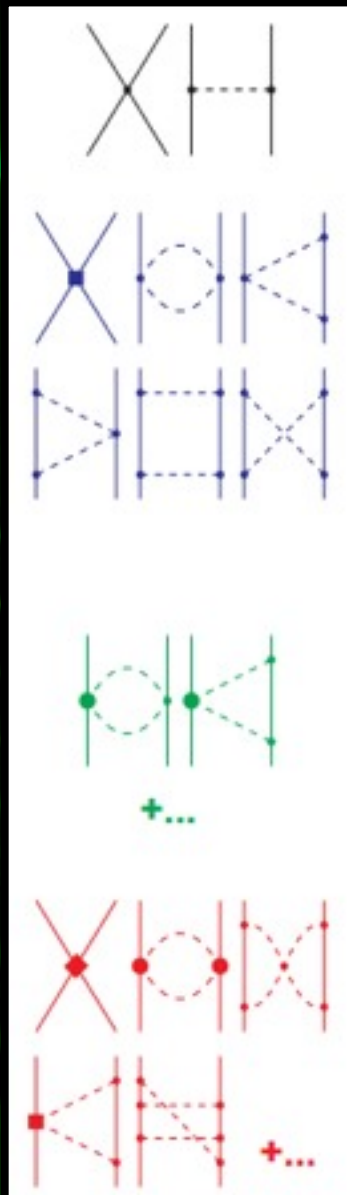


# Understanding the proton radius puzzle: *Nuclear structure corrections in light muonic atoms*

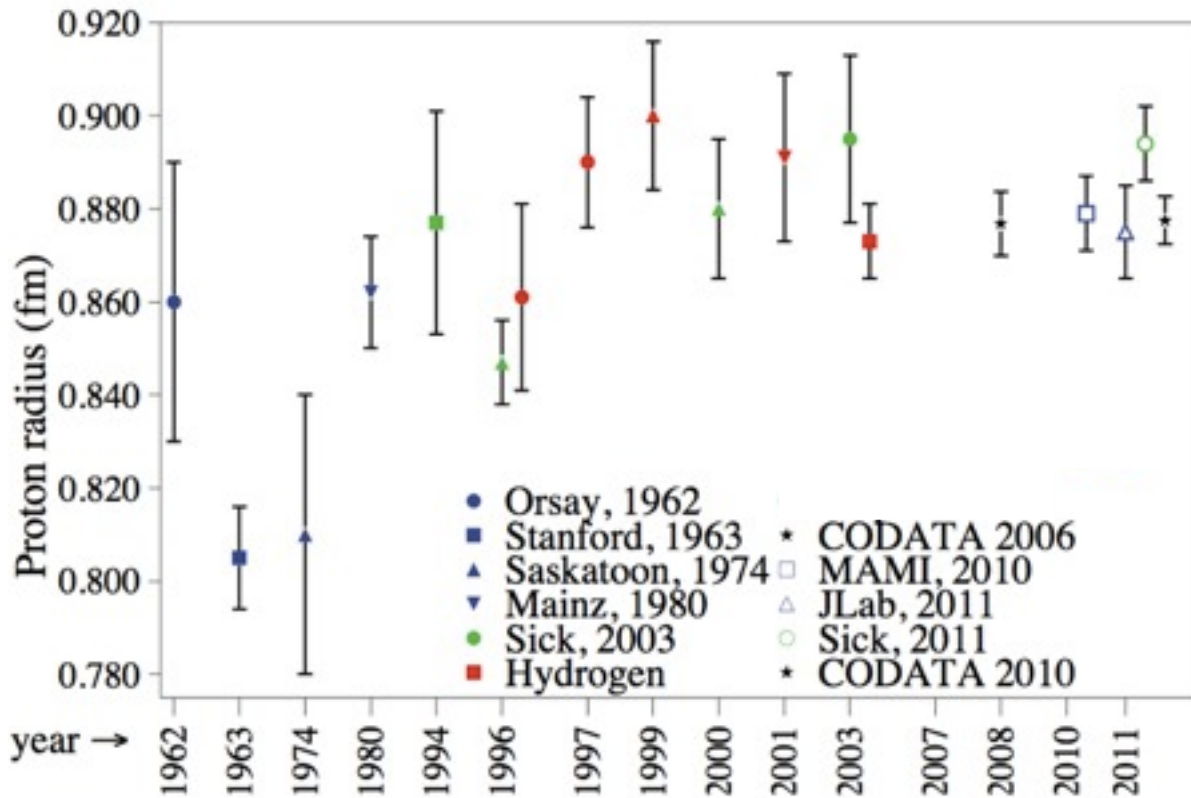
**Sonia Bacca**

**In collaboration with:**

**Nir Barnea, Javier Hernandez, Chen Ji and Nir Nevo Dinur**

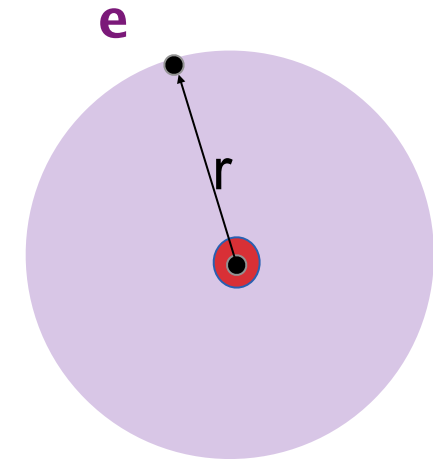


# The Proton Charge Radius



Pic from Pohl *et al.*, Ann.Rev.Nucl.Part.Sci. 63 (2013)

$$r_p^2 = -6 \left. \frac{dG}{dQ^2} \right|_{Q^2=0}$$



**CODATA2010:**  
 $r_p = 0.8775(51) \text{ fm}$

Mohr, *et al.* Rev. Mod Phys. (2012)

