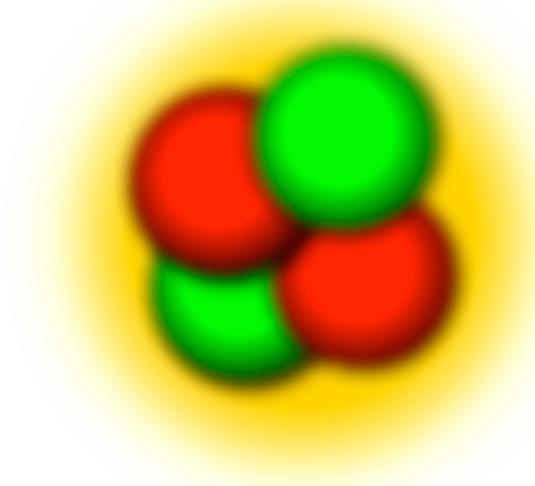


# Probing Nucleons in the Nuclear Medium



Steffen Strauch  
University of South Carolina

Supported in parts by the U.S. National Science Foundation: NSF PHY-0856010

INT Workshop "Nuclear Structure and Dynamics", February 14, 2013

# Nucleons are Modified in the Nuclear Medium

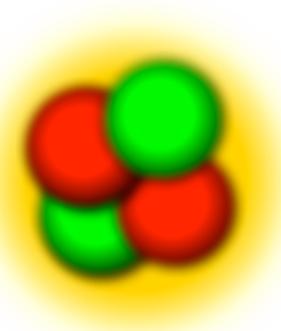
- Conventional Nuclear Physics:

- ▶ Nuclei are effectively and well described as point-like **nucleons** (+ form factor) and interaction through effective forces (**meson exchange**).
- ▶ Medium effects arise through non-nucleonic degrees of freedom.
- ▶ Are **free** nucleons and mesons, under every circumstance, the best quasi-particle to chose?

## In-Medium Life Time



Free neutron:  
 $\tau_n = 15 \text{ min}$



Neutron bound in  ${}^4\text{He}$   
does not decay,  $\tau_n = \infty$

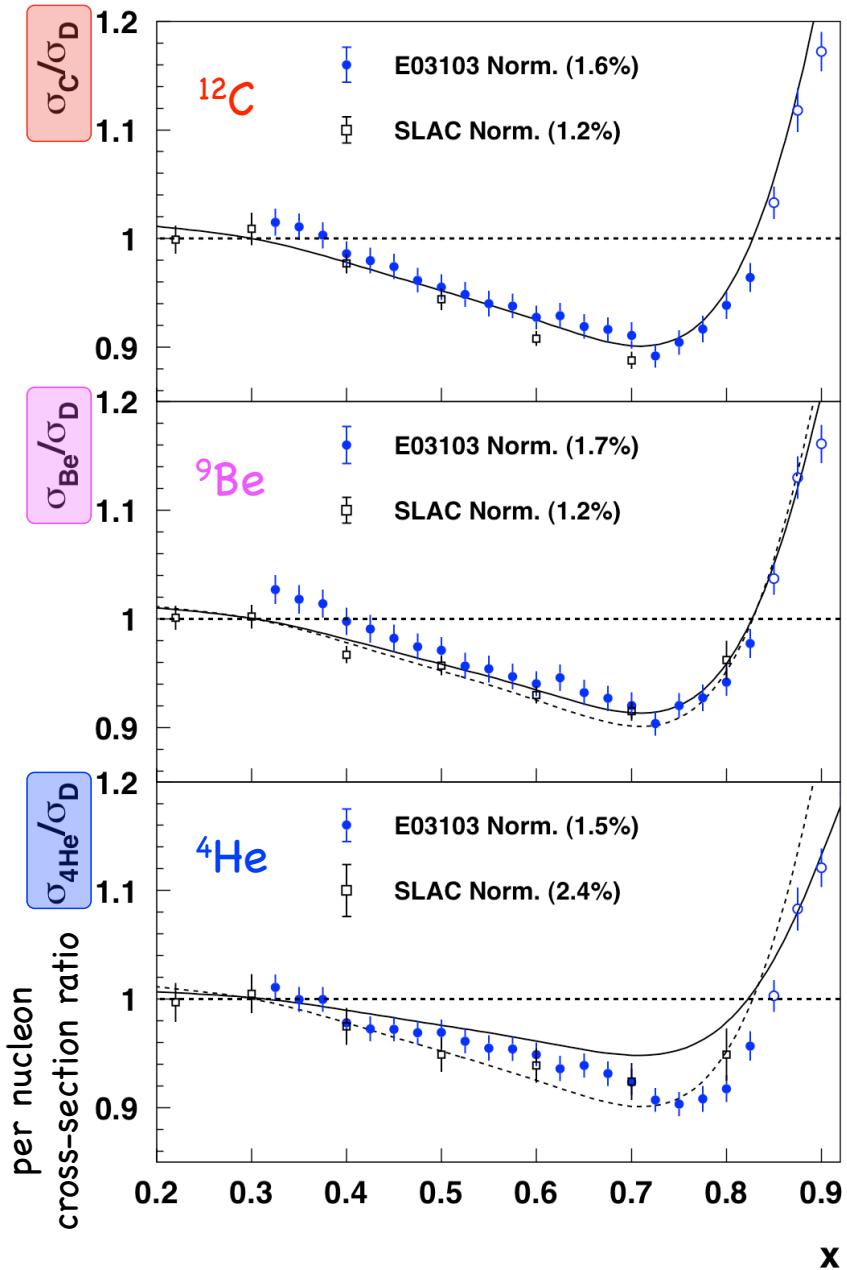
- Nucleon Medium Modifications:

- ▶ Nucleons and mesons are not the fundamental entities in QCD.
- ▶ Medium effects arise through changes of fundamental properties of the nucleon.
- ▶ Do nucleons change their quark-gluon structure in the nuclear medium? Yes!

# Probing Nucleons in the Nuclear Medium

1. Probing **structure functions** in DIS ( $e, e'$ ) scattering
2. Probing **form factors** in QE ( $e, e' p$ ) scattering
3. Probing the **pp scattering matrix** in the QE ( $p, pp$ ) reaction
4. Future experiments and plans:
  - ${}^4\text{He}(e, e' p) {}^3\text{H}$  and  ${}^2\text{H}(e, e' p)$
  - ${}^{12}\text{C}(e, e' p)$
  - ${}^4\text{He}(e, e' n) {}^3\text{He}$

# EMC Effect in Very Light Nuclei

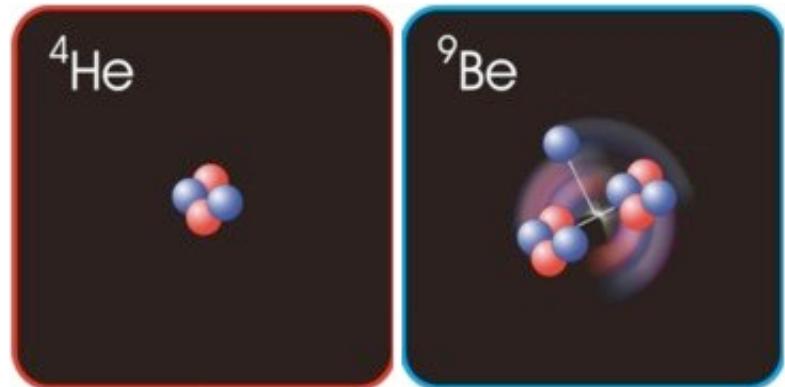
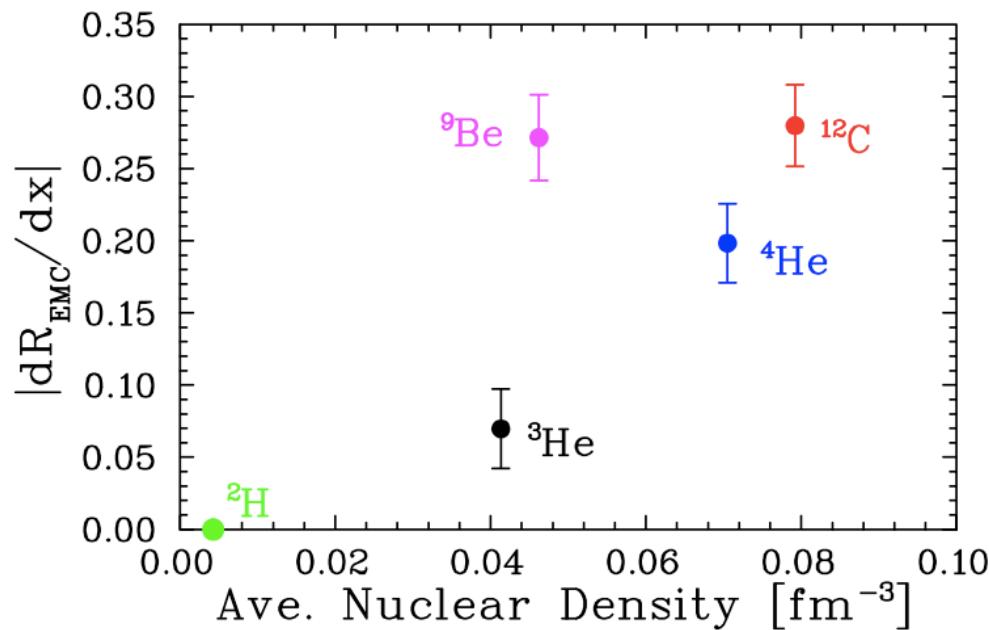


- Few-body nuclei provide the opportunity to **test models** where the details of the nuclear structure is well understood.
- JLab high-precision measurement of  $^2\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^9\text{Be}$  and  $^{12}\text{C}$  for  $0.3 < x < 0.9$ ,  $Q^2 \approx 3-6 \text{ GeV}^2$
- **Test scaling models** of the EMC effect:
  - ▶ Density-dependent ( $\rho$ ) effect?
  - ▶ Mass-dependent ( $A$ ) effect?
- New JLab Hall-C measurements
- A-dependent fit to SLAC data
- - - Fit to  $^{12}\text{C}$  data

J. Seely et al., Phys. Rev. Lett. 103, 202301 (2009)

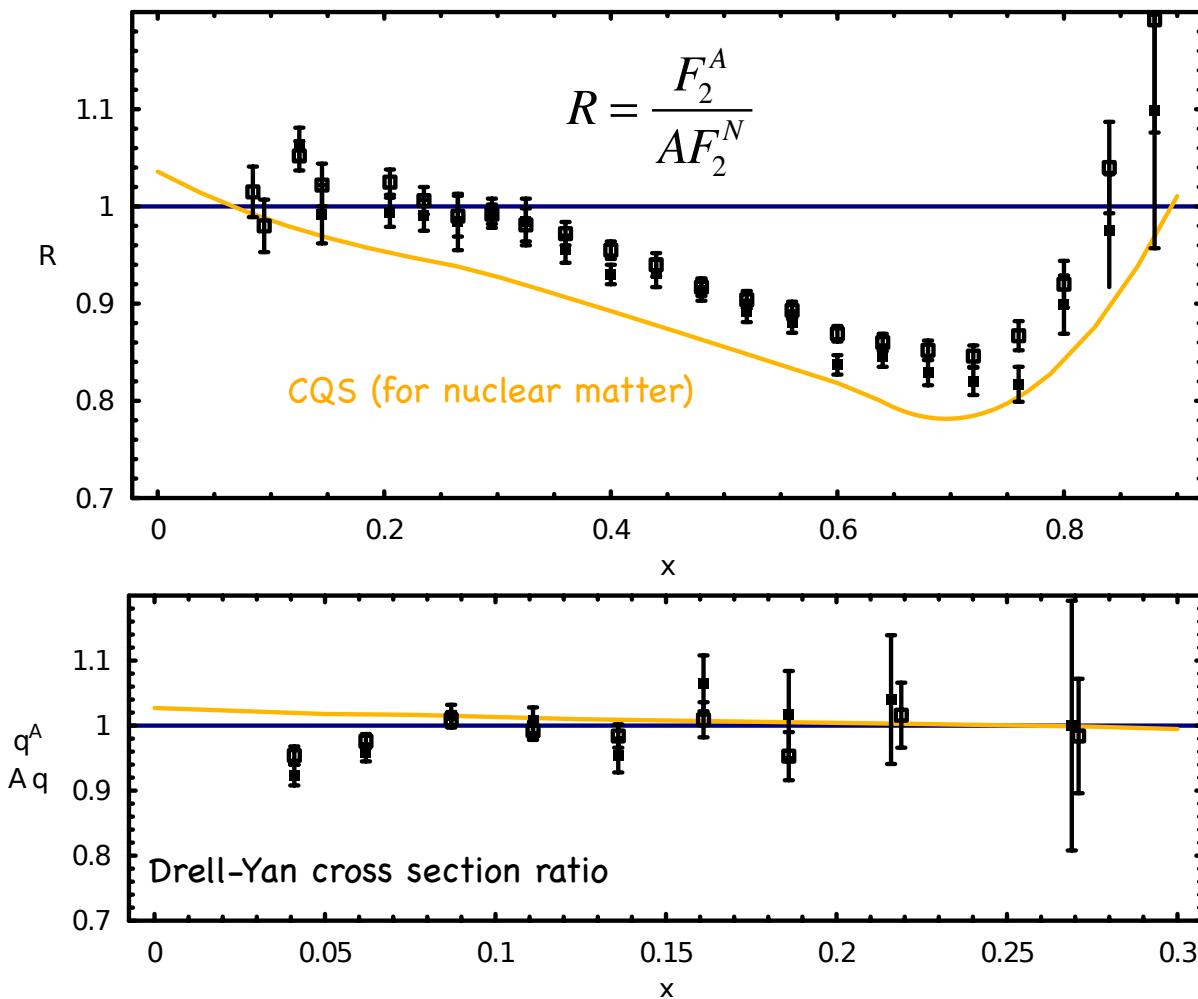
# Local Density Determines Strength of EMC Effect

