Experimental Overview of Past and Future Studies of the EMC Effect

Dave Gaskell - JLab February 14, 2013

INT Workshop on Nuclear Structure and Dynamics at Short Distance



Outline

- Measurements of σ_A/σ_D
 - Early measurements
 - -x, Q^2 , nuclear dependence, universality
- JLab results and implications
 - EMC effect and local density
 - EMC-SRC connection
 - Flavor dependence
 - Nuclear dependence of $R = \sigma_L / \sigma_T$
- Summary



Quarks in the Nucleus

Typical nuclear binding energies \rightarrow MeV while DIS scales \rightarrow GeV

Naïve expectation:

$$F_2^{A}(x) = ZF_2^{p}(x) + (A - Z)F_2^{n}(x)$$

More sophisticated approach includes effects from Fermi motion

$$F_2^{A}(x) = \sum_{i} \int_{x}^{M_A/m_N} dy f_i(y) F_2^{N}(x/y)$$

Quark distributions in nuclei were not expected to be significantly different (below x=0.6)

$$F_2^{Fe} / \left(ZF_2^p + (A-Z)F_2^n \right)$$



Bodek and Ritchie PRD 23, 1070 (1981)



First Measurement of the EMC Effect

- First published measurement of nuclear dependence of *F*₂ by the European Muon Collaboration in 1983
- Observed 2 mysterious
 effects
 - Significant
 enhancement at small x
 → Nuclear Pions! (see my thesis)
 - Depletion at large x → the "EMC Effect"
- Enhancement at x<0.1 later went away



Aubert et al, Phys. Lett. B123, 275 (1983)



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Aubert et al, Nucl. Phys. B293, 740 (1987)



Confirmation of the Effect

SLAC re-analysis of old solid target data used for measurements of cryotarget wall backgrounds

→Effect for x>0.3confirmed →No large excess at very low x



Bodek et al, PRL 50, 1431 (1983) and PRL 51, 534 (1983)



Subsequent Measurements



A program of dedicated measurements quickly followed

The resulting data is remarkably consistent over a large range of beam energies and species



EMC Effect Measurements

Laboratory/ collaboration	Beam	Energy (GeV)	Target	Year
SLAC E139	е	8-24.5	D , ⁴ He, Be, C, Ca, Fe, Ag, Au	1994,1984
SLAC E140	е	3.75-19.5	D, Fe, Au	1992,1990
CERN NMC	μ	90	⁶ Li, ¹² C, ⁴⁰ Ca	1992
	μ	200	D , ⁴He, C, Ca	1991, 1995
	μ	200	Be, C , Al, Ca, Fe, Sn, Pb	1996
CERN BCDMS	μ	200	D, Fe	1987
	μ	280	D , N, Fe	1985
CERN EMC	μ	100-280	D, Cu	1993
	μ	280	D , C, Ca	1988
	μ	100-280	D , C, Cu, Sn	1988
	μ	280	H, D , Fe	1987
	μ	100-280	D, Fe	1983
FNAL E665	μ	490	D, Xe	1992
	μ	490	D, Xe	1992
DESY HERMES	е	27	D , ³ He, N, Kr	2000, 2003
Jefferson Lab	е	6	D, ³ He, ⁴ He, Be, C, Cu, Au	2009
	е	6	D , C, Cu, Au	2004 (thesis)



Geesaman, Saito, and Thomas, Ann. Rev. Nucl. Sci. 45, 337 (1995) – updated by Gaskell

Nuclear dependence of structure functions

Experimentally, we measure cross sections (and the ratios of cross sections)

$$\frac{d\sigma}{d\Omega dE'} = \frac{4\alpha^2 (E')^2}{Q^4 v} \left[F_2(v,Q^2) \cos^2 \frac{\theta}{2} + \frac{2}{Mv} F_1(v,Q^2) \sin^2 \frac{\theta}{2} \right] \qquad F_2(x) = \sum_i e_i^2 x q_i(x)$$

Experiments almost always display cross section ratios, σ_A/σ_D

 \rightarrow Often these ratios are labeled or called F_2^{A}/F_2^{D}

 \rightarrow Sometimes there is an additional uncertainty estimated to account for the $\sigma \rightarrow F_2$ translation. Sometimes there is not.