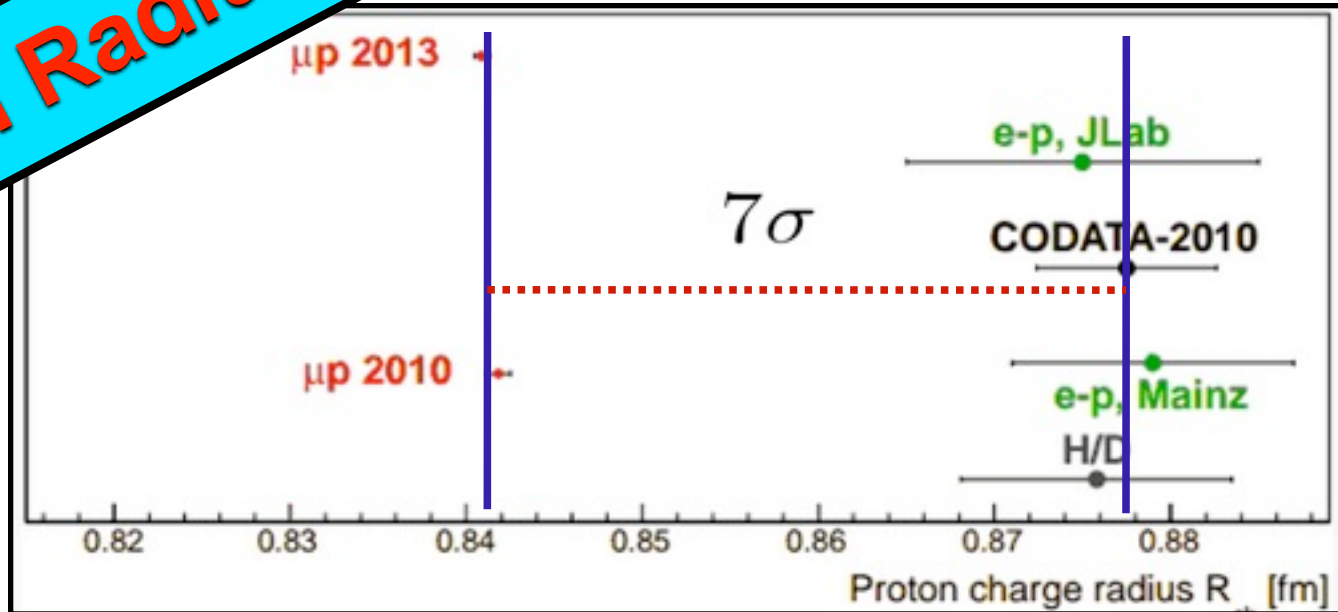
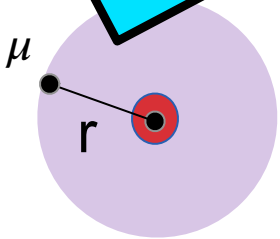
# How Small is the Proton?



In 2010, proton charge radius was determined in muonic Hydrogen at PSI from spectroscopy measurements of the Lamb-shift:

Pohl et al. (2010):  $r_p = 0.84184(67)$  fm  
 Antognoli et al. (2013):  $r_p = 0.84087(39)$  fm

**Proton Radius Puzzle!**



# Origin of the discrepancy?

- Experimental results may be wrong:

*“Multiple independent electron-proton experiments agree, and the muonic hydrogen experiment looks more convincing than any of the electron-proton experiments”*

Pohl, Gilman, Miller, Pachucki, *Ann.Rev.Nucl.Part.Sci.* 63 (2013)

Electron scattering experiments are done at finite  $Q^2$ , maybe not small enough

Dispersion analysis:  
global fit of n and p give  $r_p = 0.84(1)$  with  
 $\chi^2 \approx 2.2$

Lorenz, Hammer,  
Meissner, *EPJA* (2012)

- Exotic hadronic structures?

Birse, McGovern *EPJA* (2012) vs Miller *PLB* (2013)

- New physics beyond standard model?

New force carrier, e.g. dark photon, that couples differently with e and  $\mu$

Yavin, Pospelov, Carlson etc...

# New Experiments to Shed Light on the Puzzle

- Higher precision electron scattering experiments

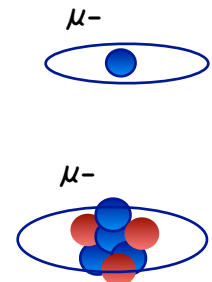
$Q^2$  from  $10^{-4} \text{ GeV}^2$  to  $10^{-2} \text{ GeV}^2$



- MUSE collaboration (2016)  
measure  $e^\pm p$  and  $\mu^\pm p$  to reduce systematic errors



- CREMA collaboration currently measuring Lamb shift in light muonic atoms: Deuterium, Helions



# Charge Radius From the Lamb-Shift

Extracting the radius from measurements requires theoretical input

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$

in a  $Z\alpha$  expansion up to 5<sup>th</sup> order

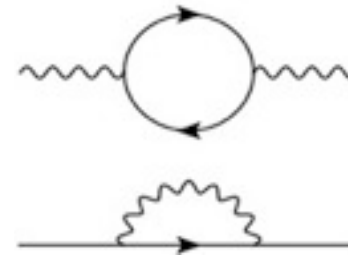
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- QED corrections

vacuum polarizations  
lepton self energy  
relativistic recoil



# Charge Radius From the Lamb–Shift

Extracting the radius from measurements requires theoretical input

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$

- Nuclear structure corrections

Elastic corrections: [Finite size](#)



# Charge Radius From the Lamb-Shift

Extracting the radius from measurements requires theoretical input

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$


- Nuclear structure corrections

Elastic corrections: Finite size

Zemach moment

# Charge Radius From the Lamb-Shift

Extracting the radius from measurements requires theoretical input

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$


- Nuclear structure corrections

Elastic corrections: Finite size

Zemach moment

Inelastic corrections: nuclear polarization  $\delta_{pol}$

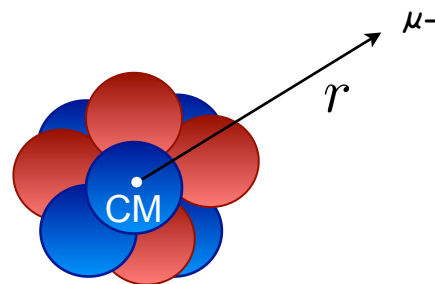
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Extracting the radius from measurements requires theoretical input

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- Nuclear polarizability corrections



Dipole excitation

Stronger Coulomb - reduced energy

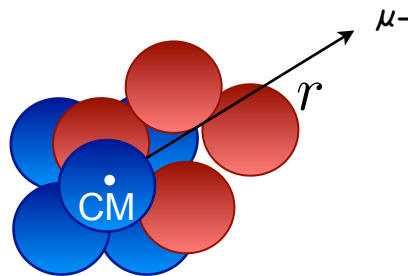
# Charge Radius From the Lamb-Shift

Extracting the radius from measurements requires theoretical input

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$



- Nuclear polarizability corrections



Dipole excitation

Stronger Coulomb - reduced energy

The distorted charge distribution follows the orbiting  $\mu$  like a “tide”

