

# Thermalization process in far-from equilibrium systems

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*Based on work in collaboration with  
Berges, Boguslavski & Venugopalan*

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Initial state:  
Far from equilibrium



*Non-equilibrium  
dynamics*



Final state:  
Thermal equilibrium

What is the microscopic dynamics underlying the thermalization process?

How well can different theoretical methods describe the dynamics of thermalization?

# Outline

- Theoretical methods to describe non-equilibrium dynamics at weak coupling
- Thermalization process in homogenous & isotropic systems
  - *Similarities and differences between scalar & gauge theories*  
(Berges, Boguslavski, SS, Venugopalan PRD 89 (2014) 114007; JHEP 1405 (2014) 054 )
  - *Comparison between different weak-coupling methods*
- Early stages of high-energy heavy-ion collisions
  - *Effect of momentum anisotropy & longitudinal expansion*  
(Berges, Boguslavski, SS, Venugopalan PRD 89 (2014) 074011 & 114007)
  - *Universal behavior in scalar & gauge theories far from equilibrium*  
(Berges, Boguslavski, SS, Venugopalan PRL 114 (2015) 061601; work in preparation)
- Summary & Conclusions

# Theoretical methods

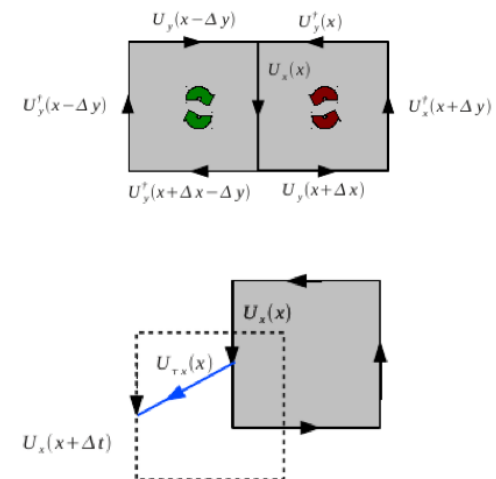
## Classical-statistical field theory

Whenever the phase-space occupancy  $f(t,p)$  of the characteristic degrees is large, their dynamics can be accurately described in terms of classical field theory

$$D_\mu F^{\mu\nu} = J^\nu$$

Classical field theory can be solved numerically from first-principles using standard lattice gauge theory techniques

-> Powerful tool to study non-equilibrium dynamics in high occupancy regime  $f(t,p) \gg 1$ .





# Theoretical methods

## Kinetic theory

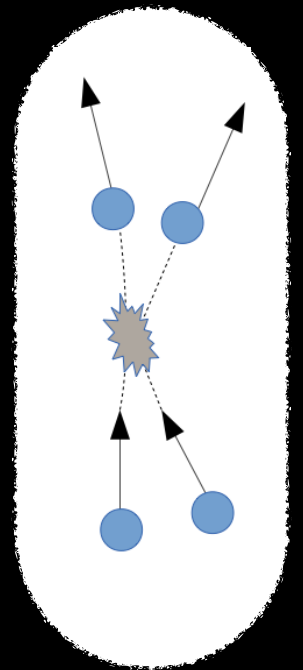
Description of the dynamics in terms of Boltzmann equation for weakly interacting quasi-particles

$$\partial_t f(t, p) = C[f](t, p)$$

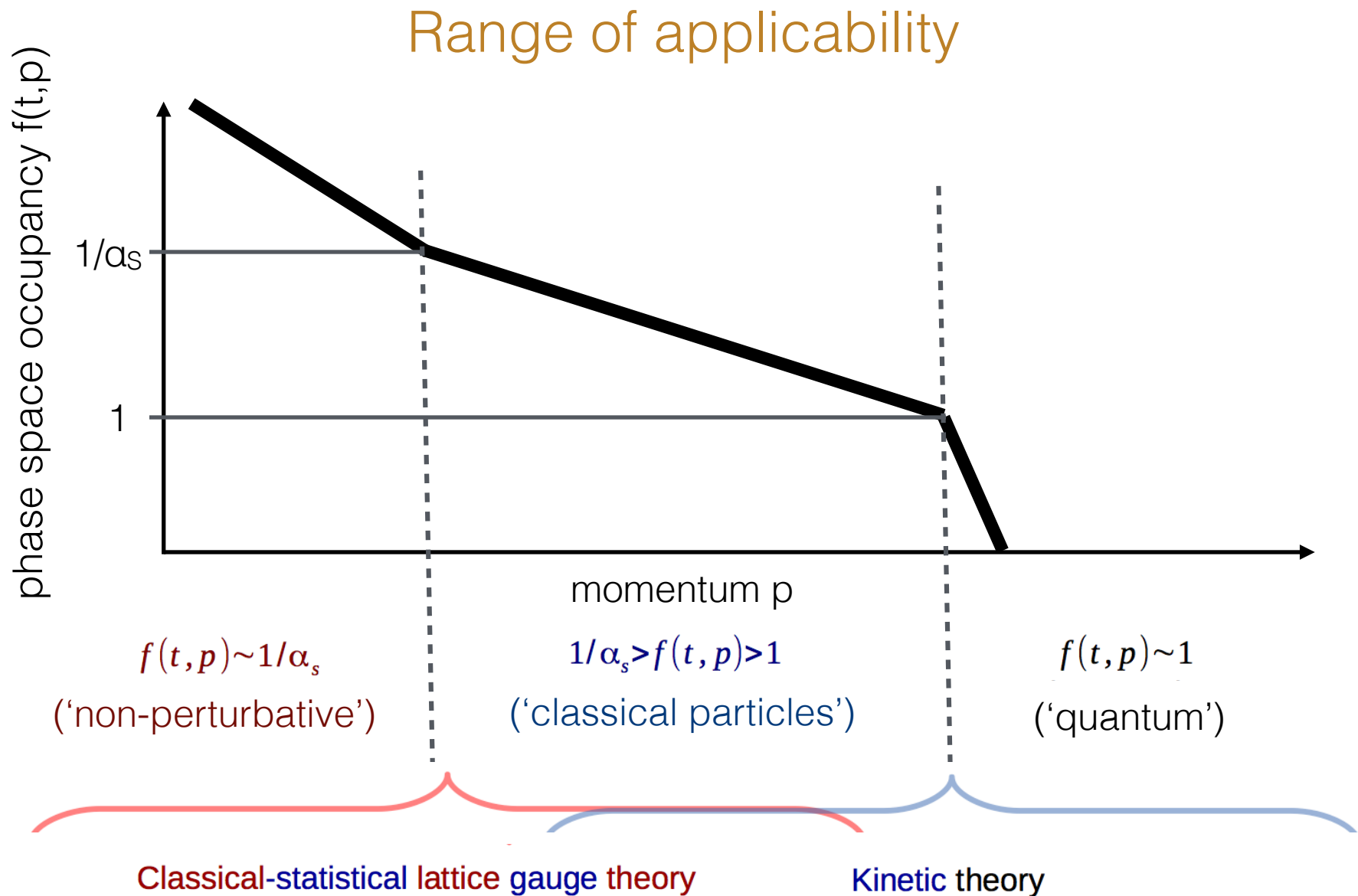
Generally quasi-particles are well defined and weakly interacting as long as

