

EFFECTIVE FIELD THEORY FOR LATTICE NUCLEI

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Outline

- ❑ QCD at Low Energies and the Lattice
- ❑ Nuclear Effective Field Theories
- ❑ EFT for Lattice Nuclei
- ❑ Outlook and Conclusion

Goal

Derivation of nuclear physics consistent with Standard Model (SM) of particle physics

- correct symmetries
- systematic

➤ Nucleus as the simplest complex system: quarks and gluons interacting strongly, yet exhibiting many regularities

- QCD at large distances an unsolved part of the SM
- tools for non-perturbative quantum (field) theories, *e.g.* cold atoms

Why?

➤ Nucleus as a laboratory: properties of the SM and beyond

- nuclear matrix elements for symmetry tests
- reaction rates for nucleosynthesis
- equation of state for stellar structure
- variation of parameters for cosmology
- ...

How?

Effective Field Theory

$$\left\{ \begin{array}{l}
 T = T^{(\infty)} (Q \sim m \ll M) \sim N(M) \sum_{\nu=\nu_{\min}}^{\infty} \sum_i \underbrace{\tilde{c}_{\nu,i}(\Lambda)}_{\text{"low-energy constants"}} \left[\frac{Q}{M} \right]^{\nu} \underbrace{F_{\nu,i} \left(\frac{Q}{m}; \frac{\Lambda}{m} \right)}_{\text{non-analytic, from loops}} \\
 \frac{\partial T}{\partial \Lambda} = 0
 \end{array} \right.$$

arbitrary regulator "power counting" counting index depending on properties of interactions

For $Q \sim m$, truncate ...

... consistently with RG invariance:

$$T = T^{(\bar{\nu})} \left[1 + \mathcal{O} \left(\frac{Q}{M}, \frac{Q}{\Lambda} \right) \right] \quad \Rightarrow \quad \frac{\Lambda}{T^{(\bar{\nu})}} \frac{\partial T^{(\bar{\nu})}}{\partial \Lambda} = \mathcal{O} \left(\frac{Q}{\Lambda} \right) \ll 1$$

controlled

model independent

If so $\left\{ \begin{array}{l} \text{want } \Lambda \gtrsim M \\ \text{realistic estimate of errors comes from variation } \Lambda \in [M, \infty) \end{array} \right.$

QCD

$$\mathcal{L}_{QCD} = \underbrace{\bar{q} (i\partial + g_s G) q - \frac{1}{2} \text{Tr} G^{\mu\nu} G_{\mu\nu}}_{M_{QCD}} + \underbrace{\bar{m} \bar{q} (1 - \varepsilon \tau_3) q}_{m_\pi} + \dots$$

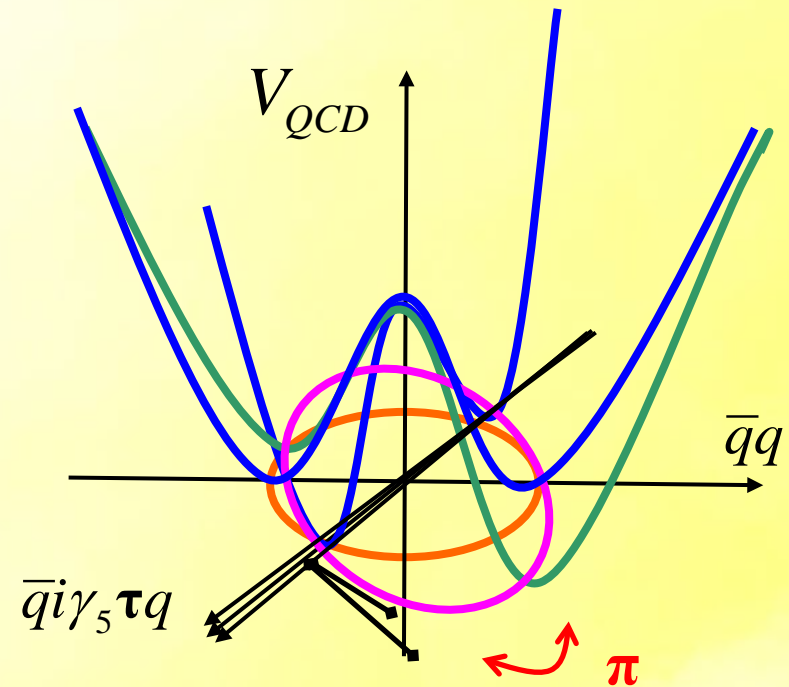
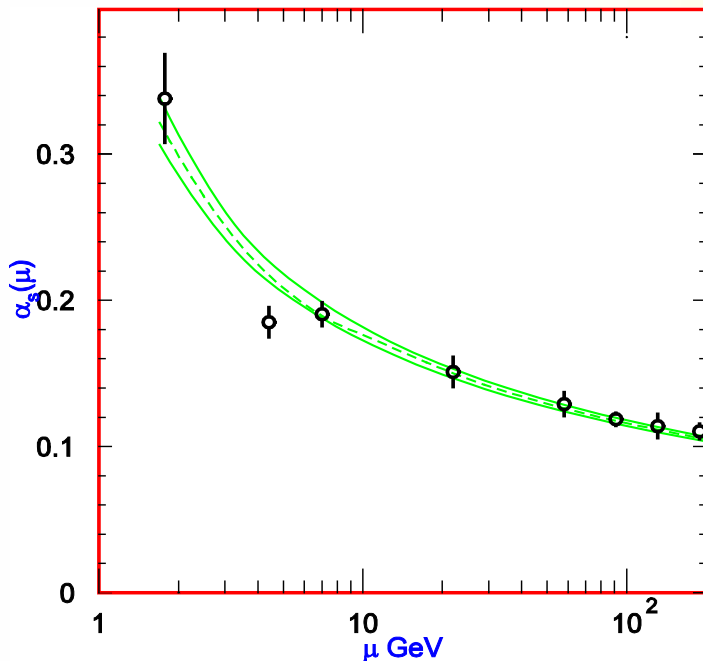
Basic mass scales

$$M_{QCD} \sim m_N, m_\rho, 4\pi f_\pi, \dots$$

$$\sim 1 \text{ GeV}$$

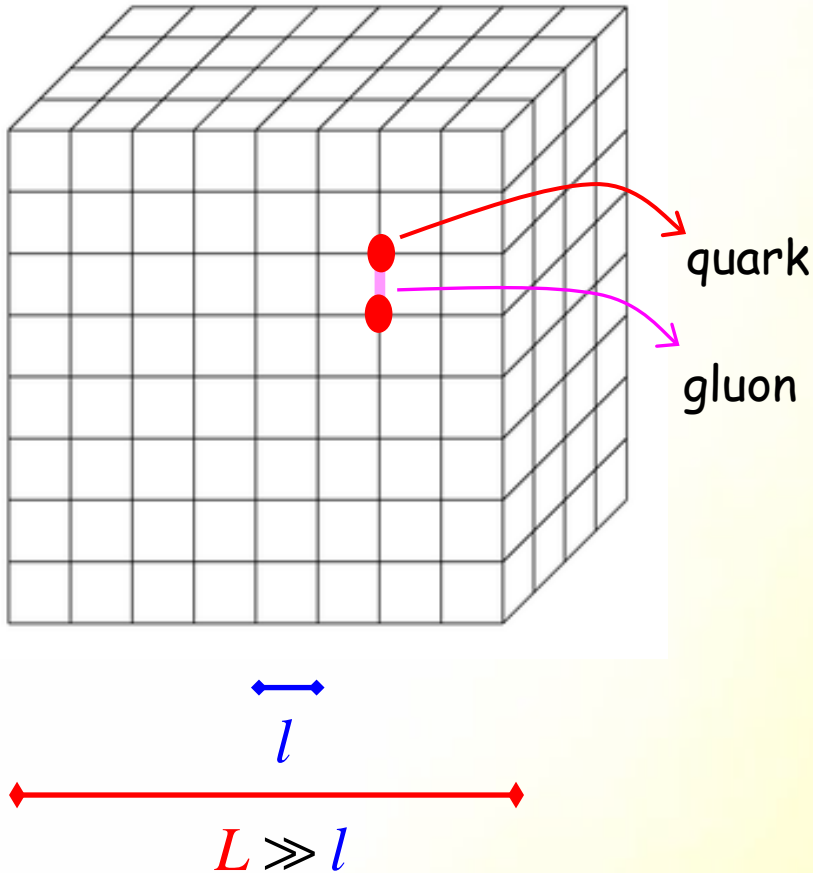
$$m_\pi \sim \sqrt{\bar{m} M_{QCD}}$$

$$\simeq 140 \text{ MeV}$$

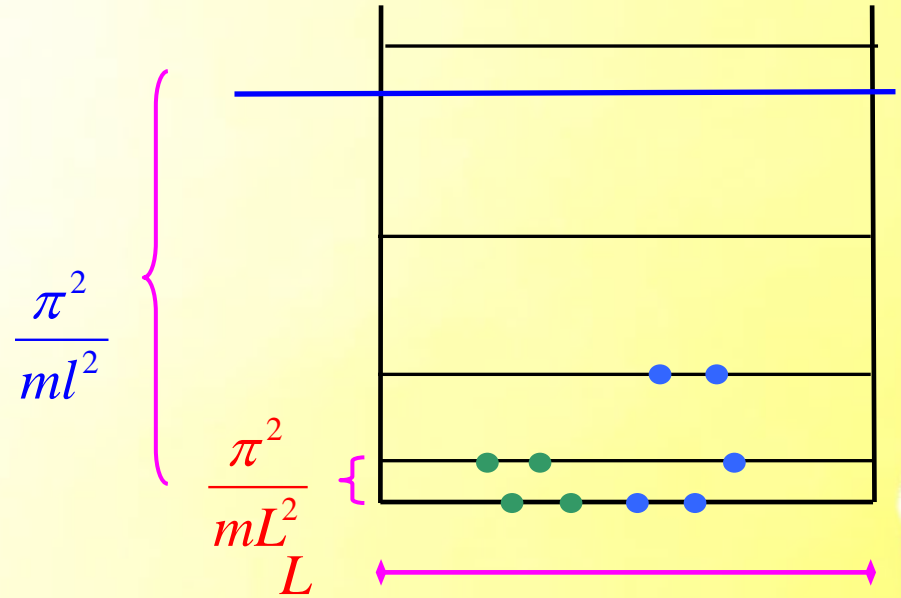


$$f_\pi \sim M_{QCD} / 4\pi + \mathcal{O}(\bar{m}) \simeq 100 \text{ MeV}$$

Lattice QCD



lattice "model space"



path integral solved with
Monte Carlo methods,
typically for unrealistically
large quark masses

$$\cot \delta(E) = \frac{4}{\sqrt{mEL}} \left[\pi \sum_{|\mathbf{n}| < L/l} \frac{1}{(2\pi\mathbf{n})^2 - mEL^2} - \frac{L}{l} \right]$$

