

Many-body Characterization of Particle-Conserving Topological Superfluids

Gerardo Ortiz

Department of Physics - Indiana University



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Collaborators:

Jorge Dukelsky: CSIC - Madrid



Emilio Cobanera: Institute for Theoretical Physics - Utrecht



Carlos Esebbag: Universidad de Alcala - Madrid



Carlo Beenakker: Lorentz Instituut - Leiden

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Motivation for this work


Most of the work on Topological Quantum Matter is based on the Mean-Field quasi-particle picture



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Reasons:

- Mathematically Simple (Lie algebraic with poly-complexity)
- Intuitive after Landau's work on quasiparticles
- Topological invariants easy to derive and compute
(full or partial) Chern #, Berry phases, Winding #, Bott index, Hopf index, ...  a Zoo of Numbers



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Typically a grand principle is invoked:



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Bulk-Boundary Correspondence

One-to-one correspondence between topological vacuum and symmetry-protected boundary (or defect) modes:

Boundary
(defect)
modes

{ Topo-Insulators: Fermions
Topo-Superfluids: **Majorana** (or Fermions)



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But real materials are interacting systems:

{ Number conserving (closed QS)
Open QS



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- What is a Topo state of matter in a Many-body system ?
- Do we have a Bulk-Boundary Correspondence ?



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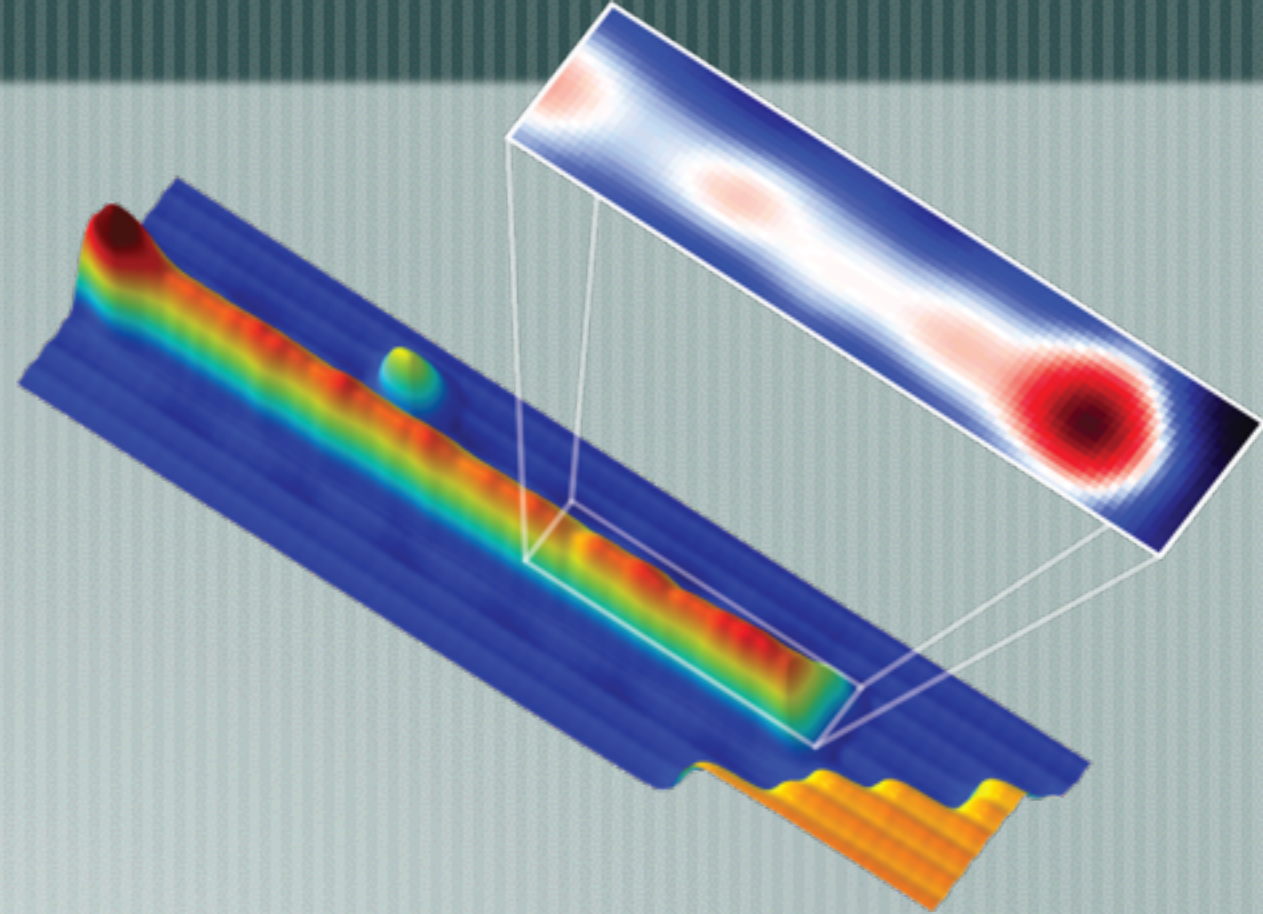
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- What is the meaning/fate of Majorana modes ?
- How much of the Mean-field picture survives ?



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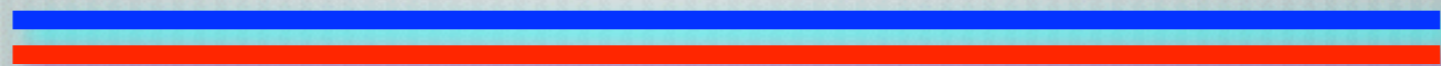
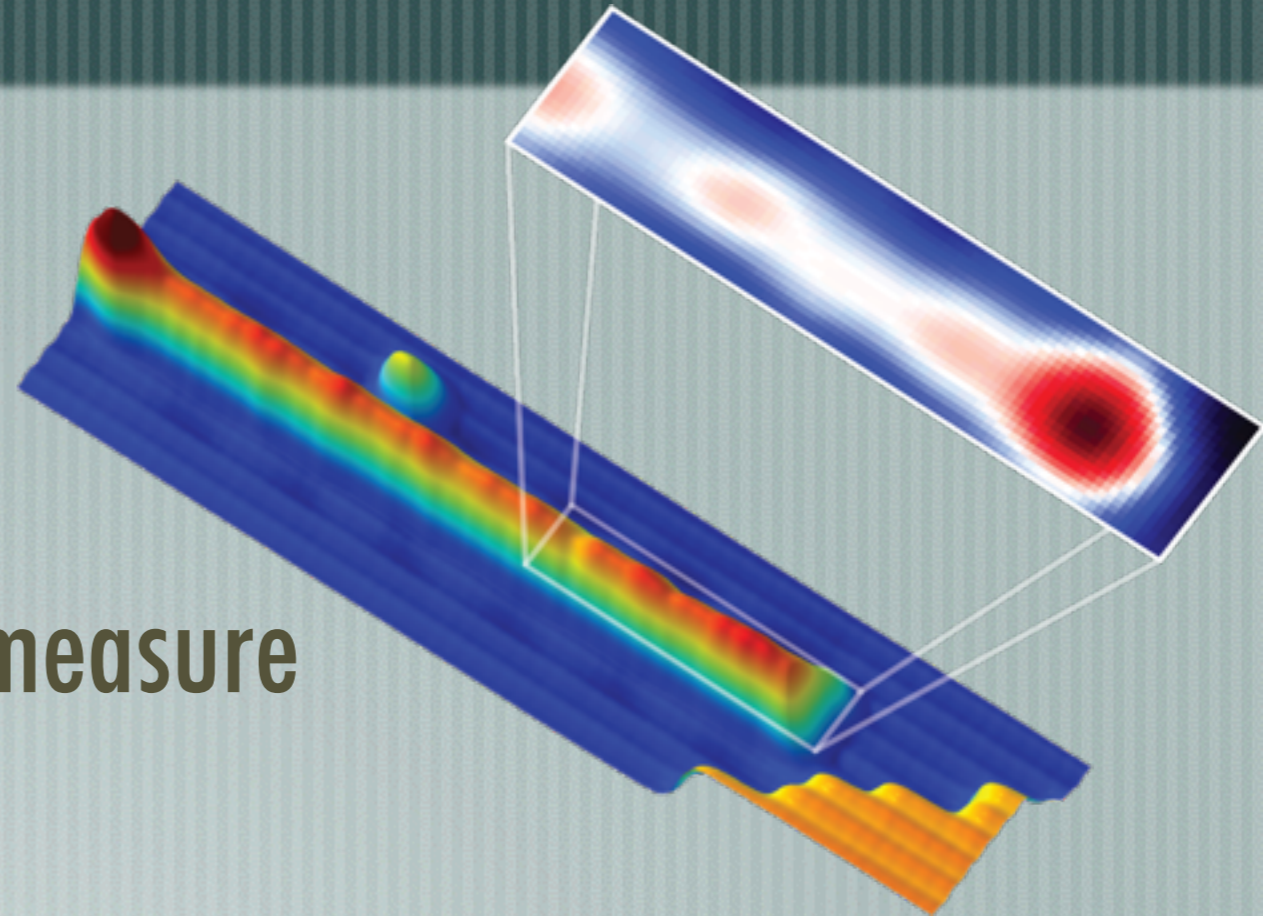
Why do we care ?



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Why do we care ?

- We need to understand what to measure
- Majorana fermions are key components of many information processing devices (Topo Comp) because of its supposed **protection** (against decoherence) and **non-Abelian braiding** properties



Main Messages

- Introduce a number-conserving, interacting fermion superfluid model: **The Richardson-Gaudin-Kitaev wire**
- Characterization of Topological Superfluidity in generic interacting many-body systems: **Fermion Parity Switches**
- Meaning to **emergent many-body Majorana** zero-energy modes



Majorana Fermions in the Mean-Field Framework



Majorana Fermions in the Mean-Field Framework

Majoranas are part of the hardware



Bogoliubov-de Gennes: No Conservation particle number Conservation of fermion parity

\mathbb{Z}_2

$$H_{\text{mf}} = \sum_{i,j}^L A_{ij} c_i^\dagger c_j + \frac{1}{2} \sum_{i,j}^L (B_{ij} c_i^\dagger c_j^\dagger + B_{ij}^* c_j c_i)$$

$$H_{\text{mf}} = \frac{1}{2} (\Psi^\dagger H_{\text{BdG}} \Psi + \text{Tr} A)$$

with: $H_{\text{BdG}} = \begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix}$

$$A = A_R + iA_I, \quad B = B_R + iB_I, \quad , \quad A = A^\dagger, \quad B^T = -B$$

$$A_R = A_R^T, \quad A_I = -A_I^T, \quad B_R = -B_R^T, \quad B_I = -B_I^T$$



Bogoliubov-de Gennes: No Conservation particle number $U(1)$
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or:

$$H_{\text{BdG}} = i\mathbb{1}_2 \otimes A_I + i\sigma^x \otimes B_I + i\sigma^y \otimes B_R + \sigma^z \otimes A_R$$

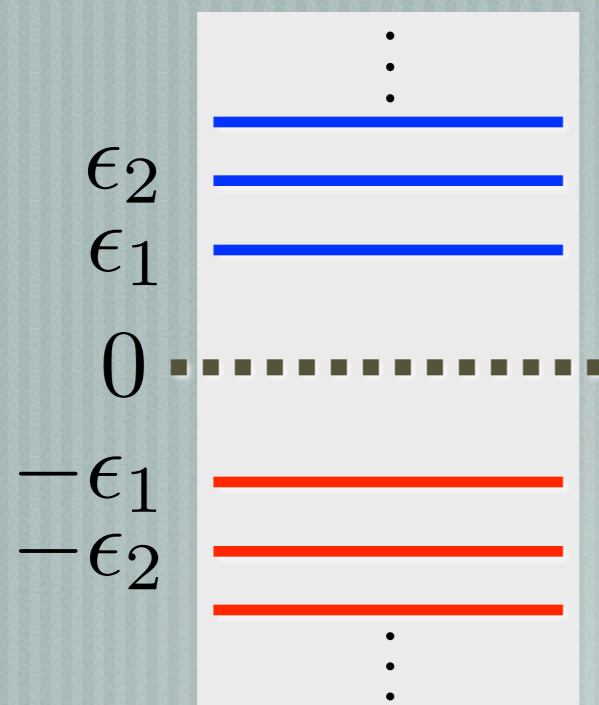


Single-particle spectrum: The anti-unitary operator

$$\mathcal{C} = K\sigma^x \otimes \mathbb{1}_L$$

anti-commutes with the BdG Hamiltonian: $\{H_{\text{BdG}}, \mathcal{C}\} = 0$

This, in turn, implies that the single-particle spectrum is of the form:



$$H_{\text{BdG}} \phi_n = \epsilon_n \phi_n \quad , \quad \phi_n = \begin{pmatrix} X^n \\ Y^n \end{pmatrix}$$

with quasi-particle bogoliubons:

$$b_n^\dagger = \sum_i^L (X_i^n c_i^\dagger + Y_i^n c_i) \quad , \quad \text{in terms of which:}$$

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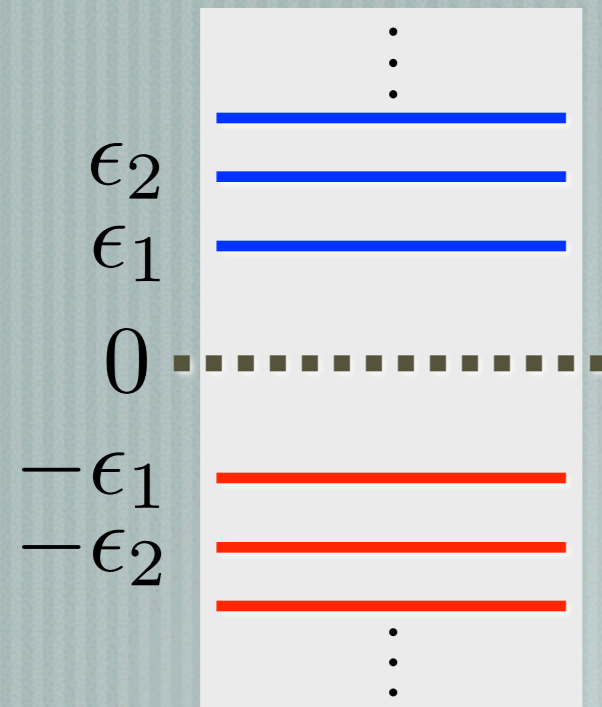


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Many-body ground-state energy \mathcal{E}_0

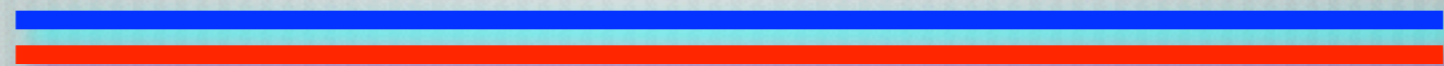


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$$\epsilon_1 = 0 \quad (\text{doubly degenerate})$$

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$$1) \quad X^1 = Y^1 \in \mathbb{R}$$

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Zero modes are Majorana fermions by default



Example: Beyond Kitaev's paradigm \Rightarrow Power-law Majoranas

$$H_{\text{mf}} = -t_1 \sum_{i=1}^L (c_i^\dagger c_{i+1} + \text{h.c.}) - t_2 \sum_{i=1}^L (c_i^\dagger c_{i+2} + \text{h.c.}) - \mu \sum_{i=1}^L n_i \\ - \Delta \sum_{i>j}^L \eta(i-j) c_j^\dagger c_i^\dagger - \Delta^* \sum_{i>j}^L \eta(i-j) c_i c_j$$

with: $\eta(i-j) = \frac{4}{L} \sum_k \sin(k(i-j)) \eta_k$

$$\eta_k = \sin\left(\frac{k}{2}\right) \sqrt{t_1 + 4t_2 \cos^2\left(\frac{k}{2}\right)}$$

1) $t_1 = 0, t_2 \neq 0 : \eta(m) = \sqrt{t_2} \delta_{m,1}$

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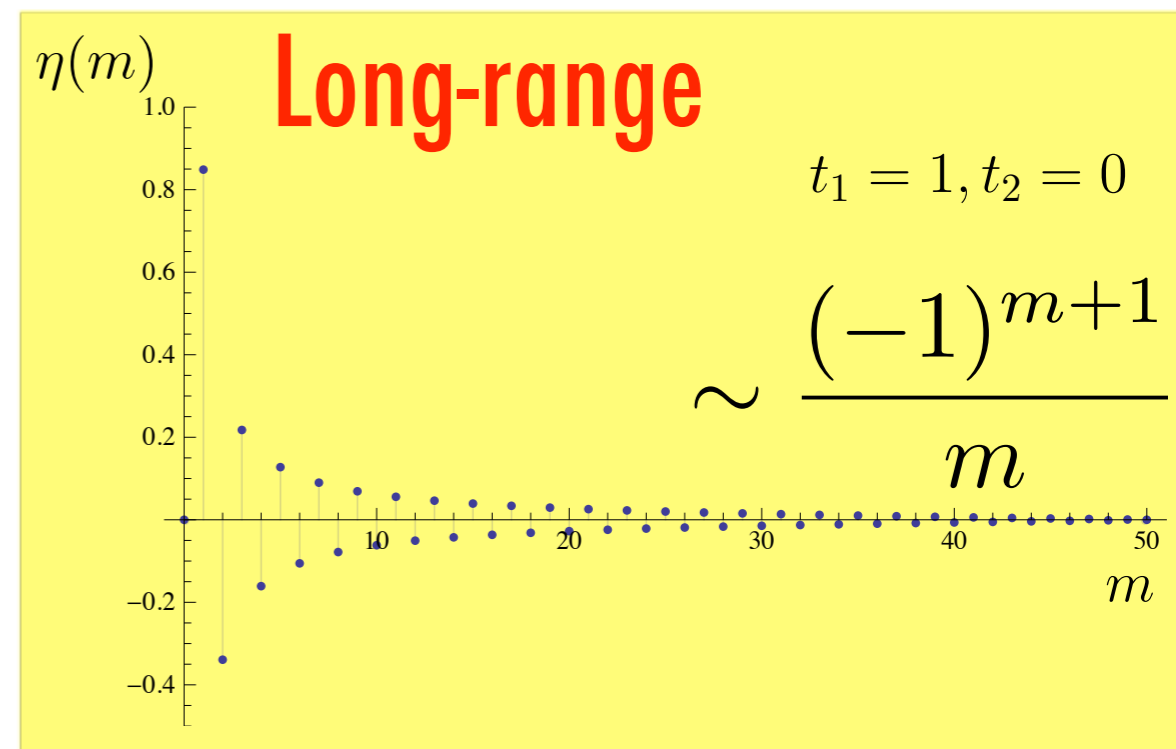
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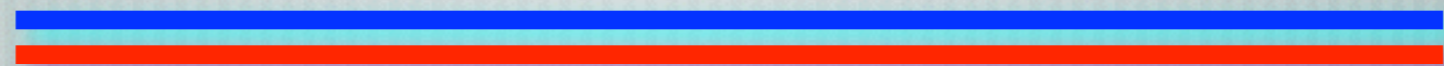
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It is trivial to show that :

- There exists a Topologically non-trivial quantum phase
- There exists **Power-law** Majorana fermions
(there is no symmetry-sweet-spot with deconfined Majoranas)
- There exists 4π -periodic Josephson effect



The Richardson-Gaudin-Kitaev wire (A Number conserving Topological Superfluid)



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What is a topological superfluid ?



