

CONSISTENT KINETIC EQUATIONS FOR LEPTOGENESIS?

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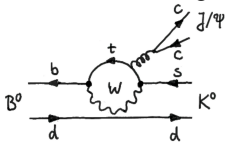
Equilibration Mechanisms in Weakly and Strongly Coupled Quantum
Field Theory
INT, August 19, 2015

BARYON ASYMMETRY

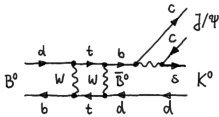
$$\eta_B = \frac{n_b - n_{\bar{b}}}{n_\gamma} \simeq 10^{-9}$$

CP-VIOLATION IN MESON DECAYS

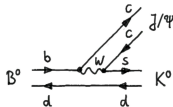
CP-violation through



direct contribution (Penguin)



indirect contribution (Box)

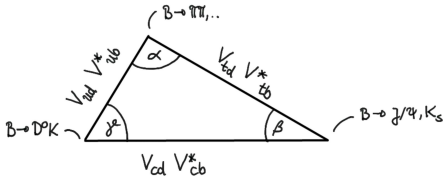


interference with Tree

CP-violating observables

$$\frac{\Gamma_{P \rightarrow f} - \Gamma_{\bar{P} \rightarrow \bar{f}}}{\Gamma_{P \rightarrow f} + \Gamma_{\bar{P} \rightarrow \bar{f}}} \simeq \epsilon + \epsilon' \neq 0$$

Unitarity triangle and Jarlskog invariant (determinant)

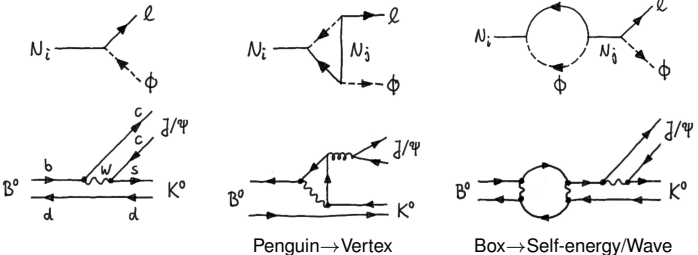


$$J = \prod_{i>j} (m_i^2 - m_j^2) \text{Im}\{V_{ud} V_{cb} V_{ub}^* V_{cd}^*\}$$

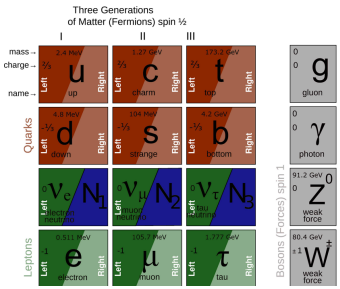
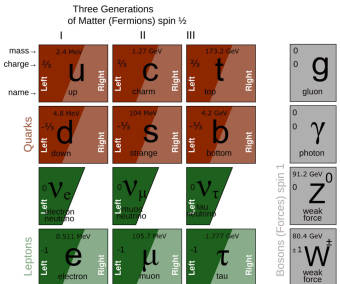
$u, c, t; d, s, b$

CP-VIOLATION IN MESON DECAYS

- Idea: Use it to explain matter-antimatter asymmetry
 - Meson decays do not work (no \bar{B} ; freeze-out too late)
- New heavy particles N_i , weakly interacting through Yukawa coupling
 - CP-violation in N_i decays at 1-loop level through interference with tree graph



RHN EXTENSIONS OF STANDARD MODEL



Standard Model \mathcal{L}_{SM} shortcomings

- neutrino oscillations, dark matter, couple of 'anomalies', **baryon asymmetry**
- hierarchy between weak and Planck scale, strong CP problem, flavor structure ...

