

# uPDFs in Monte Carlo generators

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H. Jung (DESY, Uni Antwerp)

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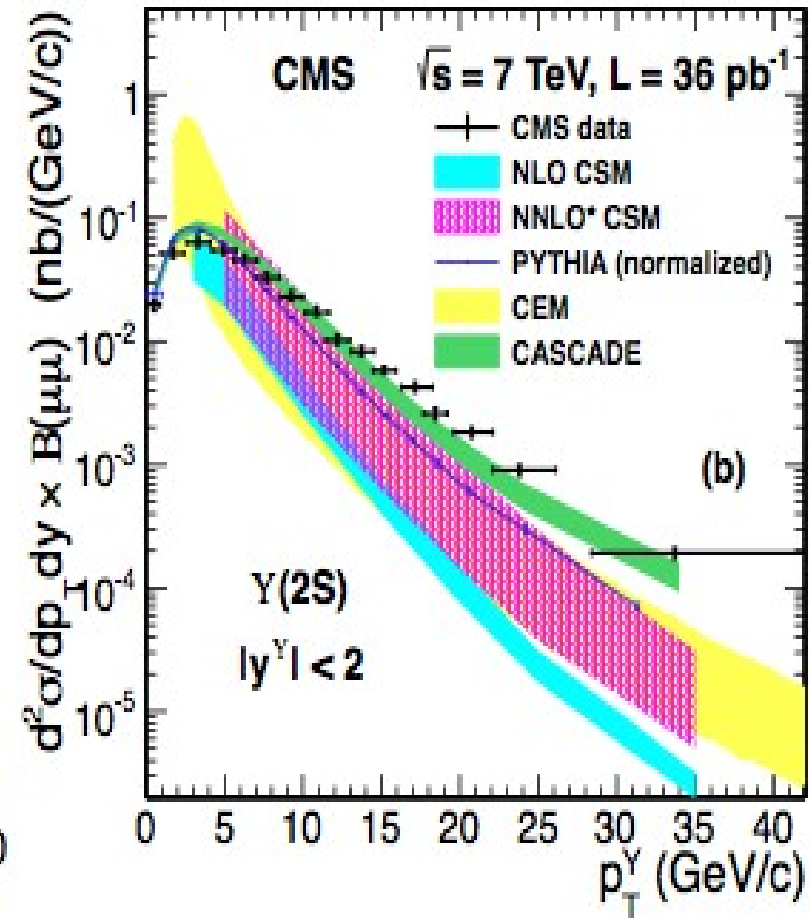
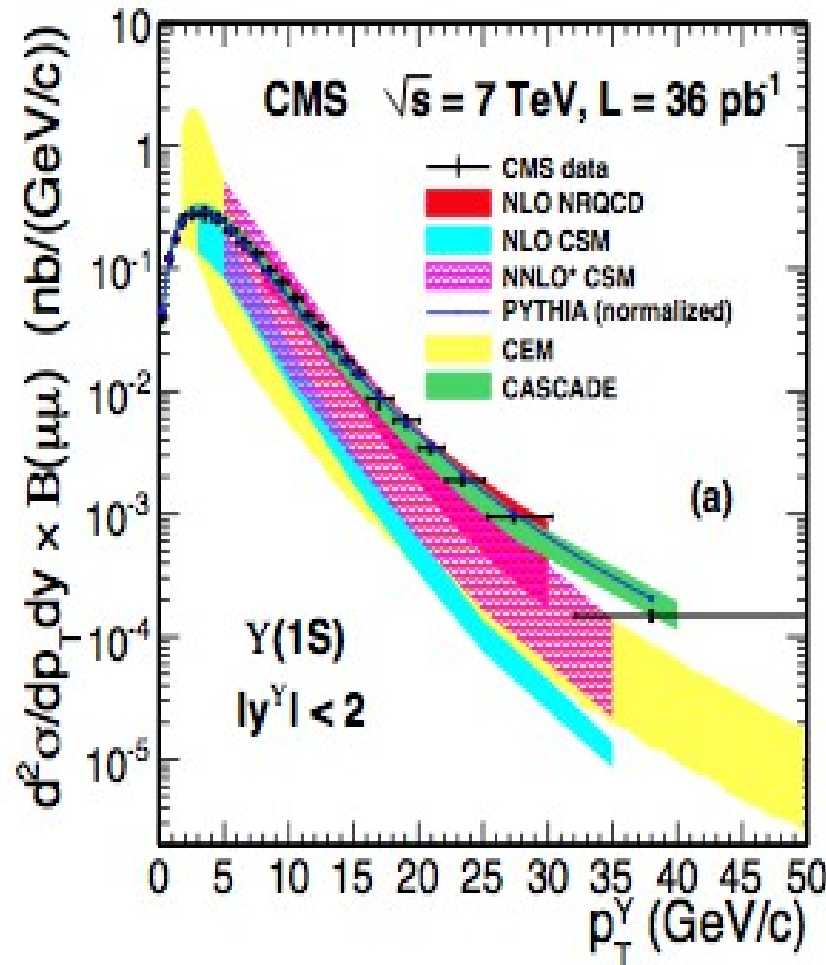
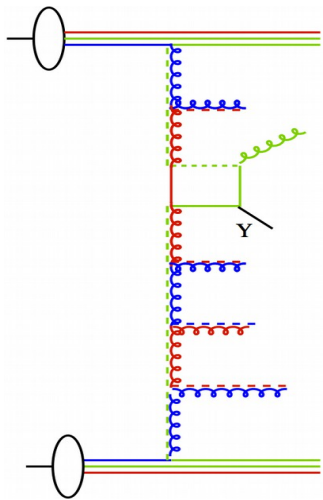
- uPDFs (TMDs) in MCs
  - why are they needed ?
  - how can they be determined ?
    - CCFM gluon uPDF
      - fits to inclusive DIS and uncertainties
        - description of DIS at HERA
        - description of hard processes at the LHC ?
  - the small  $k_t$  region at small  $x$

# Upsilon production

$$g^*g^* \rightarrow \Upsilon g, \quad g^*g^* \rightarrow \chi_b \rightarrow \Upsilon + X$$

CMS arXiv:1303.5900

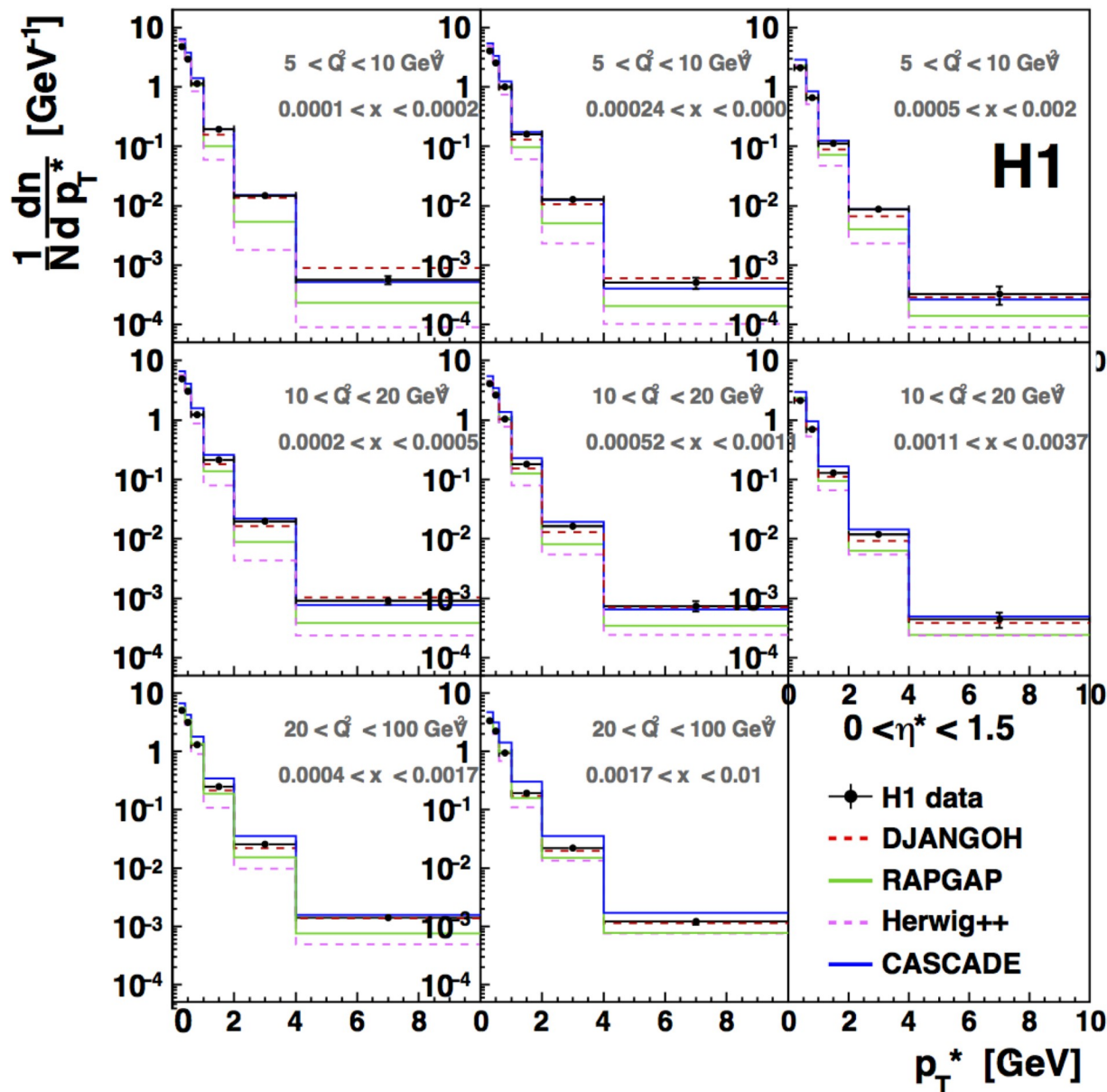
Measurement of the Y(1S), Y(2S), and Y(3S) cross sections in pp collisions at  $\sqrt{s} = 7$  TeV



- Using TMDs with off-shell ME gives rather good description, without further tuning
- NNLO CSM is **not as good** !

# Charged particle spectra as fct of $p_t^*$ in DIS

H1 Coll. EPJC 73 (2013) 2406

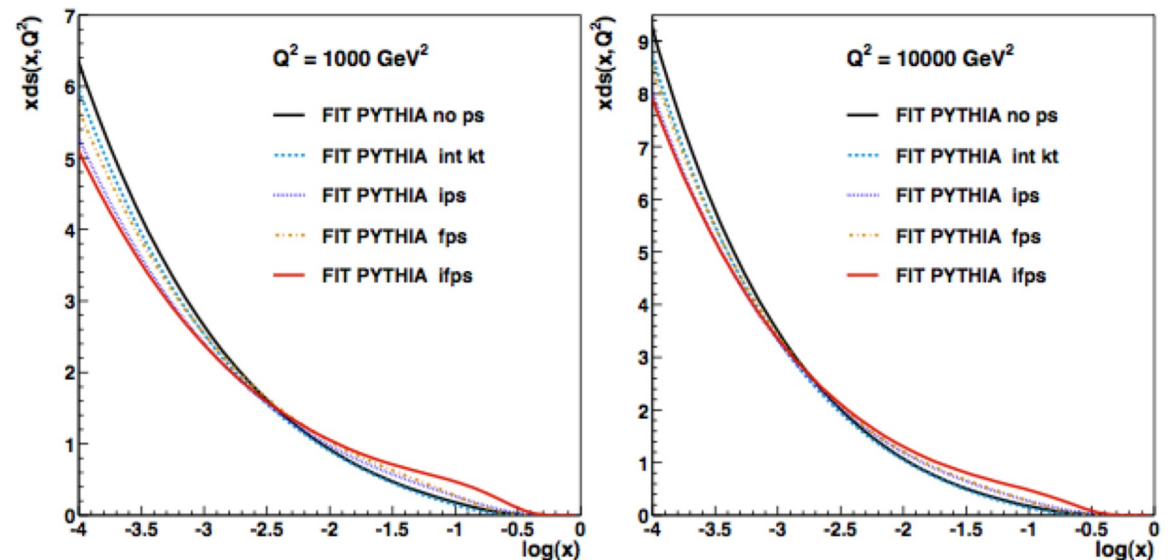
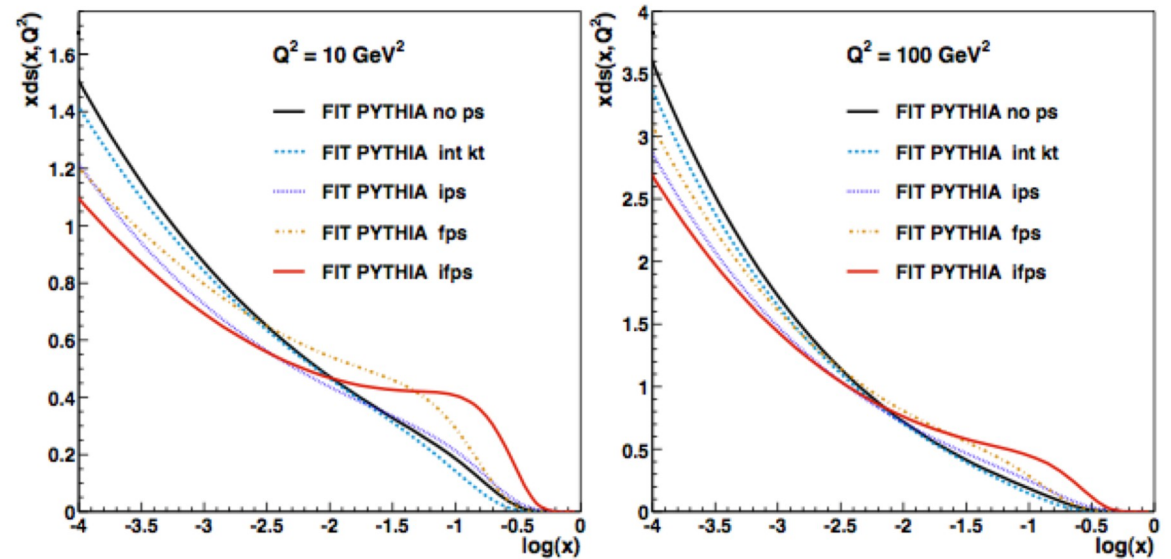


