulas for  $k_\infty L \gg 1$
- Algebraic corrections for partial waves with nonzero angular momentum
- Choose regime  $(N, \hbar\omega)$  with negligible UV corrections
- Length scales  $L$  depends on nature of Hilbert space

# What (precisely) is the IR length L?

**Key idea:** compute eigenvalues of kinetic energy and compare with *corresponding* (hyper)spherical cavity to find L.

What is the corresponding cavity?

Single particle	A particles (product space)	A particles in No-core shell model
Diagonalize $T_{\text{kin}}=p^2$	Diagonalize A-body $T_{\text{kin}}$	Diagonalize A-body $T_{\text{kin}}$
3D spherical cavity	A fermions in 3D cavity	3(A-1) hyper-radial cavity

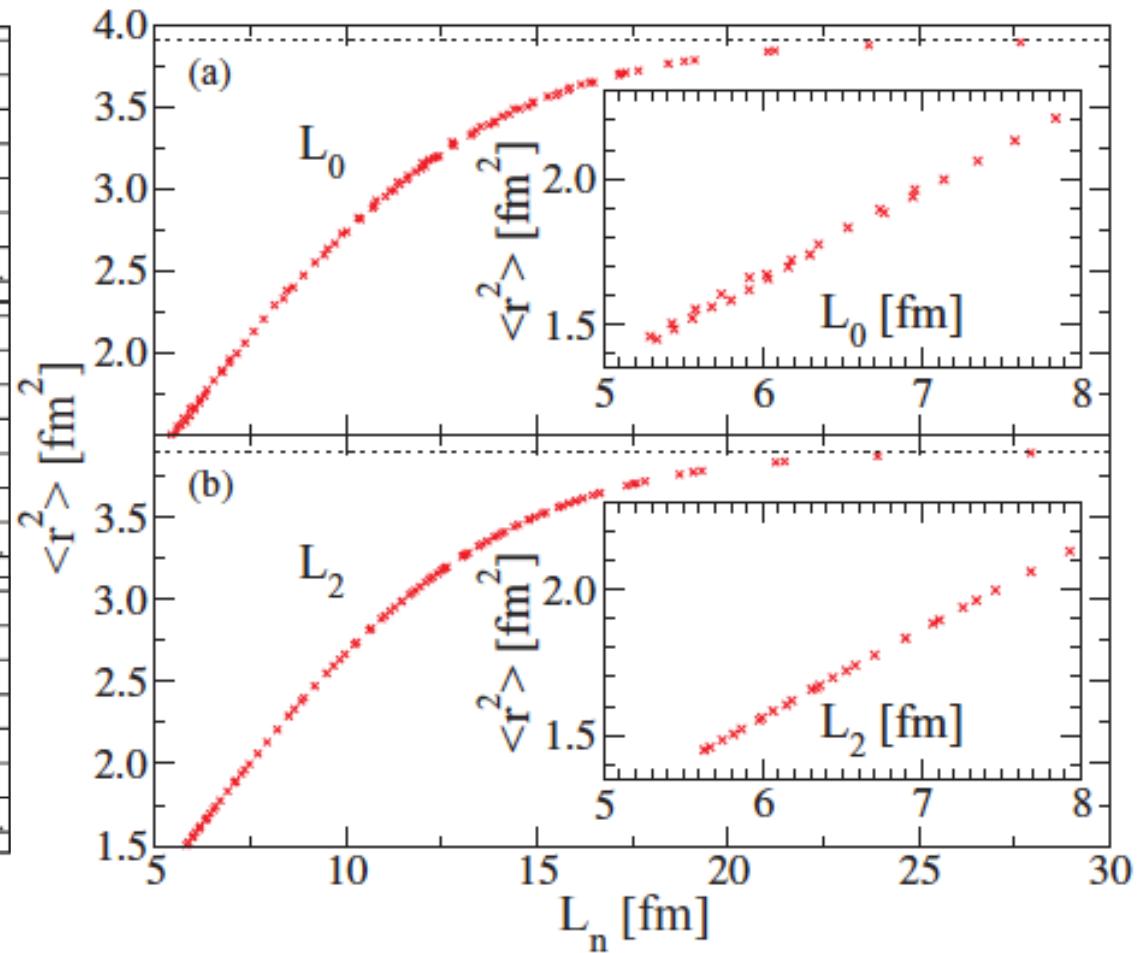
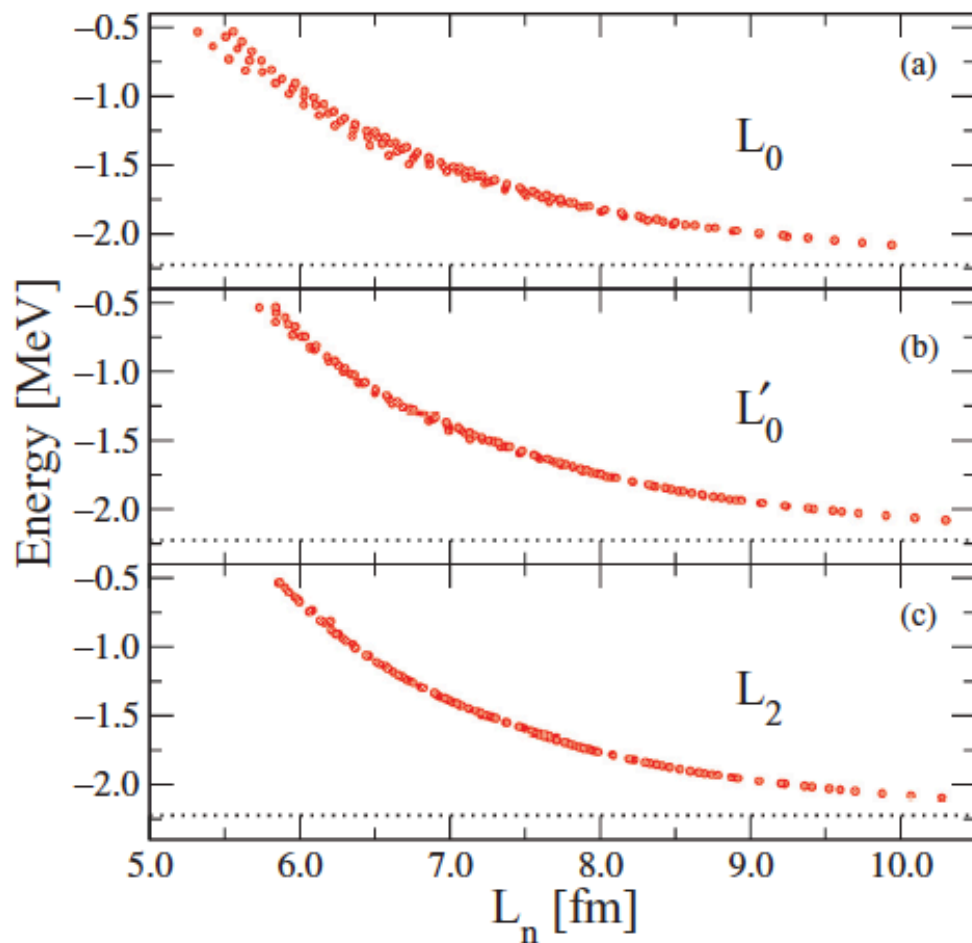
$$L_2 = \sqrt{2(N + 3/2 + 2)}b \quad L_{\text{eff}} = \left( \frac{\sum_{nl} \nu_{nl} a_{l,n}^2}{\sum_{nl} \nu_{nl} \kappa_{l,n}^2} \right)^{1/2} \quad L_{\text{eff}} = b \frac{X_{1,\mathcal{L}}}{\sqrt{T_{1,\mathcal{L}}(N_{\text{max}}^{\text{tot}})}}$$

More, Ekström,  
Furnstahl, Hagen, TP,  
PRC 87, 044326 (2013)

Furnstahl, Hagen, TP,  
Wendt, J. Phys. G 42,  
034032 (2015)

Wendt, Forssén, TP, Sääf,  
PRC 91, 061301(R) (2015)

# IR length for deuteron



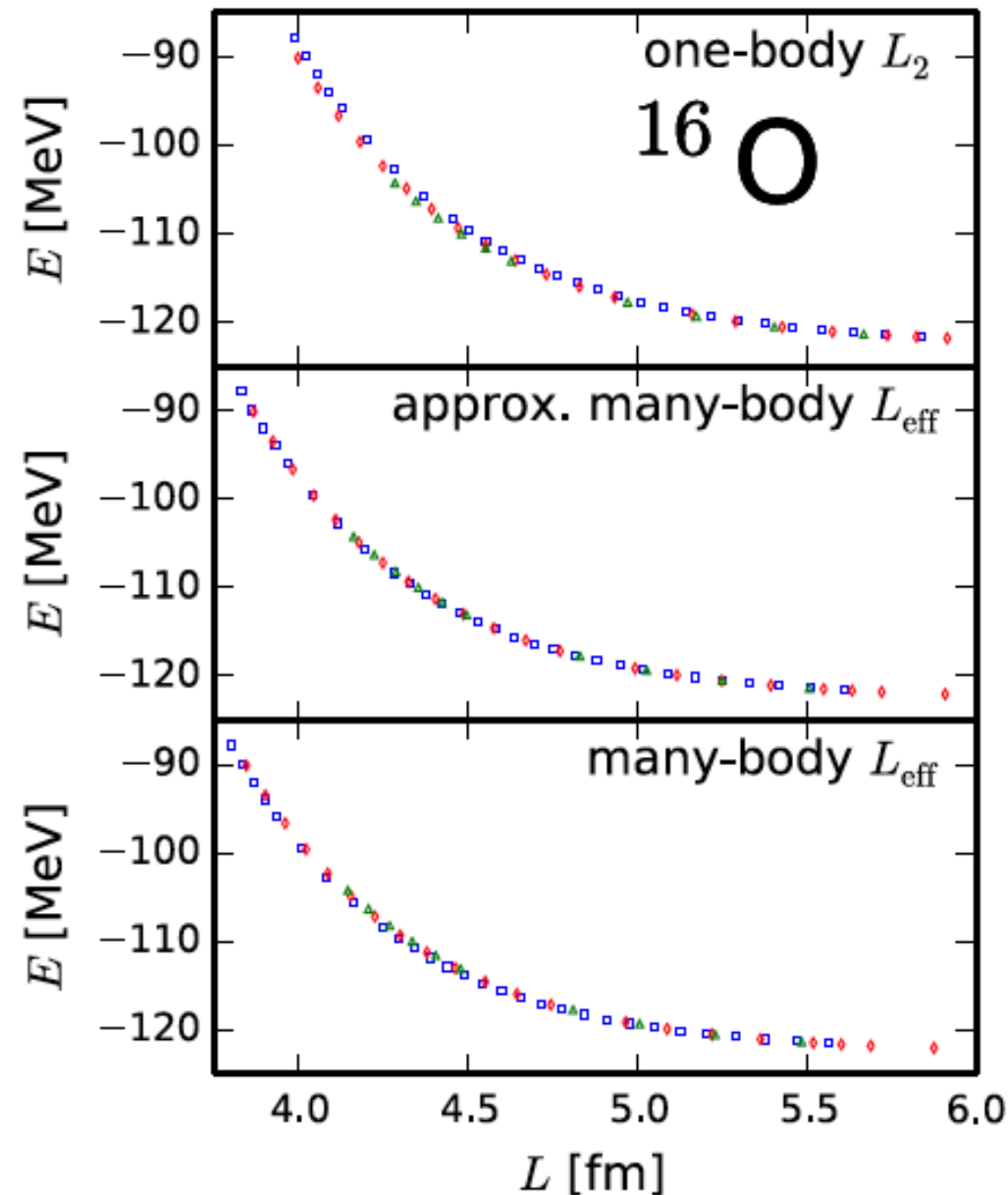
Diagonalize  $p^2$  in harmonic oscillator and equate to kinetic energy of single particle in a cavity of radius  $L$ .



