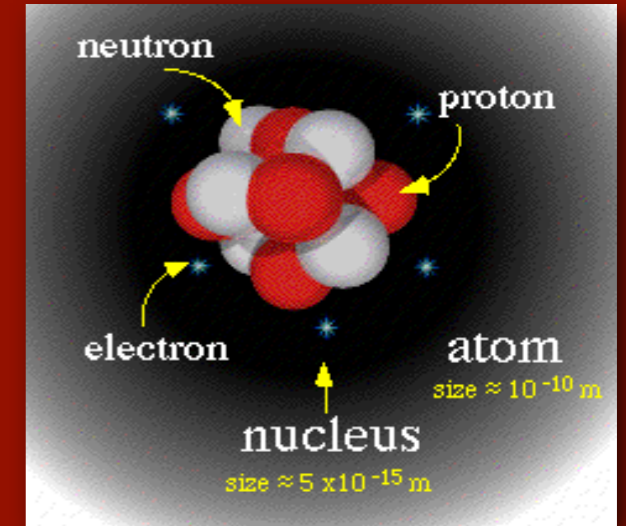


# Nuclear Forces and Few-Nucleons Dynamics in Break-up Reactions

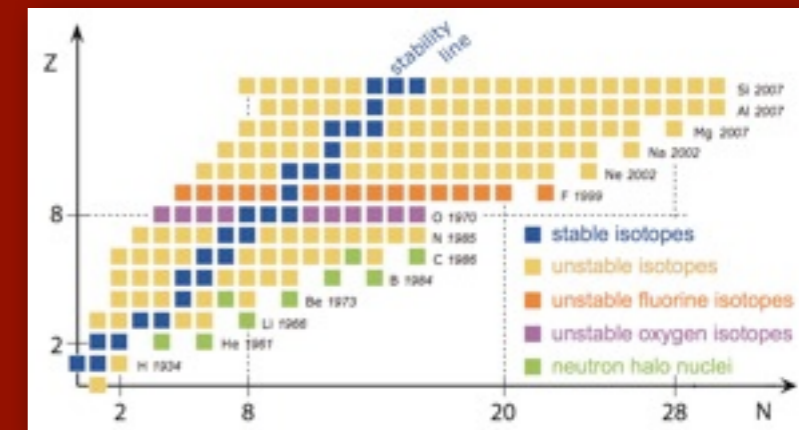
Sonia Bacca

TRIUMF Theory Group

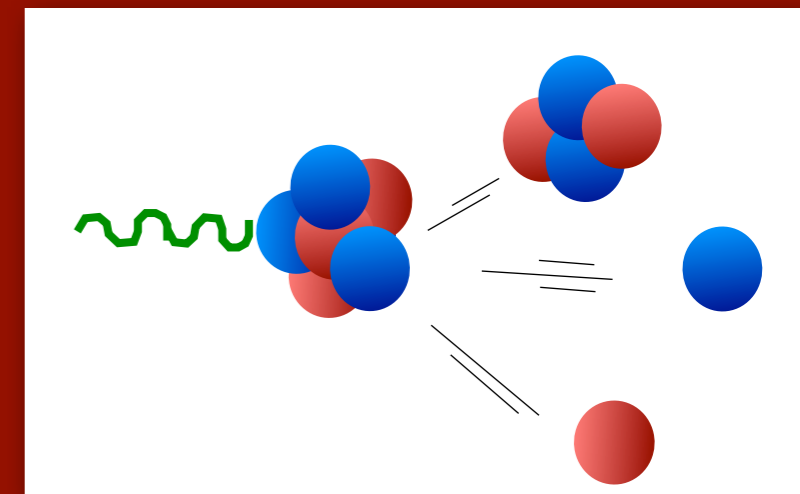
INT program on "Nuclei and Fundamental Symmetries:  
 Theory Needs of the Next-Decade Experiments"  
 August 6th, 2013



The Atomic Nucleus



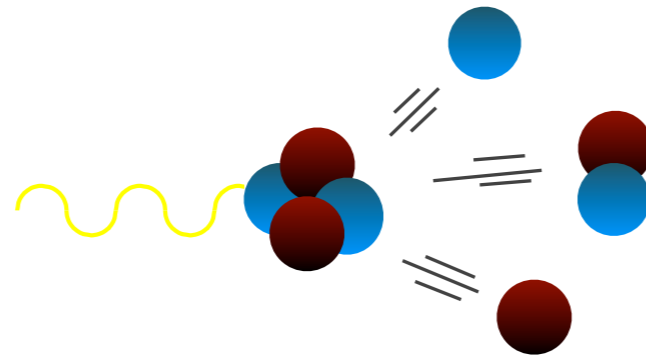
Nuclear Chart



Nuclear Reactions

# Motivations

- For few-nucleons one can perform exact calculations both for bound and scattering observables → test the nuclear theory on light nuclei and extend it to heavier mass number

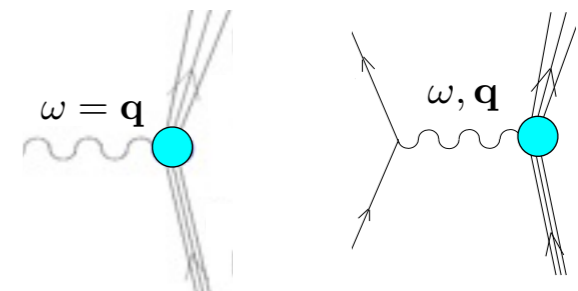


- Electroweak probes (coupling constant  $\ll 1$ )

*“With the electro-magnetic probe, we can immediately relate the cross section to the transition matrix element of the current operator, thus to the structure of the target itself”*

[De Forest-Walecka, Ann. Phys. 1966]

$$\sigma \propto |\langle \Psi_f | J^\mu | \Psi_0 \rangle|^2$$



- Provide important informations in other fields of physics, where nuclear physics plays a crucial role:

- Astrophysics:  $\gamma$  interactions with nucleonic matter, radiative capture reactions,  $\nu$  interactions with nucleonic matter (vector current as em)
- Atomic physics (nuclear corrections to atomic levels, etc.)
- Particle physics (neutrino experiments,...)



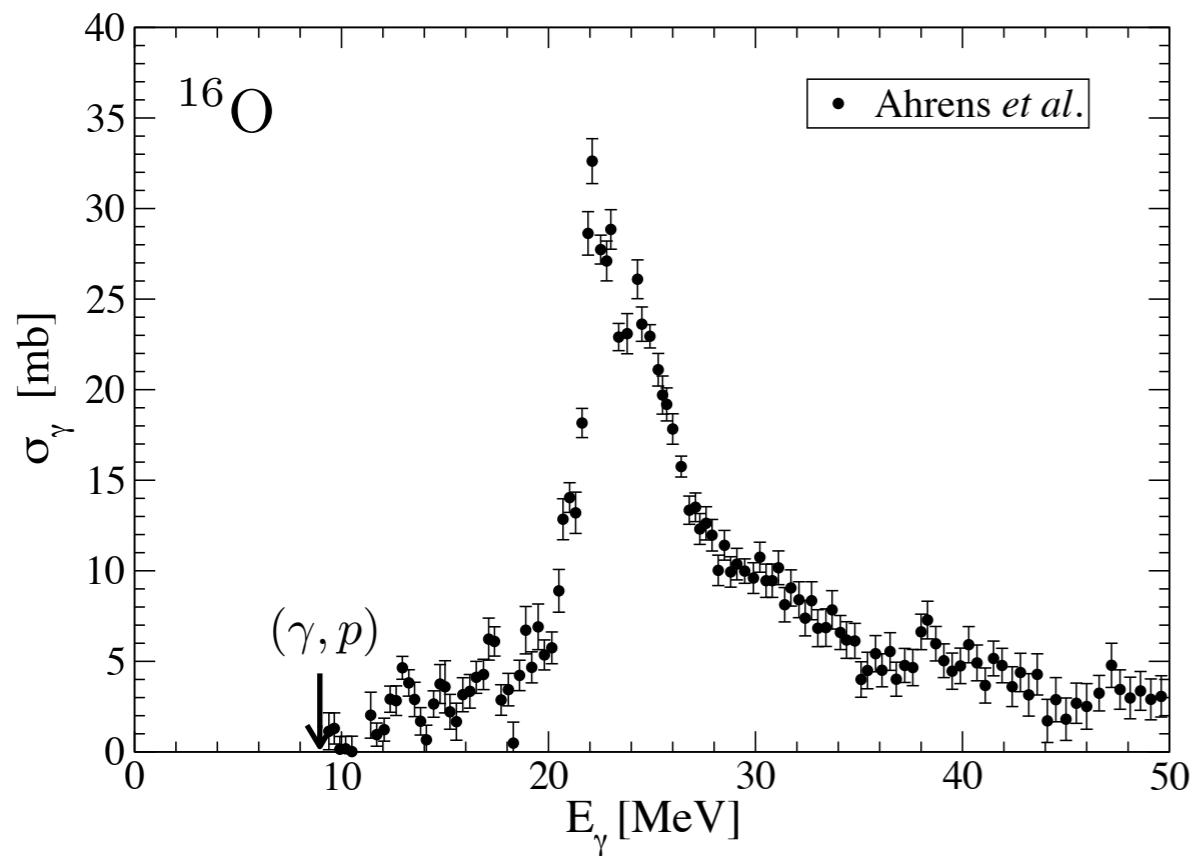
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# Electromagnetic Reactions

## Photo-nuclear Reactions

Reactions resulting from the interaction of a photon with the nucleus

For photon energy 15-25 MeV stable nuclei across the periodic table show wide and large peak

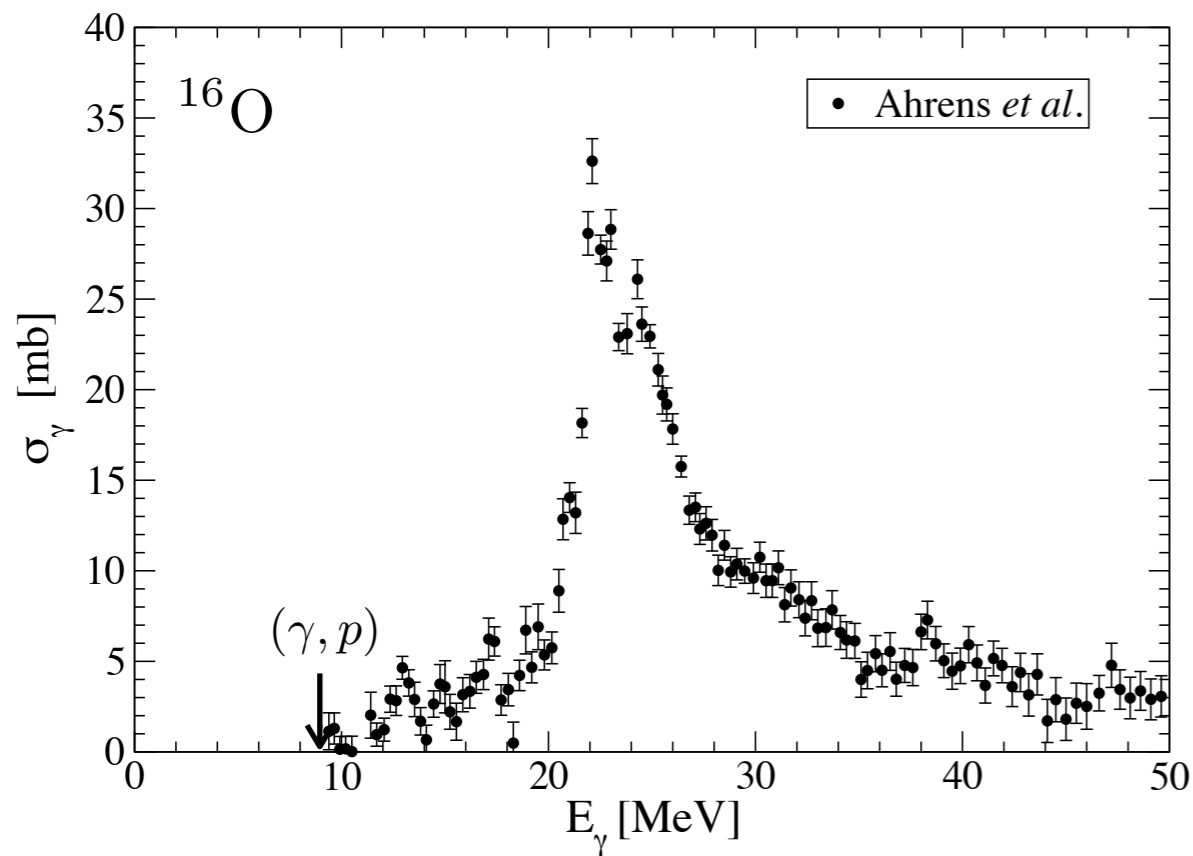


# Electromagnetic Reactions

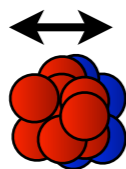
## Photo-nuclear Reactions

Reactions resulting from the interaction of a photon with the nucleus

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Giant Dipole Resonance

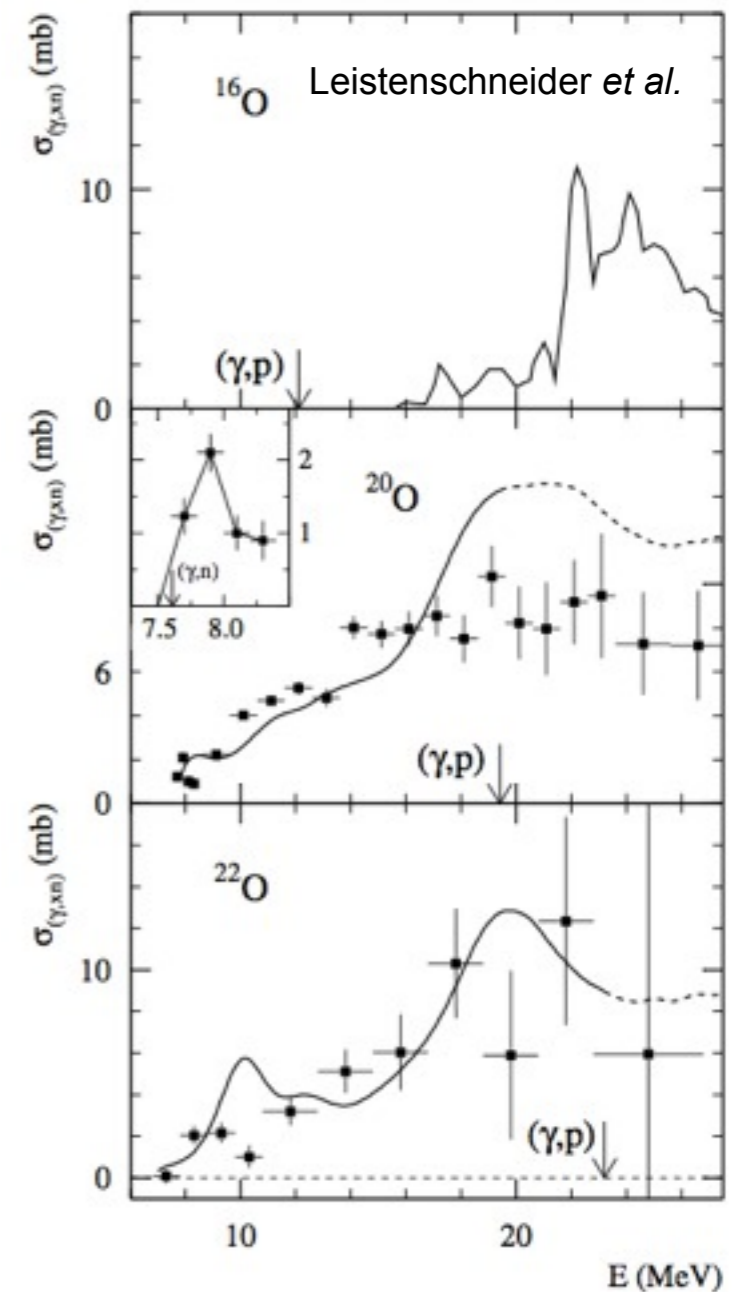


- Can we give a microscopic explanation of these observations?

## Coulomb excitations

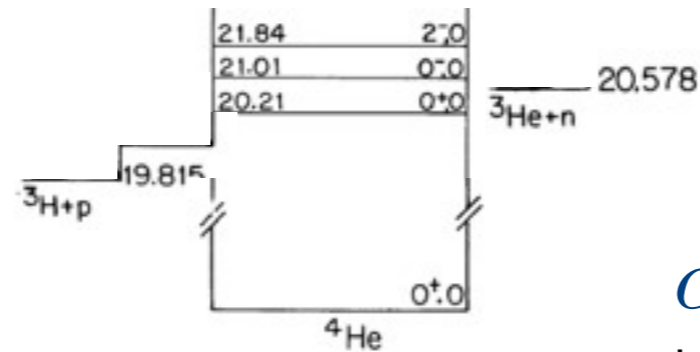
Inelastic scattering between two charged particles. Can use unstable nuclei as projectiles.

