

# Fusion and other applications of density-constrained TDDFT



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## Outline of talk

standard TDHF: fusion above the barrier

DC-TDHF: fusion below and above the barrier

### Observables:

fusion cross section  $\sigma(E)$  for neutron-rich systems (RIB facilities)

average multi-nucleon transfer  $\Delta N(R)$ ,  $\Delta Z(R)$

pre-equilibrium excitation energy  $E^*(R)$

pre-equilibrium GDR excitation and dipole spectrum  $dP/dE_\gamma$

Capture cross sections for superheavy element formation

Fusion above the barrier: standard TDHF

## Time-dependent Hartree-Fock (TDHF) theory

- Equations of motion obtained from variational principle

$$\delta S = \delta \int_{t_1}^{t_2} dt \langle \Phi(t) | H - i\hbar \frac{\partial}{\partial t} | \Phi(t) \rangle = 0$$

- main approximation: many-body state is assumed to be a single time-dependent Slater determinant

$$\Phi(r_1, \dots, r_A; t) = (A!)^{-1/2} \det |\phi_\lambda(r_i, t)|$$

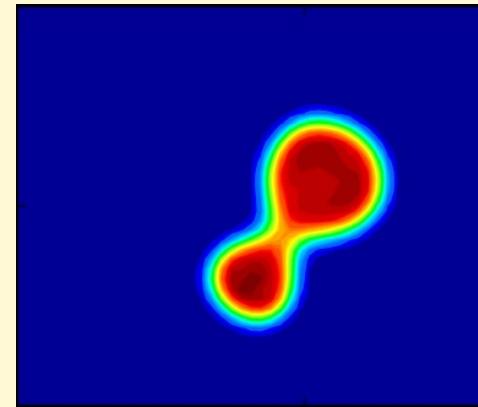
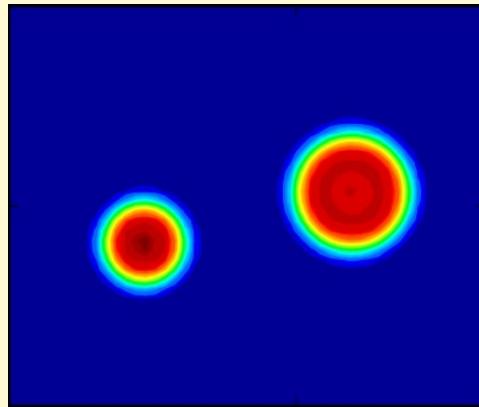
- TDHF equations for time-dependent single-particle states

$$h(\{\phi_\mu\}) \phi_\lambda(r, t) = i\hbar \frac{\partial}{\partial t} \phi_\lambda(r, t) \quad (\lambda = 1, \dots, A)$$

HF mean-field Hamiltonian  $h = \partial E / \partial \rho$

# A new generation TDHF Code: brief summary

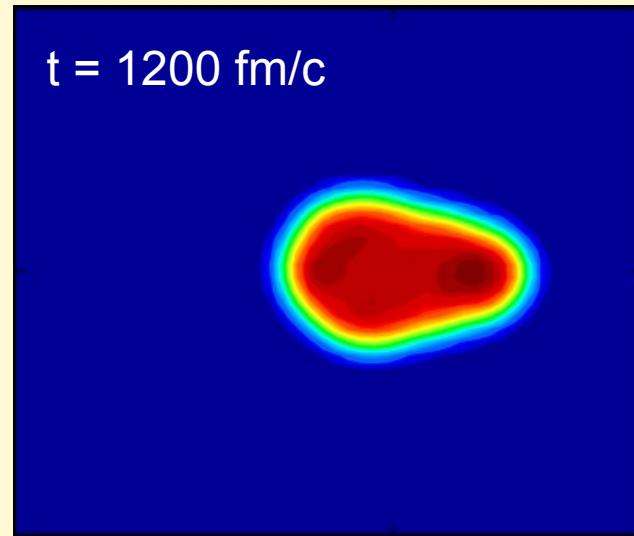
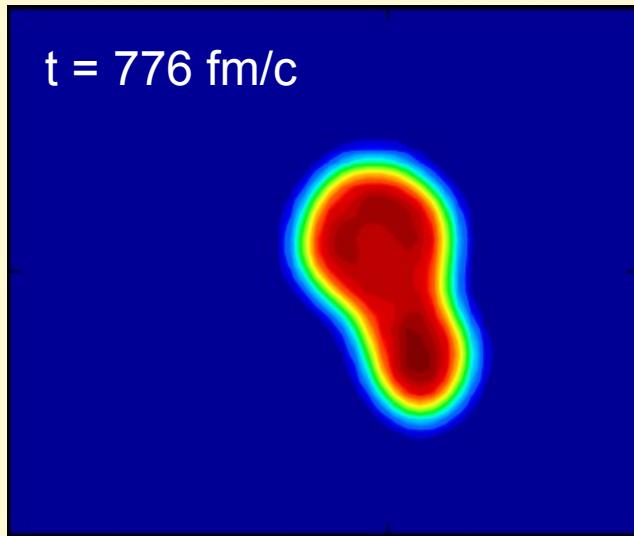
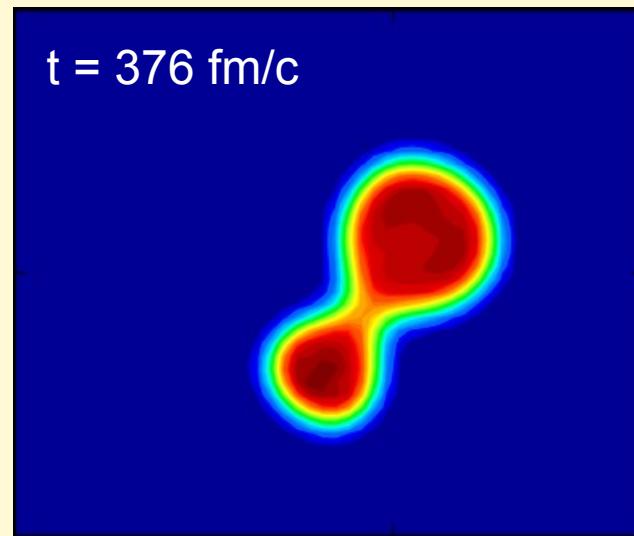
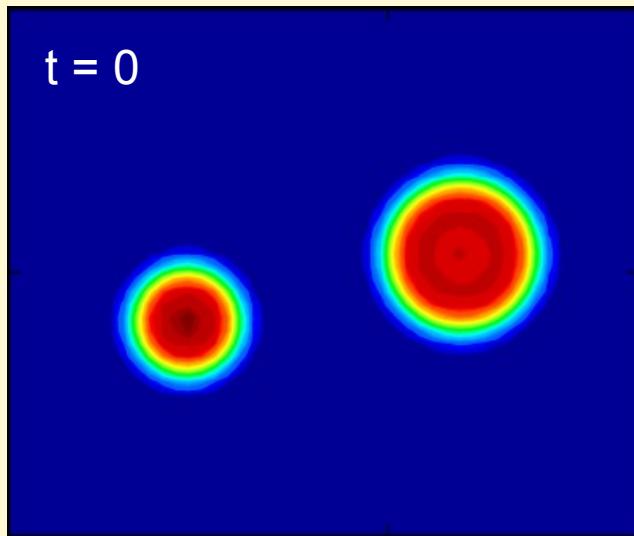
A. S. Umar and V. E. Oberacker, PRC 73, 054607 (2006)



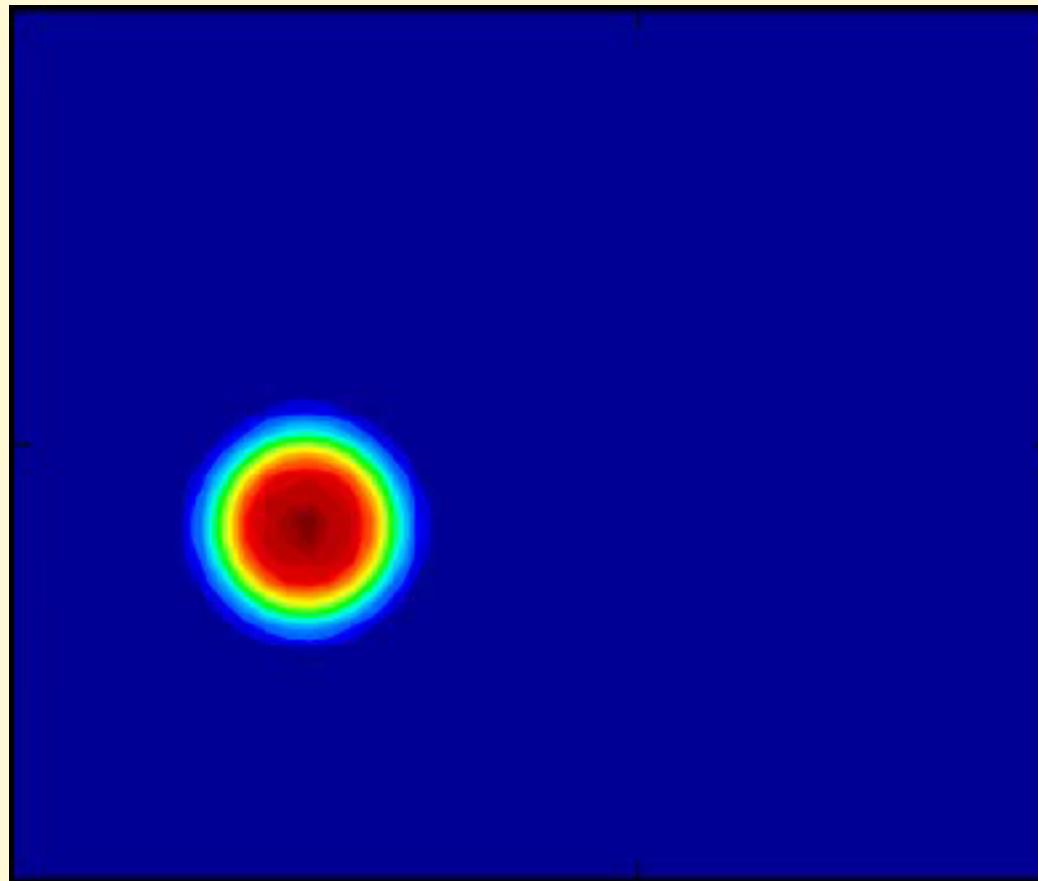
- 3-D Cartesian lattice
- Modern Skyrme forces with all terms (time-even /-odd)
- Coded in Fortran-95 and OpenMP
- Basis-Spline discretization for high accuracy  
Umar *et al.*, J. Comp. Phys. 93, 426 (1991)

$^{48}\text{Ca} + ^{132}\text{Sn}$ ,  $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b = 4.45 \text{ fm}$  (fusion)

TDHF, SLy4 interaction, 3-D lattice (50\*40\*30 points)

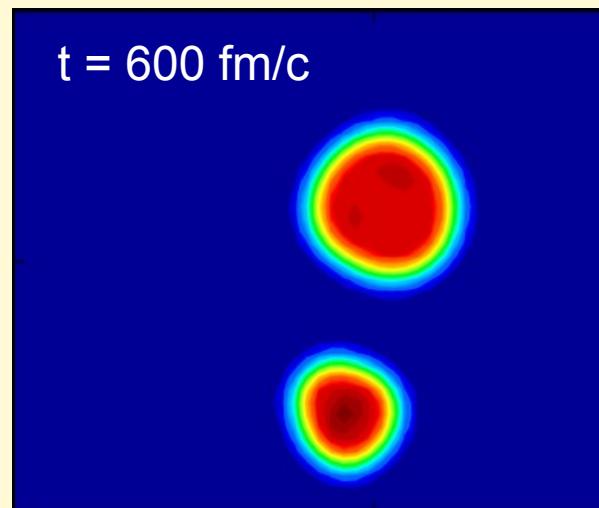
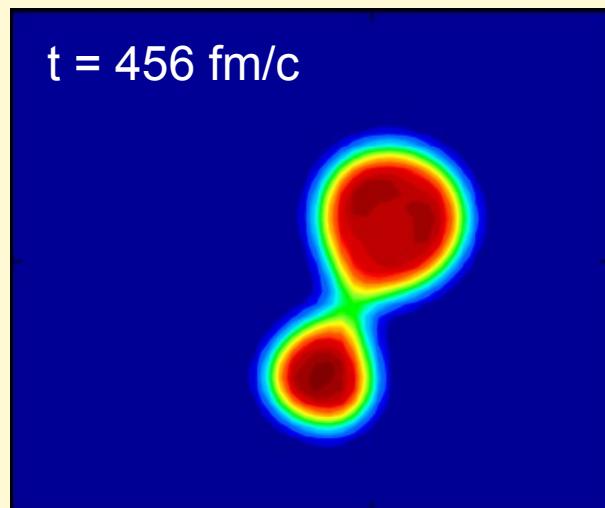
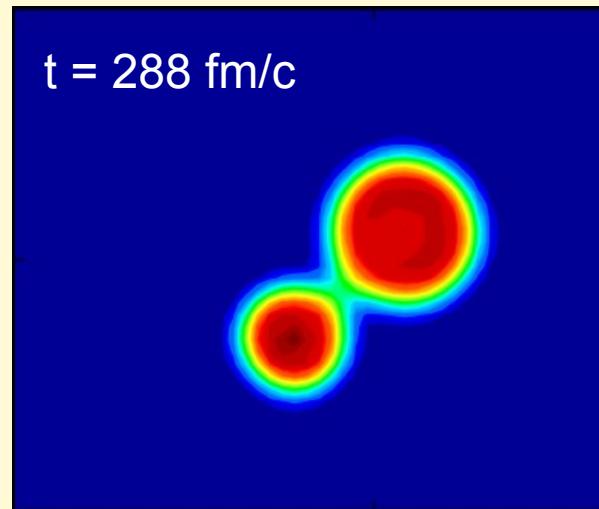
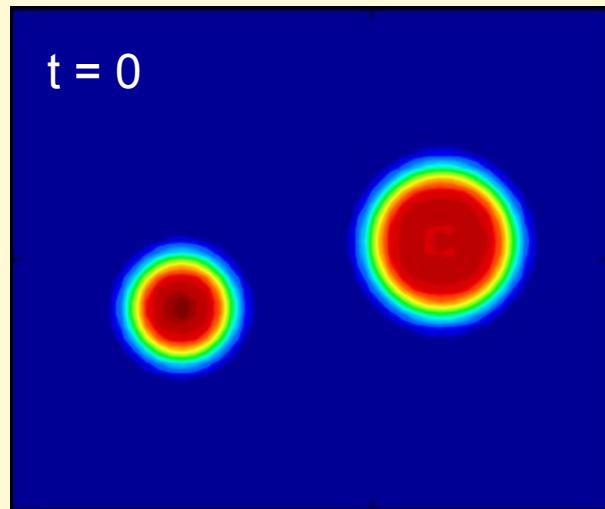


animation:  $^{48}\text{Ca} + ^{132}\text{Sn}$ ,  $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b = 4.45 \text{ fm}$  (fusion)

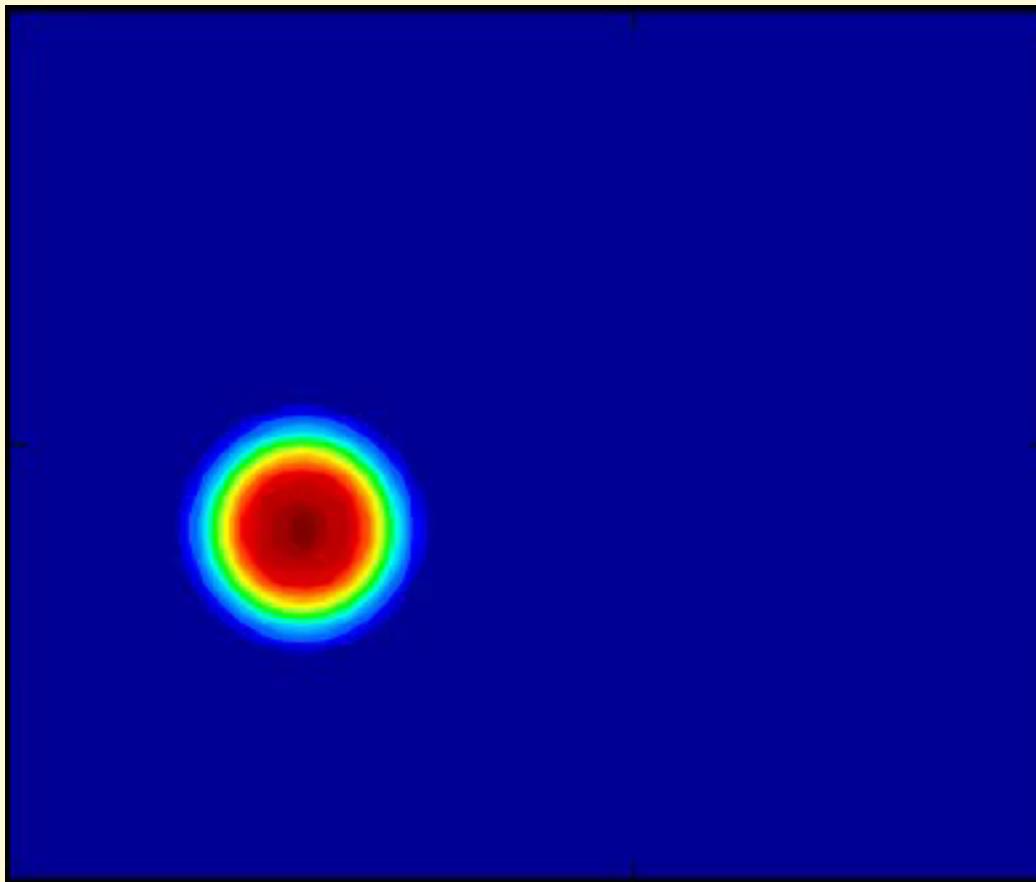


$^{48}\text{Ca} + ^{132}\text{Sn}$ ,  $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b = 4.6 \text{ fm}$  (deep-inelastic)

TDHF, SLy4 interaction, 3-D lattice (50\*42\*30 points)

