

# nucleon- $\alpha$ scattering in EFT

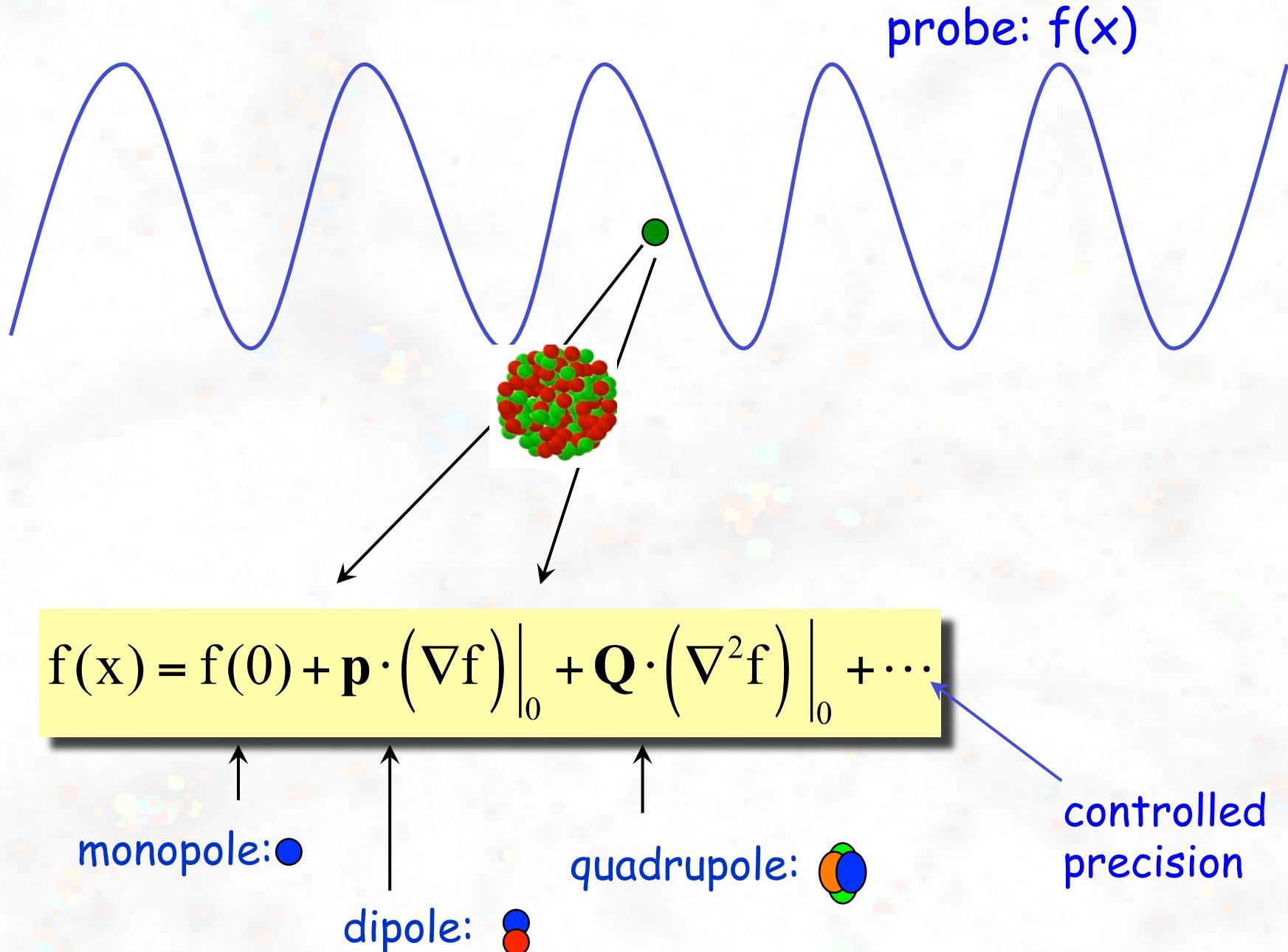
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# Effective Theories



# Low energy scattering

Scattering amplitude

$$f(\theta) = \sum_l (2l+1) P_l(\cos\theta) T_l(k)$$

Bethe, Peierls, 1935

Bethe, 1949

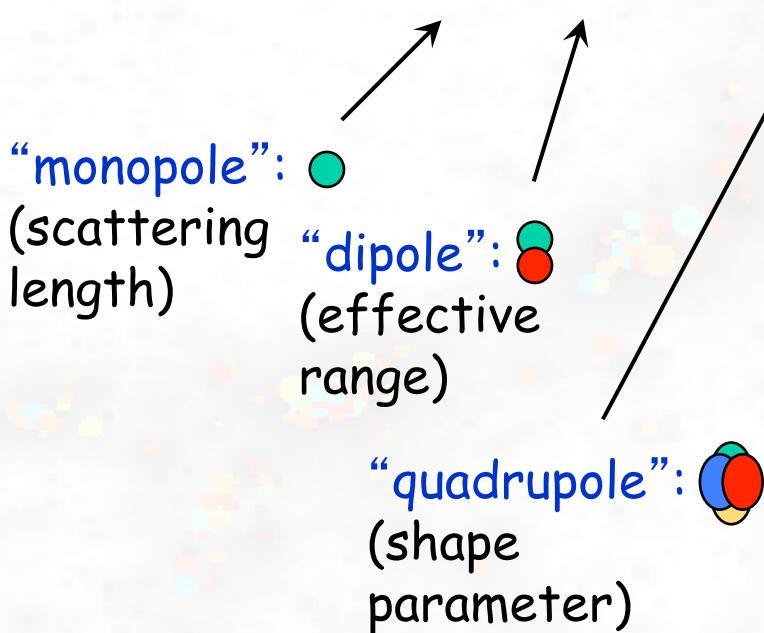
T-matrix

$$T_l(k) = \frac{1}{k} e^{i\delta_l(k)} \sin \delta_l(k)$$

$$= \frac{1}{k \cot \delta_l(k) - ik}$$

$$k^{2l+1} \cot \delta_l = -\frac{1}{a_l} + \frac{1}{2} r_l k^2 - \frac{\phi_l}{4} k^4 + \dots$$

Effective Range Expansion (ERE)



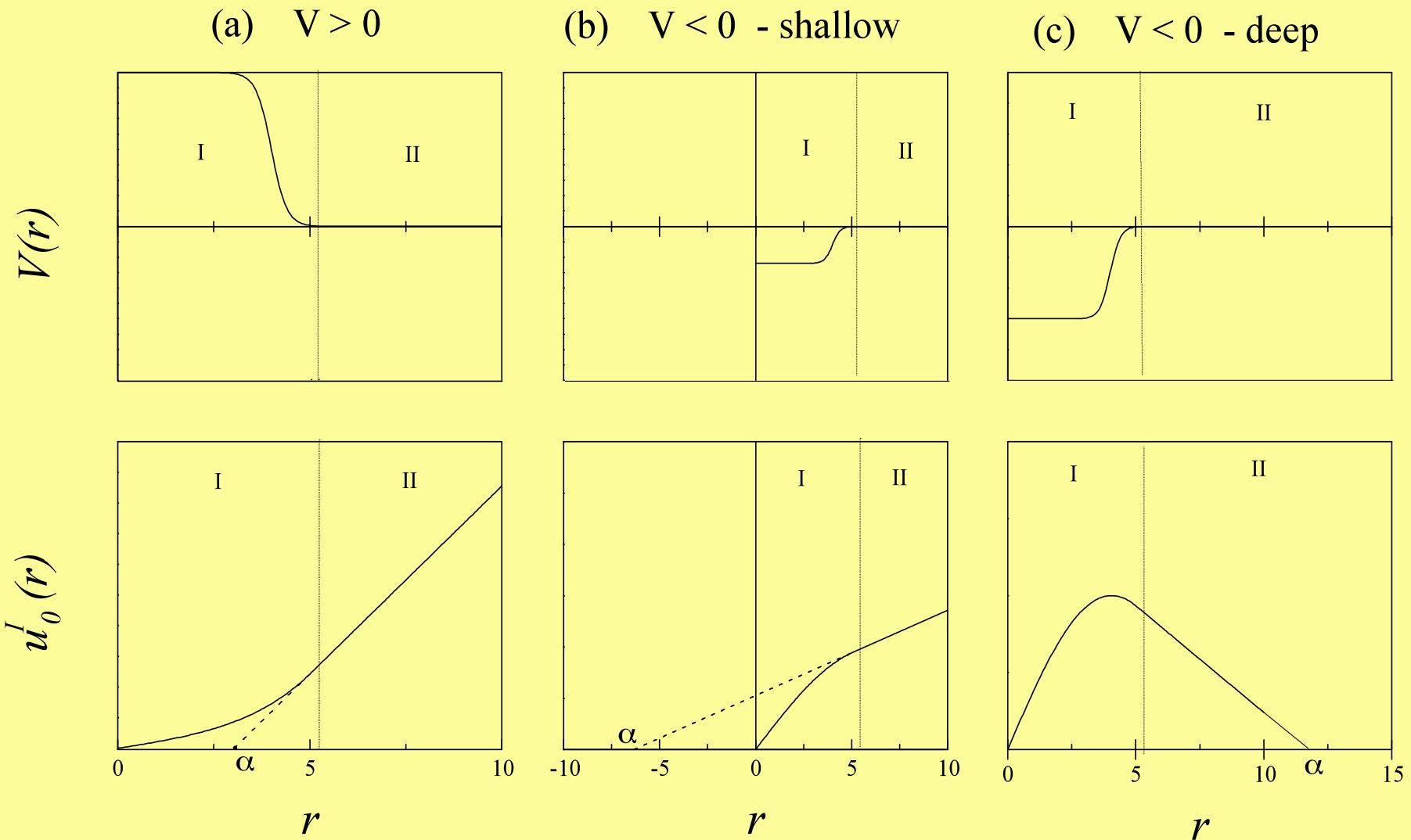
$$u(r) \sim k(r - a)$$

$$a \sim R \left( 1 + \frac{1}{L(R)} \right) \quad \text{sharp surface (L = logarith. der.)}$$

$$\frac{1}{2} r_l \sim \int [u_{\text{asymp}}^2(r) - u^2(r)] dr$$

:

# Low energy scattering



# Nucleon-Nucleon Scattering

$^1S_0$  nn - channel

$$\left\{ \begin{array}{l} a_0^{nn} = -18.8 \text{ fm} \\ r_0^{nn} = 1.7 \text{ fm} \end{array} \right.$$

$^1S_0$  np - channel

$$\left\{ \begin{array}{l} a_0^{np}(I=1) = -23.7 \text{ fm} \\ r_0^{np}(I=1) = 2.73 \text{ fm} \end{array} \right.$$

$^3S_1$  np - channel

$$\left\{ \begin{array}{l} a_0^{nn}(I=0) = 5.74 \text{ fm} \\ r_0^{nn}(I=0) = 1.73 \text{ fm} \end{array} \right.$$

particularly large scattering lengths

$a \gg r_{NN} \sim 1 \text{ fm}$   
(unnatural)

$a > 0$  existence of a bound state (deuteron)

$$u_0(r) \sim e^{-ikr} - S_0(k)e^{ikr}$$

$$S_0 \sim \frac{i}{-1/a + r_0 k^2 / 2 - ik}$$

pole on imaginary axis ( $k = i\kappa$ )

$$-1/a - r_0 \kappa^2 / 2 + \kappa = 0$$

$$\kappa = -0.2137 \text{ fm}^{-1}; \quad E_B = \frac{\hbar^2 \kappa^2}{m_N} = 2.23 \text{ MeV}$$



(deuteron)

# Coulomb Interaction

Bethe, 1949

Jackson, Blatt, 1950

$$e^{-ikr}, e^{ikr} \rightarrow F(kr), G(kr)$$

$$F \sim C_\eta \left[ 1 - r/a_B + \dots \right]$$

$$G \sim \left( 1/C_\eta \right) \left[ 1/kr + 2\eta \left( h_\eta + 2\gamma - 1 + \ln 2r/a_B \right) + \dots \right]$$

match logarithmic derivative:

$$\begin{aligned} k \cot \delta C_\eta^2 + \frac{2}{a_B} \left( h_\eta - \ln \frac{a_B}{2R} + 2\gamma - 1 \right) \\ \sim -\frac{1}{R} \left( 1 + \frac{1}{L(R)} \right) = -\frac{1}{a_S} \end{aligned}$$

$$\gamma = 0.577215\dots, \quad a_B = 1/m\alpha, \quad \eta = 1/ka_B$$

$$C_\eta^2 = 2\pi\eta / (e^{2\pi\eta} - 1), \quad h_\eta = \operatorname{Re} H(i\eta)$$

$$H(x) = \psi(x) + 1/2x - \ln x$$

definition of pp-scattering length,  $a_C$ :

$$k \cot \delta C_\eta^2 + \frac{2}{a_B} h_\eta = -\frac{1}{a_C} + \dots$$



$$-\frac{1}{a_S} = -\frac{1}{a_C} - \frac{2}{a_B} \left( \ln \frac{a_B}{2R} + 1 - 2\gamma \right)$$

$$a_C = a_0^{pp} = -7.82 \text{ fm}$$

$$r_0^{pp} = 2.83 \text{ fm}$$

$$a_S = -17 \text{ fm} \sim a_0^{nn}$$



small difference from  $a_0^{nn} = -18.8 \text{ fm}$  due to  $m_n \neq m_p$

# S-wave scattering - pionless EFT (spin-isospin indices not shown)

Invariance: (a) parity, (b) Galilean, (c) time reversal, (d) particle number

$$\mathbf{L}_{\text{EFT}} \sim \mathbf{N}^+ \left( i\partial_t + \frac{\nabla^2}{2m_N} \right) \mathbf{N} + \left( \frac{\mu}{2} \right)^{4-D} \left\{ -C_0 (\mathbf{N}^+ \mathbf{N})^2 + \frac{C_2}{8} \left[ \mathbf{N}^+ \mathbf{N} (\mathbf{N}^+ \vec{\nabla}^2 \mathbf{N}) + \text{h.c.} + \dots \right] \right\}$$

