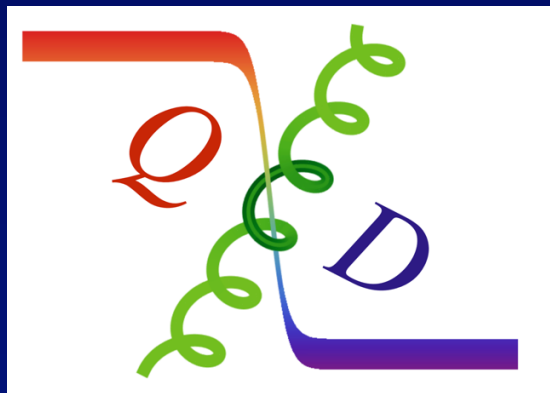


# Quark and Glue Components of Proton Spin

- Status of nucleon spin components
- Momentum and angular momentum sum rules
- Lattice results
- Quark spin from anomalous Ward identity
- Glue spin

$\chi$  QCD Collaboration

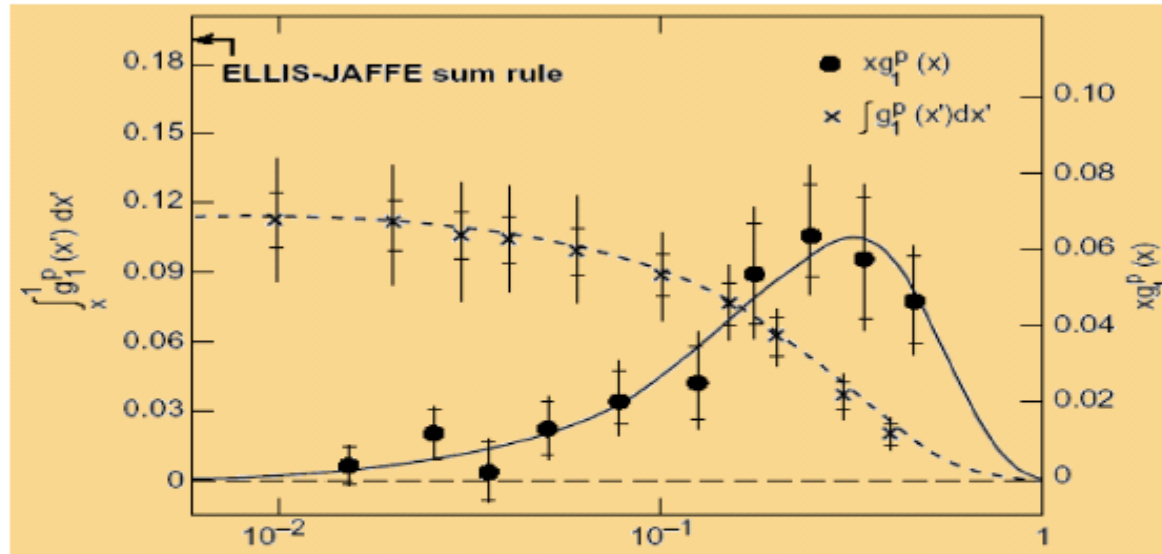


INT, Sept. 22, 2015

Where does the spin of the  
proton come from?

# Twenty<sup>7</sup> years since the “spin crisis”

□ EMC experiment in 1988/1989 – “the plot”:

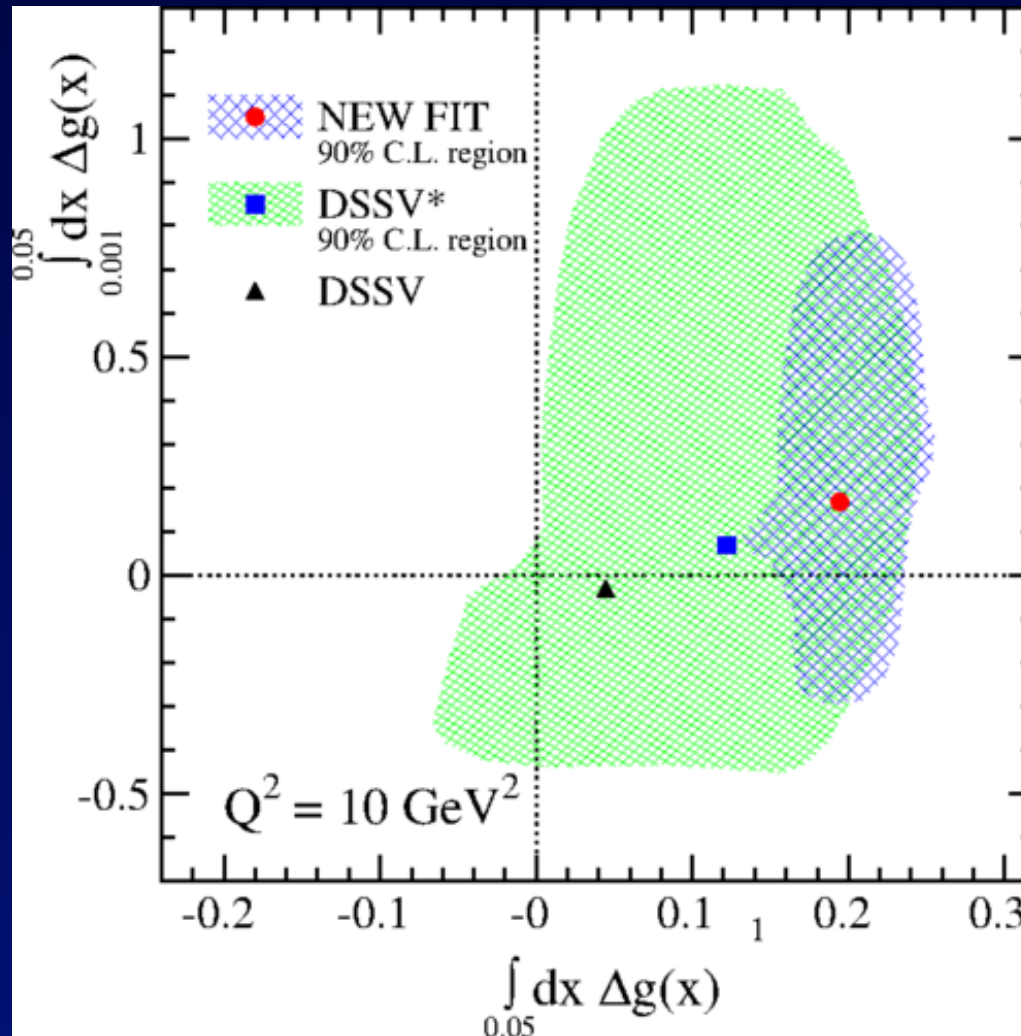


$$g_1(x) = \frac{1}{2} \sum e_q^2 [\Delta q(x) + \Delta \bar{q}(x)] + \mathcal{O}(\alpha_s) + \mathcal{O}(1/Q)$$

$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{||} | \bar{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{||} \rangle$$

□ “Spin crisis” or puzzle:  $\Delta \Sigma = \sum_q \Delta q + \Delta \bar{q} = 0.2 - 0.3$

# Glue Helicity $\Delta G$



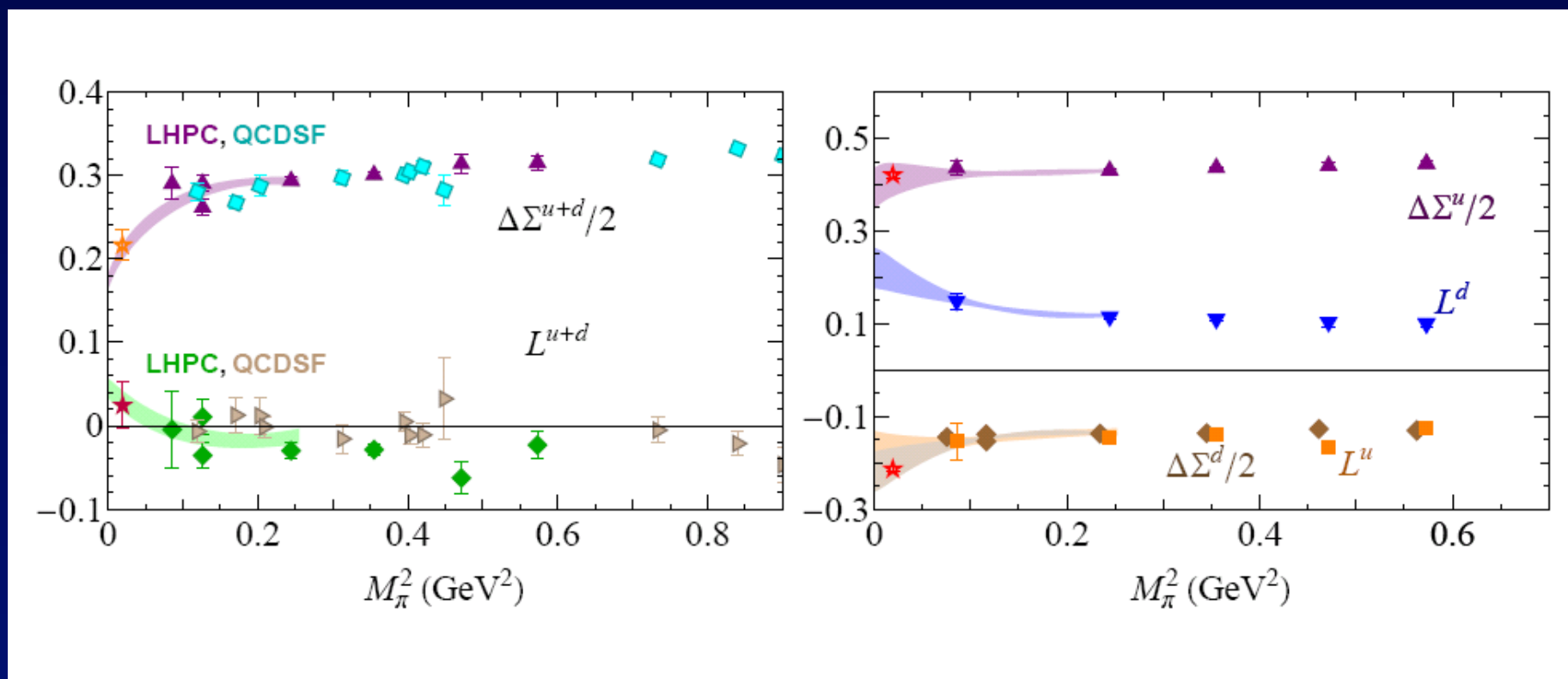
Experimental results from  
STAR [1404.5134]  
PHENIX [1402.6296]  
COMPASS [1001.4654]

