

# Nucleon Polarisabilities in $\chi$ EFT and Lattice Perspectives

## – With Uncertainties



THE GEORGE  
WASHINGTON  
UNIVERSITY  
WASHINGTON, DC

H. W. Griebhammer

Institute for Nuclear Studies  
The George Washington University, DC, USA



Institute for Nuclear Studies  
THE GEORGE WASHINGTON UNIVERSITY  
WASHINGTON, DC

- 1 Two-Photon Response Explores Low-Energy Dynamics
- 2 Polarisabilities at the Physical Pion Mass
- 3 Polarisabilities Beyond Physical Pion Masses
- 4 Concluding Questions



How do constituents of the nucleon react to external fields?  
How to reliably extract proton, neutron, spin polarisabilities?  
How to bridge between QCD and Nuclear Physics?

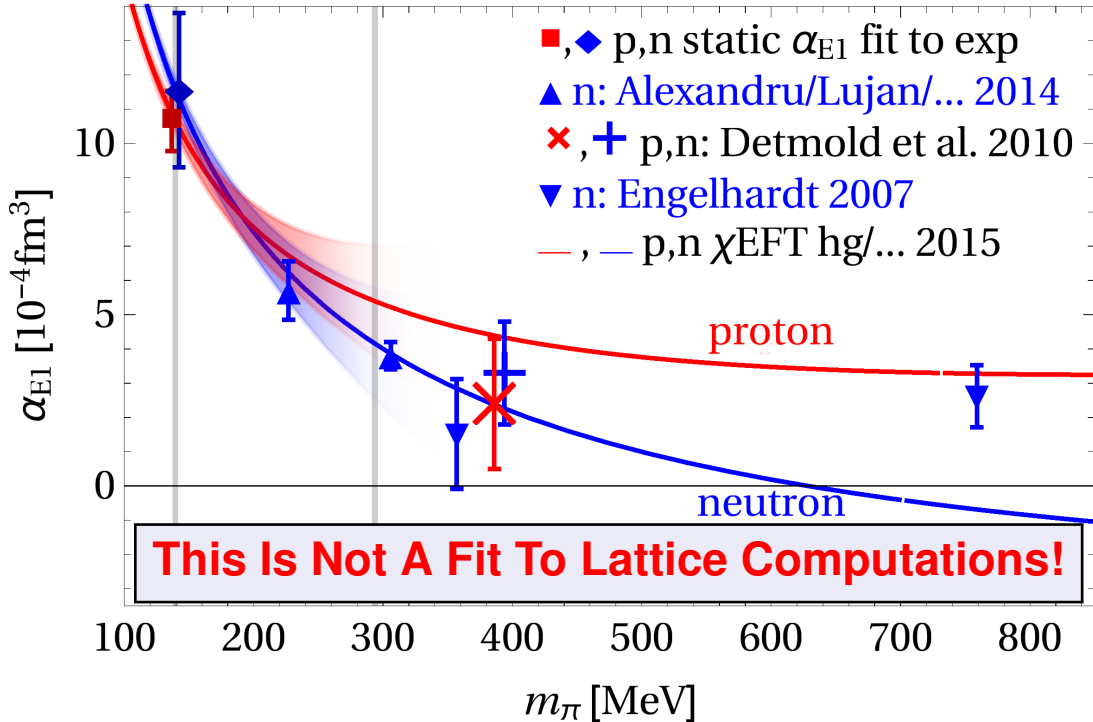


**Comprehensive Theory Effort:**

hg, J. A. McGovern (Manchester), D. R. Phillips (Ohio U): *Eur. Phys. J.* **A49** (2013), 12 (proton)  
hg/JMcG/DRP/G. Feldman: *Prog. Part. Nucl. Phys.* **67** (2012) 841; as COMPTON@MAX-lab: *Phys. Rev. Lett.* **113** (2014) 262506

Polarisabilities & Bayes in  $\chi$ EFT for lattice-QCD: hg/JMcG/DRP 1511.01952

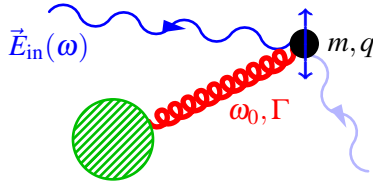
# Teaser: Chiral Prediction for the Electric Polarisability of the Neutron



# 1. Two-Photon Response Explores Low-Energy Dynamics

## (a) Polarisabilities: Stiffness of Charged Constituents in El.- Mag. Fields

**Example:** induced electric dipole radiation from harmonically bound charge, damping  $\Gamma$  Lorentz/Drude 1900/1905



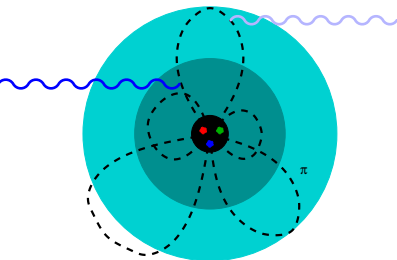
$$\vec{d}_{\text{ind}}(\omega) = \frac{q^2}{m} \frac{1}{\omega_0^2 - \omega^2 - i\Gamma\omega} \vec{E}_{\text{in}}(\omega)$$

$$=: 4\pi \alpha_{E1}(\omega)$$

$$\mathcal{L}_{\text{pol}} = 2\pi \left[ \underbrace{\alpha_{E1}(\omega) \vec{E}^2 + \beta_{M1}(\omega) \vec{B}^2}_{\text{electric, magnetic scalar dipole}} + \dots \right]$$

“displaced volume” [ $10^{-3} \text{ fm}^3$ ]

$\Rightarrow$  Clean, perturbative probe of  $\Delta(1232)$  properties, nucleon spin-constituents,  $\chi$ iral symmetry of pion-cloud & its breaking (proton-neutron difference).



– fundamental hadron property  $\Rightarrow$  link to emergent lattice-QCD results

Alexandru/Lee/... 2005-, NPLQCD 2006-, LHPC 2007-, Leinweber/... 2013

## (b) Studying the Two-Photon Response

**2015 LRP: Great progress has been made in determining the electric and magnetic polarizabilities. Within the next few years, data are expected from [HIγS] that will allow accurate extraction of proton-neutron differences and spin polarizabilities....**

**2015 QCD White Paper: “Synergistic Blend of Theory and Experiment”**

**Lattice QCD:** relate to fundamental interactions

→ *polarQCD* (Alexandru/Lee) 2005-; *NPLQCD* 2006-; *LHPC* (Engelhardt) 2007-; Leinweber/... (Adelaide) 2013

**Experiment:** Significant investments; data taken/scheduled/approved:

**HIγS (DOE):** a central goal; > 3000 hrs committed at 60 – 100 MeV

proton doubly & beam pol. (E-06-09/10)                      deuteron beam pol. (E-18-09, running)

<sup>3</sup>He unpol & doubly pol. (E-07-10, E-08-16)            <sup>4</sup>He unpol            <sup>6</sup>Li unpol. (E-15-11, **first!**)

**A2 @ MAMI** (DFG: 5-year SFB): running, data cooking and planned

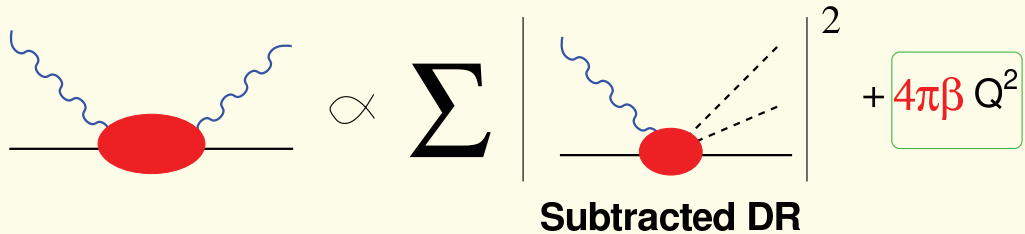
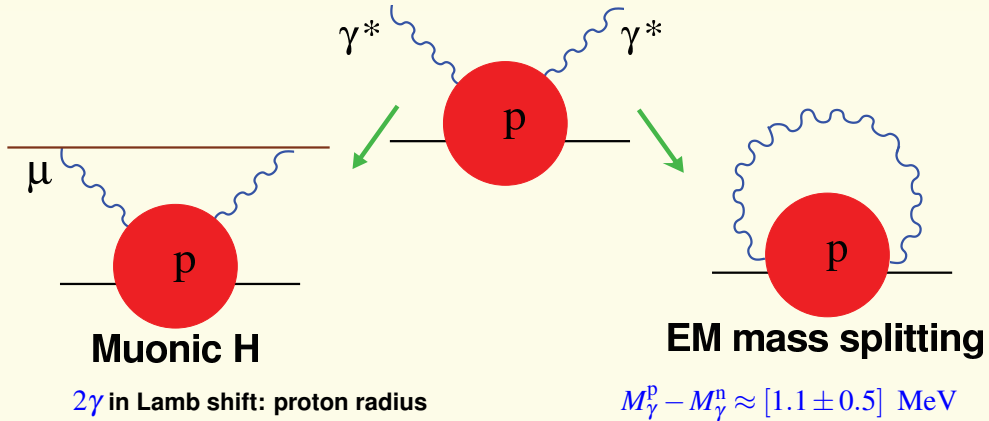
proton 100 – 400 MeV: beam & target pol.

deuteron, <sup>3</sup>He, <sup>4</sup>He unpol., beam & target pol.

**MAXlab:** data cooking                      deuteron 100 – 160 MeV: unpol.

**Chiral EFT:** data consistency, binding effects, analysis, extraction

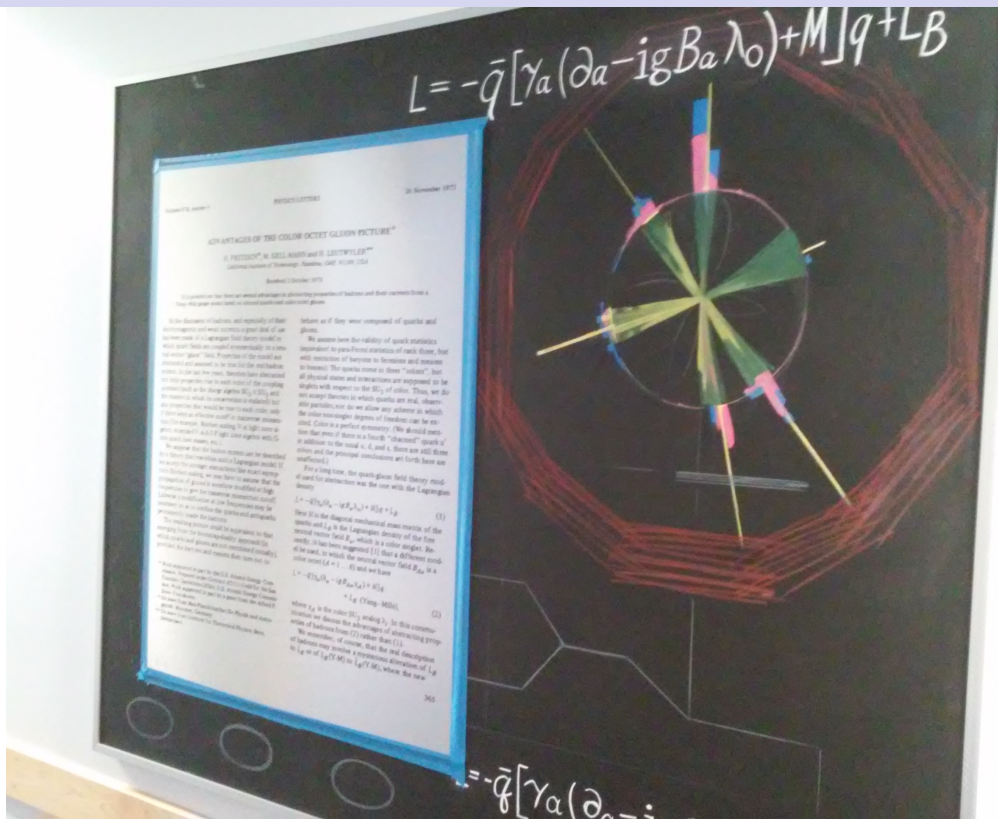
**Goal: Unified framework with reliable error bars for proton, deuteron, <sup>3</sup>He (elastic & inelastic) into Δ(1232) region.**