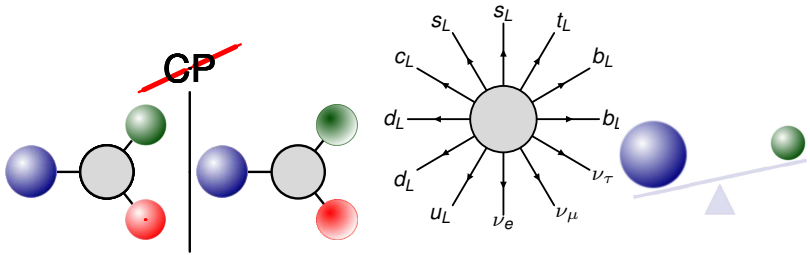
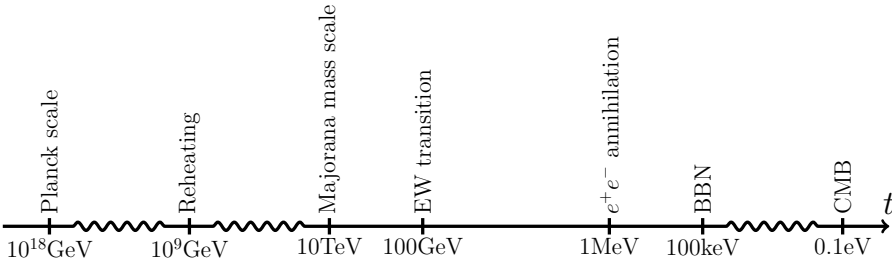
Standard Model + 3RHN

$$\mathcal{L}_{SM} + \frac{1}{2} \bar{N}_i (i\not{\partial} - M_i) N_i - h_{\alpha i} \bar{l}_\alpha \tilde{\phi} P_R N_i - h_{i\alpha}^\dagger \bar{N}_i \tilde{\phi}^\dagger P_L l_\alpha$$

neutrino masses, dark matter possible, **multiple CP-violating phases** in weak sector characterized by invariants J

RHN EXTENSIONS OF STANDARD MODEL

- possible thermal history of the universe



BARYON ASYMMETRY

$$\eta_B \simeq \frac{a_{\text{Sphaleron}}}{f} \frac{n_\ell - n_{\bar{\ell}}}{n_\gamma}$$

$$\frac{n_\ell - n_{\bar{\ell}}}{n_\gamma} \simeq \kappa \epsilon_1$$

$$\epsilon_j = \left(\left| \text{---} \circ \begin{array}{l} \nearrow \\ \searrow \end{array} \right|^2 - \left| \text{---} \circ \begin{array}{l} \nearrow \\ \nwarrow \end{array} \right|^2 \right) / \left(\left| \text{---} \circ \begin{array}{l} \nearrow \\ \searrow \end{array} \right|^2 + \left| \text{---} \circ \begin{array}{l} \nearrow \\ \nwarrow \end{array} \right|^2 \right)$$

CONSISTENT KINETIC EQUATIONS

■ Need

- Non-negative number-densities/distribution functions
- Conserved currents
- Conserved energy-momentum
- Approach to equilibrium (H-theorem)
- No asymmetry without CP-violation in Lagrangian (Second Sakharov condition)
- No asymmetry in equilibrium (Third Sakharov condition)

■ Want/May need

- CP -violating phases in amplitudes
- Off-shell quantum effects
- Mixing of heavy N_i
- Flavour and their mixing

TEXTBOOK KINETIC THEORY

$$(n_\ell - n_{\bar{\ell}}) = \int \frac{d^3k}{(2\pi)^3 E_k^\ell} \left[C^{\ell\phi \leftrightarrow N_i}(k) - C^{\bar{\ell}\bar{\phi} \leftrightarrow N_i}(k) \right]$$

$$C^{\ell\phi \leftrightarrow N_i}(k) = \frac{1}{2} \int d\Pi_p^\phi d\Pi_q^{N_i} (2\pi)^4 \delta(k + p - q) \left[\begin{array}{c} \text{Diagram 1} \\ - \\ \text{Diagram 2} \end{array} \right]$$

$$\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} = \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right|^2 \begin{array}{c} (1 - f_k^\ell)(1 + f_p^\phi) f_q^{N_i} \\ f_k^\ell f_p^\phi (1 - f_q^{N_i}) \end{array}$$

$$C^{\bar{\ell}\bar{\phi} \leftrightarrow N_i}(k) = \frac{1}{2} \int d\Pi_p^{\bar{\phi}} d\Pi_q^{N_i} (2\pi)^4 \delta(k + p - q) \left[\begin{array}{c} \text{Diagram 3} \\ - \\ \text{Diagram 4} \end{array} \right]$$