- particle spectra as fct of  $p_t^*$  give constraints on hardness of partons in parton shower
- collinear shower models (RAPGAP) generate too soft spectra compared to measurement
- small x improved (CCFM) shower (CASCADE) and CDM (DJANGO) generate harder spectrum  $\rightarrow$  closer to measurement at large  $p_t^*$

# Kinematic effects in PDF determination

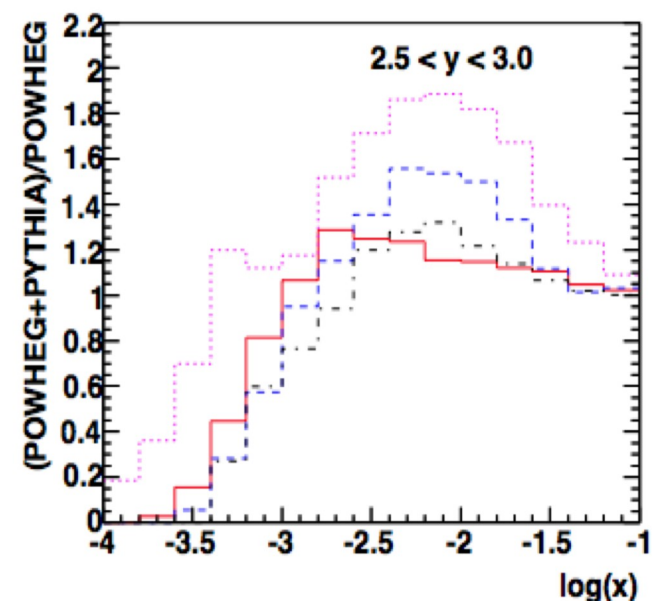
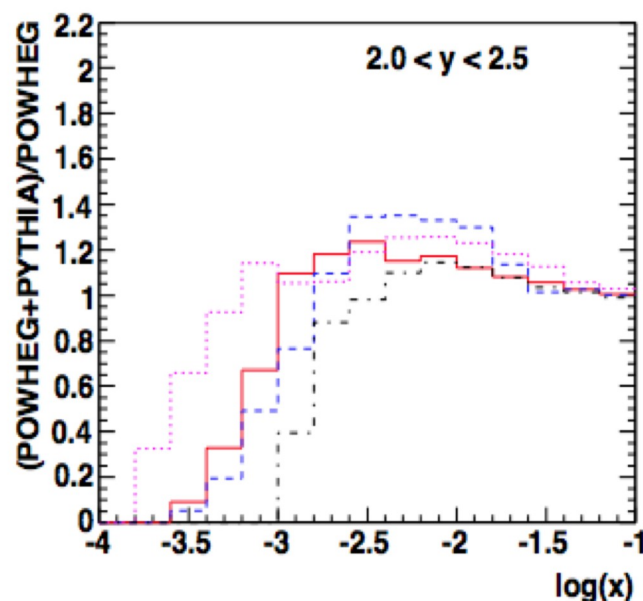
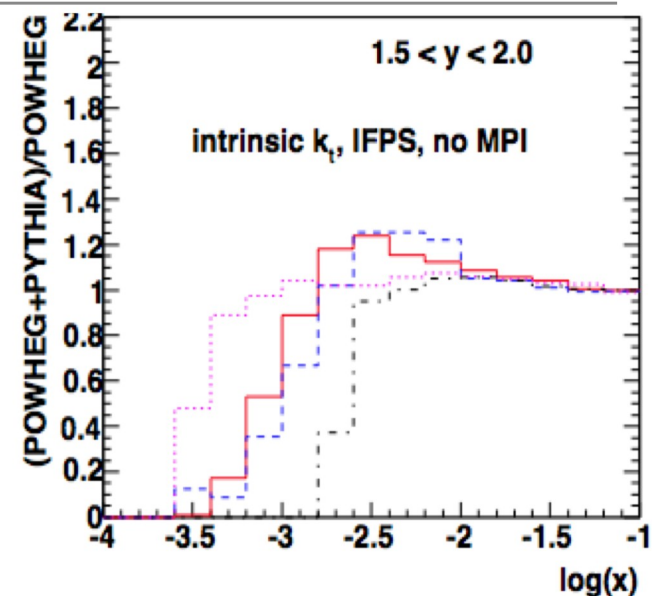
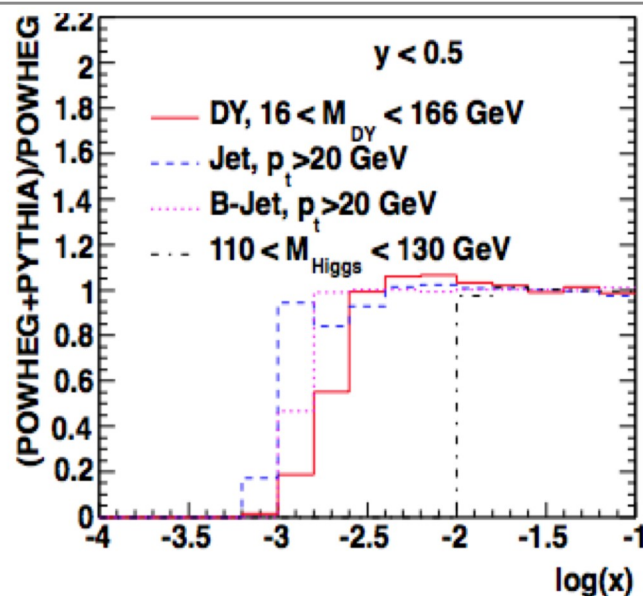
Determination of parton density functions using Monte Carlo event generator Federicon  
Samson-Himmelstjerna /afs/desy.de/group/h1/psfiles/theses/h1th-516.pdf

- perform fits to  $F_2$  using a Monte Carlo event generator which includes parton showers and intrinsic  $k_t$
- the resulting PDFs agree with standard LO ones if no PS and intrinsic  $k_t$  is applied.
- the final PDFs are different because of kinematic effects coming from transverse momenta of PS and intrinsic  $k_t$



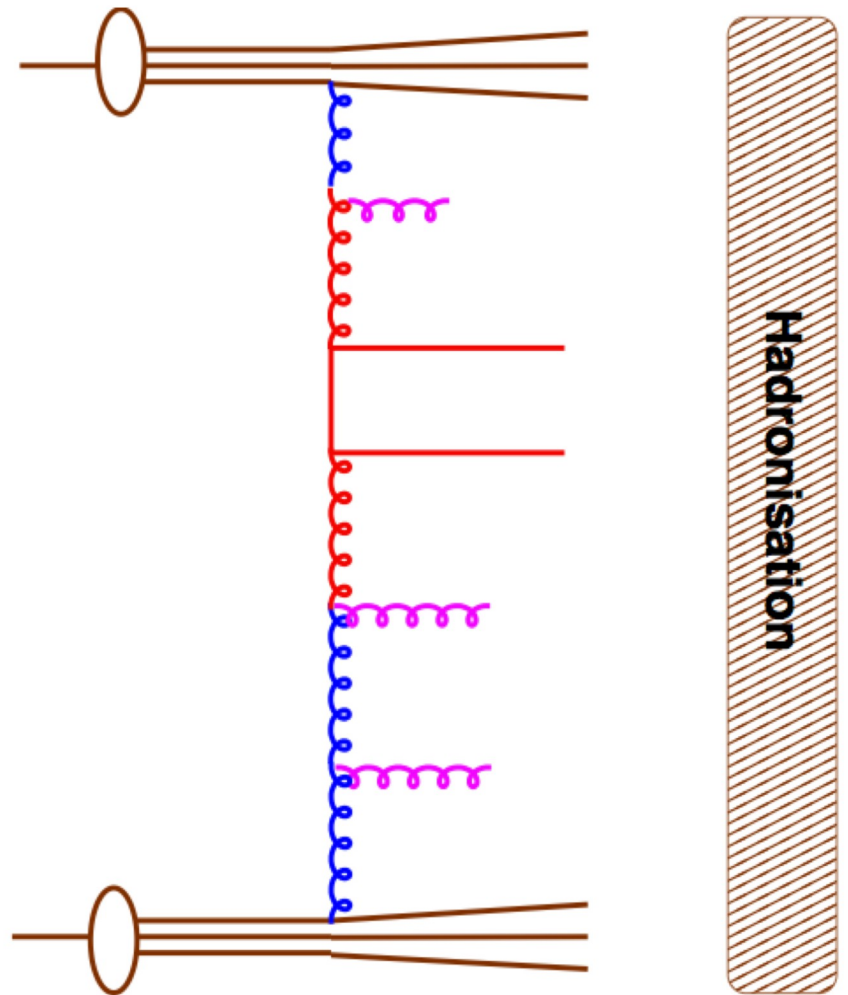
# Transverse momentum effects in pp

- Transverse momentum effects are relevant for many processes at LHC
- parton shower matched with NLO (POWHEG) generates additional  $k_t$ , leading to energy-momentum mismatch
- Transverse momentum effects are visible in high  $p_t$  processes, not only at small  $x$



# uPDFs, x-sections and MCs

- basic elements are:
  - Matrix Elements:
    - on shell/off shell
  - PDFs
    - unintegrated PDFs
  - Parton Shower
    - angular ordering (CCFM)
- Proton remnant and hadronization handled by standard hadronization program, e.g. PYTHIA

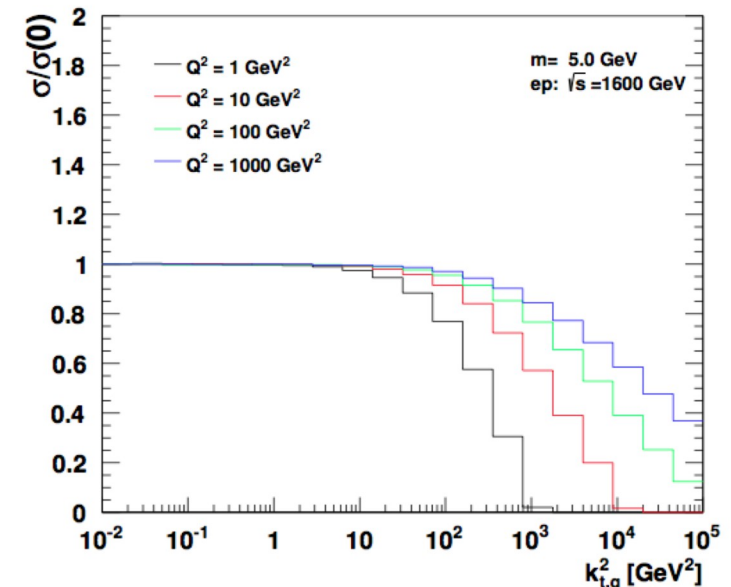
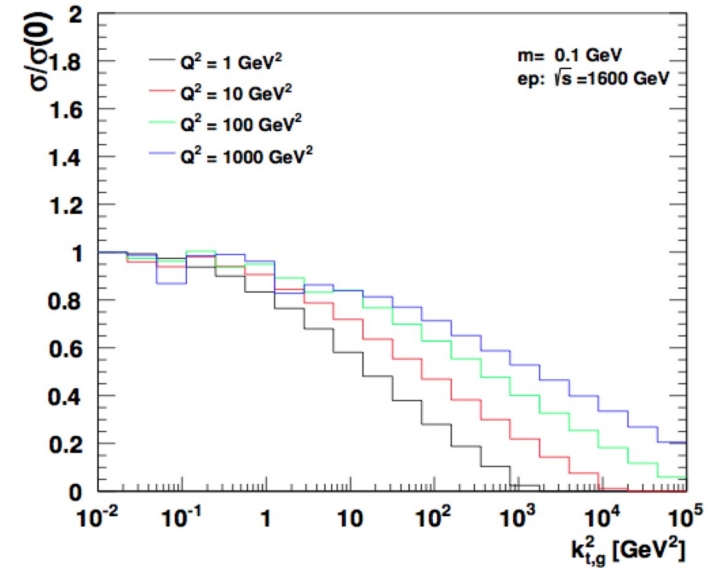


$$\sigma(pp \rightarrow q\bar{q} + X) = \int \frac{dx_{g1}}{x_{g1}} \frac{dx_{g2}}{x_{g2}} \int d^2 k_{t1} d^2 k_{t2} \hat{\sigma}(\hat{s}, k_t, \bar{q}) \times x_{g1} \mathcal{A}(x_{g1}, k_{t1}, \bar{q}) x_{g2} \mathcal{A}(x_{g2}, k_{t2}, \bar{q})$$