Slope  $dR_{\text{EMC}}/dx$  as measure of the EMC-effect magnitude



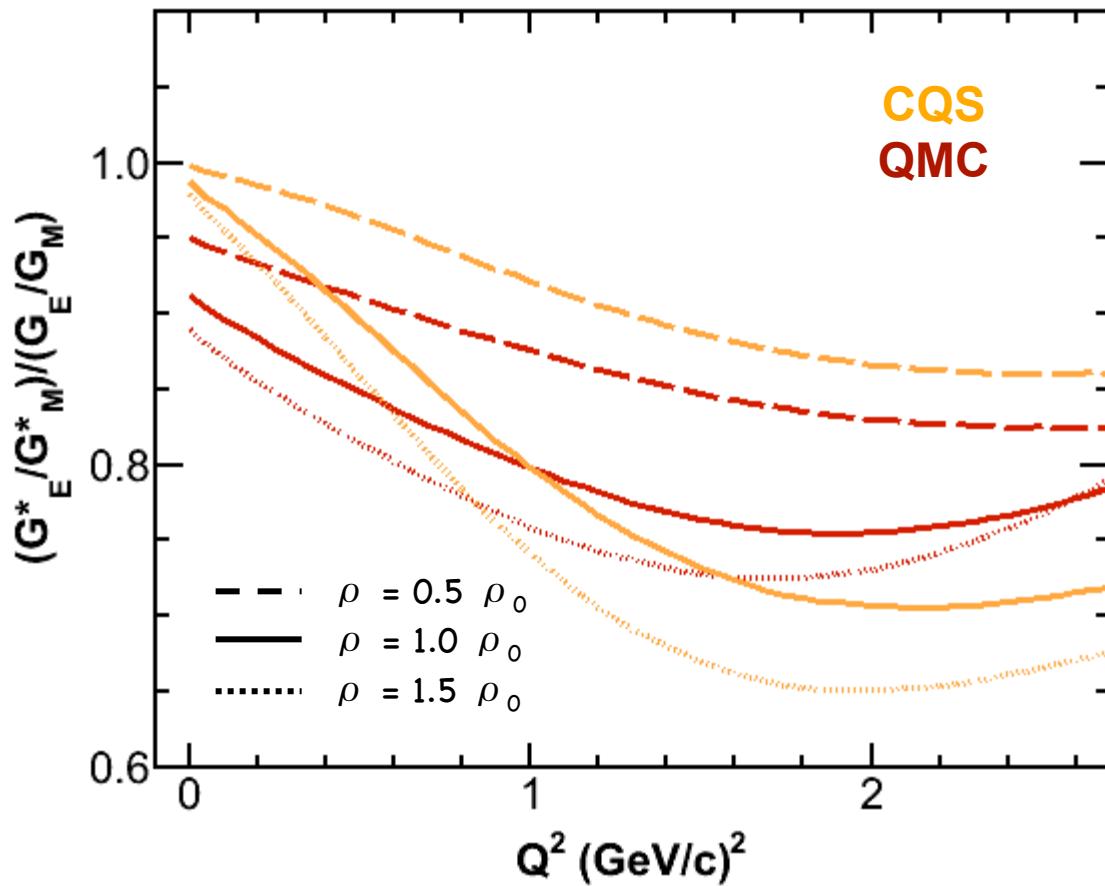
- Sizable nuclear effect in <sup>4</sup>He
- Nuclear effects in <sup>4</sup>He, <sup>9</sup>Be ( $= 2\alpha + n$ ) comparable to effect in <sup>12</sup>C
- Data suggest that **local** density drives the modification

# Chiral-Quark-Soliton Model Describes EMC Effect and Drell-Yan Data



- The **CQS model** is consistent with **free** nucleon properties, nuclear saturation properties, **EMC effect**, **Drell-Yan** experiments.
- EMC Effect is **not due to conventional nuclear physics**.
- Relativistic, quark-level models of nuclear structure, predict **fundamental changes in the internal structure of bound hadrons** due the mean scalar and vector fields in the medium.
- Medium induced increase of nucleon radius = 2.4%; consistent with  $A(e,e'p)$  limit of  $G^*/G < 6\%$ .

# Models Predict Form-Factor Medium Modifications



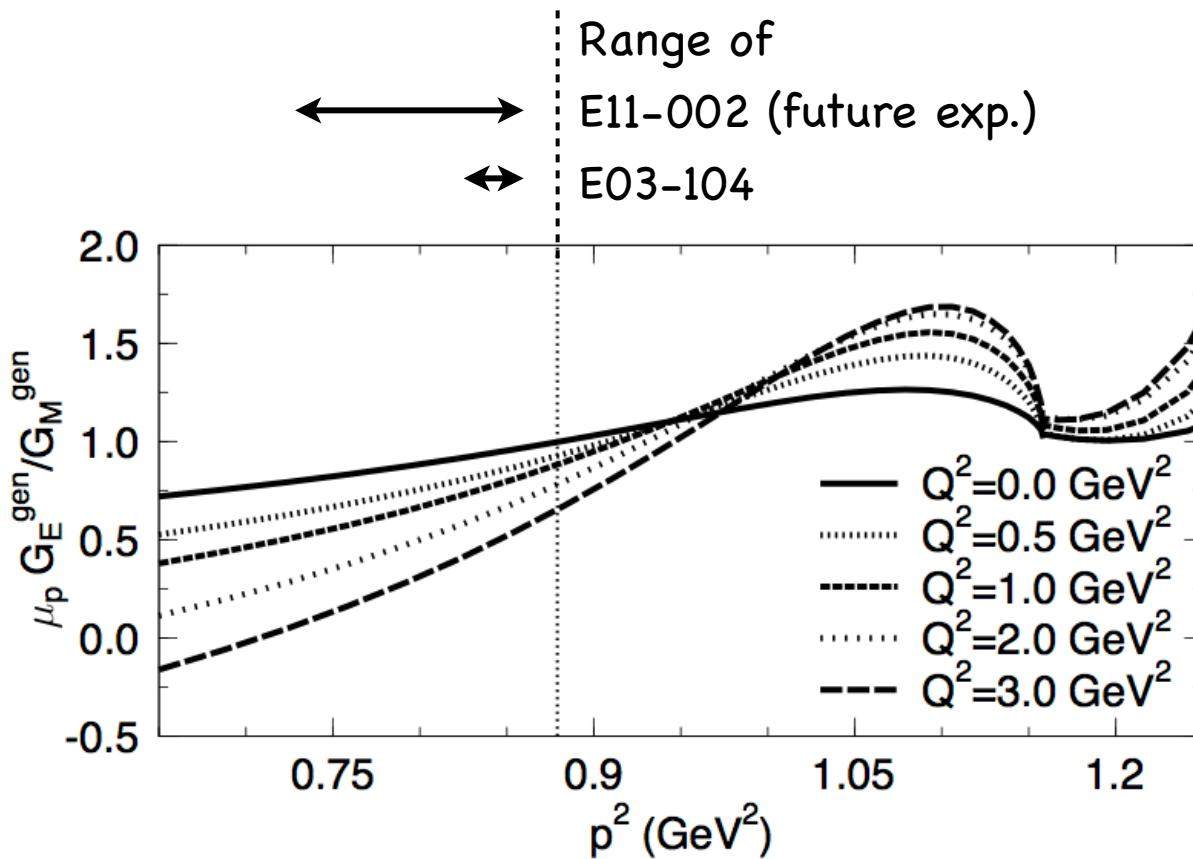
CQS: J.R. Smith and G.A. Miller, Phys. Rev. C 70, 065205 (2004)

QMC: D.H. Lu et al., Phys. Lett. B 417, 217 (1998)

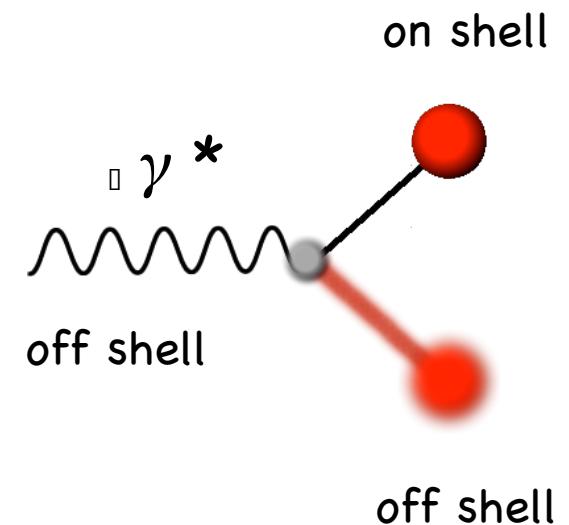
NJL: I.C. Cloet, W. Bentz, and A.W. Thomas (to be published)

- Changes in the internal structure of bound nucleons result also in **bound nucleon form factors**.
- Observable effects predicted:  
Chiral Quark Soliton (CQS),  
Quark Meson Coupling (QMC),  
Skyrme, Nambu-Jona-Lasinio (NJL), GPD Models.
- Model predictions:
  - ▶ are density and  $Q^2$  dependent,
  - ▶ show similar behavior,
  - ▶ consistent with experimental data (within large uncertainties).

# Form Factors in the Dressed $\gamma$ NN Vertex



photon-nucleon vertex



- **Dressed K-Matrix model (DKM):** dressing the bare vertex with an infinite number of meson loops ( $\pi$ ,  $\rho$ ,  $\sigma$  mesons)

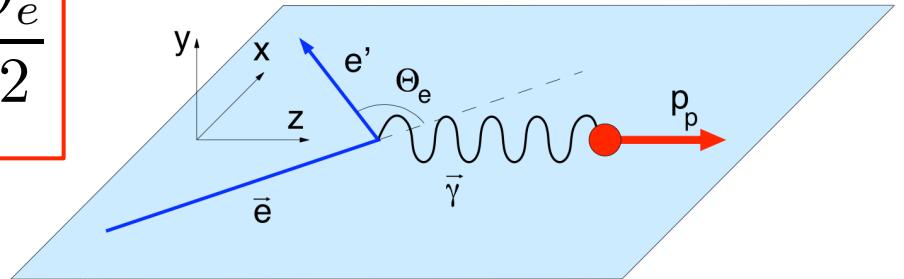
S. Kondratyuk, K. Kubodera, and F. Myhrer, Phys. Rev. C **71**, 028201 (2005).

# Form Factor Extraction – Recoil-Polarization

$$P'_x = -2\sqrt{\tau(1+\tau)} \frac{\frac{G_{Ep}}{G_{Mp}}}{(\frac{G_{Ep}}{G_{Mp}})^2 + \frac{\tau}{\epsilon}} \tan \frac{\theta_e}{2}$$

$$P'_z = \frac{1}{m} (E_i + E_f) \sqrt{\tau(1+\tau)} \frac{1}{(\frac{G_{Ep}}{G_{Mp}})^2 + \frac{\tau}{\epsilon}} \tan^2 \frac{\theta_e}{2}$$

$$\frac{G_{Ep}}{G_{Mp}} = -\frac{P'_x}{P'_z} \frac{(E_i + E_f)}{2m} \tan \frac{\theta_e}{2}$$



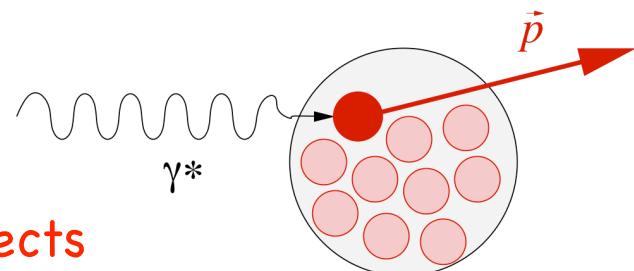
- The ratio  $G_{Ep}/G_{Mp}$  is obtained from a single measurement
- Small systematic uncertainties (beam helicity,  $A_c$ , ... cancel)
- Minimally affected by radiative corrections

A.I. Akhiezer and M.P. Rekalo, Sov. J. Part. Nucl. 3, 277 (1974)

R. Arnold, C. Carlson, and F. Gross, Phys. Rev. C 23, 363 (1981)

# Polarization-Transfer Technique

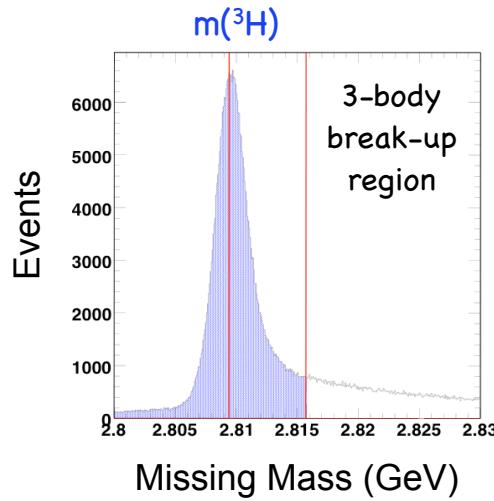
- Bound nucleon data need evaluation within model  
Reaction-mechanism effects predicted to be small and minimal for
  - ▶ Quasi-elastic scattering
  - ▶ Small missing momenta
- Compare quasi-elastic and free-proton scattering to study possible medium effects



$$R = \left( \frac{P'_x}{P'_z} \right)_A \Bigg/ \left( \frac{P'_x}{P'_z} \right)_H \quad A(\vec{e}, e' \vec{p})$$