Isoscalar Corrections

In the case of nuclei where N \neq Z, need to remove the "trivial" change in nuclear cross section due to $\sigma_n \neq \sigma_p$ \rightarrow Different experiments often use slightly different parameterizations/estimates for this correction



Properties of the EMC Effect





x Dependence





x Dependence



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Properties of the EMC Effect



Global properties of the EMC effect

1. Universal x-dependence
2. Little
$$\Omega^2$$
 dependence*



Q² Dependence of the EMC Effect



(*) Q² Dependence of Sn/C



NMC measured non-zero Q^2 dependence in Sn/C ratio at low small x

→ This result is in some tension with other NMC C/D and HERMES Kr/D results

Arneodo et al, Nucl. Phys. B 481, 23 (1996)



Properties of the EMC Effect



Global properties of the EMC effect

- 1. Universal x-dependence
- 2. Little Q^2 dependence
- 3. EMC effect increases with *A*
- → Anti-shadowing region shows little nuclear dependence



A-Dependence of EMC Effect



NMC: Arneodo et al, Nucl. Phys. B 481, 3 (1996)



A-Dependence of EMC Effect



 $< r^2 > =$ RMS electron scattering radius

SLAC E139: Gomez et al, PRD 49, 4348 (1992)



EMC Effect Measurements at Large x

SLAC E139 provided the most extensive and precise data set for x>0.2

Measured σ_A / σ_D for A=4 to 197 \rightarrow ⁴He, ⁹Be, C, ²⁷Al, ⁴⁰Ca, ⁵⁶Fe, ¹⁰⁸Ag, and ¹⁹⁷Au \rightarrow Best determination of the *A* dependence

 \rightarrow Verified that the *x* dependence was roughly constant

Building on the SLAC data

- \rightarrow Higher precision data for ⁴He
- → Addition of ³He
- \rightarrow Precision data at large x







JLab E03103

E03103 in Hall C at Jefferson Lab ran Fall 2004

- \rightarrow Measured EMC ratios for light nuclei (³He, ⁴He, Be, and C)
- \rightarrow Results consistent with previous world data
- → Examined nuclear dependence a la E139



New definition of "size" of the EMC effect \rightarrow Slope of line fit from x=0.35 to 0.7

Definition assumes shape of the EMC effect is universal for nuclei

→Data *not inconsistent* with this assumption

→ Normalization errors mean
 we can only confirm this at
 1-1.5% level



JLab E03103 Results

E03103 measured σ_A/σ_D for ³He, ⁴He, Be, C

 \rightarrow ³He, ⁴He, C, EMC effect scales well with density



Scaled nuclear density = $(A-1)/A < \rho >$ \rightarrow remove contribution from struck nucleon

<*p*> from ab initio few-body calculations → [S.C. Pieper and R.B. Wiringa, Ann. Rev. Nucl. Part. Sci 51, 53 (2001)]



JLab E03103 Results

E03103 measured $\sigma_{\rm A}/\sigma_{\rm D}$ for ³He, ⁴He, Be, C

→ ³He, ⁴He, C, EMC effect scales well with density → Be does not fit the trend



Scaled nuclear density = $(A-1)/A < \rho >$ \rightarrow remove contribution from struck nucleon

<*p*> from ab initio few-body calculations → [**S.C. Pieper and R.B. Wiringa**, Ann. Rev. Nucl. Part. Sci 51, 53 (2001)]



EMC Effect and Local Nuclear Density

⁹Be has low average density \rightarrow Large component of structure is $2\alpha+n$

 \rightarrow Most nucleons in tight, α -like configurations

EMC effect driven by *local* rather than *average* nuclear density





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"Local density" is appealing in that it makes sense intuitively – can we make this more quantitative?

EMC Effect and Short Range Correlations



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Weinstein et al observed linear correlation between size of EMC effect and Short Range Correlation "plateau"

→Observing Short Range Correlations requires measurements at x>1→ Reaction dynamics very different – DIS vs. QE scattering, why the same nuclear dependence?



