

**Andrea Bianconi**

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**Simulation of Drell-Yan events with T-spins at low-to-intermediate energies (> Hades, << Tevatron), Q range 1.5–20 GeV**

**Case in study:**

**Reweighting / filtering / riorganizing Pythia events to introduce Transversity, Sivers and Boer-Mulders effects in the outputs of this code.**

Acting on a pre-existing model and code (Lund / Pythia), one strategy is **not to modify this code at all**, but just print all the internal/final particles that Pythia has produced in an event and work on their data.

Define: **“Bare”** events: **as produced by Pythia**

**“Dressed”** events: **final generated events**

Problem 1: new terms in the cross section

Problem 2: new observable variables (hadron spin)

Problem 3: new unobservable variables (parton spin appearing in quantum sums)

Problem 4: **transition from inclusive to exclusive distributions**

Technical problems:

Analysis of the event output

Identification of key partons and key final particles

Pattern recognition

Proliferation of intermediate state and final particles: CPU forbids this method at large cm energy.

### 3 strategies: filter, change, divide events.

#### 1) Filter bare events (with or without changing them).

**1a)** Take a bare event as it is or modify it. This is an attempt for a dressed event.

**1b)** Calculate the **reweight** ratio:  $\sigma_{\text{new}} / \sigma_{\text{old}}$

**1c)** Use the reweight factor to **accept / reject** the event.

**1d)** If accepted it is a dressed event. If refused it is discarded and the procedure restarts from (1a) with **another bare event**.

#### 2) Change bare events and filter changes.

**2a)** Take a bare event and somehow modify it. This is an attempt for a dressed event.

**2b-c)** As in the previous case

**2d)** If accepted it is a dressed event. If refused it is discarded but the procedure restarts from (2a) with **the same bare event**.

#### 3) Introduce a new variable and divide bare events into subgroups.

**3a)** Introduce a new variable (= a spin). Randomly attribute a spin value to a bare event.

**3b-c)** Calculate the reweight etc and accept / reject the set "bare event + spin value".

**3d)** If accepted it is a dressed event (= an event with spin). If rejected, attribute a **new spin value** to **the same bare event** and restart from (3a).

The “divide into subgroup” method is based on the assumption:

**Bare events contain the required phenomenology in inclusive way.**

Example: non-polarized proton beam =

50 % spin up  
+ 50 % spin down

**In principle** I only need to **separate** events of the two kinds, not to modify them, to obtain polarized events.

In the following I stick myself to this principle, but it has two relevant limitations:

- 1) The assumption is not 100 % fulfilled.
- 2) Parton spins are unobservable and quantum: the “spin up + spin down” sum regards amplitudes not probabilities.

For efficiency, one would like to avoid discarding bare events. But some need to be discarded. Example:

1) Large Sivers function  $> 0$

2) Pythia quarks have axial-symmetric  $k_T$ -distribution around the parent proton direction.

(1) and (2) may coexist if the initial proton state has average polarization zero.

Then I divide events into 2 subsets:

(a) proton spin up and rightward quark  $k_T$

(b) proton spin down and leftward quark  $k_T$

With a fully polarized spin-up proton state, (b) events must all be discarded. An axial-symmetric  $k_T$  distribution would not be correct.

Summarizing: if partially polarized events are required, a fraction  $|Pol| / 2$  of Pythia events must be discarded.

## From inclusive to exclusive: two-point and three-point correlation distributions

3-point correlated statistical distribution:  $F(\hat{S}, \hat{s}, \hat{k}_T)$

$\hat{P} = (0, 0, \pm 1)$  : direction of  $p$  or  $\bar{p}$  momentum

$\hat{S}$  :  $p$  or  $\bar{p}$  spin with  $|\hat{S}|^2 = 1$

$\hat{s}$  :  $q$  or  $\bar{q}$  spin with  $|\hat{s}|^2 = 1$

$\hat{k}_T = \frac{1}{|k_T|}(k_{Tx}, k_{Ty})$  : direction of  $q$  or  $\bar{q}$  transverse momentum

Events characterized by all the 3 vectors are generated via a 3-point distribution.