$\Delta G \sim 0.2$  with large error


D. de Florian, R. Sassot, M. Stratmann, W. Vogelsang,  
PRL 113, 012001 (2014)



# Quark Orbital Angular Momentum (connected insertion)



# Status of Proton Spin

- Quark spin  $\Delta\Sigma \sim 20 - 30\%$  of proton spin (DIS, Lattice)
- Quark orbital angular momentum? (lattice calculation of CI (LHPC, QCDSF)  $\rightarrow \sim 0$ )
- Glue spin  $\sim 0.4$  (STAR, PHENIX, COMPASS)
- Glue orbital angular momentum is zero (Brodsky and Gardner).
- `proton spin crisis'  $\rightarrow$  `missing spin puzzle'.
- If the spin sum rules not satisfied experimentally  Dark Spin

# Spin Sum Rules

- Jaffe and Manohar sum rule (1990)

$$J = \frac{\Sigma}{2} + L_q + S_G + L_G$$

$$\vec{J}_{Tot} = \int d^3x \psi^\dagger \frac{1}{2} \Sigma \psi + \int d^3x \vec{x} \times \psi^\dagger \vec{\nabla} \psi + \int d^3x \vec{E}^a \times \vec{A}^a \\ + \int d^3x \vec{x} \times E^{aj} (\vec{x} \times \nabla) A^{aj}$$

- Canonical EM tensor on light-cone with light-cone gauge
- Not directly accessible on the lattice

- Ji sum rule (1997)

$$J = \frac{\Sigma}{2} + L_q + J_G$$

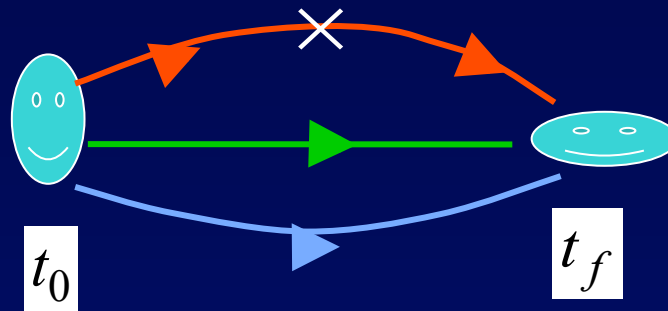
$$\vec{J}_{Tot} = \int d^3x \psi^\dagger \frac{1}{2} \Sigma \psi + \int d^3x \vec{x} \times \psi^\dagger \vec{D} \psi + \int d^3x \vec{x} \times (\vec{E}^a \times \vec{B}^a)$$

- Symmetric EM tensor (Belafonte)  $\rightarrow$  gauge invariant and frame independent.

# Hadron Structure with Quarks and Glue

- Quark and Glue Momentum and Angular Momentum in the Nucleon

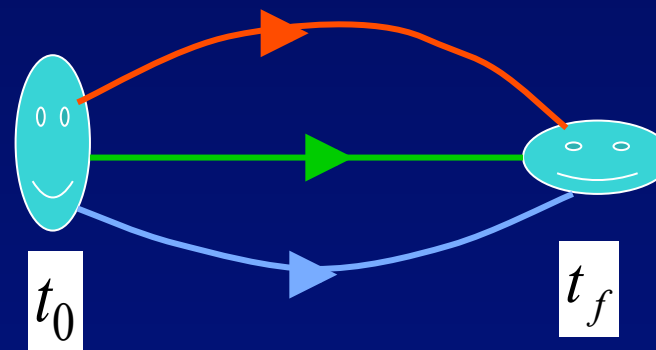
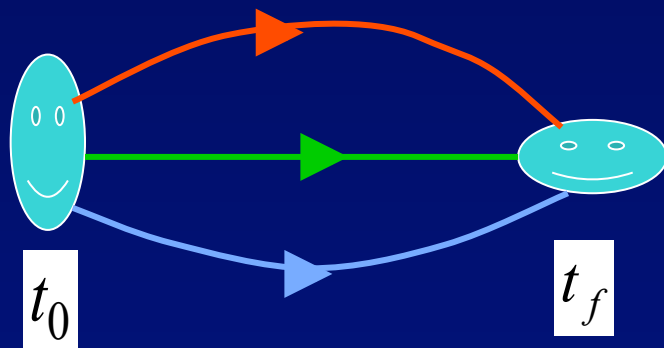
$$(\bar{u}\gamma_\mu D_\nu u + \bar{d}\gamma_\mu D_\nu d)(t)$$



$$\bar{\Psi}\gamma_\mu D_\nu\Psi(t)(u,d,s)$$



$$F_{\mu\alpha}F_{\nu\alpha} - \frac{1}{4}\delta_{\mu\nu}F^2$$



# Momenta and Angular Momenta of Quarks and Glue

- Energy momentum tensor operators decomposed in quark and glue parts gauge invariantly --- Xiangdong Ji (1997)

$$T_{\mu\nu}^q = \frac{i}{4} [\bar{\psi} \gamma_\mu \vec{D}_\nu \psi + (\mu \leftrightarrow \nu)] \rightarrow \vec{J}_q = \int d^3x \left[ \frac{1}{2} \bar{\psi} \vec{\gamma} \gamma_5 \psi + \vec{x} \times \bar{\psi} \gamma_4 (-i\vec{D}) \psi \right]$$

$$T_{\mu\nu}^g = F_{\mu\lambda} F_{\lambda\nu} - \frac{1}{4} \delta_{\mu\nu} F^2 \rightarrow \vec{J}_g = \int d^3x [\vec{x} \times (\vec{E} \times \vec{B})]$$

- Nucleon form factors

$$\langle p, s | T_{\mu\nu} | p' s' \rangle = \bar{u}(p, s) [T_1(q^2) \gamma_\mu \bar{p}_\nu - T_2(q^2) \bar{p}_\mu \sigma_{\nu\alpha} q_\alpha / 2m - iT_3(q^2)(q_\mu q_\nu - \delta_{\mu\nu} q^2) / m + T_4(q^2) \delta_{\mu\nu} m / 2] u(p' s')$$

- Momentum and Angular Momentum

$$Z_{q,g} T_1(0)_{q,g} \text{ [OPE]} \rightarrow \langle x \rangle_{q/g} (\mu, \bar{M}\bar{S}), \quad Z_{q,g} \left[ \frac{T_1(0) + T_2(0)}{2} \right]_{q,g} \rightarrow J_{q/g} (\mu, \bar{M}\bar{S})$$

# Lattice Parameters

- Quenched  $16^3 \times 24$  lattice with Wilson fermion
- Quark spin and  $\langle x \rangle$  were calculated before for both the C.I. and D.I.
- $\kappa = 0.154, 0.155, 0.1555$  ( $m_\pi = 650, 538, 478$  MeV)
- 500 configurations
- 400 noises (Optimal  $Z_4$  noise with unbiased subtraction) for DI
- 16 nucleon sources

# $T_1(q^2)$ and $T_2(q^2)$

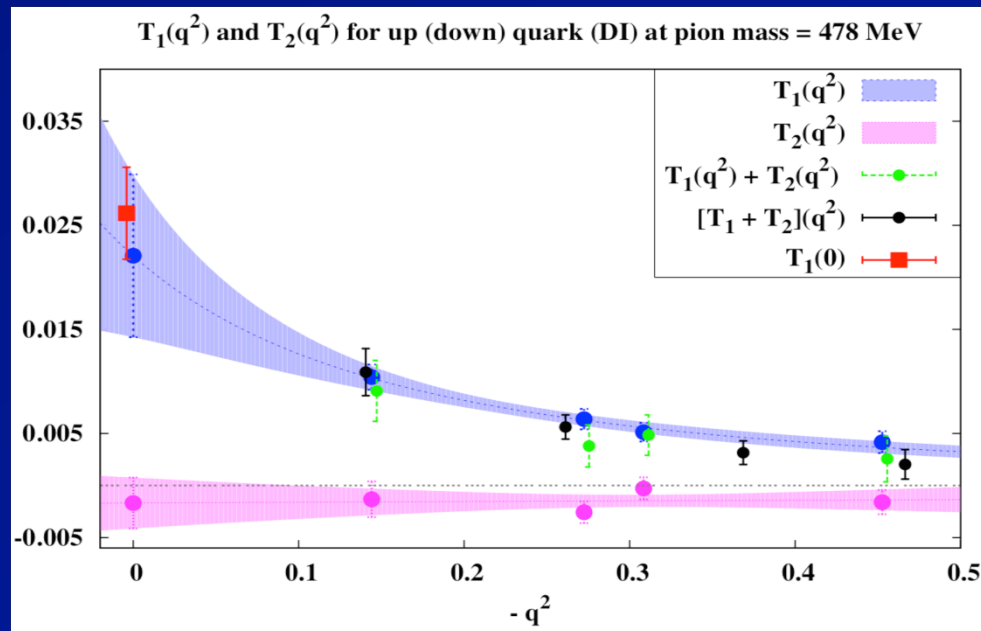
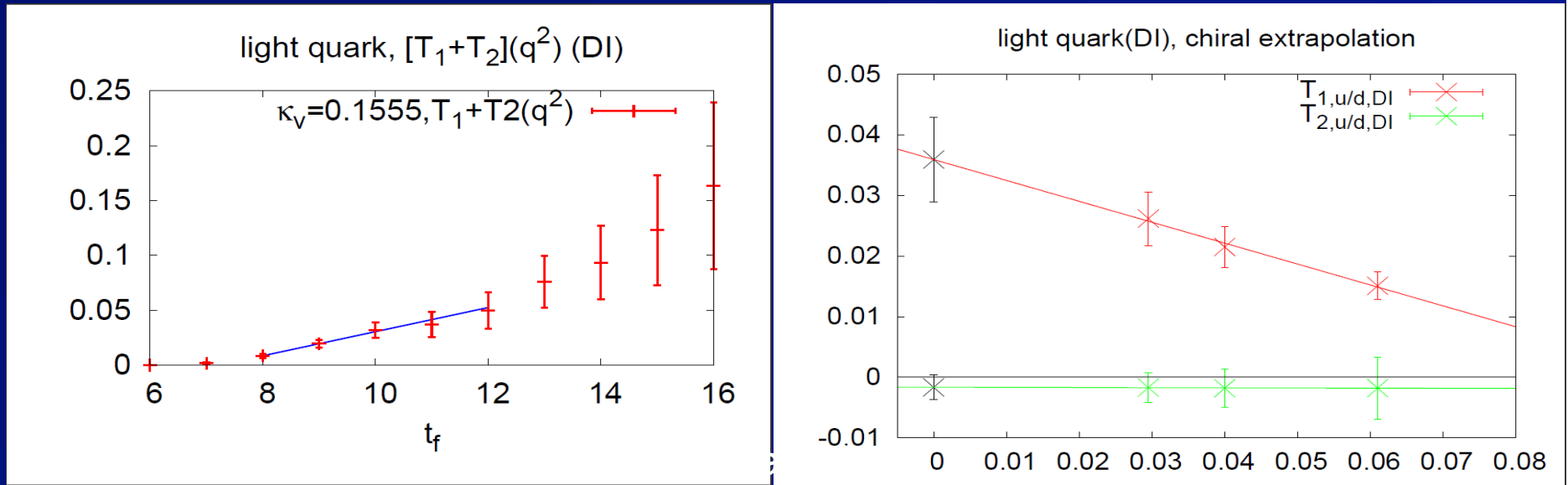
- 3-pt to 2-pt function ratios