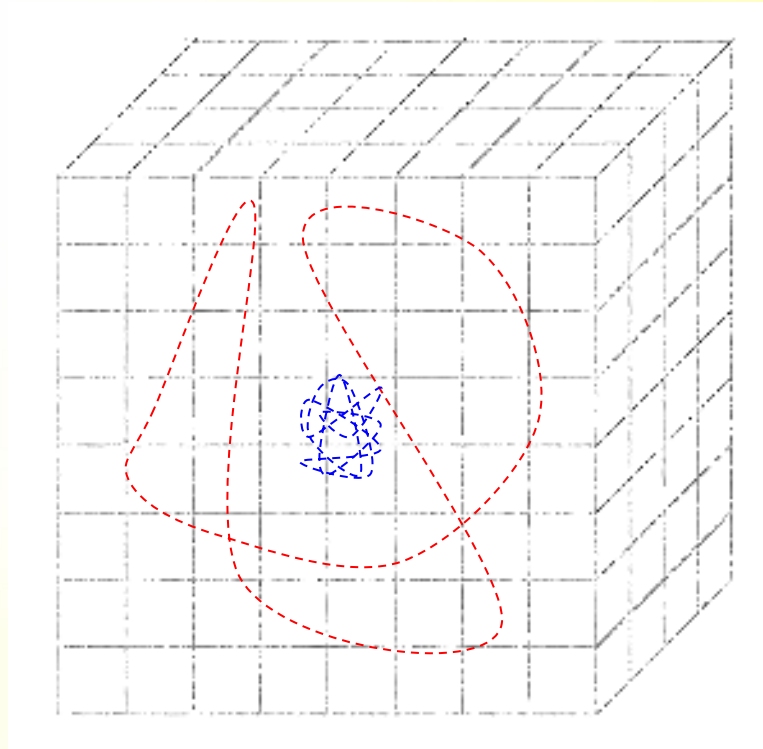
Lüscher '91

nucleon

$$l \ll 1/M_{QCD}$$



$$1/M_{QCD} \approx 0.3 \text{ fm}$$



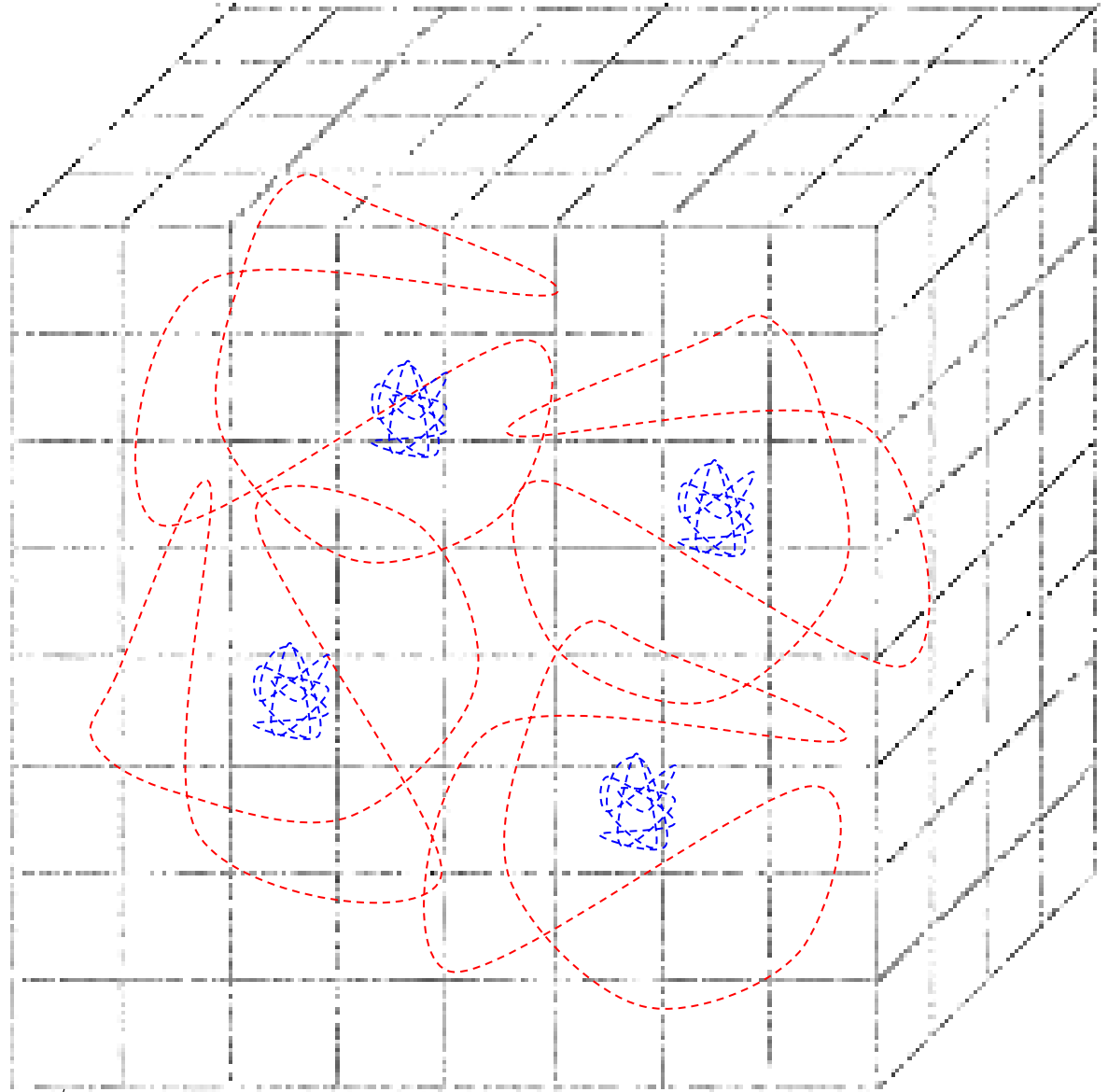
$$1/m_\pi \cong 1.4 \text{ fm}$$



$$L \gg 1/m_\pi$$



nucleus



$$l \ll 1/M_{QCD}$$

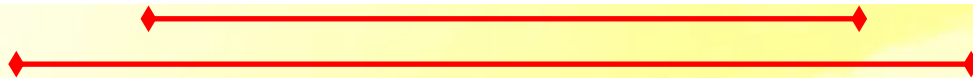


$$1/M_{QCD} \approx 0.3 \text{ fm}$$



$$R \sim \rho(m_\pi/f_\pi) A^{1/3}/m_\pi \approx 1.2 A^{1/3} \text{ fm}$$

$$L \gg \rho A^{1/3}/m_\pi$$



two-step strategy

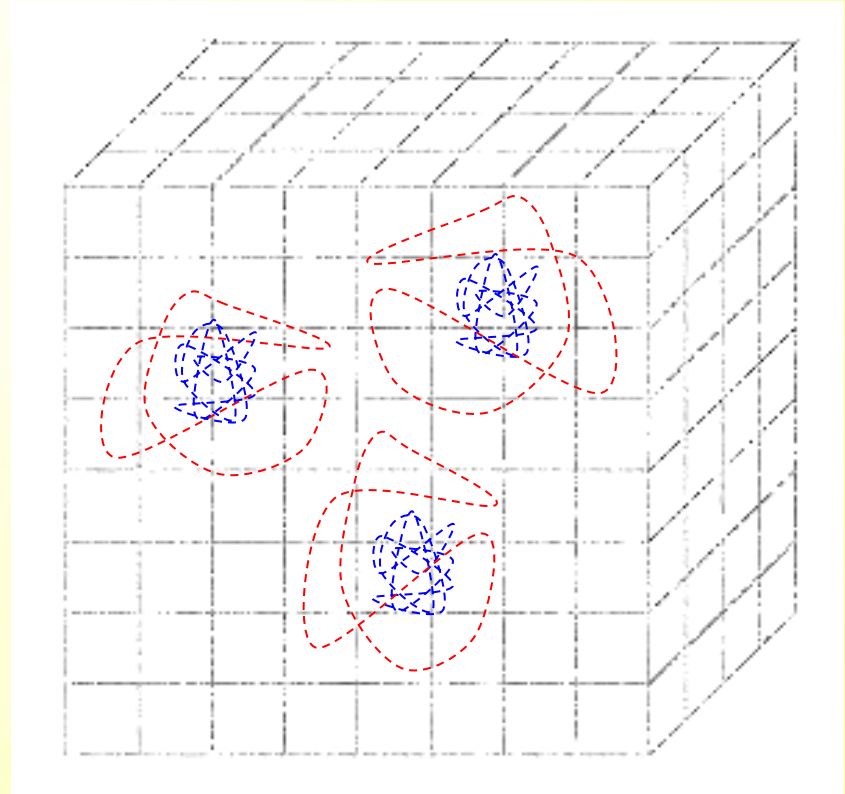
I) fit LECs for

$$A \leq a \sim 3, 4$$

$$m_\pi \geq M_\pi \sim 300, 400 \text{ MeV}$$

$$l \ll 1/M_{QCD} \quad \downarrow$$

$$1/M_{QCD} \approx 0.3 \text{ fm} \quad \updownarrow$$

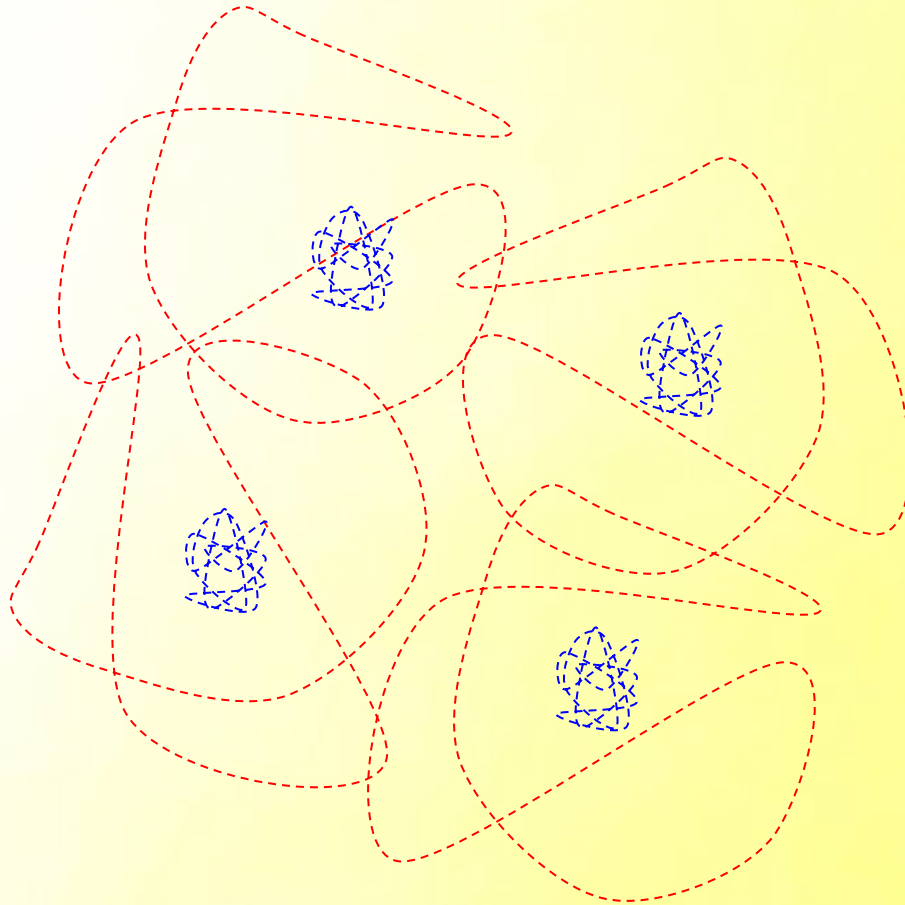


$$L \gg \rho(M_\pi/f_\pi) a^{1/3} / M_\pi$$

two-step strategy

II) solve EFT for
any A
any m_π

$$1/M_{QCD} \approx 0.3 \text{ fm} \quad \updownarrow$$



$$1/m_\pi$$



$$\rho(m_\pi/f_\pi) A^{1/3}/m_\pi$$