$\delta(r)$  + higher derivatives of  $\delta(r)$

$\pi$ -less EFT

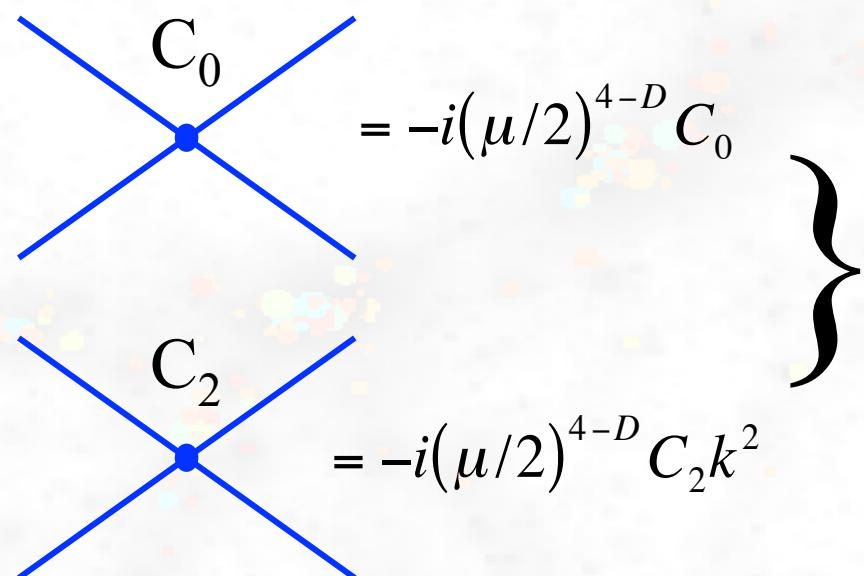
$$\mathbf{N}^T = (p \ n) = \text{isospin doublet}; \quad \vec{\nabla}^2 = \vec{\nabla}^2 - 2\vec{\nabla} \cdot \vec{\nabla} + \vec{\nabla}^2$$

$$\frac{\mu}{2} = \text{arbitrary mass to make } C_{2n} \nabla^{2n} \text{ same dimension for any D}$$

Weinberg, 1991

Short-range physics (quarks, gluons) encoded in  $C_0, C_2, \dots$

Feynman rules:



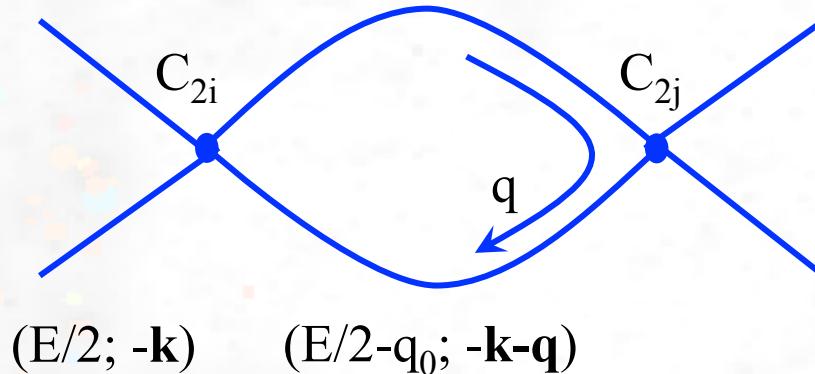
$$iS_N = \frac{i}{q_0 - \mathbf{q}^2/2m + i\varepsilon}$$

$$iT_{\text{tree}} = -i(\mu/2)^{4-D} C_0 - i(\mu/2)^{4-D} C_2 k^2 + \dots$$

$$= -i(\mu/2)^{4-D} \sum_{n=0}^{\infty} C_{2n} k^{2n}$$

# Loops

$(E/2; \mathbf{k}) \quad (E/2+q_0; \mathbf{k}+\mathbf{q})$

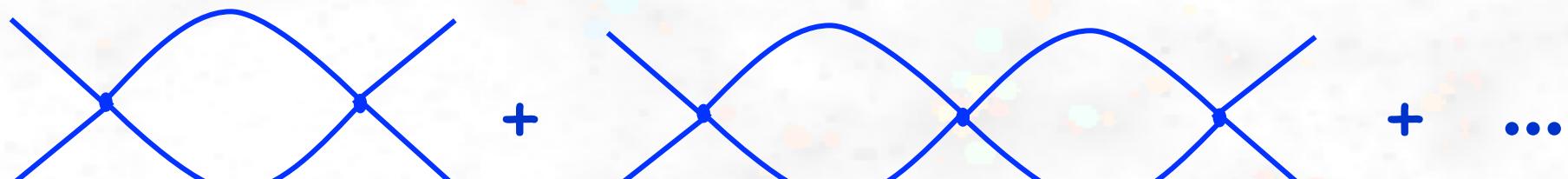


$$= -i(\mu/2)^{4-D} C_{2i} C_{2j} I_{i+j}$$

residues + minimal subtraction scheme\*

$$I_n = (\mu/2)^{4-D} \int \frac{d^{D-1}q}{(2\pi)^{D-1}} \frac{\mathbf{q}^{2n}}{E - \mathbf{q}^2/m_N + i\epsilon}; \quad m_N E = k^2$$

$$I_n^{\text{MS}} = i \left( \frac{m_N}{4\pi} \right) k^{2n+1}$$



$$T = T_{\text{tree}} + T_{\text{loops}}$$

$$= - \left( \sum_l C_{2l} k^{2l} \right) \left\{ 1 + \sum_m \left( -i \frac{m_N}{4\pi} \right) C_{2m} k^{2m} + \sum_{m,n} \left( -i \frac{m_N}{4\pi} \right)^2 C_{2m} k^{2m} C_{2n} k^{2n} + \dots \right\} = \frac{- \sum_n C_{2n} k^{2n}}{1 + i \frac{m_N}{4\pi} k \sum_n C_{2n} k^{2n}}$$

\* MS = subtract any  $1/(D - 4)$  pole before taking the  $D \rightarrow 4$  limit.

# Key points

$$\exp(i\theta) = \cos\theta + i\sin\theta$$

“mathematical jewel for physicists”  
(Feynman Lectures of Physics)

$$1 + x + x^2 + \dots = \frac{1}{1 - x}$$

“mathematical jewel for quantum field theorists”

## Power counting

$$M_{hi} \sim m_\pi$$

naturalness: physical parameters with dimension (mass)<sup>d</sup> scale as  $(M_{hi})^d$ .

$$C_{2n} \sim \frac{4\pi}{m_N} \frac{1}{M_{hi}^{2n+1}}$$

higher derivative contact terms suppressed

$$C_0 I_0 \sim C_0 \frac{m_N}{4\pi} k \sim \frac{k}{M_{hi}}$$

loops also suppressed



EFT series perturbative: can be organized in powers of  $k/M_{hi}$

# Matching to ERE

$$T_{\text{EFT}} = -C_0 \left\{ 1 - i \frac{m_N}{4\pi} C_0 k - \left[ \left( \frac{m_N}{4\pi} \right)^2 C_0 - \frac{C_2}{C_0} \right] k^2 + \dots \right\}$$

$$T_{\text{ERE}} = -\frac{4\pi}{m_N} a \left[ 1 - i a k - \left( a^2 - \frac{a r_0}{2} \right) k^2 + \dots \right]$$



$$C_0 \sim \frac{4\pi}{m_N} a$$

$$C_2 \sim C_0 \frac{ar_0}{2}$$

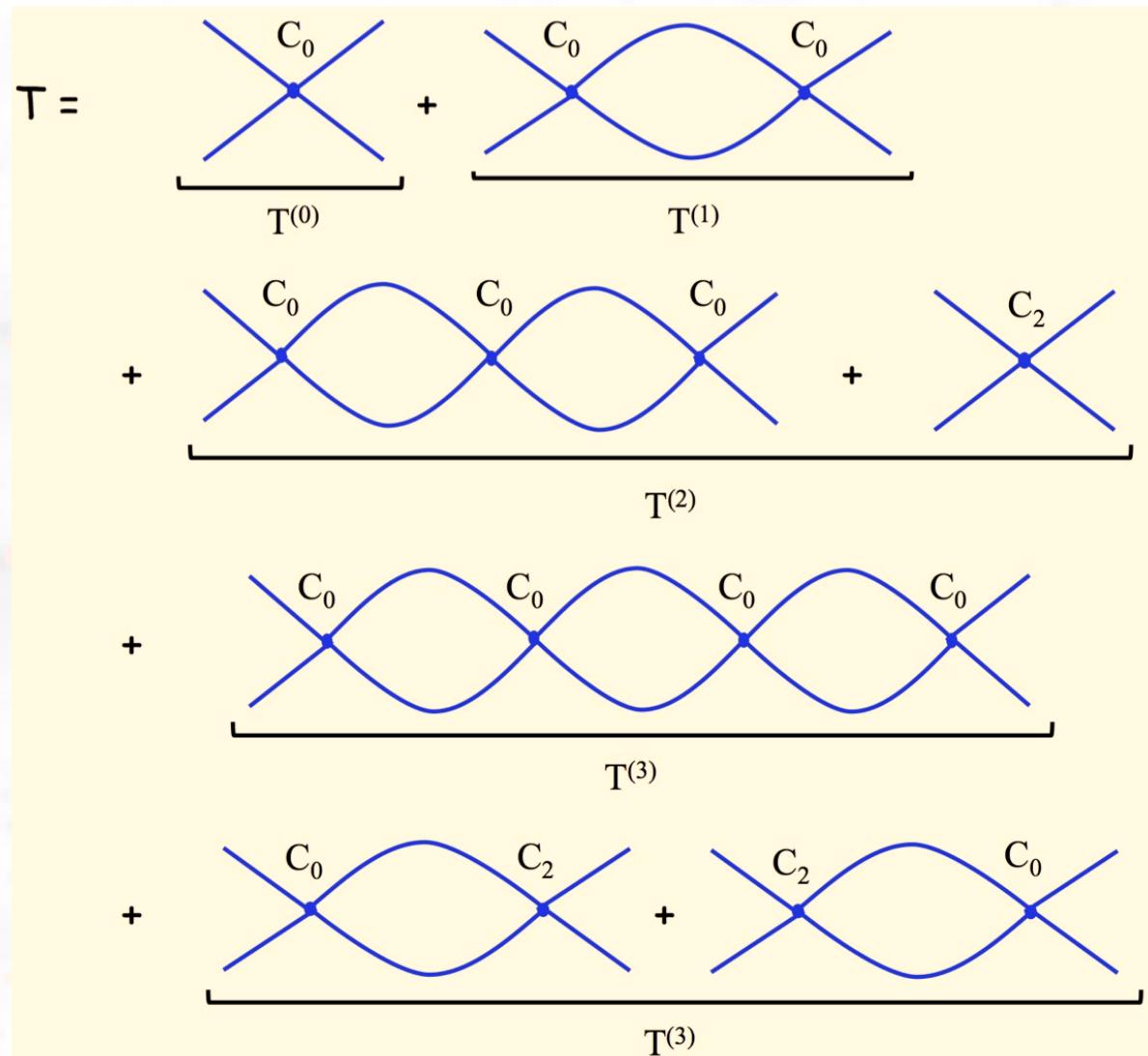
valid for

$$a, r_n \sim \frac{1}{M_{hi}}$$

(natural case)

in general

$$C_{2n} \sim \frac{4\pi}{m_N M_{hi}} \frac{1}{M_{hi}^{2n}}$$



# Unnatural case (large $a$ , shallow bound states)

deuteron, halo nuclei

$T$  expansion in terms of  $ka$  fails for  $k \sim 1/a$   
 → use ERE keeping all orders in  $ka$ :

van Kolck, 1997  
 Gegelia, 1998  
 Kaplan, Savage,  
 Wise, 1998

$$T_{\text{eff.range}} = -\frac{4\pi}{m_N} \frac{1}{1/a + ik} \left[ 1 + \frac{r_0/2}{1/a + ik} k^2 + \frac{(r_0/2)^2}{(1/a + ik)^2} k^4 + \dots \right]$$

To match with above EFT expansion scale as ( $k^{-1}, k^0, k^1, \dots$ )