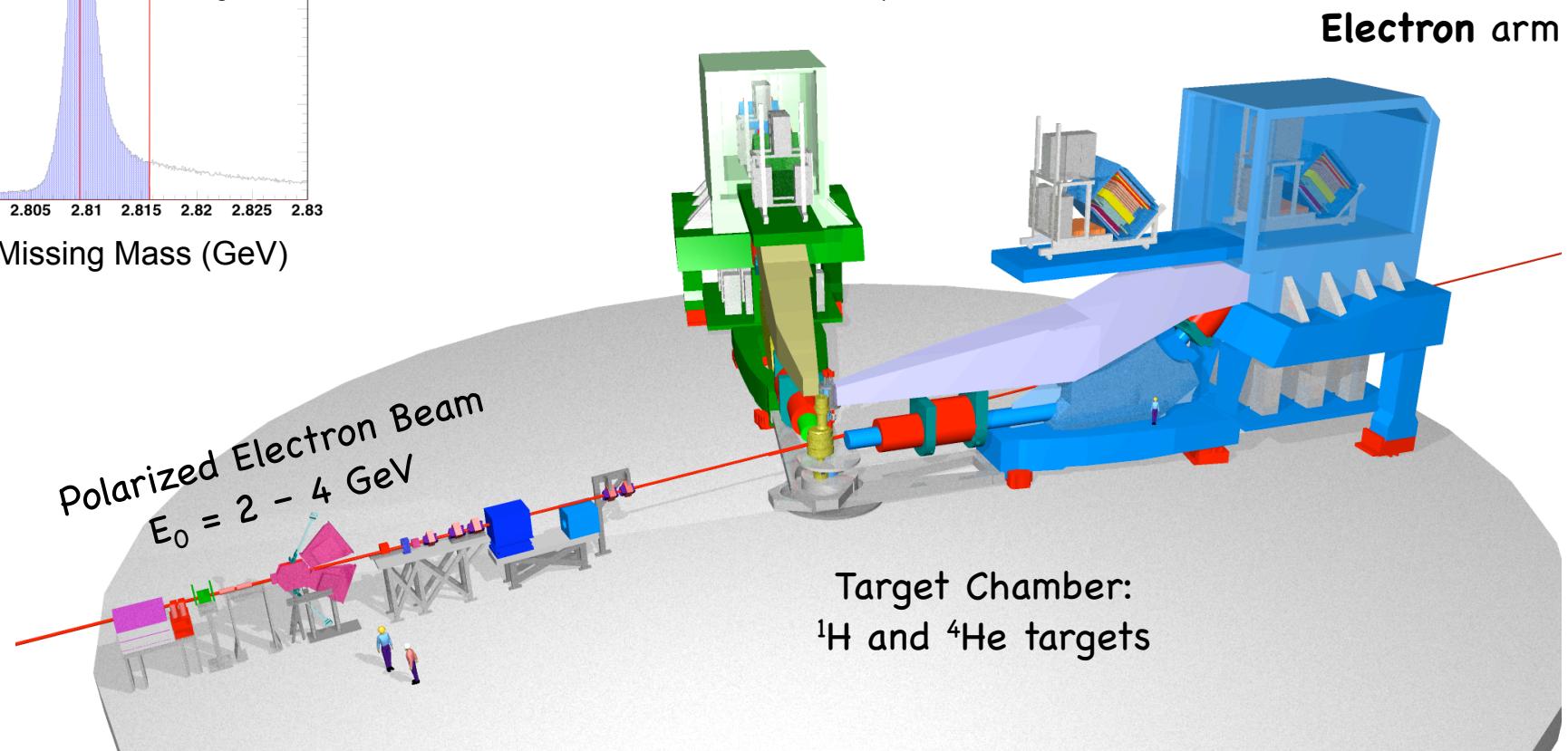
R. Arnold, C. Carlson, and F. Gross, Phys. Rev. C **23**, 363 (1981); for reaction-mechanism effects, e.g., J.M. Laget, Nucl. Phys. A **579**, 333 (1994), J.J. Kelly, Phys. Rev. C **59**, 3256 (1999), A. Meucci, C. Guisti, and F.D. Pacati, Phys. Rev. C **66**, 034610 (2002).

# Quasi - Elastic ${}^4\text{He}(\vec{e}, e'\vec{p}) {}^3\text{H}$ at Jefferson Lab Hall A



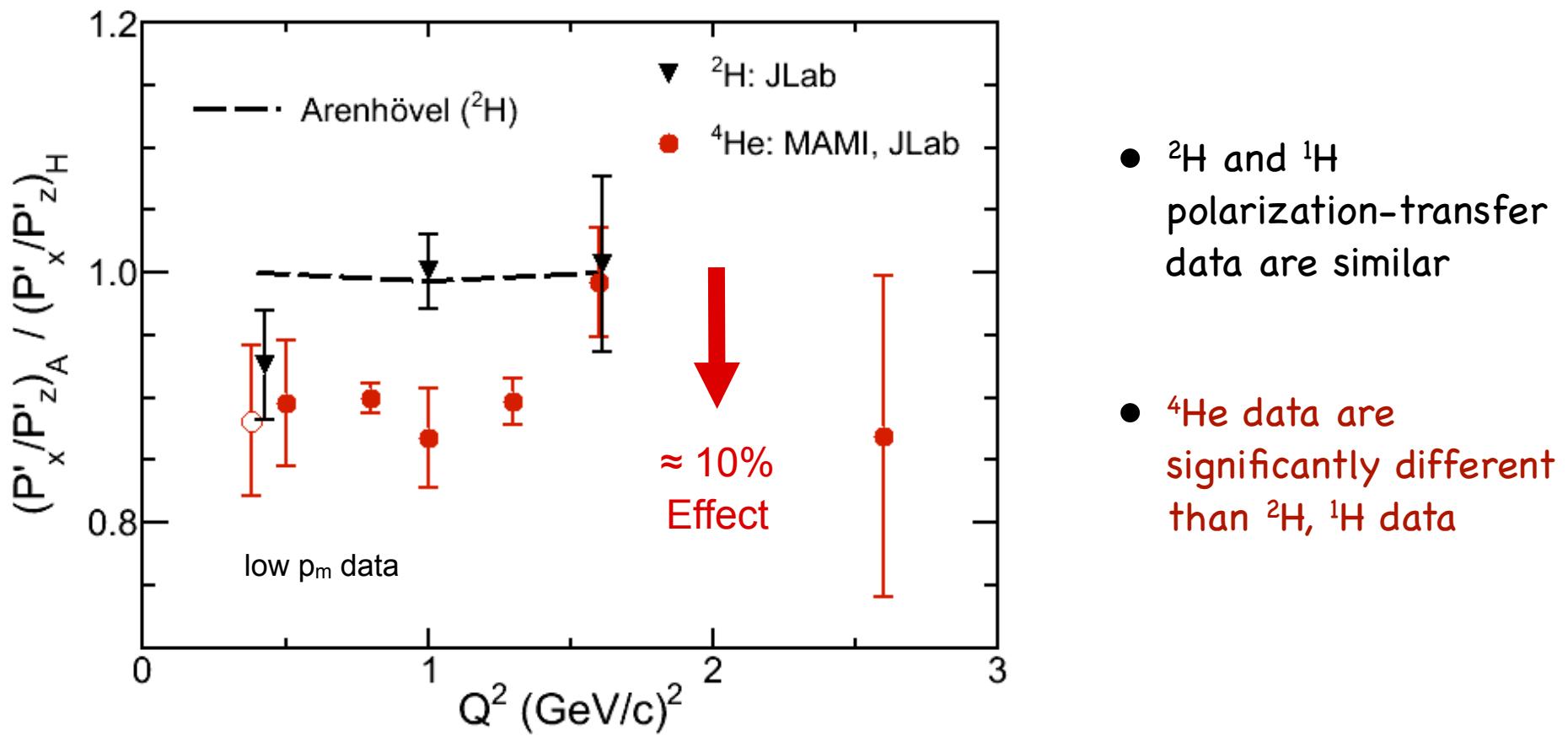
**Proton arm with  
Focal Plane Polarimeter**  
Extract:  $P'_x$ ,  $P'_z$ , and  $P_y$

Experiments:  
E93-049 and E03-104  
 $Q^2 = 0.5 - 2.6 \text{ (GeV/c)}^2$



S. S., et al., PRL. 91, 052301 (2003); M. Paolone, et al., PRL. 105, 0722001 (2010); S. Malace et al., PRL 106, 052501 (2011)

# Strong Medium Effects Observed in ${}^4\text{He}$ Polarization-Transfer Double Ratios

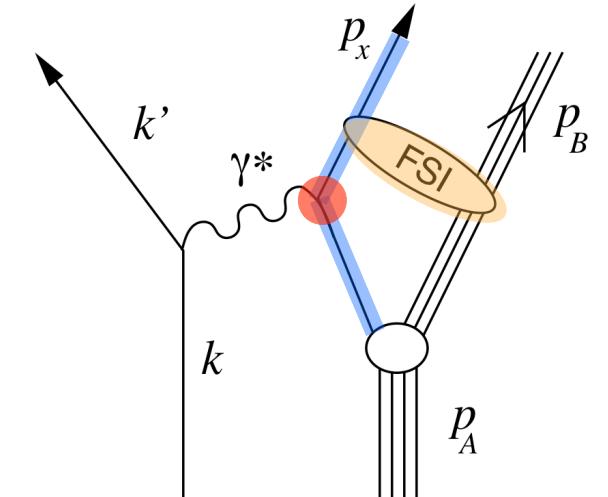


${}^2\text{H}$ : B. Hu et al., PRC **73**, 064004 (2006).  ${}^4\text{He}$ : S. Dieterich et al., PLB **500**, 47 (2001); S. S., et al., PRL **91**, 052301 (2003); M. Paolone, et al., PRL **105**, 0722001 (2010); S. Malace et al., PRL **106**, 052501 (2011)

# Quasi-Elastic Scattering from Bound Nucleons

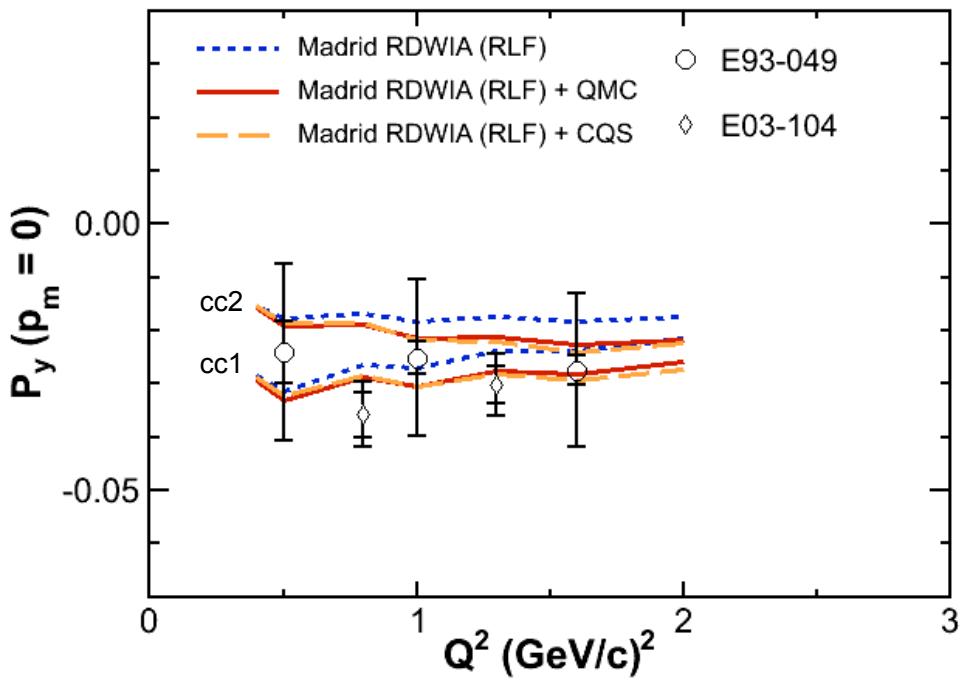
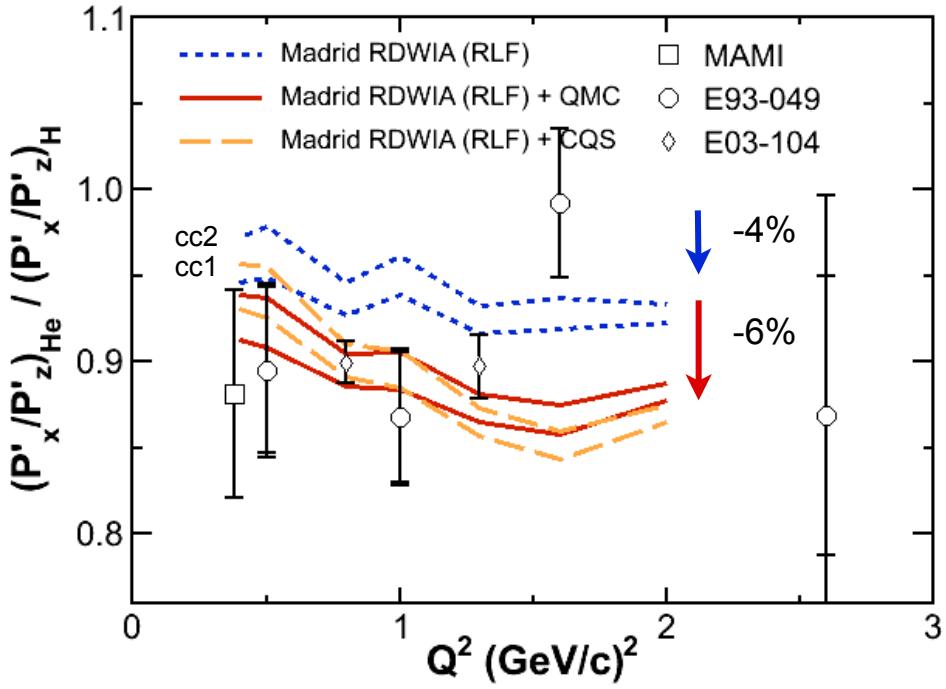
Madrid Model: **Nucleon one-body current** in relativistic distorted-wave impulse approximation (RDWIA)

$$J_N^\mu(\omega, \vec{q}) = \int d\vec{p} \bar{\psi}_F(\vec{p} + \vec{q}) \hat{J}_N^\mu(\omega, \vec{q}) \psi_B(\vec{p})$$



