



# The Path to Neutrino Mass

(and maybe relic neutrinos,  
too)

Institute for Nuclear Theory

Neutrino Astrophysics and  
Fundamental Properties

June 22<sup>nd</sup> 2015

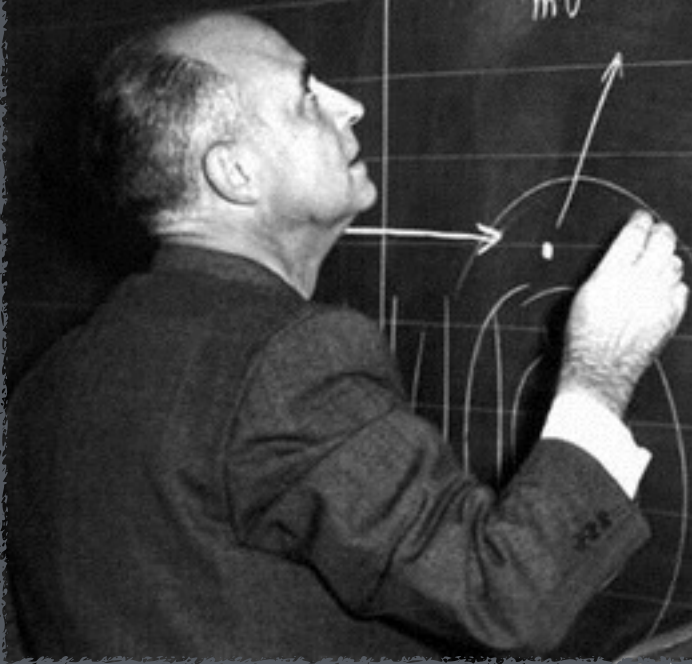
Joseph A. Formaggio  
MIT

Neutrino mass measurements have a long history in physics, predating the Standard Model itself.

It should therefore be no surprise that our quest to understand this fundamental property continues; both for its own right as well as its theoretical implications.

onda incidente  $e^{ikx}$

$$\lambda = \frac{h}{mv} = 1,8 \times 10^{-8} \text{ cm}$$



So a. 2, Tentativo di una teoria dei raggi  $\beta$

#### LA MASSA DEL NEUTRINO.

probabilità di transizione (32) determina tra l'altro la forma continuo dei raggi  $\beta$ . Discuteremo qui come la forma di questo spettro dipende dalla massa di quiete del neutrino, in modo da poter determinare questa massa da un confronto con la forma sperimentale dello spettro stesso. La massa  $\mu$  interviene in (32) tra l'altro nel fattore  $p^2/v_e$ . La dipendenza della forma della curva di distribuzione dell'energia da  $\mu$ , è marcata specialmente in vicinanza della energia massima  $E_0$  dei raggi  $\beta$ . Si riconosce facilmente che la curva di distribuzione per energie  $E$  prossime al valore massimo  $E_0$ , si comporta, a meno di un fattore indipendente da  $E$ , come

$$(36) \quad \frac{d^2 N}{dE^2} = \frac{1}{2} (\mu c^2 + E_0 - E) \sqrt{(E_0 - E)^2 + 2\mu c^2 (E_0 - E)}$$

Nella fig. 1 la fine della curva di distribuzione è rappresentata per  $\mu = 0$ , e per un valore piccolo e uno grande di  $\mu$ . La maggiore somiglianza con le

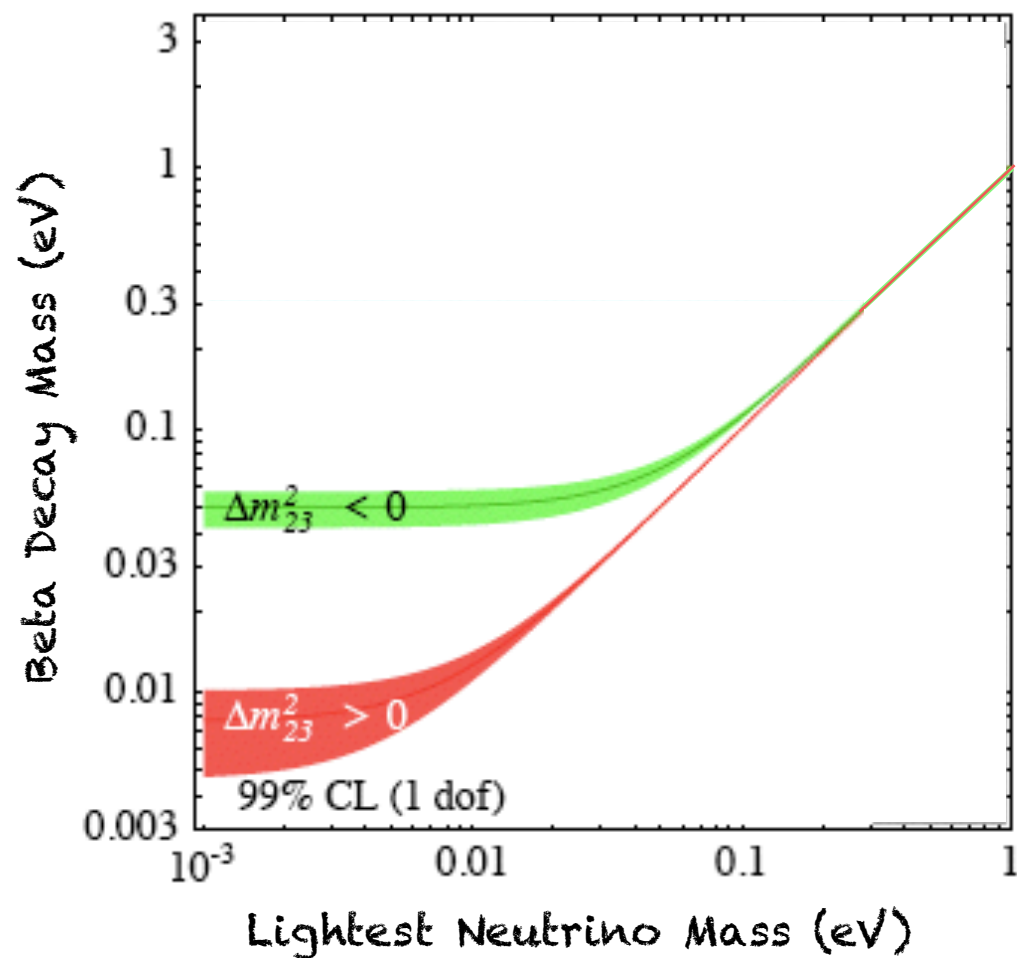


Fig. 1.

# Measuring Neutrino Masses

$$M = \sum_i^{n_\nu} m_{\nu,i}$$

Cosmological Measurements



$$\langle m_{\beta\beta}^2 \rangle = \left| \sum_i^{n_\nu} U_{ei}^2 m_{\nu,i} \right|^2$$

$0\nu\beta\beta$  Measurements

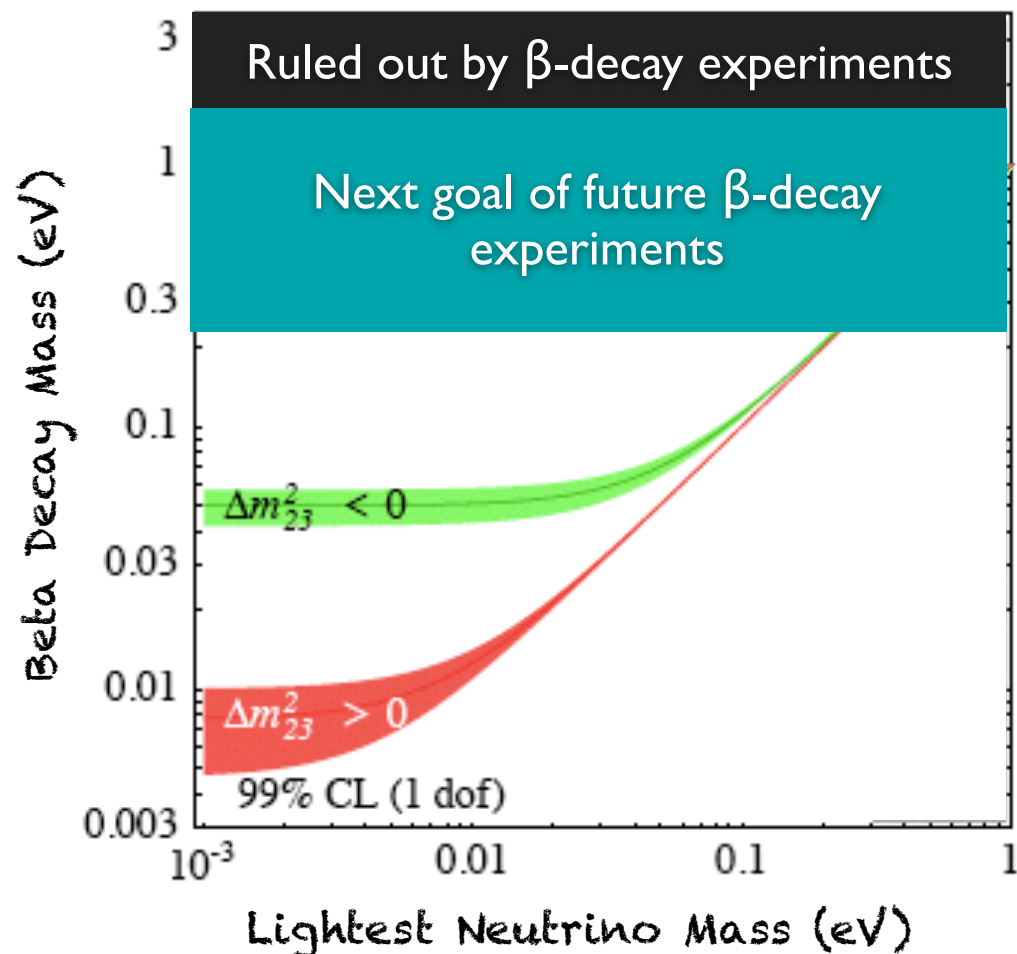
$$\langle m_\beta \rangle^2 = \sum_i^{n_\nu} |U_{ei}|^2 m_{\nu,i}^2$$

Beta Decay Measurements



# The Neutrino Mass Scale

- The neutrino mass scale remains one of the essential “unknowns” of the Standard Model.
- Knowledge of neutrino masses can have a significant impact on many different arenas, including cosmology, the mass hierarchy, sterile neutrinos, and even relic neutrino detection.



$m_\nu > 2 \text{ eV}$  (eV scale, current)

Neutrinos ruled out as dark matter

$m_\nu > 0.2 \text{ eV}$  (degeneracy scale)

Impact on cosmology and  $\nu\beta\beta$  reach

$m_\nu > 0.05 \text{ eV}$  (inverted hierarchy)

Resolve hierarchy if null result

$m_\nu > 0.01 \text{ eV}$  (normal hierarchy)

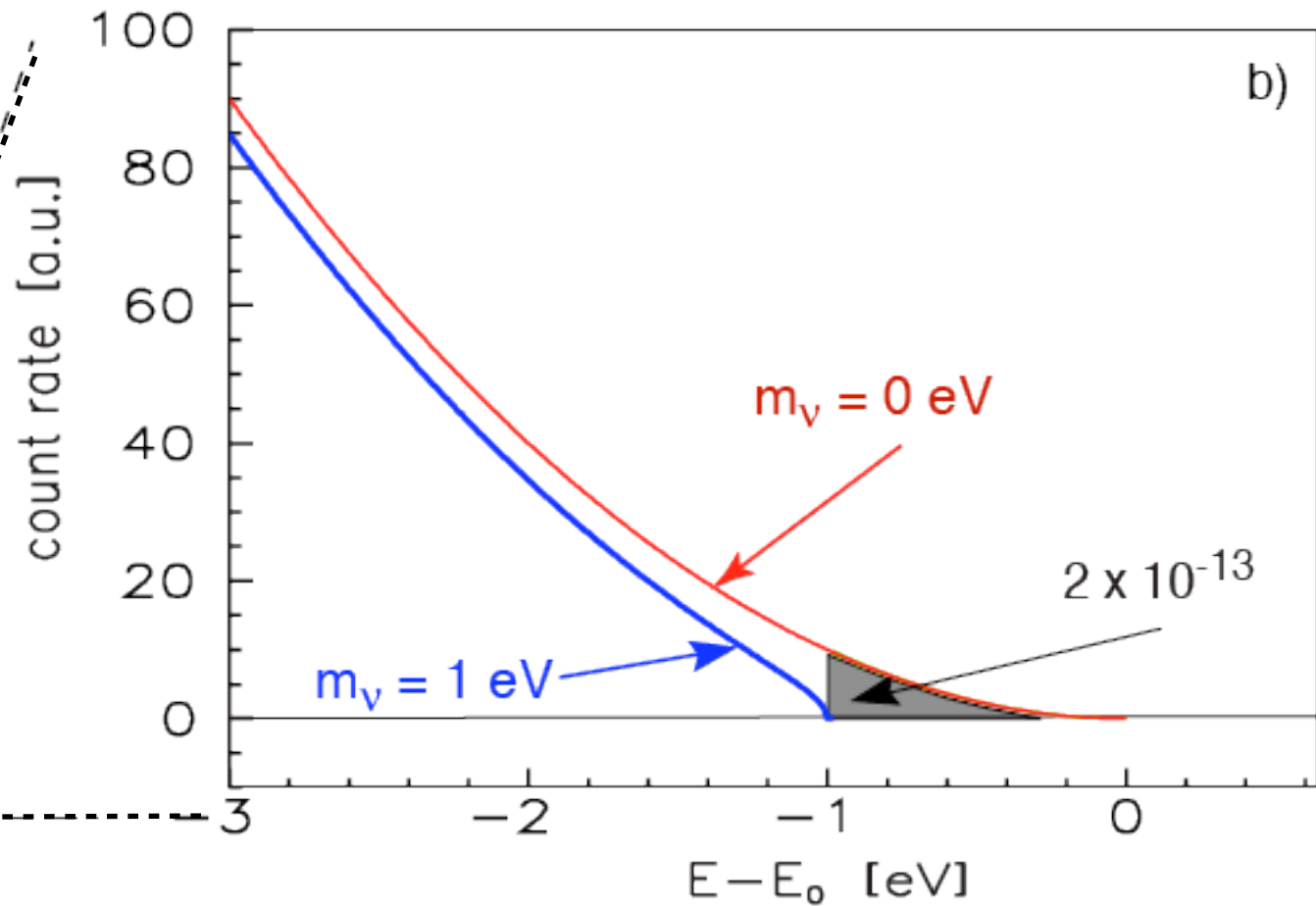
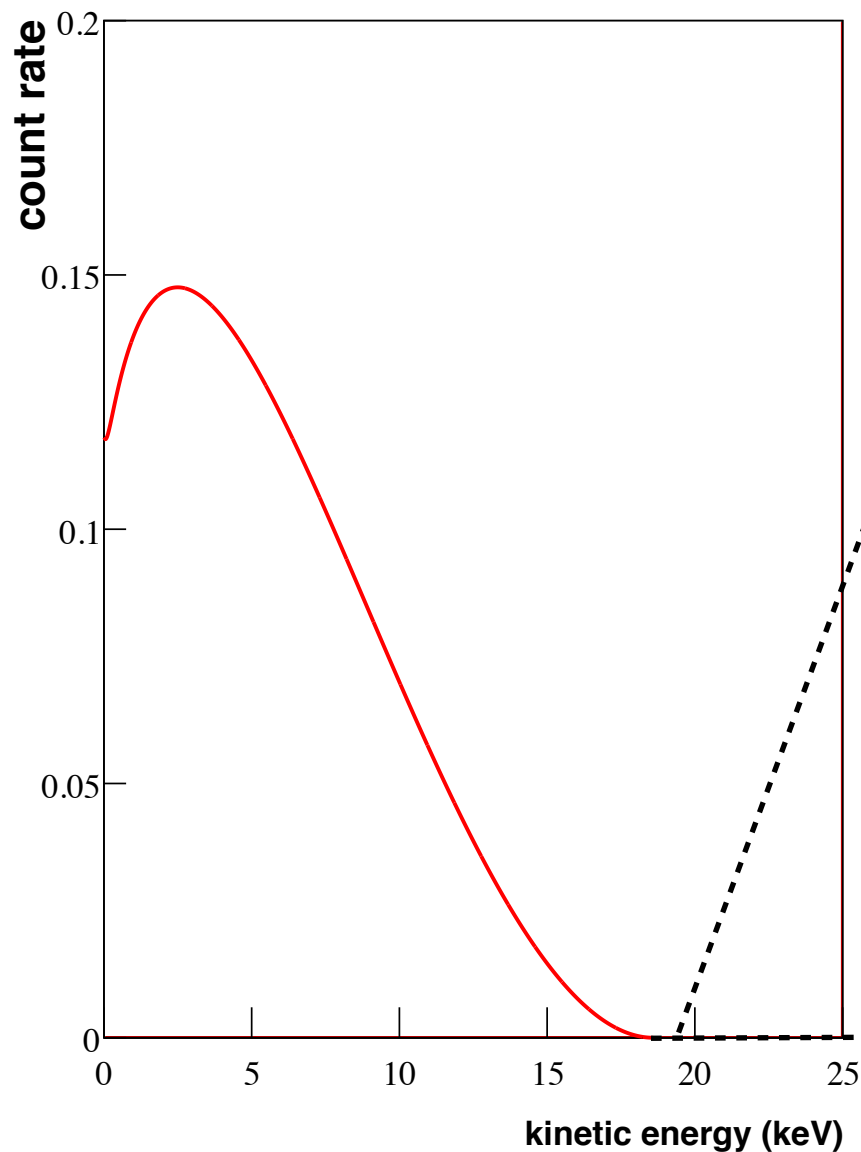
Oscillation limit; possible  $\nu\beta\beta$  detection



# Direct Probes

$$\dot{N} \sim p_e (K_e + m_e) \sum_i |U_{ei}|^2 \sqrt{E_0^2 - m_{\nu i}^2}$$

Electron Energy



## Beta Decay

A kinematic determination of the neutrino mass  
No model dependence on cosmology or nature of mass

# Techniques for the 21<sup>st</sup> Century

## Spectroscopy (KATRIN)

Magnetic Adiabatic  
Collimation with  
Electrostatic Filtering

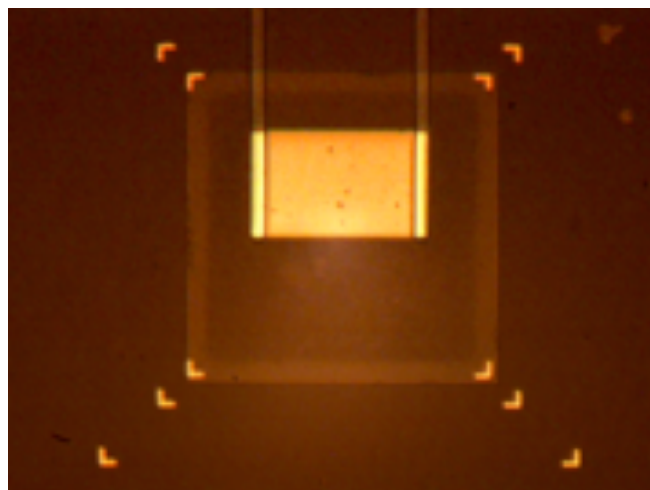
State-of-the-Art technique



## Calorimetry (HOLMES, ECHO & NUMECS)

Technique highly  
advanced.

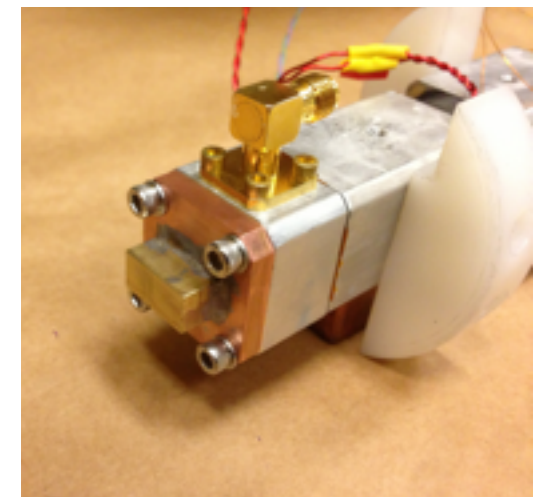
New experiment(s)  
planned to reach  
 $\sim$ eV scale.