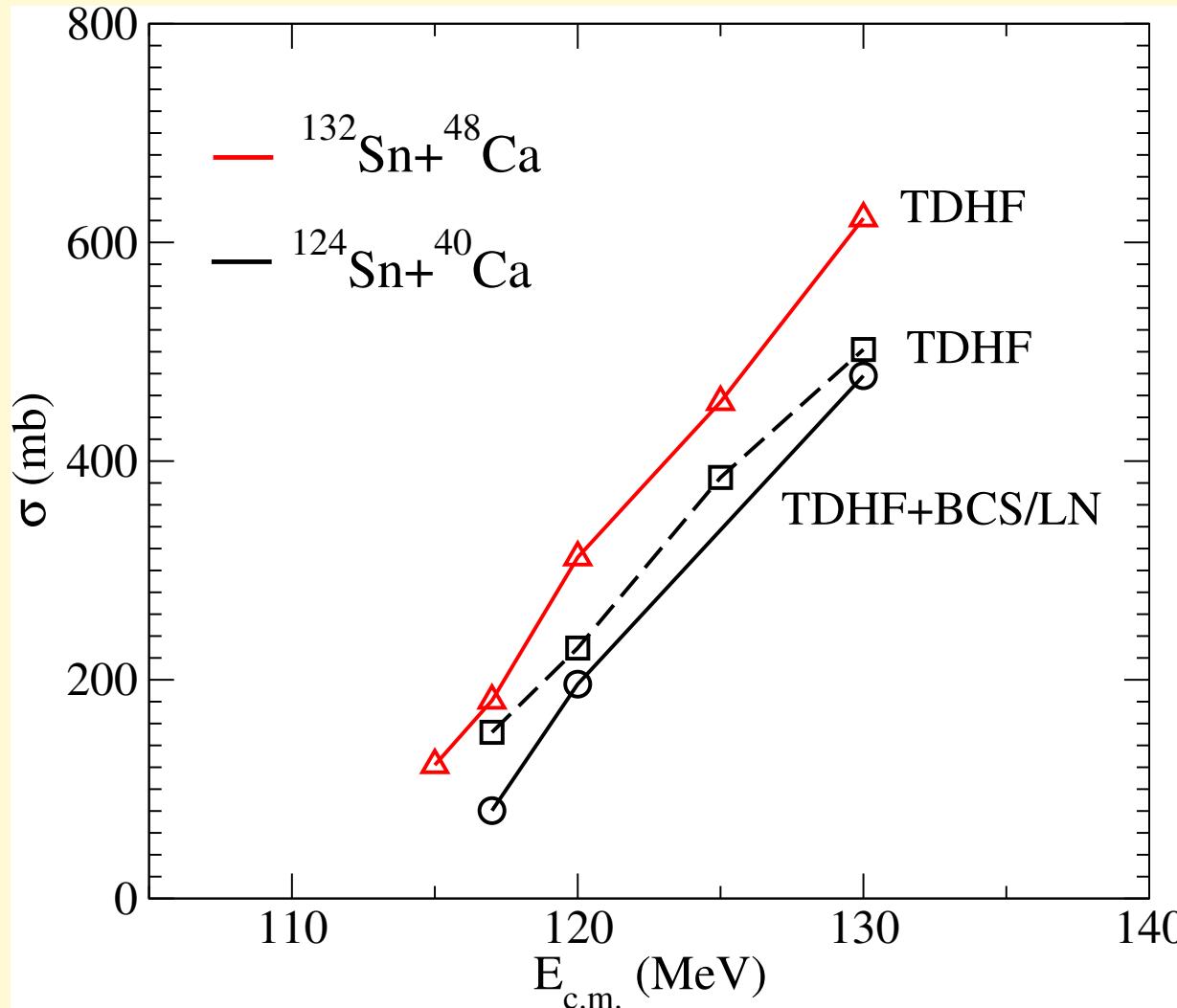


**animation:  $^{48}\text{Ca} + ^{132}\text{Sn}$ ,  $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b = 4.60 \text{ fm}$  (deep-inel)**



# Fusion above the potential barrier (unrestricted TDHF)

Oberacker, Umar, Maruhn & Reinhard, Phys. Rev. C 85, 034609 (2012)



sharp cutoff model

$$\sigma_{fus} = \pi b_{max}^2$$

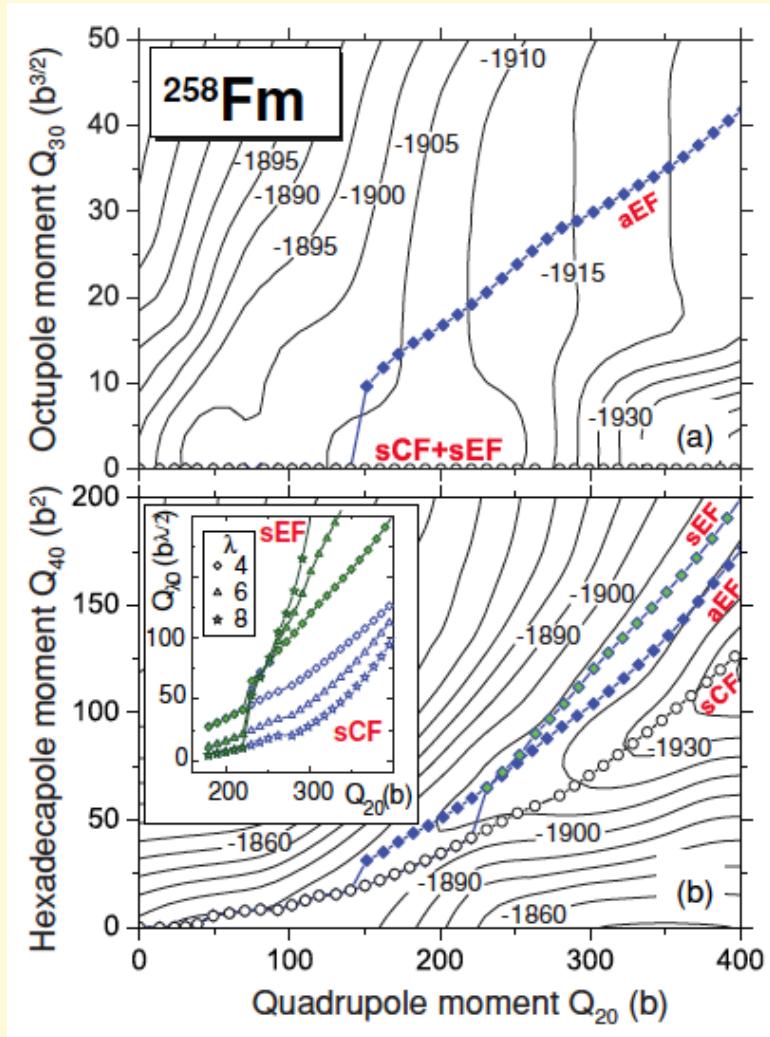
Fusion below and above the barrier:  
Density-Constrained TDHF (DC-TDHF)

# Theoretical Description of Sub-Barrier Fusion

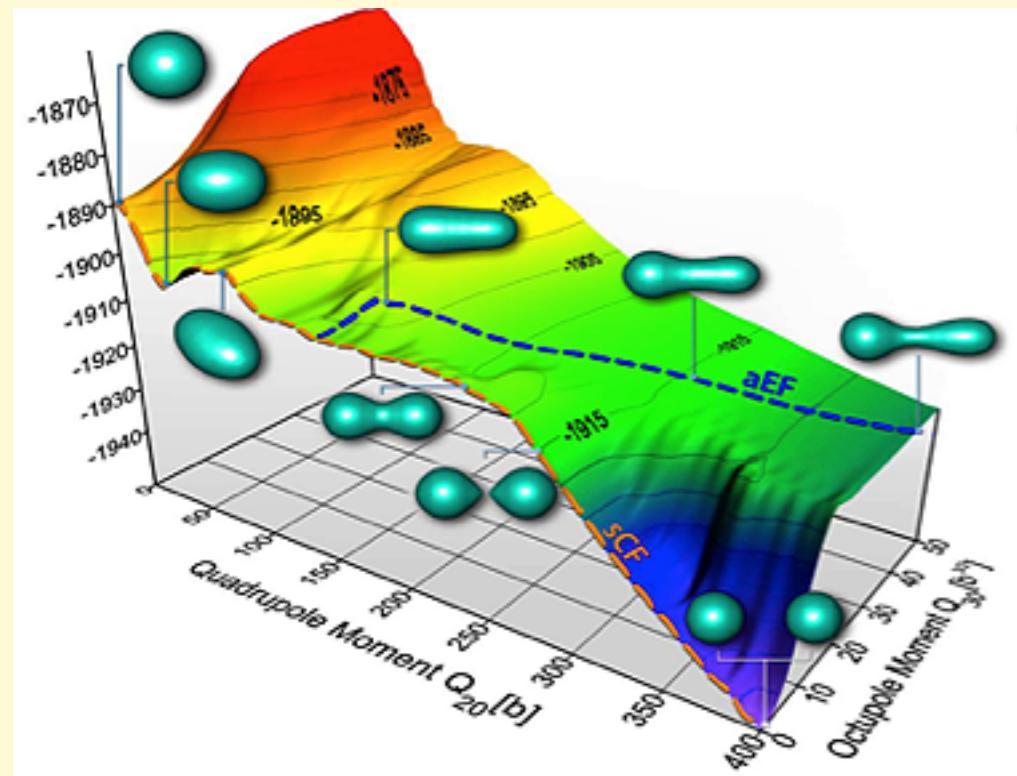
- No ab-initio many-body theory for sub-barrier fusion exists.  
Usual approach involves several steps:
  - a) Calculate internuclear potential  $V(R)$ 
    - Woods-Saxon potential, proximity potential double-folding model with frozen densities (Esbensen)
    - macroscopic-microscopic (Möller, Sierk)
    - two-center shell model + liquid drop (Zagrebaev)
    - microscopic: Skyrme + extended Thomas-Fermi (Wang, Scheid, ... ) constrained HF/HFB (Dobaczewski, Nazarewicz, ... )
    - our goal: time-dependent density functional theory, extract  $V(R)$
  - b) Quantum tunneling (either WKB-HW, or solve Schrödinger equation for relative motion  $R$  with Incoming Wave Boundary Condition)
  - c) Incorporate inelastic and transfer channels coupled channels approach (Esbensen, Hagino, ... )

# Brief review of standard constrained HF / HFB

$$\delta < \Phi_0 |H - \lambda_2 Q_{20} - \lambda_3 Q_{30}| \Phi_0 \geq 0 \rightarrow \text{potential energy surface } E(Q_{20}, Q_{30})$$



Staszczak, Baran, Dobaczewski & Nazarewicz,  
PRC 80, 014309 (2009)



INT-13-3 Website

## Density constrained TDHF (DC-TDHF): formalism

1. Run TDHF code at energy  $E_{cm}$  above potential barrier
2. Stop run at given internuclear distance  $R(t)$
3. Take TDHF density

$$\rho_{TDHF}(r, t) = \langle \Phi(t) | \rho | \Phi(t) \rangle$$

and perform static HF energy minimization

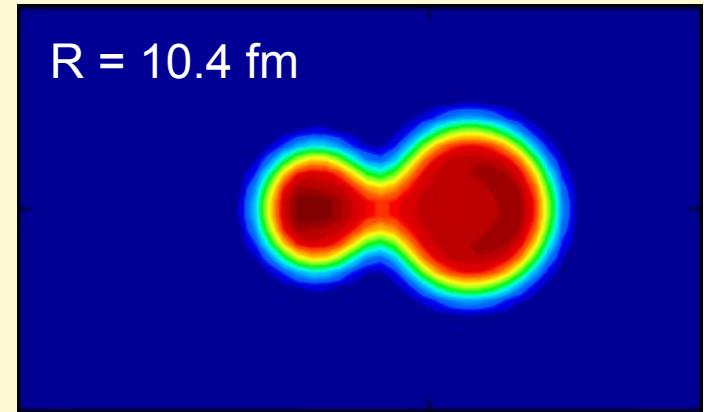
$$\delta \langle \Phi_\rho | H - \int d^3r \lambda(r) \rho(r) | \Phi_\rho \rangle = 0 \quad \rightarrow |\Phi_\rho \rangle$$

↗  
Lagrange multiplier

subject to density constraint  $\langle \Phi_\rho | \rho | \Phi_\rho \rangle = \rho_{TDHF}(r, t)$

This takes out excitation energy  $E^*(R)$ .

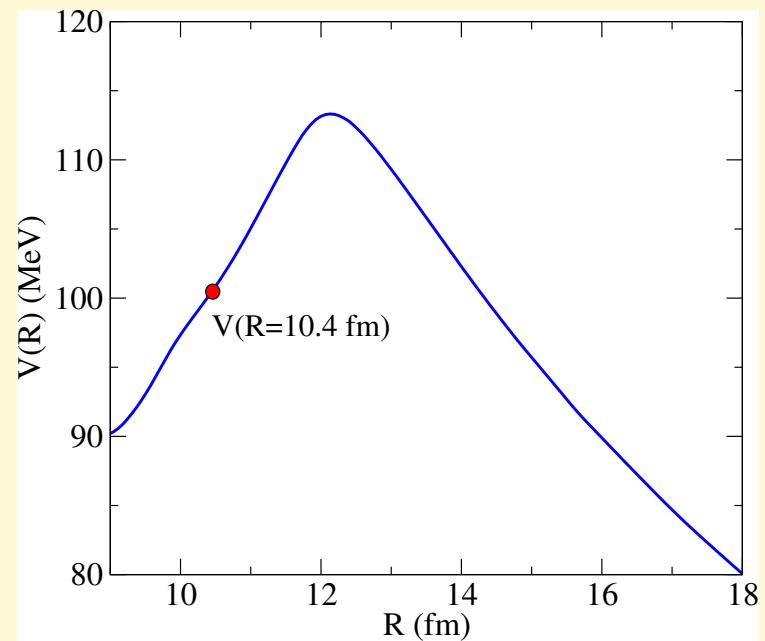
4. Define density-constrained energy  $E_{DC}(R) = \langle \Phi_\rho | H | \Phi_\rho \rangle$



## DC-TDHF: calculate internuclear potential $V(R)$

Internuclear potential  $V(R)$  is equal to density-constrained energy  $E_{DC}(R)$  minus binding energies of the two nuclei

$$V(R) = E_{DC}(R) - E_{A_1} - E_{A_2}$$



DC-TDHF fusion calculations for  
 $^{132,124}\text{Sn} + ^{48,40}\text{Ca}$

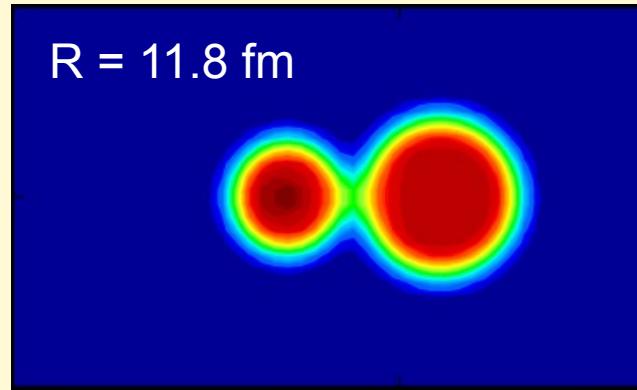
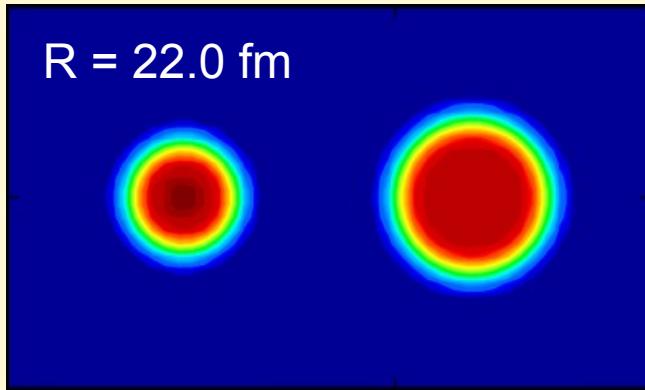
Detailed discussion of the following quantities:

- internuclear potential  $V(R)$
- coordinate-dependent mass  $M(R)$
- fusion cross section  $\sigma(E)$ ,
- pre-equilibrium excitation energy  $E^*(R)$
- multi-nucleon transfer  $\Delta N(R), \Delta Z(R)$
- pre-equilibrium GDR excitation and dipole spectrum  $dP/dE_\gamma$

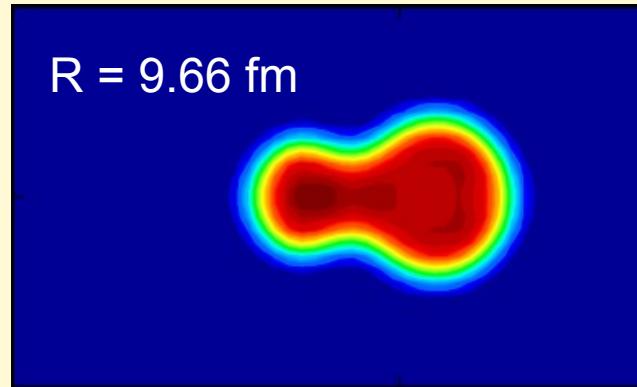
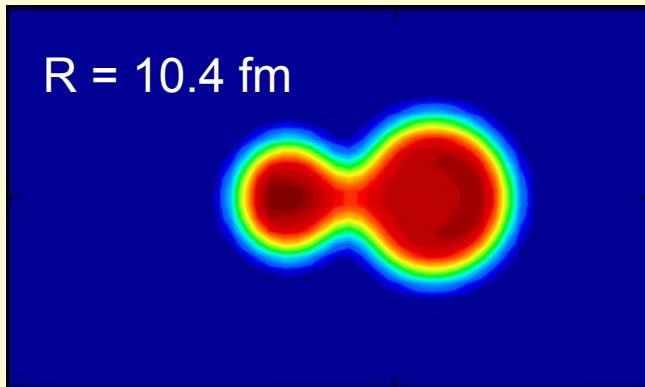
# Calculation of internuclear potential with DC-TDHF method

$$V(R) = E_{\text{DC}}(R) - E_{A_1} - E_{A_2}$$

Oberacker, Umar & Keser, NN2012 conference,  
J. Phys. Conf. Series 420 (2013) 012132



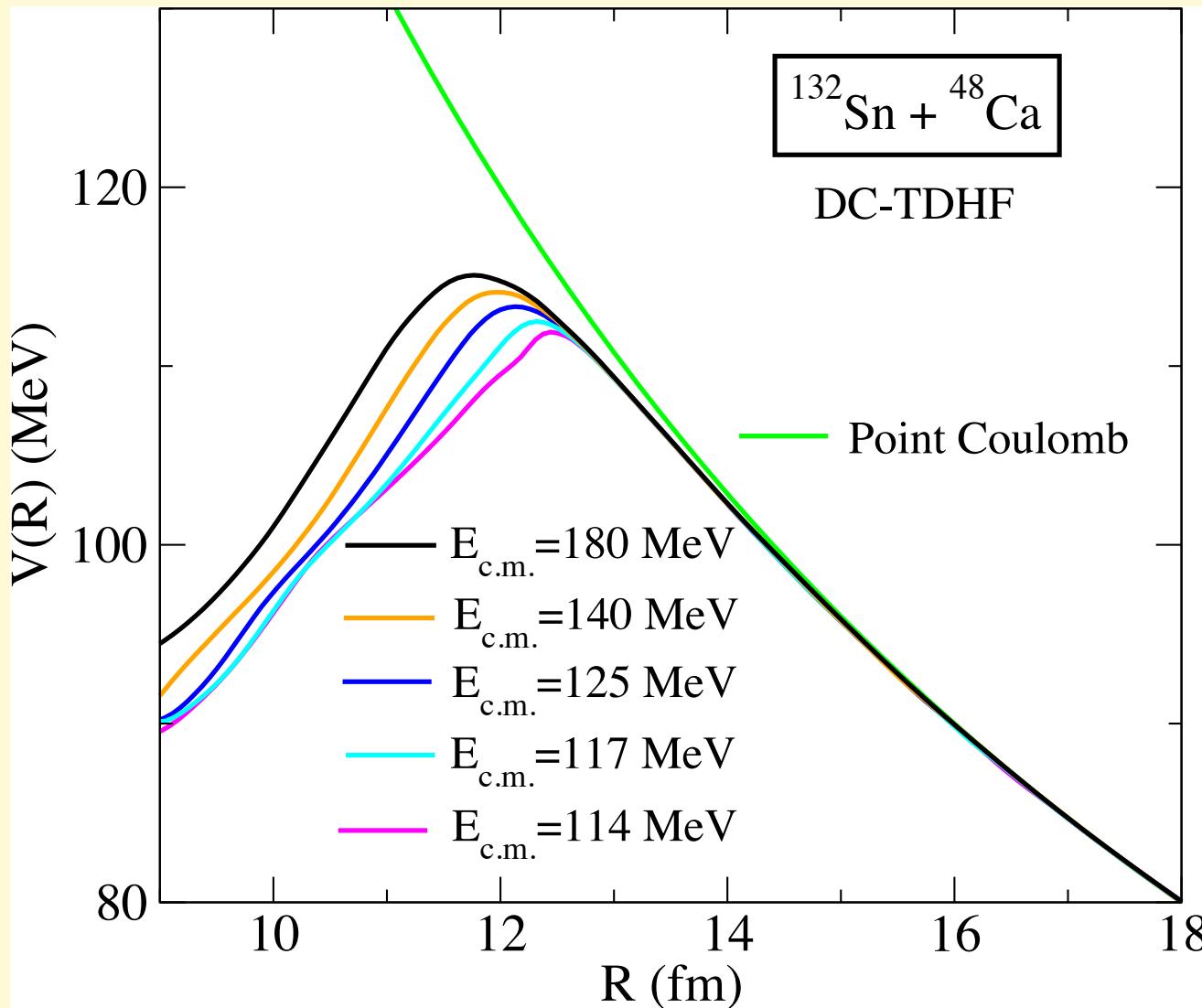
$^{48}\text{Ca} + ^{132}\text{Sn}$   
 $E_{\text{cm}} = 140 \text{ MeV}$