■ Advances made in non-equilibrium QFT

W. Buchmüller and S. Fredenhagen, [hep-ph/0004145]; A. De Simone and A. Riotto, [hep-ph/0703175]; A. De Simone and A. Riotto, [hep-ph/0705.2183]; V. Cirigliano, A. De Simone, G. Isidori, I. Masina and A. Riotto, [hep-ph/0711.0778]; A. Anisimov, W. Buchmüller, M. Drewes and S. Mendizabal, [hep-th/0812.1934]; M. Garny, A. Hohenegger, A. Kartavtsev and M. Lindner, [0909.1559]; M. Garny, A. Hohenegger, A. Kartavtsev and M. Lindner, [hep-ph/0911.4122]; V. Cirigliano, C. Lee, M. J. Ramsey-Musolf and S. Tulin, [hep-ph/0912.3523]; A. Anisimov, W. Buchmüller, M. Drewes and S. Mendizabal, [hep-ph/1001.3856]; M. Garny, A. Hohenegger and A. Kartavtsev, [hep-ph/1002.0331]; M. Beneke, B. Garbrecht, M. Herranen and P. Schwaller, [hep-ph/1002.1326]; M. Beneke, B. Garbrecht, C. Fidler, M. Herranen and P. Schwaller, [hep-ph/1007.4783]; B. Garbrecht, [hep-ph/1011.3122]; A. Anisimov, W. Buchmüller, M. Drewes and S. Mendizabal, [hep-ph/1012.5821]; B. Garbrecht and M. Herranen, [hep-ph/1112.5954]; M. Garny, A. Kartavtsev and A. Hohenegger, [hep-ph/1112.6428]; M. Drewes and B. Garbrecht, [hep-ph/1206.5537]; B. Garbrecht, [hep-ph/1210.0553]; T. Frossard, M. Garny, A. Hohenegger, A. Kartavtsev and D. Mitrouskas, [hep-ph/1211.2140]; B. Garbrecht and M. J. Ramsey-Musolf, [hep-ph/1307.0524]; A. Hohenegger and A. Kartavtsev, [hep-ph/1309.1385]; S. Iso, K. Shimada and M. Yamanaka, [hep-ph/1312.7680]; S. Iso and K. Shimada, [hep-ph/1404.4816]; A. Hohenegger and A. Kartavtsev, [hep-ph/1404.5309]; B. Garbrecht, F. Gautier and J. Klaric, [hep-ph/1406.4190]; T. Frossard, A. Kartavtsev and D. Mitrouskas, [hep-ph/1304.1719].

NON-EQUILIBRIUM QUANTUM FIELD THEORY

$$\mathcal{D}^\mu j_\mu^L(x) = - \lim_{y \rightarrow x} (\mathcal{D}_x + \mathcal{D}_y) \mathcal{D}_F(x, y)$$

$$D^{-1}(x, y) = D_0^{-1}(x, y) - \Sigma(x, y)$$

$$\Sigma(x, y) = 2i \frac{\delta \Gamma_2}{\delta D(y, x)}$$

$$\Gamma_2 = \text{[Diagram 1]} + \text{[Diagram 2]}$$

$$\hat{G}^{-1}(x, y) = \hat{G}_0^{-1}(x, y) - \hat{\Pi}(x, y)$$

(similar for ϕ)

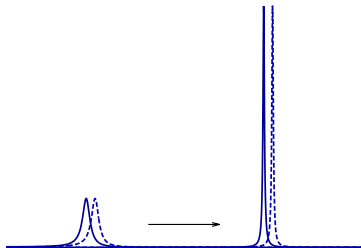
NON-EQUILIBRIUM QUANTUM FIELD THEORY

- Gradient expansion (slow scales are H and Γ_i)
- Kadanoff-Baym ansatz and quasi-particle approximation for ℓ (and ϕ)