## Frequency (Project 8)

Radio-frequency  
spectroscopy for beta decay

R&D phase (new results)

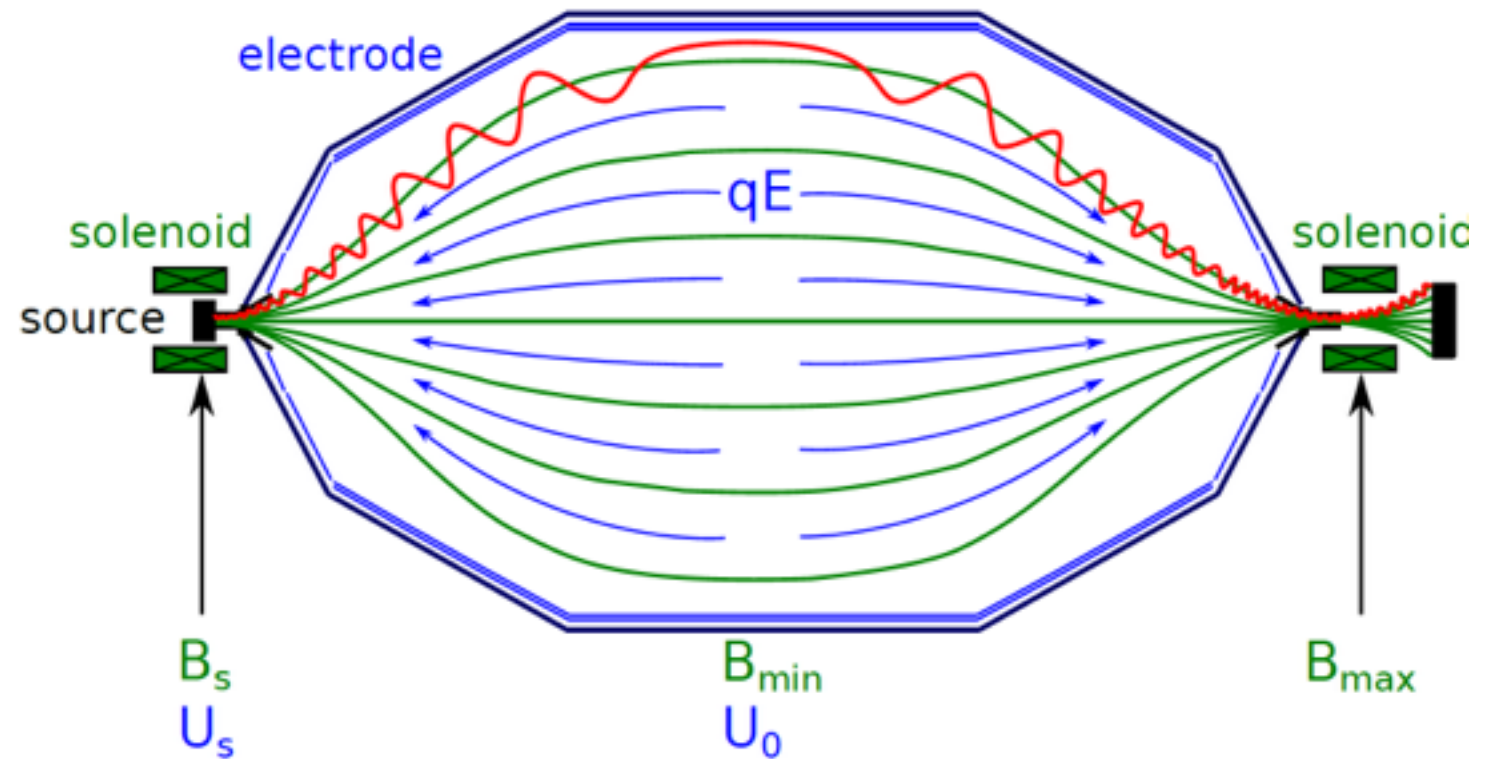


# MAC-E Filter Technique

KATRIN



## Spectroscopic: MAC-E Filter



*adiabatic transformation of  $e^-$  momentum*

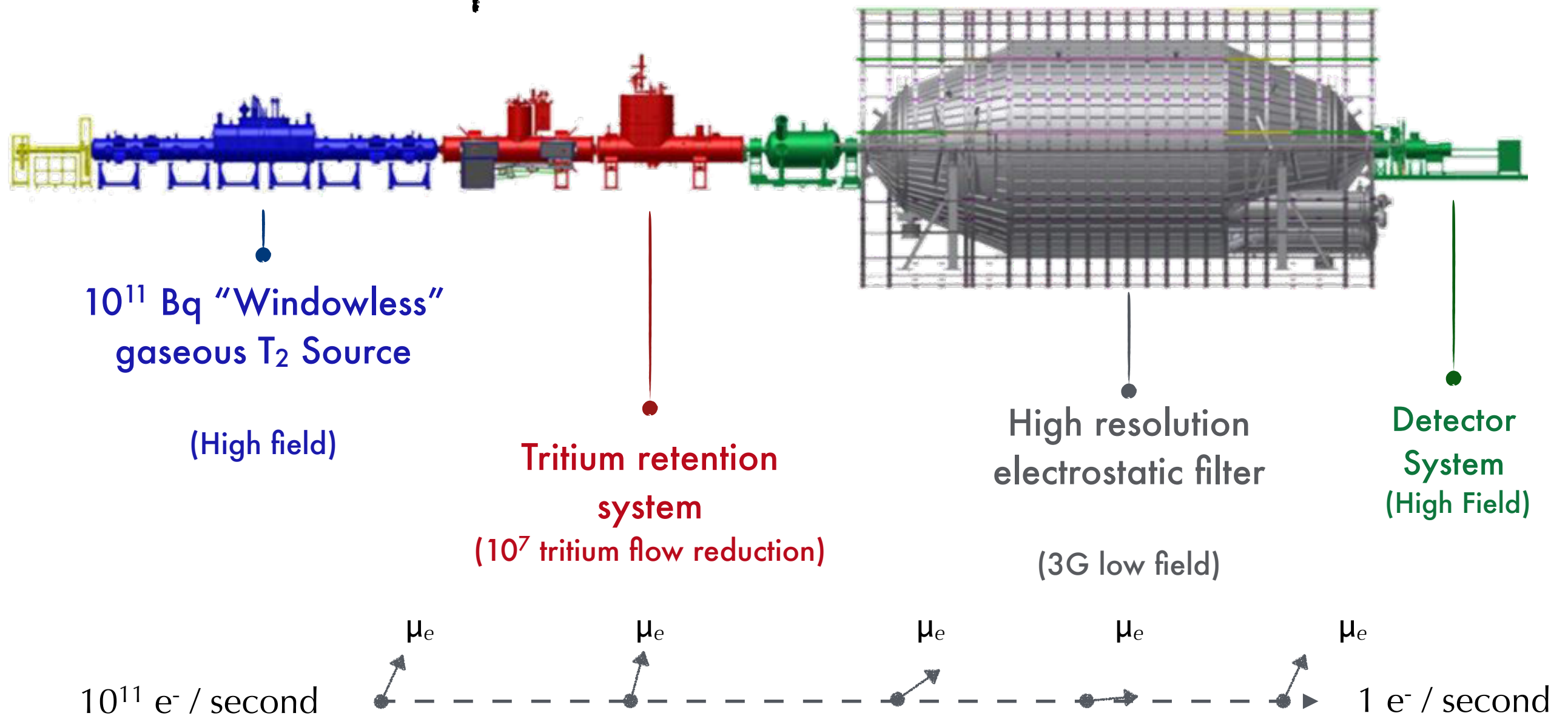
Inhomogeneous magnetic guiding field.  
Retarding potential acts as high-pass filter

High energy resolution

$$(\Delta E/E = B_{\min}/B_{\max} = 0.93 \text{ eV})$$



# The KATRIN Setup

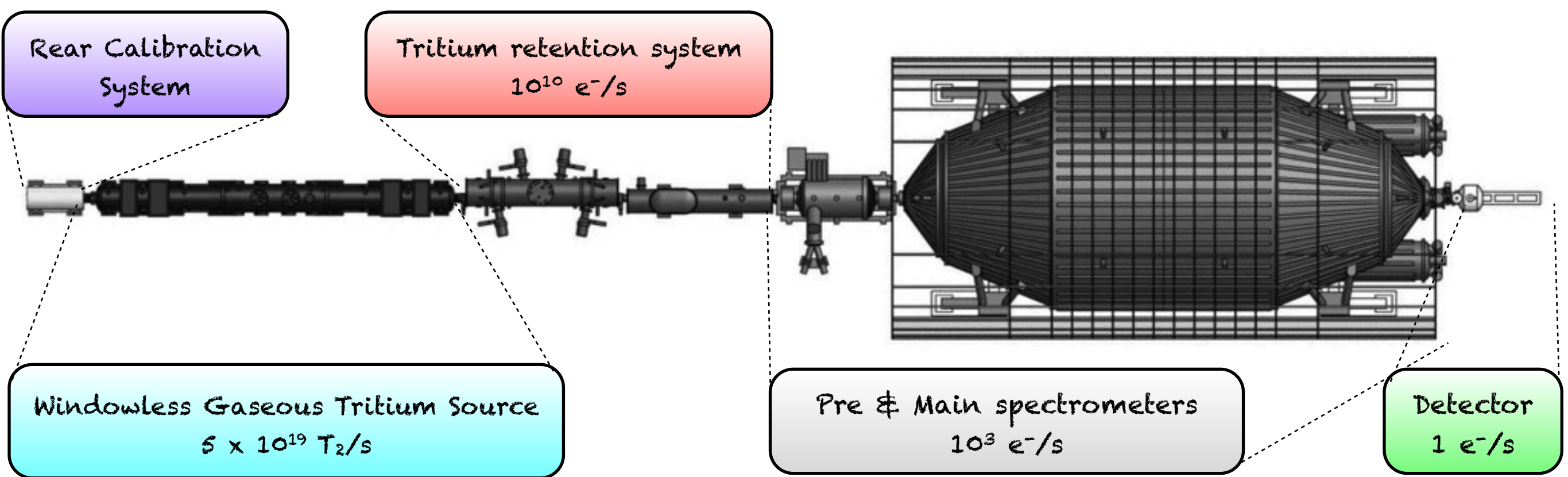


Adiabatic transport ensures high retention of phase space for decay

$$\frac{\Delta E}{E} = \frac{B_{\min}}{B_{\max}} \rightarrow 0.93 \text{ eV}$$

Energy resolution scales as the ratio of minimum / maximum fields



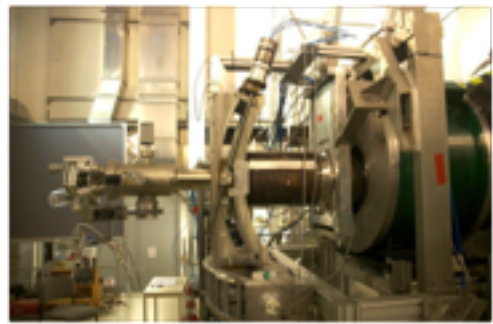
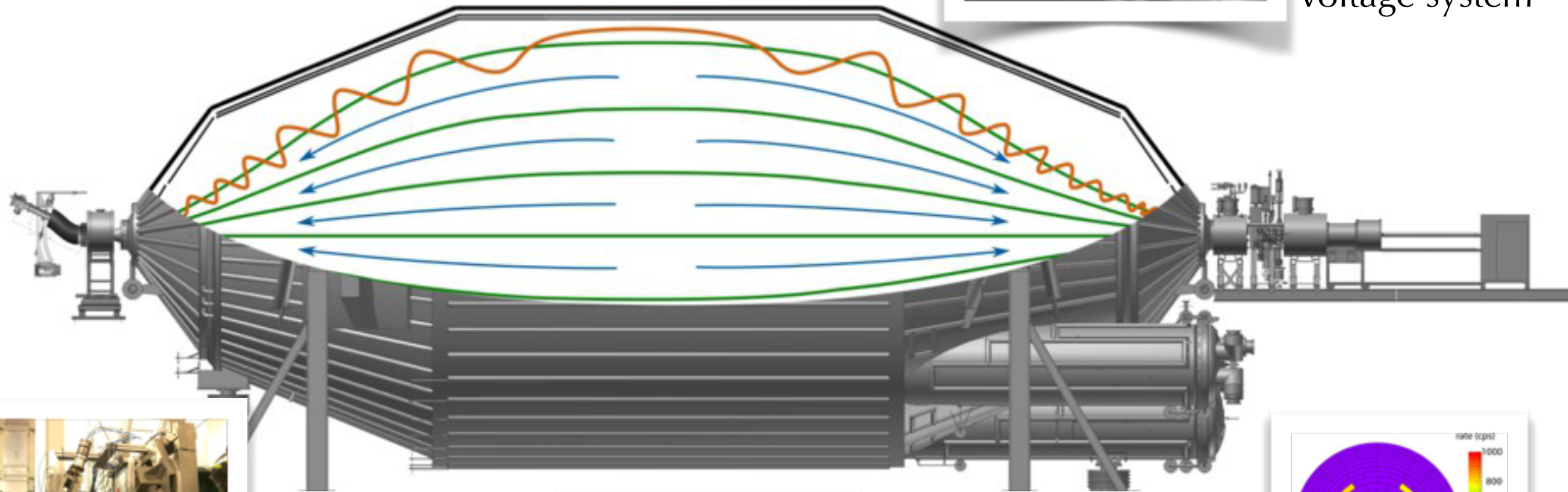




# Spectrometer Commissioning



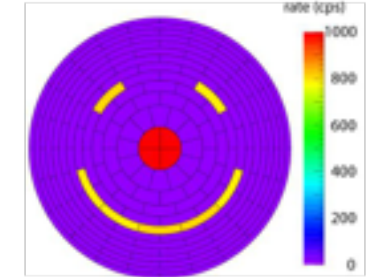
Precision high voltage system



High precision electron gun



Ultra high vacuum system

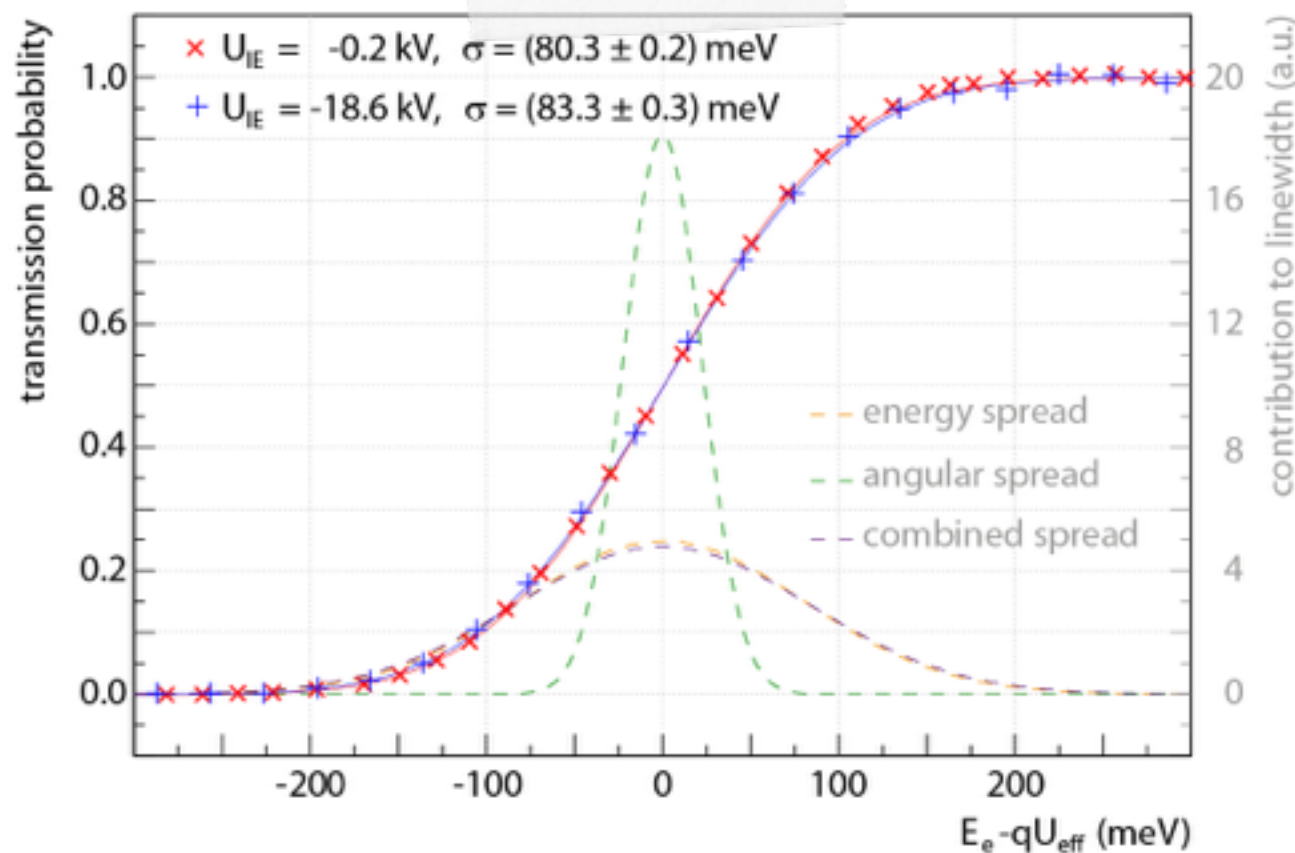


Full detector system

Spectrometer and detector system fully integrated.  
Allowed for test of transmission function and background levels.