**Cottingham Sum Rule and VVCS**

$$\bar{T}_1(v, Q^2) = -v^2 \int_{v_{th}^2}^{\infty} \frac{dv'^2}{v'^2} \frac{W_1(v', Q^2)}{v'^2 - v^2} + 4\pi\beta Q^2 + o(Q^4)$$

# (d) He Who Controls the Past, Controls the Future. George Orwell: 1984



4 April 2016



5 April 2016

## 2. Polarisabilities at the Physical Pion Mass

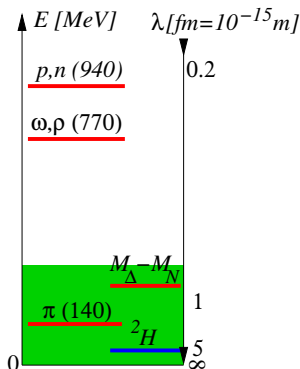
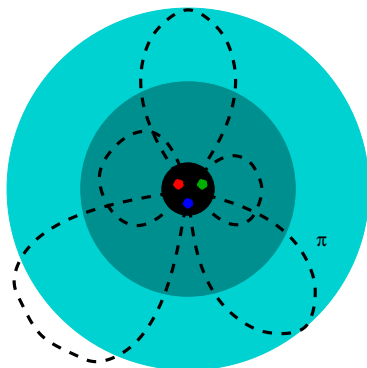
### (a) The Method: Chiral Effective Field Theory

Degrees of freedom  $\pi, N, \Delta(1232)$  + all interactions allowed by symmetries: Chiral SSB, gauge, iso-spin, ...

⇒ Chiral Effective Field Theory  $\chi$ EFT  $\equiv$  low-energy QCD

$$\mathcal{L}_{\chi\text{EFT}} = (D_\mu \pi^a)(D^\mu \pi^a) - m_\pi^2 \pi^a \pi^a + \dots + N^\dagger [i D_0 + \frac{\vec{D}^2}{2M} + \frac{g_A}{2f_\pi} \vec{\sigma} \cdot \vec{D} \pi + \dots] N + C_0 (N^\dagger N)^2 + \dots$$

Controlled approximation ⇒ Model-independent, error-estimate (they say...)



Expand in  $\delta = \frac{M_\Delta - M_N}{\Lambda_\chi \approx 1 \text{ GeV}} \approx \sqrt{\frac{m_\pi}{\Lambda_\chi}} = \frac{P_{\text{typ}}}{\Lambda_\chi} \ll 1$  (numerical fact) Pascalutsa/Phillips 2002.

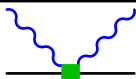


## (b) All 1N Contributions to $N^4\text{LO}$

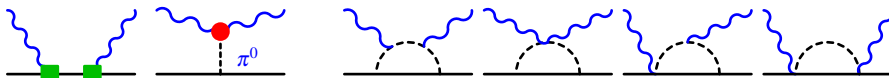
**Unified Amplitude:** gauge & RG invariant set of all contributions which are

in low régime  $\omega \lesssim m_\pi$  at least  $N^4\text{LO}$  ( $e^2\delta^4$ ): accuracy  $\delta^5 \lesssim 2\%$ ;  
 or in high régime  $\omega \sim M_\Delta - M_N$  at least  $\text{NLO}$  ( $e^2\delta^0$ ): accuracy  $\delta^2 \lesssim 20\%$ .

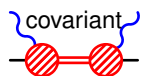
$$\omega \lesssim m_\pi \quad \sim M_\Delta - M_N \approx 300 \text{ MeV}$$



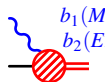
$$e^2\delta^0 \text{ LO} \quad e^2\delta^0 \searrow \text{NLO}$$



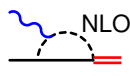
$$e^2\delta^2 \text{ N}^2\text{LO} \quad e^2\delta^1 \text{ N}^2\text{LO}$$



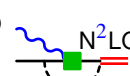
with **vertex corrections**



LO

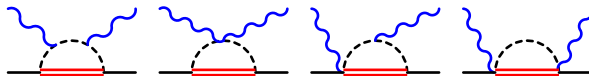


NLO

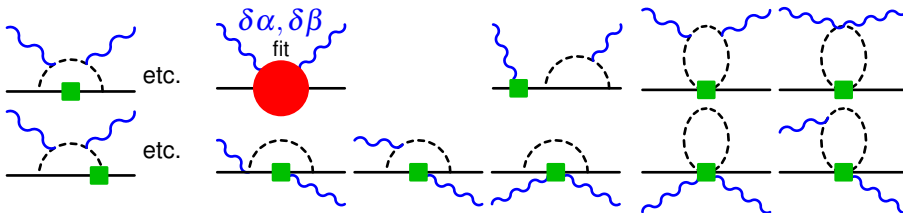


N<sup>2</sup>LO

$$e^2\delta^3 \text{ N}^3\text{LO} \quad e^2\delta^{-1} \nearrow \text{LO}$$



$$e^2\delta^3 \text{ N}^3\text{LO} \quad e^2\delta^1 \text{ N}^2\text{LO}$$



$$e^2\delta^4 \text{ N}^4\text{LO} \quad e^2\delta^2 \text{ N}^3\text{LO}$$

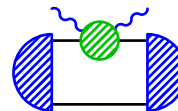
**Unknowns:** short-distance  $\delta\alpha, \delta\beta \iff$  static  $\alpha_{E1}, \beta_{M1}$

# (c) Neutron Polarisabilities and Nuclear Binding

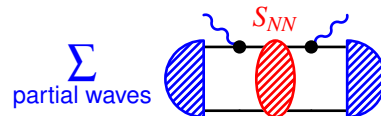
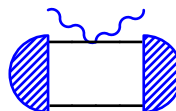
**Need model-independent, systematic subtraction of binding effects.  $\Rightarrow \chi$ EFT: reliable uncertainties.**

- **Nucleon structure: average of neutron & proton polarisabilities:**

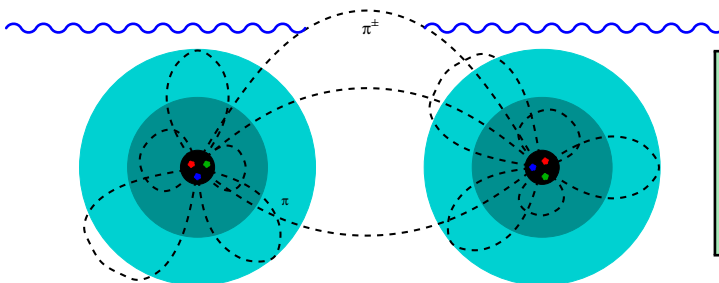
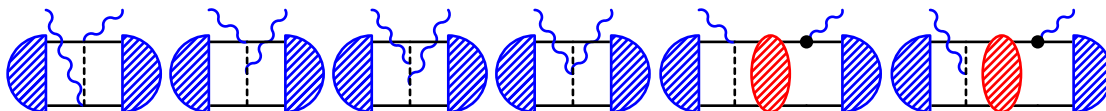
$\chi$ EFT, Disp. Rel.: p-n difference is small hg/Pasquini/... 2005



- **Parameter-free one-nucleon contributions:**



- **Parameter-free charged meson-exchange currents** dictated in  $\chi$ EFT by gauge & chiral symmetry:

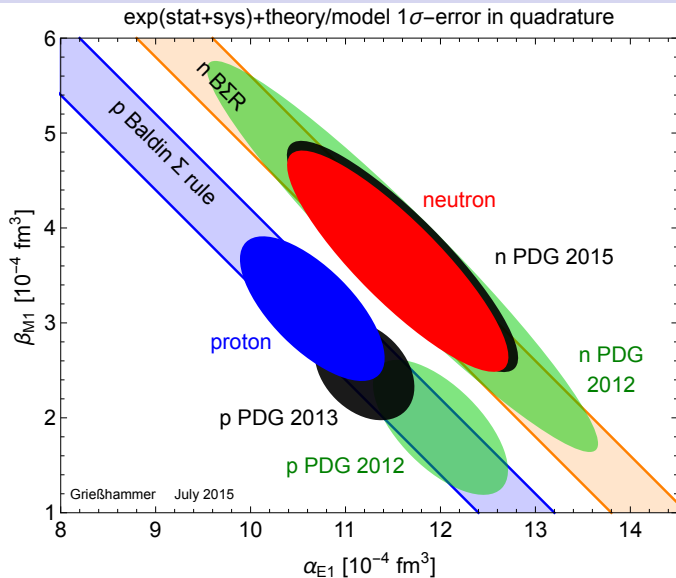
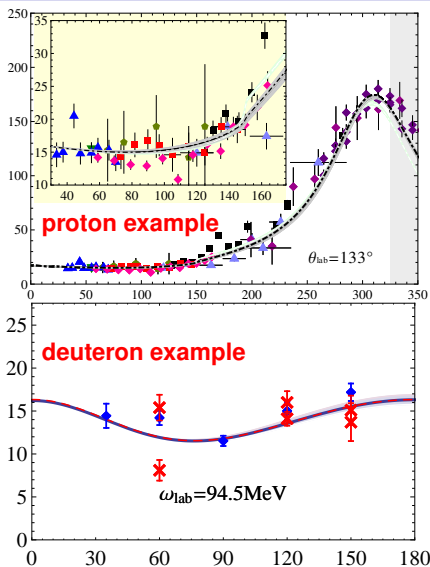


**Test charged-pion component of  $NN$  force.**  
**Rescattering pivotal for Thomson limit**

$$A(\omega = 0) = -\frac{e^2}{M_d} \vec{\epsilon} \cdot \vec{\epsilon}'$$

$\Rightarrow$  **tiny dep. on d wave fu. &  $NN$  pot.**

# (d) Scalar Dipole Polarisabilities: Values, Data and Theory Errors in $\chi$ EFT

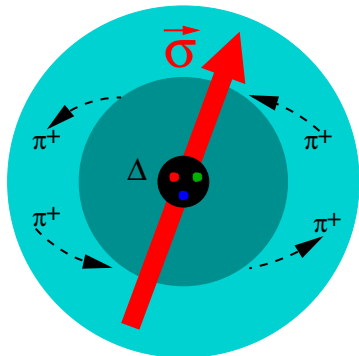


	$\alpha_{E1} [10^{-4} \text{ fm}^3]$	$\beta_{M1} [10^{-4} \text{ fm}^3]$	$\chi^2/\text{d.o.f.}$
proton (Baldin, $N^2\text{LO}$ ) McGovern/Phillips/hg EPJA 2013	$10.65 \pm 0.35_{\text{stat}} \pm 0.2_{\Sigma} \pm 0.3_{\text{theory}}$	$3.15 \mp 0.35_{\text{stat}} \pm 0.2_{\Sigma} \mp 0.3_{\text{theory}}$	113.2/135
neutron, new data from <b>Compton@MAXlab</b> COMPTON@MAX-lab PRL 2014	$11.55 \pm 1.25_{\text{stat}} \pm 0.2_{\Sigma} \pm 0.8_{\text{theory}}$	$3.65 \mp 1.25_{\text{stat}} \pm 0.2_{\Sigma} \mp 0.8_{\text{theory}}$	45.2/44

$\Rightarrow \alpha_{E1}^{p-n} = -0.9 \pm 1.6_{\text{tot}}$ : **neutron  $\approx$  proton polarisabilities; exp. error dominates.**  
**Cottingham  $\Sigma$ R:  $M_\gamma^p - M_\gamma^n$  explained;  $\alpha_{E1}^{p-n} = -1.7 \pm 0.4_{\text{tot}}$ .** Gasser/Hoferichter/Leutwyler/Rusetsky 1506.06747

# (e) Spin-Polarisabilities: Nucleonic Bi-Refringence and Faraday Effect

**Optical Activity:** Response of **spin-degrees of freedom**, experimental frontier.



$$\mathcal{L}_{\text{pol}} = 4\pi N^\dagger \times \left\{ \frac{1}{2} \left[ \alpha_{E1} \vec{E}^2 + \beta_{M1} \vec{B}^2 \right] \right. \quad \text{scalar dipole}$$

$$+ \frac{1}{2} \left[ \gamma_{E1E1} \vec{\sigma} \cdot (\vec{E} \times \dot{\vec{E}}) + \gamma_{M1M1} \vec{\sigma} \cdot (\vec{B} \times \dot{\vec{B}}) \right. \quad \text{“pure” spin-dependent dipole}$$

$$\left. \left. - 2 \gamma_{M1E2} \sigma_i B_j E_{ij} + 2 \gamma_{E1M2} \sigma_i E_j B_{ij} \right] + \dots \right\} N \quad \text{“mixed” spin-dependent dipole}$$

$E_{ij} := \frac{1}{2}(\partial_i E_j + \partial_j E_i)$  etc. + quadrupole etc.