# Why off-shell matrix elements ?

- Behavior of ME as function of  $k_t$ :
  - for small  $k_t$  converges to collinear result
  - for large  $k_t$  has suppression
  - suppression appears at “standard factorization scale”:  
 $Q^2 + 4 m^2$
  - collinear factorization:  
 $\mu^2 \sim Q^2 + 4 m^2$  :

$$\int_0^{\mu^2} dk_{\perp} \hat{\sigma}(k_{\perp}, \dots)$$



# Which uPDFs ?

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- take derivative of integrated PDF:

$$f(x, k_{\perp}^2) = \frac{dg(x, k_{\perp}^2)}{dk_{\perp}^2} = \left[ \frac{\alpha_s}{2\pi} \int_x^{1-\delta} P(z) g\left(\frac{x}{z}, k_{\perp}^2\right) dz \right]$$

- KMR approach:

$$f(x, k_{\perp}^2, \mu^2) = \frac{dg(x, \mu^2)}{d\mu^2} \exp\left(-\int_{k_{\perp}^2}^{\mu^2} \frac{\alpha_s}{2\pi} d\log k_{\perp}^2 \sum_i \int_0^1 P(z') dz'\right)$$

- generated from integrated PDF, only last emission generates transverse momentum via sudakov form factor.
- appropriate form DGLAP with strong ordering....
- this is what is done in all standard parton shower MCs



# Which uPDFs ? CCFM approach

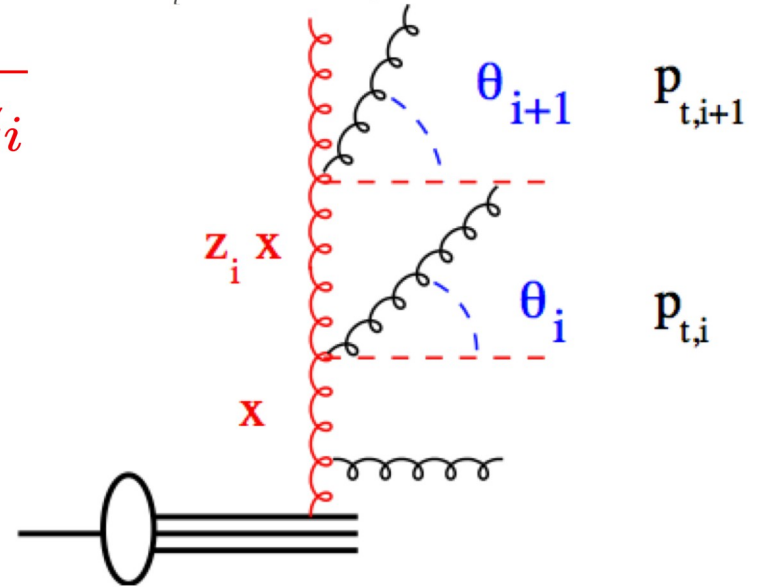
- Color coherence requires angular ordering instead of  $p_t$  ordering ...

$$q_i > z_{i-1} q_{i-1} \quad \text{with} \quad q_i = \frac{p_{ti}}{1 - z_i}$$

→ recover DGLAP with  $q$  ordering  
at medium and large  $x$

→ at small  $x$ , no restriction on  $q$   
 $p_{ti}$  can perform a random walk

→ **splitting fct:**



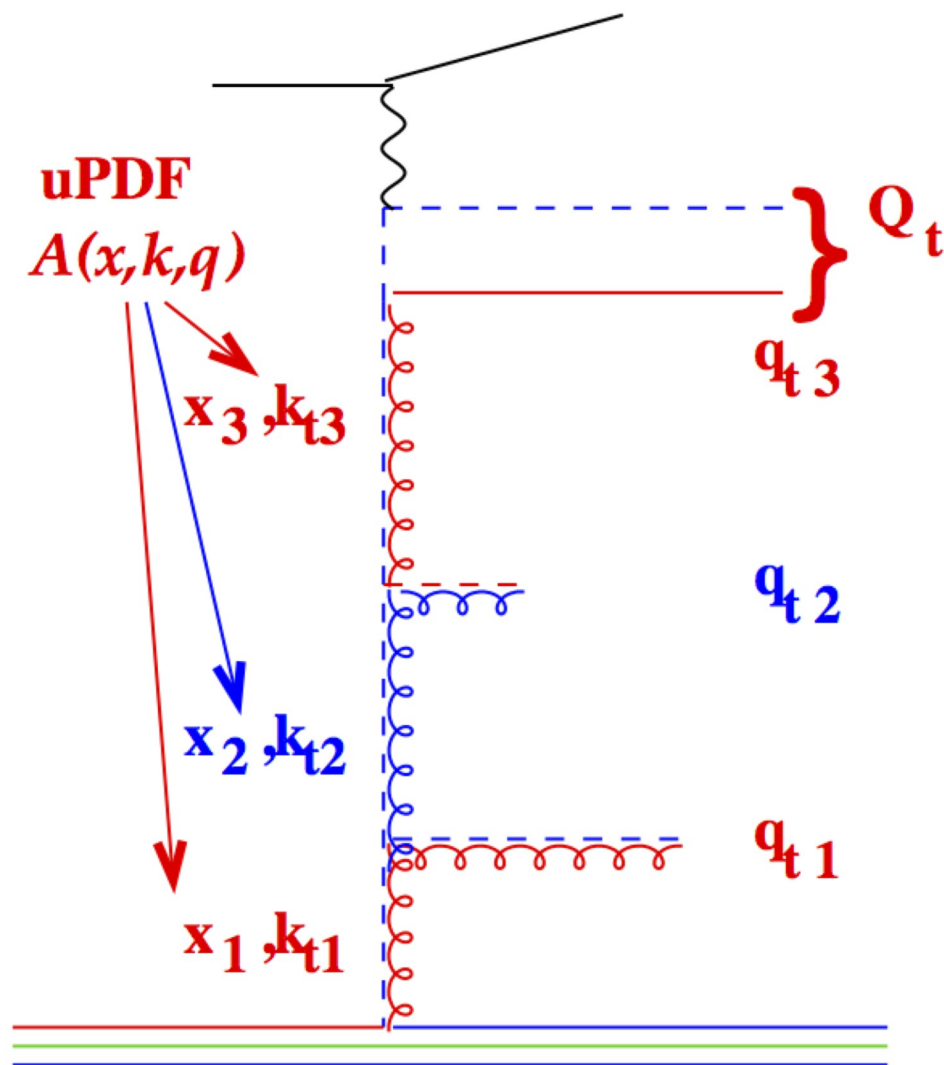
$$\tilde{P}_g(z, q, k_t) = \bar{\alpha}_s \left[ \frac{1}{1-z} - 1 + \frac{z(1-z)}{2} + \left( \frac{1}{z} - 1 + \frac{z(1-z)}{2} \right) \Delta_{ns} \right]$$

$$\log \Delta_{ns} = -\bar{\alpha}_s \int_0^1 \frac{dz'}{z'} \int \frac{dq^2}{q^2} \Theta(k_t - q) \Theta(q - z' p_t)$$

→ **C**atani **C**iafaloni **F**iorani **M**archesini evolution forms a bridge between DGLAP and BFKL evolution

# Initial state parton showers using uPDFs

- Backward evolution from hard scattering towards proton
- No change in kinematics of hard scattering, since  $k_t$  of initial state partons treated by uPDF
- In all branchings kinematics are constraint by uPDF
- using the same frame for uPDF evolution and parton shower, no free or additional parameters are left for shower



For precision predictions  
need  
precision uPDFs  
with  
uncertainties !

# Evolution equation and uPDFs

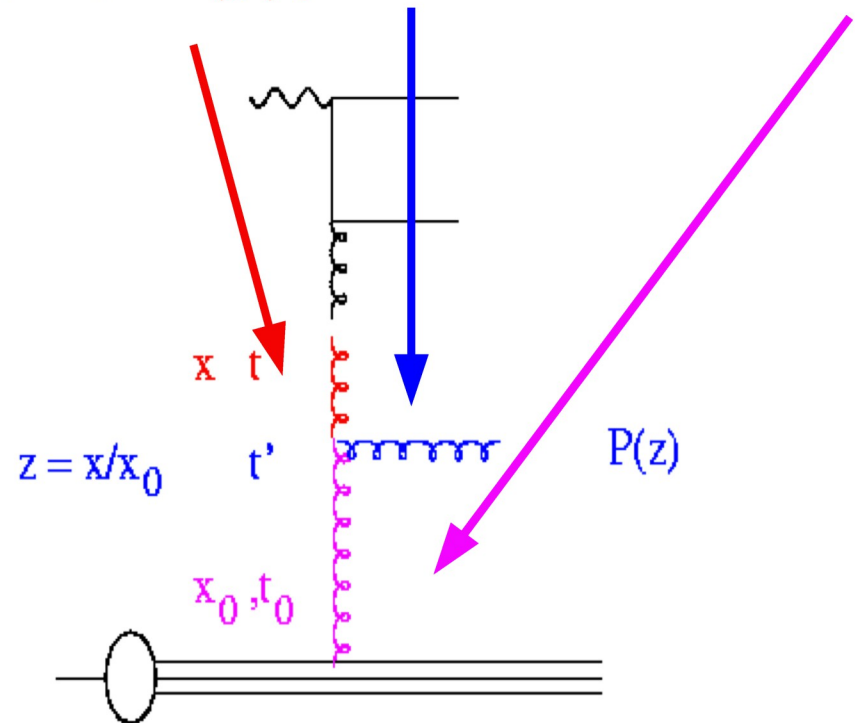
$$x\mathcal{A}(x, k_t, q) = x\mathcal{A}(x, k_t, q_0)\Delta_s(q) + \int dz \int \frac{dq'}{q'} \cdot \frac{\Delta_s(q)}{\Delta_s(q')} \tilde{P}(z, k_t, q') \frac{x}{z} \mathcal{A}\left(\frac{x}{z}, q'\right)$$

- solve integral equation via iteration:

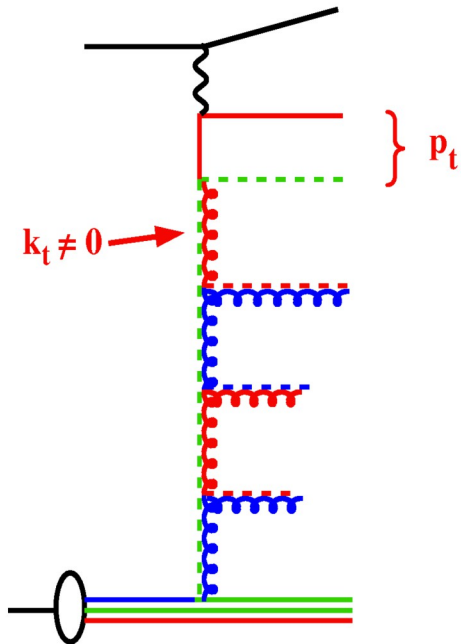
$$x\mathcal{A}_0(x, k_t, q) = x\mathcal{A}(x, k_t, q_0)\Delta(q) \quad \begin{array}{l} \text{from } q' \text{ to } q \\ \text{w/o branching} \end{array} \quad \begin{array}{l} \text{branching at } q' \end{array} \quad \begin{array}{l} \text{from } q_0 \text{ to } q' \\ \text{w/o branching} \end{array}$$

$$x\mathcal{A}_1(x, k_t, q) = x\mathcal{A}(x, k_t, q_0)\Delta(q) + \int \frac{dq'}{q'} \frac{\Delta(q)}{\Delta(q')} \int dz \tilde{P}(z) \frac{x}{z} \mathcal{A}(x/z, k'_t, q_0)\Delta(q')$$

- Note: evolution equation formulated with Sudakov form factor is equivalent to “plus” prescription, but better suited for numerical solution for treatment of kinematics



# uPDFs from $F_2(x, Q^2)$ at small $x$ – general case



- $$\frac{d\sigma}{dx dQ^2} = \int dx_g [dk_{\perp}^2 x_g \mathcal{A}_i(x_g, k_{\perp}^2, p)] \times \hat{\sigma}(x_g, k_{\perp}^2, x, \mu_f^2, Q^2)$$

$\hat{\sigma}(x_g, k_{\perp}^2, x, \mu_f^2, Q^2)$  is (off-shell,  $k_t$  -dependent) hard scattering cross section

- until now, only gluon uPDFs were determined
- valence quarks from starting distribution of HERAPDF1.5

$$xQ_v(x, k_t, p) = xQ_{v0}(x, k_t, p) + \int \frac{dz}{z} \int \frac{dq^2}{q^2} \Theta(p - zq) \times \Delta_s(p, zq) P(z, k_t) xQ_v\left(\frac{x}{z}, k_t + (1-z)q, q\right)$$

$$P(z, k_t) = \bar{\alpha}_s(k_t^2) \frac{1+z^2}{1-z}$$

# Determination of uPDFs (TMDs)

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- Apply formalism to describe HERA  $F_2$  measurements
  - start with gluon only for small  $x$
  - CCFM with full angular ordering  $\rightarrow$  no  $k_t$  ordering at small  $x$
  - include valence quarks (for large  $x$ )
- starting distribution for gluon at  $q_0$  :

$$x\mathcal{A}_0(x, k_\perp) = Nx^{-B} \cdot (1-x)^C (1-Dx + E\sqrt{x}) \exp[-k_t^2/\sigma^2]$$