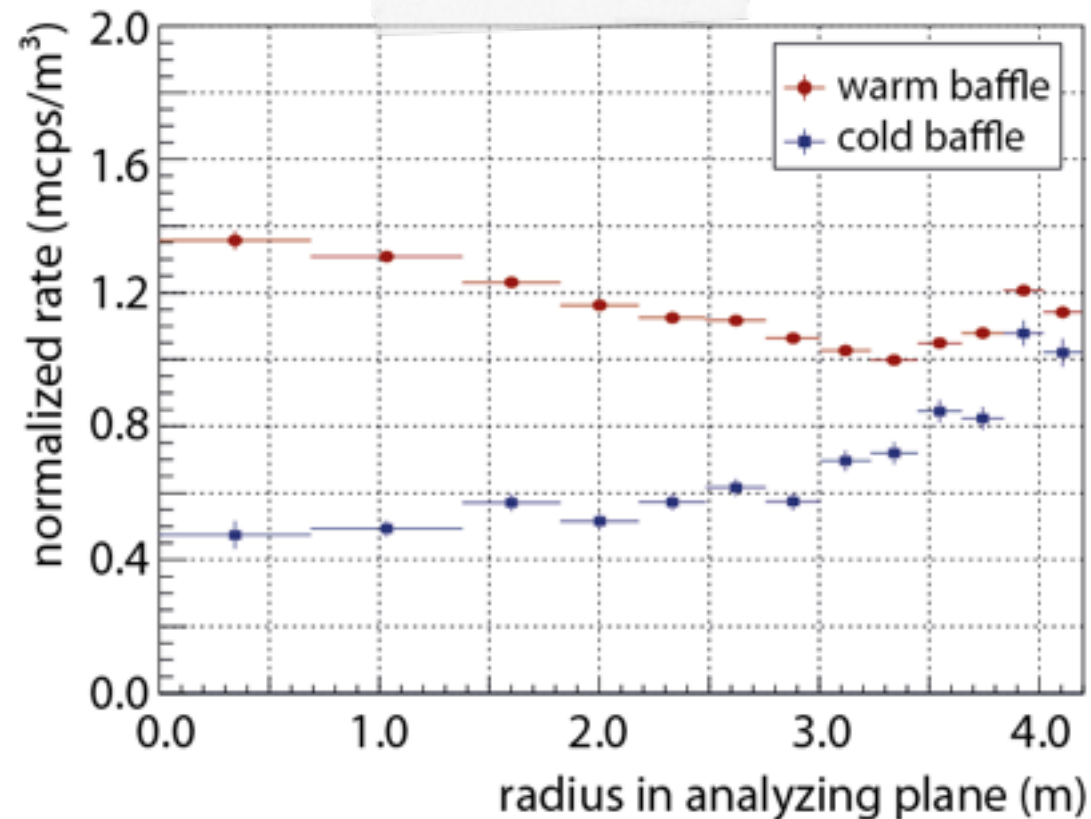
## Transmission Function



At -18.6 keV, better than  
100 meV resolution

Sharpest transmission function  
for a MAC-E filter

## Background Rates

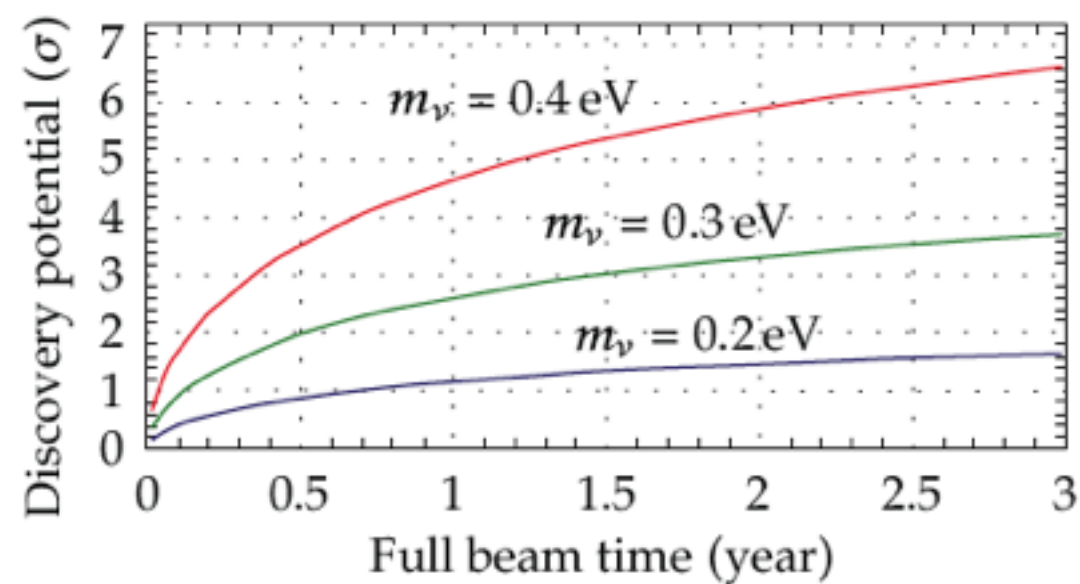
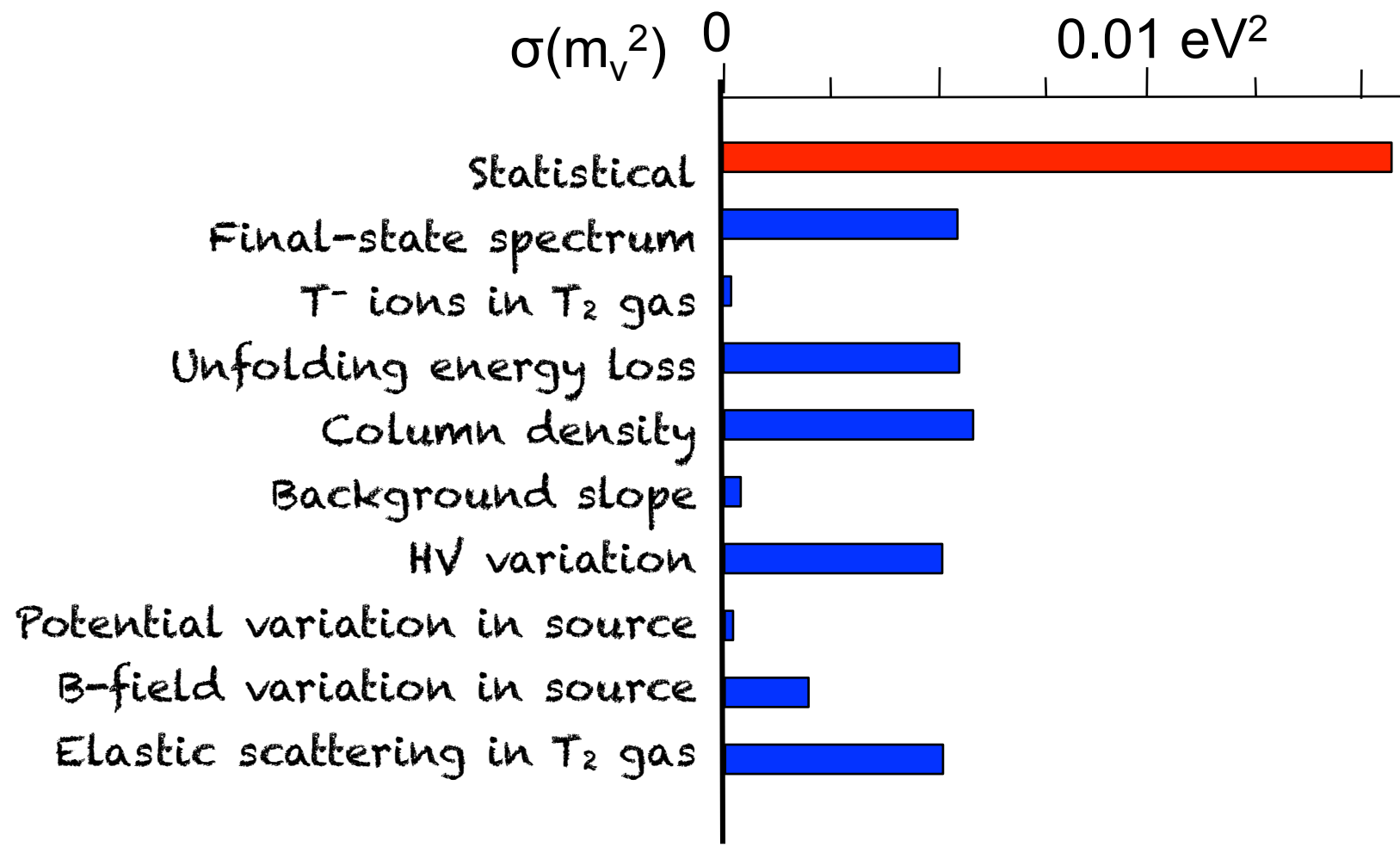
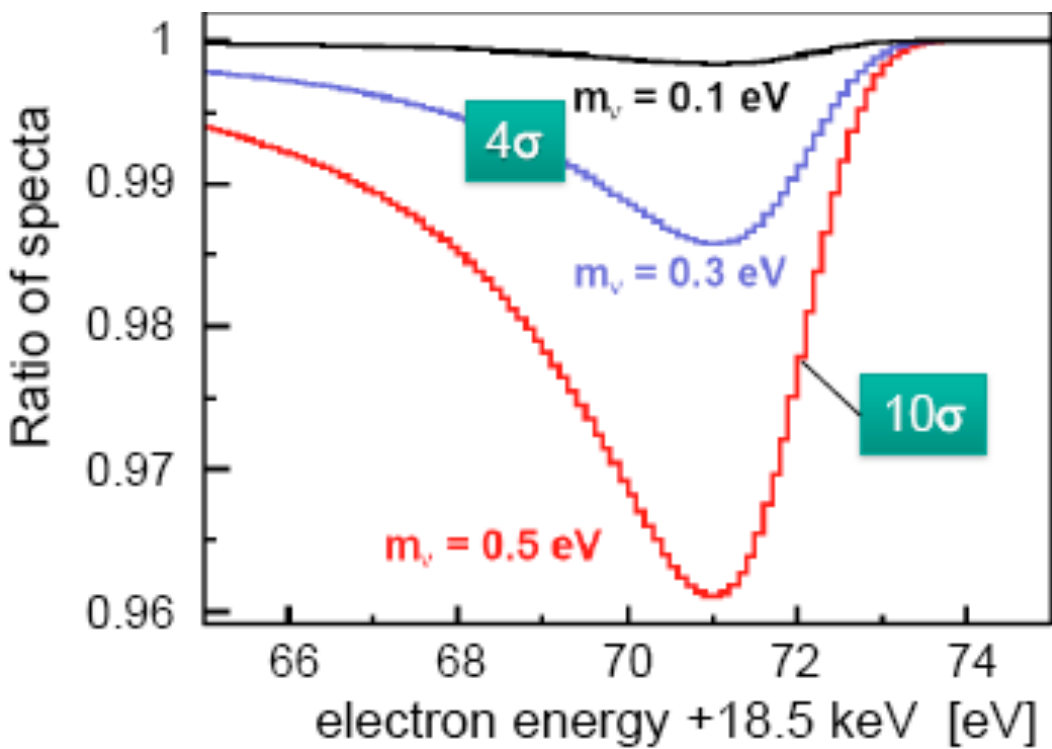


Background rate of order Hz  
(radon-dominated)

Greater reduction of  
backgrounds to come

Commissioning showed excellent behavior of MAC-E Filter response.  
Next commissioning (now) should show greater background suppression.

# Projected Sensitivity



**Neutrino Mass Goals**

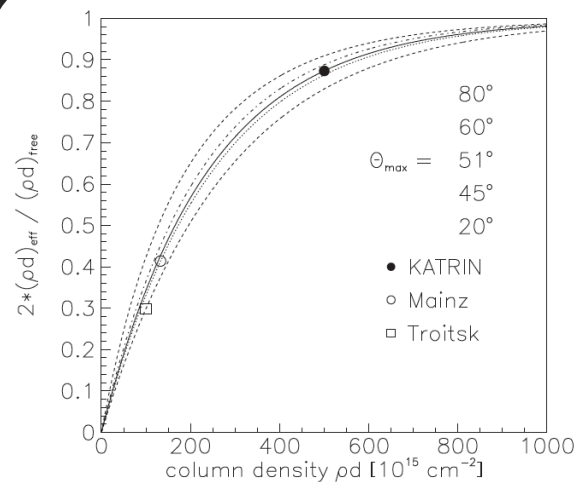
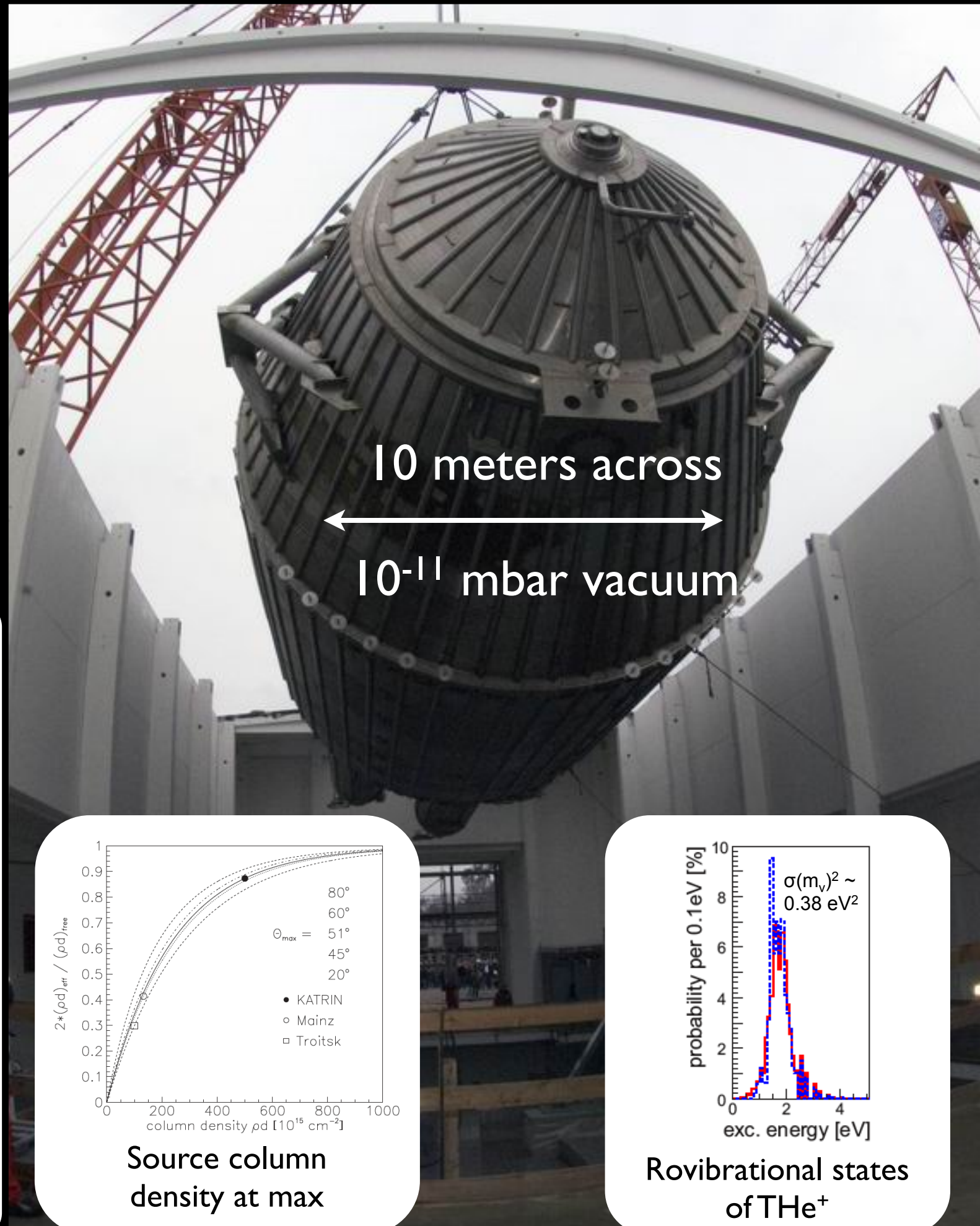
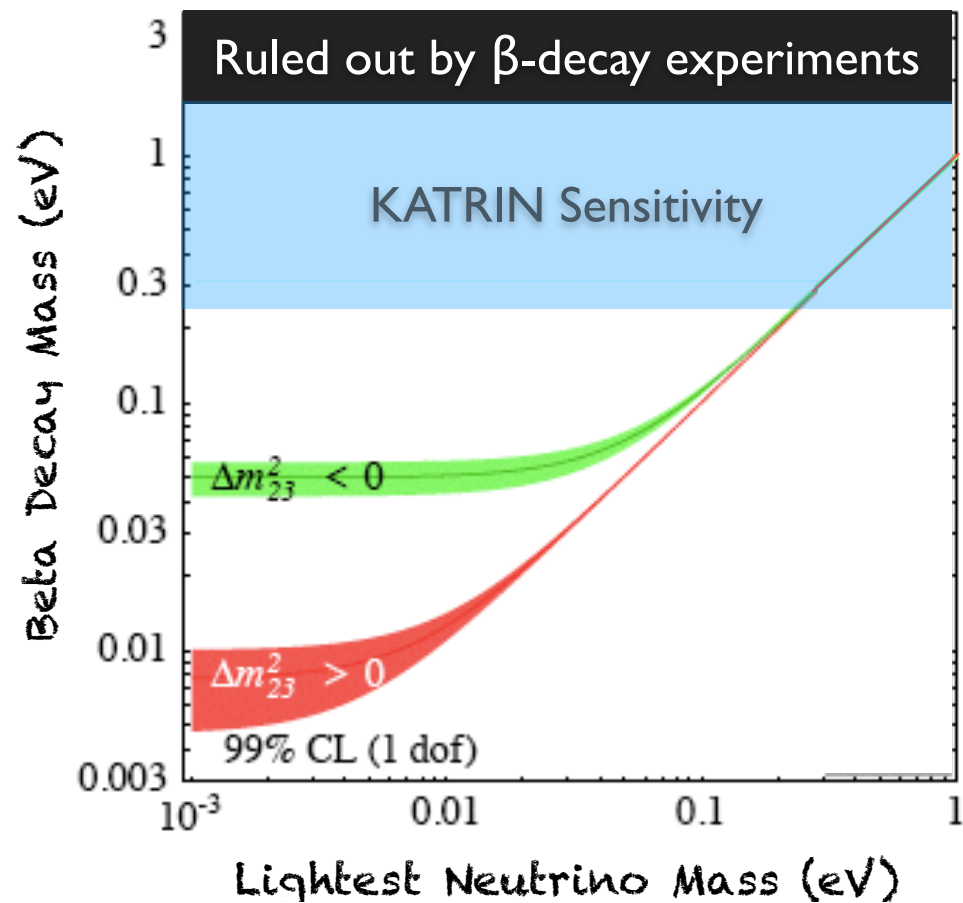
Discovery: 350 meV (at 5 $\sigma$ )

Sensitivity: 200 meV (at 90% C.L.)

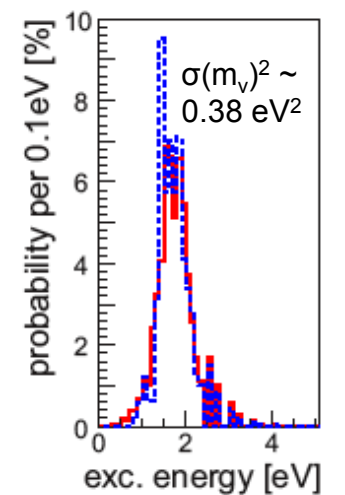
Data taking to commence in 2016.

# Can we push further?

- Can direct measurements push to the inverted hierarchy scale?
- To do so, they must have better scaling law.



Source column density at max



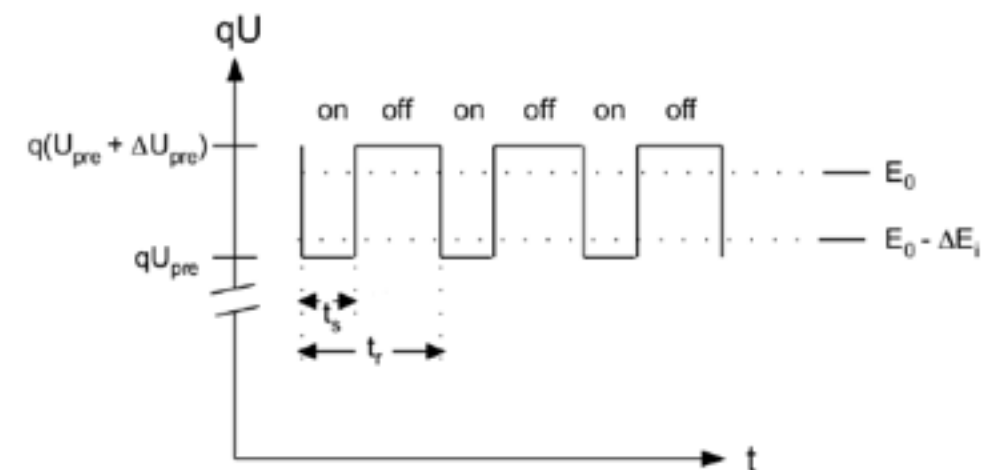
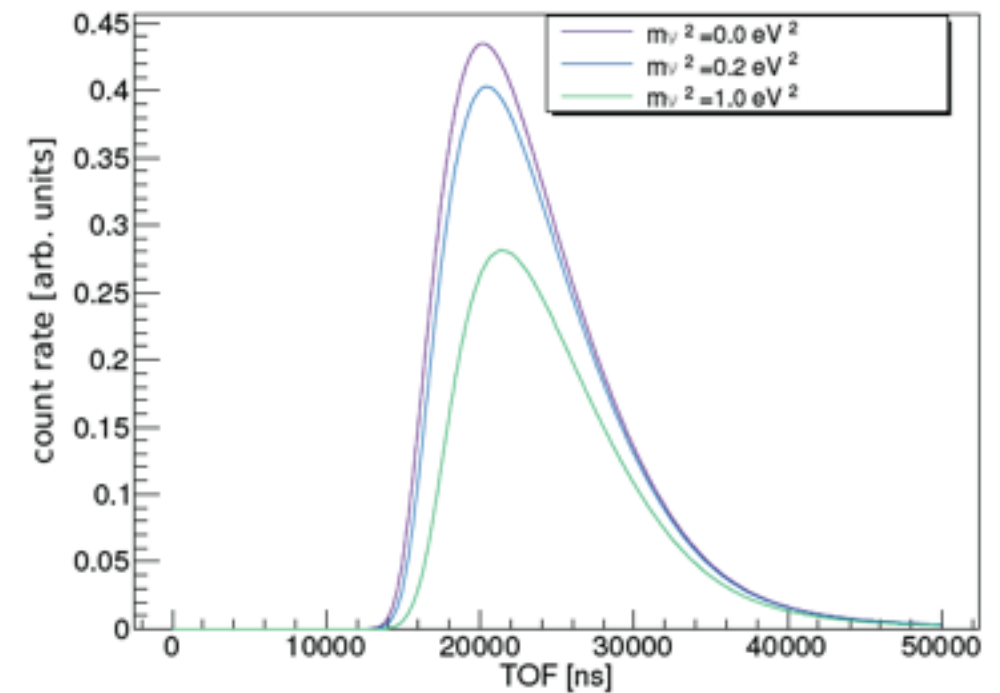
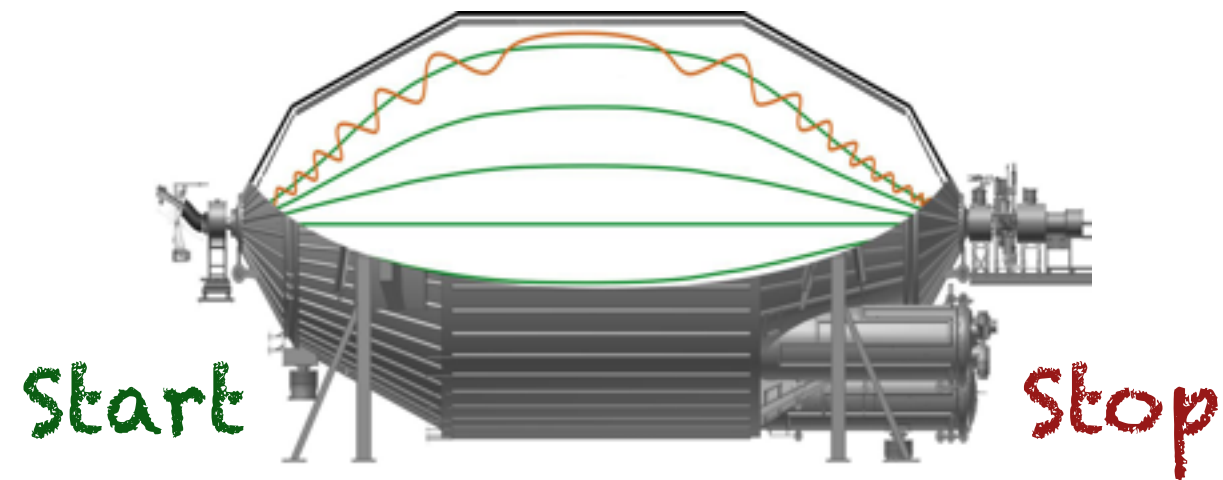
Rovibrational states of  $\text{THe}^+$



