Theory of the EMC effect and GPDs in Nuclei

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Challenges from the organizing committee...

- Can the many-body-effects appearing in the interaction current be separated from those appearing in the wave function?
- Can conventional nuclear theory provide calculations of the observables measured in coincidence experiments?
- What is the relation between two-nucleon correlations and the EMC effect?
- ✓ What is the role of relativistic effects in the present context?
- What experiments can determine the role of three nucleon correlations?
- What is the role of quark, as opposed to nucleon or meson, effects in understanding the plateau and the EMC effect?
- ✓ Which other reactions can be used to elucidate the effects of short-ranged correlations?
- ✓ How can the EMC effect be studied in semi-inclusive DIS (and exclusive DVCS)?
- How do hadronization effects reveal themselves in semi-inclusive DIS?

Outline

- Some theoretical issues on the EMC effect
- Introduction to Generalized Parton Distributions (GPDs) and Deeply Virtual Compton Scattering (DVCS): Kinematics and Definitions
- ✓ What we can learn from GPDs and DVCS from Nuclei
- ✓ QCD analysis of DVCS from Nuclei



... ab initio....

S. Liuti, Phys.Rev. C47 (1993): "results will depend on whether similar kinematical regions in the spectral function are integrated over"





Numerator and denominator calculated at shifted x_{Bj} so as to cover the same areas in momentum and removal energy:

$$R = \sigma_A(y_A = y_D) / \sigma_D(y_A = y_D),$$

Numerator and denominator calculated at same x_{Bi}

A BRIEF HISTORY...

➔ The idea of using nuclei as "laboratories for QCD" is introduced in the '80s by Brodsky, Frankfurt, Ioffe, Kopeliovich, Miller, A. Mueller, Nikolaev, Pire, Ralston, Strikman....

 \rightarrow Experiments are performed: EMC, NMC @ CERN, E665 @ Fermilab, DY and J/ ψ production @ Fermilab, etc...

→ Many intricacies and controversies appear: <u>no clear-cut interpretation</u> of the "EMC-effect", of the onset of shadowing and anti-shadowing (are sum rules satisfied in nuclei? are parton distributions probabilities?), Color Transparency....

➔ TODAY: Deeply Virtual Exclusive Experiments add a whole new dimension where to explore nuclear medium modifications. One can observe previously inaccessible spatial d.o.f.

Images from Univ. of Frankfurt + G. Miller websites



The EMC Effect: Kinematics and Definitions



Nucleon Correlator

$$\begin{split} W^{\gamma^{+}}_{\Lambda}(\underline{p,k}) &= \int \frac{d^{4}z}{(2\pi)^{4}} e^{ikz} \langle p, \Lambda \mid \overline{\Psi}(0)\gamma^{+}\mathcal{W}\Psi(z) \mid p, \Lambda \rangle \\ \downarrow \\ W^{\gamma^{+}}_{\Lambda}(\underline{p,x}) &= \int dk^{-}d^{2}\vec{k}_{T} W^{\gamma^{+}}_{\Lambda}(p,k) \\ &= \int \frac{dz^{-}}{2\pi} e^{ikz} \langle p, \Lambda \mid \overline{\Psi}(0)\gamma^{+}\mathcal{W}\Psi(z) \mid p, \Lambda \rangle \mid_{z^{+}=0, z_{T}=0} \end{split}$$

Probabilistic interpretation:

$$W^{\gamma^{+}}_{\Lambda}(p,x) = \int dz^{-} e^{ikz} \langle p,\Lambda \mid \overline{\phi}(0)\phi(z) \mid p,\Lambda \rangle \mid_{z^{+}=0,z_{T}=0} = f_{1}(x)\overline{u}(p,\Lambda)\gamma^{+}u(p,\Lambda) \quad (6)$$

where $\phi = (1/2)\gamma^{-}\gamma^{+}$. Then

$$F_2(x) = \sum_{\Lambda} W_{\Lambda}^{\gamma^+}(p, x) \tag{7}$$

$$F_2(x) = \sum_X \delta(p^+ - xp^+ - p_X^+) |\langle X | \phi(0) | p, \Lambda \rangle|^2$$
(8)

In a nucleus:

$$W^{\gamma^+}_\Lambda(P_A,k) = \int d^4p \ W(P_A,p) \, W^{\gamma^+}_\Lambda(P,k)$$

Naïve Convolution Formula

$$F_2(x) = \int_x^A dz \ f_A(z) F_2^N(x/z)$$



But.....