$$G_{\mu\nu}^{3pt}(\vec{p}, t_2; \vec{q}, t_1) = \sum_{\vec{x}_1, \vec{x}_2} e^{-i\vec{p}\cdot\vec{x}_2 + i\vec{q}\cdot\vec{x}_1} \left\langle 0 \left| T \left[ \chi_N(\vec{x}_2, t_2) T_{\mu\nu}(t_1) \bar{\chi}_N(0) \right] \right\rangle;$$

$$\text{Tr} \left[ \Gamma_m G_{\mu\nu}^{3pt}(\vec{p} = 0, t_2; \vec{q}, t_1) \right] = W e^{-m(t_2 - t_1)} e^{-Et_1} \left[ T_1(q^2) + T_2(q^2) \right]$$

- Need both polarized and unpolarized nucleon and different kinematics ( $p_i, q_j, s$ ) to separate out  $T_1(q^2)$ ,  $T_2(q^2)$  and  $T_3(q^2)$

# Disconnected Insertions of $T_1(q^2)$ and $T_2(q^2)$ for u/d Quarks





# Gauge Operators from the Overlap Dirac Operator

- Overlap operator

$$D_{ov} = 1 + \gamma_5 \mathcal{E}(H); \quad H = \gamma_5 D_W(m_0)$$

- Index theorem on the lattice (Hasenfratz, Laliena, Niedermayer, Lüscher)

$$\text{index } D_{ov} = -\text{Tr} \gamma_5 \left(1 - \frac{a}{2} D_{ov}\right)$$

- Local version (Kikukawa & Yamada, Adams, Fujikawa, Suzuki)

$$q_L(x) = -\text{tr} \gamma_5 \left(1 - \frac{a}{2} D_{ov}(x, x)\right) \xrightarrow{a \rightarrow 0} a^4 q(x) + O(a^6)$$

- Study of topological structure of the vacuum

- Sub-dimensional long range order of coherent charges (Horvath et al; Thacker talk in Lattice 2006)
- Negativity of the local topological charge correlator (Horvath et al)

- We obtain the following result

$$\text{tr}_s \sigma_{\mu\nu} a D_{ov}(x, x) = c^T a^2 F_{\mu\nu}(x) + O(a^3),$$

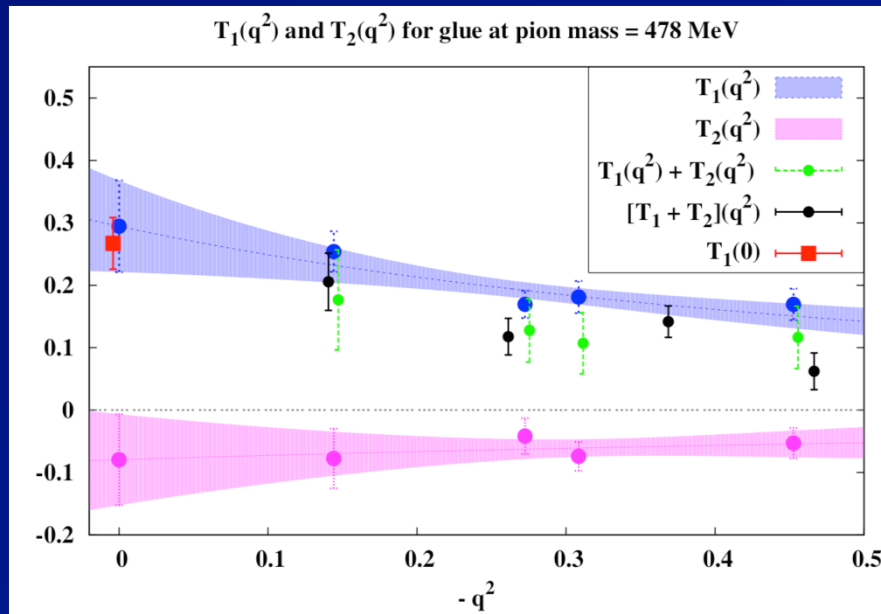
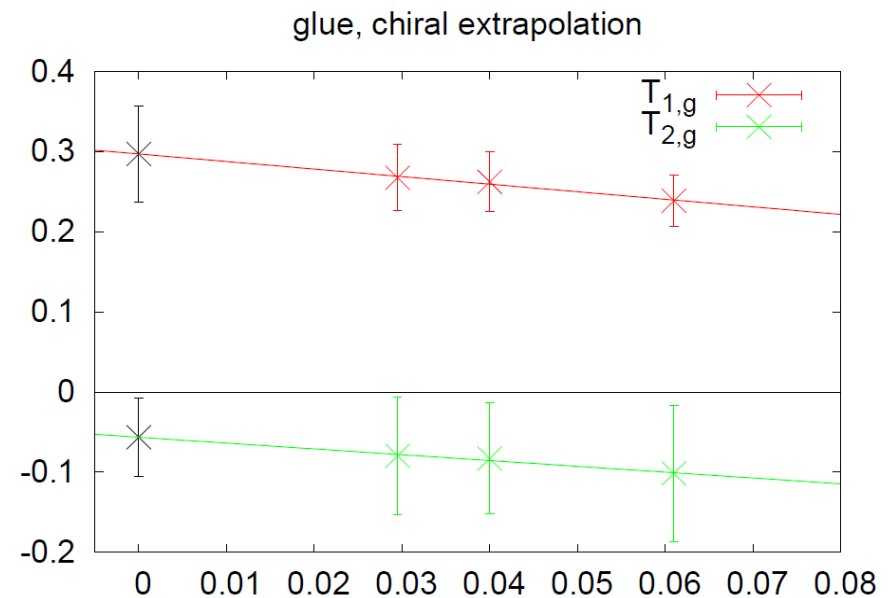
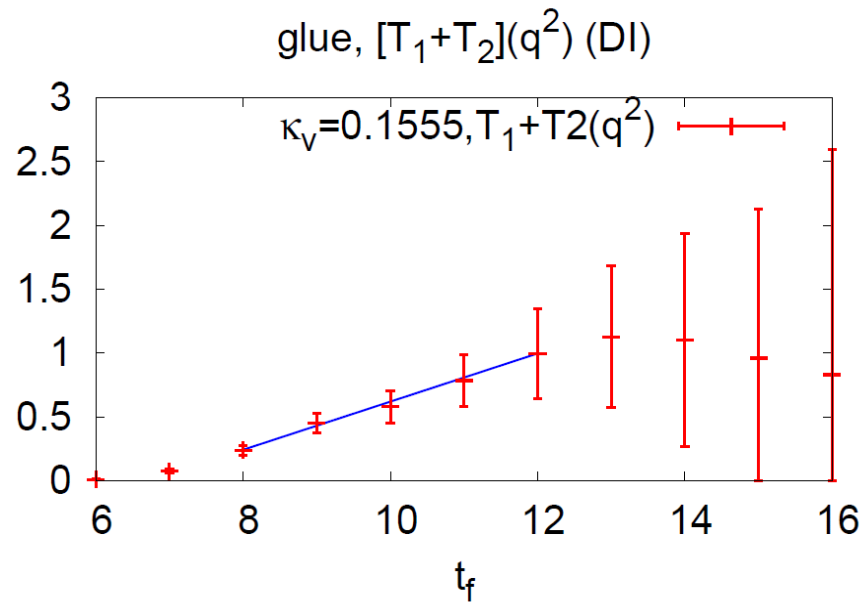
$$c^T = \rho \int_{-\pi}^{\pi} \frac{d^4 k}{(2\pi)^4} \frac{2 \left[ (\rho + r \sum_{\lambda} (c_{\lambda} - 1)) c_{\mu} c_{\nu} + 2r c_{\mu} s_{\nu}^2 \right]}{(\sum_{\mu} s_{\mu}^2 + [\rho + \sum_{\nu} (c_{\nu} - 1)]^2)^{3/2}}$$

where,  $r = 1$ ,  $\rho = 1.368$ ,  $c^T = 0.11157$

Liu, Alexandru, Horvath – PLB 659, 773 (2007)

- Noise estimation  $D_{ov}(x, x) \rightarrow \langle \eta_x^{\dagger} (D_{ov} \eta)_x \rangle$   
with  $Z_4$  noise with color-spin dilution and some dilution in space-time as well.