Extrapolation in pion mass

Pionful (Chiral) EFT

$$Q \sim m_\pi \ll M_{QCD}$$

- degrees of freedom: nucleons, pions, Deltas (+ Roper + ?)

$$m_\Delta - m_N \sim 2m_\pi \quad (m_{N'} - m_N \sim 3m_\pi, \dots)$$

- symmetries: Lorentz, ~~P~~, ~~T~~, chiral

$$D_\mu = \left(1 + \frac{\pi^2}{4f_\pi^2}\right)^{-1} \partial_\mu$$

$$D_\mu = \partial_\mu + \frac{i}{2f_\pi^2} (\pi \times D_\mu \pi) \cdot \mathbf{t}^{(I)}$$

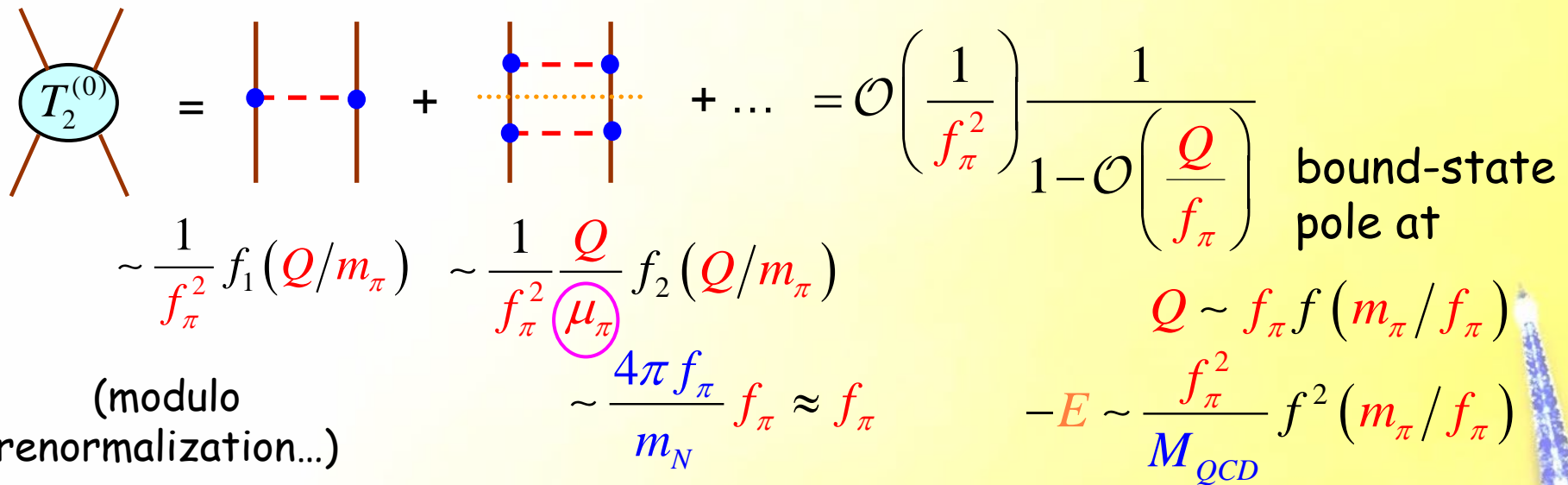
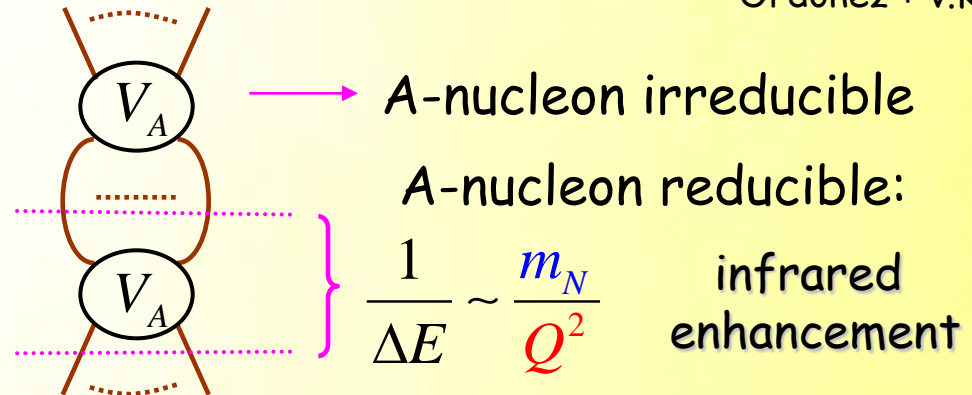
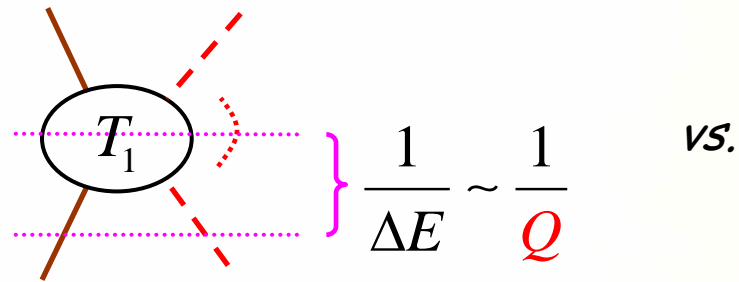
$$\mathcal{L}_{EFT} = \frac{1}{2} D_\mu \pi \cdot D^\mu \pi - \frac{m_\pi^2}{2} \frac{\pi^2}{1 + \pi^2/4f_\pi^2} + N^+ \left(iD_0 + \frac{\vec{D}^2}{2m_N} \right) N + \frac{g_A}{2f_\pi} N^+ \vec{S} \tau N \cdot \vec{D} \pi$$

$$+ C_0 N^+ N N^+ N + C_2' N^+ N (\vec{D} N^+) \cdot \vec{D} N + \dots$$

other spin/isospin ,
more derivatives,
powers of pion mass,
Deltas (Ropers, ...),
few-body forces,
etc.