$$Tr(\hat{S}, \hat{s}) \equiv \frac{h_1}{f_1} \hat{S} \cdot \hat{s}$$

$$Siv(\hat{S}, \hat{k}_T) \equiv \frac{f_{SIV}}{f_1} [\hat{P}, \hat{k}_T, \hat{S}]$$

$$BM(\hat{s}, \hat{k}_T) \equiv \frac{h_{BM}}{f_1} [\hat{P}, \hat{k}_T, \hat{s}]$$

$$1 + Tr(\hat{S}, \hat{s}) = \int d^2 \hat{k}_T F(\hat{S}, \hat{s}, \hat{k}_T)$$

$$1 + Siv(\hat{S}, \hat{k}_T) = \sum_s F(\hat{S}, \hat{s}, \hat{k}_T)$$

$$1 + BM(\hat{s}, \hat{k}_T) = \sum_S F(\hat{S}, \hat{s}, \hat{k}_T)$$

**There is no model-independent way to derive a 3-point correlation by combining 2-point ones.**

Before one assumes the most obvious model to combine these correlations, a note:

Products of two-vector correlation terms are quadratic in proton spin or quark spin.

No model from fundamental matrix calculations could produce this.

Assuming that each two-vector correlation term is  $\ll 1$  I may exploit this possibility:

$$(1 + Tr) \cdot (1 + Siv) \cdot (1 + BM) \approx (1 + Tr + Siv + BM)$$

The right-hand term is strictly linear in spins.

Assumption: the left-hand side is **almost** linear.

Any of the  $(1 + \dots)$  terms may be used to split event sets into subsets corresponding to opposite values of some spin component.

Pythia bare events have no proton/quark spin but have parton transverse momentum.

A more practical form is

$$(1 + Tr) \cdot (1 + Siv) \cdot (1 + BM) \approx (1 + Siv)(1 + Tr + BM)$$

Rational sequence:

1) Given the average polarization

generate proton spin S

2) Given proton spin and quark  $K_T$

select event subset

(Sivers-compatible S- $K_T$  correlation)

3) Given proton spin and quark  $K_T$

split left events into quark spin subgroups

(Transversity-compatible S-s correlation,  
BM-compatible s- $K_T$  correlation)

State of the art in double polarized proton-antiproton Pythia events  
at cm energy 10 GeV:

(1) is OK,

(2) has been postponed,

(3) is half-OK (OK on double-spin Tr-Tr and BM-BM asymmetries,  
not on single spin Tr-Bm asymmetries)



## Implementation of spins:

1) Observable spin:  $\pm 1$  along a direction that is **specified by observation**

2) Parton spins: two possibilities:

2A)  $\pm 1$  along a **randomly chosen** direction

2B) a **continuous-orientation** classical vector with  $|\mathbf{s}|^2 = 1$ .

The two are completely equivalent. I use the latter.

## Full procedure

- a) Read an ordinary Pythia event, without any polarization.
- b) On the ground of the proton **average** polarization along an axis, a proton spin  $\pm 1$  is generated along this axis.
- c) The hadron-quark splitting is associated with a transverse quark spin. This is weighted by the ratio  $F(\mathbf{x}, \mathbf{k}_T, \mathbf{S}, \mathbf{s}) / F(\mathbf{x}, \mathbf{k}_T)$ .

$F(\mathbf{x}, \mathbf{k}_T, \mathbf{S}, \mathbf{s})$  includes Transversity and Boer-Mulders contributions, not (yet) Sivers.

- d) The same is done for the antiproton and the antiquark.
- e) The event is accepted / rejected on the ground of the hard-process probability

$$P_{\text{hard}}(\text{spins}) / P_{\text{hard}}(\text{no-spins}),$$

where  $P_{\text{hard}}(\text{spins})$  and  $P_{\text{hard}}(\text{no-spins})$  are the **partons**  $\rightarrow$  **leptons** cross sections calculated with / without specifying polarizations.

It may look simple, but the code doing this is 1226 lines long. 30 % is empty lines or extra stuff, but 7-800 of these lines are needed.

## Details.

The hadron-quark splitting is reweighted in the Hadron CM frame (symmetric collider frame).

The parton-to-lepton reweighting is in a virtual photon CM frame (same z axis as the previous one: proton and antiproton aligned to z).