# Glue $T_1(q^2)$ and $T_2(q^2)$



M. Deka et al., PRD (2015),  
1312.4816 ( $\chi$  QCD Collaboration)

Quenched  $16^3 \times 24$  lattice,  
 $\beta=6.0$ ,  $m_\pi \geq 478$  MeV,  
500 configurations

# Renormalization and Quark-Glue Mixing

## Momentum and Angular Momentum Sum Rules

$$\langle x \rangle_q^R = Z_q \langle x \rangle_q^L, \quad \langle x \rangle_g^R = Z_g \langle x \rangle_g^L,$$

$$J_q^R = Z_q J_q^L, \quad J_g^R = Z_g J_g^L,$$

$$Z_q \langle x \rangle_q^L + Z_g \langle x \rangle_g^L = 1,$$

$$Z_q J_q^L + Z_g J_g^L = \frac{1}{2}$$

$$\Rightarrow \begin{cases} Z_q T_1^q(0) + Z_g T_1^g(0) = 1, \\ Z_q (T_1^q + T_2^q)(0) + Z_g (T_1^g + T_2^g)(0) = 1, \\ Z_q T_2^q(0) + Z_g T_2^g(0) = 0 \end{cases}$$

## Mixing

$$\begin{bmatrix} \langle x \rangle_q^{\overline{MS}}(\mu) \\ \langle x \rangle_g^{\overline{MS}}(\mu) \end{bmatrix} = \begin{bmatrix} C_{qq}(\mu) & C_{qg}(\mu) \\ C_{gq}(\mu) & C_{gg}(\mu) \end{bmatrix} \begin{bmatrix} \langle x \rangle_q^R \\ \langle x \rangle_g^R \end{bmatrix}$$

M. Glatzmaier, KFL  
arXiv:1403.7211

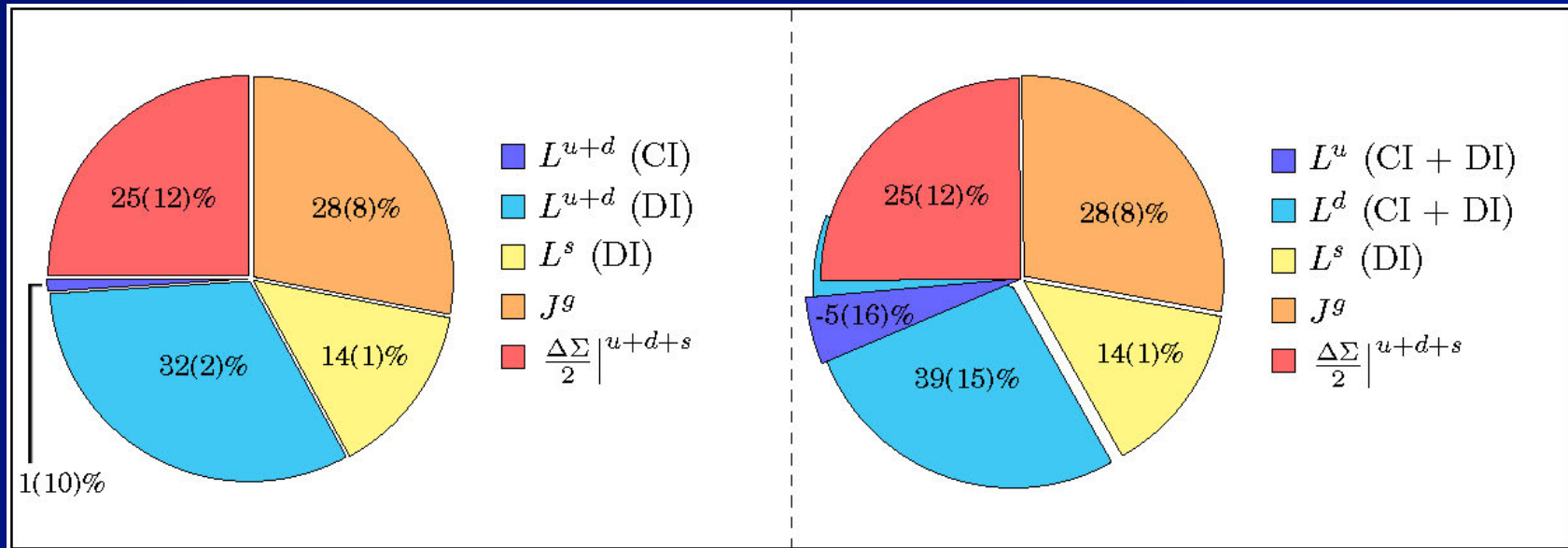
Renormalized results:  $Z_q = 1.05, Z_g = 1.05$

$\overline{\text{MS}} (2 \text{ GeV})$

	CI(u)	CI(d)	CI(u+d)	DI(u/d)	DI(s)	Glue
$\langle x \rangle$	0.416 (40)	0.151 (20)	0.567 (45)	0.037 (7)	0.023 (6)	0.334 (56)
$T_2(0)$	0.283 (112)	-.217 (80)	0.061 (22)	-0.002 (2)	-.001 (3)	-0.056 (52)
$2J$	0.704 (118)	-.070 (82)	0.629 (51)	0.035 (7)	0.022 (7)	0.278 (76)
$g_A$	0.91 (11)	-0.30 (12)	0.62 (9)	-0.12 (1)	-0.12 (1)	
$2L$	-0.21 (16)	0.23 (15)	0.01 (10)	0.16 (1)	0.14 (1)	

# Quark Spin, Orbital Angular Momentum, and Gule Angular Momentum (M. Deka *et al*, 1312.4816, PRD)

pizza cinque stagioni



$$\Delta q \approx 0.25;$$

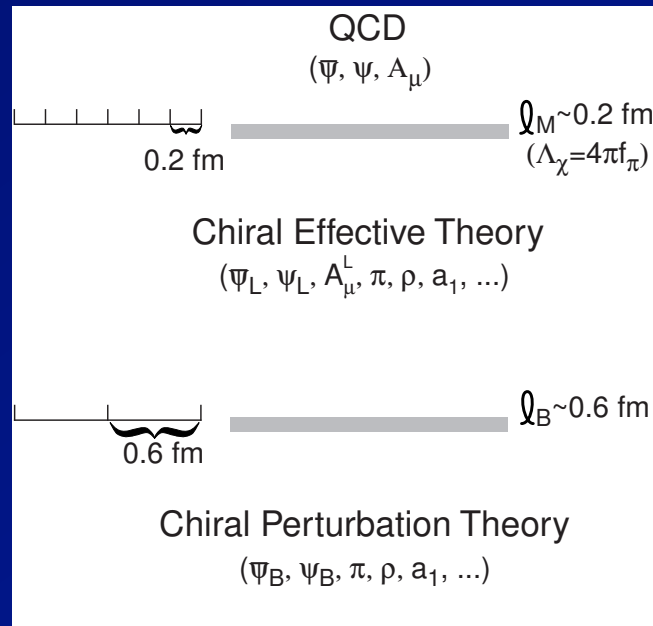
$$2 L_q \approx 0.47 \text{ (0.01(CI)+0.46(DI));}$$

$$2 J_g \approx 0.28$$

These are quenched results so far.



# Chiral effective theory of baryons



Manohar and Georgi  
(1984)

KFL (2000)

$$\psi = \psi_L + \psi_S, \quad A^\mu = A_L^\mu + A_S^\mu$$

$$L_{\chi QCD} = L_{QCD}(\bar{\Psi}_L, \Psi_L, A_L^\mu) + L_M(\pi, \rho, G, \dots) \\ + L_{\sigma q}(\bar{\Psi}_L, \Psi_L, \pi, \rho, G, \dots)$$

Little bag model (Brown, Rho)  
Clouding bag model (Thomas, Theberge, Miller)  
Chiral quark-soliton model (Wakamatsu)



# Orbital Angular Momentum



skyrmion



Trinacria, Erice





#



# Quark Spin Calculation with Axial-vector current

- Recent calculation of strange quark spin with dynamical fermions

Leader et al., 1410.1657

- R. Babich et al. (1012.0562)

$$\Delta s = -0.019(11)$$

$$\Delta s = -0.106(23)$$

- QCDSF (G. Bali et al. 1206.4205) gives

$$\Delta s = -0.020(10)(4)$$

- M. Engelhardt (1210.0025)

$$\Delta s = -0.031(17)$$

- C. Alexandrou et al. (arXiv:1310.6339)

$$\Delta s \sim -0.0227(34)$$

- A.J. Chambers et al. (arXiv:1508.06856)

$$\Delta s \sim -0.018(6)$$

# Quark Spin from Anomalous Ward Identify

- Calculation of the point axial-vector in the DI is not sufficient.
- AWI needs to be satisfied.  $\partial_\mu A_\mu^0 = i2mP + \frac{iN_f}{8\pi^2} G_{\mu\nu} \tilde{G}_{\mu\nu}$
- Unrenormalized AWI for overlap fermion for point current

$$\kappa_A \partial_\mu A_\mu^0 = i2mP + iN_f 2q(x)$$

Renormalization and mixing:

$$Z_A \kappa_A \partial_\mu A_\mu^0 = i2Z_m m Z_P P + iN_f 2(Z_q q(x) + \lambda \partial_\mu A_\mu^0)$$

- Overlap fermion --> mP is RGI ( $Z_m Z_P = 1$ )
- Overlap operator for  $q(x) = -1/2 \text{Tr} \gamma_5 D_{ov}(x, x)$  has no multiplicative renormalization.

- Espriu and Tarrach (1982)  $Z_A(2\text{-loop}) = 1 - \left(\frac{\alpha}{\pi}\right)^2 \frac{3}{8} C_2(R) N_f \frac{1}{\epsilon},$

$$\lambda = -\left(\frac{\alpha}{\pi}\right)^2 \frac{3}{16} C_2(R) \frac{1}{\epsilon}$$