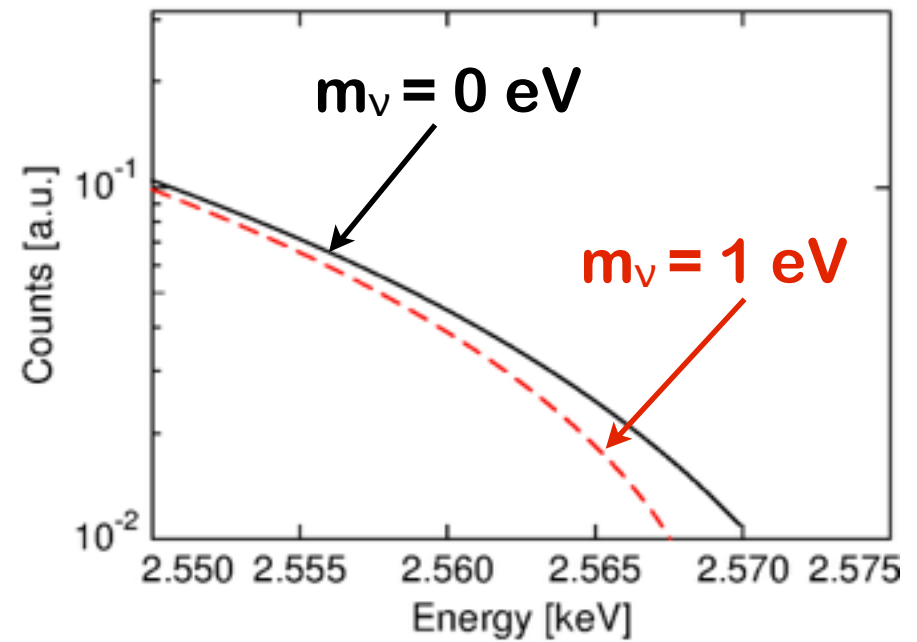
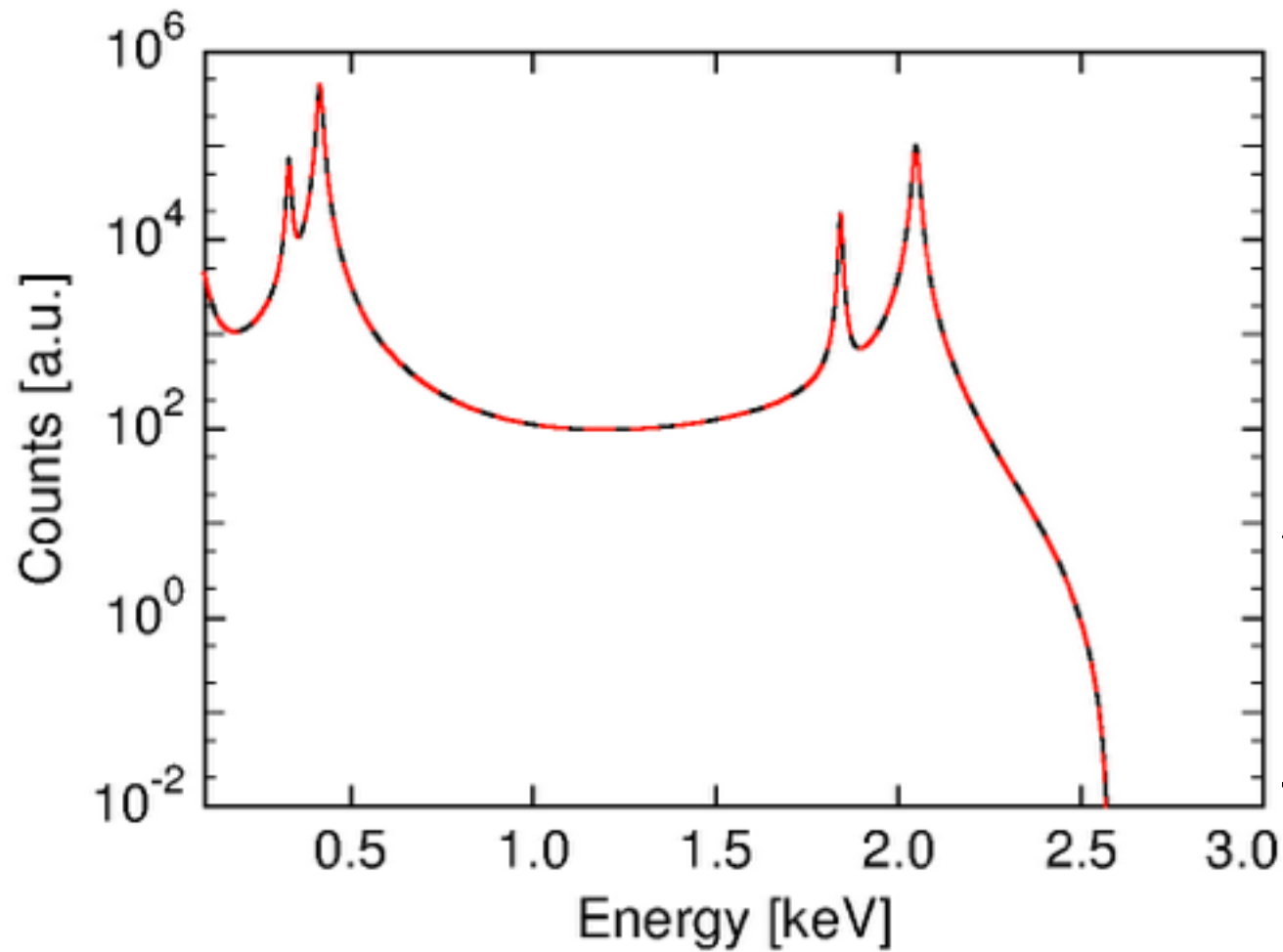
# Time of Flight & KATRIN

- In principle, it is possible to improve the statistical sensitivity of KATRIN by combining its energy resolution with a **time-of-flight** measurement.
- By tagging the electron as it travels to the detector.
- The improvement is substantial, over **a factor of 5-6** in the statistical sensitivity. However, no realistic method to tag the electron in the KATRIN experiment appears possible.
- A gated pulse is possible, but yields equivalent statistical sensitivity.

N. Steinbrink et al. New J.Phys. 15 113020(2013)



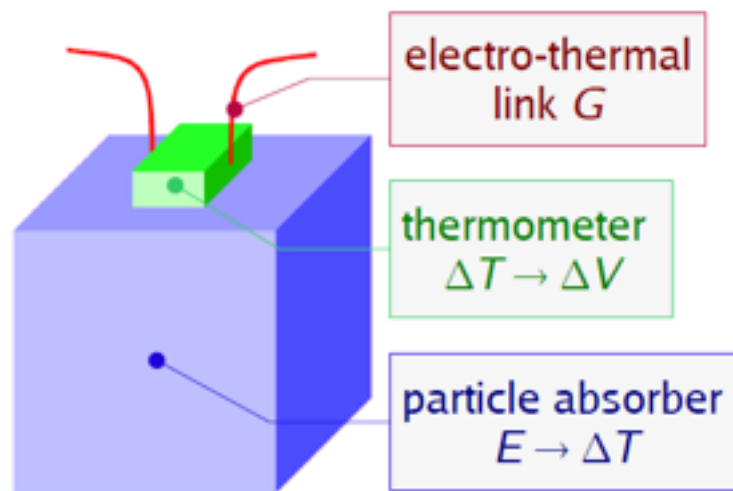
$$\dot{N} \sim (Q_{EC} - E_C)^2 \sum_i |U_{ei}|^2 \sqrt{1 - \frac{m_{\nu i}^2}{(Q_{EC} - E_C)^2}} \sum_H B_H \psi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_{EC} - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



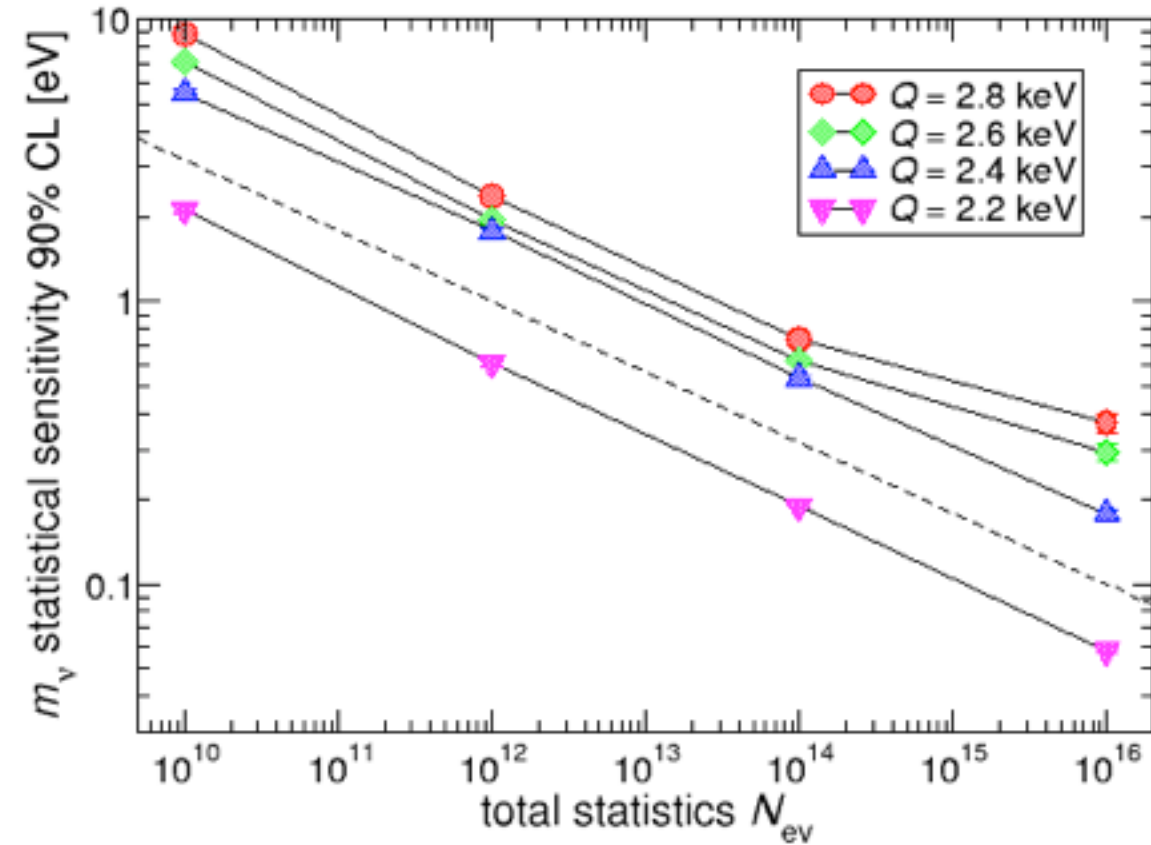
isotope  
 New ~~kid~~ on the block:  
 Electron Capture

# Advantages & Challenges

## Calorimetry



## Challenges:



## Source Activity

$N_{ev} > 10^{14}$  to reach sub-eV level

### Advantages:

- Source = detector
- No backscattering
- No molecular final state effects.
- Self-calibrating

## Detector Response

$\Delta E_{FWHM} < 10$  eV  
Trisetime  $< 1$   $\mu$ s

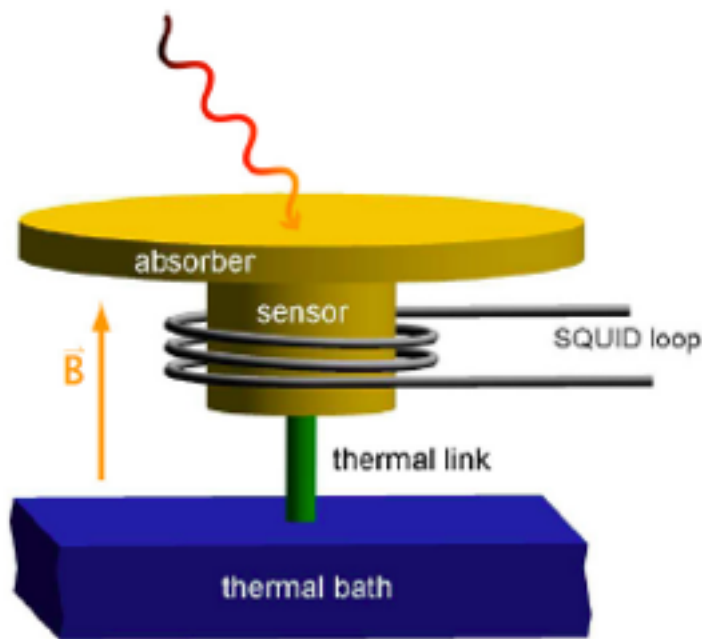
### Experimental Challenges:

- Fast rise times to avoid pile-up effects.
- Good energy resolution & linearity
- Sufficient isotope production

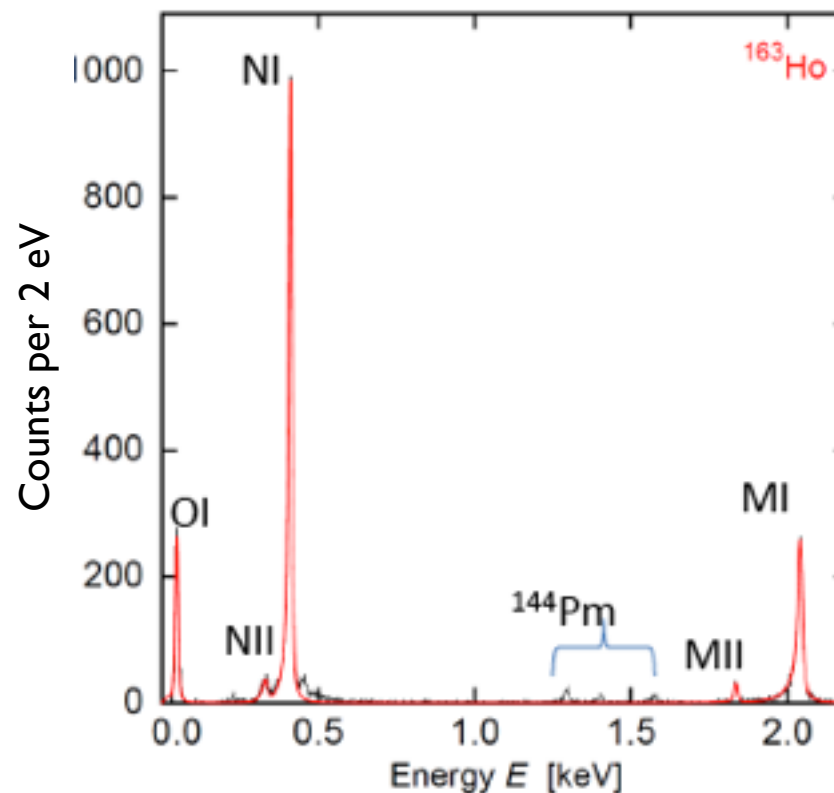
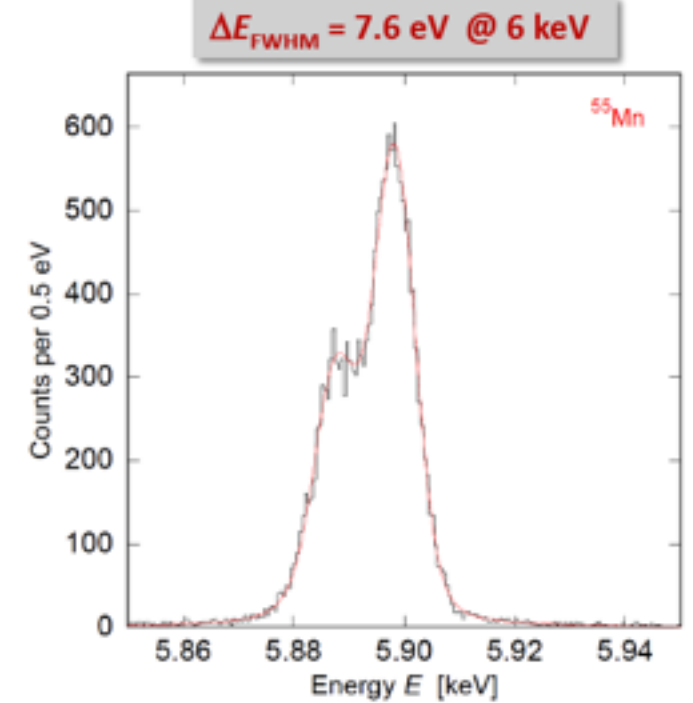
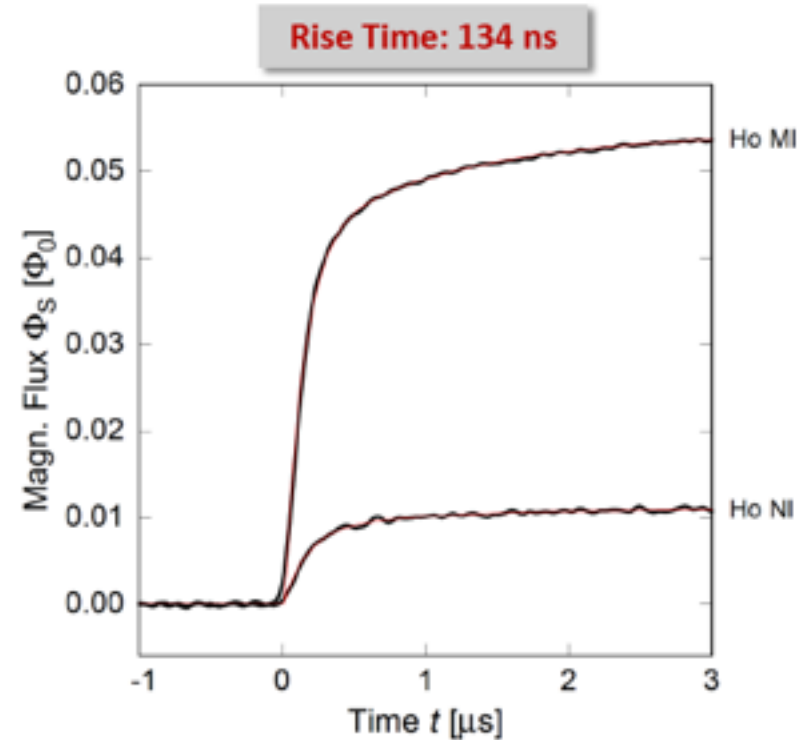


# The ECHO Experiment

## Technology:



**Metallic Magnetic Calorimeters**



- The ECHO experiment uses metallic magnetic calorimeters to achieve goals.
- Fast rise times and good energy resolutions and linearity demonstrated.
- Endpoint measured at  $2.80 \pm 0.08 \text{ keV}$ .

# Project 8

Coherent radiation emitted can be collected and used to measure the energy of the electron in non-destructively.

## PROJECT 8

Frequency Approach



I. I. Rabi



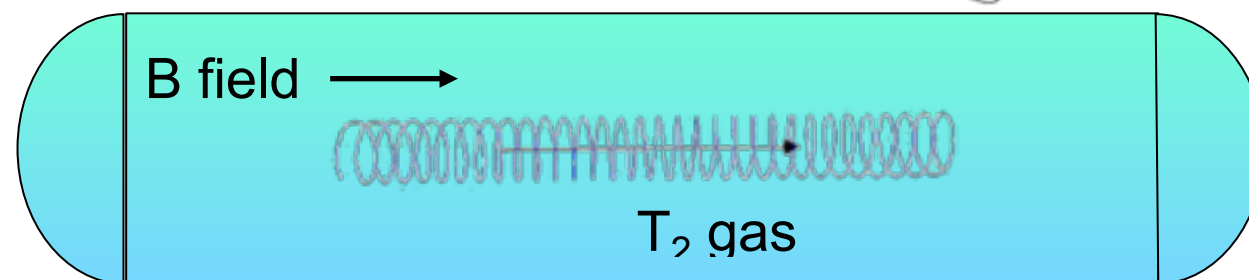
A. L. Schawlow

“Never measure anything but frequency.”

- Use cyclotron frequency to extract electron energy.

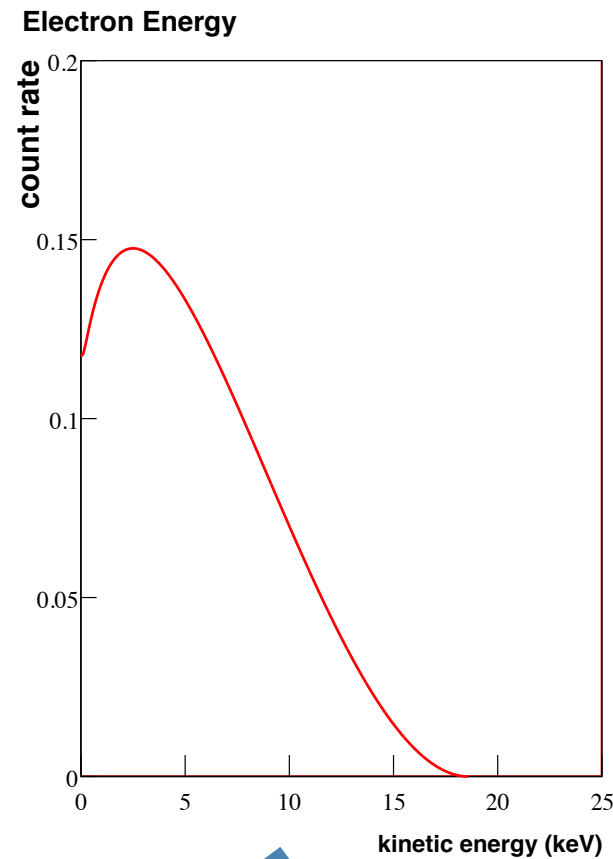
$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$

- Non-destructive measurement of electron energy.



# Unique Advantages

- **Source = Detector**  
(no need to separate the electrons from the tritium)
- **Frequency Measurement**  
(can pin electron energies to well-known frequency standards)
- **Full Spectrum Sampling**  
(full differential spectrum measured at once, large leverage for stability and statistics)

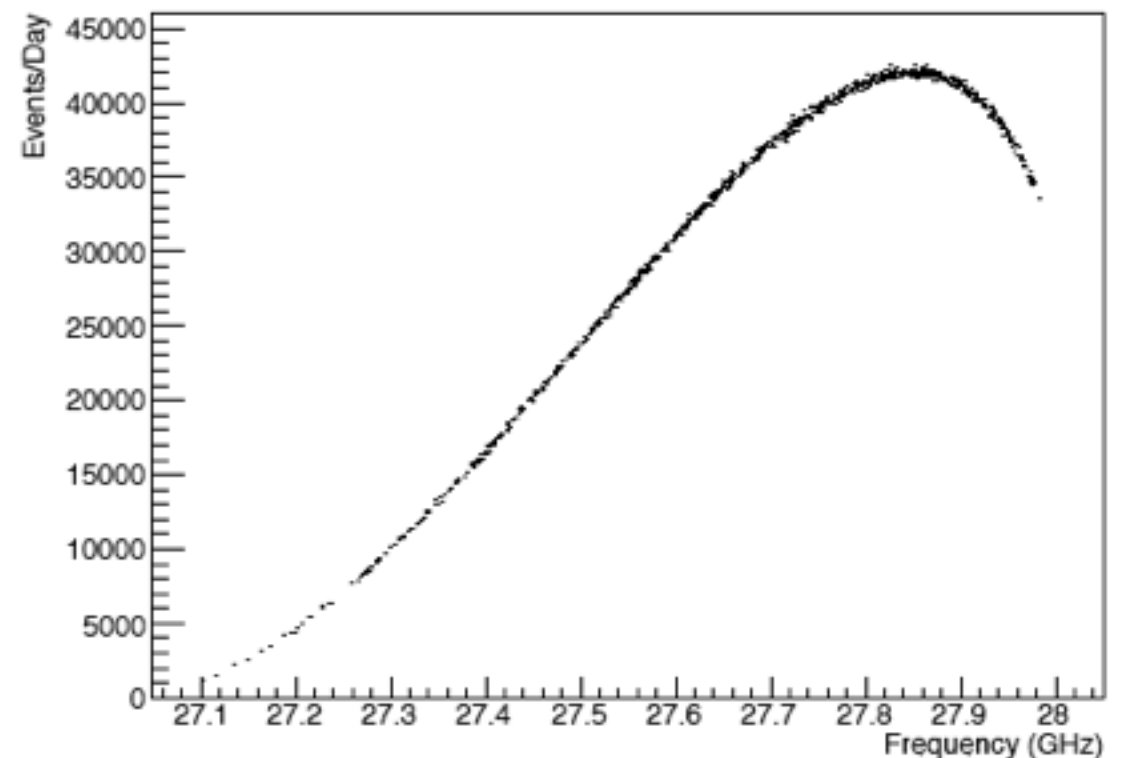


Beta spectrum



Cyclotron Frequency

$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$



Beta (frequency) spectrum



# ...and Challenges

## ⊙ Power Emitted

Less than 1 fW of power radiated (depends on antenna geometry) is challenging.