R(x)

LC extension of the Hugenholtz VanHove theorem



G. Miller

Because of Koltun Sum Rule

$$\langle E \rangle = 2\varepsilon_A + \frac{A-2}{A-1} \langle T \rangle - \langle V_3 \rangle$$

There is a tension between E and T, the two slopes are related, no extra d.o.f. for modeling...



Beyond naïve convolution formula In nuclei:

S.L. and S.K. Taneja, PRC (2005)

$$T^A_{\mu\nu}(P_A,\Delta) = \int \frac{d^4P}{(2\pi)^4} T^N_{\mu\nu}(k,P,\Delta) \mathcal{M}^A(P,P_A,\Delta),$$

where:

$$\mathcal{M}_{ij}^A(P, P_A, \Delta) = \int d^4 y \, e^{iP \cdot y} \langle P'_A | \overline{\Psi}_{A,j}(-y/2) \Psi_{A,i}(y/2) | P_A \rangle.$$

The punch line: factorization is broken

$$F^A(X,\zeta,t) = \int \frac{d^4P}{(2\pi)^4} F^N_{OFF}(X_N,\zeta_N,P^2,t) \mathcal{M}^A(P,P_A,\Delta),$$

We cannot factor out the transverse degrees of freedom!

to $m_{\pi}^2 \cong 0.02$ GeV. Whether the distribution of quarks in a pion so far off shell is the same as the distribution on shell is anybody's guess. In any case, advocates of pion and other convolution based models ignore any p^2 dependence of the constituents' quark distributions.

The assumptions leading to a convolution model are arguable at best

R. Jaffe, 1985

A clear case where FSI dominates exists at low x_{Bi}



Brodsky, Schmidt, Yang Brodsky, Hoyer, Peigne', Sannino

"model FSI at low x in terms of Pomeron, Reggeon, Odderon exchanges" (see S.Brodsky's talk)

Brodsky, Schmidt, Yang PRD (2004)



Figure 2: Glauber-Gribov shadowing involves interference between rescattering amplitudes.

Brodsky: the Glauber-Gribov picture involves interference between rescattering amplitudes

Key argument (Hoyer and Vanttinen): at low x the LC time is long enough to allow for coherent effects



Parton off-shellness for PDFs



Liuti and Taneja (2005)



Effect is related to transverse motion of quarks

Next using GPDs formalism, I will argue that at large x parton reinteractions in nuclei are also leading effects because of the enlarged parton offshellness

Nuclear GPDs: motivations

- Nuclear GPDs, by providing spatial distributions of partonic configurations in hadrons allow us to discern among different proposed mechanisms for the nuclear EMC effect
 interesting connection with TMDs (role of FSI)
- Nuclear GPDs shed light on the role of OAM in hadrons for spin ≠1/2 (S=1, deuteron), (S=0,⁴He).
- Moments: quarks and gluons angular momentum
- Finally, nuclear GPDs allow one to validate the onset of Color Transparency phenomena by monitoring directly in coordinate space the dominance of partonic small size configurations

Off-forward EMC effect: Longitudinal Convolution Formula

Nuclear Hadronic Tensor

$$T^A_{\mu\nu}(P_A,\Delta) = \int \frac{d^4P}{(2\pi)^4} T^N_{\mu\nu}(k,P,\Delta) \mathcal{M}^A(P,P_A,\Delta),$$

Nuclear Correlator

$$\mathcal{M}^A_{ij}(P,P_A,\Delta) = \int d^4y \, e^{iP\cdot y} \langle P_A' | \overline{\Psi}_{A,j}(-y/2) \Psi_{A,i}(y/2) | P_A
angle.$$

e

i.a



Non-forward kinematics



Use e.g. a spectator model (with a spin 0 diquark) to take a closer look to the nucleon correlator $\mathcal{M}^{\mathbb{N}}$...



From \mathcal{M}^{N} to \mathcal{M}^{A} (Spin 0)

$$\mathcal{M}_{ij}^{A} = \overline{U}_{A-1}(P'_{A}, S)\overline{\Gamma}_{A}(P', P'_{A}) \frac{(\not P' + M)}{P'^{2} - M^{2}} \frac{(\not P + M)}{P^{2} - M^{2}} \Gamma_{A}(P, P_{A}) U_{A-1}(P_{A}, S)$$

 $U_{A-1} \rightarrow \text{spectator } A-1 \text{ nucleons with mass } M^*_{A-1}$

 $\Gamma_A \rightarrow$ nuclear vertex function

$$\mathcal{M}_{ij}^{A} = \mathcal{N}_{A}\left(\sum_{S} U_{i}(P,S)\overline{U}_{j}(P',S)\right) \
ho_{A}(P^{2},P'^{2})$$

Non-forward nuclear spectral function

Meaning of model beyond longitudinal convolution

 k_{τ} dependent nuclear structure function \approx "Nuclear Lensing Function" \times GPD



FSI are present, they affect how one goes "off the LC" in the transverse direction, this effect is larger in nuclei

Nuclei are a unique handle to test/highlight role of partons multi-correlations, ISI and FSI!