- coupling constant small ( $\alpha_s \ll 1$ )
- phase space occupancy is perturbative ( $f(t, p) \ll 1/\alpha_s$ )
- limited to description of high momentum modes ( $p \gg m_D$ )

-> Efficient tool for both numerical studies and analytic considerations; straightforward to account for quantum statistics

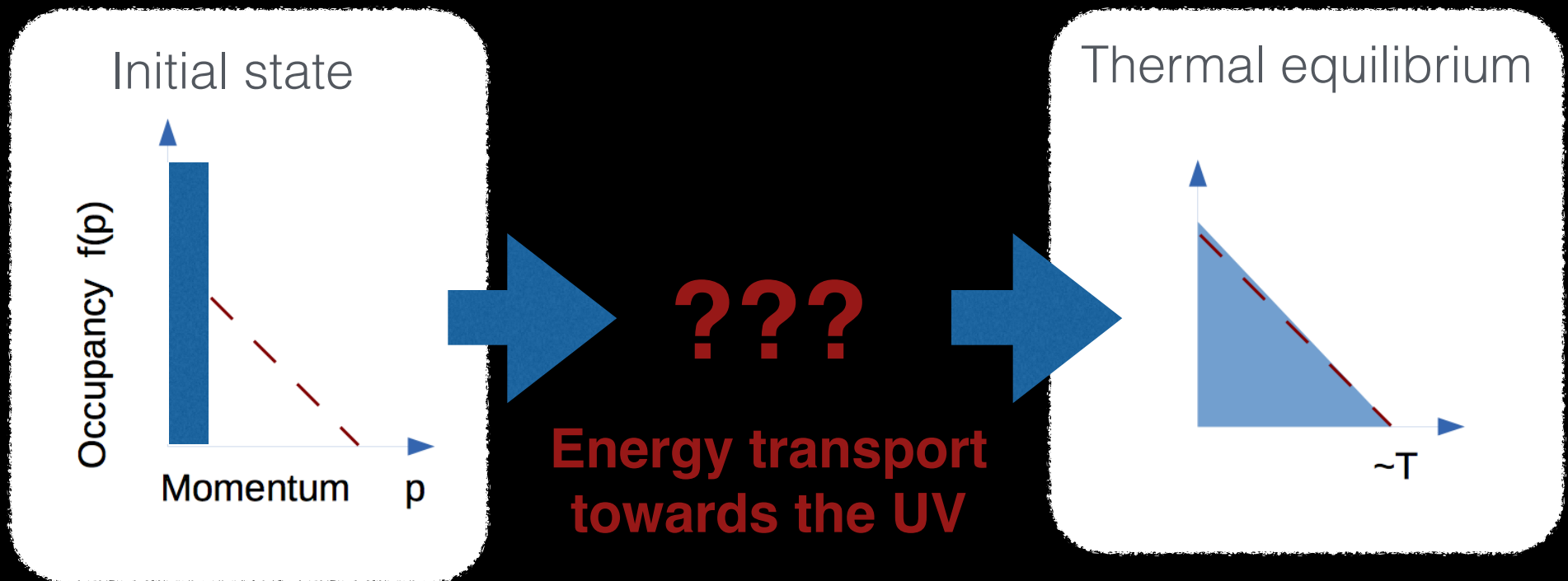


# Theoretical methods



# Thermalization process in homogenous & isotropic systems

- Since energy conserved during the thermalization process, the thermal equilibrium state is known
- While initially energy is carried by low momentum excitations, in thermal equilibrium energy is dominated by modes with  $p \sim T$



# Thermalization process — Yang-Mills theory

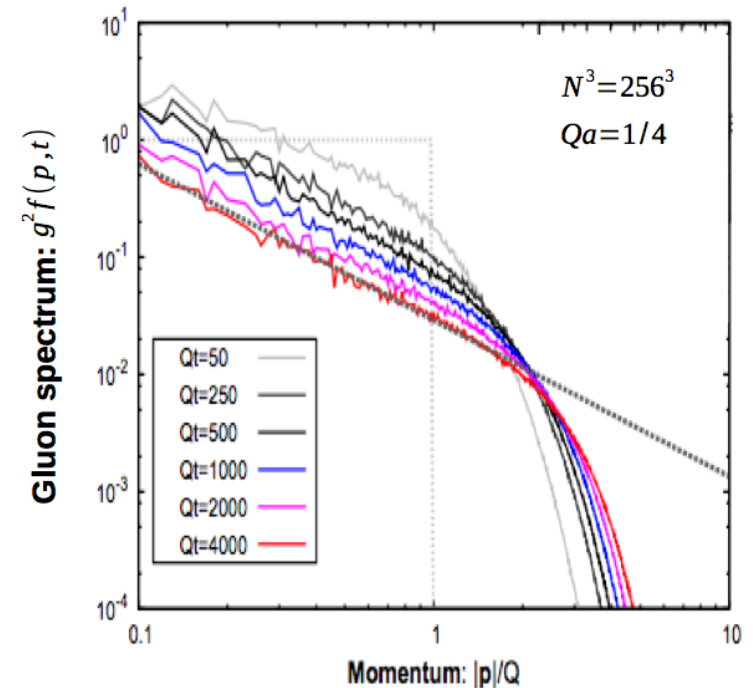
## Classical-statistical simulations

- Choose initial conditions to mimic quasi-particle picture

$$A_{\mu}^a(t_0, \mathbf{x}) = \int \frac{d^3 k}{(2\pi)^3} \sqrt{f(k, t_0)} \times [c_{\lambda, a}^k \xi_{\mu}^{(\lambda)k}(t_0) e^{ikx} + c.c.]$$

- Evolve the system according to Hamilton's equations of motion on the lattice
- Extract time evolution of the gluon distribution from correlation functions

$$f(\mathbf{p}, t) = \langle |\xi_{\mu}^{(\lambda)k}(t) \bar{\partial}_t A_a^{\mu}(t, \mathbf{p})|^2 \rangle \quad (\text{Coulomb gauge})$$