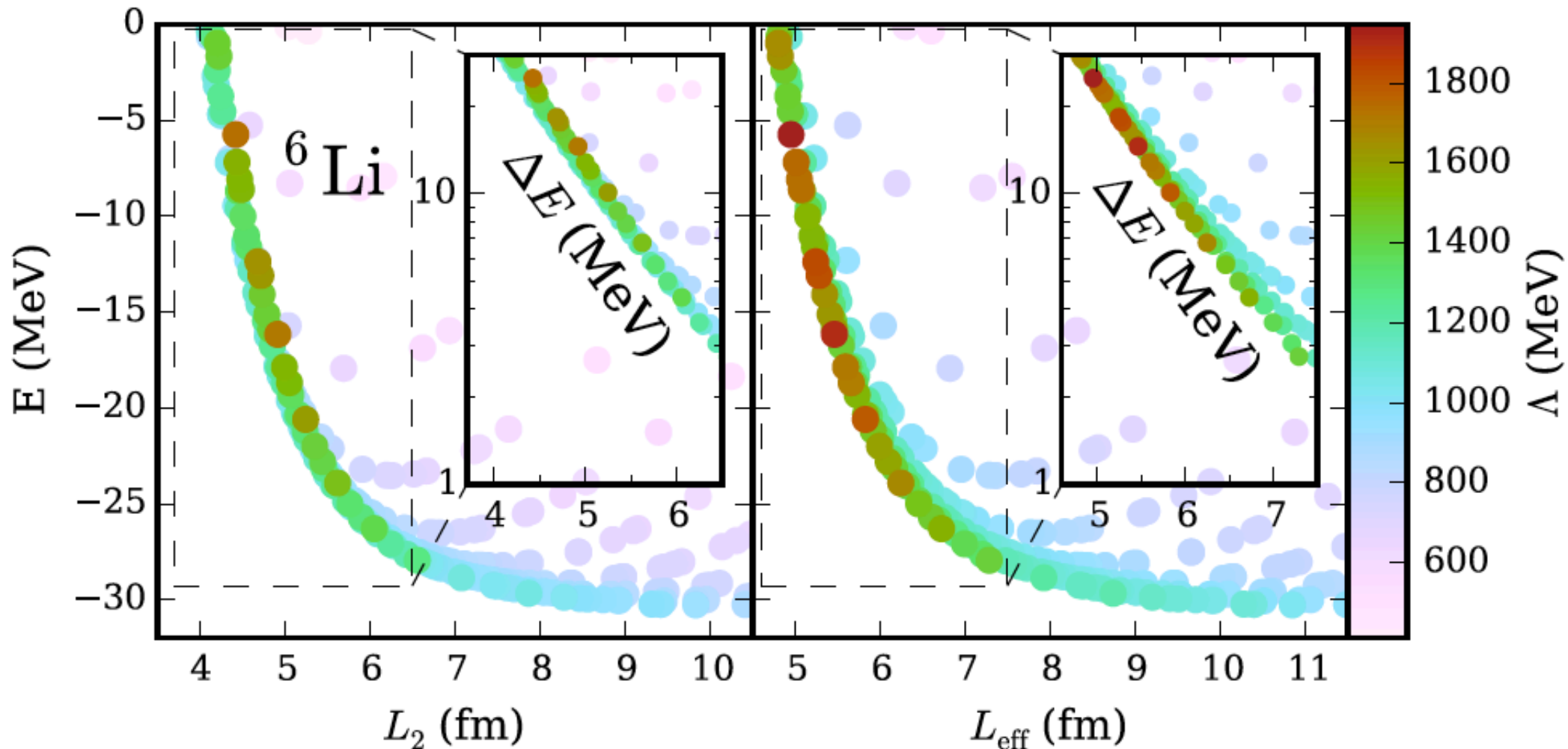
# IR length in many-body product space

Diagonalize kinetic energy of  $A$  fermions in HO basis and equate to kinetic energy of  $A$  fermions in spherical cavity of radius  $L_{\text{eff}}$ .

$$L_{\text{eff}} = \left( \frac{\sum_{nl} \nu_{nl} a_{l,n}^2}{\sum_{nl} \nu_{nl} \kappa_{l,n}^2} \right)^{1/2}$$



# IR length in NCSM spaces



Diagonalize kinetic energy in  $3(A-1)$  dimensional harmonic oscillator; seek lowest antisymmetric state and equate to hyperspherical cavity with radius  $L_{\text{eff}}$ .

# Extrapolations in finite Hilbert spaces

Quadrupole moment

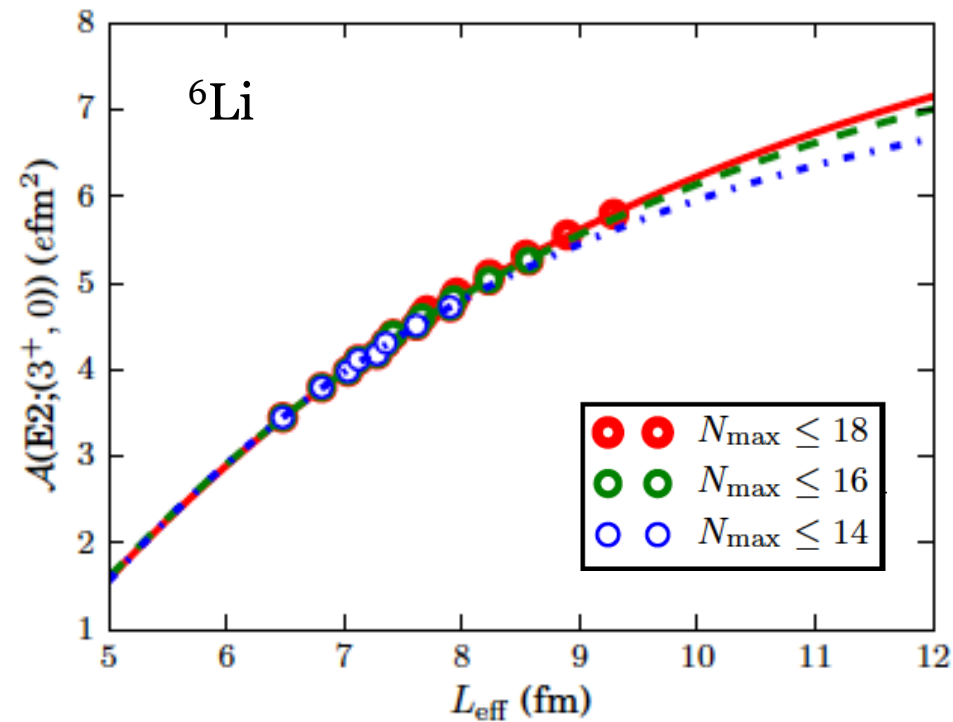
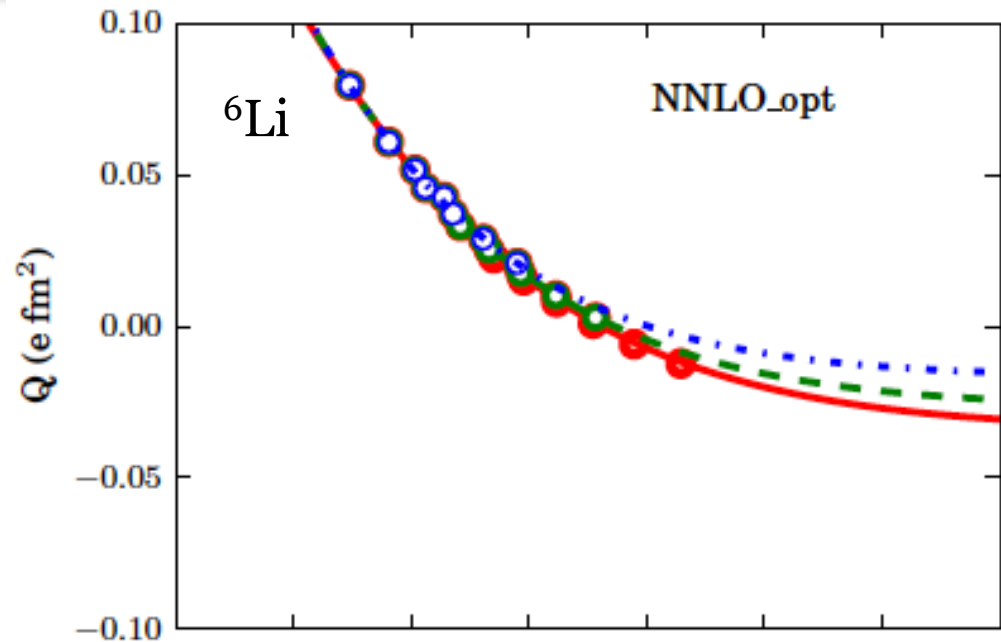
$$Q_L = Q_\infty - a(k_\infty L)^3 e^{-2k_\infty L}$$