Explanation of Result

Why larger dip?

Using LC approx.: $H_A(X,t) \approx H_N(X/(1-\langle E(t) \rangle/M))$

 $\left< E(t) \right> \approx \left< E(t=0) \right> \rightarrow$ no sensible difference

$$\underbrace{\text{Using Active-}k_{\perp}}_{\langle Y(P^2,t)\rangle \neq \langle Y(P^2,t=0)\rangle \parallel} \left(H_A(X,t) \approx H_N(X/(\langle Y(P^2,t)\rangle) \right) \right)$$

• Similarly for k_{\perp} -dependent mechanism giving anti-shadowing

Effect due to "non-trivial" t dependence of higher moments in nuclei GPDs trigger on k_{\perp} dependent effects!! Extracting GPDs from Cross Sections and Beam Spin Asymmetries

BH, DVCS and Interference contributions azymuthal dependence written explicitely (Belitsky, Muller, Kirchner)

$$\begin{split} \mathcal{T}_{BH}^{2} &= \frac{e^{6}(1+\epsilon^{2})^{-2}}{x_{A}^{2}y^{2}t\,\mathcal{P}_{1}(\varphi)\mathcal{P}_{2}(\varphi)}\sum_{n=0}^{n=2}c_{n}^{BH}\cos\left(n\varphi\right),\\ |\mathcal{T}_{DVCS}^{\lambda}|^{2} &= \frac{e^{6}}{y^{2}Q^{2}}\sum_{n=0}^{n=2}\left\{c_{n}^{DVCS}\cos\left(n\varphi\right) + \lambda s_{n}^{DVCS}\sin\left(n\varphi\right)\right\},\\ \mathcal{T}^{\lambda} &= \frac{e^{6}}{x_{A}y^{3}t\,\mathcal{P}_{1}(\varphi)\mathcal{P}_{2}(\varphi)}\sum_{n=0}^{n=3}\left\{c_{n}^{\mathcal{I}}\cos\left(n\varphi\right) + \lambda s_{n}^{\mathcal{I}}\sin\left(n\varphi\right)\right\}. \end{split}$$

Coefficients correspond to the L,T,LT,TT,LT', ... terms in the x-sec.

4He: Spin 0

Bethe-Heitler

$$c_{0}^{BH} = \left[\left\{ (2-y)^{2} + y^{2}(1+\epsilon^{2})^{2} \right\} \left\{ \frac{\epsilon^{2}Q^{2}}{t} + 4(1-x_{A}) + (4x_{A}+\epsilon^{2})\frac{t}{Q^{2}} \right\} + 2\epsilon^{2} \left\{ 4(1-y)(3+2\epsilon^{2}) + y^{2}(2-\epsilon^{4}) \right\} - 4x_{A}^{2}(2-y)^{2}(2+\epsilon^{2})\frac{t}{Q^{2}} + 8K^{2}\frac{\epsilon^{2}Q^{2}}{t} \right] F_{A}^{2}, \qquad (24)$$

$$c_{1}^{BH} = -8(2-y)K \left\{ 2x_{A}+\epsilon^{2}-\frac{\epsilon^{2}Q^{2}}{t} \right\} F_{A}^{2}, \qquad (25)$$

$$c_2^{BH} = 8K^2 \frac{\epsilon}{t} F_A^2, \tag{26}$$

DVCS

 $c_0^{DVCS}=2(2-2y+y^2)\,\mathcal{H}_A\mathcal{H}_A^\star,$

Interference

$$\begin{split} c_0^{\mathcal{I}} &= -8(2-y)\frac{t}{Q^2}F_A \,\Re e\{\mathcal{H}_A\} \\ &\times \left\{ (2-x_A)(1-y) - (1-x_A)(2-y)^2 \left(1-\frac{t_{min}}{Q^2}\right) \right\}, \\ c_1^{\mathcal{I}} &= 8K(2y-y^2-2)F_A \,\Re e\{\mathcal{H}_A\}, \\ s_1^{\mathcal{I}} &= 8Ky(2-y)F_A \,\Im m\{\mathcal{H}_A\}. \end{split}$$