$V(R)$  contains dynamical entrance channel effects (neck formation, particle transfer, surface vibrations, giant resonances)

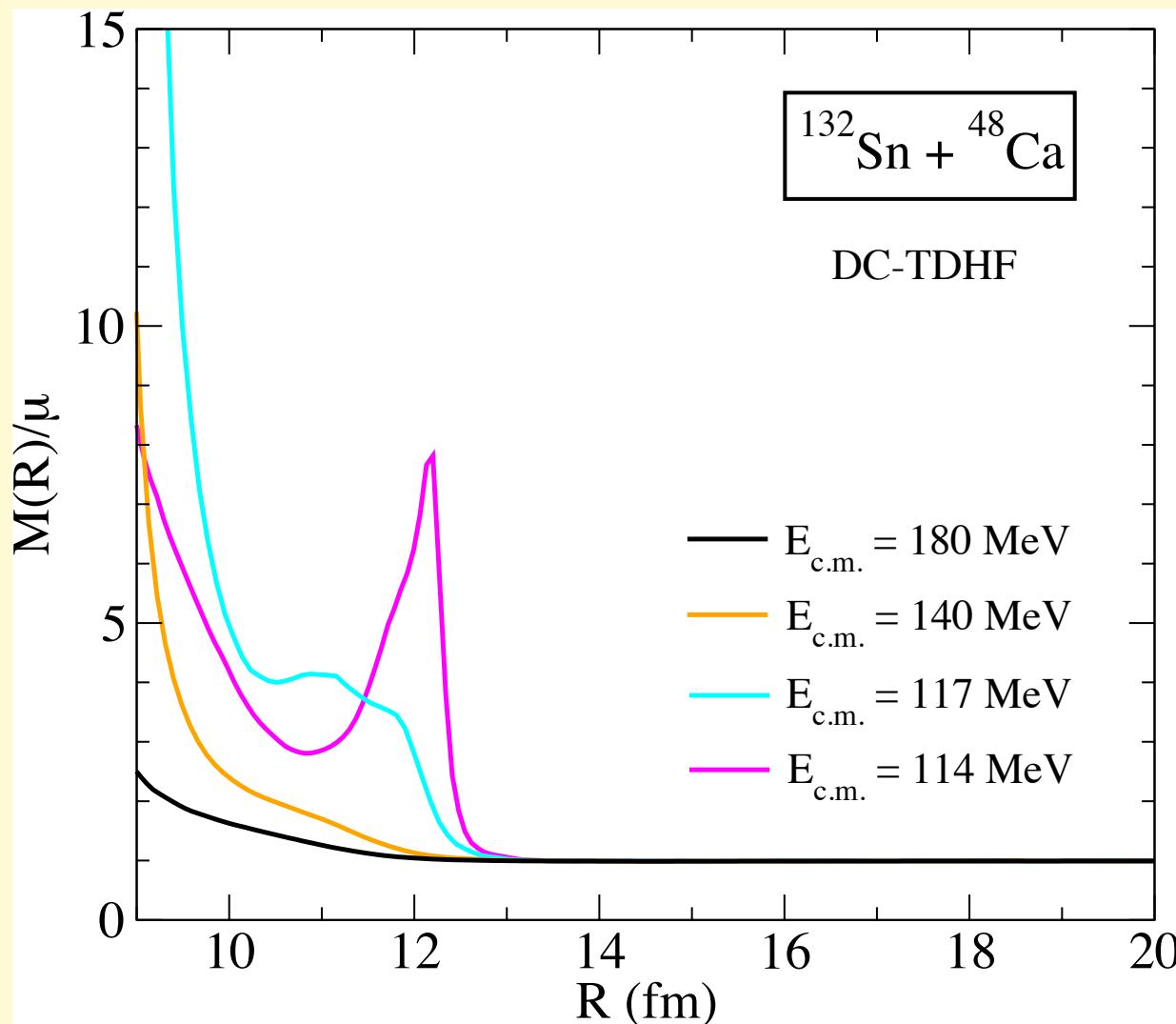
# Internuclear potential: strong $E_{\text{cm}}$ -dependence

Oberacker, Umar, Maruhn & Reinhard, Phys. Rev. C 85, 034609 (2012)



# Mass parameter: strong $E_{\text{cm}}$ -dependence

Oberacker, Umar, Maruhn & Reinhard, Phys. Rev. C 85, 034609 (2012)



## Scale transformation to constant reduced mass $\mu$

Umar & Oberacker, Eur. Phys. J. A 39, 243 (2009)

$$\frac{1}{2}M(R)\left(\frac{dR}{dt}\right)^2 = \frac{1}{2}\mu\left(\frac{d\bar{R}}{dt}\right)^2 \quad d\bar{R} = \left(\frac{M(R)}{\mu}\right)^{\frac{1}{2}} dR$$

scaled distance

integrate  $\bar{R} = f(R) \iff R = f^{-1}(\bar{R})$

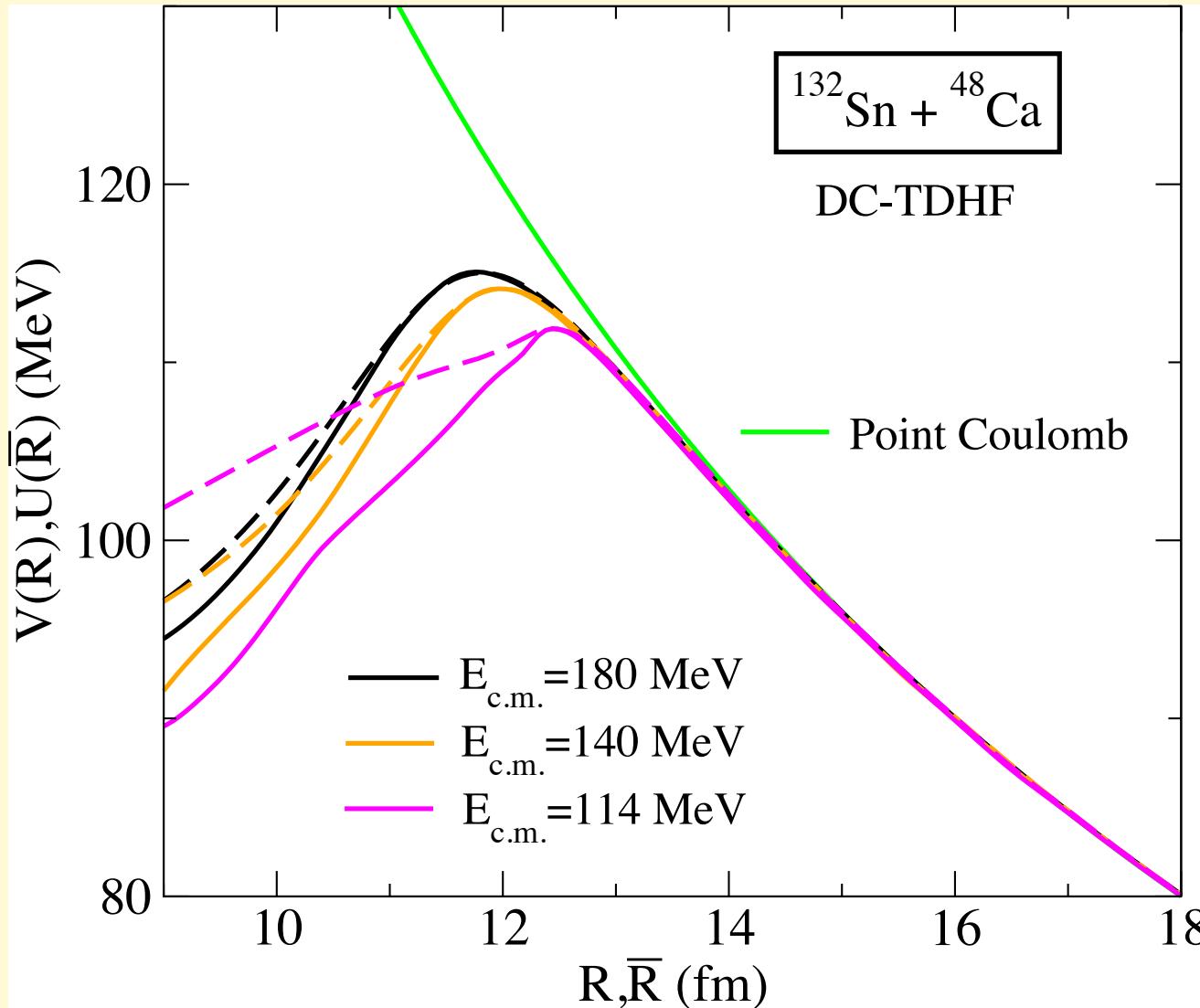
$$V(R) = V(f^{-1}(\bar{R})) = U(\bar{R})$$

original potential

transformed potential

# Transformed internuclear potential

Oberacker, Umar, Maruhn & Reinhard, Phys. Rev. C 85, 034609 (2012)



## Total fusion cross section for two spherical nuclei

$$\sigma_{\text{fus}}(E_{\text{c.m.}}) = \frac{\pi \hbar^2}{2\mu E_{\text{c.m.}}} \sum_{\ell=0}^{\infty} (2\ell + 1) T_{\ell}(E_{\text{c.m.}})$$

Schrödinger equation for transformed radial coordinate

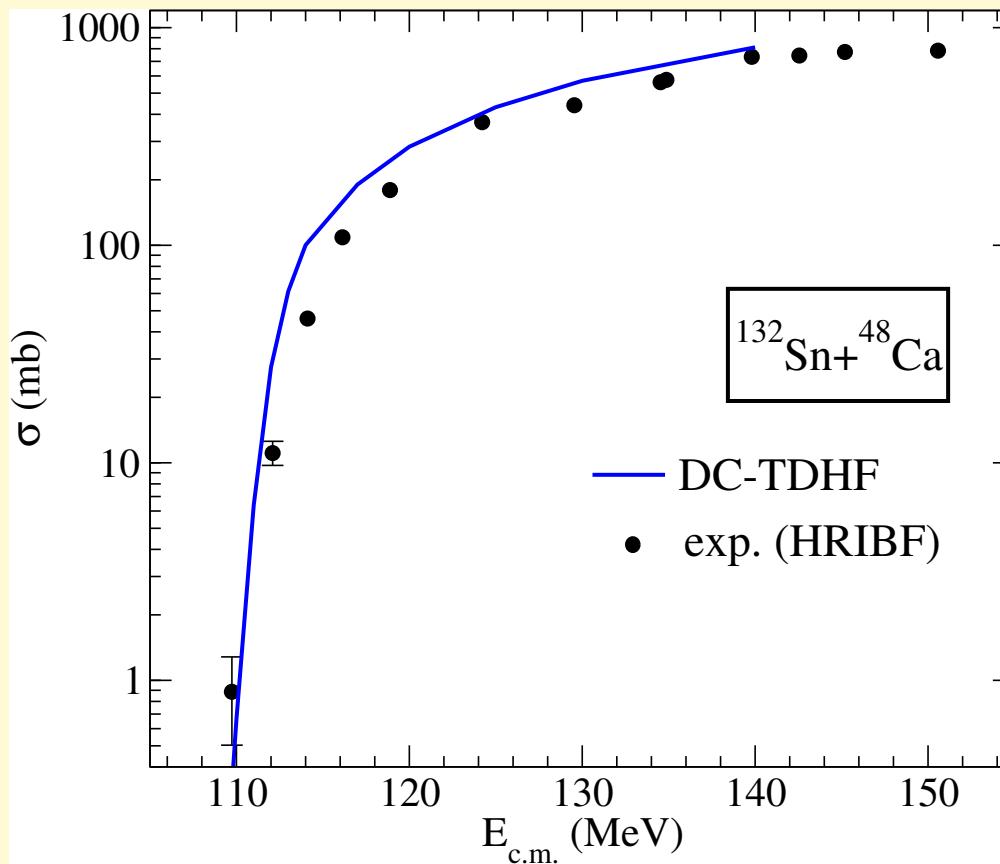
$$\left[ \frac{-\hbar^2}{2\mu} \frac{d^2}{d\bar{R}^2} + \frac{\hbar^2 \ell(\ell + 1)}{2\mu \bar{R}^2} + U(\bar{R}) - E_{\text{c.m.}} \right] \psi_{\ell}(\bar{R}) = 0$$

↑                                   ↑  
reduced mass                          transformed potential

solve Schrödinger equation numerically, with  
Incoming Wave Boundary Condition (IWBC)

$\rightarrow T_{\ell}(E_{\text{c.m.}})$

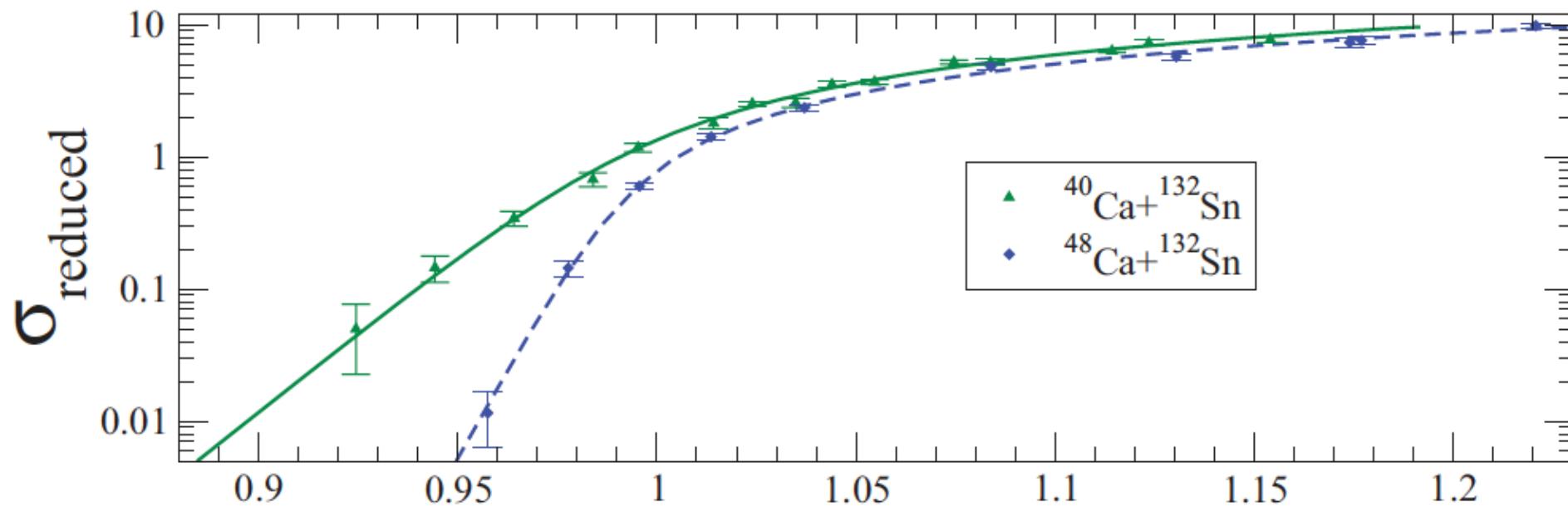
Theory: Oberacker, Umar, Maruhn & Reinhard, PRC 85, 034609 (2012)  
Oberacker & Umar, PRC 87, 034611 (2013)



exp. data (HRIBF): J.J. Kolata, A. Roberts, A.M. Howard, D. Shapira, J.F. Liang, C.J. Gross, R.L. Varner, Z. Kohley, A.N. Villano, H. Amro, W. Loveland, and E. Chavez, Phys. Rev. C 85, 054603 (2012)

# Anomaly in sub-barrier fusion cross sections for $^{132}\text{Sn} + ^{40,48}\text{Ca}$

$$Q_{\text{gg}} (^{40}\text{Ca fusion}) = -52.1 \text{ MeV}, \quad Q_{\text{gg}} (^{48}\text{Ca fusion}) = -76.2 \text{ MeV}$$

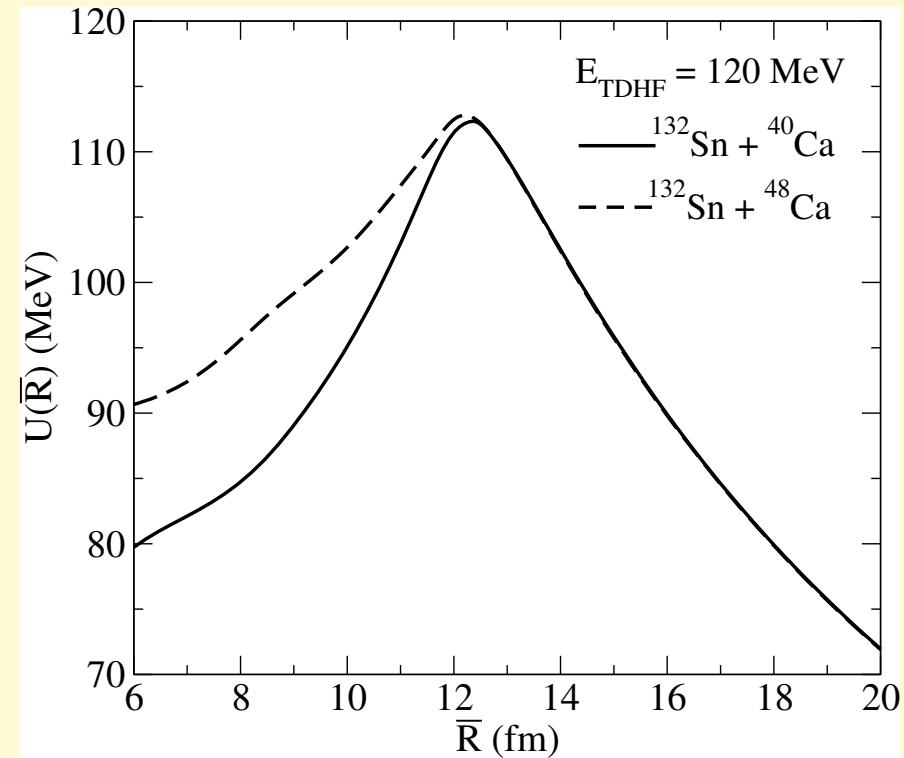
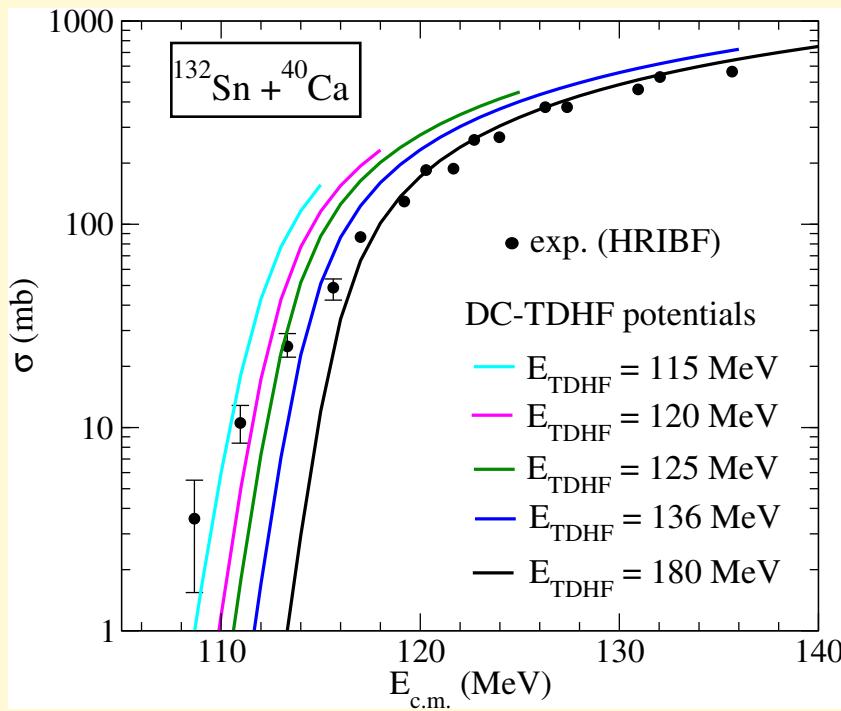


exp. data (HRIBF): J.J. Kolata, A. Roberts, A.M. Howard, D. Shapira, J.F. Liang, C.J. Gross, R.L. Varner, Z. Kohley, A.N. Villano, H. Amro, W. Loveland, and E. Chavez, Phys. Rev. C 85, 054603 (2012)