A number-conserving fermionic superfluid: ($G > 0$)

$$H_{\text{RGK}} = \sum_{k \in \mathcal{S}_k^\phi} \varepsilon_k \hat{c}_k^\dagger \hat{c}_k - 8G \sum_{k, k' \in \mathcal{S}_{k+}^\phi} \eta_k \eta_{k'} \hat{c}_k^\dagger \hat{c}_{-k}^\dagger \hat{c}_{-k'} \hat{c}_{k'}$$

Free-fermion band:

$$\varepsilon_k = -2t_1 \cos k - 2t_2 \cos 2k$$

Interaction potential:

$$(\eta_k = -\eta_{-k})$$

$$\eta_k = \sin\left(\frac{k}{2}\right) \sqrt{t_1 + 4t_2 \cos^2\left(\frac{k}{2}\right)}$$

Boundary conditions:

$$\text{Flux} = \Phi = \frac{\phi}{2\pi} \Phi_0 \quad (\Phi_0 = h/2e)$$

Periodic: ($\phi = 0$)

$$\mathcal{S}_k^0 = \mathcal{S}_{k+}^0 \oplus \mathcal{S}_{k-}^0 \oplus \{0, -\pi\}$$

Anti-Periodic: ($\phi = 2\pi$)

$$\mathcal{S}_k^{2\pi} = \mathcal{S}_{k+}^{2\pi} \oplus \mathcal{S}_{k-}^{2\pi}$$



The Richardson-Gaudin-Kitaev wire is integrable (Bethe ansatz)

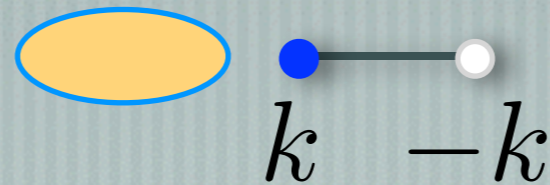
$$N = 2M + N_\nu + N_{\text{inactive}} \quad (L \text{ orbitals})$$

Paired



$$\nu_k = 0$$

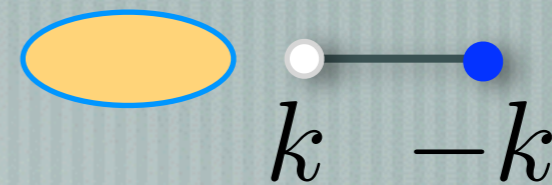
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↓
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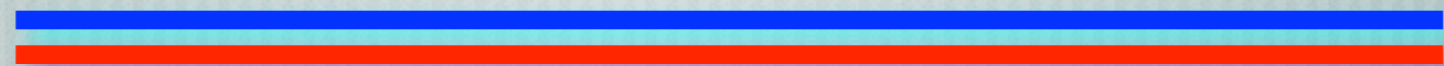
Inactive levels



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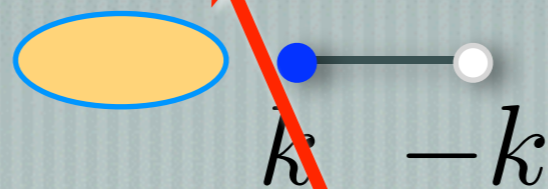
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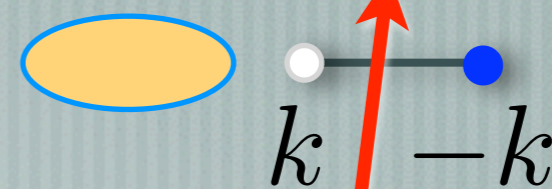
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$$|\Psi_N\rangle = \prod_{\alpha=1}^M \left(\sum_{k \in \mathcal{S}_{k+}^\phi} \frac{\eta_k}{\eta_k^2 - E_\alpha} \hat{c}_k^\dagger \hat{c}_{-k}^\dagger \right) |\nu\rangle \otimes |n_0 n_{-\pi}\rangle$$



Eigenspectrum:

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with Gaudin (Bethe) equations:

$$\sum_{k \in \mathcal{S}_{k+}^{\phi}} \frac{s_k}{\eta_k^2 - E_{\alpha}} - \sum_{\beta (\neq \alpha)} \frac{1}{E_{\beta} - E_{\alpha}} = \frac{Q_{\phi}}{E_{\alpha}}$$

$$Q_{\phi} = 1/2G - \sum_{k \in \mathcal{S}_{k+}^{\phi}} s_k + M - 1, \quad s_k = \frac{1}{2}(1 - |\nu_k|) = \begin{cases} \frac{1}{2} \\ 0 \end{cases}$$



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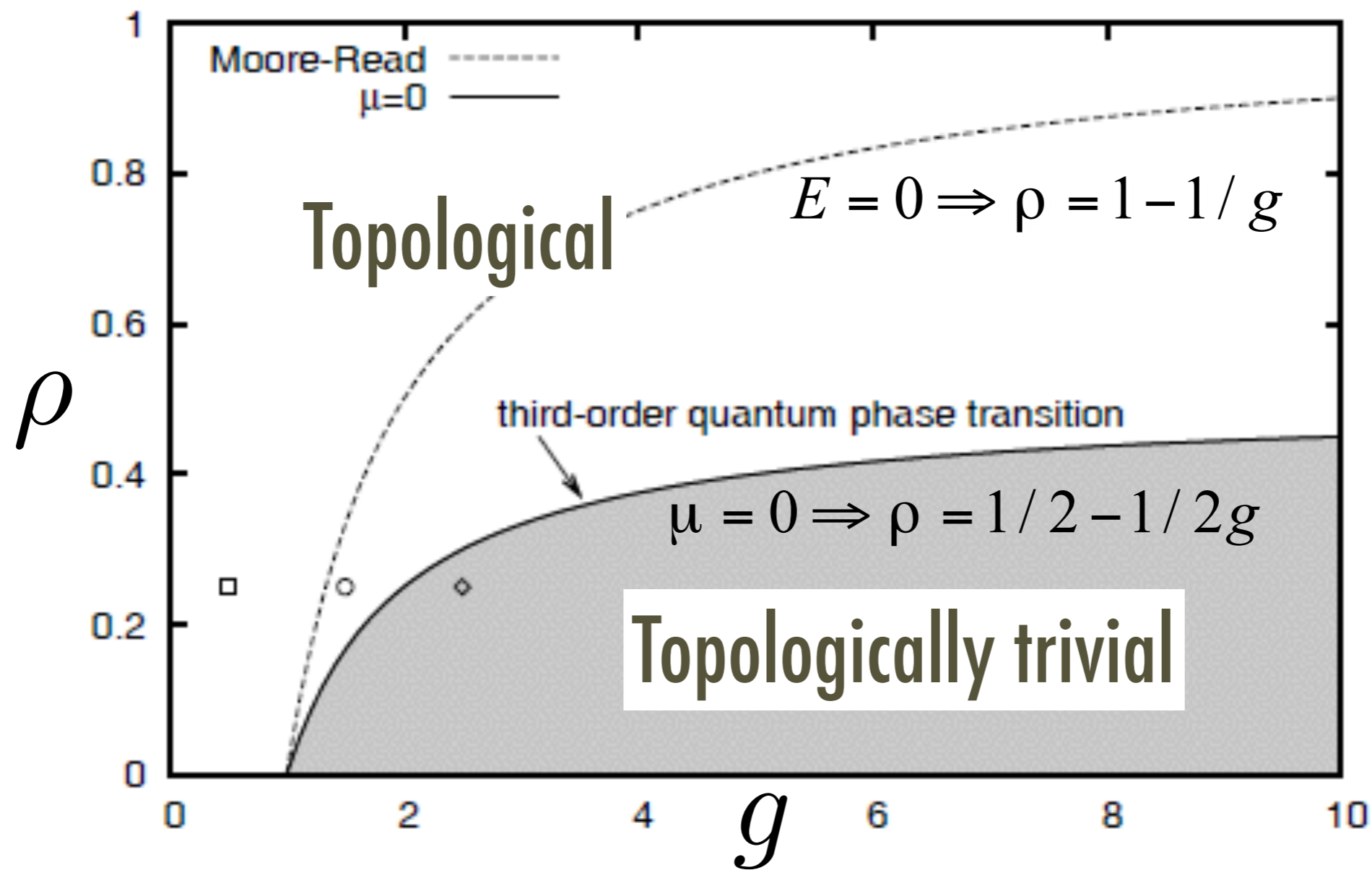
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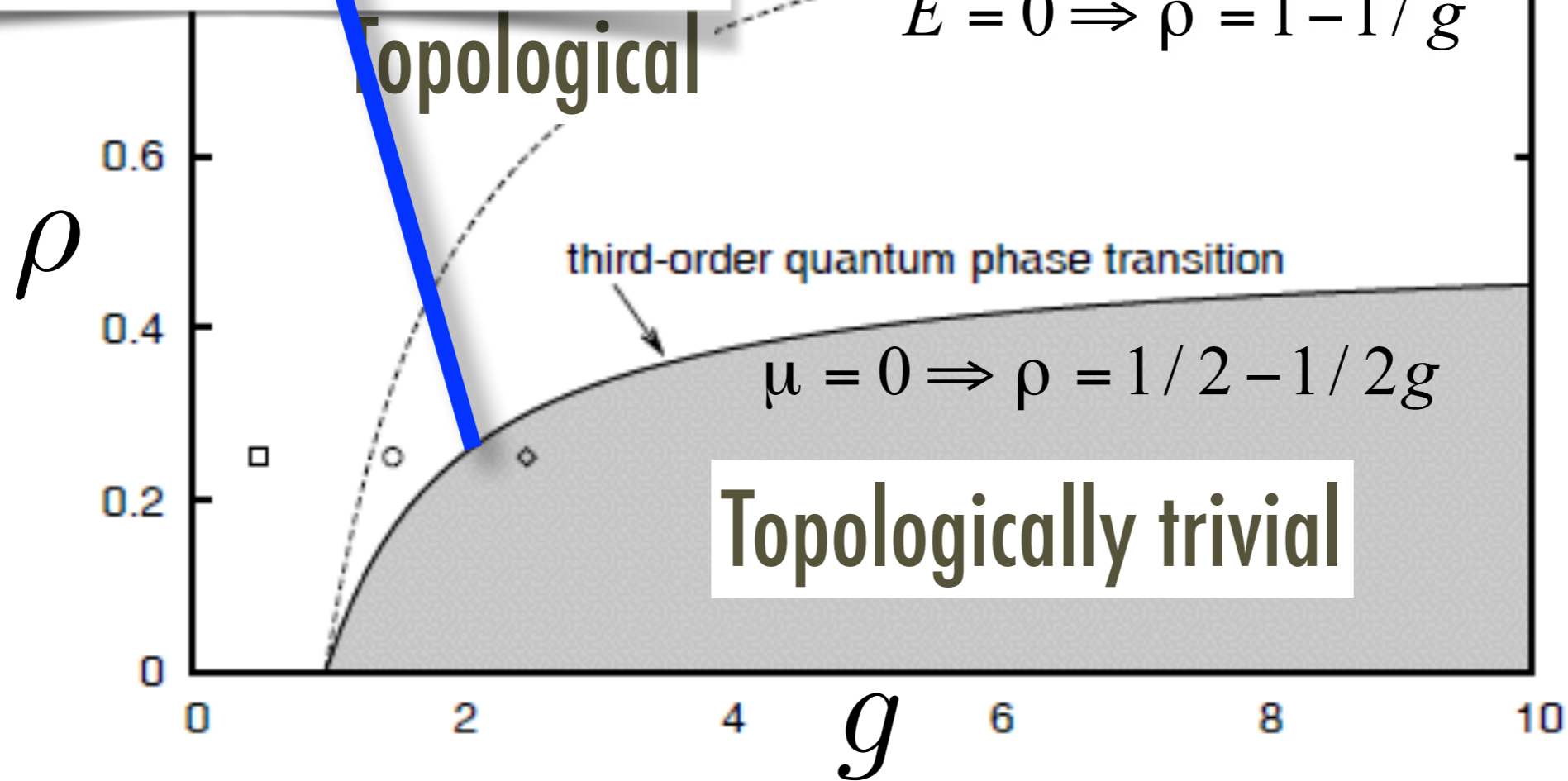
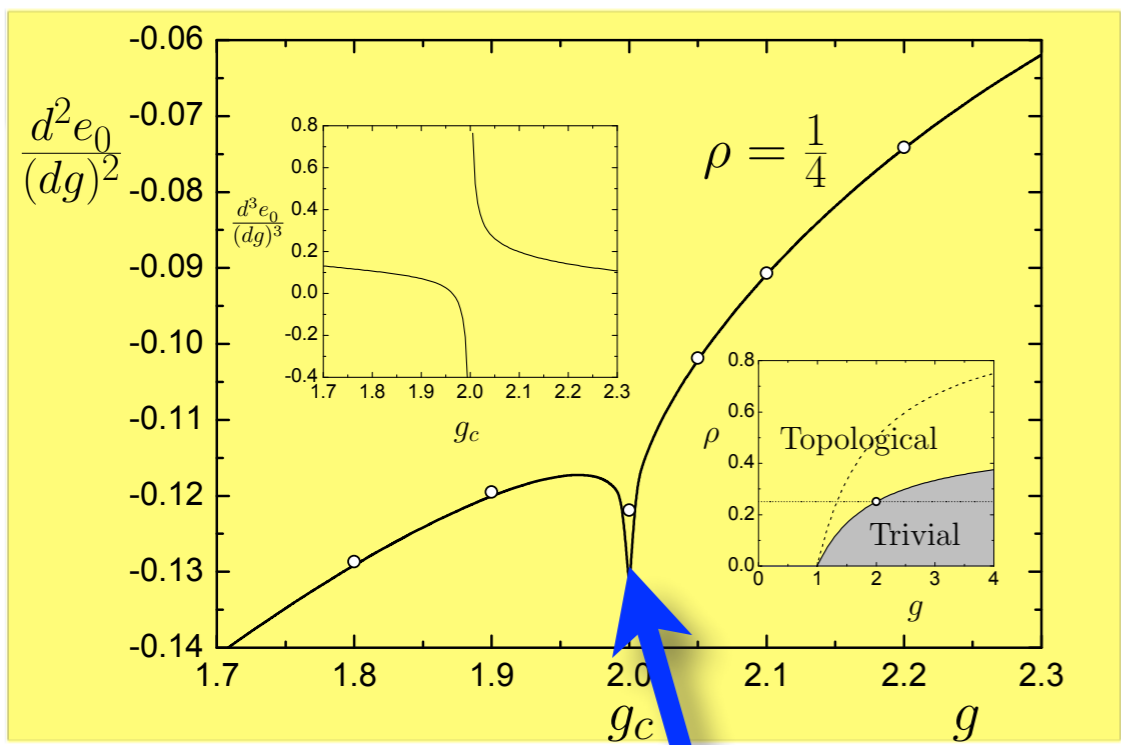
Quantum Phase Diagram

