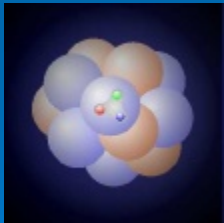


# Fano Resonances in Atomic, Nuclear, and Hadronic Systems

## Particle Dynamics at the Threshold

H. Lenske



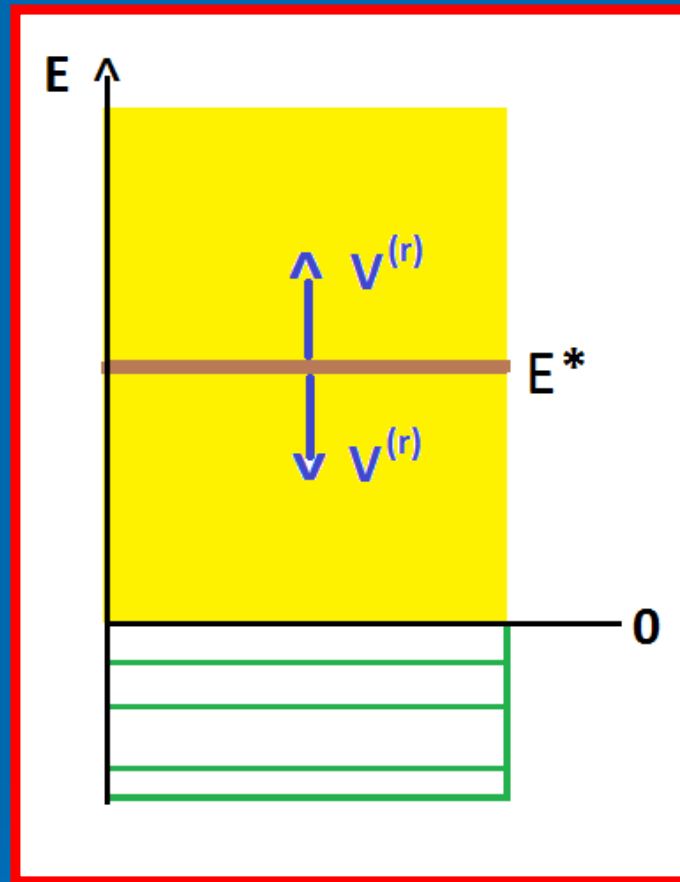
**Institut für  
Theoretische Physik**



# Agenda:

- Quantum Interference and Fano Resonances
- Fano resonances in atoms
- Continuum spectroscopy in nuclei
- Quantum Interference in hadron spectroscopy
- Summary

# The Spectral Situation



- A closed channel  $E^*$  is embedded into a continuum of open channels
- $E^*$  interacts via  $V(r)$  with open channels given by scattering states
- $E^*$  Interacts via  $V(r)$  with closed channels, e.g. of (simple) bound states

→ Bound State Embedded into the Continuum - BSEC<sub>3</sub>

# Examples:

- **Atoms:** self-ionizing states of multi-electron configuration
- **Nuclei:** Multi-particle-hole states above threshold
- **Mesons:** Confined  $q\bar{q}$ -configurations embedded into the continuum of meson-meson scattering states, e.g.  $\Delta(1232)$ ,  $\rho(770)$ ,  $f_0(980)$ ,  $X(3770)$ ...
- **Baryons:** Confined  $qqq$ -configurations embedded into the continuum of meson-nucleon scattering states, e.g.  $\Delta(1232)$ ,  $N^*(1440)$ ,  $\Lambda(1405)$ ...

# Fano Resonances in Atoms: Theory of Self-Ionizing States

# The simplest case: One open and one closed channel

$$\Psi_E = a\varphi + \int dE' b_{E'}\psi_{E'}.$$

$$(\varphi | H | \varphi) = E_\varphi,$$

$$(\psi_{E'} | H | \varphi) = V_{E'},$$

$$(\psi_{E''} | H | \psi_{E'}) = E'\delta(E'' - E').$$

$$E_\varphi a + \int dE' V_{E'}^* b_{E'} = E a,$$

$$V_{E'} a + E' b_{E'} = E b_{E'}.$$

# Spectral Amplitude in the Open Channel

$$b_{E'} = \left[ \frac{P}{E - E'} + z(E) \delta(E - E') \right] V_{E'} a,$$

$$E_\varphi + F(E) + z(E) |V_E|^2 = E,$$

$$F(E) = P \int dE' \frac{|V_{E'}|^2}{E - E'},$$

$$z(E) = \frac{E - E_\varphi - F(E)}{|V_E|^2}.$$

# Spectral Amplitude in the Closed Channel

$$|a(E)|^2 = \frac{1}{|V_E|^2[\pi^2 + z^2(E)]} = \frac{|V_E|^2}{[E - E_\varphi - F(E)]^2 + \pi^2 |V_E|^4}.$$

- The discrete state obtains a spectral distribution because of the bound-continuum interaction;
- Line shape is of Lorentz form with a „mass shift“  $F(E)$  and a width  $\Gamma = 2\pi|V_E|^2$  (FWHM).
- If the system were prepared initially in the discrete state  $\varphi$ , it „auto-ionizes“ through the coupling to the continuum with a mean life-time

$$\tau \sim 1/\Gamma \sim 1/|V_E|^2$$



# The Open Channel Wave Function

Switching on an interaction  $\rightarrow$  leads asymptotically to a change in the scattering phase shift:  $\delta \rightarrow \delta^* = \delta + \Delta$

$r \rightarrow \infty$ :

$$\int dE' b_{E'} \psi_{E'} \propto \sin[k(E)r + \delta + \Delta],$$

Interaction phase shift:

$$\Delta = -\arctan[\pi/z(E)]$$

$$\Delta = -\arctan\left[\frac{\pi |V_E|^2}{E - E_\varphi - F(E)}\right]$$

# Explicit evaluation of the spectral amplitudes

...using:

$$b_{E'} = \left[ \frac{1}{E - E'} + z(E) \delta(E - E') \right] V_{E'} a,$$

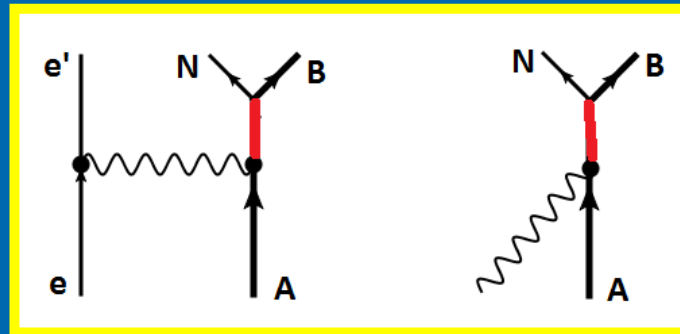
...and leading to:

$$a = \sin \Delta / \pi V_E,$$
$$b_{E'} = \frac{V_{E'} \sin \Delta}{\pi V_E E - E'} - \cos \Delta \delta(E - E'),$$

## Question:

What happens when a Fano State is excited by an external probe...

...e.g. in a  $(e, e')$  reaction or by a photon?



...resulting in the matrix element:

$$\langle \Psi_E | T | i \rangle = \frac{1}{\pi V_E^*} (\Phi | T | i) \sin \Delta - \langle \psi_E | T | i \rangle \cos \Delta,$$

$$\Phi = \varphi + P \int dE' \frac{V_{E'} \psi_{E'}}{E - E'}$$

# Reaction Matrix Elements and Formation Cross Section

$$M_{\alpha\beta} = (\Psi_{E,\beta} | T | \chi_{\alpha}) = \frac{1}{\pi V_E^*} (\psi_{E,\beta} | T | \chi_{\alpha}) \sin \Delta \left( \frac{(\phi | T | \chi_{\alpha})}{(\psi_{E,\beta} | T | \chi_{\alpha})} - \cot \Delta \right)$$

The Fano-Formula:

$$\sigma_{\alpha\beta} = \sigma_{\alpha\beta}^s \frac{|q + \cot \Delta|^2}{1 + \cot^2 \Delta} \sim |M_{\alpha\beta}|^2$$

$$q = \frac{(\phi | T | \chi_{\alpha})}{(\psi_{E,\beta} | T | \chi_{\alpha})}$$

$$\sigma_{\alpha\beta}^s \sim \left| \frac{1}{\pi V_E^*} (\psi_{E,\beta} | T | \chi_{\alpha}) \right|^2$$