$$D_\rho(p) = (2\pi) \text{sign}(p_0) \delta(p^2 - m^2),$$

$$D_F(p) = [1 \pm f^\ell(p)] D_\rho(p)$$

- quasi-particle approximation for N_i problematic



- diagonal approximation

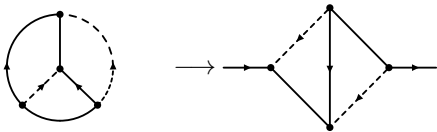
$$G_\rho^{ij}(t, q) = \delta^{ij} (2\pi) \text{sign}(p^0) \delta(p^2 - M_i^2)$$

neglects crucial cross-correlations

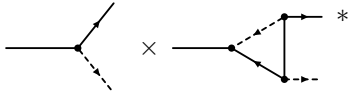
- overlap due to finite width neglected

NON-EQUILIBRIUM QUANTUM FIELD THEORY

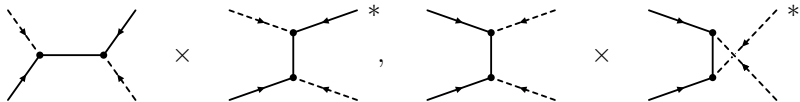
■ 3-loop contributions



■ vertex CP -violating parameter

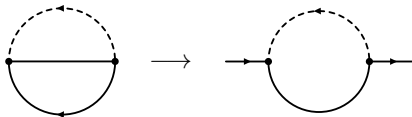


■ $(s \times t)$ and $(t \times u)$ contributions to $l\phi \leftrightarrow \bar{l}\bar{\phi}, ll \leftrightarrow \bar{\phi}\bar{\phi}$ scattering

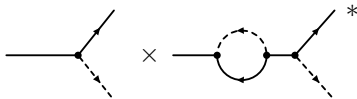


NON-EQUILIBRIUM QUANTUM FIELD THEORY

■ 2-loop contributions



■ self-energy CP -violating parameter (need off-diagonal elements G^{ij})



■ $(s \times s)$ and $(t \times t)$ contributions to $l\phi \leftrightarrow \bar{l}\bar{\phi}$, $ll \leftrightarrow \bar{\phi}\bar{\phi}$ scattering (needs extended quasi-particle approximation of G^{ij})



RESONANT LEPTOGENESIS

- **vertex contributions** problematic since not

$$\propto J = 2M_1 M_2 (M_2^2 - M_1^2) \text{Im}\{(h^\dagger h)_{12}^2\}$$

$$\epsilon_i^{V, \text{vac}} = \frac{1}{8\pi} \frac{\text{Im}\{(h^\dagger h)_{ij}^2\}}{(h^\dagger h)_{ij}} \frac{M_j}{M_i} \left[1 - \left(1 + \frac{M_j^2}{M_i^2} \right) \ln \left(1 + \frac{M_i^2}{M_j^2} \right) \right]$$

- **self-energy contributions**

$$\epsilon_i^{S, \text{vac}} = - \frac{\text{Im}\{(hh^\dagger)_{ij}^2\}}{(hh^\dagger)_{ii}(hh^\dagger)_{jj}} \frac{R}{R^2 + A^2}, \quad \text{with } R \equiv \frac{M_j^2 - M_i^2}{M_j \Gamma_j}$$

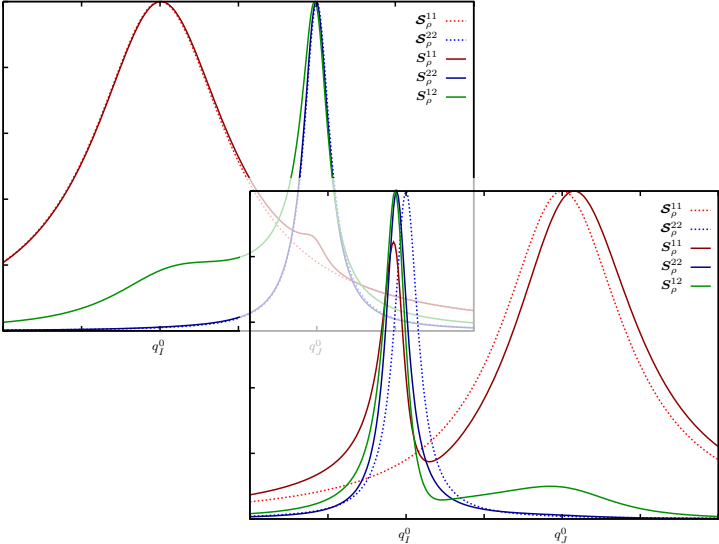
- form due to internal propagator of N_j

$$\epsilon_i^{S, \text{vac}} = 4 \frac{\text{Im}\{(hh^\dagger)_{ij}^2\}}{(hh^\dagger)_{ii} M_i} \text{Im} \left\{ \text{---} \text{---}^* \times \text{---} \text{---} \right\}$$