Nuclear Dependence of EMC and SRCs



nucleons

 R_{2N} ~ number of nucleons "close" together

Detailed study of nuclear dependence of EMC effect and SRCs (see N. Fomin's talk from Monday) does not favor either picture

Can we distinguish between these two pictures via some new observable? → Flavor dependence of the EMC effect



Flavor dependence and SRCs



S.C. Pieper and R.B. Wiringa, Ann. Rev. Nucl. Part. Sci 51, 53 (2001) High momentum nucleons from SRCs emerge from tensor part of *NN* interaction <u>– *np* pairs dominate</u>

 \rightarrow Probability to find 2 nucleons "close" together nearly the same for *np*, *nn*, *pp*

For r_{12} < 1.7 fm: $P_{pp} = P_{nn} \approx 0.8 P_{np}$

If EMC effect due to *high virtuality*, flavor dependence of EMC effect emerges naturally

→ If EMC effect from *local density*, *np/pp/nn* pairs all contribute (roughly) equally



Flavor dependence and SRCs

 $u_A = \frac{Z\tilde{u}_p + N\tilde{d}_p}{A} \quad d_A = \frac{Z\tilde{d}_p + N\tilde{u}_p}{A}$

High momentum nucleons in the nucleus come primarily from *np* pairs

 \rightarrow The relative probability to find a high momentum proton is larger than for neutron for *N*>*Z* nuclei

$$n_{p}^{A}(p) \approx \frac{1}{2x_{p}}a_{2}(A, y)n_{d}(p) \qquad x_{p} = \frac{Z}{A} \qquad \begin{array}{c} \textbf{0.1} \\ \textbf$$

0.5

Under the assumption the EMC effect comes from "high virtuality" (high momentum nucleons), effect driven by protons (u-quark dominates) \rightarrow similar flavor dependence is seen in some "mean-field" approaches



M. Sargsian, arXiv:1209.2477 [nucl-th] and arXiv:1210.3280 [nucl-th]

Flavor Dependence of the EMC Effect



Cloët, Bentz, and Thomas, PRL 102, 252301 (2009)

Isovector-vector mean field (ρ) causes u (d) quark to feel additional vector attraction (repulsion) in $N \neq Z$ nuclei

Experimentally, this flavor dependence has not been observed directly



EMC Flavor Dependence: Pion Drell-Yan



Experiment	Flavor Ind.	Flavor dep.	
NA3	1.3	0.5	
NA10	0.60	2.5	
Omega (low Q ²)	6.2	3.2	
Omega (high Q ²)	1.4	0.96	
	χ²/DOF		

Pion-induced Drell-Yan sensitive to potential flavor dependence, but existing data lack precision

Dutta, Peng, Cloët, DG, PRC 83, 042201 (2011)



Pion Drell-Yan at COMPASS



Semi-Inclusive DIS



Semi-Inclusive DIS



SIDIS - Interpretability



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$$R_h^A(z,\nu) = \frac{\left(\frac{1}{\sigma_e}\frac{d\sigma}{dzd\nu}\right)_A}{\left(\frac{1}{\sigma_e}\frac{d\sigma}{dzd\nu}\right)_D}$$

Hadronization is modified in the nuclear medium \rightarrow Probability for quark *f* to form hadron *h* changes \rightarrow Depends on *A*, hadron kinematics

Complicates interpretation of SIDIS measurements of flavor dependence if effect different for π^+ and π^-

→ This can be checked with measurements at x=0.3 (no EMC effect)

Parity Violating DIS



Measuring Flavor Dependence with PVDIS



Experimentally – simpler to measure super-ratio →Certain systematics are reduced (beam polarization) →Less sensitivity to absolute value of weak vector couplings

Note that even the "no flavor dependence" calculation not identically 1.0 → Must compare experimental result to the "naïve" estimate → Naïve estimate has some dependence on nucleon PDFs → May be non-negligible contribution to uncertainty



PVDIS at JLab



SOLID experiment at JLab (P. Souder, spokesperson) – use PVDIS to look for physics beyond Standard Model, d/u at large x \rightarrow awarded 169 days for H and D running \rightarrow no time for solid target running (flavor dependent EMC) requested yet



Flavor Dependence with inclusive DIS

Several alternatives for accessing flavor dependence of EMC effect

→Pion DY @ COMPASS: sufficient statistical precision at large x?
→SIDIS @ JLab: hadron attenuation and factorization concerns
→PVDIS @ JLab: SOLID experiment requires significant \$, long time scale

Would like something "easy" that can be done on a short time scale

Inclusive DIS on nucleus with same A and ρ but different ratio N/Z





Flavor dependence from ⁴⁰Ca and ⁴⁸Ca



E12-06-118: The MARATHON experiment



 \rightarrow "Free" n/p (d/u) ratios extracted using "known" corrections to difference in EMC effect in ³He/³H; additional flavor dependence could impact extraction