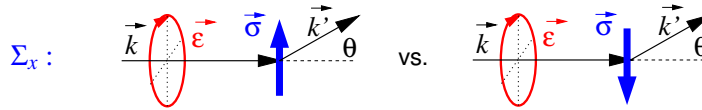
$\mathcal{O}(e^2 \delta^4)$   $\chi$ EFT prediction [hg/McGovern/Phillips 2014](#) vs. MAMI extraction [Martel/... 2014](#)

static [ $10^{-4} \text{ fm}^4$ ]	$\gamma_{E1E1}$	$\gamma_{M1M1}$	$\gamma_{E1M2}$	$\gamma_{M1E2}$
MAMI 2014 proton	$-3.5 \pm 1.2$	$3.2 \pm 0.9$	$-0.7 \pm 1.2$	$2.0 \pm 0.3$
$\chi$ EFT proton	$-1.1 \pm 1.9_{\text{th}}$	$2.2 \pm 0.5_{\text{stat}} \pm 0.6_{\text{th}}$ <b>fit to unpol.</b>	$-0.4 \pm 0.6_{\text{th}}$	$1.9 \pm 0.5_{\text{th}}$
$\chi$ EFT neutron	$-4.0 \pm 1.9_{\text{th}}$	$1.3 \pm 0.5_{\text{stat}} \pm 0.6_{\text{th}}$	$-0.1 \pm 0.6_{\text{th}}$	$2.4 \pm 0.5_{\text{th}}$

# Spin-Polarisabilities from Polarised Photons

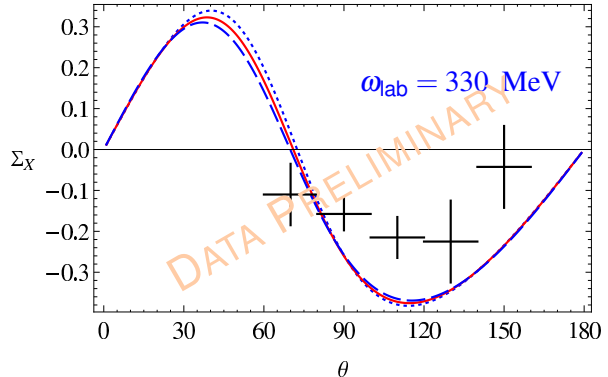
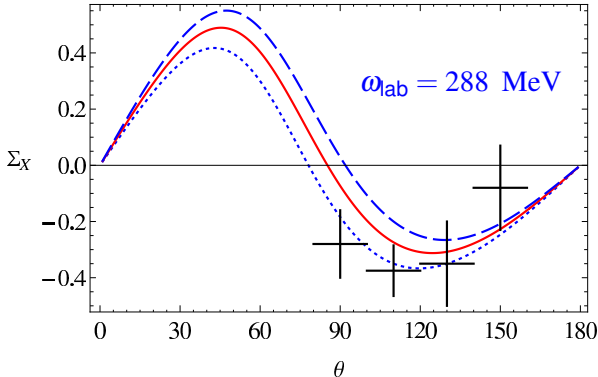
$\mathcal{O}(e^2\delta^3)$ : hg/Hildebrandt/... 2003  
 $\mathcal{O}(e^2\delta^4)$ : hg/McGovern/Phillips 1511.0952 & in prep.  
 exp: Martel/... (MAMI) PRL 2014

**Proton** best: Incoming  $\gamma$  circularly polarised, sum over final states.  $N$ -spin in  $(\vec{k}, \vec{k}')$ -plane, perpendicular to  $\vec{k}$ :



Compare to Martel/... (MAMI) PRL 2014

$\gamma_{E1E1} =$  — — — — —  $-1.1$ :  $\chi$ EFT prediction; - - - - -  $-1.1 + 2$ ; ·····  $-1.1 - 2 = -3.1 \iff$  Martel fit:  $-3.5 \pm 1.2$



**Polarisabilities beyond dipoles negligible –  $\omega$ -dependence important.**  
**Also good signal for linear polarisations.**

physical effects not included in the calculation from the beginning, such as electron correlation and relativistic corrections. It is of course never possible to state precisely what the error is without in fact doing a larger calculation and obtaining the higher accuracy. However, the same is true for the uncertainties in experimental data. **The aim is to estimate the uncertainty, not to state the exact amount of the error or provide a rigorous bound.**

There are many cases where it is indeed not practical to give a meaningful error estimate for a theoretical calculation; for example, in scattering processes involving complex systems. The comparison with experiment itself provides a test of our theoretical understanding. However, there is a broad class of papers where estimates of theoretical uncertainties can and should be made. Papers presenting the **results of theoretical calculations are expected to include uncertainty estimates** for the calculations **whenever practicable, and especially under the following circumstances:**

1. **If the authors claim high accuracy, or improvements on the accuracy of previous work.**
2. If the primary motivation for the paper is to make **comparisons with** present or future high precision **experimental** measurements.
3. If the primary motivation is to provide **interpolations or extrapolations of known experimental measurements.**

These guidelines have been used on a case-by-case basis for the past two years. Authors have adapted well to this, resulting in papers of greater interest and significance for our readers.

$$\alpha_{E1}^p = 10.65 \pm 0.35_{\text{stat}} \pm 0.2_{\Sigma} \pm 0.3_{\text{theory}}$$

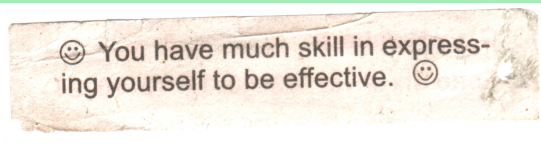
The Editors

**Non-Theory Errors:** Numerical  $\implies$  better computers.

Statistical/parameter  $\implies$  better data.

**Theoretical uncertainty: Truncation of Physics**

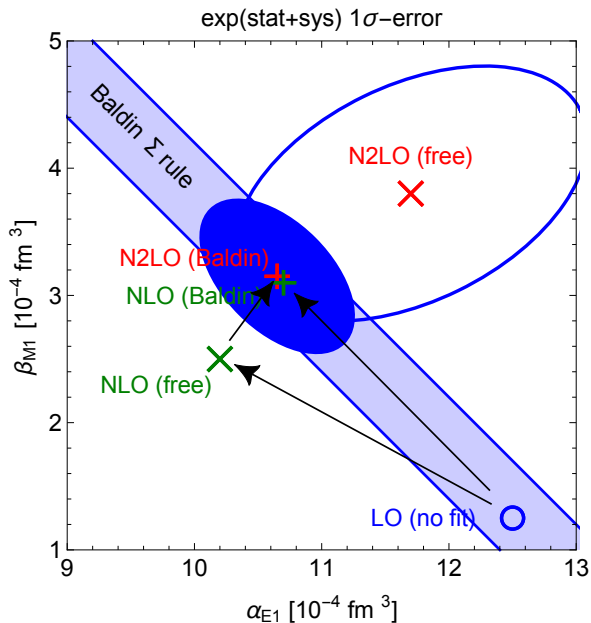
$$\text{EFT claim: systematic in } Q = \frac{\text{typ. low scale } p_{\text{typ}}}{\text{typ. high scale } \bar{\Lambda}_{\text{EFT}}}$$



**Scientific Method: Quantitative results with corridor of theoretical uncertainties for falsifiable predictions.**

**Need procedure which is established, economical, reproducible: room to argue about "error on the error".**

**"Double-Blind" Theory Errors: Assess with pretense of no/very limited data.**



1σ-contours

Consistent with Baldin  $\Sigma$  Rule

$$\alpha_{E1} + \beta_{M1} = \frac{1}{2\pi^2} \int_{v_0}^{\infty} dv \frac{\sigma(\gamma p \rightarrow X)}{v^2} = 13.8 \pm 0.4 \text{ Olmos de Leon 2001}$$

**need more forward data** to constrain.

## Residual Theoretical Uncertainty

McGovern/Phillips/hg: EPJA49 12 (2013); many before

Convergence pattern of  $\alpha_{E1} - \beta_{M1}$  by

**most conservative/worst-case** of:

- (1)  $\delta \approx \frac{2}{5}$  of NLO  $\rightarrow$  N<sup>2</sup>LO;
- (2)  $\delta^2 \approx \frac{1}{6}$  of LO  $\rightarrow$  NLO;
- (3)  $\delta^2 \approx \frac{1}{6}$  of LO  $\rightarrow$  N<sup>2</sup>LO.  $\leftarrow$

**Fit Stability:** floating norms within exp. sys. errors; vary dataset,  $b_1$ , vertex dressing, ...

$$\alpha_{E1}^p [10^{-4} \text{ fm}^3]$$

$$\beta_{M1}^p [10^{-4} \text{ fm}^3]$$

$$\chi^2/\text{d.o.f.}$$

N<sup>2</sup>LO Baldin constrained  
 $\alpha_{E1}^p + \beta_{M1}^p = 13.8 \pm 0.4$

$$10.65 \pm 0.4_{\text{stat}} \pm 0.2_{\Sigma} \pm 0.3_{\text{theory}}$$

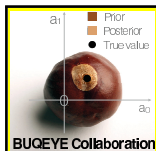
$$3.15 \mp 0.4_{\text{stat}} \pm 0.2_{\Sigma} \mp 0.3_{\text{theory}}$$

$$113.2/135$$

## (h) Fit Discussion: What Does “Conservative” Error Mean?

hg/JMcG/DRP  
1511.01952

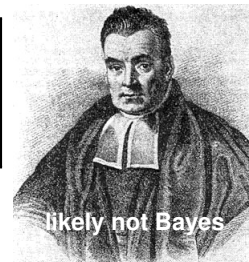
**Observable/Series**  $O = \delta^n (c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^4) \Rightarrow$   
Estimate next term “*conservatively*” as  $|\text{unknown } c_3| \lesssim R := \max\{|c_0|; |c_1|; |c_2|\}$ .



No infinite sampling pool; data fixed; more data changes confidence.

$\Rightarrow$  **Call upon the Reverend Bayes!**

see e.g. **BUQEYE collaboration** [Furnstahl/Phillips/...1506.01343](#)



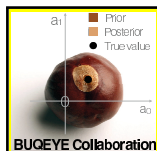
Rev. Bayes frequents his local bar. Bartender: “What do you want?”