- expansion in:

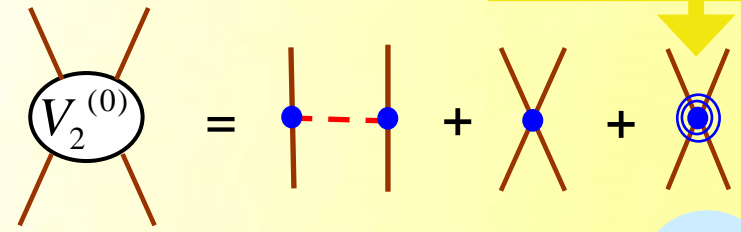
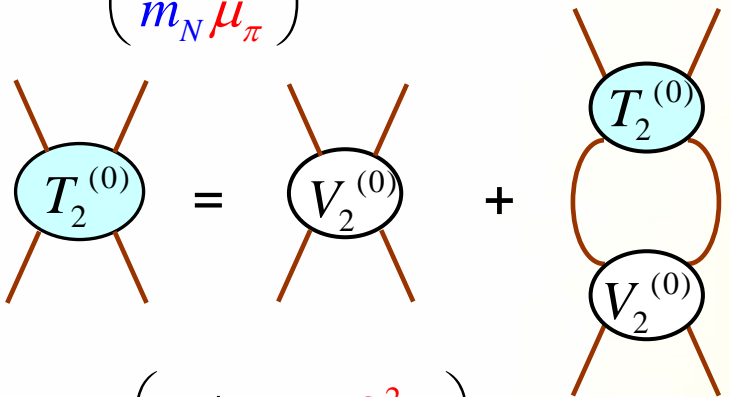
$$\frac{Q}{M_{QCD}} \sim \begin{cases} Q/m_N & \text{non-relativistic} \\ Q/m_\rho, \dots & \text{multipole} \\ Q/4\pi f_\pi & \text{pion loop} \end{cases} \sim \frac{1}{5}$$



$$M_{nuc} = \mu_\pi \approx f_\pi \ll M_{QCD}$$

Nuclear scale arises in QCD due to spontaneous chiral symmetry breaking

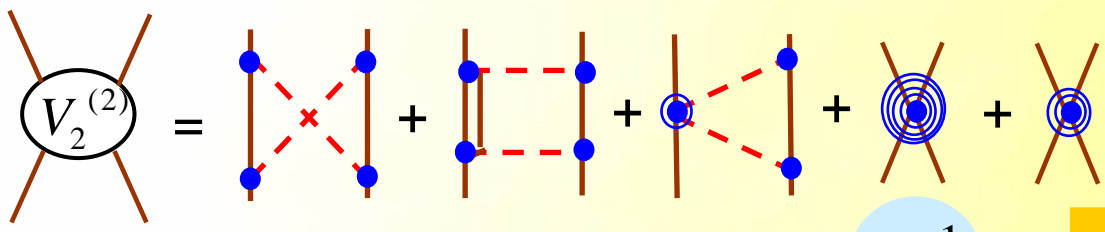
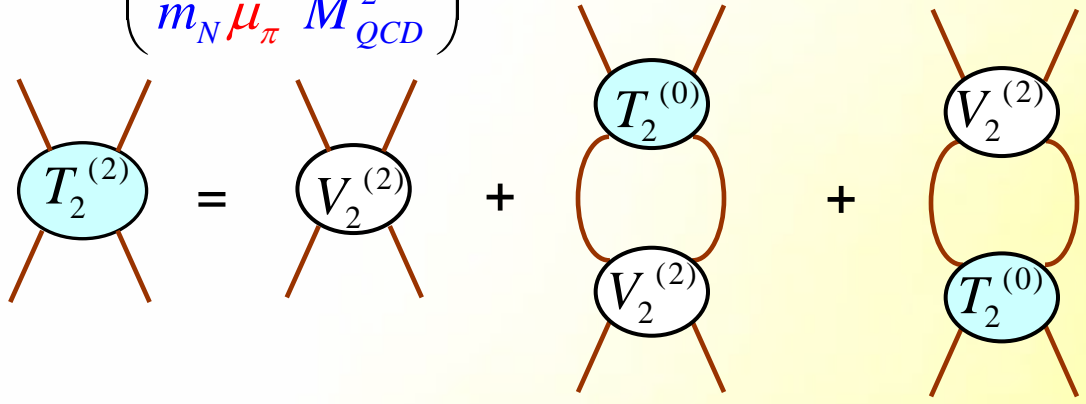
LO $\mathcal{O}\left(\frac{4\pi}{m_N \mu_\pi}\right)$



needed to renormalize OPE

$s=1$
 $l \leq 2$

NLO $\mathcal{O}\left(\frac{4\pi}{m_N \mu_\pi} \frac{Q^2}{M_{QCD}^2}\right)$



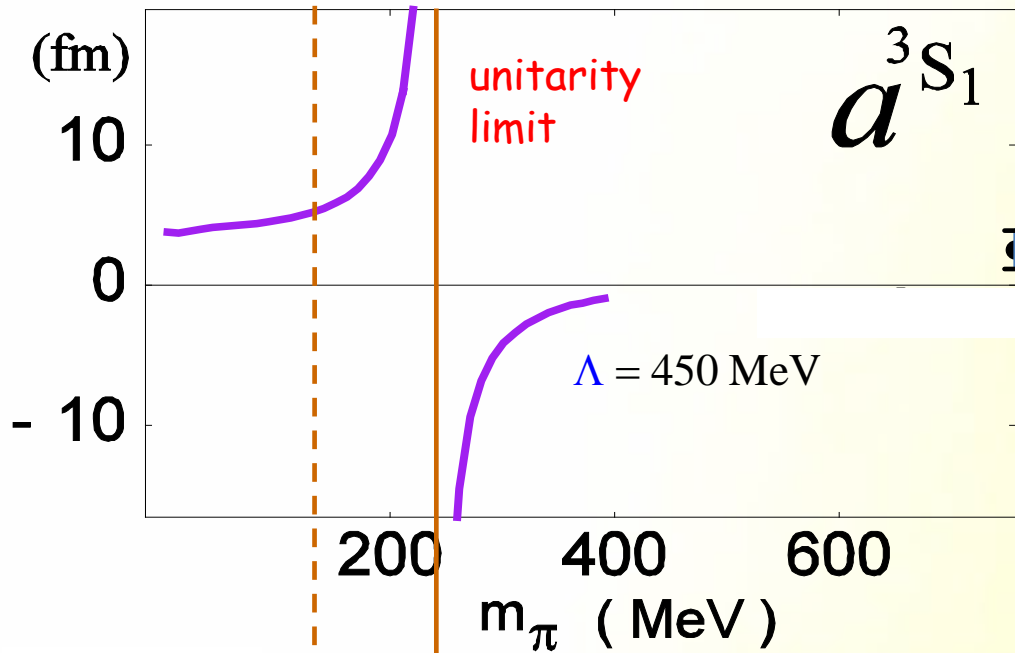
Long + v.K. '07
 Pavón '11

etc.

few-body forces?

$s=1$
 $l \leq 2$

enough to renormalize singular perturbations

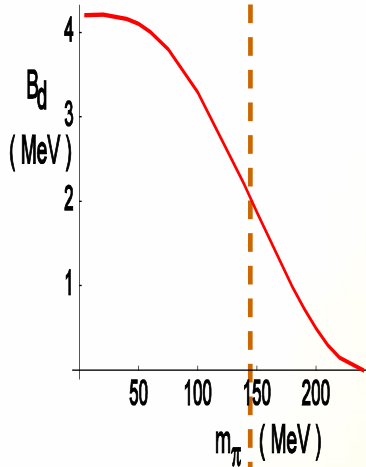


lattice
 Beane et al. '13

incomplete
 NLO

square-well regularization
 range $1/\Lambda$

$\Lambda = 800$ MeV



$m_\pi \approx 140$ MeV $m_\pi^*(M_{QCD})$

varying only explicit pion mass, **BUT**

$$f_\pi = f \left[1 + \left(\frac{m_\pi}{4\pi f_\pi} \right)^2 \bar{l}_4 + \mathcal{O} \left(\frac{m_\pi}{M_{QCD}} \right)^4 \right]$$

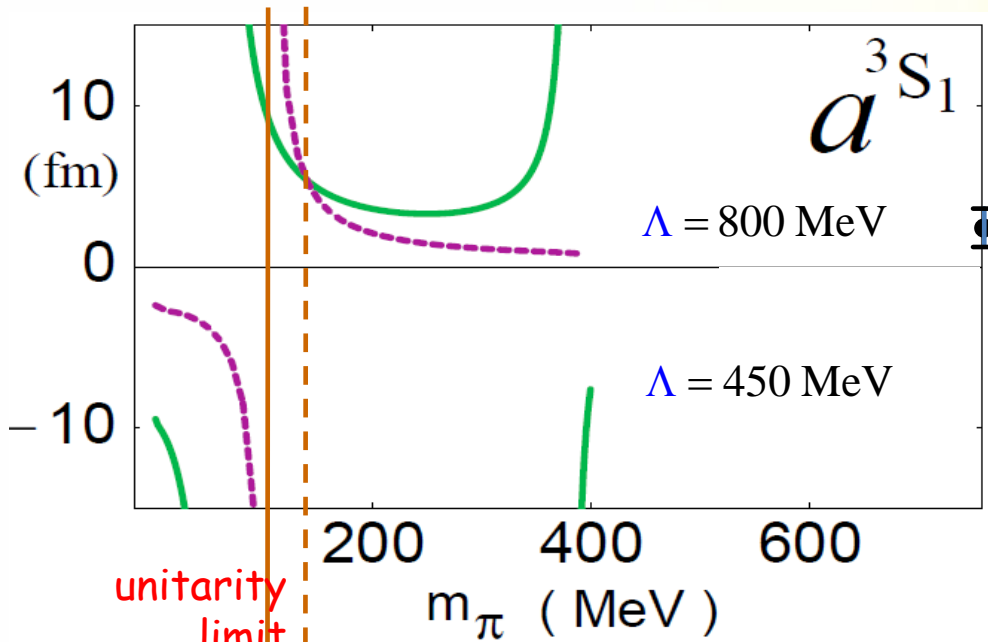
$\bar{l}_4 = 4.4 \pm 0.2$
 Colangelo et al. '01

$$m_N = m_0 \left[1 - 4 \left(\frac{m_\pi}{4\pi f_\pi} \right)^2 \frac{(4\pi f_\pi)^2 c_1}{m_0} + \mathcal{O} \left(\frac{m_\pi}{M_{QCD}} \right)^3 \right]$$