1 - Expansion should be:

$$T = \sum_{n=-1}^{\infty} T_n; \quad T_n \sim O(k^n)$$

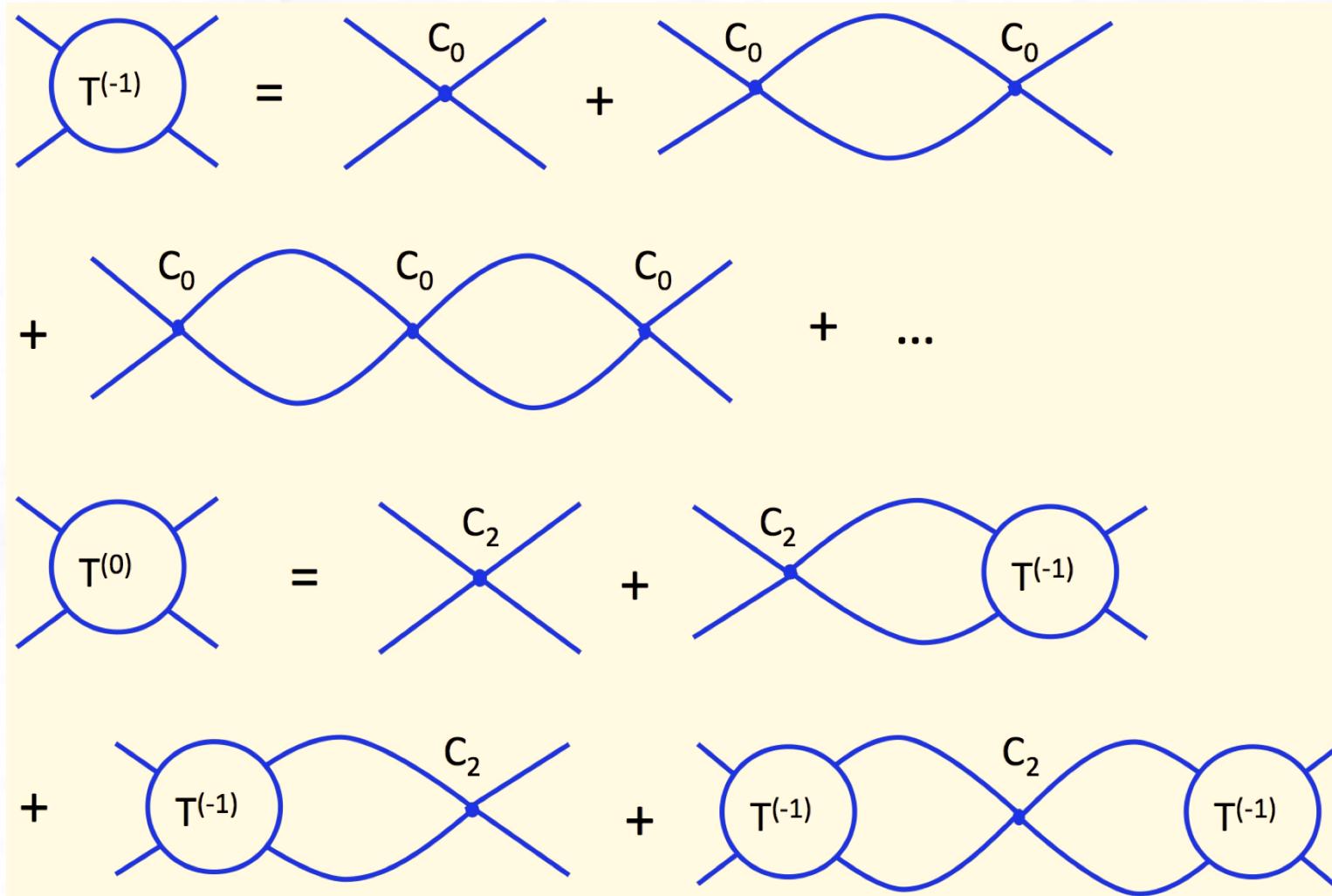
2 - Use PDS regularization\* scheme (Kaplan, Savage, Wise):

- subtract not only  $1/(D-4)$  poles corresponding to log divergences but also poles of lower dimension  $D$  (e.g.,  $I_n$  has a pole at  $D = 3$ ) by adding a counterterm:

$$\delta I_n = -\frac{m_N (m_N E)^n \mu}{4\pi(D-3)} \quad \xrightarrow{\hspace{1cm}} \quad I_n^{\text{PDS}} = I_n + \delta I_n = -k^2 \left( \frac{m_N}{4\pi} \right) (\mu + ik)$$

\* PDS = Power Divergence Subtraction.

## Unnatural case (leading and subleading terms)



Using subtraction scheme →

$$T_{-1} = -C_0 \left[ 1 + \frac{C_0 m_N}{4\pi} (\mu + ik) \right]^{-1}$$

$$T_0 = -C_2 k^2 \left[ 1 + \frac{C_0 m_N}{4\pi} (\mu + ik) \right]^2$$

## Unnatural case (matching to ERE)

$$C_0(\mu) = \frac{4\pi}{m_N} \left( \frac{1}{-\mu + 1/a} \right); \quad C_2(\mu) = \frac{4\pi}{m_N} \left( \frac{1}{-\mu + 1/a} \right)^2 \frac{r_0}{2}; \quad \dots$$

$$T_1 = -\frac{(C_2 k^2)^2 m_N (\mu + ik)/4\pi}{\left[1 + \frac{C_0 m_N}{4\pi} (\mu + ik)\right]^3} - \frac{C_4 k^4}{\left[1 + \frac{C_0 m_N}{4\pi} (\mu + ik)\right]^4}; \quad \dots$$

power counting:

$$C_{2n} \sim \frac{4\pi}{m_N} \frac{1}{M_{hi}^n \mu^{n+1}}$$

T-matrix for physics at  $k \sim 1/a$  scale: has a pole in  $k = ik$  corresponding to real or virtual bound states  $\kappa \sim i/a + \text{higher order corrections}$

$T_{EFT}$  should not depend on  $\mu$

e.g.

$$\mu \frac{d}{d\mu} \left( \frac{1}{T} \right) = 0$$



renormalization group equations

$$\mu \frac{d}{d\mu} C_{2n} = \frac{m_N}{4\pi} \sum_{m=0}^n C_{2m} C_{2(n-m)}$$

with the boundary condition that  $C_0(0) = 4\pi a / m_N$

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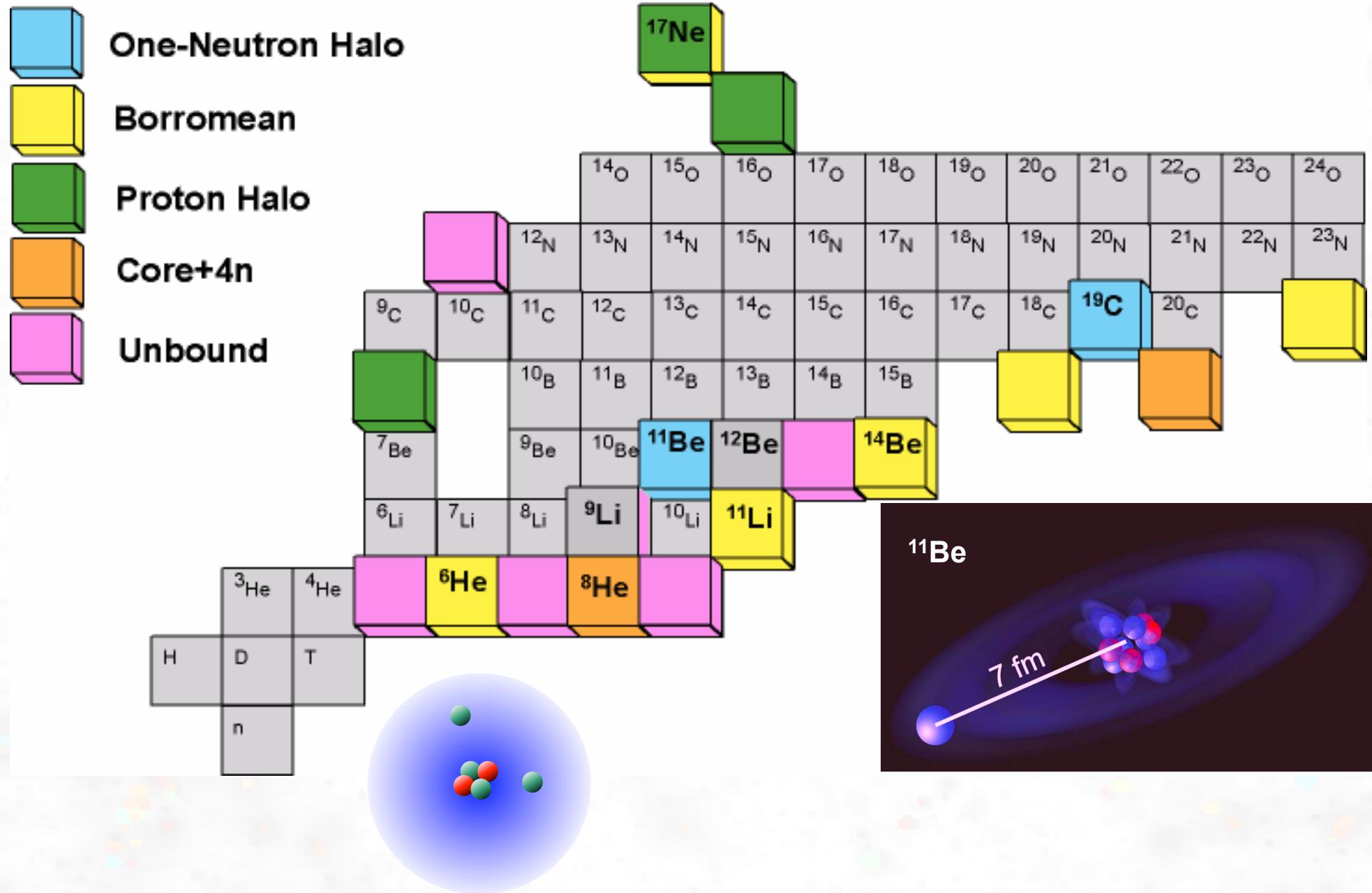
$$T_1 = - \frac{(C_2 k^2)^2 m_N (\mu + ik) / 4\pi}{\left[ 1 + \frac{C_0 m_N}{4\pi} (\mu + ik) \right]^3} - \frac{C_4 k^4}{\left[ 1 + \frac{C_0 m_N}{4\pi} (\mu + ik) \right]^4}; \quad \dots$$

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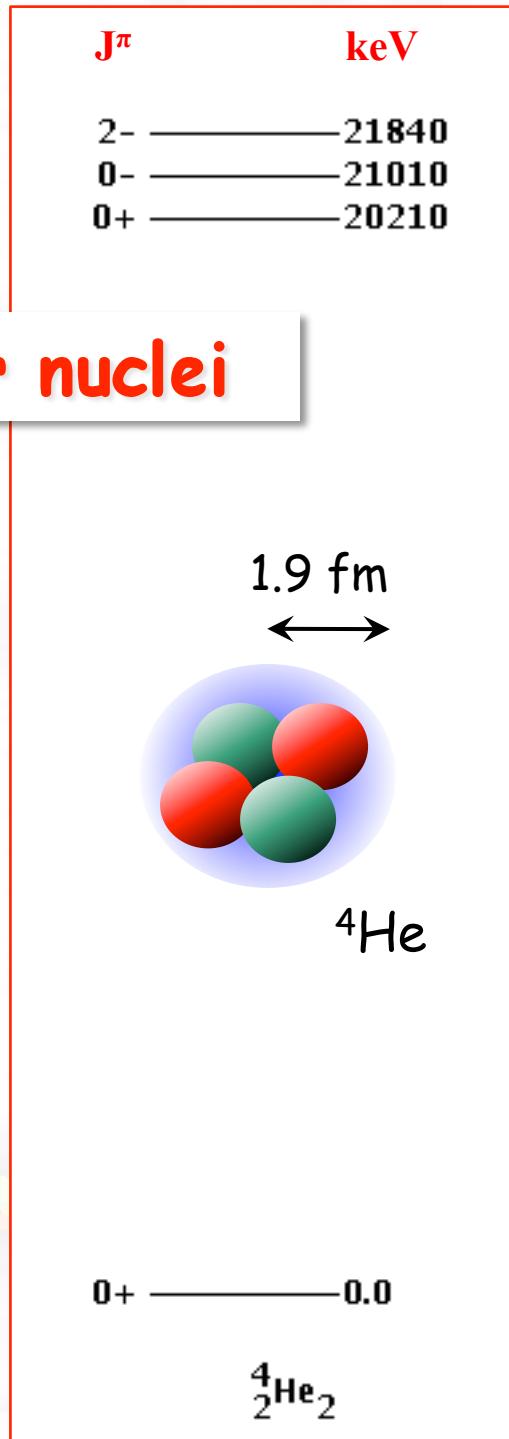
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# Halo nuclei



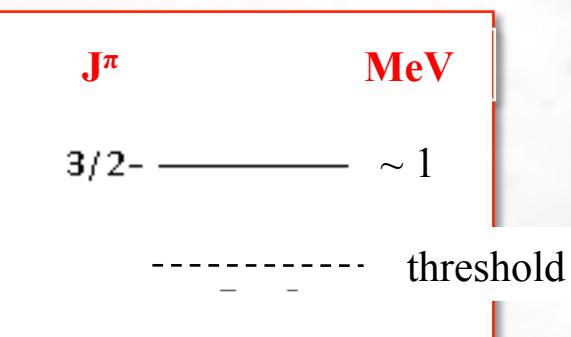
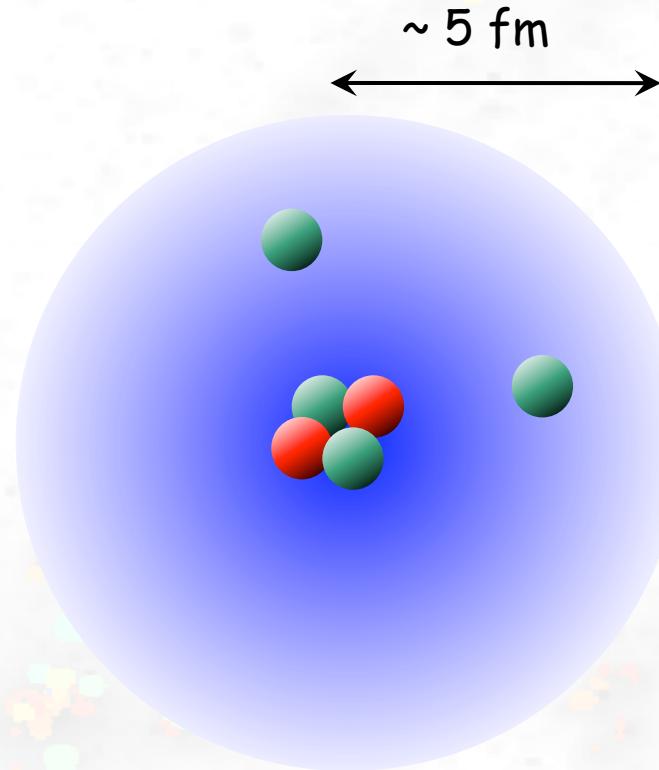
## Halo nuclei