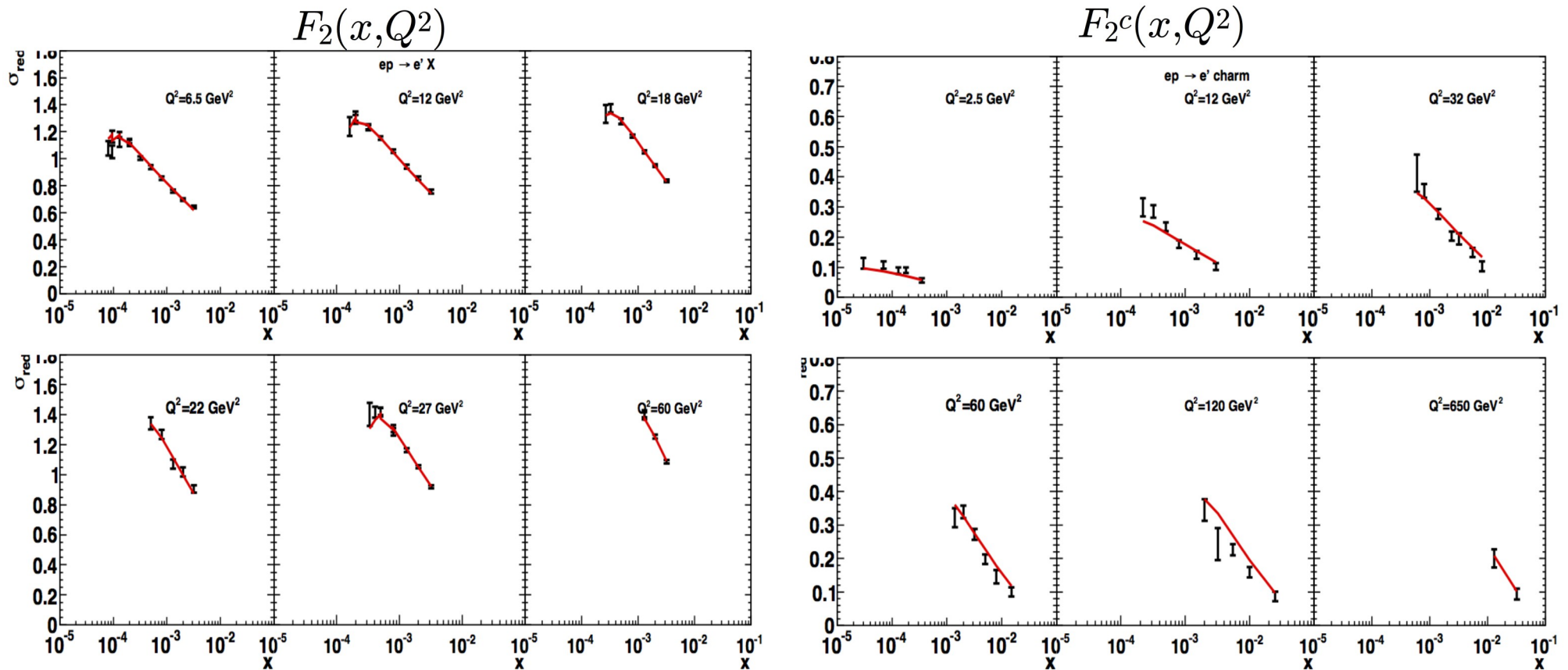
- starting distribution for valence quarks at  $q_0$ :

$$xQ_{v0}(x, k_t, p) = xQ_{v0}(x, k_t, q_0)\Delta_s(p, q_0)$$

$$xQ_{v0}(x, k_t, q_0) = xQ_{v\text{CTEQ66pdf}}(x, q_0) \exp[-k_t^2/\sigma^2]$$

$$\text{with } \sigma^2 = q_0^2/2$$

# From HERA: small x improved gluon uPDF



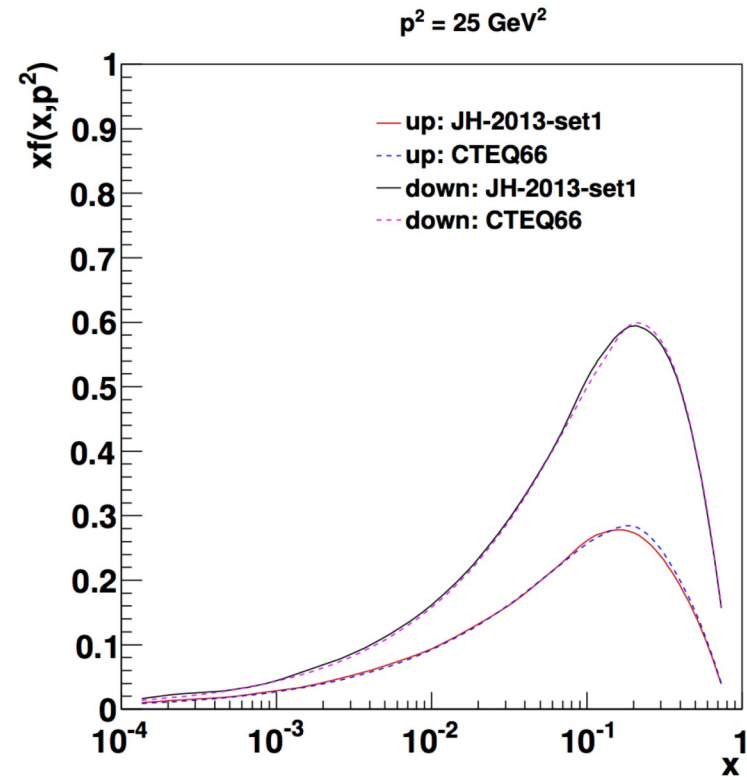
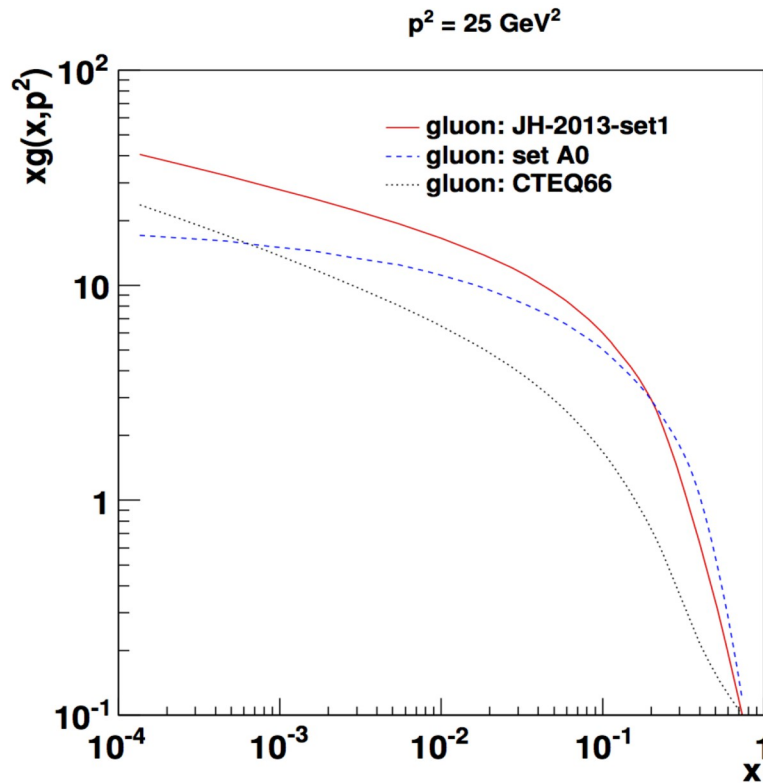
- fit performed with `herafitter` package (full treatment of corr. and uncorr. uncertainties)

- $F_2^c(x, Q^2): Q^2 \geq 2.5 \text{ GeV}$

- $F_2(x, Q^2): x \leq 0.005, Q^2 \geq 5 \text{ GeV}$

- very good  $\chi^2/ndf$  obtained ( $\sim 1$ )

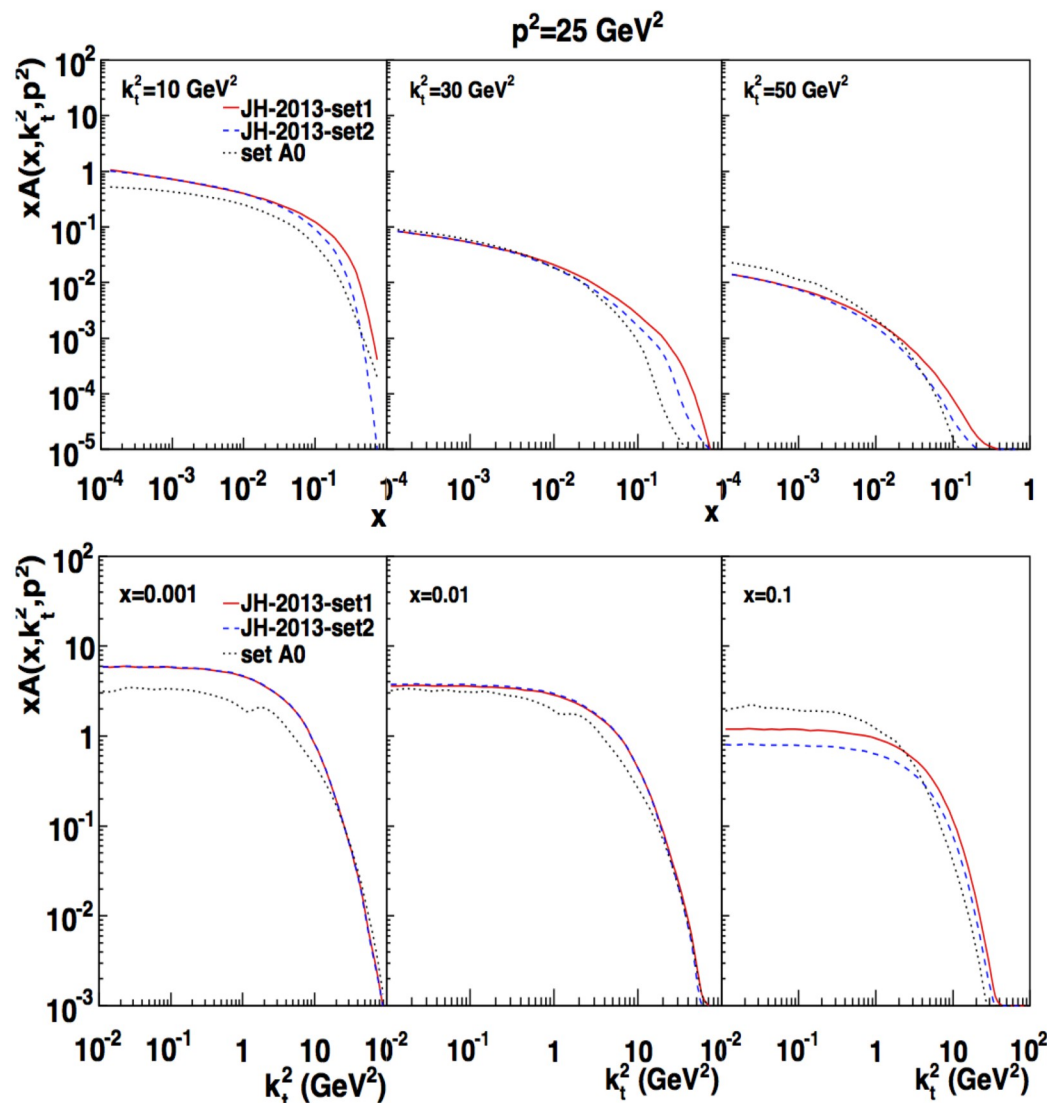
# uPDF - integrated



- CCFM gluon is different from standard collinear gluon, since no sea quarks are included in fit
- valence quarks in CCFM are similar to CTEQ, but evolution is different due to different  $\alpha_s$



# CCFM gluon from $F_2$ and $F_2$ & $F_2^c$ fit

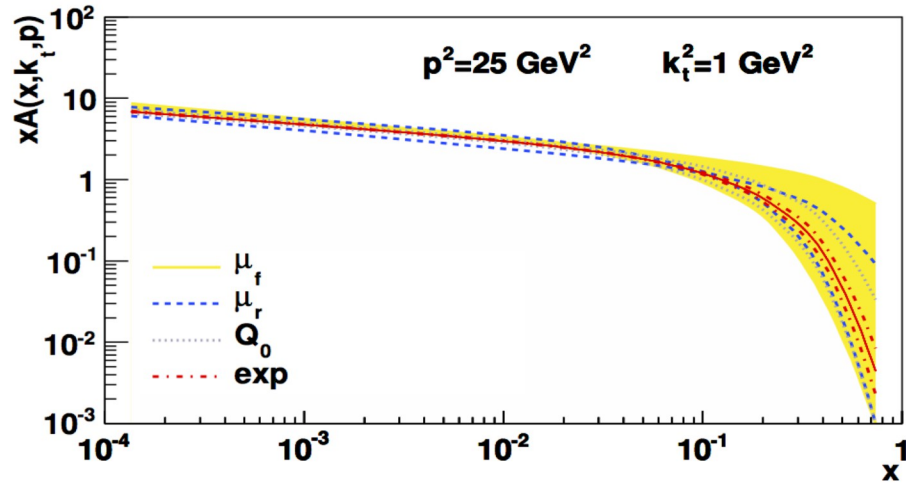


- Fit function:

$$\mathcal{A}_0(x) = N_g x^{-B_g} (1-x)^{C_g} \times (1 - D_g x + E_g \sqrt{x} + F_g x^2)$$