Neutron-rich nuclei show fragmented low-lying strength



# Electromagnetic Reactions

## Monopole Resonance in $^4\text{He}$

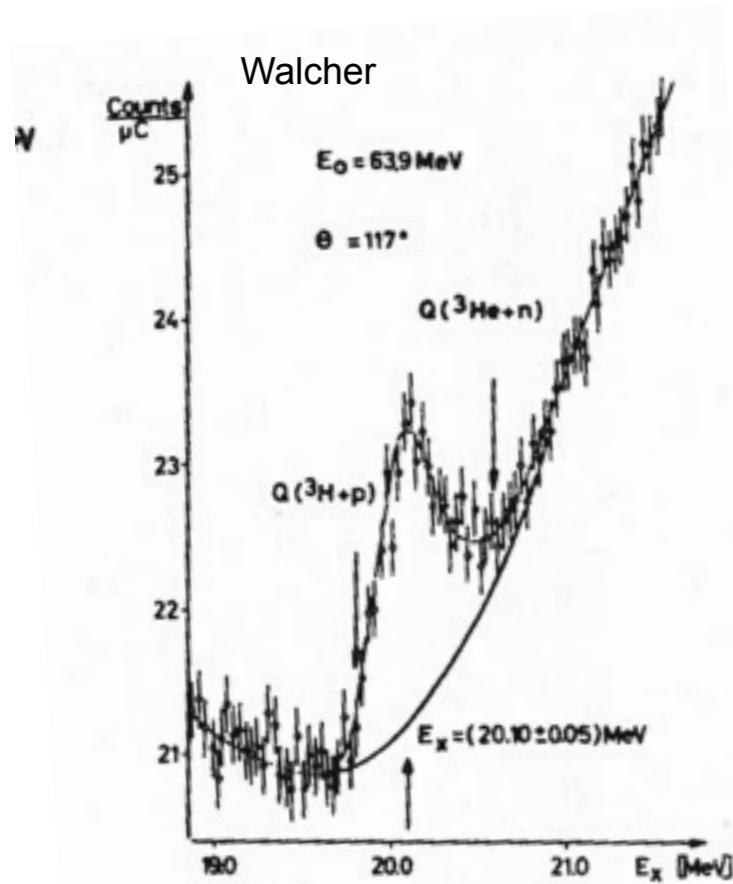


### Electron scattering

interaction of a virtual photon with the nucleus

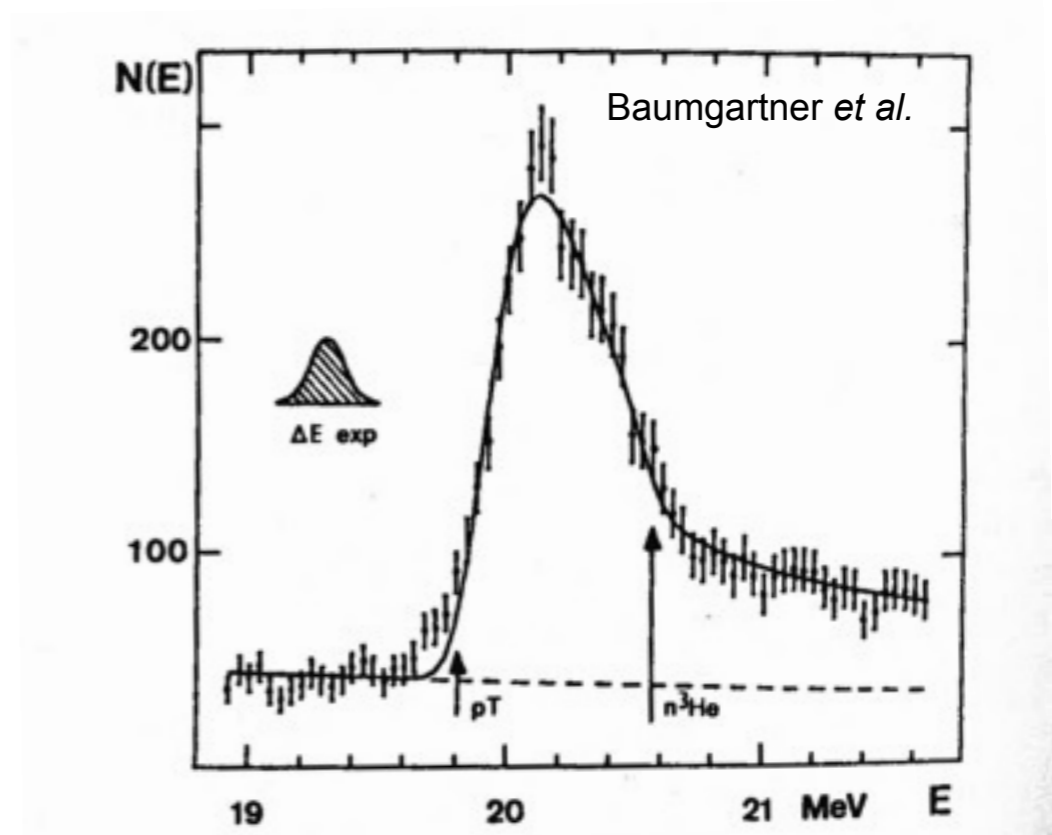
### $\alpha$ - scattering

interaction of a virtual photon with the nucleus + strong interaction



$$E_x = 20.10(5) \text{ MeV}$$

$$\Gamma = 0.27(5) \text{ MeV}$$

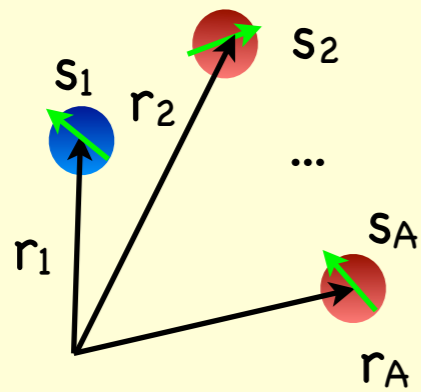


$$E_x = 20.29(2) \text{ MeV}$$

$$\Gamma = 0.89(4) \text{ MeV}$$

- Can we understand this difference? Can microscopic theories help?

# Ab-initio Theory Tools



$$H|\psi_i\rangle = E_i|\psi_i\rangle$$

$$H = T + V_{NN} + V_{3N} + \dots$$

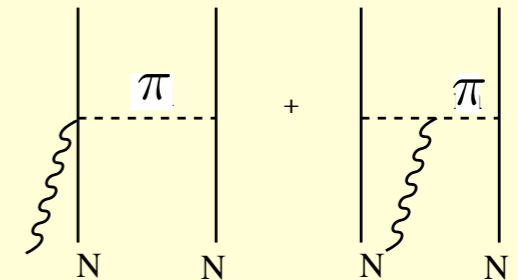
High precision two-nucleon potentials:  
well constraint on NN phase shifts

Three nucleon forces:  
less known, constraint on A>2 observables

Traditional Nuclear Physics  
AV18+UIX, ..., J<sub>2</sub>

Effective Field Theory  
N<sup>2</sup>LO, N<sup>3</sup>LO ...

$$J^\mu = J_N^\mu + J_{NN}^\mu + \dots$$



two-body currents (or MEC)  
subnuclear d.o.f.

$$J^\mu \text{ consistent with } V$$

$$\nabla \cdot J = -i[V, \rho]$$

$$\sigma \propto |\langle \Psi_f | J^\mu | \Psi_0 \rangle|^2$$

Exact Initial state &  
Final state in the continuum at different  
energies and for different A

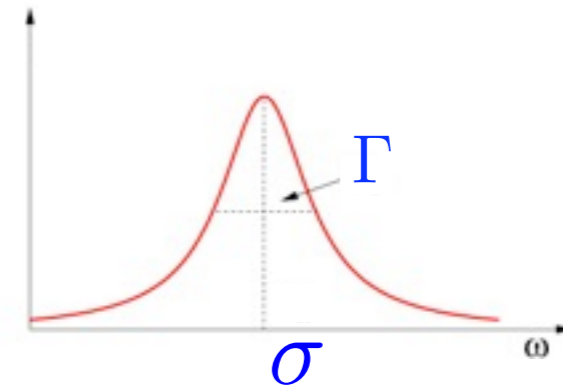
# Final State Interaction

Exact evaluation of the final state in the continuum is limited in energy and A

Solution: **The Lorentz Integral Transform Method** Efros *et al.*, Nucl.Part.Phys. **34** (2007) R459

Response in the continuum

$$R(\omega) = \sum_f \left| \langle \psi_f | J^\mu | \psi_0 \rangle \right|^2 \delta(E_f - E_0 - \omega)$$



$$L(\sigma, \Gamma) = \int d\omega \frac{R(\omega)}{(\omega - \sigma)^2 + \Gamma^2} = \langle \tilde{\psi} | \tilde{\psi} \rangle$$

$$(H - E_0 - \sigma + i\Gamma) | \tilde{\psi} \rangle = J^\mu | \psi_0 \rangle$$

- Due to imaginary part  $\Gamma$  the solution  $| \tilde{\psi} \rangle$  is unique
- Since the r.h.s. is finite, then  $| \tilde{\psi} \rangle$  has bound state asymptotic behavior

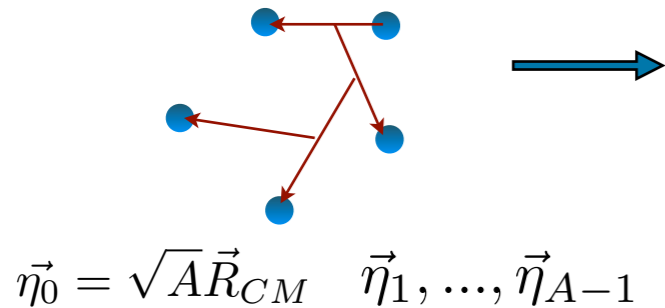
$$L(\sigma, \Gamma) \xrightarrow{\text{inversion}} R(\omega) \text{ with the exact final state interaction}$$

You can use any good bound state method! e.g. Hyperspherical Harmonics, No Core Shell Model, Coupled Cluster Theory

# Hyperspherical Harmonics

Starts from relative coordinates

$$|\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A)\rangle = |\varphi(\vec{R}_{CM})\Psi(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})\rangle$$



Recursive definition of hyper-spherical coordinates

$$\rho, \Omega \quad \rho^2 = \sum_{i=1}^A r_i^2 = \sum_{i=1}^{A-1} \eta_i^2$$

Kinetic Energy  $H_0(\rho, \Omega) = T_\rho - \frac{K^2(\Omega)}{\rho^2} \longrightarrow$  HH eigenstates of  $K^2$

• Use HH as a basis to expand the wf  $\Psi = \sum_{[K], \nu}^{K_{max}, \nu_{max}} c_\nu^{[K]} e^{-\rho/2b} \rho^{n/2} L_\nu^n\left(\frac{\rho}{b}\right) [\mathcal{Y}_{[K]}^\mu(\Omega) \chi_{ST}^{\bar{\mu}}]_{JT}^a$

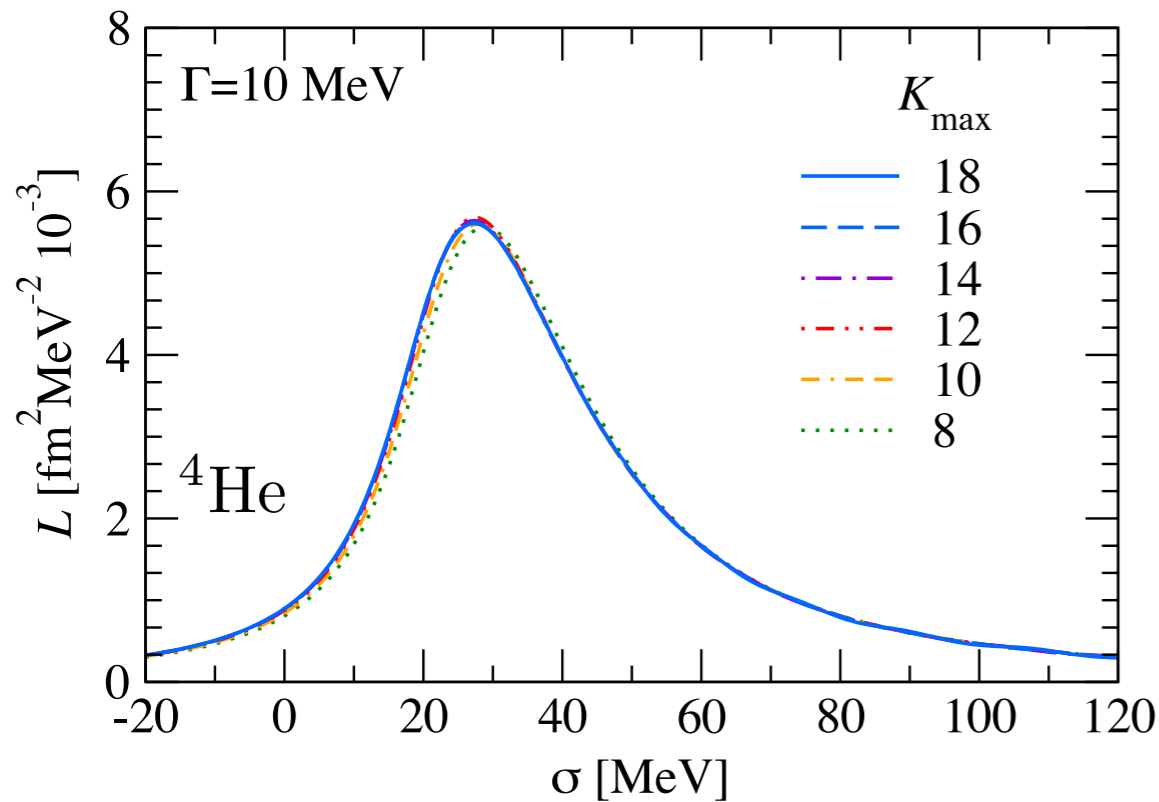
• Model space truncation  $K \leq K_{max}$   $\longrightarrow$  Matrix diagonalization

• Antisymmetrization algorithm Barnea and Novoselsky, Ann. Phys. 256 (1997) 192



# The LIT with Hyperspherical Harmonics

Numerical example: Dipole Response Function of  ${}^4\text{He}$   $J^\mu \rightarrow \hat{D}_z = \sum_i^Z (z_i - Z_{\text{cm}})$  with NN(N<sup>3</sup>LO)



