Complex energy formalisms: Complex scaling with realistic interactions and The (No Core) Gamow Shell Model

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Collaborators

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Life on the edge of nuclear stability: Experimental highlights

2⁺₁ energy (keV)

• New decay modes: 2n radioactivity



A.Spyrou et al

• Shell structure revisited: Magic numbers disappear, other arise.



- New exotic resonant states: ¹³Li, ¹⁰He,²⁶O... (MoNA collaboration Nucl. Instr. and Methods A505 (2003) 33 and PRC 87, 011304, PRL 110 152501, PRL 108 142503, PRL 109, 232501 recently)
- Metastable states above particle thresholds are measured.
- Very dilute matter distribution
- Extreme clusterization close to particle thresholds.

Provide stringent constraints to theory

But also: Theory is in need for predictions and supporting certain experimental aspects

Connections between structure and reactions

- \rightarrow They share many-common ideas and difficulties:
- Effective interactions/optical potentials
- Many channels in CC equations/A lot of basis states (e.g in CI) => need for supercomputing/collaboration with Computer-Scientists
- Approximations to make calculations easier: e.g Eikonal approximation/ basis truncations, MBPT, cluster expansions etc => How well are controlled? (Benchmarks are important)

The common denominator though it is that we (both) want to calculate observables.



Connections between structure and reactions

But we want to do it in a model independent way, so as to reduce uncontrollable errors by combining ingredients from different methods which are probably based on different assumptions.

- → That is why the ultimate goal is to unify structure+reactions! Calculate structure and reaction observables using the same assumptions and the same Hamiltonian.
- → Try to depart from Spectroscopic factors and use, for example, ANCs
- Try to compute directly resonant parameters (resonances)
 i.e. positions and total widths

Resonances

- \rightarrow How to obtain them?
- On the real-energy axis from the phase-shift $\delta(E)$: Position \rightarrow inflection point Width $\rightarrow 2/(d\delta/dE)|$ at inflection point. Basically it is an R-matrix formula (maybe not so reliable for broad resonances e.g. Thompson-Nunes book page 302)

In addition one needs $\delta(E)$, meaning that reaction coupled channel heavy calculation is unavoidable.

- "Stabilization" techniques on the real-axis
- Widths from ANCs and Integral relations
- On the complex energy axis, poles of complex S-matrix: unambiguous extraction e.g. "Extended" R-matrix (Hale, Csoto) for broad 5He,5Li resonances Complex energy shell model Complex Scaling

The complex scaling

Belongs to the category of:

• **Bound state** technique to calculate resonant parameters and/or states in the continuum

Prog. Part. Nucl. Phys. 74, 55 (2014) and 68, 158 (2013) (reviews of bound state methods by Orlandini, Leidimann-Lazauskas, Carbonell)

Nuclear Physics

- Nuttal and Cohen PR 188, 1542 (1969)
- Lazauskas and Carbonell PRC 72 034003 (2005)
- Witala and Glöckle PRC 60 024002 (1999)
- Aoyama et al PTP 116, 1 (2006)
- Horiuchi, Suzuki, Arai PRC 85, 054002 (2012)
- Myo, Kikuchi, Masui, Kato Prog. Part. Nucl. Phys. 79 1 (2014)

Chemistry

- Moiseyev Phys. Rep 302 212 (1998)
- Y. K. Ho Phys. Rep. 99 1, (1983)
- McCurdy, Rescigno PRL 41, 1364 (1978)

The complex scaling

Complex Scaling Method in a Slater basis A.T.Kruppa, G.Papadimitriou, W.Nazarewicz, N. Michel PRC 89 014330 (2014)

- 1) Basic idea is to rotate coordinates and momenta i.e. $r \rightarrow re^{i\theta}$ Hamiltonian is transformed to $H(\theta) = U(\theta)H_{original}U(\theta)^{-1}$ $H(\theta)\Psi(\theta) = E\Psi(\theta)$ complex eigenvalue problem
- The spectrum of $H(\theta)$ contains bound, resonances and continuum states.
- 2) Slater basis or Slater Type Orbitals (STOs): Basically, exponential decaying functions

 $\sum_{l_1,j_1} \sum_{l_2,j_2} \sum_{i,j=0}^{N} C_{l_1j_1l_2j_2,ij} \mathcal{A}\left(r_1^{l_1+i} r_2^{l_2+j} \exp(-ar_1 - ar_2) [\mathcal{Y}_{l_1j_1}(\hat{r}_1, s_{z_1}) \otimes \mathcal{Y}_{l_2j_2}(\hat{r}_2, s_{z_2})]^{IM}\right)$