The phase diagram can be parametrized in terms of the density $\rho = N/L$ and the rescaled coupling $g = GL/2$



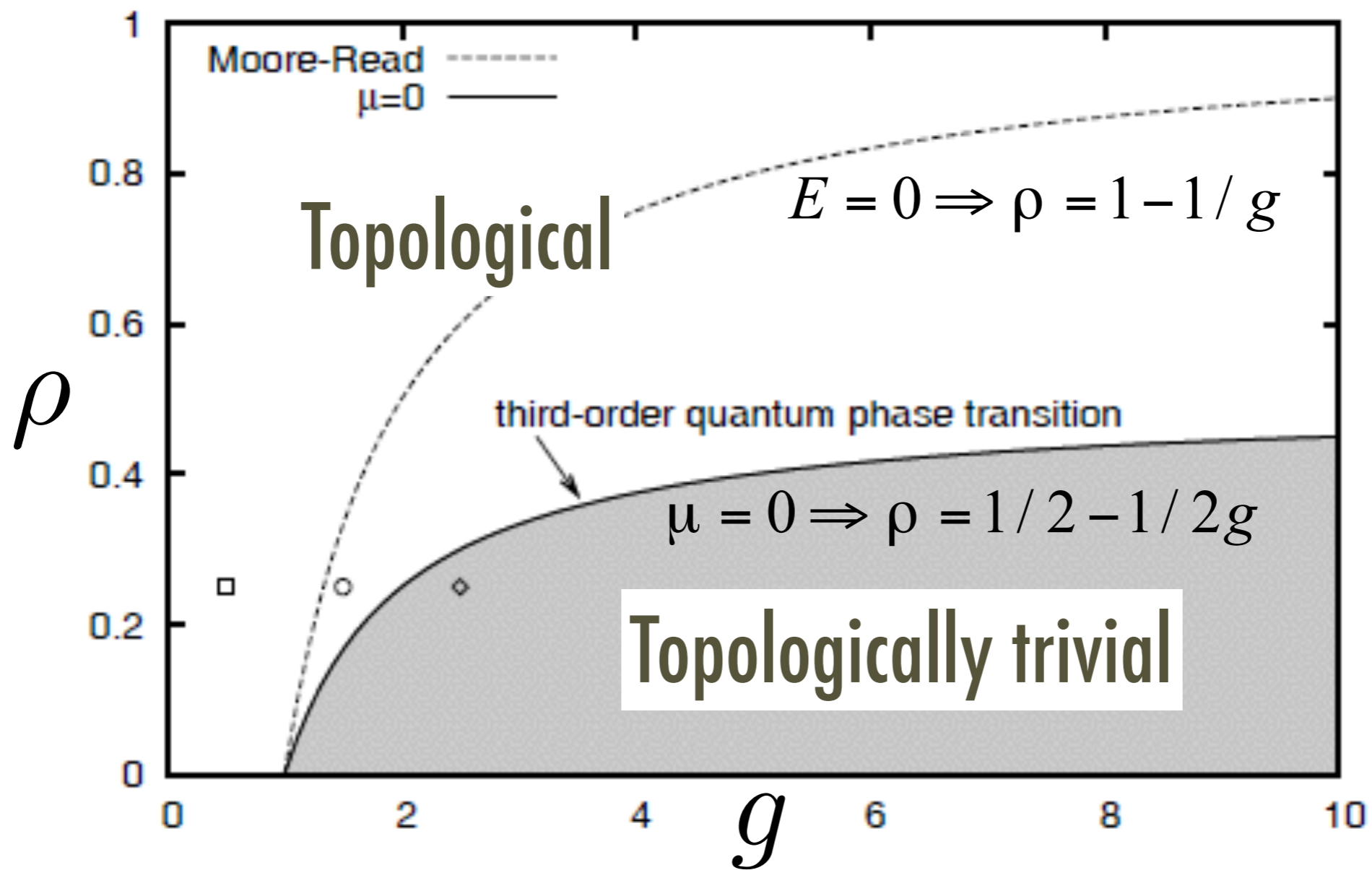
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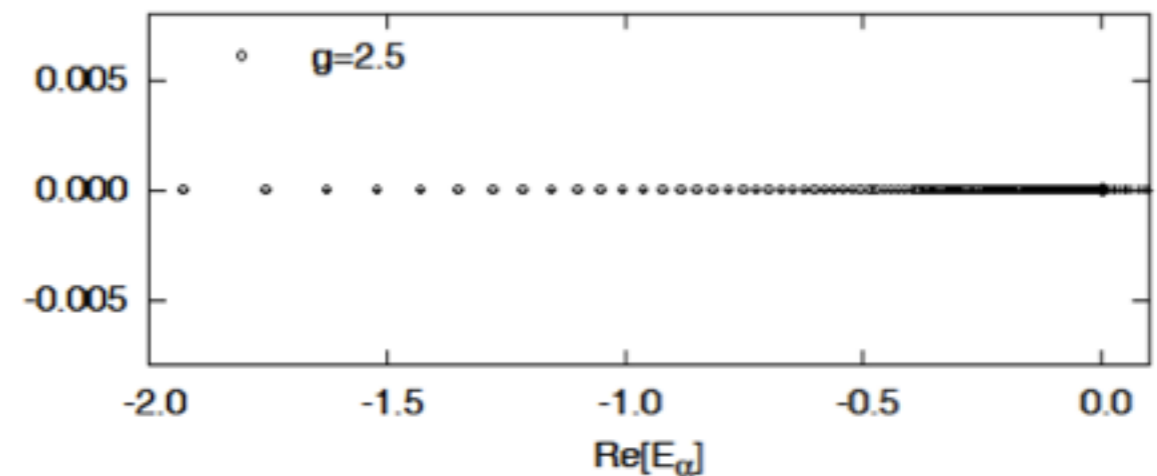
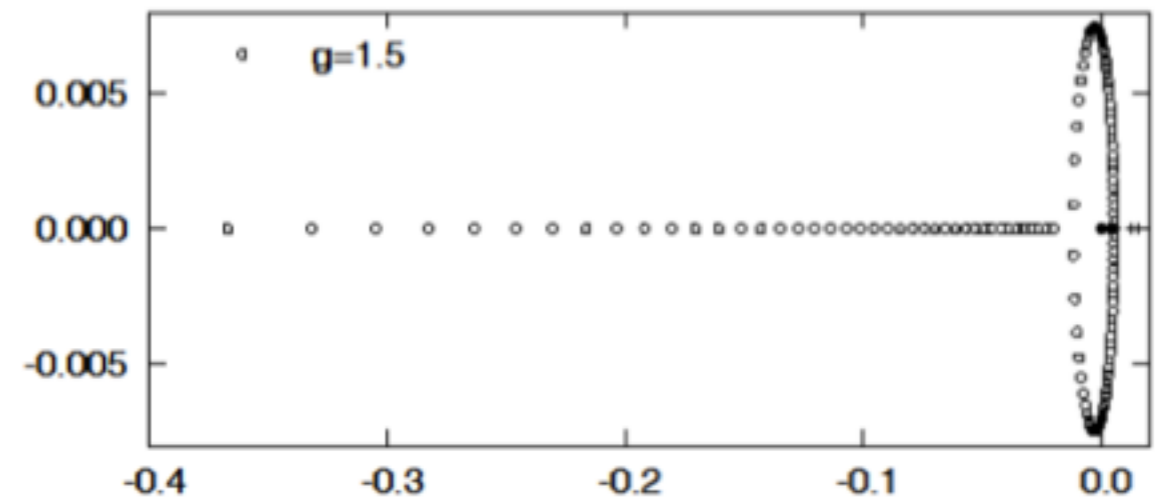
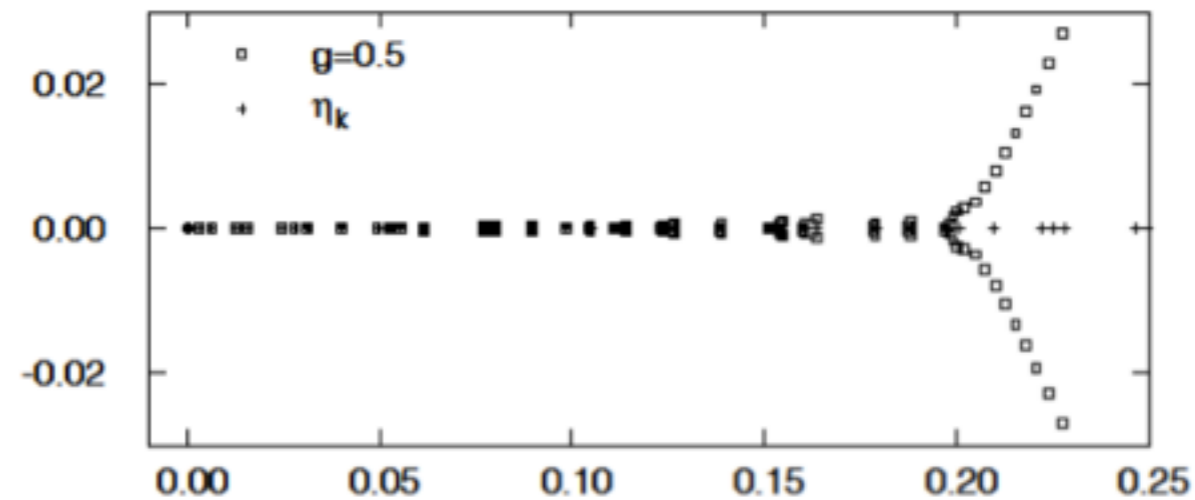
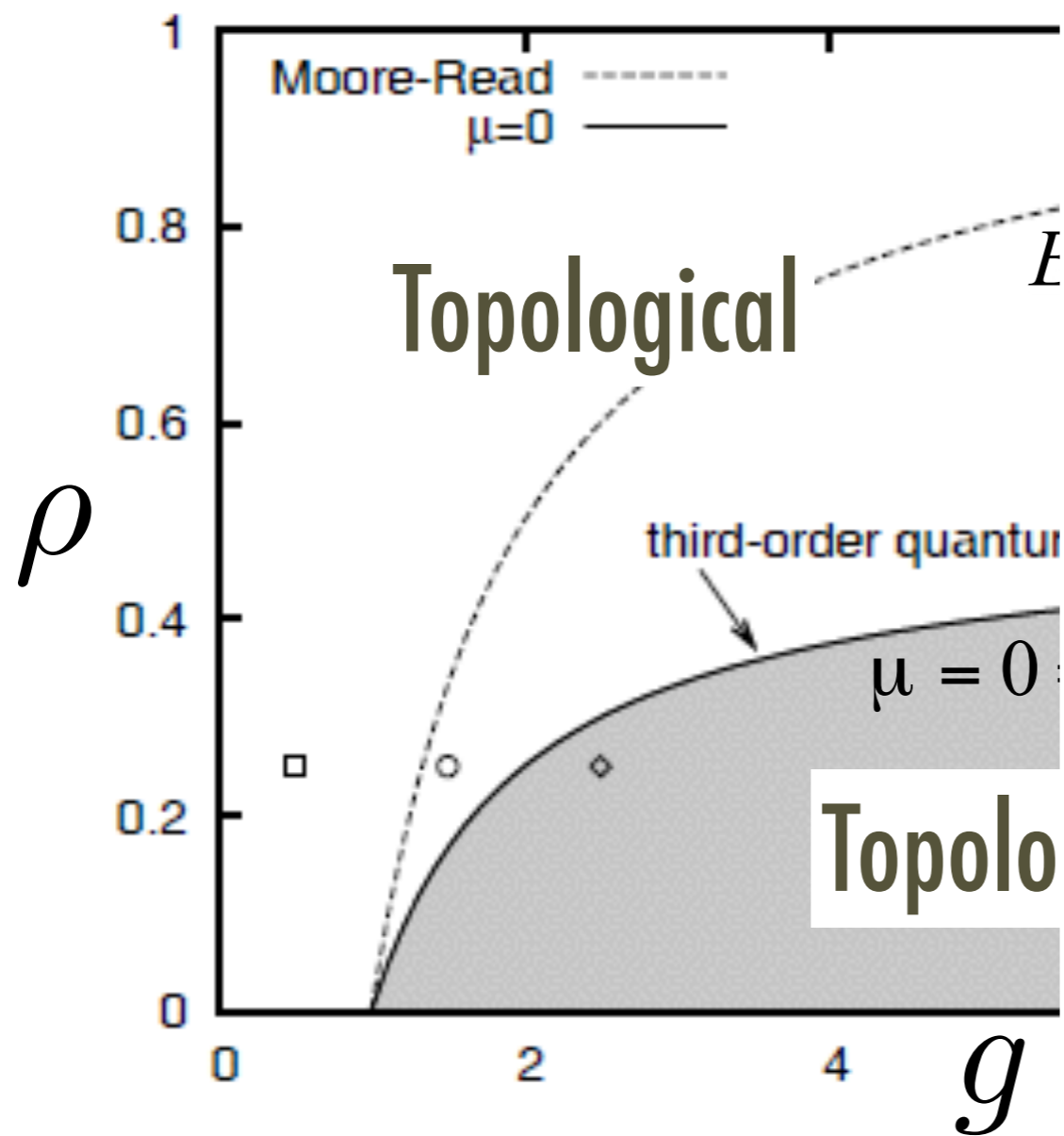