# Explanation of fusion anomaly in $^{132}\text{Sn} + ^{40,48}\text{Ca}$

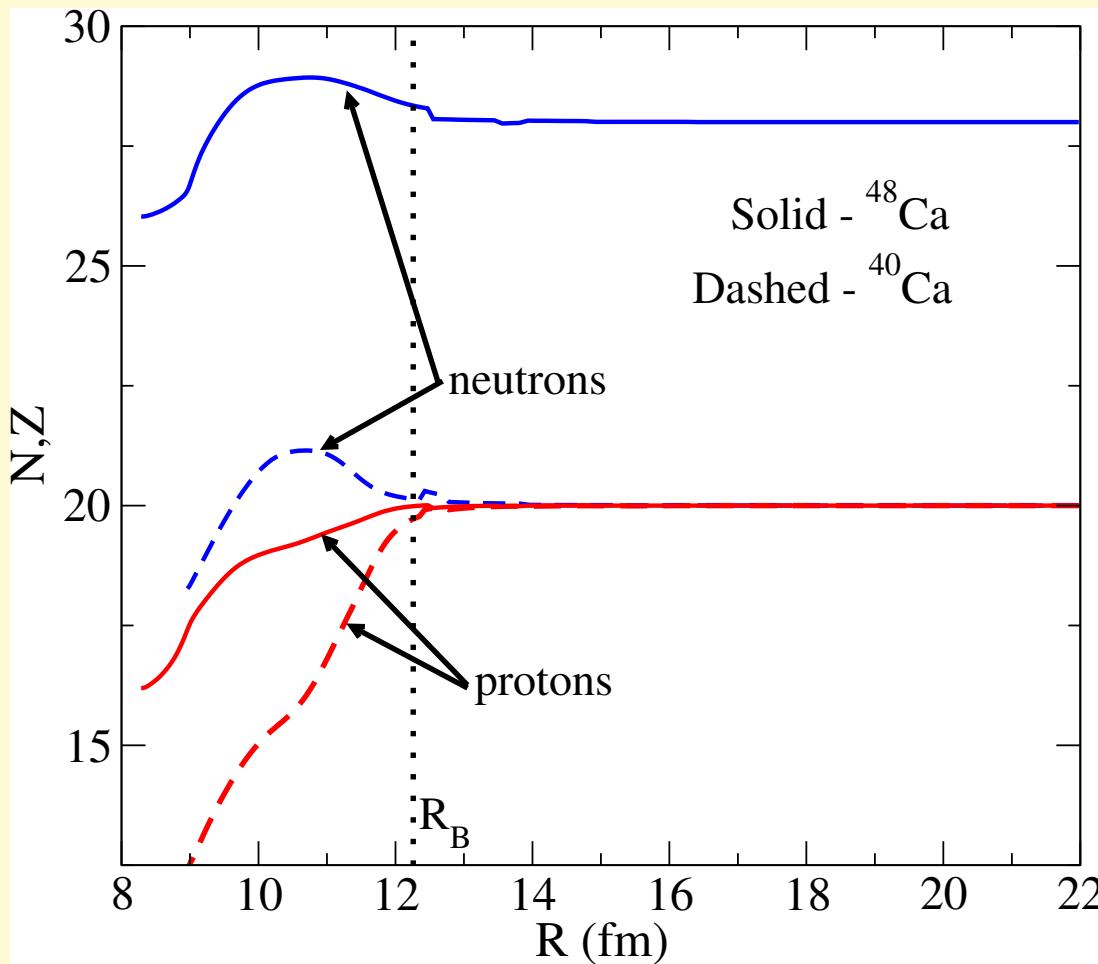
The width of the DC-TDHF potential barrier for  $^{40}\text{Ca}$  is substantially smaller than for  $^{48}\text{Ca}$ , resulting in enhanced sub-barrier fusion

Ref: Oberacker & Umar, PRC 87, 034611 (2013)



# Multi-nucleon transfer in $^{132}\text{Sn}+^{40,48}\text{Ca}$

Ref: Oberacker & Umar, PRC 87, 034611 (2013)



$E_{\text{cm}}=120$  MeV,  $b=0$

$^{132}\text{Sn}+^{40}\text{Ca}$   
 $Q$  (n-pickup)  $> 0$   
 $Q$  (p-stripping)  $> 0$

$^{132}\text{Sn}+^{48}\text{Ca}$   
 $Q$  (n-pickup)  $< 0$   
 $Q$  (p-stripping)  $< 0$

## Dynamic excitation energy $E^*(R(t))$

Ref: Umar, Oberacker, Maruhn & Reinhard, PRC 80, 041601(R) (2009)

collective energy  $E_{coll}(t) = E_{kin}(\rho(t), j(t)) + E_{DC}(\rho(t))$

excitation energy  $E^*(R(t)) = E_{TDHF} - E_{coll}(t)$

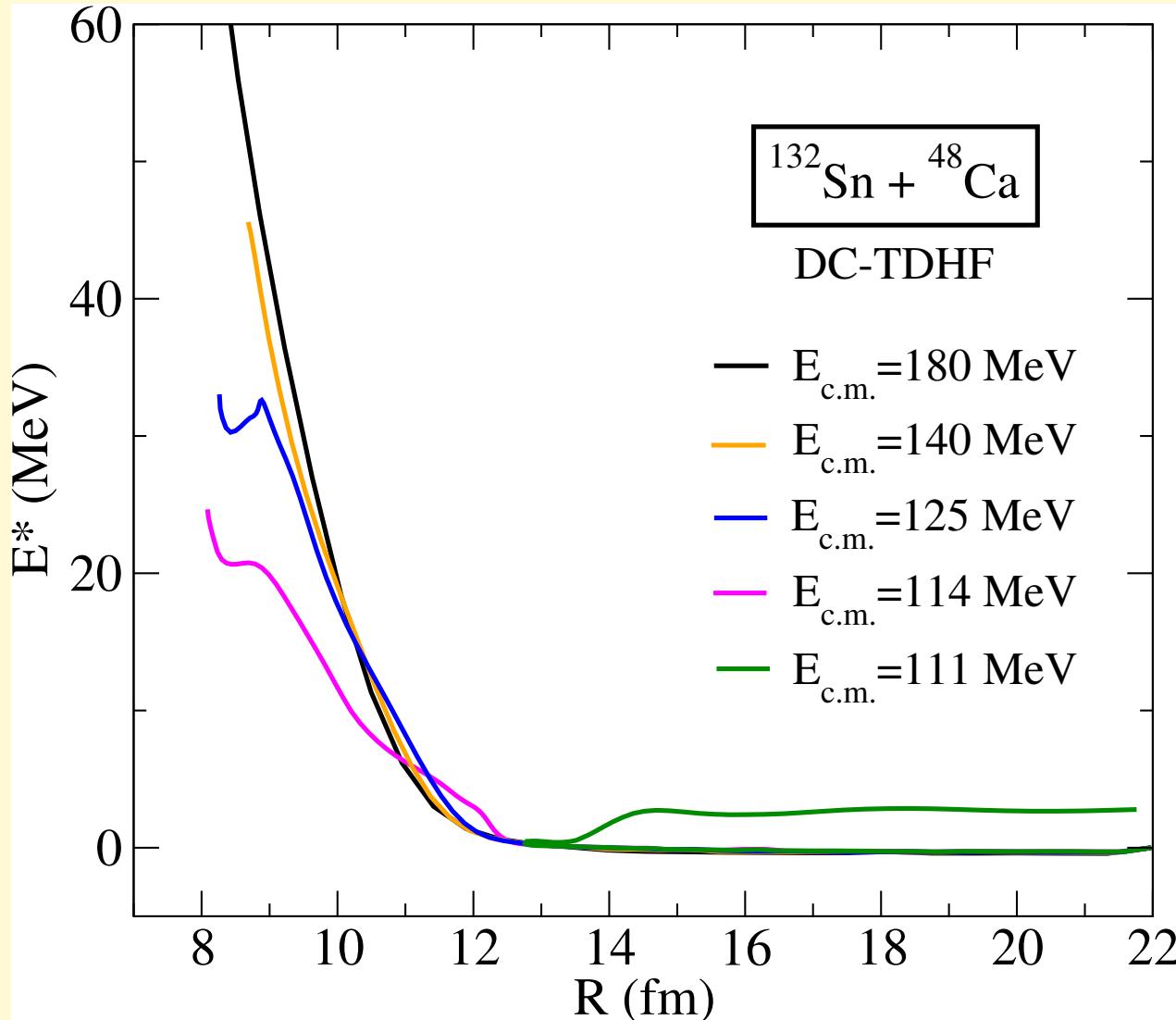
$$E^*(R(t)) = E_{TDHF} - E_{kin}((t)) - E_{DC}((t))$$

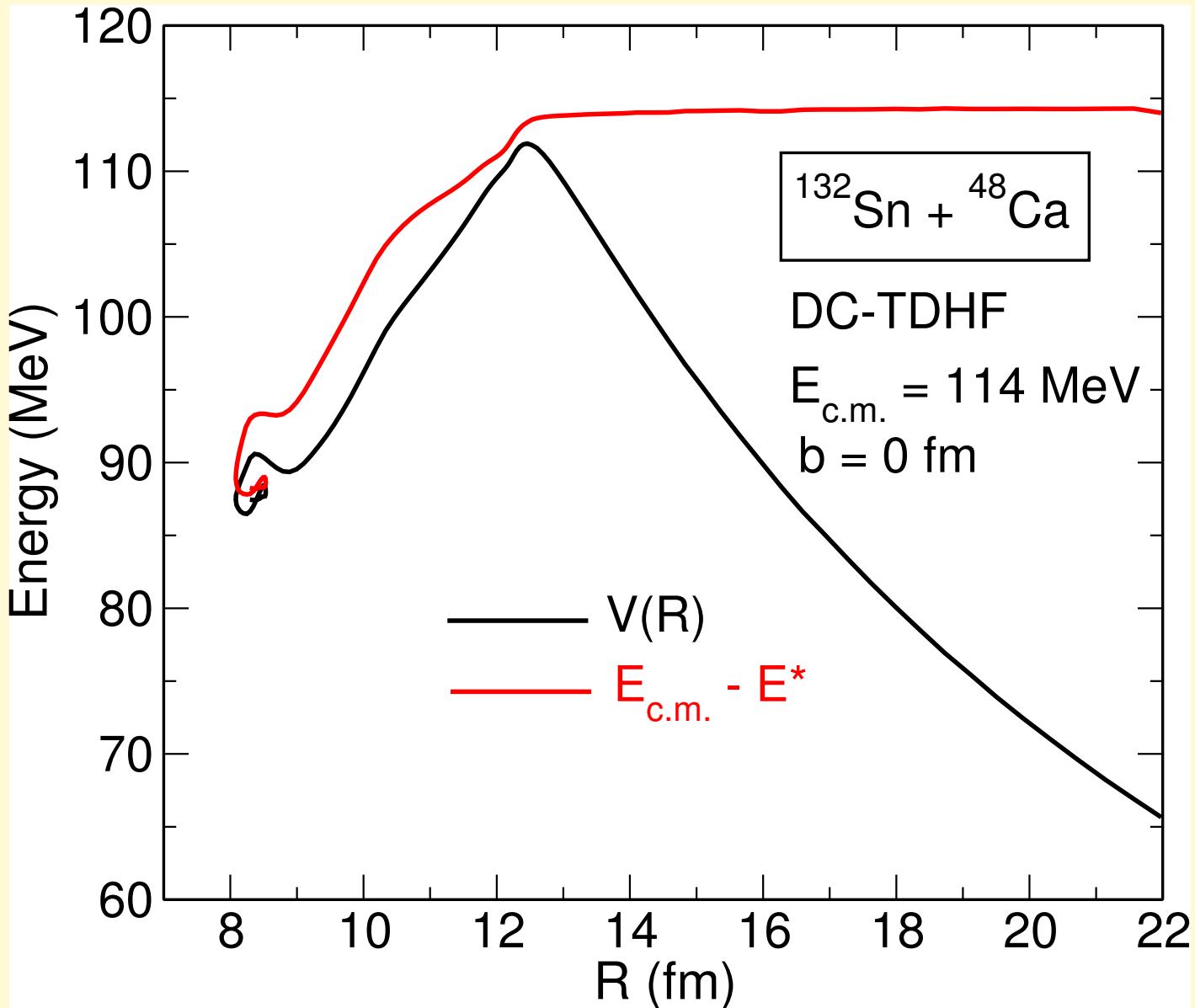


Approximate expression for collective kinetic energy

$$E_{kin}(\rho(t), j(t)) \approx \frac{m}{2} \int d^3r \frac{j^2(t)}{\rho(t)} \xrightarrow{\text{large R}} \frac{\mu}{2} \dot{R}^2$$

## Dynamic excitation energy $E^*(R(t))$





## Pre-equilibrium GDR excitation and dipole radiation in heavy-ion fusion reactions

Goal: info about early stages of heavy-ion fusion reaction, elongated shape ( $\beta_2=0.6$ ) during pre-equilibrium phase.

Unrestricted TDHF:  $^{132}\text{Sn}+^{48}\text{Ca}$  ( $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b=0 \text{ fm}$ )  
Compare to  $^{124}\text{Sn}+^{40}\text{Ca}$

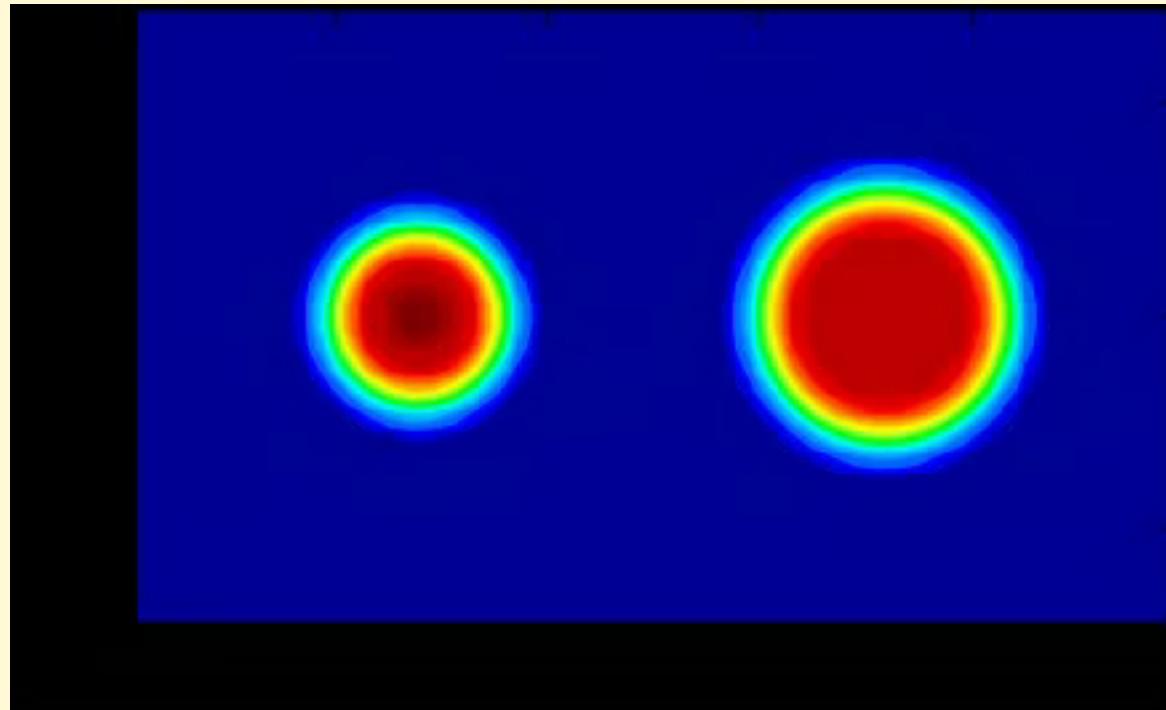
Study dynamical density oscillations as function of time  
Compute dynamical dipole moment  $D(t)$  and EM radiation

- Umar & Oberacker, PRC 76, 014614 (2007)
- Simenel, Chomaz & de France, PRC 76, 024609 (2007)
- Baran, Rizzo, Colonna, Di Toro & Pierroutsakou, PRC 79, 021603(R) (2009)
- Oberacker, Umar, Maruhn & Reinhard, PRC 85, 034609 (2012)
- Keser, Umar & Oberacker, PRC 85, 044606 (2012)

Animation: dynamic giant resonance excitation:

$^{48}\text{Ca} + ^{132}\text{Sn}$ ,  $E_{\text{cm}} = 130 \text{ MeV}$ ,  $b = 0$  (fusion)

TDHF, SLy4 interaction,  $t_{\text{final}} = 7,200 \text{ fm/c}$



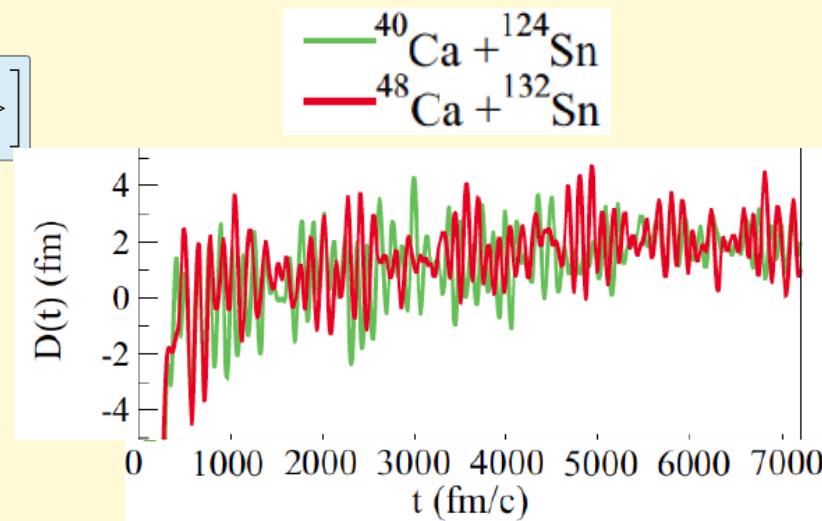
# Pre-equilibrium GDR Excitation

dipole moment as a function of time (TDHF)

$$D(t) = \frac{NZ}{A} \left[ \frac{1}{Z} \sum_{p=1}^Z \langle x_p(t) \rangle - \frac{1}{N} \sum_{n=1}^N \langle x_n(t) \rangle \right]$$

Power spectrum of electric dipole radiation

$$\frac{dP}{dE_\gamma} = \frac{2\alpha}{3\pi E_\gamma} \left| \frac{1}{c} D''(\omega) \right|^2 \quad \alpha = e^2/(\hbar c) \approx 1/137$$