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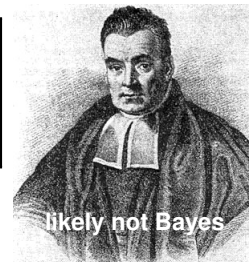
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– **Bayes: “What do you think?”**

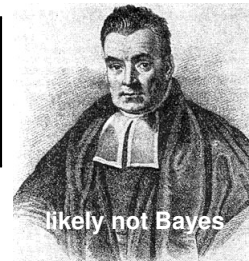


# (h) Fit Discussion: What Does “Conservative” Error Mean?

**Observable/Series**  $O = \delta^n (c_0 + c_1 \delta^1 + c_2 \delta^2 + \text{unknown} \times \delta^4) \Rightarrow$   
**Estimate next term “conservatively”** as  $|\text{unknown } c_3| \lesssim R := \max\{|c_0|; |c_1|; |c_2|\}$ .



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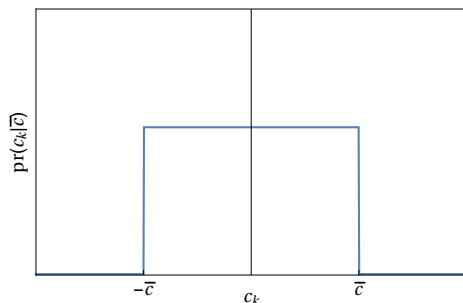
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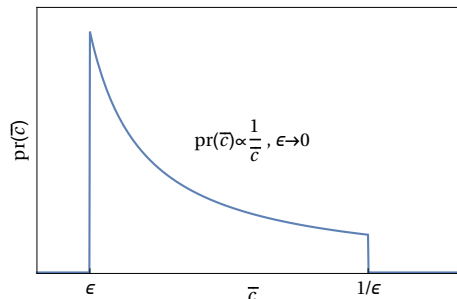
**Bayes makes you specify your premises/assumptions about series.**

**Priors:** leading-omitted term dominates ( $\delta \ll 1$ ); putative distributions of *all*  $c_k$ 's and of largest value  $\bar{c}$  in series.

**“Least informed/informative”:** All values  $c_k$  equally likely, given upper bound  $\bar{c}$  of series.



**“Any upper bound”:** In-uniform prior sets no bias on scale of  $\bar{c}$ .

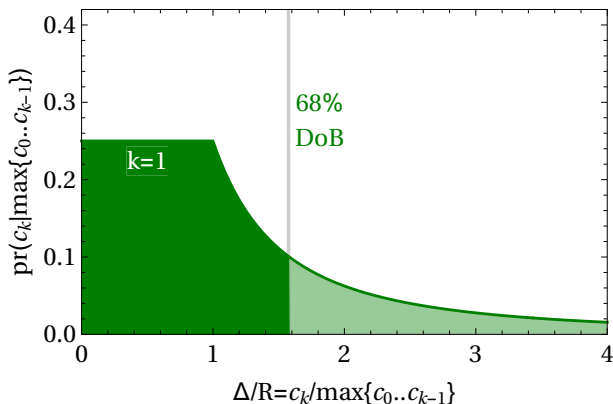


**Information:** Convergence  $LO \rightarrow NLO \rightarrow N^2LO$  gives probable “largest number”  $R = \delta^k \max\{|c_0| \dots |c_{k-1}|\}$ .

**Result:** **Posterior**  $\equiv$  **Degree of Belief (DoB)** that next term  $c_k \delta^k$  differs from order- $k$  central value by  $\Delta$ .

$$\text{pr}(\Delta | \text{max. } R, \text{ order } k) \propto \int_0^\infty d\bar{c} \text{pr}(\bar{c}) \text{pr}(c_k = \frac{\Delta}{\delta^k} | \bar{c}) \prod_n^{k-1} \text{pr}(c_n | \bar{c}) \rightarrow \frac{k}{k+1} \frac{1}{2R} \begin{cases} 1 & |\Delta| \leq R \\ \left(\frac{R}{|\Delta|}\right)^{k+1} & |\Delta| > R \end{cases}$$

pdf of  $c_k / \max\{c_0 \dots c_{k-1}\}$  after  $k$  tests



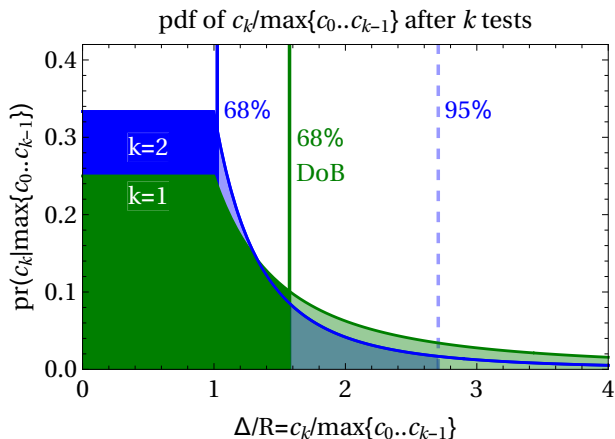
order	DOB in $\pm R$	$\sigma$	$\Delta(95\%)$
LO	50%	$1.6 R$	$11R = 7\sigma$

Gauß	68.27%	$1.0 R$	$2.0\sigma$
------	--------	---------	-------------

**Information:** Convergence  $LO \rightarrow NLO \rightarrow N^2LO$  gives probable “largest number”  $R = \delta^k \max\{|c_0| \dots |c_{k-1}|\}$ .

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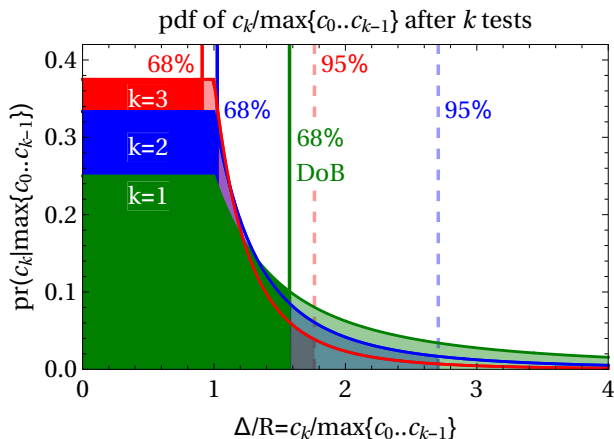


order	DOB in $\pm R$	$\sigma$	$\Delta(95\%)$
LO	50%	1.6 R	11R = 7 $\sigma$
NLO	66.7%	1.0 R	2.7R = 2.6 $\sigma$
Gauß	68.27%	1.0 R	2.0 $\sigma$

**Information:** Convergence LO  $\rightarrow$  NLO  $\rightarrow$  N<sup>2</sup>LO gives probable “largest number”  $R = \delta^k \max\{|c_0| \dots |c_{k-1}|\}$ .

**Result:** Posterior  $\equiv$  *Degree of Belief (DoB)* that next term  $c_k \delta^k$  differs from order- $k$  central value by  $\Delta$ .

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order	DOB in $\pm R$	$\sigma$	$\Delta(95\%)$
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NLO	66.7%	$1.0 R$	$2.7R = 2.6\sigma$
N <sup>2</sup> LO	75%	$0.9 R$	$1.8R = 1.9\sigma$
$k$	$\frac{k}{k+1} \%$		
Gauß	68.27%	$1.0 R$	$2.0\sigma$

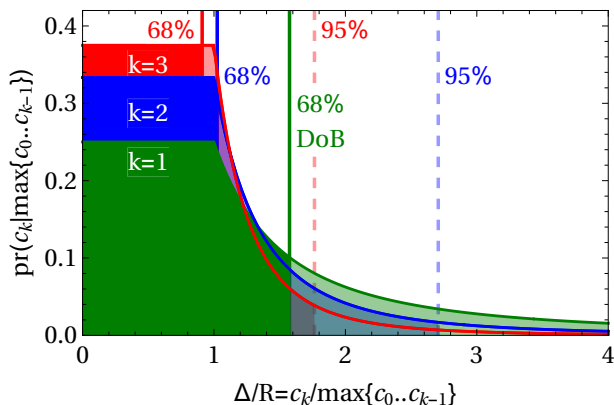
For “high enough” order, largest number  $R$  limits  $\approx 68\%$  **degree-of-belief interval**.

**Information:** Convergence  $LO \rightarrow NLO \rightarrow N^2LO$  gives probable “largest number”  $R = \delta^k \max\{|c_0| \dots |c_{k-1}|\}$ .

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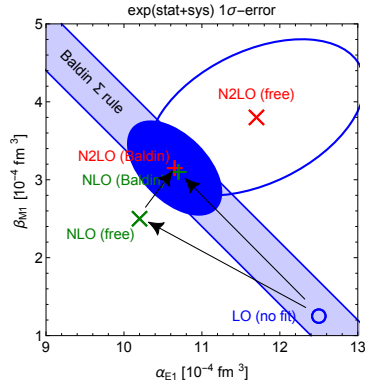
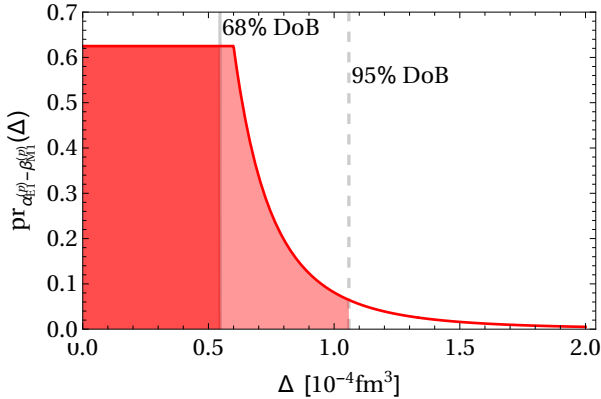
For “high enough” order, largest number  $R$  limits  $\gtrsim 68\%$  **degree-of-belief interval**.

**Varying priors:** When  $k \geq 2$  orders known, DoBs with different assumptions about  $\bar{c}$ ,  $c_n c$  vary by  $\lesssim \pm 20\%$ .

**Posterior pdf not Gauß'ian: Plateau & power-law tail.**

$\Rightarrow$  **Interpretation of all theory uncertainties, with these priors; “ $A \pm \sigma$ ”:** 68% **DoB interval**  $[A - \sigma; A + \sigma]$ .

# Uncertainty Profiles of Polarisabilities at the Physical Point



**Scalar Pols.:**

$$N^2LO \implies k = 3$$

**Baldin:**

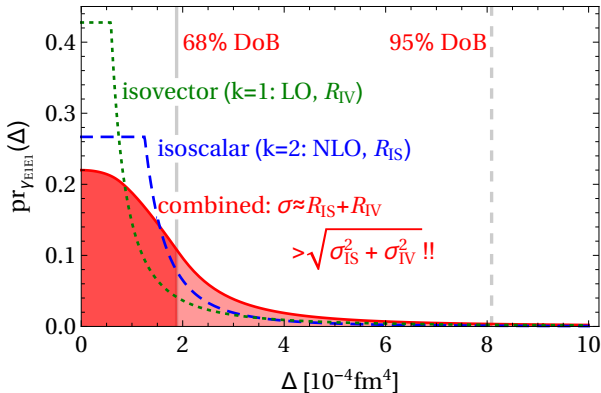
$$\alpha_{E1}^p + \beta_{M1}^p \text{ fixed.}$$

$\implies$  Profile in

$$\alpha_{E1}^p - \beta_{M1}^p$$

translates to

$$2\Delta_\alpha = 2\Delta_\beta.$$



**Spin Polarisabilities:** LO:  $\gamma_i \propto \frac{1}{m_\pi^2}$ .

$\implies$  No  $\gamma_i \propto \frac{1}{m_\pi(M_\Delta - M_N)}$ :  $M_\Delta - M_N$  is IR cutoff.

$\implies k = 2$  nonzero orders.

Isovector starts at NLO, isospin basis natural in  $\chi$ EFT.

$\implies$  **Convolute isoscalar & isovector uncertainties!**

Not Gaussian "add-in-quadrature", more like linear.

**Bayes provides well-defined procedure!**

## (i) Isovector Contributions At The Physical Point

Isovector polarisabilities  $\xi^v := \frac{1}{2}(\xi^p - \xi^n)$  at  $N^2$ LO; **parameter-free**.  $\implies \sim 20\%$  of LO?