$c_1 \approx -1$ GeV⁻¹
 Meissner '00

$$g_{\pi N} = g_A \left\{ 1 - 2 \left(\frac{m_\pi}{4\pi f_\pi} \right)^2 \left[\frac{(4\pi f_\pi)^2}{g_A} \bar{d}_{18} + (2g_A^2 + 1) \ln \frac{m_\pi}{\mu} \right] + \mathcal{O} \left(\frac{m_\pi}{M_{QCD}} \right)^3 \right\}$$

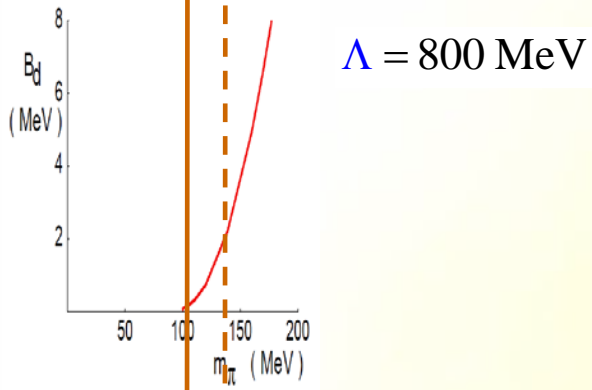
$\bar{d}_{18} \approx -1$ GeV⁻² Fetter + Meissner '00



lattice
Beane *et al.* '13

incomplete
NLO

square-well regularization
range $1/\Lambda$



cf. atoms as magnetic field varies

QCD with $m_\pi \approx 140 \text{ MeV}$
near a Feshbach resonance
in pion mass

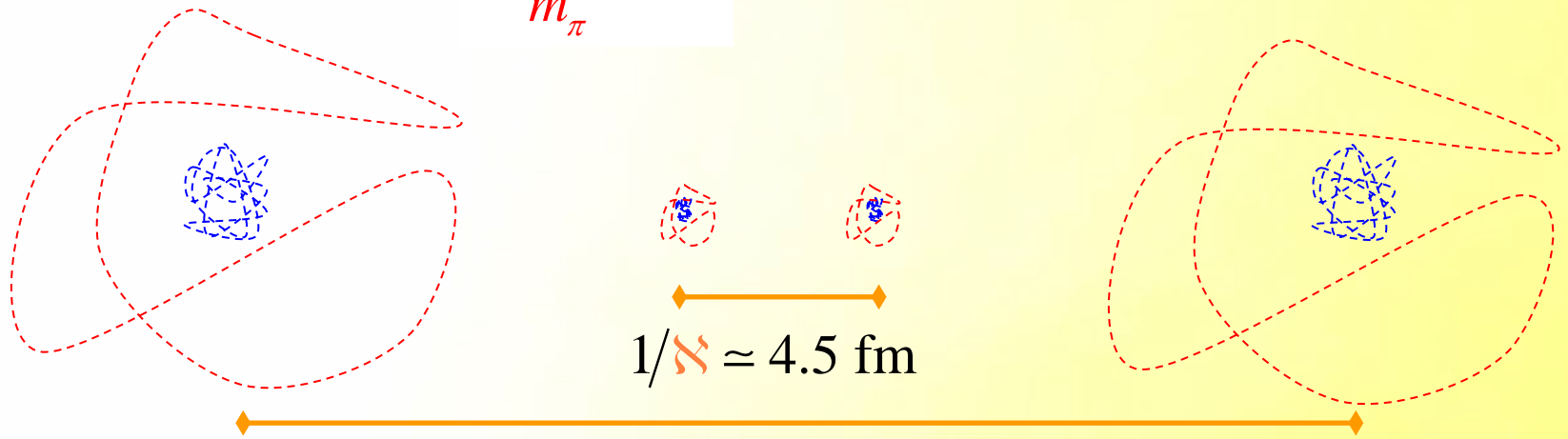
$m_\pi^*(M_{QCD}) \quad m_\pi \approx 140 \text{ MeV}$

Scale $\propto \sim \frac{m_\pi - m_\pi^*}{m_\pi^*} \mu_\pi \lesssim \mu_\pi$ emerges

$$Q \sim \mathcal{N} \sim \frac{m_\pi - m_\pi^*}{m_\pi^*} \mu_\pi < m_\pi$$

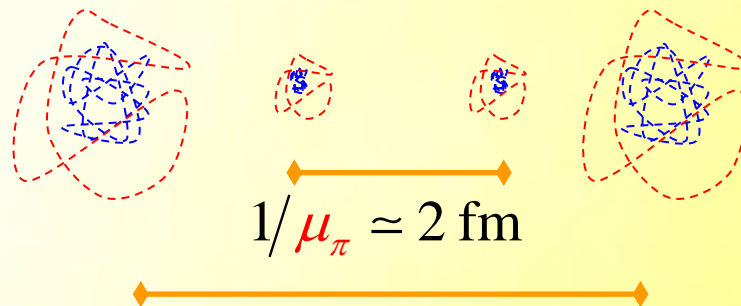
$$\frac{m_\pi - m_\pi^*}{m_\pi^*} < 1$$

e.g. $m_\pi \approx 140 \text{ MeV}$



$$\mu_\pi < m_\pi$$

e.g. $m_\pi \sim 500 \text{ MeV}$



Pionless EFT $Q \sim M_{lo} \ll M_{hi}$

- degrees of freedom: nucleons
- symmetries: Lorentz, ~~P~~, ~~T~~

$$\mathcal{L}_{EFT} = N^\dagger \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N - \frac{C_0}{2} N^\dagger N N^\dagger N - \frac{D_0}{6} N^\dagger N N^\dagger N N^\dagger N$$

$$+ N^\dagger \frac{\nabla^4}{8m_N^3} N - \frac{C_2}{4} N^\dagger N \nabla^2 N^\dagger N + \dots$$

omitting
spin, isospin

- expansion in: $\frac{Q}{M_{hi}} = \begin{cases} Q/m_N & \text{non-relativistic} \\ Q/m_\pi, \dots & \text{multipole} \end{cases}$

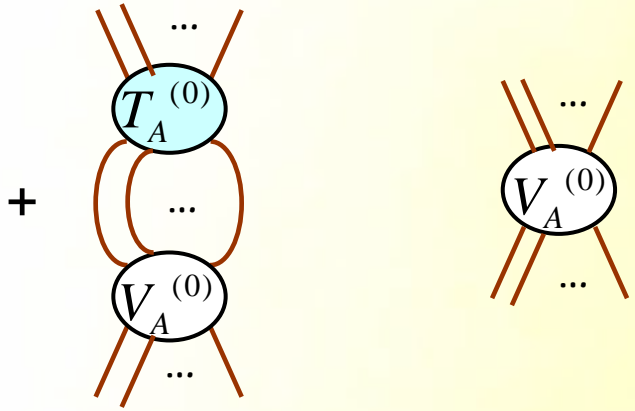
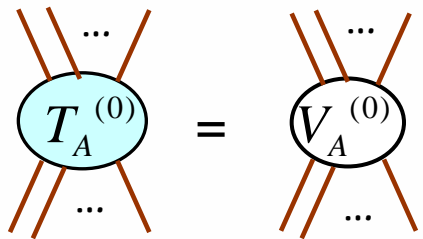
Universality:
first orders
apply also to
neutral atoms

$$M_{hi} \sim 1/l_{vdW} \quad \text{where} \quad V(r) = -\frac{l_{vdW}^4}{2m_{at} r^6} + \dots$$

Bedaque, Hammer
+ v.K. '99 '00
Bedaque, Braaten
+ Hammer '01
...

LO $\mathcal{O}\left(\frac{4\pi}{mM_{lo}}\right)$

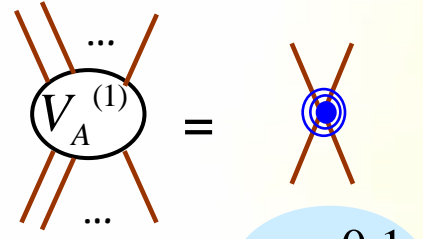
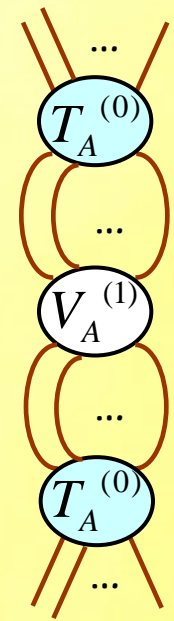
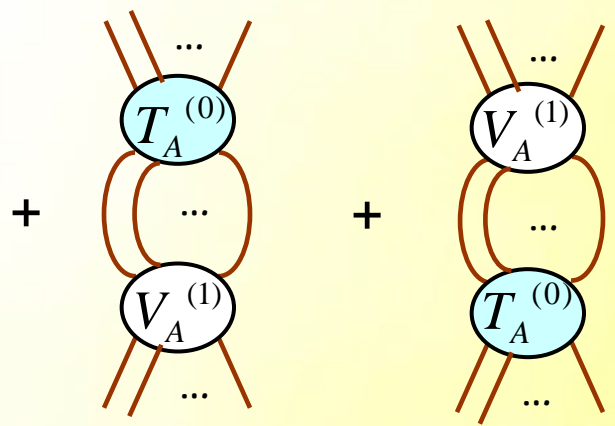
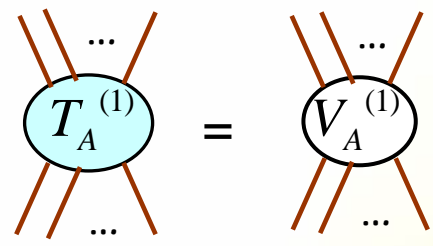
needed to renormalize three-body system



$s = 0, 1$
 $l = 0$

$s = 1/2$
 $l = 0$

NLO $\mathcal{O}\left(\frac{4\pi}{mM_{lo}} \frac{Q}{M_{hi}}\right)$



$s = 0, 1$
 $l = 0$

enough to renormalize singular perturbations

Kaplan, Savage + Wise '98
v.K. '98

etc.