# Controlling the Line Shape...

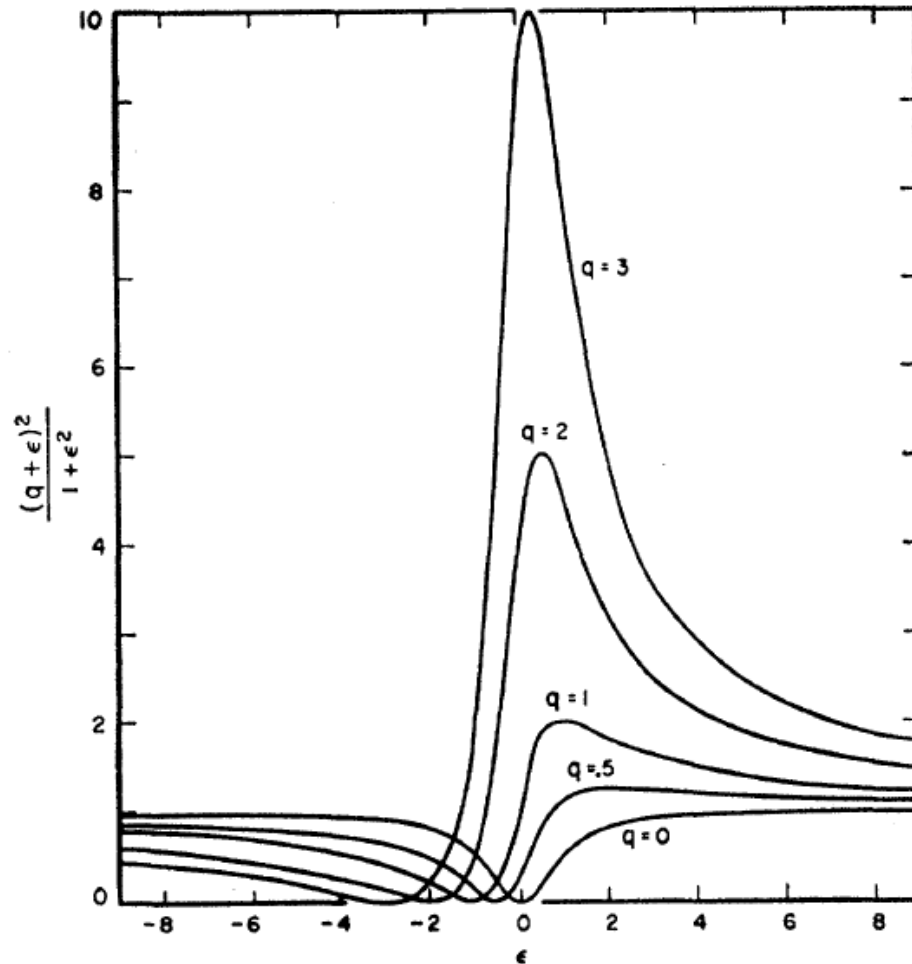
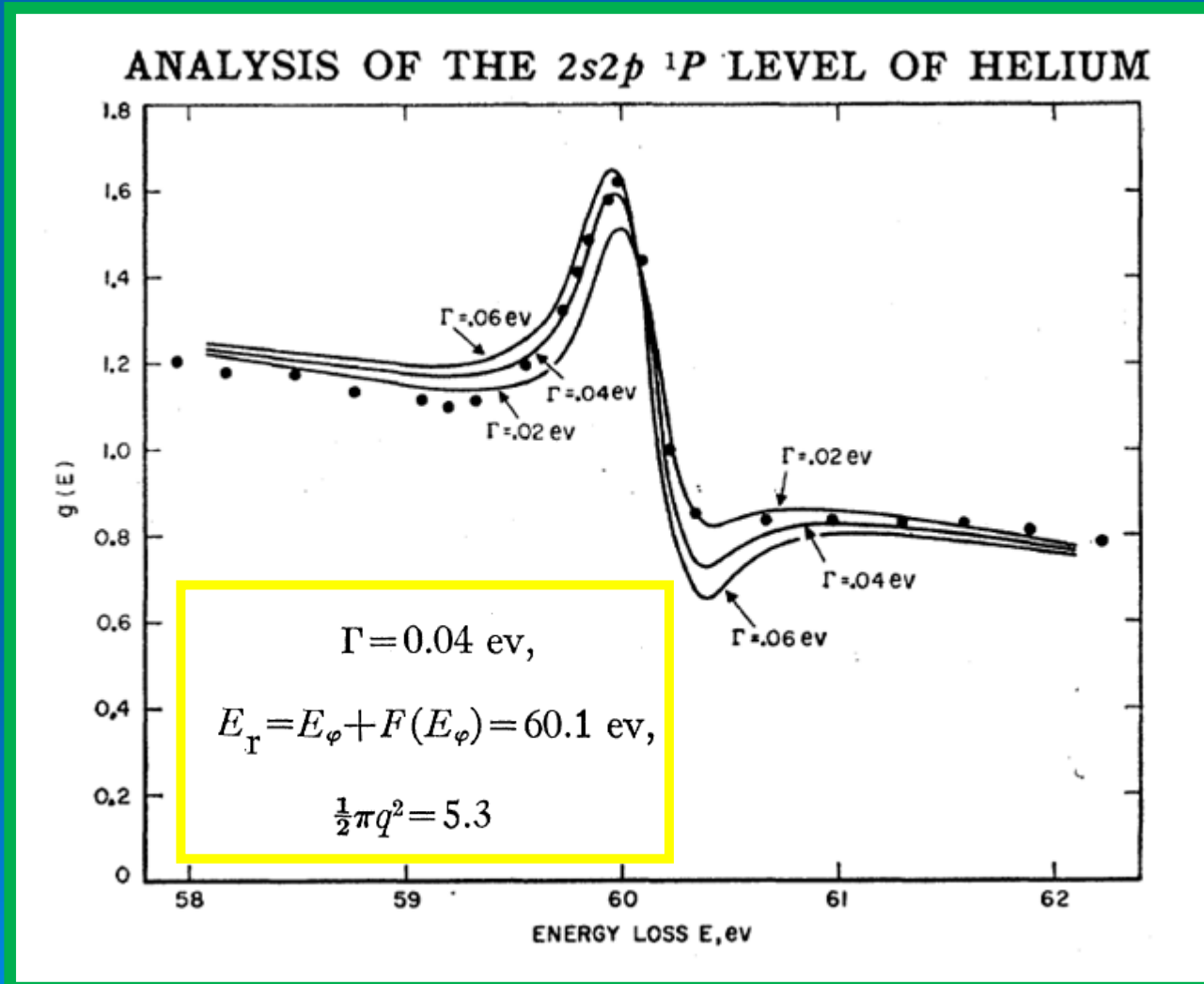


FIG. 1. Natural line shapes for different values of  $q$ . (Reverse the scale of abscissas for negative  $q$ .)

# Historically: The famous Silverman-Lassetre data $\text{He}(e, e')\text{He}^*(1P)$ @ 500eV



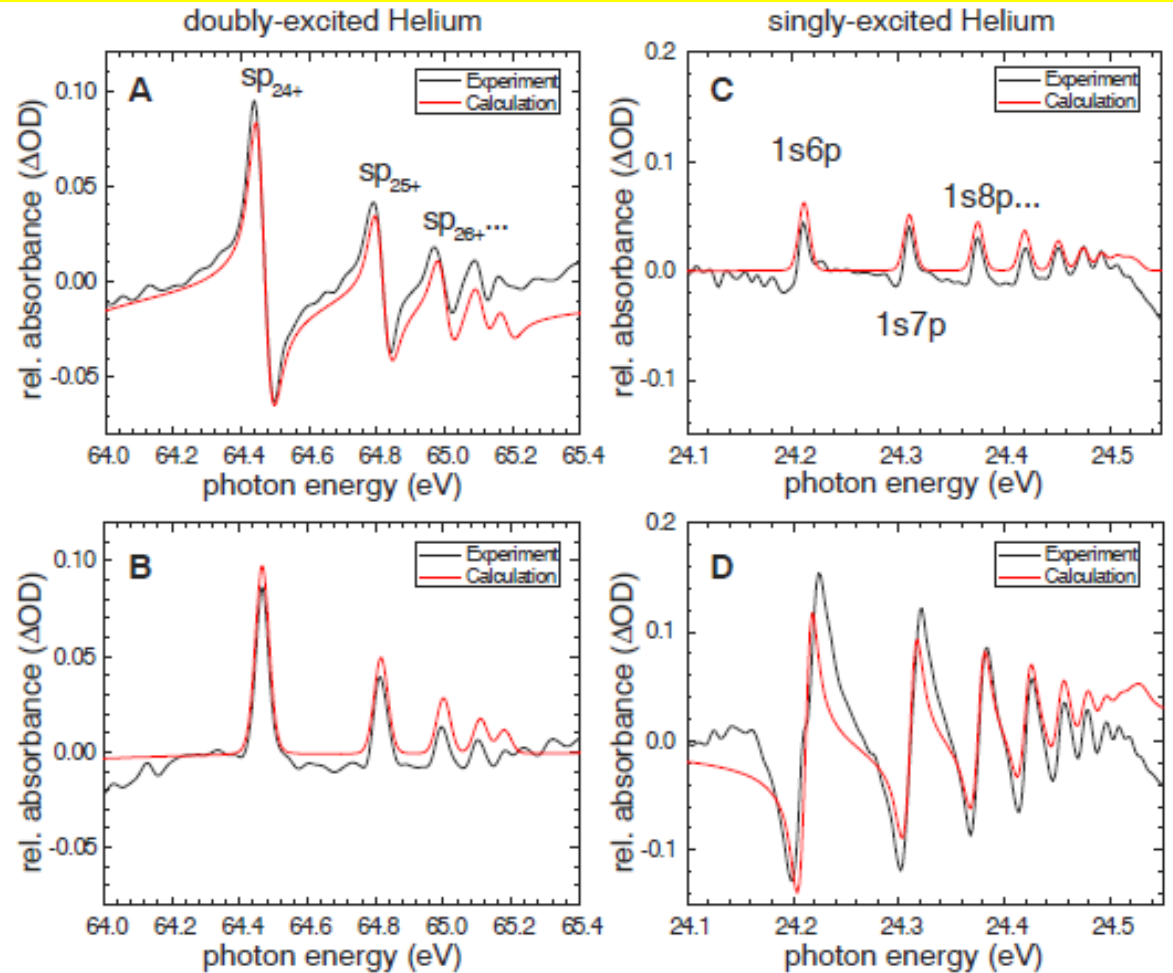
Note:  $q$  must be negative -  $q = -1.84$

## Lorentz Meets Fano in Spectral Line Shapes: A Universal Phase and Its Laser Control

Christian Ott,<sup>1</sup> Andreas Kaldun,<sup>1</sup> Philipp Raith,<sup>1</sup> Kristina Meyer,<sup>1</sup> Martin Laux,<sup>1</sup> Jörg Evers,<sup>1</sup> Christoph H. Keitel,<sup>2</sup> Chris H. Greene,<sup>2</sup> Thomas Pfeifer<sup>1,2\*</sup>