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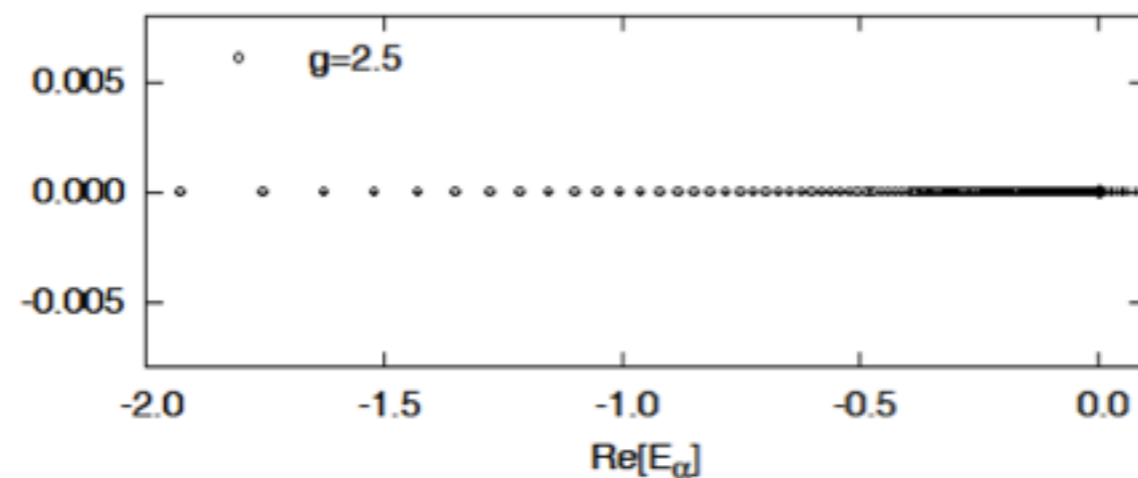
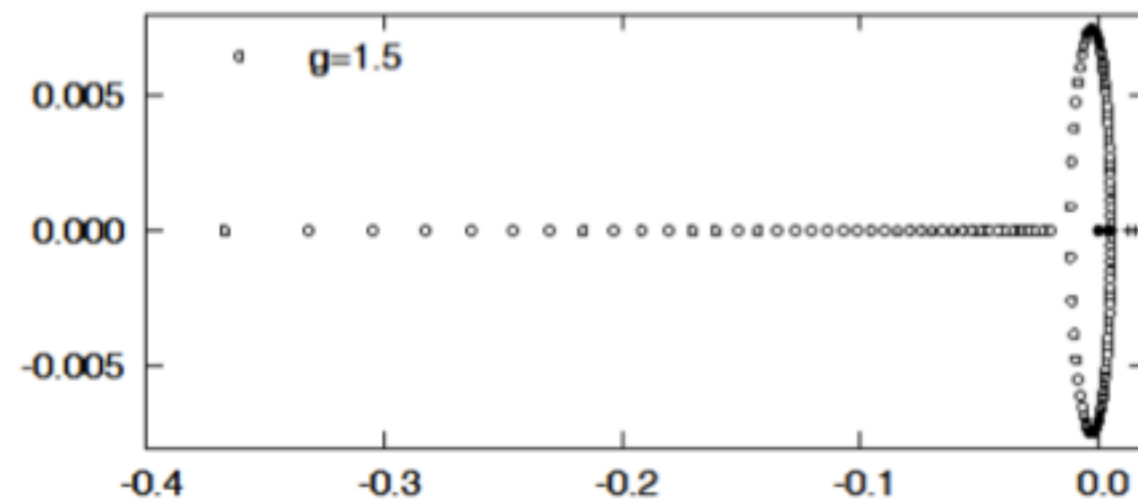
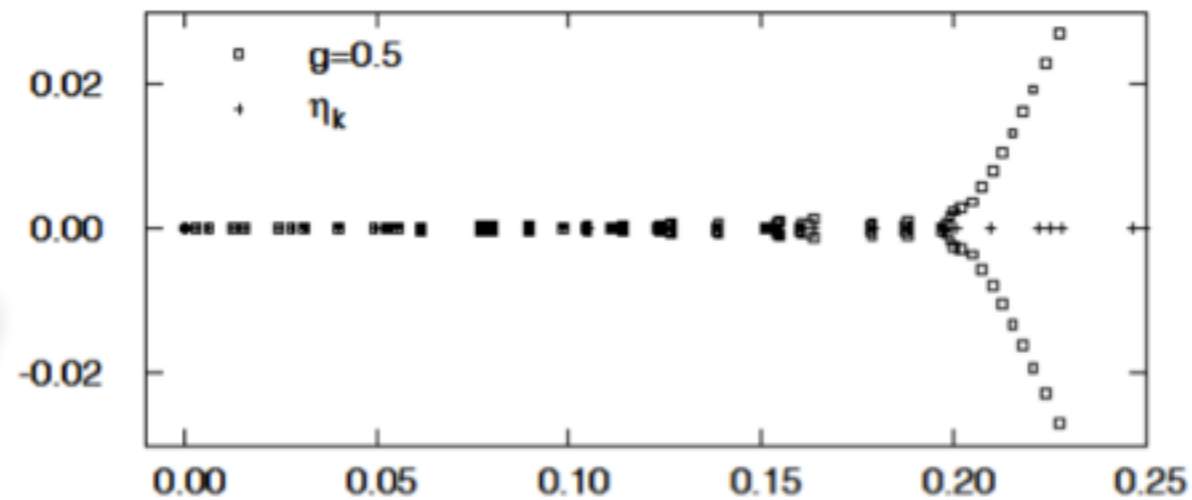
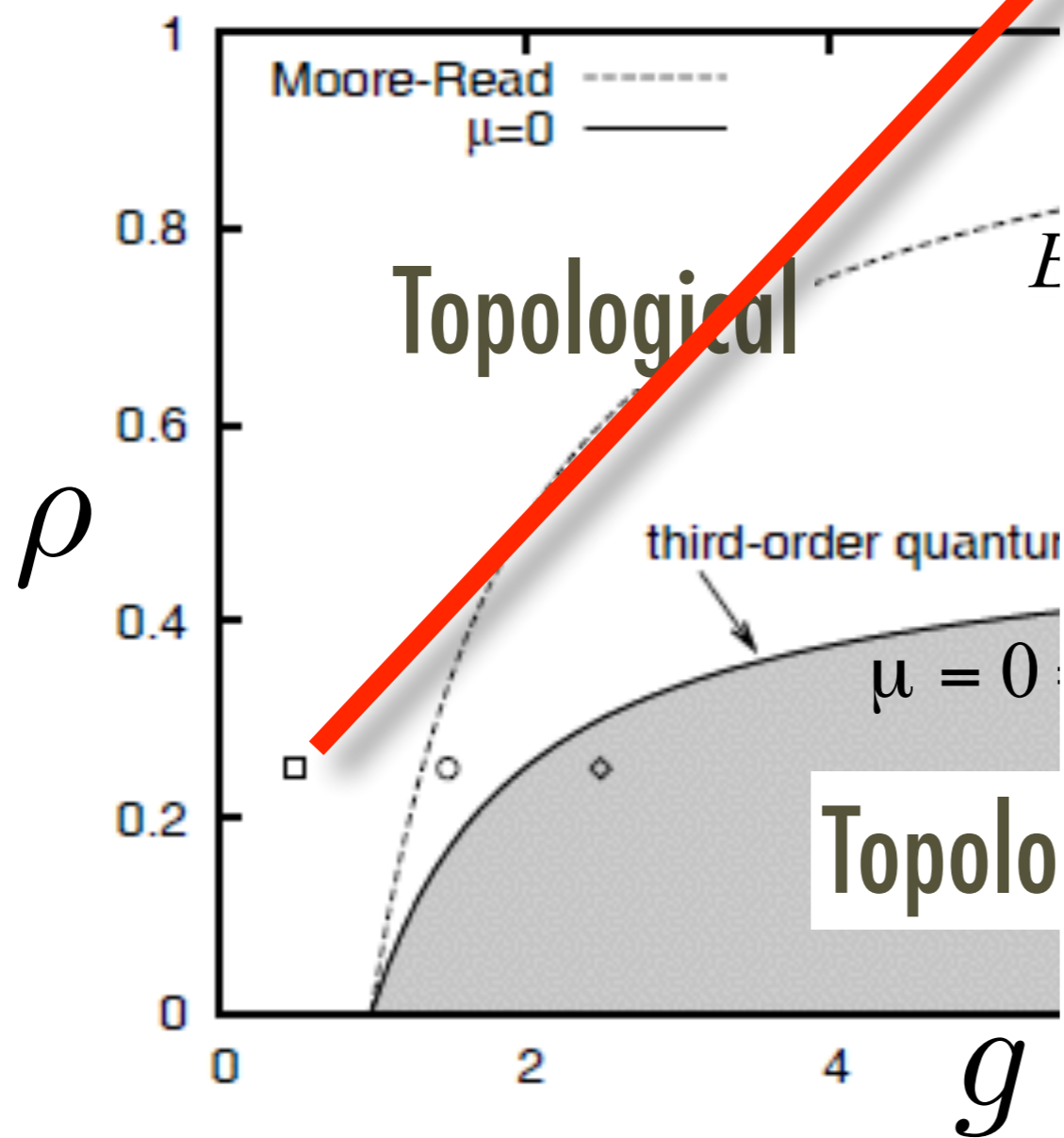
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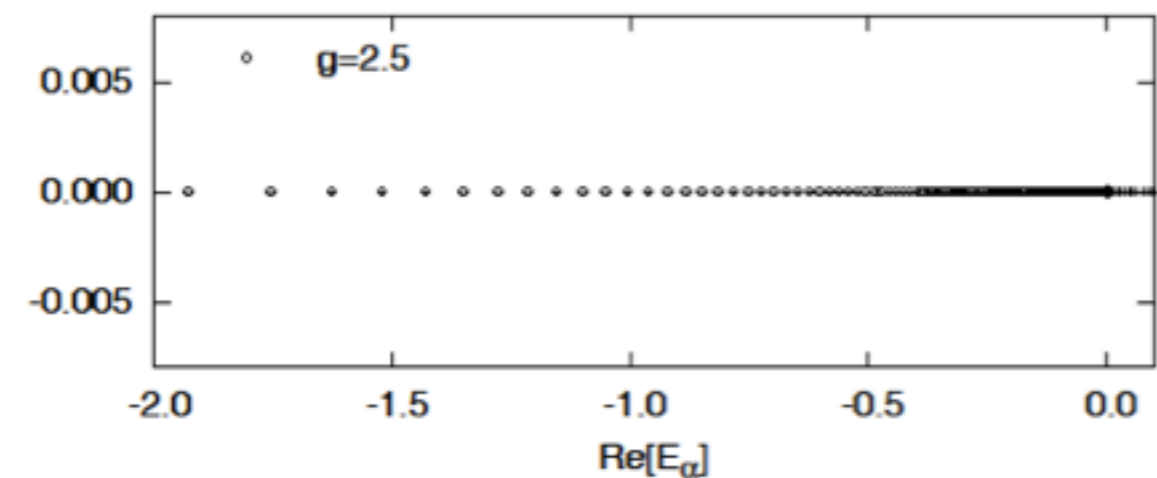
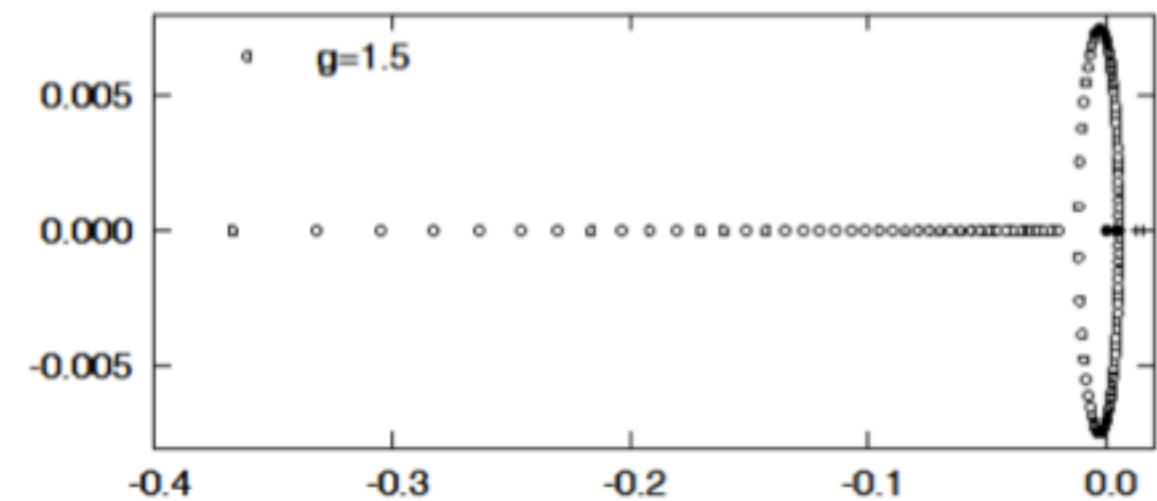
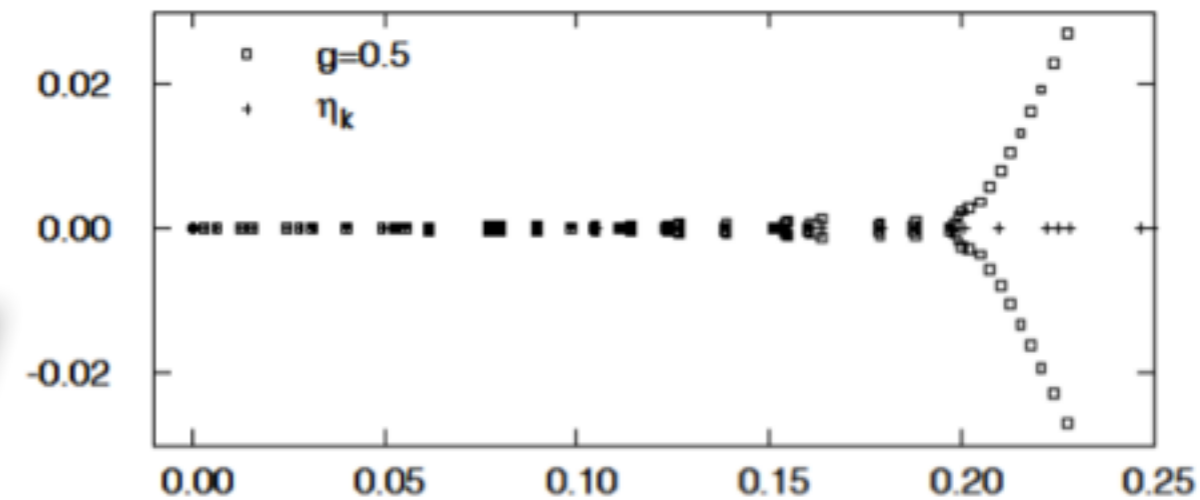
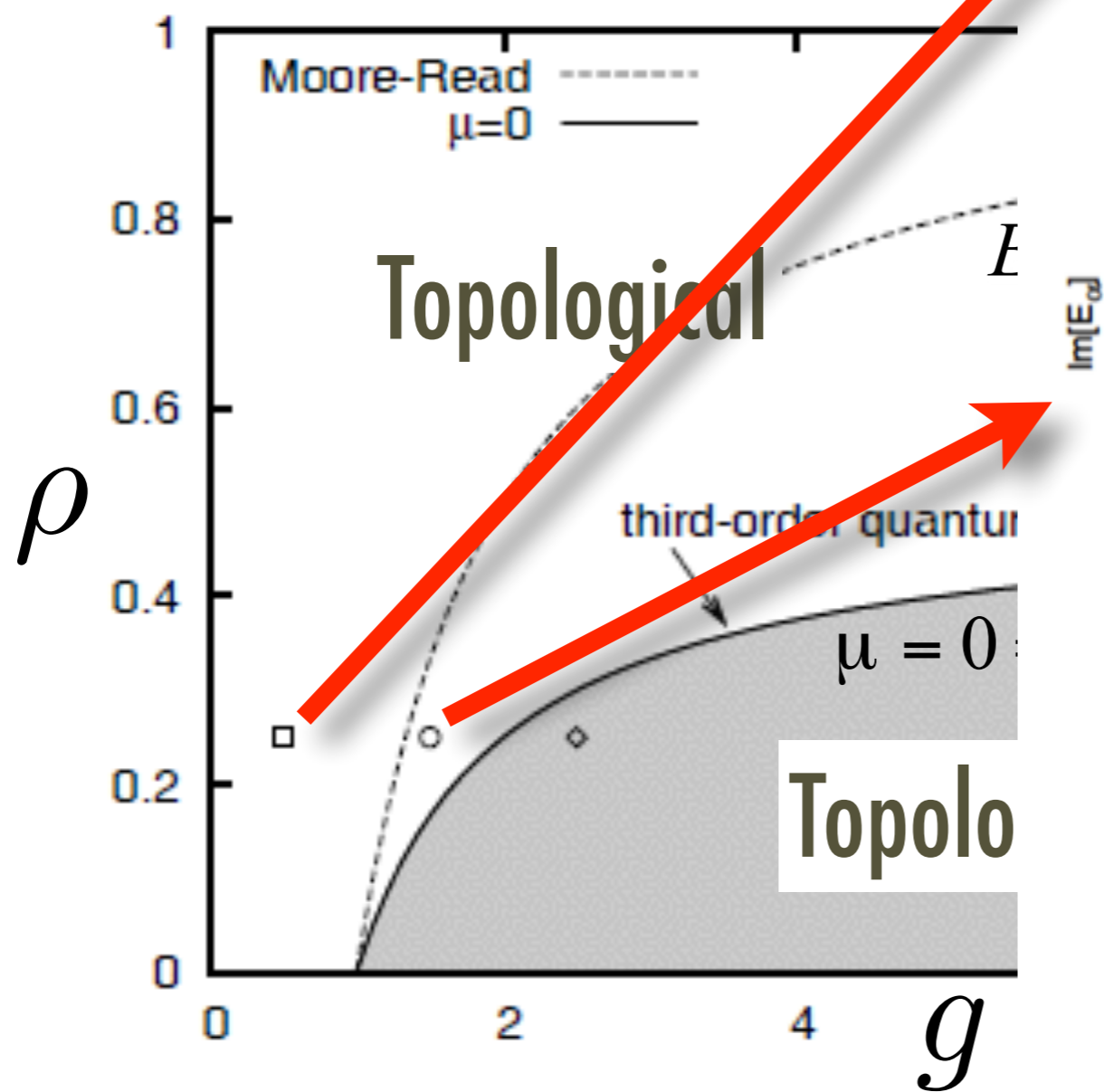
Quantum Phase Diagram