### Cluster nuclei



$M_{hi}$

$M_{lo}$



$n + ^4\text{He}$

# Spinless $n + {}^4\text{He}$

CB, Hammer, van Kolck, 2002

Goal: use EFT to reproduce ERE for p-wave

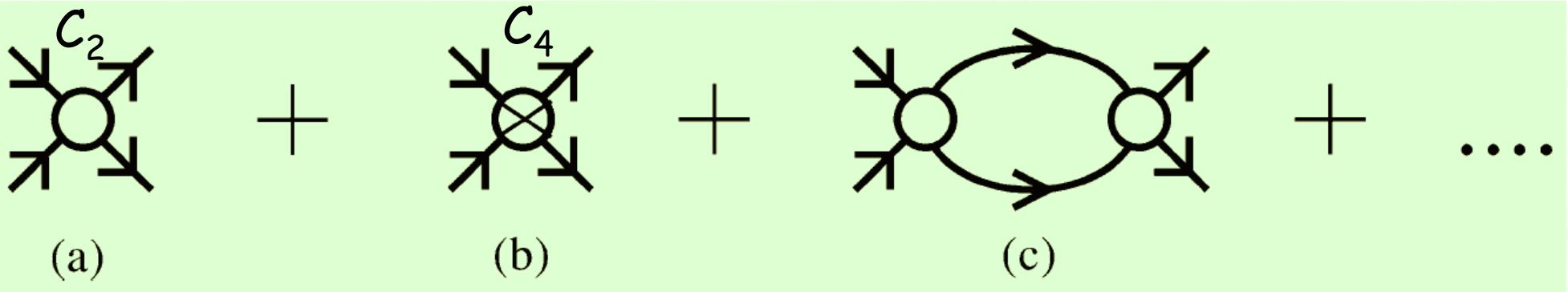
$$T_1(k, \cos \theta) = -\frac{12\pi a_1}{m} k^2 \cos \theta \left\{ 1 + \frac{a_1 r_1}{2} k^2 - i a_1 k^3 + \frac{a_1}{4} (a_1 r_1^2 - \beta_1) k^4 + \dots \right\}$$

**Natural case**, assuming spinless particles - most general p-wave interaction:

$$\mathcal{L}_{\text{EFT}} \sim N^+ \left( i\partial_0 + \frac{\nabla^2}{2m} \right) N + \frac{C_2^p}{8} \left( N \vec{\nabla} N \right)^+ \left( N \vec{\nabla} N \right) - \frac{C_4^p}{64} \left[ \left( N \vec{\nabla}^2 \vec{\nabla}_i N \right)^+ \left( N \vec{\nabla}_i N \right) + \text{h.c.} \right] + \dots$$

$$\vec{\nabla} = \vec{\nabla} - \vec{\nabla} \quad \text{Galilean derivative}$$

→  $iS(p_0, \mathbf{p}) = \frac{i}{p_0 - \mathbf{p}^2/2m + i\epsilon}$  propagator

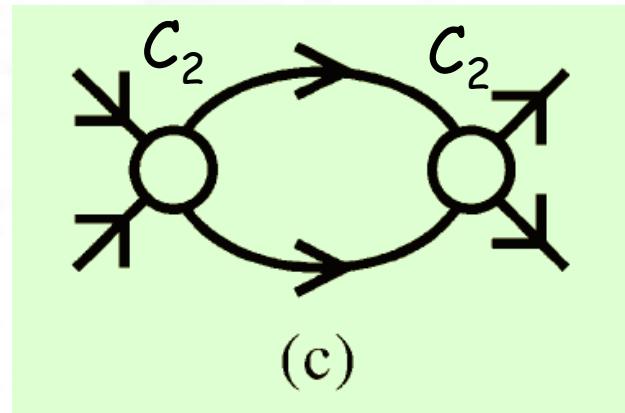


$$iT_{1(a)} = -iC_2^p \mathbf{k} \cdot \mathbf{k}'$$

$$iT_{1(b)} = -iC_4^p k^2 \mathbf{k} \cdot \mathbf{k}'$$

# Spinless $n + {}^4\text{He}$

Natural case:



$$\begin{aligned} iT_{1(c)} &= (-iC_2^p)^2 \int \frac{d^4 q}{(2\pi)^4} \frac{i\mathbf{q} \cdot \mathbf{k}}{E/2 - q_0 - \mathbf{q}^2/2m + i\varepsilon} \frac{i\mathbf{q} \cdot \mathbf{k}'}{E/2 - q_0 - \mathbf{q}^2/2m + i\varepsilon} \\ &= (C_2^p)^2 i m k'_i k_j \int \frac{d^3 q}{(2\pi)^3} \frac{q_i q_j}{\mathbf{q}^2 - \mathbf{k}^2 + i\varepsilon} \end{aligned}$$

→  $iT_{1(c)} = (C_2^p)^2 \frac{im}{6\pi^2} \mathbf{k} \cdot \mathbf{k}' \left[ L_3 + k^2 L_1 + \frac{\pi}{2} ik^3 \right]$

$L_1$  and  $L_3$  are (ultraviolet) infinities that can be absorbed in  $C_2$  and  $C_4$

Matching to ERE →

$$T_{1(a)} \rightarrow C_2^p = 12\pi \frac{a_1}{m}$$

$$T_{1(b)} \rightarrow C_4^p = C_2^p r_1 \frac{a_1}{2}$$

+  $T_{1(c)}$  reproduces 3<sup>rd</sup> term of ERE expansion

# Spinless $n + {}^4\text{He}$

Unnatural case (shallow state) - p waves: with  $C_p^2$  and  $C_p^4$  to all orders

Kaplan, 1997

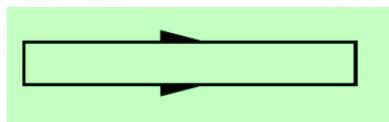


introduce auxiliary field  $d$  (dimeron) which reproduces same physics as original EFT Lagrangian

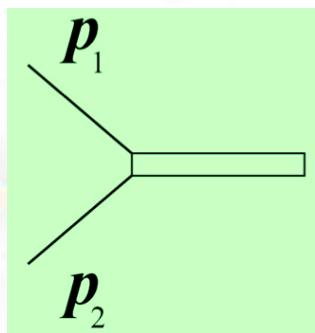
$$\mathcal{L}_{\text{EFT}} \sim N^+ \left( i\partial_0 + \frac{\nabla^2}{2m} \right) N + n_1 d_i^+ \left( i\partial_0 + \frac{\nabla^2}{4m} - \Delta_1 \right) d_i + \frac{g_1}{4} \left[ d_i^+ (N \vec{\nabla} N) + \text{h.c.} \right] + \dots$$

1- parameters  $n_1 = \pm 1$ ,  $g_1$  and  $\Delta_1$  fixed from matching

2- advantage: get quicker to the answer, appropriate for large  $a'$ 's



dimeron propagator:  $iD_1^0(p_0, p)_{ij} = \frac{i\eta_i \delta_{ij}}{p_0 - p^2/4m - \Delta_1 + i\varepsilon}$

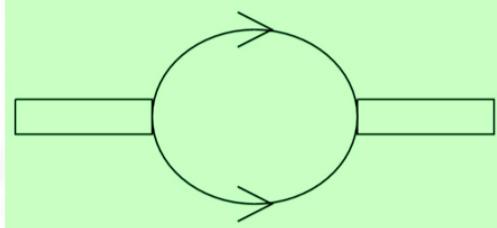


Feynman rules:

nucleon-dimeron vertex:

$$V_{N-d} = \frac{g_1}{4} (\mathbf{p}_1 - \mathbf{p}_2)$$

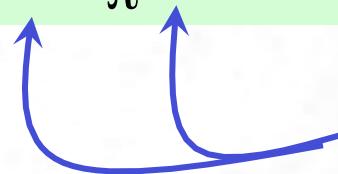
# Spinless $n + {}^4\text{He}$



self-energy  $\Sigma_1$

full dimeron propagator:

$$-i\Sigma_1 = i\delta_{ij} \frac{mg_1^2}{12\pi} \left[ \frac{2}{\pi} L_3 + \frac{2}{\pi} L_1 (mp_0 - k^2/4) + i(mp_0 - k^2/4)^{3/2} \right]$$



infinite constants  
absorbed into  $g_1$  and  $\Delta_1$

$$\boxed{\text{---} = \text{---} + \text{---} + \text{---} + \dots}$$

The diagram shows a horizontal line (dimeron propagator) equal to itself plus a self-energy correction term (a horizontal line with a circular loop), plus a higher-order correction (a horizontal line with two loops), plus higher-order terms.

$$iD_1^0 = iD_1^0 + iD_1^0(-\Sigma_1)iD_1^0 + \dots = \frac{iD_1^0}{1 - \Sigma_1 D_1^0}$$

attach external legs to full dimeron propagator →

$$T_{\text{EFT}}^{(\text{p-wave})} = \frac{12\pi}{m} k^2 \left( \eta_1 \frac{12\pi \Delta_1^R}{m(g_1^R)^2} - \eta_1 \frac{12\pi \Delta_1^R}{m(g_1^R)^2} k^2 - ik \right)$$

With renormalized  
parameters  $g^R$ ,  $\Delta^R$

Now match to

$$T_{\text{EFE}}^{(\text{p-wave})} = \frac{12\pi}{m} k^2 \left( -\frac{1}{a_1} + \frac{r_1}{2} k^2 - ik^3 \right)^{-1}$$

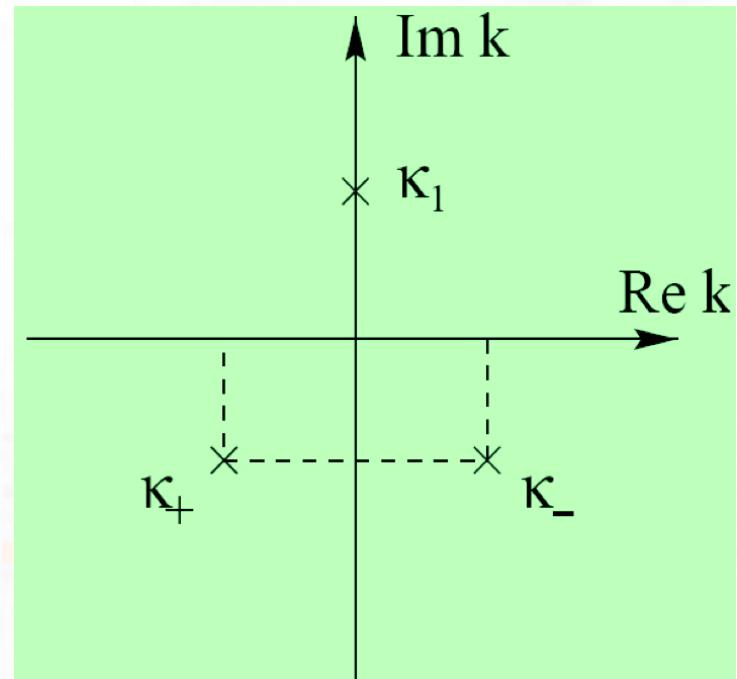
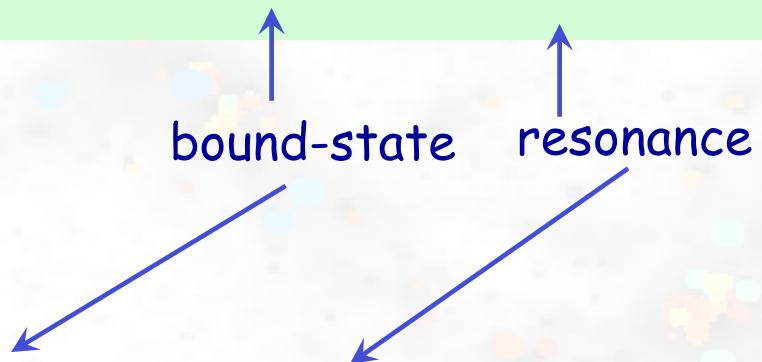
to get  $\eta_1^R$ ,  $g_1^R$  and  $\Delta_1^R$

# Spinless $n + {}^4\text{He}$

## Pole structure

for  $a_1, r_1 < 0$  (e.g.,  $n + {}^4\text{He}$ )

$$-\frac{1}{a_1} - \frac{r_1 k^2}{2} - i k^3 = 0; \Rightarrow \kappa_1 = i \gamma_1; \quad \kappa_{\pm} = i(\gamma \pm i \tilde{\gamma})$$



$$\delta_1 = \frac{1}{2} \arctan \left( \frac{2\sqrt{EB}}{E - B} \right) - \arctan \left( \frac{\Gamma(E)}{2(E - E_0)} \right);$$

$$E = \frac{k^2}{2m}; \quad E_0 = \frac{\gamma^2 + \tilde{\gamma}^2}{2m}; \quad \Gamma(E) = -4\gamma \sqrt{\frac{E}{2m}}; \quad B = \frac{\gamma_1^2}{2m}$$

# n (with spin) + $^4\text{He}$

CB, Hammer, van Kolck, 2002

Partial wave $l_{\pm}$	$a_{i\pm} [\text{fm}^{1+2l}]$	$r_{i\pm} [\text{fm}^{1-2l}]$	$\mathcal{P}_{l\pm} [\text{fm}^{3-2l}]$
$0^+$	2.4641(37)	1.385(41)	--
$1^-$	-13.821(68)	-0.419(16)	--
$1^+$	-62.951(3)	-0.8819(11)	-3.002(62)