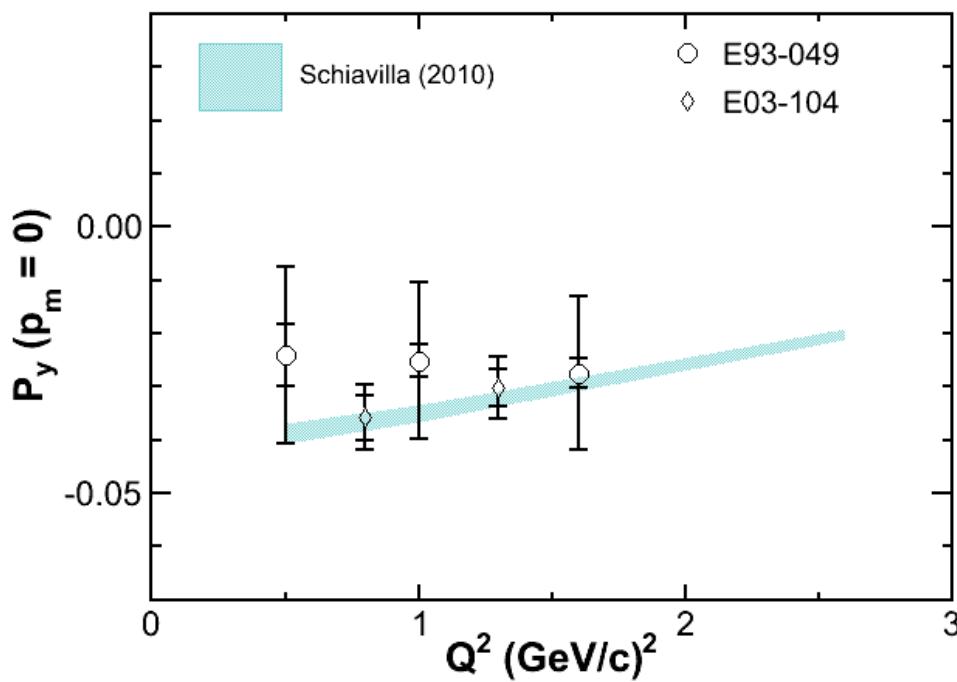
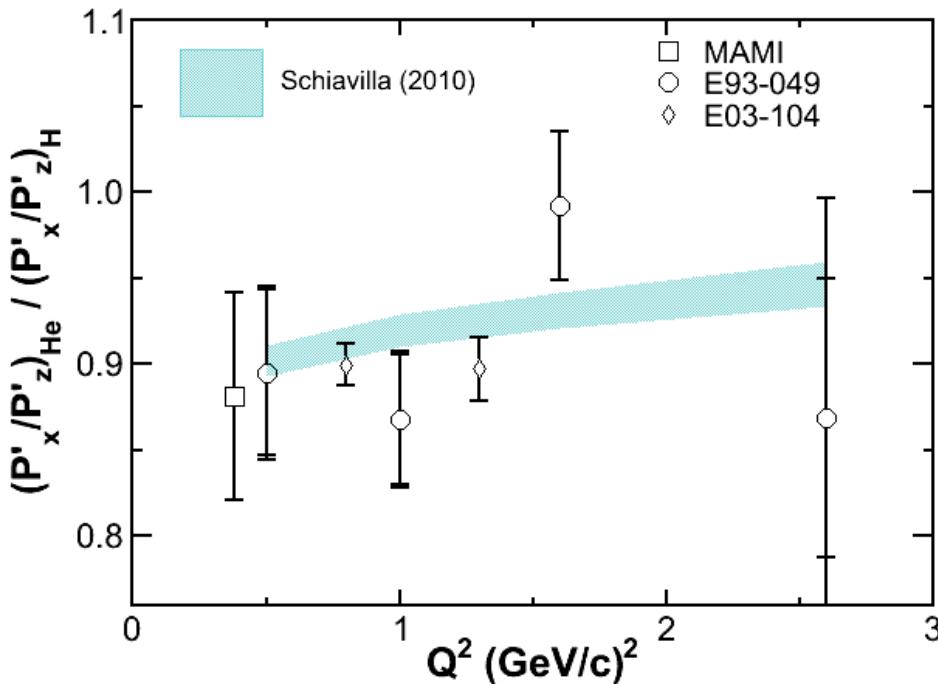
- Wave functions for **initial bound**,  $\psi_B$ , and **final outgoing**,  $\psi_F$ , nucleons (final state interactions, **FSI**)
- Relativistic **nucleon current operator** of cc1 or cc2 forms
- Density-dependent in-medium form factors,  $G^*_E$  and  $G^*_M$ , were evaluated at the local density,  $\rho(r)$

$$G(Q^2, \rho) = G(Q^2) \frac{G^*(Q^2, \rho)}{G^*(Q^2, \rho = 0)}$$



# Madrid RDWIA

- Relativistic calculation in distorted-wave impulse approximation (**RDWIA**) overestimates R
- Both, the **QMC** and **CQS** models give reduction in R by about 6% and are in very good agreement with data
- Induced polarization,  $P_y$ , is almost exclusively sensitive to FSI
- **RLF optical potential** along with cc1 current operator results in excellent description of  $P_y$  within the Madrid model



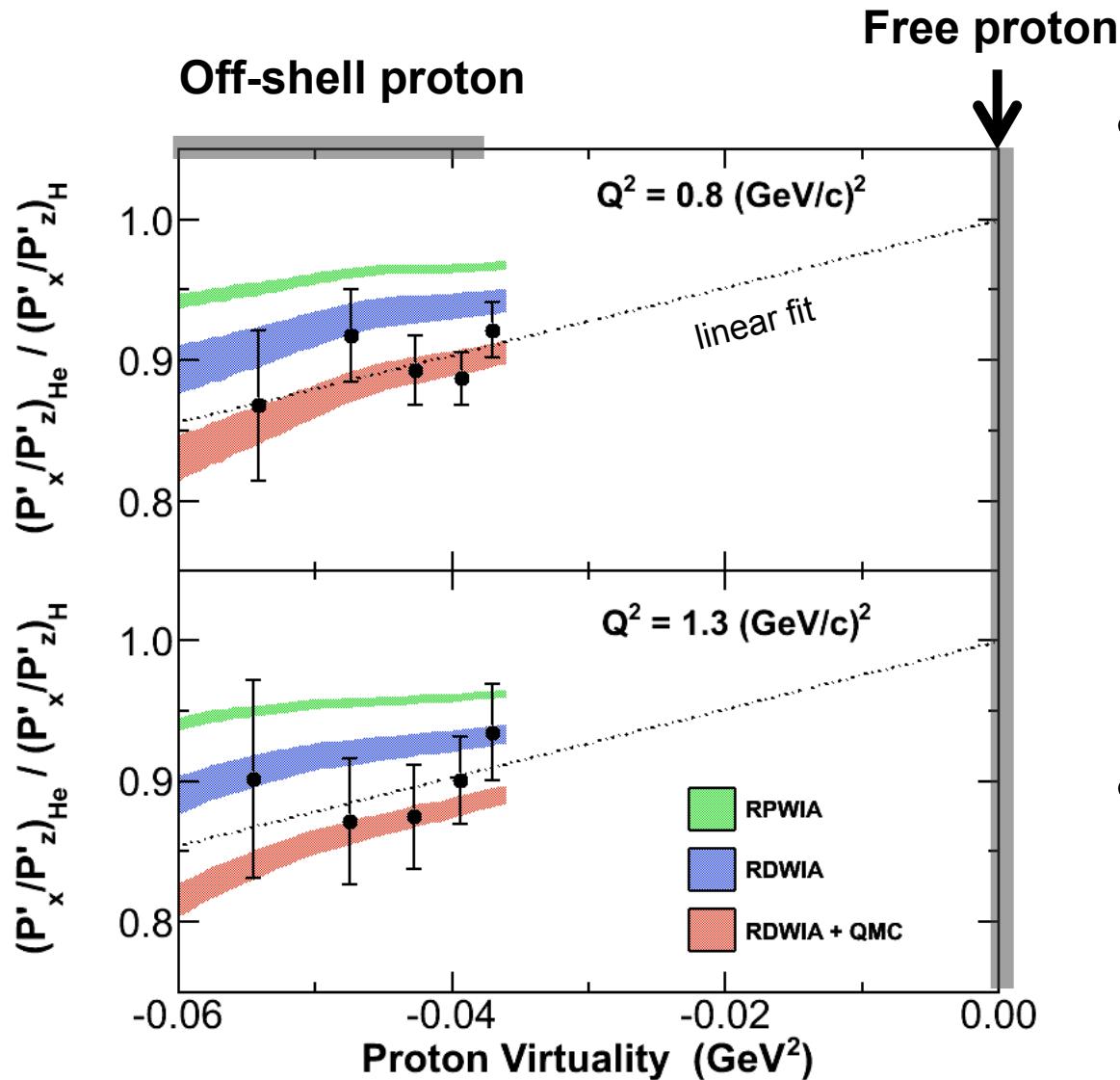
## Schiavilla (2010)

- Variational wave functions for the bound three- and four-nucleon systems + nonrelativistic MEC
- Optical potentials include additional charge-exchange terms which are not all well constrained.
- The charge-exchange independent spin-orbit component of the optical potential was reduced to describe the  $P_y$  data (2010).
- Very good agreement with the data after fitting FSI parameters to the induced polarization of E03-104.

R. Schiavilla, O. Benhar, A. Kievsky, L.E. Marcucci, and M. Viviani, Phys. Rev. Lett. **94**, 072303 (2005)

Within the Madrid model  $P_y$  seems unaffected by charge exchange to a large degree.

# Medium Effect Increases with Proton Virtuality



- ${}^4\text{He}(e,e'p){}^3\text{H}$  polarization-transfer double-ratio data and calculations show **dependence on proton virtuality**

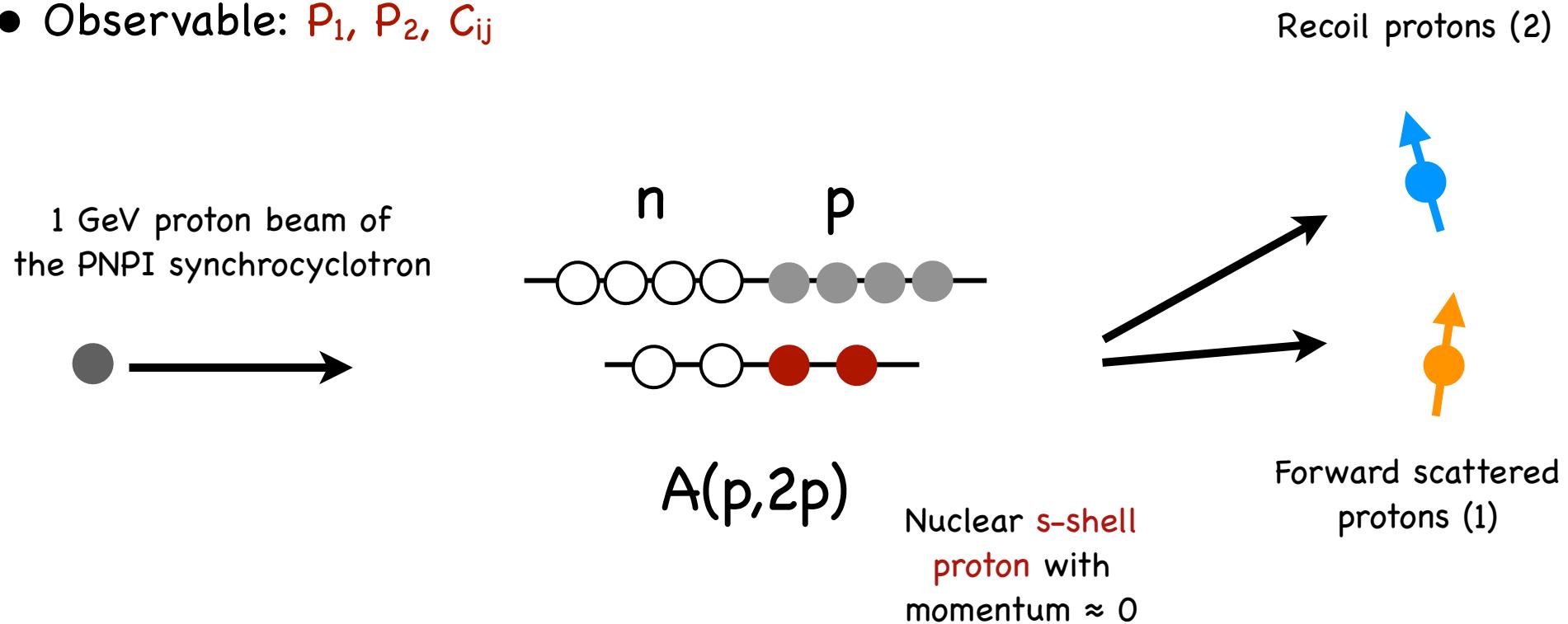
$$v = p^2 - m_p^2$$

with the trend of  $R \approx 1$  for  $p^2 = m_p^2$ ; as it should be.