The phase diagram can be parameterized by filling density $\rho = N/L$ and the rescaled



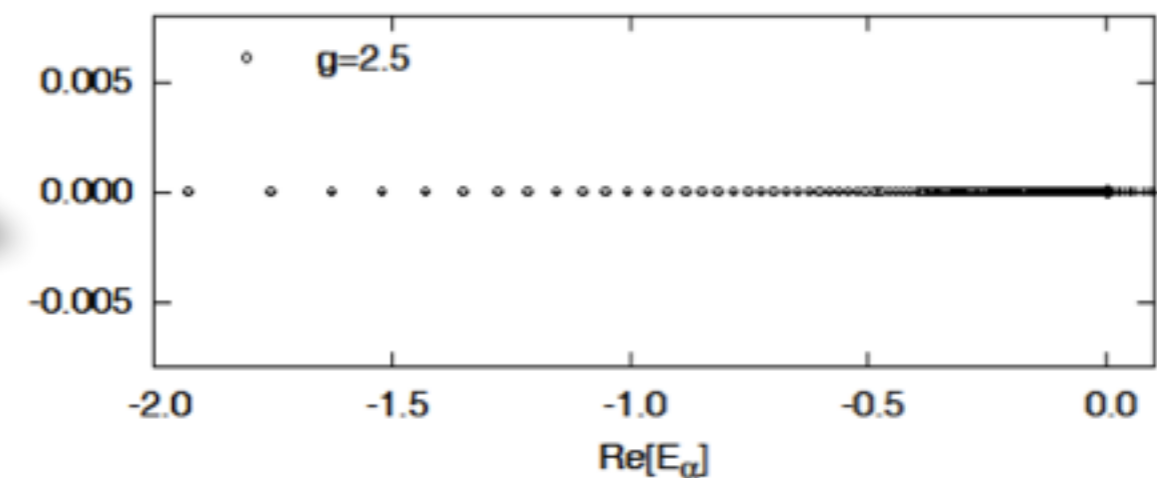
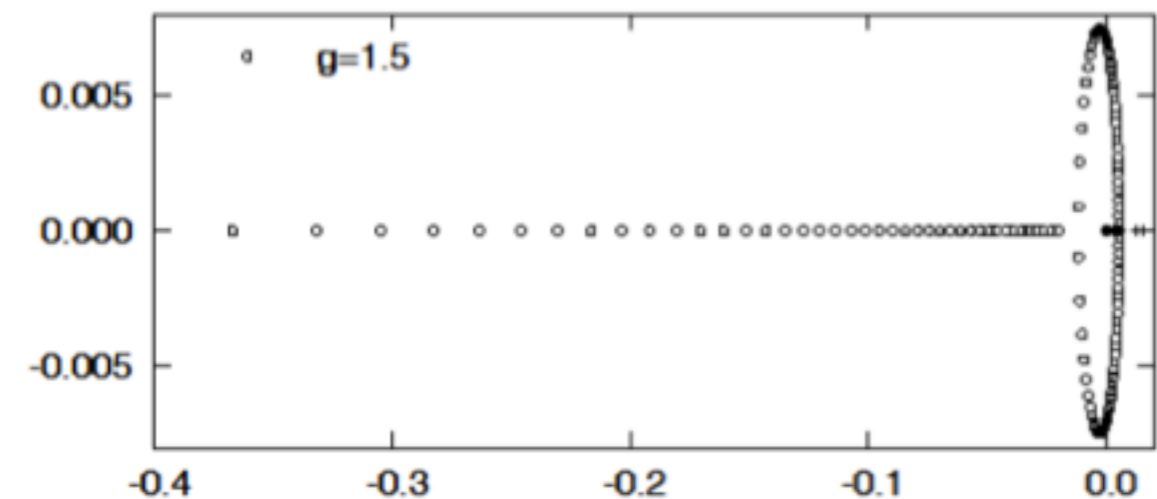
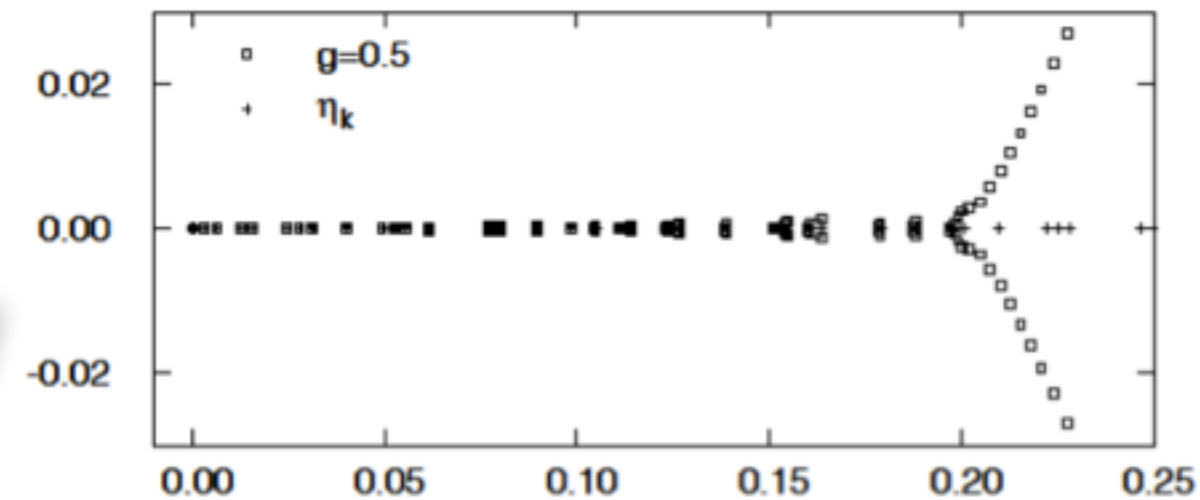
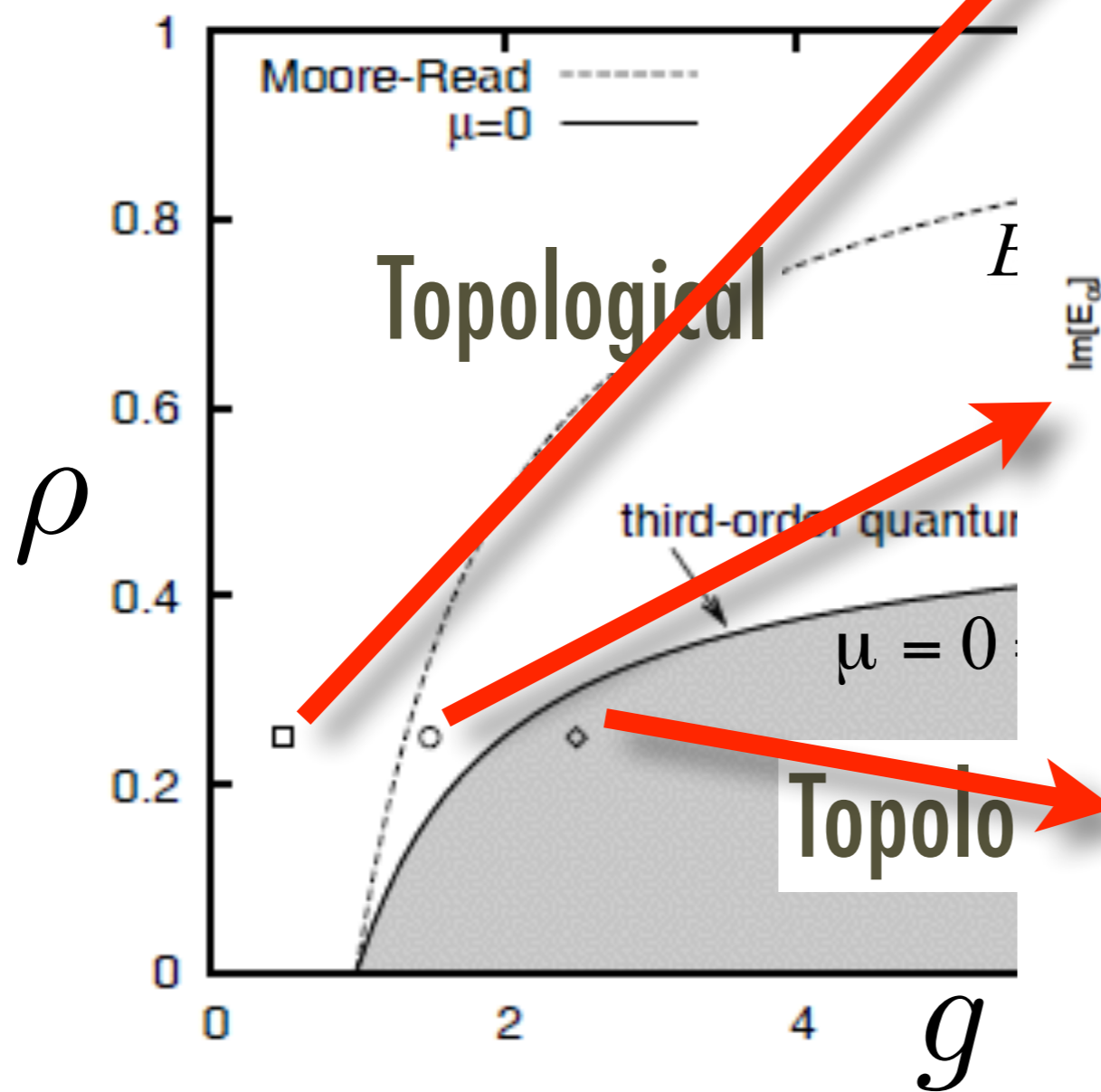
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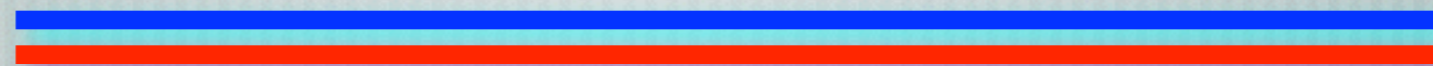
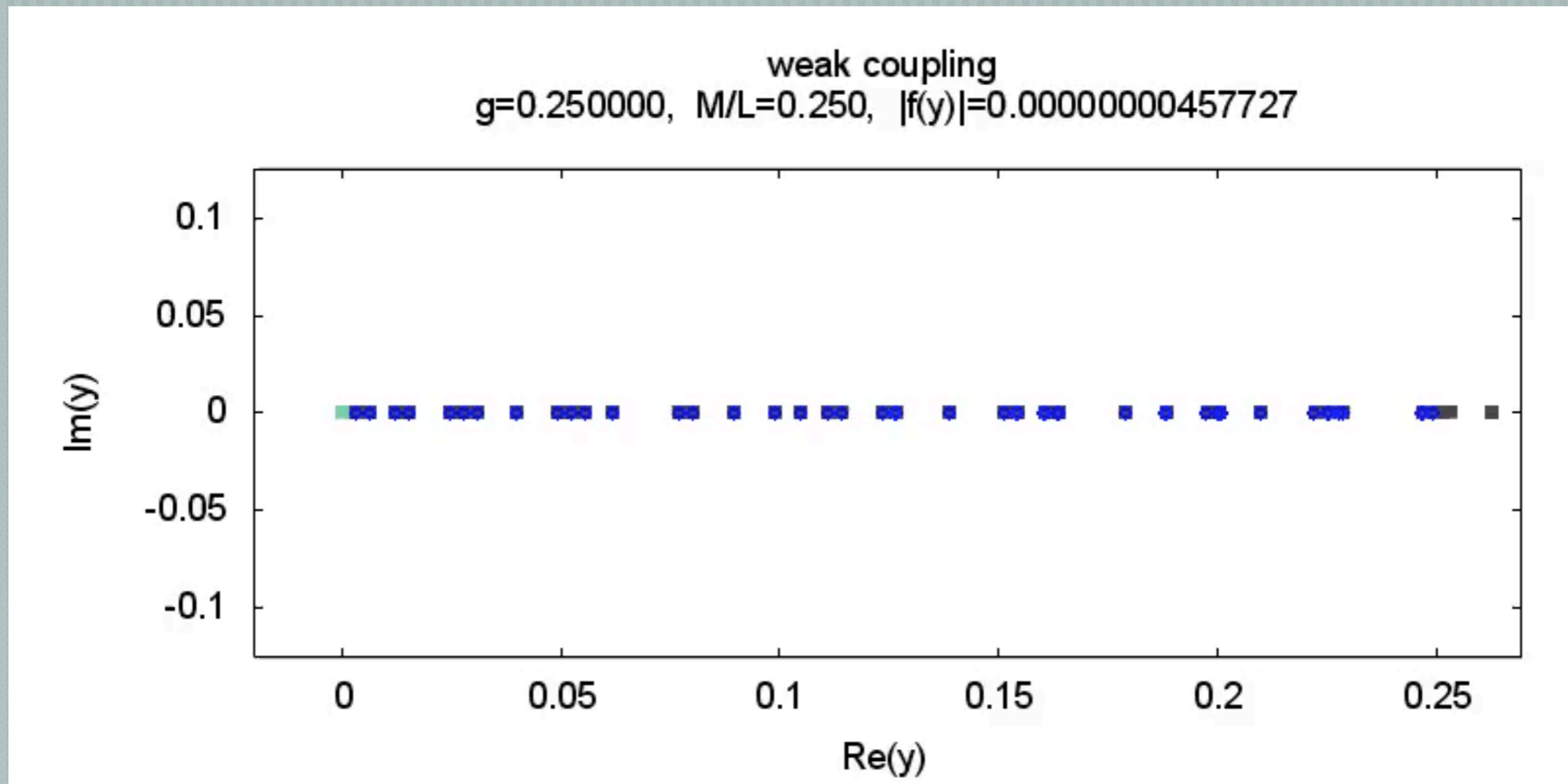


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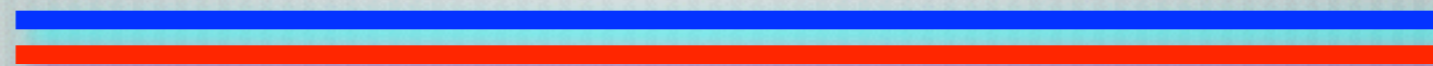
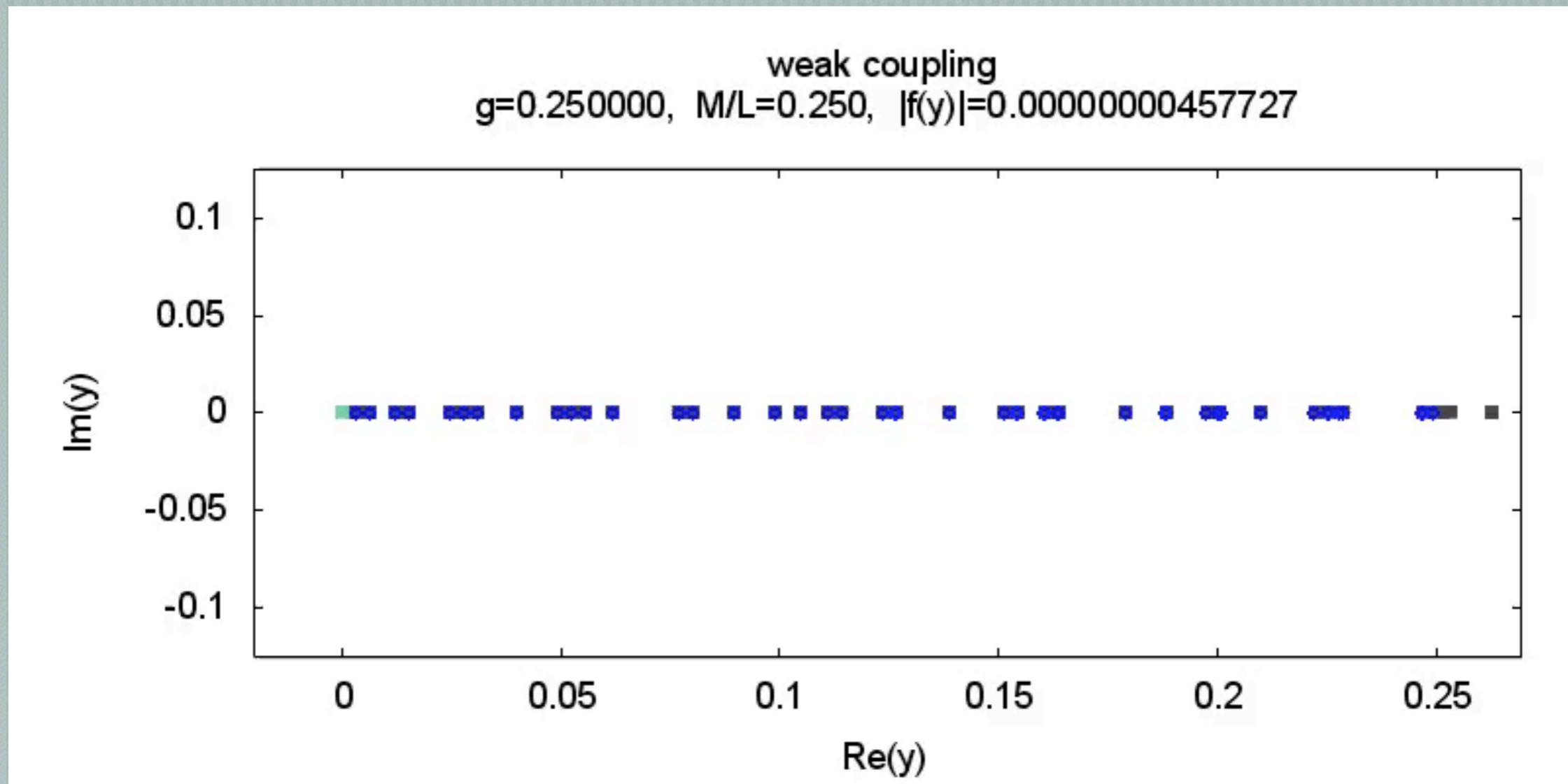
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Behavior of the Pairons: **The Movie**



