

# Neutrino Flavor Transformation in Compact Object Mergers and Collapsars

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- Introduction
- Explanation of “Matter-Neutrino” resonance
- Implications

## Black Hole Accretion Disks

Two types of disks

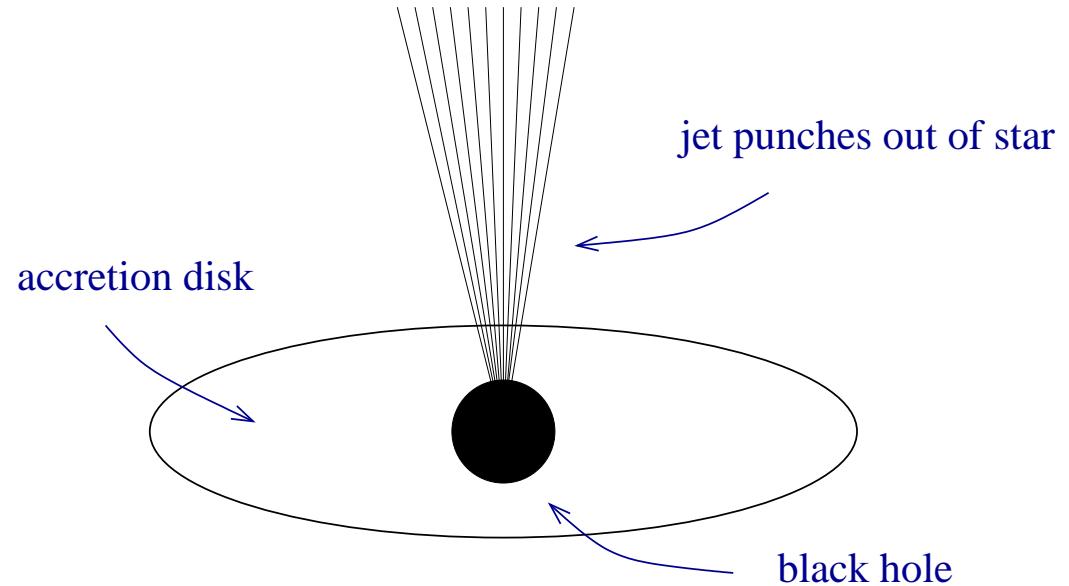
- compact objects mergers
- collapsars - collapse of a rotating massive star

Neutrinos have implications for

- dynamics
- nucleosynthesis

## Stellar Collapse Disks: Collapsars

- Failed Supernova
- Too much rotation  
for real collapse &  
bounce



Accretion disks form in rare types of core collapse supernovae.  
Neutrinos from the disk may provide some of the energy required to  
power a jet.

# Compact Object Merger Disks

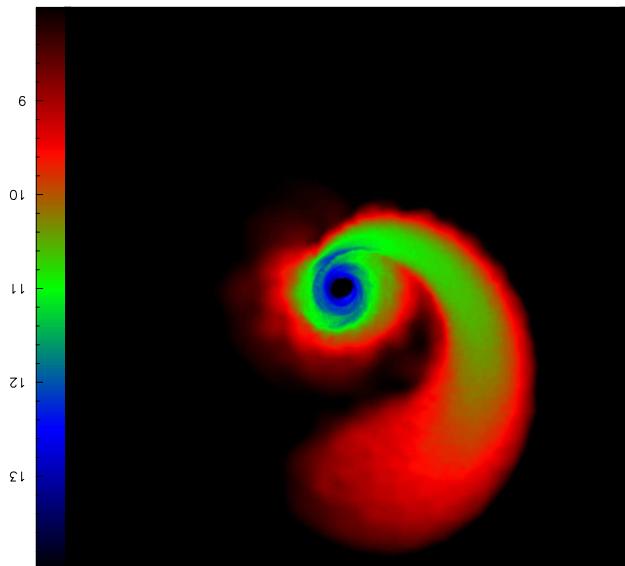


figure from Karobkin 2012

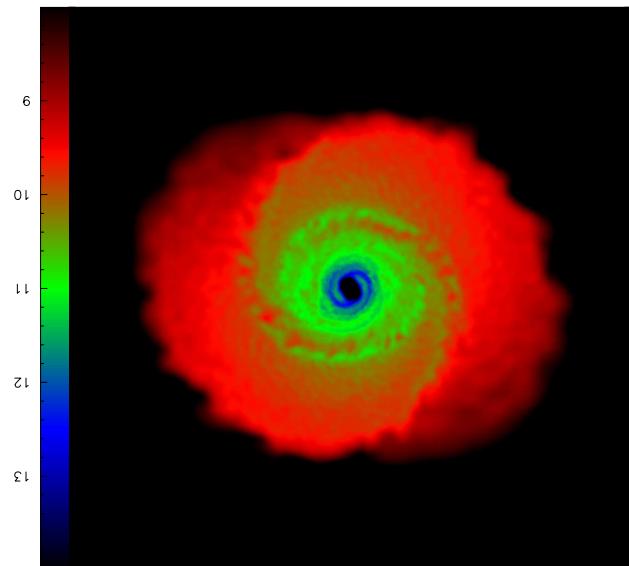


figure from Karobkin 2012

# Compact Object Merger Hypermassive Neutron Star and Disk

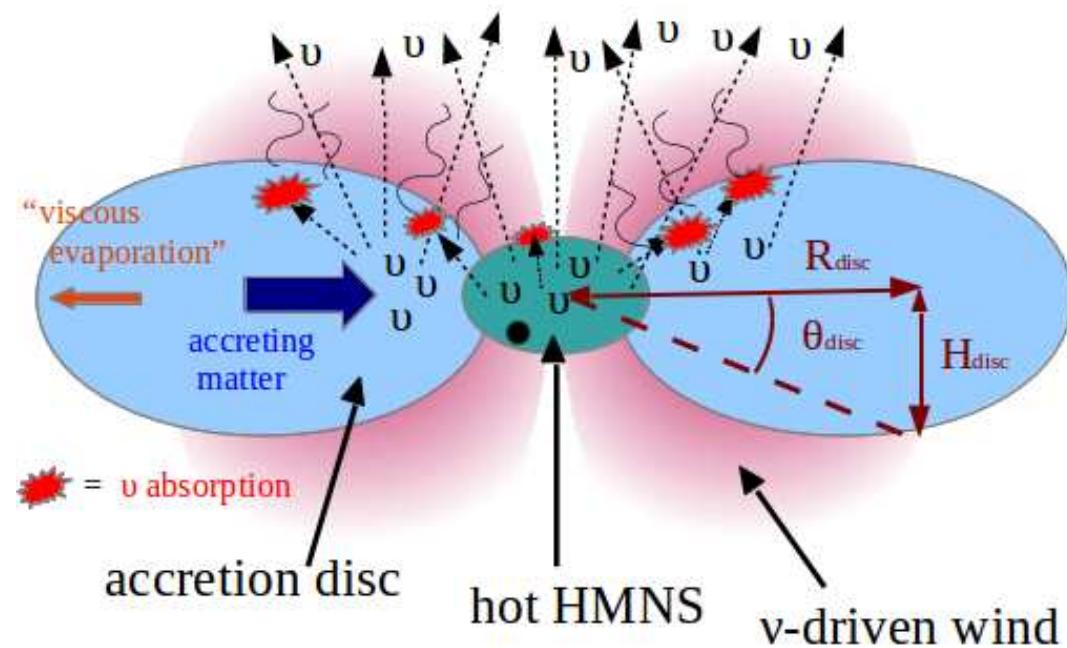
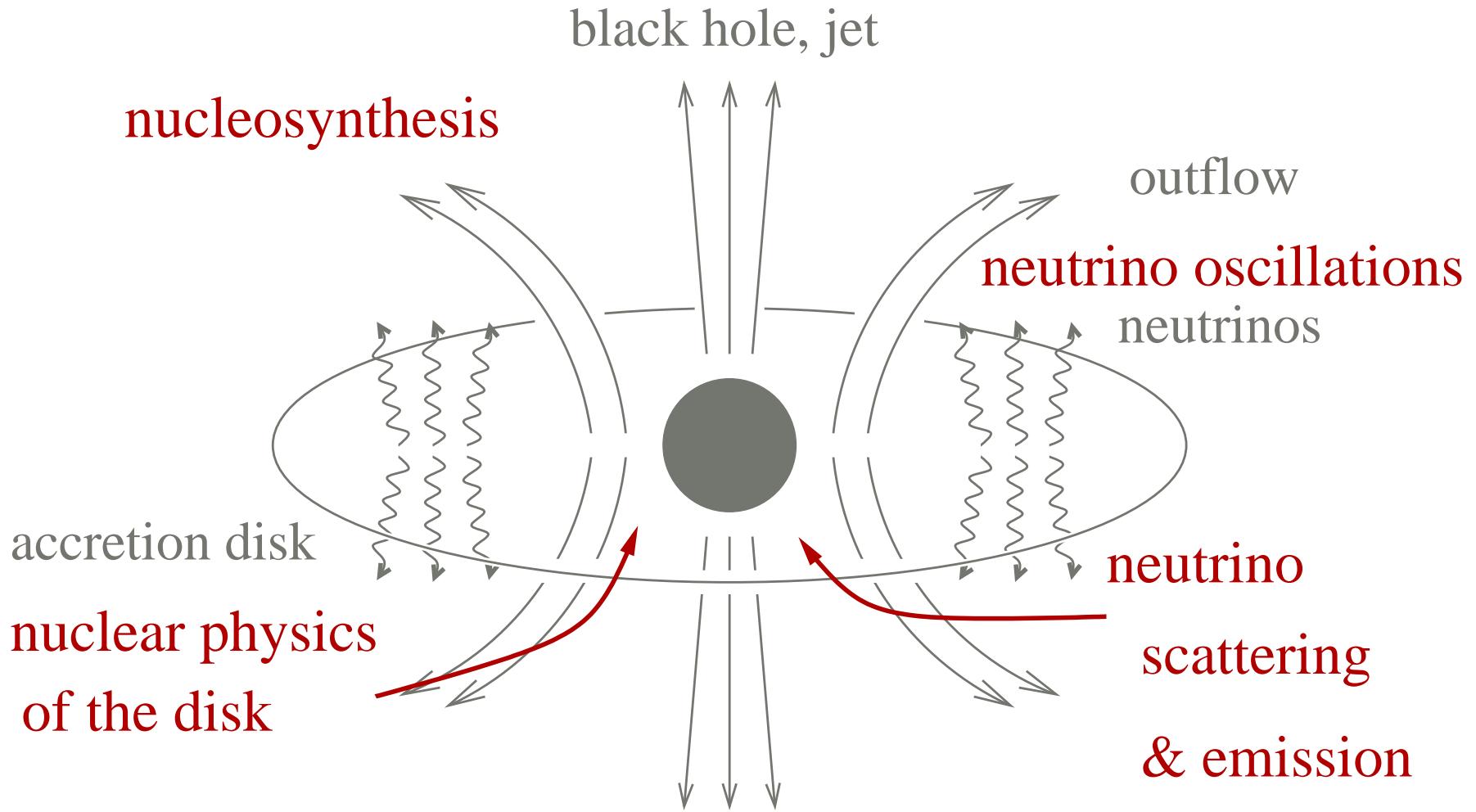