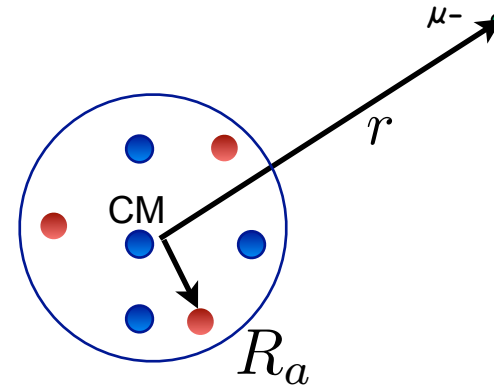
Nuclear response function

$$S_{\hat{O}}(\omega) = \frac{1}{2j_0 + 1} \sum_{N \neq N_0, J} |\langle N_0 J_0 || \hat{O} || N J \rangle|^2 \delta(\omega - E_N + E_0)$$

# The Muonic Atom System

$$H = H_N + H_\mu + \Delta V$$

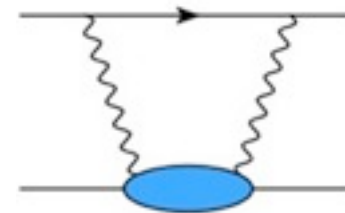
$$H_\mu = \frac{p^2}{2m_\mu} - \frac{Z\alpha}{r}$$



Perturbative potential: correction to the bulk Coulomb

$$\Delta V = \sum_a^Z \alpha \left( \frac{1}{r} - \frac{1}{|\vec{r} - \vec{R}_a|} \right)$$

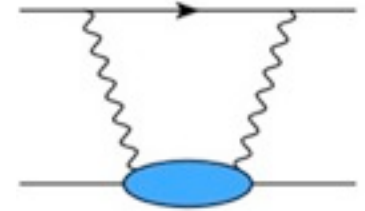
Using perturbation theory at second order one obtains the expression for  $\delta_{pol}$  up to order  $(Z\alpha)^5$



# Contributions to $\delta_{pol}$

## Non relativistic terms

- Take non-relativistic kinetic energy in muon propagator
- Neglect Coulomb force in the intermediate state
- Expand the muon matrix elements in  $\sqrt{2m_r\omega}|R - R'|$



$$P \simeq \frac{m_r^3 (Z\alpha)^5}{12} \sqrt{\frac{2m_r}{\omega}} \left[ \underset{\delta(0)}{\underbrace{|R - R'|^2}} - \frac{\sqrt{2m_r\omega}}{4} \underset{\delta(1)}{\underbrace{|R - R'|^3}} + \frac{m_r\omega}{10} \underset{\delta(2)}{\underbrace{|R - R'|^4}} \right]$$

★  $|R - R'|$  “virtual” distance traveled by the proton between the two-photon exchange

★ Uncertainty principle  $|R - R'| \sim \frac{1}{\sqrt{2m_N\omega}}$

★  $\sqrt{2m_r\omega}|R - R'| \sim \sqrt{\frac{m_r}{m_N}} = 0.17$  e.g. for  $\mu$ - $^4\text{He}$

# Contributions to $\delta_{pol}$

## Non relativistic terms

★  $\delta^{(0)} \propto |\mathbf{R} - \mathbf{R}'|^2$

dominant term, related to the energy-weighted integral of the dipole response function

$$\delta_{D1}^{(0)} = -\frac{2\pi m_r^3}{9} (Z\alpha)^5 \int_{\omega_{th}}^{\infty} d\omega \sqrt{\frac{2m_r}{\omega}} S_{D1}(\omega)$$

★  $\delta^{(1)} \propto |\mathbf{R} - \mathbf{R}'|^3$

contains a part that cancels the Zemach moment elastic contribution cf. Pachucki (2011)  
Friar (2013)

★  $\delta^{(2)} \propto |\mathbf{R} - \mathbf{R}'|^4$

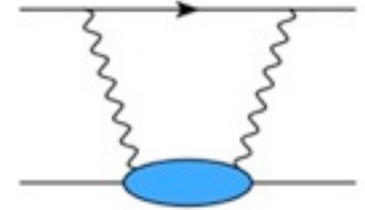
leads to energy-weighted integrals of three different response functions

$$S_{R^2}(\omega), S_Q(\omega), S_{D1D3}(\omega)$$

# Contributions to $\delta_{pol}$

## Relativistic terms

- Take the relativistic kinetic energy in muon propagator
- Separate in longitudinal and transverse term
- Related to the dipole response function



$$\delta_{L(T)}^{(0)} = \frac{2m_r^3}{9} (Z\alpha)^5 \int_{\omega_{th}}^{\infty} d\omega K_{L(T)} \left( \frac{\omega}{m_r} \right) S_{D_1}(\omega)$$

## Coulomb term

- Consider the Coulomb force in the intermediate states
- Naively it is a  $\delta_C^{(0)} \sim (Z\alpha)^6$  corrections, but actually logarithmically enhanced

$$\delta_C^{(0)} \sim (Z\alpha)^5 \log(Z\alpha)$$

- Related to the dipole response function

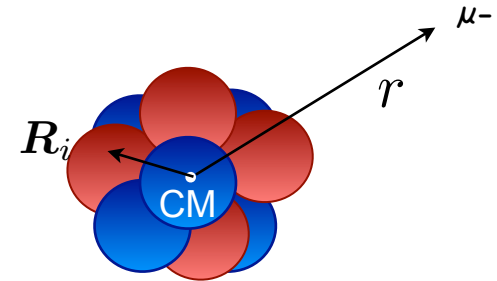
Friar (1977), Pachucki (2011)



# Contributions to $\delta_{pol}$

## Finite Nucleon Size Corrections

- In point nucleon limit 
$$\Delta V = -\alpha \sum_i^Z \frac{1}{|\mathbf{r} - \mathbf{R}_i|}$$



- Consider finite nucleon size by including charge distributions

$$\Delta V = -\alpha \sum_i^Z \int d\mathbf{R}' \frac{n_p(\mathbf{R}' - \mathbf{R}_i)}{|\mathbf{r} - \mathbf{R}'|} - \alpha \sum_j^N \int d\mathbf{R}' \frac{n_n(\mathbf{R}' - \mathbf{R}_j)}{|\mathbf{r} - \mathbf{R}'|}$$

- Low- $q$  approximation of the nucleon form factors

$$G_p^E(q) \simeq 1 - \frac{\langle r_p^2 \rangle}{6} q^2$$


$$G_n^E(q) \simeq -\frac{\langle r_n^2 \rangle}{6} q^2$$

# Nuclear Polarizability Corrections

The accuracy of the extracted radius depends on the accuracy of the nuclear polarizability

$$\Delta E^{2S-2P} = \delta_{QED} + \delta_{pol} + \frac{m_r^3}{12} (Z\alpha)^4 \langle r^2 \rangle - \frac{m_r^4}{24} (Z\alpha)^5 \langle r^3 \rangle_{(2)}$$

