

Universality classes far from equilibrium of scalar and gauge theories



Ruprecht-Karls
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***INT thermalization
workshop / week 2***

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Talk based on:

J. Berges, KB, S. Schlichting,
and R. Venugopalan,
***arXiv: 1508.03073 ;
PRL 114, 061601 (2015)***

A. Piñeiro Orioli, KB,
and J. Berges,
PRD 92, 025041 (2015)

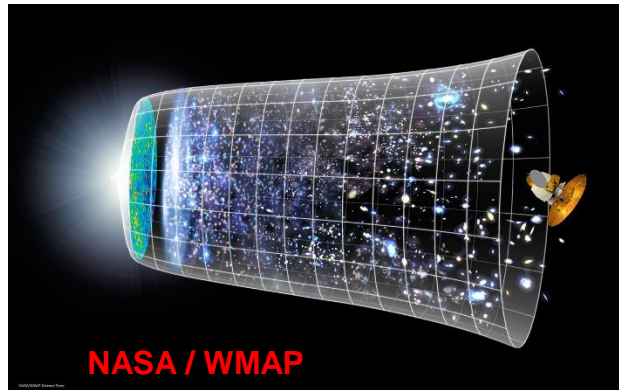
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Introduction

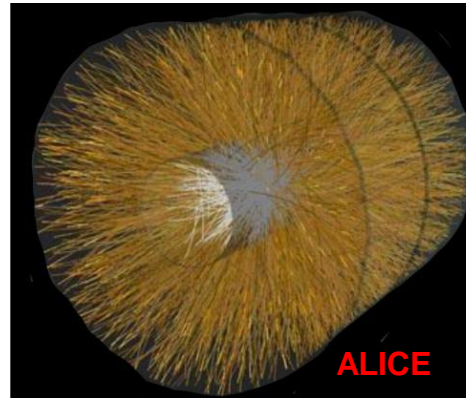
Real-time systems far from equilibrium

Inflationary cosmology



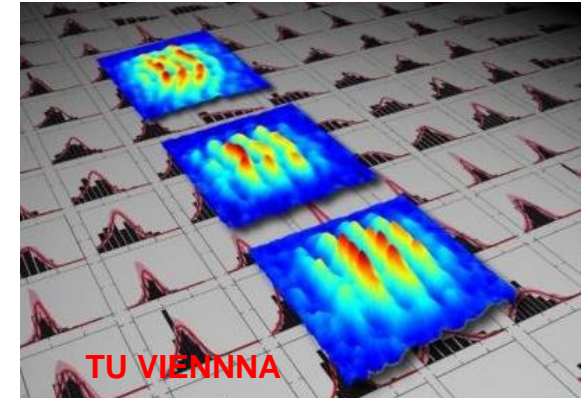
Relativistic $O(N)$ scalars

Heavy-ion collisions at early stages



Longitudinally expanding non-Abelian plasmas

Ultracold atoms

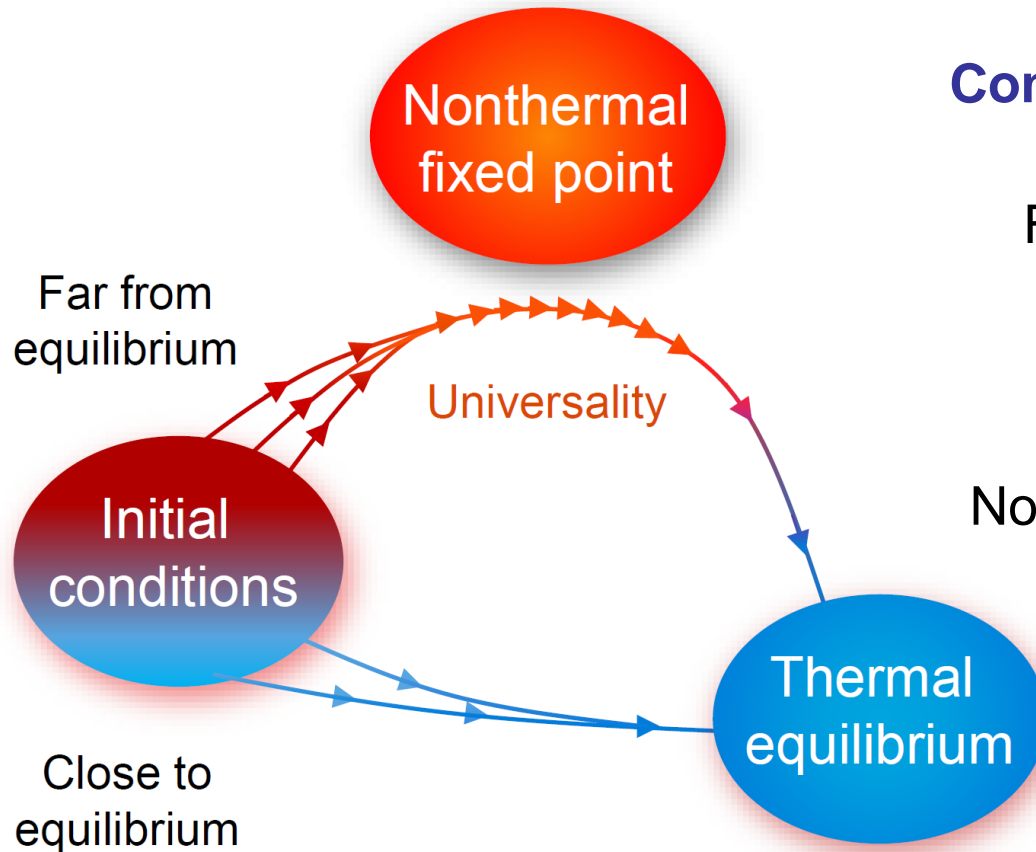


Non-relativistic (Gross-Pitaevski) scalars

Very different field theories and energy scales!

For weak coupling limit: **Common properties?** **Universality classes?**

Introduction



Consider early time evolution:

Far-from-equilibrium initial conditions (IC)



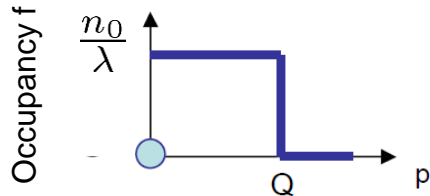
Nonthermal fixed point (NTFP)

- ✓ Memory loss
- ✓ Time scale independence
- ✓ Universal dynamics

Introduction

Typical initial conditions

Over-occupation IC



$$\langle \{\hat{\phi}, \hat{\phi}\} \rangle \sim Q^2 n_0 / \lambda$$

or for distribution function f

$$\langle \hat{\phi} \rangle = \phi = 0, \quad f \sim n_0 / \lambda$$



Weak couplings but highly correlated system

Weak coupling limit $\lambda \rightarrow 0$ while $\lambda f = \text{const}$

Fields follow *classical* evolution!