E2 transition amplitude

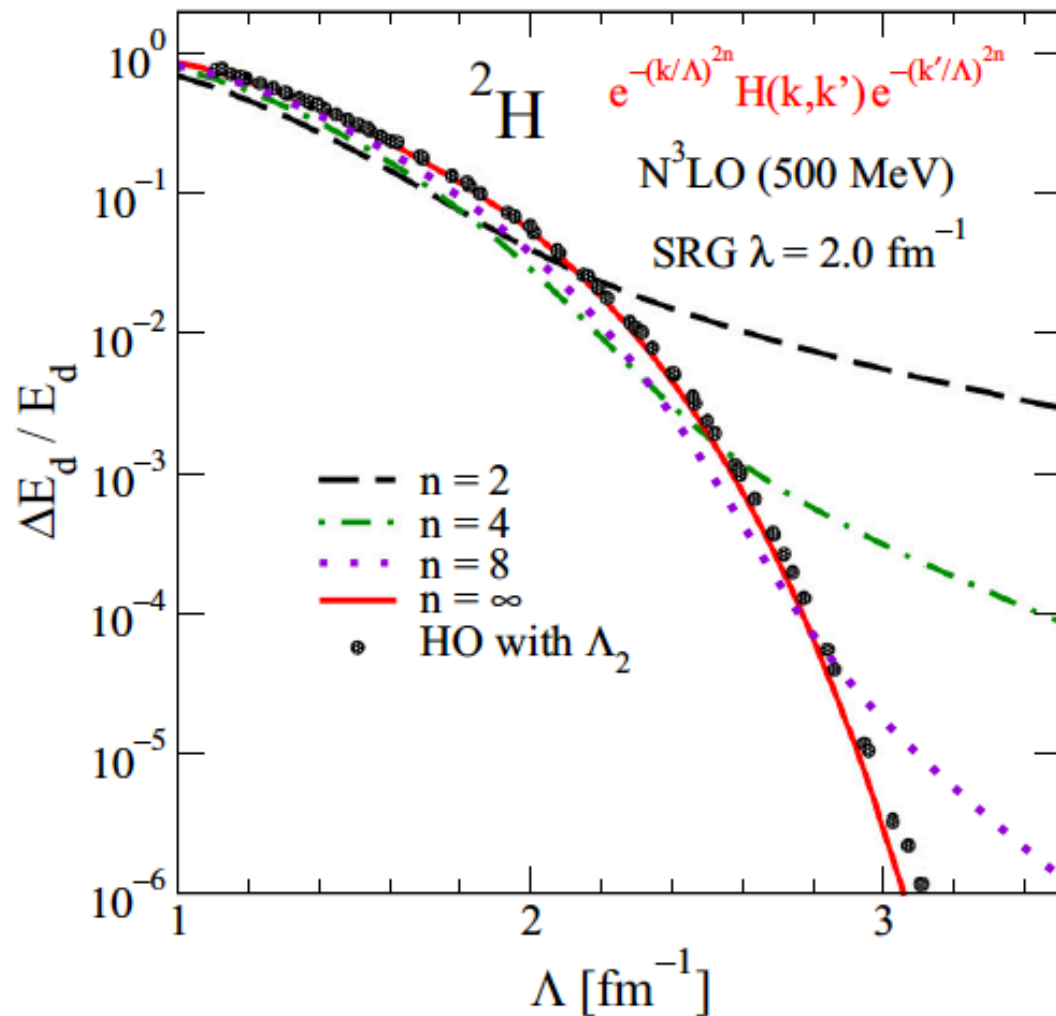
$$\mathcal{A}_L = \mathcal{A}_\infty + a_0 e^{-2k_< L}$$

Derivation: Odell, TP, Platter, PRC (2016).

Application: Ik Jae Shin *et al.*, 1605.02819.



# UV extrapolation depends on the interaction (cutoff)



UV cutoff imposed by HO basis resembles a sharp cutoff; cutoff dual to IR length

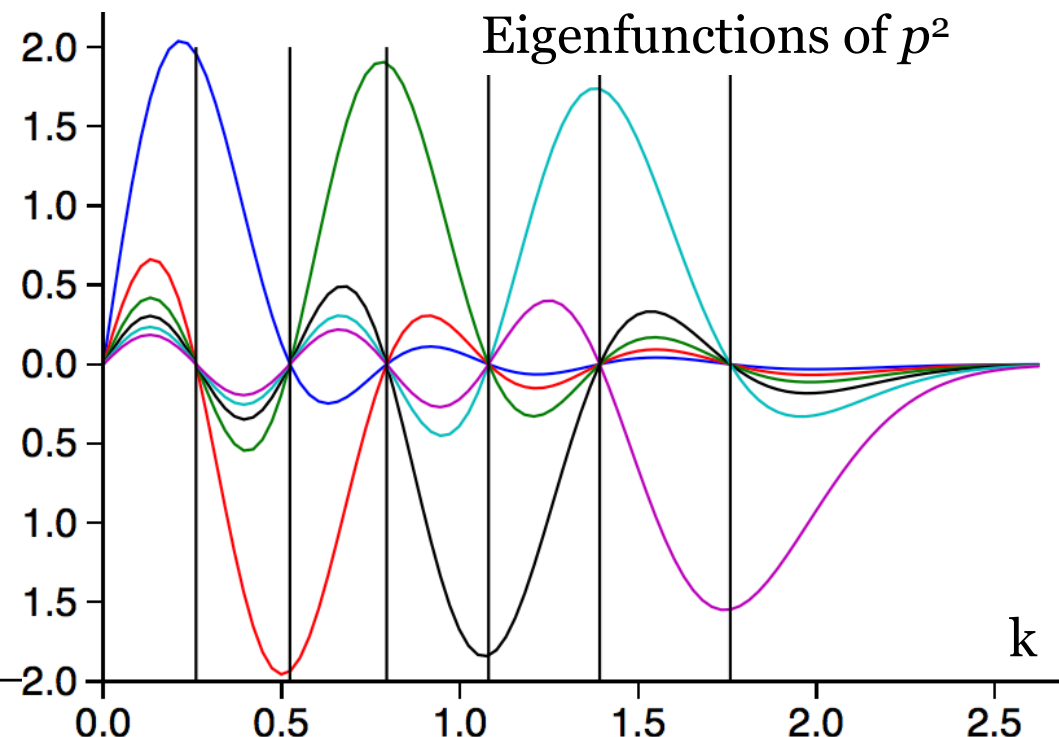
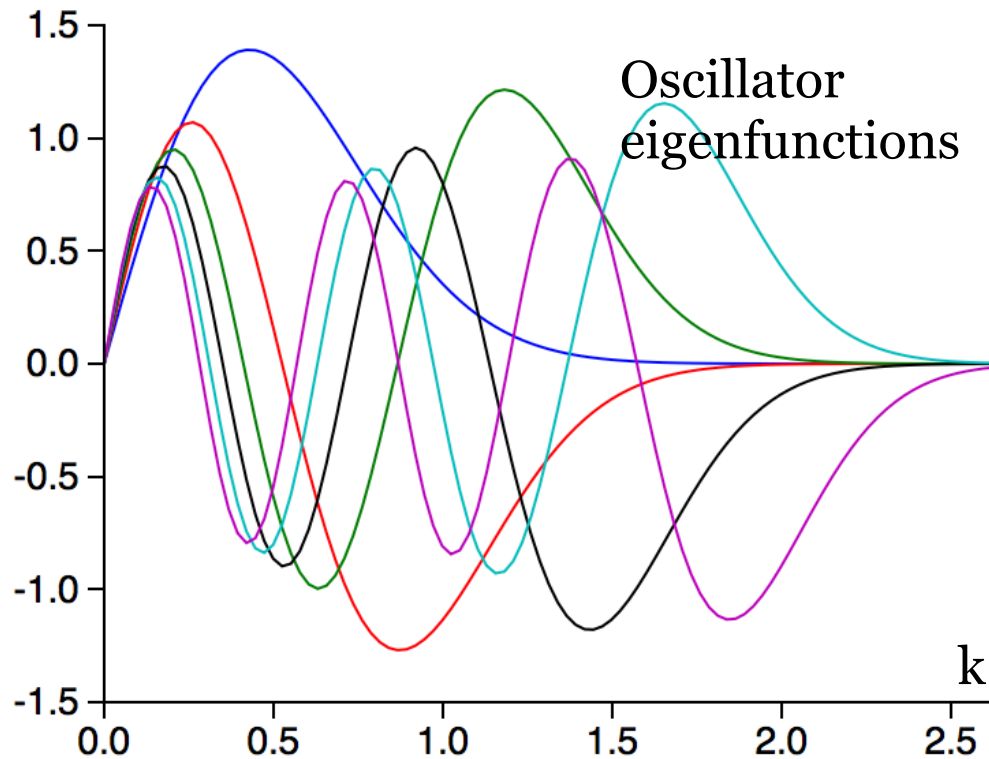
Analytical extrapolation formulas for separable potentials (or separable approximations of other potentials

→ optimize interaction directly in HO basis:  
EFT in HO basis is DVR

# EFT in harmonic oscillator basis is a DVR

Motivation: optimize and generate interactions in basis of computation

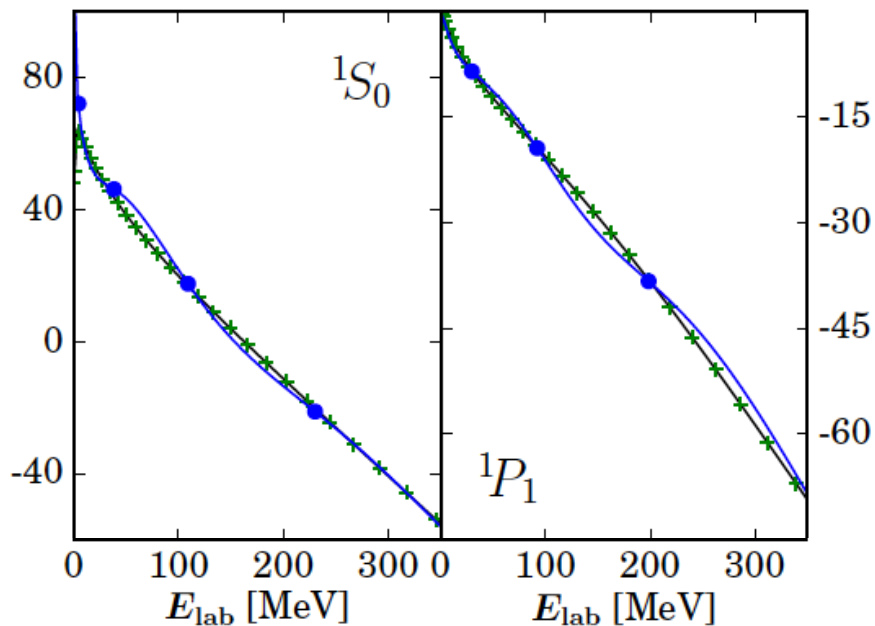
- Formulate EFT directly in the oscillator basis [Haxton & Song (2000); Stetcu, Barrett & van Kolck (2007); Tölle, Hammer & Metsch (2011)]
- A finite harmonic oscillator basis exhibits IR and UV cutoffs [Stetcu, Barrett & van Kolck (2007); Coon *et al.* (2012); Furnstahl, Hagen & TP (2012)]
- Discrete momentum eigenstates from diagonalization of  $p^2$  for DVR in oscillator basis [Binder *et al.*, PRC 93, 044332 (2016)]