- Experimental requirement  $\delta_{pol}$  must be known with 5% accuracy

To estimate the nuclear polarizability one needs information on the excitations of the nucleus  nuclear response function

- Theoretically calculate it
- Extract it from data

# Previous Work

- Simple potential models

$\mu$ - $^{12}\text{C}$  (Square-well) Rosenfelder '83

$\mu$ -D (Yamaguchi) Lu & Rosenfelder '93

- From experimental photo-absorption cross section

$\mu$ - $^4\text{He}$  Bernabeu & Karlskog '74; Rinker'76; Friar '77  $\delta_{pol} = -3.1 \text{ meV} \pm 20\%$

$\mu$ -D Carlson, Gorchtein, Vanderhagen 2014 7% Uncertainty

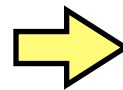
- Zero-range expansion (pion-less EFT)

$\mu$ -D Friar 2013 Accuracy roughly estimated  $\sim 2\%$

- State-of-the-art potentials

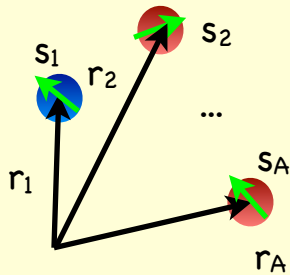
$\mu$ -D (AV14) Leidemann & Rosenfelder '95  
(AV18) Pachucki 2011

Accuracy < 2% or less



*Ab-initio calculations of the nuclear polarization with state-of-the-art potentials*

# Ab-initio Theory Tools

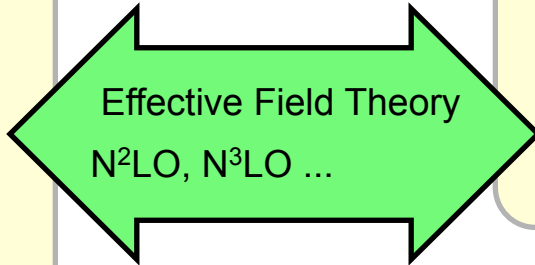
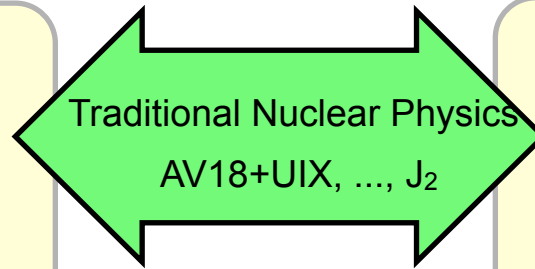


$$H_N |\psi_i\rangle = E_i |\psi_i\rangle$$

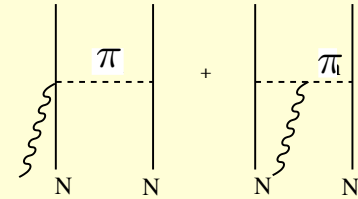
$$H_N = T + V_{NN} + V_{3N} + \dots$$

High precision two-nucleon potentials:  
well constraint on NN phase shifts

Three nucleon forces:  
less known, constraint on A>2 observables



$$J^\mu = J_N^\mu + J_{NN}^\mu + \dots$$



two-body currents (or MEC)  
subnuclear d.o.f.