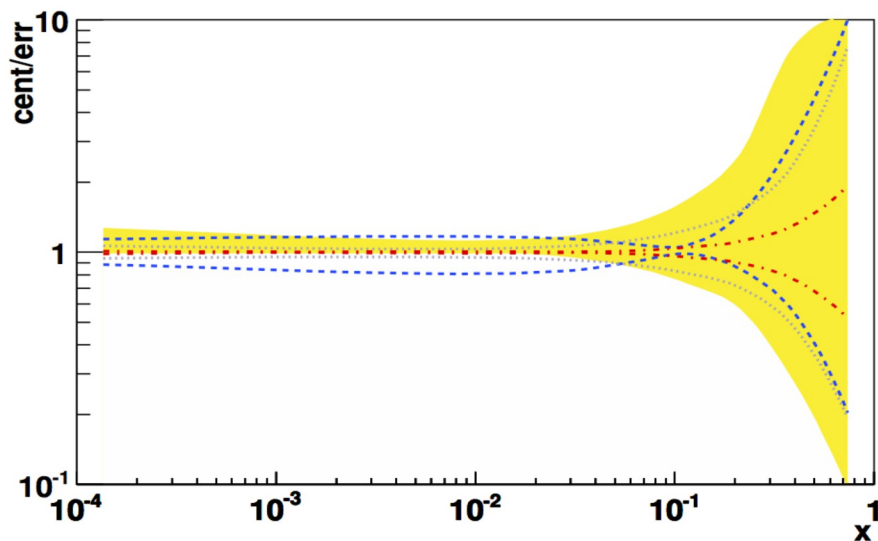
- 2-loop  $\alpha_s$
- gluon splitting function with non-singular terms
- fits:
  - set 1  $F_2$  :  $Q^2 > 5 \text{ GeV}$ ,  $x \leq 0.005$
  - set 2 -  $F_2$  &  $F_2^c$ :  $Q^2 > 2.5 \text{ GeV}$
- new fit gives  $\chi^2/ndf \sim 1.2$
- details are different from previous uPDF set  $A_0$

# uncertainties of CCFM gluon

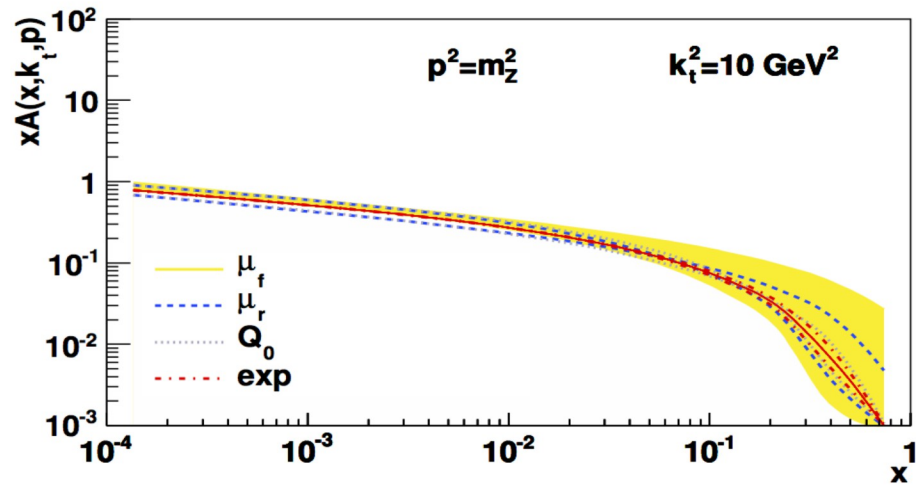


small  $k_t$ , small  $p^2$

- experimental uncertainties result in 10-20 % for gluon uncertainty at medium and large  $x$
- uncertainties at small  $x$  very small
- factorization and renormalisation scale uncertainties large at large  $x$ , since no constrain from data:  $x < 0.005$ ,  $Q^2 > 5 \text{ GeV}^2$

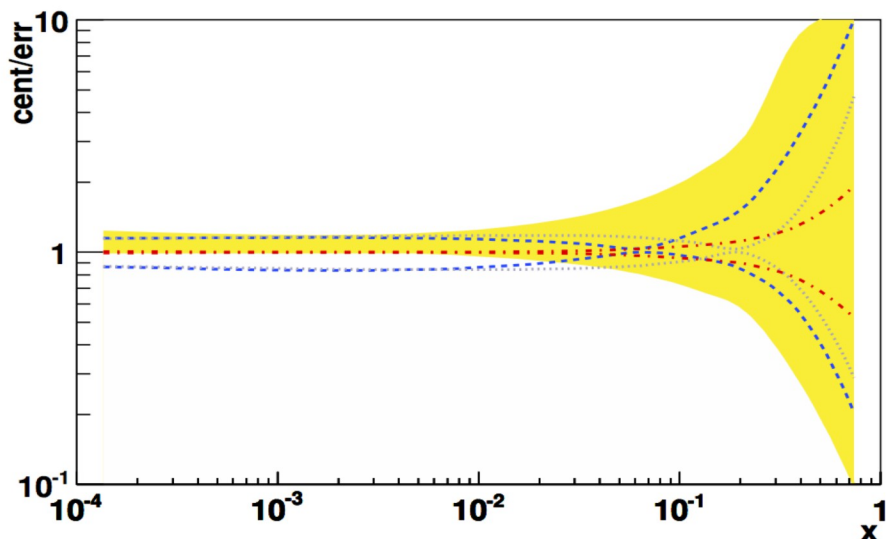


# uncertainties of CCFM gluon

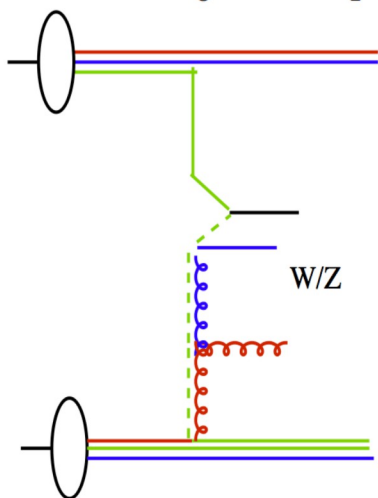
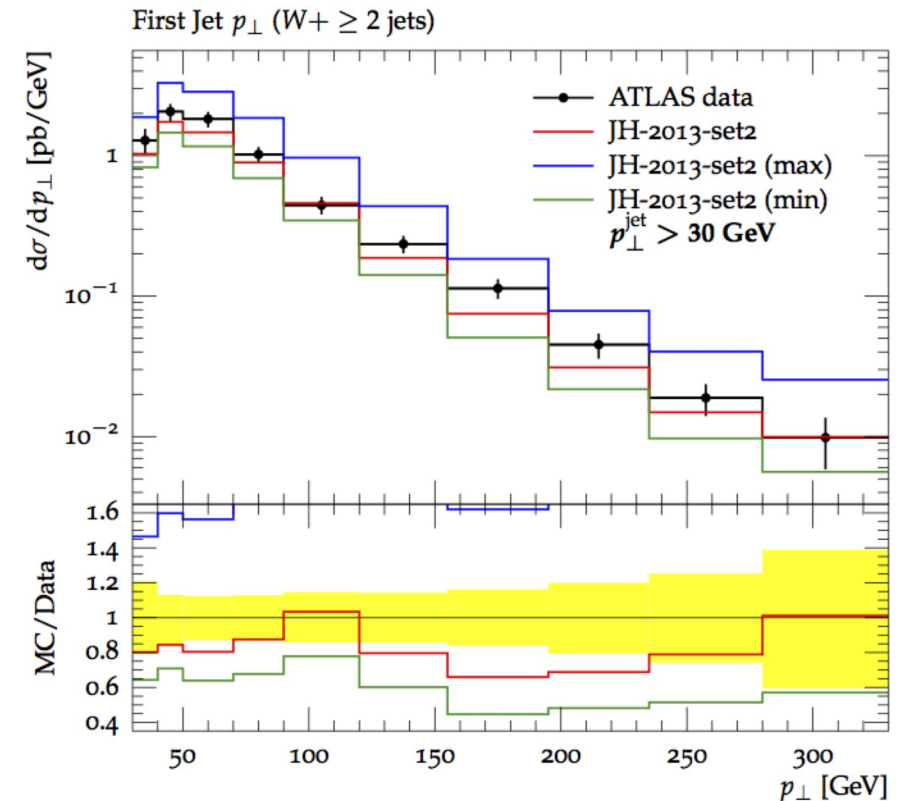
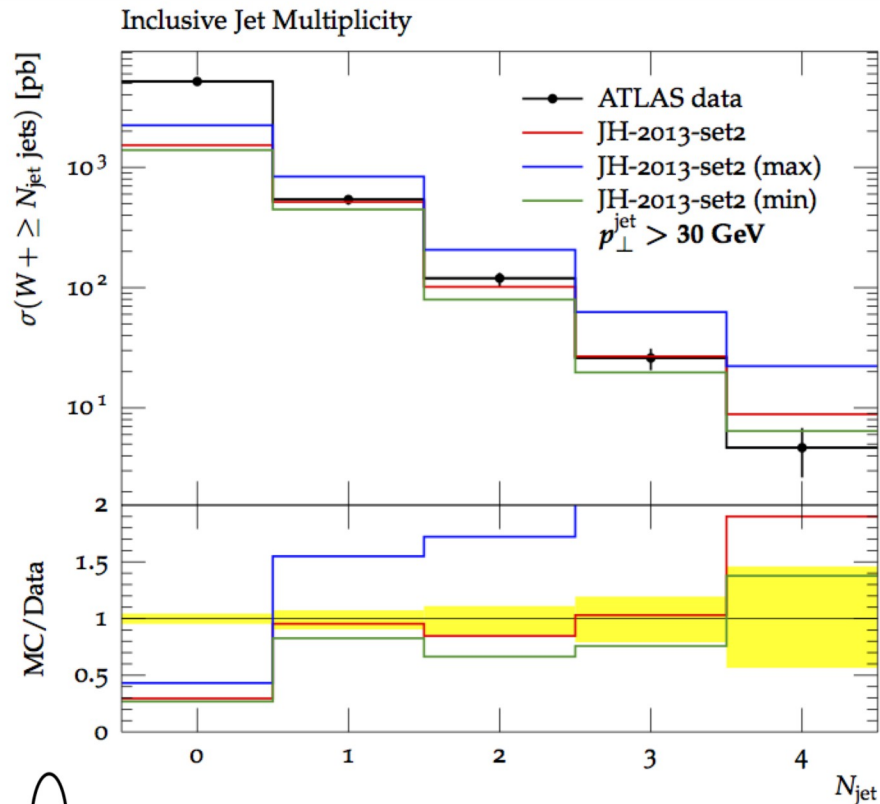


large  $k_t$ , large  $p^2$

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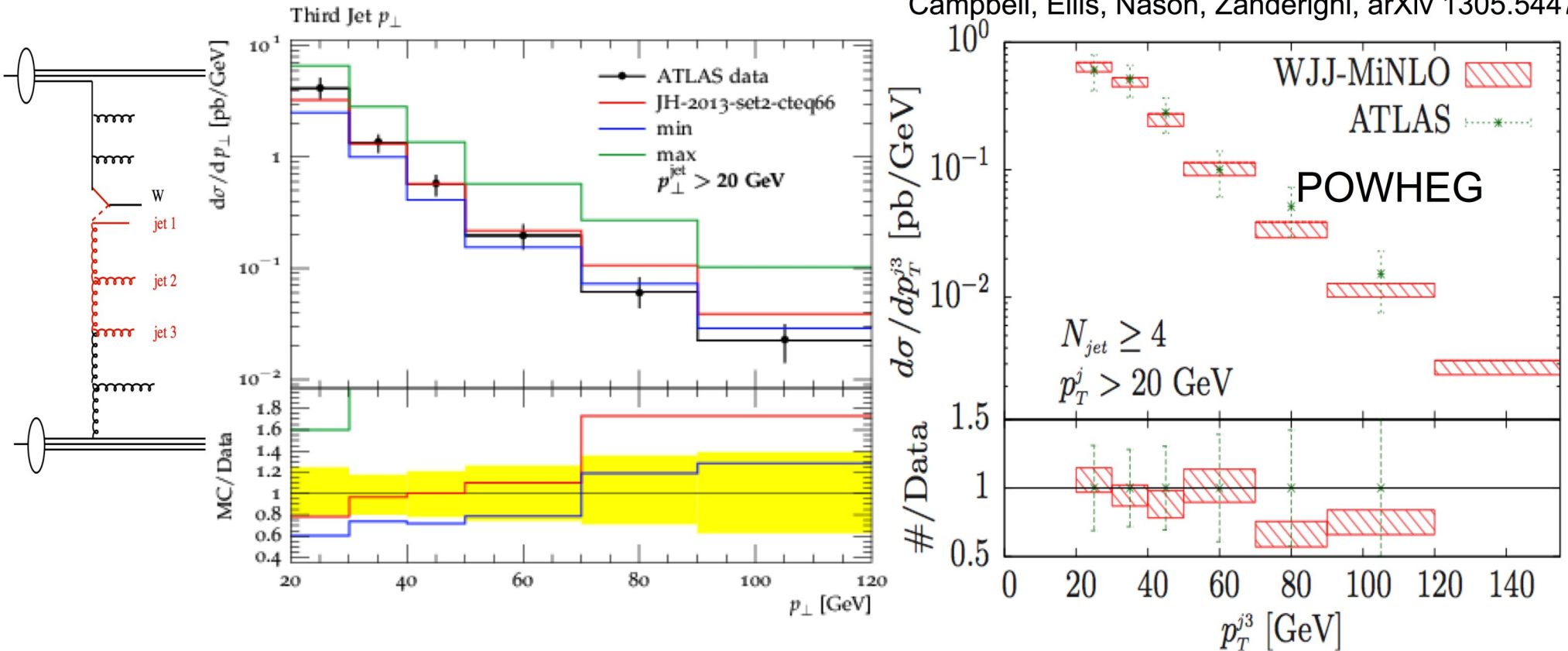
# Application to $W + \text{jet}$ production at LHC