Arndt, Roper, 1973

n +  $^4\text{He}$ :  $p_{3/2}$  resonance  
 - shallow:  $\sim 1$  MeV  
 - has to be treated non-perturbatively  
 -  $p_{1/2}$  weak  $\rightarrow$  perturbatively  
 -  $s_{1/2}$  also perturbatively

neutron spin 

$$T = \frac{2\pi}{m_0} (F + i\vec{\sigma} \cdot \hat{n}G); \quad \frac{d\sigma}{d\Omega} = |F(\theta)|^2 + |G(\theta)|^2$$

$$F(k, \theta) = \sum_{l \geq 0} [(1+l)f_{l+}(k) + lf_{l-}(k)] P_l(\cos \theta)$$

$$G(k, \theta) = \sum_{l \geq 1} [f_{l+}(k) - f_{l-}(k)] P_l^1(\cos \theta)$$

$$f_{l\pm} = \frac{1}{k \cot \delta_{l\pm} - ik}$$

# $n + {}^4\text{He}$

parity- and time-reversal-invariant Lagrangians:

$$L_{\text{LO}} = \phi^+ \left[ i\partial_0 + \frac{\nabla^2}{2m_\alpha} \right] \phi + N^+ \left[ i\partial_0 + \frac{\nabla^2}{2m_N} \right] N + \eta_{1+} t^+ \left[ i\partial_0 + \frac{\nabla^2}{2(m_\alpha + m_N)} - \Delta_{1+} \right] t \\ + \frac{g_{1+}}{2} \left\{ t^+ \mathbf{S}^+ \cdot [N \nabla \phi - (\nabla N) \phi] + \text{h.c.} - r [t^+ \mathbf{S}^+ \cdot \nabla (N \phi) + \text{h.c.}] \right\}$$

$$L_{\text{NLO}} = \eta_{0+} s^+ [-\Delta_{0+}] s + g_{0+} [s^+ N \phi + \phi^+ N^+ s] + g'_{1+} t^+ \left[ i\partial_0 + \frac{\nabla^2}{2(m_\alpha + m_N)} \right] t$$

notation:  $s, d, t = s_{1/2}, p_{1/2}, p_{3/2}$        $\phi = {}^4\text{He}$  scalar field  
 $S_i = 2 \times 4$  spin-transition matrices

$$S_i S_j^+ = \frac{2}{3} \delta_{ij} - \frac{i}{3} \epsilon_{ijk} \sigma_k, \quad S_i^+ S_j = \frac{3}{4} \delta_{ij} - \frac{1}{6} \{ J_i^{3/2}, J_j^{3/2} \} + \frac{i}{3} \epsilon_{ijk} J_k^{3/2}$$

$$[J_i^{3/2}, J_j^{3/2}] = i \epsilon_{ijk} J_k^{3/2}$$

generators of the  $J = 3/2$   
representation of the rotation group

# $n + {}^4He$

$\alpha$  and nucleon propagators ( $a, b = \text{spin}$ ,  $\alpha, \beta = \text{isospin}$ )

$$iS_\phi(p_0, \mathbf{p}) = \frac{1}{p_0 - \mathbf{p}^2/2m_\alpha + i\epsilon}, \quad iS_N(p_0, \mathbf{p})_{\alpha\beta}^{ab} = \frac{i\delta_{\alpha\beta}\delta_{ab}}{p_0 - \mathbf{p}^2/2m_N + i\epsilon}$$

Dimeron propagators

$$iD_{1+}^0(p_0, \mathbf{p})_{\alpha\beta}^{ab} = \frac{i\eta_{1+}\delta_{\alpha\beta}\delta_{ab}}{p_0 - \mathbf{p}^2/2(m_N + m_\alpha) - \Delta_{1+} + i\epsilon}, \quad iD_{0+}^0(0, \mathbf{0})_{\alpha\beta}^{ab} = -\frac{i\eta_{0+}\delta_{\alpha\beta}\delta_{ab}}{\Delta_{0+}}$$

$$iD_{1-}^0(p_0, \mathbf{p})_{\alpha\beta}^{ab} = iD_{0+}^0(0^+ \rightarrow 1^-)_{\alpha\beta}^{ab}$$

- Leading contribution for  $k \sim M_{lo}$  is  $\sim 12\pi/mM_{lo}$  solely from the  $1+$  partial wave with the scattering-length and effective-range terms included to all orders.
- NLO order correction suppressed by  $M_{lo}/M_{hi}$  and fully perturbative.
- $1-$  partial wave still vanishes at NLO.

# $n + {}^4He$

1+ dimeron propagator, using

$$iD = \frac{iD}{1 - \Sigma_1 D}$$

+ attaching the external particle lines ( $m_0$  = reduced mass)

$$iD_{1+}^0(p_0, p)_{\alpha\beta}^{ab} = i\eta_{1+}\delta_{\alpha\beta}\delta_{ab} \left( p_0 - \frac{p^2}{2(m_\alpha + m_N)} - \Delta_{1+} + \frac{\eta_{1+}\mu g_{1+}^2}{6\pi} (2m_0)^{3/2} \left[ -p_0 + \frac{p^2}{2(m_\alpha + m_N)} - i\epsilon \right]^{3/2} + i\epsilon \right)^{-1}$$

→  $T^{LO} = \frac{2\pi}{m_0} k^2 (2\cos\theta + i\vec{\sigma} \cdot \hat{n}) \sin\theta \left( \eta_{1+} \frac{6\pi\Delta_{1+}}{m_0 g_{1+}^2} - \eta_{1+} \frac{3\pi}{m_0^2 g_{1+}^2} k^2 - ik^3 \right)^{-1}$

Matching to ERE:

$$a_{1+} = -\eta_{1+} \frac{m_0 g_{1+}^2}{6\pi\Delta_{1+}}; \quad r_{1+} = -\eta_{1+} \frac{6\pi}{m_0^2 g_{1+}^2}$$

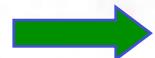


$g_{1+}$ ,  $\Delta_{1+}$ , sign  $\eta_{1+}$   
in terms of  $a_{1+}$  and  $r_{1+}$

$$F_{LO} = \frac{2k^2 \cos\theta}{-1/a_{1+} + r_{1+}k^2/2 - ik^3}, \quad G_{LO} = \frac{k^2 \cos\theta}{-1/a_{1+} + r_{1+}k^2/2 - ik^3}$$



NLO contributions come from the shape parameter  $P_{1+}$  and the s-wave scattering length  $a_{0+}$



$$T^{NLO} = \frac{\eta_{0+} g_{0+}^2}{2\pi\Delta_{0+}} + \frac{6\pi^2 g_{1+}'}{2m_0^4 g_{1+}^2} \frac{k^6 (2\cos\theta + i\vec{\sigma} \cdot \hat{n}) \sin\theta}{(1/a_{1+} - r_{1+} k^2/2 - ik^3)^2}$$

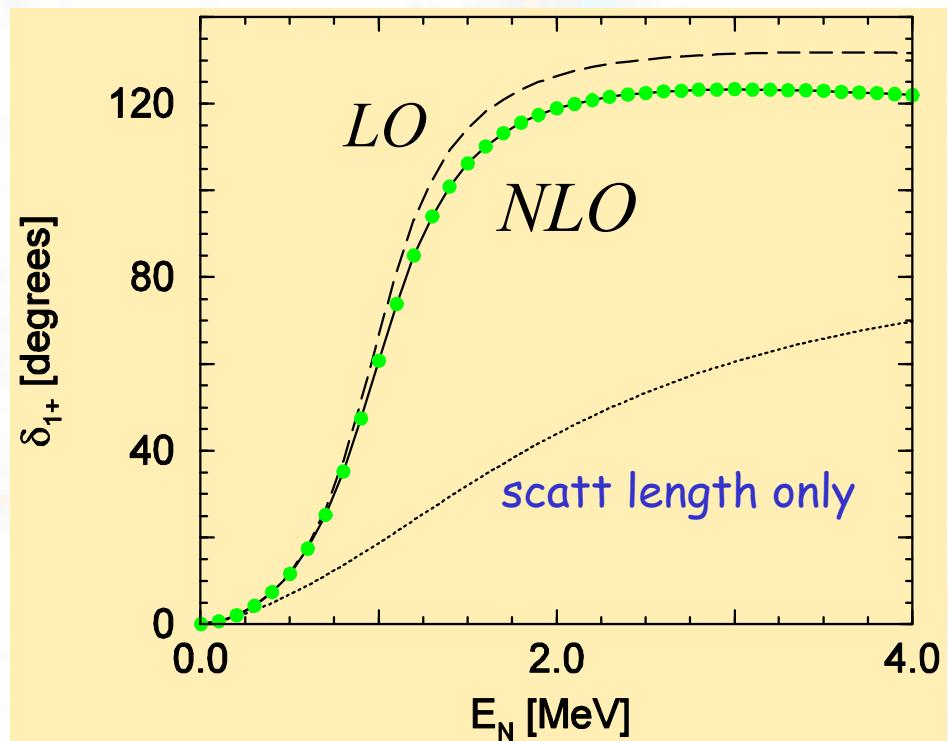
$$a_{0+} = -\eta_{0+} \frac{m_0 g_{0+}^2}{2\pi\Delta_{0+}} ; \quad P_{1+}' = \frac{6\pi g_{1+}'}{m_0^2 g_{1+}^2}$$

$$F_{NLO} = -a_{0+} + \frac{P_{1+}'}{4} \frac{2k^6 \cos\theta}{(-1/a_{1+} + r_{1+} k^2/2 - ik^3)^2}$$

$$G_{NLO} = \frac{P_{1+}'}{4} \frac{k^6 \cos\theta}{(-1/a_{1+} + r_{1+} k^2/2 - ik^3)^2}$$

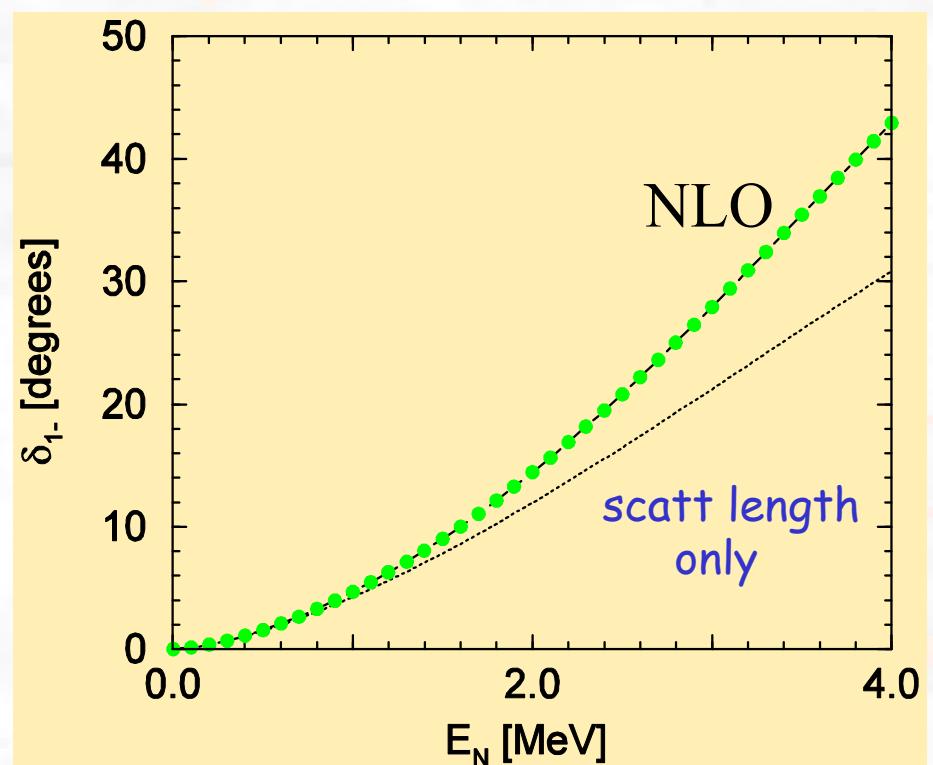
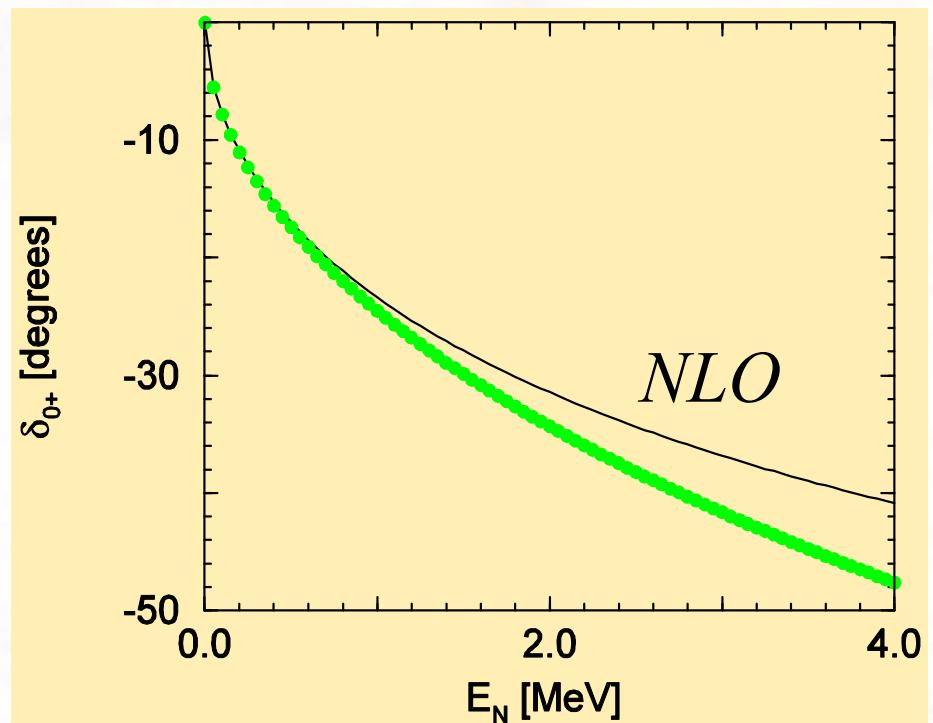
# $n + {}^4\text{He}$ phase shifts