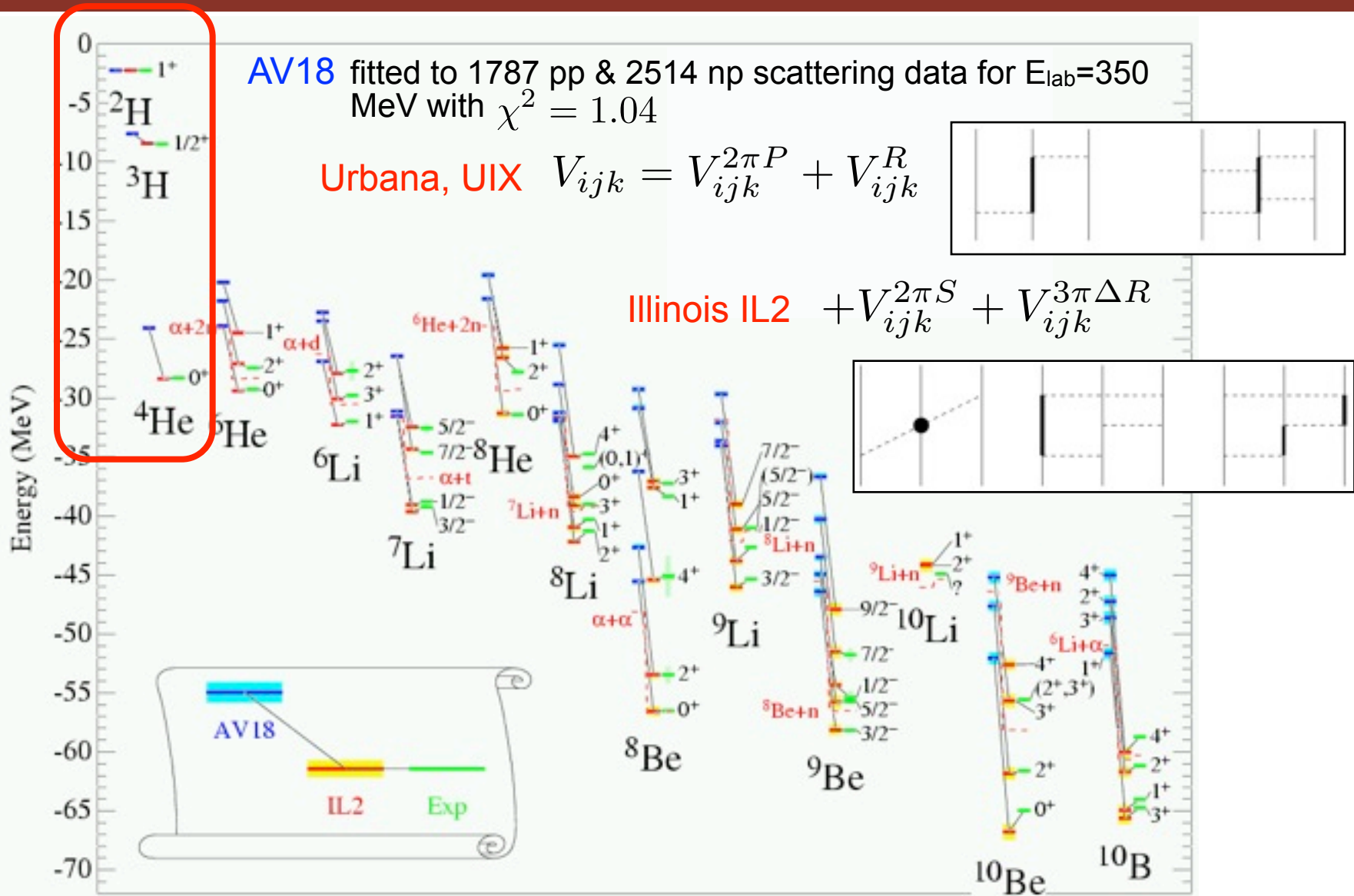
$$J^\mu \text{ consistent with } V$$

$$\nabla \cdot J = -i[V, \rho]$$

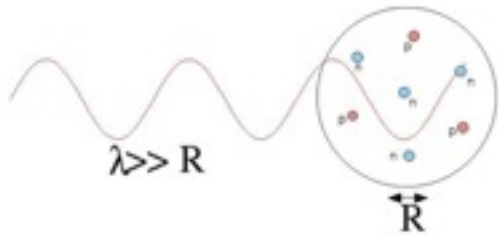
$$S(\omega) \propto |\langle \psi_f | J^\mu | \psi_0 \rangle|^2$$

Exact Initial state &  
Final state in the continuum at  
different energies and for different A

# Traditional Potentials



# Chiral EFT Potentials



Limited resolution at low energy

Separation of scales

$$\frac{1}{\lambda} = Q \ll \Lambda_b = \frac{1}{R}$$

$$H(\Lambda) = T + V_{NN}(\Lambda) + V_{3N}(\Lambda) + V_{4N}(\Lambda) + \dots$$

NN                  3N                  4N

	NN	3N	4N
LO $\mathcal{O}\left(\frac{Q^0}{\Lambda^0}\right)$			
NLO $\mathcal{O}\left(\frac{Q^2}{\Lambda^2}\right)$			
N <sup>2</sup> LO $\mathcal{O}\left(\frac{Q^3}{\Lambda^3}\right)$			
N <sup>3</sup> LO $\mathcal{O}\left(\frac{Q^4}{\Lambda^4}\right)$			

$$V_{NN} > V_{3N} > V_{4N}$$

- **Effective Field Theory** of low-energy QCD

- **Nuclear Forces** are built from systematic expansions in  $Q/\Lambda$

- **Coupling constants** fit to nuclear data

# Lorentz Integral Transform Method

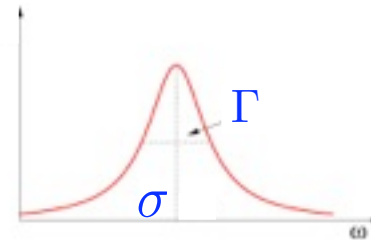
Efros, *et al.*, JPG.: Nucl.Part.Phys. 34 (2007) R459

Reduce the continuum problem to a bound-state problem



$$S(\omega) = \sum_f \left| \langle \psi_f | J^\mu | \psi_0 \rangle \right|^2 \delta(E_f - E_0 - \omega)$$

$$L(\sigma, \Gamma) = \int d\omega \frac{S(\omega)}{(\omega - \sigma)^2 + \Gamma^2} = \langle \tilde{\psi} | \tilde{\psi} \rangle < \infty$$



where  $|\tilde{\psi}\rangle$  is obtained solving

$$(H - E_0 - \sigma + i\Gamma) |\tilde{\Psi}\rangle = J^\mu |\Psi_0\rangle$$

- Due to imaginary part  $\Gamma$  the solution  $|\tilde{\psi}\rangle$  is unique
- Since  $\langle \tilde{\psi} | \tilde{\psi} \rangle$  is finite,  $|\tilde{\psi}\rangle$  has bound state asymptotic behaviour

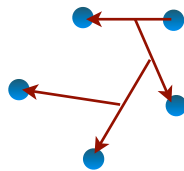


$$L(\sigma, \Gamma) \xrightarrow{\text{inversion}} S(\omega)$$

The exact final state interaction is included in the continuum rigorously!

# Hyperspherical Harmonics

$$|\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A)\rangle = |\varphi(\vec{R}_{CM})\Psi(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})\rangle$$



Recursive definition of hyper-spherical coordinates

$$\vec{\eta}_0 = \sqrt{A}\vec{R}_{CM} \quad \vec{\eta}_1, \dots, \vec{\eta}_{A-1}$$

$$\rho, \Omega \quad \rho^2 = \sum_{i=1}^A r_i^2 = \sum_{i=1}^{A-1} \eta_i^2$$

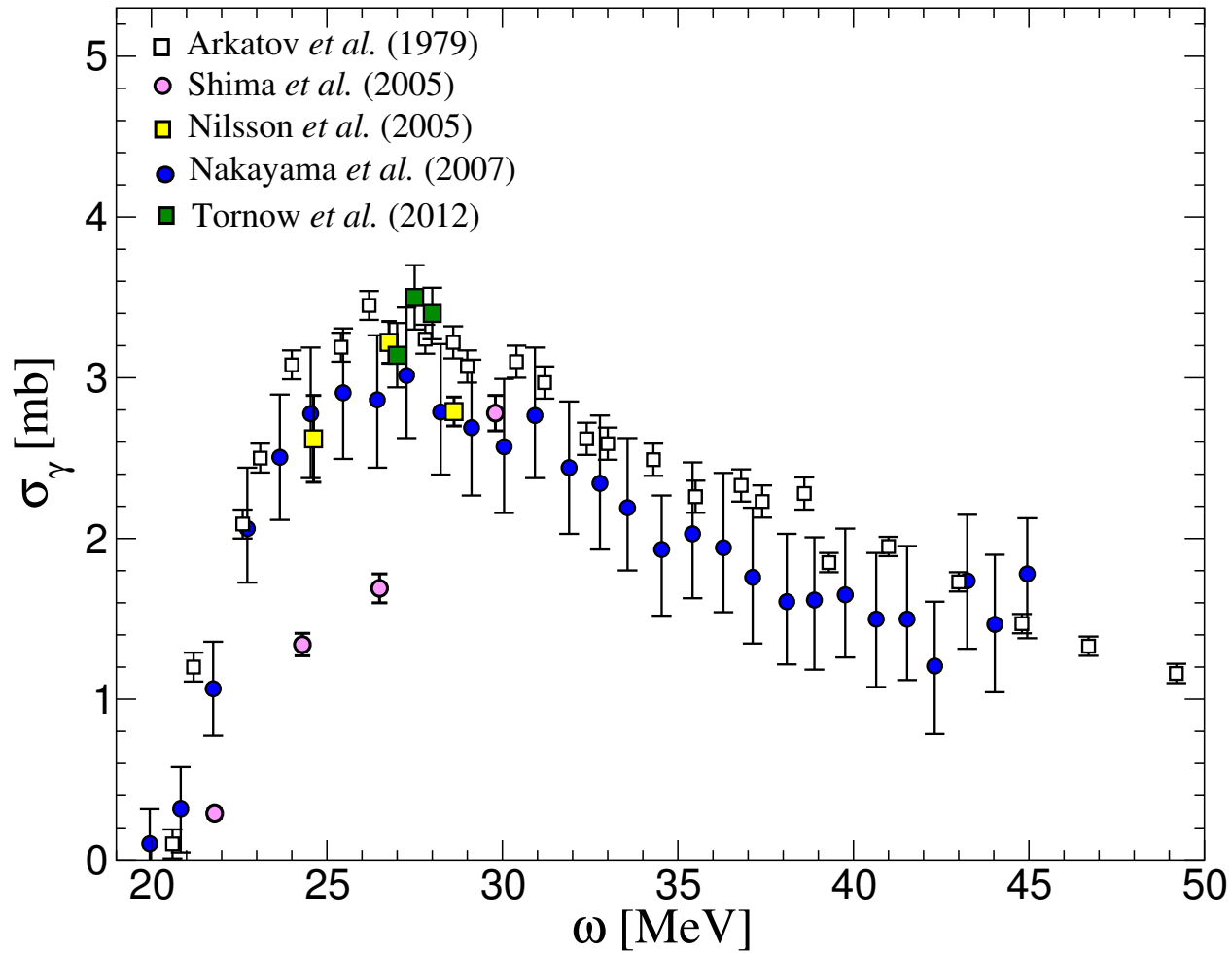
$$\Psi = \sum_{[K], \nu}^{K_{max}, \nu_{max}} c_{\nu}^{[K]} e^{-\rho/2} \rho^{n/2} L_{\nu}^n\left(\frac{\rho}{b}\right) [\mathcal{Y}_{[K]}^{\mu}(\Omega) \chi_{ST}^{\bar{\mu}}]_{JT}^a$$