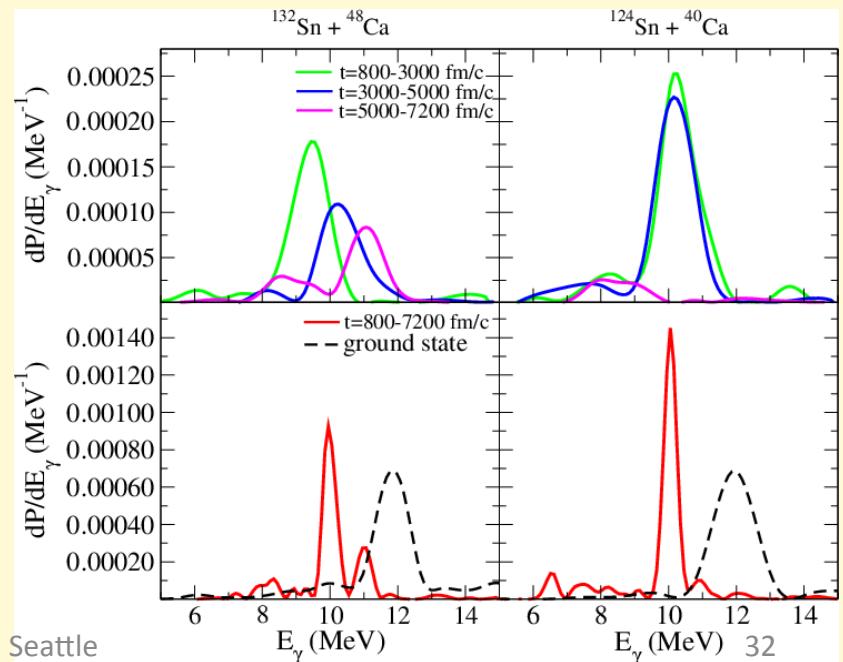


Pre-equilibrium GDR is **stronger** for systems with larger initial N/Z differential of the two ions:

**1.48 : 1.0** for  $^{124}\text{Sn} + ^{40}\text{Ca}$ ,

**1.64 : 1.4** for  $^{132}\text{Sn} + ^{48}\text{Ca}$

Ref: Oberacker, Umar, Maruhn & Reinhard,  
PRC 85, 034609 (2012)



DC-TDHF fusion calculations  
for other systems

(about 25 fusion reactions  
studied between 2006-2013)

## DC-TDHF fusion calculations for light systems

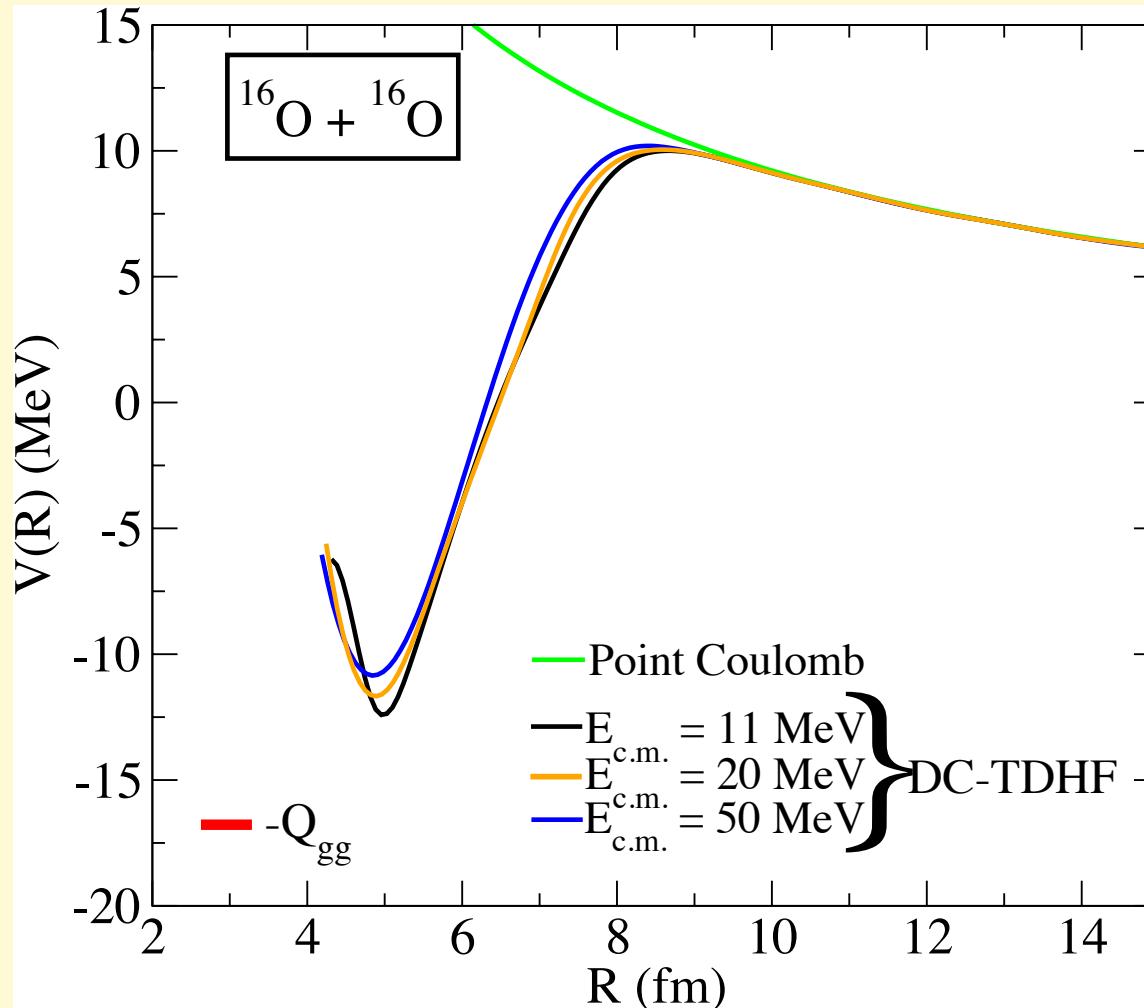
$^{16,24}\text{O} + ^{16,24,28}\text{O}$  and  $^{16,18,19,20,24}\text{O} + ^{12}\text{C}$

Sub-barrier fusion: relevant for neutron star crust !

- Umar, Oberacker & Horowitz, PRC 85, 055801 (2012)
- Umar, Oberacker, Maruhn & Keser: Proc. Sanibel conf. (2012)
- deSouza, Hudan, Oberacker & Umar, PRC 88, 014602 (2013)

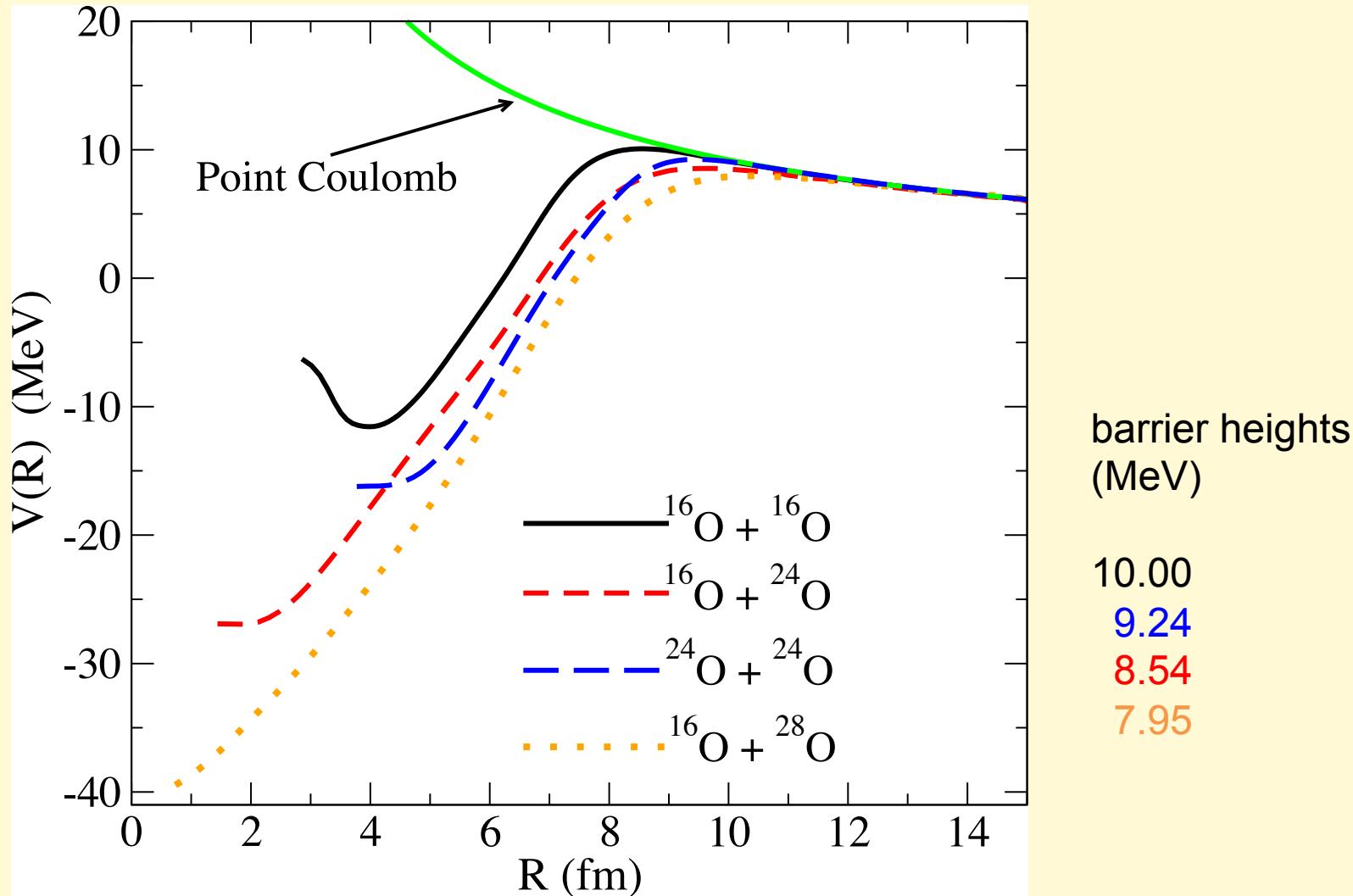
# $V(R)$ for light systems: weak dependence on $E_{cm}$

Ref: Umar, Oberacker, Maruhn & Reinhard, PRC 80, 041601(R) (2009)



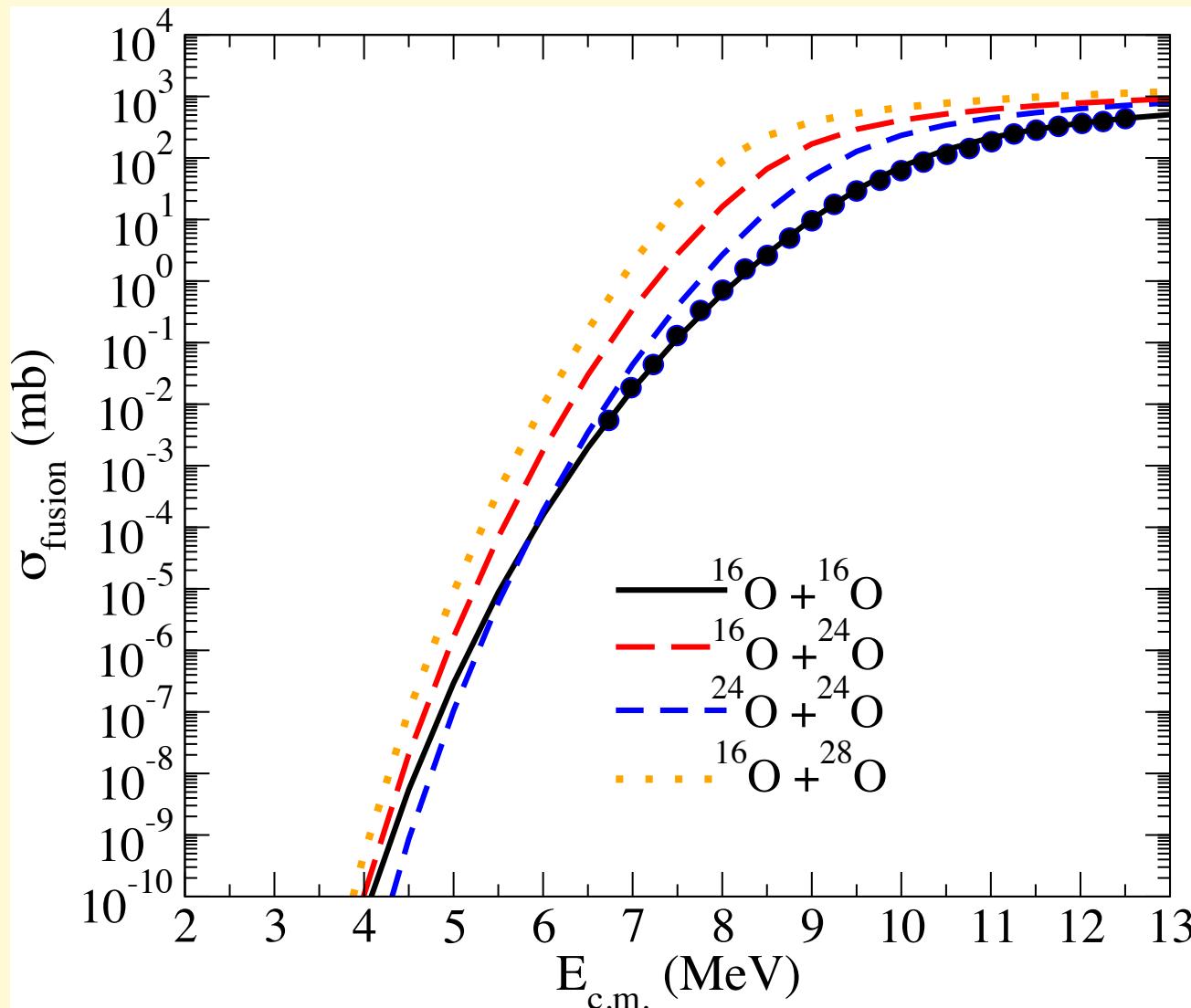
# heavy-ion potentials for oxygen isotopes

Ref: Umar, Oberacker & Horowitz, PRC 85, 055801 (2012)



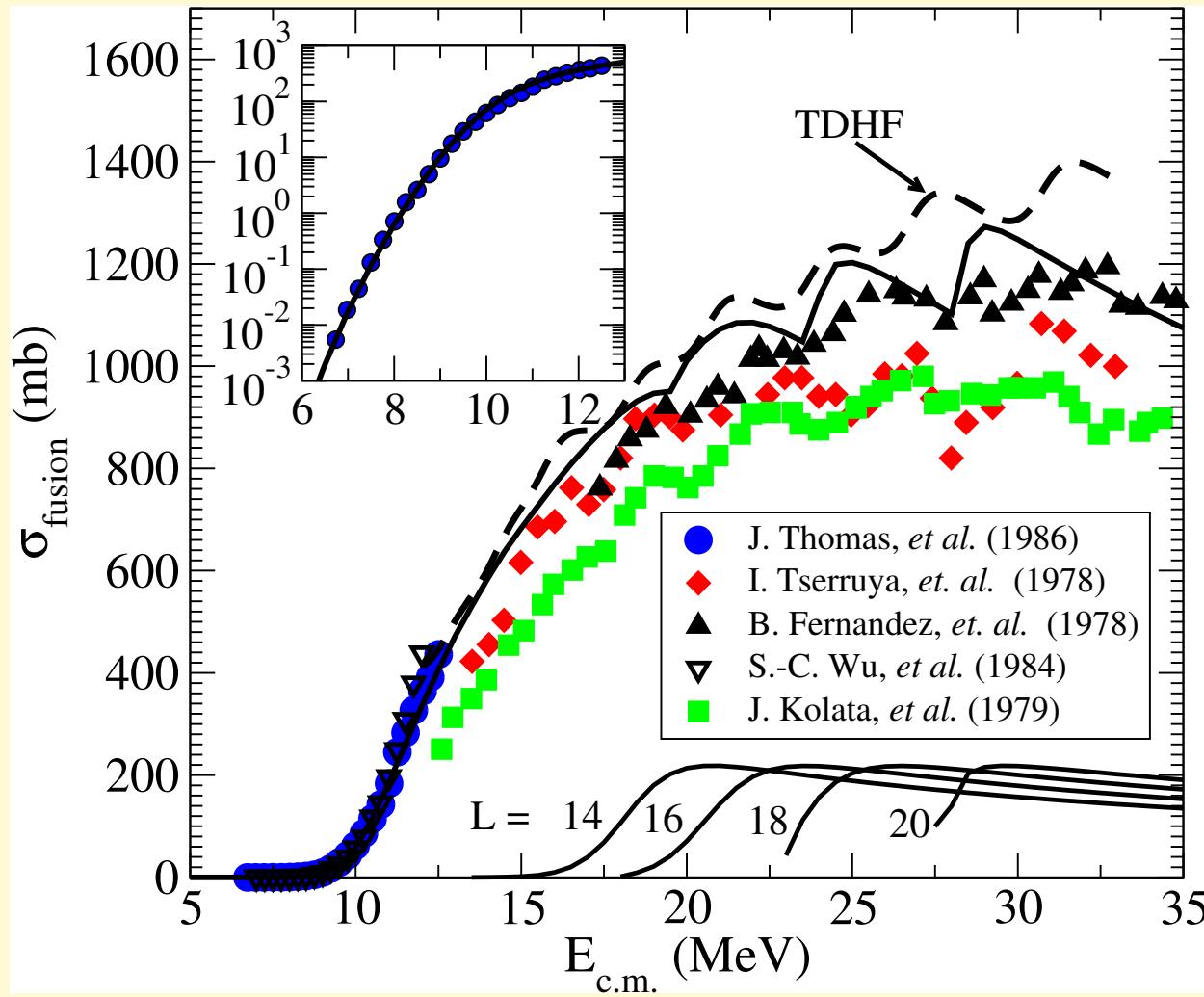
# total fusion cross sections for oxygen isotopes

Ref: Umar, Oberacker & Horowitz, PRC 85, 055801 (2012)



# $^{16}\text{O} + ^{16}\text{O}$ fusion at higher energies (up to $E=3.5 E_{\text{coul}}$ )

Ref: Simenel, Keser, Umar & Oberacker, PRC 88, 024617 (2013)



We need new experiments !