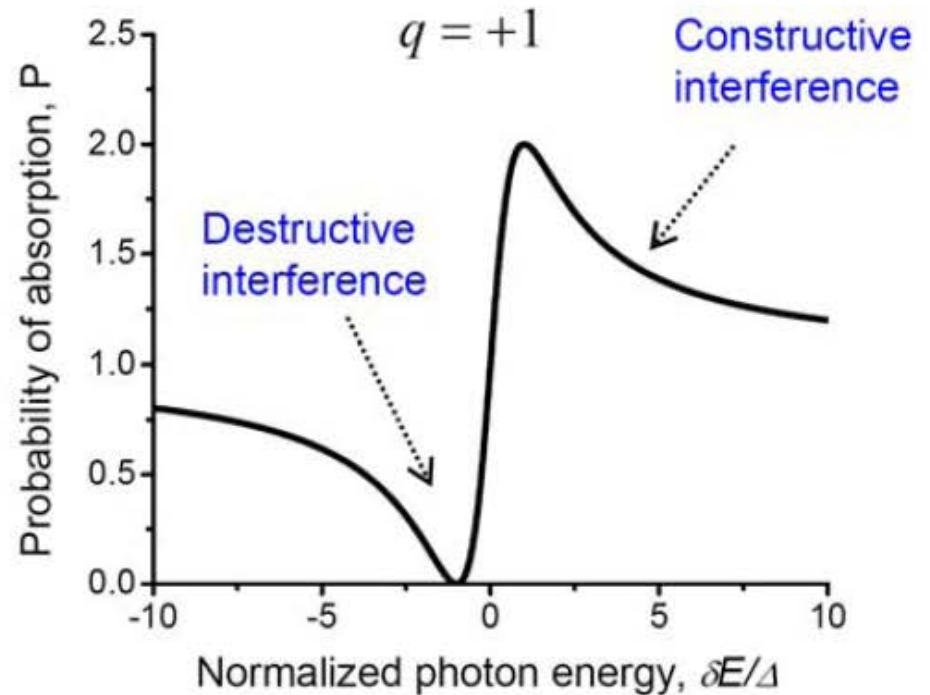
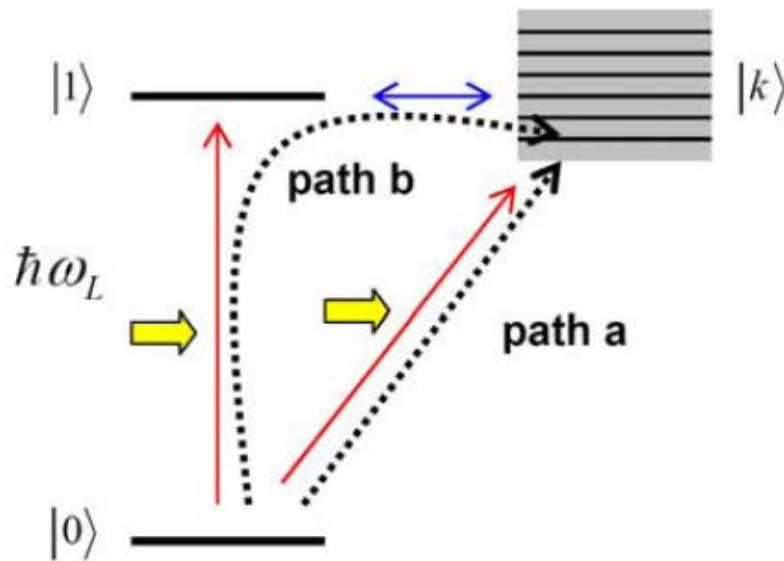
**Fig. 3. Transforming asymmetric Fano spectral absorption lines into symmetric Lorentzian absorption peaks in doubly excited He and vice versa, from Lorentz to Fano, in singly excited He.** (A) Field-free (static) absorption spectrum of doubly excited states of the  $N = 2$  series in He. The well-known Fano absorption profiles are observed in the transmitted spectrum of a broad-band attosecond pulse. (B) When a 7-fs laser pulse immediately follows the attosecond-pulsed (deltalike) excitation (time delayed by  $\sim 5$  fs) at an intensity of  $2.0 \times 10^{12}$  W/cm<sup>2</sup>, the Fano absorption profiles are converted to Lorentzian profiles. (C) Field-free (static) absorption spectrum of singly excited He states below the first ionization threshold (24.6 eV): Lorentzian line shapes are visible in the attosecond-pulse absorption spectrum. (D) Absorption spectrum of the states in (C), when the attosecond pulse is again followed by the 7-fs laser pulse, at an intensity of  $2.1 \times 10^{12}$  W/cm<sup>2</sup>. The initially Lorentzian absorption profile has been laser-transformed into an asymmetric Fano profile. The solid black lines are the measurement results; the red lines are generated by using tabulated values in (A) from (6) and (C) from (30), whereas the red line in (B) represents Lorentzians at the resonance positions of the original Fano lines. The red line in (D) shows Fano profiles with expected laser-induced  $q = 1.49$  (Fig. 2) at the resonance positions of the original Lorentzian resonances.

# Manipulating Quantum Interference by Laser-Modelling of Fano-Line Shapes



# Visualizing Quantum Interference in Microscopic Systems: Asymmetric Fano-Line Shapes of Resonances

$$\Psi_E = \sum_i a_i \varphi_i^{(d)} + \sum_k \int d\varepsilon b_k \psi_k^{(c)}$$





# Fano-Resonances in Nuclei

# Hamiltonian and Wave Function

$$H = \begin{pmatrix} H_{11}^b & V_{12} & V_{13} \\ V_{21} & H_{22}^s & V_{23} \\ V_{31} & V_{32} & H_{33}^x \end{pmatrix}$$

The Fano wave function:

$$|\phi_J\rangle = \sum_n z_n(E) |n_J\rangle + \int d\varepsilon z_\varepsilon(E) |\varepsilon_J\rangle + \sum_{j' J_C} z_{j' J_C}(E) |(j' J_C)_J\rangle$$

The coupled equations (core nucleus integrated out):

$$\begin{aligned} (H_{11}^b - \varepsilon) |n_J\rangle &= 0 \\ (H_{22}^s - \varepsilon) |\varepsilon_J\rangle &= 0 \\ (H_{33}^c - (\varepsilon - E_{J_C})) |j'\rangle &= 0 \end{aligned}$$

# Reduced Hamiltonian and Wave function

$$H = \begin{pmatrix} H_{11}^b & 0 & V_{13} \\ 0 & H_{22}^s & V_{23} \\ V_{31} & V_{32} & H_{33}^x \end{pmatrix}$$

The coupled equations (core nucleus integrated out):

$$\left. \begin{aligned} (\varepsilon_n^b - \varepsilon) z_n + \sum_c \langle n_J | V_{13} | j'c \rangle z_{j'c} &= 0 \\ (\varepsilon_j^s - \varepsilon) z_\varepsilon + \sum_c \langle n_J | V_{23} | j'c \rangle z_{j'c} &= 0 \end{aligned} \right\} \rightarrow \text{s.p. motion w.r.t. the g.s.}$$

$$(\varepsilon_{j'} - (\varepsilon - E_{J_C})) z_{j'c} + \sum_n \langle j'c | V_{31} | n \rangle z_n + \int d\varepsilon' \langle j'c | V_{32} | \varepsilon' \rangle z_{\varepsilon'} = 0$$

Multi-channel Fano wave function:

$$|\phi_J\rangle = \sum_n z_n(E) |n_J\rangle + \int d\varepsilon z_\varepsilon(E) |\varepsilon_J\rangle + \sum_{j'J_C} z_{j'J_C}(E) |(j'J_C)_J\rangle$$

# Extension to the Case of Several Open Channels

$$\Psi_{hE} = a_h \varphi + \int dE' [b_{hE'} \psi_{E'} + c_{hE'} \chi_{E'}]$$

- n=2 open channels
- n=2 energetically degenerate solutions with outgoing flux

$$\begin{aligned} (\varphi | H | \varphi) &= E_\varphi, \\ (\psi_{E'} | H | \varphi) &= V_{E'}, \quad (\chi_{E'} | H | \varphi) = W_{E'}, \end{aligned}$$

$$\Gamma_1 = \pi |V_E|^2 \quad ; \quad \Gamma_2 = \pi |W_E|^2 \quad \Rightarrow \quad \Gamma = \sum_i \Gamma_i$$

$$\Delta = -\arctan \frac{\Gamma(E)}{E - E_\varphi - G(E)}$$

$$G(E) = -\frac{P}{\pi} \int dE' \frac{\Gamma(E')}{E' - E}$$

## Solution 1: fully mixed

$$z(E, E') = \frac{1}{\pi} \frac{P}{E - E'} \sin \Delta - \delta(E - E') \cos \Delta$$

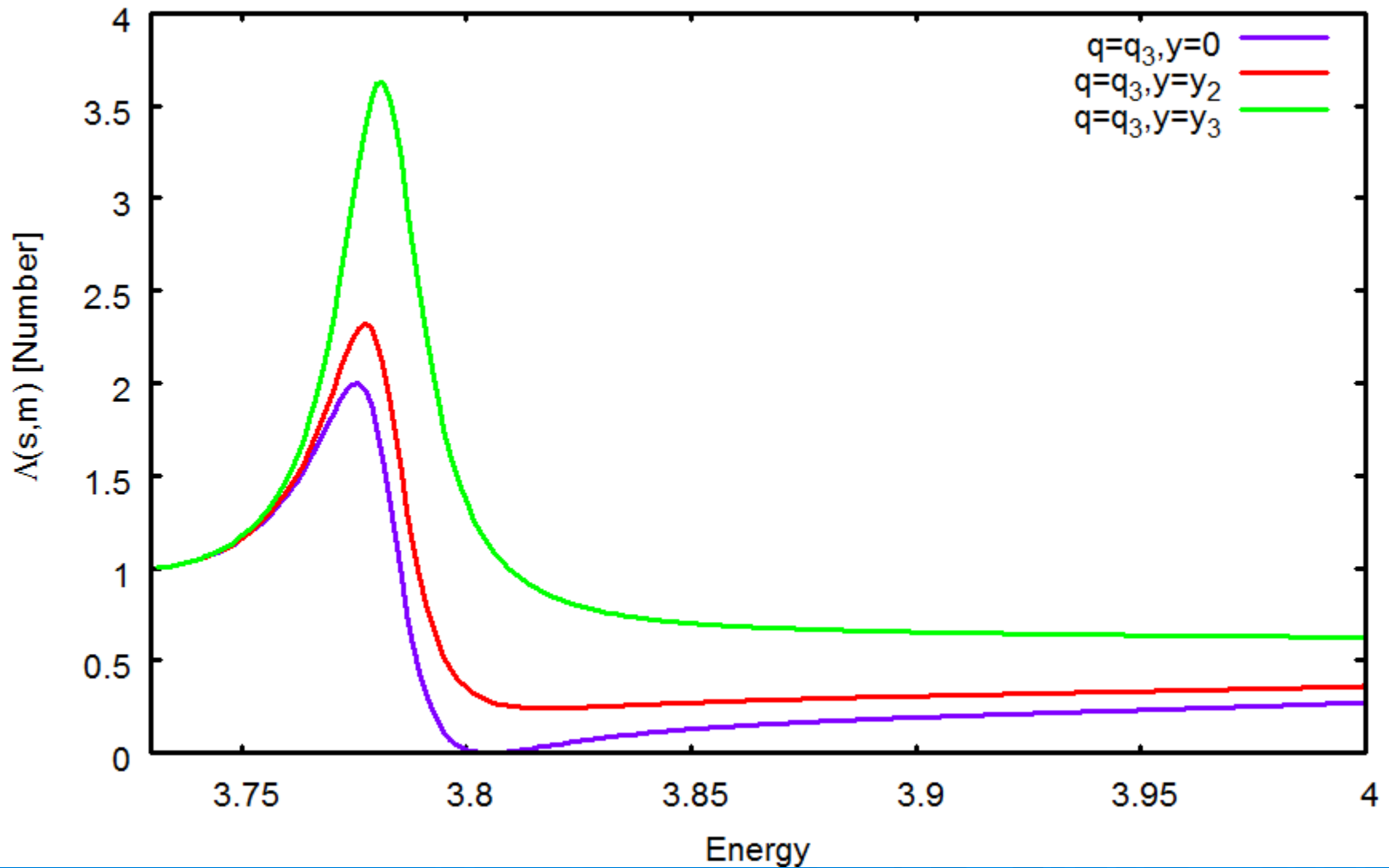
$$a_1 = \frac{\sin \Delta}{\Gamma} \quad ; \quad b_{1E'} = \sqrt{\frac{\Gamma_1}{\Gamma}} z(E, E') \quad ; \quad c_{1E'} = \sqrt{\frac{\Gamma_2}{\Gamma}} z(E, E')$$