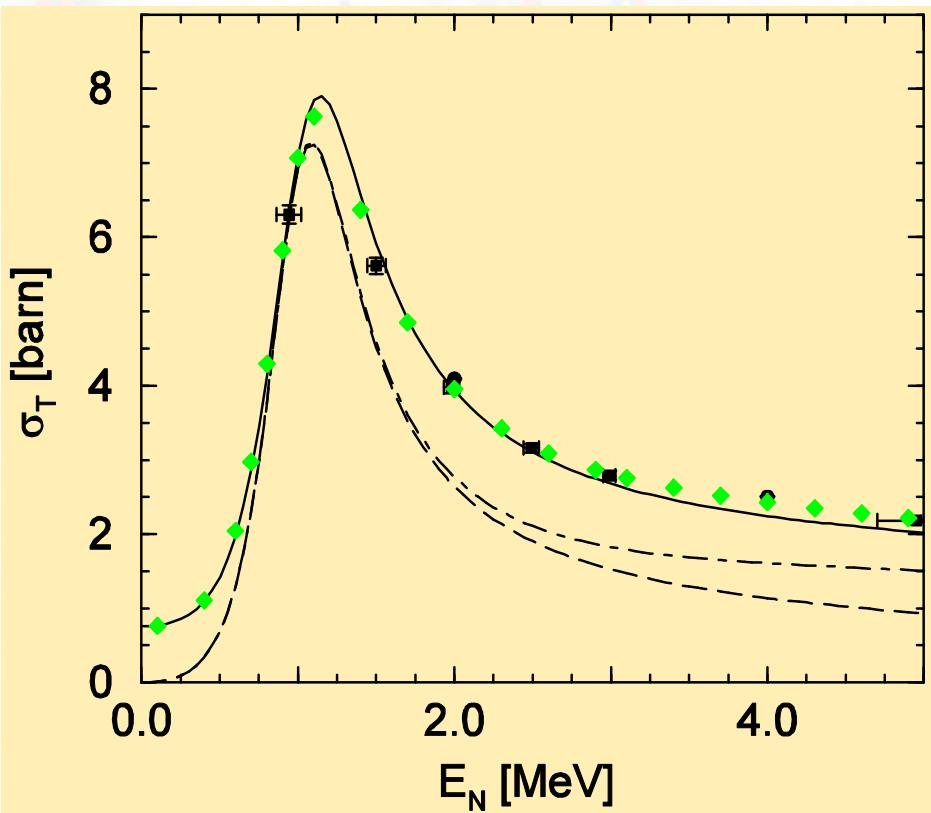
● PSA, Arndt et al. '73



$$E_0 \approx 0.80 \text{ MeV}$$

$$\Gamma(E_0) \approx 0.55 \text{ MeV}$$

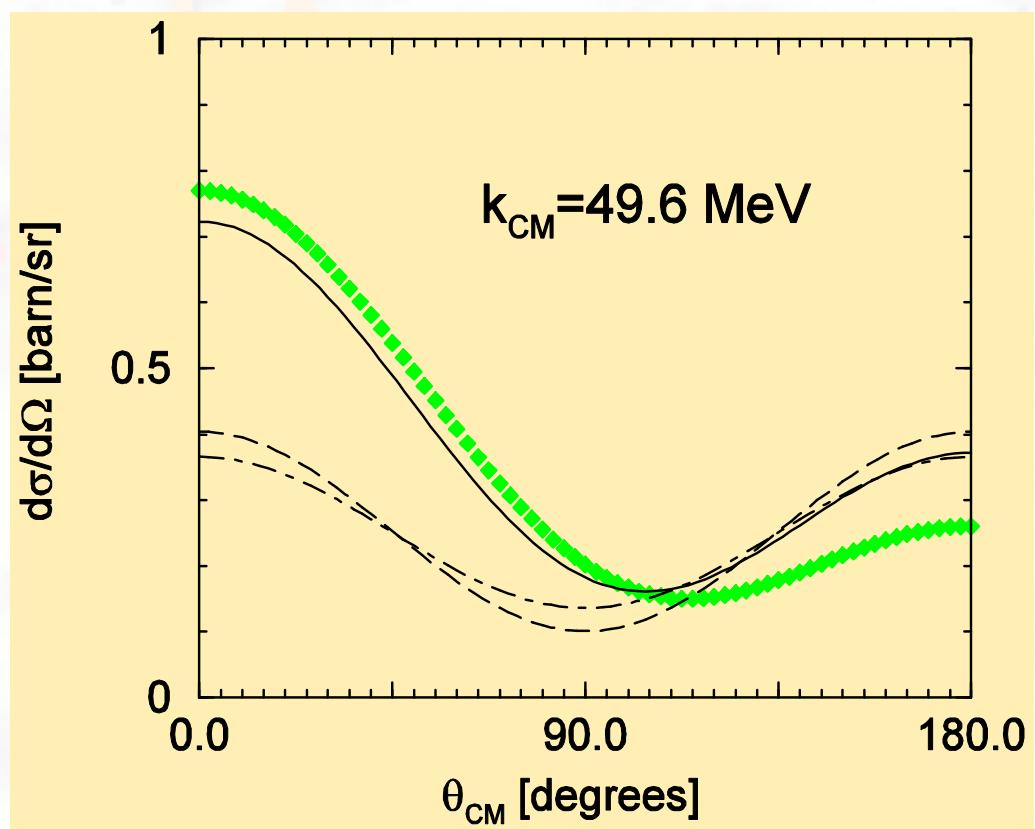




◆ NNDC, BNL  
■ Haesner et al. '83

## $n + {}^4\text{He}$ cross sections

—  $LO$   
—  $NLO$   
- -  $LO = p_{1/2}$

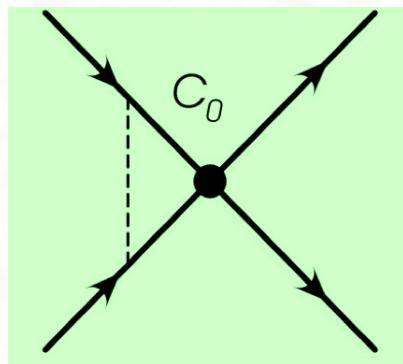


# Coulomb Interaction

Kong, Ravndal, 2000

e.g., pp-scattering

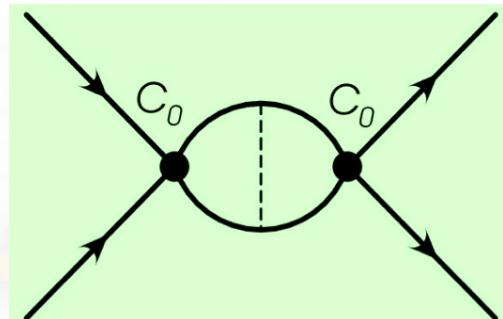
$$L_{\text{EFT}} \sim N^+ \left( i\partial_t + \frac{\nabla^2}{2m_N} \right) N - C_0 (N^+ N)^2$$



$$\begin{aligned} \delta T &= C_0 \int \frac{d^3 q}{(2\pi)^3} \frac{e^2}{k^2 + \lambda^2} \frac{1}{E - (k - q)^2/m_N + i\epsilon} \left( \sim C_0 \frac{\alpha m_N}{k} = C_0 \eta \right) \\ &= -C_0 \eta \left( \frac{\pi}{2} + i \ln \frac{2k}{\lambda} \right) + O(\lambda) \Rightarrow \text{non-perturbative for } k < \alpha m_N \end{aligned}$$



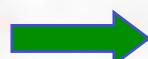
external legs strongly influenced by Coulomb repulsion



$$\delta I_0 \sim \frac{\eta m_N}{8\pi} \left( \frac{1}{\epsilon} + 2 \ln \frac{\mu \sqrt{\pi}}{2k} + \# \right) \Rightarrow \text{non-perturbative for } k < \alpha m_N$$

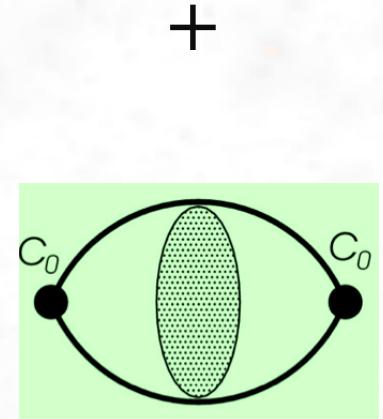
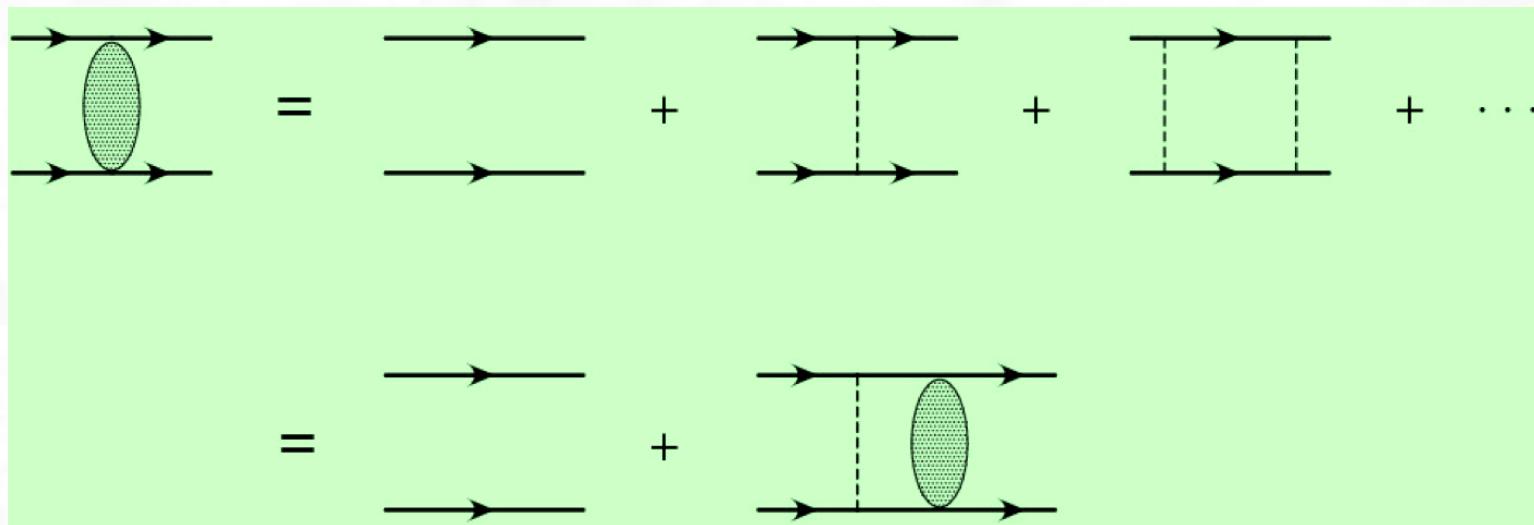


pole at  $D = 4 \rightarrow$  need renorm of  $C_0$



strong interaction also much modified by Coulomb interaction

# Coulomb Interaction



$$T = C_0 C_\eta^2 e^{2i\sigma_0} + C_0^2 C_\eta^2 J_0(k) + \dots = C_\eta^2 \frac{C_0 e^{2i\sigma_0}}{1 - C_0 J_0(k)}$$

$$\begin{aligned} J_0(k) &= m_N \int \frac{d^3 q}{(2\pi)^3} \frac{2\pi\eta(q)}{e^{2\pi\eta(q)} - 1} \frac{1}{k^2 - q^2 + i\epsilon} \\ &= -\frac{\alpha m_N^2}{4\pi} \left[ \frac{1}{\epsilon} + H(\eta) + \ln \frac{\mu\sqrt{\pi}}{\alpha m_N} - \frac{3}{2}(0.5772..) \right] - \frac{\mu m_N}{4\pi} \end{aligned}$$



pole at  $D = 4 \rightarrow$  need renorm of  $C_0$   
use PDS and get rid of pole at  $D = 3$ , too.