**Fits:**

$$\alpha_{E1}^{p-n} = -0.9 \pm 1.3_{\text{tot}}$$

$$\beta_{M1}^{p-n} = -0.5 \pm 1.3_{\text{tot}}$$

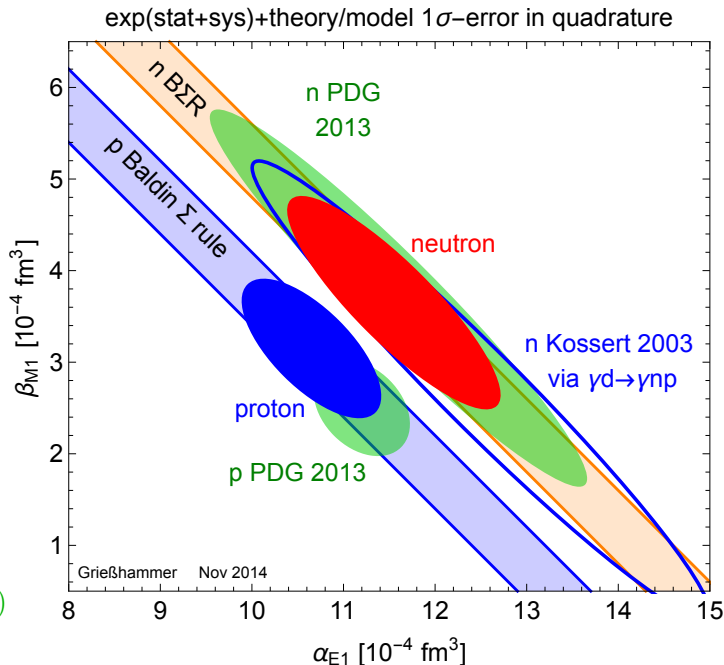
$\implies$  Consider  $m_q$ -dependence!

$$\left. \frac{d\beta_{M1}^v}{d \ln m_q} \right|_{m_\pi^{\text{phys}}} = 0.65 \pm 0.4_{\text{th}}$$

$$\left. \frac{d\alpha_{E1}^v}{d \ln m_q} \right|_{m_\pi^{\text{phys}}} = 0.7 \pm 0.4_{\text{th}}$$

HW: Get  $\sigma$ ! Know isovector only at LO:  $k = 1$

solution:  $\sigma = 1.6R = 1.6 \times \text{LO} \times (\delta = 0.4)$

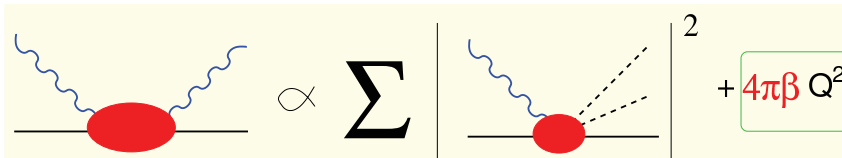


**Possible fine-tuning at  $m_\pi^{\text{phys}}$**  (statistically weak signal).



## (j) Iovector Contributions and the Anthropic Principle???

⇒ **SPECULATION – NO ERROR BARS** hg/JMcG/DRP 1511.01952



**Subtracted DR**

$$\bar{T}_1(v, Q^2) = -v^2 \int_{v_{th}^2}^{\infty} \frac{dv'^2}{v'^2} \frac{W_1(v', Q^2)}{v'^2 - v^2} + 4\pi\beta Q^2 + O(Q^4)$$

**Cottingham  $\Sigma$  Rule:**  $\beta_{M1}^v \iff$  proton-neutron self-energy difference:  $M_{p-n} = M_{p-n}^{\text{strong}} + M_{p-n}^{\text{em,elastic}} - A \beta_{M1}^v$

**If**  $-A\beta_{M1}^v \approx 0.5 \text{ MeV}$  **and If** dispersive  $A \propto \int_0^\Lambda dQ^2 Q^2 \left( \frac{m_p^2}{m_p^2 + Q^2} \right)^2$  weakly  $m_\pi$ -dependent Walker-Loud/  
Carlson/Miller 2012

Then  $\left. \frac{dM_{p-n}^\beta}{d \ln m_q} \right|_{m_\pi^{\text{phys}}} = -0.65 \text{ MeV}$ : **Might not be negligible** vs.  $\left. \frac{dM_{p-n}^{\text{strong}}}{d \ln m_q} \right|_{m_\pi^{\text{phys}}} \approx -2.1 \text{ MeV}$  Bedaque/Luu/  
Platter 2011

⇒ Impact on neutron lifetime relates to Anthropic Principle... (shortened for larger  $m_q$ ??)

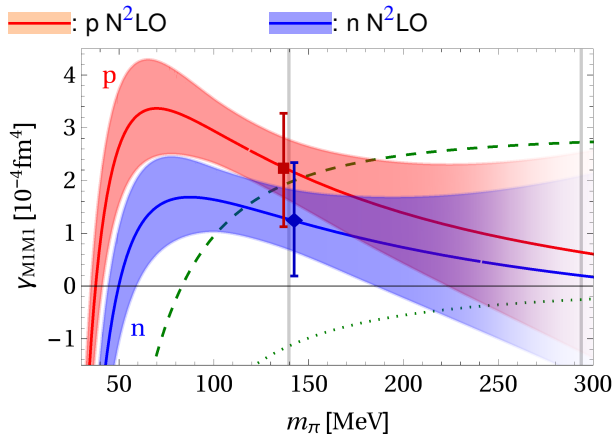
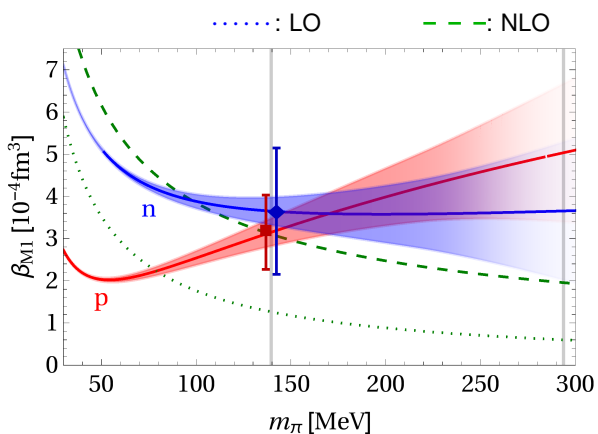
## (a) Extending Chiral Corridors of Uncertainties

$\chi$ EFT: explicit  $m_\pi$ -dependence, parameters fixed at  $m_\pi^{\text{phys}}$ .

Propagating Uncertainties:

– Bayesian order-by-order as before, now at each  $m_\pi$ .

– Some contributions linear in  $m_\pi$ .  $\Rightarrow$  Conservative expansion parameter  $\delta(m_\pi) = 0.4 \times \frac{m_\pi}{m_\pi^{\text{phys}}}$ .



At physical  $m_\pi = 140$  MeV: paramagnetic  $\Delta(1232)$  fine-tuned against diamagnetic NLO  $\pi$ N loops.

Only physical point without substantial isospin splitting.



# LIMITATIONS

UNTIL YOU SPREAD YOUR WINGS,  
YOU'LL HAVE NO IDEA HOW FAR YOU CAN WALK.

## (b) It's A Bit More Complicated...

Bernard/Kaiser/Meißner 1992-4, Butler/Savage/Springer 1992-3, Hemmert/... 1998  
Kumar/McGovern/Birse 2000, McGovern 2001, JMcG/DRP/hg 2013 + 1511.01952

Both <i>magnitude</i> and <i>relative importance</i> of contributions change with $m_\pi$ :		$\sim m_\pi^{\text{phys}}$	$\sim M_\Delta - M_N$ $\approx 300 \text{ MeV}$
<b>charged pion cloud</b> infinite in chiral limit		$e^2 \delta^2$ LO	$e^2 \epsilon^1$ LO
$\Delta(1232)$ + its $\pi$ cloud		$e^2 \delta^3$ NLO	isoscalar only
<b>chiral corr.</b>		$e^2 \delta^4$ N <sup>2</sup> LO	$e^2 \epsilon^2$ NLO <b>incomplete:</b> no $\chi$ correction to $\Delta$ & $\Delta\pi$ ; isovector incomplete

- (i) Close to  $m_\pi^{\text{phys}}$   $\Rightarrow \sqrt{\frac{m_\pi}{\Lambda_\chi \approx 800 \text{ MeV}}} \approx \frac{M_\Delta - M_N}{\Lambda_\chi} =: \delta\text{-counting}$  Pascalutsa/Phillips 2002
- (ii) Close to 300 MeV  $\Rightarrow \frac{m_\pi \sim (M_\Delta - M_N)}{\Lambda_\chi} =: \epsilon\text{-counting}$  Manohar/Jenkins 1994,...
- (iii) Beyond  $\Lambda_\chi \approx 800 \text{ MeV} \Rightarrow$  no small parameter, no convergence  $\Rightarrow$  **at best qualitatively useful!**

**Use unified amplitude:**  $\Rightarrow$  **Accuracy N<sup>2</sup>LO ( $\sim 6\%$ ) for  $m_\pi \sim 140 \text{ MeV}$ , LO ( $\sim 40\%$ ) for  $m_\pi \sim 300 \text{ MeV}$ .**

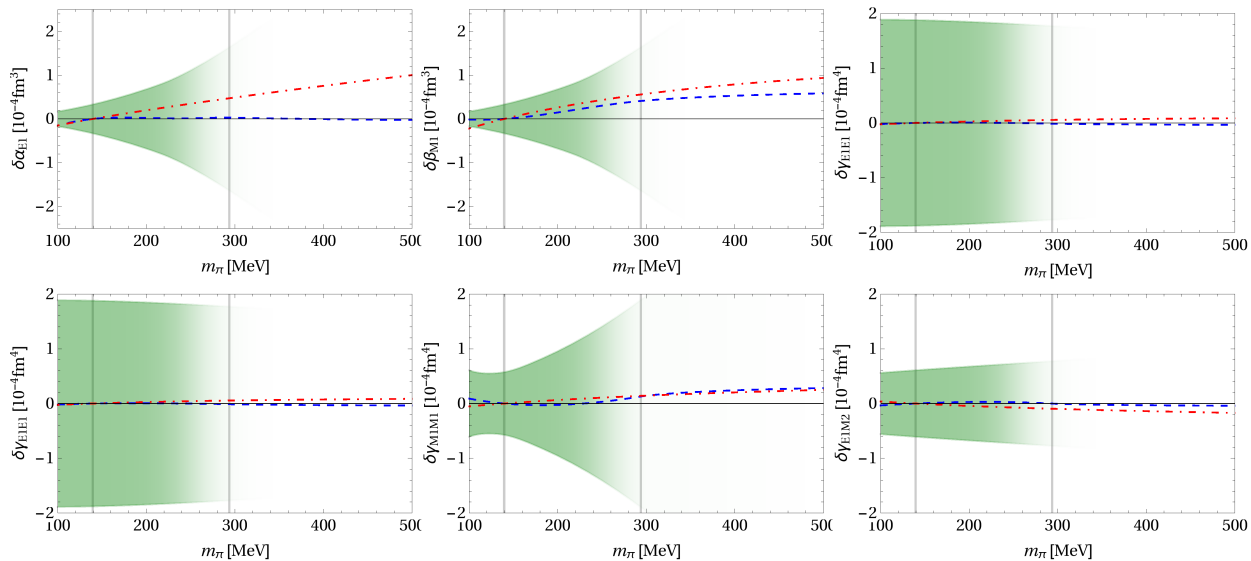
**Gradual loss of accuracy, isovector incomplete, only LO more sensitive to Bayesian prior.**

$\Rightarrow$  **Fade corridors out beyond  $\sim 250 \text{ MeV}$ .**

At this order,  $g_A, f_\pi, M_N, (M_\Delta - M_N), \dots$  independent of  $m_\pi$ .