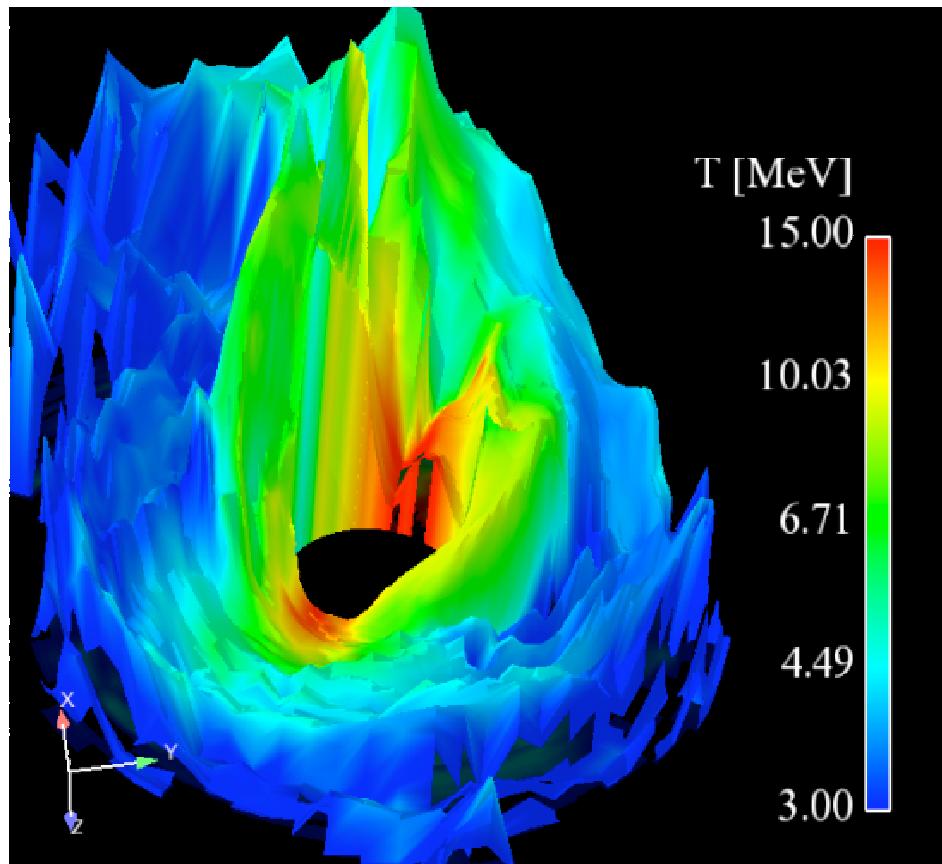
Fig. from Perego et al 2014

# Neutrino and Nuclear Physics in Disks

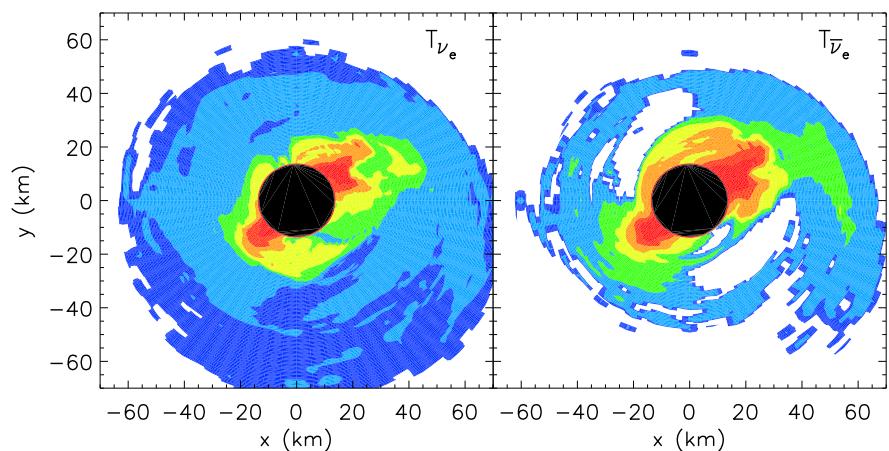


# Accretion Disk $\nu_e$ temperatures

from postprocessing of a Ruffert and Janka disk



Caballero et al 2012



Surman et al 2008

# Neutrinos from $\nu_e$ and $\bar{\nu}_e$ emitting disks

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## Characteristics

- primarily  $\nu_e$  and  $\bar{\nu}_e$  for collapsars, dominated by  $\nu_e$ ,  $\bar{\nu}_e$  for compact object mergers
- similar spectra to supernovae
- emitted from a fairly different geometry
- emission surface for neutrinos is larger than for antineutrinos
- antineutrinos have higher temperature than neutrinos
- merger disks emit more antineutrinos than neutrinos

## Neutrino Oscillations above disks

## Oscillation Equation

Modified wave equation

$$i\hbar c \frac{d}{dr} \psi_\nu = \begin{pmatrix} V_e + V_\nu^a - \frac{\delta m^2}{4E} \cos(2\theta) & V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) \\ V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) & -V_e - V_\nu^a + \frac{\delta m^2}{4E} \cos(2\theta) \end{pmatrix} \psi_\nu$$

- $\psi = (\psi_e, \psi_\mu)$
- $\frac{\delta m^2}{4E}$  vacuum contribution
- $V_e$  matter potential
- $V_\nu^a, V_\nu^b$  neutrino self interaction potential
- Survival Probability:  $P_{\nu_e} = |\psi_e|^2$ , if  $\psi = (1, 0)$  initially