# Chiral interaction at NLO in the oscillator basis

- Construct and optimize interaction in oscillator basis ( $\rightarrow$  JISP16)
- UV convergence by construction
- NLO interaction constructed with  $E_{\max} = 10\hbar\omega$  at  $\hbar\omega = 22$  MeV
- Rapid convergence of ground-state energies even for heavy nuclei

Phase shifts compared to  $NLO_{\text{sim}}$



Convergence of ground-state energies

$N_{\max}$	${}^4\text{He}$	${}^{16}\text{O}$	${}^{40}\text{Ca}$	${}^{90}\text{Zr}$	${}^{132}\text{Sn}$
	$E_{\text{CCSD}}$ [MeV]				
10	-31.57	-142.89	-402.0	-918.4	-1230.0
12	-31.57	-142.92	-402.4	-923.1	-1249.3
14	-31.57	-142.93	-402.5	-924.6	-1255.6
16	-31.57	-142.93	-402.5	-925.1	-1258.3
$\infty$	-31.57	-142.93	-402.5	-925.4	-1260.1
exp	-28.30	-127.62	-342.1	-783.9	-1102.9

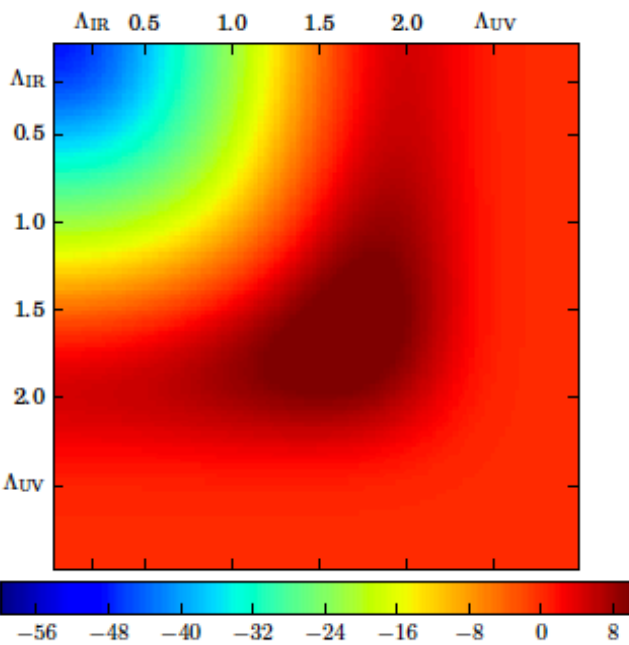
# Matrix elements in a finite oscillator basis

Momentum-space matrix elements

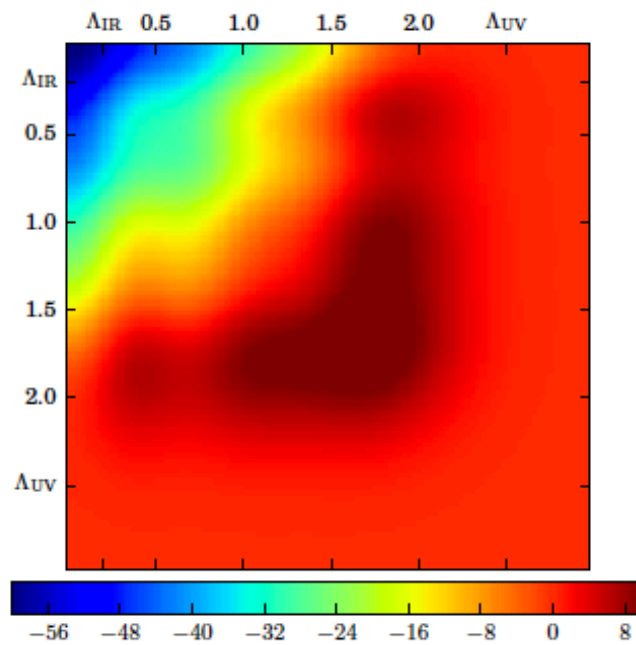
$^1S_0$  channel of  $\text{NNLO}_{\text{sim}}$  with  $\Lambda_\chi = 400$  MeV

$E_{\text{max}} = 10\hbar\omega$  in harmonic oscillator (6 s states)

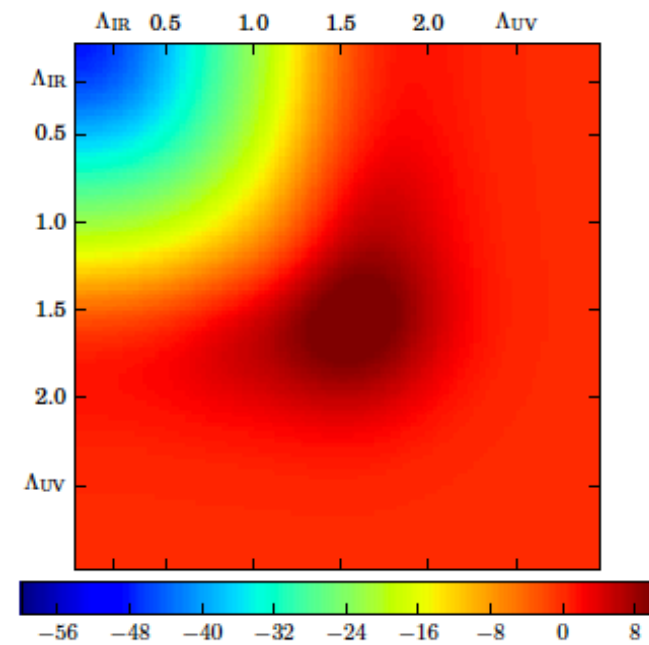
$V(k',k)$



DVR:  $V_{\text{HO}}(k',k)$



IR improved  $V_{\text{HO}}(k',k)$

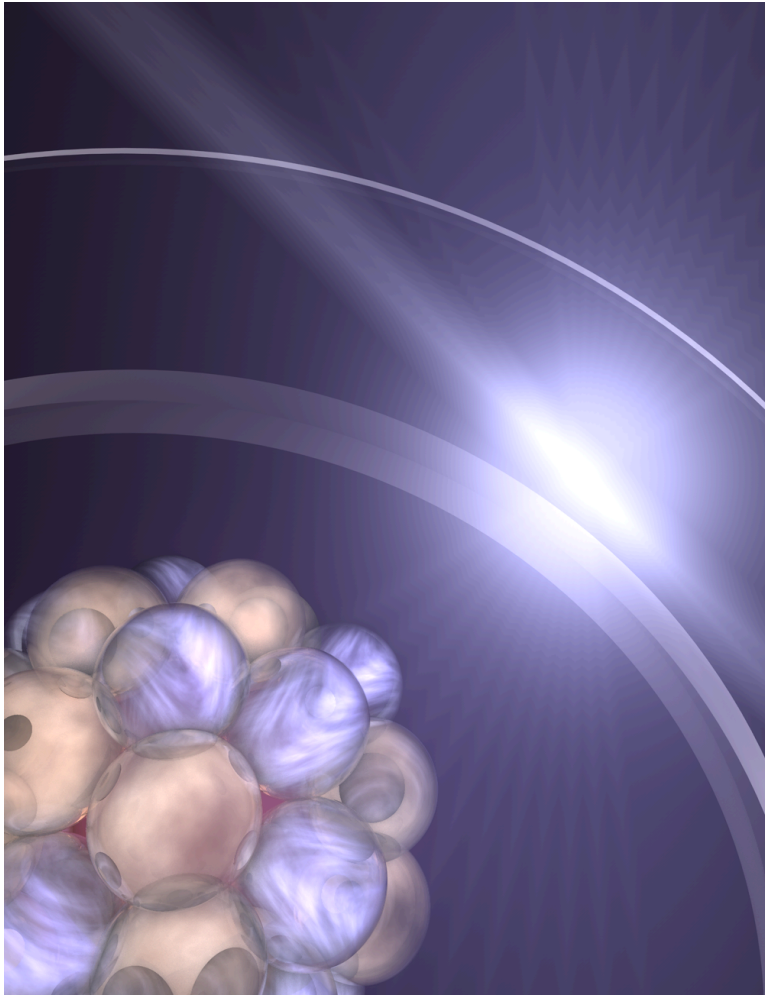


IR improvement in oscillator EFT:

Modify matrix elements at high discrete momenta to improve low-momentum physics



# What is the neutron skin in $^{48}\text{Ca}$ ?



**Neutron skin** = Difference between radii of neutron and proton distributions

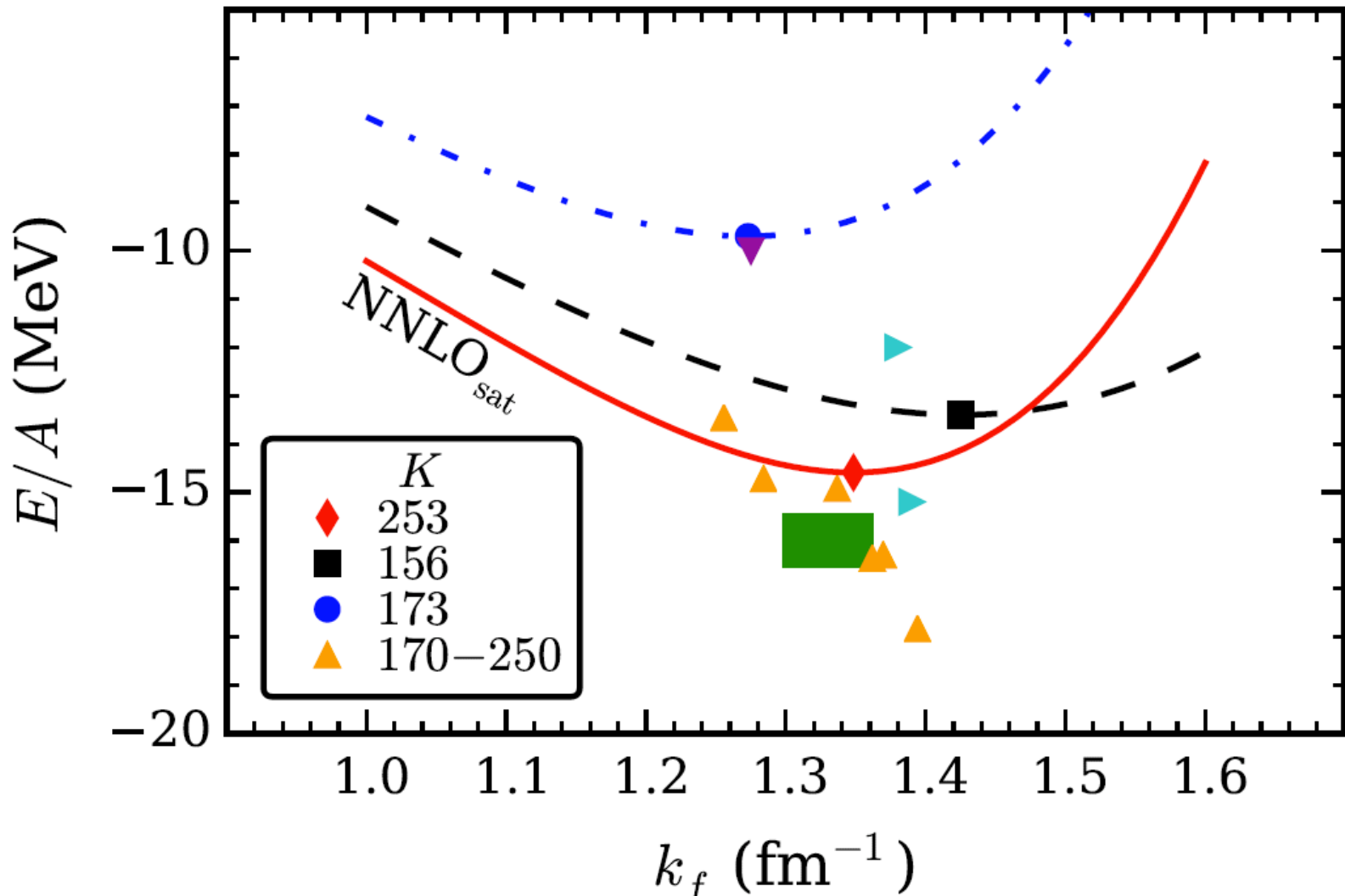
Relates atomic nuclei to neutron stars via neutron EOS

Correlated quantity: dipole polarizability

Model-independent measurement possible via parity-violating electron scattering



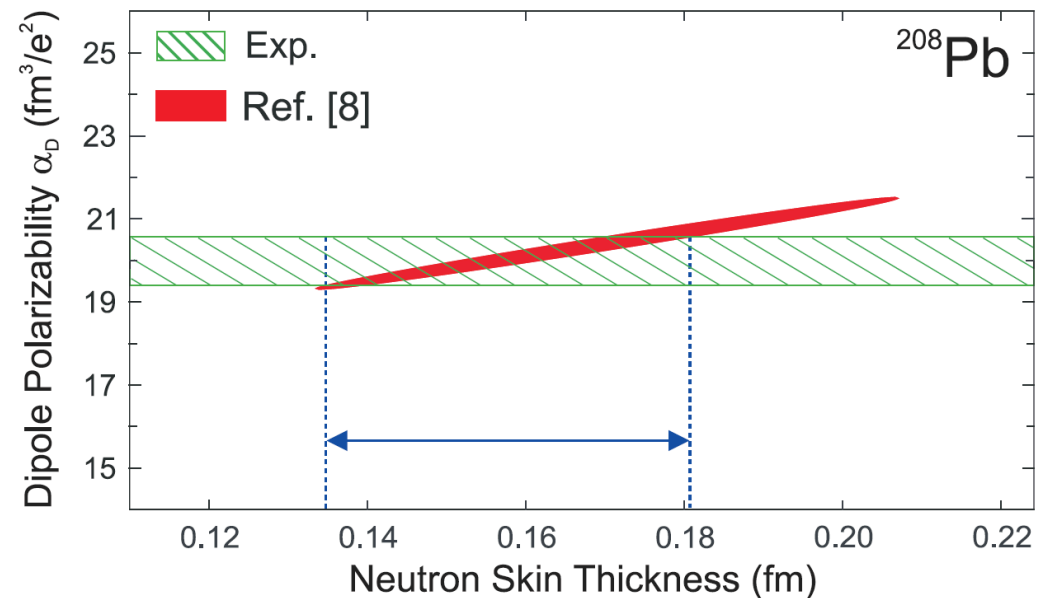
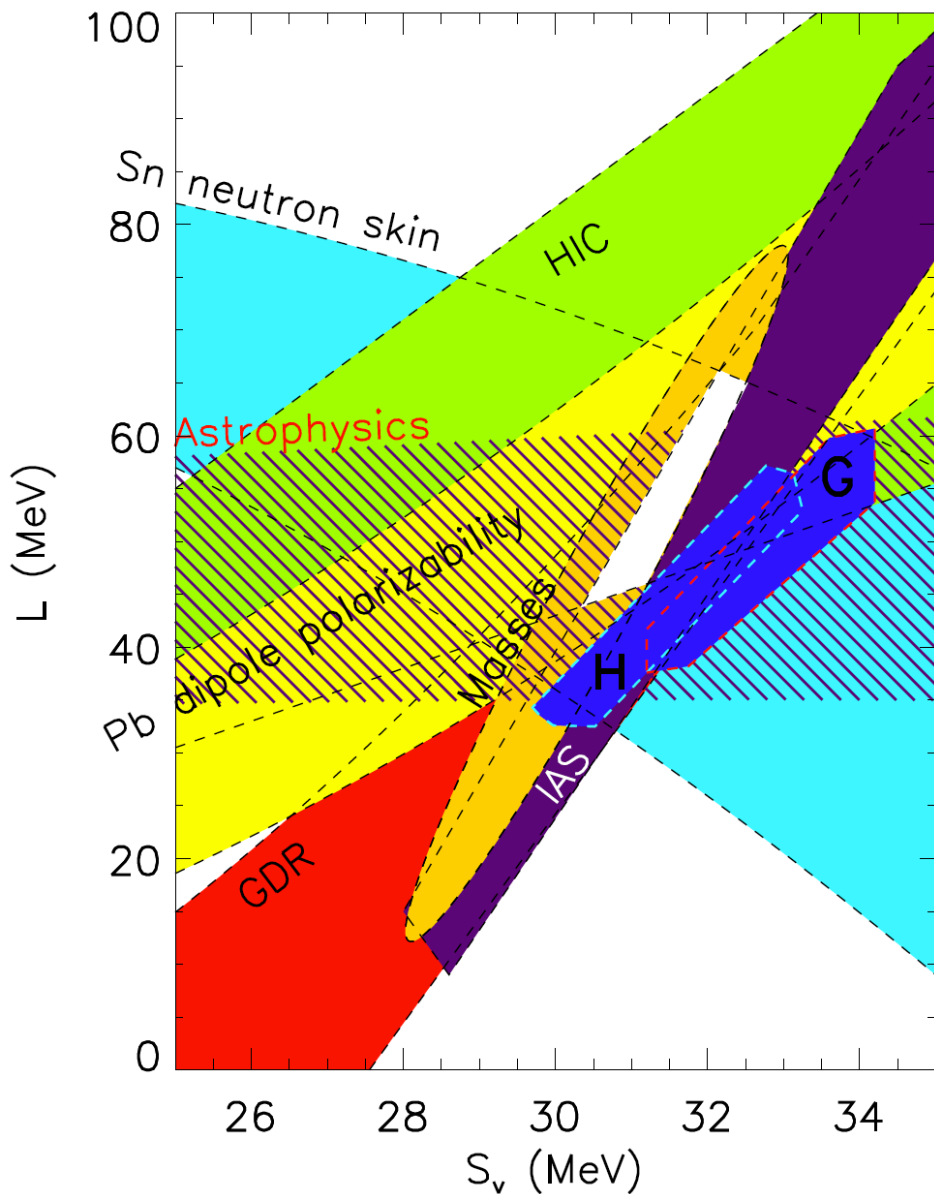
# Nuclear matter from chiral interactions



Interactions: (orange triangles) Hebeler *et al.* (2011); (red diamond) Ekström *et al.* (2015); others: Hagen *et al.* (2014); Carbone *et al.* (2013); Coraggio *et al.* (2014).