# Coulomb Interaction

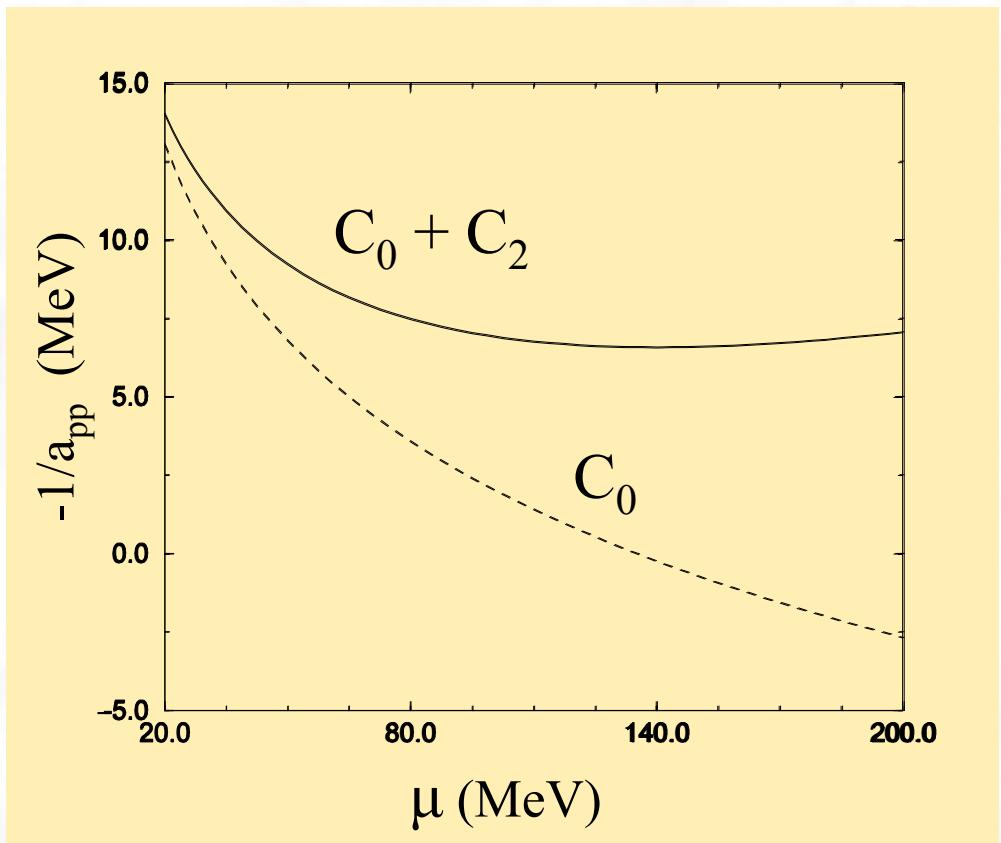
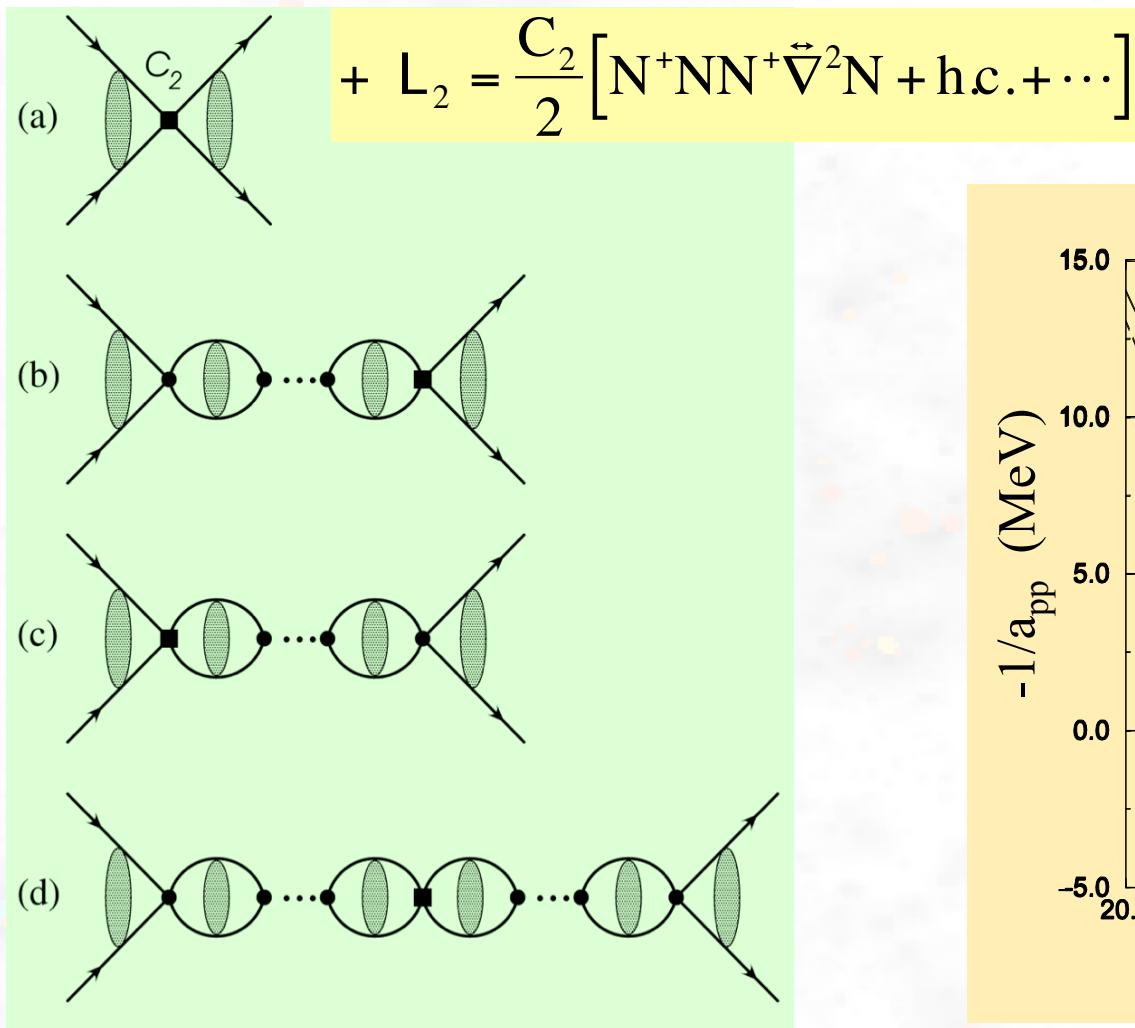
$$-\frac{1}{a_{pp}(\mu)} = -\frac{1}{a_{pp}^C} - \frac{2}{a_B} \left( \ln \frac{\mu \sqrt{\pi}}{\alpha m_N} + 1 - \frac{3}{2}\gamma - \frac{1}{2}\mu r_0 \right)$$

= Jackson, Blatt, 1950

with renormalization of  $C_0$ :

$$\frac{1}{a_{pp}(\mu)} = -\frac{4\pi}{m_N C_0(\mu)} + \mu$$

now add:



→  $a_{pp}(\mu = m_\pi) = -29.9 \text{ fm}$

$a_{pn}^{\exp}(\mu = m_\pi) = -23.7 \text{ fm}$

Kong, Ravndal, 2000

# p- $\alpha$ scattering

Higa, CB, van Kolck, 2014

$$\begin{aligned}
 L_{N\alpha}^{\text{LO}} = & \phi^+ \left[ iD_0 + \frac{\mathbf{D}^2}{2m_\alpha} \right] \phi + N^+ \left[ iD_0 + \frac{\mathbf{D}^2}{2m_\alpha} \right] N + \zeta_{0+} s^+ [-\Delta_{0+}] s \\
 & + \zeta_{1+} t^+ \left[ iD_0 + \frac{\mathbf{D}^2}{2(m_\alpha + m_N)} - \Delta_{1+} \right] t - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\
 & + \frac{g_{1+}}{2} \left\{ t^+ \mathbf{S}^+ \cdot [n \mathbf{D} \phi - (\mathbf{D} N) \phi] + \text{h.c.} - r [t^+ \mathbf{S}^+ \cdot \mathbf{D} (N \phi) + \text{h.c.}] \right\} \\
 & + g_{0+} [s^+ N \phi + \phi^+ N^+ s]
 \end{aligned}$$

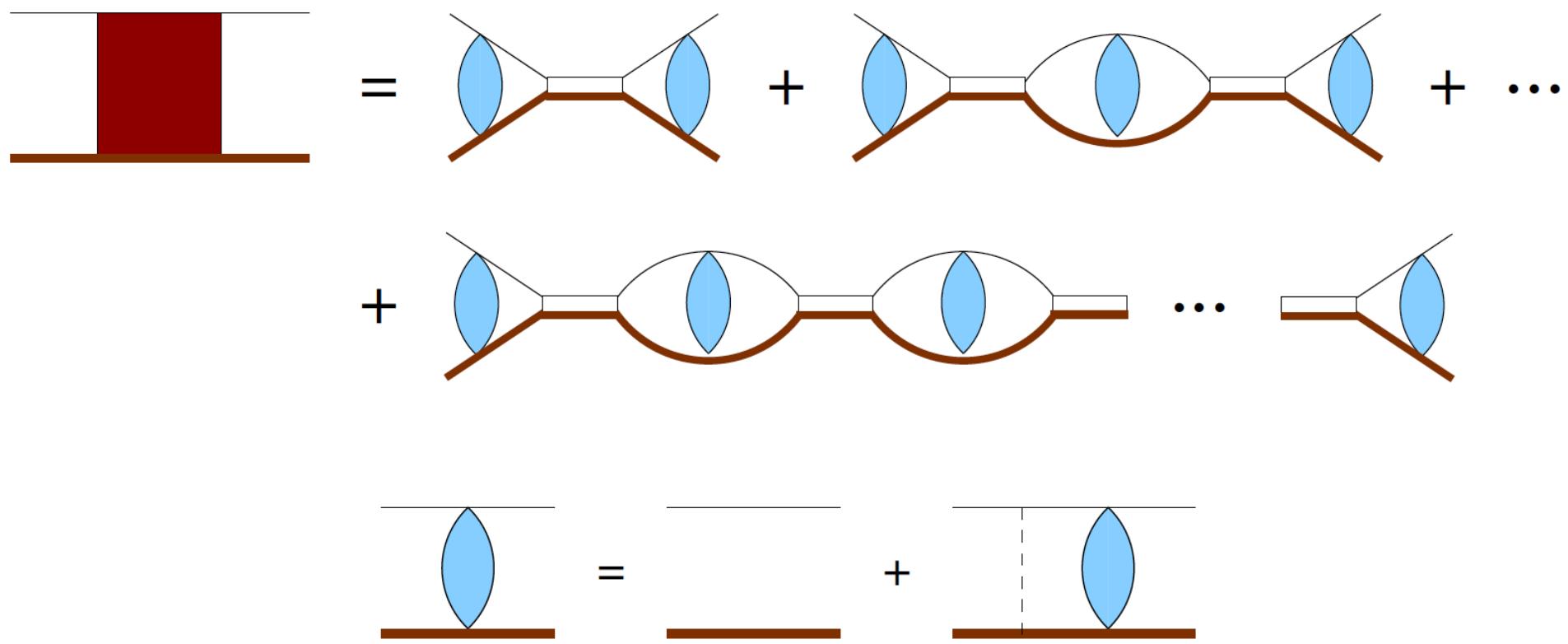
- $t$  and  $s$  = dimeron fields coupling  $N\alpha$  in  $P_{3/2}$  and  $S_{1/2}$
- with leading-order coupling constants  $g_{1+}$  and  $g_{0+}$
- $S_i = 2 \times 4$  spin-transition matrices between  $J = 1/2$  and  $J = 3/2$
- sign variables  $\zeta_{0+}, \zeta_{1+} = \pm 1$  adjusted to reproduce the signs of the respective effective ranges.

$$r = \frac{m_\alpha - m_N}{m_\alpha + m_N}$$

$$D_\mu = \partial_\mu + ieZ \frac{1 + \tau_3}{2} A_\mu$$

# p- $\alpha$ scattering

$$L_{N\alpha}^{\text{NLO}} = \zeta_{0+} s^+ \left[ iD_0 + \frac{\mathbf{D}^2}{2(m_\alpha + m_N)} - \Delta_{1+} \right] s + g_{1+}^+ t^+ \left[ iD_0 + \frac{\mathbf{D}^2}{2(m_\alpha + m_N)} \right]^2 t$$



# p- $\alpha$ scattering

$k_r$ (MeV)	$k_i$ (MeV)	$E_R$ (MeV)	$\Gamma_R/2$ (MeV)
51.1	9.0	1.69	0.61

$p_{3/2}$  p $\alpha$  resonance parameters  
Csoto, Hale, 1997

→  $k_p = k_r - ik_i$        $k_r \sim M_{lo} \sim 50$  MeV,       $k_i \sim M_{lo}^2/M_{hi} \sim 10$  MeV

$a_{0+}$ (fm)	$r_{0+}$ (fm)
$4.97 \pm 0.12$	$1.295 \pm 0.082$

$S_{1/2}$  p $\alpha$  ERE parameters

Arndt, Long, Roper, 1997

$a_{0+}$ (fm $^3$ )	$r_{1+}$ (fm $^{-1}$ )	$\mathcal{P}_{1+}$ (fm)
$-44.83 \pm 0.51$	$-0.365 \pm 0.013$	$-2.39 \pm 0.15$

$P_{1/2}$  p $\alpha$  ERE parameters

$$\mathcal{P}_{1+}/4 \sim r_{0+}/2 \sim 1/M_{hi} \rightarrow \text{natural}$$

$$a_{0+} \sim 1/M_{lo}, \quad a_{1+} \sim 1/M_{lo}^3 \quad \text{and} \quad r_{1+}/2 \sim M_{lo} \rightarrow \text{fine tuned}$$

## p- $\alpha$ scattering

$$\rightarrow T_{0+} = -\frac{2\pi}{\mu} \frac{C_\eta^2 e^{2i\sigma_0}}{-1/a_{0+} - 2k_C H(\eta)} \left[ 1 - \frac{r_{0+} k^2 / 2}{-1/a_{0+} - 2k_C H(\eta)} \right]$$

now p $\alpha$  reduced mass

$$k_C = Z_p Z_\alpha \alpha_{em} \mu$$

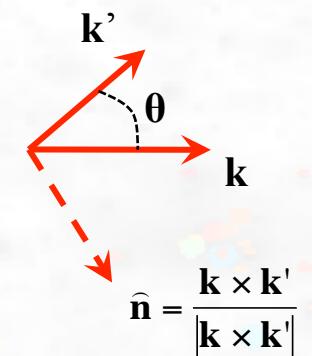
$$T_{1+} = -\frac{2\pi}{\mu} \frac{C_\eta^{(1)2} e^{2i\sigma_1} k^2 P_{1+}(\theta)}{-1/a_{1+} + r_{1+} k^2 / 2 - 2k_C H^{(1)}(\eta)} \left[ 1 + \frac{\mathcal{P}_{1+} k^4 / 4}{-1/a_{1+} + r_{1+} k^2 / 2 - 2k_C H^{(1)}(\eta)} \right]$$

$$C_\eta^{(1)2} = (1 + \eta^2) C_\eta^2$$

$$H^{(1)}(\eta) = k^2 (1 + \eta^2) H(\eta)$$

$$k_C = Z_\alpha Z_p \mu \alpha$$

$$P_{1+}(\theta) = 2 \cos \theta + \vec{\sigma} \cdot \hat{n} \sin \theta$$



$$T^{(LO)} = T_{0+} + T_{01}$$

# p- $\alpha$ scattering

$$T_{p\alpha}^{\text{NLO}} = -\frac{2\pi}{\mu} \left\{ -\frac{r_{0+}k^2}{2} \frac{\left(C_\eta^{(0)}\right)^2 e^{2i\sigma_0}}{\left[-1/a_{0+} - 2k_C H(\eta)\right]^2} + \frac{\mathcal{P}_{1+} k^4}{4} \frac{\left(C_\eta^{(1)}\right)^2 e^{2i\sigma_1} k^2 \mathcal{P}_{1+}(\theta)}{\left[-1/a_{1+} + r_{1+}k^2/2 - 2k_C(k^2 + k_C^2)H(\eta)\right]^2} \right\}$$