-> Infrared power-law

-> Characteristic momentum scale  $\Lambda$  increases with time

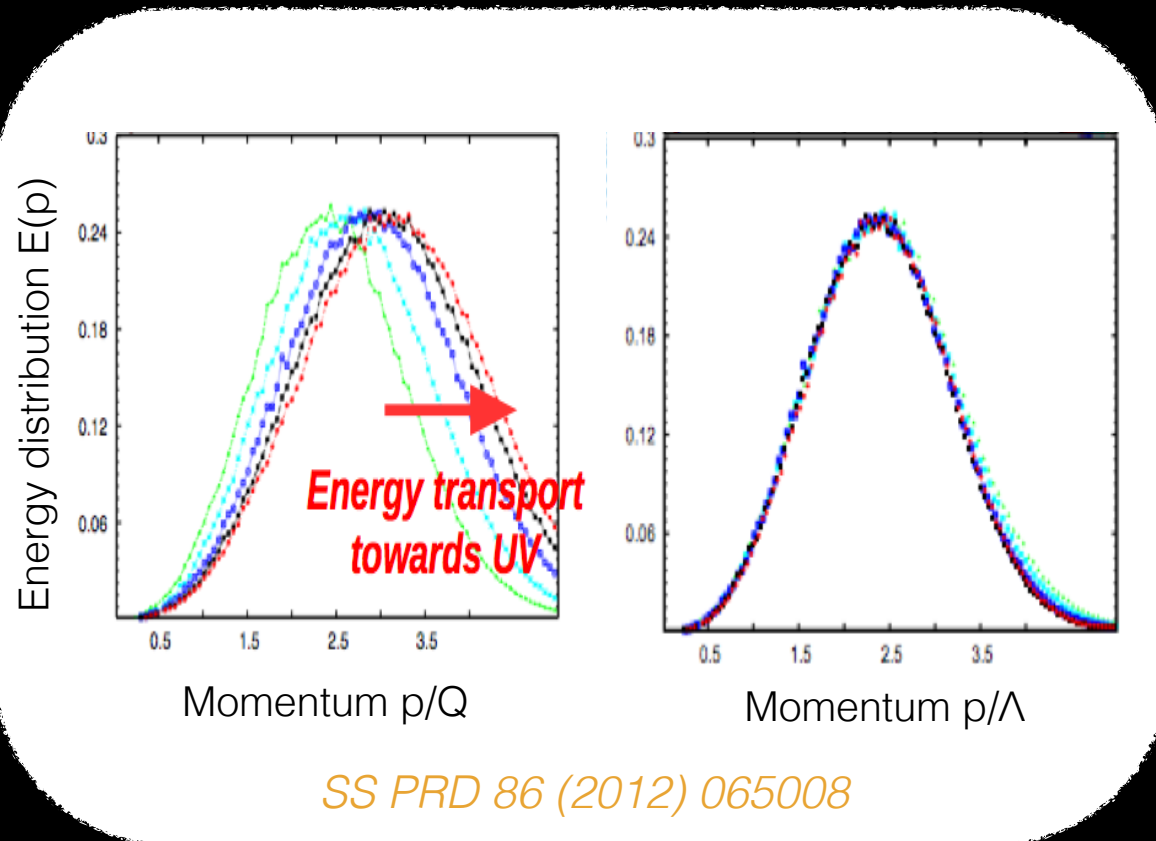
# Self-similar scaling

Evolution at late times proceeds via a self-similar ultra-violet cascade

-> same process repeats itself over and over again

Dynamics can be entirely described in terms of scaling exponents  $\alpha=-4/7$ ,  $\beta=-1/7$ , and scaling function  $f_S$  which can be extracted from simulations

$$f(p, t) = t^\alpha f_S(t^\beta p)$$



Closely related to the phenomenon of wave turbulence and has been named

“turbulent thermalization”

# Kinetic theory — qualitative description

- Once the characteristic occupancies become  $1 \ll f \ll 1/a$  the dynamics of hard excitations can also be described by kinetic theory

$$\frac{\partial f(t, \mathbf{p})}{\partial t} = C[f](t, \mathbf{p})$$

Search for self-similar scaling solution

$$f(p, t) = t^\alpha f_S(t^\beta p)$$

Scaling behavior of the collision integral

$$\xrightarrow[\text{(} f \gg 1 \text{)}]{\text{scale invariance}} C[f](p, t) = t^\mu C[f_S](t^\beta p)$$

-> Boltzmann equation can be decomposed into

$$[\alpha + \beta \mathbf{p} \cdot \nabla_{\mathbf{p}}] f_S(\mathbf{p}) = C[f_S](1, \mathbf{p}),$$

$$\alpha - 1 = \mu(\alpha, \beta)$$

*time independent fixed-point condition*

*scaling relation*

# Kinetic theory — qualitative description

- Dynamical scaling exponents  $\alpha, \beta$  are uniquely determined by

*Scaling of the collision integral*

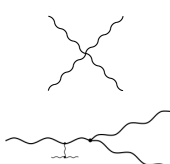
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*Energy conservation*

$$\alpha - 1 = \mu(\alpha, \beta)$$

$$\alpha - 4\beta = 0$$

**Independent of microscopic parameters  
(e.g. coupling constant, number of colors,...)**

	<i>Interaction</i>	<i>Hard scale evolution (Exponent <math>\alpha</math>)</i>	<i>Occupancy evolution (Exponent <math>\beta</math>)</i>
<i>gauge theory</i>	 <p><i>2<math>\leftrightarrow</math>2 &amp; eff. 2<math>\leftrightarrow</math>1</i></p>	<i>-1/7</i>	<i>-4/7</i>

( Micha, Tkachev, Kurkela, Moore, Berges, SS, Venugopalan, ... )