In nuclear physics we are able to use HH for A up to 6 and 7

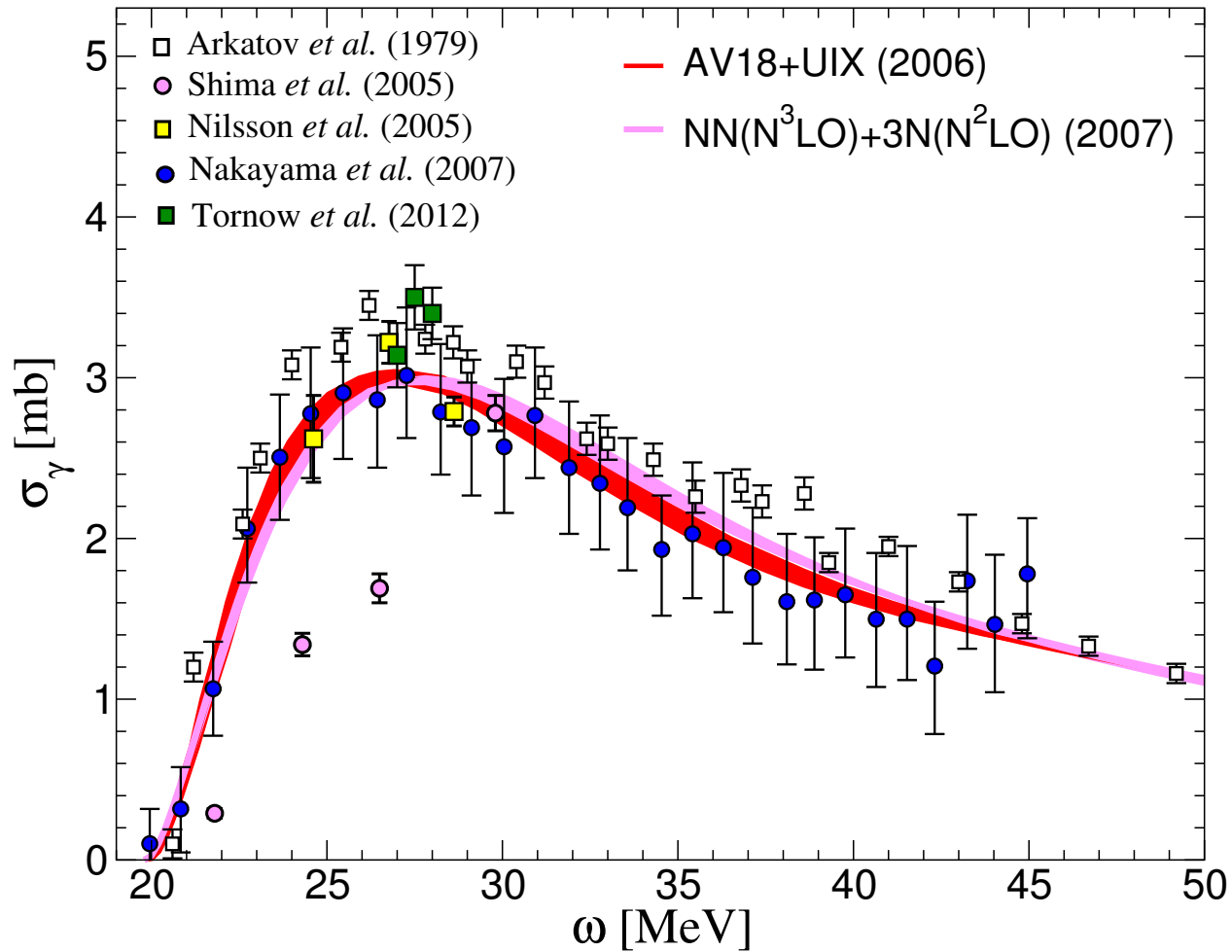
Antisymmetrization by Barnea and Novoselsky (1998)



# $^4\text{He}$ Photo-absorption cross section



# $^4\text{He}$ Photo-absorption cross section



Theory can be more precise than experiment

# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

We performed the first ab-initio calculations of the nuclear polarizability corrections in muonic Helium

Ji, Nevo Dinur, S.B. and Barnea, PRL **111**, 143402 (2013)

## Few-body methods:

Lorenz Integral Transform method

Hyperspherical Harmonics expansion

## Hamiltonians:

AV18+UIX and EFT NN(N3LO)+3N(N2LO) ( $C_D=1$  and  $C_E=-0.029$ )

We used the difference from the two Hamiltonians to estimate the uncertainty in nuclear physics

## Lanczos algorithm:

the nuclear polarization is like an energy-dependent sum rule

This can be calculated directly without first obtaining the response function and the convergence is fast if  $g(\omega)$  is smooth

$$\delta_{\hat{O}} \propto \int_{\omega_{th}}^{\infty} g(\omega) S_{\hat{O}}(\omega) d\omega$$