A = 2

in each S-wave channel with shallow b.s.

LO

NLO

renormalized
LECs

$$C_0^{(R)} \sim \frac{4\pi}{mM_{lo}}$$

$$C_2^{(R)} \sim \frac{4\pi}{mM_{hi}M_{lo}^2}$$

$$C_0(\Lambda) = \frac{C_0^{(R)}}{1 - \# \frac{m}{2\pi^2} C_0^{(R)} \Lambda} \left(1 - \# \frac{m}{2\pi^2} C_2(\Lambda) \Lambda^3 + \dots \right)$$

regularization-dependent numbers

$$C_2(\Lambda) = \left(1 - \# \frac{m}{2\pi^2} C_0^{(R)} \Lambda \right)^{-2} \left[C_2^{(R)} + \# \frac{m C_0^{(R)2}(\Lambda)}{2\pi^2 \Lambda} \left(1 - \# \frac{m}{2\pi^2} C_0^{(R)} \Lambda \right)^{-2} \right] + \dots$$

NLO


LO

NLO

$$T_2(k) = \frac{4\pi}{m} \left(\underbrace{-\frac{4\pi}{mC_0^{(R)}}}_{\text{scattering length}} - ik \right)^{-1} \left[1 - \left(\underbrace{-\frac{4\pi}{mC_0^{(R)}}}_{\text{scattering length}} - ik \right)^{-1} \frac{16\pi C_2^{(R)} k^2}{mC_0^{(R)2}} + \mathcal{O}\left(\frac{M_{lo}^2}{M_{hi}^2}\right) \right]$$

$$\text{scattering length} = \frac{1}{a_2} \sim M_{lo}$$

$$= \frac{r_2}{2} \sim \frac{1}{M_{hi}} \quad \text{effective range}$$



b.s. at $Q \sim M_{lo}$

effective-range
expansion

A = 3

bosons
fermions with more than two states

$$T_{2+1}^{(0)}(\Lambda \gg p \gg M_{lo}) \approx A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right) \Rightarrow \frac{\Lambda}{T_{2+1}^{(0)}} \frac{\partial T_{2+1}^{(0)}}{\partial \Lambda} (p \sim M_{lo}) \sim 1 \text{ unless } D_0^{(R)} \sim \frac{(4\pi)^2}{mM_{lo}^4}$$

approximate
scale invariance

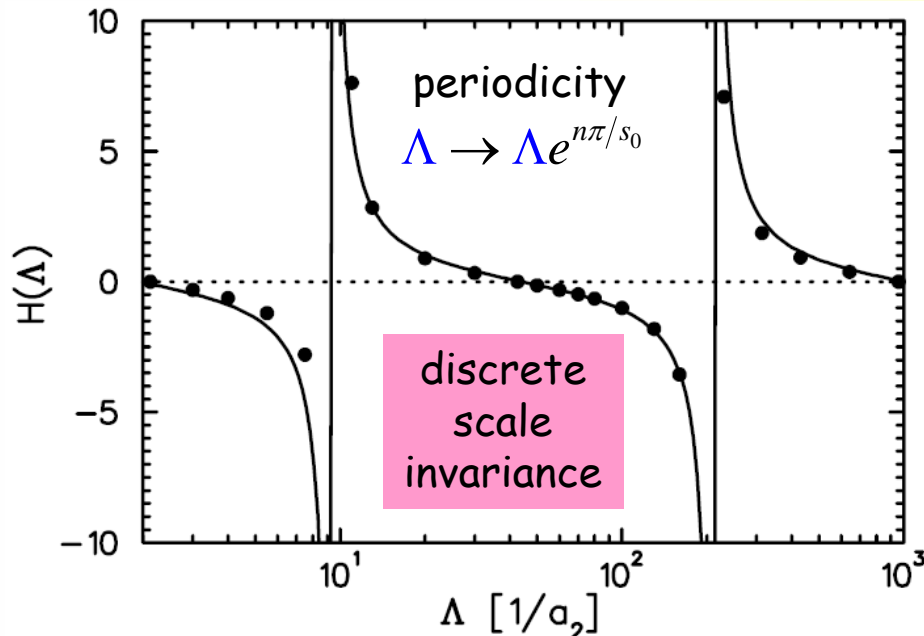
$$s_0 = 1.0064\dots$$

not *just* the
effective-range expansion

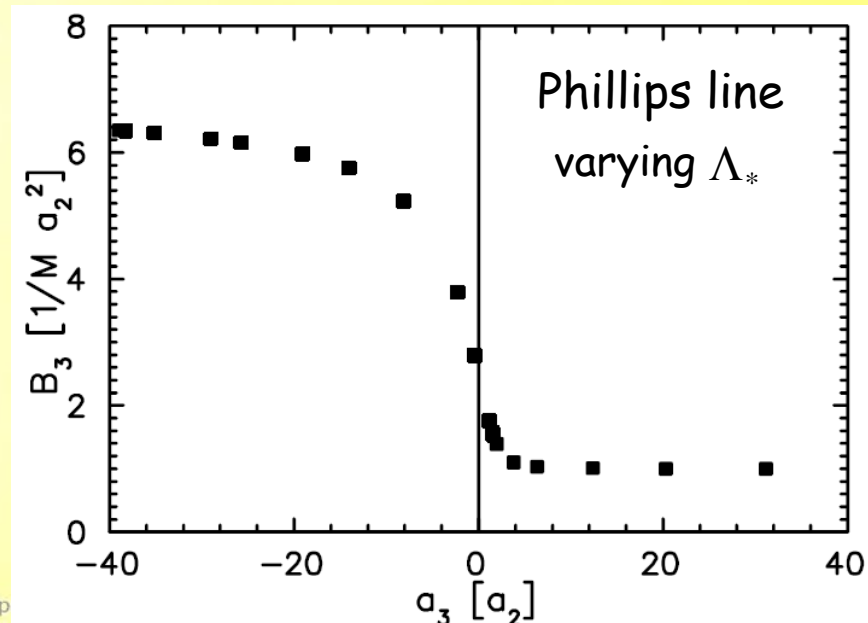
LO

$$H(\Lambda) \equiv \frac{\Lambda^2 D_0(\Lambda)}{2\mu C_0^2(\Lambda)} \simeq \frac{\sin(\ln(\Lambda/\Lambda_*) + \arctan(1/s_0))}{\sin(\ln(\Lambda/\Lambda_*) - \arctan(1/s_0))}$$

dimensionful parameter
(dimensional transmutation)



RG limit cycle!



Extrapolation in nucleon number

$$m_\pi \ll M_{QCD} \left\{ \begin{array}{l} \text{Pionful EFT} \\ \text{Pionless EFT} \end{array} \right\} m_\pi \sim M_{QCD}$$

+ any "exact" *ab initio* method

That is,

- 1) truncate EFT expansion at desired order
- 2) solve Schrödinger equation for low A at fixed cutoff
(exactly for LO, subLOs in perturbation theory)
- 3) fit LECs to selected *lattice* input
- 4) solve Schrödinger equation for larger A
- 5) repeat steps 2-4 at other cutoffs
- 6) obtain observables at large cutoffs

Experimental and LQCD data

m_π	140	510	805
Nucleus	[Nature]	[5]	[6]
n	939.6	1320.0	1634.0
p	938.3	1320.0	1634.0
nn	-	7.4 ± 1.4	15.9 ± 3.8
D	2.224	11.5 ± 1.3	19.5 ± 4.8
3_n	-		
^3H	8.482	20.3 ± 4.5	53.9 ± 10.7
^3He	7.718	20.3 ± 4.5	53.9 ± 10.7
^4He	28.30	43.0 ± 14.4	107.0 ± 24.2
^5He	27.50		
^5Li	26.61	[5] Yamazaki <i>et al.</i> '12	
^6Li	32.00	[6] Beane <i>et al.</i> '12	



Beane *et al.* '13

$$\begin{aligned}
 a^{(^1S_0)} &= 2.33^{+0.19+0.27}_{-0.17-0.20} \text{ fm} \quad , \quad r^{(^1S_0)} = 1.130^{+0.071+0.059}_{-0.077-0.063} \text{ fm} \\
 a^{(^3S_1)} &= 1.82^{+0.14+0.17}_{-0.13-0.12} \text{ fm} \quad , \quad r^{(^3S_1)} = 0.906^{+0.068+0.068}_{-0.075-0.084} \text{ fm}
 \end{aligned}$$

Scales (MeV)

m_N	940	1320	1630
$\sqrt{m_N(m_\Delta - m_N)}$	530	630	540
m_π	140	500	800
$\sqrt{m_N B/A} (A = 2 \mapsto 4)$	30 \mapsto 80	90 \mapsto 120	130 \mapsto 210