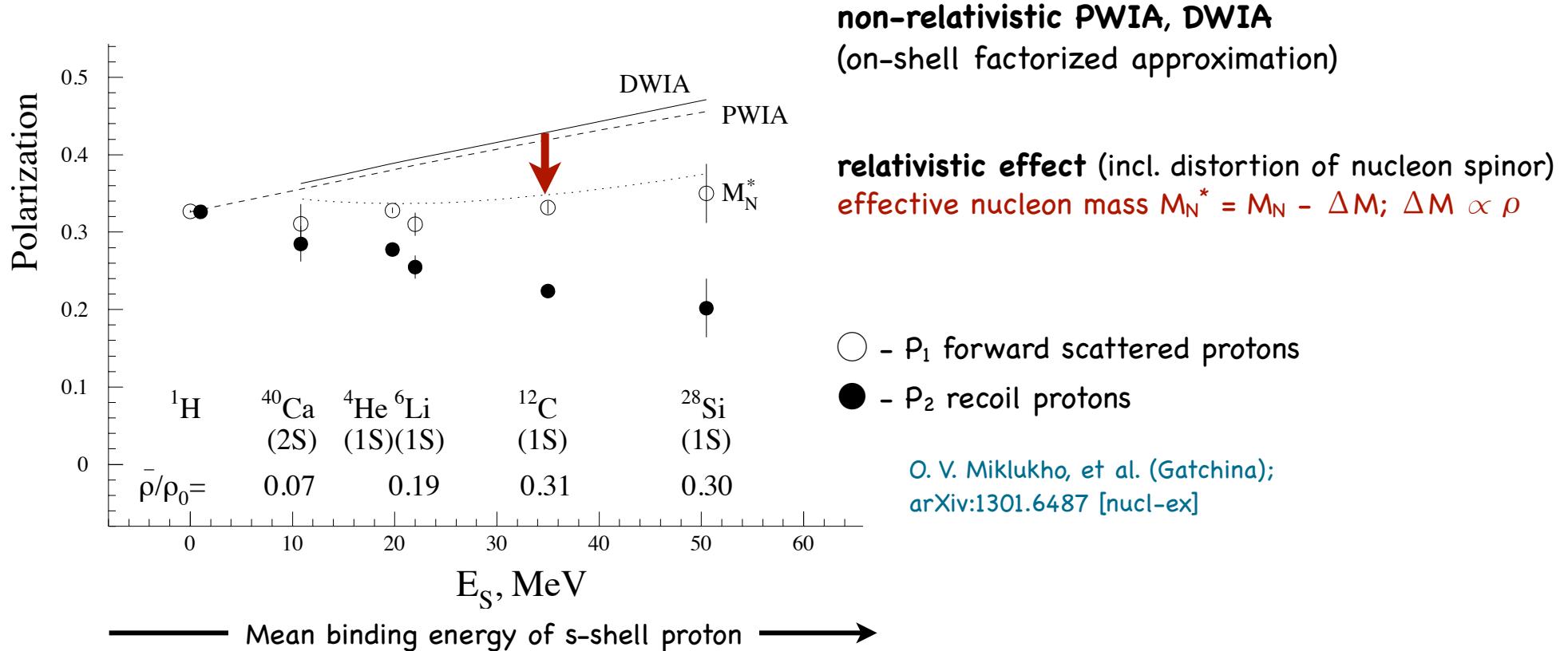
- **Increase of medium effects with proton virtuality;** 4% to 10% over the range covered.

# Quasi-Elastic ${}^4\text{He}$ , ${}^6\text{Li}$ , ${}^{12}\text{C}$ , ${}^{28}\text{Si}$ , ${}^{40}\text{Ca}(\text{p},2\text{p})$

- “Polarization effects in the quasi-elastic ( $\text{p},2\text{p}$ ) reaction with the nuclear s-shell protons at 1 GeV”
- Kinematics close to elastic proton-proton scattering
- Observable:  $P_1$ ,  $P_2$ ,  $C_{ij}$



# Medium Effect Increases with Mean Nuclear Density

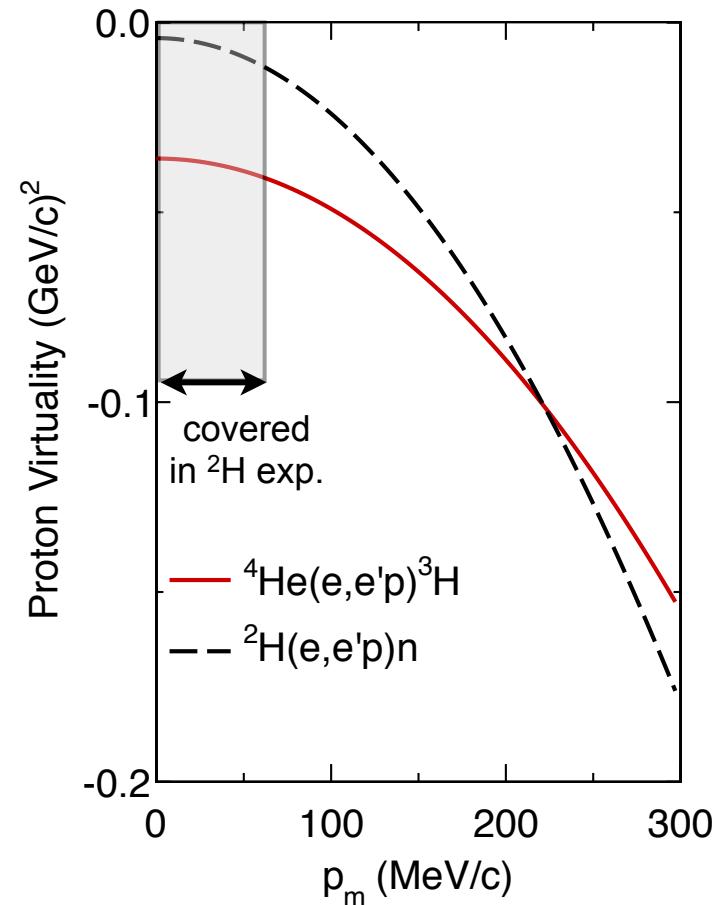
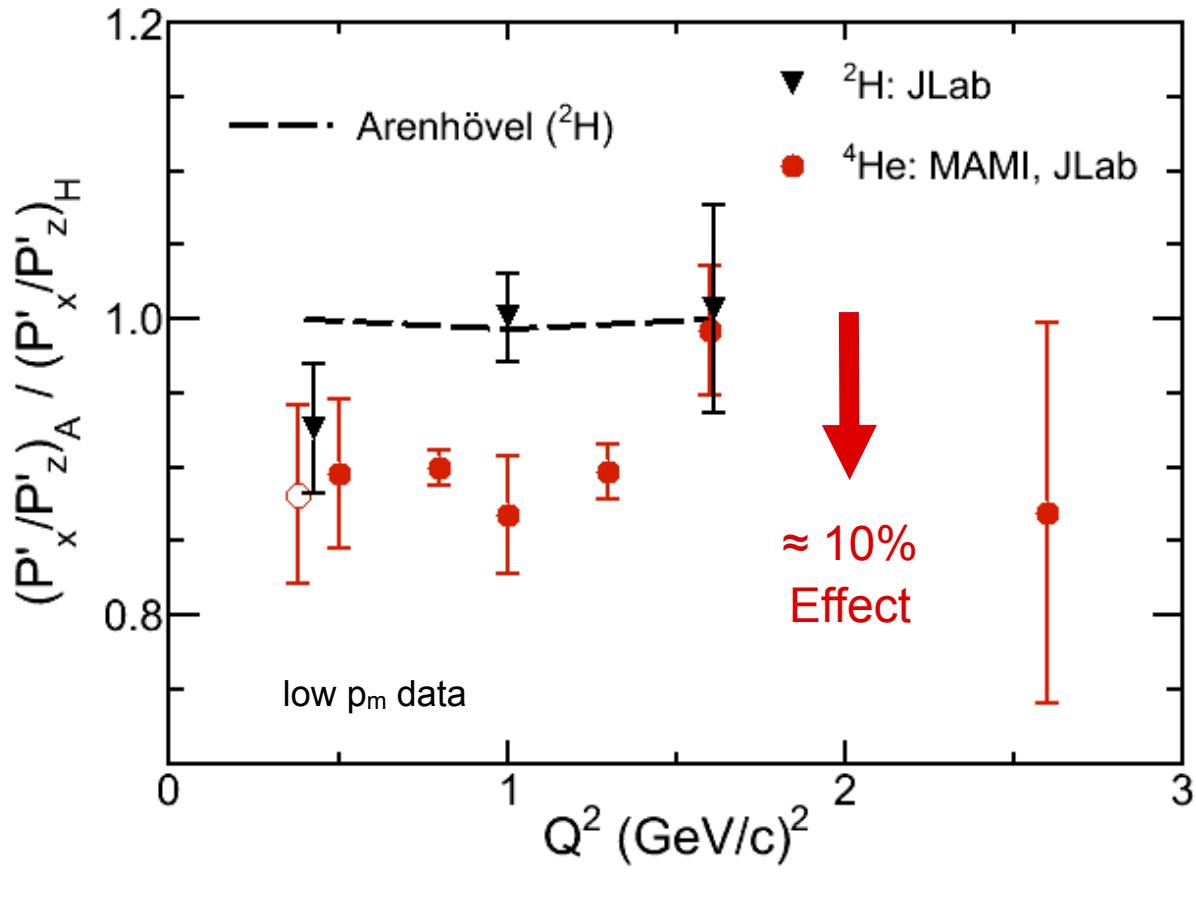


- Medium effect is related to the effective mean nuclear density.  
Also determined by the mean binding energy of the s-shell proton of a nucleus;  $A < 12$ .
- Indication of a modification of the proton-proton scattering matrix in the nuclear medium.

# What are the Driving Parameters for the Observed Medium Effects?

Reaction	Observable	Medium effect	Driving parameter
$(e, e')_{\text{DIS}}$	$\sigma_A$	depletion of the nuclear structure function $F_2$	local density
$(e, e' p)_{\text{QE}}$	$P'_x/P'_z$	decrease of the polarization-transfer ratio, $G_E/G_M(?)$	proton virtuality (?)
$(p, pp)_{\text{QE}}$	$P_1, P_2, C_{ij}$	reduction of magnitude of proton polarization; p-p scattering amplitude	effective mean nuclear density; mean binding energy of s-shell proton

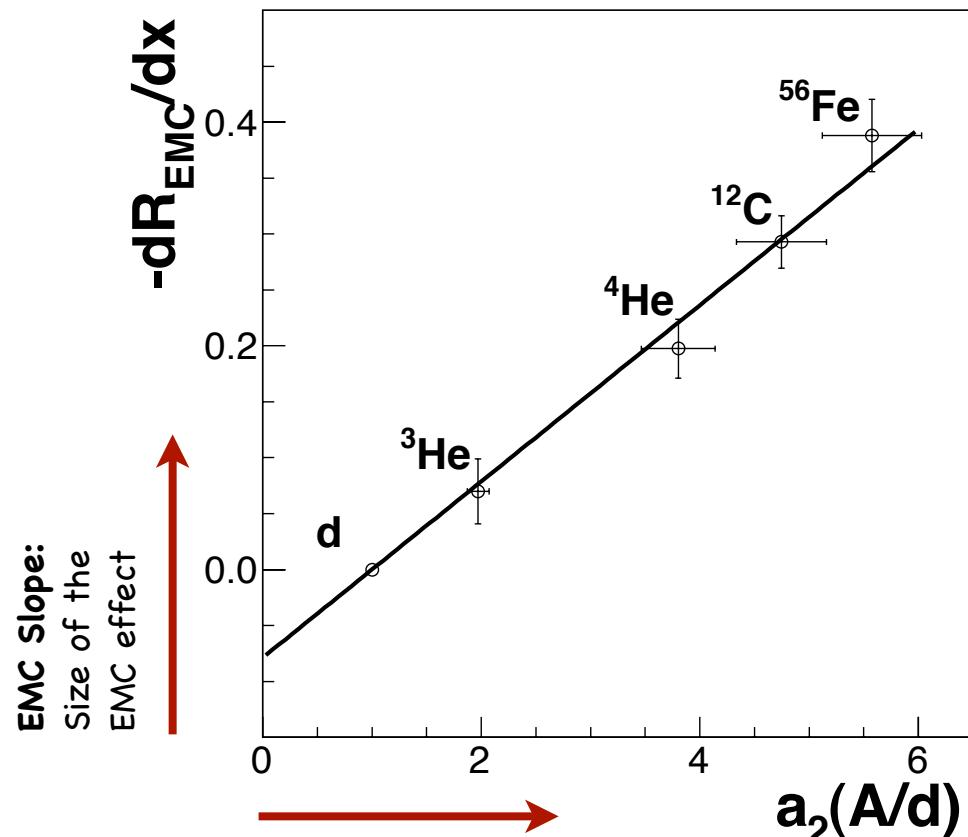
# What Generates the Large Medium Effect?