## ⊙ Confinement Period

One needs time to make sufficiently accurate measurement ( $> 10 \mu\text{s}$ ).

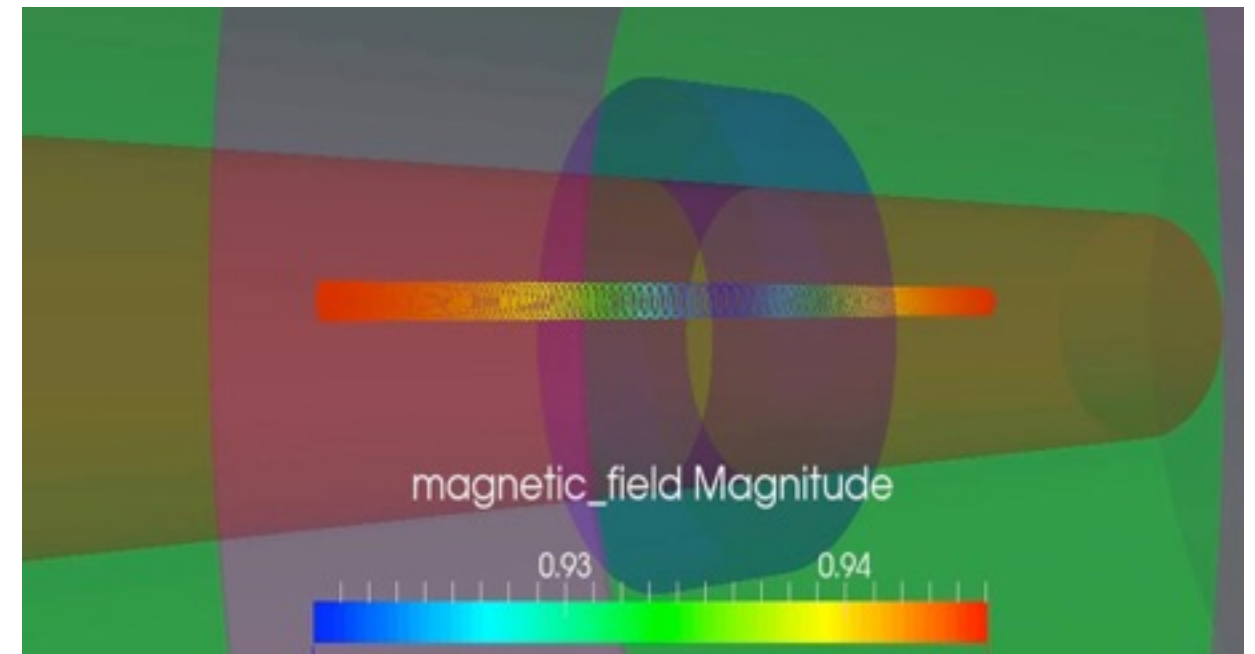
Employ magnetic bottle for trapping.

## ⊙ Full Spectrum

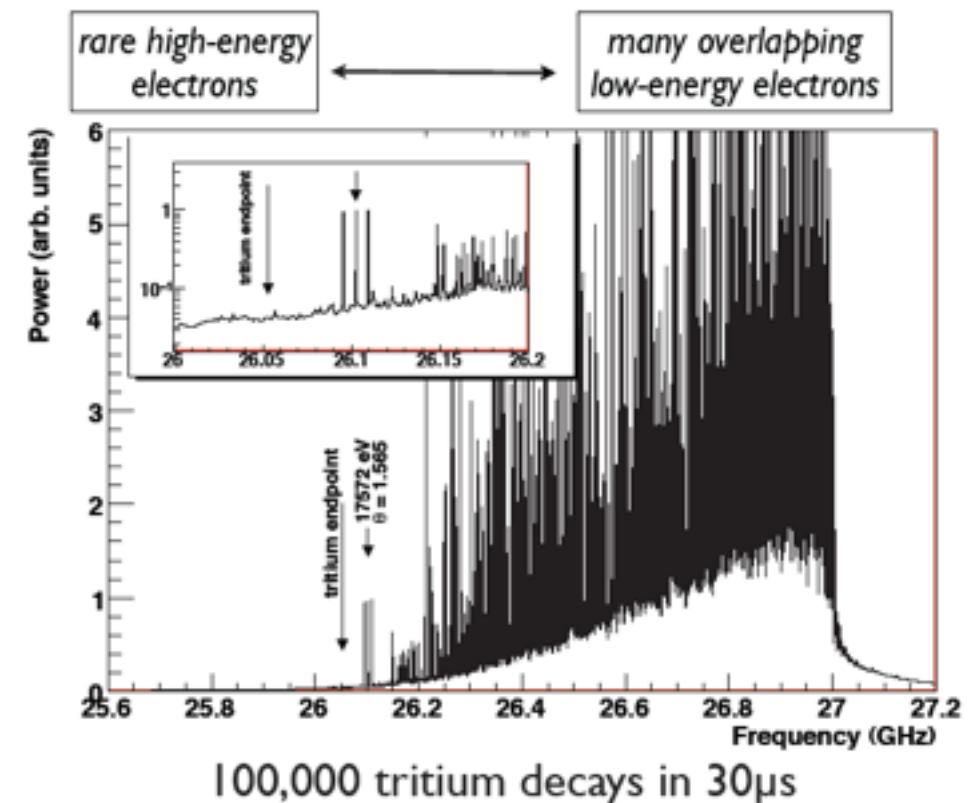
The full spectrum is available. Fortunately, linearity of frequency space helps separate regions of interest.

$$P_{\text{tot}}(\beta_{\parallel}, \beta) = \frac{1}{4\pi\epsilon_0} \frac{2e^2\omega_0^2}{3c} \frac{\beta_{\parallel}^2}{1 - \beta^2}$$

(Free) Radiative Power Emitted

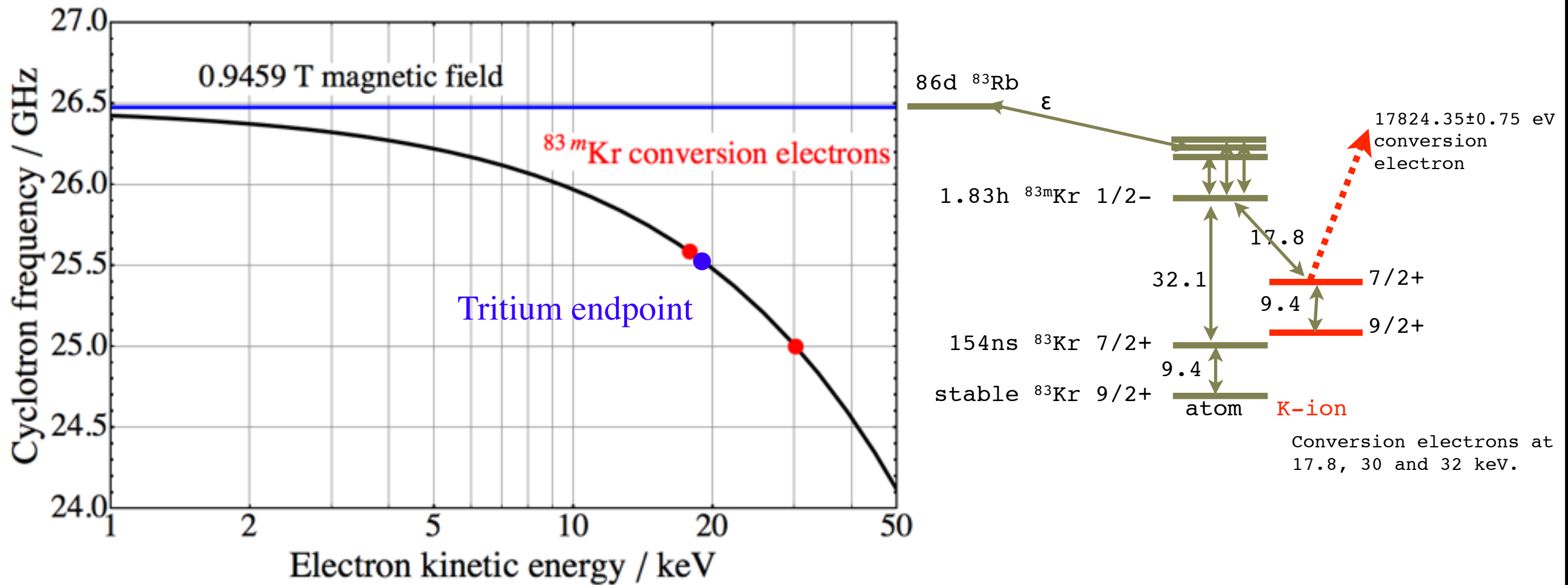


Simulation of electron motion in magnetic bottle



Simulation of beta (frequency) spectrum

# Initial Demonstration: $^{83m}\text{Kr}$

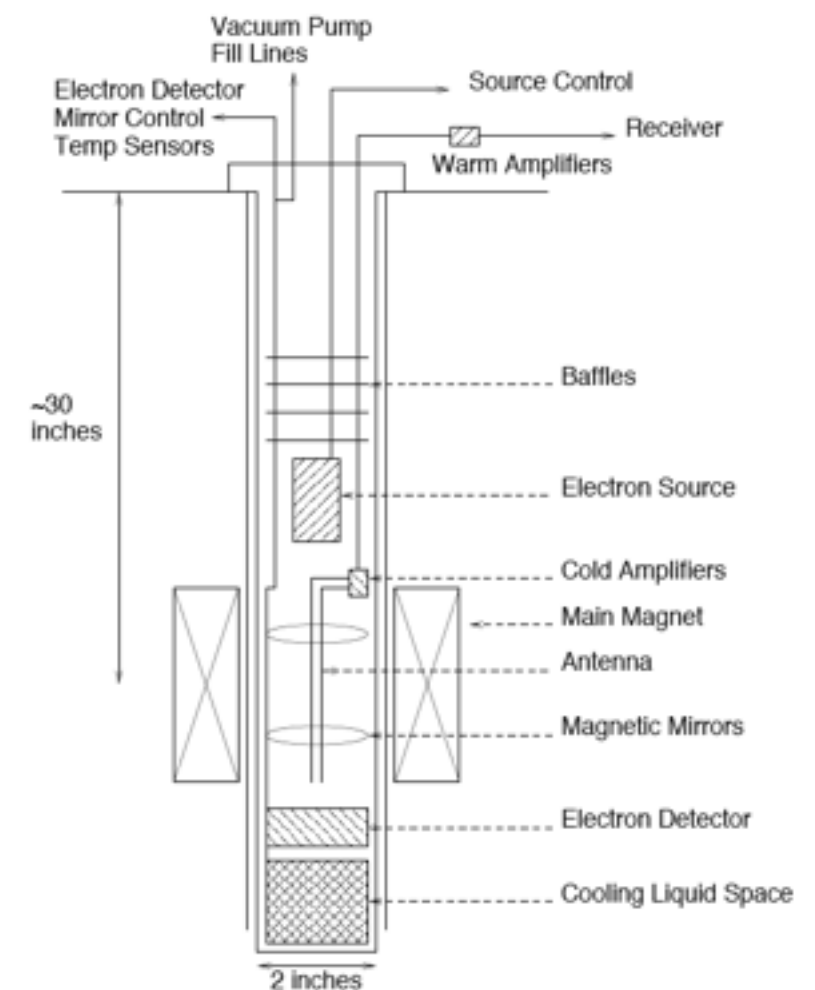


**Phase I** : Use mono-energetic source to determine single electron detection.

Use of standard gaseous  $^{83m}\text{Kr}$  source allows quantification of energy resolution and linearity.

# Basic Layout of Phase I

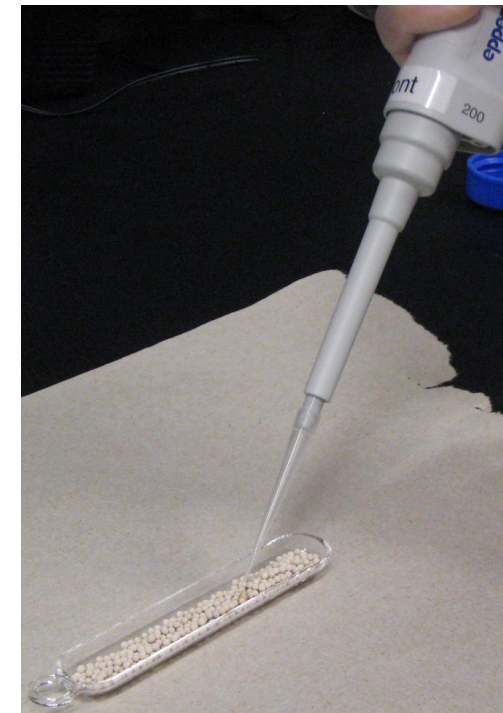
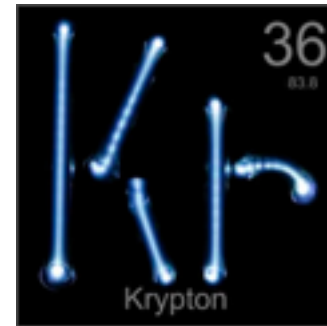
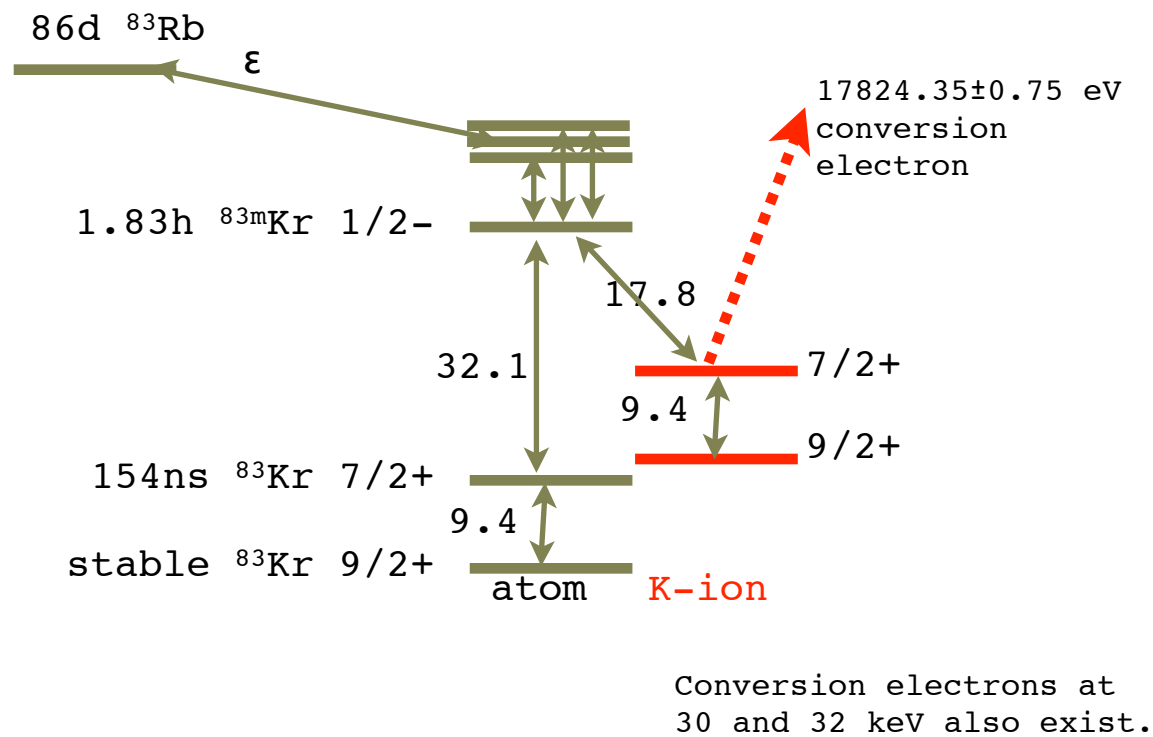
- ① **Gas/Electron System**  
Provides mono-energetic electrons for signal detection.
- ② **Magnet System**  
Provides magnetic field and trapping of electrons.
- ③ **RF Detection/Calibration System**  
Detection of microwave signal.



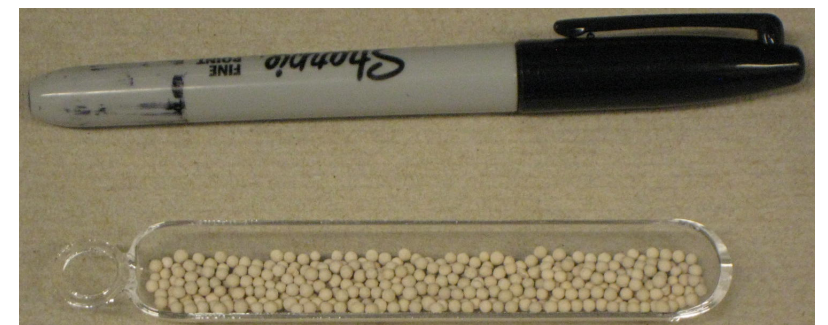


# The Electron Source

## Initial Demonstration Source: $^{83m}\text{Kr}$



Zeolite loading



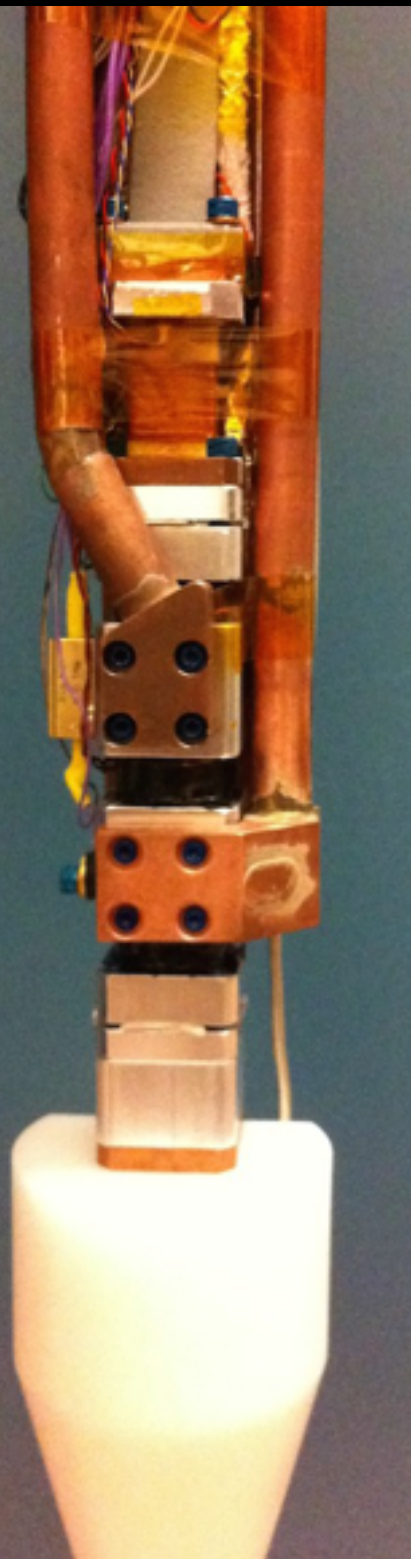
Mono-energetic gaseous electron source

Collaboration taking a phased approach to understand the scaling and systematics of the experiment.

First phase (single electron detection) requires single electron detection.