Observables averaged over (quantum) IC

Many examples:

Micha & Tkachev ; Smit & Tranberg; Nowak, Sexty & Gasenzer ; Berges, KB, Schlichting & Venugopalan; Kurkela & Moore; ...

$$\varphi_a(\mathbf{x}, t_0) = \phi_a(t_0) + \int_{\mathbf{p}} \sqrt{f(\mathbf{p}, t_0)} + \frac{1}{2} (c_{a,\mathbf{p}} \xi(\mathbf{p}, (t_0)) e^{i\mathbf{p}\mathbf{x}} + c.c.)$$

(initialization example: $O(N)$ symmetric scalar field theory)

Gaussian distributed complex random numbers

Universality class: expanding gauge and scalar theories

Example:

See also:

- Talk by S. Schlichting
- Contribution by A. Kurkela

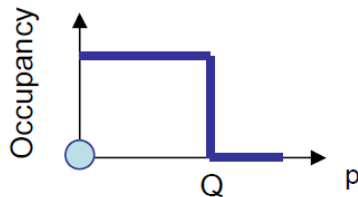
High energy (weak coupling) limit of heavy-ion collisions at early times

$$Q_s(s) \gg \Lambda_{\text{QCD}} \quad \alpha_s(Q_s) \ll 1$$

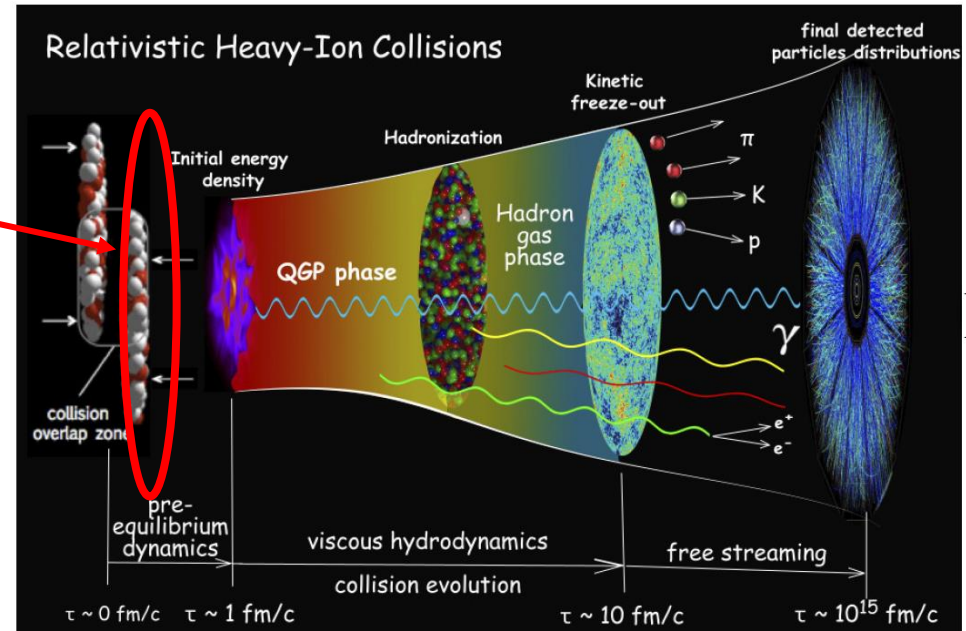
Far from equilibrium IC:

Large fluctuations (inspired by color-glass condensate)

$$\langle \{A, A\} \rangle \sim Q^2/g^2$$



$$f(p_T, p_z, \tau_0) = \frac{n_0}{\lambda} \Theta \left(Q - \sqrt{p_T^2 + (\xi_0 p_z)^2} \right)$$



Little Bang by P. Sorensen and C. Shen

Universality class: expanding gauge and scalar theories

Massless scalar field theory (O(N))

Non-Abelian gauge theory (SU(2))

In Bjorken coordinates:

$$\tau = \sqrt{t^2 - (x^3)^2}, \quad \eta = \operatorname{artanh} \left(\frac{x^3}{t} \right)$$

Longitudinally expanding metric:

$$g_{\mu\nu}(\tau) = \operatorname{diag} (1, -1, -1, -\tau^2)$$

Distribution function:

$$f(p_T, p_z, \tau) \sim \tau \sqrt{\langle \varphi \varphi \rangle \langle \partial_\tau \varphi \partial_\tau \varphi \rangle}$$

for gauge theory in Coulomb gauge \rightarrow talk S. Schlichting

J. Berges, KB, S. Schlichting, and R. Venugopalan:

PRL 114, 061601 (2015); arXiv: 1508.03073;

PRD 89, 074011 (2014); PRD 89, 114007 (2014)

Universality class: expanding gauge and scalar theories

What we are after: Scaling regions and universality classes

Scaling region (close to a nonthermal fixed point)

- *Self-similar evolution* of distribution function f (\rightarrow slow dynamics, memory loss)

$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

with scaling behavior of typical scales $f \sim \tau^\alpha$, $p_T \sim \tau^{-\beta}$, $p_z \sim \tau^{-\gamma}$

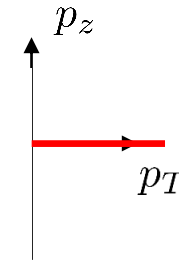
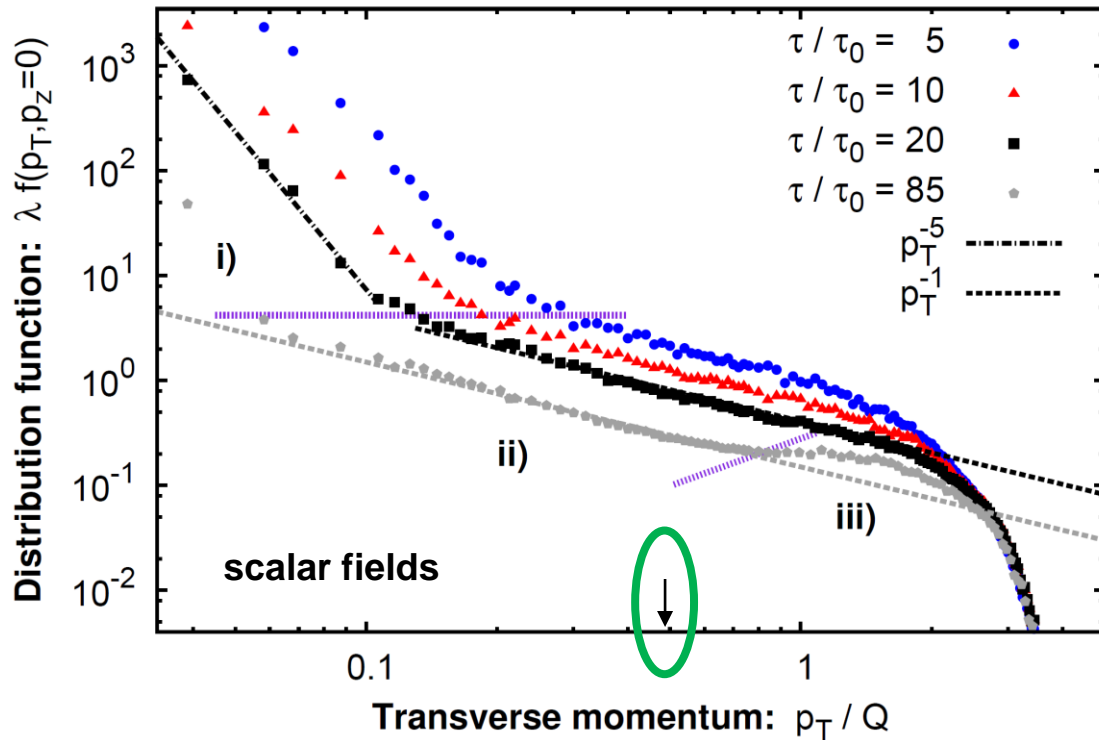
Classification: universality classes far from equilibrium

- Scaling regions, described by their exponents α, β, γ and the scaling function $f_S(x)$, may be classified in universality classes

Universality classes: Classification of theories in classes intriguing; comparison of theories within same class gives insight into dynamics; benchmarks for microscopic / kinetic descriptions (especially because of 'memory loss')

Universality class: expanding gauge and scalar theories

Scalar nonthermal attractor: Different scaling regions i), ii) and iii)



Regions ii) and iii):

Local conservation of particle number and energy density in p_T :

$\tau dn/dp_T$, $\tau d\epsilon/dp_T$
are time-independent

Effectively no flux in p_T !