## (c) Test Uncertainties: Selected Higher-Order Corrections

Theory uncertainty at  $m_\pi = 140$  MeV from convergence pattern.  
Less strict as  $m_\pi \nearrow \Delta$ , breakdown as  $m_\pi \nearrow \Lambda_\chi$ . Confirm by selected higher-order terms.



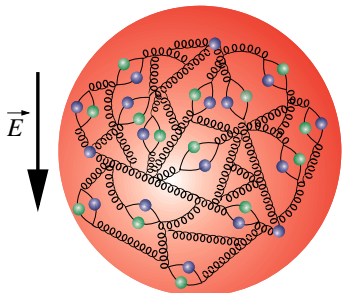
Uncertainties over-estimated?? There could be worse...

Constructed *intrinsic*  $\chi$ EFT uncertainties and credibility region.  $\implies$  Predictive power, falsifiable.

## (d) En Route to Static Polarisabilities from Lattice QCD: Chiral Extrapolations

Towards comparable uncertainties in experiment,  $\chi$ EFT and lattice QCD:

$\chi$ EFT at  $\mathcal{O}(e^2\delta^4)$  provides reliable error estimate for  $\frac{m_\pi}{\Lambda_\chi}$  extrapolation.



$$\mathcal{L}_{\text{pol}} = 2\pi N^\dagger \left[ \alpha_{E1} \vec{E}^2 + \beta_{M1} \vec{B}^2 + \gamma_{E1E1} \vec{\sigma} \cdot (\vec{E} \times \dot{\vec{E}}) + \dots \right] N$$

Pick fully dynamical,  $m_\pi \ll \Lambda_\chi \approx 800 \text{ MeV}$ ,  $\infty$  volume: mostly neutron.



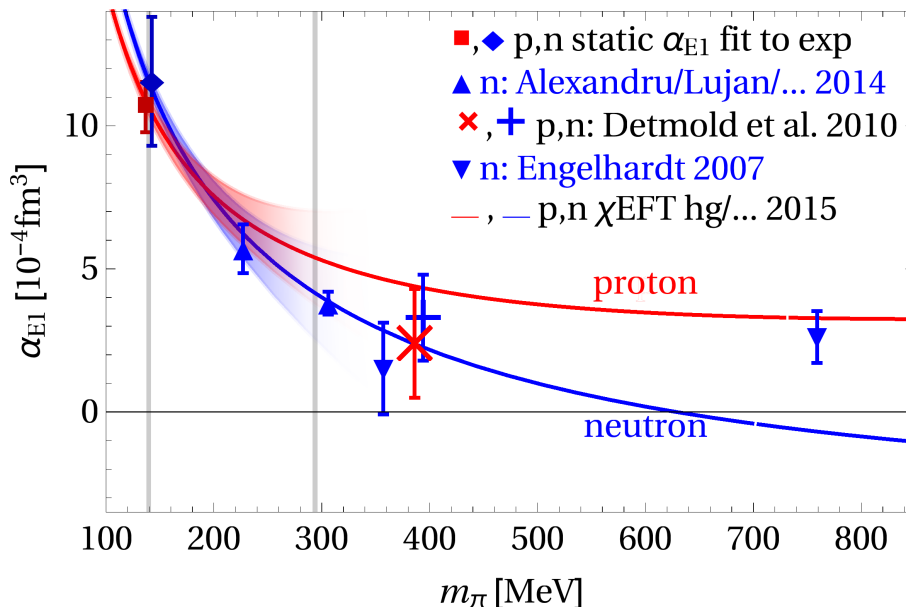
### Active lattice groups:

- Alexandru/Lee/... 2005-;
- Engelhardt/LHPC 2006-;
- NPLQCD 2006-, 2015;
- Leinweber/Primer/Hall/... 2013-

## (e) Electric Polarisabilities: This Is Not A Fit

Criteria:  $m_\pi \ll \Lambda_\chi \approx 800$  MeV, extrapolated to infinite volume, fully dynamical (except for charging sea).

Lattice computations use  $\chi$ EFT for infinite-volume and partial-quenching: Detmold/Tiburzi/Walker-Loud 2006.

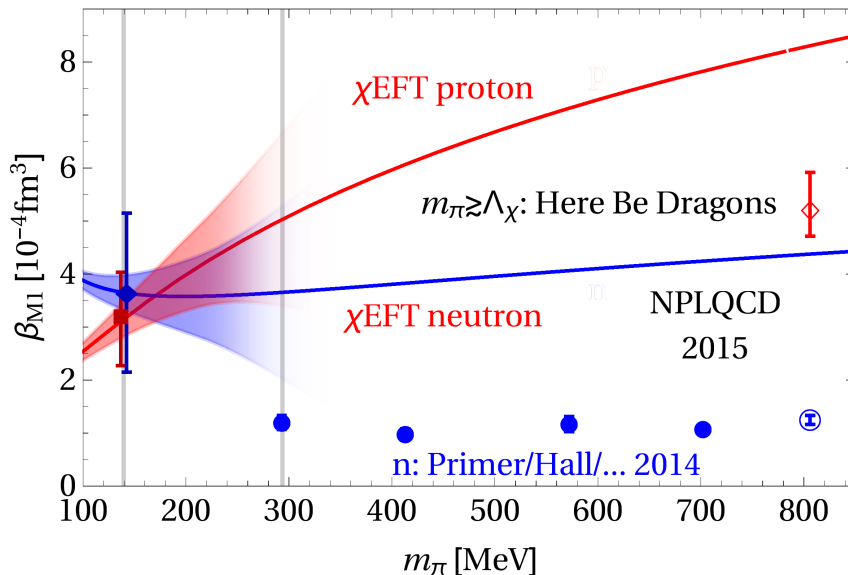


$\chi$ EFT insinuates substantial isospin splitting for  $m_\pi \gtrsim 300$  MeV – beyond credibility region.

## (f) Magnetic Polarisabilities: Surprises and Numerology

$\chi$ EFT predicts substantial isospin splitting for  $m_\pi \gtrsim 200$  MeV:

At  $m_\pi = 140$  MeV, paramagnetic  $\Delta(1232)$  accidentally fine-tuned against diamagnetic NLO  $\pi N$  loops.



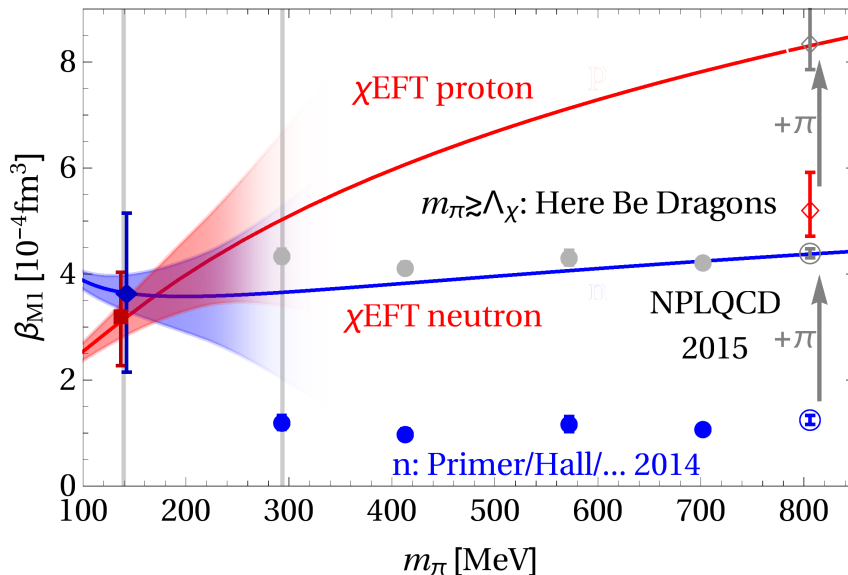
Why  $m_\pi$ -independent offset?



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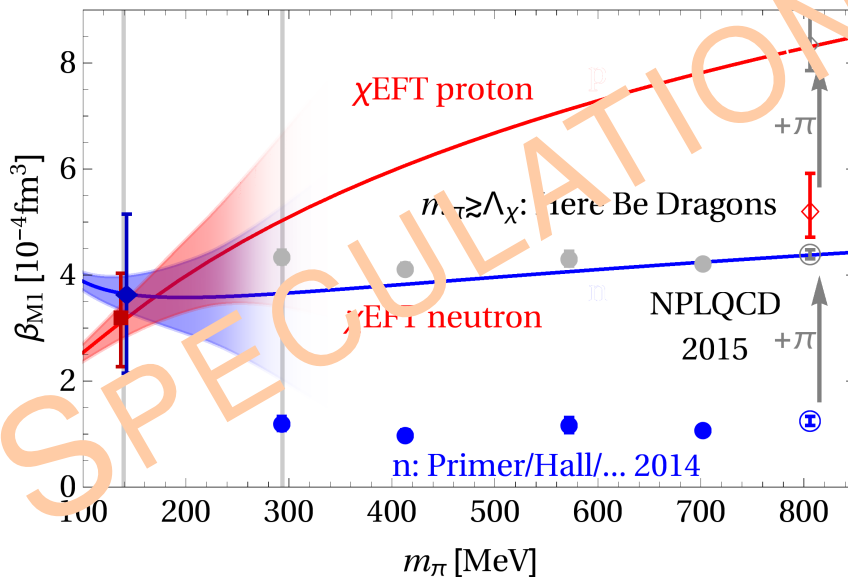


Why  $m_\pi$ -independent offset? Why isoscalar off by “exactly”  $\pi \times 10^{-4} \text{ fm}^3$ ?  
 Why isovector “exactly” matched? Principle of Chiral Persistence?