- What happens if the spectral functions G^{ij} have a sizeable width?
- What happens if parameters in J are subject to changes due to medium effects?

RESONANT LEPTOGENESIS

■ spectral functions for $R = 10, T = 0.1M_1, 1M_1$



SIMPLIFICATIONS

- idea: attempt exact analytic solution for similar idealized problem
- simplifications
 - **toy model** (complex scalar field and two mixing real scalars)

$$\mathcal{L} = \frac{1}{2} \partial^\mu \psi_i \partial_\mu \psi_i + \partial^\mu \bar{b} \partial_\mu b - m^2 \bar{b} b$$
$$- \frac{1}{2} M_{ij}^2 \psi_i \psi_j - \frac{h_i}{2!} \psi_i b b - \frac{h_i^*}{2!} \psi_i \bar{b} \bar{b}, \quad i, j = 1, 2$$
$$\left(N_i \rightarrow \ell \phi, N_i \rightarrow \bar{\ell} \bar{\phi} \right) \iff \left(\psi_i \rightarrow b b, \psi_i \rightarrow \bar{b} \bar{b} \right)$$

- drop expansion of universe
- light scalars b form thermal bath
- 2-loop truncation of 2PI-functional
- only source terms (\equiv part that does not vanish for CP -symmetric state)
- CP -properties
 - transformation simplifies to $CPb(x)CP^{-1} = \bar{b}(-x)$
 - invariance if $CP\mathcal{L}CP^{-1} \sim \mathcal{L}$
 - CP -odd basis invariant ($H = hh^\dagger$)
 $J \equiv \text{Im Tr}(HM^3 H^T M) = 2 \text{Im } H_{12} \text{Re } H_{12} M_1 M_2 (M_2^2 - M_1^2)$

NON-EQUILIBRIUM QFT

- divergence of **current**

$$j_{\mu}^b(x) = 2i \langle [\bar{b}(x) \partial_{\mu} b(x) - b(x) \partial_{\mu} \bar{b}(x)] \rangle$$

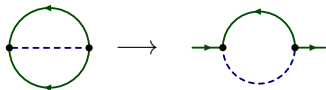
$$\longrightarrow \partial^{\mu} j_{\mu}^b(x) = \frac{dq^b}{dt} = i \lim_{y \rightarrow x} (\square_x - \square_y) D_F(x, y)$$

- propagators $D_F(x, y) \equiv \frac{1}{2} \langle \{b(x), \bar{b}(y)\} \rangle$ and $D_{\rho}(x, y) \equiv i \langle [b(x), \bar{b}(y)] \rangle$ governed by **Kadanoff-Baym equation**

$$[\square_x + m^2] D_F(x, y) = \int_{t_0}^{y^0} d^4 z \Sigma_F(x, z) D_{\rho}(z, y) - \int_{t_0}^{x^0} d^4 z \Sigma_{\rho}(x, z) D_F(z, y),$$

$$[\square_x + m^2] D_{\rho}(x, y) = \int_{x^0}^{y^0} d^4 z \Sigma_{\rho}(x, z) D_{\rho}(z, y),$$