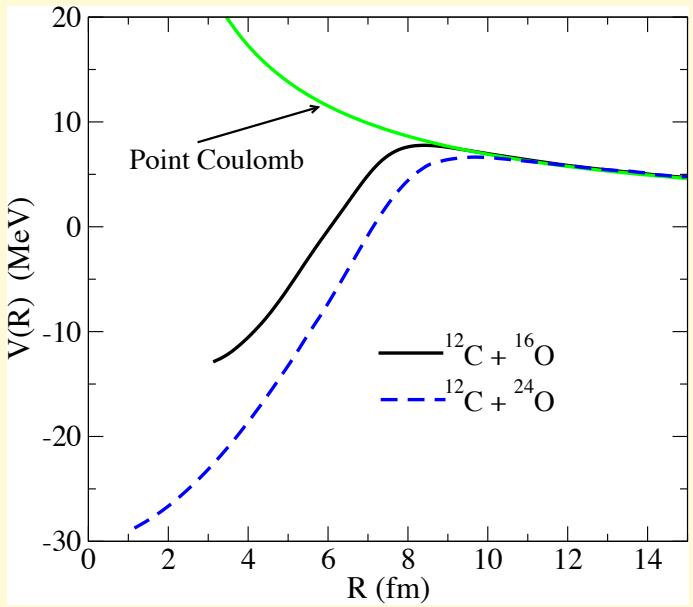
excellent test case  
for current and  
future microscopic  
theories:

- $^{16}\text{O}$  in most Skyrme force fits
- doubly magic (no pairing issues)
- light system (small CPU time)

Similar results and  
conclusions:  
Esbensen, PRC 77,  
054608 (2008)

# $^{12}\text{C} + ^{16,24}\text{O}$ fusion

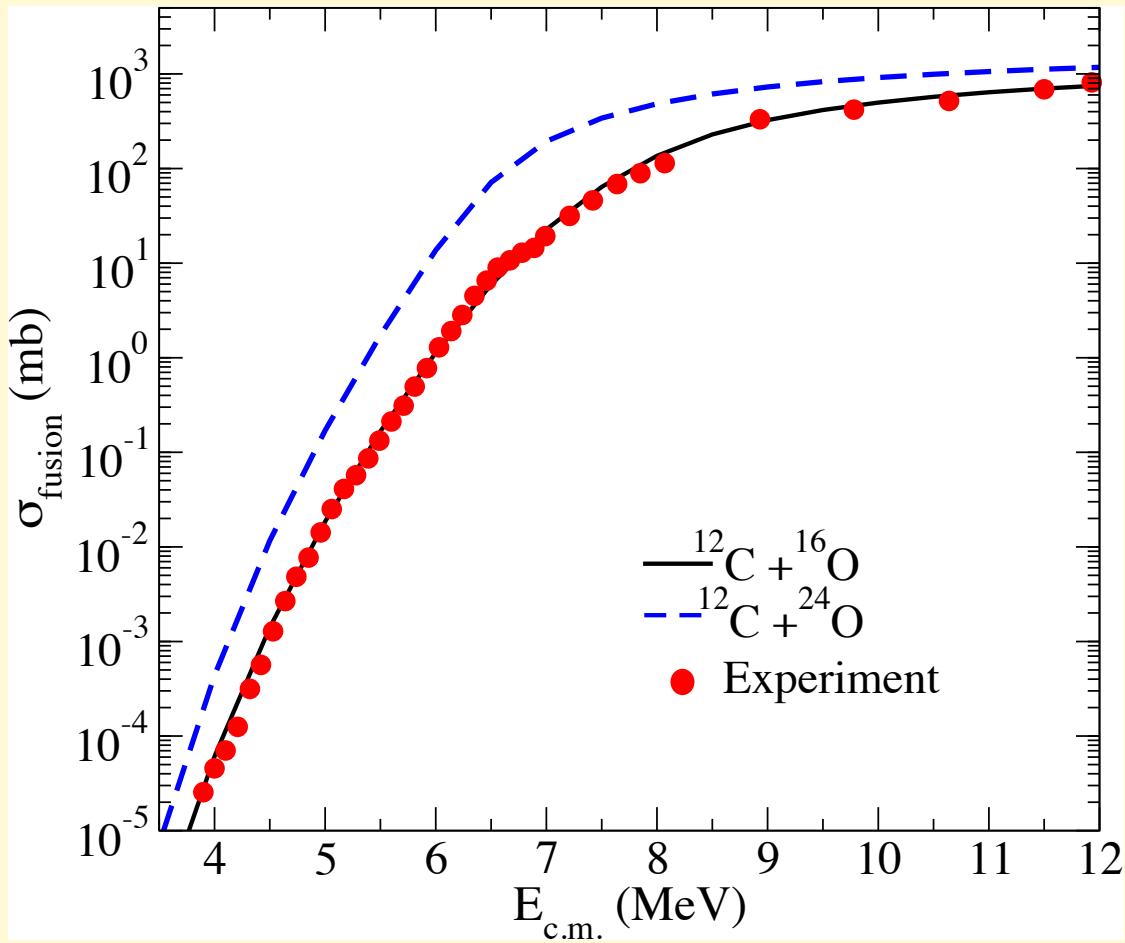
Ref: Umar, Oberacker & Horowitz, PRC 85, 055801 (2012)



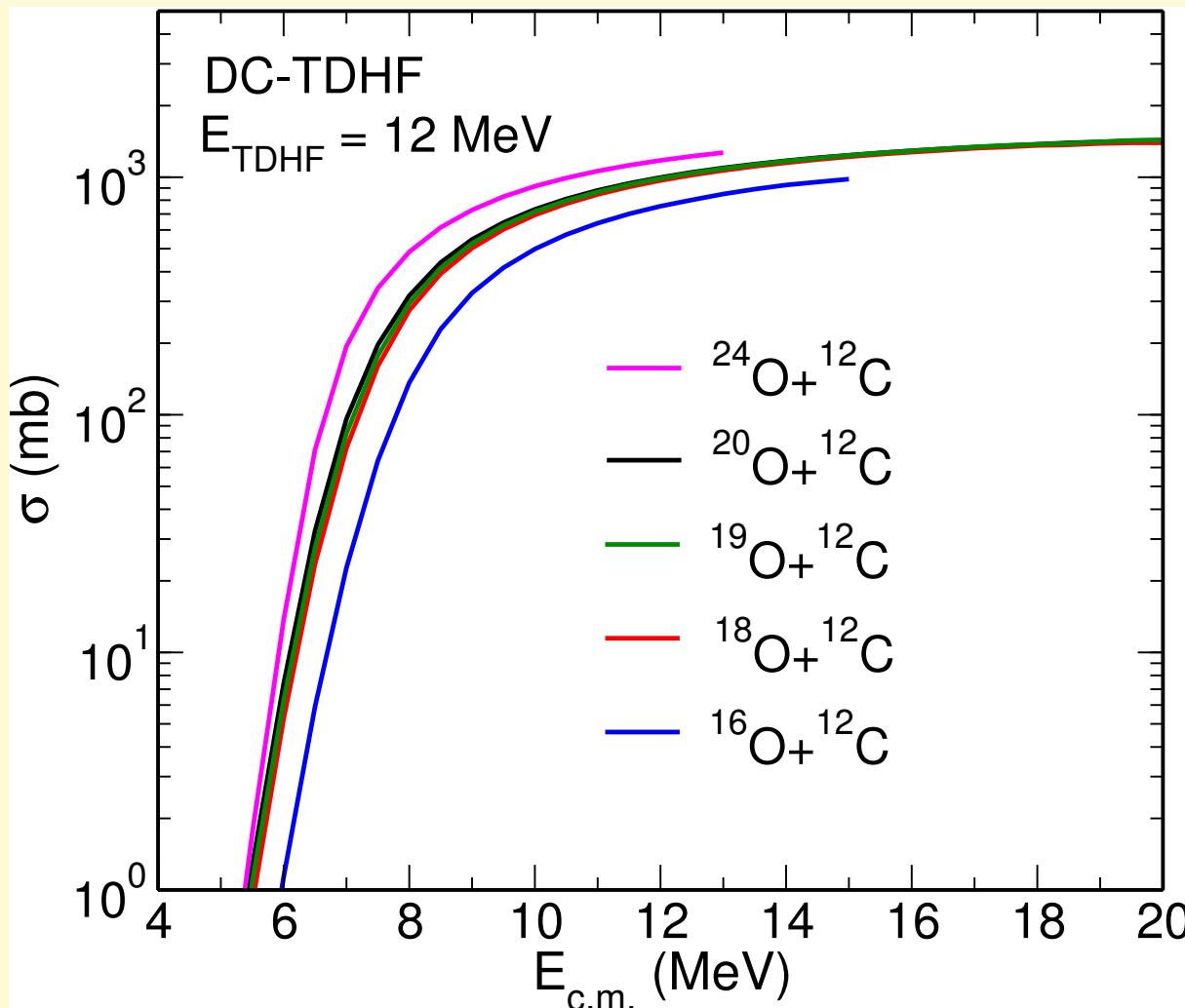
barrier heights (MeV)

7.77

6.64



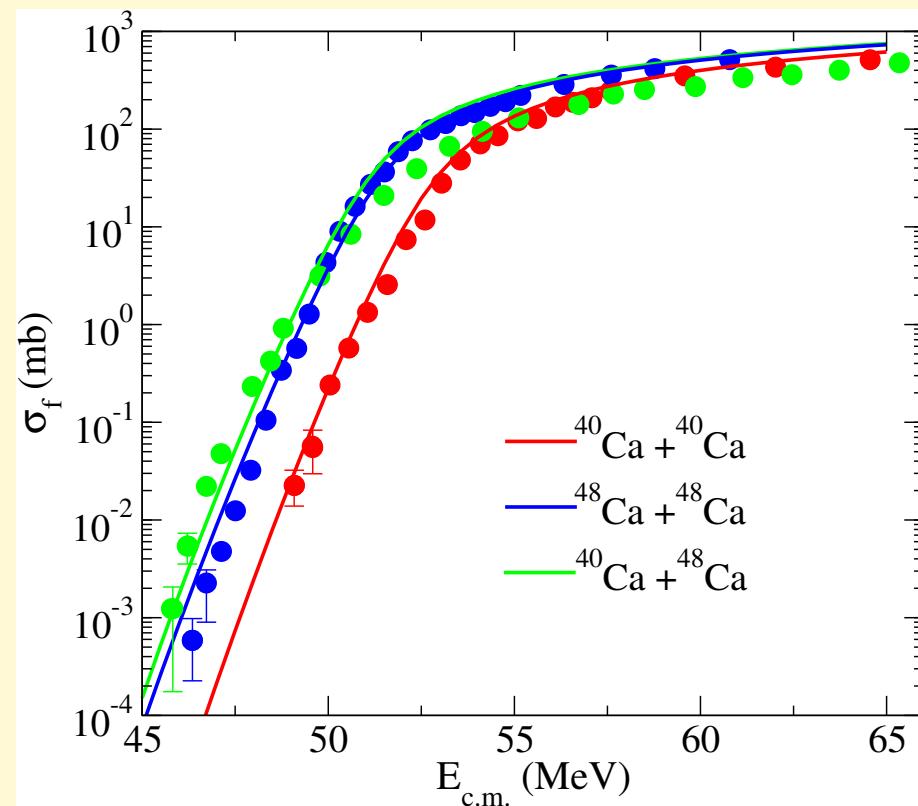
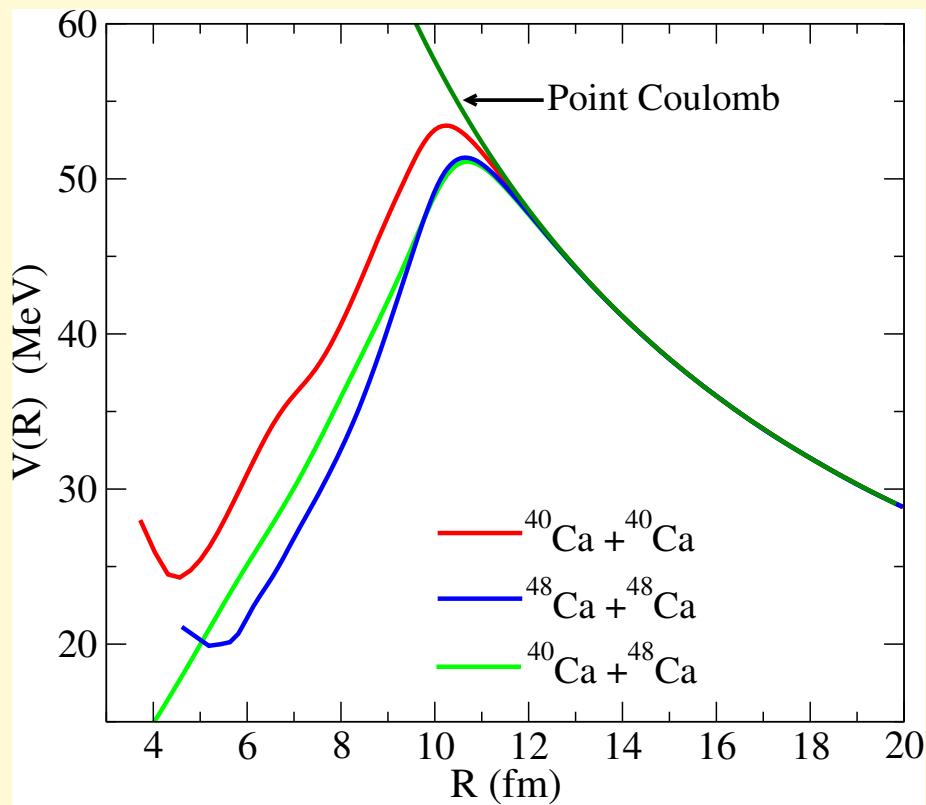
# DC-TDHF predictions for $^{18,19,20}\text{O} + ^{12}\text{C}$



Fusion experiments are scheduled for Fall 2013 / Spring 2014 at Florida State Univ.  
([deSouza et al.](#))

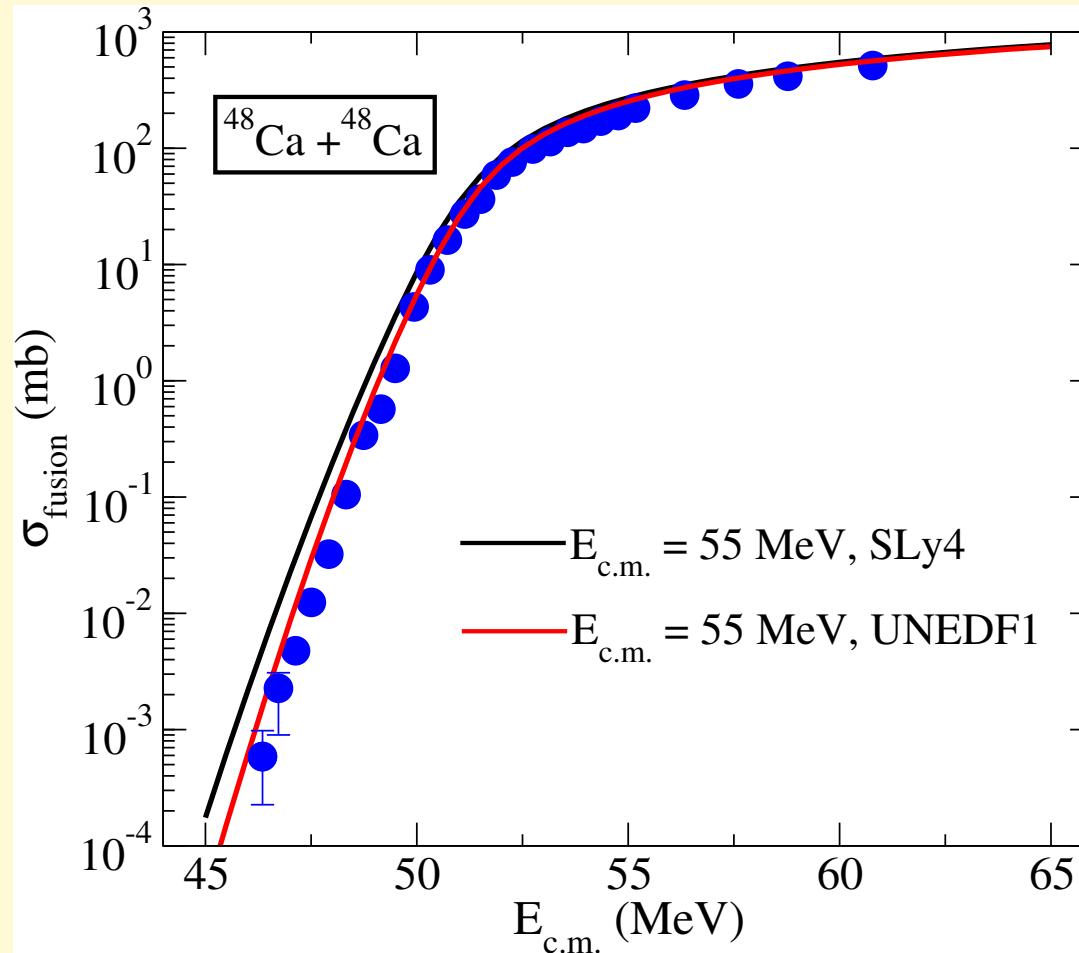
## Sub-barrier fusion of $^{40,48}\text{Ca} + ^{40,48}\text{Ca}$

Keser, Umar, and Oberacker, PRC 85, 044606 (2012)



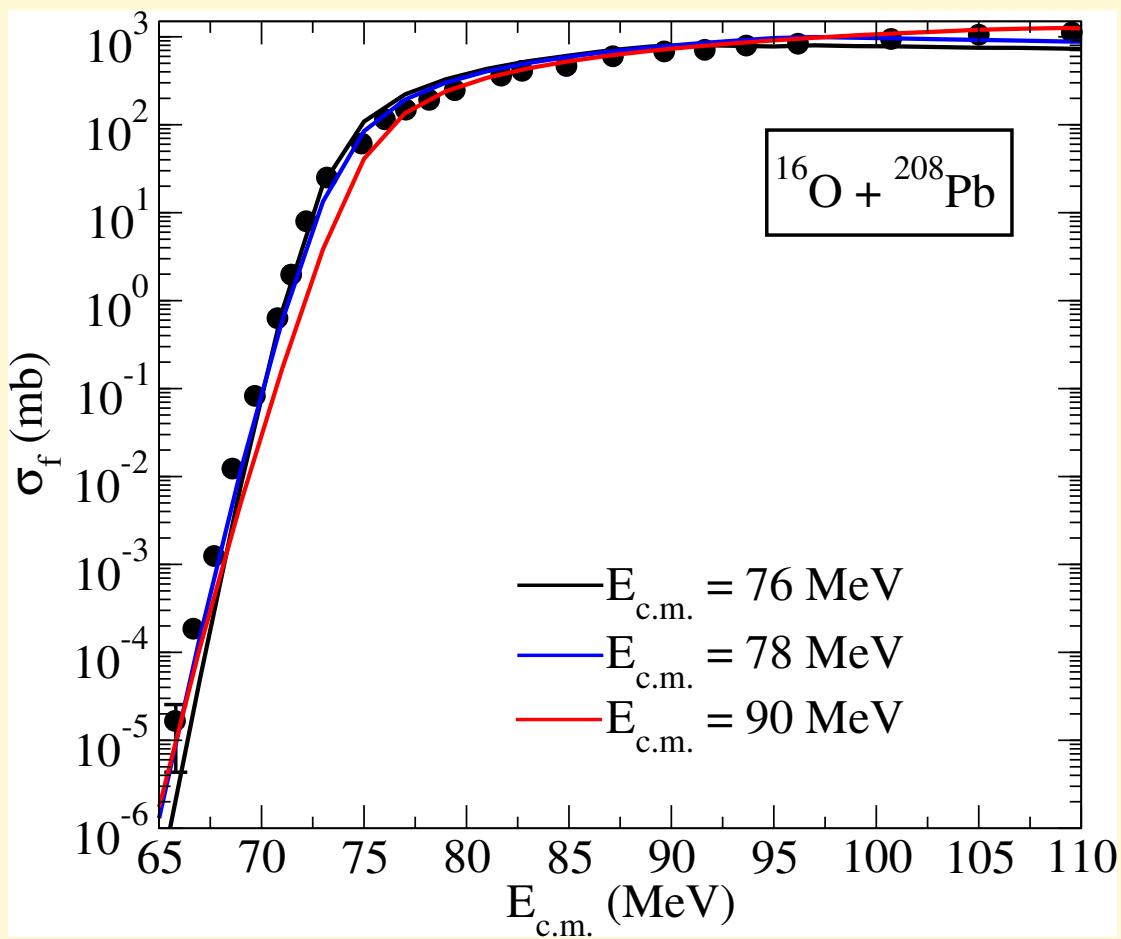
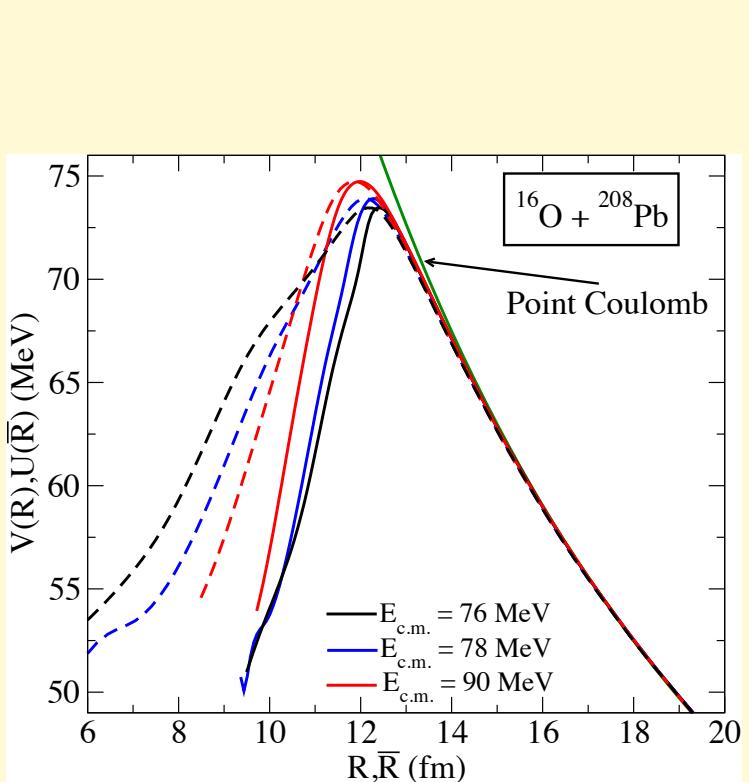
## Sub-barrier fusion of $^{48}\text{Ca} + ^{48}\text{Ca}$