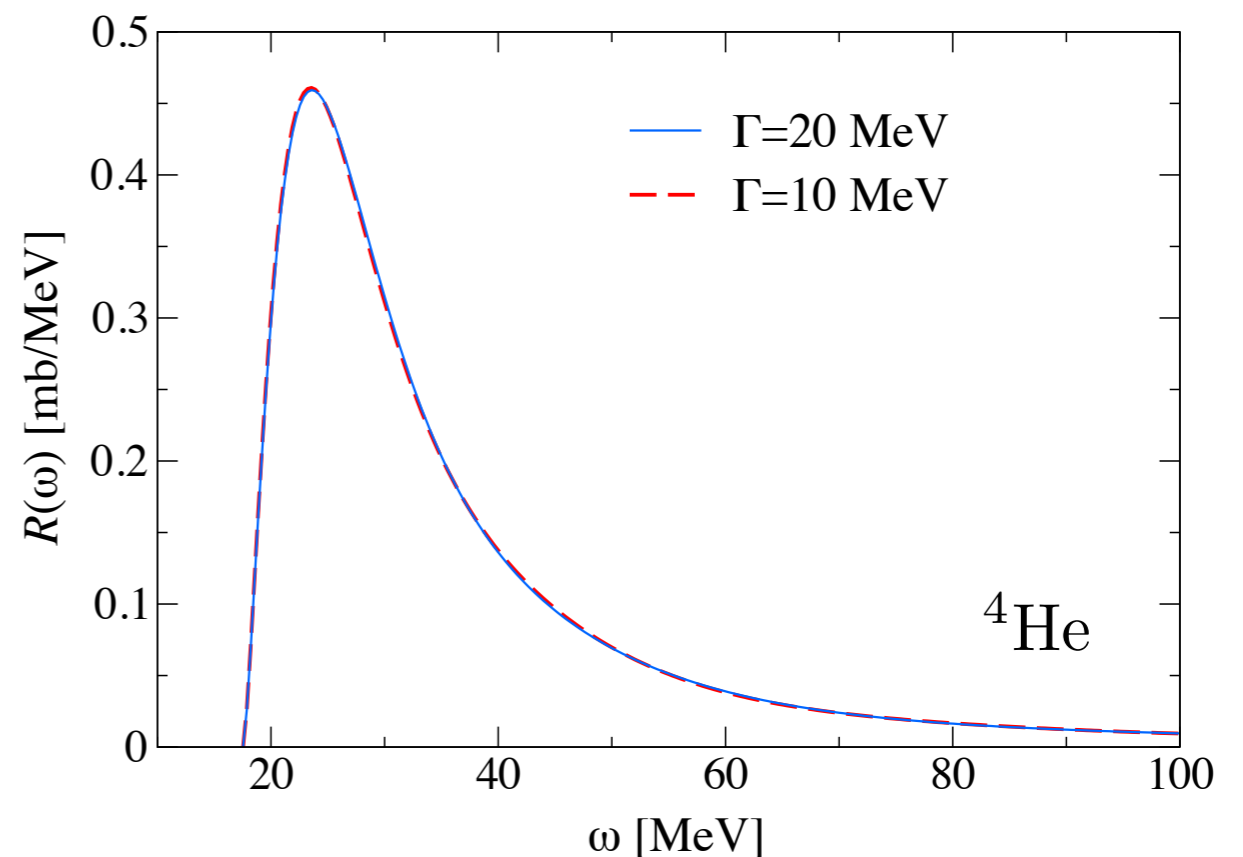
Inversion of the LIT

Ansatz

$$R(\omega) = \sum_i^{I_{\text{max}}} c_i \chi_i(\omega, \alpha)$$

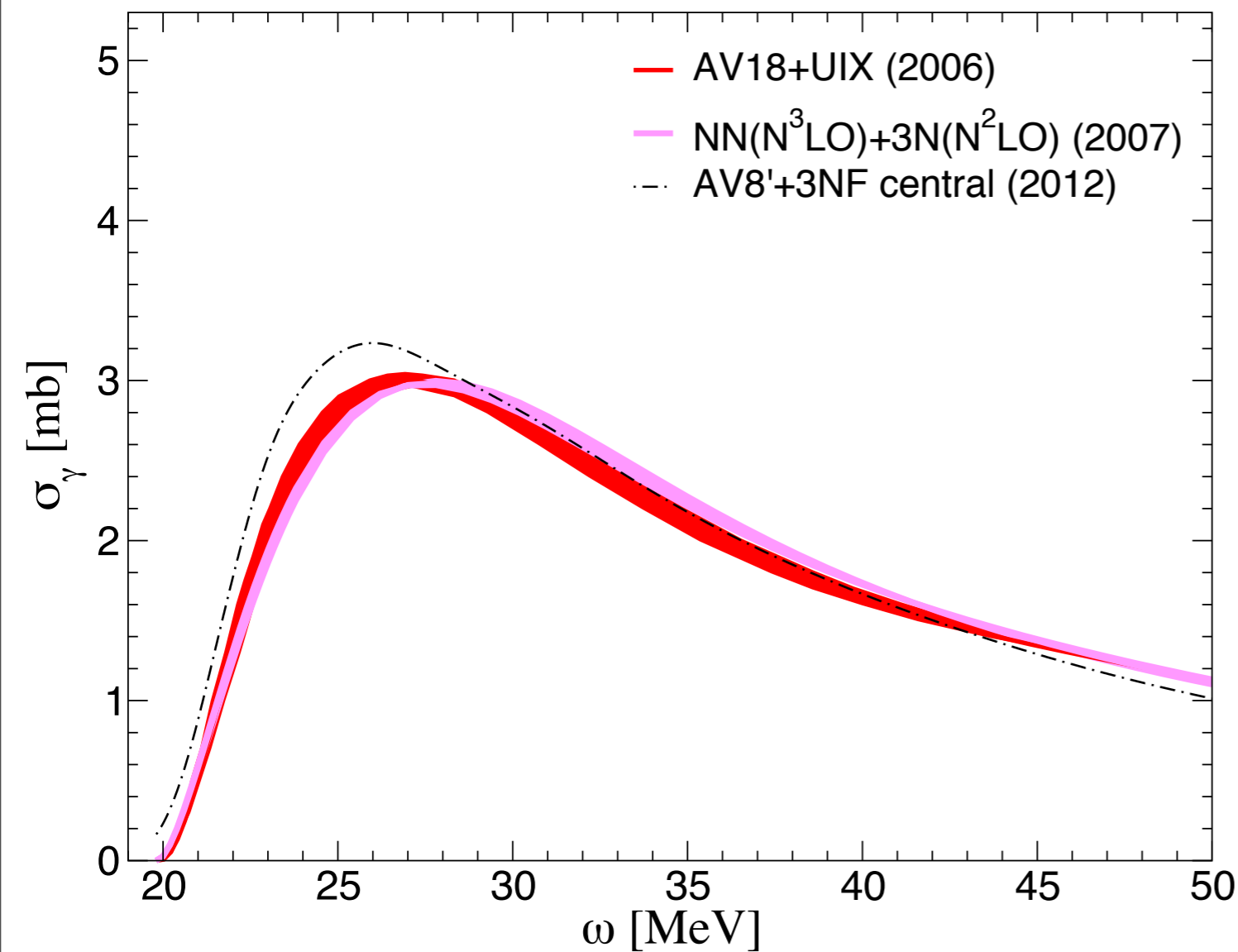
$$L(\sigma, \Gamma) = \sum_i^{I_{\text{max}}} c_i \mathcal{L}[\chi_i(\omega, \alpha)]$$

Least square fit of the coefficients  $c_i$  to reconstruct the response function



# Applications

# $\gamma + {}^4\text{He} \longrightarrow X$



$$\sigma_\gamma = \frac{4\pi^2\alpha}{3}\omega R^{E1}(\omega)$$

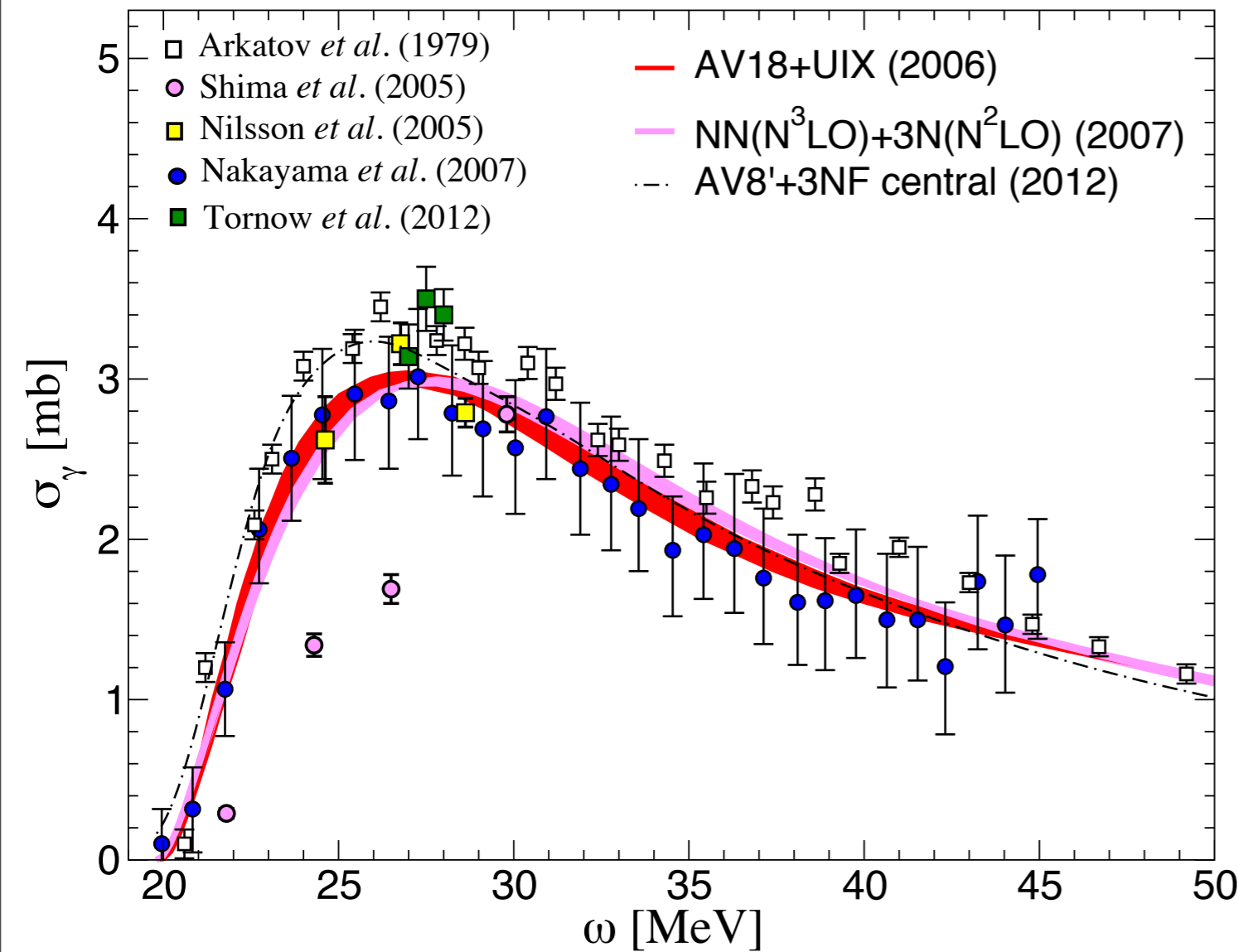
Traditional Hamiltonian  
*PRL 96 112301 (2006)*

Hamiltonian from  $\chi$ EFT  
*S.Quaglioni and P.Navratil PLB 652 (2007)*

Realistic NN + phenomenological central 3NF  
*W.Horiuchi et al. PRC 85 054002 (2012)*

 Moderate sensitivity to the Hamiltonian used; theory variation about 10% in peak

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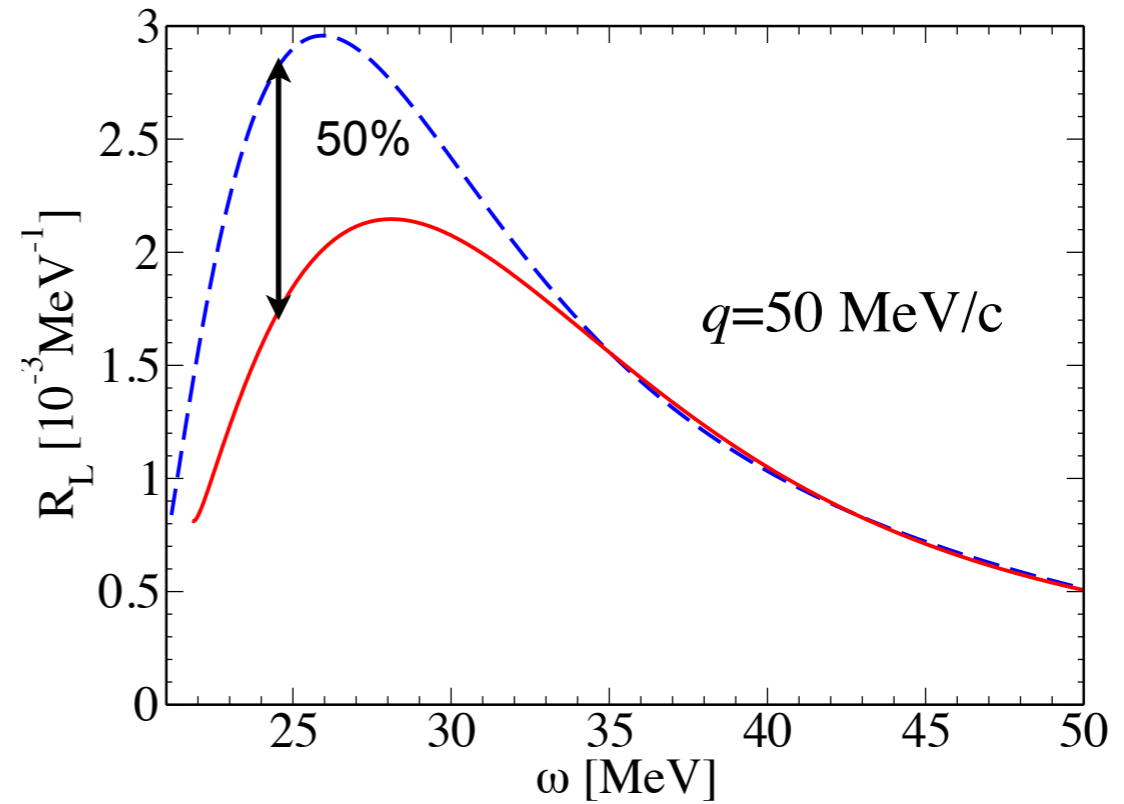
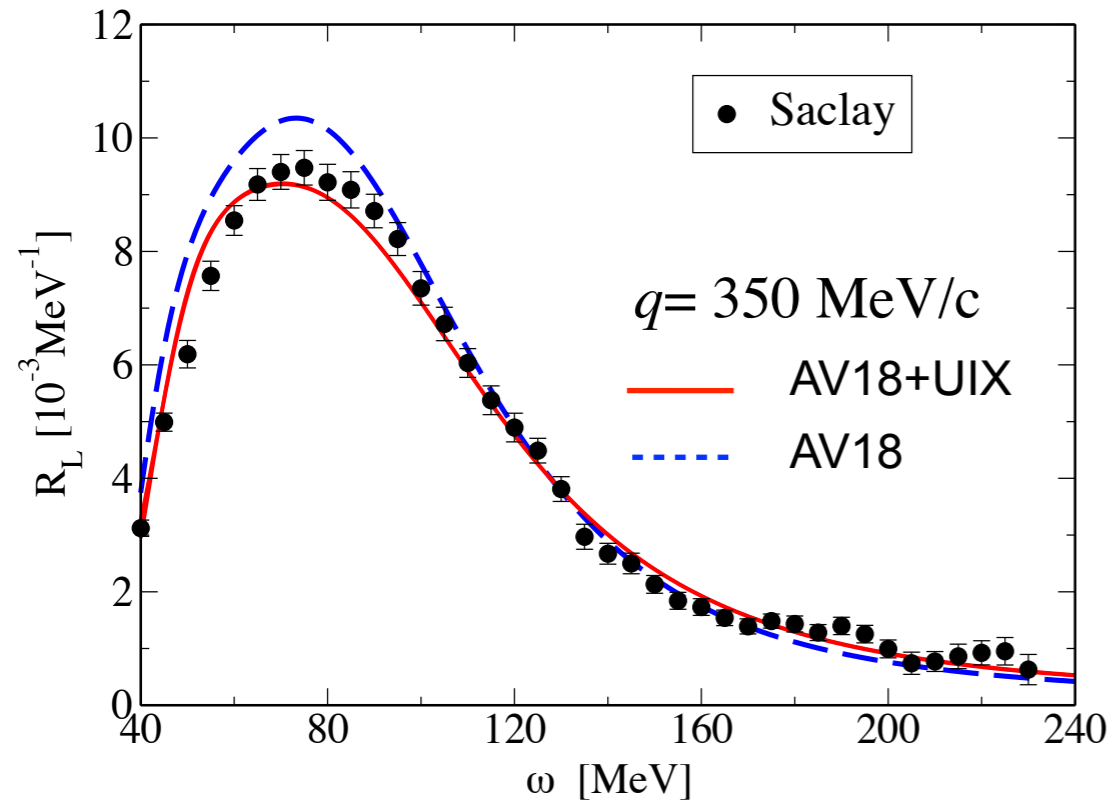
Realistic NN + phenomenological central 3NF  
*W.Horiuchi et al. PRC 85 054002 (2012)*

➡ Moderate sensitivity to the Hamiltonian used; theory variation about 10% in peak

➡ More recent experimental activity seems to confirm higher data with peak around 27 MeV