- use valence quarks and CCFM gluon convoluted with off-shell ME
- compare with  $W + \text{jet}$  measurement

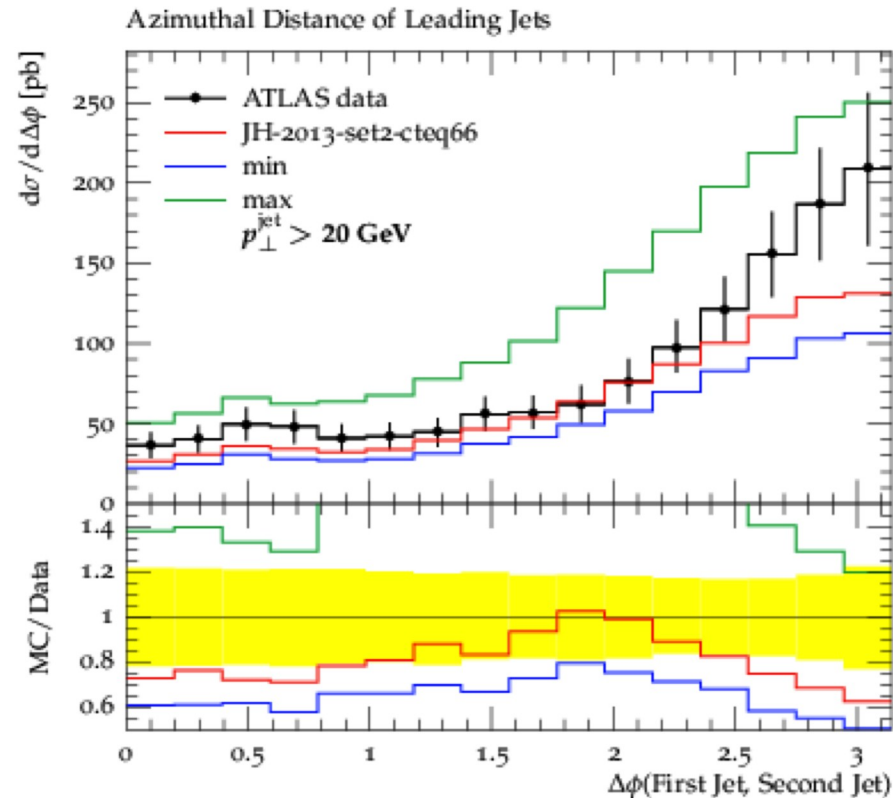
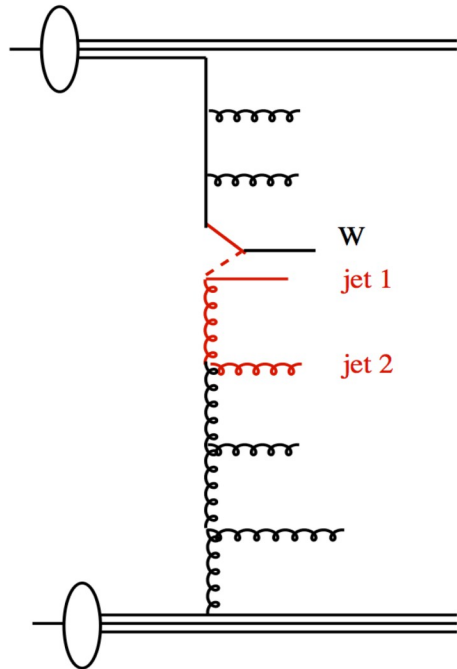
# Application to $W + \text{jet}$ production at LHC

Campbell, Ellis, Nason, Zanderighi, arXiv 1305.5447



- off-shell ME + CCFM  $k_t$  - shower predicts correct x-section and shape for 3rd jet (similar to NLO  $W+2\text{jet}$ ) !
  - 3rd jet comes from CCFM  $k_t$  - shower

# W + n-jets: $k_t$ shower vrs NLO



- off-shell ME + CCFM  $k_t$  - shower for x-section and shape for  $\Delta\phi$  between first 2 jets agrees with measurements within uncertainties:
  - sensitive probe of shower:
    - decorrelation region well reproduced !

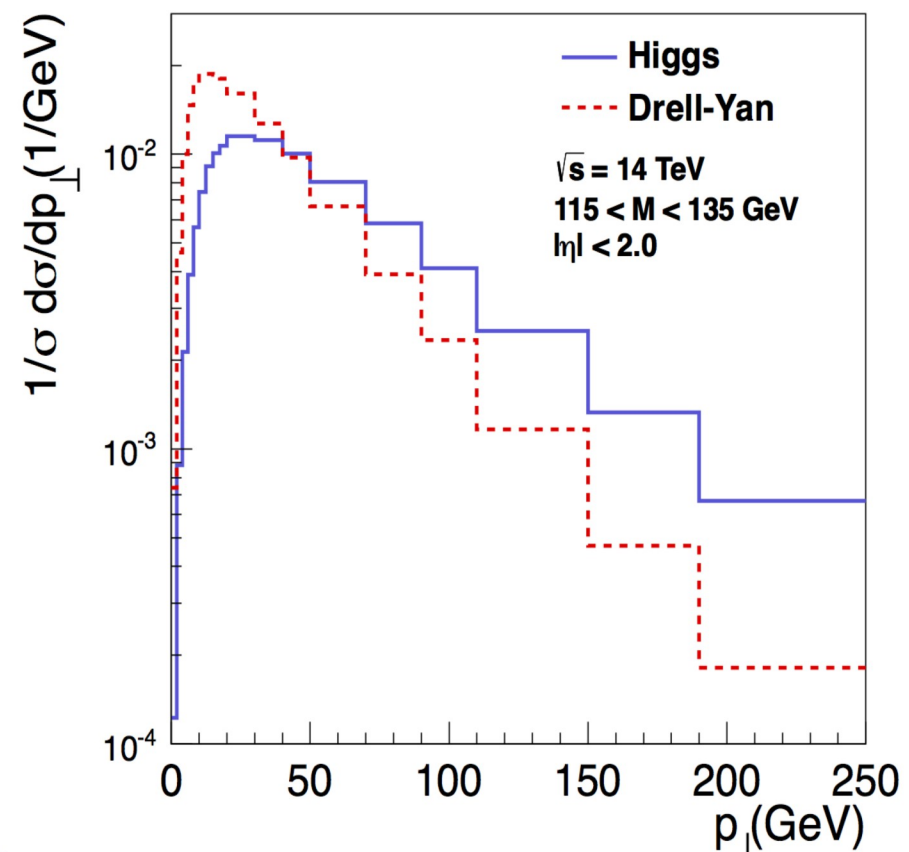
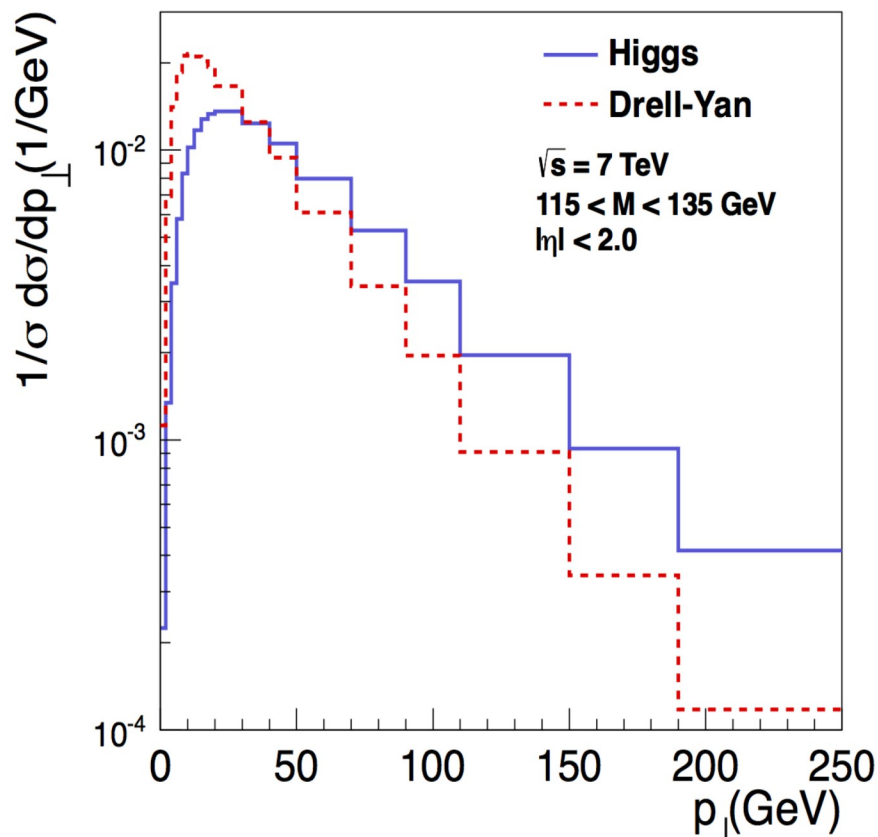
# How to determine directly TMD of gluon ?

- Higgs as gluon trigger

P. Cipriano, S. Dooling, A. Grebenyuk, P. Gunnellini, F. Hautmann, H. Jung, P. Katsas  
(arXiv:1308.1655 and Phys. Rev. D 88, 097501 (2013))

- comparison with DY production at same mass range

- $p_T$  spectrum of DY and Higgs: difference in soft gluon resummation

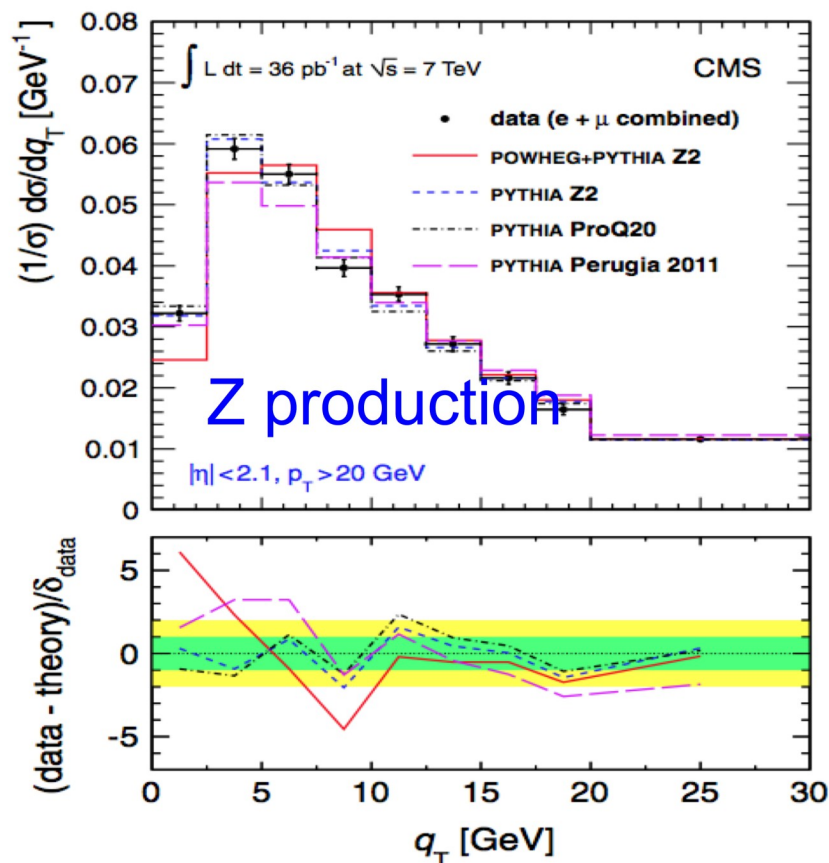


# How to determine directly TMD of gluon ?

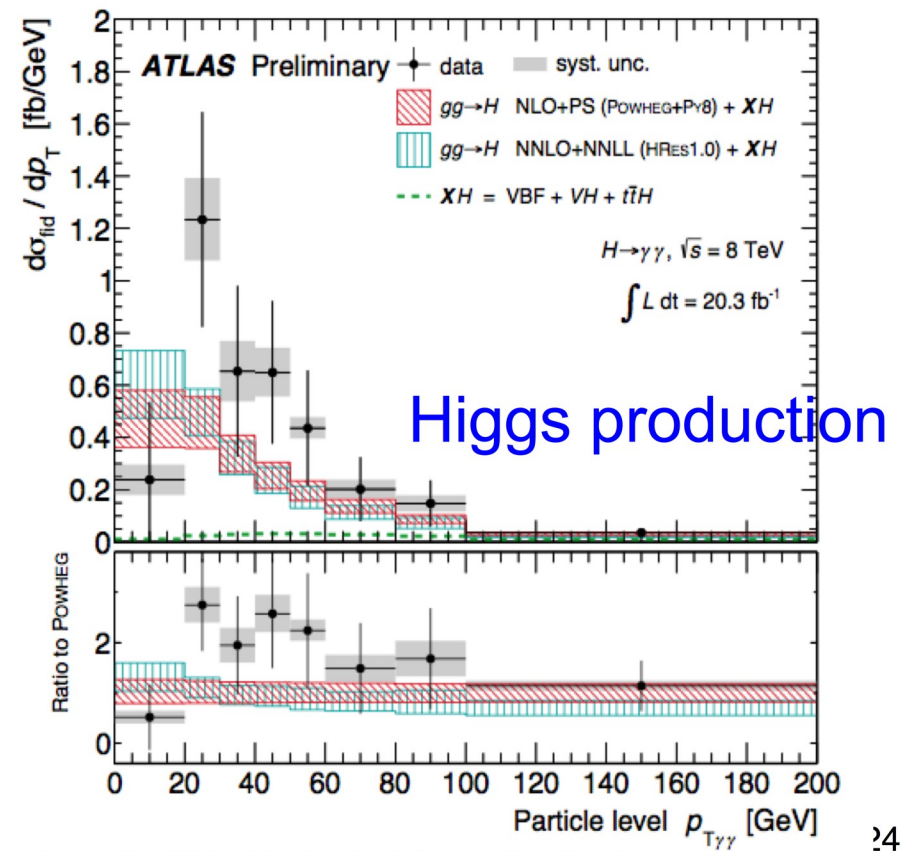
Until last year, perspectives for QCD studies at HL LHC were rather bad.....