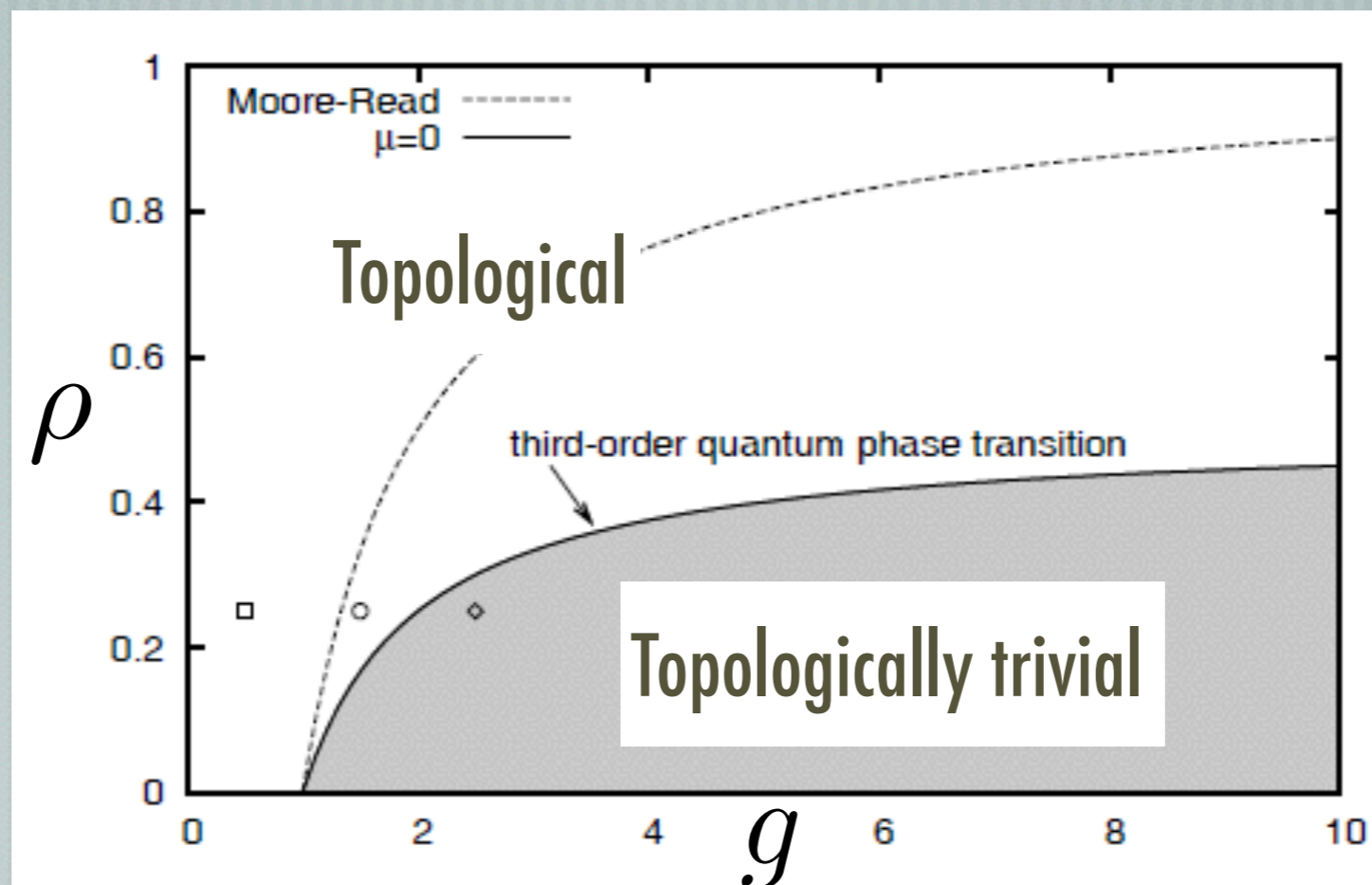
Behavior of the Pairons: **The Movie**



Topological Invariant

It turns out that for the RGK wire there is a topological invariant related to a Winding number: **Occupation number**

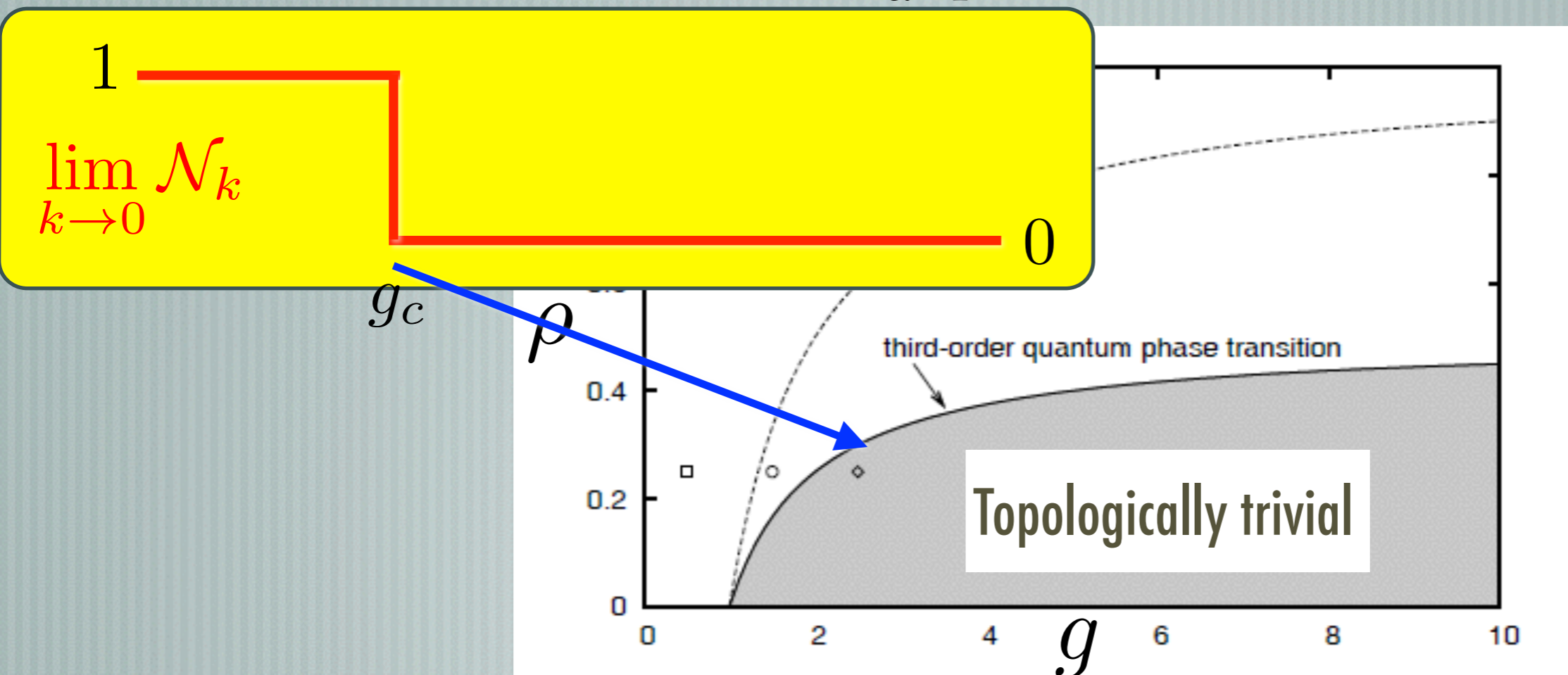
$$\mathcal{N}_k = \frac{1}{2} - s_k - 4s_k\gamma^2 \sum_{\alpha=1}^M \frac{\eta_k^2}{(\eta_k^2 - E_\alpha)^2} \frac{\partial E_\alpha}{\partial \gamma}$$



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Many-Body Characterization of Topological Superfluids



How does one distinguish Topo from Trivial?

Many-Body Characterization of Topological Superfluids



How does one distinguish Topo from Trivial?

Many-Body Characterization of Topological Superfluids

Fermion Parity Switches



Fermion parity Switches:

A quantitative criterion to establish Topological Superfluidity that exploits the behavior of the ground state energy of a system with N , $N + 1$, and $N - 1$ particles, for both periodic ($\Phi = 0$) and anti-periodic ($\Phi = \Phi_0 = \frac{h}{2e}$) BC

To identify the parity Switches: $N \in \text{even}$

Even sector

$$\mathcal{E}_0^{\text{even}}(\Phi) = \mathcal{E}_0^\Phi(N)$$

Odd sector

$$\mathcal{E}_0^{\text{odd}}(\Phi) = \frac{1}{2}(\mathcal{E}_0^\Phi(N + 1) + \mathcal{E}_0^\Phi(N - 1))$$

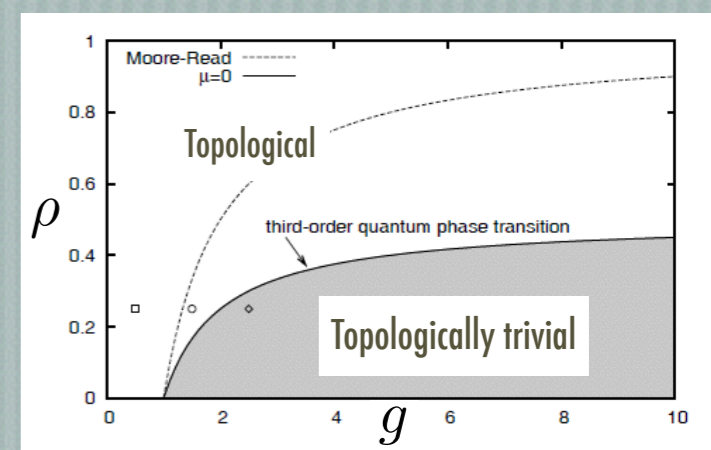
Define: $\chi(\Phi) = \mathcal{E}_0^{\text{odd}}(\Phi) - \mathcal{E}_0^{\text{even}}(\Phi) = \text{Inverse compressibility}$

Parity Switch:

$$\mathcal{P}_N(\Phi) = \text{sign}[\chi(\Phi)]$$

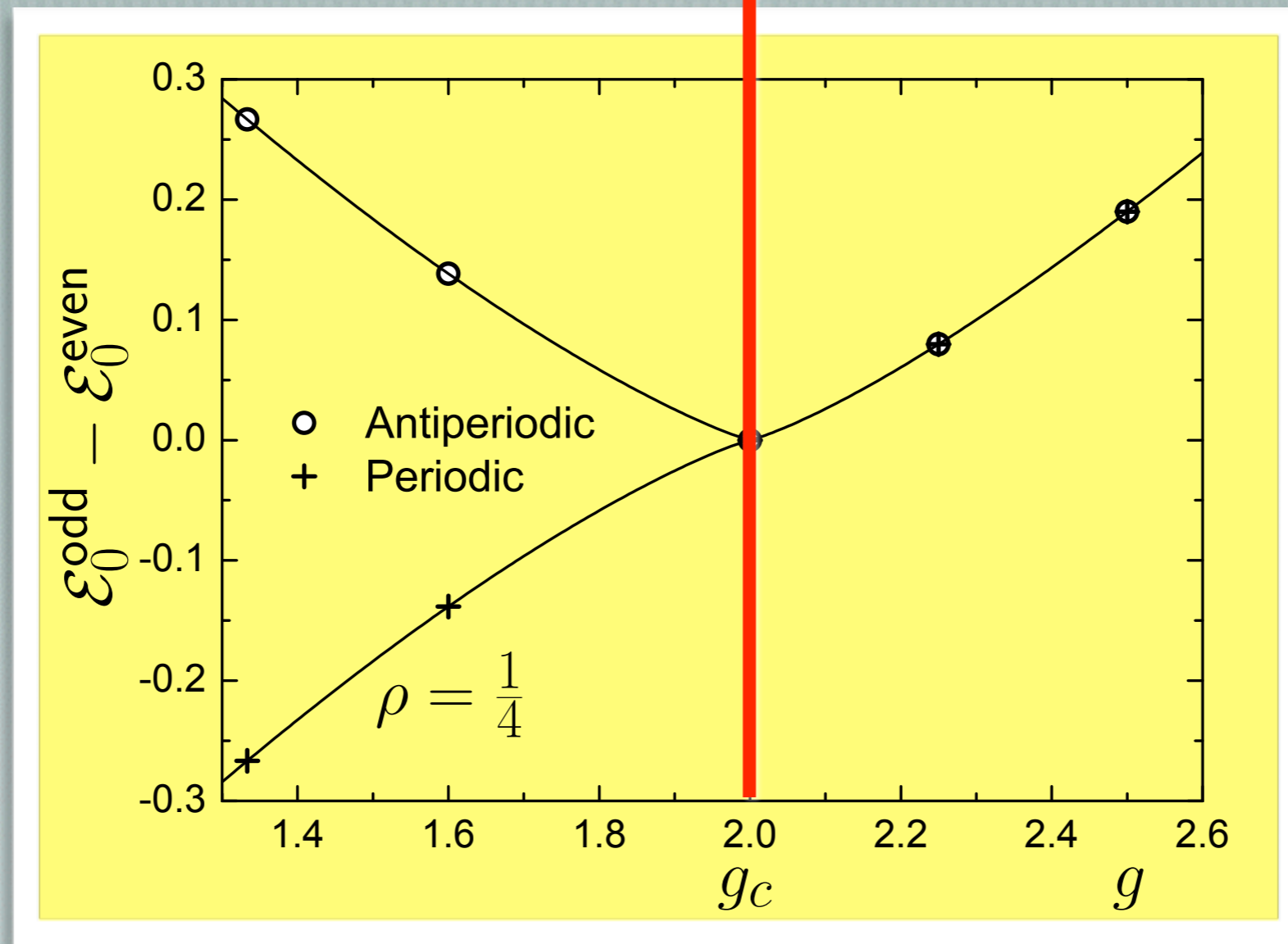


In our Richardson-Gaudin-Kitaev wire:

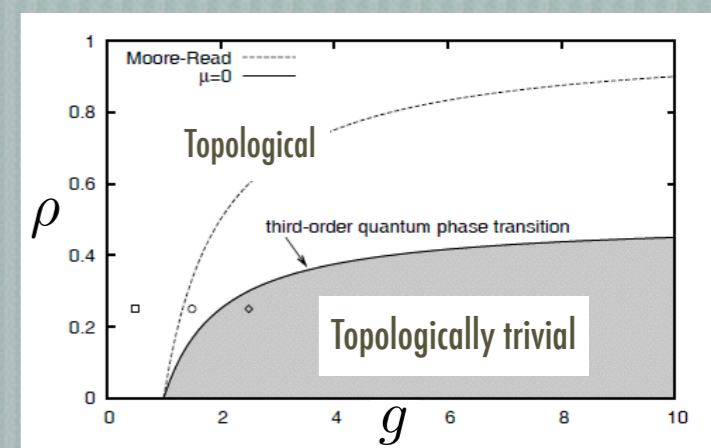


Topological

Topologically Trivial



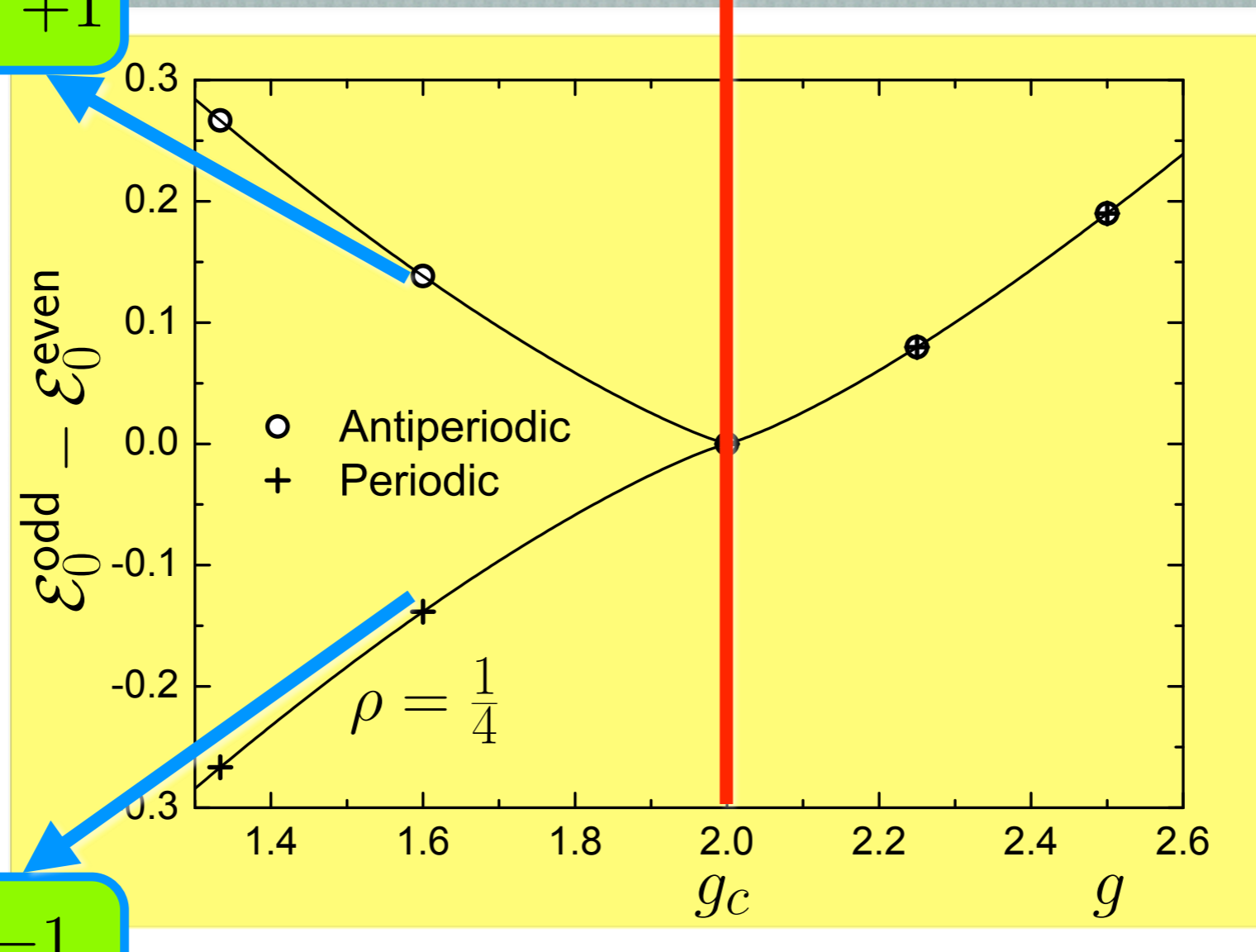
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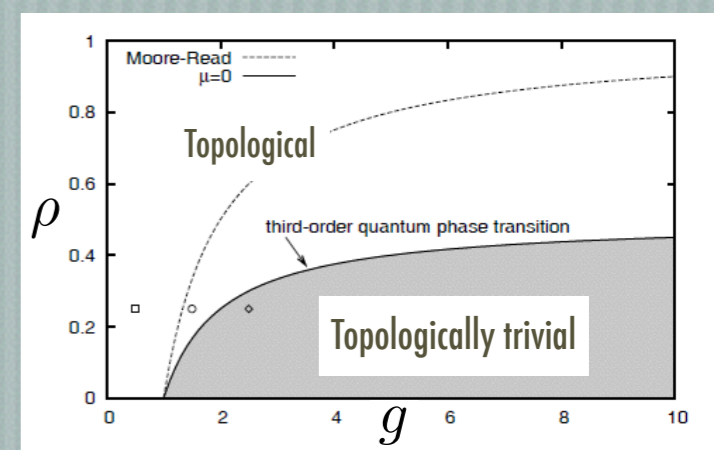
$$\mathcal{P}_N(\Phi = \Phi_0) = +1$$