Keser, Umar, and Oberacker, PRC 85, 044606 (2012)



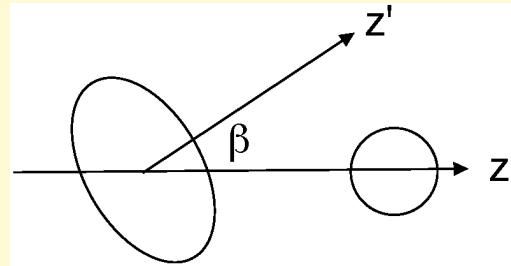
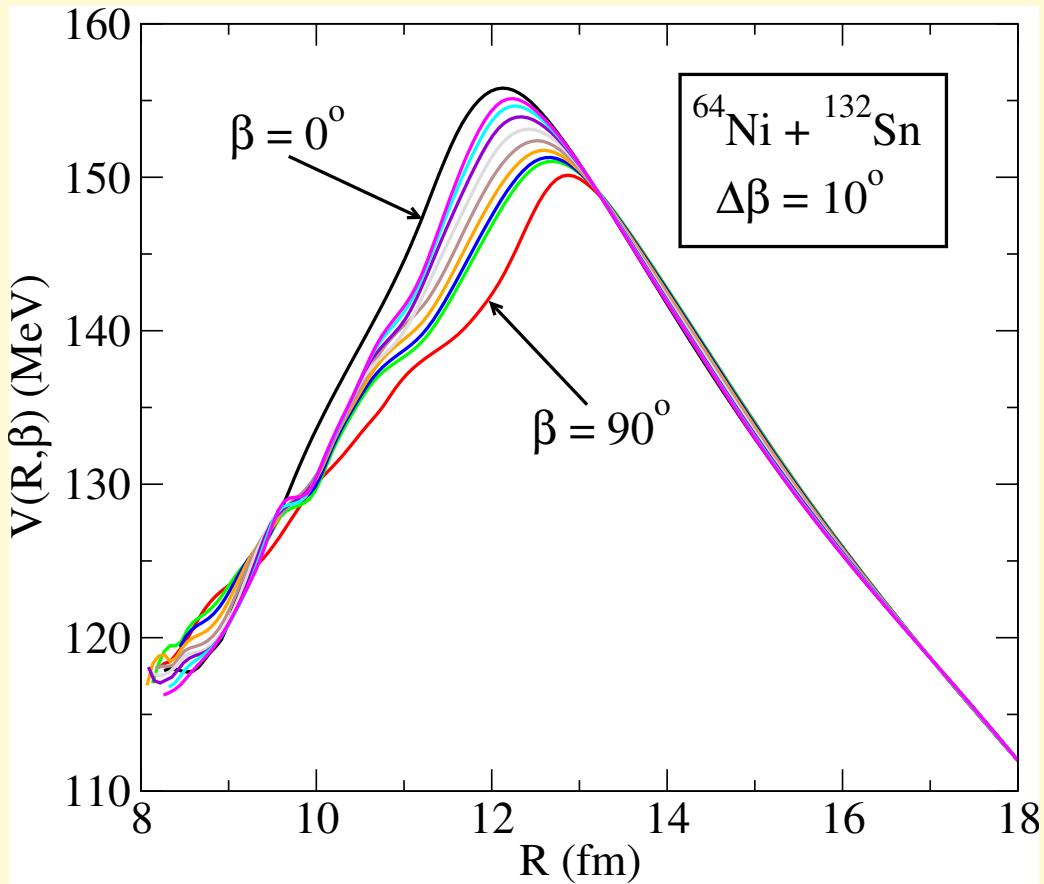
# $^{16}\text{O} + ^{208}\text{Pb}$ fusion

Umar and Oberacker, Eur. Phys. J. A 39, 243 (2009)

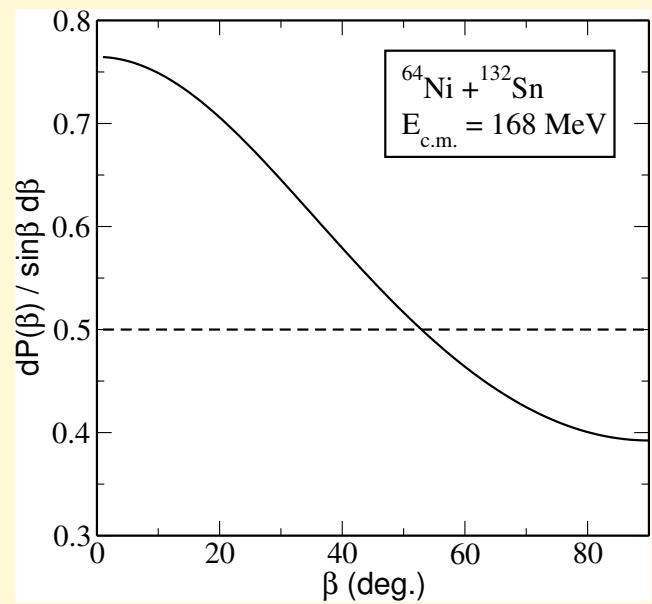


# Spherical + deformed (oblate) nucleus: $^{132}\text{Sn} + ^{64}\text{Ni}$

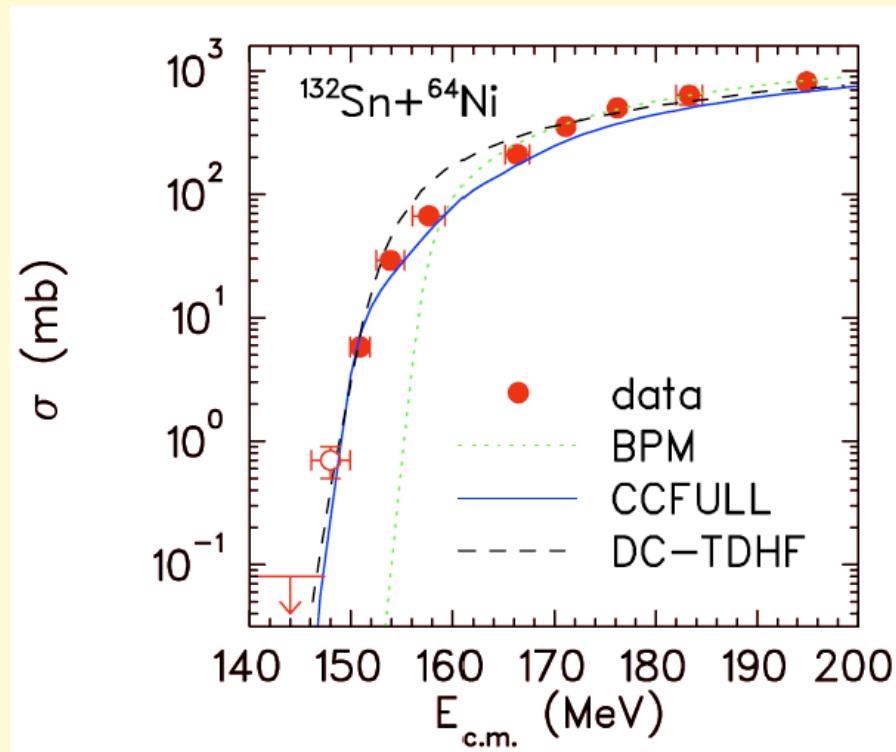
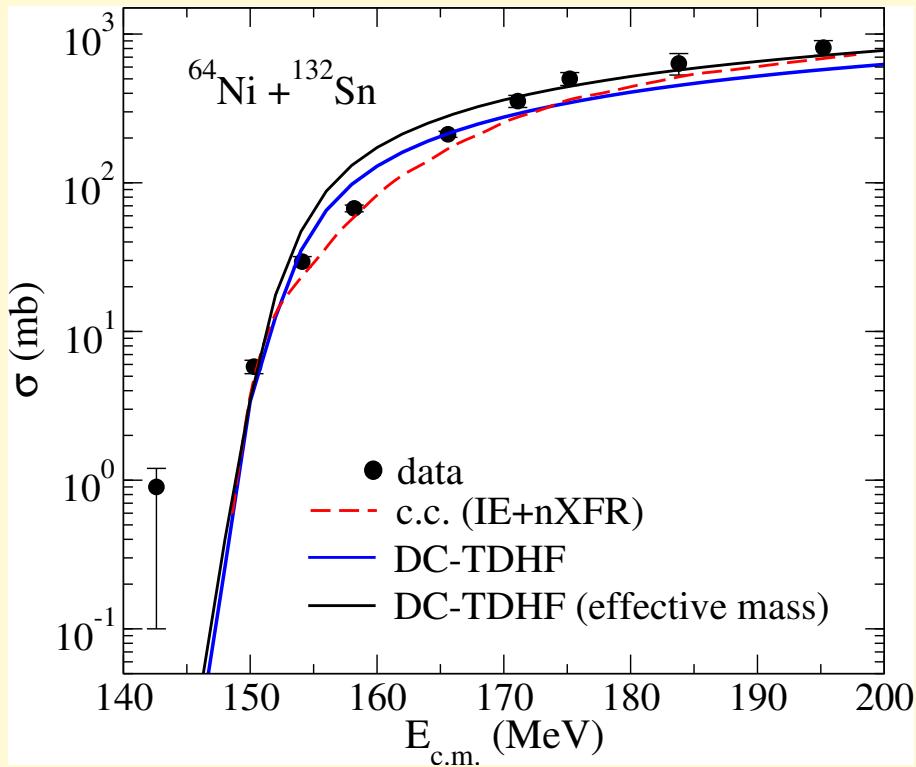
Umar & Oberacker, PRC 76, 014614 (2007)



Heavy-ion potential depends  
on initial orientation angle  $\beta$   
of deformed nucleus



# $^{64}\text{Ni} + ^{132}\text{Sn}$ sub-barrier fusion: first reaction studied with DC-TDHF

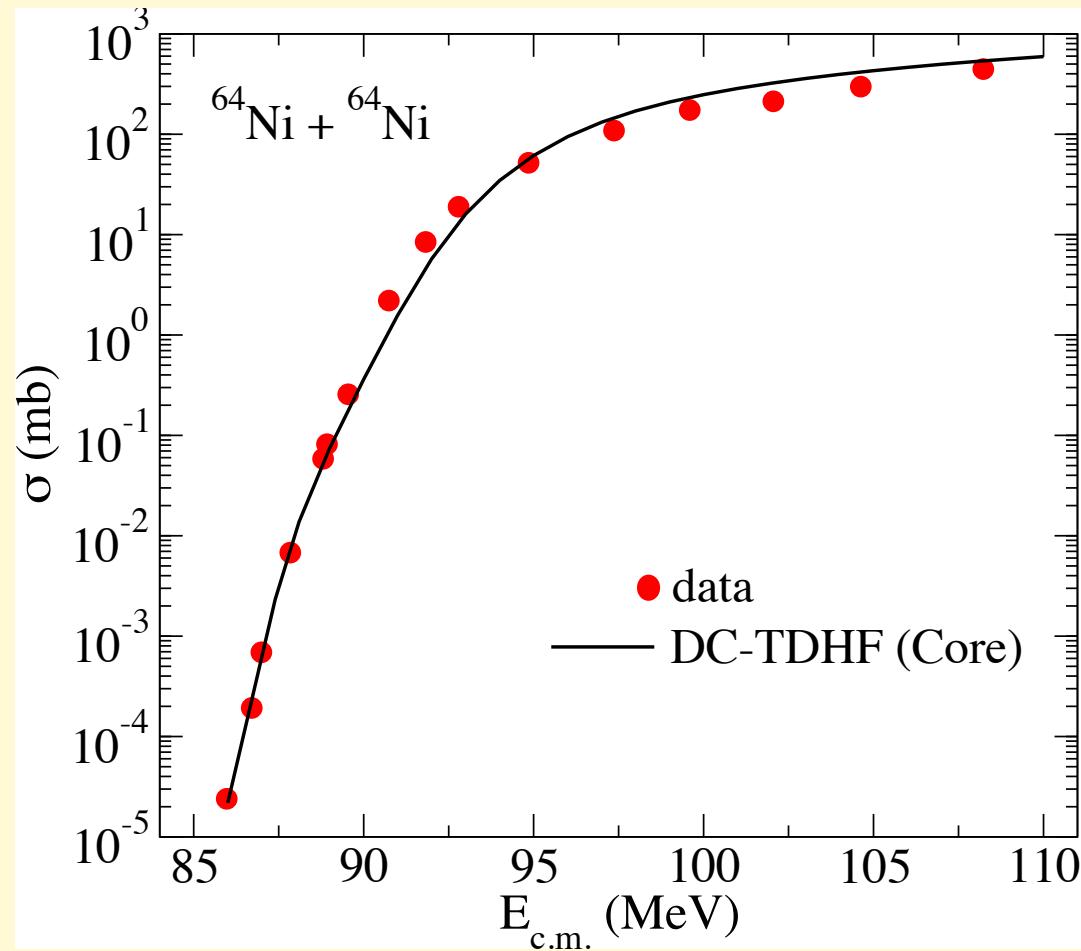
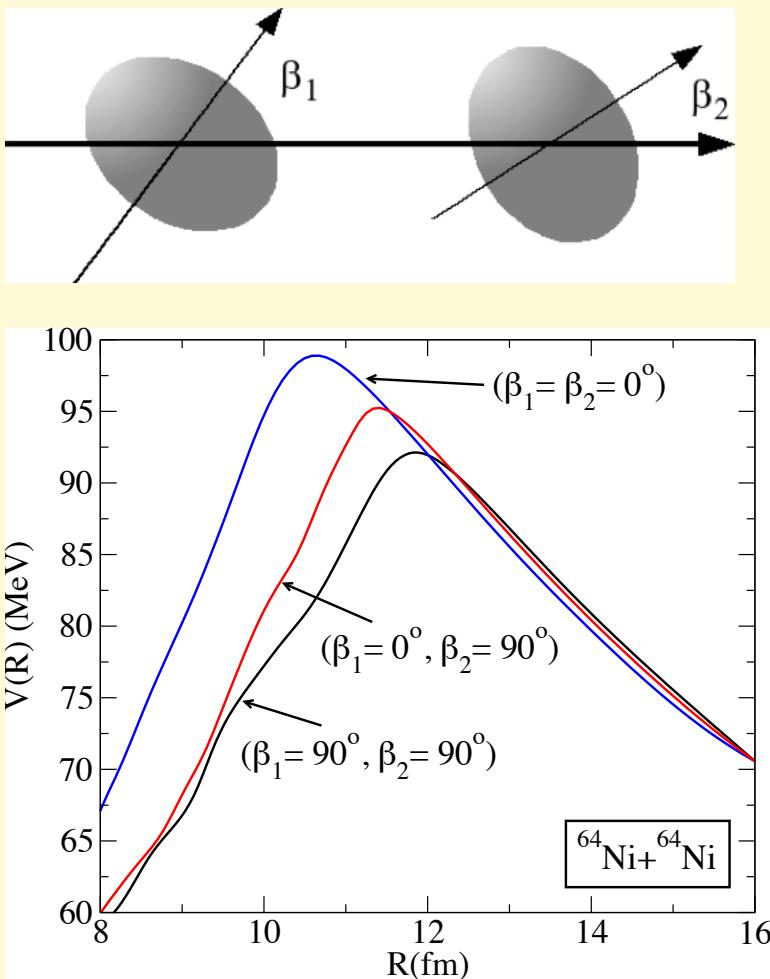


**DC-TDHF theory**  
Umar and Oberacker,  
PRC 76, 014614 (2007)

**Exp. Data (HRIBF, ORNL)**  
J.F. Liang et al.,  
PRL 91, 152701 (2003)  
PRC 75, 054607 (2007)  
PRC 78, 047601 (2008)

# Fusion hindrance in $^{64}\text{Ni} + ^{64}\text{Ni}$

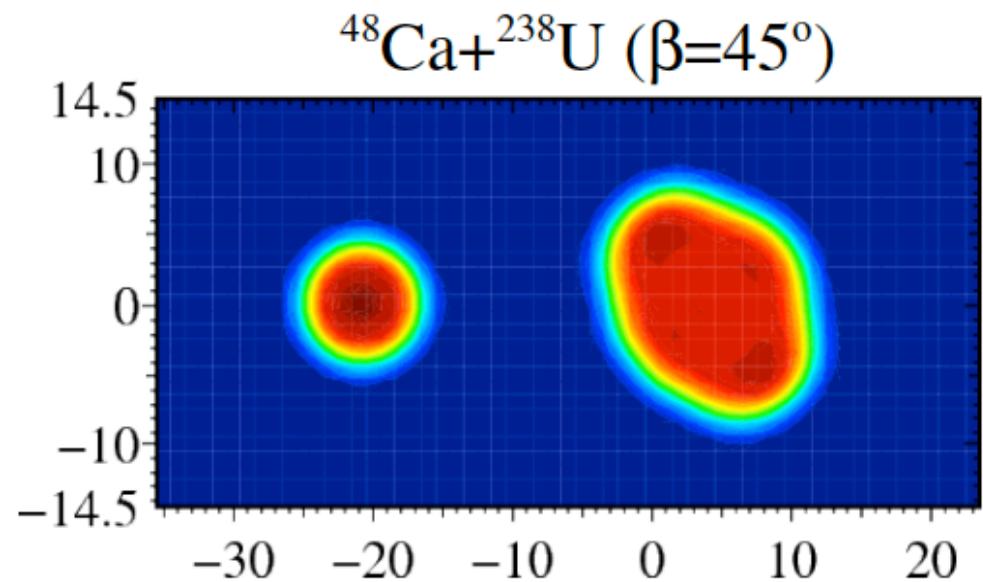
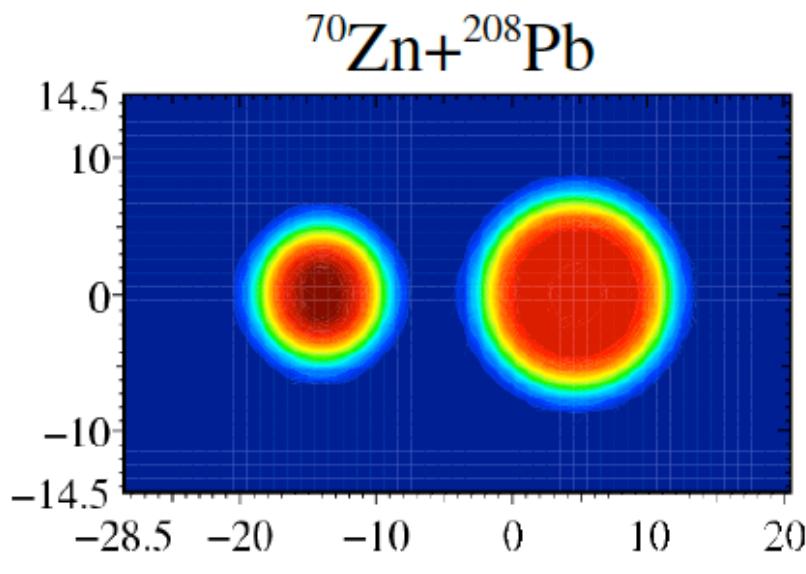
Umar and Oberacker, Phys. Rev. C 77, 064605 (2008)



# Heavy-ion fusion leading to superheavy element Z=112

spherical + spherical:  
“cold fusion” ( $E^*$  small)

spherical + deformed:  
“hot fusion” ( $E^*$  large)



# Factors Influencing Superheavy Formations

- Excitation energy
  - high excitation at the capture configuration → quasi-fission
  - high excitation of compound nucleus → fusion-fission
- Nuclear deformation and alignment
- Shell effects
- Mass asymmetry in the entrance channel
- Impact parameter dependence
- .....

$$\sigma_{ER} = \sigma_{capture} \cdot P_{CN} \cdot P_{survival}$$

Diagram illustrating the factors influencing superheavy formation:

- An orange arrow points from "Capture in ion-ion potential pocket" to  $\sigma_{capture}$ .
- An orange arrow points from "Form compound system" to  $P_{CN}$ .
- An orange arrow points from "Survive FF process" to  $P_{survival}$ .