## (f) Magnetic Polarisabilities: Surprises and Numerology

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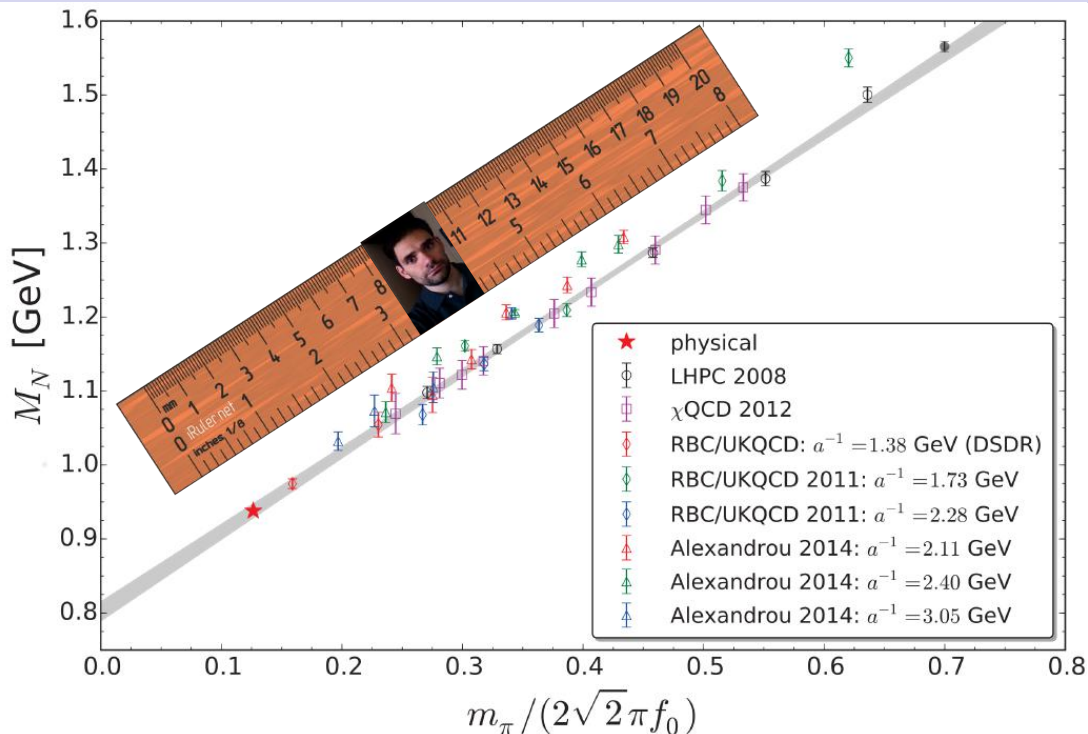
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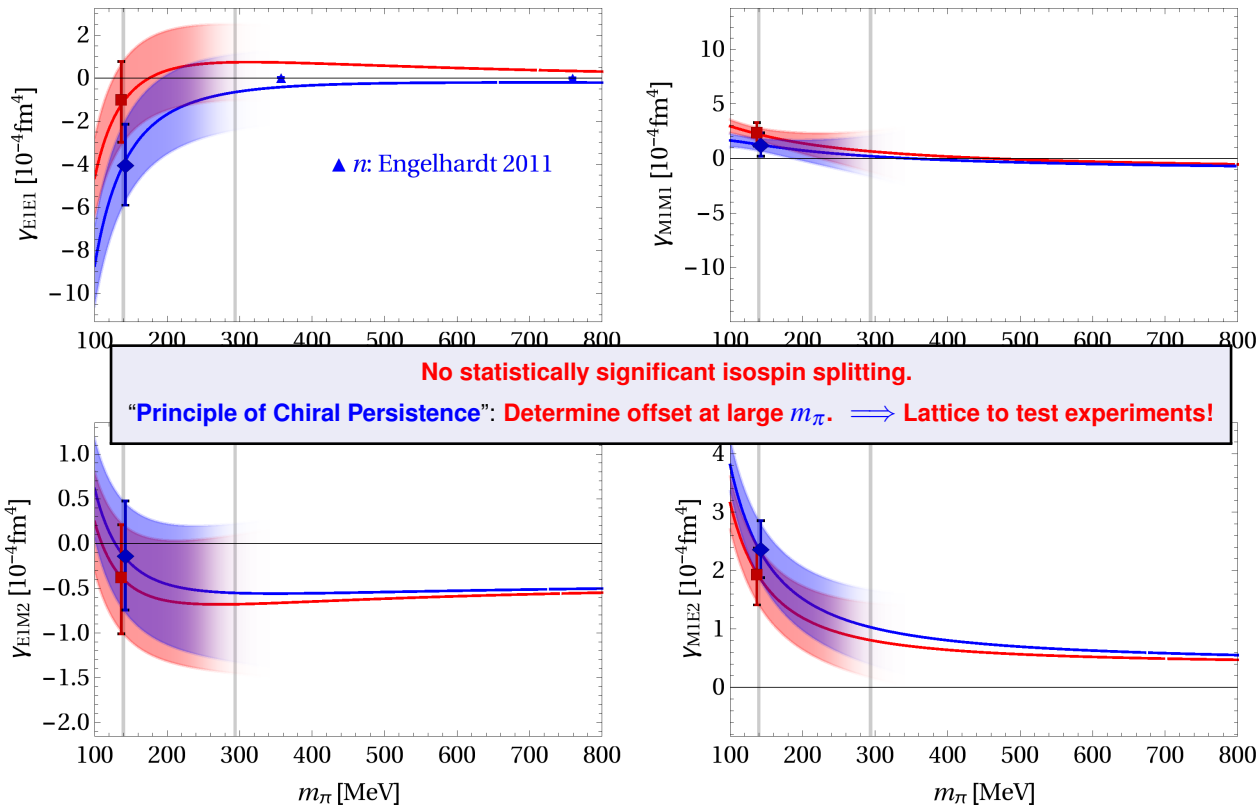
# (g) When $\chi$ EFT Does Not Work: “Ruler Plots”



$\chi$ EFT:  $M_N(m_\pi) - M_N(m_\pi = 0) \propto m_q \propto m_\pi^2$ .

Lattice:  $M_N = 800.0 \text{ MeV} + 1.0 m_\pi$ ! **WHY??** Like heavy-mass pion???

# (h) Chiral Extrapolations of Spin Polarisabilities



## 4. Concluding Questions

**Polarisabilities: scales, symmetries & mechanisms** of interactions with & among constituents:  
 $\chi$ iral symmetry of pion-cloud, iso-spin breaking,  $\Delta(1232)$  properties, nucleon spin-constituents.  
 $\chi$ EFT relates Lattice QCD (unphysical  $m_\pi$ ) to Data: systematic, model-independent, reliable errors.

**Compton amplitude to 350 MeV – Scalar Dipole Polarisabilities from all Compton data below 200 MeV:**

$$\begin{array}{lll} \text{proton N}^2\text{LO} & \alpha^p = 10.65 \pm 0.35_{\text{stat}} \pm 0.2_\Sigma \pm 0.3_{\text{theory}} & \beta^p = 3.15 \mp 0.35_{\text{stat}} \pm 0.2_\Sigma \mp 0.3_{\text{theory}} \\ \text{neutron NLO} & \alpha^n = 11.55 \pm 1.25_{\text{stat}} \pm 0.2_\Sigma \pm 0.8_{\text{theory}} & \beta^n = 3.65 \mp 1.25_{\text{stat}} \pm 0.2_\Sigma \mp 0.8_{\text{theory}} \end{array}$$

Lattice-QCD needs  $m_\pi$ -dependence.  $\implies$  Employ *same framework*:  $\chi$ EFT with explicit  $\Delta(1232)$ .

**Theory Uncertainty Corridor of Extrapolation changes with  $m_\pi$  by interrelated effects:**

- Expansion parameter  $\frac{m_\pi}{\Lambda_\chi}$  increases with  $m_\pi$ .  $\implies$  Relative error increases.
- $\Delta(1232)$  scale is  $m_\pi$ -independent.  $\implies$  Reorder contributions at  $m_\pi \sim (M_\Delta - M_N)$ .
- Pionic d.o.f.s freeze out.  $\implies$  Magnitude of  $m_\pi$ -contributions decreases.

**$\chi$ EFT predictions for all proton & neutron scalar & spin polarisabilities:**

$$\alpha_{E1}, \beta_{M1}: \text{substantial isospin splitting for } m_\pi \gtrsim 300 \text{ MeV}$$

$\gamma_i$ : magnitude and  $m_\pi$ -dependence parameter-free.

$\implies$  **Experiment,  $\chi$ EFT, lattice with competitive uncertainties?**

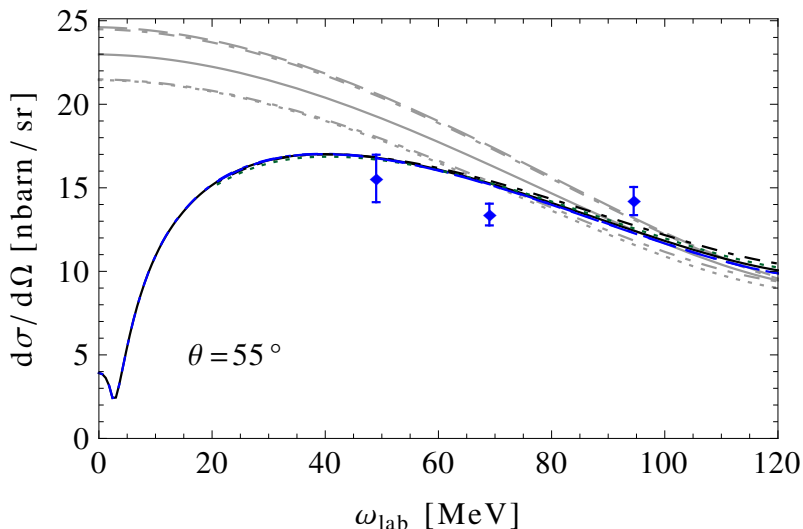
**Polarisabilities: clean probes to relate lattice-QCD to low-energy phenomena.**



**Low-Energy Theorem:** Thomson limit  $\mathcal{A}(\omega = 0) = -\frac{e^2}{M_d} \vec{\epsilon} \cdot \vec{\epsilon}'$ .

Thirring 1950, Friar 1975, Arenhövel 1980: Thomson limit  $\iff$  current conservation  $\iff$  gauge invariance.

**Exact Theorem  $\implies$  At each  $\chi$ EFT order  $\implies$  Checks numerics.**



Significantly reduces cross section for  $\omega \lesssim 70$  MeV.

Numerically confirmed to  $\lesssim 0.2\%$ , irrespective of deuteron wave function & potential.

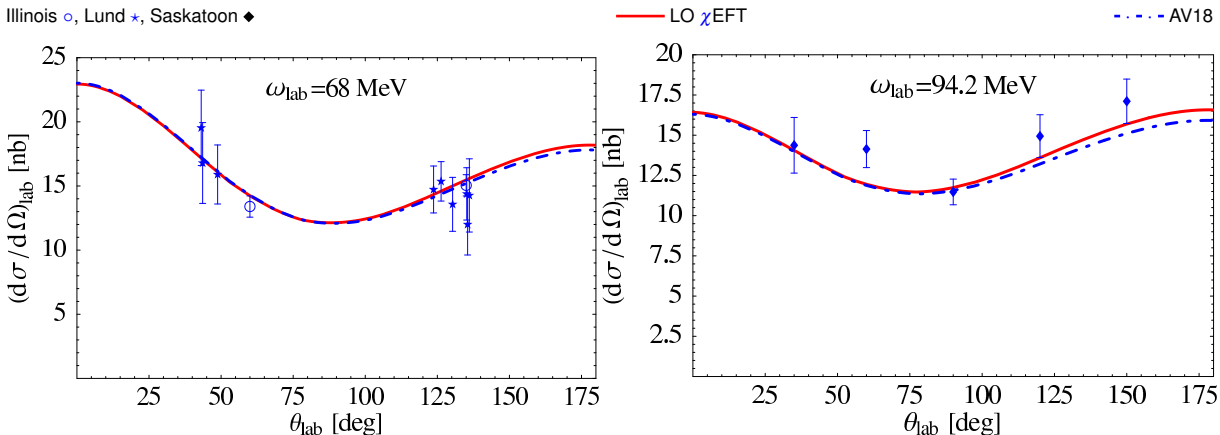
Wave function & potential dependence significantly reduced even as  $\omega \rightarrow 150$  MeV  $\implies$  **gauge invariance.**

Urbana, Lund data  
model-independence

# (a) $NN$ -Rescattering Leads To An Exact Low-Energy Theorem

Dependence of  $T_{NN}$  on  $NN$ -potential  $\cong$  short-distance, for  $\omega \rightarrow 0$  clear from Thomson.

Illinois  $\circ$ , Lund  $\star$ , Saskatoon  $\blacklozenge$



$$\text{LO } \chi\text{EFT-potential: } \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} C_{0,P} \\ \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \sim Q^{-1}$$

Consistent for Compton at NLO:  $\mathcal{O}(Q^0)$ -correction of  $NN$ -potential presumed zero.

AV18 provides  $< 3\%$  corrections  $\Rightarrow$  suggests higher-order indeed  $Q^1 \approx \left(\frac{1}{7}\right)^2$ .