# Neutron radii and dipole polarizabilities

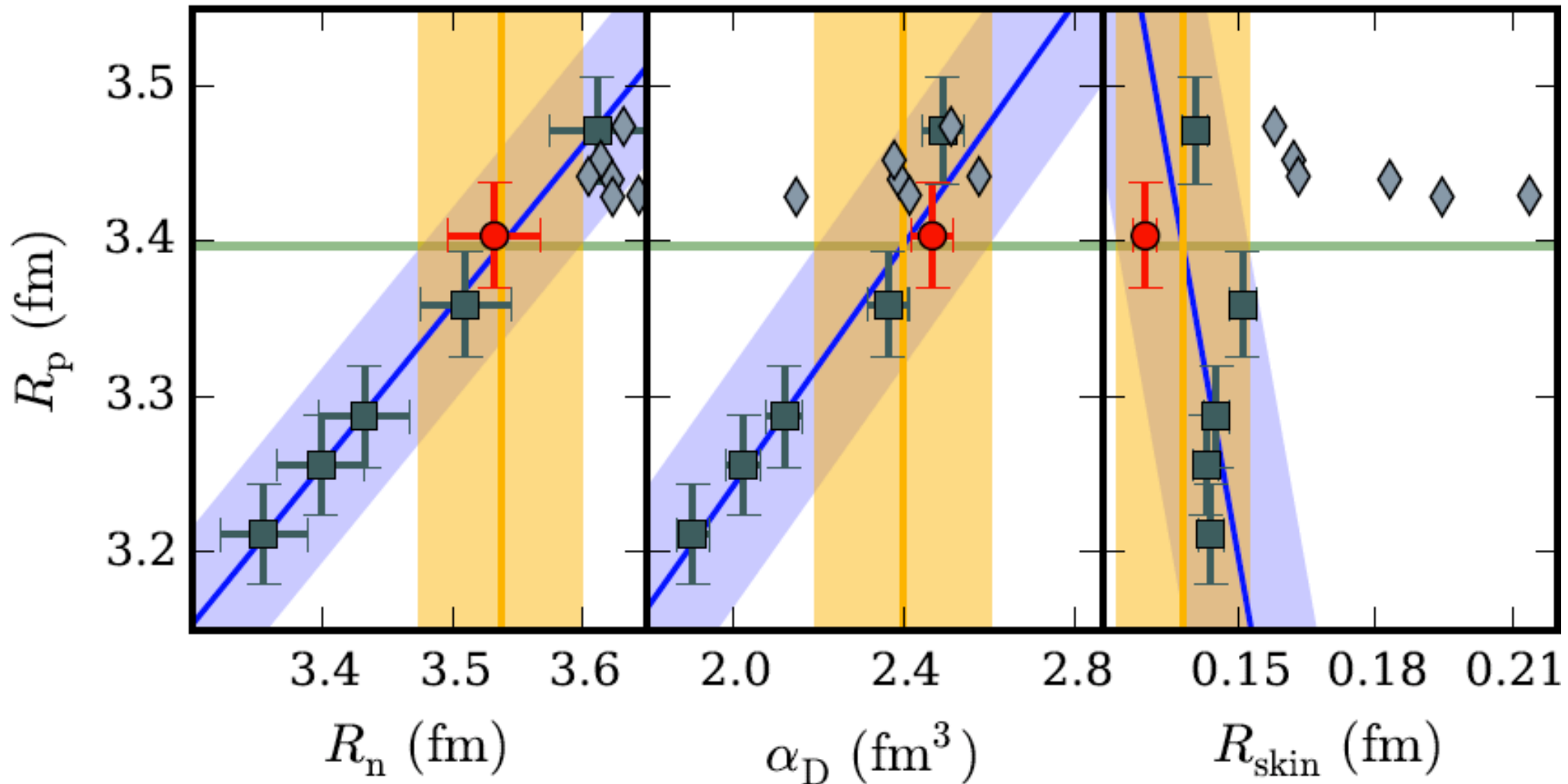
Brown, PRL 2000, Piekarewicz & Horowitz, PRL 2001; Furnstahl, NPA 2002; Reinhard & Nazarewicz, PRC 2010; Piekarewicz et al., PRC 2012; Horowitz et al, PRC 2012; ...



$\alpha_D$ :  $^{208}\text{Pb}$  by Tamii et al, PRL 2011;  $^{68}\text{Ni}$  by Rossi et al, PRL 2013;  $^{120}\text{Sn}$  by Hashimoto et al. (2015);  $^{48}\text{Ca}$  coming soon ...

$R_n$ :  $^{208}\text{Pb}$  by Abrahamyan et al, PRL 2012; Tarbert et al, PRL 2013;  $^{48}\text{Ca}$  planned ...

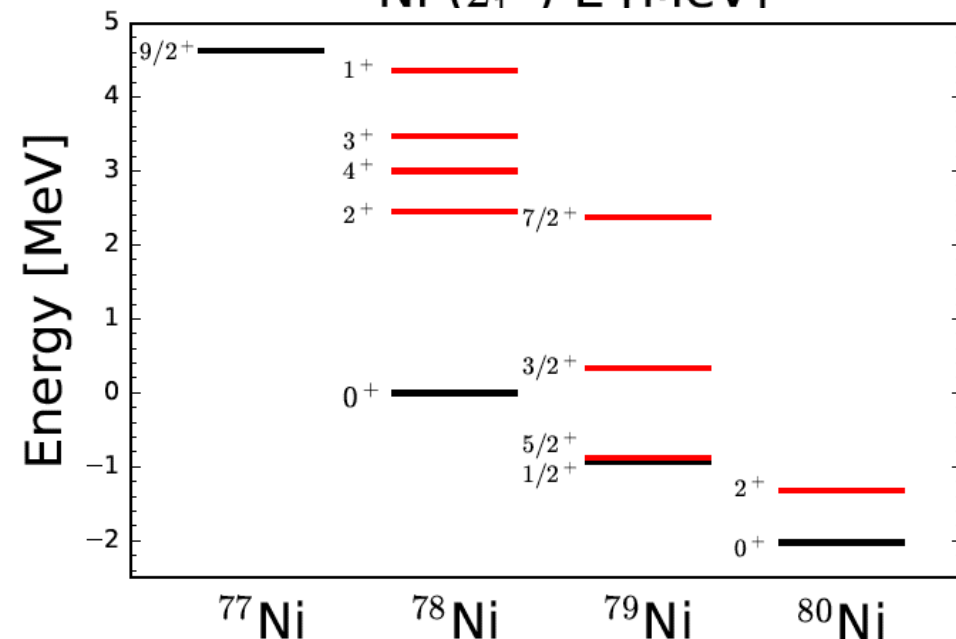
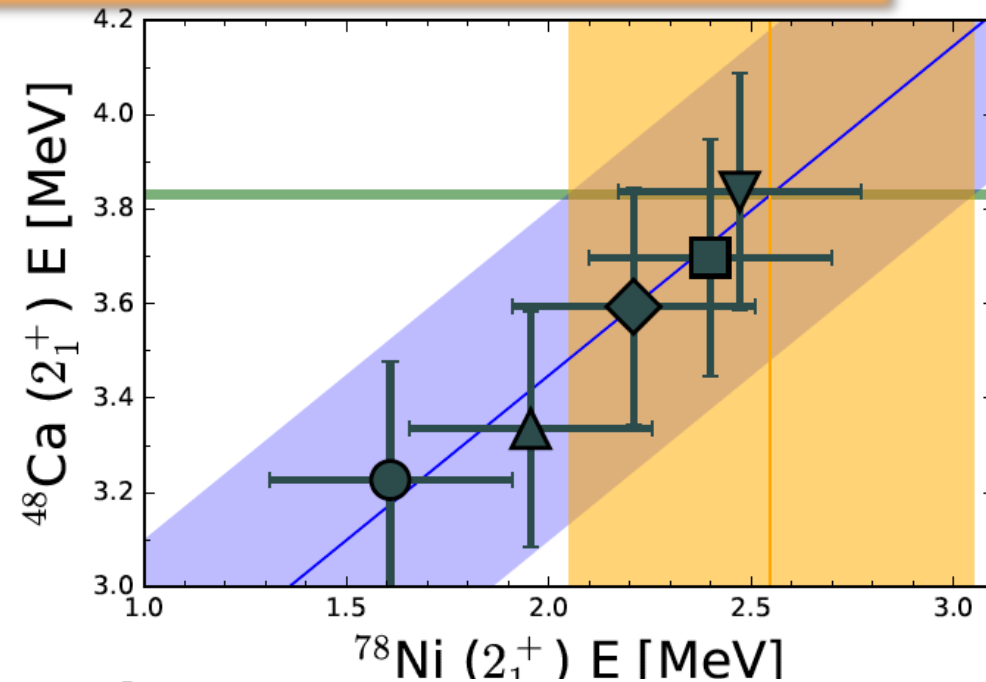
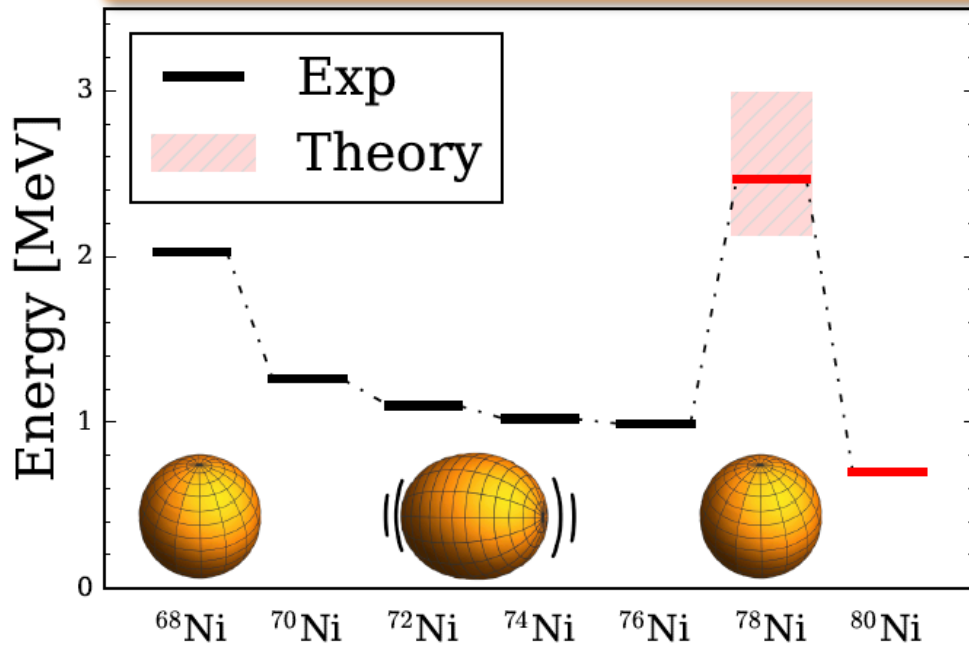
# Correlations of critical observables



Uncertainty estimates from family of chiral interactions  
[**NNLO**<sub>sat</sub>, potentials by Hebeler *et al.* (2011), and DFT].

G. Hagen *et al.*, Nature Physics 12, 186 (2016)

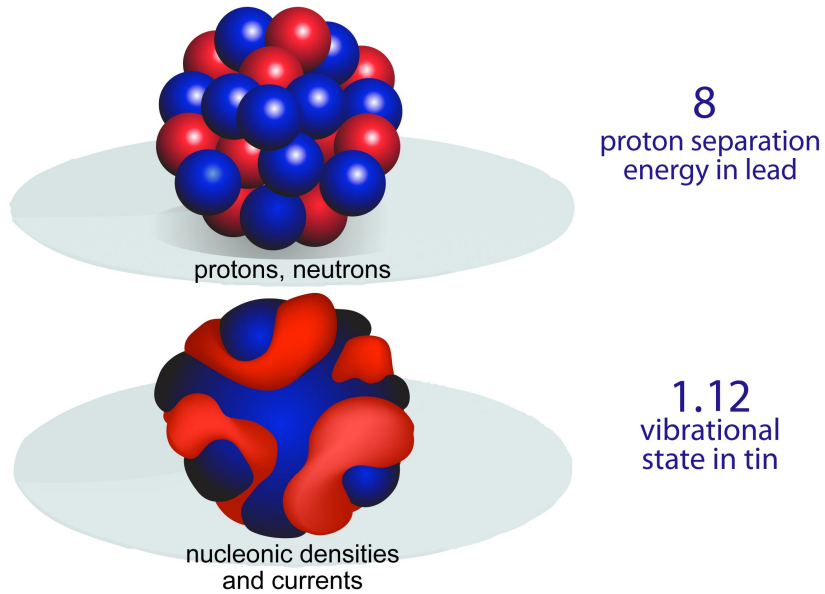
# What is the structure of $^{78}\text{Ni}$ ?