## 2+1 flavor DWF configurations (RBC-UKQCD)

$L a \sim 4.5 \text{ fm}$   
 $m_\pi \sim 170 \text{ MeV}$

$32^3 \times 64, a = 0.137 \text{ fm}$

$L a \sim 2.8 \text{ fm}$   
 $m_\pi \sim 330 \text{ MeV}$

$24^3 \times 64, a = 0.115 \text{ fm}$

$L a \sim 2.7 \text{ fm}$   
 $m_\pi \sim 295 \text{ MeV}$

$32^3 \times 64, a = 0.085 \text{ fm}$

$(O(a^2) \text{ extrapolation})$

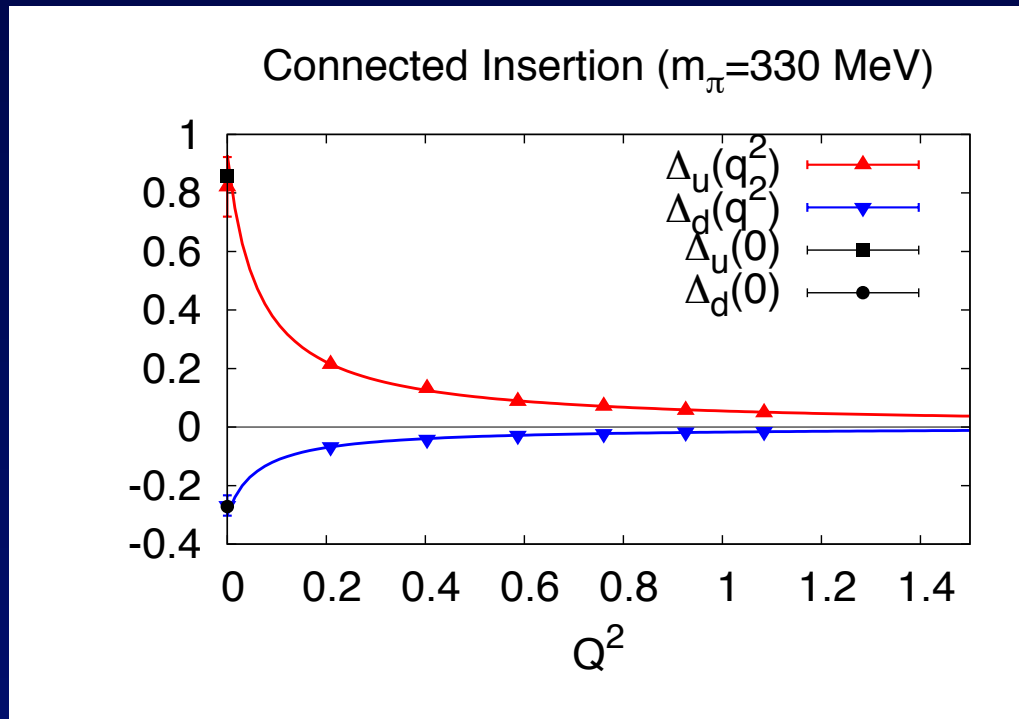
$L a \sim 5.5 \text{ fm}$   
 $m_\pi \sim 140 \text{ MeV}$

$48^3 \times 96, a = 0.115 \text{ fm}$

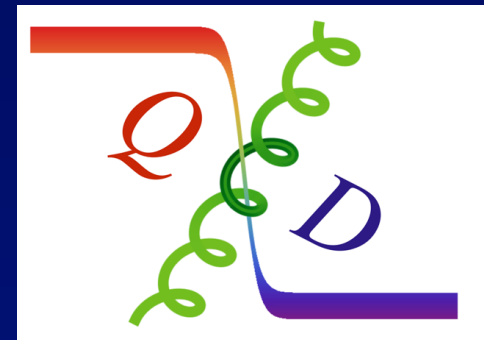
$L a \sim 5.5 \text{ fm}$   
 $m_\pi \sim 140 \text{ MeV}$

$64^3 \times 128, a = 0.085 \text{ fm}$

# Connected Insertion from Ward Identity

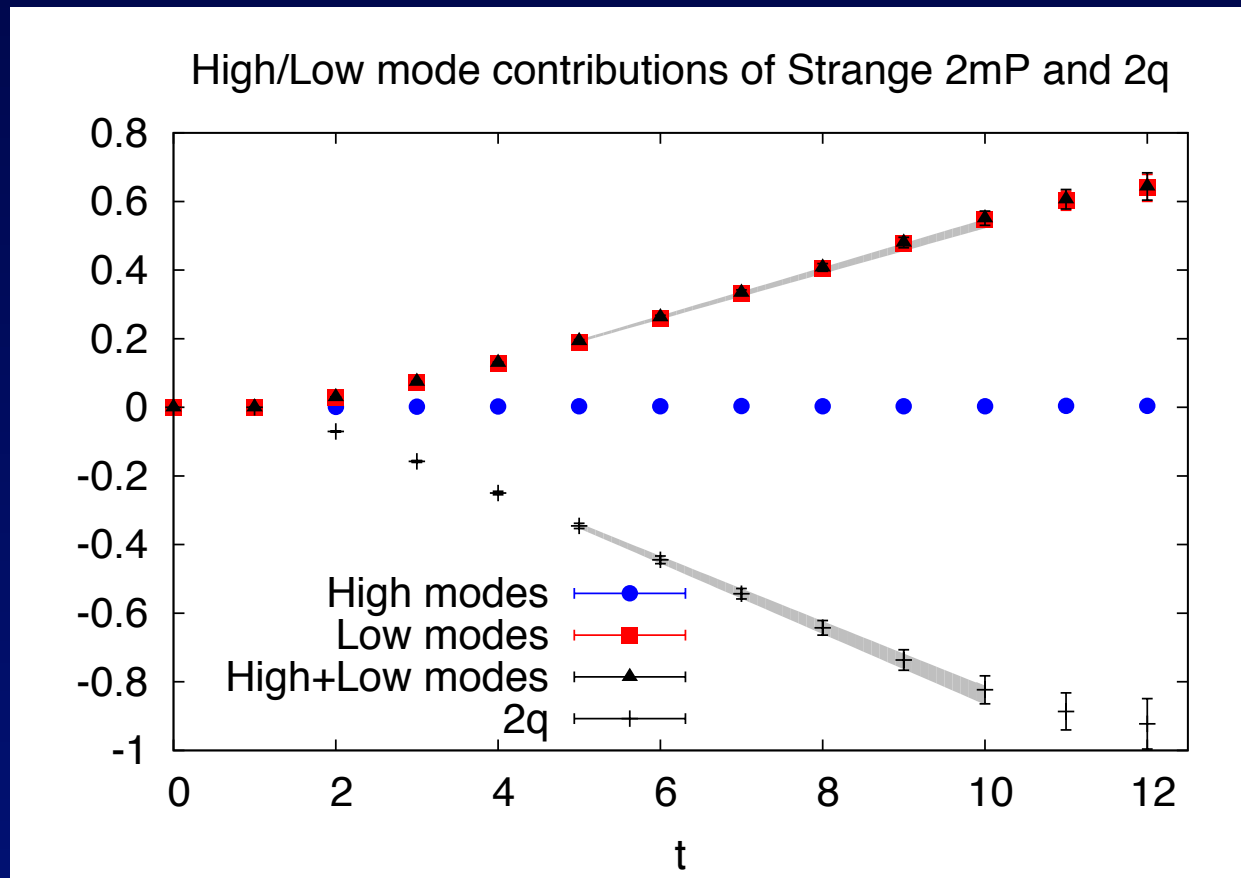


$\chi$  QCD Collaboration

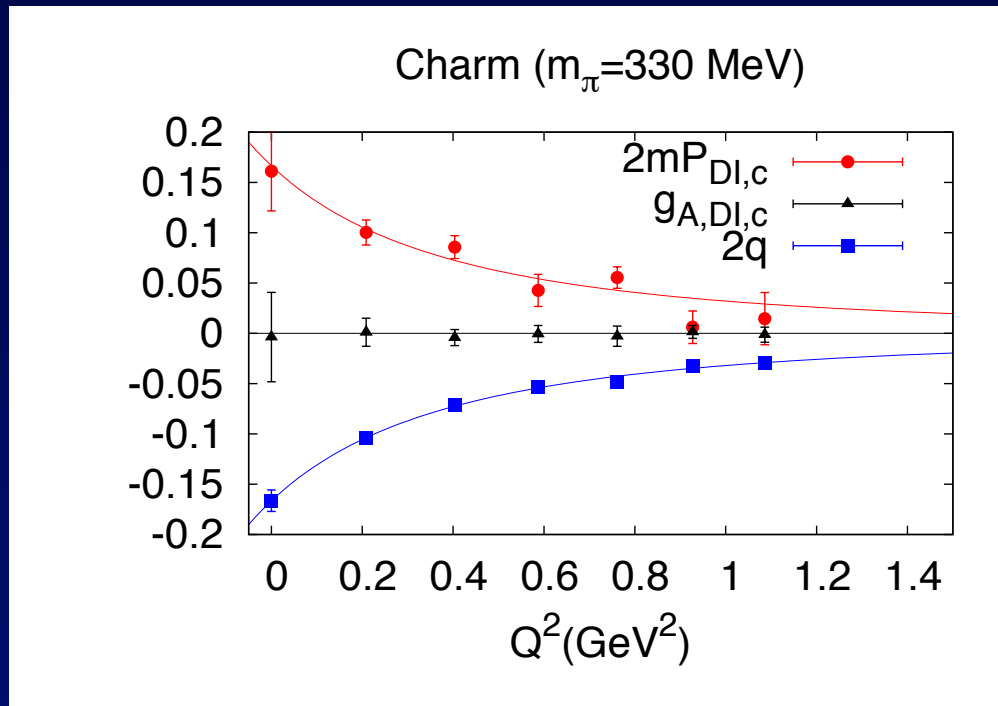




# Low-mode average for quark loop



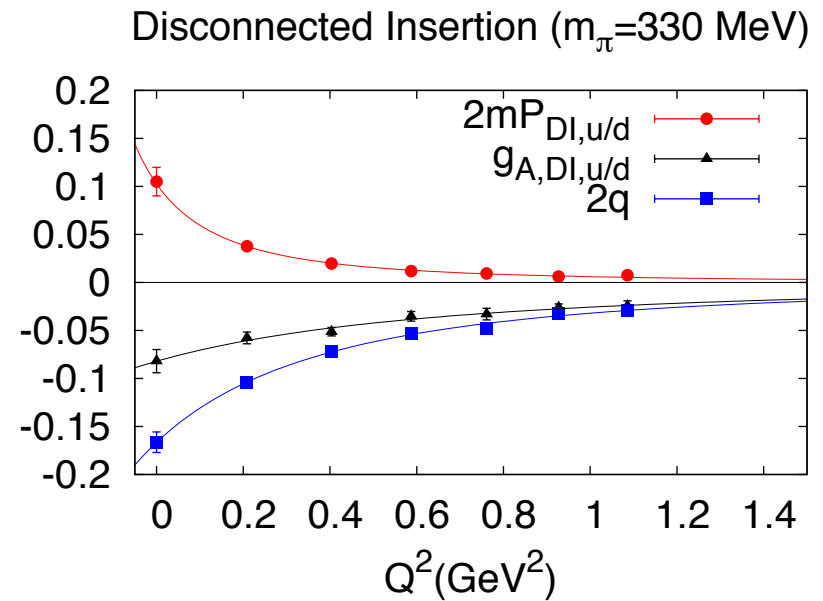
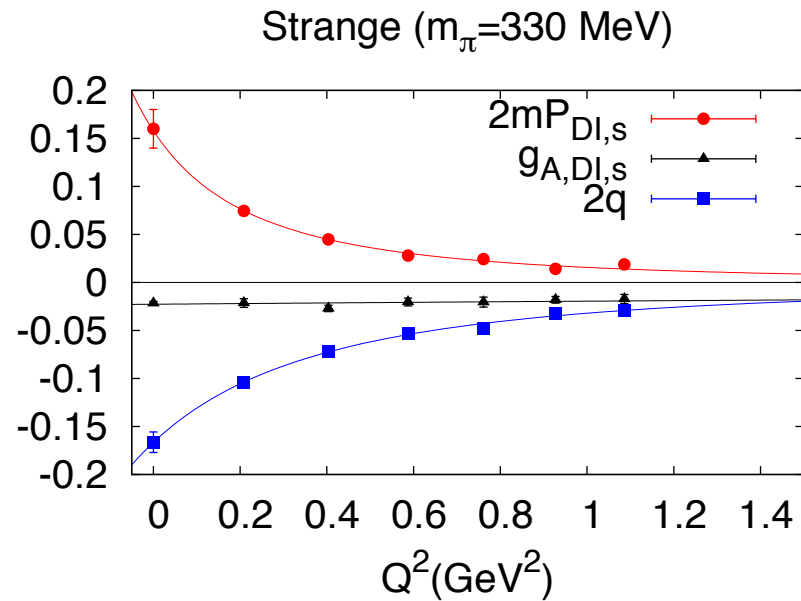
# Disconnected Insertion for the Charm Quark