# (a) *NN*-Rescattering Leads To An Exact Low-Energy Theorem

## Wave-function sampling: no major dependence

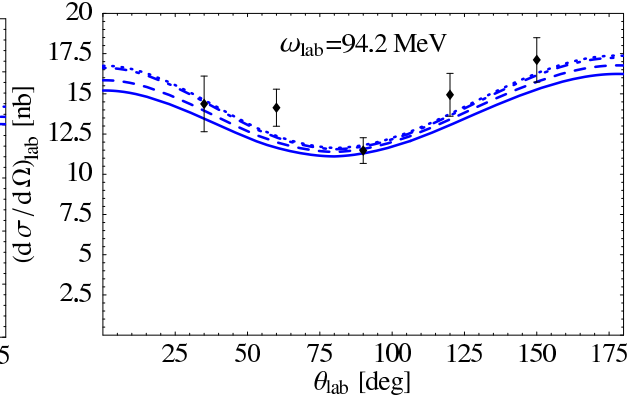
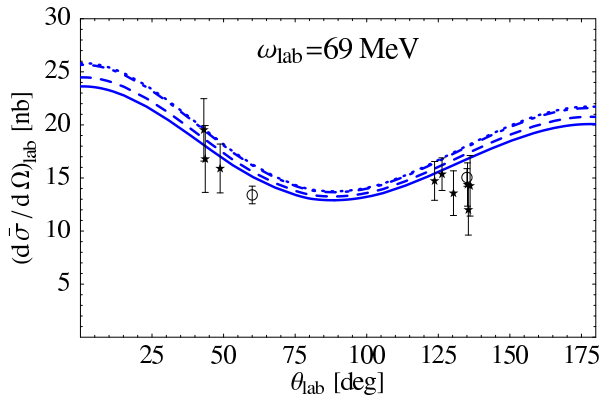
Illinois  $\circ$ , Lund  $\star$ , Saskatoon  $\blacklozenge$

— “NNLO  $\chi$ PT”

- - - AV18

- - - CD-Bonn

⋯ Nijmegen 93



extreme cases:

$\chi^2/\text{d.o.f.}$  unconstrained

$\chi^2/\text{d.o.f.}$  constrained

— “NNLO  $\chi$ PT”

⋯ Nijmegen 93

1.8

1.7

2.5

2.4

but with  $\sim 10\%$  worrisome enough to trigger further investigations. . .

## 5. The EFT Promise: Serious Theorists Have Error Bars

**Scientific Method: Quantitative results with corridor of theoretical uncertainties for falsifiable predictions.**

**“Double-Blind” Theory Errors: Assess with pretense of no/very limited data.**

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### **Editorial: Uncertainty Estimates**

The purpose of this Editorial is to discuss the importance of including uncertainty estimates in papers involving theoretical calculations of physical quantities.

It is **not unusual for manuscripts on theoretical work to be submitted without uncertainty estimates** for numerical results. In contrast, papers presenting the results of laboratory **measurements would usually not be considered acceptable** for publication in *Physical Review A* without a detailed discussion of the uncertainties involved in the measurements. For example, a graphical presentation of data is always accompanied by error bars for the data points. The determination of these error bars is often the most difficult part of the measurement. Without them, it is impossible to tell whether or not bumps and irregularities in the data are real physical effects, or artifacts of the measurement. Even papers reporting the observation of entirely new phenomena need to contain enough information to convince the reader that the effect being reported is real. The standards become much more rigorous for papers claiming high accuracy.

The question is to what extent can the same high standards be applied to papers reporting the results of theoretical calculations. It is all too often the case that the numerical results are presented without uncertainty estimates. **Authors sometimes say that it is difficult to arrive at error estimates. Should this be considered an adequate reason for omitting them?** In order to answer this question, we need to consider the goals and objectives of the theoretical (or computational) work being done. Theoretical papers can be broadly classified as follows:

**Workshop “Predictive Capabilities of Nuclear Theories”, Krakow (Poland), 25 Aug 2012**

**Special Issue *J. Phys. G* (Feb 2015):**

**“Enhancing the Interaction between Nuclear Experiment and Theory through Information and Statistics”**

## 5. The EFT Promise: Serious Theorists Have Error Bars

**Scientific Method: Quantitative results with corridor of theoretical uncertainties for falsifiable predictions.**

**“Double-Blind” Theory Errors: Assess with pretense of no/very limited data.**

physical effects not included in the calculation from the beginning, such as electron correlation and relativistic corrections. It is of course never possible to state precisely what the error is without in fact doing a larger calculation and obtaining the higher accuracy. However, the same is true for the uncertainties in experimental data. **The aim is to estimate the uncertainty, not to state the exact amount of the error or provide a rigorous bound.**

There are many cases where it is indeed not practical to give a meaningful error estimate for a theoretical calculation; for example, in scattering processes involving complex systems. The comparison with experiment itself provides a test of our theoretical understanding. However, there is a broad class of papers where estimates of theoretical uncertainties can and should be made. **Papers presenting the results of theoretical calculations are expected to include uncertainty estimates for the calculations whenever practicable, and especially under the following circumstances:**

- 1. If the authors claim high accuracy, or improvements on the accuracy of previous work.**
- 2. If the primary motivation for the paper is to make comparisons with present or future high precision experimental measurements.**
- 3. If the primary motivation is to provide interpolations or extrapolations of known experimental measurements.**

These guidelines have been used on a case-by-case basis for the past two years. Authors have adapted well to this, resulting in papers of greater interest and significance for our readers.

The Editors

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**Workshop “Predictive Capabilities of Nuclear Theories”, Krakow (Poland), 25 Aug 2012**

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# 6. The EFT-Cookbook

## (a) Power-Counting Non-Perturbative EFTs

**Correct long-range + symmetries: Chiral SSB, gauge, iso-spin,...**  
**Short-range: ignorance into minimal parameter-set at given order.**

**Systematic ordering** in  $Q = \frac{\text{typ. momentum } p_{\text{typ}}}{\text{breakdown scale } \bar{\Lambda}_{\text{EFT}}} \ll 1$

**Controlled approximation:** model-independent, error-estimate.

$\Rightarrow$  **Chiral Effective Field Theory  $\chi$ EFT**  $\equiv$  **low-energy QCD**

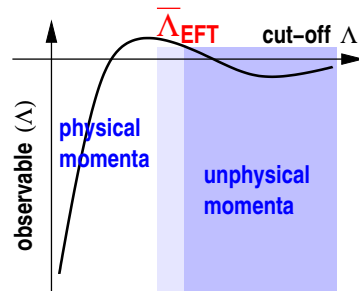
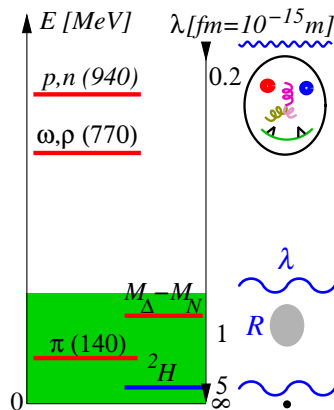
$\Rightarrow$  **Pion-less Effective Field Theory EFT( $\pi$ )**  $\equiv$  **low-energy  $\chi$ EFT**

**Shallow real/virtual QCD bound states**  $\Rightarrow$  **Few- $N$  non-perturbative!**

$$T_{\text{LO}} = V_{\text{LO}} + V_{\text{LO}} G T_{\text{LO}}$$

$$T_{\text{NLO}} = (\mathbb{1} + T_{\text{LO}}^\dagger) V_{\text{NLO}} (\mathbb{1} + T_{\text{LO}}) \quad \text{strict perturbation about LO}$$

$\Rightarrow$  Analytic results rare; regularisation by **cut-off  $\Lambda \neq \bar{\Lambda}_{\text{EFT}}$** .



$\Rightarrow$  saturated at  $\bar{\Lambda}_{\text{EFT}} \lesssim \Lambda$ .

## (b) (Some) Ways to Estimate Theoretical Uncertainties at fixed $k$

**Choose most conservative/worst-case error for final estimate! Clearly state your choice!**

Expansion parameter  $Q = \frac{\text{typ. low scale } p_{\text{typ}}}{\text{typ. high scale } \overline{\Lambda}_{\text{EFT}}} \Rightarrow \mathcal{O} = \sum_{i=0}^{k-1} c_i(\Lambda) Q^i$  complete up to order  $Q^{k-1}$  ( $N^k\text{LO}$ ).

- A priori:  $Q^k$  of LO.
- Convergence pattern of series: smaller corrections  $\text{LO} \rightarrow \text{NLO} \rightarrow \text{N}^2\text{LO} \rightarrow \dots$   
 $\Rightarrow$  **Bayesian estimate:** error  $Q^k \times \max_i |c_i|$  captures corridor with  $\frac{k}{k+1} \times 100\%$  degree of belief.

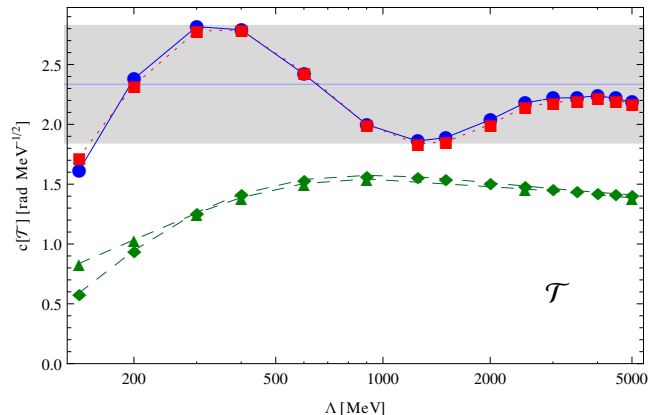
Furnstahl/Klco/Phillips/Wesolowski (BUQEYE) 2015

- Less dependence on particular low-E data taken for LECs. (e.g.  $Z$ -param. vs. ERE; fit  $H_0$  to  $a_3$  vs.  $B_3, \dots$ )
- Include selected higher-order RG- & gauge-invariant effects: *does not increase accuracy.*
- Corridor mapped by cutoff  $\Lambda$  *in wide range.*

Should decrease order-by-order.

Example: PV coefficient in  $nd$  at  $k = 0$ .

hg/Schindler/Springer 2012



## (a) Using Cut-Offs to Your Advantage

Observable  $\mathcal{O}(k)$  at momentum  $k$ , order  $\mathcal{Q}^n$  in EFT, cut-off  $\Lambda$ :

$$\mathcal{O}_n(k; \mu) = \underbrace{\sum_i^n \left( \frac{k, p_{\text{typ.}}}{\Lambda_{\text{EFT}}} \right)^i \mathcal{O}_i}_{\text{renormalised, } \Lambda\text{-indep.}} + \underbrace{\mathcal{C}(\Lambda; k, p_{\text{typ.}}, \bar{\Lambda}_{\text{EFT}}) \left( \frac{k, p_{\text{typ.}}}{\Lambda_{\text{EFT}}} \right)^{n+1}}_{\substack{\text{residual } \Lambda\text{-dependence} \\ \text{parametrically small} \\ \mathcal{C} \text{ "of natural size"}}}$$

$$\Rightarrow \text{Difference between any two cut-offs: } \frac{\mathcal{O}_n(k; \Lambda_1) - \mathcal{O}_n(k; \Lambda_2)}{\mathcal{O}_n(k; \Lambda_1)} = \left( \frac{k, p_{\text{typ.}}}{\Lambda_{\text{EFT}}} \right)^{n+1} \times \frac{\mathcal{C}(\Lambda_1) - \mathcal{C}(\Lambda_2)}{\mathcal{C}(\Lambda_1)}$$

Isolate breakdown scale  $\bar{\Lambda}_{\text{EFT}}$ , order  $n$  by double-ln plot of “**derivative of observable w. r. t. cut-off**”.

**Test consistency: Does numerics match predicted convergence pattern?**

$$\text{Renormalisation Group Evolution: } \Lambda_1 \rightarrow \Lambda_2 \Rightarrow \frac{\Lambda}{\mathcal{O}} \frac{d\mathcal{O}}{d\Lambda} = \left( \frac{k, p_{\text{typ.}}}{\Lambda_{\text{EFT}}} \right)^{n+1} \frac{d \ln \mathcal{C}(\Lambda)}{d \ln \Lambda} \rightarrow 0 \text{ if exact RGE.}$$

Residual  $\Lambda$ -dependence decreases parametrically order-by-order.

**Complication:** Several intrinsic low-energy scales in few-N EFT:

scattering momentum  $k$ ,  $m_\pi$ , inverse  $NN$  scatt. lengths  $\gamma(^3S_1) \approx 45 \text{ MeV}$ ,  $\gamma(^1S_0) \approx 8 \text{ MeV}, \dots$