- Shell closure in  $^{78}\text{Ni}$
- Continuum effects relevant beyond neutron number  $N=50$

(NNLOsat [circle], 2.0/2.0 (PWA) [square], 2.0/2.0 (EM) [diamond], 2.2/2.0 (EM) [triangle up], 1.8/2.0 (EM) [triangle down])

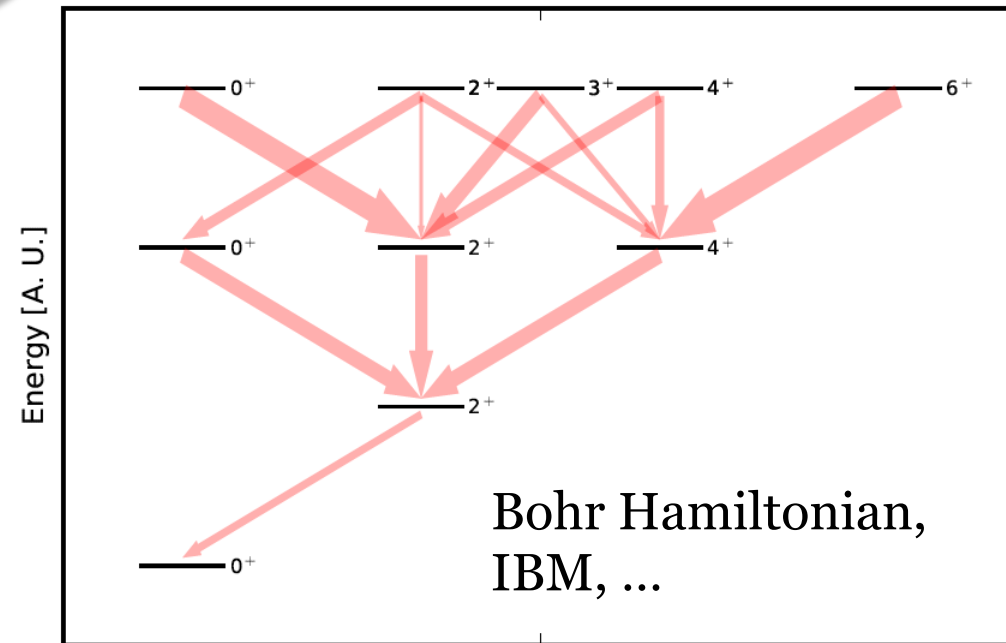
# EFT for nuclear vibrations



## EFT for nuclear vibrations [Coello Pérez & TP, PRC (2015)]

While spectra of certain nuclei appear to be harmonic,  $B(E2)$  transitions do not.

Garrett & Wood (2010): “Where are the quadrupole vibrations in atomic nuclei?”

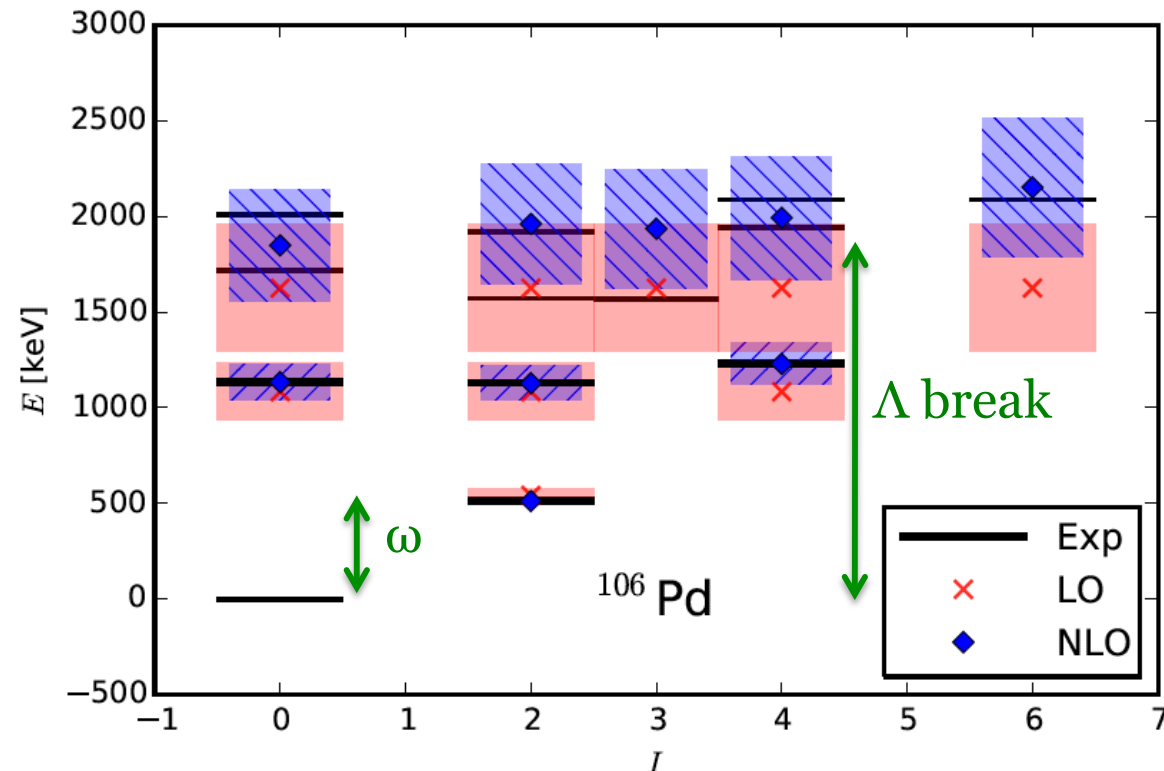


Spectrum and  $B(E2)$  transitions of the *harmonic* quadrupole oscillator

# EFT for nuclear vibrations

EFT ingredients:

- quadrupole degrees of freedom
- breakdown scale around three-phonon levels
- “small” expansion parameter: ratio of vibrational energy to breakdown scale:  $\omega/\Lambda \approx 1/3$



- Uncertainties show 68% DOB intervals from truncating higher EFT orders [Cacciari & Houdeau (2011); Bagnaschi et al (2015); Furnstahl, Klco, Phillips & Wesolowski (2015)]
  - Expand observables according to power counting
  - Employ “naturalness” assumptions as log-normal priors in Bayes’ theorem
  - Compute distribution function of uncertainties due to EFT truncation
  - Compute degree-of-believe (DOB) intervals.

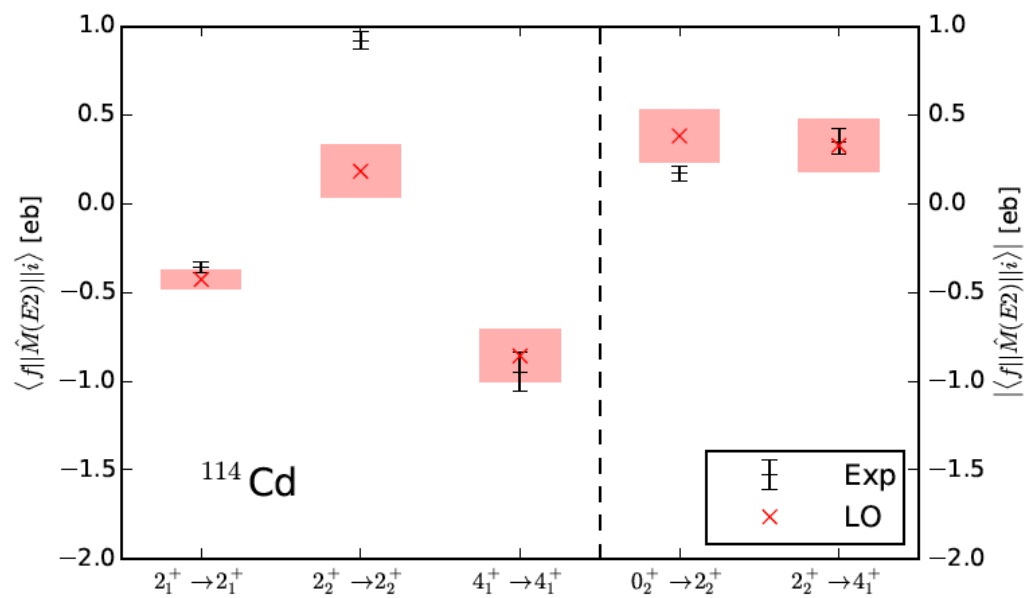
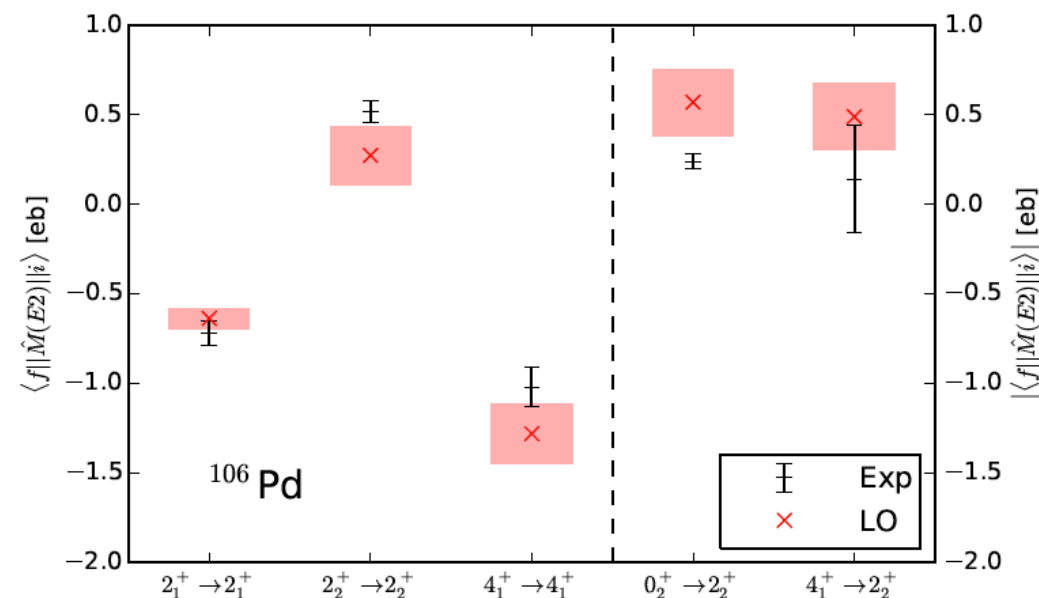
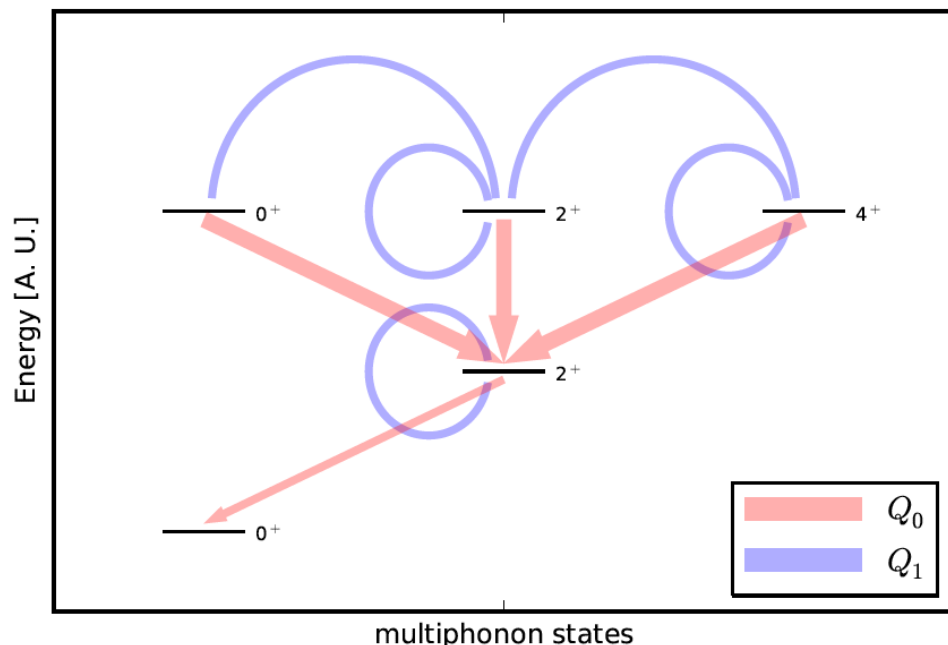
# EFT result: sizeable quadrupole matrix elements are natural in size