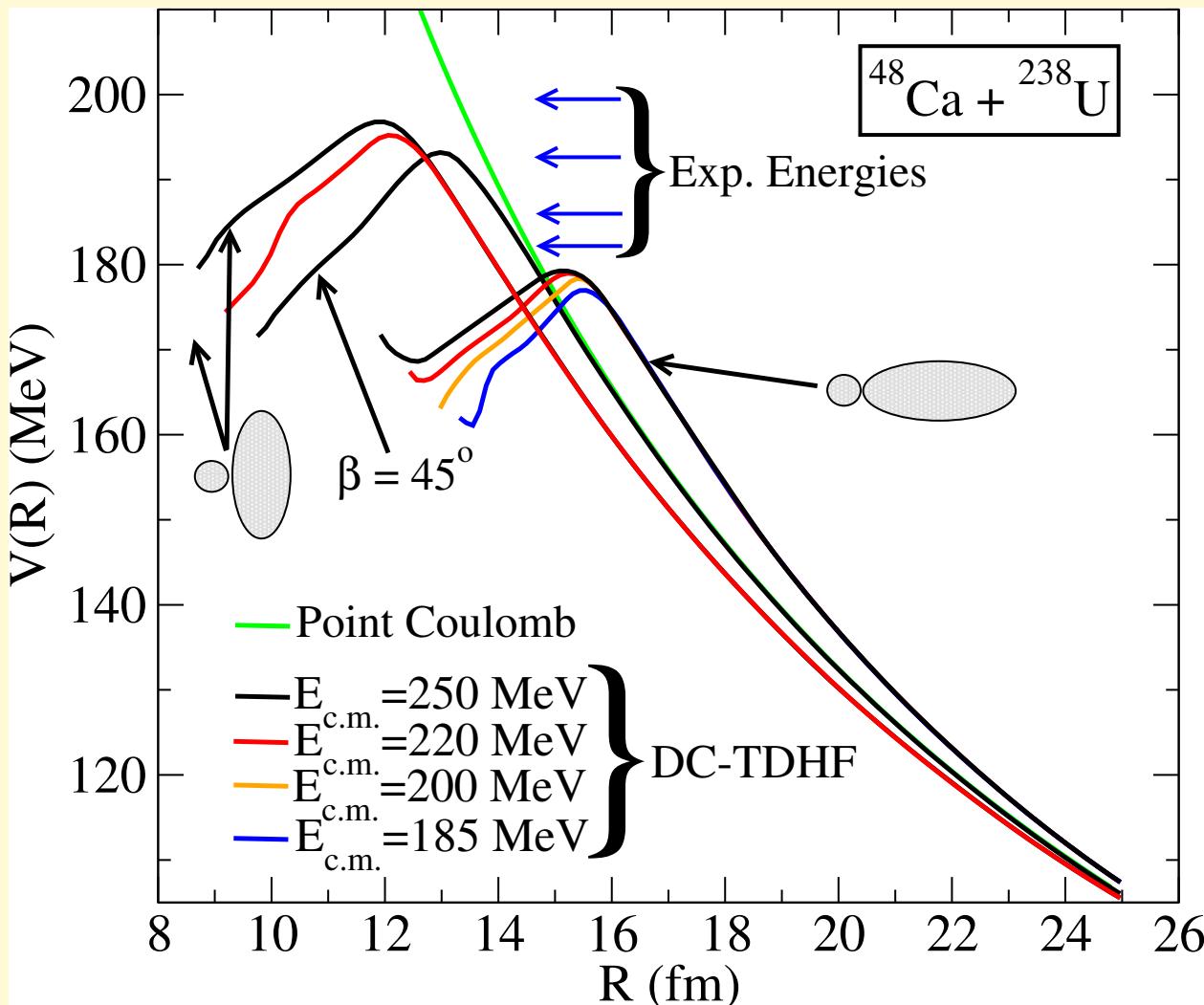
Capture in ion-ion potential pocket

Form compound system

Survive FF process

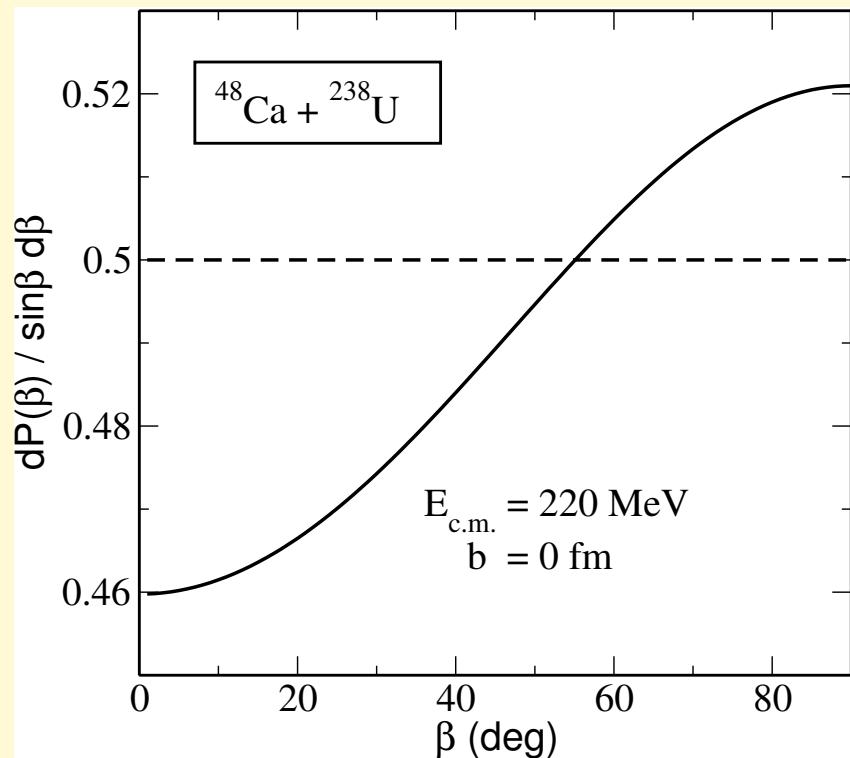
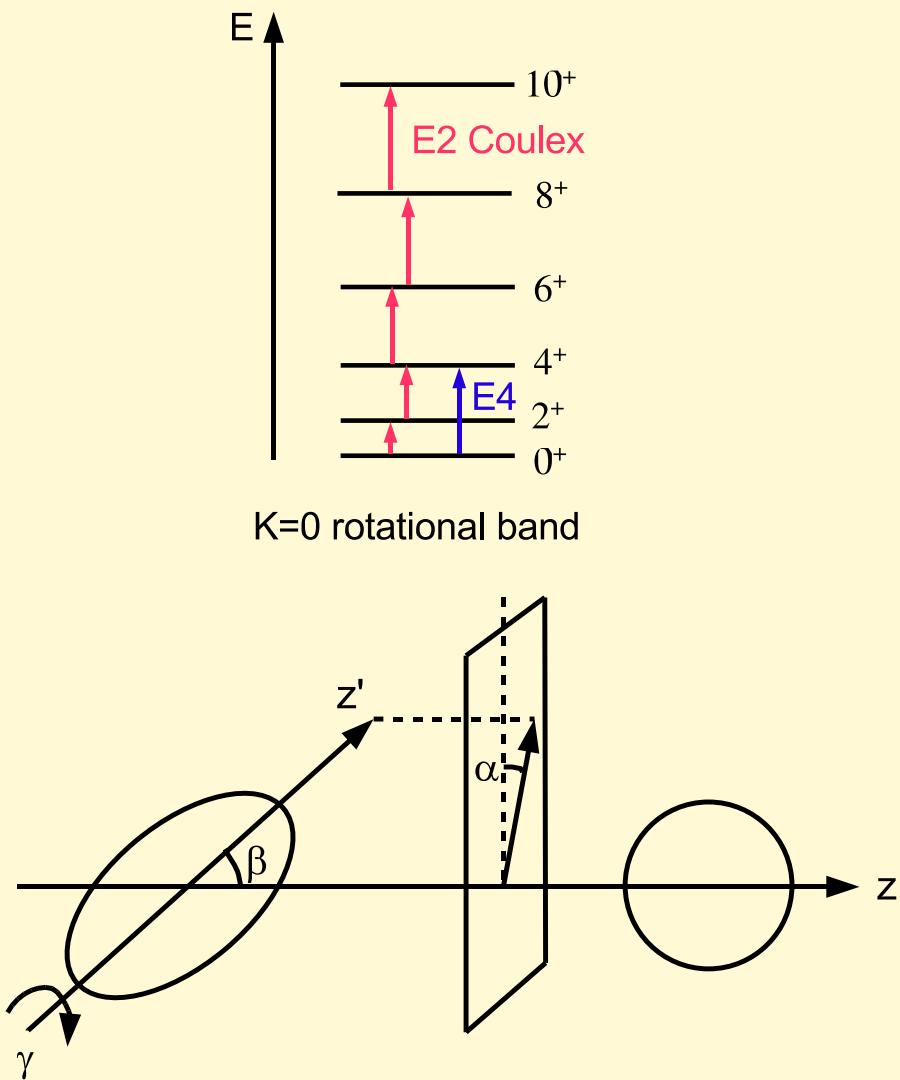
# $^{48}\text{Ca} + ^{238}\text{U}$ internuclear potential

Umar, Oberacker, Maruhn & Reinhard, PRC 81, 064607 (2010)



# Dynamic alignment (due to Coulex) of $^{238}\text{U}$ in hot fusion

Umar, Oberacker, Maruhn & Reinhard, PRC 81, 064607 (2010)

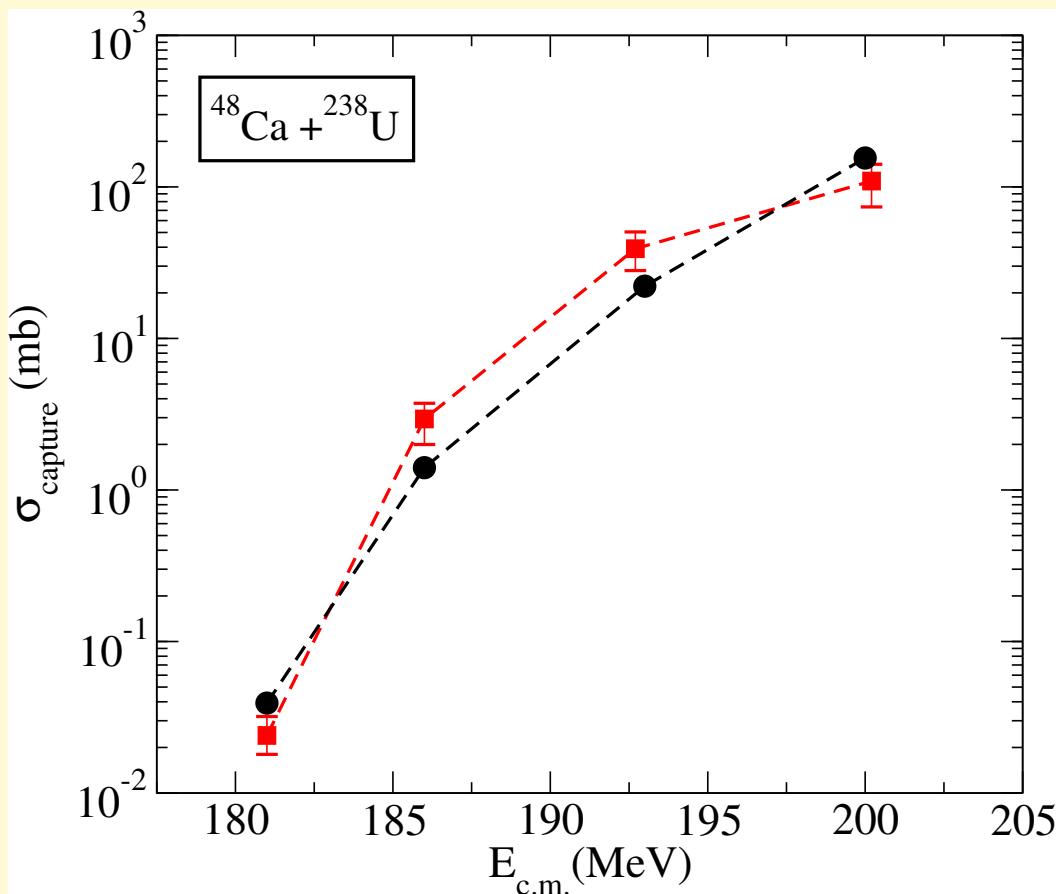


# $^{48}\text{Ca} + ^{238}\text{U}$ capture cross section

Umar, Oberacker, Maruhn & Reinhard, PRC 81, 064607 (2010)

$$\sigma_{capt}(E_{cm}) = \int_0^\pi \sin \beta d\beta \frac{dP(\beta)}{\sin \beta d\beta} \sigma_{capt}(E_{cm}, \beta)$$

dynamic  
alignment

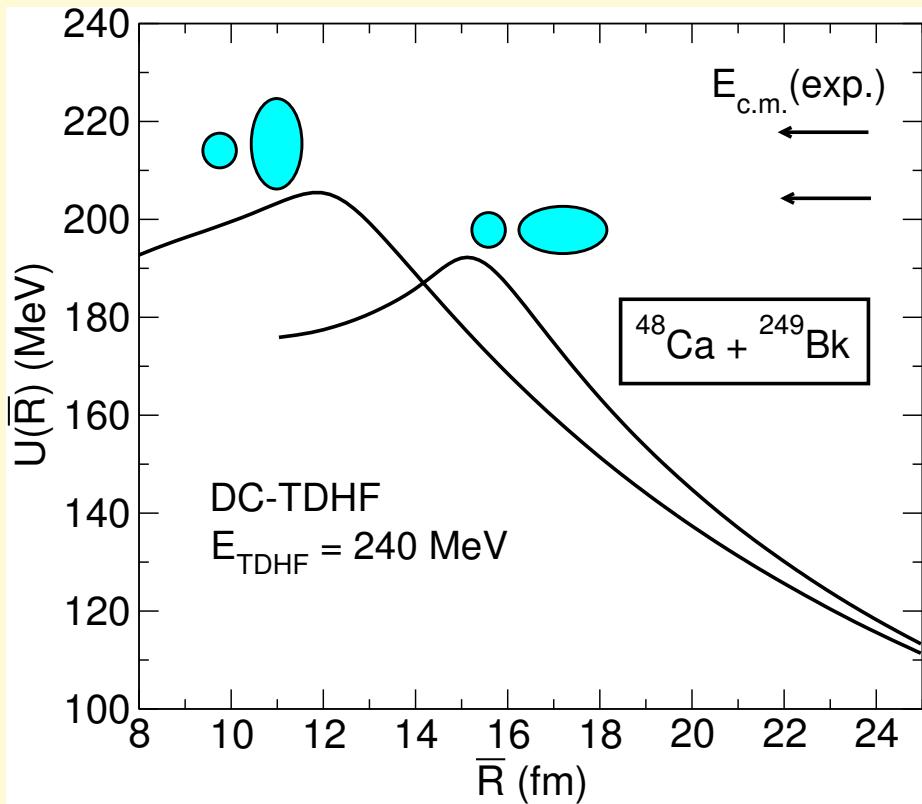


- $\sigma(\beta)$  decreases rapidly for  $\beta > 10^\circ$
- $\sin(\beta)$  multiplies small angles
- $dP(\beta)$  is in the range 0.46 - 0.52

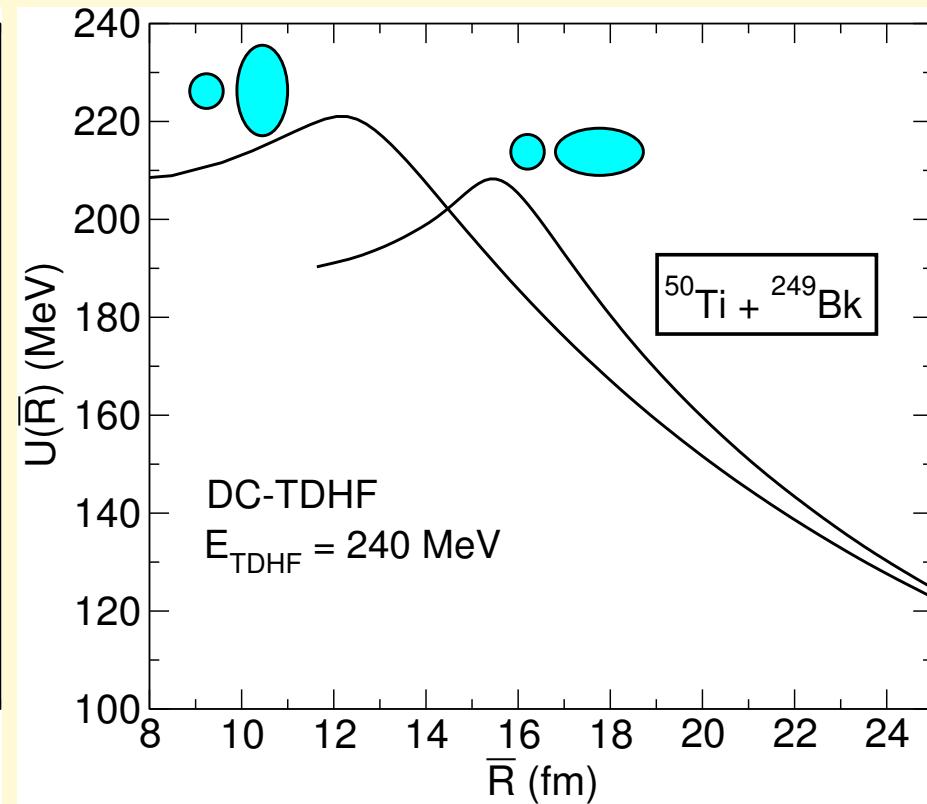
● DC-TDHF

■ Experiment:  
Oganessian et al.,  
J. Phys. G 34 R165 (2007)

# Comparison: internuclear potentials for $^{48}\text{Ca}$ , $^{50}\text{Ti} + ^{249}\text{Bk}$



exp: DGFRS Dubna  
 $\sigma (Z=117) = 1.1\text{-}2.4 \text{ pb}$   
 50 times larger !



exp: TASCA GSI  
 $\sigma (Z=119) < 50 \text{ fb}$

## Summary

- DC-TDHF: time-dependent density functional theory for fusion below and above the barrier
- only input: Skyrme N-N interaction, no adjustable parameters
- DC-TDHF output:  
internuclear potential  $V(R)$ , mass  $M(R)$ , fusion cross section  $\sigma(E)$ ,  
excitation energy  $E^*(R)$ , average multi-nucleon transfer,  
pre-equilibrium GDR excitation and dipole radiation
- Quantitative sub-barrier fusion calculations are now possible,  
detailed comparison with experimental data (about 25 fusion  
reactions studied with DC-TDHF between 2006 -2013)
- Grand Challenge: include pairing into DC-TDHF  
develop TDHFB code on 3D lattice for nuclear reactions

## Current research projects

- Neutron star crust: fusion reactions ( $\text{C}+\text{O}$ ,  $\text{O}+\text{O}$ ) in the presence of a neutron gas with variable density  
collaboration with Charles Horowitz and P.-G. Reinhard
- Fusion of light systems ( $^{18,19,20}\text{O}+^{12}\text{C}$ ): DC-TDHF calculations finished, fusion experiments in Fall 2013 / Spring 2014 at Florida State, collaboration with experimentalists at Indiana University (Romualdo deSouza et al.)
- Studies in connection with superheavy element formation:
  - a) DC-TDHF capture cross sections for  $^{48}\text{Ca}, ^{50}\text{Ti} + ^{249}\text{Bk}$
  - b) Quasifission in  $^{50}\text{Ti} + ^{208}\text{Pb}$ ,  $^{48}\text{Ca} + ^{248}\text{Cm}$ , ...  
unrestricted TDHF, possible collaboration with Cedric Simenel  
experimental guidance by Zach Kohley and Walt Loveland