- self-energy Σ



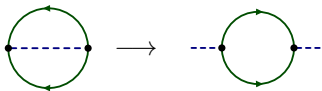
NON-EQUILIBRIUM QFT

- $G_F^{ij}(x, y) = \frac{1}{2} \langle \{ \psi_i(x), \psi_j(y) \} \rangle$ and $G_\rho^{ij}(x, y) = i \langle [\psi_i(x), \psi_j(y)] \rangle$ governed by

$$[\square_x + M_{ik}^2] G_F^{kj}(x, y) = \int_{t_0}^{y^0} d^4 z \Pi_F^{ik}(x, z) G_\rho^{kj}(z, y) - \int_{t_0}^{x^0} d^4 z \Pi_\rho^{ik}(x, z) G_F^{kj}(z, y),$$

$$[\square_x + M_{ik}^2] G_\rho^{kj}(x, y) = \int_{x^0}^{y^0} d^4 z \Pi_\rho^{ik}(x, z) G_\rho^{kj}(z, y)$$

- self-energy Π



- equilibrium solution

$$G_{F(\rho)}^{ij}(x, y) = - \int_{t_0}^{\infty} d^4 u \int_{t_0}^{\infty} d^4 v G_R^{ik}(x, u) \Pi_{F(\rho)}^{kl}(u, v) G_A^{lj}(v, y)$$

$$G_{R(A)}(q) = \Omega_{R(A)}^{-1} \equiv (q^2 - M^2 - \Pi_{R(A)})^{-1}$$

- deviation from equilibrium through weak solution

$$\Delta G_{\rho}^{ij}(x, y) = 0,$$

$$\Delta G_F^{ij}(x, y) = - \int d^3 u \int d^3 v G_R^{ik}(x^0, \mathbf{x} - \mathbf{u}) \underbrace{\Delta_F^{kl}(\mathbf{u} - \mathbf{v})}_{\text{initial conditions}} G_A^{lj}(-y^0, \mathbf{v} - \mathbf{y})$$

- initial conditions $\Delta_F^{kl} = \delta^{kl} \Delta_F$ should respect *CP*-properties of Lagrangian

EXACT SOLUTION

EXACT SOLUTION

$$q_S^L(t) = \int \frac{d^3 \mathbf{q}}{(2\pi)^3} \Delta_F(\mathbf{q}) \text{Tr} \eta(t, \mathbf{q})$$

$$\begin{aligned} \text{Tr} \eta(t, \mathbf{q}) = & -\frac{2J}{\det M} \int_0^\infty \frac{dq_0}{2\pi} \int_0^\infty \frac{dp_0}{2\pi} \int_0^\infty \frac{dk_0}{2\pi} \Pi_\rho(q_0, \mathbf{q}) \\ & \times \text{Im} \left(\frac{\Pi_R(p_0, \mathbf{q}) F(q_0, p_0, k_0, t)}{\det \Omega_R(p_0, \mathbf{q}) \det \Omega_A(k_0, \mathbf{q})} - \frac{\Pi_R(p_0, \mathbf{q}) F(-q_0, p_0, k_0, t)}{\det \Omega_R(p_0, \mathbf{q}) \det \Omega_A(k_0, \mathbf{q})} \right. \\ & \left. + \frac{\Pi_R(p_0, \mathbf{q}) F(q_0, p_0, -k_0, t)}{\det \Omega_R(p_0, \mathbf{q}) \det \Omega_R(k_0, \mathbf{q})} - \frac{\Pi_R(p_0, \mathbf{q}) F(-q_0, p_0, -k_0, t)}{\det \Omega_R(p_0, \mathbf{q}) \det \Omega_R(k_0, \mathbf{q})} \right) \end{aligned}$$

$$\det \Omega_R^{-1} = \det [q^2 - M^2 - \Pi_R], \quad \det \Omega_A = \det \Omega_R^*$$

$$F(q_0, p_0, k_0, t) = \frac{1 - e^{i(q_0 - p_0)t}}{q_0 - p_0} \frac{1 - e^{-i(q_0 - k_0)t}}{q_0 - k_0}$$

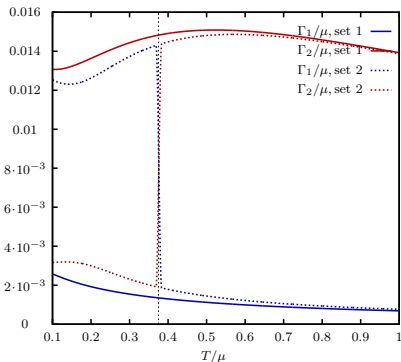
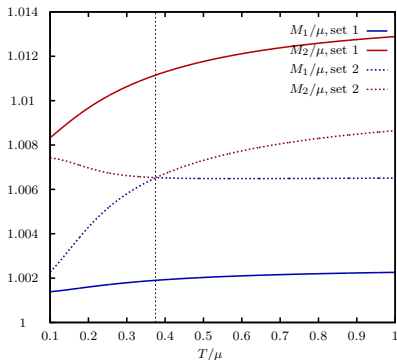
EXACT SOLUTION