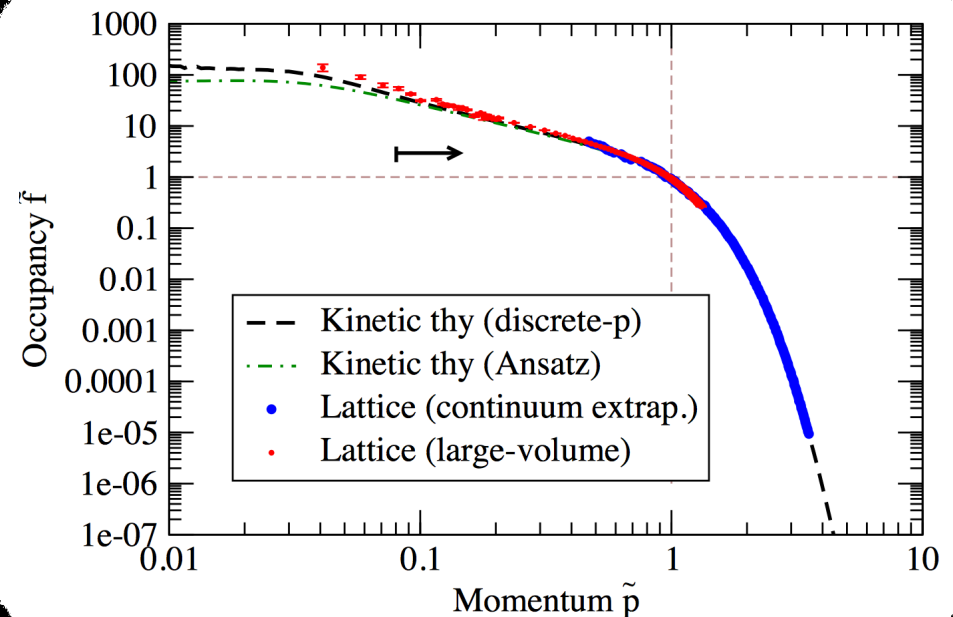
# Kinetic theory — quantitative description

Numerical solutions of effective kinetic theory (AMY)

$$\partial_t f(p, t) = -\mathcal{C}_{2\leftrightarrow 2}[f](p) - \mathcal{C}_{1\leftrightarrow 2}[f](p).$$

compared to classical Yang-Mills simulations.

- Dynamics of hard excitations accurately described by eff. kinetic theory.
- Quantitative agreement between the two approaches for momenta above  $m_D$ .



*(York, Kurkela, Lu, Moore, PRD 89 (2014) 074036; Kurkela, Lu PRL 113 (2014) 18)*



# Thermalization process — scalar theory

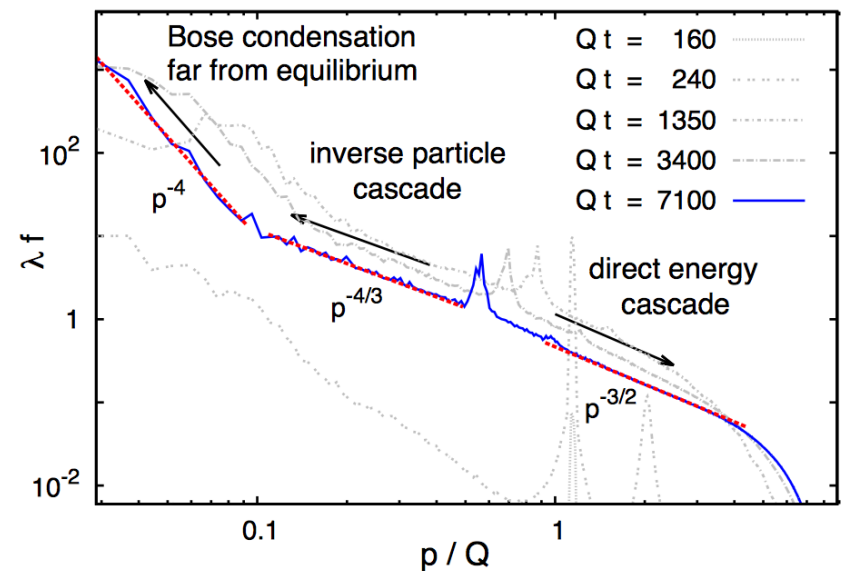
- Crucial difference to gauge theory is that inelastic (particle number changing) processes are highly suppressed in the scalar theory.

-> In addition to energy conservation, particle number may be effectively conserved over a large time scale.

- Classical statistical simulations show that the scalar theory accommodates for this by the emergence of an inverse particle cascade.

-> Energy is transported to the UV

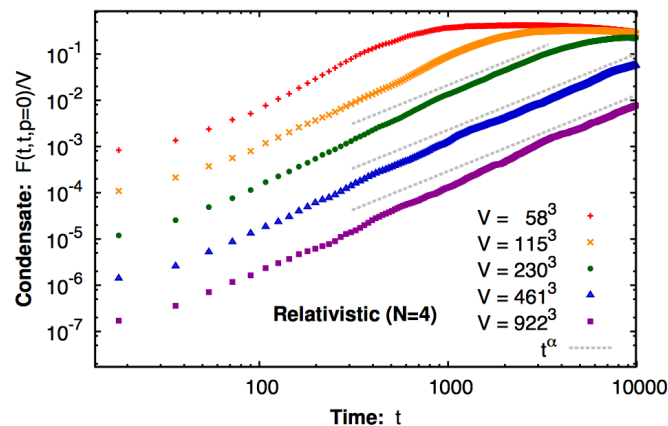
-> Particle number is transported to the IR and results in the formation of a Bose Condensate



# Challenge for kinetic description

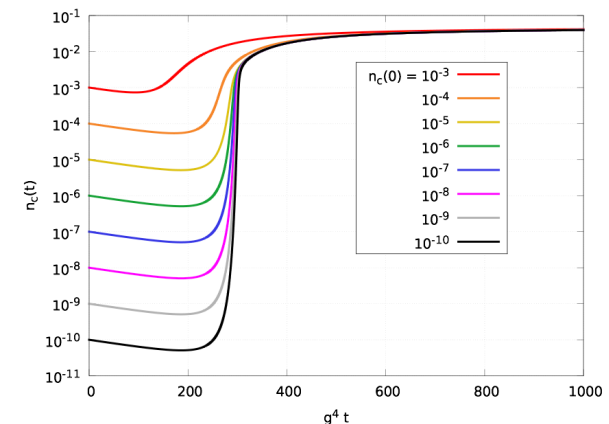
- Description of infrared dynamics of (inverse) particle number cascade involves low momenta and non-perturbatively large occupancies.

