

# Tackling the Sign Problem of Ultracold Fermi Gases with Mass-Imbalance

Dietrich Roscher

[D. Roscher, J. Braun, J.-W. Chen, J.E. Drut [arXiv:1306.0798](https://arxiv.org/abs/1306.0798)]

Advances in quantum Monte Carlo techniques for non-relativistic many-body systems

INT Program INT-13-2a

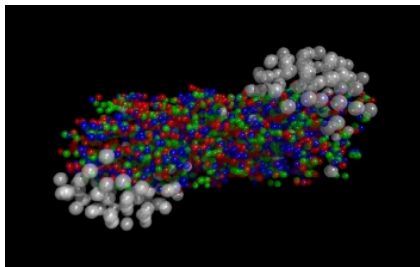
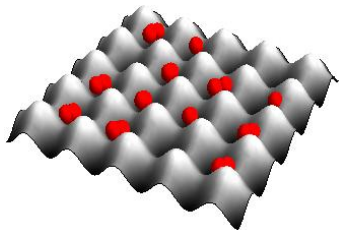
Institut für Kernphysik / Technische Universität Darmstadt

July 26, 2013

# Physics and Versatility of Ultracold Fermi Gases

Why we should care about physics of cold gases:

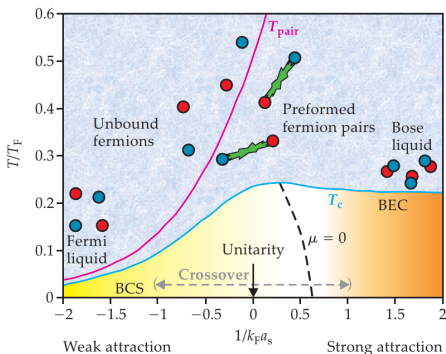
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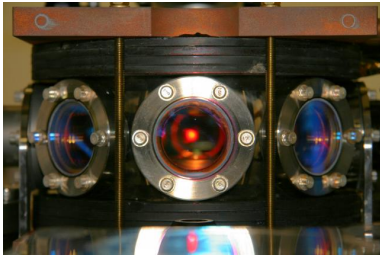
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- Abundance of interesting phenomena: BEC/BCS crossover, (un)conventional superfluidity, topological order...



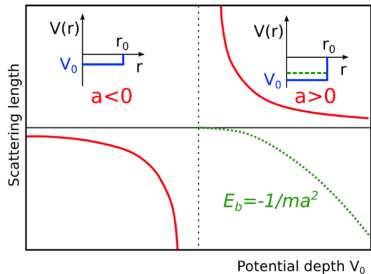
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- Excellent experimental access: precise control in optical traps, tuning of interactions by Feshbach resonances



S. Jochim, Uni Heidelberg



[M. Randeria, E. Taylor '08]

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Why “simple” does not mean “easy”:

- Lack of small parameter in strongly interacting regimes invalidates naïve perturbation theory
- Monte Carlo calculations often severely hampered by sign problems

## Idea of the Talk

How to get rid of (some) sign problems:

- Identify problematic quantity  $x_s$  and change into  $i \cdot x_s$
- Do an *Auxiliary Field Quantum Monte Carlo* calculation without sign problem
- Analytically continue results from  $i \cdot x_s$  to physical value  $x_s$

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This idea has been proposed for lattice QCD at finite chemical potential some time ago. [M. Alford, A.Kapustin, F.Wilczek '98; P. de Forcrand, O. Philipsen '02]  
Adapting this approach to imbalanced ultracold Fermi gases will be the main subject of this talk.