Interference between BH and DVCS from Nuclear Beam Spin Asymmetry

Nuclear Beam Spin Asymmetry S.L., S.K. Taneja, PRC 72 (2005) 034902, PRC 72 (2005) 032201

$$A_{LU}^{(A)} = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \approx \frac{s_1^{\mathcal{I}}}{c_o^{BH}} \sin \phi$$

$$s_1^{\mathcal{I}} \propto \Im m \, \mathcal{H}_A \, F_A(t) \qquad \qquad c_o^{BH} \propto \left[F_A(t)\right]^2$$

$$\begin{split} & \Im \mathcal{H}_A(X,\zeta,t) = -\pi \sum_q e_q^2 \left[H_A^q(\zeta,\zeta,t) + H_A^{\overline{q}}(\zeta,\zeta,t) \right] \\ & (Kirchner \ and \ Mueller,\ 2004) \end{split}$$

Coherent vs. Incoherent processes



\Rightarrow Interference Term for Coeherent DVCS & BH

Non-forward spectral function

$$\mathcal{I}_{coh}(\zeta,t) = \mathcal{K} H^A(\zeta,t) \times Z^2 F^A(t)$$
$$H^A(\zeta,t) = \int \frac{d^2 P_{\perp} dY}{2(2\pi)^3} \mathcal{N} \rho^A(Y,P^2;\zeta,t) H^N\left(\frac{\zeta}{Y},\frac{\zeta}{Y},t;P^2;\right)$$

 \uparrow off-forward EMC-effect \uparrow

 \Rightarrow Interference Term for Incoeherent DVCS & BH

Forward spectral function

$$\mathcal{I}_{inc}(\zeta, t) = \mathcal{K}H_0^A(\zeta, t) \times ZF_1^N(t)$$

$$H_0^A(\zeta,t) = \int \frac{d^2 P_\perp dY}{2(2\pi)^3} \mathcal{N} \rho_0^A(Y,P^2) H^N\left(\frac{\zeta}{Y},\frac{\zeta}{Y},t;P^2\right)$$

Hermes ⇒ first data Phys.Rev.C81 (2010)



 $R_{LU}^{\sin\phi}(A/p) = 0.91 \pm 0.19$ coherent $R_{LU}^{\sin\phi}(A/p) = 0.93 \pm 0.23$ incoherent



Jlab/Hall B analysis (K. Hafidi et al.) in progress (talk by H. Egiyan at DIS 2010)





DVCS Phase Space



The candidate **experimental phase space** for coherent DVCS is limited and statistics **does not allow** for a **multi-dimensional analysis** as was performed in the **CLAS p-DVCS** experiment.

$$-t \le 0.2 \text{ GeV}^2$$
 $0.1 \le x_B \le 0.3$ $1 \text{ GeV}^2 \le Q^2 \le 2.4 \text{ GeV}^2$



DVCS Asymmetries



Other calculations and observables

Guzey and Siddikov (2006)



Introduce meson d.o.f. The "pion excess" model

S. Scopetta, Phys.Rev.C79 (2009)





³He







S. Scopetta



F. Cano and B.Pire

Deuteron (data from Hall A, Mazouz et al.)

Moments: Angular Momentum Sum Rules

$$M_n^A(t) = \left(\int_0^A dy y^{n-1} f_A(y,t)\right) \left(\int_0^1 dx x^{n-2} [x H^N(x,\xi,t)]\right),$$



t≠0

 $F^{A}(t) = F^{A,point}(t)F^{N}(t)$ $M_{2}^{A}(\xi,t) = M_{2}^{A,point}(t)M_{2}^{N}(t) + M_{0}^{A,point}(t)\frac{4}{5}d_{1}^{N}(t)\xi^{2}, 2^{nd} \text{ moment: momentum fraction}$

$$\langle x(t)\rangle_A = \frac{M_2^A(t)}{F^A(t)} = \frac{M_2^{A,point}(t)}{F^{A,point}(t)} \frac{M_2^N(t)}{F^N(t)} = \langle y(t)\rangle_A \langle x(t)\rangle_N,$$

The D-term in a nucleus reads:

$$d_1^A(t) = M_0^{A,point}(t)d_1^N(t).$$

$d_1^A(0) \approx 1/[1 - \overline{E}/M + 2/3\langle P^2 \rangle/2M^2]_A d_1^N(0) \cong A \ln A$

≠

 $d_1^A(0) \propto A^{7/3}$ Polyakov – Liquid Drop Model

Nuclear Exclusive: Form Factor in Nuclei

S.L., hep-ph/0601125

$$F_A(t) = \int_0^A dx H_A(x,t)$$

$$F_A^{LC}(t) = F_A^{point}(t)F_N(t)$$

$$F_A(t) = \int_X^A dY \int dP^2 \rho_A(Y,t;P^2) H_N\left(\frac{X}{Y},t;P^2\right)$$

$$\hat{F}_1^N(t) = \left[\frac{F^A(t)}{F^A_{LC}(t)}\right]F_1^N(t)$$

 \uparrow Medium Modified Form Factor \uparrow



Effect of shadowing on in medium form factor!