- Topological term is large and negative
- Pseudoscalar term and the topological term cancel



# Disconnected Insertion for the Strange and u/d Quarks



Strange

u/d (DI)

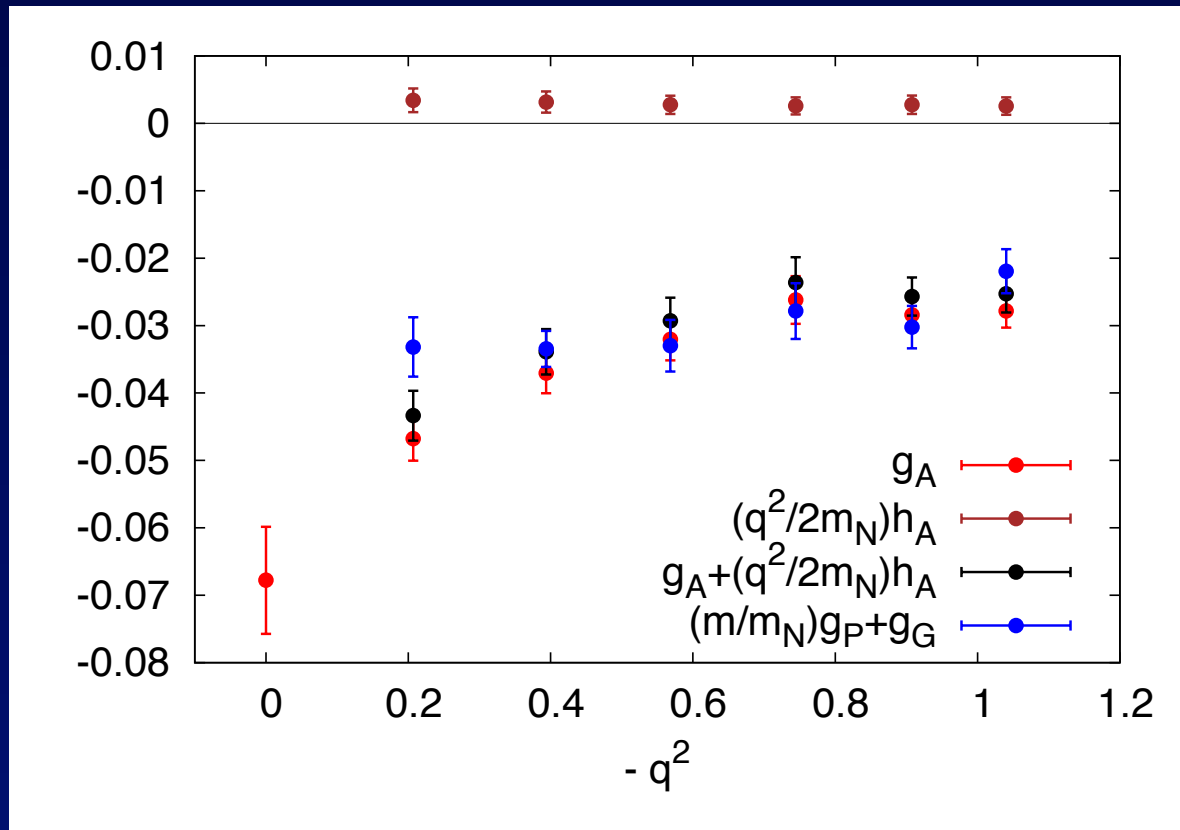
# Anomalous Ward Identity in nucleon at finite $q^2$

$$\kappa_A \langle p', s | A_\mu | p, s \rangle = \lim_{q \rightarrow 0} \frac{i |s|}{\vec{q} \cdot \vec{s}} \langle p', s | 2 \sum_{f=1}^{N_f} m_f \vec{q}_f i \gamma_5 q_f + 2i N_f q | p, s \rangle$$

$$2M_N \kappa_A g_A^0(q^2) + q^2 \kappa_{h_A} h_A^0(q^2) = 2m g_P^0(q^2) + 2M_N g_G(q^2)$$

- Calculate  $g_A(q^2)$ ,  $h_A(q^2)$ ,  $g_P(q^2)$ ,  $g_G(q^2)$  for  $q^2$  from 0.2 to 1.1  $\text{GeV}^2$  and fit  $\kappa_A$  and  $\kappa_{h_A}$  for the local axial-vector operator.
- For the  $24^3 \times 64$  lattice,  $1/\kappa_A = 0.39(3)$ ,  $1/\kappa_{h_A} = 12(6)$
- This can explain why the previous calculation of  $\Delta s$  with axial-vector currents are small.

# Renormalized $\Delta s$



$$\Delta s = -0.068(8)$$

# Quark Spin from AWI

Overlap fermion on 2+1 flavor  $24^3 \times 64$  DWF lattice ( $L=2.8$  fm)

$g_A^0$ comp	$m_\pi = 330$ MeV ( $m_V = m_{sea}$ )
$\Delta u + \Delta d$ (CI)	0.57(2)
$\Delta c$	$\sim 0$
$\Delta s$	-0.068(8)
$\Delta u(DI) = \Delta d(DI)$	-0.09(2)
$g_A^0$	0.32(5)

$\Delta_s = -0.073(8)$   
at  $m_\pi = 140$  MeV

The triangle anomaly (topological charge) is responsible for the smallness of quark spin in the proton (proton spin crisis).

# Hadronic Tensor in Euclidean Path-Integral Formalism

- Deep inelastic scattering  
In Minkowski space

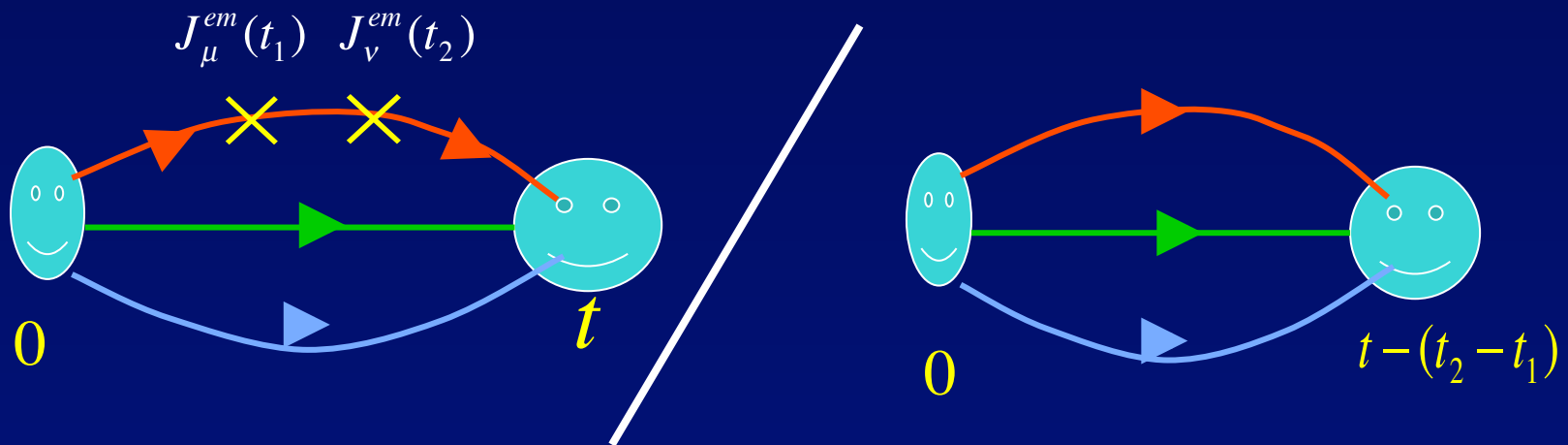
$$\frac{d^2\sigma}{dE' d\Omega} = \frac{\alpha^2}{q^4} \left(\frac{E'}{E}\right) l^{\mu\nu} W_{\mu\nu}$$

$$T_{\mu\nu}(q^2, \nu) = \frac{1}{2M_N} \int \frac{d^4x}{(2\pi)^4} e^{iq \cdot x} \langle P | T [J_\mu^{em}(x) J_\nu^{em}(0)] | P \rangle,$$

$$W_{\mu\nu}(q^2, \nu) = \frac{1}{\pi} \text{Im} T_{\mu\nu} = \frac{(2\pi)^3}{2M_N} \sum_n \delta^4(p_n - p + q) \langle P | J_\mu^{em} | n \rangle \langle n | J_\nu^{em} | P \rangle$$