Reminder: *Self-similar evolution*

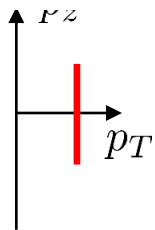
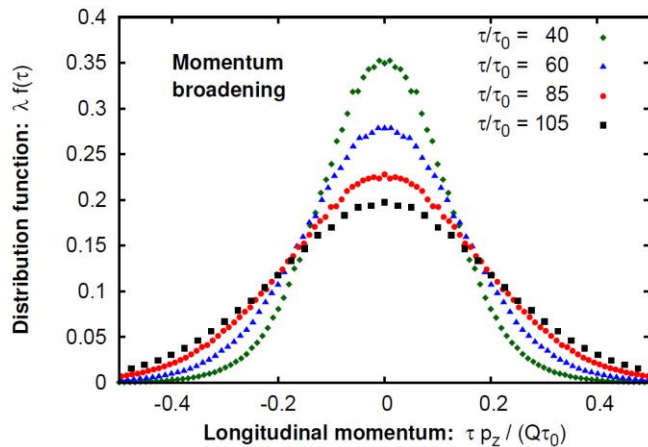
$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

implies

$$\begin{aligned} \alpha - \gamma &= -1 \\ \beta &= 0 \end{aligned}$$

Universality class: expanding gauge and scalar theories

Longitudinal dynamics in scaling region ii)

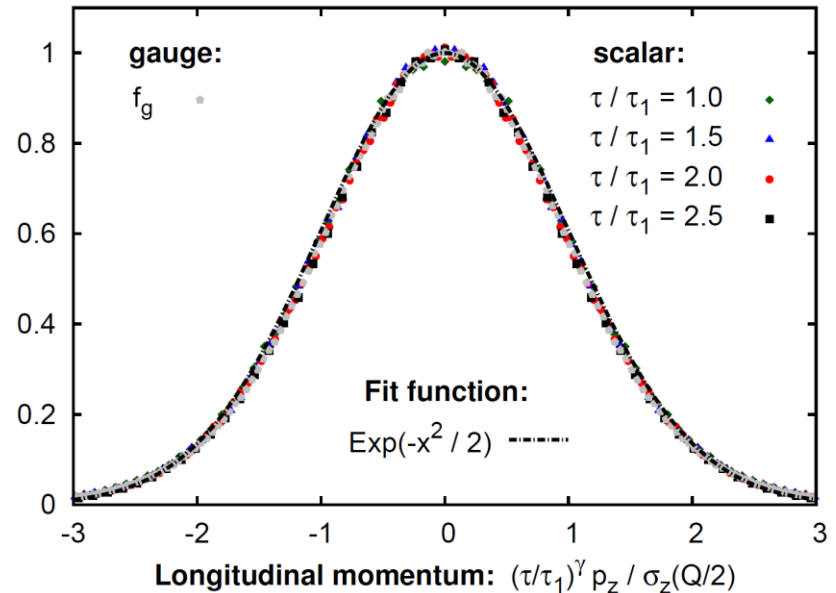


Rescaling
with

$$\alpha = -2/3$$

$$\gamma = 1/3$$

Scaling function: $(\tau/\tau_1)^{-\alpha} f(p_T = Q/2)$



leads to time-independent distribution!
Well described by **Gaussian shape**.

Same form as for gauge theory! (also exponents)

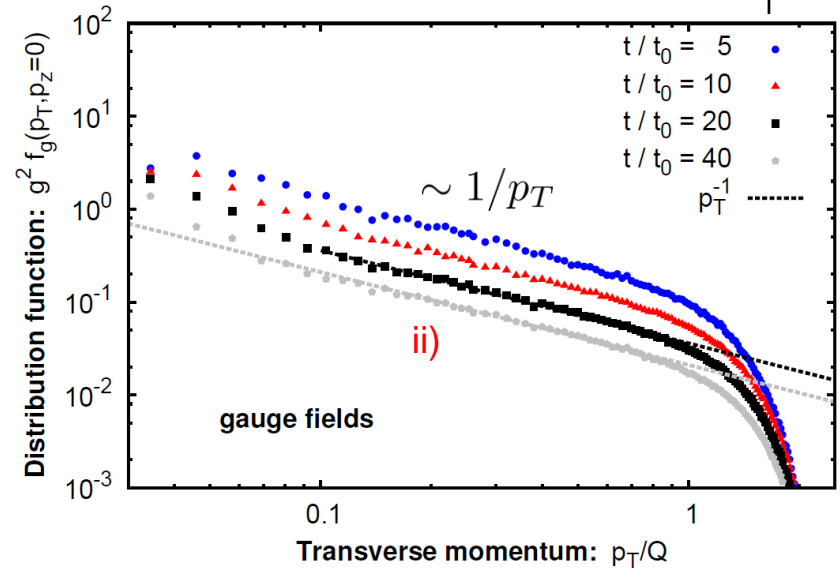
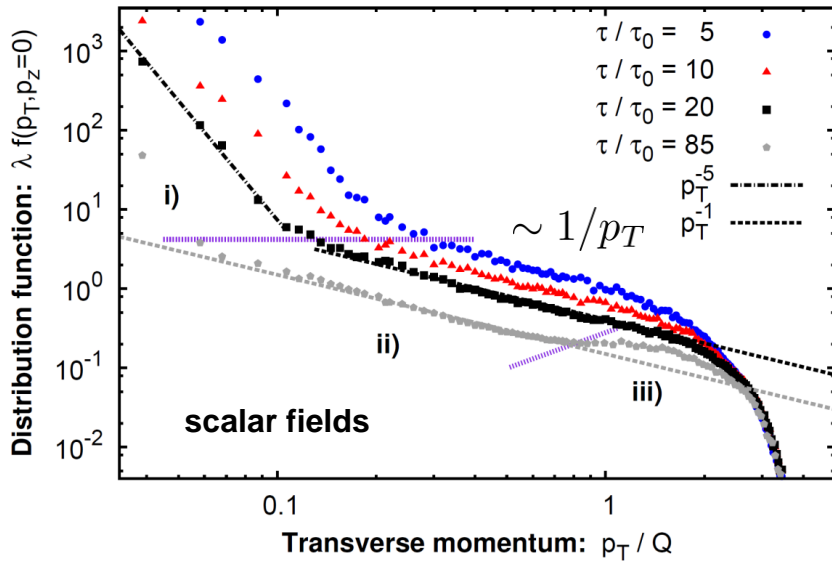
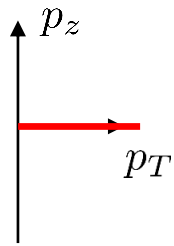
Common universality class!

Reminder: *Self-similar evolution*

$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

Universality: expanding gauge and scalar theories

Where is common scaling region?