## Common Types of Oscillations

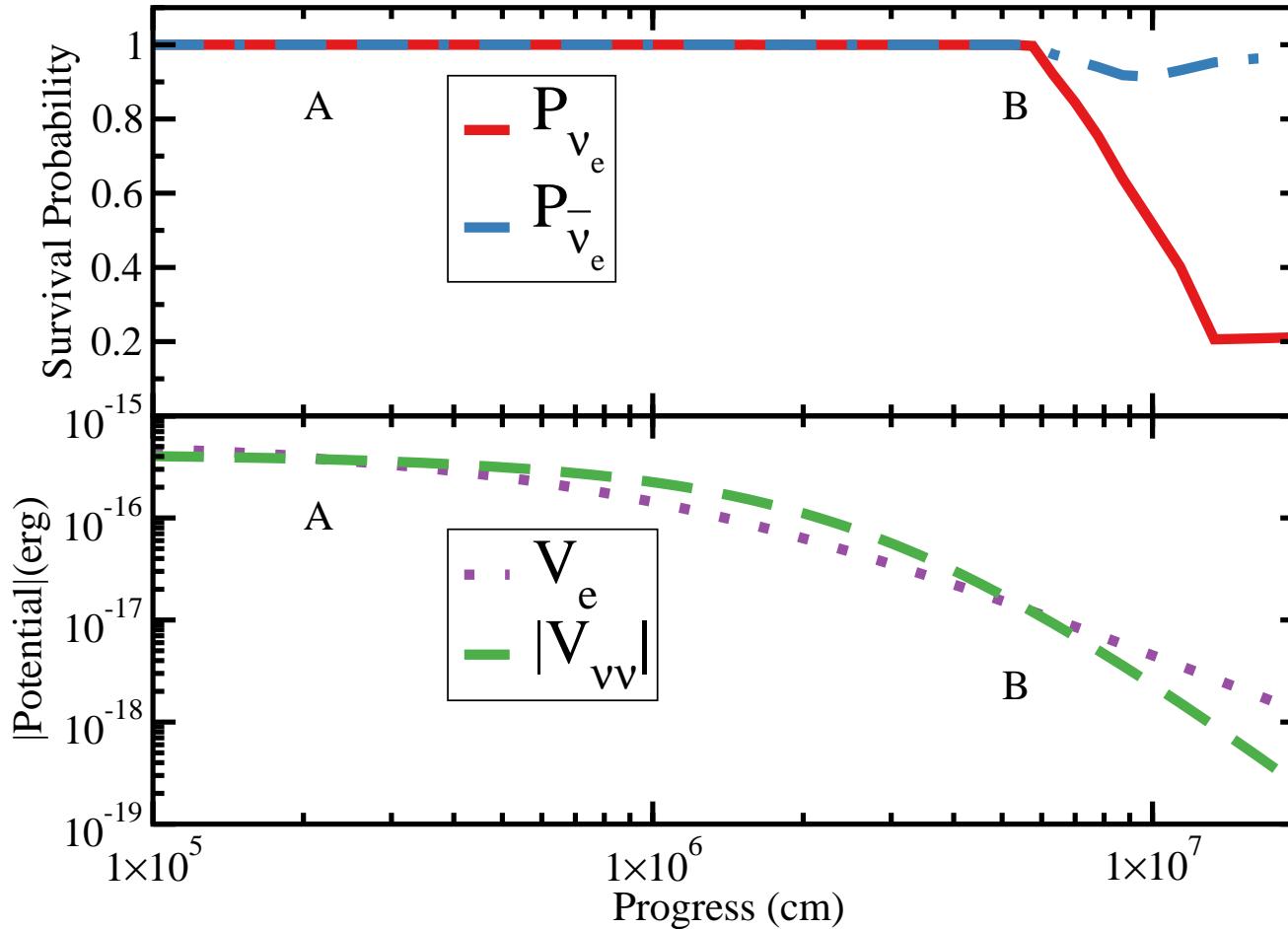
Modified wave equation

$$i\hbar c \frac{d}{dr} \psi_\nu = \begin{pmatrix} V_e + V_\nu^a - \frac{\delta m^2}{4E} \cos(2\theta) & V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) \\ V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) & -V_e - V_\nu^a + \frac{\delta m^2}{4E} \cos(2\theta) \end{pmatrix} \psi_\nu$$

- $\frac{\delta m^2}{4E} \gg V_e, V_\nu^a$  : vacuum, terrestrial
- $V_e \sim \frac{\delta m^2}{4E}$  : MSW, solar
- $V_\nu^a \gtrsim \frac{\delta m^2}{4E}$  : collective, supernovae

# Matter Neutrino Resonance above a Merger Disk

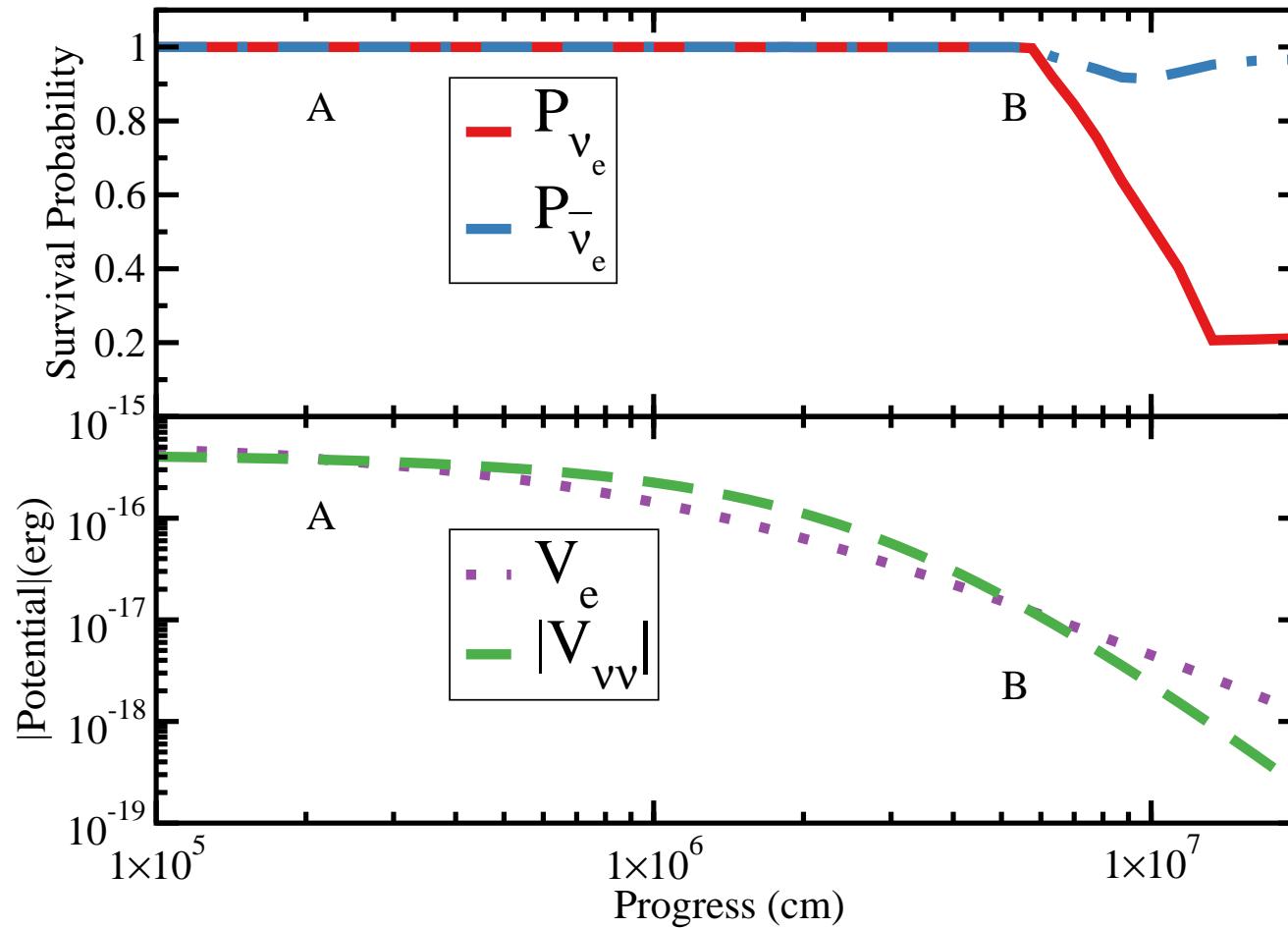
neutrino and antineutrino emitting surfaces are the same



Top panel shows survival probabilities. Looks like no known supernova, solar, or terrestrial type oscillation

Fig. from Malkus et al 2014

# Resonance transition occurs close to disk



In the region of the transition expect free nucleons, so  
 $\nu_e + n \rightarrow p + e^-$  and  $\bar{\nu}_e + p \rightarrow n + e^+$  will be affected.

Fig. from Malkus et al 2014

## Neutrino Oscillations: scales

Modified wave equation

$$i\hbar c \frac{d}{dr} \psi_\nu = \begin{pmatrix} V_e + V_\nu^a - \frac{\delta m^2}{4E} \cos(2\theta) & V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) \\ V_\nu^b + \frac{\delta m^2}{4E} \sin(2\theta) & -V_e - V_\nu^a + \frac{\delta m^2}{4E} \cos(2\theta) \end{pmatrix} \psi_\nu$$

Scales in the problem:

- vacuum scale  $\frac{\delta m^2}{4E}$
- matter scale  $V_e \propto G_F N_e(r)$
- neutrino self-interaction scale  $V_\nu \propto G_F N_\nu * \text{angle} - G_F N_{\bar{\nu}} * \text{angle}$