In the EFT, the quadrupole operator is also expanded:

$$\hat{Q}_\mu = Q_0 (d_\mu^\dagger + \tilde{d}_\mu) + Q_1 (d^\dagger \times d^\dagger + \tilde{d} \times \tilde{d} + 2d^\dagger \times \tilde{d})_\mu^{(2)}$$

Subleading corrections are sizable:

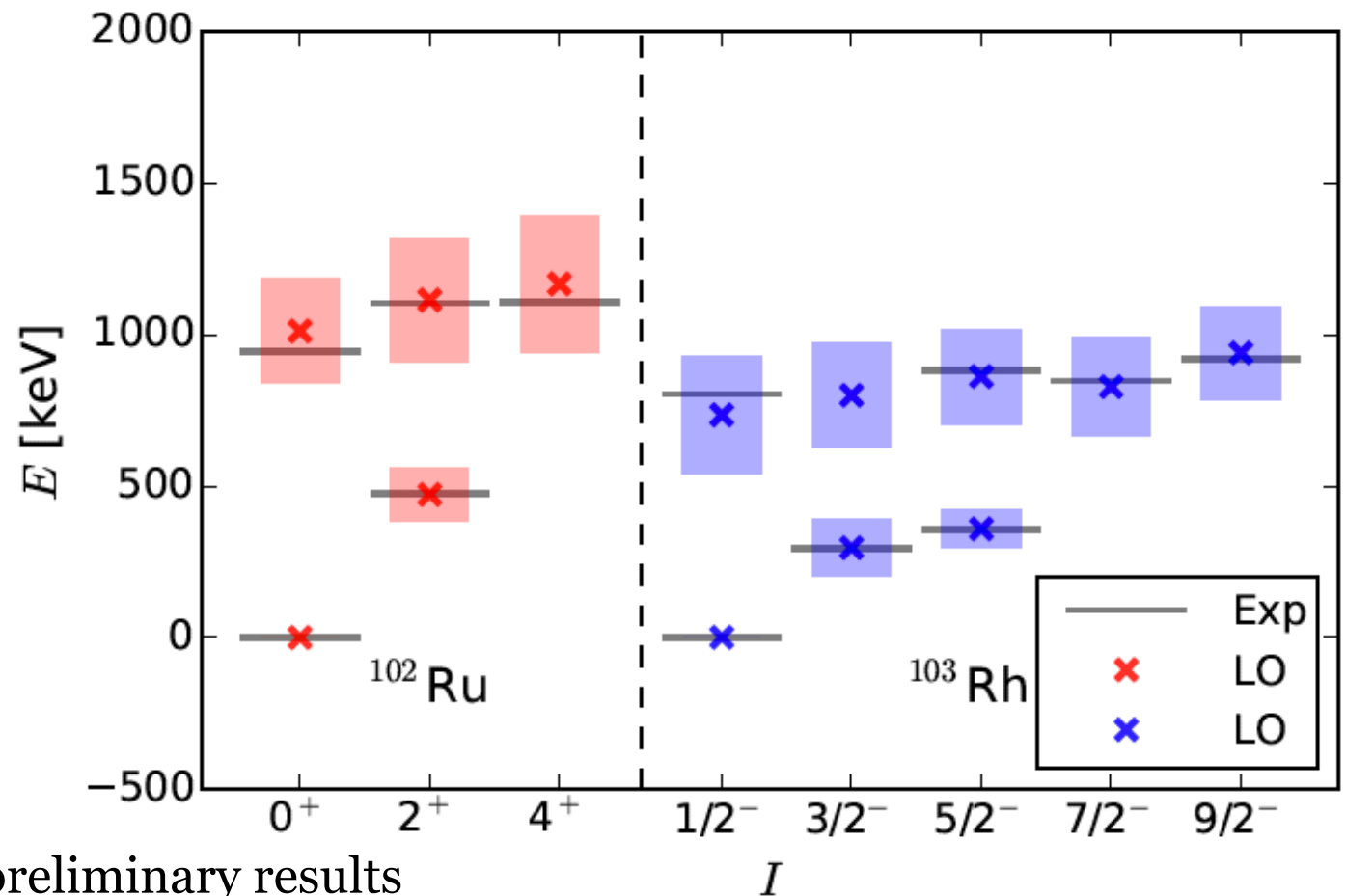
$$Q_1 \sim \left(\frac{\omega}{\Lambda}\right)^{1/2} Q_0$$



# Work in progress: Fermion coupled to vibrating nucleus

Approach: Follow Halo EFT [Bertulani, Hammer, van Kolck (2002); Higa, Hammer, van Kolck (2008); Hammer & Phillips (2011); Ryberg et al. (2014)], and couple a fermion to describe odd-mass neighbors; particle-vibrator models [de Shalit (1961); Iachello & Scholten (1981); Vervier (1982);...]

Two new LECs enter at LO





# Magnetic moments: Relations between even-even and even-odd nuclei

Nucleus	$I_i^\pi$	$\mu_{\text{exp}}(I_i^\pi)$	$\mu_{\text{EFT}}(I_i^\pi)$	Nucleus	$I_i^\pi$	$\mu_{\text{exp}}(I_i^\pi)$	$\mu_{\text{EFT}}(I_i^\pi)$
$^{102}\text{Ru}$	$2_1^+$	$0.85(3)^*$	$0.85(5)$	$^{106}\text{Pd}$	$2_1^+$	$0.79(2)^*$	$0.79(5)$
	$2_2^+$		$0.85(10)$		$2_2^+$	$0.71(10)$	$0.79(10)$
	$4_1^+$		$1.70(8)$		$4_1^+$	$1.8(4)$	$1.58(8)$
$^{103}\text{Rh}$	$\frac{1}{2}_1^-$	$-0.088^*$	$-0.088$	$^{107}\text{Ag}$	$\frac{1}{2}_1^-$	$-0.11^*$	$-0.11$
	$\frac{3}{2}_1^-$	$0.77(7)$	$0.81(5)$		$\frac{3}{2}_1^-$	$0.98(9)$	$0.78(5)$
	$\frac{5}{2}_1^-$	$1.08(4)$	$0.76(5)$		$\frac{5}{2}_1^-$	$1.02(9)$	$0.68(4)$
	$\frac{7}{2}_1^-$	$2.0(6)$	$1.7(1)$		$\frac{7}{2}_1^-$		$1.6(1)$
	$\frac{9}{2}_1^-$	$2.8(5)$	$1.6(1)$		$\frac{9}{2}_1^-$		$1.5(1)$
	$\frac{11}{2}_1^-$				$\frac{11}{2}_1^-$		

At LO, one new LEC enters to describe odd-mass neighbor

# Summary

- From EFTs to nuclei: Exploit separation of scales
- UV and IR cutoffs of HO basis understood for single particle, NCSM, and many-body product spaces
- Construct EFT directly in HO basis  $\rightarrow$  DVR in momentum space
- Chiral interactions available with improved radii and binding
- Predictions of relevant nuclei and observables
  - Neutron radius, and dipole polarizability in  $^{48}\text{Ca}$
  - Shell structure of  $^{78}\text{Ni}$
  - Charge radii in neutron-rich calcium isotopes not well understood
- EFT for nuclear vibrations
  - Quadrupole moments are of natural size (and sizeable) due to NLO corrections
  - Picture of anharmonic vibrations consistent with data within uncertainties

# (Some) open problems

- IR and UV length scales understood for a few relevant model spaces; leading order extrapolation formulas (derived in two-body systems) also seem to be applicable to many-body problems.
  - Understanding of momentum scale is lacking in many-body spaces
  - Higher-order corrections?
  - From bound states to resonances / continuum states?
- Relation between saturation properties and LECs of interactions?