- BUT now, with Higgs, we have new and exciting options, which opens up a completely new world for QCD studies
- gluon fusion processes with color singlet final state at large masses

CMS Coll., PRD 85, 032002 (2012)

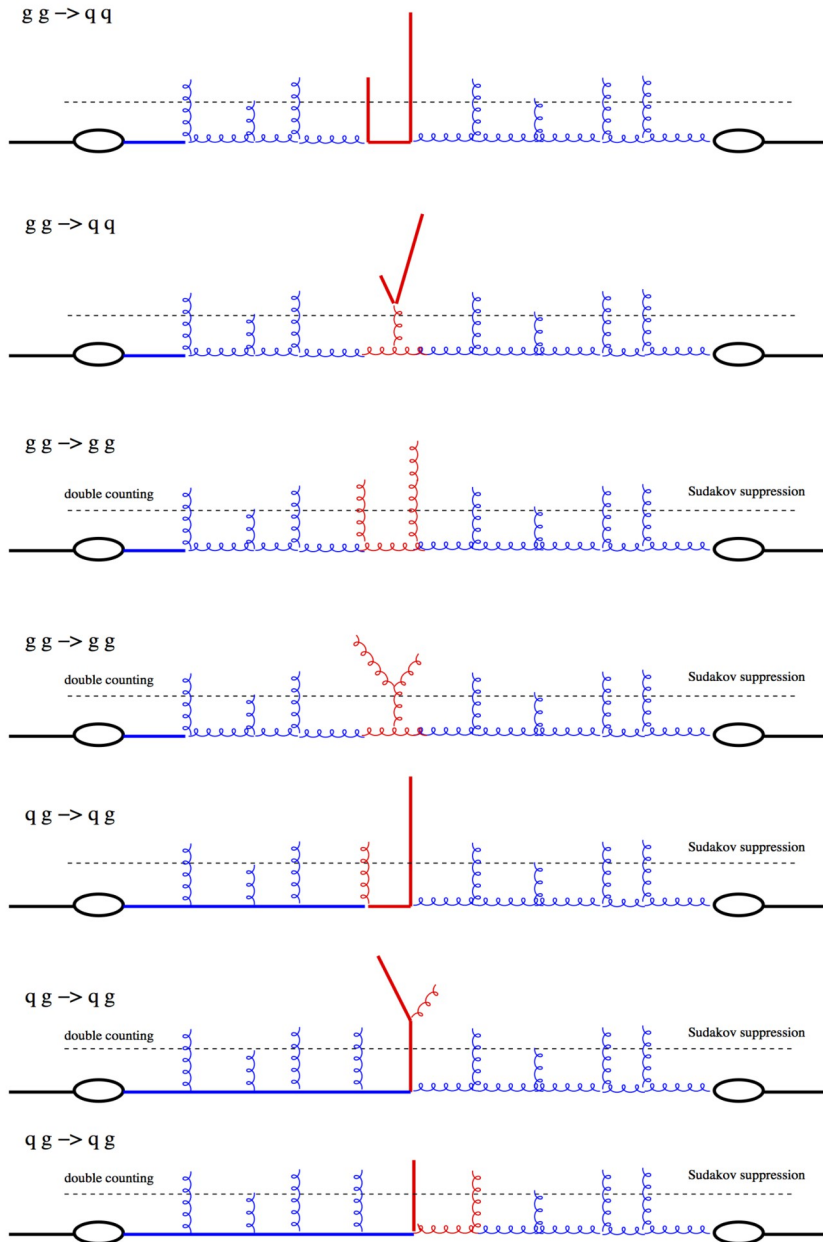


Differential cross sections of the higgs boson measured in the diphoton decay channel using 8 TeV pp collisions. ATLAS-CONF-2013-072,





# pp: factorization issues



- $k_t$  of initial partons a priori not restricted, extends to large  $k_t$
- factorization at small  $x$  proven for heavy flavor production or gauge boson production
- with  $k_t$  of initial partons, identification of hard scattering no longer trivial for light partons

What happens  
at small  $x$  and small  $k_t$  ?

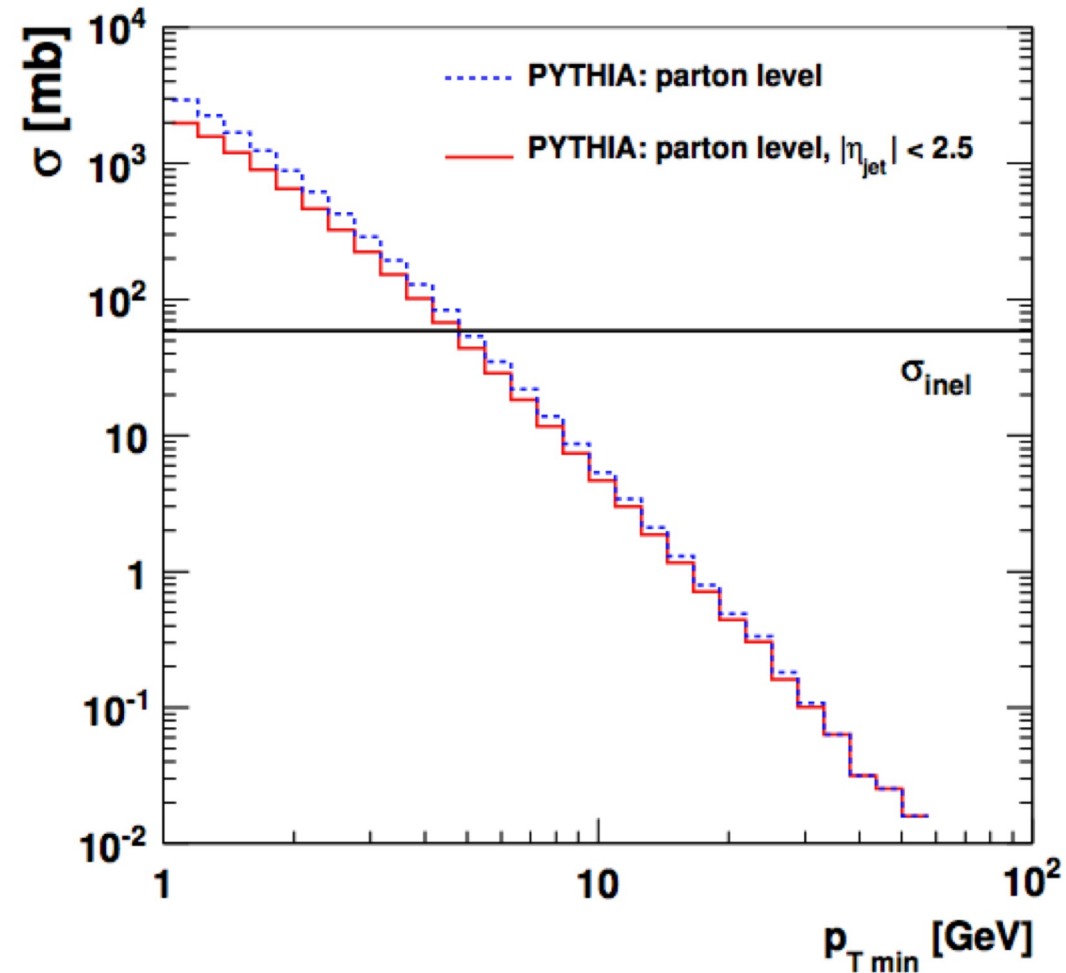
# Accessing low $p_t$ and low $k_t$ region

- Basic partonic perturbative cross section

$$\sigma_{\text{hard}}(p_{\perp \text{min}}^2) = \int_{p_{\perp \text{min}}^2} \frac{d\sigma_{\text{hard}}(p_{\perp}^2)}{dp_{\perp}^2} dp_{\perp}^2$$

diverges faster than  $1/p_t \text{min}^2$   
as  $p_t \text{min} \rightarrow 0$  and exceeds  
eventually total inelastic (non-  
diffractive) cross section

- mechanism needed which tames rise:
- damping of xsection
  - saturation effects ?
  - **Multiparton Interactions ?**



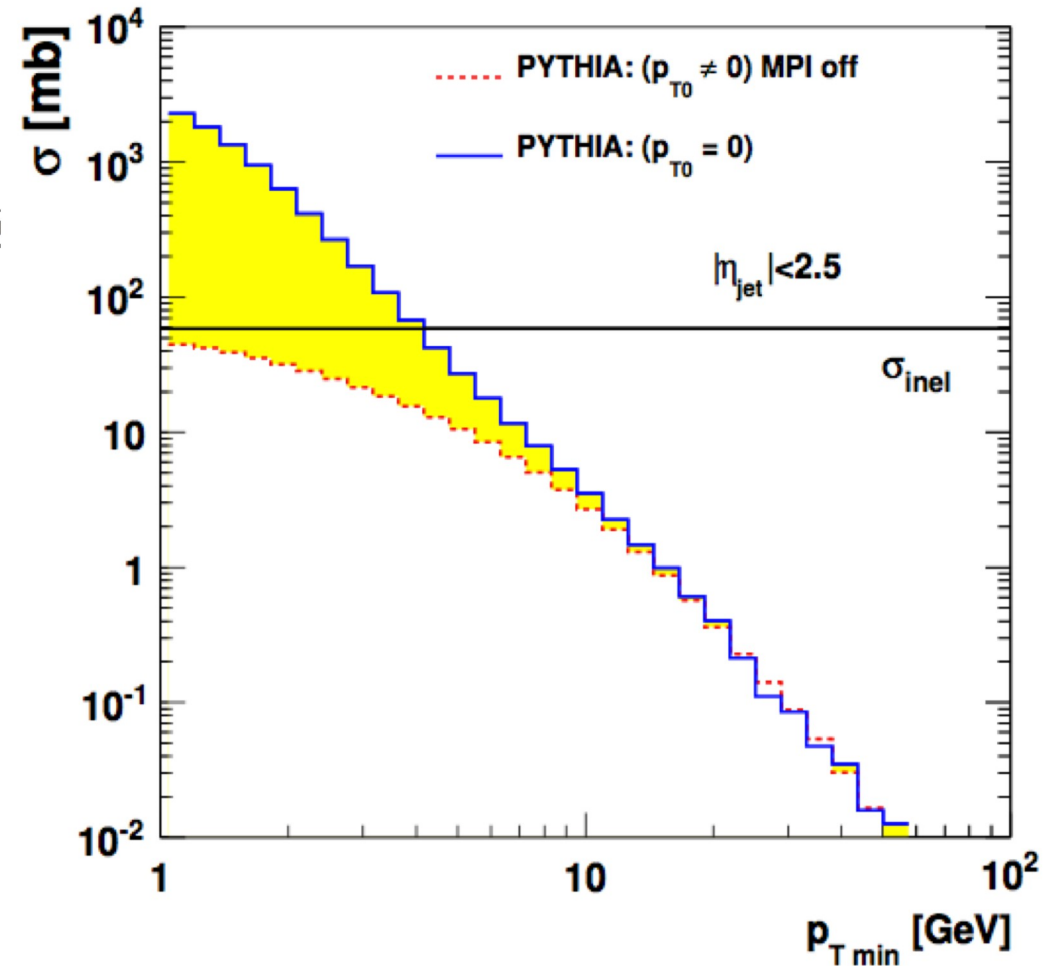
already in  $\eta$  range accessible  
by experiment

# Taming of x-section in PYTHIA

- taming of xsection ( $\propto 1/p_t^4$ ) in PYTHIA:

$$\sigma \rightarrow \sigma \times \frac{\alpha_s(p_t + p_{t0})}{\alpha_s(p_t)} \frac{p_t^4}{(p_t^2 + p_{t0}^2)^2}$$

- $p_{t0}$  is a free parameter !