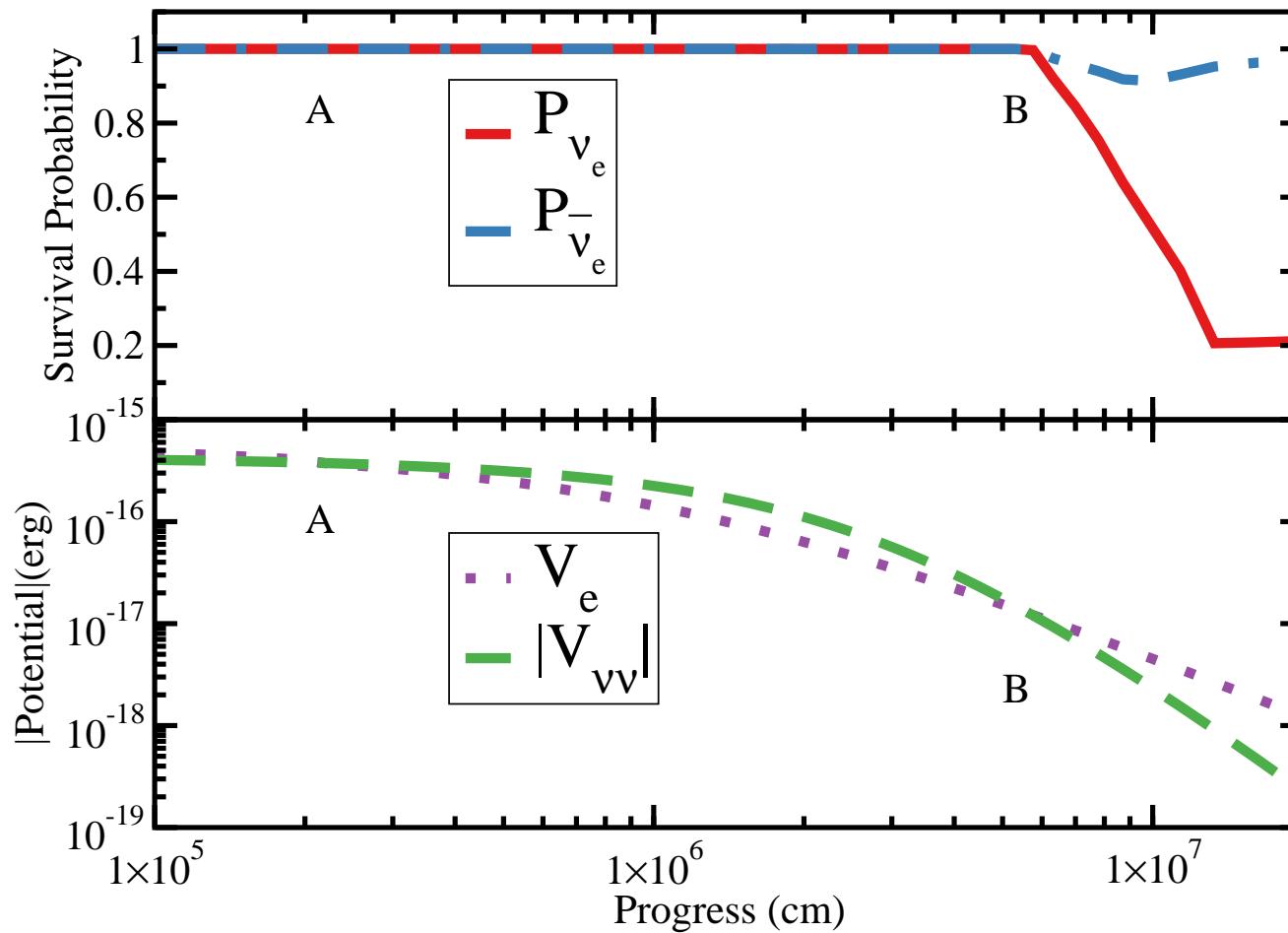
$V_\nu$  has some subtleties. At short distance  $V_\nu$  term is roughly constant, at large distance it declines roughly as  $1/r^4$

## What's different about neutrinos from compact object mergers?

Antineutrinos outnumber neutrinos, so the unoscillated neutrino self interaction potential  $V_\nu^a = N_{\nu,eff} - N_{\bar{\nu},eff}$  is negative.

Note:  $N_{\nu,eff} = N_{\nu_e,eff} - N_{\nu_\mu,eff}$  , so  
 $V_\nu^a = (N_{\nu_e,eff} - N_{\nu_\mu,eff}) - (N_{\bar{\nu}_e,eff} - N_{\bar{\nu}_\mu,eff})$

# Neutrino-Matter Resonance Transition



Bottom panel shows potentials. Dotted green line indicates *negative potential*. Oscillation occurs at crossing of  $V_e$  and  $V_\nu^a$ . Fig. from [Malkus et al 2014](#)

## Analytic Description: “Matter Neutrino Resonance”

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- System finds a resonance where  $V_\nu^a + V_e \sim 0$  and then tries to maintain a position there
- In order to maintain the resonance the neutrinos change flavor  
 $V_\nu^a \propto N_{\nu,eff,unosc}(2P_{\nu_e} - 1) - N_{\bar{\nu},eff,unosc}(2P_{\bar{\nu}_e} - 1)$  where P is survival probability
- Adiabaticity - time to complete transition must be greater than time needed to change flavor: limit on  $\frac{\delta m^2}{4E} \sin 2\theta$
- The sum of flavor isospin vectors is restricted (approximately) to  $\hat{z}$  direction.

## Phenomenological Prediction: “Matter Neutrino Resonance”

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$$P_{\nu_e} \approx \frac{(\alpha^2 - 1)\mu_\nu(r)^2 - V_e(r)^2}{4V_e(r)\mu_\nu(r)} - 1/2$$

$$P_{\bar{\nu}_e} \approx \frac{(\alpha^2 - 1)\mu_\nu(r)^2 + V_e(r)^2}{4\alpha V_e(r)\mu_\nu(r)} + 1/2$$

$\alpha \sim N_{\nu_e, eff, unosc}/N_{\bar{\nu}_e, eff, unosc}$  is the asymmetry and  
 $\mu_\nu \sim N_{\nu_e, eff, unosc}$  is the scale of the neutrino self interaction potential

Now compare analytic prediction with numerical calculation

# Neutrino-Matter Transition: Predictable Behavior

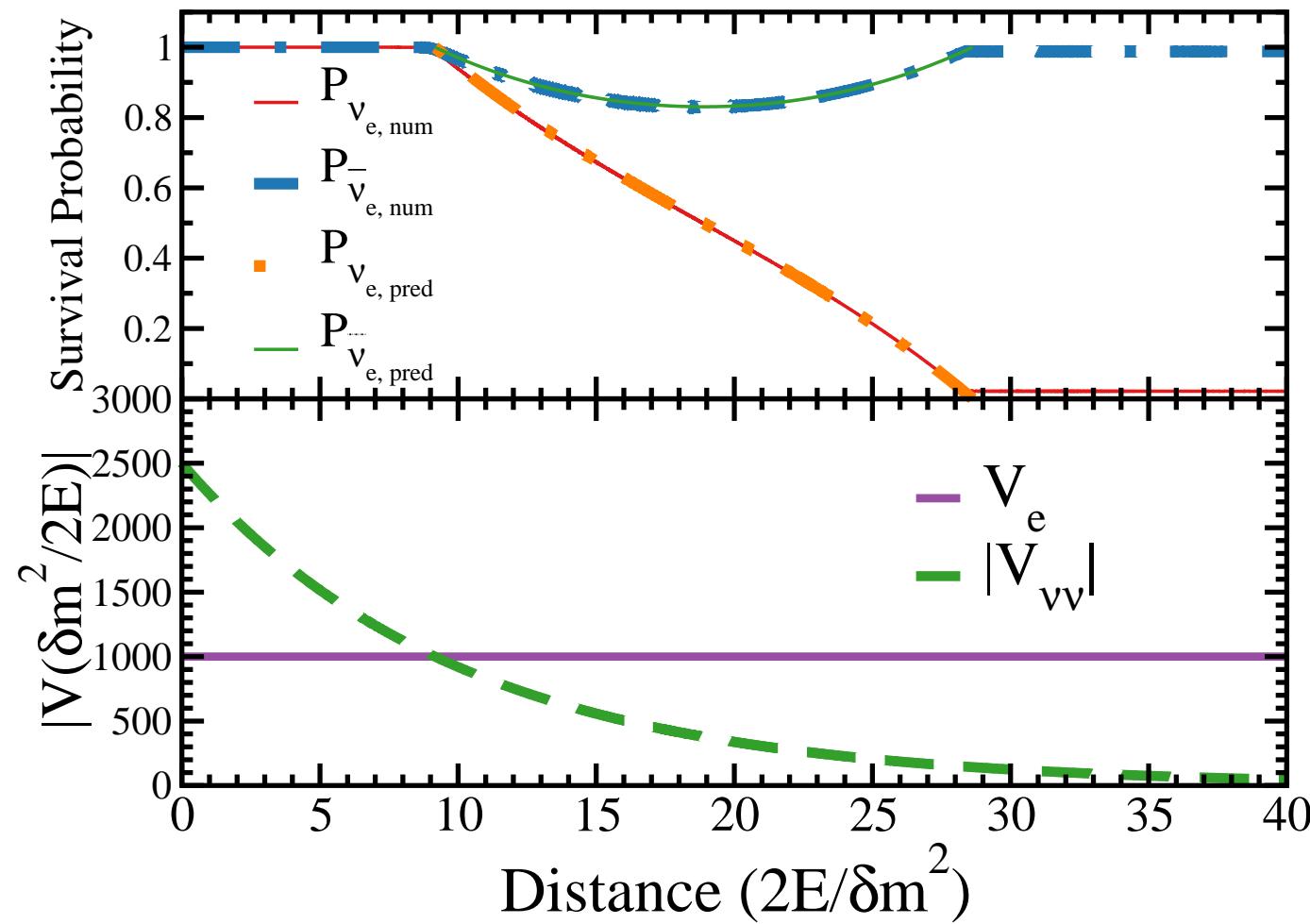
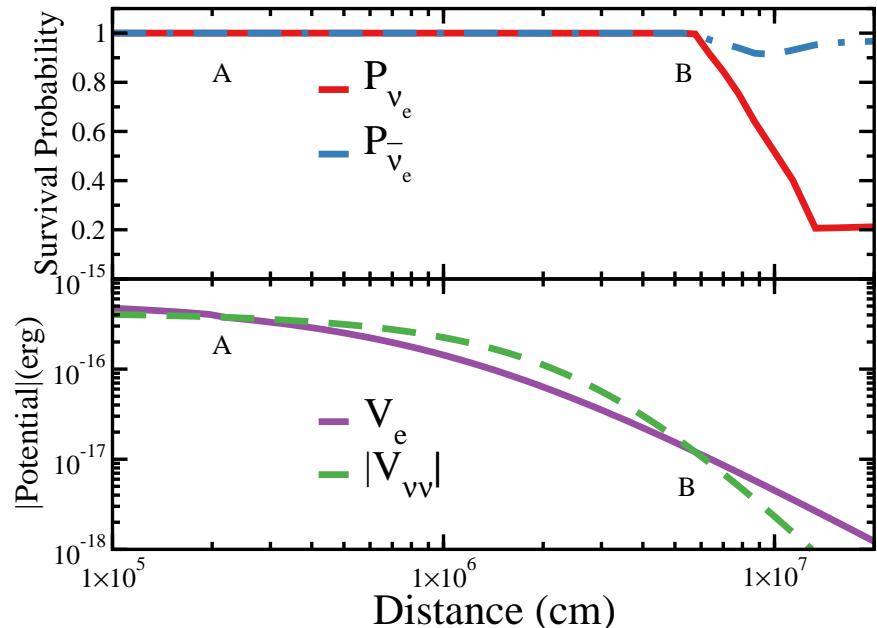