$$\mathcal{P}_N(\Phi = 0) = -1$$



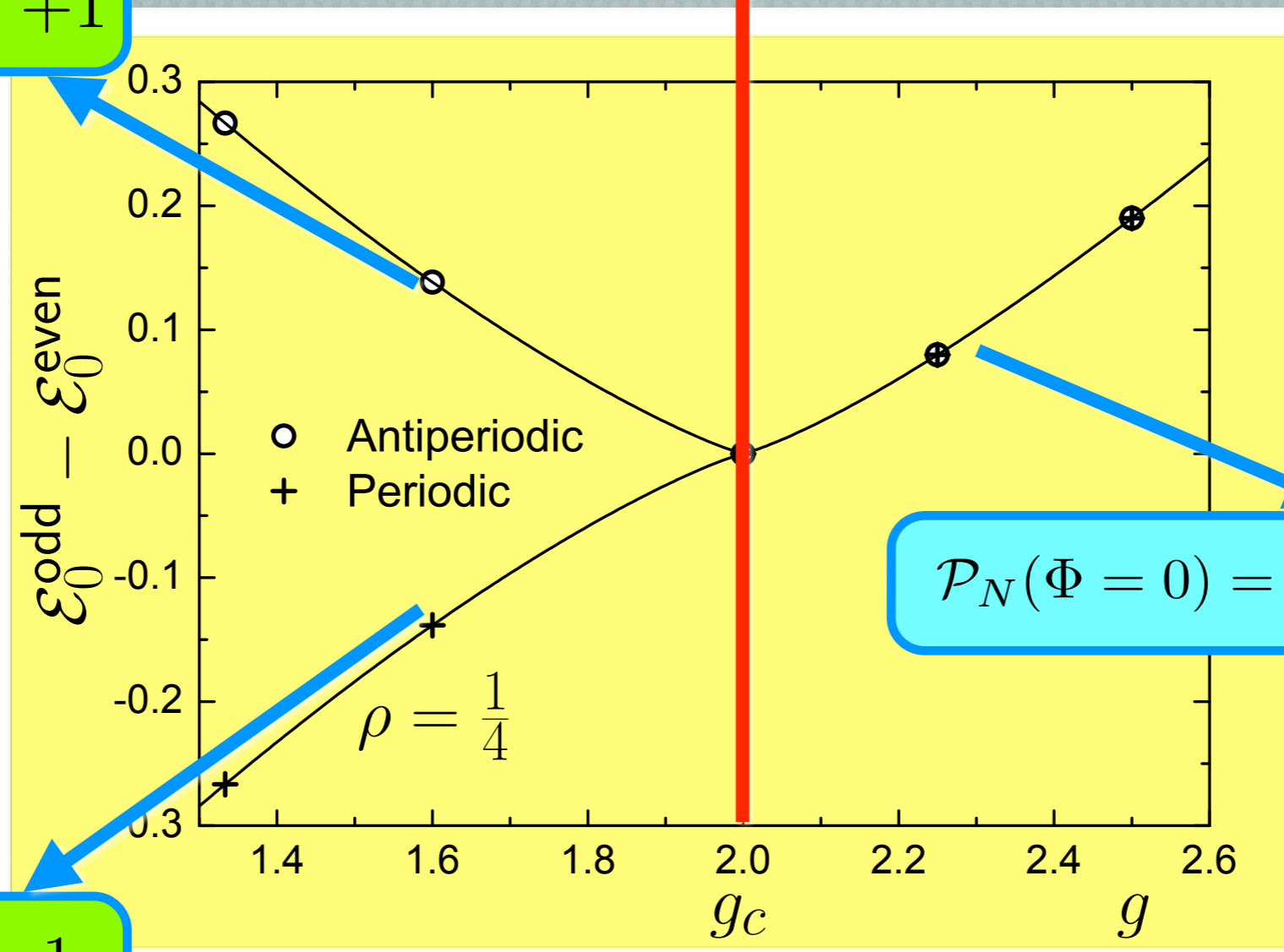
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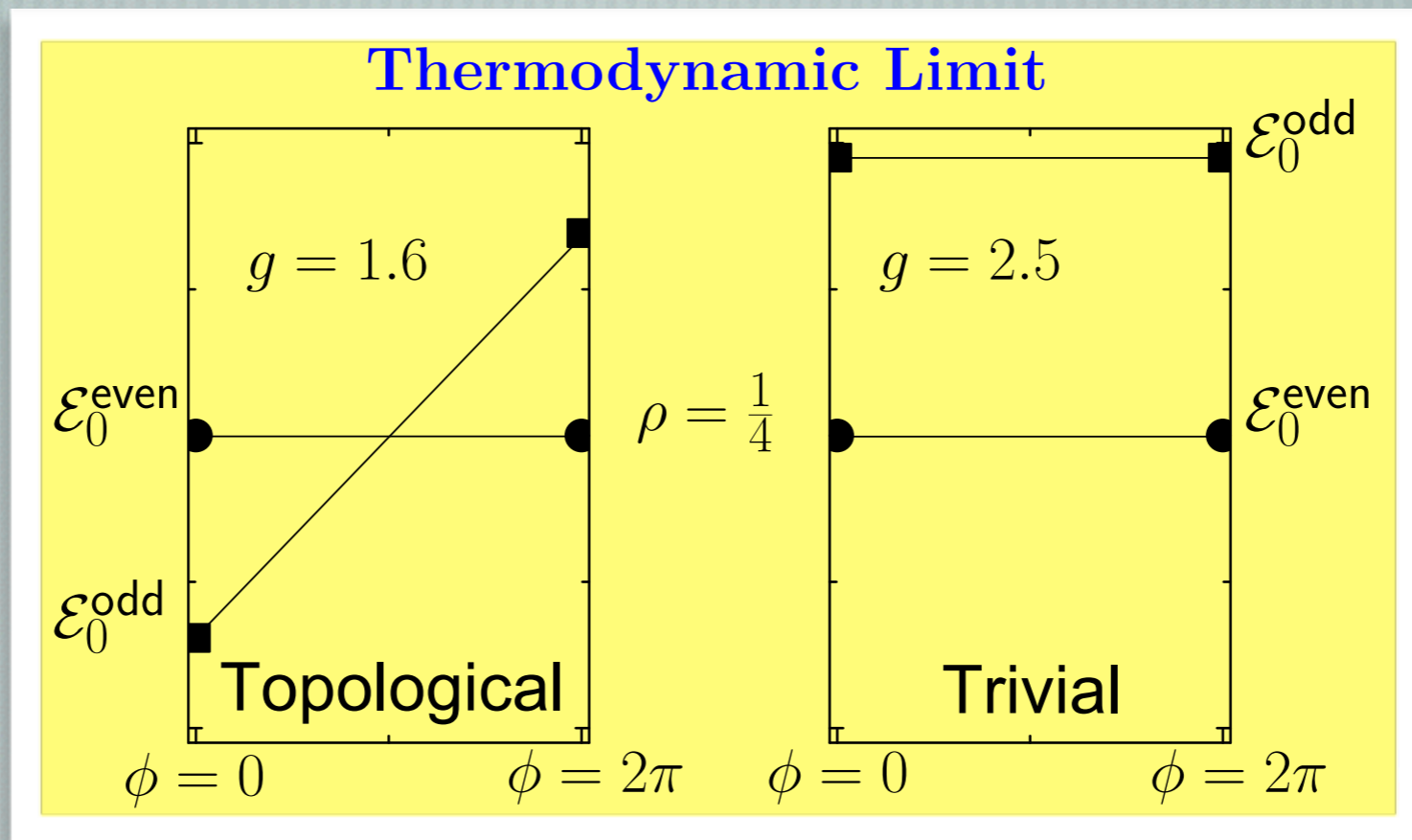
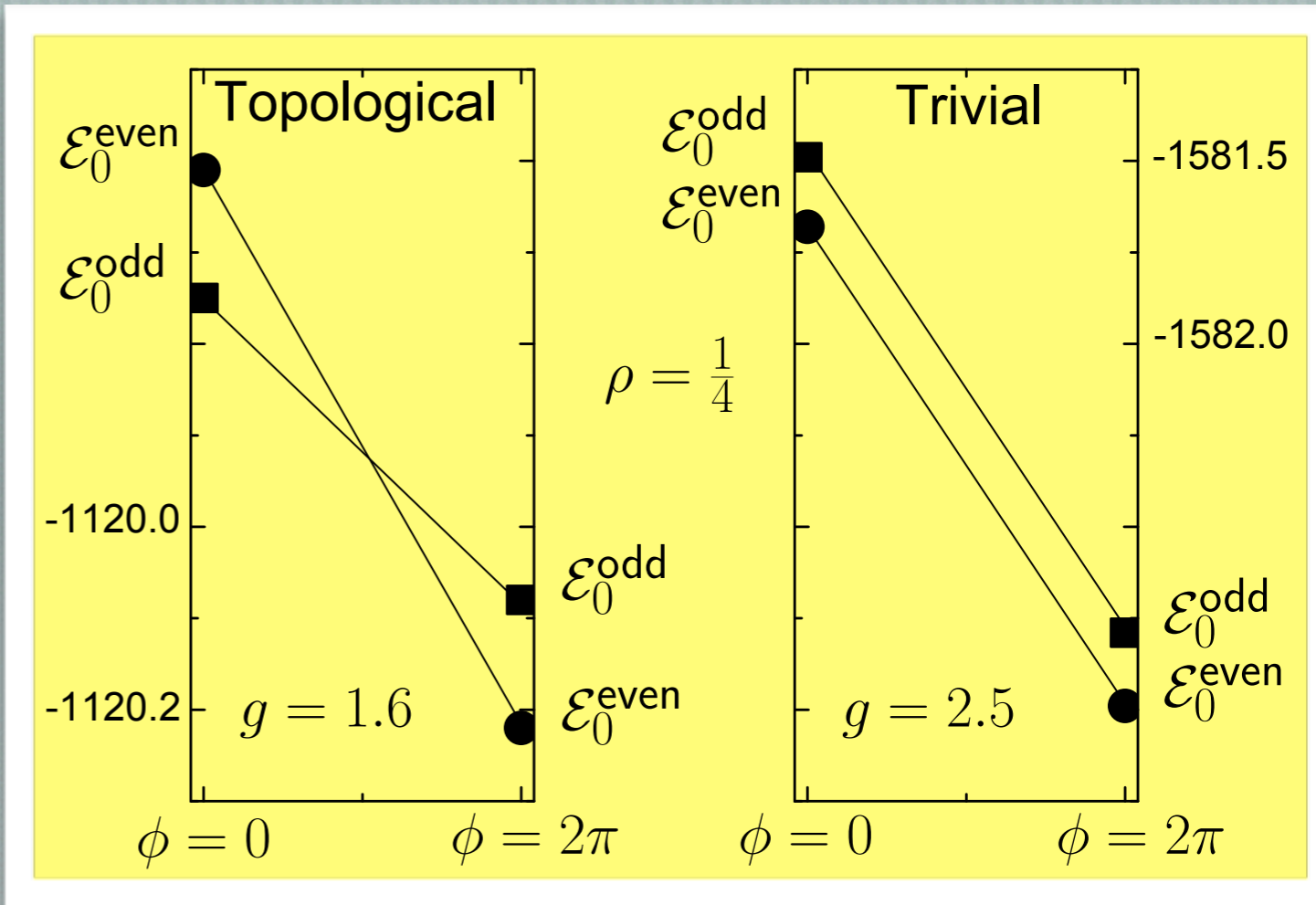
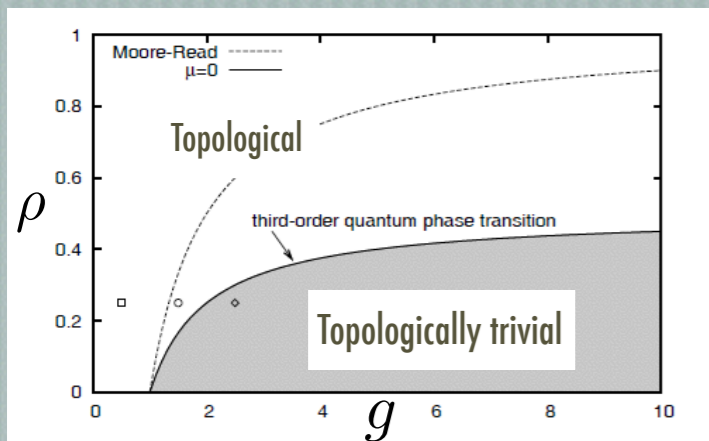