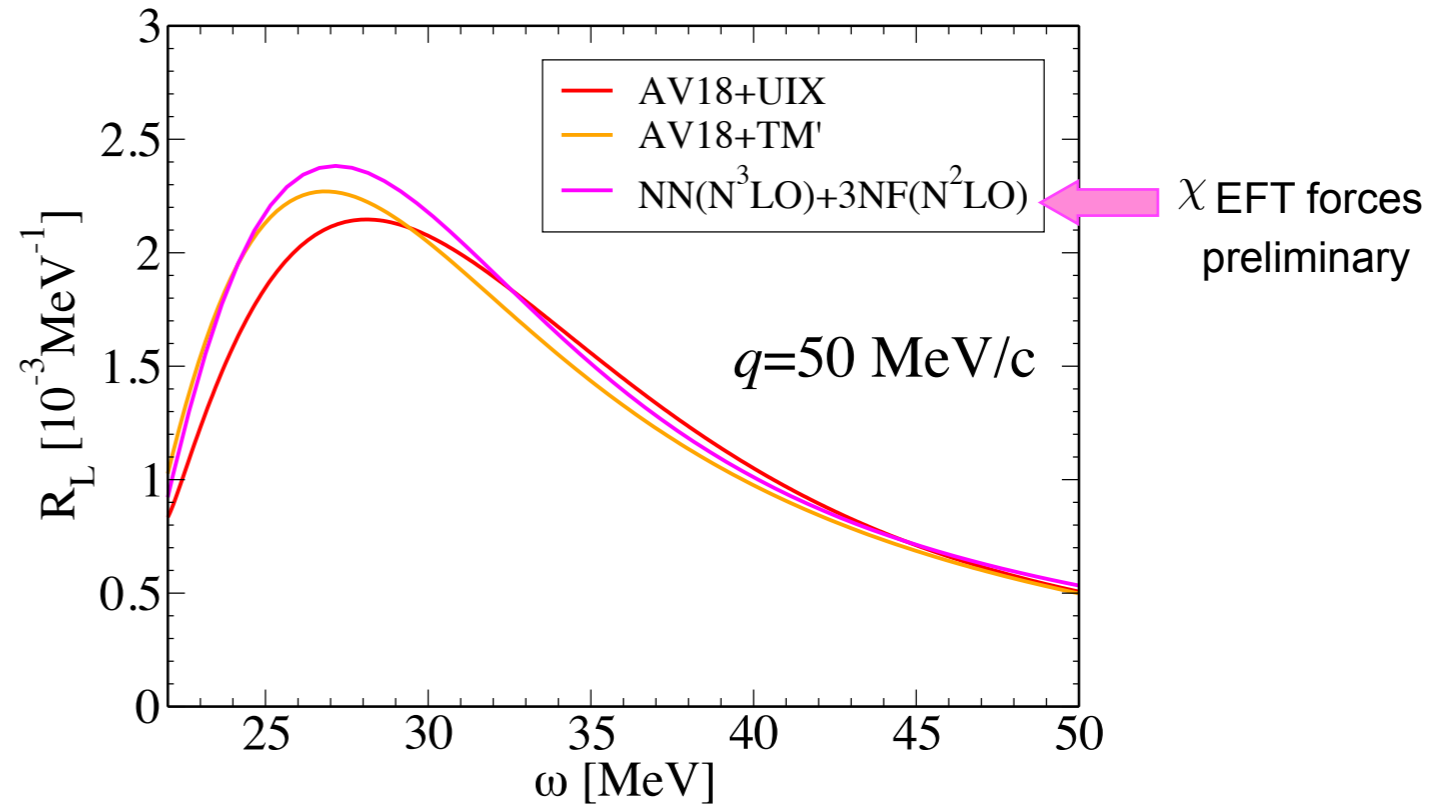
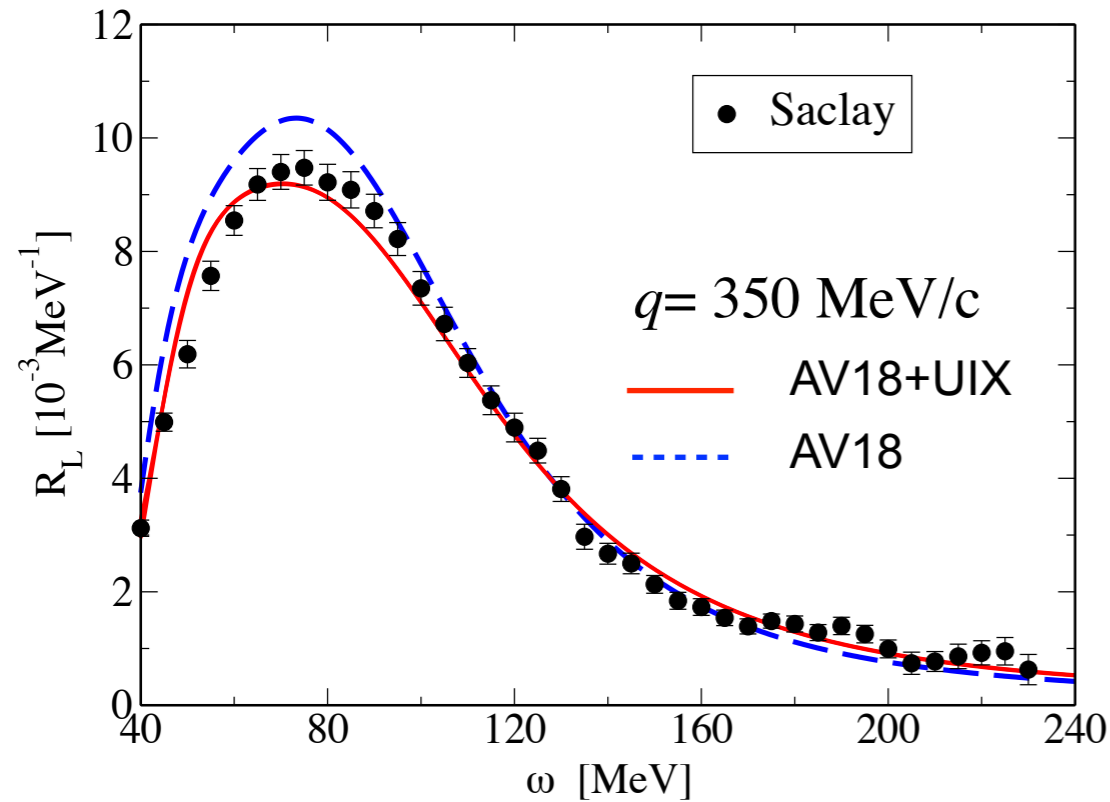
➡ Theoretical precision is better than experimental error Useful in Muonic Atoms [arXiv:1307.6577](https://arxiv.org/abs/1307.6577)  
See talk by C.Ji

$$R_L(\omega, \mathbf{q}) = \sum_f |\langle \Psi_f | \rho(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left( E_f - E_0 - \omega + \frac{\mathbf{q}^2}{2M} \right) \leftarrow \text{two-body currents are not important}$$



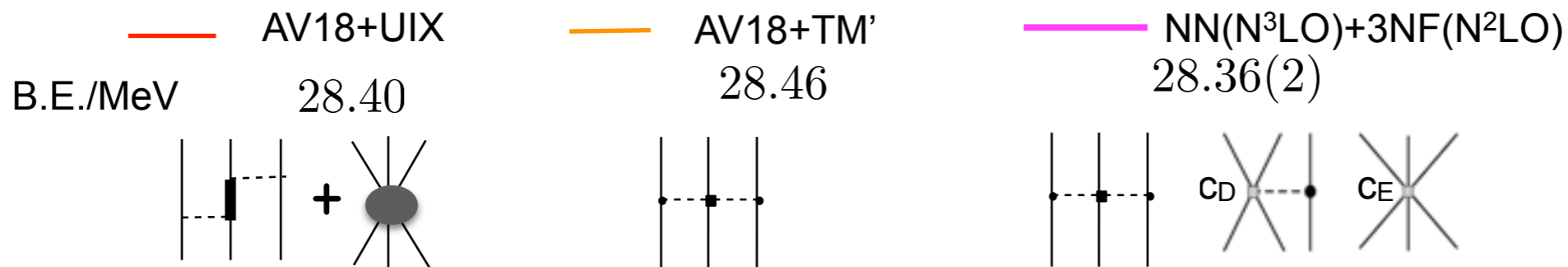
Comparison with experiment improves with 3NF and at low  $q$  the reduction of the peak is up to 50%

$$R_L(\omega, \mathbf{q}) = \sum_f |\langle \Psi_f | \rho(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left( E_f - E_0 - \omega + \frac{\mathbf{q}^2}{2M} \right) \leftarrow \text{two-body currents are not important}$$



➔ Comparison with experiment improves with 3NF and at low  $q$  the reduction of the peak is up to 50%

➔ It is not a simple binding effect!



➔ Stimulating new experiments:

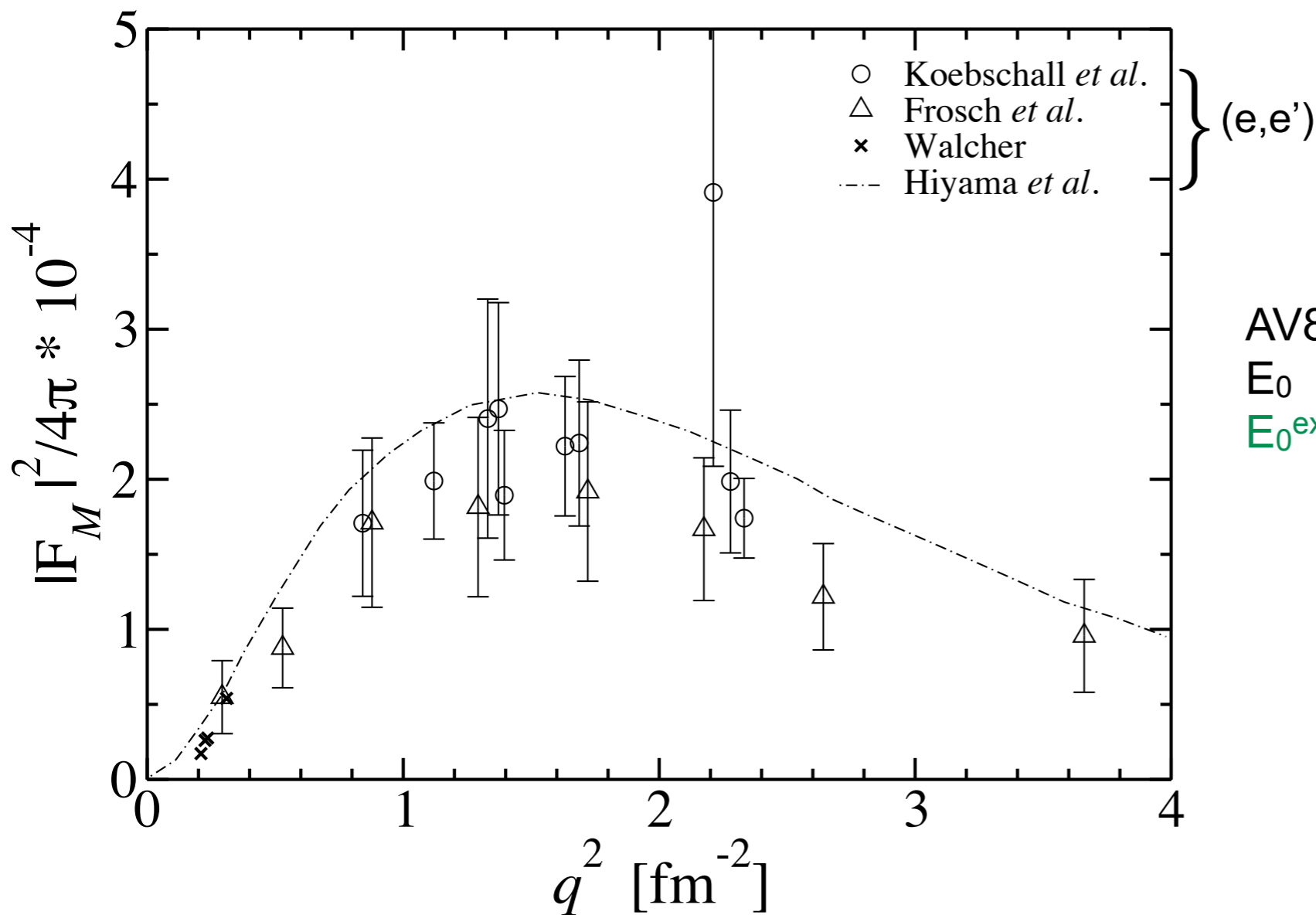
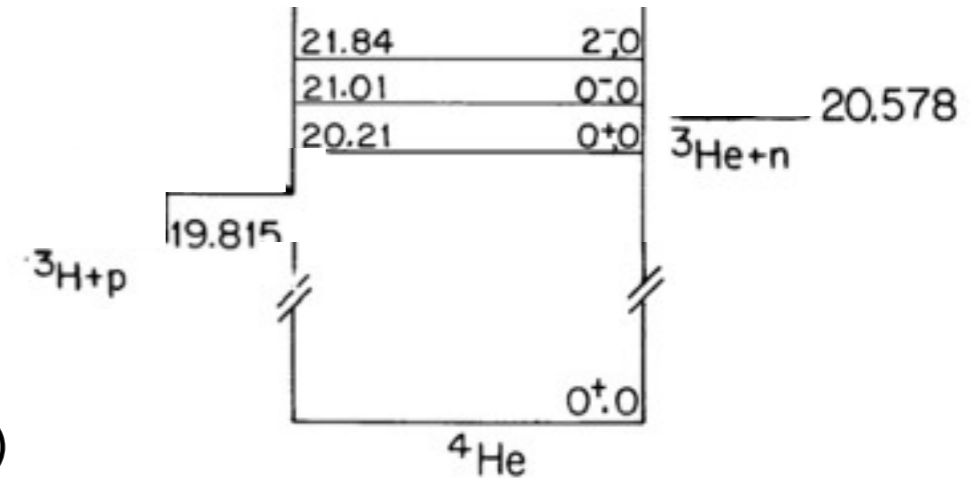
- MAMI taken data  $q > 150$
- S-DALINAC can possibly take data at lower  $q$

# ${}^4\text{He}(e,e')0^+$

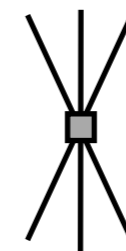
Monopole Transition Form Factor  $0_1^+ \rightarrow 0_2^+$

$$|F_{\mathcal{M}}(q)|^2 = \frac{1}{Z^2} \int d\omega R_{\mathcal{M}}^{\text{res}}(q, \omega)$$

First *ab-initio* calculation: Hiyama *et al.*, PRC **70** 031001 (2004)  
 obtained good description of data with phenomenological central 3N



AV8' + central 3NF  
 $E_0 = -28.44$  MeV  
 $E_0^{\text{exp}} = -28.30$  MeV



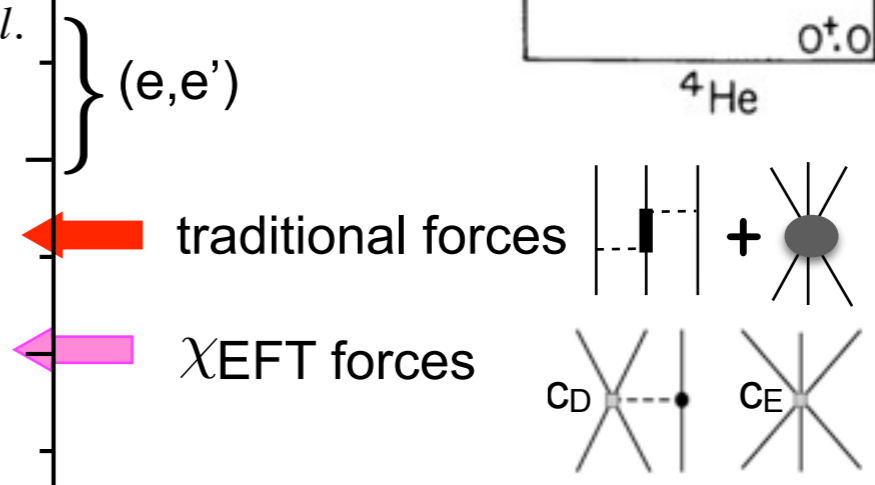
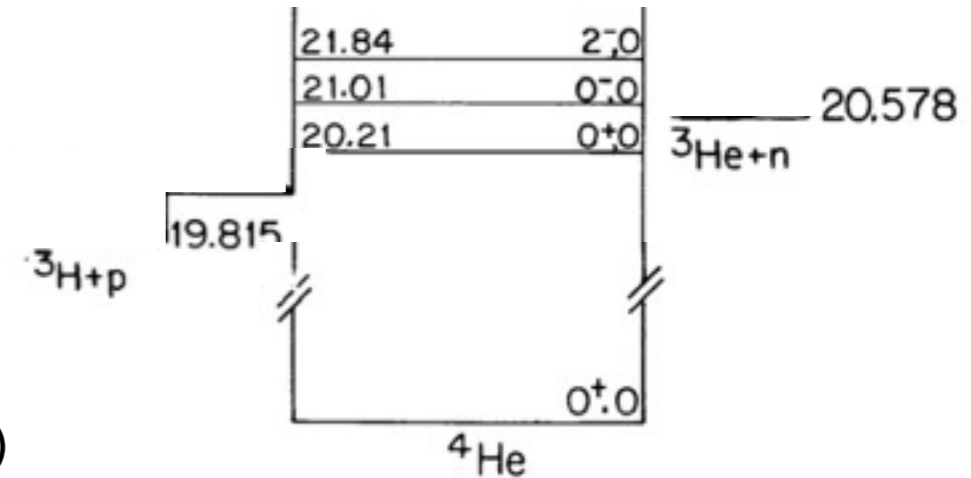
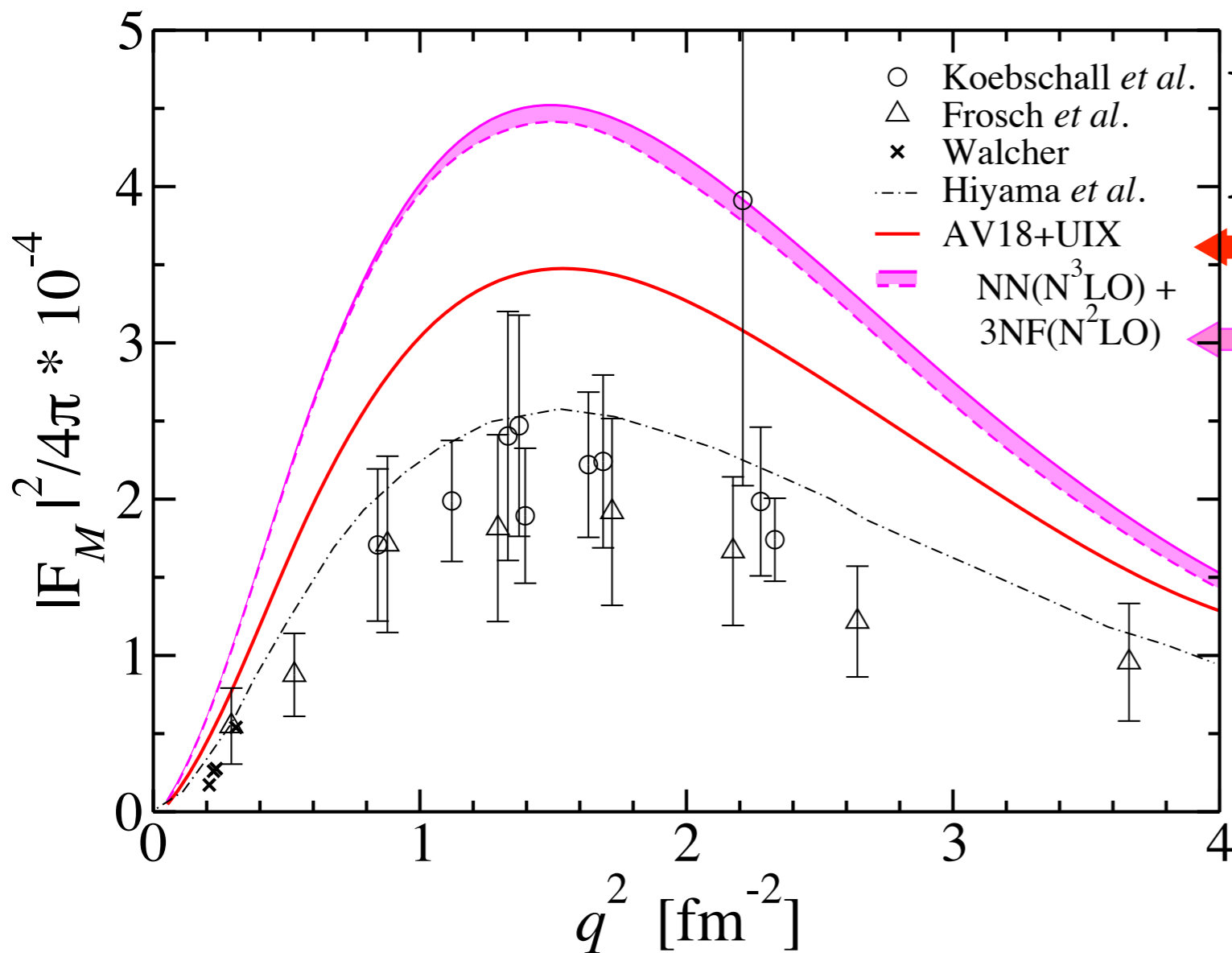
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# ${}^4\text{He}(e,e')0^+$