## Solution 2: continuum mixed

$$a_2 = 0 \quad ; \quad b_{2E'} = \sqrt{\frac{\Gamma_1}{\Gamma}} \delta(E - E') \quad ; \quad c_{2E'} = -\sqrt{\frac{\Gamma_2}{\Gamma}} \delta(E - E')$$

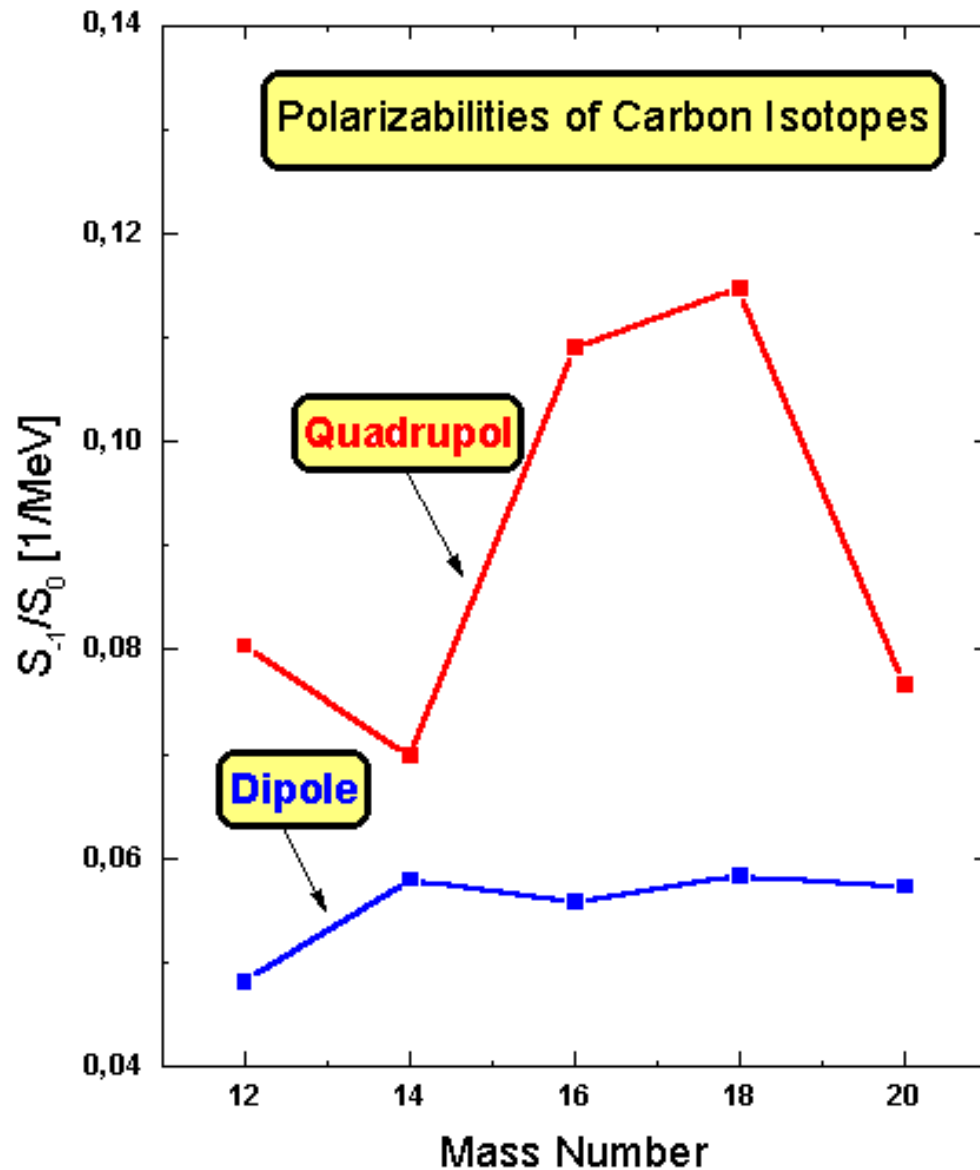
→ Resonance superimposed on a smoothly varying background!

# Multi-channel Coupling



# Polarizability of Even-Mass Carbon Isotopes:

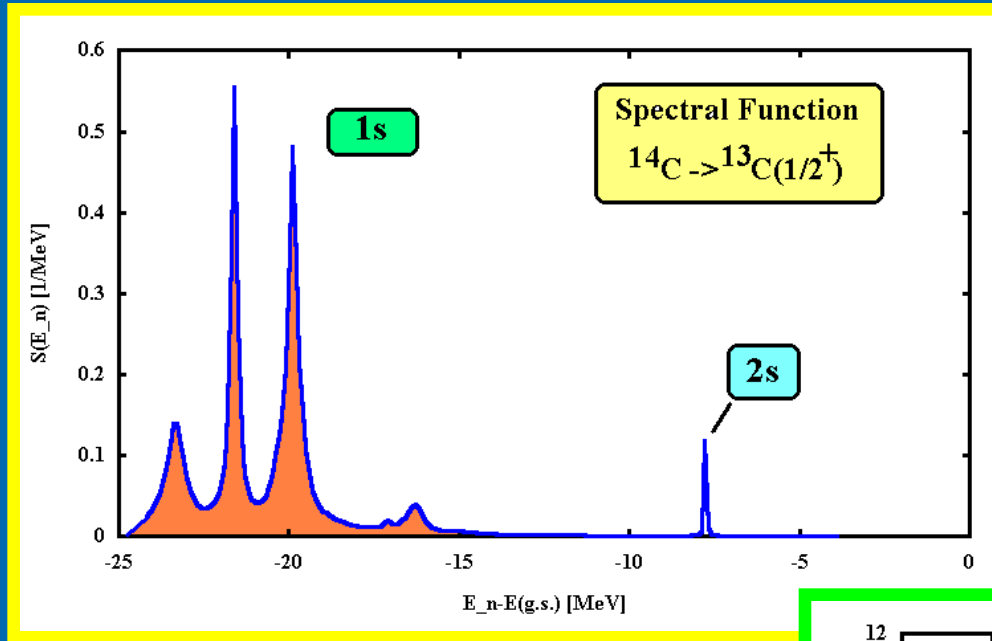
HFB+QRPA results



$$S_n = \sum E_a^n \left| \langle a \| T_\lambda \| 0 \rangle \right|^2$$

$$P = \frac{S_{-1}}{S_0}$$

# 1/2<sup>+</sup> Particle and Hole Spectral Functions in <sup>14</sup>C

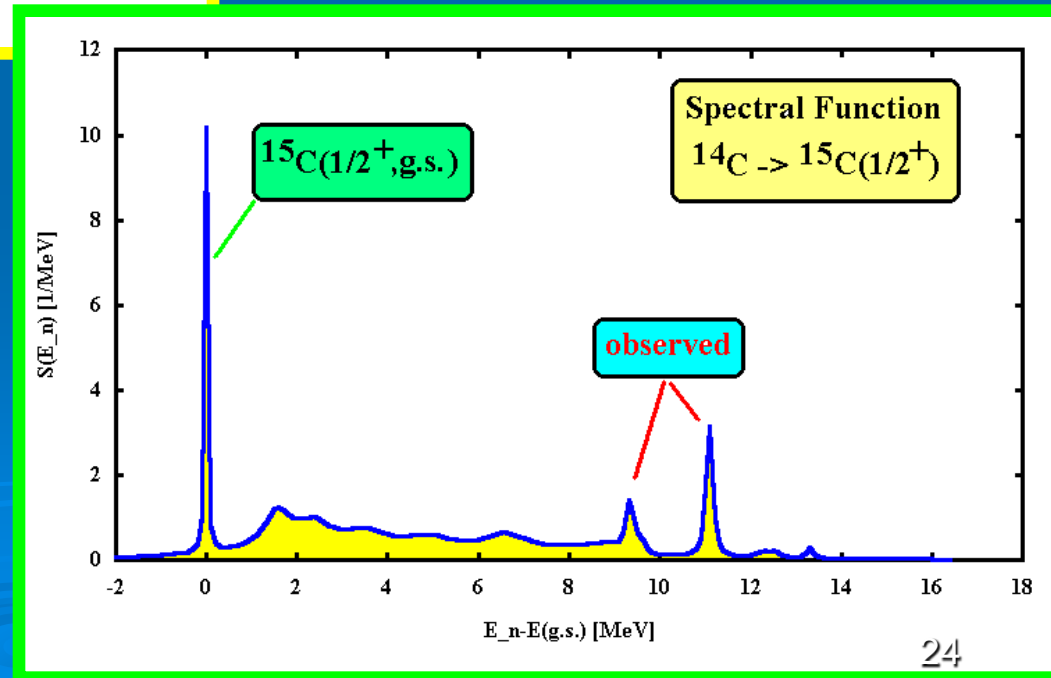


Hole strength  
function:

$$^{13}\text{C} \sim ^{14}\text{C} - n$$

Particle strength  
function

$$^{15}\text{C} \sim ^{14}\text{C} + n$$





# Fano-Resonances in the Nuclear Continuum

$$\left(h_j^{(1)} - \varepsilon_1\right)\phi_j + \sum_{j' J_C} \langle 0 | V_{13} | J_C \rangle \phi_{j' J_C} = 0$$

g.s., elastic

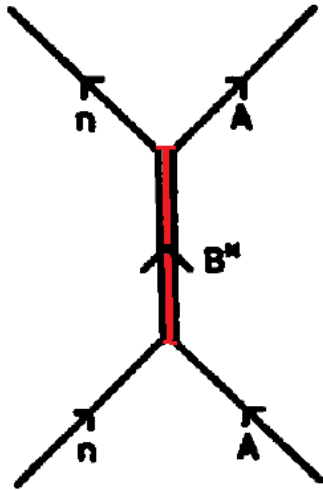
$$\left(h_{j' J_C}^{(i)} - \varepsilon_i\right)\phi_{j' J_C} + \sum_n \langle J_C | V_{13} | 0 \rangle \phi_j = 0$$

inelastic

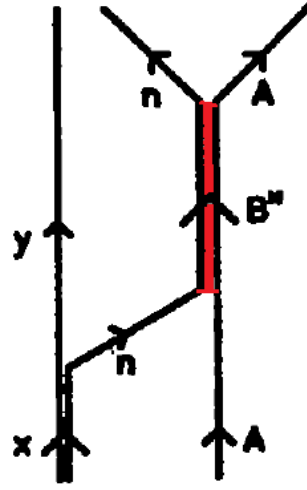
Important core excitations  $^{14}\text{C}$

$$E_C(J^\pi) = 6.094 (1^-), 6.728 (3^-), 7.012 (2^+), 8.317 (2^+) \text{ MeV.}$$

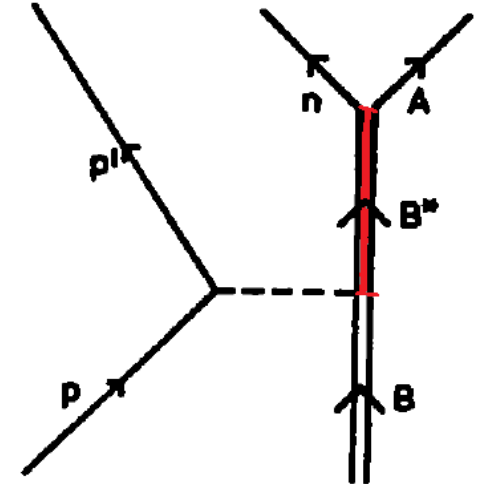
# Resonance Scenarios in Nuclear Physics



Resonance Formation



Population by Transfer

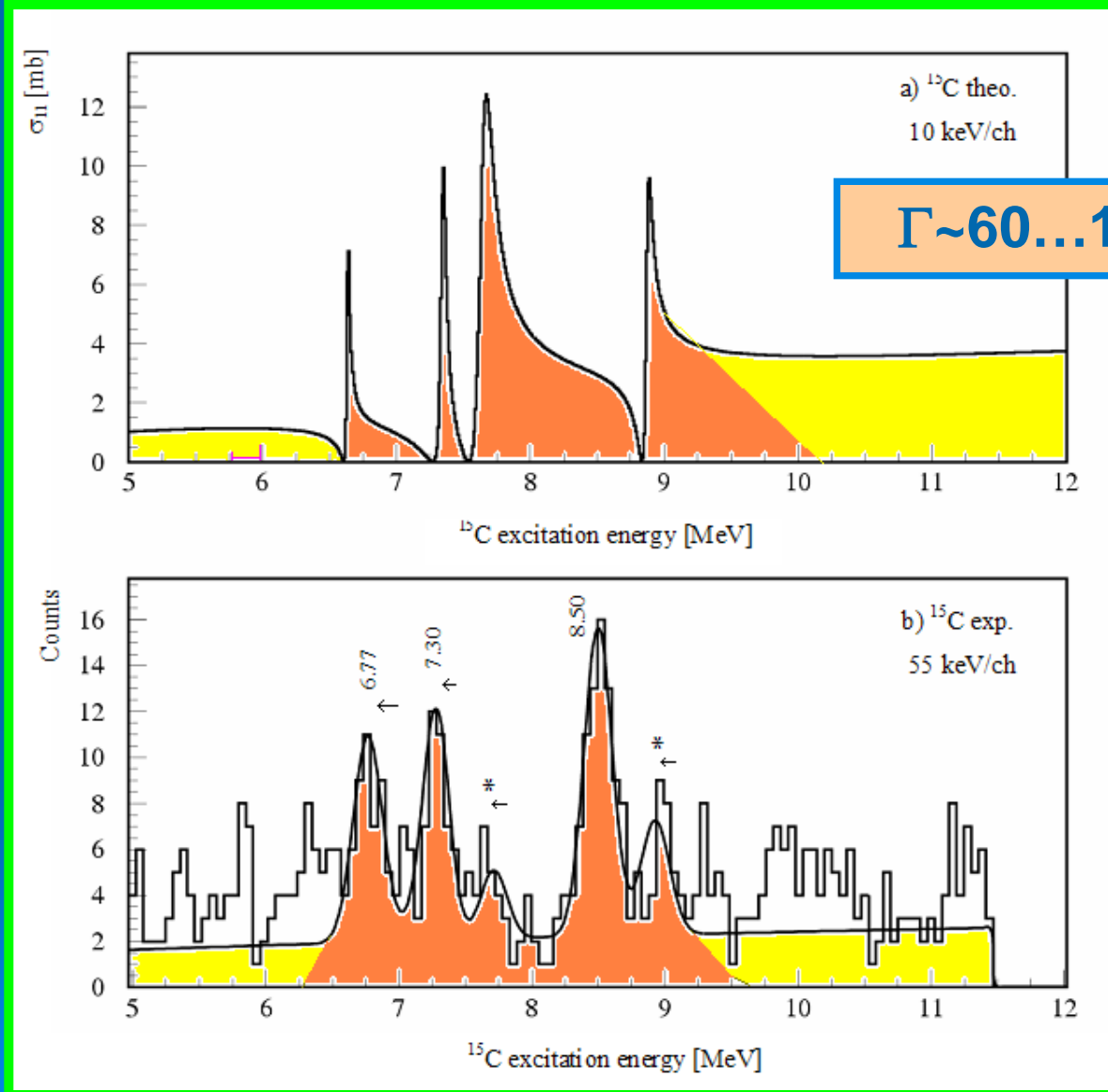


Inelastic Excitation

## The Fano-Wave Function:

$$\psi_E^{JM} = e^{i\Delta} \left\{ \cos \Delta [\phi_A \chi_n^0]^{JM} + \sin \Delta \frac{1}{\pi V_{E^*}} [\phi_b^{JM} + [\phi_A \tilde{\chi}_n^0]^{JM}] \right\}$$

# Correlation Dynamics in an Open Quantum System: d-wave Fano-Resonances in $^{15}\text{C}$



# Pairing in the Continuum: Quasiparticle Resonances

# Pairing Theory as Coupled Channels Problem: The Gorkov-Equations

$$\begin{pmatrix} H - \lambda & -\Delta \\ -\Delta^+ & -(H - \lambda) \end{pmatrix} \begin{pmatrix} \phi_+ \\ \phi_- \end{pmatrix} = E \begin{pmatrix} \phi_+ \\ \phi_- \end{pmatrix}$$

$$\phi_+ \sim u_{lj}^{(q)}(r) |(\ell s) jm\rangle; \quad \phi_- \sim v_{lj}^{(q)}(r) |(\ell s) jm\rangle$$

Mean-Field Hamiltonian ( $q = p, n$ ):

$$H = -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\rho)$$

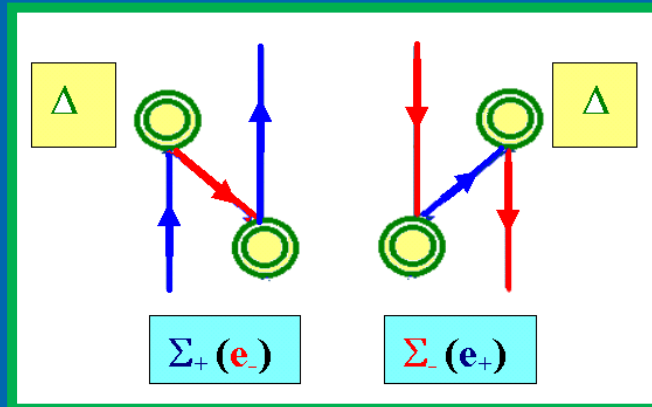
$$\rho_q(\mathbf{r}) = \sum_{nlj} \frac{2j+1}{4\pi} |v_{nlj}^{(q)}(\mathbf{r})|^2$$

Pairing-Field & Density ( $q = p, n$ ):

$$\Delta_q = \frac{1}{2} V_{SE}(\rho) \kappa_q$$

$$\kappa_q(\mathbf{r}) = \sum_{nlj} \frac{2j+1}{4\pi} u_{nlj}^{(q)}(\mathbf{r}) v_{nlj}^{(q)*}(\mathbf{r})$$