- \rightarrow CS: Powerful method to obtain resonance parameters in Quantum Chemistry
- → Involves L² square integrable functions. Resonance parameters are obtained without an implicit imposition of boundary conditions. Resonant states behave like bound states at larhe r.
- → Can (in general) be applied to available bound state methods techniques (i.e. NCSM, Faddeev, CC etc)

Some results (⁶He)

- Comparison between Complex Scaling Slater and Gamow Shell Model
- 0⁺ g.s, 2⁺ 1st excited Force Minnesota, a-n interaction KKNN

$\langle \hat{O} \rangle$	0+	GSM	$\mathrm{CS}\left(\vartheta=0\right)$	
$\langle \hat{H} \rangle$		-0.249	-0.247	
$\langle \hat{T} \rangle$		24.729	24.731	
$\langle V_{c-n} \rangle$		-21.642	-21.645	
$\langle V_{nn} \rangle$		-2.711	-2.710	
$\left\langle \frac{\vec{p_1} \cdot \vec{p_2}}{m_3} \right\rangle$)	- 0.625	- 0.623	
\hat{O} 2 ⁺ CS ($\vartheta = \vartheta_{opt}$)			GSMI	
\hat{H}	1.	239 <i>- i</i> 0.291	1.239 - i0.292	
\hat{T}	17	.340 - i7.949	17.311 - i7.825	
V_{c-n}	-1	5.831 + i7.408	-15.805 + i7.28	
V_{nn}	-0	0.270 + i0.250	-0.267 + i0.244	

 \rightarrow Reliable calculation of widths of metastable states

Some results



Correct asymptotic behavior

Complex Scaling with a general non-local realistic force?

Has been tried with very strong core Reid and AV18 potentials (analytical/local) (Lazauskas, Glöckle, Witala, Horiuchi....)

Apply CS in a chiral NN force:

- 2-body problem in relative coordinates.
- H = Trel + Vrel in HO basis
- Deuteron bound state (351-3D1 coupled channels)
- Compute complex scaled matrix elements of the interaction
- Simple implementation: Shift CS transformation to the basis for the TBME

 $H_{\theta} = e^{-2i\theta} \text{Trel} + \text{Vrel}(\theta)$

 \rightarrow Diagonalize H_{θ} with your favorite diagonalization routine



- \rightarrow Test is successful. Bound state position does not change after rotation.
- \rightarrow Probably the first application of CS on a chiral potential.
- \rightarrow That's all you need to create matrix elements in the lab system for other applications

Complex Scaling for scattering phase-shifts (selected examples) G. Papadimitriou and J.P. Vary PRC(R) 91, 021001 2015

 \rightarrow Connection with continuum level density (CLD)

$$\Delta(E) = -\frac{1}{\pi} ImTr[\frac{1}{E - H(\theta)} - \frac{1}{E - H_0(\theta)}] \qquad \text{ and } \qquad \Delta(E) = \frac{1}{\pi} \frac{d\delta(E)}{dE}$$

 $H(\theta)$ is the CS interacting Hamiltonian

H₀(θ) is the asymptotic Hamiltonian (kinetic energy + (Coulomb)) (Formulas based on work of Giraud, Kruppa, Arai, Kato...)

→ From the CLD one could also extract resonant parameters: CLD has peaks in the vicinity of a resonance. Use a function to determine the resonant parameters

CS offers three different ways to obtain resonant parameters:

- 1) From eigenstates of Hamiltonian
- 2) From CLD (e.g. fit to Breit-Wigner)
- 3) From phase-shift via the inflection criterion

You could check with the same Hamiltonian what each 'method' gives







Complex Scaling for structure and reactions (some comments):

- \rightarrow Doable to use realistic, non-local chiral or phenomenological potentials.
- \rightarrow **Phase shifts** converge rapidly with increasing θ and they become independent of θ for values > 0.2 rad.
- → Phase shifts are obtained by a diagonalization of a complex scaled Hamiltonian. No boundary condition is imposed to describe scattering. Bound state technique to obtain phase shifts and hence cross-sections.
- Aethod gives at the same time: widths, partial widths, position of resonances, (structure) and also reaction observables with a single diagonalization...
- → Expected to work with any bound state technique, since it is built to use L² integrable functions (e.g. HO).
- → The lack of boundary conditions may make the method suitable also for d,p reactions where the Coulomb treatment will not require any screening



Hamiltonian diagonalized

$$|\Psi\rangle = \sum_{n} c_n |SD_n\rangle$$

Many body correlations and coupling to continuum are taken into account simultaneously



 \rightarrow We assume an alpha core in some of our calculations..