Monopole Transition Form Factor  $0_1^+ \rightarrow 0_2^+$

$$|F_{\mathcal{M}}(q)|^2 = \frac{1}{Z^2} \int d\omega R_{\mathcal{M}}^{\text{res}}(q, \omega)$$

First *ab-initio* calculation with realistic three-nucleon forces and with the Lorentz Integral Transform method

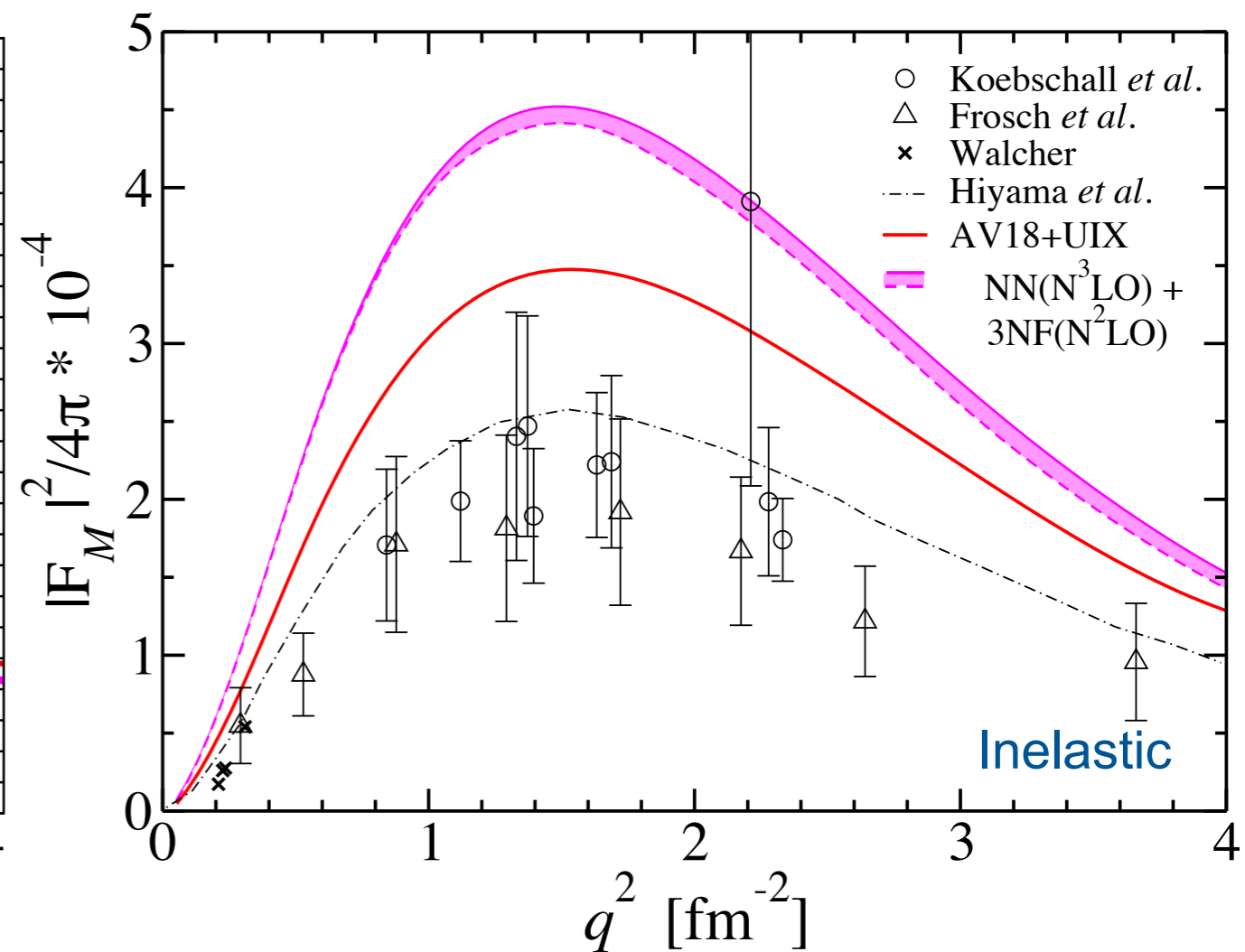
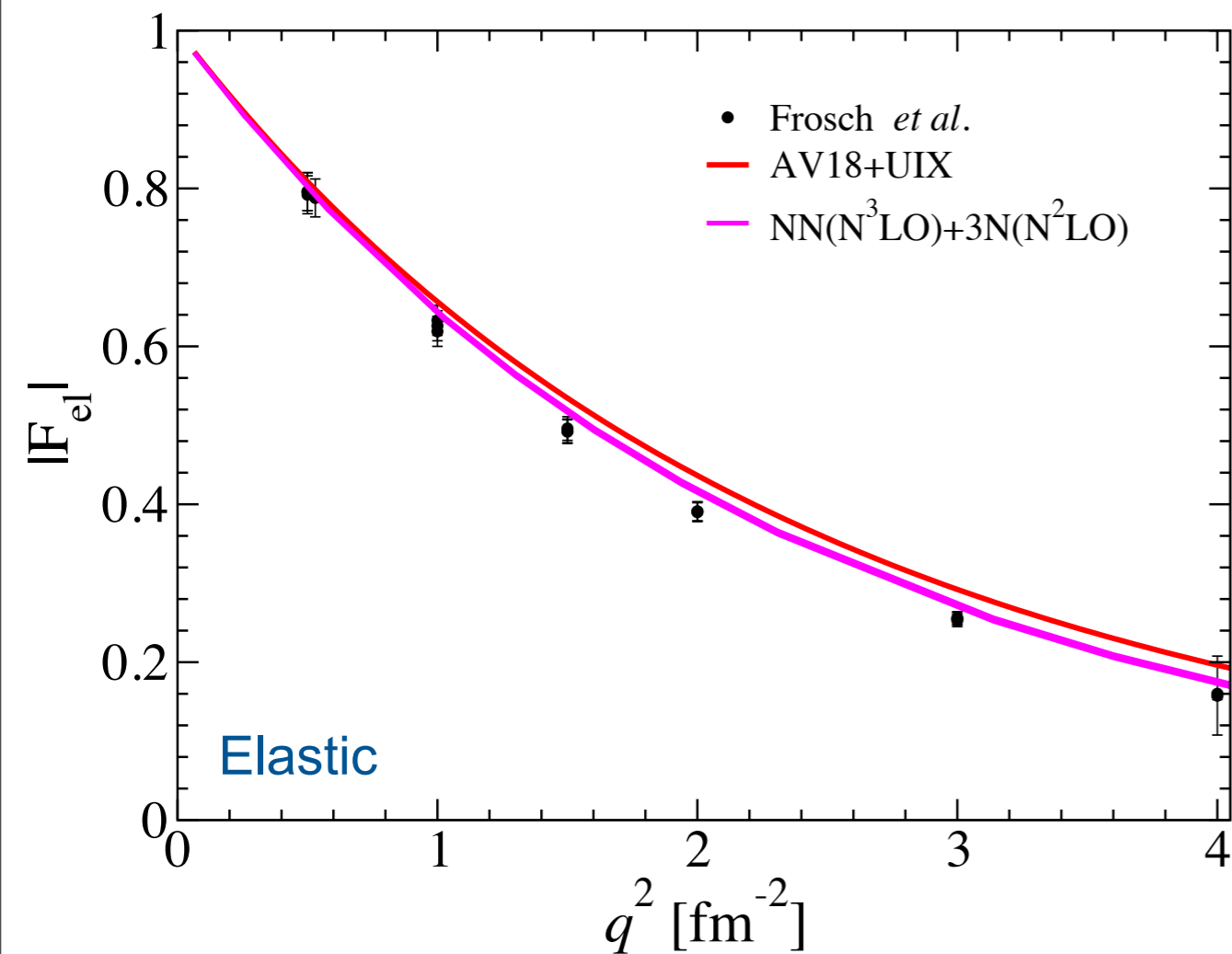


F.Cappuzzello: plan to measure  $F_{\mathcal{M}}$  with  $\alpha$ -scattering in Catania

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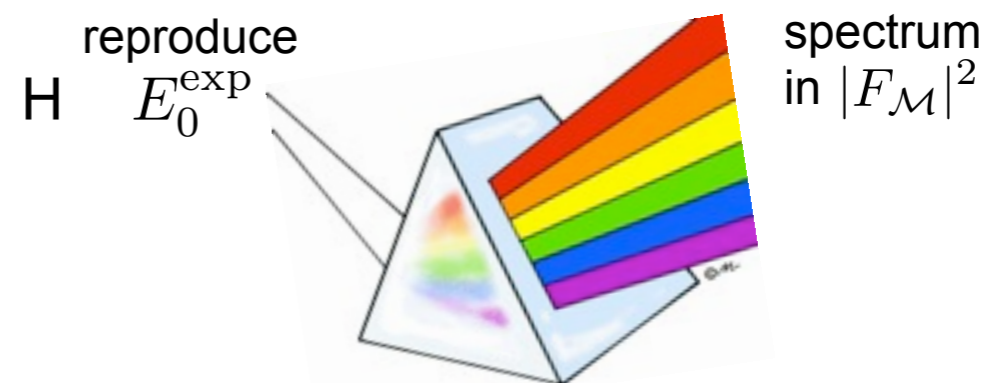


# Sensitivity to Nuclear Hamiltonians



➔ The inelastic monopole resonance acts as a prism to nuclear Hamiltonians.

AV8' + central 3NF	$E_0 = -28.44$ MeV
AV18+UIX	$E_0 = -28.40$ MeV
NN(N <sup>3</sup> LO)+3NF(N <sup>2</sup> LO)	$E_0 = -28.36$ MeV
	$E_0^{\text{exp}} = -28.30$ MeV



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# Analysis of this result

Realistic three-nucleon forces do not reproduce the data for  $|F_{\mathcal{M}}|^2$ . Particularly large difference are found with chiral EFT potentials. **This is unexpected!** What can be the source of this behaviour?

- **Numerics?** Our calculations are well converged (few % level) in the HH basis

$K_{\max}$	12	14	16	18
$10^4  F_{\mathcal{M}} ^2$	4.59	4.75	4.85	4.87

- **Many-body charge operators?**

## Conventional Nuclear Physics

Impulse approximation valid for elastic form factor below  $2 \text{ fm}^{-1}$

Viviani *et al.*, PRL **99** (2007) 112002

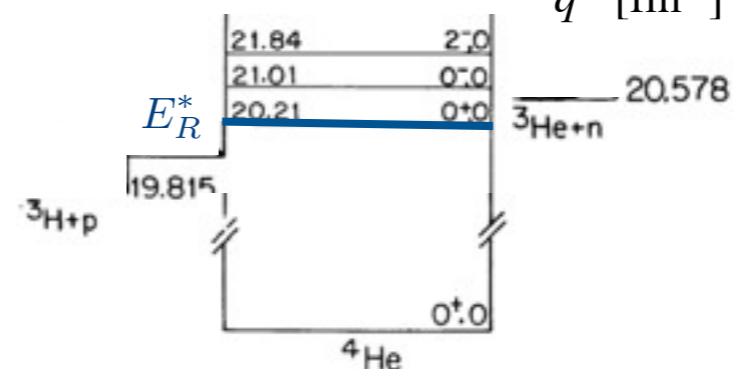
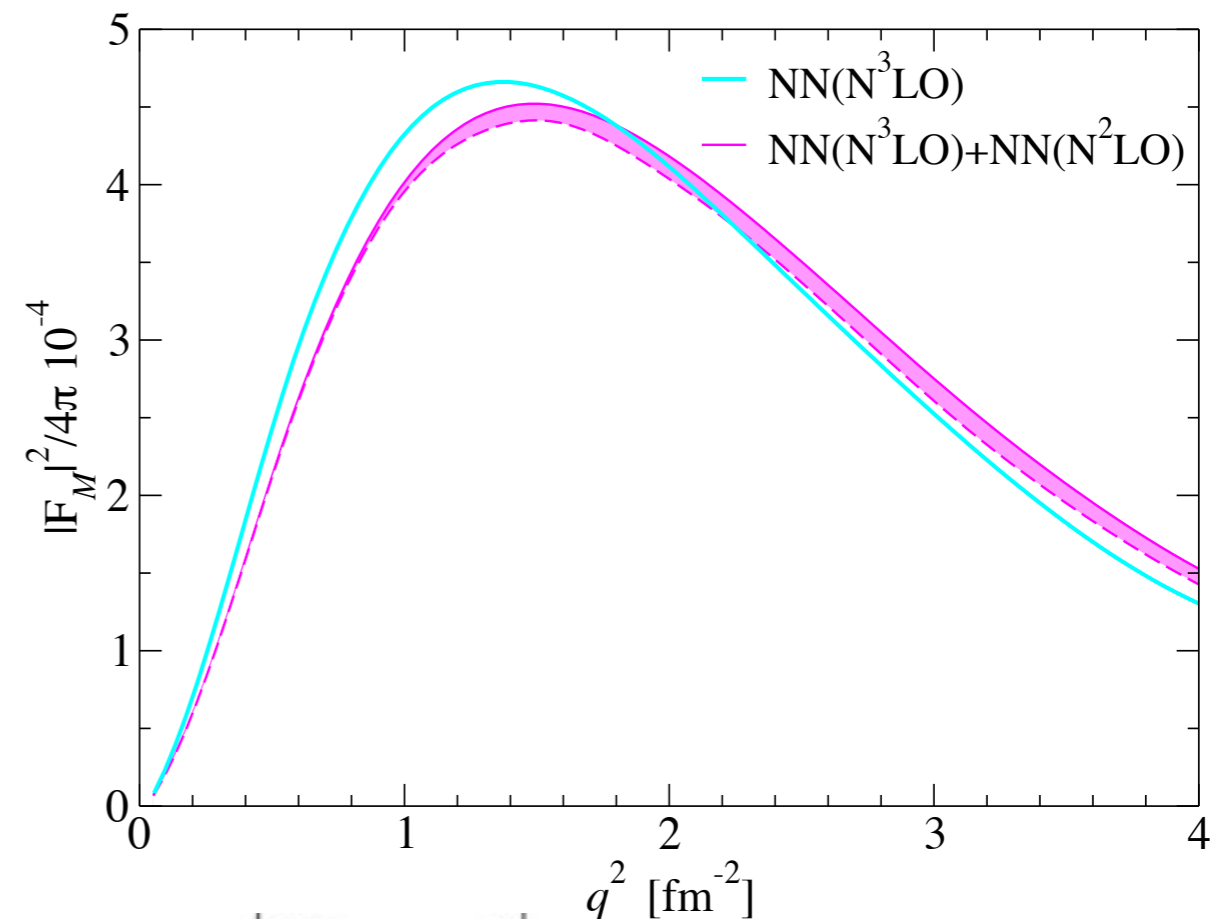
## EFT approach

Park *et al.*, Epelbaum, Koelling *et al.*, Pastore *et al.*: many-body operators appear at high order in EFT

- **Higher order 3NF ( $N^3\text{LO}$ )?** Unlikely...