# Pairing in the Continuum

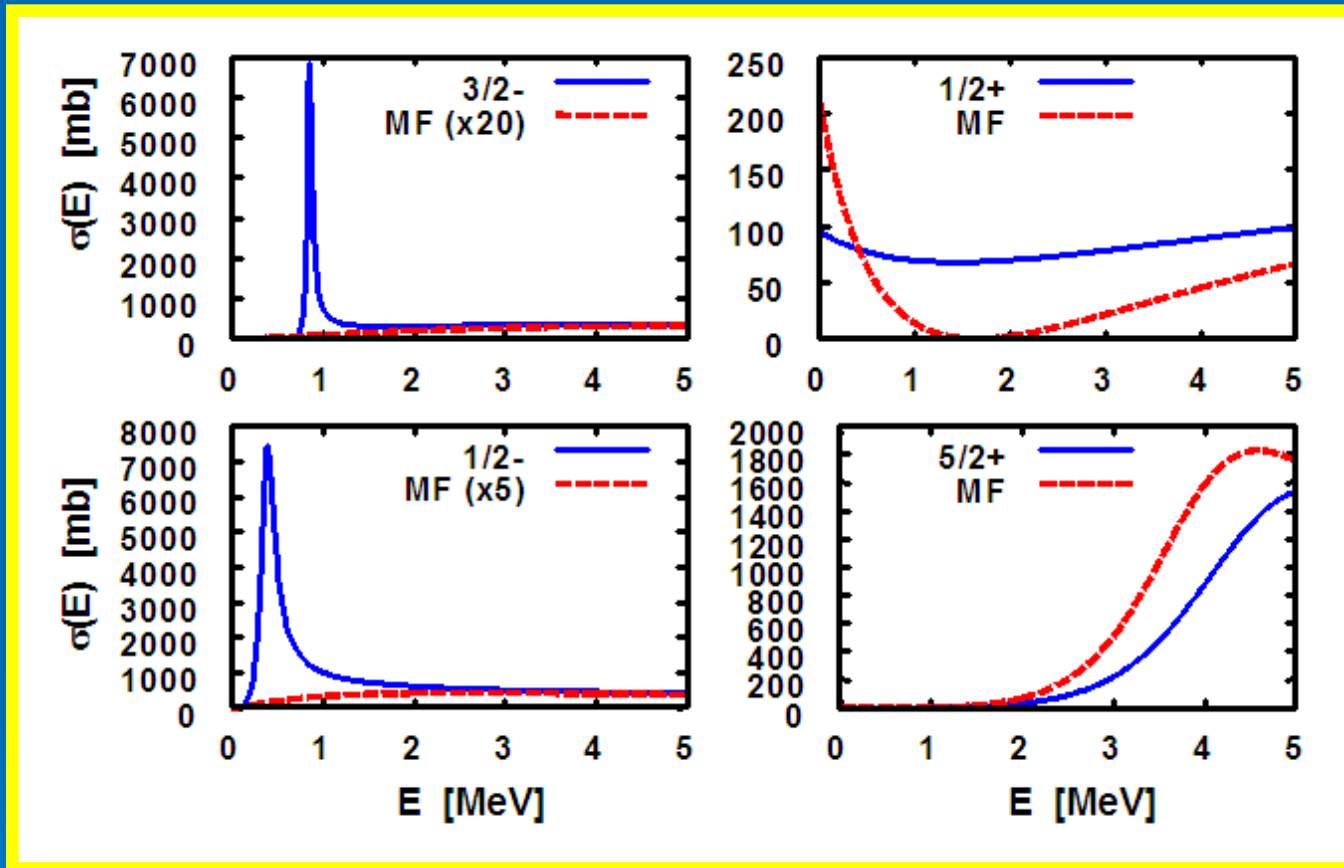


$$u_{\alpha}(r) \rightarrow \cos(\delta_{\alpha}^{(c)}) f_{\alpha}(r) + \sin(\delta_{\alpha}^{(c)}) g_{\alpha}(r),$$

$$\tan(\delta_{\alpha}^{(c)}) = -\frac{2\tilde{m}k_{\alpha}}{4\pi\hbar^2} \langle f_{\alpha} | \Sigma_q^{(c)} | u_{\alpha} \rangle \sim -\frac{2\tilde{m}k_{\alpha}}{4\pi\hbar^2} \langle f_{\alpha} | \Sigma_q^{(c)} | f_{\alpha} \rangle$$

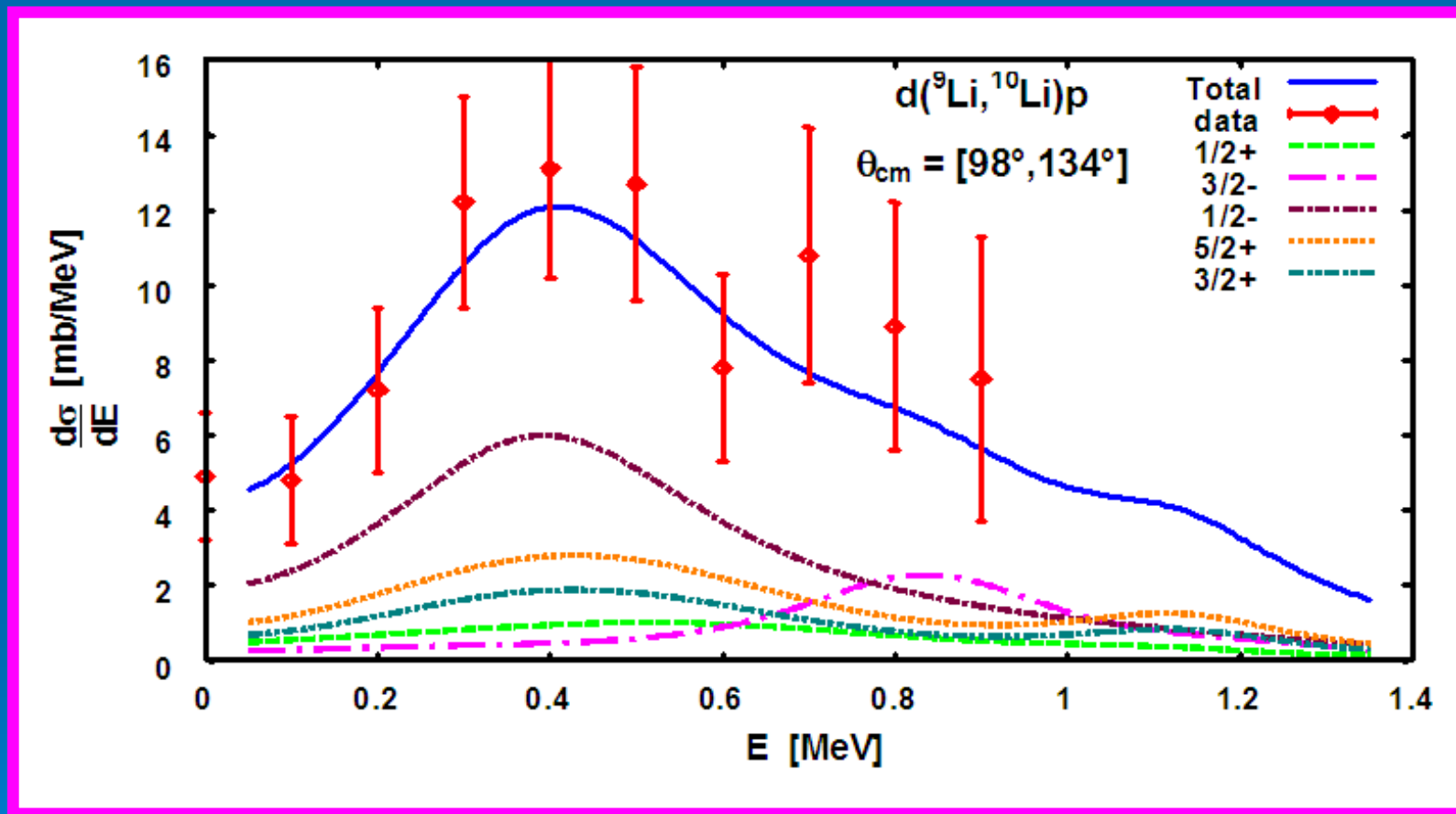
$$\Gamma_{\alpha}^{(c)} \sim N(k_{\alpha}) |\langle f_{\alpha} | \Delta_{\alpha} | v_b \rangle|^2$$

# Pairing Resonances in Dripline Nuclei



$$\begin{pmatrix} T_q + U_q - 2\lambda_q + e_\alpha & \Delta_q(\vec{r}) \\ -\Delta_q^\dagger(\vec{r}) & -(T_q + U_q - e_\alpha) \end{pmatrix} \begin{pmatrix} u_{\alpha q}(\vec{r}) \\ v_{\alpha q}(\vec{r}) \end{pmatrix} = 0$$

# Continuum Spectroscopy at REX-ISOLDE: $^{10}\text{Li} = ^9\text{Li} + n$ $d(^9\text{Li}, ^{10}\text{Li})p @ 2.36 \text{ A MeV}$