- effective masses and widths from **analysis of poles** of $\det \Omega_R^{-1}$
- approximation

$$\det \Omega_R^{-1}(q_0, \mathbf{q}) \approx \frac{Z}{(q_0^2 - q_{0,1}^2)(q_0^2 - q_{0,2}^2)}$$

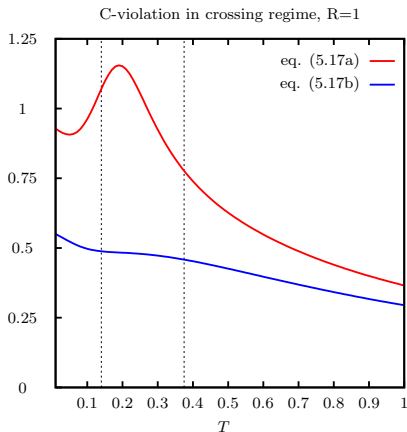
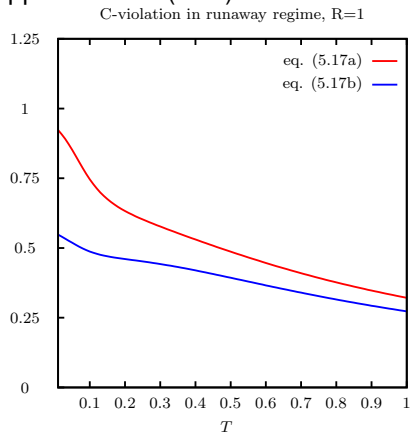
with

$$q_{0,I} = \pm \omega_I - \frac{i}{2} \Gamma_I, \quad \omega_I = (\mathbf{q}^2 + M_I^2)^{\frac{1}{2}}$$



EXACT SOLUTION

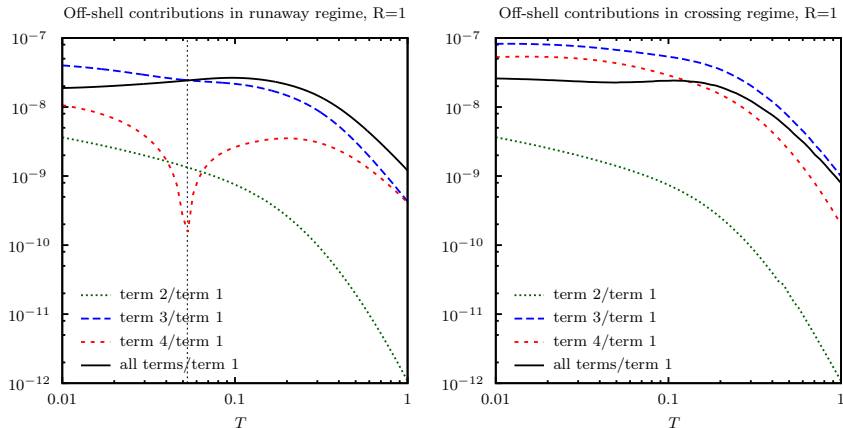
- comparison between hierarchical Boltzmann (red) and quasi-degenerate approximation (blue)



- spurious enhancement for Boltzmann approximation

EXACT SOLUTION

- relative importance of remaining terms for $t \rightarrow \infty$



- corrections due to Breit-Wigner shaped approximation also small

CONCLUSIONS

- NEQFT helps to understand leptogenesis
- quantum kinetic equations with off-shell dynamics in degenerate case
- kinetic equations reflect CP-properties of Lagrangian ($\propto J$)
- basis invariant treatment favourable
- many different effects enter phenomenological treatment
- elaborate quantitative (numerical) computations needed for precise statements (1% level)