- **Location of the resonance?**

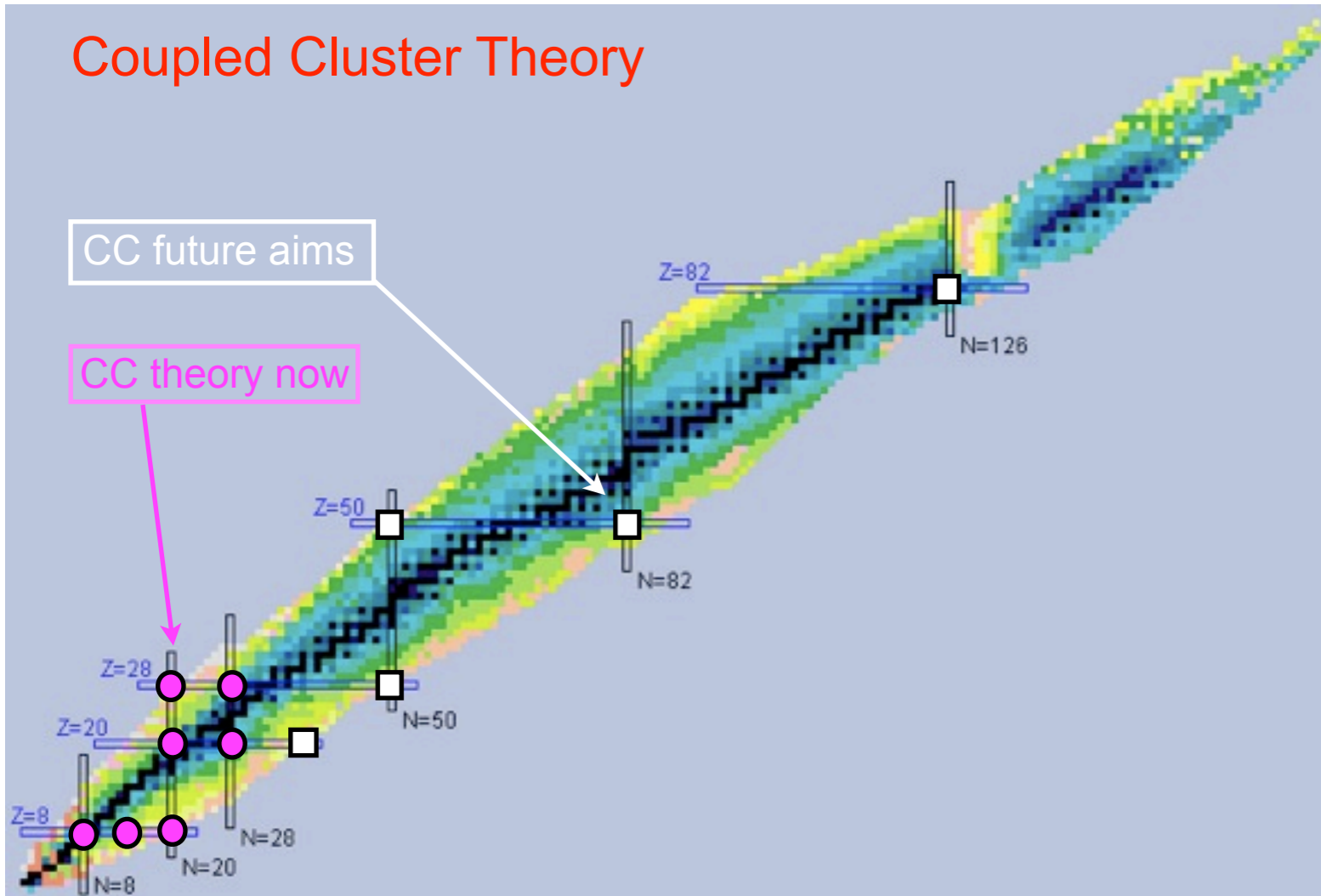
AV8' + central 3NF	$E_R^* = 20.25 \text{ MeV}$
AV18+UIX	$E_R^* = 21.00(20) \text{ MeV}$
NN( $N^3\text{LO}$ )+3NF( $N^2\text{LO}$ )	$E_R^* = 21.01(30) \text{ MeV}$
	$E_R^* = 20.21 \text{ MeV}$



# Extension to medium-mass nuclei

Develop new many-body methods that can extend the frontiers to heavier and neutron nuclei

## Coupled Cluster Theory



- CC is optimal for closed shell nuclei ( $\pm 1, \pm 2$ )

Uses particle coordinates

$$|\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A)\rangle = e^T |\phi_0(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A)\rangle$$

reference SD with any sp states

$$T = \sum T_{(A)} \text{ cluster expansion}$$

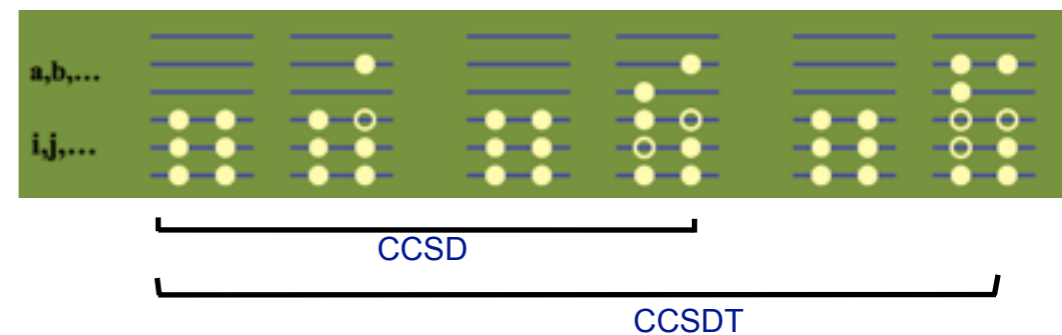
$$T_1 = \sum_{ia} t_i^a a_a^\dagger a_i$$

$$T_2 = \frac{1}{4} \sum_{ij,ab} t_{ij}^{ab} a_a^\dagger a_b^\dagger a_j a_i \dots$$

$T_1$

$T_2$

$T_3$



For the ground state energy

$$E_0 = \langle \phi_0 | e^{-T} H e^T | \phi_0 \rangle \quad \bar{H} = e^{-T} H e^T \quad \text{similarity transformed Hamiltonian}$$

$$0 = \langle \phi_i^a | e^{-T} H e^T | \phi_0 \rangle$$

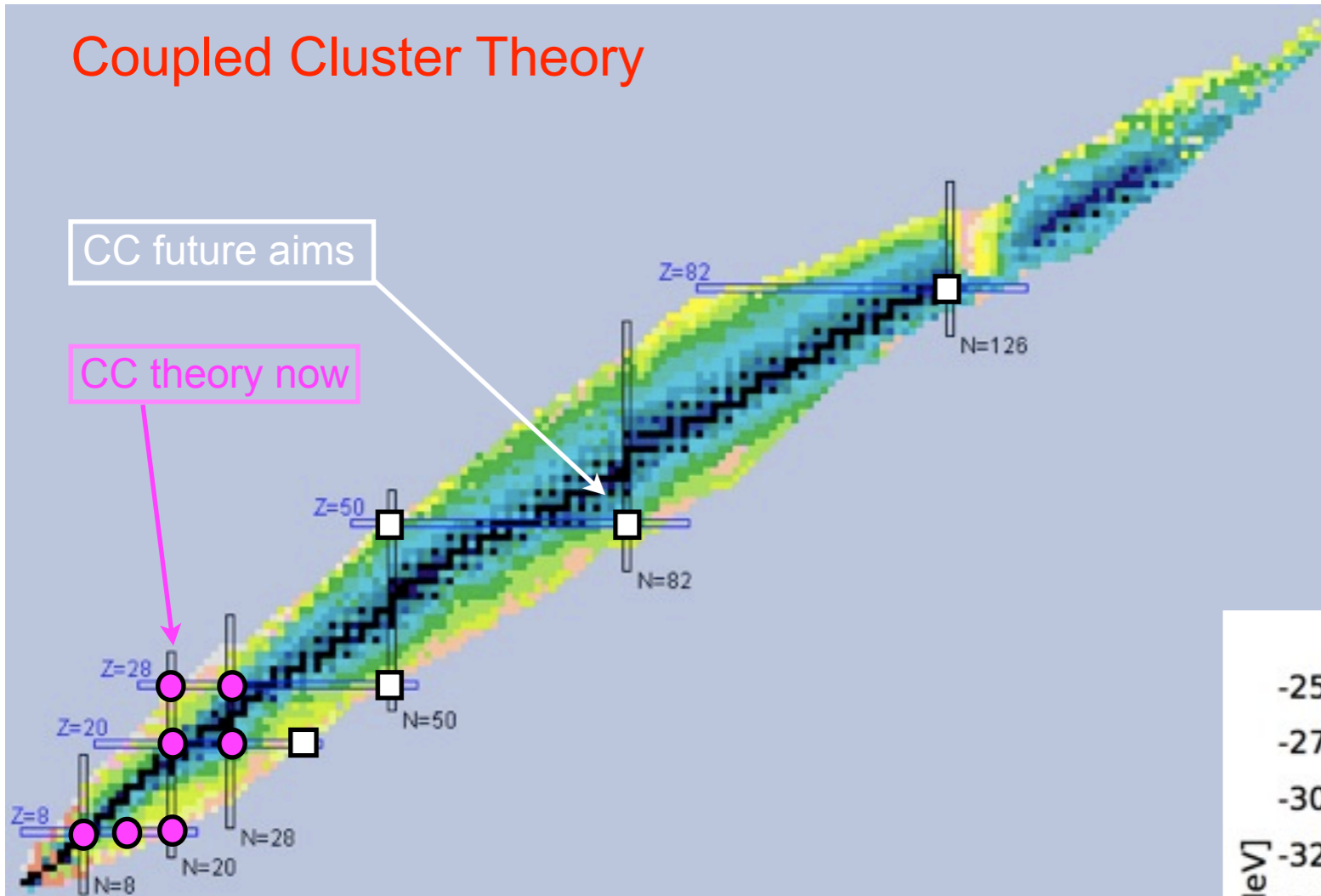
$$0 = \langle \phi_{ij}^{ab} | e^{-T} H e^T | \phi_0 \rangle$$

Leads to CCSD equations for the t-amplitudes

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Develop new many-body methods that can extend the frontiers to heavier and neutron nuclei

## Coupled Cluster Theory



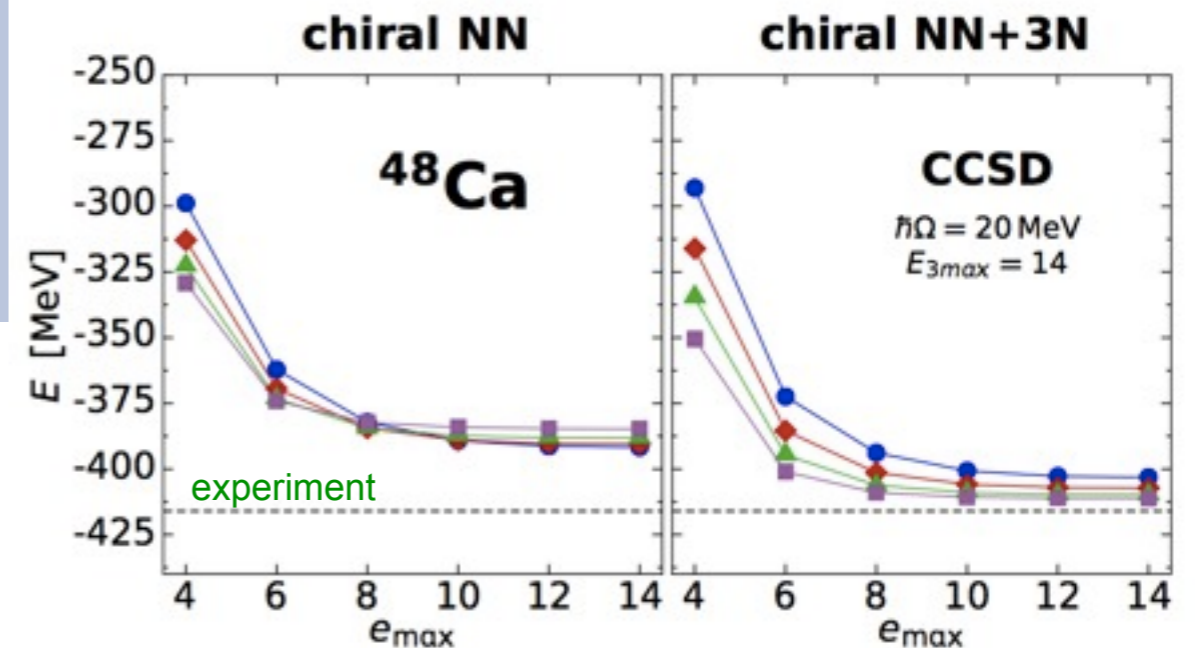
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reference SD with any sp states

$$T = \sum T_{(A)} \text{ cluster expansion}$$



R. Roth *et al.*, Phys. Rev. Lett. **109**, 052501 (2012)

CC is a very mature theory for g.s., see e.g.

Hagen *et al.* PRL **101**, 092502 (2008), PRC **82**, 03433 (2010)  
PRL **108**, 242501 (2012), PRL **109**, 032502 (2012)

What about electromagnetic reactions?

New theoretical method aimed at extending *ab-initio* calculations towards medium mass

$$L(\sigma, \Gamma) = \int d\omega \frac{R(\omega)}{(\omega - \sigma)^2 + \Gamma^2} \rightarrow \langle \tilde{\Psi}_L | \tilde{\Psi}_R \rangle$$

$$[\bar{H}, \hat{R}(z^*)] |\Phi_0\rangle = (z^* - E_0) \hat{R}(z^*) |\Phi_0\rangle + \bar{\Theta} |\Phi_0\rangle$$

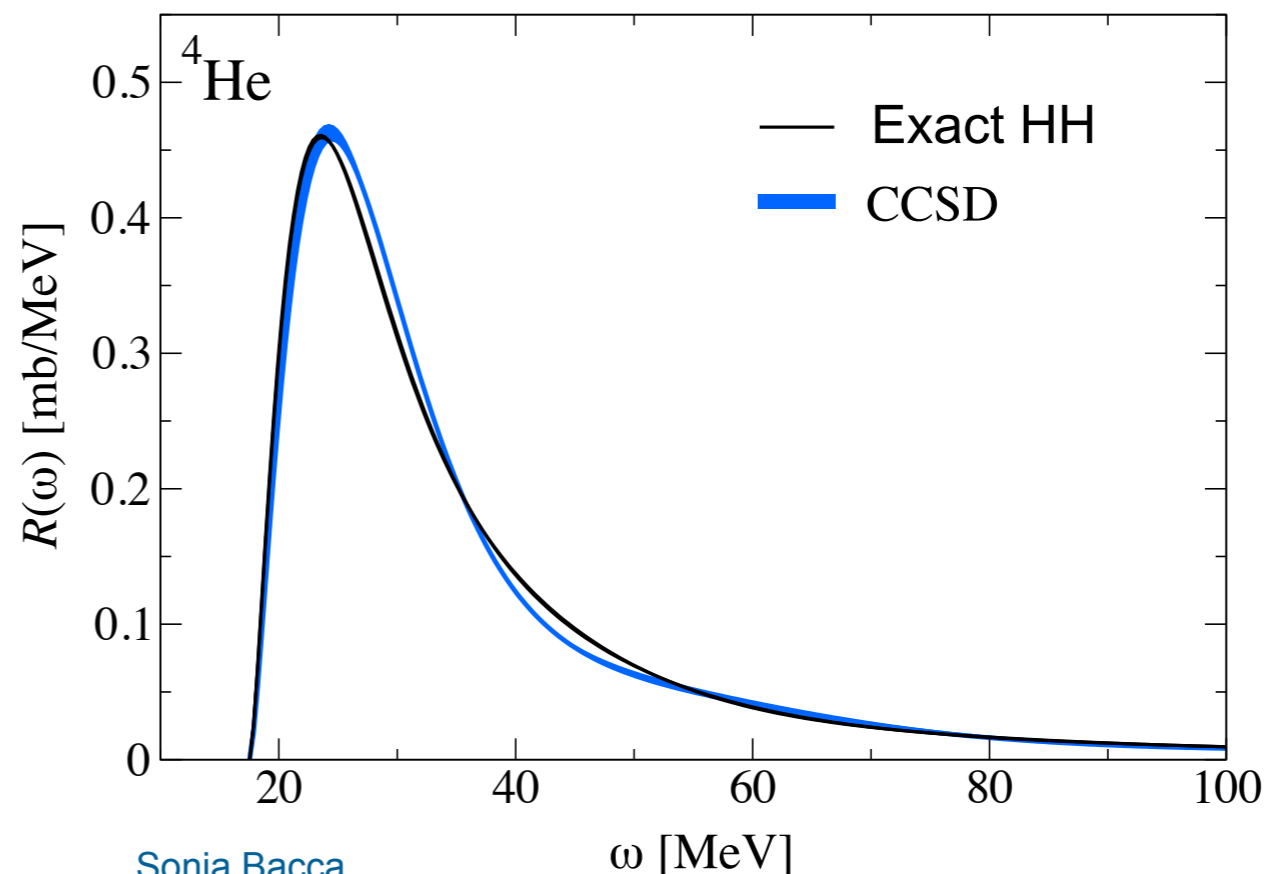
The LIT equation becomes EoM  
with  $z = E_0 + \sigma + i\Gamma$

CCSD scheme  $\bar{\Theta} = e^{-T} \Theta e^T$

$$\hat{R} = \hat{R}_0 + \sum_{ia} \hat{R}_i^a \hat{c}_a^\dagger \hat{c}_i + \frac{1}{4} \sum_{ijab} \hat{R}_{ij}^{ab} \hat{c}_a^\dagger \hat{c}_b^\dagger \hat{c}_j \hat{c}_i + \dots$$

## Validation for ${}^4\text{He}$

Dipole Response Functions  
with NN forces from  $\chi\text{EFT}$  ( $\text{N}^3\text{LO}$ )