"If configurations with small radii exist, they can be isolated by performing CT and/or nuclear filtering experiments"

Define filter as
$$\Pi(b) = \begin{cases} 1 & b < b_{max}(A) \\ 0 & b \ge b_{max}(A) \end{cases}$$

This affects the GPD as $H_A(x,Q^2) = \int_0^{b_{max}(A)} db \, b \, q(x,b) J_0(b\Delta),$

Transparency ratio

$$T_A(Q^2) = \frac{\left[\int_0^1 dx H_A(x,\Delta)\right]^2}{\left[\int_0^1 dx H(x,\Delta)\right]^2},$$

S.L. snd S.K. Taneja (2005)



$$R = 1 - \exp(-\alpha b_{\max})[\alpha b_{\max}J_0(\Delta b_{\max}) + \cos(\Delta b_{\max})].$$

DEUTERON

Physical Interpretation of the various deuteron GPDs: Form Factors

$$\begin{split} &\int H_1(x,\xi,t) \, dx = G_1(t) \\ &\int H_2(x,\xi,t) \, dx = G_2(t) \\ &\int H_3(x,\xi,t) \, dx = G_3(t) \\ &\int H_4(x,\xi,t) \, dx = 0 \\ &\int H_5(x,\xi,t) \, dx = 0 \end{split}$$

$$\begin{split} G_{_{C}}(t) &= G_{_{1}}(t) + \frac{2}{3} \eta \, G_{_{Q}}(t) \\ G_{_{M}}(t) &= G_{_{2}}(t) \\ G_{_{Q}}(t) &= G_{_{1}}(t) - G_{_{2}}(t) + (1 + \eta) \, G_{_{3}}(t) \end{split}$$

$$\begin{split} G_{C}(0) &= 1\\ G_{M}(0) &= \frac{M_{D}}{M_{N}} \mu_{D} = 1.714\\ G_{Q}(0) &= M_{D}^{2} Q_{D} = 25.83 \end{split}$$

$$\eta = \frac{t}{2M_D^2}$$

Physical Interpretation of the various deuteron GPDs: PDFs

$$H_{_1}(x,0,0) = \frac{1}{3} \Big(q^{_1}(x) + q^{^{-1}}(x) + q^{_0}(x) \Big) = f_{_1}(x)$$

$$H_{_{5}}(x,0,0) = \left(q^{_{0}}(x) - \frac{q^{_{1}}(x) + q^{^{-1}}(x)}{2}\right) = b_{_{1}}(x)$$

Angular Momentum Sum Rule: Taneja et al, PRD 2012

$$\frac{1}{2}\mathcal{G}_{5}^{q,g} = \frac{1}{2}\int dx \ xH_{2}(x,0,0) = J_{z}^{q,g}$$

Nuclear effect much larger than in unpolarized scattering



Needs to be treated systematically...

Other relations

$$\int dxx[H_1(x,\xi,t) - \frac{1}{3}H_5(x,\xi,t)] = \mathcal{G}_1(t) + \xi^2 \mathcal{G}_3(t)(7) \quad \text{Momentum}$$

$$\int dxxH_2(x,\xi,t) = \mathcal{G}_5(t) \quad (8) \quad \text{Angular Momentum}$$

$$\int dxxH_3(x,\xi,t) = \mathcal{G}_2(t) + \xi^2 \mathcal{G}_4(t) \quad (9) \quad \text{Quadrupole}$$

$$\int dxxH_4(x,\xi,t) = \xi \mathcal{G}_6(t) \quad (10)$$

$$\int dxxH_5(x,\xi,t) = \mathcal{G}_7(t) \quad (11) \quad \text{Connected to } b_1 \, \text{SR}$$

Conclusions and Outlook

- Exclusive experiments in nuclei provide an even better laboratory to study QCD in coordinate space:
 - vast phenomenology...
 - study short LC distance structure of nuclei at the wave function level
- We have seen more constraints on GPDs from nuclei...
- ...and at the same time new insights on nuclear modifications from GPDs
- Re-interactions are important and emphasize transverse d.o.f.: need to explore connections between k_T and b
- Comparison between GPD models and data is indeed possible...GPD extraction is possible!!!