- Scaling range ii) given by $\lambda f \sim \frac{\tau^{-2/3}}{p_T} e^{-p_z^2/2\sigma_z^2}$ with $\sigma_z^2 = \frac{\int dp_z p_z^2 f}{\int dp_z f} \sim \tau^{-2/3}$
- Exponents and structure insensitive to initial conditions (memory loss)

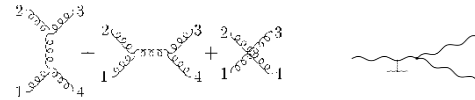
J. Berges, KB, S. Schlichting, and R. Venugopalan: *PRD* 89, 074011 + 114007 (2014) ; arXiv:1508.03073

Universality class: expanding gauge and scalar theories

Puzzles

Gauge theory:

- ✓ Gauge theories for $p \gtrsim m_D$ and $f \gg 1/\alpha_S$ well described by effective kinetic theory (AMY) including



Arnold, Moore & Yaffe
JHEP 0301, 030 (2003)

Baier, Mueller, Schiff & Son,
PLB 502, 51 (2001)

Berges, KB, Schlichting & Venugopalan,
PRD 89, 074011 (2014)

Kurkela & Zhou,
1506.06647

BUT: Thermalization scenarios suggested influence from IR (plasma instabilities, condensates, ...) → **no influence from IR? Why?**

Bodeker (**BD**), (2005)

Kurkela, Moore (**KM**), (2011)

Blaizot, Gelis, Liao, McLerran,
Venugopalan (**BGLMV**), (2012)

Universality class: expanding gauge and scalar theories

Puzzles

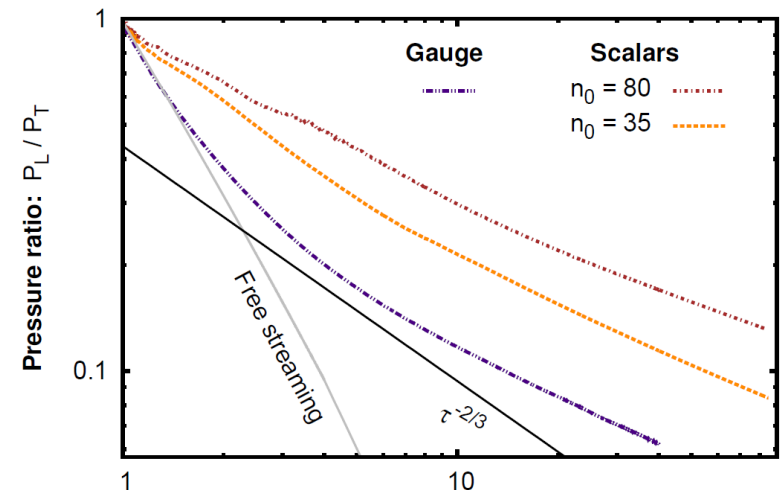
Pressure ratio:

Parametrically:

$$\frac{P_L}{P_T} \underset{\text{kinetic theory}}{\sim} \frac{\int d^3p p_z^2 / \omega f}{\int d^3p p_T^2 / \omega f} \underset{\text{late times}}{\sim} (Q\tau)^{-2/3}$$

Discrepancies because of IR?

In scalar theory nontrivial IR dynamics!



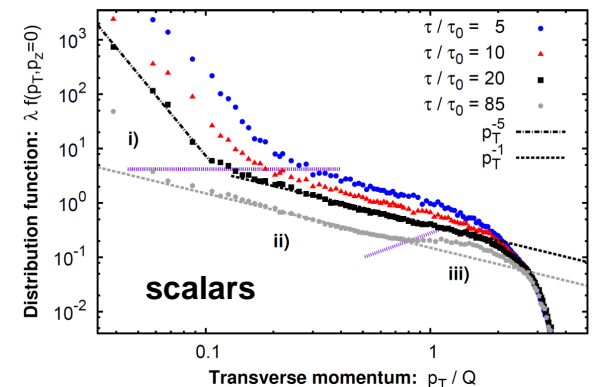
Time: τ / τ_0 BBSV, [arXiv:1508.03073](https://arxiv.org/abs/1508.03073)

Scalar theory:

How can region ii) be microscopically understood?

How important is soft region for it?

How does Bose condensation emerge?



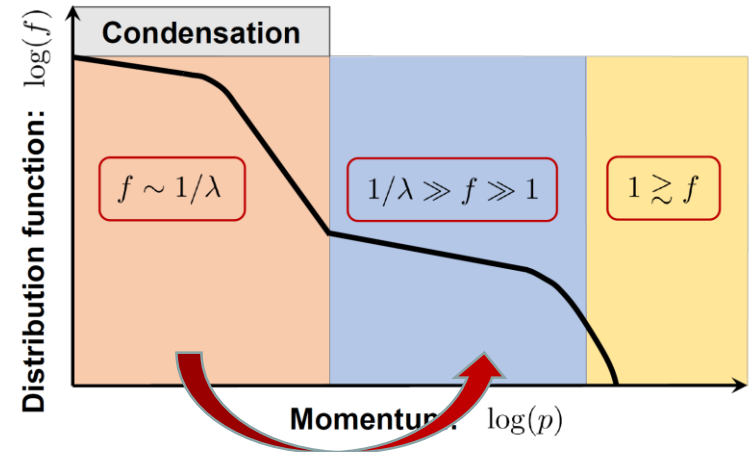
Scalars in the IR

Kinetic approach: Vertex-resummed kinetic theory

Scalar theory:

Often one uses for hard momenta below mass with perturbative occupancies $1/\lambda \gg f$

$$\begin{array}{c}
 \times \\
 2 \leftrightarrow 2
 \end{array}
 +
 \begin{array}{c}
 \times \\
 2 \leftrightarrow (1 + \text{soft})
 \end{array}
 \text{ as kinetic theory}$$



BUT: IR dynamics may influence hard momentum evolution. Consistent kinetic description, even for $1/\lambda \gg f$, should need proper IR treatment.

Possible solution: vertex-resummed kinetic theory based on 2PI $1/N$ expansion to NLO

Loosely diagrammatically written:

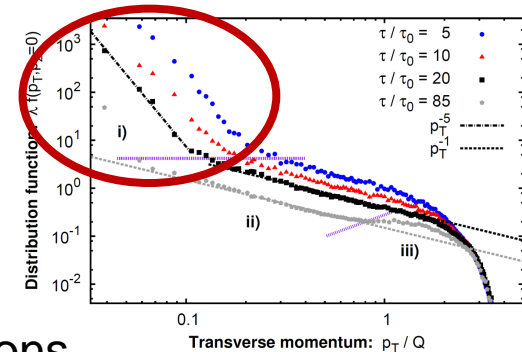
$$\text{Diagram with a black dot on a vertex} = \text{Diagram with a vertex} - \text{Diagram with a loop and a black dot} = \frac{\text{Diagram with a vertex}}{1 + \text{Diagram with a loop}}$$

Rigorously:

Berges & Sexty,
[PRD 83, 085004 \(2011\)](#)
 Pinerio Orioli, KB & Berges,
[PRD 92, 025041 \(2015\)](#)

Universality class of scalars in the infrared

Strategy: Dual description



- 1) It is important to know dynamics in IR \rightarrow classical simulations
- 2) It is important to understand dynamics in IR \rightarrow vertex-resummed kinetic theory (VRKT)

Longitudinally **expanding** scalars:

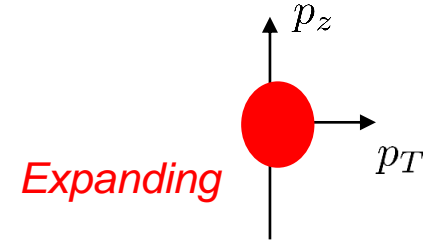
Berges, KB, Schlichting & Venugopalan,
arXiv:1508.03073

Nonexpanding scalars:
(both relativistic and nonrelativistic)

Piñerio Orioli, KB & Berges,
PRD 92, 025041 (2015)