Nevo Dinur, Ji, S.B. and Barnea, arXiv:1403.7651

# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

[meV]	AV18/UIX	$\chi\text{EFT}^{\star}$
$\delta^{(0)}$	-3.743	-3.981
$\delta^{(1)}$	0.741	0.809
$\delta^{(2)}$	0.077	0.101
$\delta_{NS}$	0.517	0.530
$\delta_{pol}$	-2.408	-2.542

- Systematic convergence from  $\delta^{(0)}$  to  $\delta^{(2)}$

- The difference between the two potentials for  $\delta_{pol}$  is 5.5%

- Uncertainty from nuclear physics

$$\frac{5.5\%}{\sqrt{2}} \rightarrow \pm 4\%$$

★  $C_D=1$  and  $C_E=-0.029$

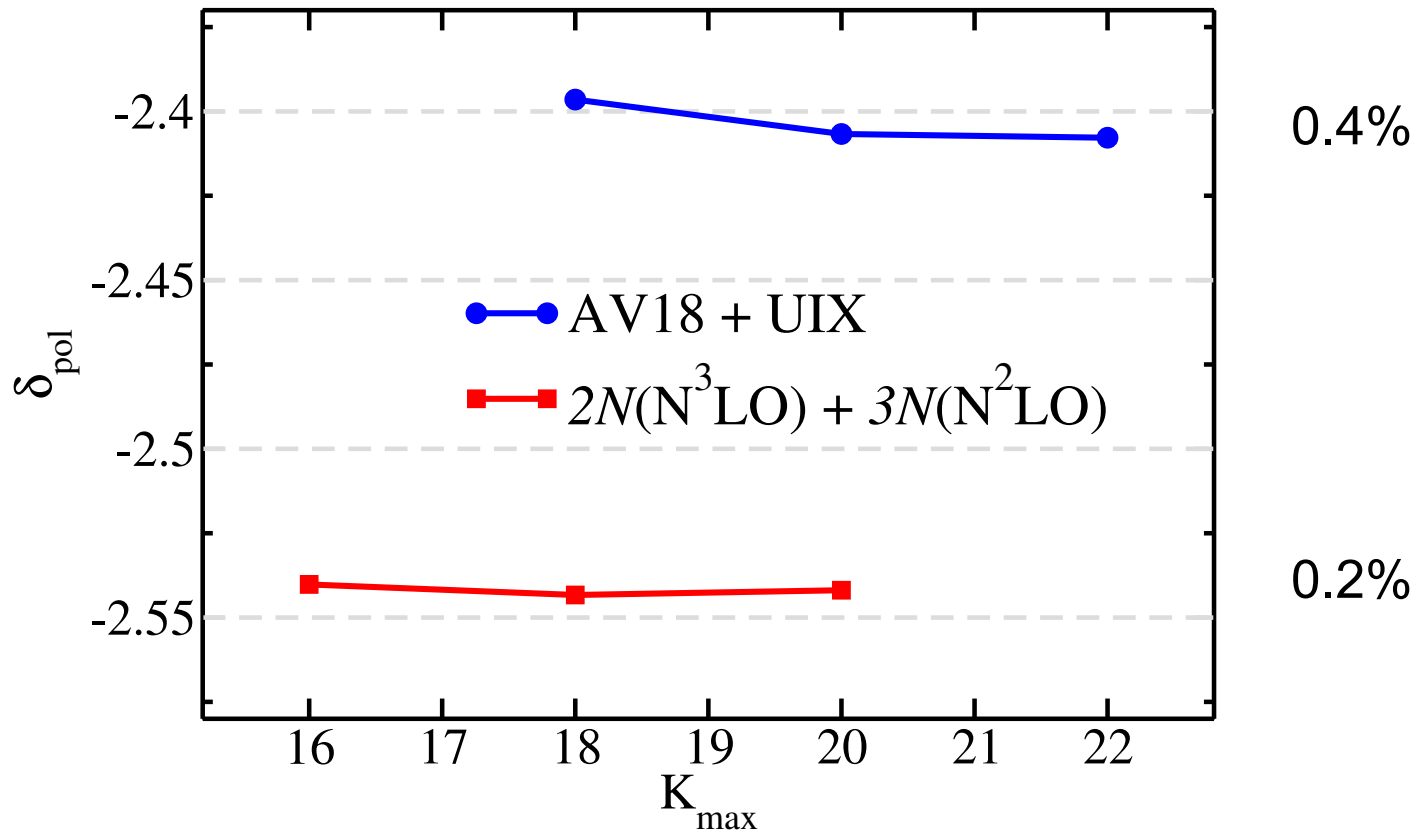
# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

The work is not yet finished ...



# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

Numerical accuracy  $\rightarrow$  HH expansion



# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

## Atomic Physics Uncertainty

- $(Z\alpha)^6$  effects (beyond second order perturbation theory)
- Relativistic and Coulomb effects to multipoles other than dipole
- Higher order nuclear size effects

Combined they give an additional 3-4 %

# Nuclear Polarizability Corrections in $\mu^4\text{He}^+$

## Error Budget

Nuclear Physics	4%
Numerical Accuracy	0.4%
Atomic Physics	4%
Total	6%

- Dramatic improvement from pervious work based on experimental data

Bernabeu & Karlskog '74; Rinker'76; Friar '77      $\delta_{pol} = -3.1 \text{ meV} \pm 20\%$

- We almost meet the experimental requirement (5% accuracy)



# Possible Refinements

To further understand the uncertainty in nuclear physics

- Use chiral EFT at different orders to track the convergence
- At a fixed order vary the cutoff to assess the theoretical error

Epelbaum, Gloeckle, Meissner, NPA (2005)

We will first apply this analysis to  $\mu\text{D}$

# Nuclear Polarizability Corrections in $\mu D$

## Previous Work

PRL **106**, 193007 (2011)

PHYSICAL REVIEW LETTERS

week ending  
13 MAY 2011

### Nuclear Structure Corrections in Muonic Deuterium

Krzysztof Pachucki

*Faculty of Physics, University of Warsaw, Hoza 69, 00-681 Warsaw, Poland*  
(Received 16 February 2011; published 13 May 2011)

TABLE I: Nuclear structure corrections in muonic deuterium for  $2P - 2S$  transition.