Azimuthal asymmetries are evaluated in the same frame.

So, Pythia hadron / parton / lepton momenta must be boosted on and back.

At the present stage I have not distinguished  $u\bar{u}$  from  $d\bar{d}$  events (the latter are 10 % of the total in the  $p\bar{p}$  valence region).

In this test phase, the 3-vector distribution includes Transversity and BM effects via functions that do not depend on  $x$  and  $|\mathbf{P}_T|$ .

**Transversity:** Let TRV be a value of  $\mathbf{S} \cdot \mathbf{s}$ , and **Prob(TRV)** a relative probability for this value:

For  $\text{TRV} > 0$ ,  $\text{Prob}(\text{TRV}) = 1$ .

For  $\text{TRV} < 0$ ,  $\text{Prob}(\text{TRV}) = 0$ .

**Boer-Mulders** term: Let BMV be a value of  $\mathbf{P} \cdot (\mathbf{s} \wedge \mathbf{k}_T)$  and **Prob(BMV)** a relative probability for this value:

For  $\text{BMV} > 0$ ,  $\text{Prob}(\text{BMV}) = 1$ ;

For  $\text{BMV} < 0$ ,  $\text{Prob}(\text{BMV}) = 0$

The quark spin is generated by the probability:

$$\text{Prob}(\text{BMV}) * \text{Prob}(\text{TRV}) * d\Upsilon$$

where  $\Upsilon$  is the angle identifying the quark transverse spin in the (hadron) transverse plane.

This leads to Transversity and of BM-function of **approximate size 0.5 each**, that is the **largest possible** given the requirement of an **overall (spin-summed)  $\mathbf{K}_T$ -symmetric distribution**. A large Tr **and** a large BM are not 100 % compatible once  $\mathbf{K}_T$  and the proton spin have been assigned.

(Anti)Quark-spin dependent hard process probability:

$$W_{s_T \bar{s}_T} = \frac{1}{4} \left( [1 + \cos^2(\theta)](1 + s_z \bar{s}_z) - \sin^2(\theta)(s_x \bar{s}_x + s_y \bar{s}_y) \right)$$

Spin components along the **lepton** axis (they are not helicities)

Spin components orthogonal to the lepton axis.

## Some specific results.

Protons 5 GeV vs antiprotons 5 GeV in collider configuration

$4 < Q < 9$  GeV

Any  $Q_T$

Average polarization 0 or 1 along x axis

Asymmetries between pairs with positive or negative  $\cos(\dots)$  or  $\sin(\dots)$  in the dilepton frame.

$|\cos(\Theta)| < 0.5$  for all the reported events (asymmetries proportional to  $\sin^2(\Theta) / 1 + \cos^2(\Theta)$  )

“Zero hypothesis”: TRV and BMV values are always accepted, zero polarizations.

|                          |             |   |  |
|--------------------------|-------------|---|--|
| cos(2 phi)               | 10171 10072 | → | a difference of magnitude<br>300 events<br>means no difference |
| cos(2 phi - phi1 - phi2) | 10173 10070 |   |  |
| sin(phi + phi1)          | 10263 9980  |   |  |

Unpolarized events

|                          |             |   |                |
|--------------------------|-------------|---|----------------|
| cos(2 phi)               | 11097 9267  | → | asymmetry 10 % |
| cos(2 phi - phi1 - phi2) | 10269 10095 |   |                |
| sin(phi + phi1)          | 10311 10053 |   |                |

Proton and antiproton polarization 100 %:

|                          |             |   |                |
|--------------------------|-------------|---|----------------|
| cos(2 phi)               | 11464 9203  | → | asymmetry 14 % |
| cos(2 phi - phi1 - phi2) | 11770 8897  |   |                |
| sin(phi + phi1)          | 10437 10230 |   |                |

100 % polarized proton      unpolarized antiproton

|                 |             |
|-----------------|-------------|
| sin(phi + phi1) | 10316 10070 |
|-----------------|-------------|

The opposite

|                 |             |
|-----------------|-------------|
| sin(phi + phi1) | 10333 10097 |
|-----------------|-------------|

**Example  
of results**