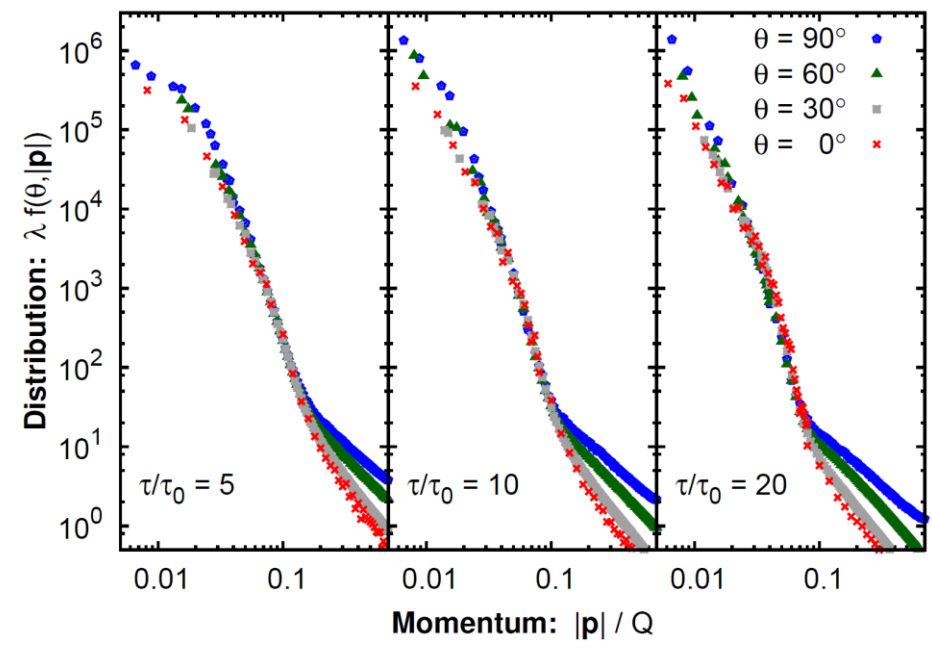
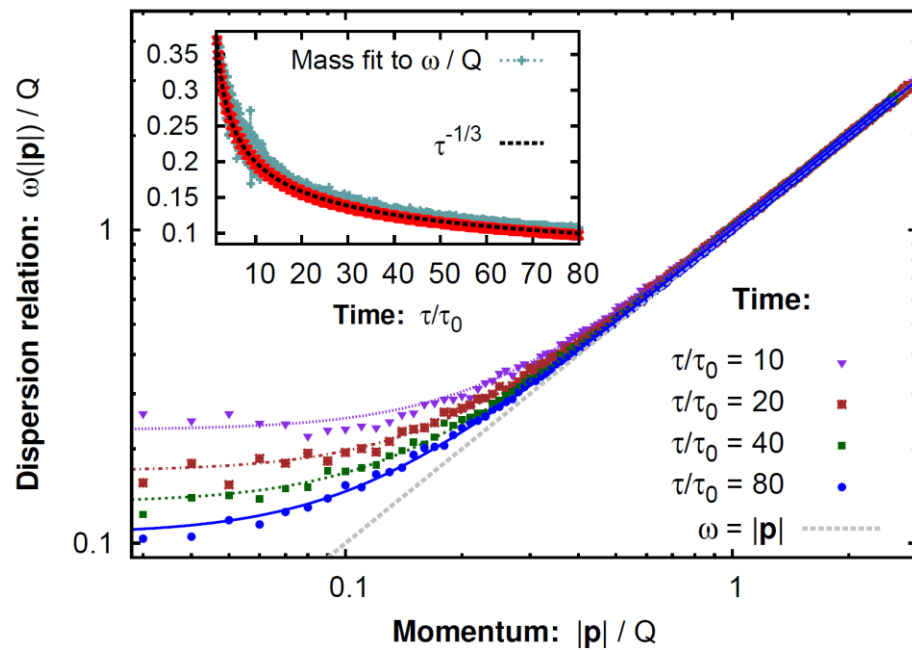
Universality class: scalars in the IR

Scalar fields infrared scaling region: i)



Dynamically generated *mass*

(approx.) *isotropic* distribution



Dispersion relation fit: $\sqrt{m^2 + p^2}$

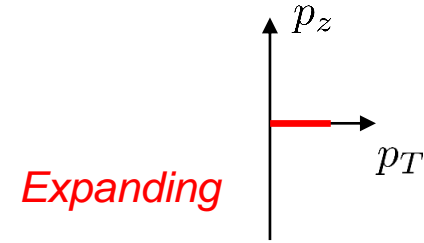
$m(\tau) \sim \tau^{-1/3}$

All momenta in i) below mass! $p \lesssim m$

Effectively nonrelativistic infrared region

Universality class: scalars in the IR

Self-similar evolution



Reminder: *Self-similar evolution*

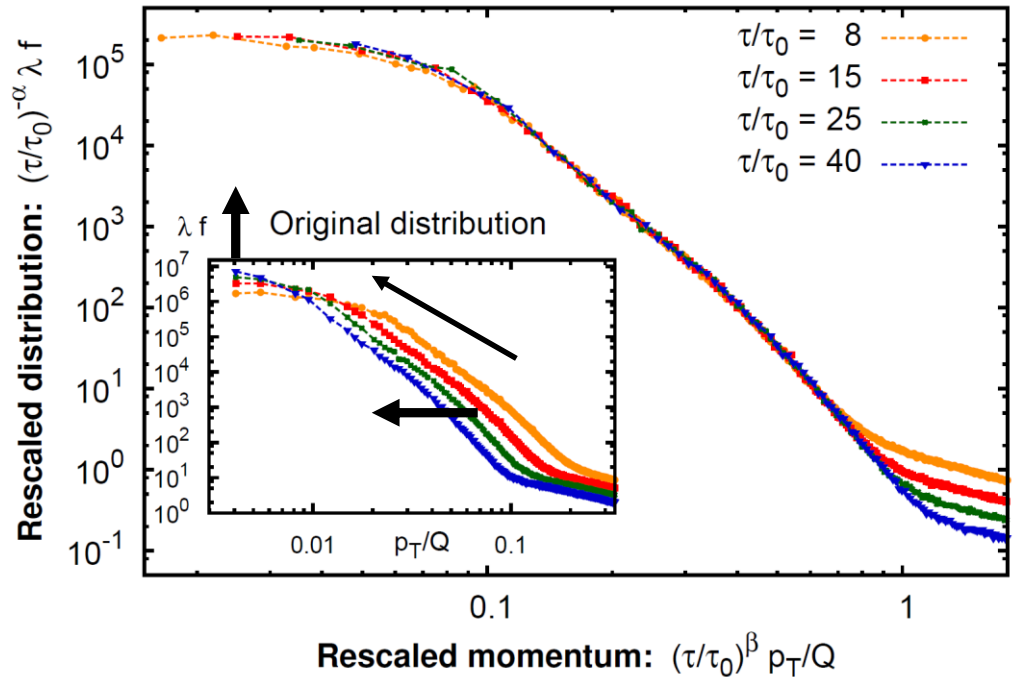
$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

Exponents: $\alpha = 1$
 $\beta = 2/3$

From isotropic evolution $\gamma = \beta$

Particle number conservation

$$n \sim \int d^3 p f \sim 1/\tau$$



Physical picture: **Inverse particle cascade to IR**

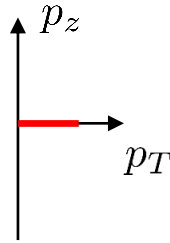
Bose condensation far from equilibrium!