"recoil" term coming from the expression of *H* in relative coordinates.



V_{ij} usually a phenomelogical/schematic NN interaction, and fitted to spectra of nuclei:
Minnesota force is used, unless otherwise indicated.

Examples: Neutron correlations in ⁶He ground state (G. P et al PRC 84, 051304 2011)



$$\rho(r_1, r_2, \theta_{12}) = \langle \Psi | \delta(r_1 - r) \delta(r_2 - r') \delta(\theta_{12} - \theta) | \Psi \rangle$$

 \rightarrow Probability of finding the particles at distance **r** from the core with an angle θ_{nn}

See also I. Brida and F. Nunes NPA 847,1 and Quaglioni, Redondo, Navratil PRC 88, 034320

Effective interactions in the (Gamow) Shell Model

 \rightarrow Attempts to create an effective interaction are as old as nuclear physics

Two "schools" of thought:

- Try to make a link with the microscopic NN interaction in free space by taking into account the in-medium effects. Examples:
- Brueckner theory,
- Kuo-Brown MBPT,
- Density matrix expansion
- Effective interactions for shell model from CC, IM-SRG, NCSM with a core....
- 2) Assume an analytic form of the force consistent with symmetries of the nuclear Hamiltonian and make a global fit to nuclear properties and/or nuclear matter. Examples:
- Skyrme-Gogny in nuclear DFT
- Shell Model Interactions à la Alex-Brown (USD etc)

Of course even the free space NN interaction is also effective! (Chiral EFT or meson exchange models)

Further approaches for renormalization include Vlowk, SRG, UCOM etc...

Oxygen isotopes: A playground for many-body methods.

- → 3N forces necessary, many states are unbound so you need a theory to obtain widths (continuum coupling)
- → Most consistent calculation up to now (3N + continuum) by ORNL group (G. Hagen et al)
- → Effective microscopic interaction plus continuum e.g. Q-box in Gamow basis for the sd interaction (240 as a core Tsukiyama, Hagen, Jensen)
- \rightarrow IMSRG calculations, SCG(Gorkov)F etc

Preliminary (work in progress)



(work in progress) Phenomenolodgy of 260

Using a 240 core and a schematic interaction study S2n-width correlation of 260



- Large Gamow basis for p-sd orbitals
- WS basis fitted to 240+n GSI experiment
- New experiments provide a very small width for 260 g.s. Need precise calculation of 52n

Gamow Shell Model in an ab-initio "no-core" framework

$$H = \frac{1}{A} \sum_{i < j}^{A} \frac{(\vec{p_i} - \vec{p_j})^2}{2m} + V_{NN,ij} + \dots \quad (1)$$

- Only NN forces at present
 - \rightarrow All particles active (No-Core). Solve the A-body Hamiltonian.
 - → Argonne V18, (Wiringa, Stoks, Schiavilla PRC 51, 38, 1995)
 - \rightarrow N³LO (D.R.Entem and R. Machleidt PRC(R) 68, 041001, 2003)
 - → V_{lowk} technique used to decouple high/low momentum nodes. Λ_{Vlowk} = 1.9 fm⁻¹ (S. Bogner et al, Phys. Rep. 386, 1, 2003)
- Basis states

 \rightarrow s- and p- states generated by the Gamow HF or WS potential

 \rightarrow |>1 H.O states





• Diagonalization of (1) \rightarrow Applications to 4H,4Li,5H

When theorists agree!

- → NN force: JISP16 (A. Shirokov et al PRC79, 014610) and NNLO_{opt} (A. Ekstrom et al PRL 110, 192502)
- → Quality control: Verification/Validation, cross check of codes

Nucleus	MFDn	NCGSM	Difference
$^{2}\text{H 1}^{+}$ (N _{shell} = 4)	-1.6284	-1.6284	$\leq 0.1 \text{ keV}$
$^{2}\mathrm{H}\ 1^{+}\ (\mathrm{N}_{shell}=8)$	-2.1419	-2.1419	$\leq 0.1~{\rm keV}$
${}^{3}\mathrm{H}\ 1/2^{+}\ (\mathrm{N}_{shell}=4)$	-7.6016	-7.6016	$\leq 0.1~{\rm keV}$
${}^{3}\mathrm{H}\ 1/2^{+}\ (\mathrm{N}_{shell}=8)$	-8.3203	-8.3203	$\leq 0.1~{\rm keV}$
$^{3}\text{He }1/2^{+}(N_{shell}=8)$	-7.6084	-7.6084	$\leq 0.1~{\rm keV}$
${}^{4}\text{He} \ 0^{+} \ (\text{N}_{shell} = 4)$	-27.3685	-27.3684	$0.1 \ \mathrm{keV}$
⁶ Li 1 ⁺ (N _{shell} = 4)	-24.9778	-24.9776	$0.2 \ \mathrm{keV}$
⁶ Li 3 ⁺ (N _{shell} = 4)	-22.4959	-22.4957	$0.2 { m keV}$