E12-10-008 and E12-06-105

Hall C experiments will provide more inclusive data \rightarrow E12-06-105 x>1 \rightarrow E12-10-008 EMC Effect

Will provide additional data on light and mediumheavy targets \rightarrow^{2} H, ³He, ⁴He \rightarrow^{6} Li, ⁷Li, Be, ¹⁰B, ¹¹B, C \rightarrow Al, ⁴⁰Ca, ⁴⁸Ca, Cu



First running in Hall C after completion of 12 GeV Upgrade will include a few days for EMC/x>1 measurements on ¹⁰B, ¹¹B, and AI (parasitic)



E12-11-107: In-Medium Structure **Functions**

Measure structure function of high momentum nucleon in deuterium by tagging the spectator \rightarrow Final state interactions cancelled by taking double ratios

 \rightarrow Requires new, large acceptance proton/neutron detector at back angles





Light to Heavy Nuclei

- New JLab data, new method of characterizing "size" of EMC effect gave insight into nuclear dependence of EMC effect.
 - Same dependence observed for A/D ratios at x>1
 - Correlation between EMC effect and SRCs
 - Local density vs. high virtuality → flavor dependence?
- Some interesting effects have also been observed for heavy targets



JLab E03103 – Heavy Targets

E03-103 also measured EMC ratios for Cu and Au – analysis at the relatively low 6 GeV beam energy complicated by *Coulomb Corrections*



Electrons scattering from nuclei can be accelerated/decelerated in the Coulomb field of the nucleus

→ This effect is NOT part of the hadronic structure of the nucleus we wish to study
 → Important to remove/correct for apparent changes in the cross section due to Coulomb effects

In a very simple picture – Coulomb field induces a change in kinematics in the reaction $E_e \rightarrow E_e + V_0$

$$E_e \rightarrow E_e + V_0$$

$$E_e' \rightarrow E_e' - V_0$$

$$V_0 = 3\alpha(Z-1)/2R$$





Coulomb Corrections in QE Processes

Importance of Coulomb Corrections in quasi-elastic processes well known



Gueye et al., PRC60, 044308 (1999)

Distorted Wave Born Approximation calculations are possible – but difficult to apply to experimental cross sections

 \rightarrow Instead use *E*ffective *M*omentum *A*pproximation (*EMA*) tuned to agree with DWBA calculations

EMA:
$$E_e \rightarrow E_e + V_0$$
 $E_e' \rightarrow E_e' - V_0$ with "focusing factor" $F^2 = (1 - V_0/E)$
 $V_0 \rightarrow (4/5)V_0$, $V_0 = 3\alpha(Z-1)/2R$ $V_0 = 10$ MeV for Cu, 20 MeV for Au

[Aste et al, Eur.Phys.J.A26:167-178,2005, Europhys.Lett.67:753-759,2004]



E03103: EMC Effect in Gold



No Coulomb Corrections applied



E03103: EMC Effect in Gold



with Coulomb Corrections (both data sets)



$R_A - R_D$

E03103 shows good agreement with E139 data for smaller A \rightarrow agreement not as good for heavier targets. Why?

$$\frac{d\sigma}{d\Omega dE'} = \frac{4\alpha^2 (E')^2}{Q^4 v} \left[F_2(v,Q^2) \cos^2 \frac{\theta}{2} + \frac{2}{Mv} F_1(v,Q^2) \sin^2 \frac{\theta}{2} \right]$$

 $\frac{d\sigma}{d\Omega dE'} = \Gamma \Big[\sigma_T(v,Q^2) + \varepsilon \sigma_L(v,Q^2) \Big] \qquad F_1 \alpha \sigma_T \quad F_2 \text{ linear combination of } \sigma_T \text{ and } \sigma_L$

Measurements of EMC effect often assume $\sigma_{A}\sigma_D = F_2^A/F_2^D$ \rightarrow this is true if $R = \sigma_L \sigma_T$ is the same for A and D

E139 data mostly at large ε – JLab data at small ε \rightarrow if RA \neq RD, this might explain the difference

 \rightarrow Motivated us to re-examine earlier experiments that measured nuclear dependence of R



SLAC E140: *R*_{*A*}*-R*_{*D*}



E140 measured ε dependence of cross section ratios σ_A/σ_D for

x=0.2, 0.35, 0.5 $Q^2 = 1.0, 1.5, 2.5, 5.0 \text{ GeV}^2$ Iron and Gold targets

 $R_A - R_D$ consistent with zero within errors

[E140 Phys. Rev. D 49 5641 (1993)]