- Euclidean path-integral

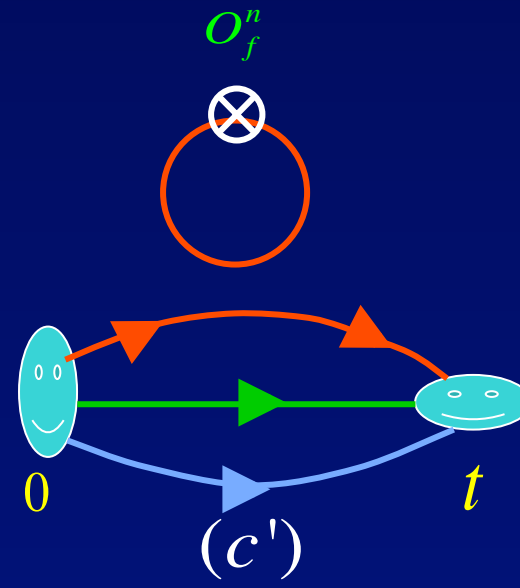
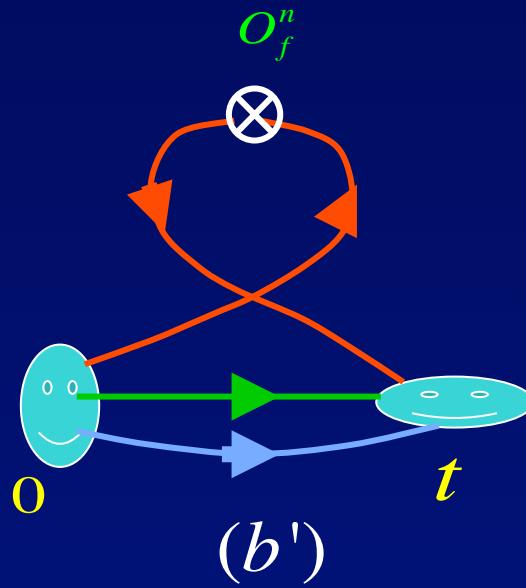
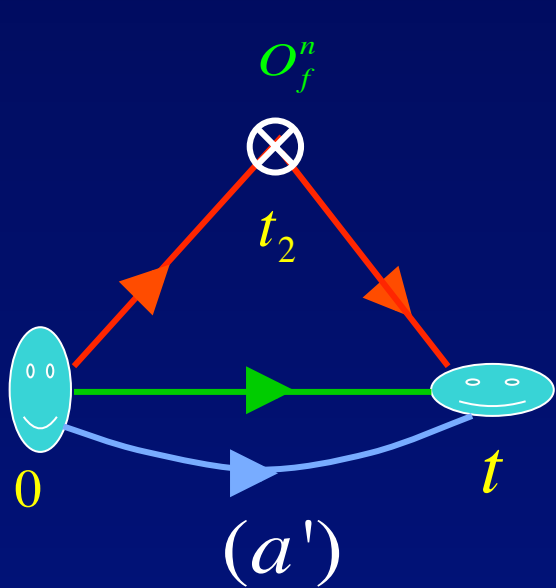
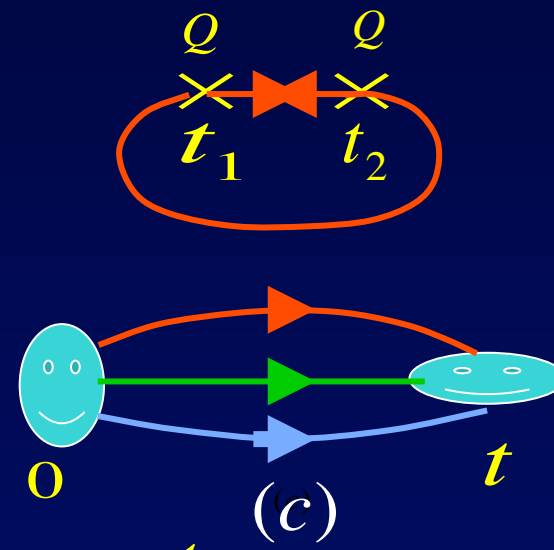
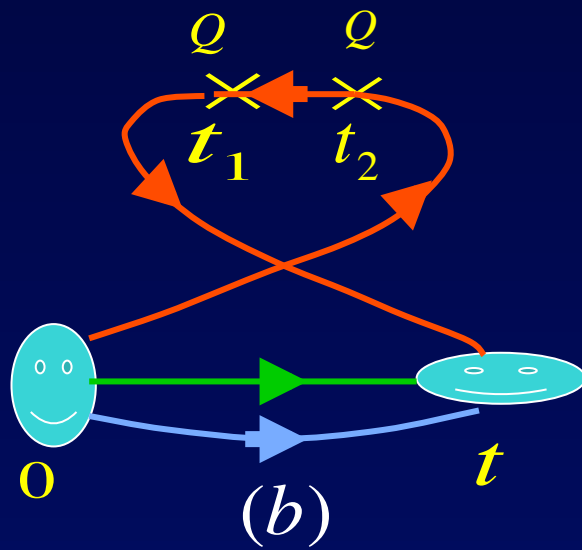
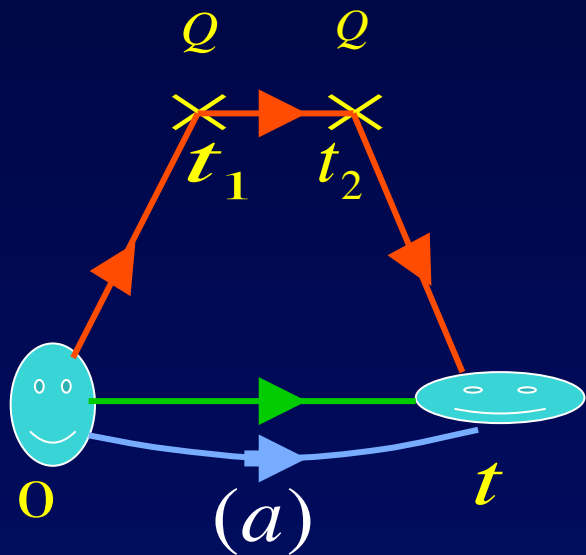
K.F. Liu, PRD 62, 074501 (2000)



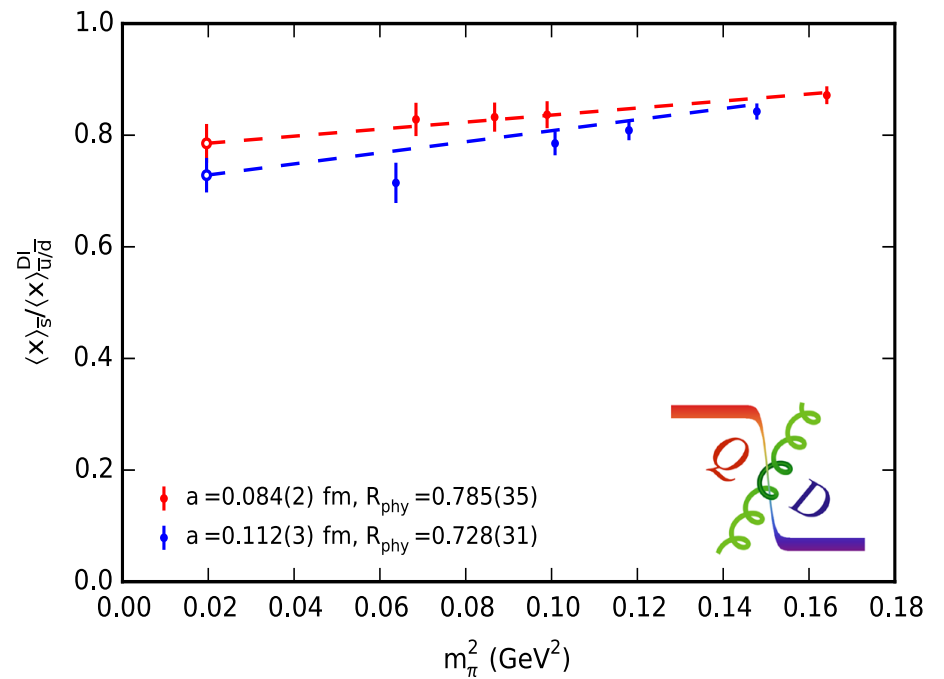
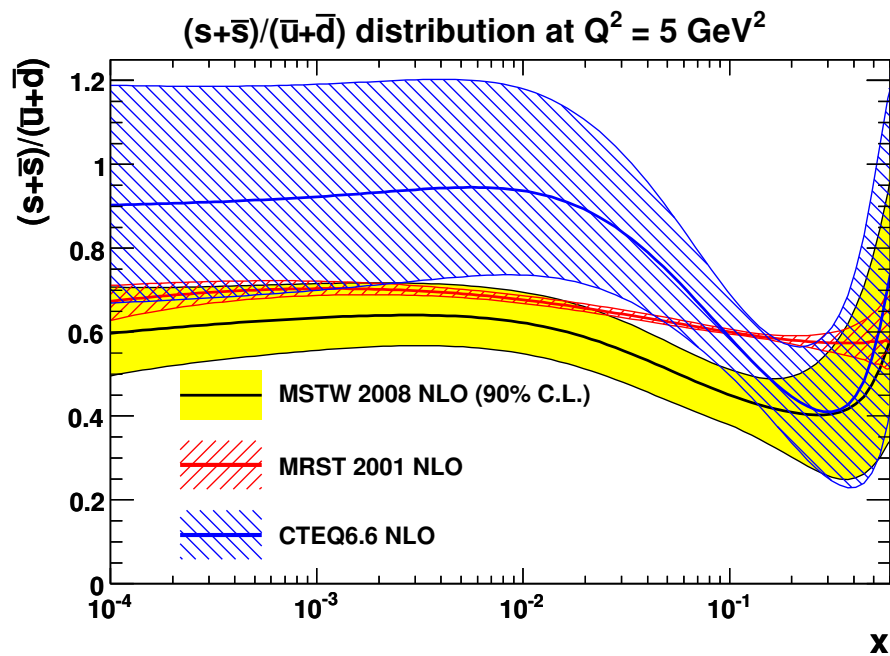
$$q = q_V + q_{CS}$$