## Classical lattice simulation



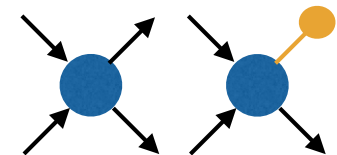
Berges, Boguslavski, Pineru-Orioli  
arXiv:1503.02498

## Kinetic theory



Epelbaum, Gelis, Tanji, Wu  
Phys.Rev. D90 (2014) 12, 125032

- Naive kinetic description in terms of  $2 \leftrightarrow 2$  and  $2 \leftrightarrow 1 + \text{condensate}$ , fails to describe condensation dynamics correctly



# Challenge for kinetic description

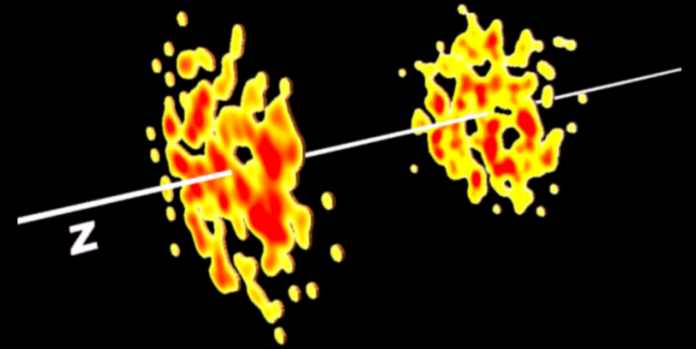
- Description of infrared dynamics of (inverse) particle number cascade involves low momenta and non-perturbatively large occupancies.
- Can not simply neglect the infrared dynamics in this case because it affects the dynamics of hard particles through non-local interactions (hard+hard  $\leftrightarrow$  hard + soft), which dominate UV cascade.

-> Clearly interplay between IR and UV sector poses a challenge to kinetic description

Solution for scalars suggested in terms of  $(1/N)$  vertex-resummation to extend range of validity towards infrared regime (talk K. Boguslavski)

# Heavy-ion collisions in the weak-coupling picture

- High-energy nuclei feature a large number of small- $x$  gluons with typical momentum  $Q_s(s)$



-> *At high energies  $Q_s(s) \gg \Lambda_{QCD}$  such that  $\alpha_s(Q_s) \ll 1$  is small*

- Collision of high-energy nuclei leads to a far-from equilibrium state 'Glasma' characterized by a large phase space occupancy of gluons

$$f(p \sim Q_s) \sim 1/\alpha_s$$

*High initial gluon density allows for an effective classical description of the early stages*

# Effect of long. expansion

- Dilution of the system & red-shift of longitudinal momenta  
-> *System can be anisotropic on large time scales*

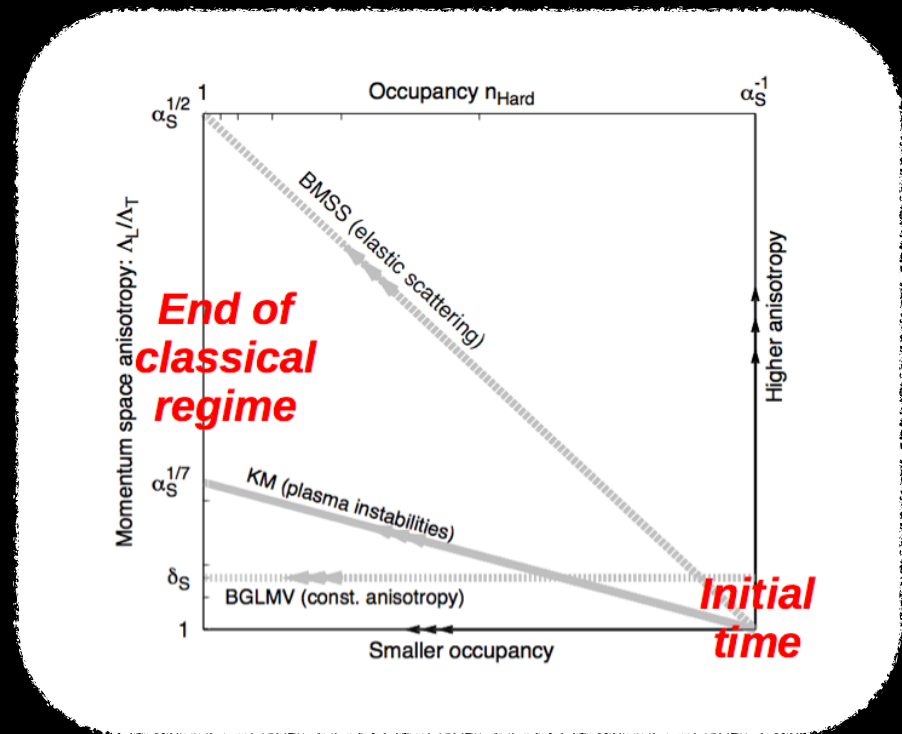
- Different scenarios developed based on kinetic theory

- Baier et al. ( BMSS ),  
*PLB 502 (2001) 51-58*
- Kurkela, Moore ( KM ),  
*JHEP 1111 (2011) 120*
- Blaizot et al. ( BGLMV ),  
*Nucl. Phys. A 873 (2012) 68-80*

...

- Difference arises due to treatment of soft (non-perturbative) physics

-> *Need first-principles simulations to decide which scenario is realized*

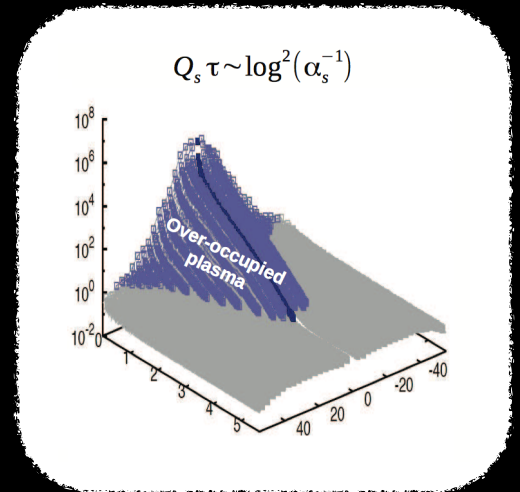
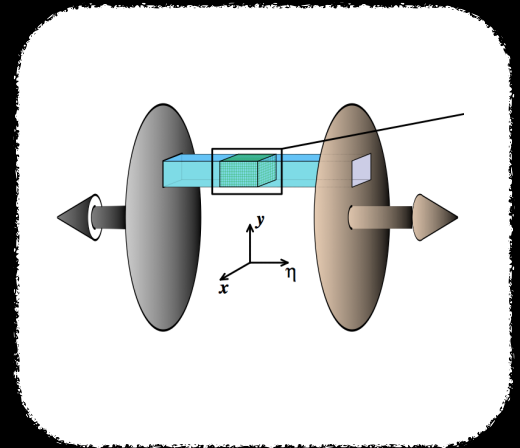