- the nuclear **density** or
- the larger proton **virtuality** probed in the  ${}^4\text{He}$  experiments?

${}^2\text{H}$ : B. Hu et al., PRC **73**, 064004 (2006).  ${}^4\text{He}$ : S. Dieterich et al., PLB **500**, 47 (2001); S. S., et al., PRL **91**, 052301 (2003); M. Paolone, et al., PRL **105**, 0722001 (2010); S. Malace et al., PRL **106**, 052501 (2011)

# Momentum Dependence of the EMC Effect



## SRC Scaling Factor:

Probability to find SRC pairs  
(low c.m., high relative  
momentum) in the nucleus

Figure from: L.B. Weinstein et al., Phys. Rev. Lett. **106**, 052301 (2011)

C. Ciofi degli Atti, L.L. Frankfurt, L.P. Kaptari, M.I. Strikman, Phys. Rev. C **76**, 055206 (2007)

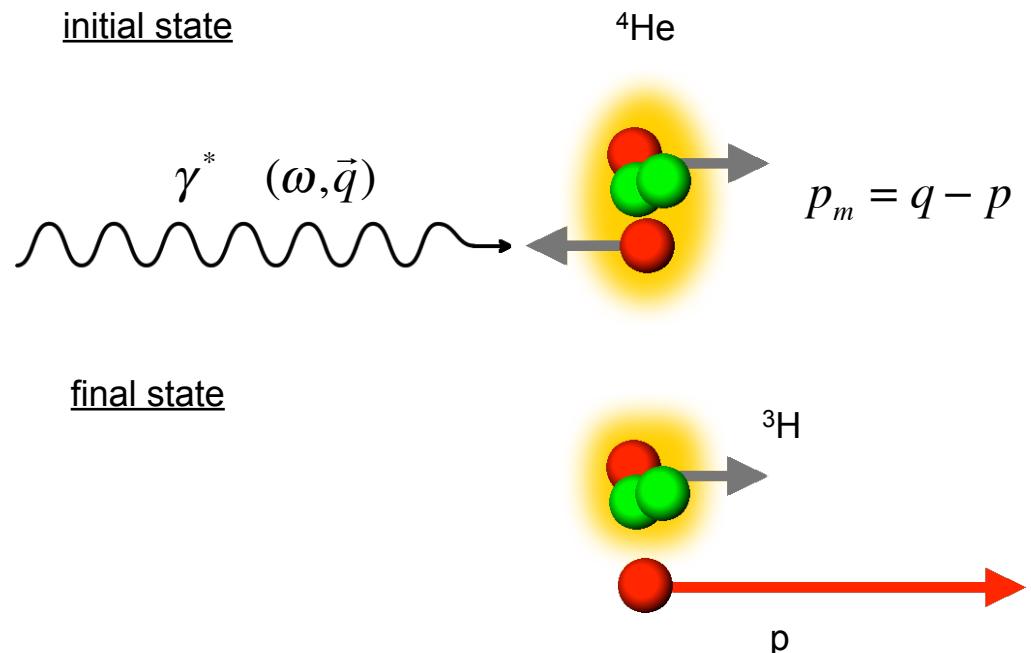
- The observed EMC-SRC linear correlation supports the hypothesis that the EMC effect is mainly associated with the nucleons at high virtuality.
- New experiment, E11-002, will test with  ${}^4\text{He}(e,e'p)$  and  ${}^2\text{H}(e,e'p)$  data at high proton virtuality if medium effects in previous polarization-transfer measurements depend on:
  - nucleon momentum,
  - off-shellness (virtuality), or
  - mean nuclear density.

# E11-002: Kinematics

- Quasielastic scattering
- Parallel kinematics
- $x > 1$ , spectator forward to reduce inelastic channels ( $\Delta$  production) and probe the genuine quasielastic channel\*
- The off-shellness can be quantified as nucleon virtuality:

$$\nu = p^2 - m_p^2$$

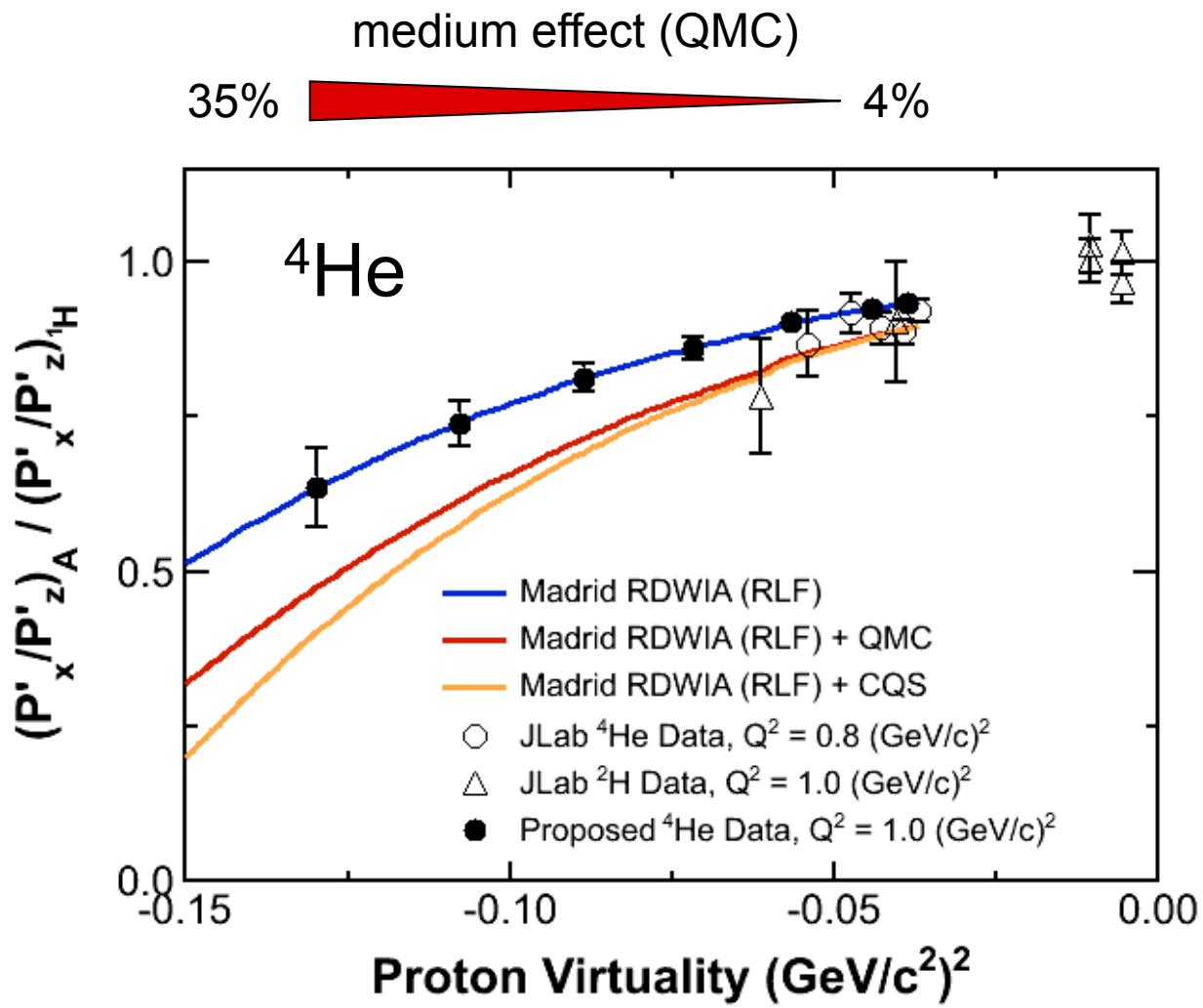
$$= \left( M_A - \sqrt{M_{A-1}^2 + \vec{p}_m^2} \right)^2 - \vec{p}_m^2 - m_p^2$$



$Q^2$ (GeV/c) <sup>2</sup>	$p_m$ (MeV/c)	Targets
1.0	0, +140, +220	${}^4\text{He}, {}^2\text{H}, {}^1\text{H}$
1.8	0	${}^4\text{He}, {}^1\text{H}$

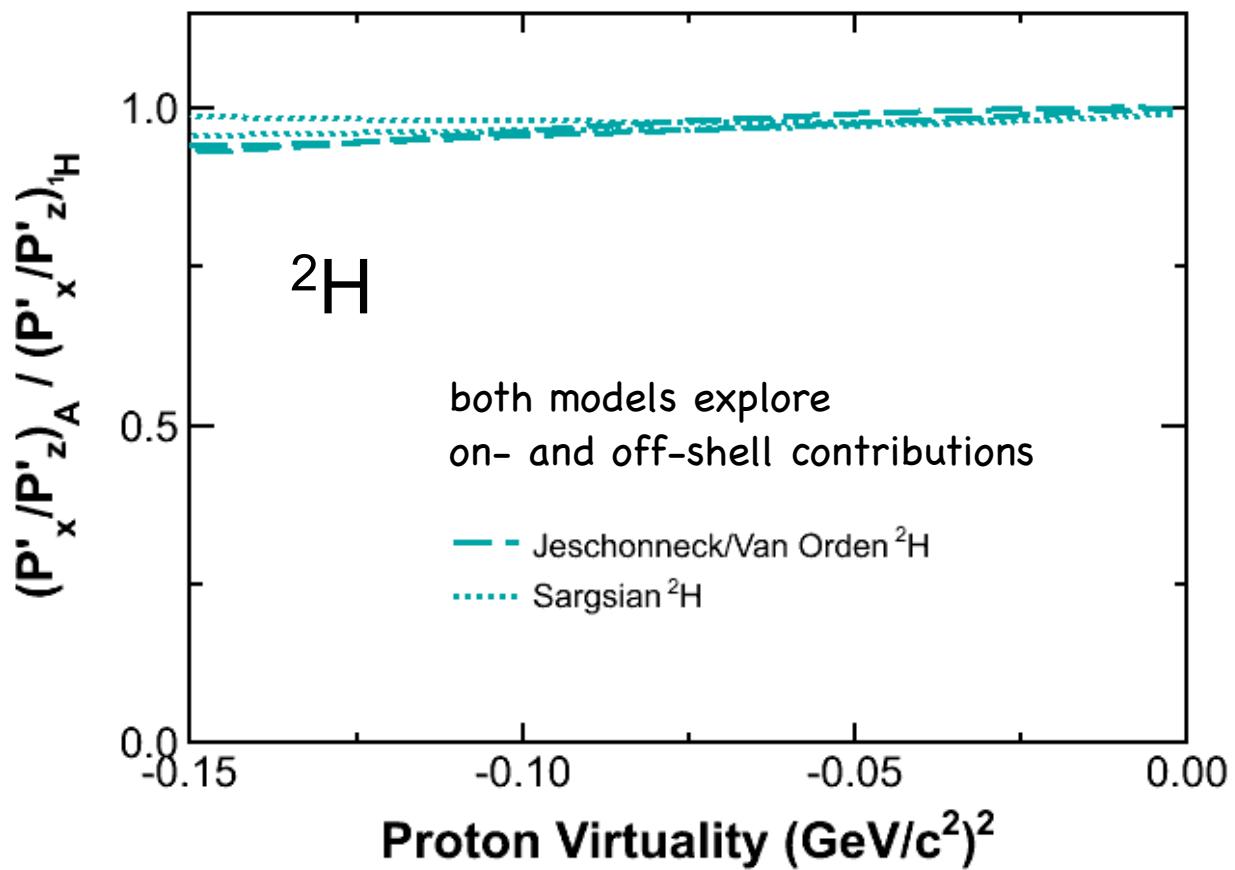
\*M. Sargsian, private communication  
C. Ciofi degli Atti, L.L. Frankfurt, L.P. Kaptari,  
M.I. Strikman, Phys. Rev. C 76, 055206 (2007)

# 1<sup>st</sup> Feature of E11-002



- **E11-002:**
  - $Q^2 = 1.0 \text{ (GeV}/c)^2$
  - $p_m = 0, 140, 220 \text{ MeV}/c$
- Significantly improved proton-virtuality coverage
- Study the **expected strong dependence of medium effects on the momentum of the bound nucleon.**
- Previous  ${}^2\text{H}$  data ( $\triangle$ ) follow suggestively close the virtuality dependence of the  ${}^4\text{He}$  data ( $\circ$ ).