MFDn: Maris, Vary,... NC-GSM: Papadimitriou...

Calculations are done a pure HO basis

Nucleus	NCGSM	MFDn	Difference
$^{3}\text{H} 1/2^{+} \text{N}^{2}\text{LO}_{opt} (\text{N}_{shell} = 4)$	-5.9802	-5.9806	0.4 keV
$^{3}\text{H} 1/2^{+} \text{N}^{2}\text{LO}_{opt} (\text{N}_{shell} = 8)$	-8.1129	-8.1132	$0.3 \ \mathrm{keV}$
${}^{3}\mathrm{H}\ 1/2^{+}\ \mathrm{N}^{2}\mathrm{LO}_{opt}\ (\mathrm{N}_{shell}=10)$	-8.2171	-8.2174	$0.3 { m keV}$

Applications to ^{4,5}H and ⁴Li

- \rightarrow Towards the path to calculate super-heavy hydrogens
- → Recent exciting experimental findings need theoretical support and guidance



- → Extreme N/Z ratio
- \rightarrow Test ground for many-body methods and interactions
- → Similar behavior to ^{6,8}He isotopic chain? It is believed that 5H ~ 6He, 6H ~ 7He 7H ~ 8He...

⁴H,⁴Li:



- > Extrapolated result has an uncertainty of about +-20 keV
- Sensitivity tests to be completed

Results



> Similar trend with ${}^{4}H$

Results as compared to experiment





but... still sensitivity aspects to be investigated

What has just been described (and also other activities) was approved as a **NESAP** project for calculations of **weakly-bound** and **unbound resonant** states

(Iowa State University and Berkeley National Lab. Computer Scientists and Intel staff)

Cori Knights Landing

Next-generation Intel[®] Xeon Phi[™] Knights Landing (KNL) product with

improved single thread performance targeted for highly parallel

computing



Complex Scaling for structure and reactions (some comments repeat):

- \rightarrow Doable to use realistic, non-local chiral or phenomenological potentials.
- \rightarrow **Phase shifts** converge rapidly with increasing θ and they become independent of θ for values > 0.2 rad.
- → Phase shifts are obtained by a diagonalization of a complex scaled Hamiltonian. No boundary condition is imposed to describe scattering. Bound state technique to obtain phase shifts and hence cross-sections.
- → Method gives at the same time: widths, partial widths, position of resonances, (structure) and also reaction observables with a single diagonalization...
- → Expected to work with any bound state technique, since it is built to use L² integrable functions (e.g. HO).
- → The lack of boundary conditions may make the method suitable also for d,p reactions where the Coulomb treatment will not require any screening

<u>Conclusions/Future plans</u>

- \rightarrow Complex scaling applied to non-local general realistic potentials
- → Tests on p-n system successful. Phase-shifts calculated within an L² basis.

Explore CS more

→ No boundary condition, HO basis (or other). Take advantage of model-independent extrapolations of the HO basis (UV/IR) for resonant states.

Additionally calculations were shown:

- \rightarrow Gamow basis, which is appropriate for calculations of weakly bound/unbound nuclei.
 - Calculations also naturally provide widths of resonances.
 - Realistic effective interactions for GSM → systematic improvement of method : (NN+3N + continuum) in a CI framework
 - Results with USDB + Gamow shell model (OK, but...)
 - For a more consistent study, manage to develop the microscopic effective interaction in a model space that also includes continuum states
- \rightarrow Gamow basis applied successfully in an ab-initio GSM framework
 - Realistic forces employed
 - Calculations of exotic superheavy hydrogens are in the pipeline

Back up

NCGSM for reaction observables

- → NCGSM is a structure method but overlap functions can be assessed.
- → Asymptotic normalization coefficients (ANCs) are of particular interest because they are observables...
 (Mukhamedzanov/Kadyrov, Furnstahl/Schwenk, Jennings)

\rightarrow Astrophysical interest

(see I. Thompson and F. Nunes "Nuclear Reactions for Astrophysics:..." book)

→ ANCs computing difficulties: (see also K.Nollett and B. Wiringa PRC 83, 041001,2011)

1) Correct asymptotic behavior is mandatory 2) Sensitivity on S_{1n} ...