J. Berges & D. Sexty,
PRL 108 (2012), 161601

Universality class: scalars in the IR

Universal scaling function

Expanding



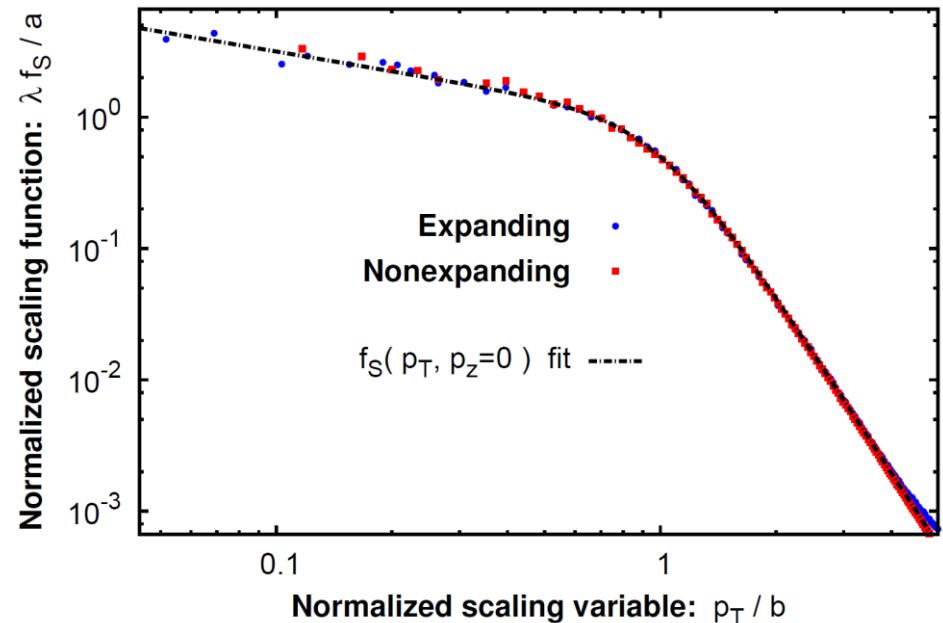
$$\lambda f_S = \frac{a}{(|\mathbf{p}|/b)^{\kappa_{<}} + (|\mathbf{p}|/b)^{\kappa_{>}}}$$

with $\kappa_{<} \simeq 0.5$, $\kappa_{>} \simeq 4.5 - 5$

Same function for **nonexpanding**:

- *O(N) scalar theories* ($N > 1$)
- *Nonrelativistic scalars*

Nonexp.



Reminder: *Self-similar evolution*

$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

Universality class: scalars in the IR

Universality class in IR of **nonexpanding** scalars

Nonexp.

Slightly different exponents

$$\alpha = 3/2, \beta = 1/2$$

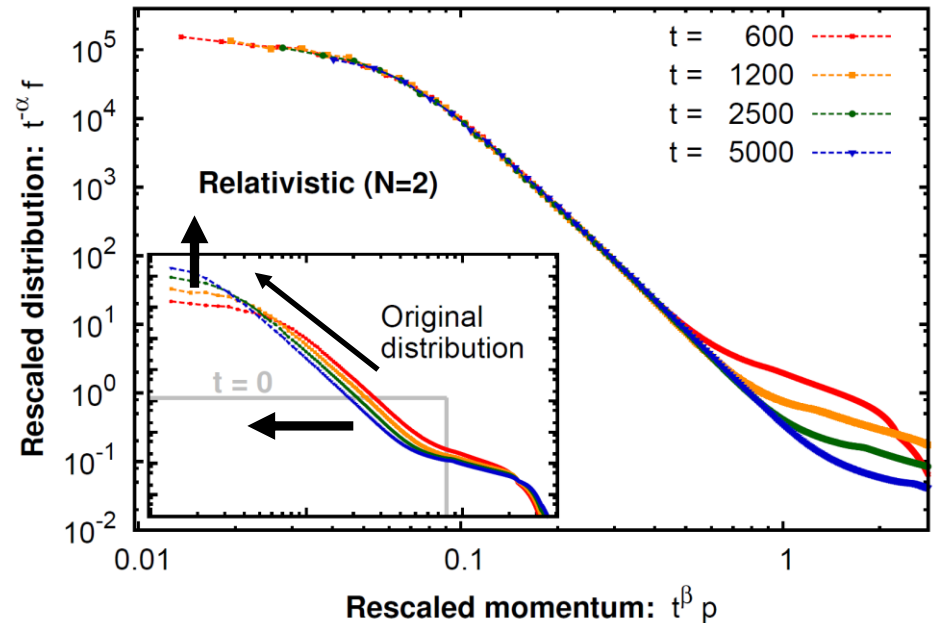
Same for relativistic and nonrelativistic!

Particle number conservation

$$n \sim \int d^3p f = \text{const}$$

Inverse particle cascade to IR, as in expanding case!

Bose condensation far from equilibrium? **Yes!**



Self-similar evolution

$$f(p, \tau) = \tau^{\alpha} f_S(\tau^{\beta} p)$$

Universality class: scalars in the IR

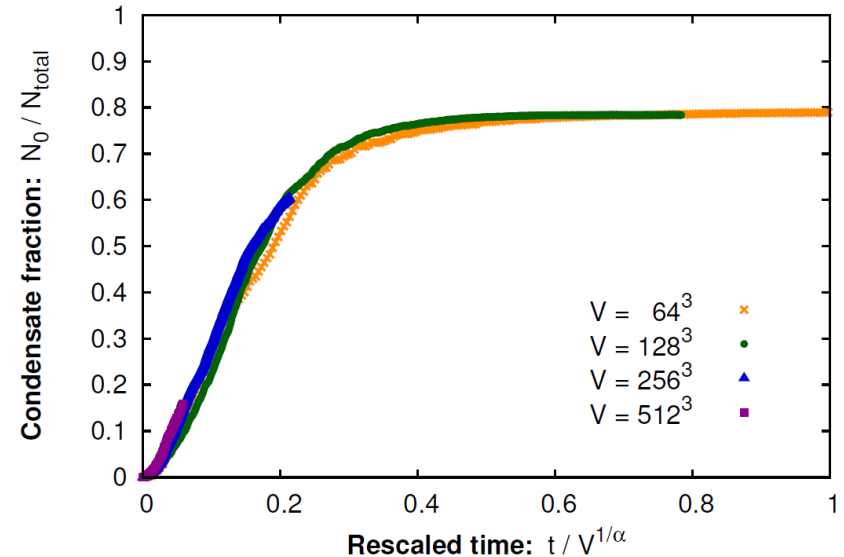
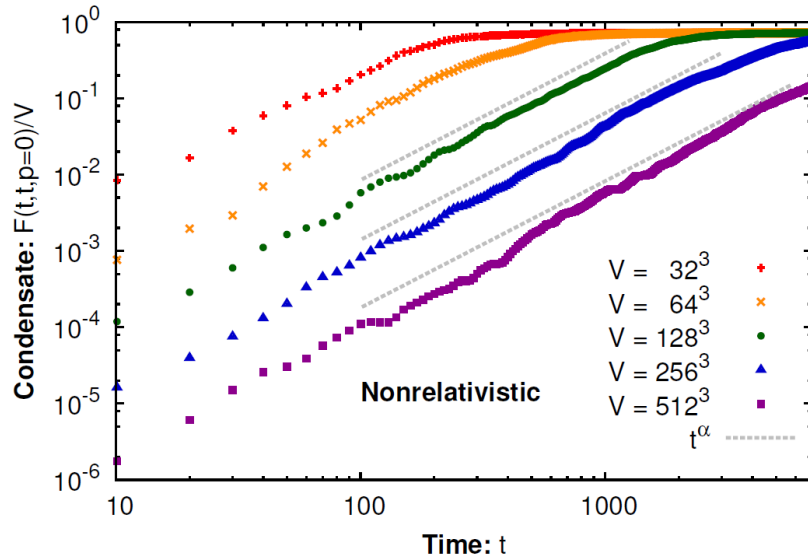
Self-similar evolution

$$f(p, \tau) = \tau^\alpha f_S(\tau^\beta p)$$

Bose-Einstein condensation

Pinerio Orioli, KB & Berges,
PRD 92, 025041 (2015)

Nonexpanding



Correlation function: $F(p, \tau) = f(p, \tau) + \delta^{(3)}(\mathbf{p}) \phi^2$