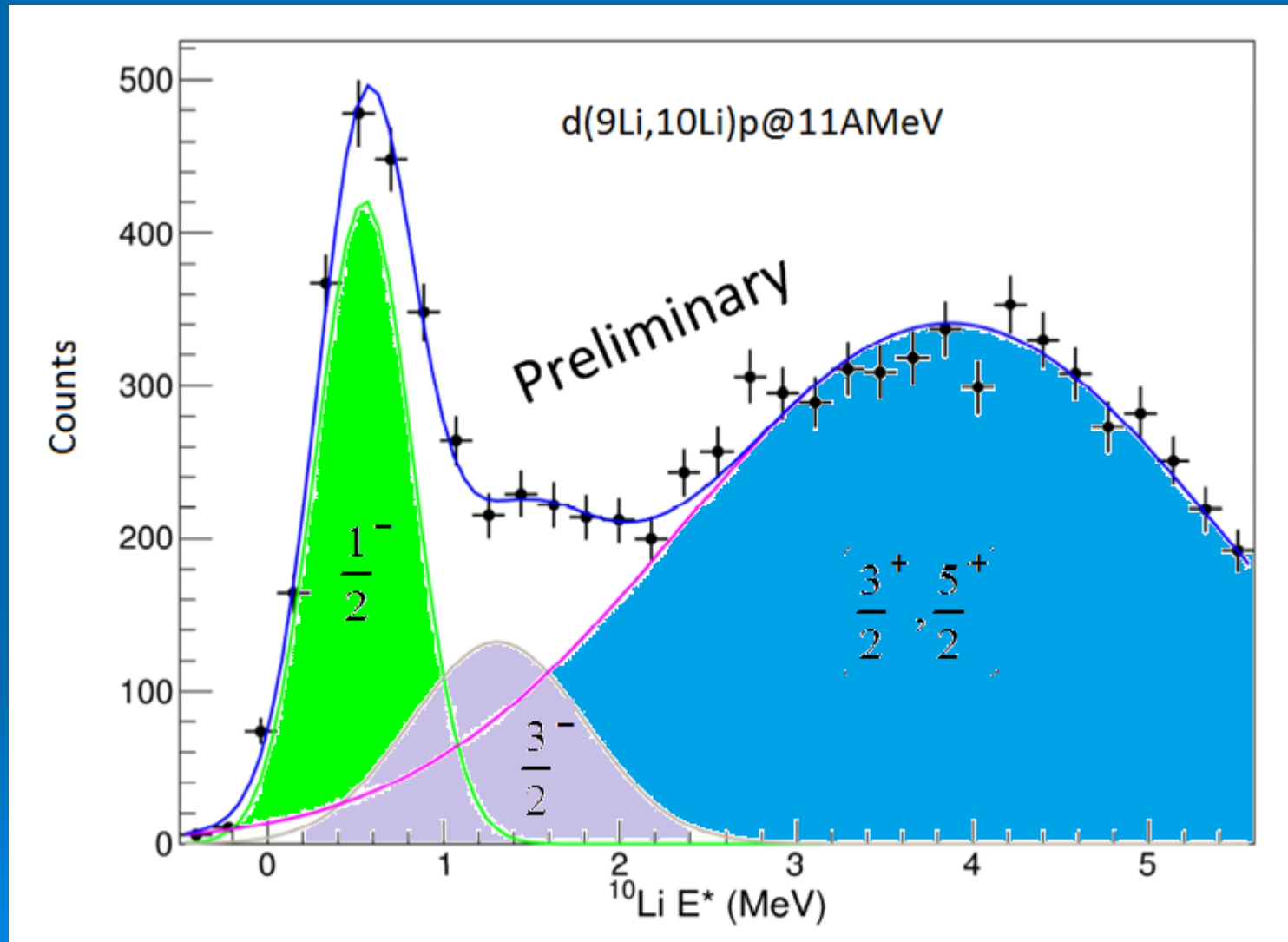


S. Orrigo, H.L., PLB 677 (2009) & ISOLDE newsletter Spring 2010, p.5

Data: H. Jeppesen et al., REX-ISOLDE Collaboration, NPA 738 (2004) 511 & NPA 748 (2005) 374.

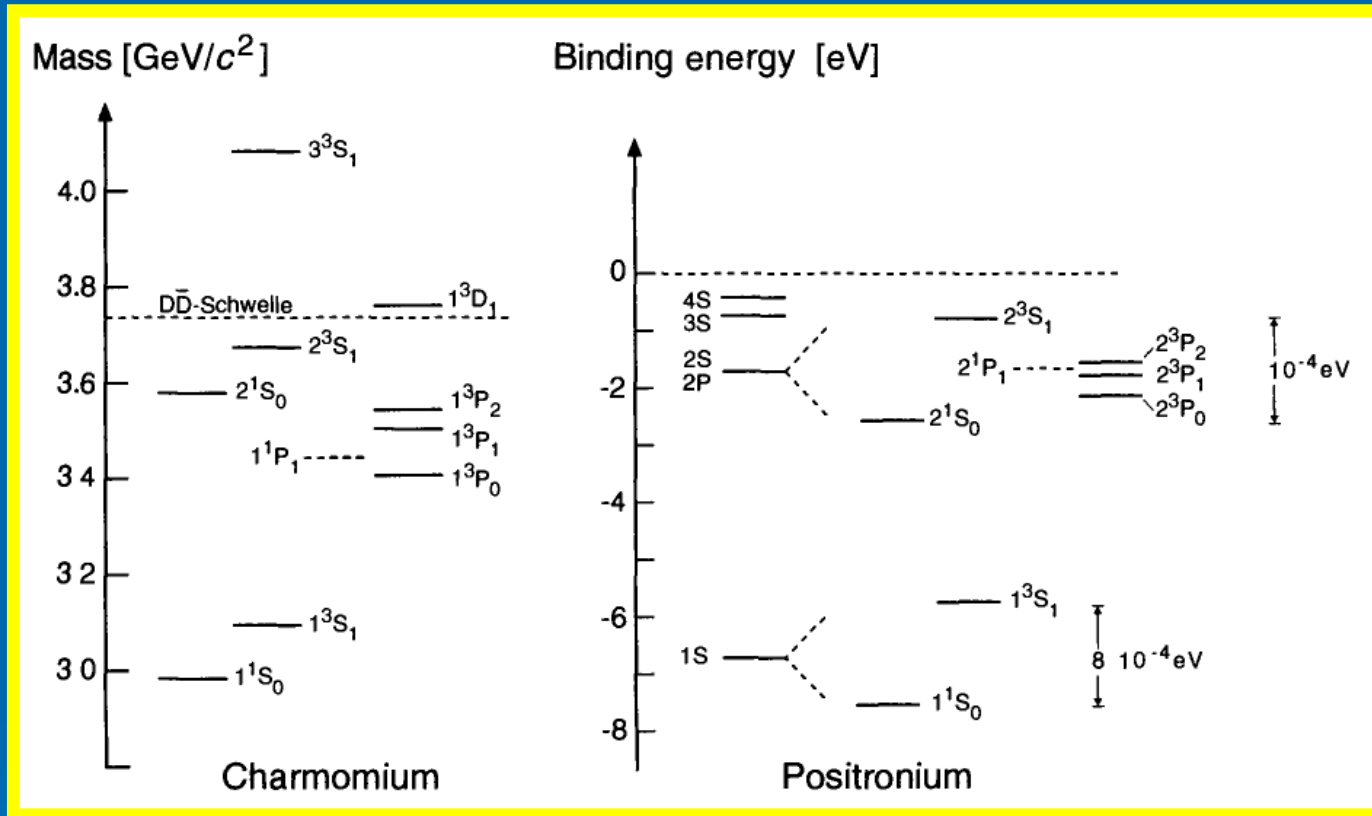


# New experimental results (Dec. 2013): $^{10}\text{Li}$ continuum spectroscopy at TRIUMF



# Fano-Resonances in Hadron Physics: Charmonium Spectroscopy

# Quarkonium: Confined Systems of a Light and a Heavy Quark



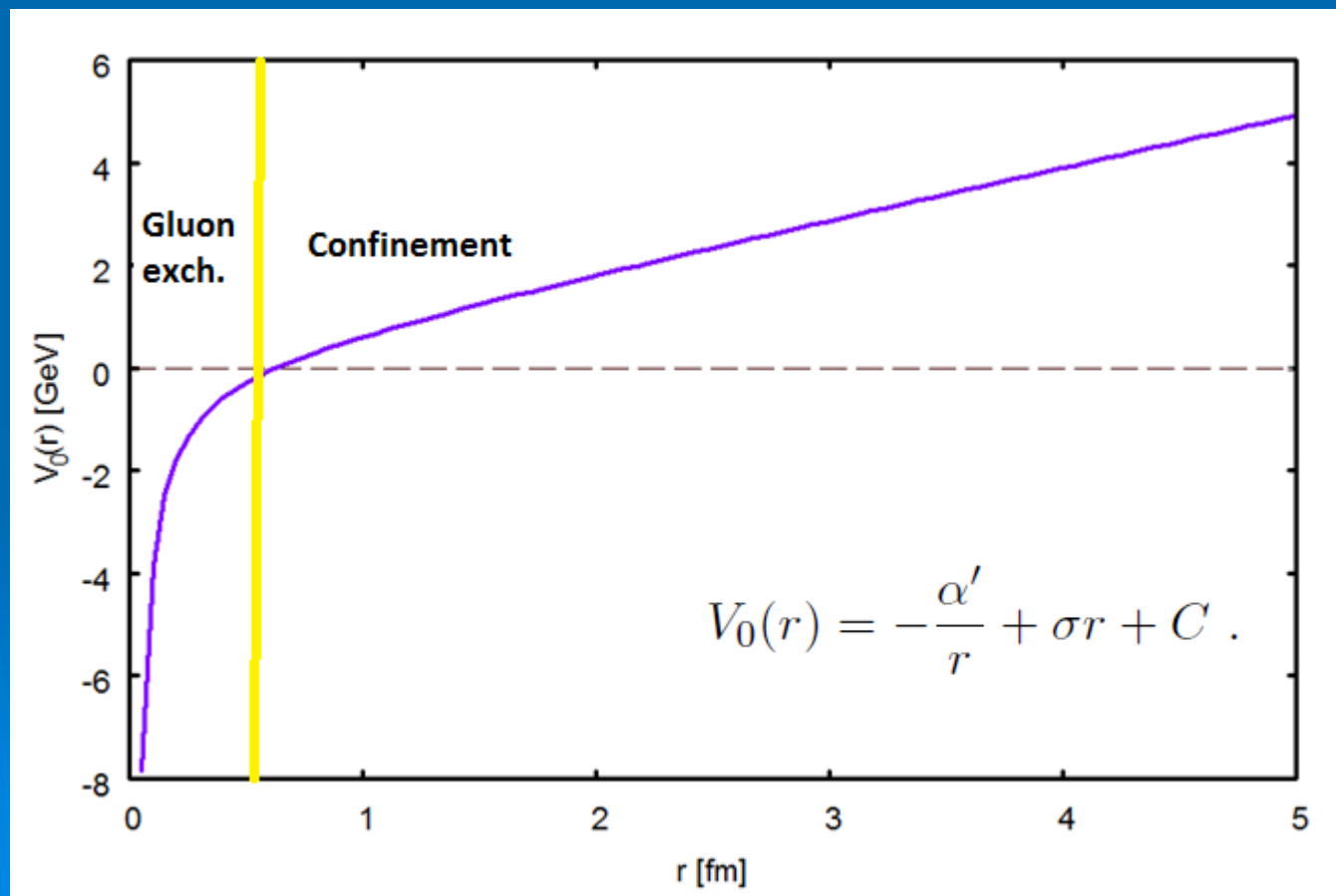
The Cornell Potential (Eichten, Gottfried ~1980):

$$V_0(r) = -\frac{\alpha'}{r} + \sigma r + C .$$

...enriched by relativistic corrections (Isgur, Godfrey ~1985):