# Qualitative description of early stages

- We will neglect the transverse expansion of the system and consider a system which is only expanding in the longitudinal direction
- Characterize the initial state at  $\tau_0 \sim 1/Q_s$  in terms of an initial gluon distribution

$$f(p_T, p_z, \tau_0) = \frac{n_0}{\alpha_s} \Theta\left(Q - \sqrt{p_T^2 + (\xi_0 p_z)^2}\right)$$

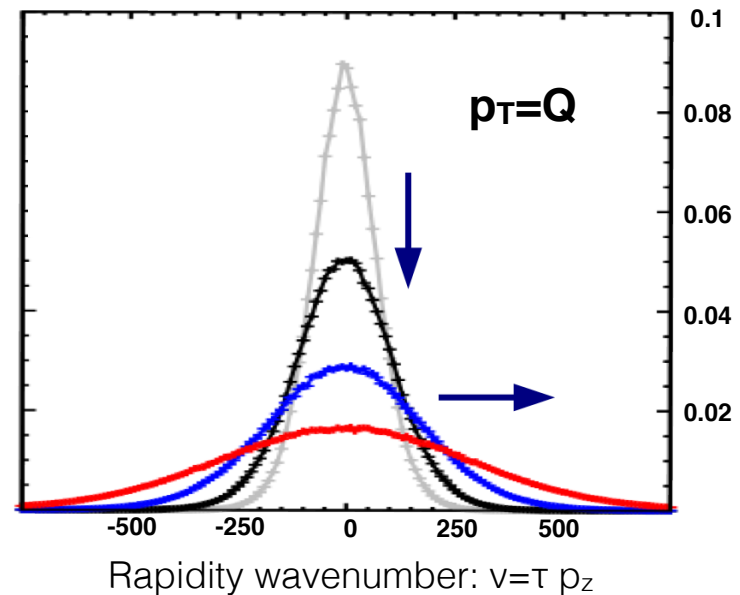
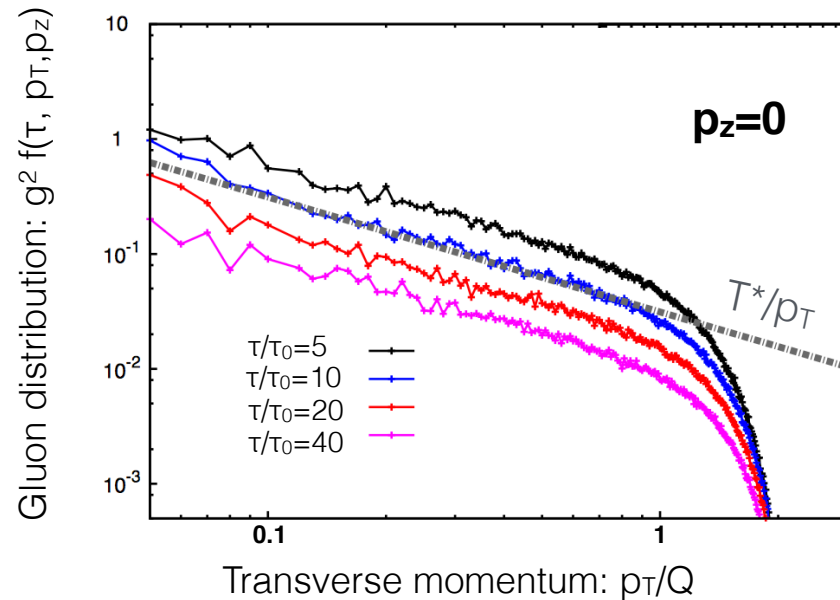
initial occupancy (pointing to  $n_0$ )  
initial anisotropy (pointing to  $\xi_0$ )



-> Captures the possible dependencies on initial occupancy and momentum space anisotropy

# Evolution in classical regime

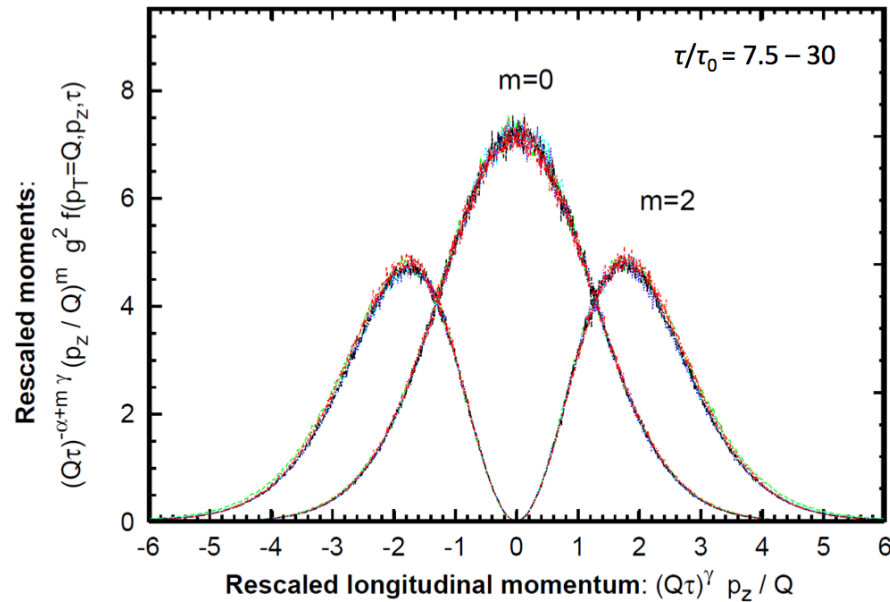
Single particle spectrum



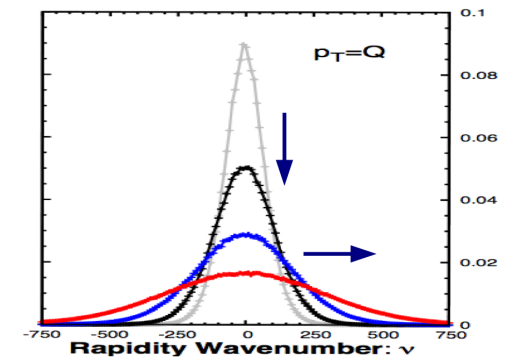
Transverse spectrum shows thermal-like  $1/p_T$  behavior up to  $Q_s$ .