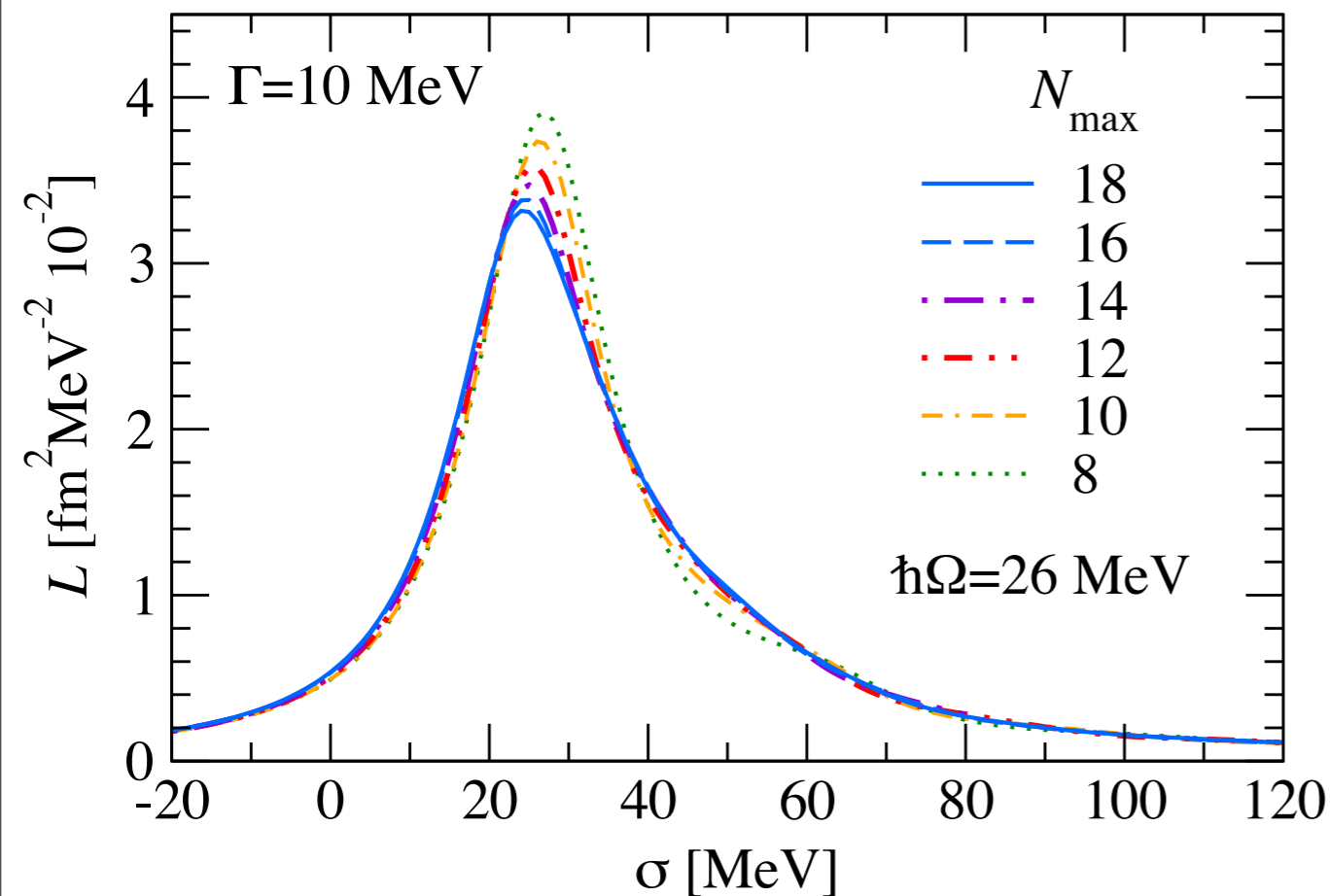


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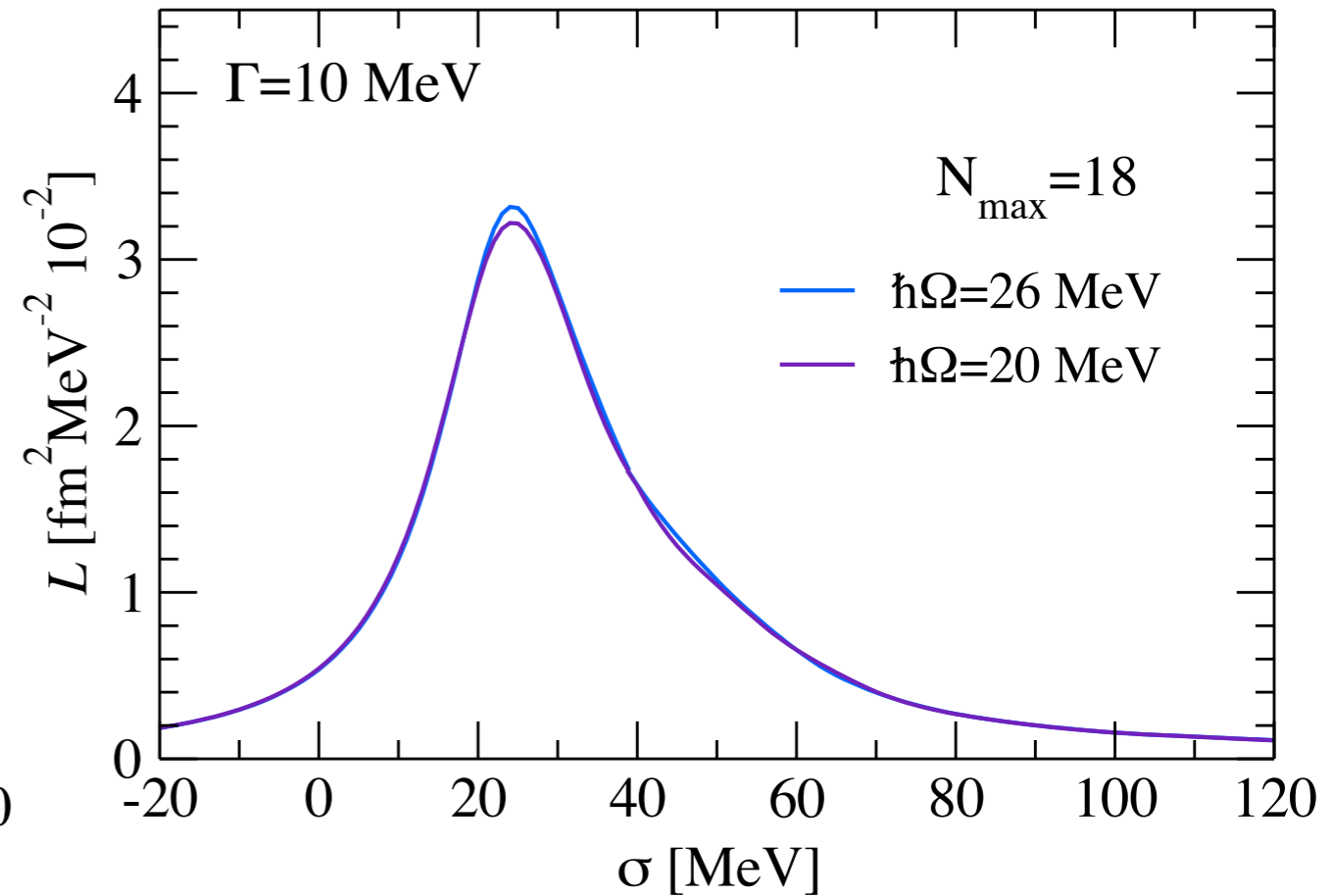
New theoretical method aimed at extending *ab-initio* calculations towards medium mass

Extension to Dipole Response Function in  $^{16}\text{O}$  with NN forces derived from  $\chi\text{EFT}$  ( $\text{N}^3\text{LO}$ )

➔ Convergence in the model space expansion



Good convergence!

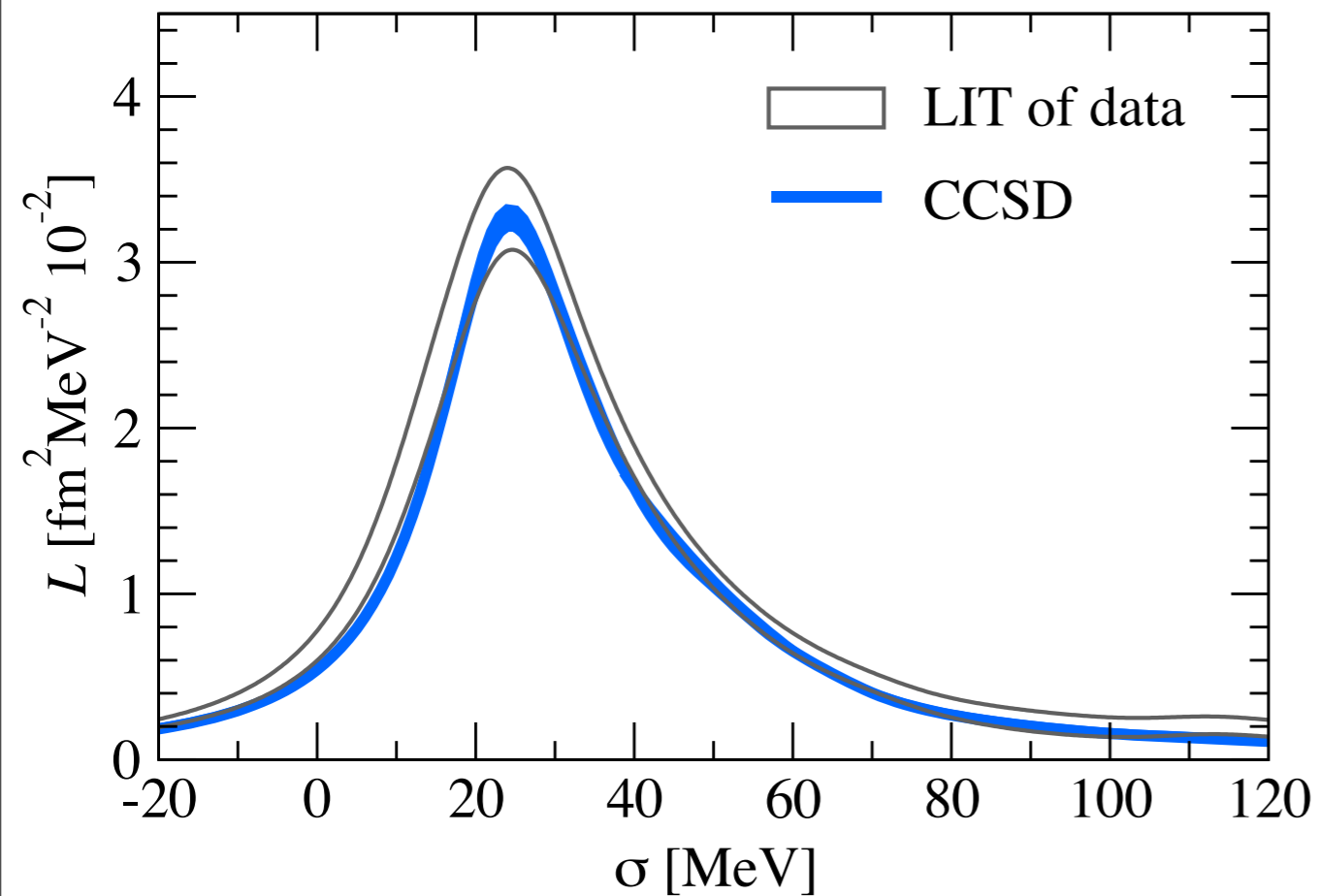


Small HO dependence: use it as error bar

New theoretical method aimed at extending *ab-initio* calculations towards medium mass

Extension to Dipole Response Function in  $^{16}\text{O}$  with NN forces derived from  $\chi\text{EFT}$  ( $\text{N}^3\text{LO}$ )

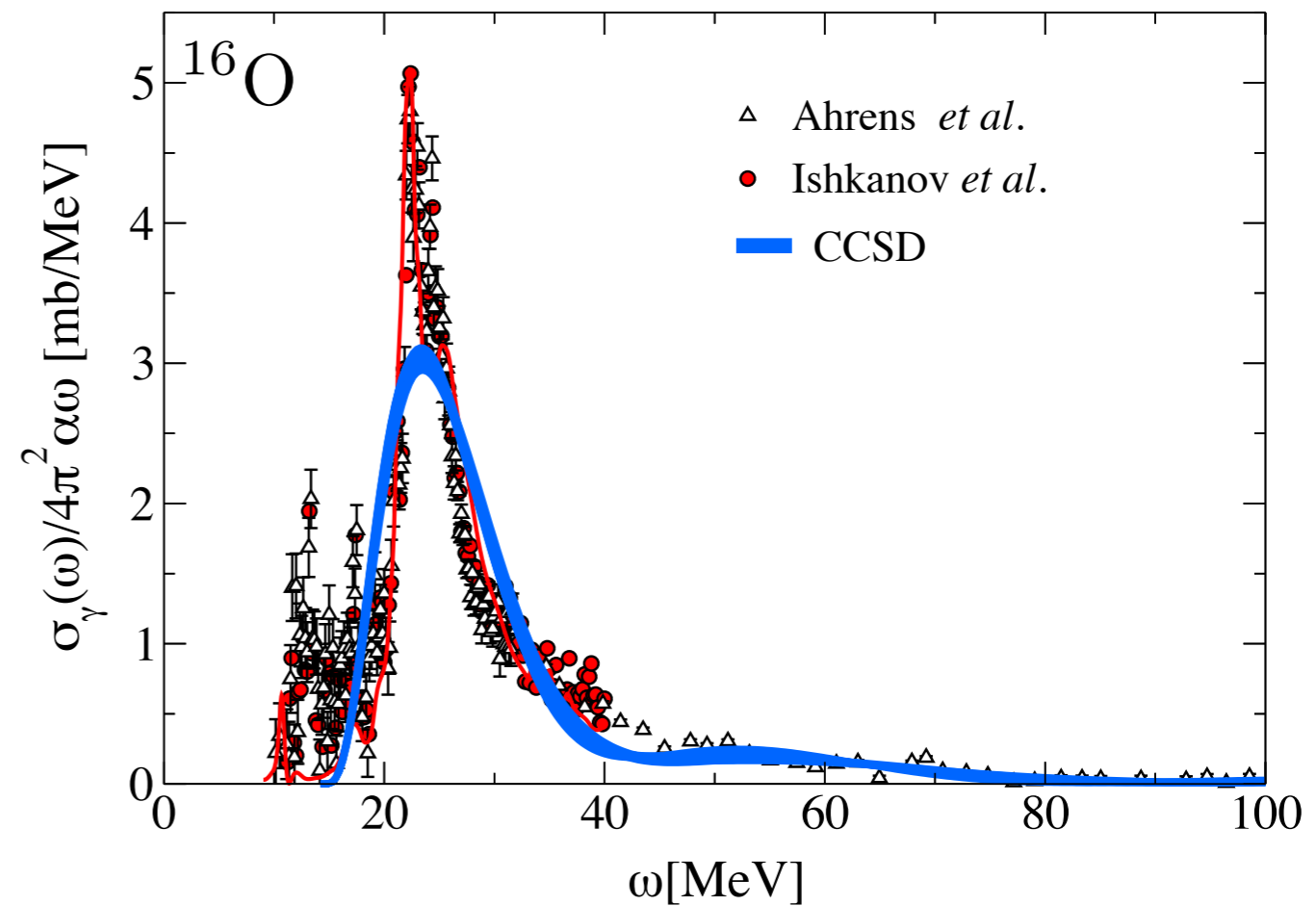
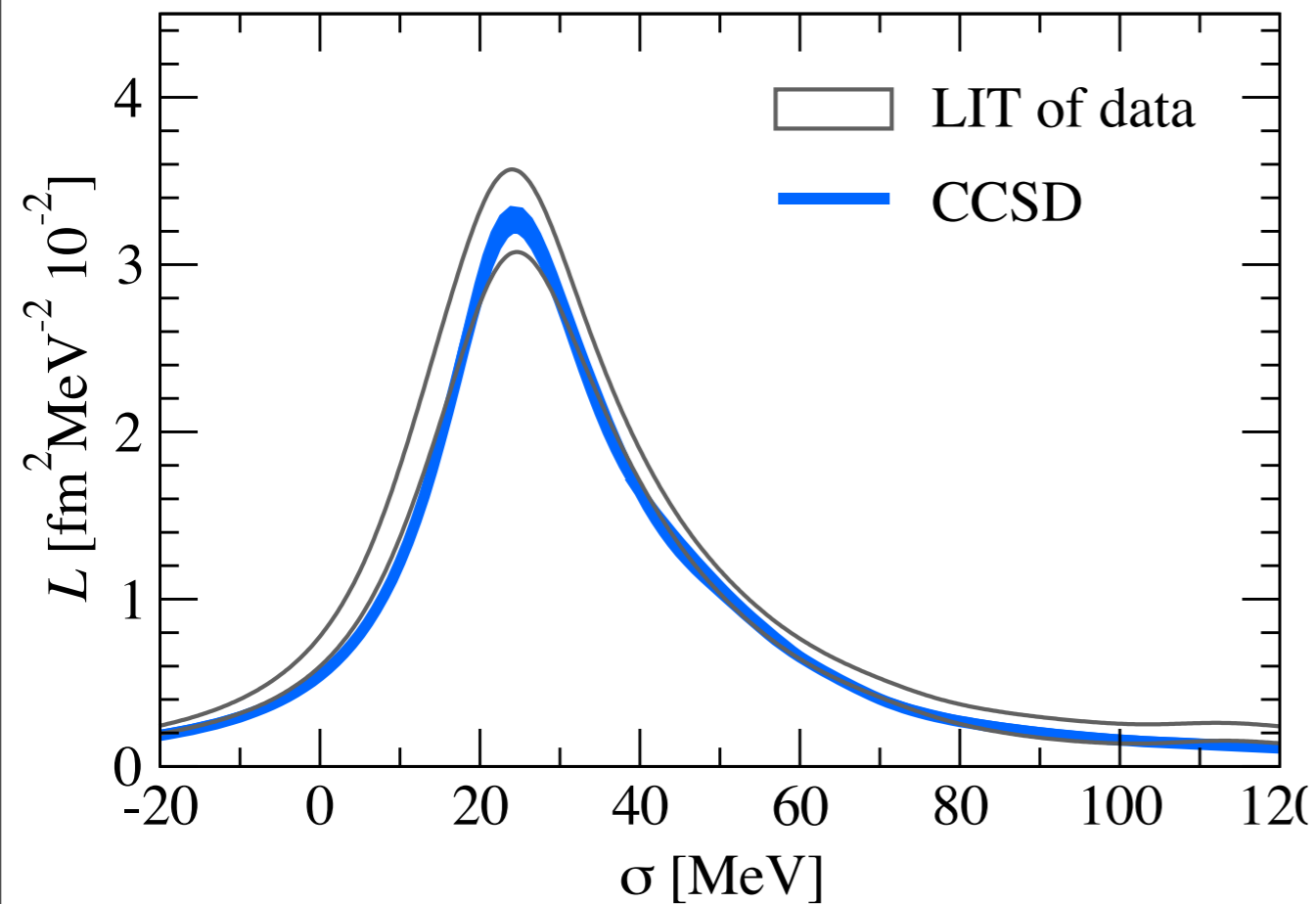
➔ Comparison to the experiment