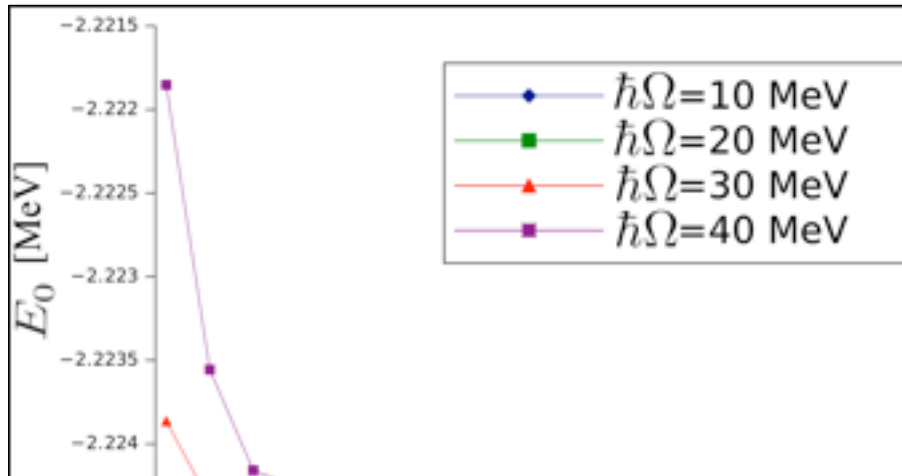
correction	value in meV
$\delta_0 E$	1.910
$\delta_{C1} E$	-0.255
$\delta_{C2} E$	-0.006
$\delta_R E$	-0.035
$\delta_{Q0} E$	-0.045
$\delta_{Q1} E$	0.151
$\delta_{Q2} E$	-0.066
$\delta_M E$	-0.016
$\delta_P E$	0.043(3)
$\Delta E$	1.680(16)

with AV18

total error very small and mostly coming from atomic physics

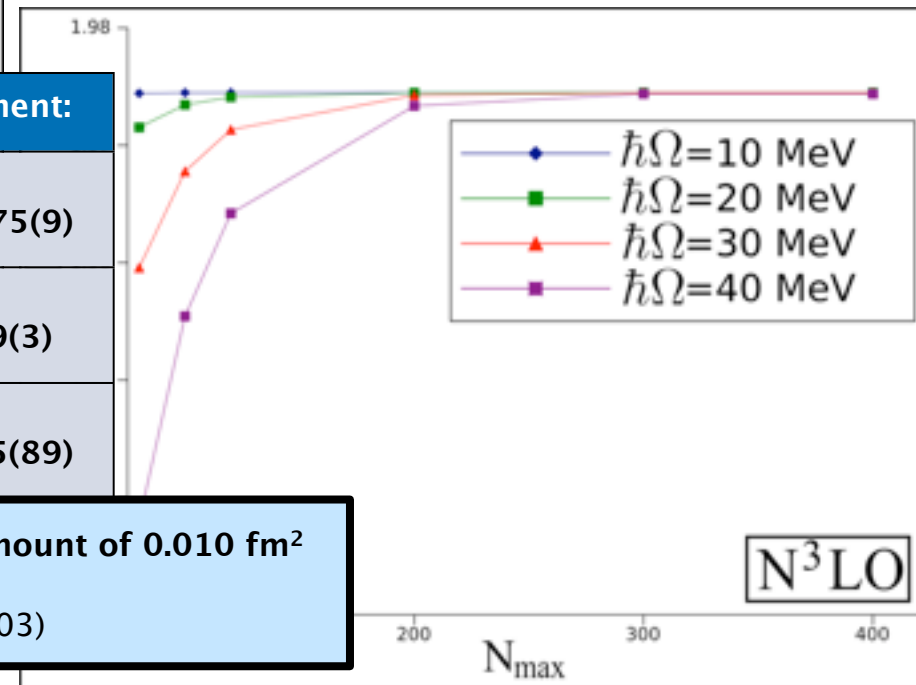
# Nuclear Polarizability Corrections in $\mu D$

with Javier Hernandez



- Expand on the HO basis  $N_{max} = 2n + \ell$
- Can reproduce published observables with AV18 and N3LO

Quantity	N3LO[a]	This Work	Experiment:
$E_0$	2.2246	2.2246	2.224575(9)
Qd	0.285*	0.285*	0.2859(3)
r	1.978**	1.978**	1.97535(89)



\* Including MEC and Relativistic Corrections in the amount of  $0.010 \text{ fm}^2$   
 \*\*Including MEC and relativistic Corrections  
 [a] D.R Entem, et al Physical Review C 68, 041001(R) (2003)

N<sup>3</sup>LO

# Nuclear Polarizability Corrections in $\mu D$

with Javier Hernandez

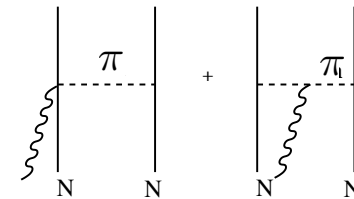
- Magnetic correction

$$\delta_M = \frac{1}{3} m_r^3 \alpha^5 \left( \frac{g_p - g_n}{4m_p} \right)^2 \int_{\omega_{th}}^{\infty} d\omega \sqrt{\frac{\omega}{2m_r}} S_{M1}(\omega)$$

- MEC are important in magnetic transitions  $S_{M1} \rightarrow \langle \psi_f | \mathbf{j} \times \mathbf{q} | \psi_0 \rangle$

## Appearance of two-body currents in Chiral EFT

Operator	LO	NLO	N2LO	N3LO	N4LO
$\mathbf{j}$	IA-NR	OPE	IA-RC	OPE TPE CT	
$\rho$	IA-NR	—	IA-RC	OPE	TPE



# Nuclear Polarizability Corrections in $\mu D$

Hernandez, Ji, S.B., Nevo-Dinur, Barnea, in preparation

## Error Budget

Nuclear Physics	1.1%
Atomic Physics	0.95%
Total	1.5%

Preliminary

- More solid estimate of the total error, that includes the nuclear physics error
- More accurate than evaluation based on experimental data
  - $\mu$ - D Carlson, Gorchtein, Vanderhagen (2014) 7% Uncertainty

# Conclusions and Outlook

- Lamb shifts in muonic atoms

- ★ Raise interesting questions about lepton symmetry
- ★ Connect nuclear, atomic and particle physics

- $\mu^4\text{He}^+$

- ★ We have performed the first ab-initio calculation obtaining  $\delta_{pol} = -2.47\text{meV} \pm 6\%$
- ★ Much more accurate than earlier estimates based on experimental data

- $\mu\text{D}$

- ★ Based on EFT expansion we provide more solid estimates of uncertainty
- ★ Much more accurate than estimates based on experimental data

Our calculations are key to the charge radius extractions of the CREMA experiment

## Future

- ★ Investigate  $\mu^3\text{He}^+$
- ★ Narrow uncertainty in nuclear physics (including MEC, higher order chiral forces, explore correlations of observables)

# Thank you!

# Merci

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