No Coulomb corrections were applied



R_A-R_D: E140 Re-analysis

Re-analyzed E140 data using Effective Momentum Approximation for published "Born"-level cross sections

→ Total consistency requires application to radiative corrections model as well а^с _{0.1} $R_A - R_D = -2E - 4 + / - 0.02$ 0 -0.1 Dasu et al 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 0 $R_A - R_D = -0.03 + / -0.02$ 0.1 0 -0.1 Dasu et al - with CC 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 0 Х

Including Coulomb Corrections yields result 1.5 σ from zero when averaged over x



$R_{A}-R_{D}$ at **x=0.5**

Interesting result from E140 reanalysis motivated more detailed study $\rightarrow x=0.5, Q^2=5 \text{ GeV}^2$

→ Include E139 Fe data
 → Include JLab data
 Cu, Q²=4-4.4 GeV²

Normalization uncertainties between experiments treated as extra point-to-point errors

No Coulomb Corrections \rightarrow combined analysis still yields R_A - $R_D \sim 0$



No Coulomb Corrections



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with Coulomb Corrections

Application of Coulomb Corrections \rightarrow R_A-R_D 2 σ from zero



JLab Hall C E02-109/E04-001/E06-009



- → Precision extraction of separated structure functions on D, AI, C, Fe/Cu
- \rightarrow Search for nuclear effects in F_L, R
- \rightarrow Neutron and p-n moment extractions (compare to lattice calculations)

→ Allow study of quark-hadron duality for neutron, nuclei separated structure functions

F₂, F_L, R on Deuterium and heavier targets

World Data on R_A/R_D



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<u>SLAC E140:</u> PRD 49, 5641 (1994) R_A - R_D for Fe, Au Only true Rosenbluth separated data

<u>NMC:</u>

Phys. Lett. B 294, 120 (1992) R_{Ca} - R_C Nucl. Phys. B 481, 23 (1996) R_{Sn} - R_C Multiple beam energies, R_A - R_C extracted using Q² dep. fit at fixed x

HERMES:

Phys Lett. B 567, 339 (2003) R_A/R_D for Kr, N, ³He Fit ε dependence at fixed x for single beam energy (changing Q^2)

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Other Hints of non-zero R_A-R_D







Consequences of $R_A - R_D > 0$



$$\frac{\sigma_A}{\sigma_D} = \frac{F_1^A(x)}{F_1^D(x)} \left[1 + \frac{\epsilon(R_A - R_D)}{1 + \epsilon R_D} \right]$$

 F_1 ratio purely transverse

Anti-shadowing disappears for F_1 ratio, remains for F_2

Anti-shadowing from longitudinal photons?

More discussion in Thia Keppel's talk next week

V. Guzey et al, PRC 86 045201 (2012)



A Dependence of Anti-quark Distributions



- Drell-Yan process sensitive to anti-quark distributions in the target
- E772 measured no A dependence over limited x range, with limited precision
- E906 will measure up to x=0.4



D.M. Alde et al., PRL64: 2479 (1990)



A Dependence of Anti-quark Distributions



- Drell-Yan process sensitive to anti-quark distributions in the target
- E772 measured no *A* dependence over limited *x* range, with limited precision
- E906 will measure up to x=0.4



E906 underway ...



Nuclear Dependence of R

- Conventional wisdom was that there was little or no difference between R in heavy nuclei and free nucleon
- Recent JLab data suggests $R_A R_D < 0$ at large x
 - Alternatively, Coulomb Corrections are not under control
 - Better calculations and/or experimental tests needed
- Re-examination of high energy NMC data suggests $R_A R_D > 0$
 - How can this be consistent with JLab + SLAC data?
 - Q^2 dependent? Problems with either data set?
- More data is needed a systematic study over large range of Q² and x



Summary

- The EMC effect has been with us for 30 years and motivated intense experimental (and theoretical) study
- Amazingly, it seems there is still much to learn
 - What is the link between SRCs and the EMC effect?
 - Does the EMC effect depend on quark flavor?
 - Does $\sigma_A / \sigma_D = F_2^A / F_2^D$ for all x and Q^2
- Many of these questions will be addressed at JLab after the 12 GeV upgrade
- Issues I did not discuss
 - Polarized EMC effect
 - Low x measurements \rightarrow EIC
 - Several other processes that aim to quantify the modification of nucleons in the nucleus