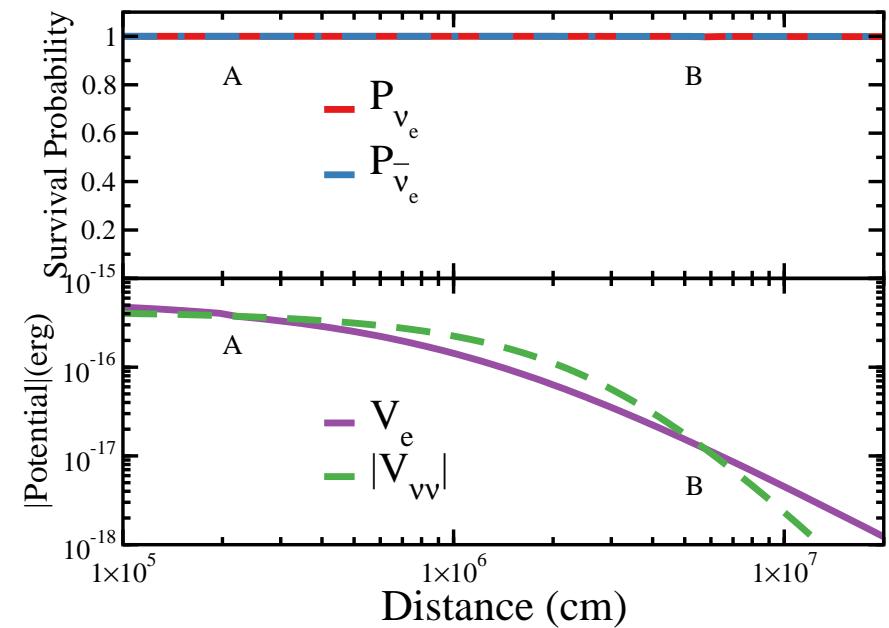
Fig. from Malkus et al 2014

# Prediction suggests that sufficient $\nu_\mu$ , $\nu_\tau$ flux will shut off the resonance transition



$\nu_x$  scaled to 35%

Numerical calculation confirms this.