$$\bar{q}_{CS}$$

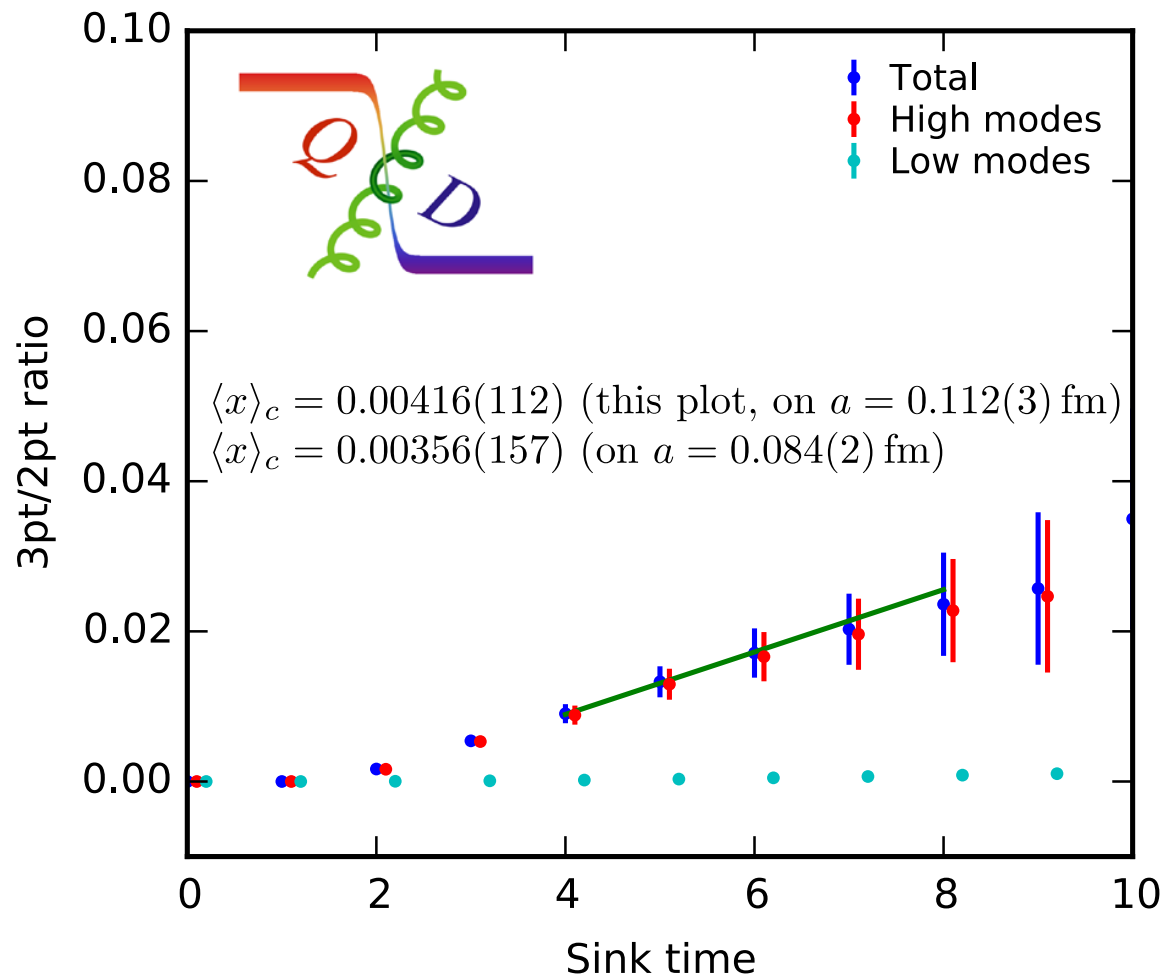
$$q_{DS} = (\neq ?) \bar{q}_{DS}$$



# Ratio of $\langle x \rangle_s / \langle x \rangle_{u/d}$ (DI)



$$\langle x \rangle_s = 0.027(6)$$





# Glue Spin and Helicity $\Delta G$

- Jaffe and Manohar -- spin sum rule on light cone

$$S_g = \int d^3x \vec{E} \times \vec{A} \text{ in light-cone gauge } (A^+ = 0) \text{ and IMF frame.}$$

- Not gauge invariant
- Light cone not accessible on the Euclidean lattice.

- Manohar – gauge invariant light-cone distribution

$$\Delta g(x) S^+ = \frac{i}{2xP^+} \int \frac{d\xi^-}{2\pi} e^{-ixP^+\xi^-} \langle PS | F_a^{+\alpha}(\xi^-) L^{ab}(\xi^-, 0) \tilde{F}_{\alpha,b}^+(0) | PS \rangle$$

- After integration of  $x$ , the glue helicity operator is

$$H_g(0) = \vec{E}^a(0) \times \left( \vec{A}^a(0) - \frac{1}{\nabla^+} (\vec{\nabla} A^{+,b}) L^{ba}(\xi^-, 0) \right)$$

- Non-local and on light cone

# Glue Spin and Helicity $\Delta G$

- X.S. Chen, T. Goldman, F. Wang; Wakamatsu; Hatta, etc.

Gauge invariant decomposition

$$\vec{J} = \vec{S}_q + \vec{L}_q + \vec{S}_G + \vec{L}_G$$

$$S_g = \int d^3x \text{Tr} (\vec{E} \times \vec{A}_{phys}), \quad A^\mu = A_{phys}^\mu + A_{pure}^\mu, \quad F_{pure}^{\mu\nu} = 0;$$

$$A_{phys}^\mu \rightarrow g^\dagger A_{phys}^\mu g, \quad A_{pure}^\mu \rightarrow g^\dagger A_{pure}^\mu g - \frac{i}{g} g^\dagger \partial^\mu g$$

$$D^i A_{phys}^i = \partial^i A_{phys}^i - ig [A^i, A_{phys}^i] = 0$$

– Gauge invariant but frame dependent

- X. Ji, J.H. Zhang, Y. Zhao; Y. Hatta, X. Ji, Y. Zhao

Infinite momentum frame

$$\vec{E}^a(0) \times \vec{A}_{phys}^a \xrightarrow{p_z \rightarrow \infty} \vec{E}^a(0) \times \left( \vec{A}^a(0) - \frac{1}{\nabla^+} (\vec{\nabla} A^{+,b}) L^{ba}(\xi^-, 0) \right)$$

# Glue Spin and Helicity $\Delta G$

- Large momentum limit

$$S_g = \frac{\langle PS | \int d^3x \text{Tr} (\vec{E} \times \vec{A}_{phys})_z | PS \rangle}{2E_P} \xrightarrow{P_z \rightarrow \infty} \Delta G$$

- Calculate  $S_g$  at finite  $P_z$
- Match to MS-bar scheme at 2 GeV
- Large momentum effective theory to match to IMF
- Similar proof for the quark and glue orbital angular momenta which are related to form factors in generalized TMD (GTMD) (Y. Zhao, KFL, and Y. Yang, arXiv:1506.08832)

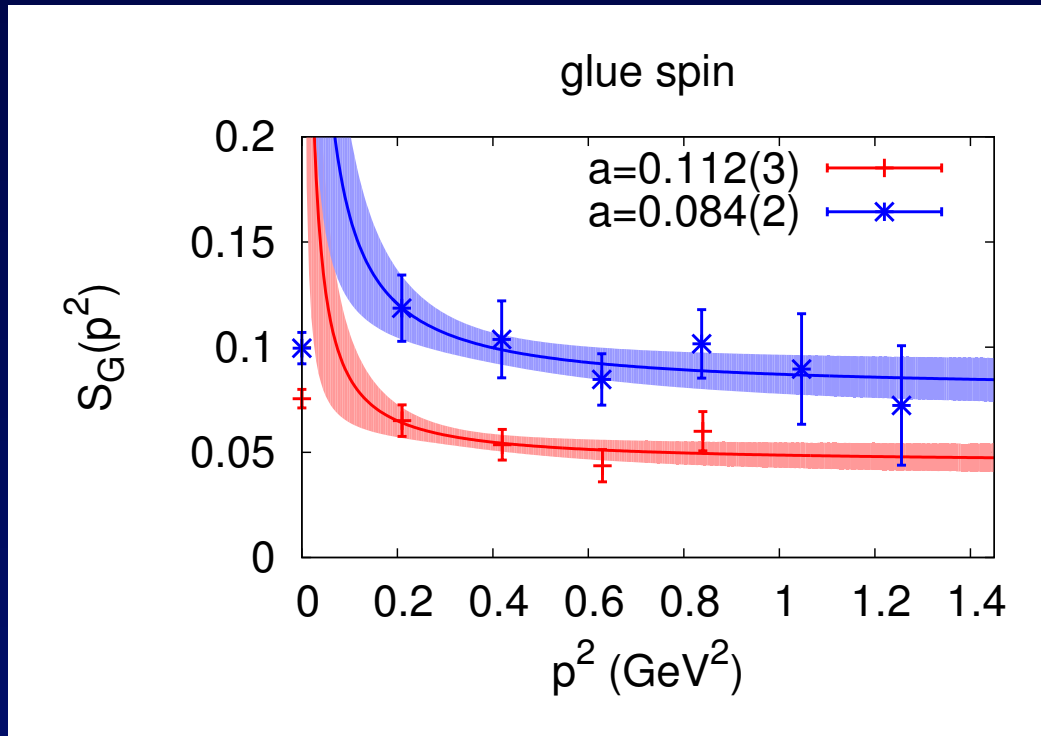
- Solution of  $A_{phys}$  -- related to  $A$  in Coulomb gauge

$$U^\mu(x) = g_c(x) U_c^\mu(x) g_c^{-1}(x + a\hat{\mu}),$$

$$U_{pure}^\mu(x) \equiv g_c(x) g_c^{-1}(x + a\hat{\mu}),$$

$$A_{phys}^\mu(x) \equiv \frac{i}{ag_0} \left( U^\mu(x) - U_{pure}^\mu(x) \right) = g_c(x) A_c(x) g_c^{-1}(x) + O(a).$$

# $S_G$ in Coulomb gauge at $p^2 = 0$ to $1.24 \text{ GeV}^2$ on the $24^3 \times 64$ and $32^3 \times 64$ lattices



$$a + \frac{b}{p^2}$$

Y. Yang ( $\chi QCD$ ),  
Preliminary

$$S_G = 0.13(3)$$

$$\text{Tr}(\vec{E} \times \vec{A}_{phys}) = \text{Tr}(\vec{E} \times g_C^{-1} \vec{A}_C g_C) = \text{Tr}(\vec{E}_C \times \vec{A}_C)$$

# Summary and Challenges

- Decomposition of proton spin and hadron masses into quark and glue components on the lattice is becoming feasible. Large momentum frame for the proton to calculate glue helicity remains a challenge.
- 'Proton Spin Crisis' is likely to be the second example of observable U(1) anomaly.
- Continuum limit at physical pion mass and large lattice volume (5.5 fm) with chiral fermions are being carried out.