Using  $^{83m}\text{Kr}$  ( $^{83}\text{Rb}$  implanted in zeolite beads) as source

# The Apparatus



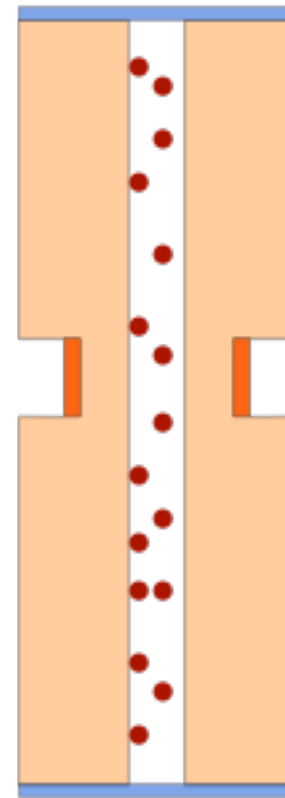
Copper waveguide

Kr gas lines

Magnetic bottle coil

Gas cell

Test signal injection port



B-Field trap profile

Waveguide  
Cut-away

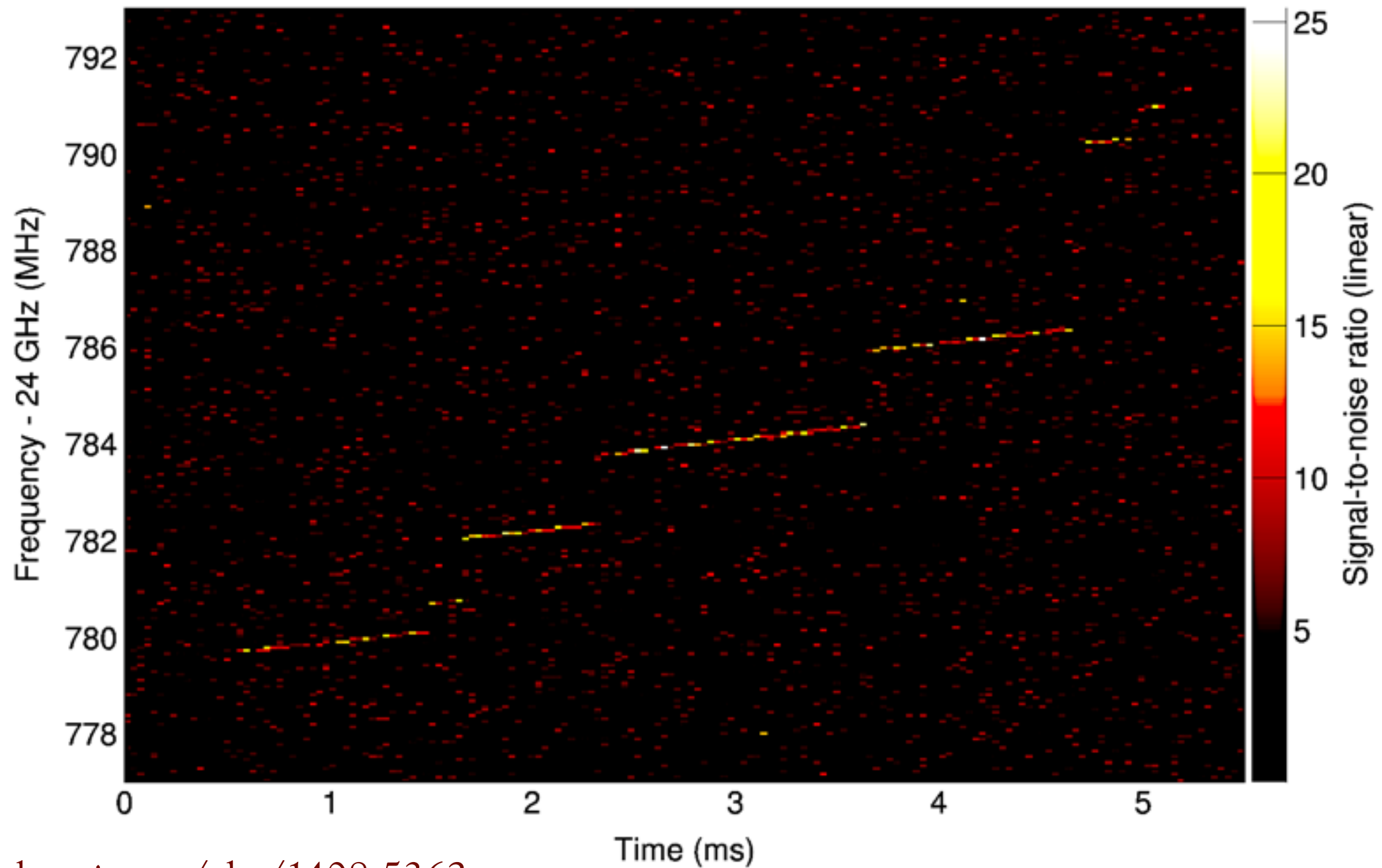


Photo of apparatus

Cyclotron frequency coupled directly to standard waveguide at 26 GHz, located inside bore of NMR 1 Tesla magnet.

Magnetic bottle allows for trapping of electron within cell for measurement.

# Project 8 "Event Zero"



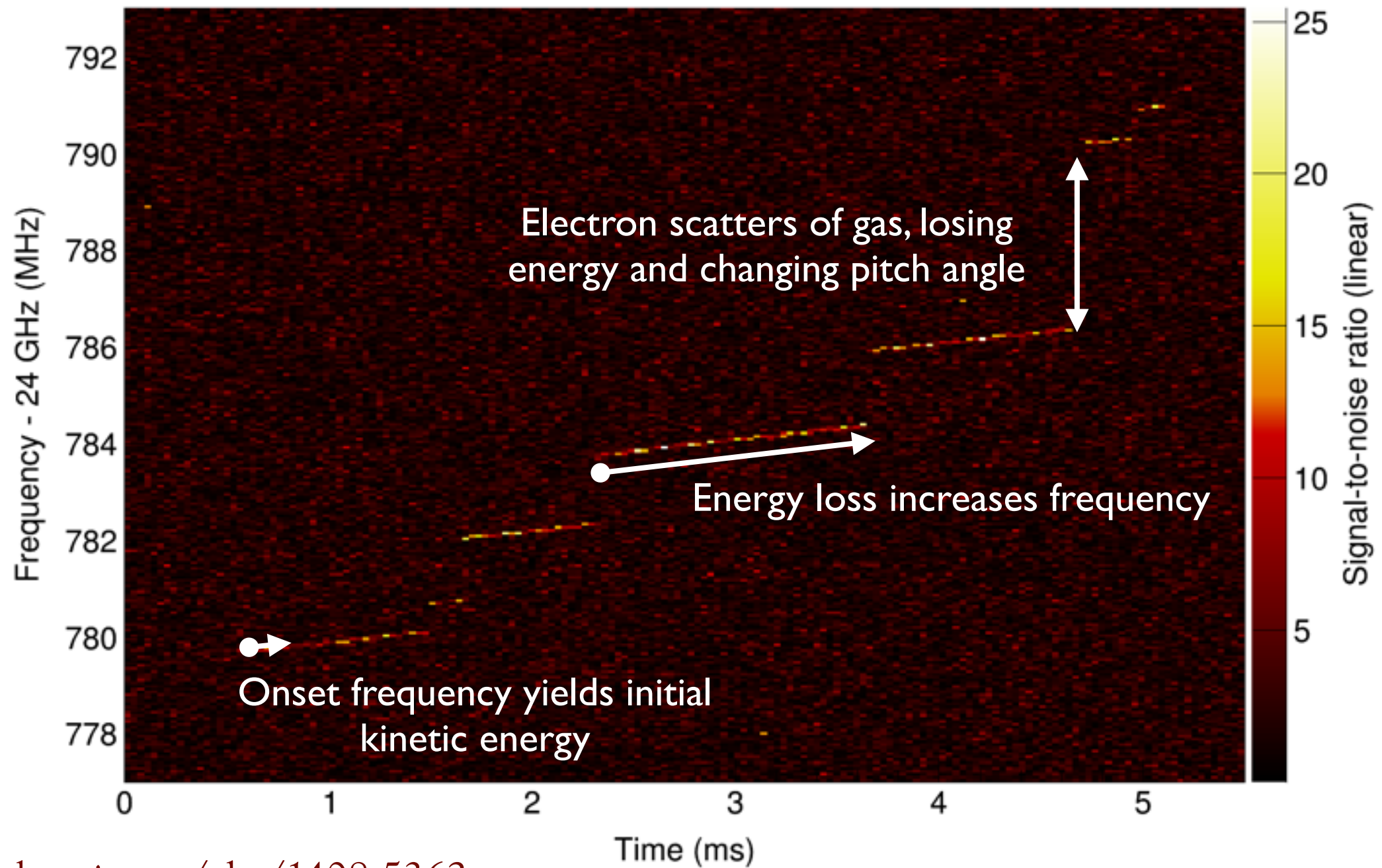
<http://lanl.arxiv.org/abs/1408.5362>

First detection of single-electron cyclotron radiation.

Data taking on June 6th, 2014 immediately shows trapped electrons.



# Project 8 "Event Zero"

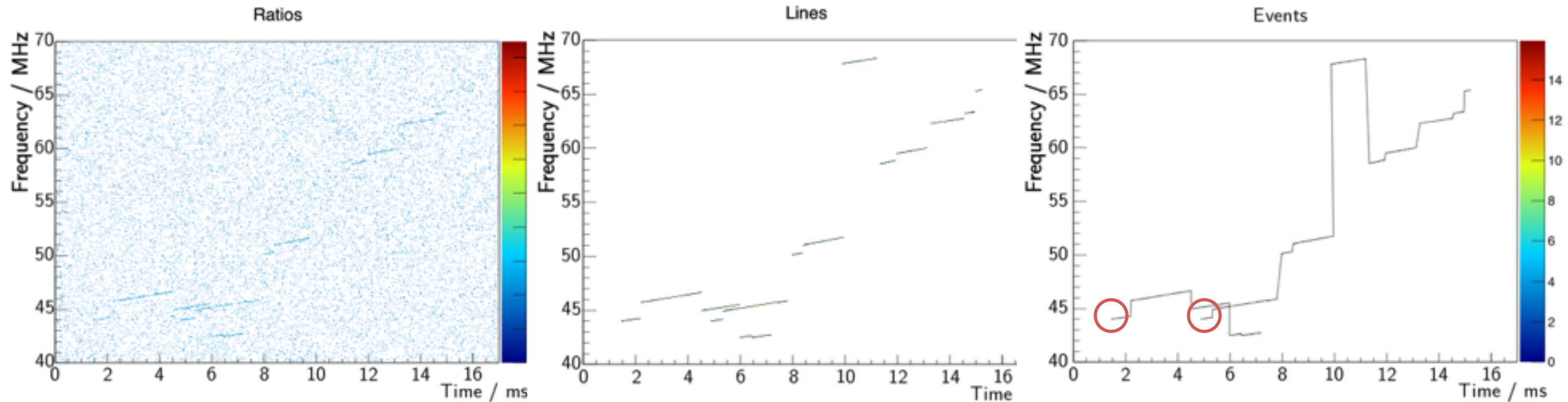


<http://lanl.arxiv.org/abs/1408.5362>

First detection of single-electron cyclotron radiation.

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# Image Reconstruction & Energy Resolution

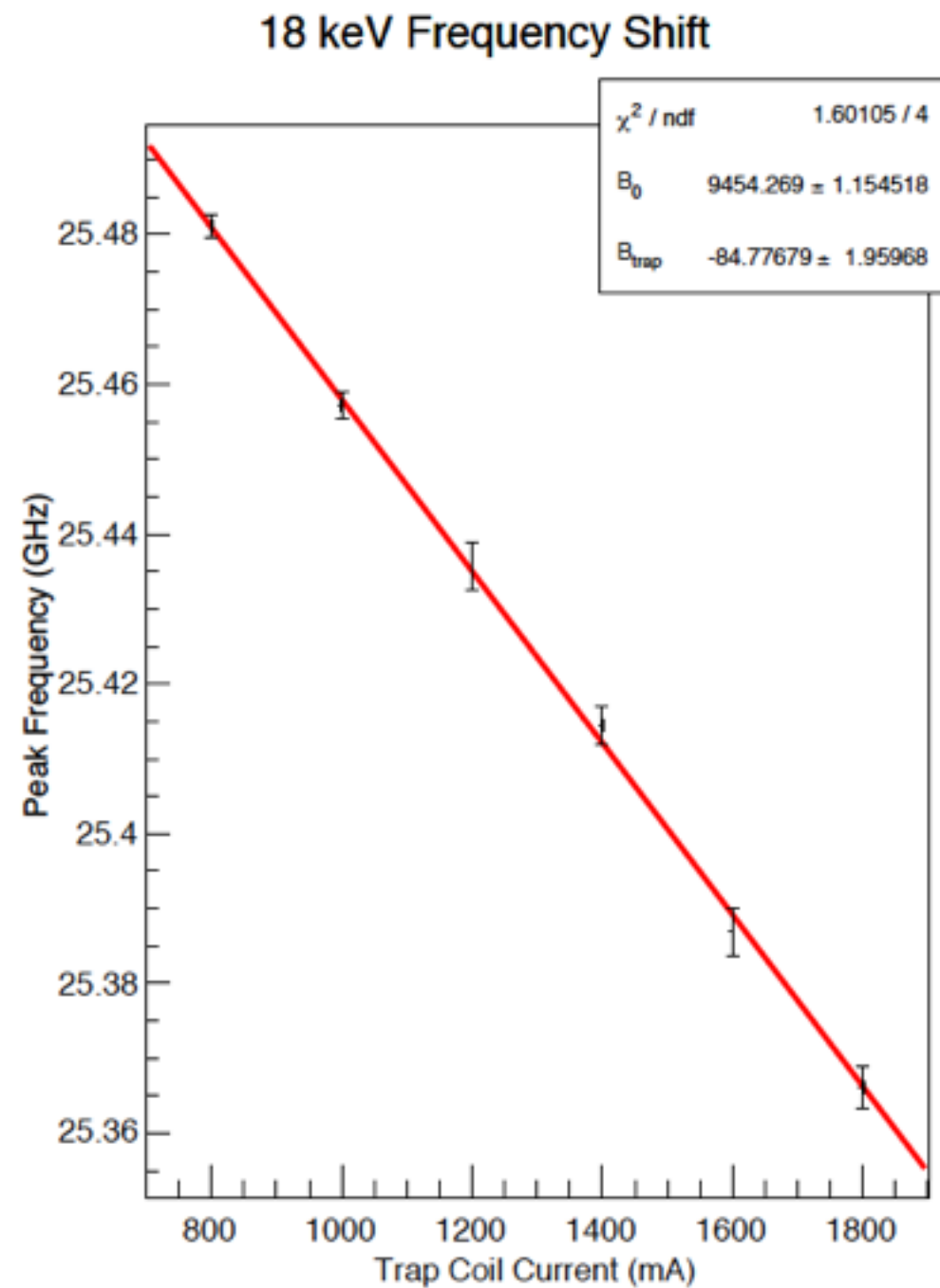
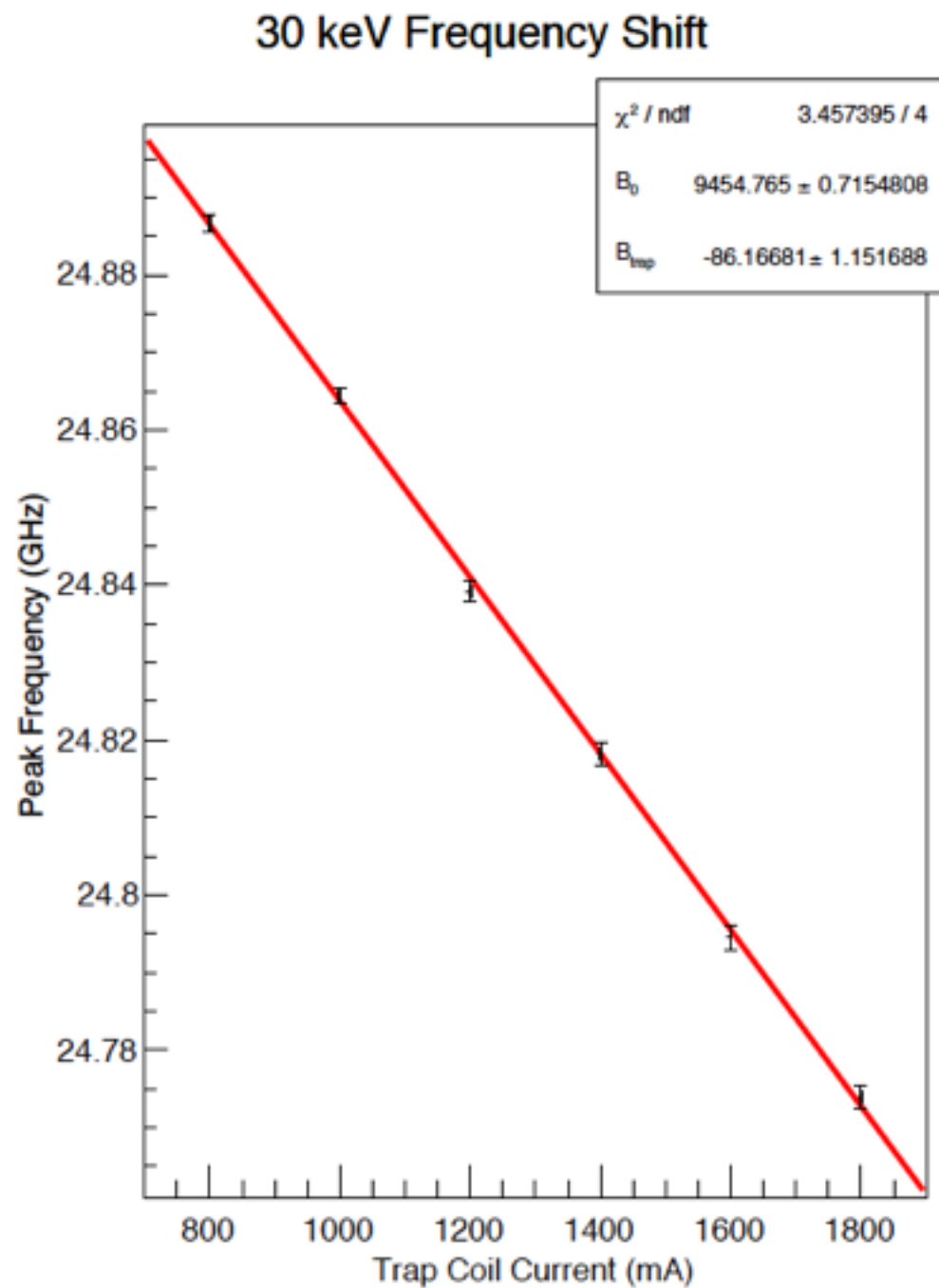


clusters above threshold turn into... tracks, which in turn become... ...events

Cyclotron Radiation Emission Spectroscopy (CRES) allows extraction of many details from trapped electrons (energy, resolution, confinement time, etc.)

Reduces to an image analysis for event characterization.

# Trap Dependence

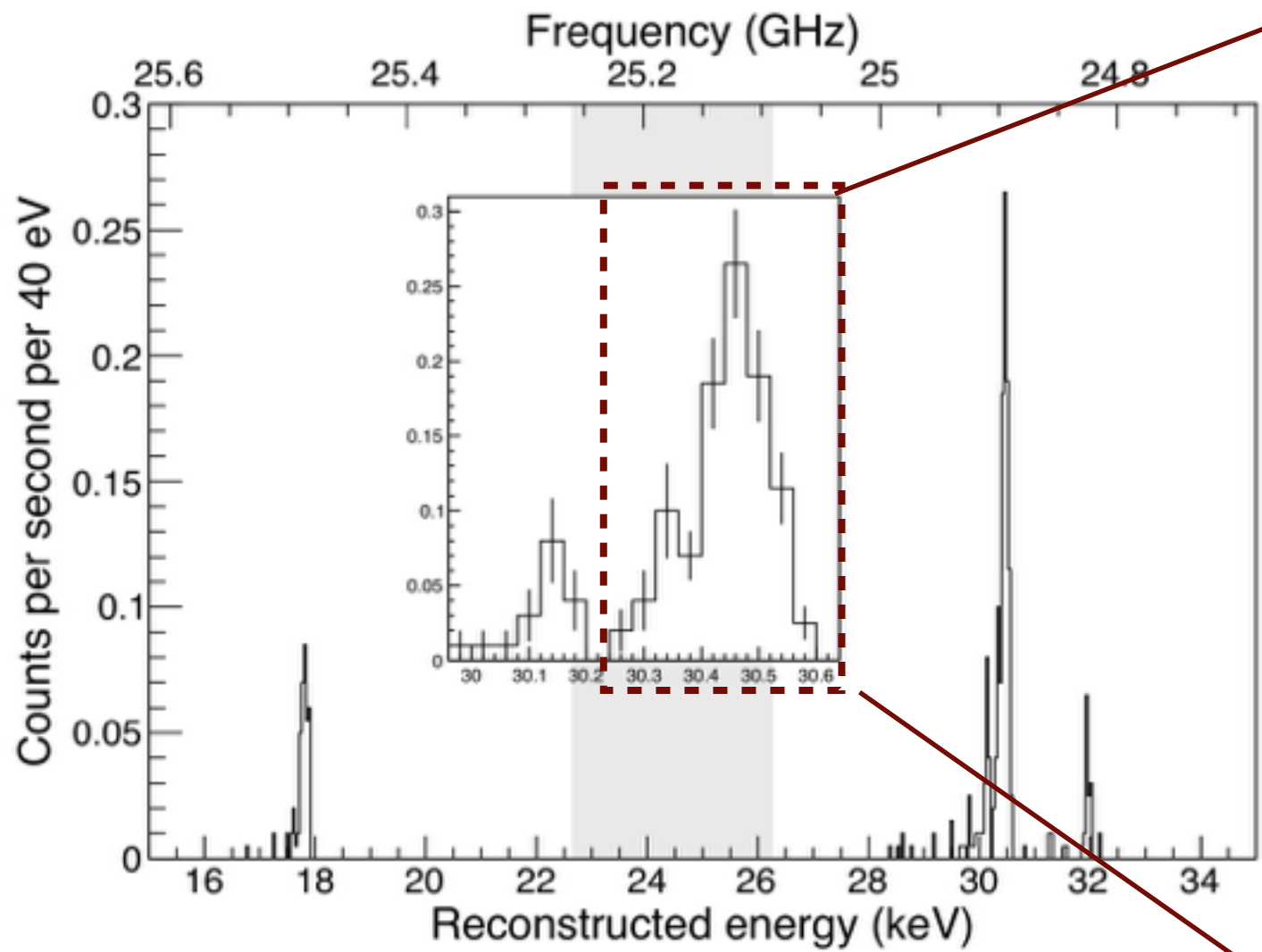


Dependence on trap parameters well understood.