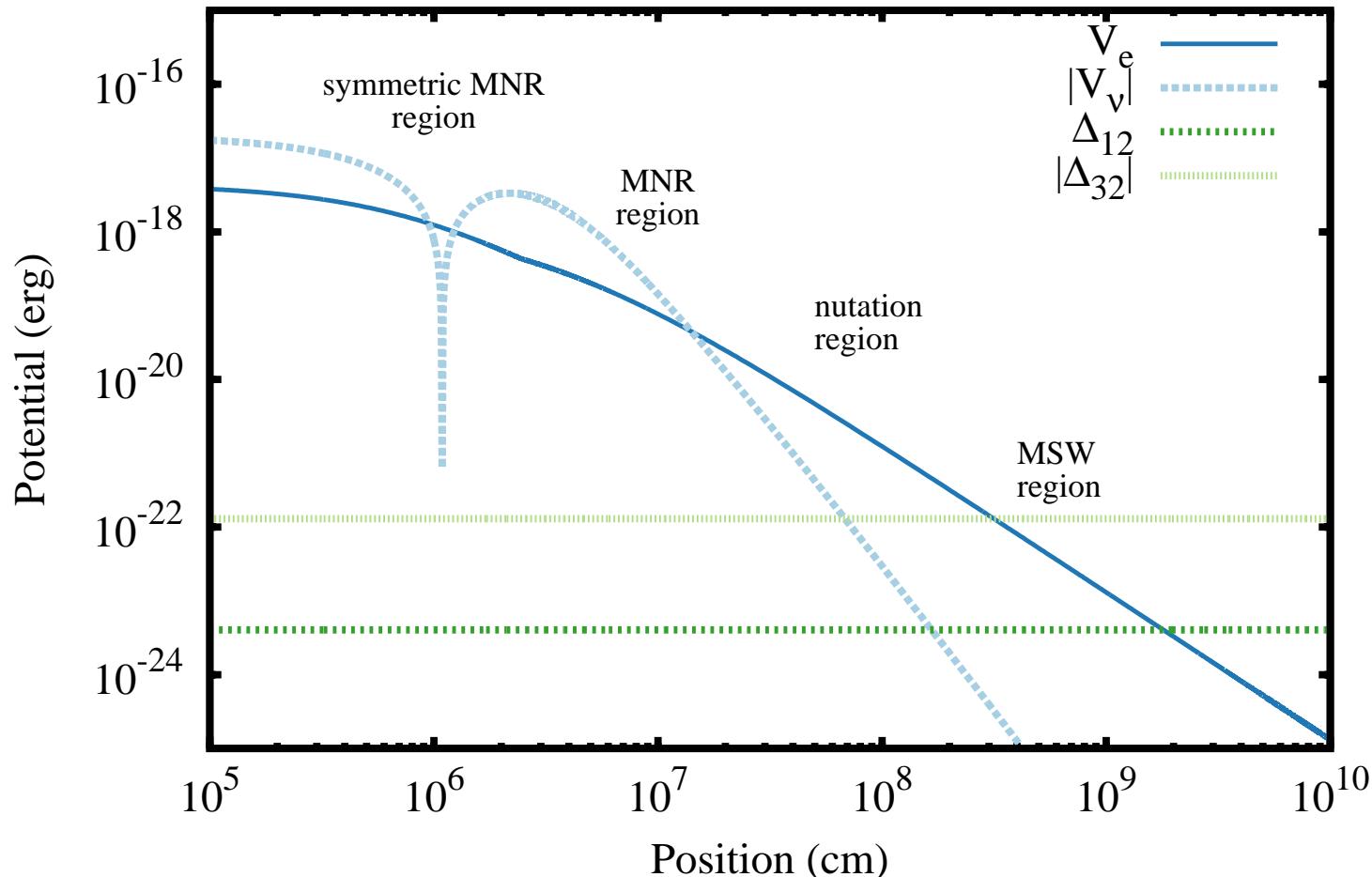
$\nu_x$  scaled to 40%

Fig. from Malkus et al 2014

# Symmetric vs. Standard Matter Neutrino

## Resonance Potentials

Neutrino emitting surface is larger than the antineutrino emitting surface



## Symmetric Matter Neutrino Resonances

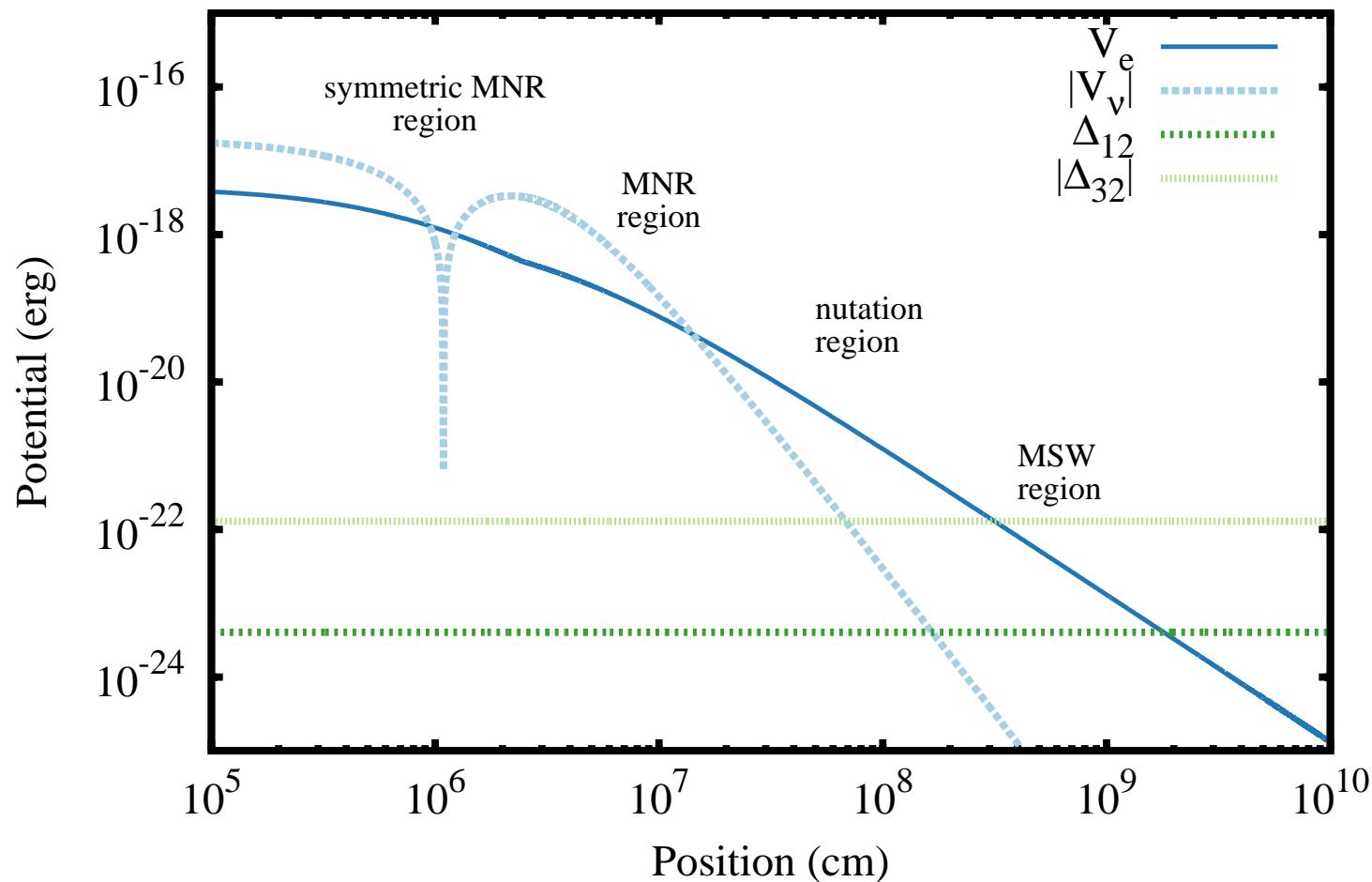
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come from geometric effects

- Recall  $V_\nu^a \propto N_{\nu,eff,unosc}(2P_{\nu_e} - 1) - N_{\bar{\nu},eff,unsoc}(2P_{\bar{\nu}_e} - 1)$
- $N_{eff} \sim \text{flux} * \langle 1 - \cos \theta \rangle$
- $\langle 1 - \cos \theta \rangle$  evolves differently for  $\nu_e$  and  $\bar{\nu}_e$  if the emission surfaces are different sizes
- potential can switch from negative to positive due to this geometric effect