## Describing the Unitary Fermi Gas

Action of a two component 3D Fermi gas with contact interaction:

$$S[\psi_{\uparrow}, \psi_{\downarrow}] = \int_0^{\beta} d\tau \int d^3x \left\{ \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^* \left( \partial_{\tau} - \frac{\nabla^2}{2m} - \mu \right) \psi_{\sigma} + \bar{g} \psi_{\uparrow}^* \psi_{\downarrow}^* \psi_{\downarrow} \psi_{\uparrow} \right\}$$

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The bare coupling  $\bar{g}$  is given by

$$\bar{g}^{-1} = \Lambda g^{-1} = \frac{1}{8\pi} (a_s^{-1} - c_{\text{reg}} \Lambda)$$

with UV-cutoff  $\Lambda$  and two-body scattering length  $a_s$ .

$$\text{Unitary regime: } a_s^{-1} \rightarrow 0$$

## Symmetry and Spontaneous Symmetry Breaking

$$S[\psi_{\uparrow}, \psi_{\downarrow}] = \int_0^{\beta} d\tau \int d^3x \left\{ \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^* \left( \partial_{\tau} - \frac{\nabla^2}{2m} - \mu \right) \psi_{\sigma} + \bar{g} \psi_{\uparrow}^* \psi_{\downarrow}^* \psi_{\downarrow} \psi_{\uparrow} \right\}$$

$U(1)$ -Symmetry of the action:

$$\psi_{\uparrow,\downarrow} \longrightarrow e^{i\alpha} \psi_{\uparrow,\downarrow};$$

$$\psi_{\uparrow,\downarrow}^* \longrightarrow \psi_{\uparrow,\downarrow}^* e^{-i\alpha}$$

Spontaneously broken if  $\langle \psi_{\downarrow} \psi_{\uparrow} \rangle \neq 0$

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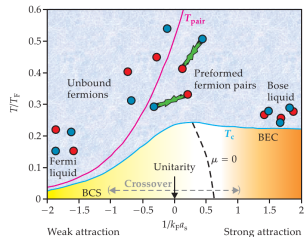
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Spontaneously broken if  $\langle \psi_{\downarrow} \psi_{\uparrow} \rangle \neq 0$

$\Rightarrow$  Condensation of bound states



## Partition Function and Observables

Partition function and observables from the path integral:

$$\mathcal{Z} = \int \mathcal{D}\psi_{\uparrow} \mathcal{D}\psi_{\downarrow} e^{-S[\psi_{\uparrow}, \psi_{\downarrow}]} \quad \Rightarrow \quad \langle \hat{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}\psi_{\uparrow} \mathcal{D}\psi_{\downarrow} \mathcal{O} e^{-S[\psi_{\uparrow}, \psi_{\downarrow}]}$$

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*Hubbard-Stratonovich* transformation and integration of fermion fields:

$$1 = \mathcal{N} \int \mathcal{D}\varphi \mathcal{D}\varphi^* e^{-\int d\tau \int d^3x m_{\varphi}^2 \varphi \varphi^*}, \quad \varphi \longrightarrow \varphi - \frac{g_{\varphi}}{m_{\varphi}^2} \psi_{\uparrow} \psi_{\downarrow}$$

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$$\mathcal{Z} = \int \mathcal{D}\varphi \mathcal{D}\varphi^* \det \left[ \hat{\mathcal{G}}^{-1} + \hat{\Phi} \right] e^{-\int d\tau \int d^3x m_{\varphi}^2 \varphi \varphi^*}$$

$$\hat{\mathcal{G}}^{-1} = \begin{pmatrix} -i\omega_n - \frac{\nabla^2}{2m} - \mu & 0 \\ 0 & i\omega_n - \frac{\nabla^2}{2m} - \mu \end{pmatrix}, \quad \hat{\Phi} = \begin{pmatrix} 0 & g_{\varphi} \varphi \\ -g_{\varphi} \varphi^* & 0 \end{pmatrix}$$

$\Rightarrow$  Starting point for actual computations  $\Leftarrow$

## Positivity of the Fermion Determinant

$$\mathcal{Z} = \int \mathcal{D}\varphi \mathcal{D}\varphi^* \det \left[ \hat{\mathcal{G}}^{-1} + \hat{\Phi} \right] e^{-\int d\tau \int d^3x m_\varphi^2 \varphi \varphi^*}$$

If the fermion determinant is positive for all admissible  $\varphi(x)$ , a positive definite probability measure can be defined for Monte Carlo calculations - there is no sign problem.

$$\det \hat{\mathcal{G}}^{-1} \sim \det \begin{Bmatrix} \hat{A} & 0 \\ 0 & \hat{A}^* \end{Bmatrix} = \det [\hat{A}\hat{A}^*] \geq 0$$

Since the interaction does not break the symmetry between  $\uparrow$  and  $\downarrow$  fermions, the symmetry of the eigenvalue distribution is conserved for finite  $\varphi$ .