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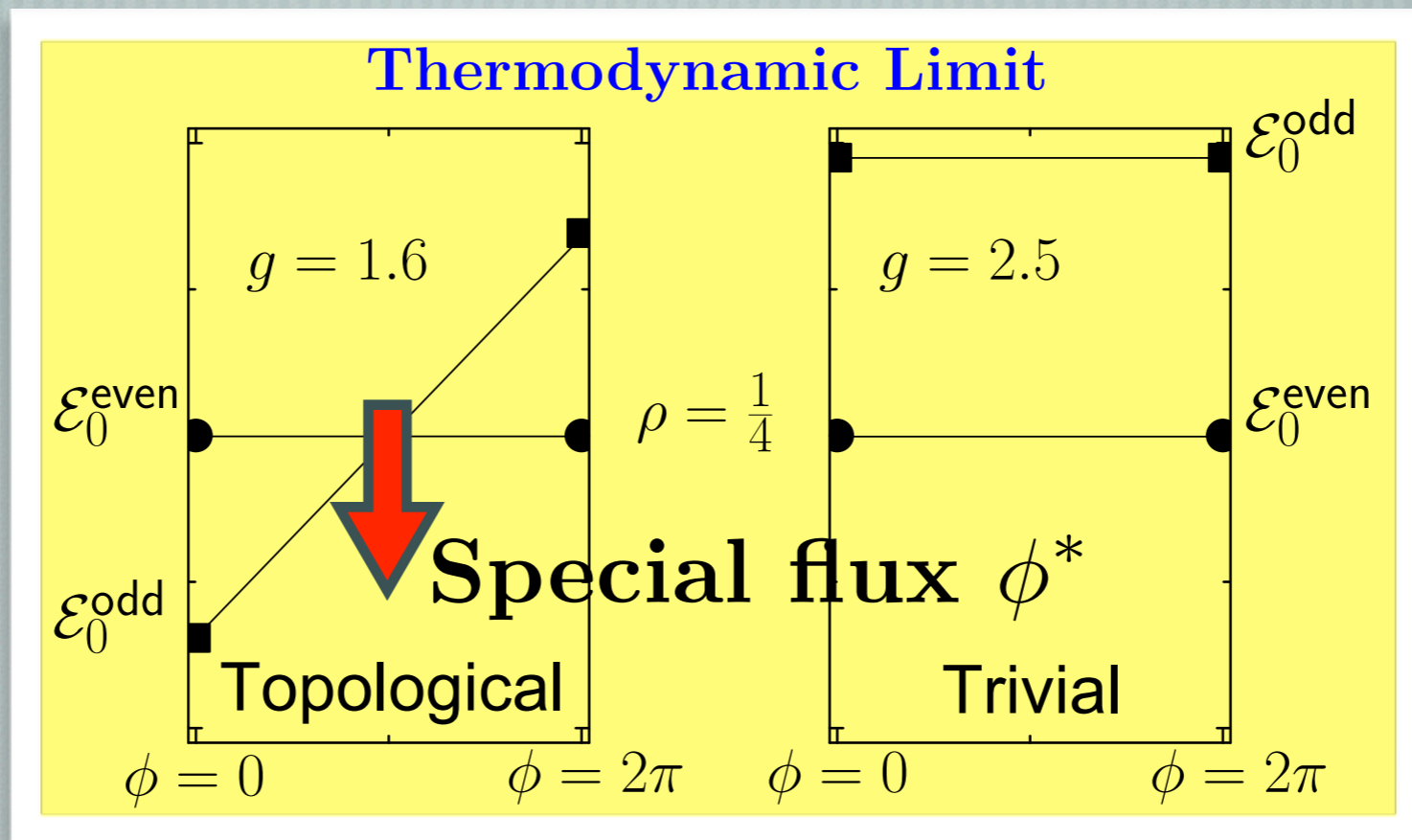
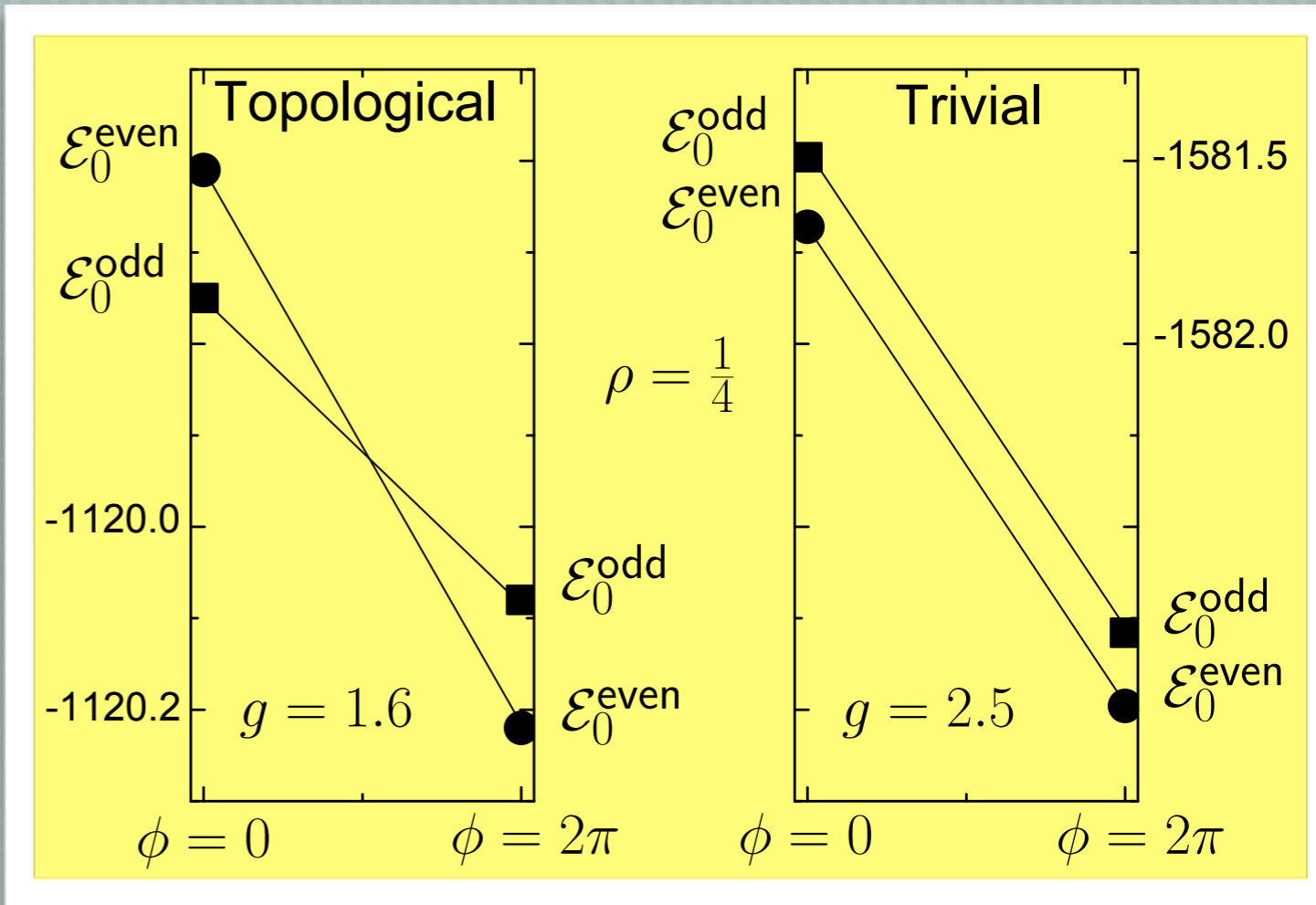
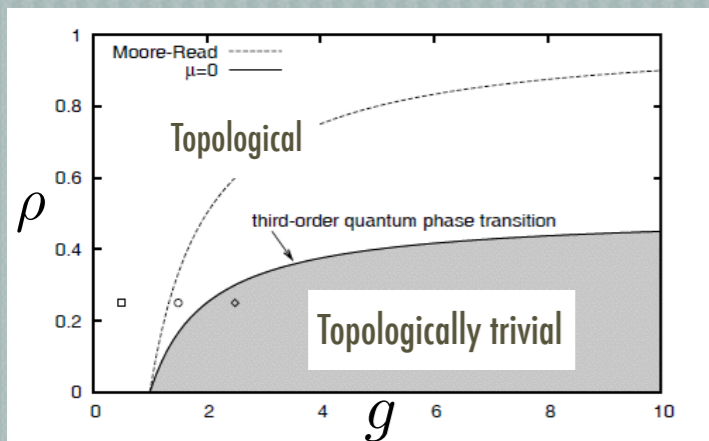
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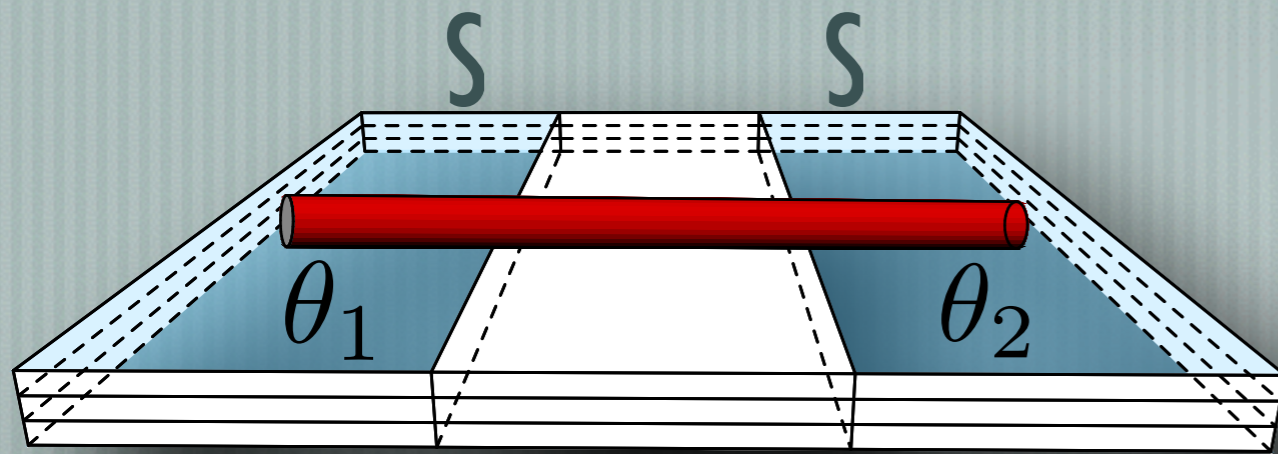
Another way of understanding the meaning of parity switches:



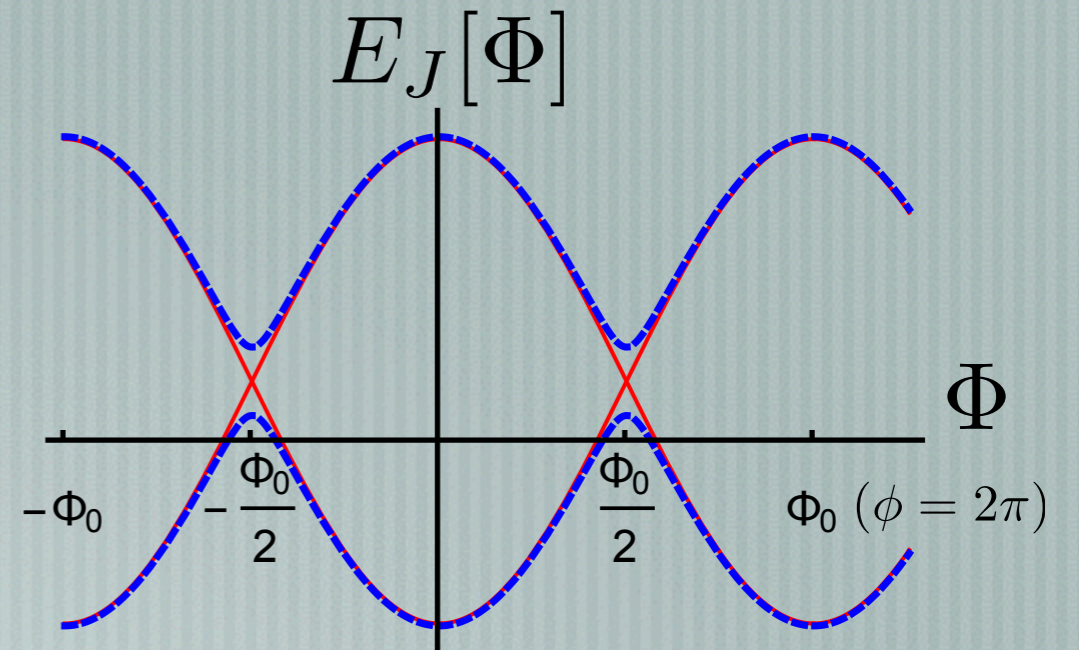
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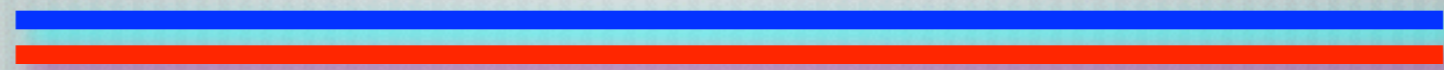
Fermion parity Switches = Fractional Josephson effect



$$\Phi = \theta_1 - \theta_2$$



Despite number-conservation the Fractional Josephson effect remains a physical experimental signature of Topological Superfluidity



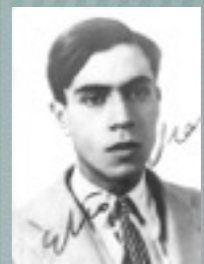
Majoranas ?

Many-Body Zero Modes



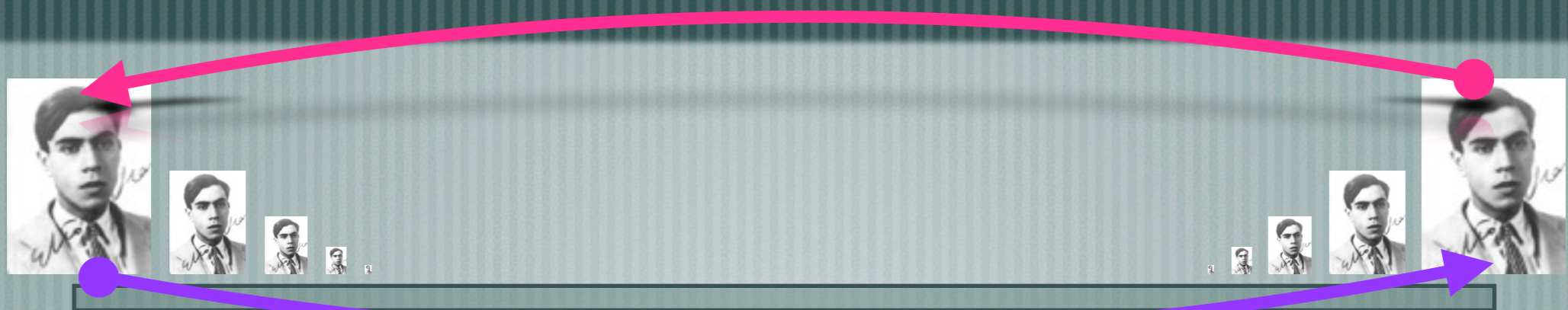
Majoranas ?

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Majoranas ?

Many-Body Zero Modes



What is the meaning/fate of Majorana Modes?

Majoranas ? Many-Body Zero Modes



For a given flux ϕ : $N \in \text{even}$

$$|\Psi_0^{\text{odd}}\rangle = \frac{|\Psi_0^{N+1}\rangle + e^{i\varphi}|\Psi_0^{N-1}\rangle}{\sqrt{2}},$$

$$H_{\text{RGK}}|\Psi_0^{\text{odd}}\rangle = \mathcal{E}_0^{\text{odd}}(\phi)|\Psi_0^{\text{odd}}\rangle + \delta\mathcal{E}_0^{\text{odd}}(\phi)|\tilde{\Psi}_0^{\text{odd}}\rangle,$$

$$\langle\Psi_0^{\text{odd}}|H_{\text{RGK}}|\Psi_0^{\text{odd}}\rangle = \mathcal{E}_0^{\text{odd}}(\phi)$$

$$|\Psi_0^{\text{even}}\rangle = |\Psi_0^N\rangle$$

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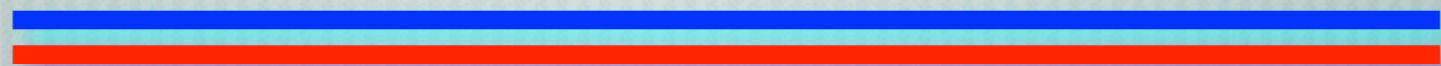
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At this particular flux ϕ^* one can define Emergent zero modes

In terms of transition operators:

$$\hat{T} = |\Psi_0^{\text{even}}\rangle\langle\Psi_0^{\text{odd}}|, \quad \hat{T}^2 = 0, \quad \{\hat{T}, \hat{T}^\dagger\} = \hat{P}_0, \quad \text{and} \quad \hat{P}_0 = |\Psi_0^{\text{even}}\rangle\langle\Psi_0^{\text{even}}| + |\Psi_0^{\text{odd}}\rangle\langle\Psi_0^{\text{odd}}|$$

define:

$$\Gamma_1 = \hat{T} + \hat{T}^\dagger, \quad \text{and} \quad i\Gamma_2 = \hat{T} - \hat{T}^\dagger$$

that satisfy Majorana's algebra:

$$\Gamma_1^2 = \hat{P}_0 = \Gamma_2^2, \quad \text{and} \quad \{\Gamma_1, \Gamma_2\} = 0$$

and Parity relation:

$$i\Gamma_2\Gamma_1 = |\Psi_0^{\text{even}}\rangle\langle\Psi_0^{\text{even}}| - |\Psi_0^{\text{odd}}\rangle\langle\Psi_0^{\text{odd}}|$$



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Are these Majorana Zero-Energy Modes?



Consider the commutators:

$$[H_{\text{RGK}}, \Gamma_1] = \delta \mathcal{E}_0^{\text{odd}}(\phi^*) (|\tilde{\Psi}_0^{\text{odd}}\rangle \langle \Psi_0^{\text{even}}| - |\Psi_0^{\text{even}}\rangle \langle \tilde{\Psi}_0^{\text{odd}}|),$$

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Moreover, they connect even and odd parity sectors:

$$\Gamma_1 |\Psi_0^{\text{even}}\rangle = |\Psi_0^{\text{odd}}\rangle, \quad \Gamma_2 |\Psi_0^{\text{even}}\rangle = i |\Psi_0^{\text{odd}}\rangle$$



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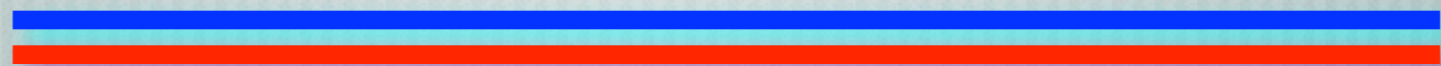
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Violation of charge-superselection rule?

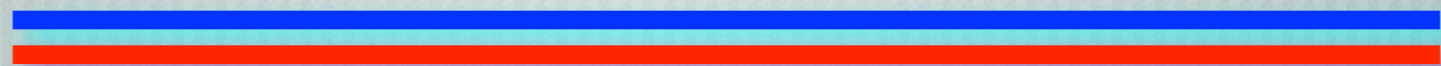


Conclusions



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 - Fermion Parity Switches allow characterization
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 - Coherent superpositions of states with different # of particles
 - $\Gamma_{1,2}$ modes anti-commute with fermionic parity
 - Non-number conserving in number conserving systems

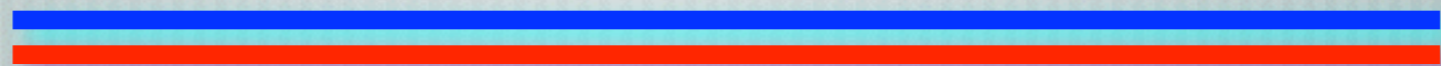


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What is the meaning of Many-body Majorana localization ?