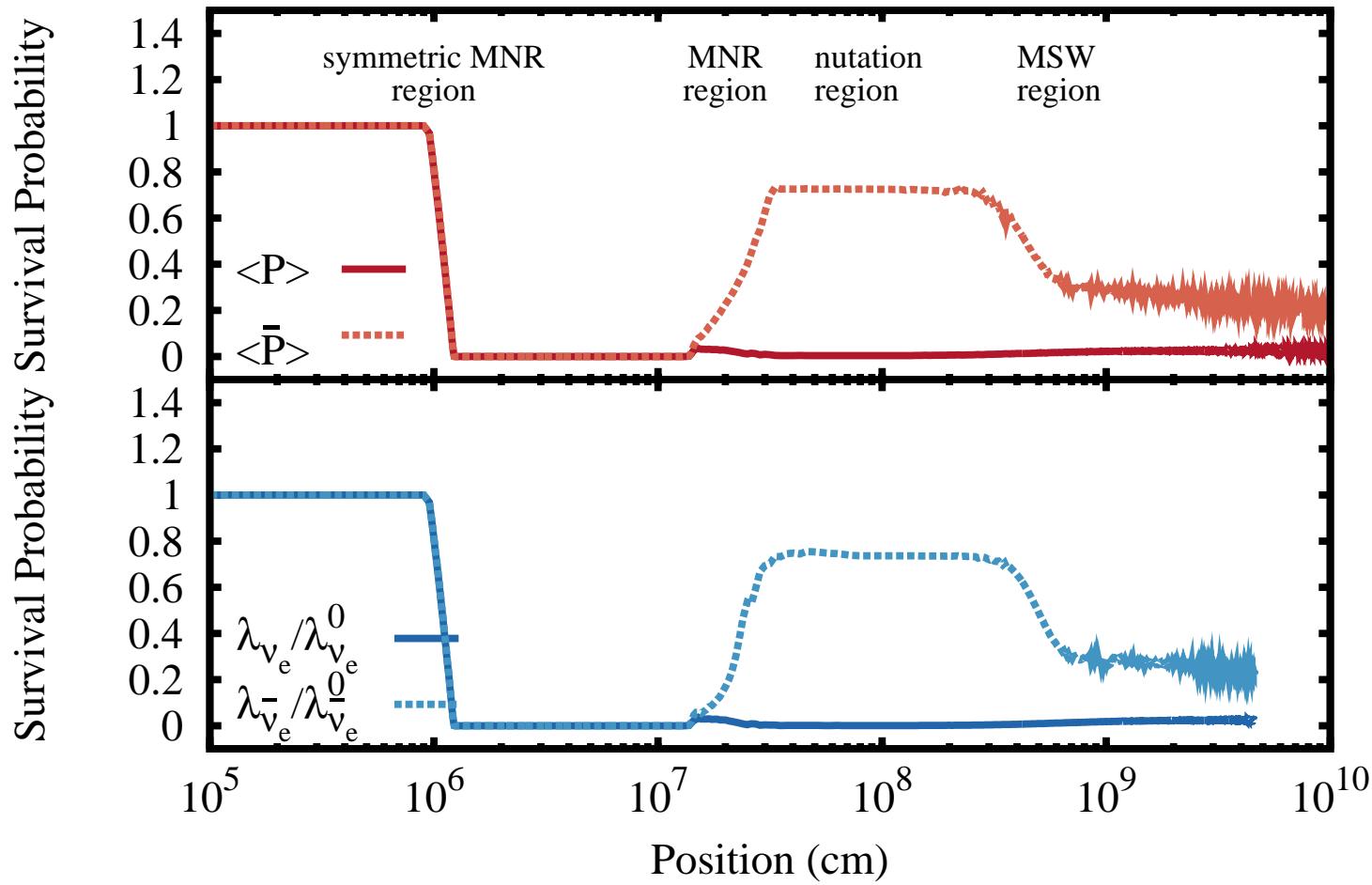
Symmetric MNR: occurs when

$\nu_e$  and  $\bar{\nu}_e$  surfaces are different sizes



# Symmetric MNR flavor transformation

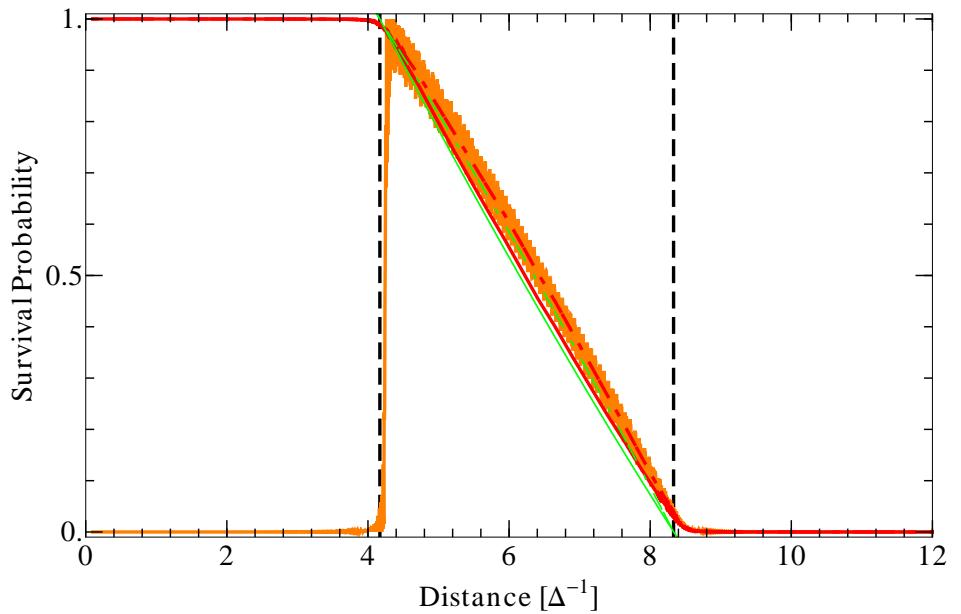
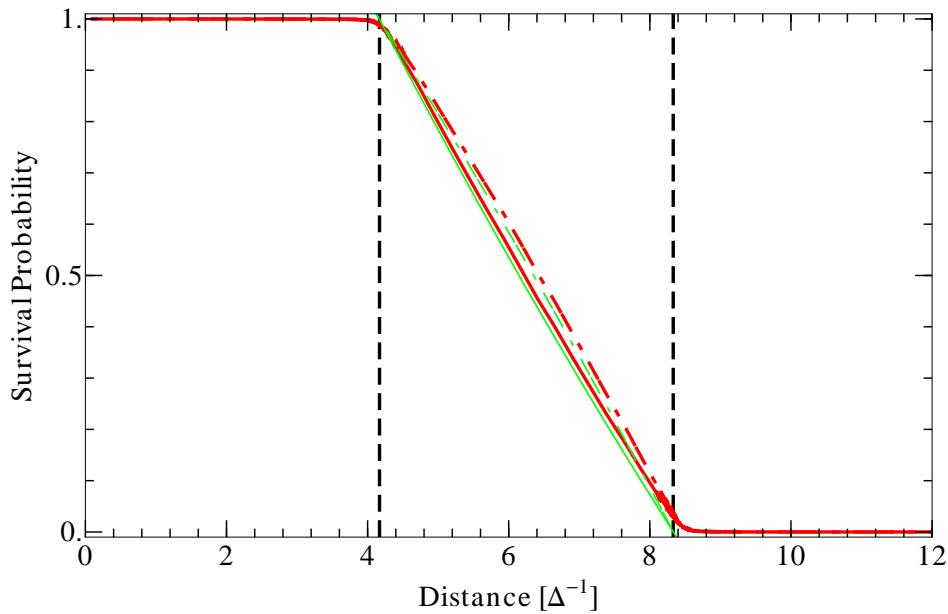
Malkus et al in prep



First oscillation is symmetric, second is standard.

# Symmetric MNR transitions are adiabatic: neutrinos follow instantaneous eigenstates

Figure from Daavid Vaananen



# Collapsar type disks have both types of MNR

Collapsar type disks are globally deleptonizing, i.e. neutrinos outnumber antineutrinos when you are far from the disk:

$$V_\nu^a = N_{\nu,eff} - N_{\bar{\nu},eff} > 0$$

But, they can be locally leptonizing, i.e. near the disk surface antineutrinos can outnumber neutrinos:

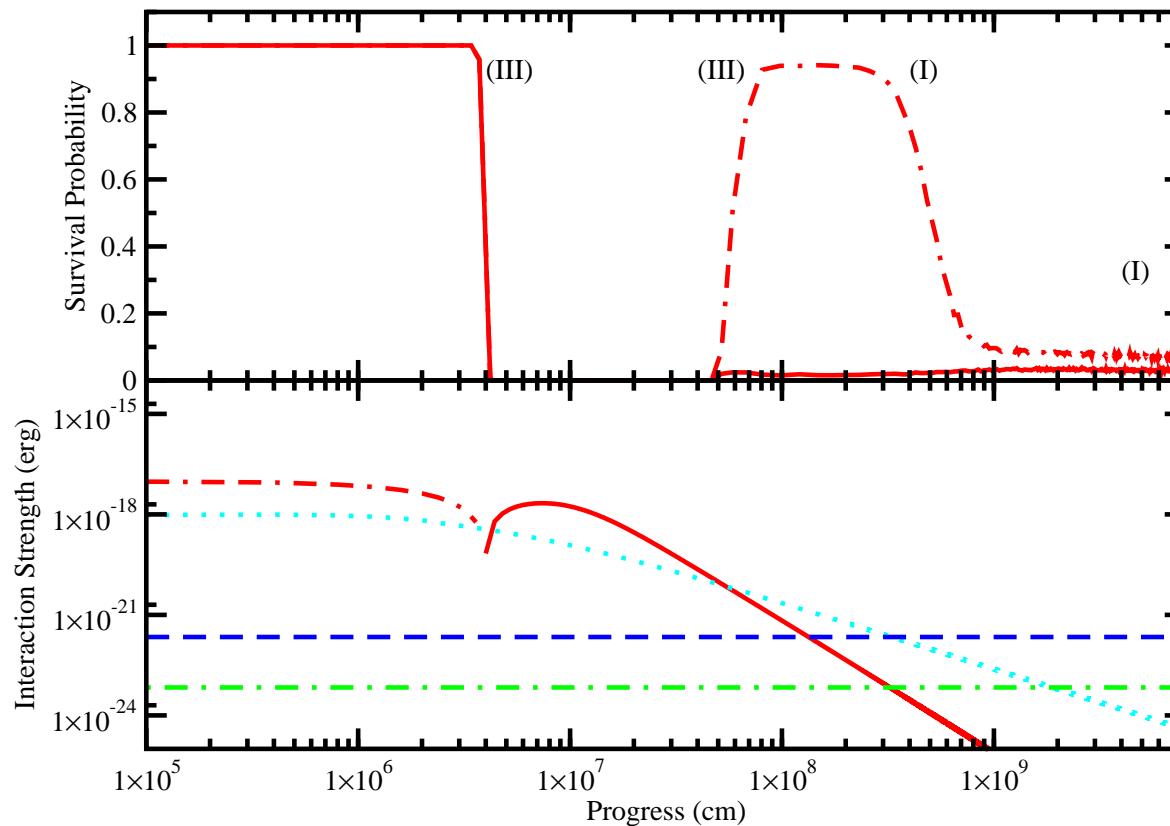
$$V_\nu^a = N_{\nu,eff,unosc} - N_{\bar{\nu},eff,unosc} < 0$$

Again the geometric effect: along a neutrino trajectory, the potential starts negative and then switches sign.