Experimental and LQCD data

LO pionless fit:

$$m_N, C_{01}, C_{10}, D_1$$

Stetcu, Barrett + v.K. '06

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Beane *et al.* '13

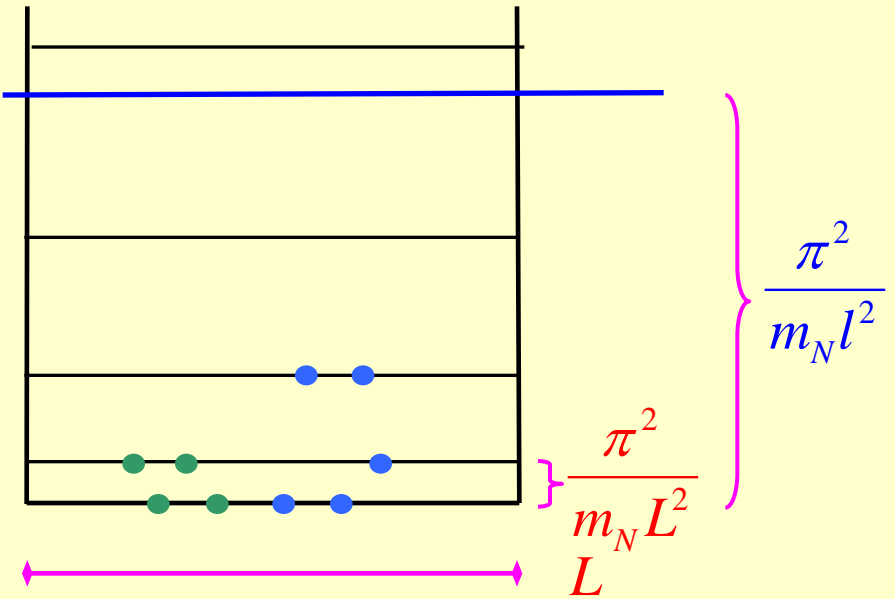
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 \end{aligned}$$

$$A \gtrsim 4$$

As A grows, given computational power limits
number of accessible one-nucleon states

IR cutoff

Lattice Box



Mueller *et al.* '99

nuclear matter

Lee *et al.* '05

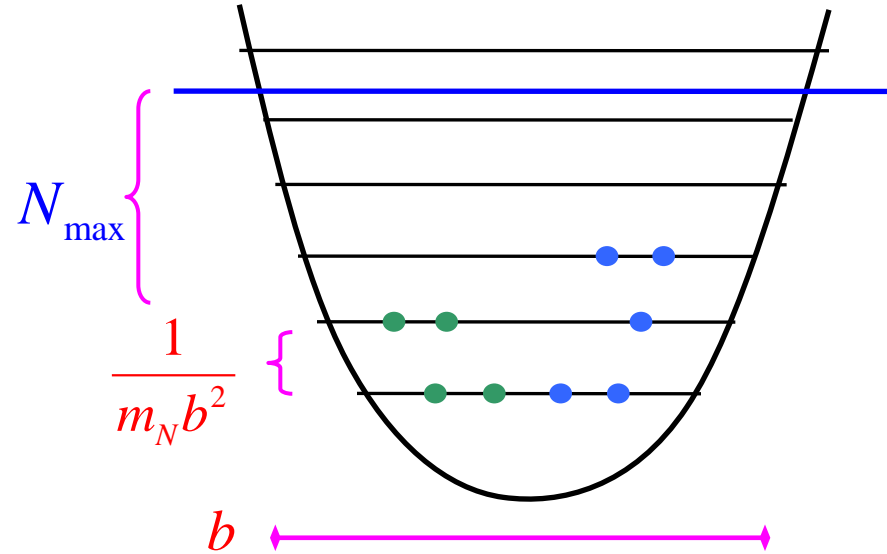
few nucleons

...

$$\cot \delta(E) = \frac{4}{\sqrt{m_N E L}} \left[\pi \sum_{|\mathbf{n}| < L/l} \frac{1}{(2\pi \mathbf{n})^2 - m_N E L^2} - \frac{L}{l} \right]$$

Lüscher '91

Harmonic Oscillator
"No-Core Shell Model"



Stetcu *et al.* '06

finite nuclei

...

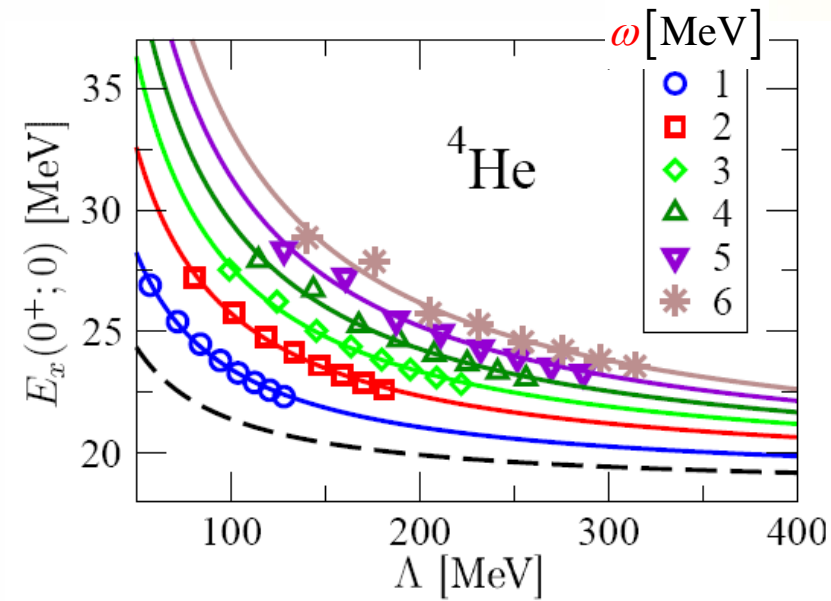
$$\cot \delta(E) = -\frac{2}{\sqrt{m_N E b}} \frac{\Gamma\left(\frac{3}{4} - \frac{m_N E b^2}{2}\right)}{\Gamma\left(\frac{1}{4} - \frac{m_N E b^2}{2}\right)}$$

Busch *et al.* '99

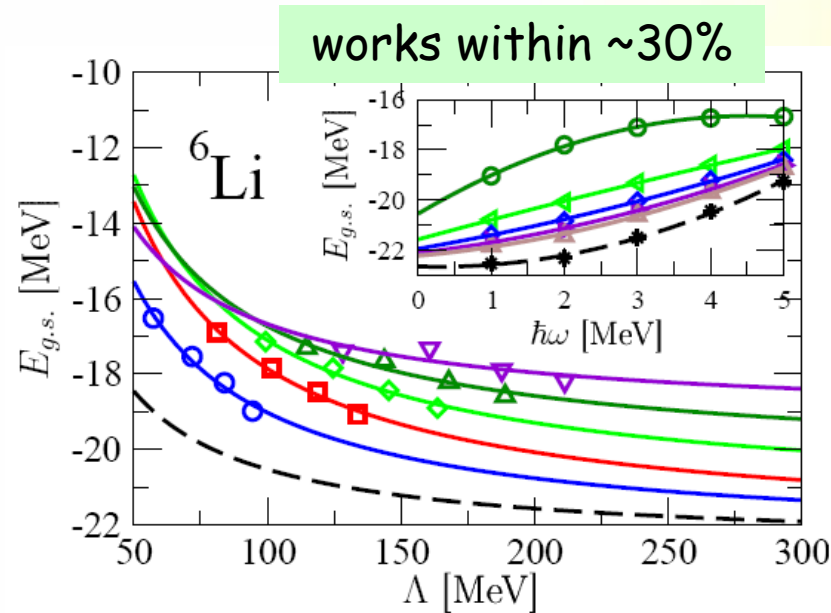
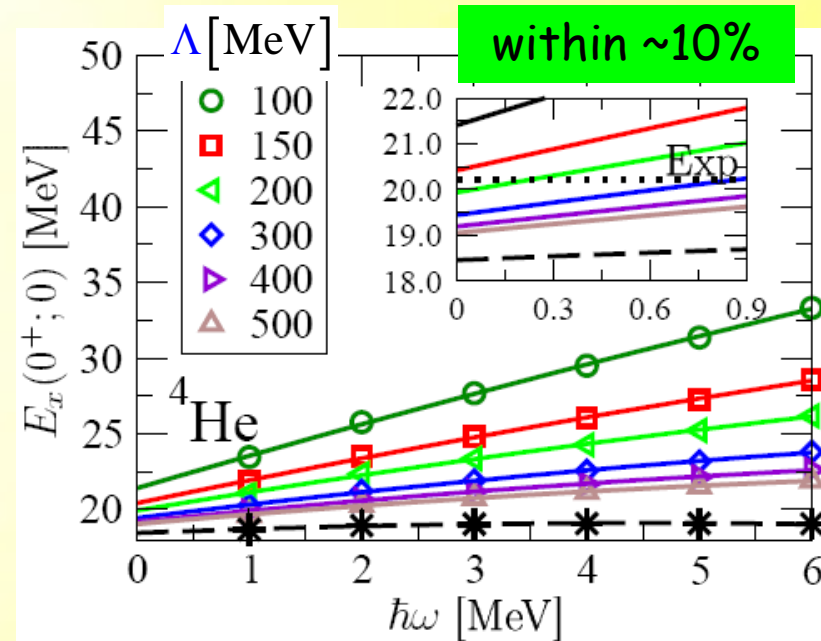
...