See also Okolowicz et al Phys. Rev. C85, 064320 (2012)., for properties of ANCs

Results: Ab-initio overlaps in the NC-GSM

• Basic ingredients of the theory of direct reactions

Calculations at a Vlow k Λ = 1.9 fm⁻¹



Results: Ab-initio overlaps in the NC-GSM

Calculations at a Vlow k Λ = 1.9 fm⁻¹ and 2.1 fm⁻¹



The width exhibits the correct behavior

2⁺ first excited state in ⁶He



The 2+ state is a many-body resonance (outgoing wave)

© GSM exhibits naturally this behavior

🐵 but CS is decaying for large distances, even for a resonance state

This is OK. The solution $\Psi(\theta)$ is known to "die" off (L² function)

Solution



The CS density has the correct asymptotic behavior (outgoing wave)

- Back rotation is very unstable numerically. Long standing problem in the CS community (in Quantum Chemistry as well)
- The problem lies in the analytical continuation of a square integrable function in the complex plane.
- We are using the theory of Fourier transformations and a regularization process (Tikhonov) to minimize the ultraviolet numerical noise of the inversion process.



Solution

Back rotation is very unstable numerically. Unsolved problem in the CS community (in QC as well)

The problem lies in the analytical continuation of a square integrable function in the complex plane.

We are using the theory of Fourier transformations and Tikhonov regularization process to obtain the original (GSM) density

To apply theory of F.T to the density, it should be defined in $(-\infty, +\infty)$

$$\begin{split} f_{\theta}(x) &= \rho_{\theta}(e^{-x}) & \rightarrow \text{Now defined from } (-\infty, +\infty) \\ f_{\theta}(\xi) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-ix\xi} f_{\theta}(x) dx & \rightarrow \text{F.T} \\ f(x+iy) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{ix\xi} e^{-y\xi} f_{\theta}(\xi) d\xi & \rightarrow \quad \text{Value of (1) for } x+iy \\ (analytical continuation) \\ f(x+iy) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{ix\xi} e^{-y\xi} \frac{f_{\theta}(\xi)}{1+\alpha e^{-2y\xi}} d\xi & \rightarrow \text{Tikhonov regularization} \end{split}$$

x = -lnr , $y = \theta$

The matrix elements of the interaction are calculated in practice by truncating the HO up to Nmax basis states (N = 2n + 1)

$$\begin{array}{c} \text{TBMEs in a Gamow} \\ & \text{basis} \end{array} \\ & \left\langle ab \middle| V_{\text{OSC}} \middle| cd \right\rangle \approx \sum_{\alpha \leq \beta}^{N} \sum_{\gamma \leq \delta}^{N} \langle ab \middle| \alpha\beta \rangle \langle \alpha\beta \middle| V_{\text{low}-k} \middle| \gamma\delta \rangle \langle \gamma\delta \middle| cd \rangle \\ & \text{Matrix elements} \\ & \text{between Gamow States} \end{array} \\ \end{array} \\ \begin{array}{c} \text{TBMEs in a HO} \\ & \text{TBMEs in a HO} \\ & \text{basis} \end{array} \\ \end{array} \\ \begin{array}{c} \text{CD-Bonn, Av18} \\ \text{N3LO, Vlowk, SRG etc} \end{array}$$

In the end of the day we need to calculate overlaps between HO and Gamow states!

$$\langle ab|\alpha\beta\rangle = \frac{\langle a|\alpha\rangle\langle b|\beta\rangle - (-1)^{J-j_{\alpha}-j_{\beta}}\langle a|\beta\rangle\langle b|\alpha\rangle}{\sqrt{(1+\delta_{ab})(1+\delta_{\alpha\beta})}}$$
 Identical particles

 $\langle ab | \alpha\beta\rangle = \langle a | \alpha\rangle \langle b | \beta\rangle \quad \text{protons-neutrons}$

with
$$\langle a|\alpha\rangle = \int d\tau \ \tau^2 \varphi_a(\tau) R_\alpha(\tau) \ \delta_{l_a l_\alpha} \delta_{j_a j_\alpha} \delta_{t_a t_\alpha}$$

Neutron correlations in ⁶He 2+ excited state



 \rightarrow 2+ neutrons almost uncorrelated...