Dynamics in the scaling regime consists of *longitudinal momentum broadening* — not strong enough to completely compensate for red-shift due to longitudinal expansion

# Self-similarity



rescaling



Dynamics can be entirely described in terms of universal scaling exponents  $\alpha=-2/3$ ,  $\beta=0$ ,  $\gamma=1/3$  and scaling function  $f_S$  extracted from simulations

$$f(p_T, p_z, \tau) = (Q\tau)^\alpha f_S\left((Q\tau)^\beta p_T, (Q\tau)^\gamma p_z\right)$$

(Berges, Boguslavski, SS, Venugopalan PRD 89 (2014) 074011; 89 (2014) 114007)

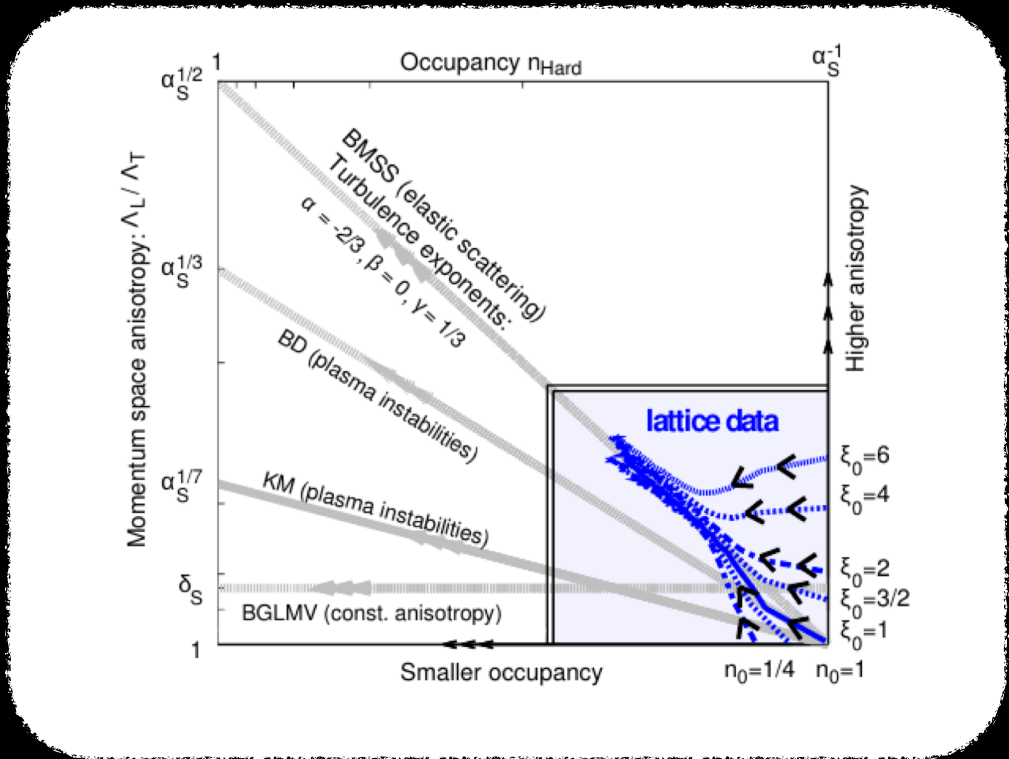


# Kinetic theory comparison

- Universal scaling behavior independent of the initial conditions
- Surprising agreement with “bottom up” scenario ( $2 \leftrightarrow 2$  and  $2 \leftrightarrow 1$ )

*(Baier, Mueller, Schiff, Son, PLB 502, 51 (2001))*

- No sign of plasma instabilities affecting the late time evolution of hard excitations



*Could be that the “pre-factor” is just small and instabilities only become important when the system is extremely anisotropic?*

*Could be that we do not understand something about screening in anisotropic plasmas / interplay of soft and hard excitations?*

# Scaling analysis

Consider the Boltzmann equation (c.f. Baier et al. PLB 502 (2001) 51-58 )

$$\left[\partial_{\tau} - \frac{p_z}{\tau} \partial_{p_z}\right] f(p_T, p_z, \tau) = C[f](p_T, p_z, \tau)$$

with a self-similar evolution

$$f(p_T, p_z, \tau) = (Q\tau)^{\alpha} f_s((Q\tau)^{\beta} p_T, (Q\tau)^{\gamma} p_z)$$

→ **Non-thermal fixed point solution** ( $f \gg 1$ )

$$\left[\alpha + \beta p_T \partial_{p_T} + (\gamma - 1) p_z \partial_{p_z}\right] f_s(p_T, p_z) = Q^{-1} C[f_s](p_T, p_z)$$

→ **Scaling exponents determined by scaling relations for**

- Small angle elastic scattering  $(2\alpha - 2\beta + \gamma = -1)$
- Energy conservation  $(\alpha - 3\beta - \gamma = -1)$
- Particle number conservation  $(\alpha - 2\beta - \gamma = -1)$

→  $\alpha = -2/3, \beta = 0, \gamma = 1/3$

Scaling exponents are independent of detailed microscopic dynamics

-> Could be any process with the same parametric dependencies

-> Chance to observe identical behavior in different physical systems

# Expanding scalar field theory

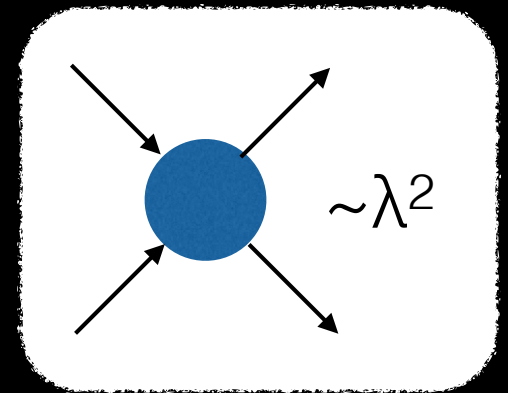
Consider massless N-component scalar field theory with quartic self-interaction

$$S[\varphi] = \int d^4x \sqrt{-g(x)} \left( \frac{1}{2} (\partial_\mu \varphi_a) g^{\mu\nu} (\partial_\nu \varphi_a) - \frac{\lambda}{24N} (\varphi^2)^2 \right)$$

in a longitudinally expanding setup.