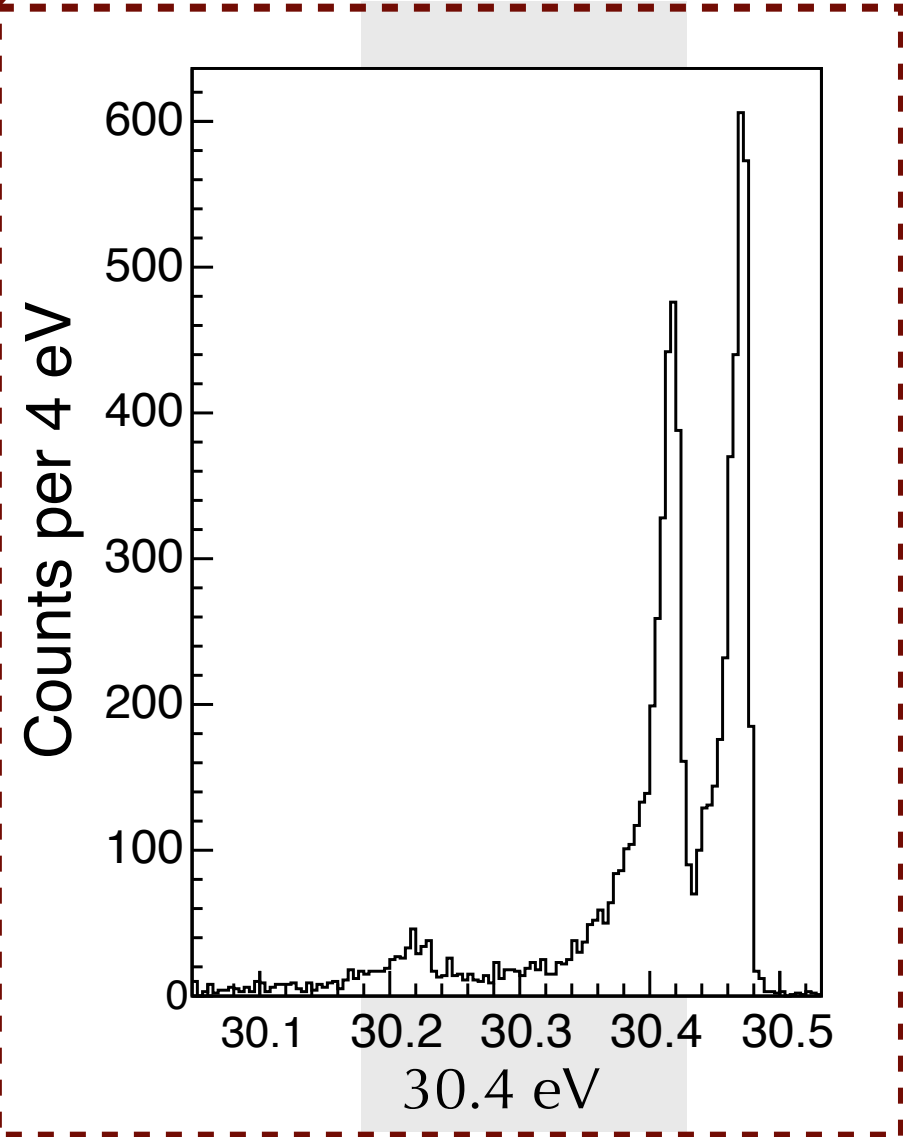
Can be used to determine baseline field strength.



# Image Reconstruction & Energy Resolution



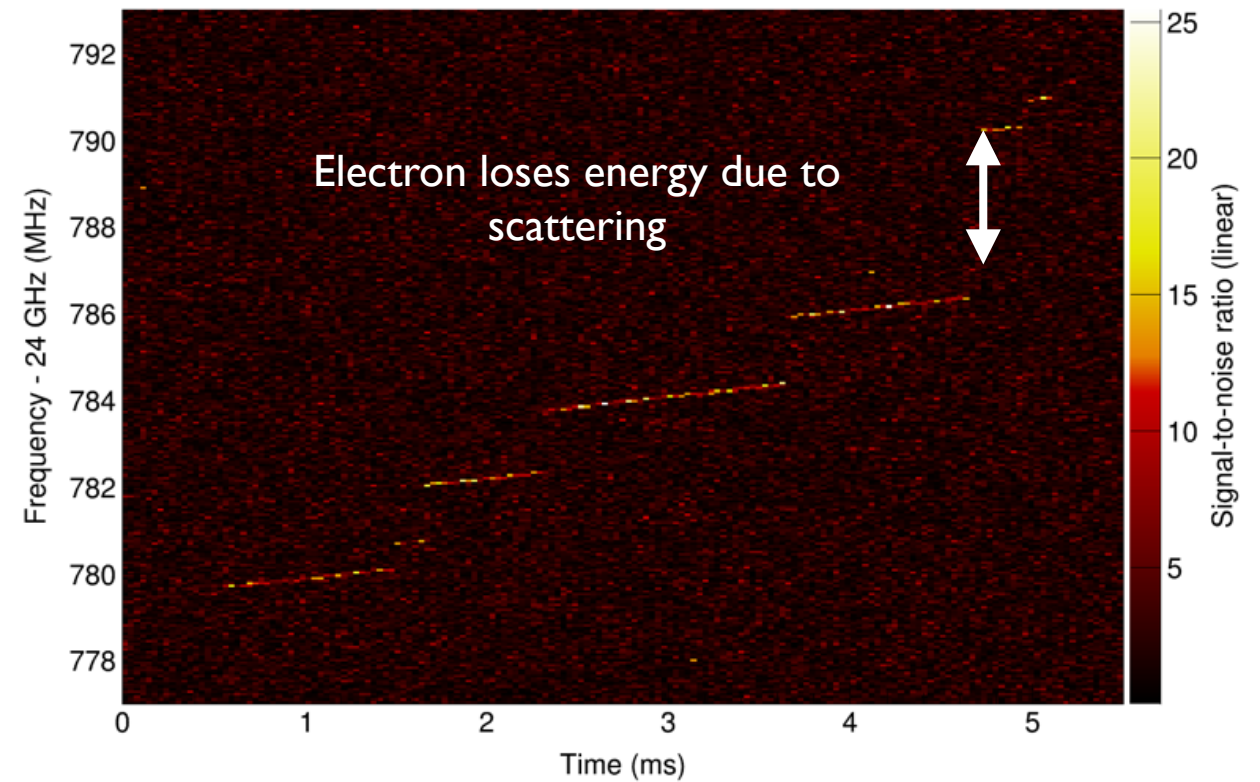
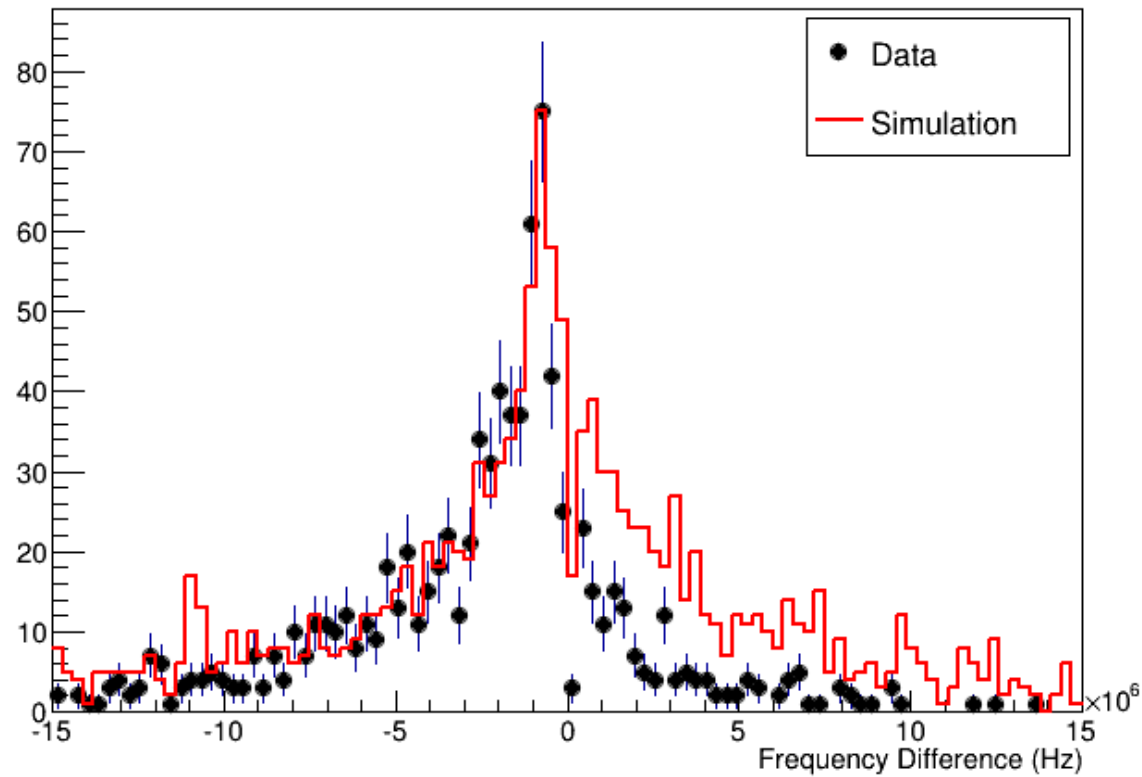
FWHM ~ 140 eV



Already improving...  
(FWHM ~15 eV)

Event reconstruction from image reconstruction allows detailed analysis  
(energy & scattering all extractable)

# Image Reconstruction & Energy Resolution



Peak at  $\sim 14$  eV

consistent with  
 $e^-$   $H_2$  scattering

Event reconstruction from image reconstruction allows detailed analysis

(energy & scattering all extractable)

# A Phased Approach

Given the novelty of the project, we are pursuing a phased approach toward neutrino mass measurements:

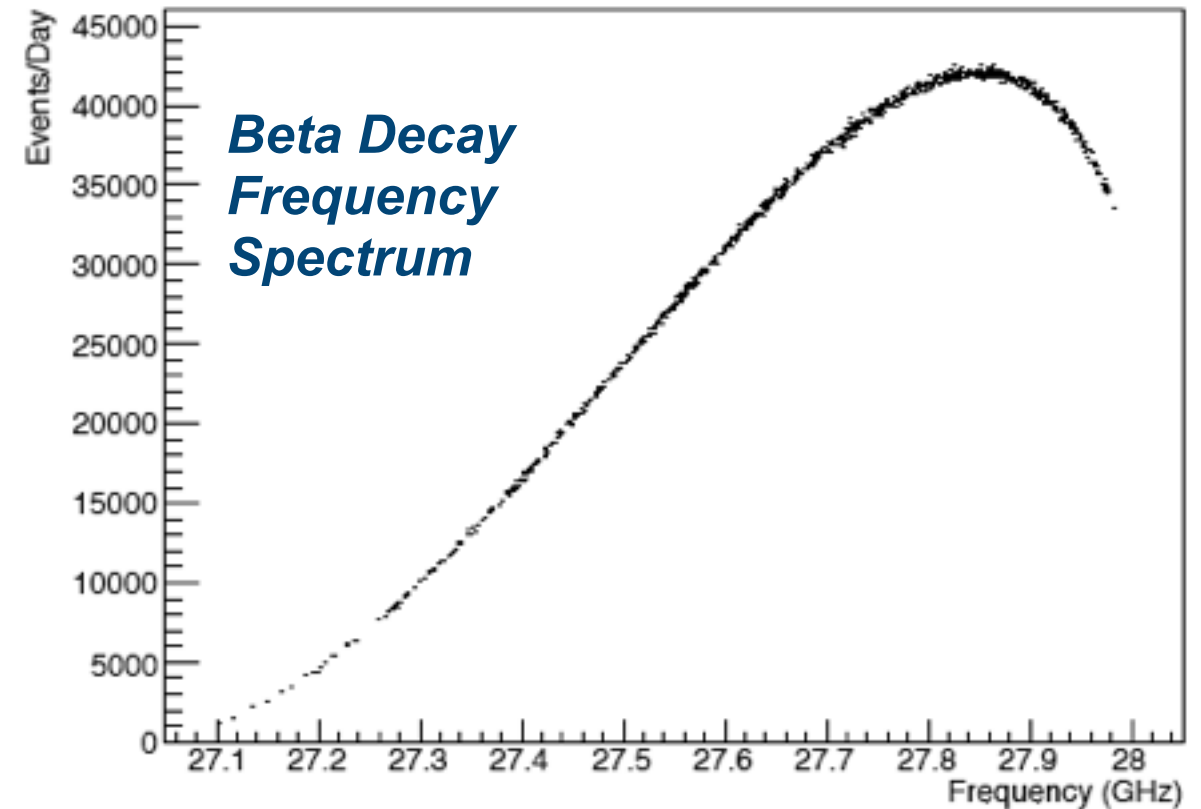
	Timeline	Scientific Goal	Source	R&D Milestone
Phase I	2010-2014	Proof of principle; Kr spectrum	$^{83}\text{mKr}$	Single electron detection <b>DONE!</b>
Phase II	2014-2016	T-He mass difference	$\text{T}_2$	Tritium spectrum; calibration and error studies
Phase III	2016-2018	0.2 eV scale	$\text{T}_2$	
Phase IV	2018+	0.05 eV scale	$\text{T}$	High rate sensitivity

We have commenced Phase I, we are designing Phase II



# Sensitivity to Neutrino Masses

- There are distinct advantages that are specific to frequency-based measurements:
- You get the **entire spectrum** (and background) at once.
- The background is **extremely small**:
  - There is no detector.
  - There might not even be any surfaces.
  - Cosmic ray interactions and radioactive backgrounds are interacting with a gas, very little target material.



$$\frac{dN}{dE_e} = 3rt(E_0 - E_e)[(E_0 - E_e)^2 - m_\nu^2]^{1/2}$$

Mass sensitivity depends on:

Target activity (volume x density)

Background

Field homogeneity

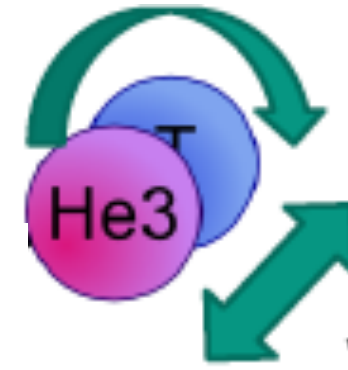
Lifetime of electron in trap (density)

Final states, doppler shifts, temperature

# Moving Beyond the Degeneracy Scale

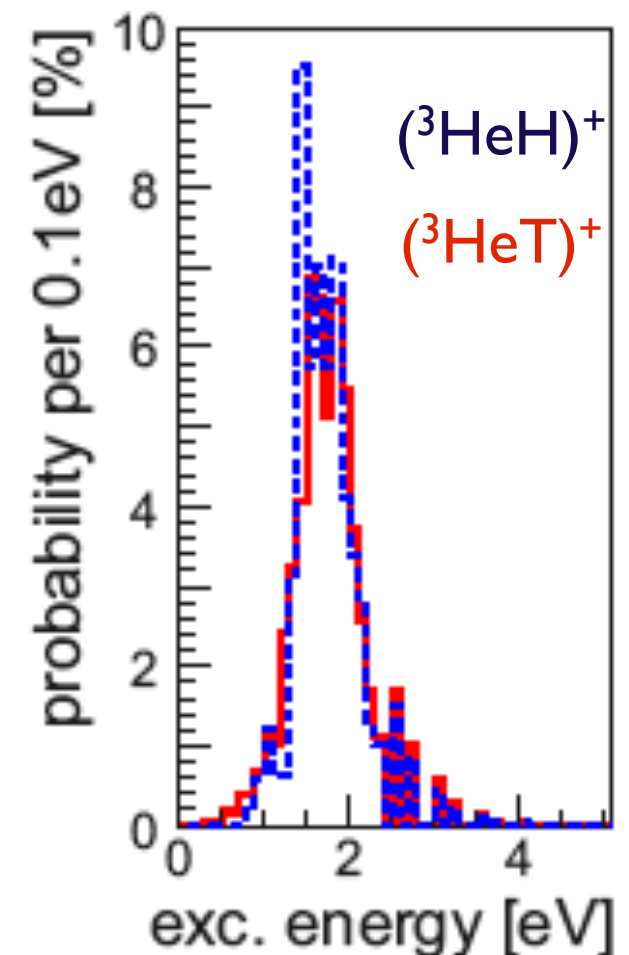
- Most effective tritium source achieved so far involves the use of gaseous molecular tritium.
- Method will eventually hit a resolution "wall" which is dictated by the rotational-vibrational states of  $T_2$ . This places a resolution limit of 0.36 eV.
- One needs to either switch to (extremely pure) atomic tritium or other isotope with equivalent yield.
- The trapping conditions necessary for electrons also lends itself for atomic trapping of atomic tritium (R. G. H. Robertson)

rotational

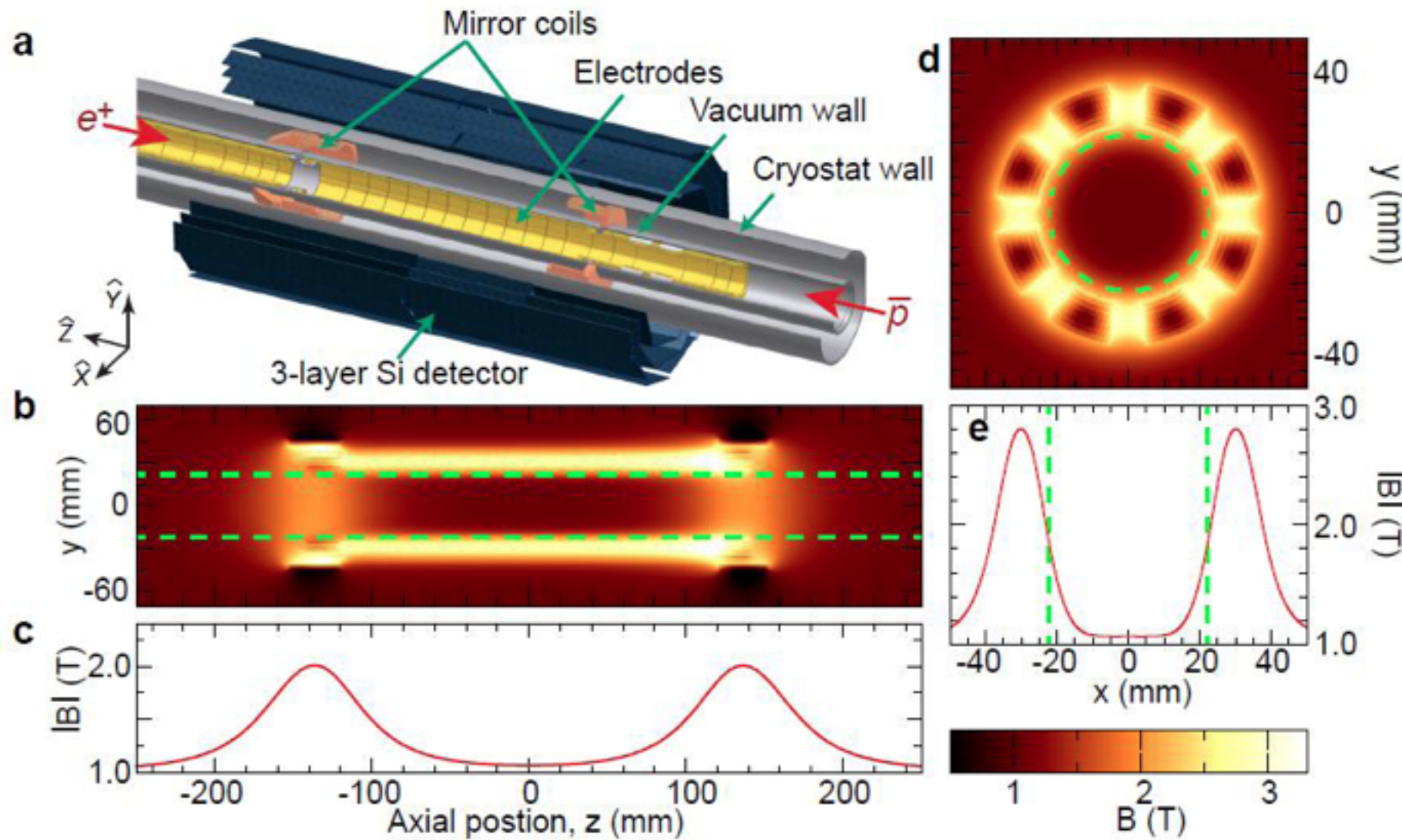


vibrational

Inherent  
0.36 eV  
final state  
smearing



# Trapping of Atomic Tritium



Similar design to anti-hydrogen trapping:

Solenoidal field for uniformity

Pinch coils for axial confinement

Ioffe multipoles for radial confinement

Cooling polarized tritium down to  $\sim 1\text{K}$  is necessary (and the main challenge)

ALPHA Collaboration: [Nature Phys.7:558-564,2011](https://doi.org/10.1038/nphys558); [arXiv 1104.4982](https://arxiv.org/abs/1104.4982)

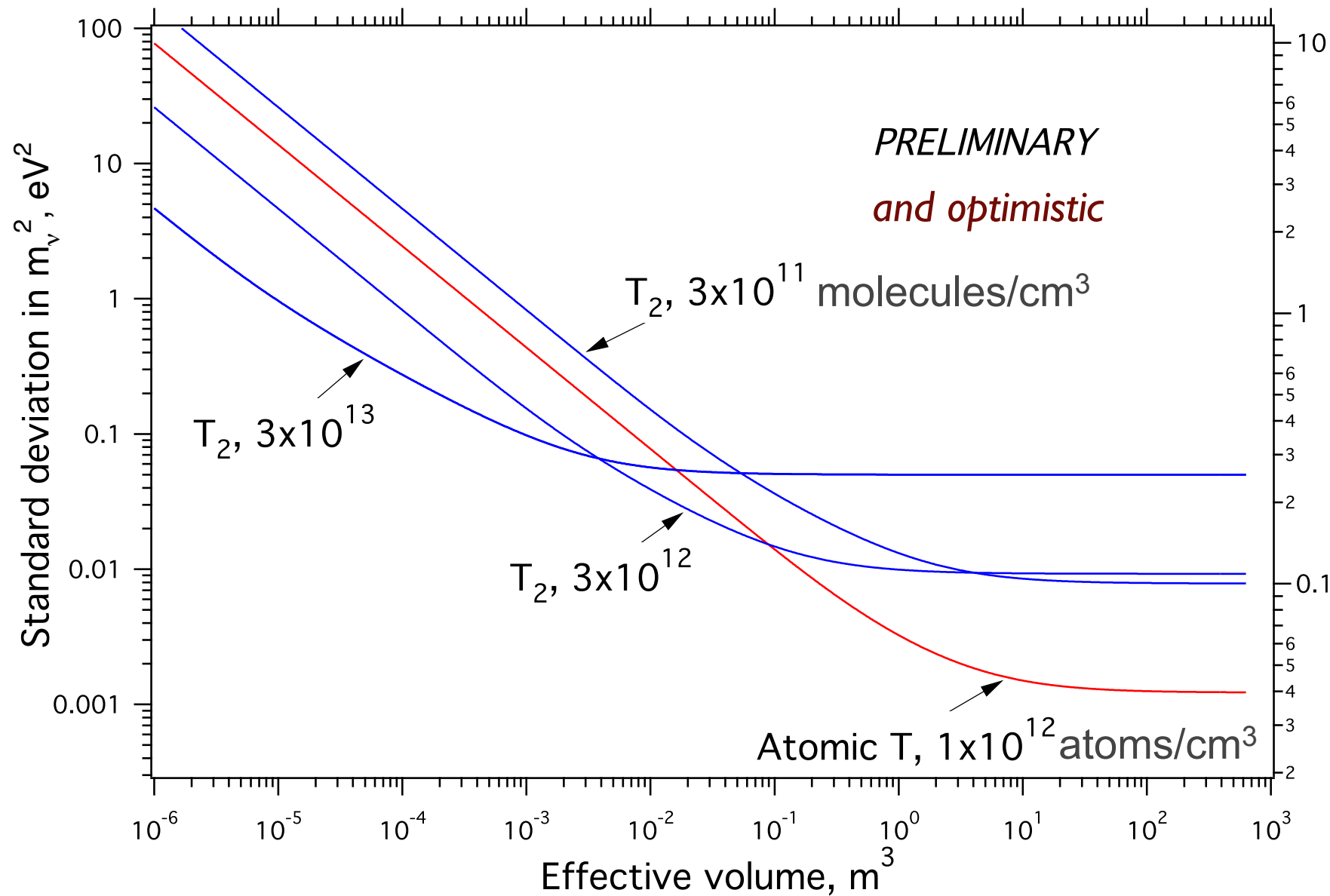
In order to achieve atomic tritium purity, it is necessary to cool and trap polarized atomic tritium in both a radial and axial magnetic trap (Ioffe-Pritchard traps).