Matching to ERE: 

$$a_{1+} = -\zeta_{1+} \frac{\mu g_{1+}^{(R)2}}{6\pi \Delta_{1+}^{(R)}}, \quad r_{1+} = -\zeta_{1+} \frac{6\pi}{\mu^2 g_{1+}^{(R)2}}, \quad \text{and} \quad \mathcal{P}_{1+} = -\frac{6\pi g_{1+}'}{\mu^3 g_{1+}^{(R)2}}$$

$p_{3/2}$  channel

$$a_{0+} = -\zeta_{0+} \frac{\mu g_{0+}^{(R)2}}{2\pi \Delta_{01+}^{(R)}}, \quad \text{and} \quad r_{0+} = -\zeta_{0+} \frac{2\pi}{\mu^2 g_{0+}^{(R)2}}$$

$s_{1/2}$  channel

# p- $\alpha$ scattering

$S_{1/2}$  p $\alpha$  ERE fits with EFT

$S_{1/2}$	$a_{0+}$ (fm)	$r_{0+}$ (fm)
LO	$7.4 + 8.0 - 2.2$	-
NLO	$4.81 + 0.05 - 0.21$	$1.7 + 1.3 - 0.8$

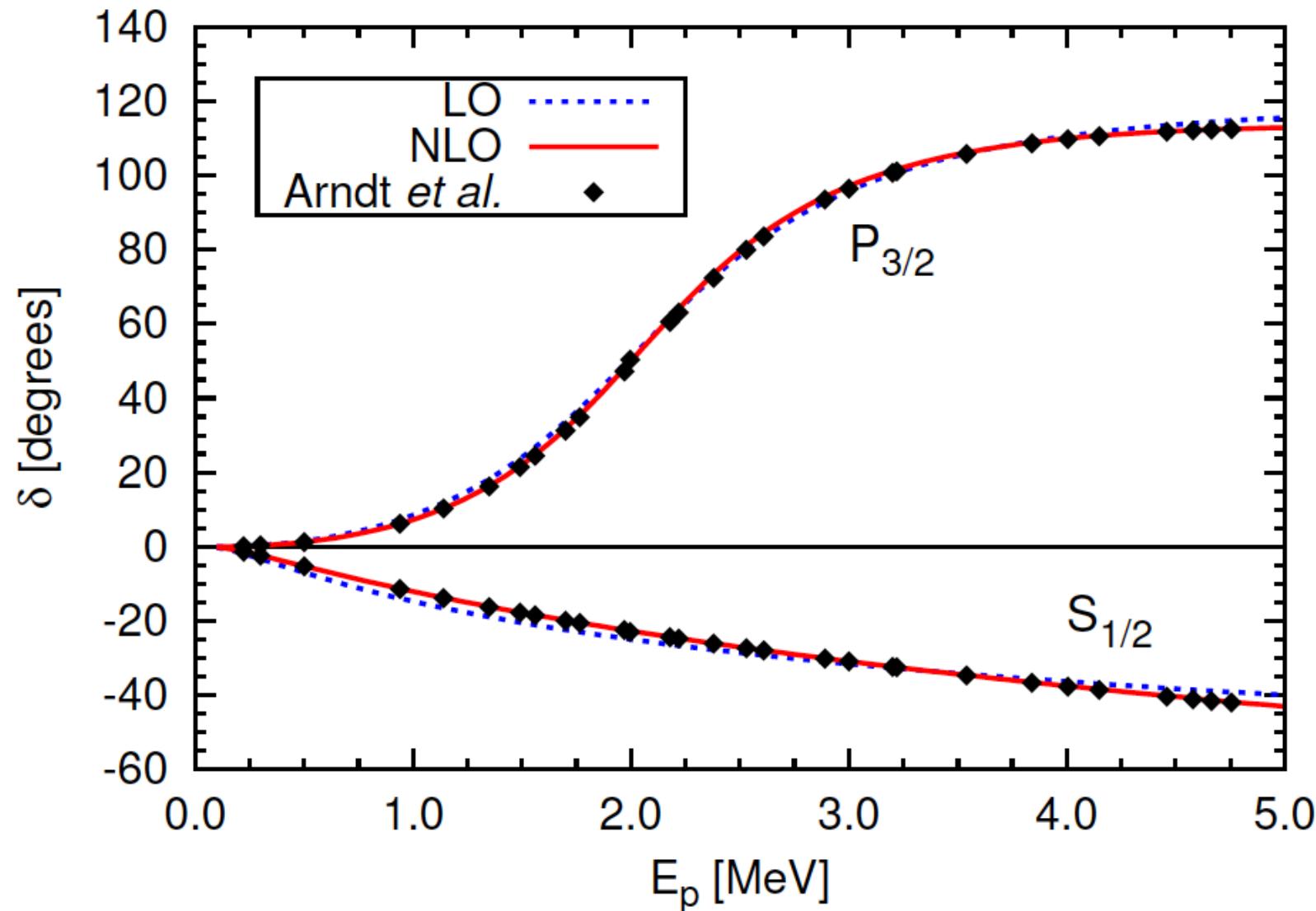
$P_{1/2}$  p $\alpha$  ERE fits with EFT

$P_{3/2}$	$a_{1+}$ (fm $^3$ )	$r_{1+}$ (fm $^{-1}$ )	$\mathcal{P}_{1+}$ (fm)
LO	$-58.0 + 11.0 - 29.0$	$-0.15 + 0.14 - 0.09$	-
NLO	$-44.5 + 1.6 - 0.1$	$-0.40 + 0.04 - 0.10$	$-2.8 + 1.0 - 1.8$

$P_{3/2}$  p $\alpha$  ERE resonance fits with EFT

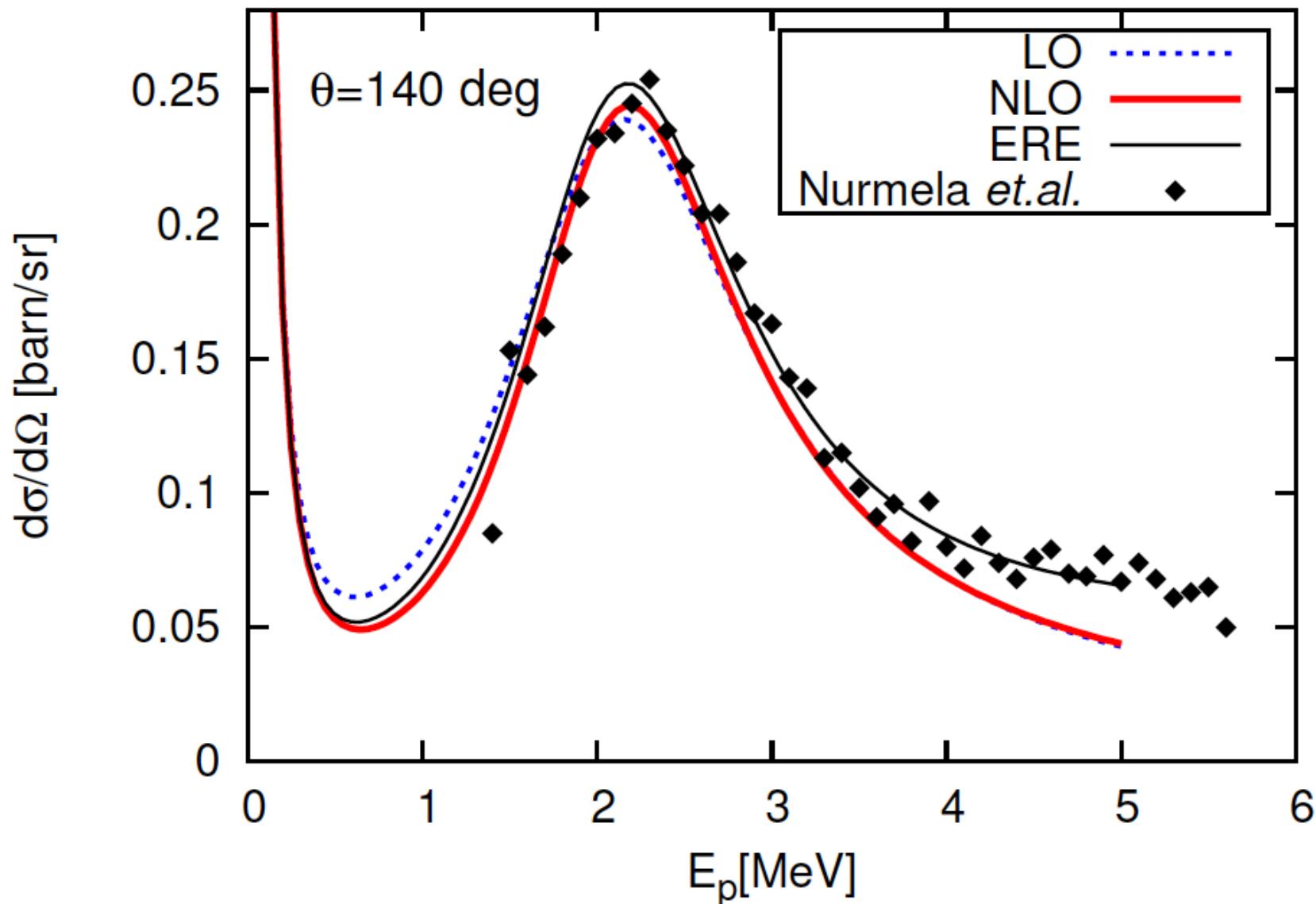
$P_{3/2}$	$k_r$ (MeV)	$k_i$ (MeV)	$E_R$ (MeV)	$\Gamma_R/2$ ( MeV)
LO	$-50.6 + 1.2 - 2.5$	$-10.3 + 1.4 - 0.8$	$1.64 + 0.09 - 0.18$	$0.70 + 0.12 - 0.09$
NLO	$-50.7 + 0.5 - 0.6$	$9.40 + 0.01 - 0.10$	$1.66 + 0.04 - 0.04$	$0.63 + 0.01 - 0.02$

# p- $\alpha$ scattering



EFT results for  $S_{1/2}$  and  $P_{3/2}$  scattering phase shifts at LO (dotted) and NLO (solid), compared against the partial wave analysis (diamonds).

# p- $\alpha$ scattering



EFT at LO (dotted) and NLO (thick solid) for  $p\alpha$  elastic cross-section at  $\theta_{\text{lab}} = 140^\circ$ , compared against the partial wave analysis (thin solid) and measured data points.

# Summary

## Recent results for $p\alpha$ system:

- 1 - We include P-waves with resonance and Coulomb interactions.
- 2 - We perform an expansion of the  $P_{3/2}$  amplitude around the resonance pole to extract the resonance properties directly from a fit to the phase shift.
- 3- Our results at LO and NLO exhibit good convergence and the resonance energy and width are consistent with the ones using the extended R-matrix analysis.
- 4- Comparison with the differential cross-section at  $140^\circ$  reassures the consistency of the power counting, with  $P_{1/2}$  contribution showing up only for proton energies beyond 3.5 MeV.
- 5 - Final adjustments necessary: a shallow bound state appears in the s-wave  
→unitary correction necessary in the absence of Coulomb might need test.

## **Additional slides**

For  $P_{3/2}$  resonance amplitude  $\rightarrow$  resonance pole expansion

$$T_{1+} = -\frac{2\pi}{\mu} \frac{C_\eta^{(1)2} e^{2i\sigma_1} k^2 P_{1+}(\theta)}{\frac{\bar{r}_{1+}(k^2 - k_p^2)}{2} - 2k_C \left[ H^{(1)}\left(\frac{k_C}{k}\right) - H^{(1)}\left(\frac{k_C}{k_p}\right) \right]} \\ \times \left\{ 1 + \frac{\mathcal{P}_{1+}(k^2 - k_p^2)/4}{\frac{\bar{r}_{1+}(k^2 - k_p^2)}{2} - 2k_C \left[ H^{(1)}\left(\frac{k_C}{k}\right) - H^{(1)}\left(\frac{k_C}{k_p}\right) \right]} \right\}$$

$$\bar{r}_{1+} = -k_r \underbrace{\left\{ 2L_i - 2ik_i \mathcal{P}_{1+} \right\}}_{\text{LO}} \underbrace{\left\{ \dots \right\}}_{\text{NLO}}$$

$L_i, L_r$  defined at the pole from

$$2k_C H^{(1)}\left(\frac{k_C}{k_p}\right) = k_r^3 L_r + 2ik_r^2 k_i L_i$$

$$\frac{r_{1+}}{2} = -k_r \underbrace{\left[ L_i - \frac{k_r \mathcal{P}_{1+}}{2} \left( 1 - \frac{k_i^2}{k_r^2} \right) \right]}_{\text{LO}} \cong -k_r \underbrace{\left[ L_i - \frac{k_r \mathcal{P}_{1+}}{2} \right]}_{\text{NLO}}$$

$$\frac{1}{a_{1+}} = -k_r^3 \underbrace{\left[ L_r + L_i \left( 1 - \frac{k_i^2}{k_r^2} \right) - \frac{k_r \mathcal{P}_{1+}}{4} \left( 1 - \frac{k_i^2}{k_r^2} \right) \right]}_{\text{LO}} \cong -k_r^3 \underbrace{\left[ L_r + L_i - \frac{k_r \mathcal{P}_{1+}}{4} \right]}_{\text{NLO}}$$