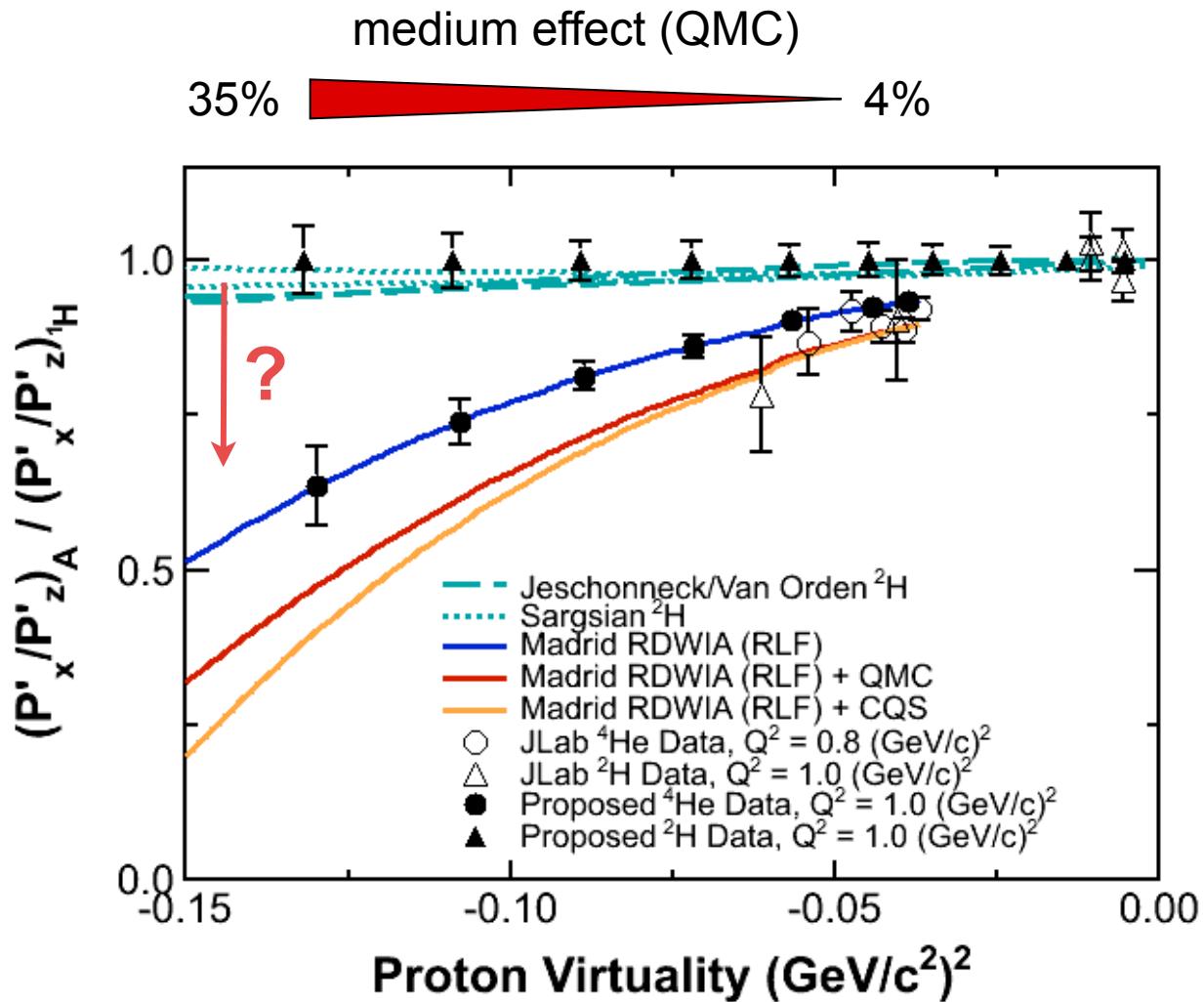
# Modern, Rigorous ${}^2\text{H}(\text{e}, \text{e}'\text{p})\text{n}$ Calculations Exist



- **Sargsian:** Generalized eikonal approximation;  $\text{pn} \rightarrow \text{pn}$  and charge-exchange  $\text{pn} \rightarrow \text{np}$  rescattering; Isobar configurations
- **Jeschonneck/Van Orden:** Fully relativistic calculation in the impulse approximation employing the Gross equation to describe the deuteron ground state, and final state interactions (FSIs).
- Both models use the SAID parametrization of the NN scattering amplitude
- Reaction-dynamics effects and FSI will change the ratio up to 5% (maximum 8%) in this kinematics

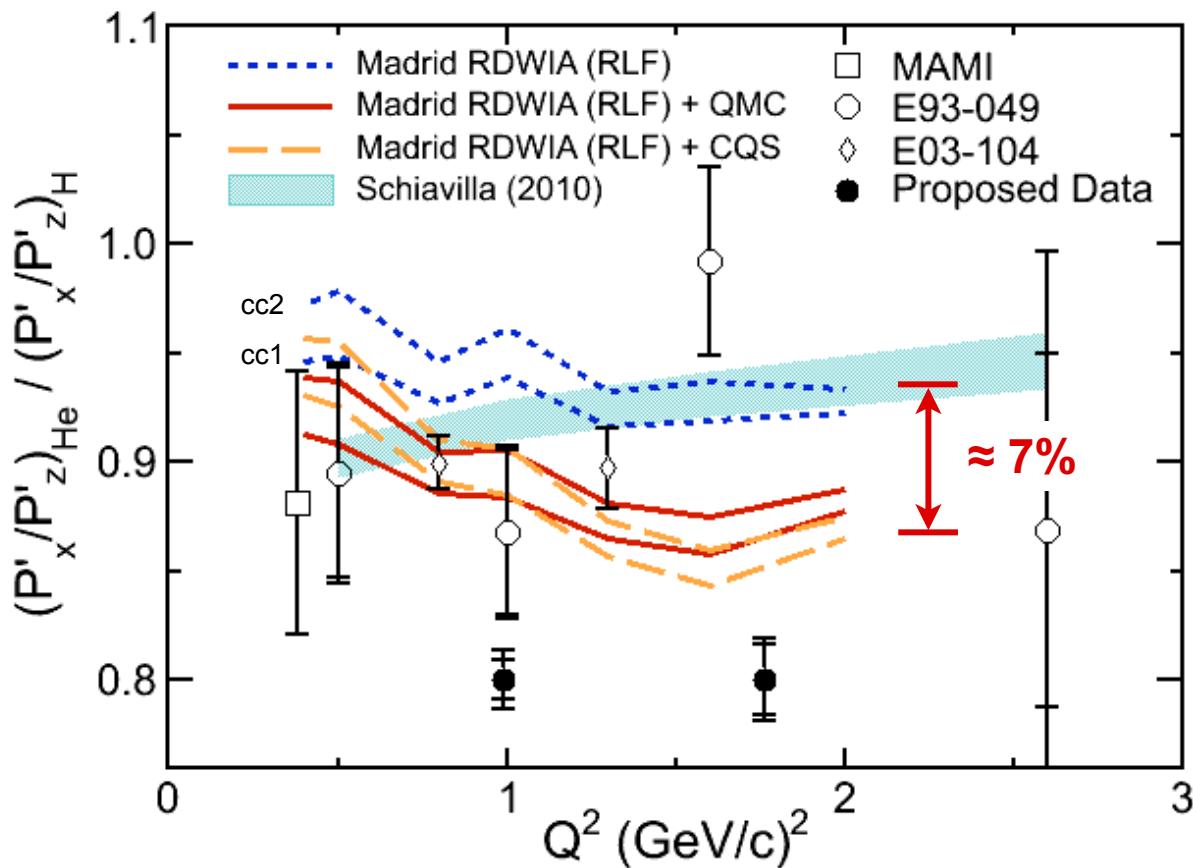
S. Jeschonnek and J.W. Van Orden, Phys. Rev. C **81**, 014008 (2010) and  
Phys. Rev. C **78**, 014007 (2008); M.M. Sargsian, Phys. Rev. C **82**, 014612 (2010)

# 2<sup>nd</sup> Feature of E11-002



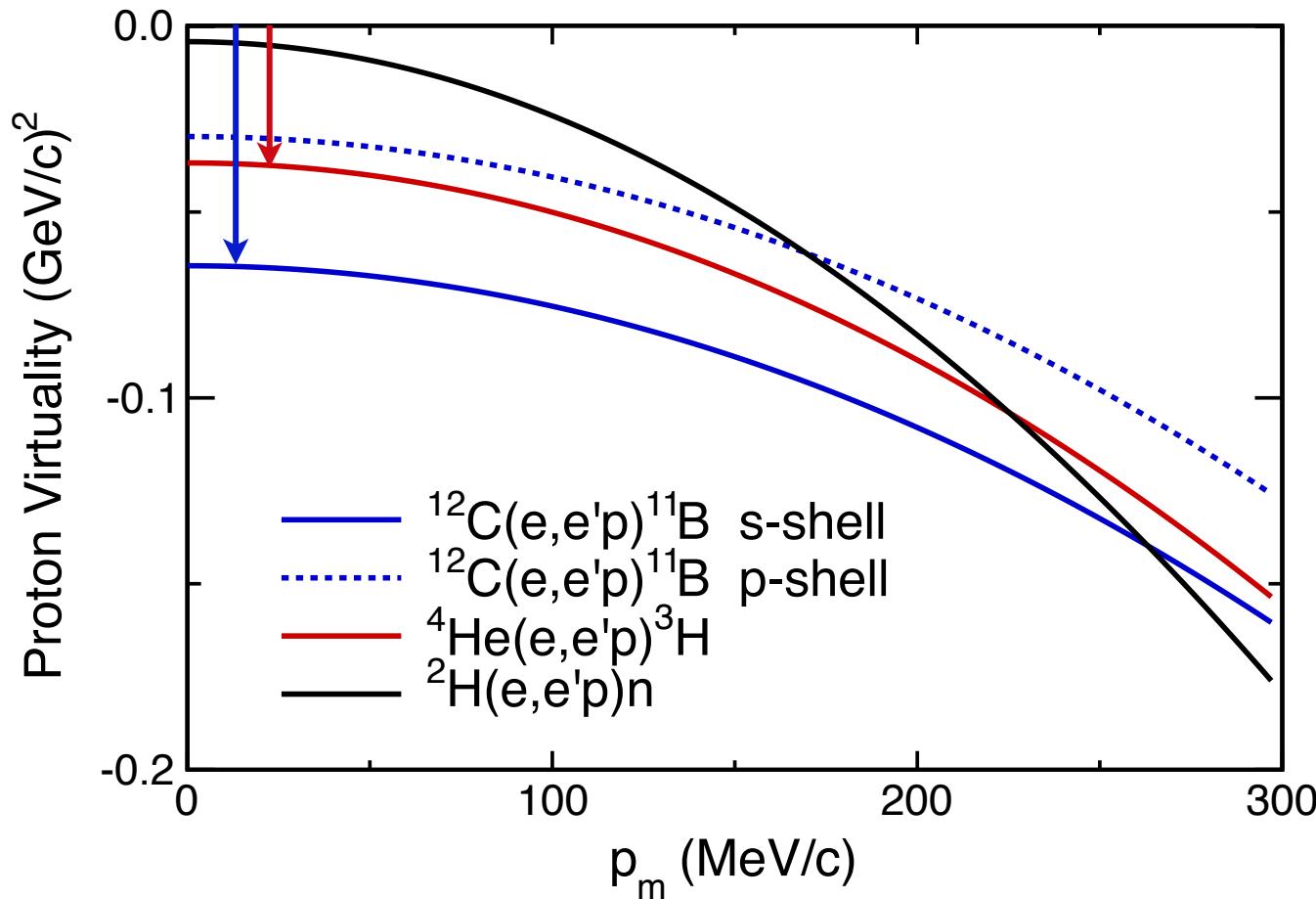
- **E11-002:**  
Compare proton knock-out from dense and thin nuclei:  
 ${}^4\text{He}(e,e'p){}^3\text{H}$  and  ${}^2\text{H}(e,e'p)\text{n}$
- Modern, rigorous  ${}^2\text{H}(e,e'p)\text{n}$  calculations show reaction-dynamics effects and FSI will change the ratio up to 5% (maximum 8%) in this kinematics
- Any larger effects (35%?) should be attributed to something else ...

# 3<sup>rd</sup> Feature of E11-002



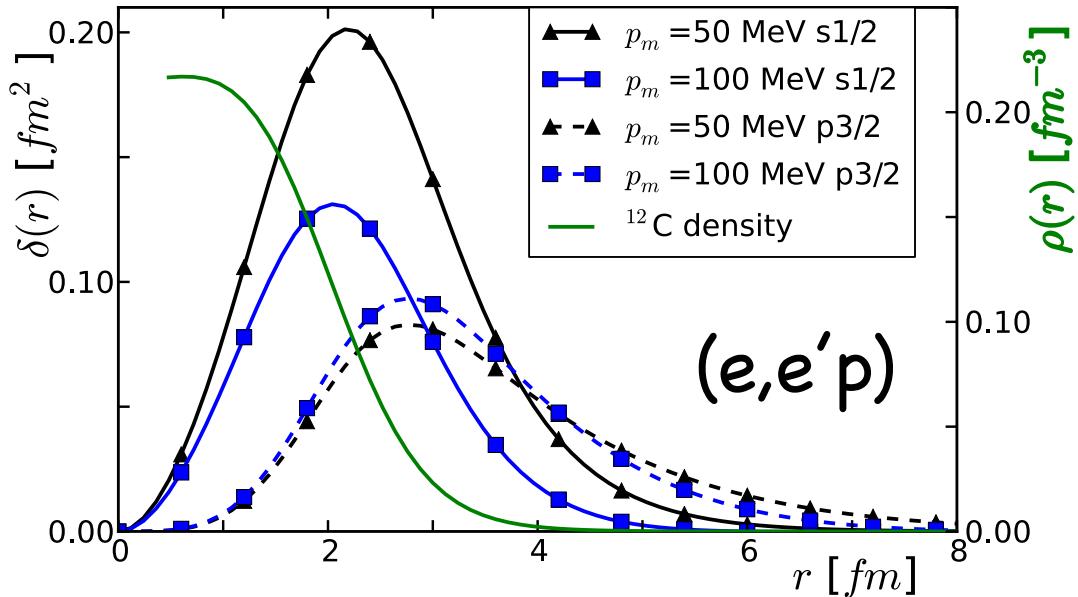
- Polarization-transfer data effectively described by **in-medium electromagnetic form factors** or **charge-exchange FSI**.
- For  $Q^2 \geq 1.3 (\text{GeV}/c)^2$  **Madrid RDWIA** and **Schiavilla (2010)** results seem to agree.
- Additional data needed
- **E11-002** will measure one new high-precision data point of the  ${}^4\text{He}$  polarization-transfer double ratio at  $Q^2 = 1.8 (\text{GeV}/c)^2$ .
  - Will it be **reduced by 7%** with respect to **Madrid RDWIA/Schiavilla**?