Even though perturbatively there is no preference for small angle scattering, one at least expects elastic processes to dominate

-> *Energy conservation*  
& *Particle number conservation*

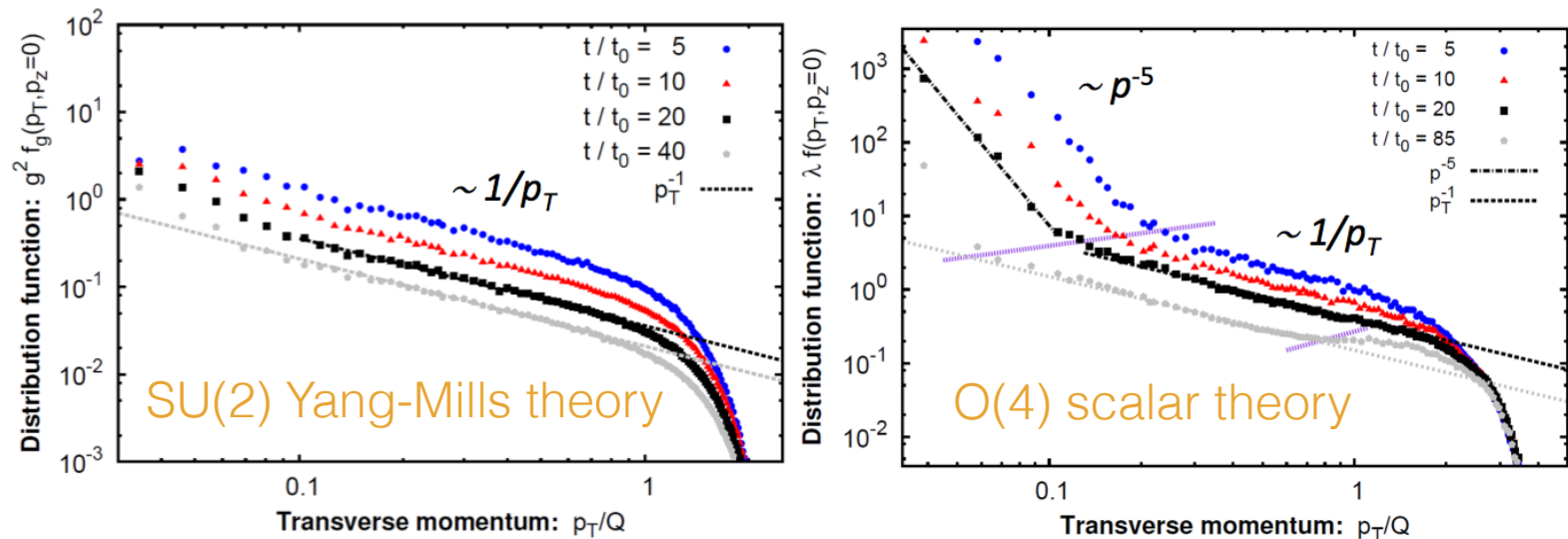


(Berges, Boguslavski, SS, Venugopalan PRL 114 (2015) 061601)

# Comparison of simulations

Comparison of longitudinally expanding Yang-Mills and scalar field theory in the classical regime of high occupancy

Evolution of the single particle spectrum



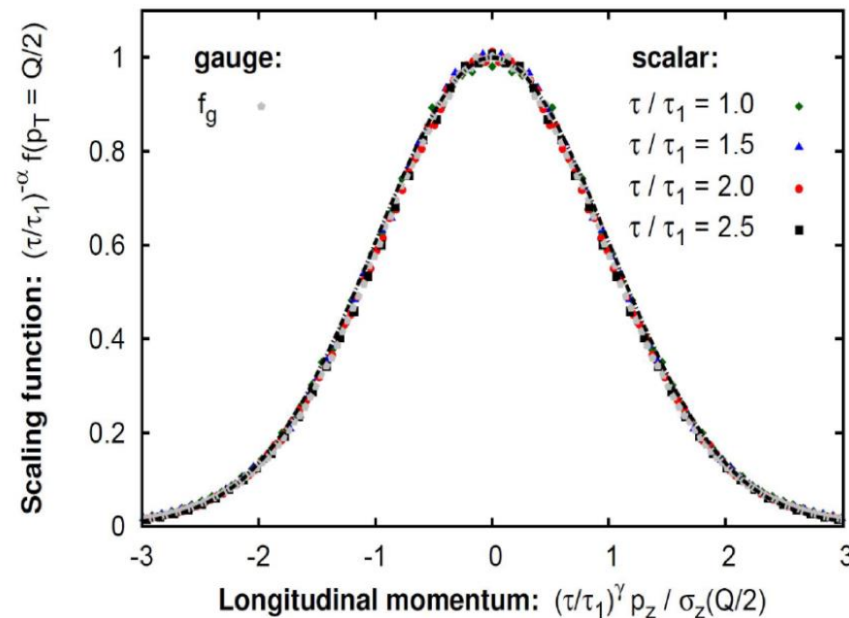
Scalar theory shows three distinct scaling regimes at soft ( $\sim p^{-5}$ ), intermediate ( $\sim 1/p_T$ ) and hard momenta ( $\sim \text{const}$ )  
-> *Common  $\sim 1/p_T$  scaling regime*

(Berges, Boguslavski, SS, Venugopalan PRL 114 (2015) 061601)

# Universality far from equilibrium

- Scaling exponents and scaling functions agree in the inertial range of momenta, where both theories show  $1/p_T$  behavior

Normalized fixed-point distribution

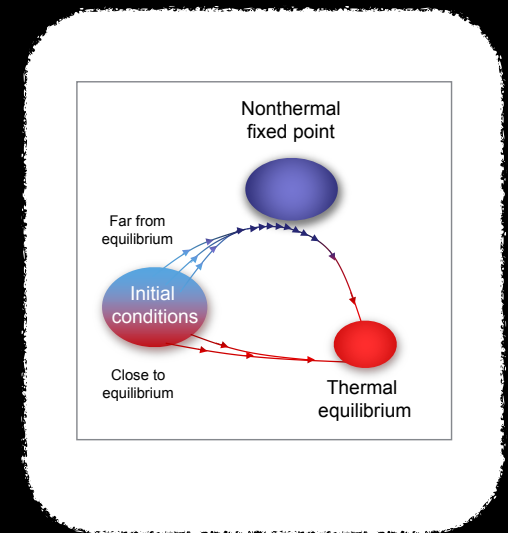


Kinetic interpretation in expanding scalar theory still unclear —  
*Points to the fact that “bottom-up” solution is  
more general than small angle scattering*

(Berges, Boguslavski, SS, Venugopalan PRL 114 (2015) 061601)

# Summary & Conclusions

- Generally the thermalization process in far-from equilibrium system proceeds via “non-thermal” fixed points, which correspond to self-similar attractor solutions.
- We observe for the first time qualitative agreement between kinetic theory predictions and classical lattice simulations in some systems



*Clearly problems remain concerning in all cases where infrared dynamics is important (plasma instabilities, condensation in scalars)*

- Striking universality observed between expanding scalar and gauge theory in the early time classical regime.

*-> Even though precise origin is still unclear there is an exciting possibility to learn about the dynamics of thermalization process from different physical systems.*