Condensation: $F(p = 0, \tau) \sim \tau^\alpha$

Stops when: $F(p = 0, \tau_c) \sim V$

Condensation time: $\tau_c \sim V^{1/\alpha}$

In contrast: perturbative kinetic

theory provides finite τ_c Semikoz & Tkachev (1994)

Universality class: scalars in the IR

Vertex-resummed kinetic theory

Berges & Sexty,
PRD 83, 085004 (2011)

Pinerio Orioli, KB & Berges,
PRD 92, 025041 (2015)

(Nonexp.)

$$\frac{\partial f(t; \mathbf{p})}{\partial t} = C^{\text{NLO}}[f](t; \mathbf{p})$$

$$C^{\text{NLO}}(\mathbf{p}) = \int d\Omega^{2\leftrightarrow 2} |M^{2\leftrightarrow 2}[f]|^2 [(1 + f_p)(1 + f_l)f_q f_r - f_p f_l(1 + f_q)(1 + f_r)] + \dots$$

Usual phase-
space integral

$$\int d\Omega^{2\leftrightarrow 2}(p, l, q, r) = \int \frac{d^d l}{(2\pi)^d} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \frac{(2\pi)^{d+1}}{16 \omega_p \omega_l \omega_q \omega_r} \delta^{(d+1)}(p + l - q - r)$$

4-vectors!

Universality class: scalars in the IR

Vertex-resummed kinetic theory

Berges & Sexty,
PRD 83, 085004 (2011)

Pinerio Orioli, KB & Berges,
PRD 92, 025041 (2015)

(Nonexp.)

$$\frac{\partial f(t; \mathbf{p})}{\partial t} = C^{\text{NLO}}[f](t; \mathbf{p})$$

$$C^{\text{NLO}}(\mathbf{p}) = \int d\Omega^{2\leftrightarrow 2} |M^{2\leftrightarrow 2}[f]|^2 [(1 + f_p)(1 + f_l)f_q f_r - f_p f_l(1 + f_q)(1 + f_r)] + \dots$$

Usual phase-space integral

$$\int d\Omega^{2\leftrightarrow 2}(p, l, q, r) = \int \frac{d^d l}{(2\pi)^d} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \frac{(2\pi)^{d+1}}{16 \omega_p \omega_l \omega_q \omega_r} \delta^{(d+1)}(p + l - q - r)$$

Resummed matrix element

$$|M^{2\leftrightarrow 2}[f]|^2 = \frac{1}{18N} (\lambda_{\text{eff}}^2[f](p + l) + \lambda_{\text{eff}}^2[f](p - q) + \lambda_{\text{eff}}^2[f](p - r))$$

$$\lambda_{\text{eff}}^2[f](p) = \left| \text{diagram} \right|^2 = \left| \frac{\text{diagram}}{1 + \text{diagram}} \right|^2 = \frac{\lambda^2}{|1 + \lambda \Pi^R(p)|^2}$$

4-vectors!

with
$$\Pi^R[f](p) = \frac{1}{3} \int \frac{d^d q}{(2\pi)^d} \frac{f_q + 1/2}{2 \omega_q} G^R(p + q)$$

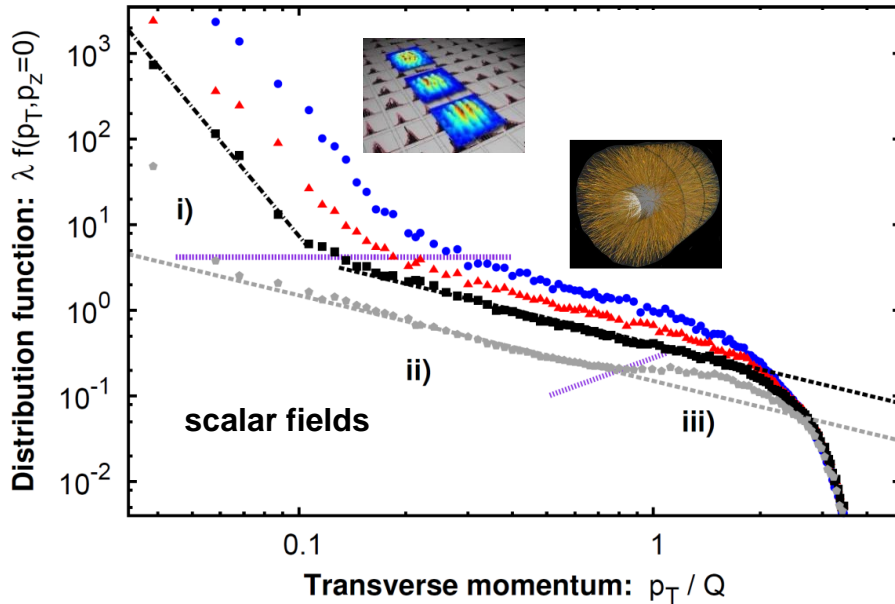
Possible: generalization to off-shell processes ; $1 \leftrightarrow 3$, $0 \leftrightarrow 4$ scatterings ; also condensate

Universality class: scalars in the IR

Self-similarity analysis

- Use kinetic equation $\partial_\tau f(\mathbf{p}, \tau) - \frac{p_z}{\tau} \partial_{p_z} f(\mathbf{p}, \tau) = C^{\text{NLO}}[f](\mathbf{p}; \tau)$ for $f \gg 1$
- Plug in self-similarity ansatz $f(p, \tau) = \tau^\alpha f_S(\tau^\beta p)$
- Comparison of temporal exponents of both sides yields an equation for the exponents: $\alpha - 1 = \alpha - 2\beta + \sigma$ with mass evolution exponent $m(\tau) \sim \tau^{-\sigma}$
- Use particle number conservation: $n \sim \int d^3p f \sim 1/\tau \Rightarrow \alpha - 3\beta = -1$
(similar for **nonexpanding**: $\alpha - 3\beta = 0$)
- **Exponents** $\alpha = 1, \beta = 2/3$ for expanding and $\alpha = 3/2, \beta = 1/2$ for nonexpanding scalars (both relat. & nonrel.) **same as in classical simulations!**

Nonthermal fixed point of expanding scalars



Reminder: *Self-similar evolution*

$$f(p_T, p_z, \tau) = \tau^\alpha f_S(\tau^\beta p_T, \tau^\gamma p_z)$$

Berges, KB, Schlichting & Venugopalan,

arXiv:1508.03073

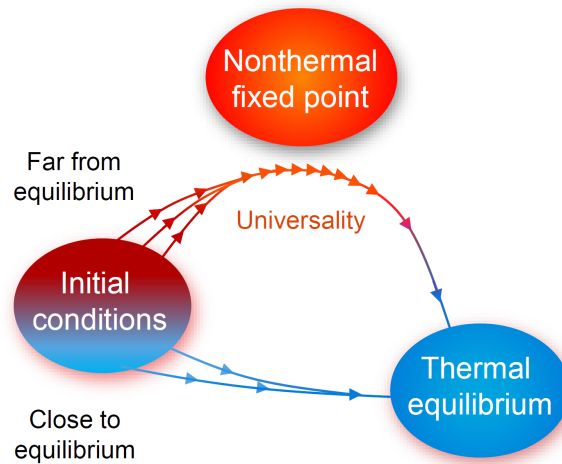
Fixed points	α	β	γ	λf_S
i)	1	2/3	2/3	$\left((p/b)^{-1/2} + (p/b)^{-5} \right)^{-1}$
ii)	-2/3	0	1/3	$p_T^{-1} e^{-p_z^2/2\sigma_z^2}$
iii)	-1/2	0	1/2	$\text{sech}(p_z/\sigma_z)$

Conclusion:

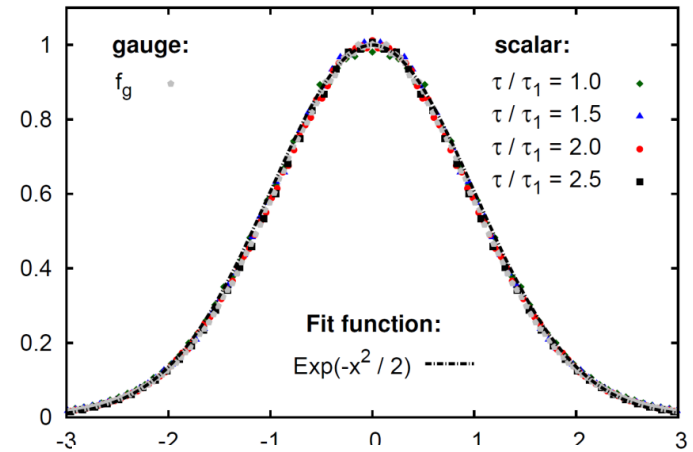
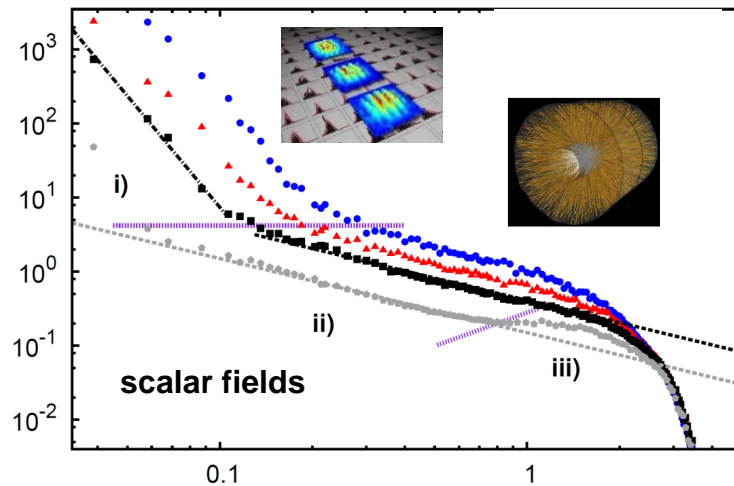
- **Nonthermal scaling regions** may be classified in **universality classes**; remarkable features of overoccupied field theories; useful tools to test microscopic descriptions
- Different theories in same univ. class may **provide insight into their dynamics**.
Example: **Expanding scalar and gauge theories**
- Infrared region may alter dynamics at high momenta → **description of IR needed**
- In scalar theories **IR universality class**; key features of scalars in different geometry can be understood from a **vertex-resummed kinetic theory** (VRKT)

Outlook:

- **IR region needs to be better understood** in gauge theories; in scalars further IR studies also need (how much influence on hard p ; is VRKT consistent with scaling f_s)
- **How comes that exp. scalars show same scaling region as gauge theory?**



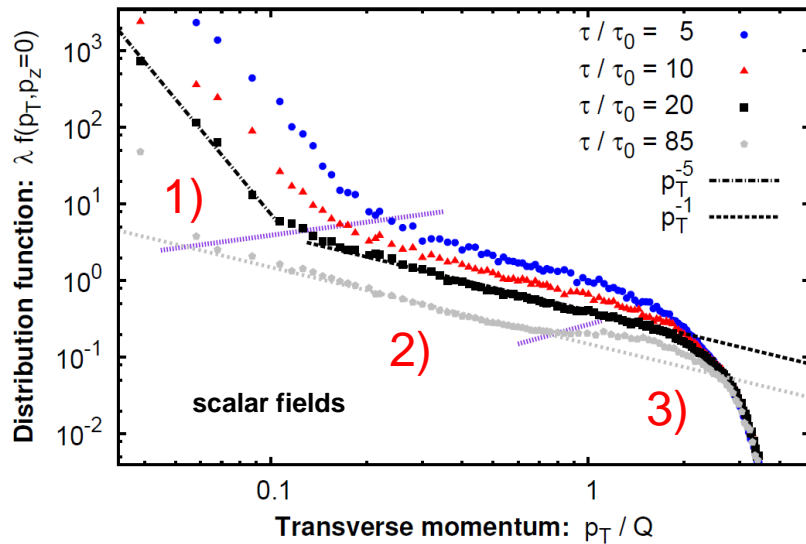
Thank you for your attention!



BACKUP SLIDES

Longitudinally expanding systems

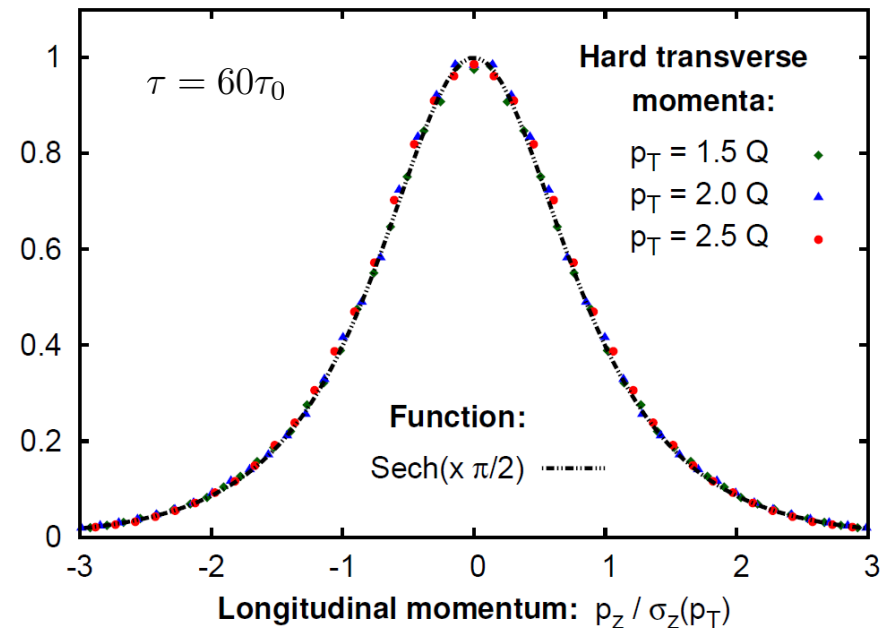
Scalars fields hard-momentum fixed-point: Inertial range 3)



At **late times** a non-thermal fixed-point emerges at *large momenta*.

Distribution function: $f(p_T, p_z) / f(p_T, 0)$

Longitudinal distribution at hard p_T



It has a **hyperbolic secant** shape, which has a broader tail than the Gaussian function.

Sign for large angle scatterings?

\times ($2 \leftrightarrow 2$)

Longitudinally expanding systems

Scalars fields **hard-momentum fixed-point: Inertial range 3)**

Self-similar evolution at large p_T

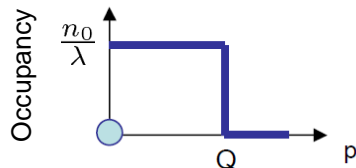
$$f(p_T, p_z, \tau) = \tau^{\alpha'} f'_S(p_T, \tau^{\gamma'} p_z)$$

Longitudinal hard scale

$$\Lambda_L^2(\tau) \approx \frac{\int d^2 p_T \int dp_z p_z^2 \omega(\mathbf{p}) f(p_T, p_z, \tau)}{\int d^2 p_T \int dp_z \omega(\mathbf{p}) f(p_T, p_z, t)}$$

$$\sim \tau^{-2\gamma'}$$

Independent of initial conditions!



$$f(p_T, p_z, \tau_0) = \frac{n_0}{\lambda} \Theta \left(Q - \sqrt{p_T^2 + (\xi_0 p_z)^2} \right)$$

Logarithmic slope of hard scale