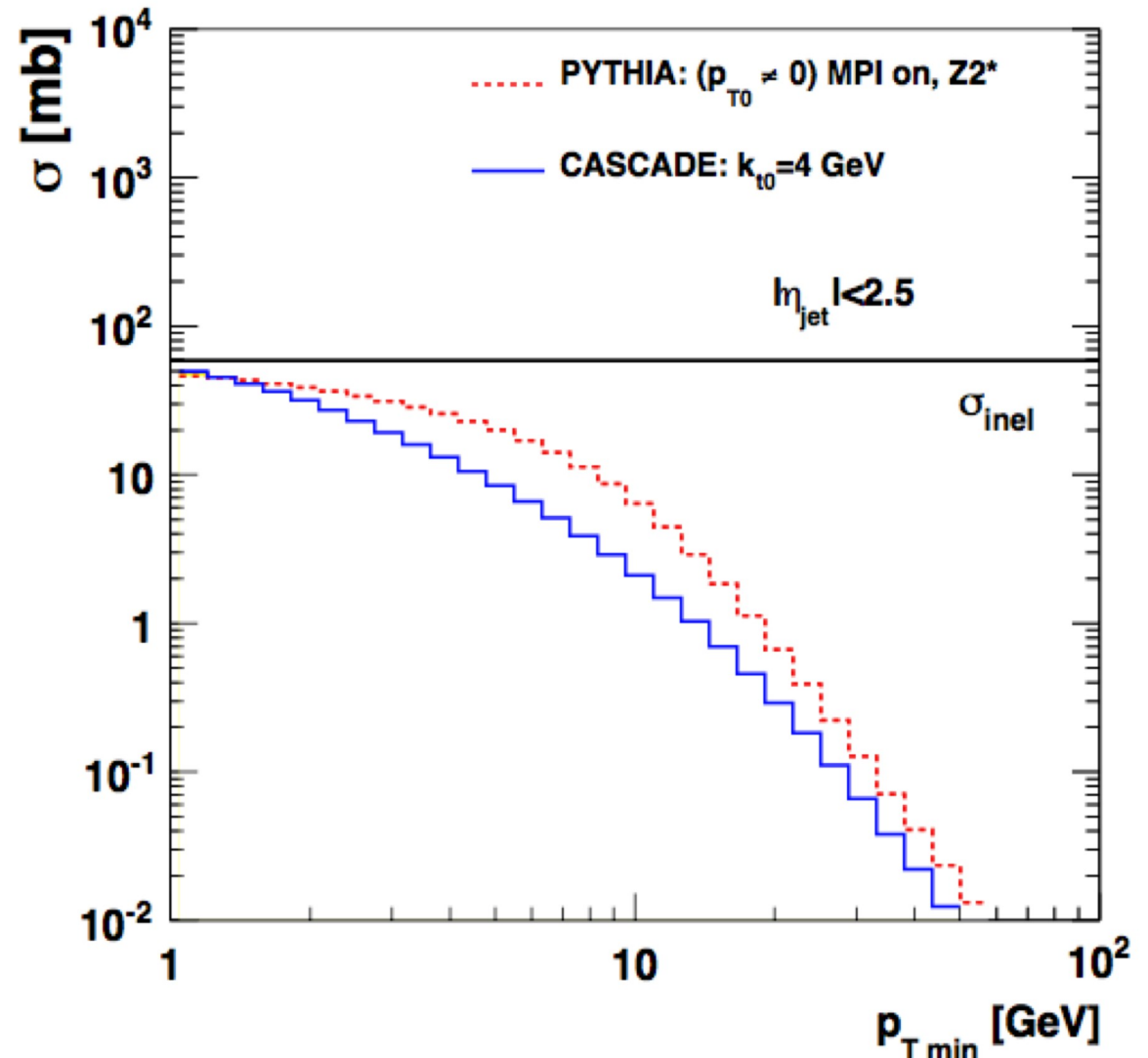
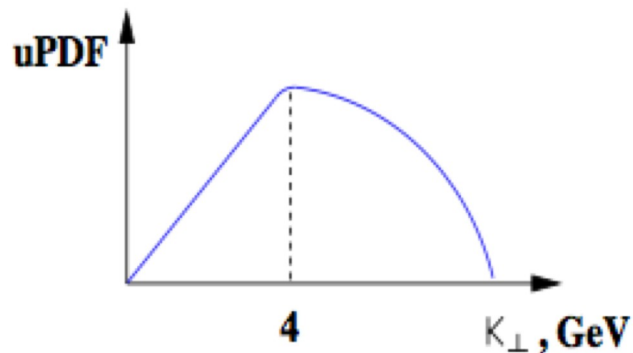


# Relation to saturation and TMDs

- using TMDs
  - saturation happens at small  $k_t$
  - gluon density vanishes

A. Grebenyuk, DIS2013, Marseille

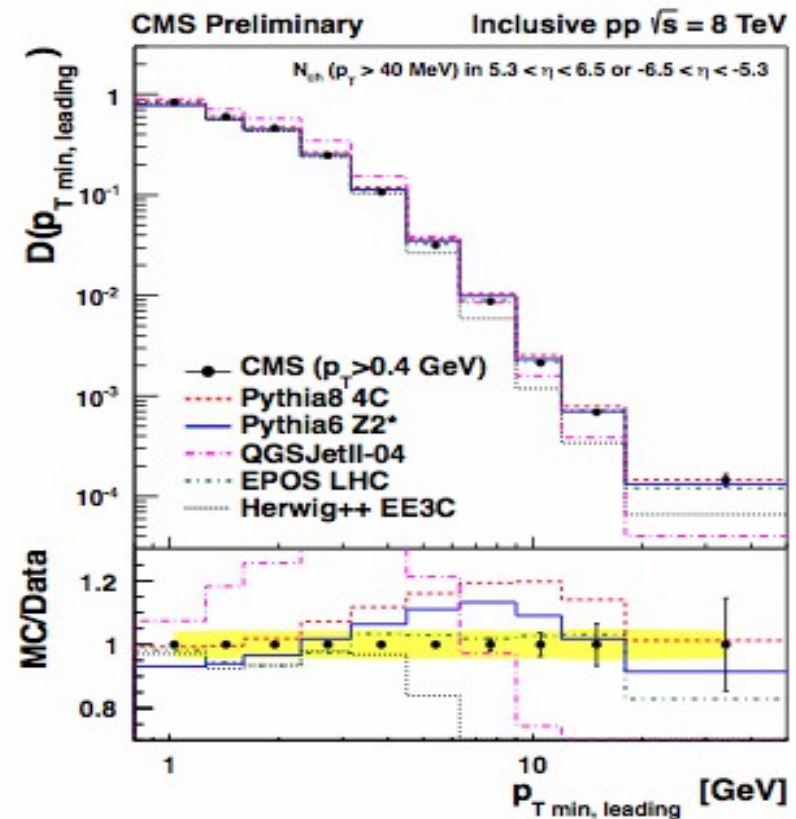
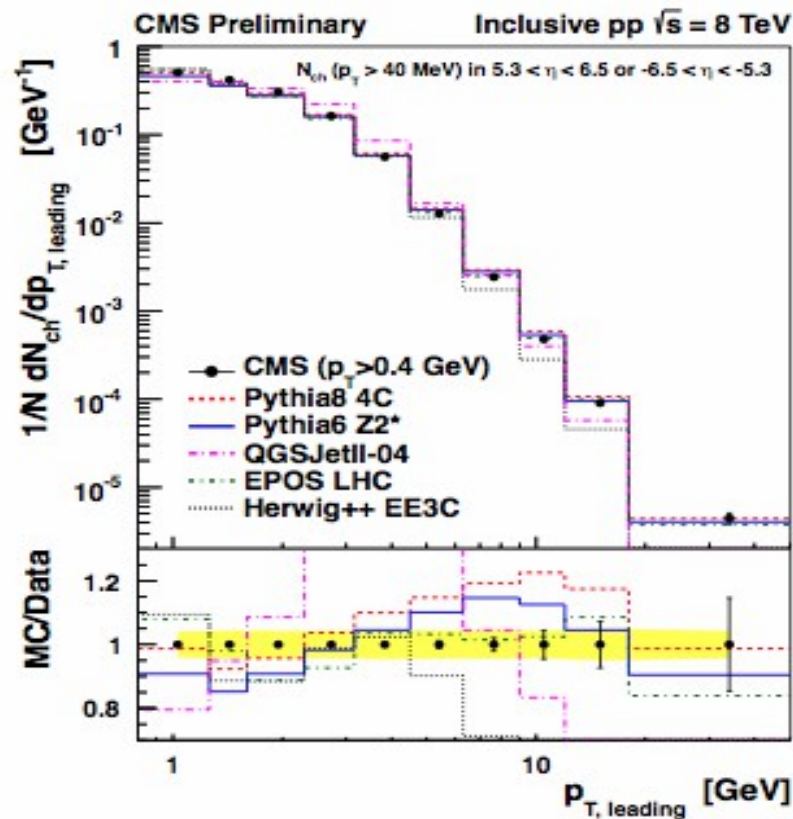
Modification of unintegrated PDF such that it goes to zero for  $k_T \rightarrow 0$ :



- using TMDs with saturation at small  $k_t$  gives correct behavior of xsection

# Comparison with CMS measurement

P. Katsas (CMS), DIS2013, Marseille



- QGSJETII-04 and Herwig++ fail to describe the measurements
- EPOS LHC in good agreement with the data

# TMDplotter and TMDlib

- combine and collect different ansaetze and approaches
- library of parametrizations of different TMDs and uPDFs similar to LHApdf
  - started by F. Hautmann, H. Jung, P. Mulders, A. Signori, T. Rogers
- plotter for easy comparison of different TMDs and uPDFs:
 

<http://tmdplotter.desy.de>
- support, contributions and help for TMDplotter and TMDlib projects are very welcome !

## High Energy Physics | TMD Plotter



Home

TMD Plotter

Publications

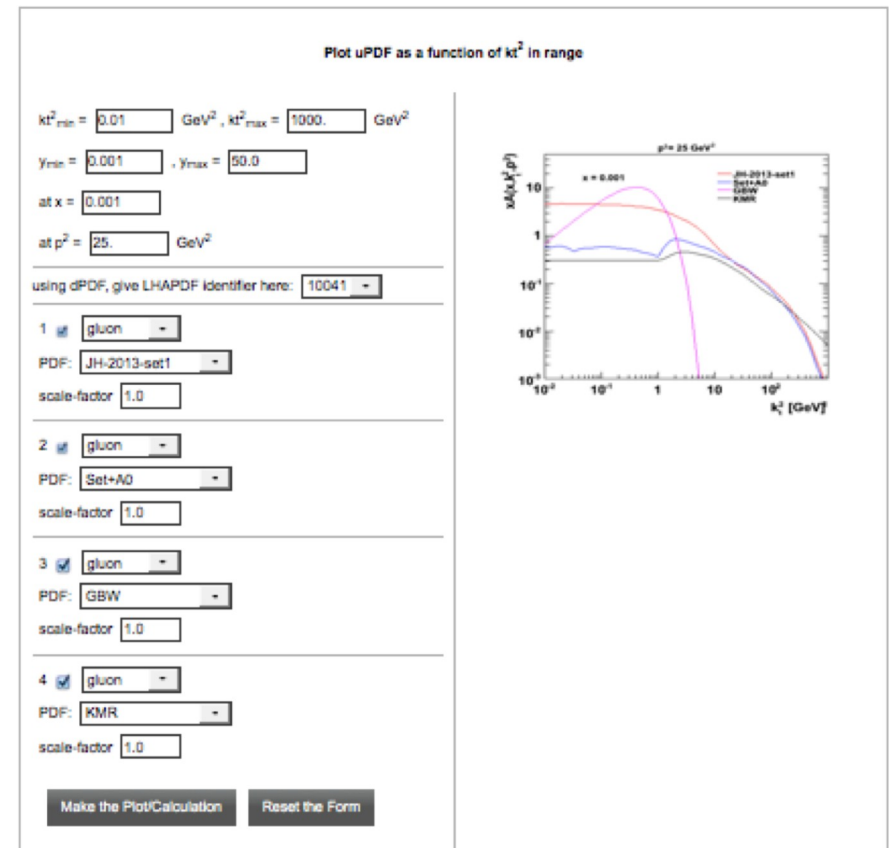
HEP Links

Using the form below you can calculate, in real time, values of  $xA(x,kt,p)$  for any of the TMDs. You can also generate and compare plots of  $xA(x,kt,p)$  vs  $x$  and vs  $kt^2$  at any  $p^2$  for up to 4 different parton types or PDFs.

Please click one of the buttons to generate the according form for the TMD Plotter:

Plot TMD (x, fixed kt)

Plot TMD (fixed x, kt)



# Conclusion

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- TMD – uPDFs are important
  - effects from transverse momentum in small  $x$  processes ( $\Upsilon$  production etc) but also in higher  $x$  processes ( $W+2$ jets, etc )
  - precision determination of TMD-gluon from inclusive DIS HERA data
    - now with model- and experimental uncertainties
- TMD – uPDF gives a consistent recipe for initial state parton shower
  - no kinematic corrections are needed
- very small  $x$  processes
  - saturation comes naturally from TMDs vanishing at small  $k_t$  and small  $x$  and can be implemented in MCs
- TMD – uPDF together with off-shell ME applied to  $ep$  and  $pp$  scattering