Pionless EFT: LO

(parameters fitted to d, t, α ground-state binding energies)



$N_{\text{max}} \leq 16$

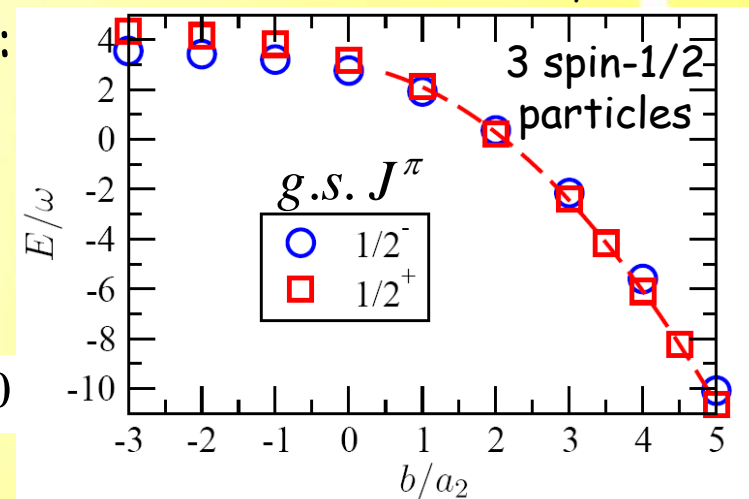


$N_{\text{max}} \leq 8$

$N_{\text{max}} \lesssim 30$

Stetcu, Barrett, Vary + v.K. '08

Bonus:



Experimental and LQCD data

m_π	140	510	805
Nucleus	[Nature]	[5]	[6]
n	939.6	1320.0	1634.0
p	938.3	1320.0	1634.0
nn	-	7.4 ± 1.4	15.9 ± 3.8
D	2.224	11.5 ± 1.3	19.5 ± 4.8
3_n	-		
^3H	8.482	20.3 ± 4.5	53.9 ± 10.7
^3He	7.718	20.3 ± 4.5	53.9 ± 10.7
^4He	28.30	43.0 ± 14.4	107.0 ± 24.2
^5He	27.50		
^5Li	26.61	[5] Yamazaki <i>et al.</i> '12	
^6Li	32.00	[6] Beane <i>et al.</i> '12	

LO pionless fit:

$$m_N, C_{01}, C_{10}, D_1$$

Barnea, Contessi, Gazit
+ Pederiva + v.K. '13

Beane *et al.* '13

$$\begin{aligned}
 a^{(1S_0)} &= 2.33^{+0.19+0.27}_{-0.17-0.20} \text{ fm} \quad , \quad r^{(1S_0)} = 1.130^{+0.071+0.059}_{-0.077-0.063} \text{ fm} \\
 a^{(3S_1)} &= 1.82^{+0.14+0.17}_{-0.13-0.12} \text{ fm} \quad , \quad r^{(3S_1)} = 0.906^{+0.068+0.068}_{-0.075-0.084} \text{ fm}
 \end{aligned}$$

Ab initio methods employed

□ Effective-Interaction Hyperspherical Harmonics (EIHH) Barnea *et al.* '00' 01

- ✓ hyperspherical coordinates: hyperradius + 3A-4 hyperangles
- ✓ model space: hyperangular momentum $K \leq K_{max}$
- ✓ wavefunction: expanded in antisymmetrized spin/isospin states
- ✓ effective interaction: Lee-Suzuki projection to subspace "in medium"
- ✓ extrapolation: $K_{max} \rightarrow \infty$

□ Auxiliary-Field Diffusion Monte Carlo (AFDMC) Schmidt + Fantoni '99

- ✓ integral equation for evolution of wavefunction in imaginary time τ in terms of Green's function (diffusion)
- ✓ two- and more-body operators linearized by auxiliary fields (Hubbard-Stratonovich transformation)
- ✓ trial wavefunction probed stochastically with weight given by the Green's function
- ✓ lowest-energy state with symmetry of trial wavefunction projected onto as $\tau \rightarrow \infty$

$$\begin{aligned}
H^{(0)} = & -\frac{1}{2m_N} \sum_i \nabla_i^2 \\
& + \frac{1}{4} \sum_{i<j} \left[(3C_{10}(\Lambda) + C_{01}(\Lambda)) + (C_{10}(\Lambda) - C_{01}(\Lambda)) \vec{\sigma}_i \cdot \vec{\sigma}_j \right] e^{-\Lambda^2 r_{ij}^2 / 4} \\
& + \sum_{i<j<k} \sum_{\text{cyc}} D_1(\Lambda) \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j e^{-\Lambda^2 (r_{ij}^2 + r_{jk}^2) / 4}
\end{aligned}$$

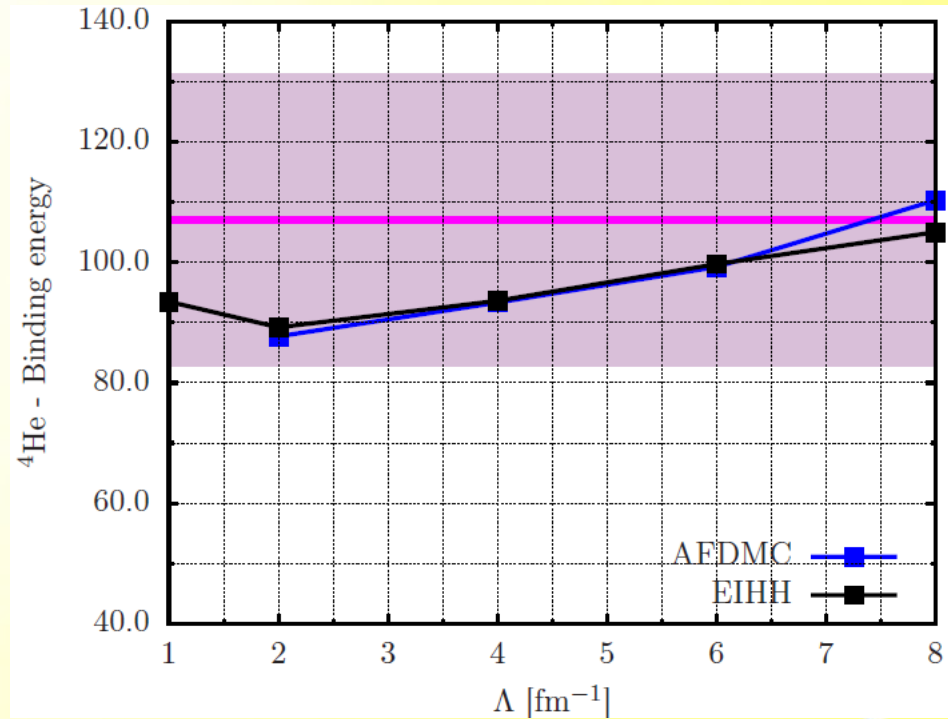
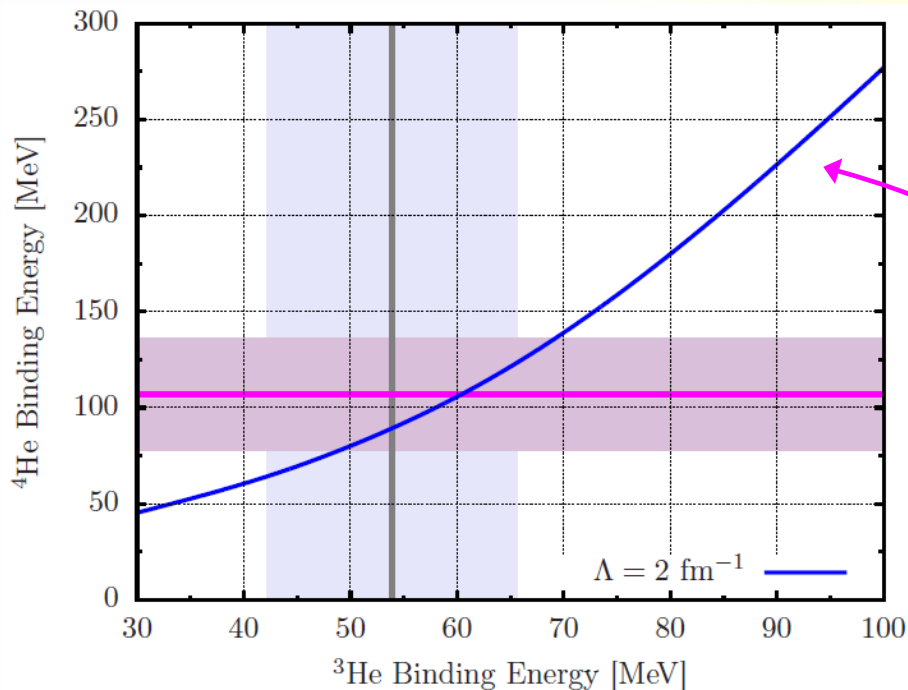
TABLE III. The LO LECs [GeV] for lattice nuclei at $m_\pi = 805$ MeV, as a function of the momentum cutoff Λ [fm^{-1}].

Λ	$C_{1,0}$	$C_{0,1}$	D_1
2	-0.1480	-0.1382	-0.07515
4	-0.4046	-0.3885	-0.3902
6	-0.7892	-0.7668	-1.147
8	-1.302	-1.273	-2.648

$$a^{(3S_1)} = (1.2 \pm 0.5) \text{ fm}$$

cutoff variation 2 to 14 fm⁻¹

Tjon line



varying D_1

at fixed C_{01}, C_{10}

- no excited states for $A = 2, 3, 4$
- no ${}^3\text{n}$ droplet

m_π	140	510	805	805
Nucleus	[Nature]	[5]	[6]	[This work]
n	939.6	1320.0	1634.0	1634.0 *
p	938.3	1320.0	1634.0	1634.0
nn	-	7.4 ± 1.4	15.9 ± 3.8	15.9 ± 3.8 *
D	2.224	11.5 ± 1.3	19.5 ± 4.8	19.5 ± 4.8 *
${}^3\text{n}$	-	-	-	-
${}^3\text{H}$	8.482	20.3 ± 4.5	53.9 ± 10.7	53.9 ± 10.7 *
${}^3\text{He}$	7.718	20.3 ± 4.5	53.9 ± 10.7	53.9 ± 10.7
${}^4\text{He}$	28.30	43.0 ± 14.4	107.0 ± 24.2	89 ± 36
${}^5\text{He}$	27.50			98 ± 39
${}^5\text{Li}$	26.61	[5] Yamazaki <i>et al.</i> '12		98 ± 39
${}^6\text{Li}$	32.00	[6] Beane <i>et al.</i> '12		
		[This work] Barnea <i>et al.</i> '13		122 ± 50

} predictions

What next?

- NLO at $m_\pi = 805 \text{ MeV}$
- LO at $m_\pi = 510 \text{ MeV}$
- larger A with AFDMC
- hypernuclei
- chiral EFT at lower pion masses when available
- ...

Conclusion

- ◆ EFT is constrained *only* by symmetries and thus can be matched onto lattice QCD
- ◆ EFT allows controlled extrapolations of lattice results in both pion mass *and* nucleon number
- ◆ First, proof-of-principle calculation carried out at $m_\pi \approx 800$ MeV with pionless EFT
- ◆ World at large pion mass *might* be just a denser version of ours