New theoretical method aimed at extending *ab-initio* calculations towards medium mass

Extension to Dipole Response Function in  $^{16}\text{O}$  with NN forces derived from  $\chi\text{EFT}$  ( $\text{N}^3\text{LO}$ )

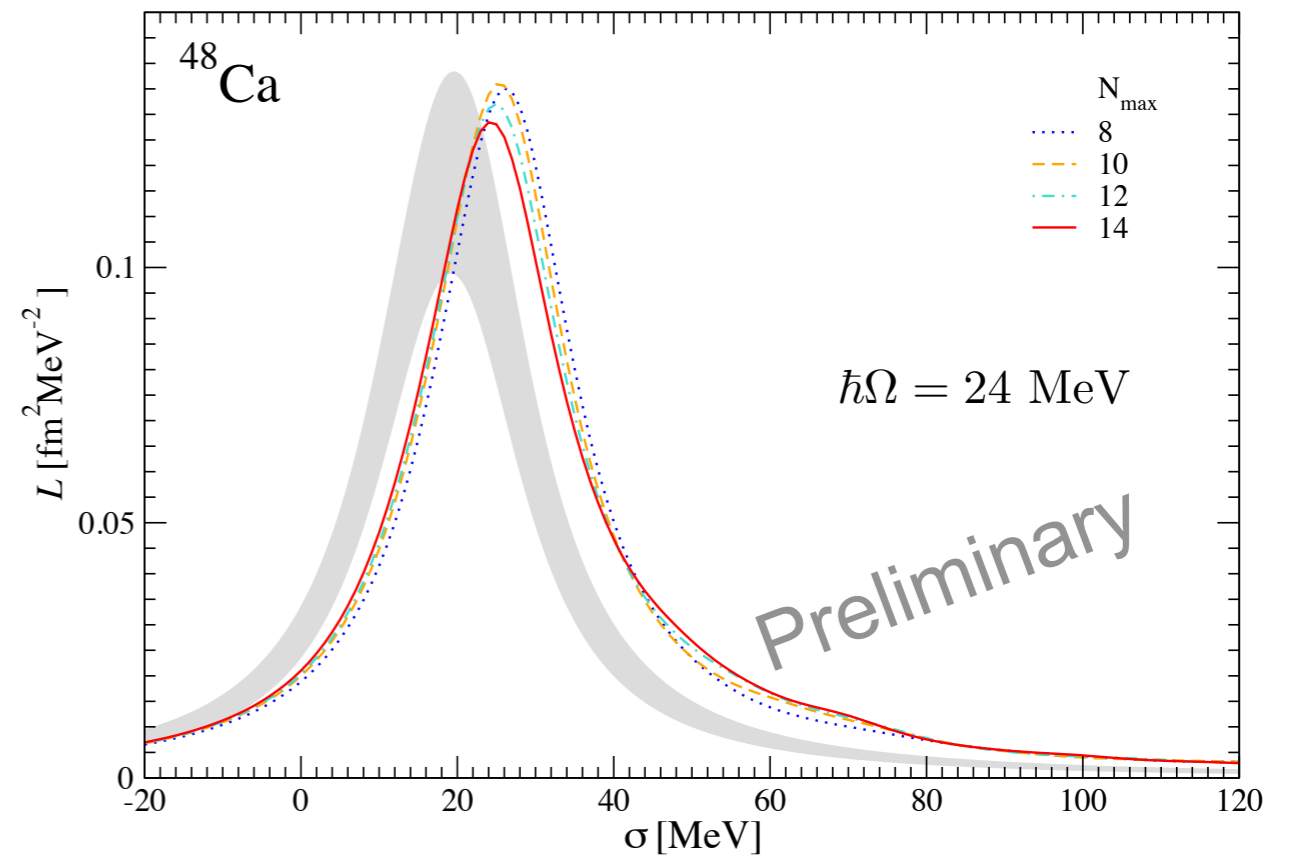
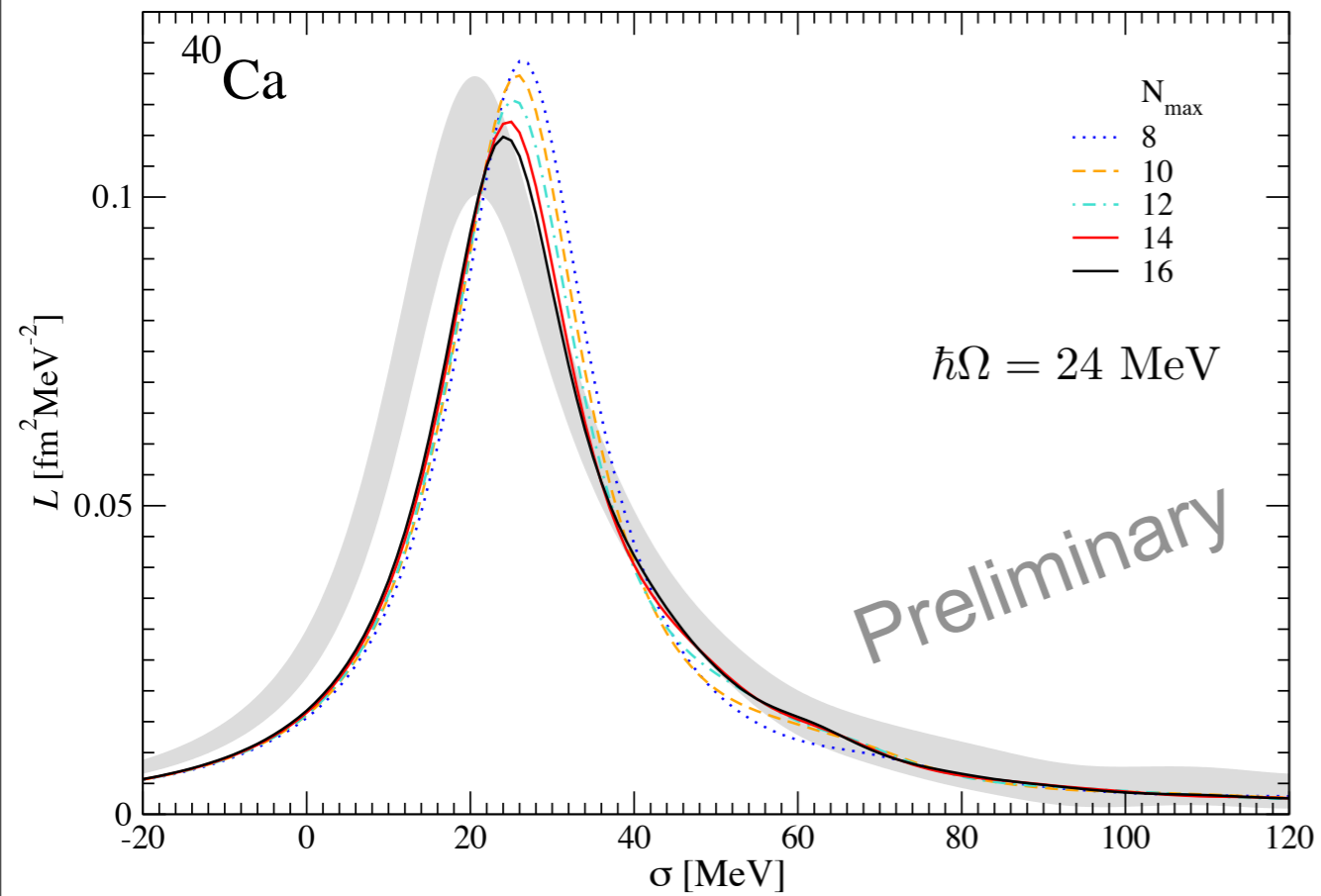
➔ Comparison to the experiment



The GDR of  $^{16}\text{O}$  is described from first principles for the first time!



## Calcium isotopes with NN(N<sup>3</sup>LO)

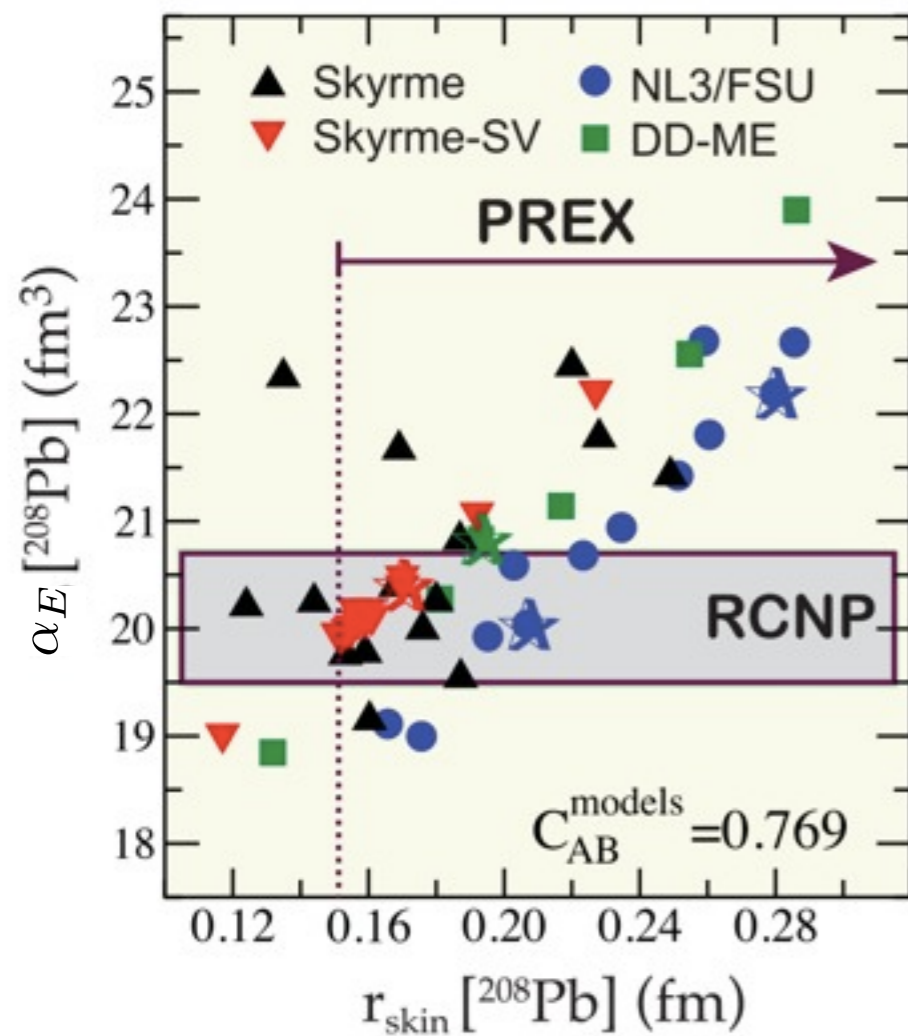


Electric Dipole Polarizability

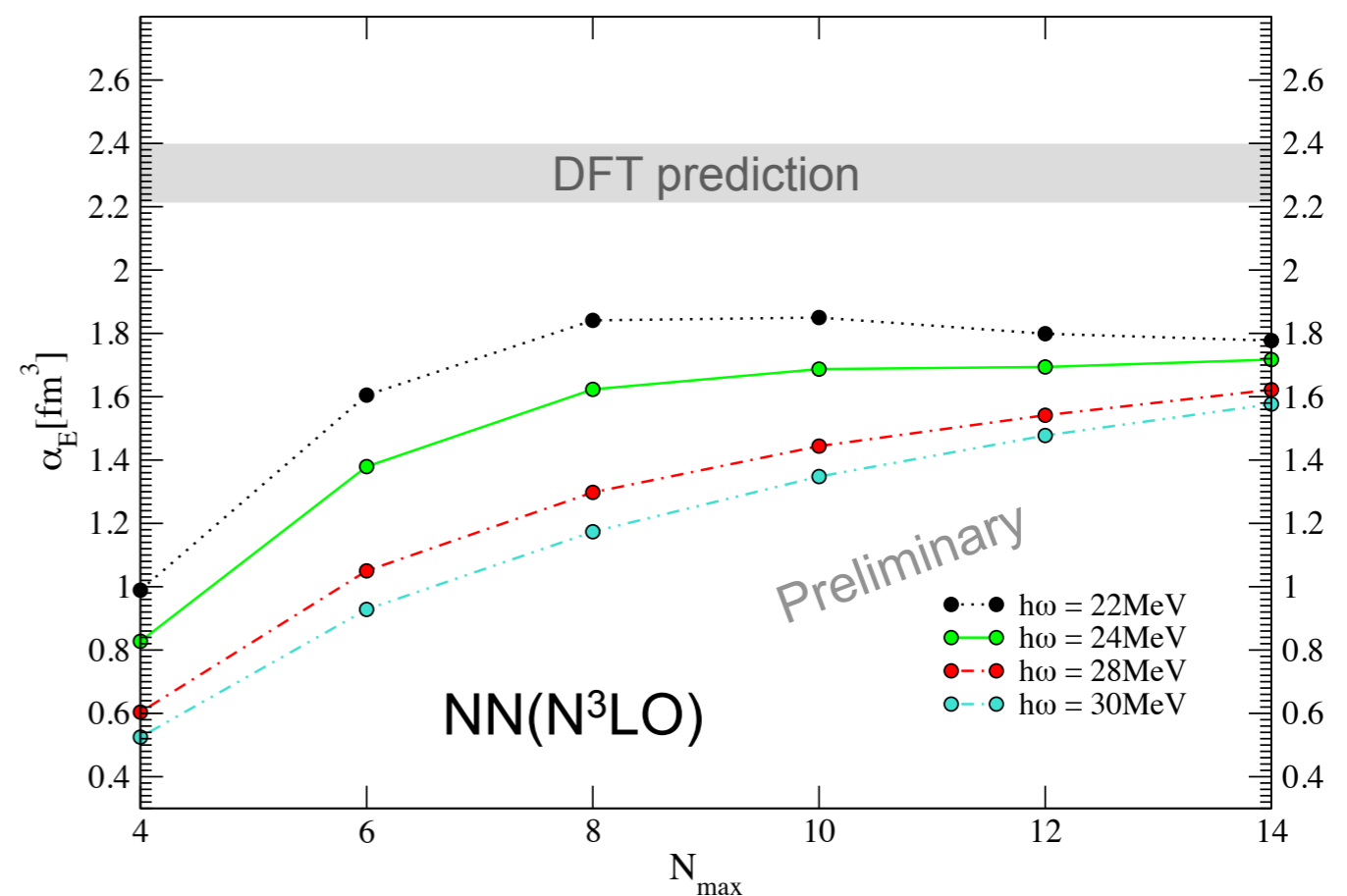
$$\alpha_E = \frac{1}{2\pi^2} \int_{\omega_{th}}^{\infty} d\omega \frac{\sigma_\gamma(\omega)}{\omega^2}$$

Phys. Rev. C 85, 041302 (2012) very correlated to the neutron-skin radius

Energy Density Functional Theory



Towards an ab-initio theory for  $^{48}\text{Ca}$



$^{48}\text{Ca}$   $\alpha_E$  being measured at RCNP

$^{48}\text{Ca}$  parity violating electron scattering CREX

**Future:** study correlation  $\alpha_E - r_{\text{skin}}$  with ab-initio methods

# Conclusions and Outlook

- Electromagnetic break up reactions are very rich observables to test our understanding on nuclear forces
- Interesting applications to other fields of physics → muonic atoms
- Extending these calculations to medium mass nuclei is possible and very exciting, with hopefully more applications/impact on future experiments on fundamental symmetries.

## Perspectives

- Dipole response function of neutron-rich Oxygen isotope
- Other multipole excitation (quadrupole or monopole) of medium mass nuclei  
→ need extension of LIT/CCSD to two-body operator
- Add triples and three-nucleon forces

# Thanks to my collaborators



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# Thank you!