Technique quite similar to hydrogen BEC (MIT) and anti-hydrogen trapping (ALPHA).

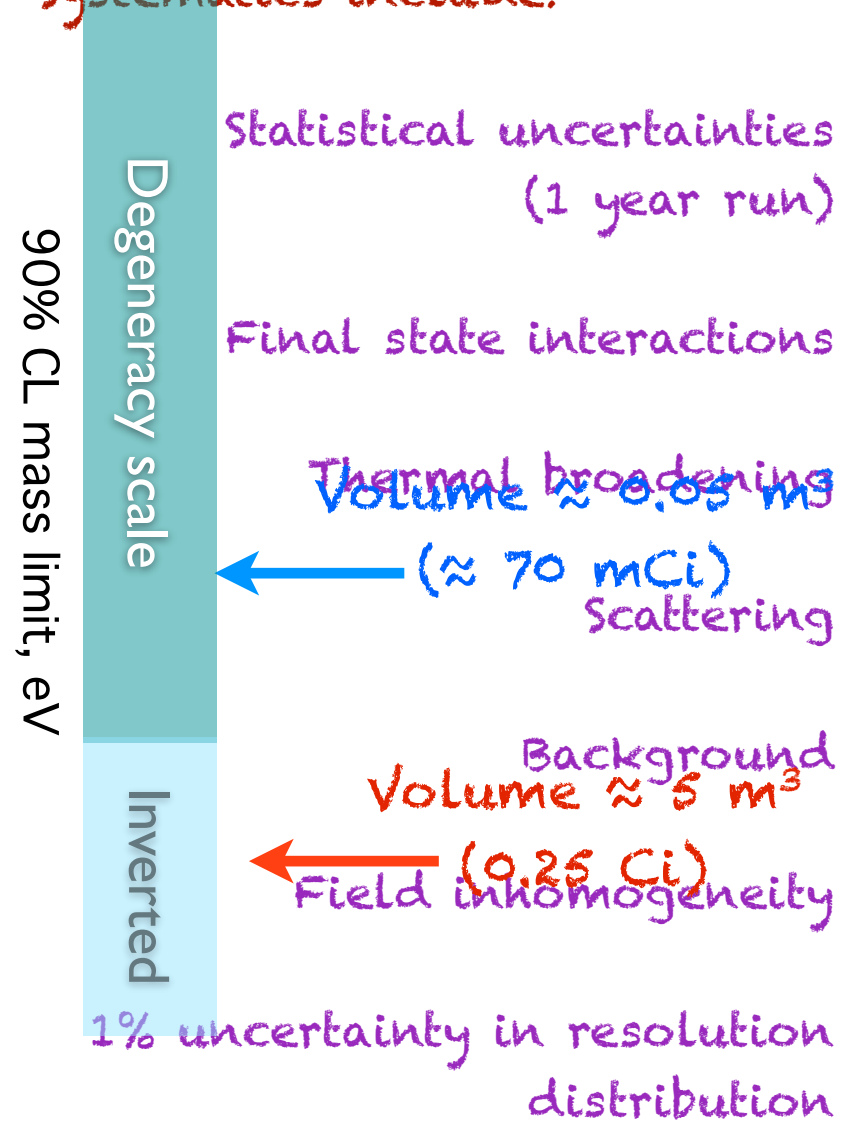
Densities low, so recombination is highly suppressed.



# Projected Sensitivity (Molecular & Atomic)

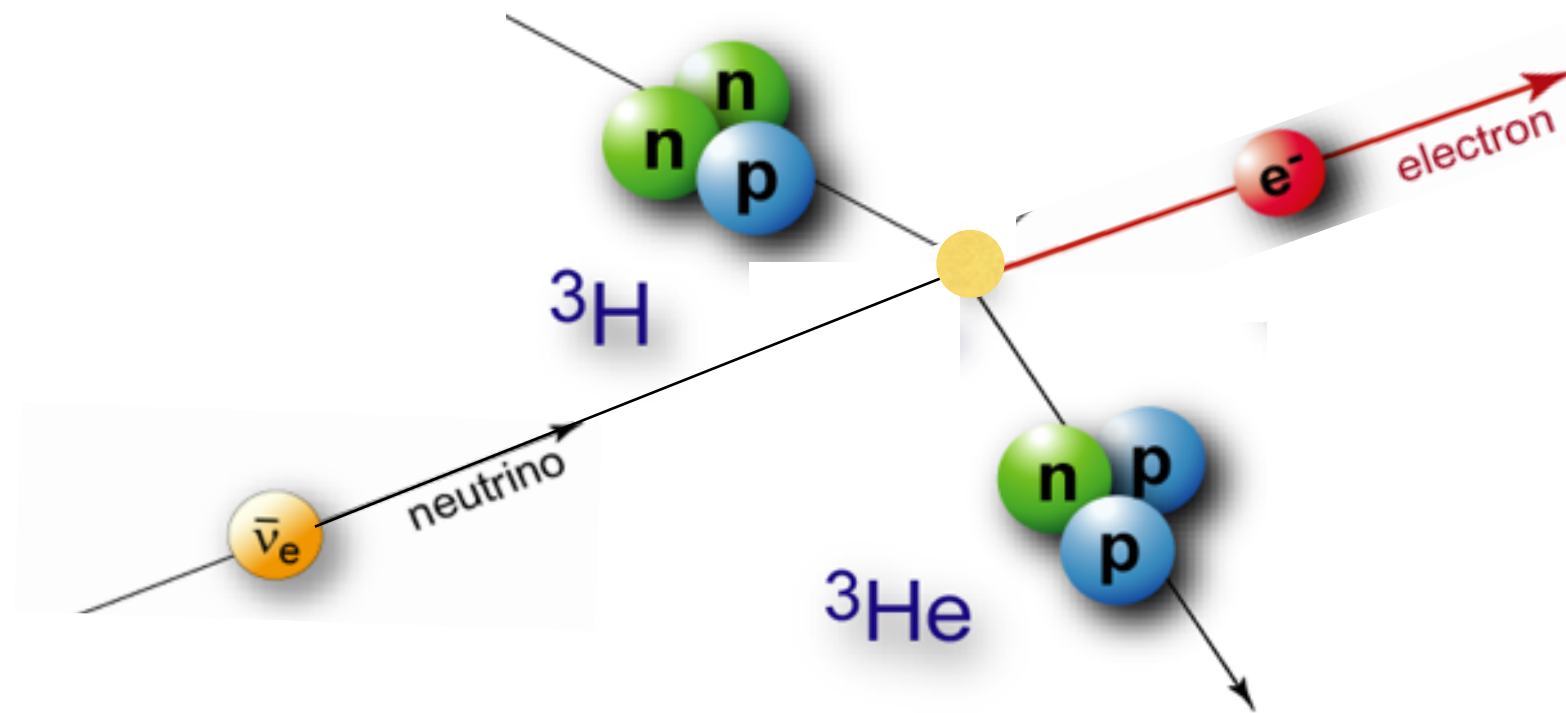


Systematics include:



Systematics include final state interactions, thermal broadening, statistical uncertainties, and scattering.

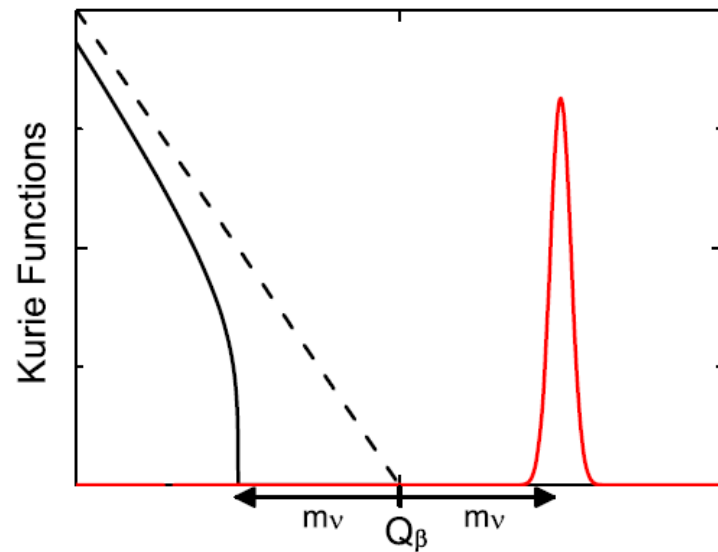
# Neutrino Capture



Kinematically allowed

Threshold-less process with beta emission at  $2m_\nu$  above threshold

# Neutrino Capture



Isotope	$Q_\beta$ (keV)	Decay type	Half-life (sec)	$\sigma_{\nu_i} \cdot \nu_{\nu_i}$ ( $10^{-41} \text{ cm}^2$ )
$^3\text{H}$	18.591	$\beta^-$	$3.8878 \times 10^8$	$7.84 \times 10^{-4}$
$^{63}\text{Ni}$	66.945	$\beta^-$	$3.1588 \times 10^9$	$1.38 \times 10^{-6}$
$^{93}\text{Zr}$	60.63	$\beta^-$	$4.952 \times 10^{13}$	$2.39 \times 10^{-10}$
$^{106}\text{Ru}$	39.4	$\beta^-$	$3.2278 \times 10^7$	$5.88 \times 10^{-4}$
$^{107}\text{Pd}$	33	$\beta^-$	$2.0512 \times 10^{14}$	$2.58 \times 10^{-10}$
$^{187}\text{Re}$	2.64	$\beta^-$	$1.3727 \times 10^{18}$	$4.32 \times 10^{-11}$

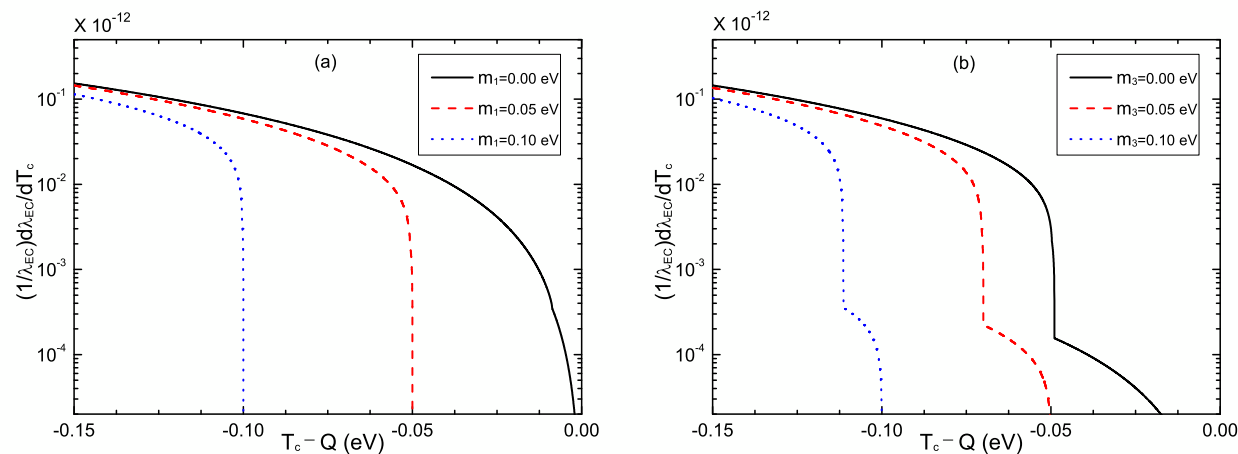


Fig. 5. The fine structure spectrum near the endpoint of the  $^{163}\text{Ho}$  EC-decay in the  $m_{31}^2 > 0$  (left panel) or  $m_{31}^2 < 0$  (right panel) case<sup>17</sup>.

Y. F. Li, arXiv:1504.03966 (2015)

Has been studied for a number of targets ( $^3\text{H}$ ,  $^{163}\text{Ho}$ ,  $^{187}\text{Re}$ ).

All require vast quantities and superb precision.

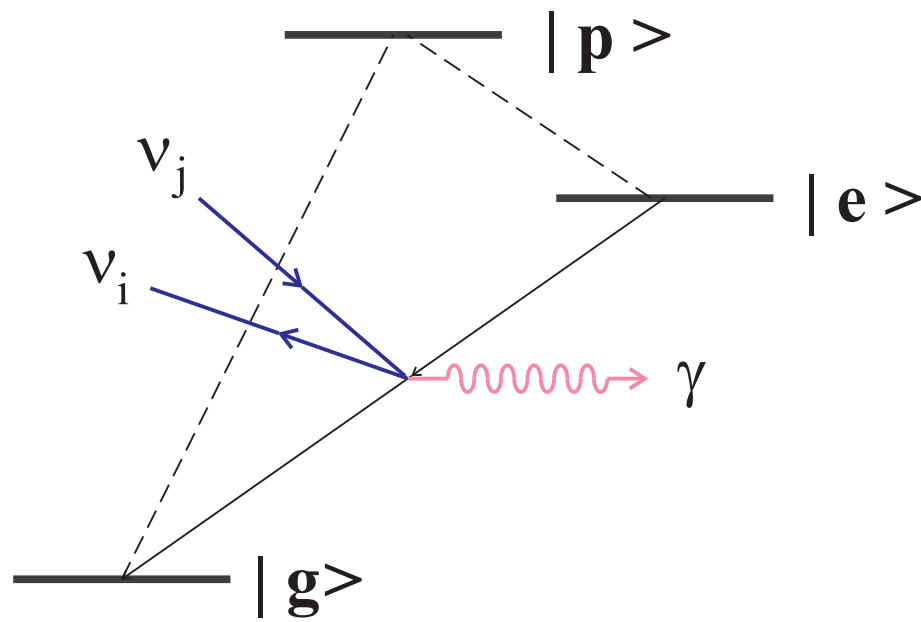
One experimental effort, Ptolemy, specifically aimed at relic neutrino detection.



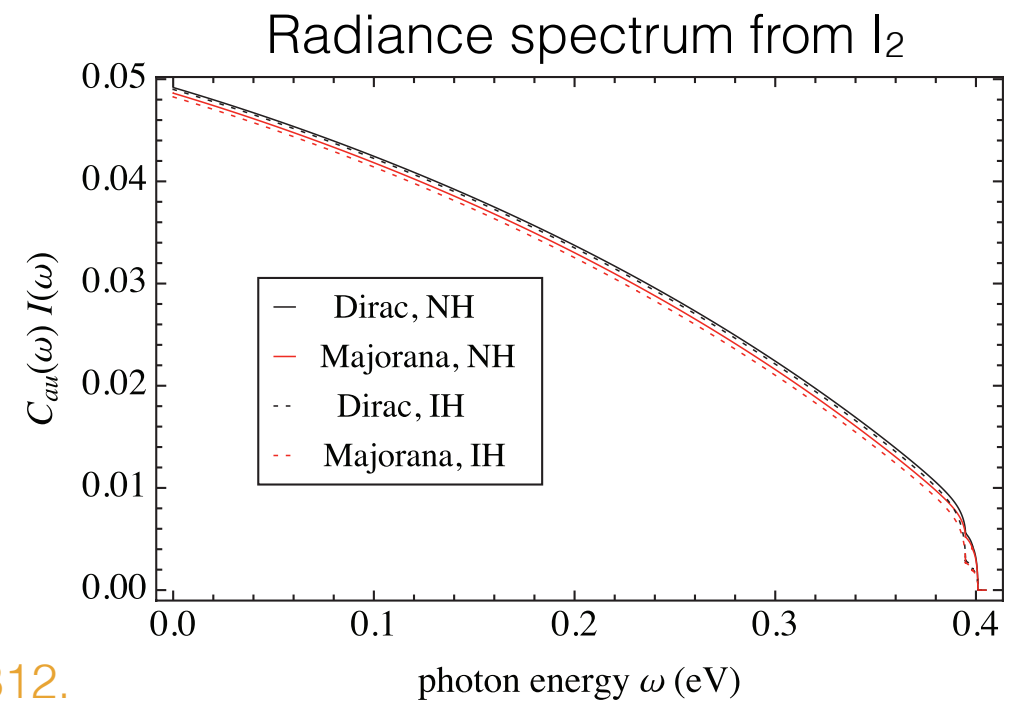
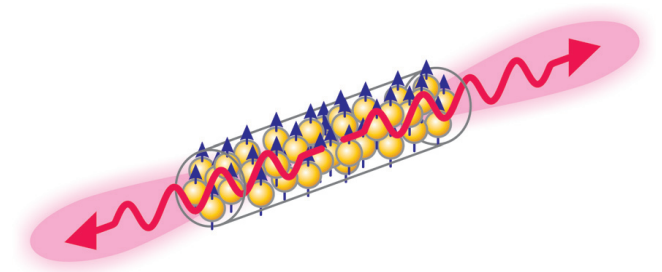
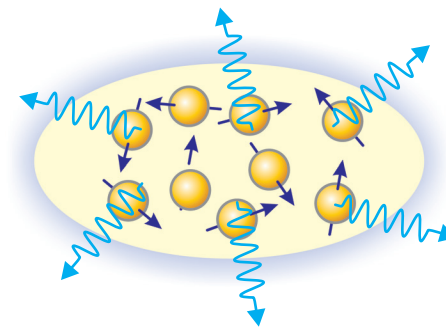
# Radiative Emission of Neutrino Pair (RENP)

Spontaneous emission (EPR)

Super-radiant emission (EPR)



Radiative Pair Emission



M. Yoshimura, N. Sasao, and M. Tanaka, Phys. Rev. A 86 (2012) 013812.

An interesting idea from Yoshimura et al to use atomic de-excitation to look at the neutrino mass spectrum.

Leverage the effect of collective phenomena (super-radiance) to enhance decay rate.

One can use Pauli suppression (from relic neutrinos) of decay to also detect relic neutrinos.

# Degeneracy and Beyond..

## Spectroscopy (KATRIN)

Technique PROVEN. State-of-the-art.

Experiment soon to commence with 0.2 eV reach.

Integral measurement with TOF possibility.

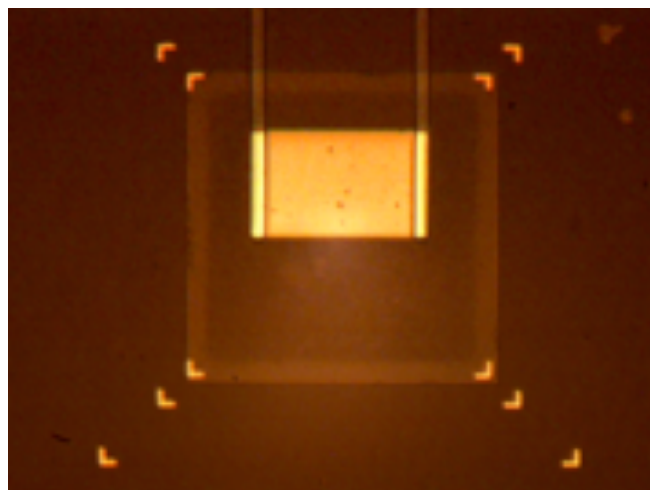


## Calorimetry (HOLMES, ECHO & NuMECS)

Technique advanced.

New experiment(s) planned to reach ~1 eV scale.

Statistics & systematics next hurdle.

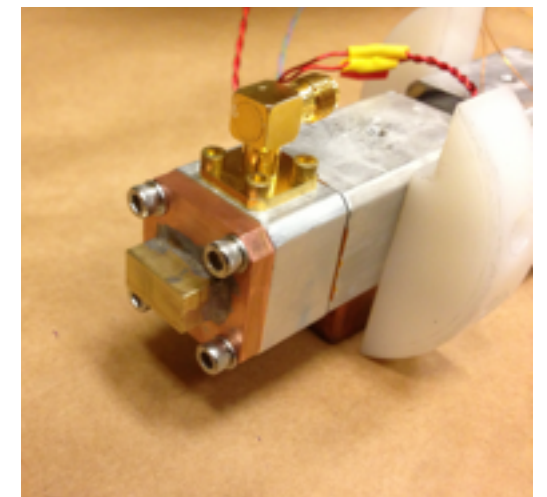


## Frequency (Project 8)

Technique DEMONSTRATED.

Potential of scalability and exploring atomic sources to inverted scale.

Next to establish the scalability of the technique.





Thank you for  
your attention