# Measurement of the $^{12}\text{C}(\text{e},\text{e}'\text{p})$ Reaction: A Powerful Extension of E11-002



- s-shell knockout in the  $^{12}\text{C}(\text{e},\text{e}'\text{p})^{11}\text{B}$  reaction allows probing medium modifications of the  $P'_x/P'_z$  ratio at high proton virtualities.

# S-Shell Knockout Probes High Nuclear Densities in $^{12}\text{C}$

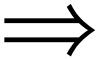


G. Ron, W. Cosyn, E. Piasetzky, J. Ryckebusch, and  
J. Lichtenstadt, Phys. Rev. C **87**, 028202 (2013).

Reaction	Orbit	$\rho$ (fm)
$(e, e' p)$	$s_{1/2}$	0.086
$(e, e' p)$	$p_{3/2}$	0.038
$(p, 2p)$	$s_{1/2}$	0.055
$(p, 2p)$	$p_{3/2}$	0.025

$E_{\text{kin}}(p) = 1.5 \text{ GeV}$ ; Wim Cosyn and Jan  
Ryckebusch, Phys. Rev. C **80**, 011602(R) (2009)

To probe  
highest densities ...



- s-shell knockout
- $(e, e' p)$  or  $(\gamma, pp)$
- high missing momentum

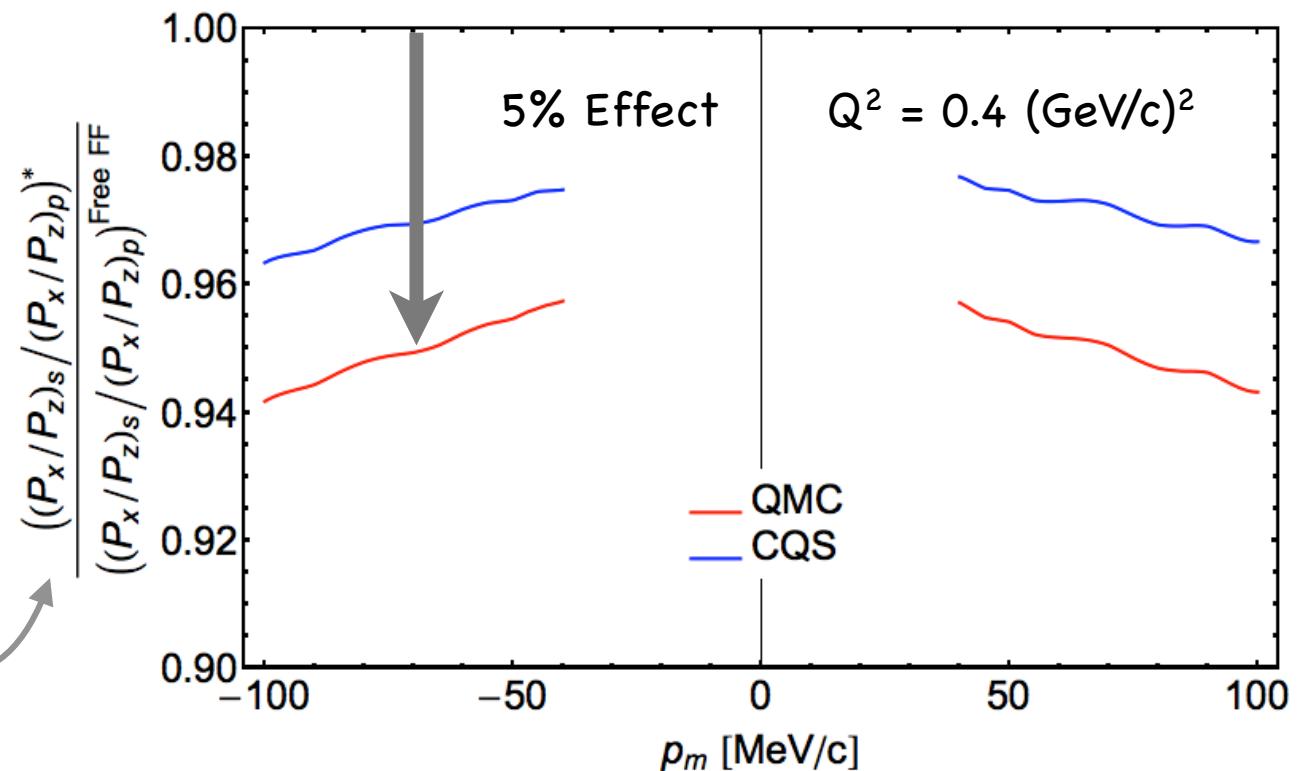
# Proposed $^{12}\text{C}(\text{e},\text{e}'\text{p})$ Experiment at MAMI

Expected data:

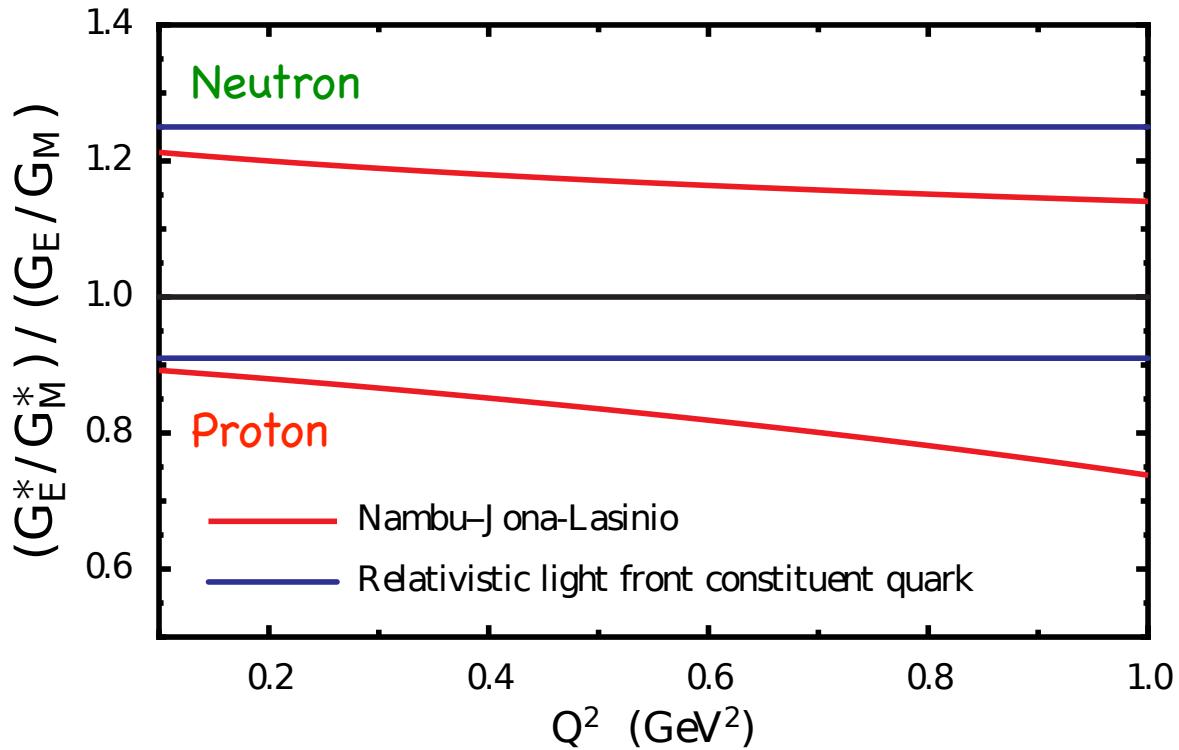
Four pm bins  
with 1-2%  
uncertainty.

The super double  
ratio helps  
suppressing  
experimental and  
theoretical  
uncertainties

$P'x/P'z$  s-shell over p-shell

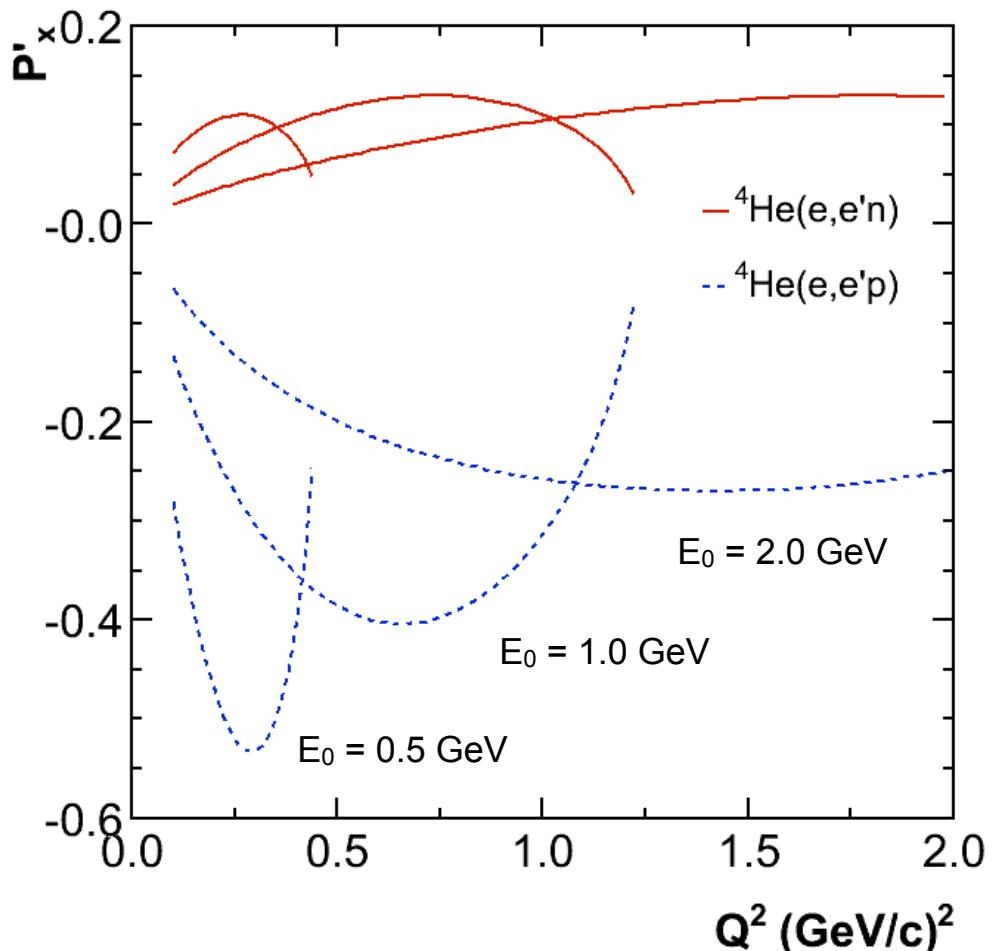


# Neutron in the Nuclear Medium



- Different models for medium modification all give same result.
- Effect on **neutron** form factor ratio **very different** from the **proton**!
- ${}^4\text{He}(e,e'n){}^3\text{He}$ :  $G_E^n \approx 0$ ; very difficult measurement.

# $^4\text{He}(e,e'n)^3\text{He}$ : Challenges and Choice of $Q^2$



$$P_x' = -2\sqrt{\tau(1+\tau)} \frac{\frac{G_E}{G_M}}{\left(\frac{G_E}{G_M}\right)^2 + \frac{\tau}{\epsilon}} \tan \frac{\theta_e}{2}$$

- Measure  $P'_x$ ,  $P'_z$  to study possible neutron medium modifications
- $Q^2 = 0.1 (\text{GeV}/c)^2$  - Theory calculation\* best at low energy
- $Q^2 = 0.4 (\text{GeV}/c)^2$  - Highest sensitivity to changes in magnetic FF
- $Q^2 = 0.8 (\text{GeV}/c)^2, 1.3 (\text{GeV}/c)^2$  - Direct comparison with  $(e,e'p)$  results
- $P_y$  in  $^4\text{He}(e,e'n)$  could also provide crucial constraints on charge-exchange FSI

\*S. Bacca, N. Barnea, W. Leidemann, and G. Orlandini,  
PRL 102, 162501 (2009)  
JLab LOI 10-007: G. Ron, D. Higinbotham, R. Gilman,  
S. Strauch, J. Lichtenstadt

MAMI ?  
JLab ?

# Summary

Reaction	Observable	Medium effect	Driving parameter
$(e, e')_{\text{DIS}}$	$\sigma_A$	depletion of the <b>nuclear structure function <math>F_2</math></b>	local density; (indirect) proton momentum
$(e, e' p)_{\text{QE}}$	$P'_x/P'_z$	depletion of the polarization-transfer ratio, $G_E/G_M(?)$	proton virtuality (?)
$(p, pp)_{\text{QE}}$	$P_1, P_2, C_{ij}$	depletion of proton polarization; <b>p-p scattering matrix(?)</b>	proton (s-shell) binding energy

## New experiments and plans:

- 
- ▶  ${}^4\text{He}, {}^2\text{H}, {}^1\text{H}(e, e' p)$ : large  $p_m$  and high  $Q^2$ ; **virtuality**, [JLab, E11-002]
  - ▶  ${}^{12}\text{C}(e, e' p)$ : s-shell knockout, **high density**, high virtuality
  - ▶  ${}^4\text{He}, {}^2\text{H}(e, e' n)$ : **neutron medium modifications**