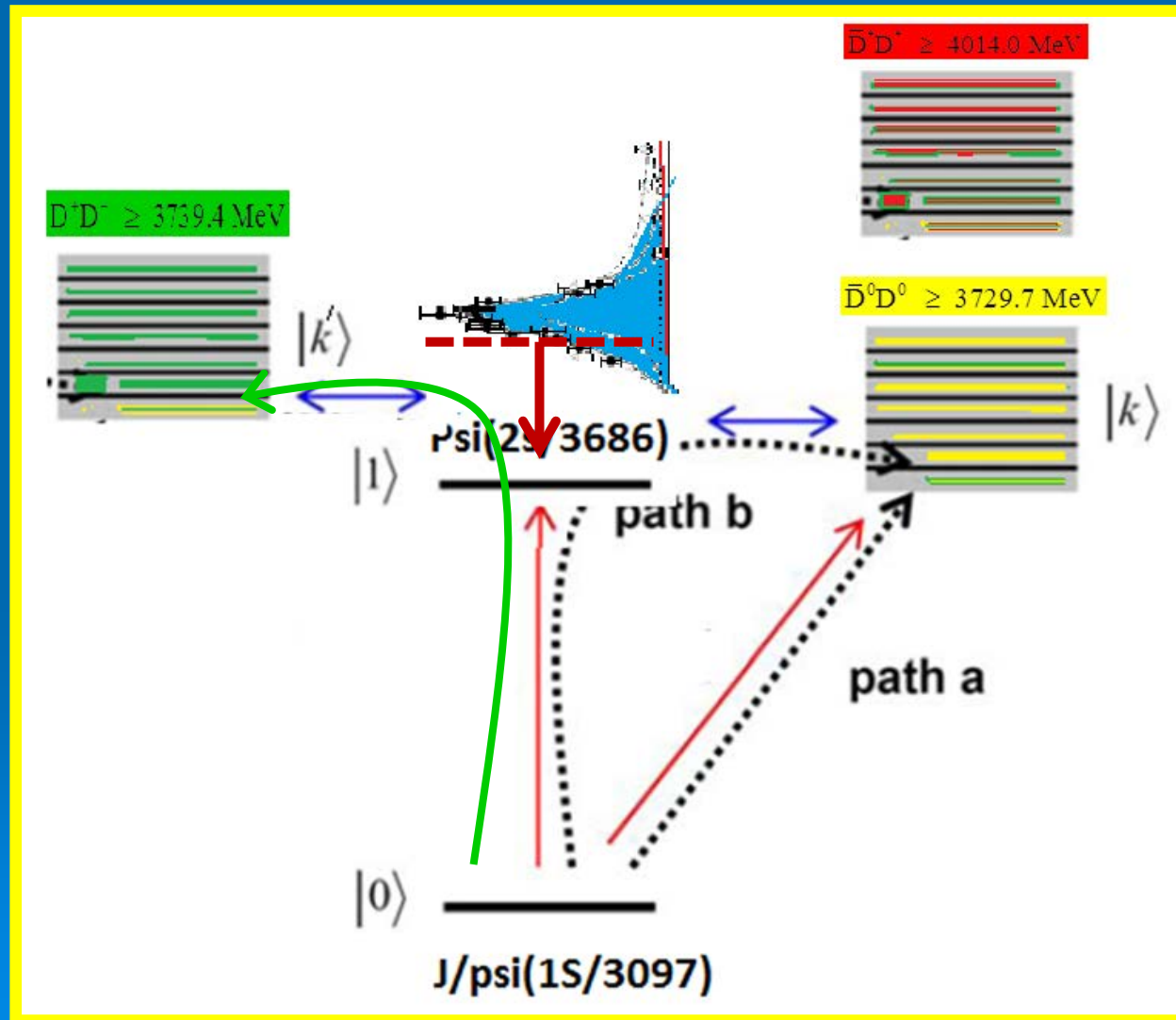
# Charmonium and Bottomonium Spectroscopy

	$m_q$ [GeV]	$\alpha_s$	$\sigma$ [GeV / fm]	energy interval [GeV]
Charmonium	1.2185	0.29	1.306	2.8 - 4.8
Bottomonium	4.7645	0.388	1.02	9.0 - 11.0



# Spectroscopy at the Open Charm Threshold:

→ Interaction of a confined  $c\bar{c}$ -configuration with two  $D\bar{D}$ - continua

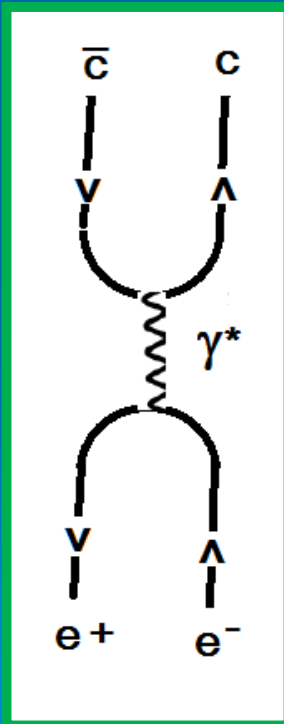


Xu Cao,  
H. L.,  
PRL, to  
appear

# Model Wave Function at the Open Charm Threshold

$$|\Psi_b\rangle \sim x_b(\omega) |(c\bar{c})_{2S}\rangle + \int d^3k x_k(\omega) |k_{\bar{D}D}\rangle$$

$$|\Psi_r\rangle \sim z_b(\omega) |(c\bar{c})_{2S}\rangle + \int d^3k z_k(\omega) |k_{\bar{D}D}\rangle$$



Charm Production by  $e^+e^-$  Annihilation at BES

$$e^+ + e^- \rightarrow (c\bar{c})_{1^-}$$

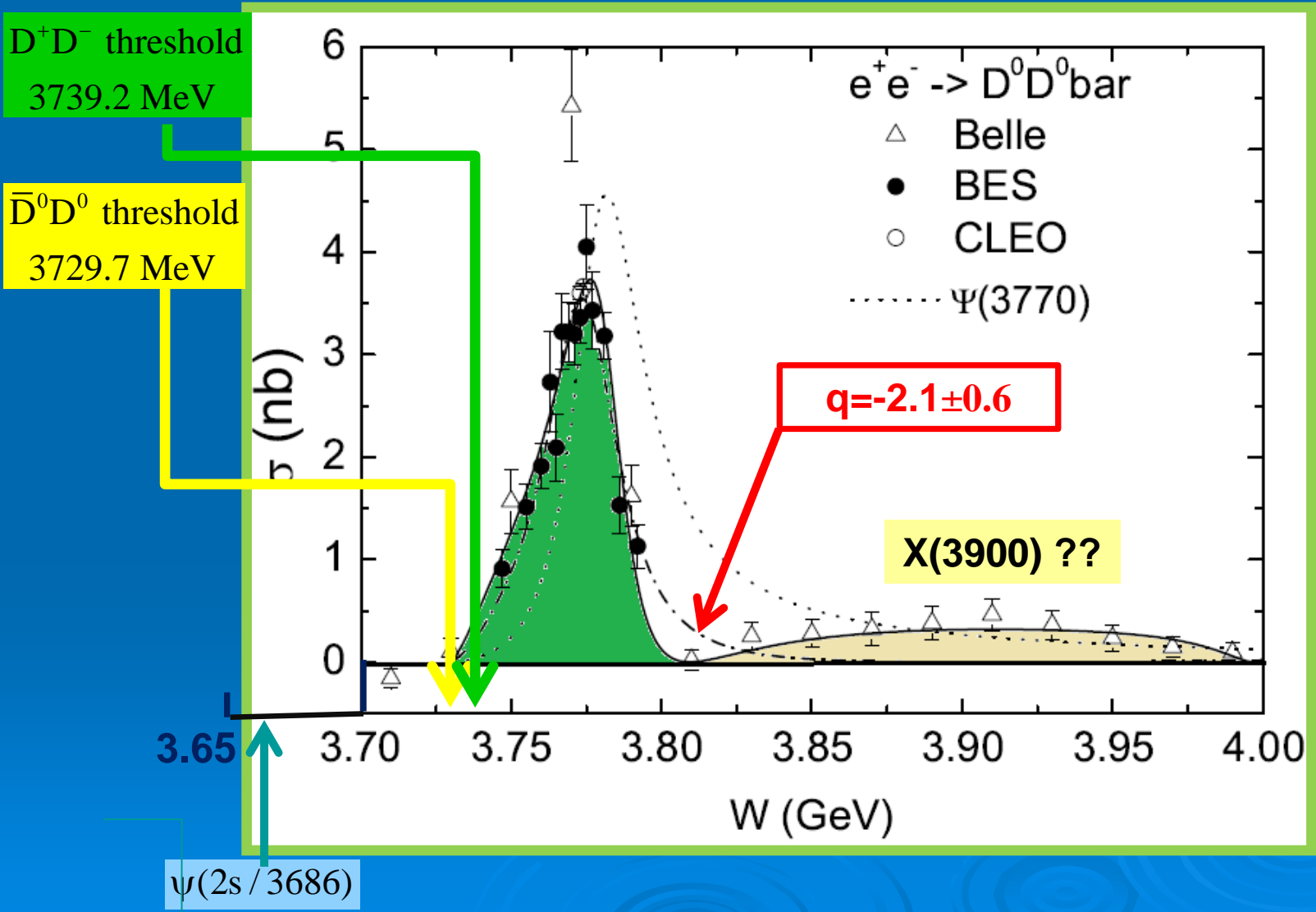
# Fano-Cross Section@BES III

$$\cot \Delta_r = \frac{s^* - m_R^2}{\sqrt{s^*} \Gamma_R(s)} ; s^* = \left( \sqrt{s} + \Delta m(s) \right)^2$$

$$\sigma(e^+e^- \rightarrow D\bar{D}) \sim \sigma_{\text{bg}} \frac{(q + \cot \Delta_r)^2}{1 + \cot^2 \Delta_r}$$

$$\sigma_{\text{bg}} \sim \left| \langle e^+e^- | T | k_{\bar{D}D} \rangle \right|^2 ; q = \frac{(\phi | T | \chi_\alpha)}{(k_{\bar{D}D} | T | \chi_\alpha)}$$

# $D\bar{D}$ -Dynamics at Threshold Channel Coupling and the Line Shape of $\Psi(3770)$





# Summary

- Dynamics close to the particle threshold
- Fano resonances in atoms
- Fano resonances in atomic nuclei
- Fano resonances in hadrons
- Universality of quantum interference
- Universal and versatile tool for continuum spectroscopy

...with contributions of Sonja Orrigo and Xu Cao