Neutrino-matter resonance point occurs near switch-over point

# Flavor transformation above a collapsar disk

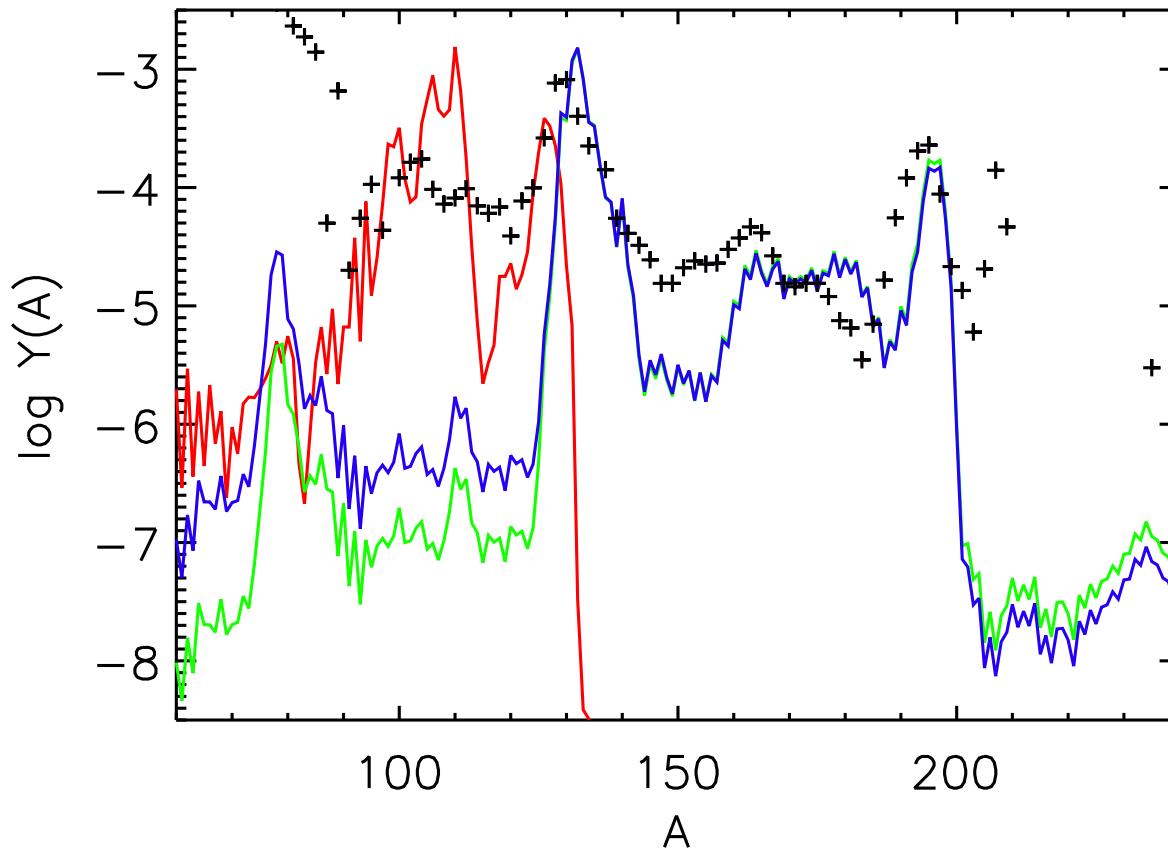
figure from Malkus et al 2012



Upper panel: solid red - electron neutrino survival probability

Upper panel: dashed red - electron antineutrino survival probability

# Accretion Disk Nucleosynthesis



red - no oscillations, blue - oscillations

Malkus et al 2012

# Preliminary: Calculation with 3-D Geometry

Uses neutrinos from Perego's hypermassive neutron star and disk

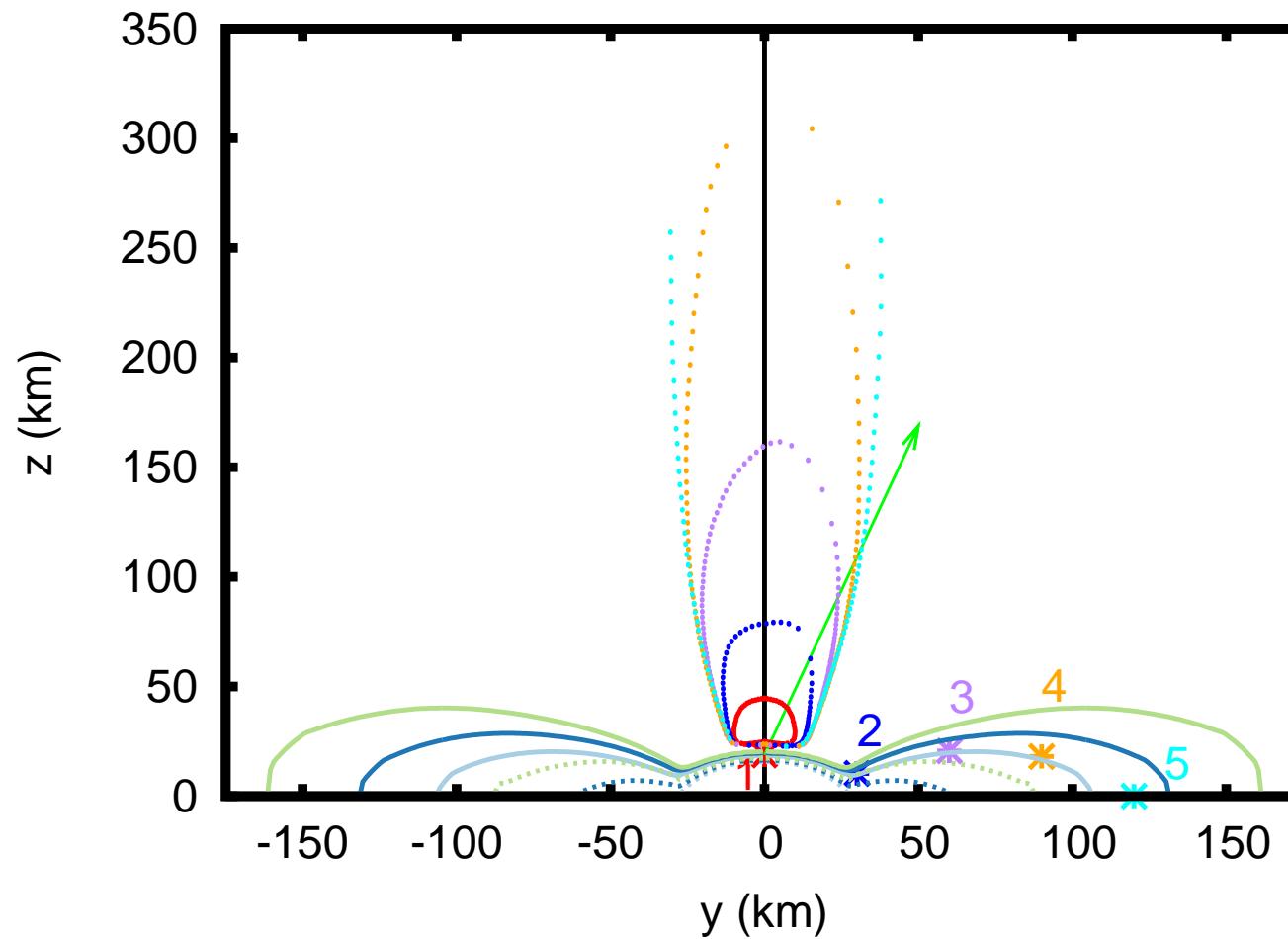


Figure by Yonglin Zhu

# Preliminary: Oscillation Probability

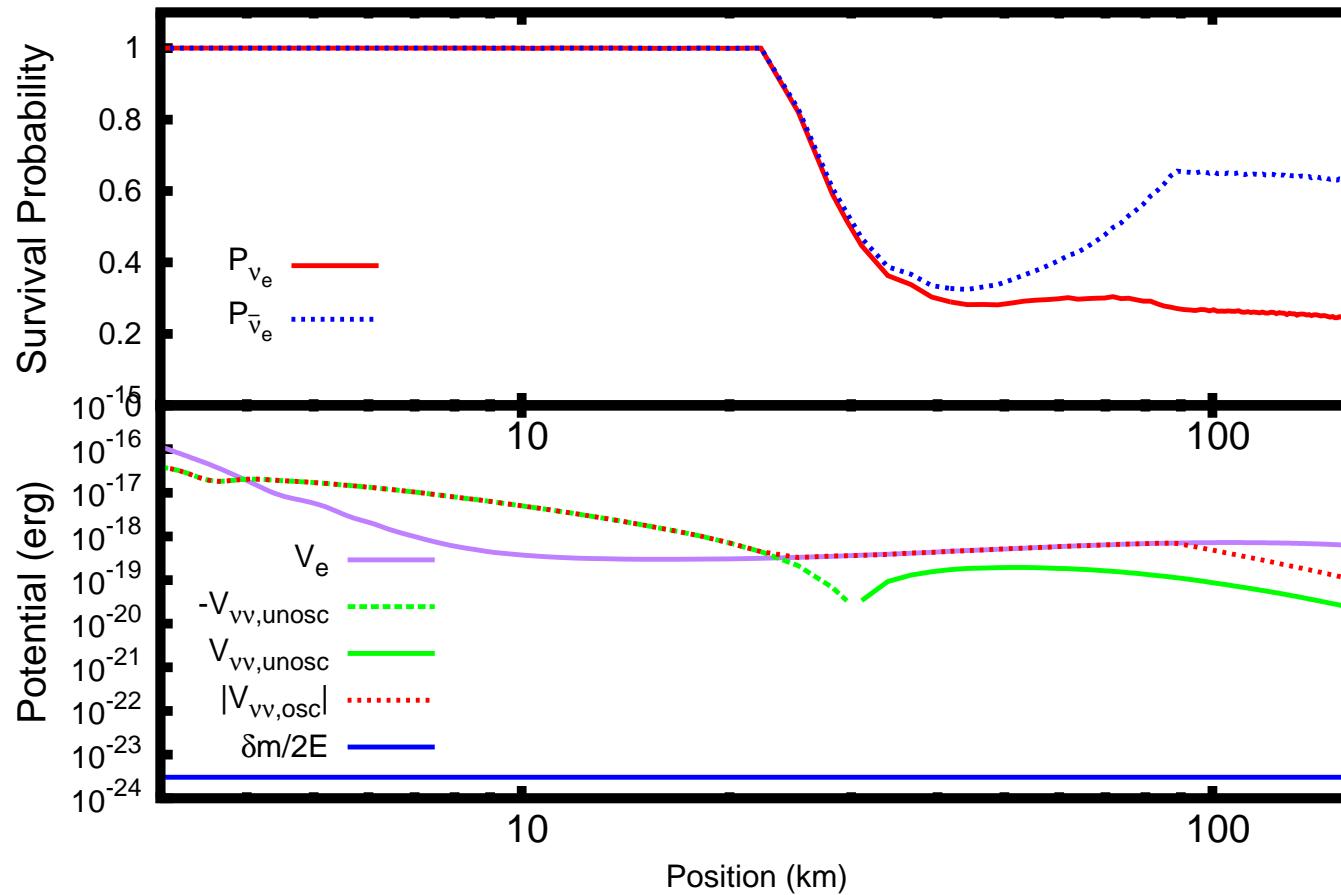


Figure by Yonglin Zhu

## Conclusions

- Disk neutrinos exhibit a unique flavor transformation phenomenon
- We call this a matter-neutrino resonance transition
- We've found two types, symmetric and standard
- This transition can change the result of wind nucleosynthesis dramatically
- More to be considered, e.g. multi-angle effects