Thus, the fermion determinant is positive for all  $\varphi(x)$ .



## Introducing Imbalance

$$S[\psi_{\uparrow}, \psi_{\downarrow}] = \int_0^{\beta} d\tau \int d^3x \left\{ \sum_{\sigma=\uparrow,\downarrow} \psi_{\sigma}^* \left( \partial_{\tau} - \frac{\nabla^2}{2m_{\sigma}} - \mu_{\sigma} \right) \psi_{\sigma} + \bar{g} \psi_{\uparrow}^* \psi_{\downarrow}^* \psi_{\downarrow} \psi_{\uparrow} \right\}$$

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Experimental relevance:

- Population imbalance ( $\mu_{\sigma}$ ): Tuning of the species relative population via a magnetic field  $h$  [e.g. Zwierlein *et al.* '06, Partidge *et al.* '06]
- Mass imbalance ( $m_{\sigma}$ ): Mixtures of different elements, e.g.  ${}^6\text{Li}$  and  ${}^{40}\text{K}$  [e.g. A. Gezerlis, S. Gandolfi, K.E. Schmidt, J. Carlson '09 ;K.B. Gubbels, J.E. Baarsma, H.T.C. Stoof '09]

**BUT**

## Introducing Imbalance

$$S[\psi_{\uparrow}, \psi_{\downarrow}] = \int_0^{\beta} d\tau \int d^3x \left\{ \sum_{\sigma=\uparrow, \downarrow} \psi_{\sigma}^* \left( \partial_{\tau} - \frac{\nabla^2}{2m_{\sigma}} - \mu_{\sigma} \right) \psi_{\sigma} + \bar{g} \psi_{\uparrow}^* \psi_{\downarrow}^* \psi_{\downarrow} \psi_{\uparrow} \right\}$$

Population- and/or mass-imbalance destroy the symmetry between  $\uparrow$  and  $\downarrow$  particles and thus also the positivity of the fermion determinant:

$$\hat{\mathcal{G}}^{-1} = \begin{pmatrix} -i\omega_n - \frac{\nabla^2}{m_+} - \frac{\nabla^2}{m_-} - \bar{\mu} - h & 0 \\ 0 & i\omega_n - \frac{\nabla^2}{m_+} + \frac{\nabla^2}{m_-} - \bar{\mu} + h \end{pmatrix}$$

with

$$\bar{\mu} = \frac{\mu_{\uparrow} + \mu_{\downarrow}}{2}, \quad h = \frac{\mu_{\uparrow} - \mu_{\downarrow}}{2}$$

$$m_+ = \frac{4m_{\uparrow}m_{\downarrow}}{m_{\downarrow} + m_{\uparrow}}, \quad m_- = \frac{4m_{\uparrow}m_{\downarrow}}{m_{\downarrow} - m_{\uparrow}}$$

**Sign Problem!**

## The Sign Problem and Imaginary Imbalance

The sign problem could be resolved, if the  $\uparrow - \downarrow$  symmetry was reinstated. Define complex-valued particle masses  $m_\sigma^{\mathbb{C}}$  and chemical potentials  $\mu_\sigma^{\mathbb{C}}$  such that:

$$h = ih_I, \quad h_I \in \mathbb{R}, \quad \bar{m} \equiv \frac{m_+^{\mathbb{C}}}{m_-^{\mathbb{C}}} = i\bar{m}_I, \quad \bar{m}_I \in \mathbb{R}$$

The blocks of  $\hat{\mathcal{G}}_{\mathbb{C}}^{-1}$  are again complex conjugates of each other:

$$\hat{\mathcal{G}}_{\mathbb{C}}^{-1} = \begin{pmatrix} -i\omega_n - \frac{\nabla^2}{m_+} - i\bar{m}_I \nabla^2 - \bar{\mu} - ih_I & 0 \\ 0 & i\omega_n - \frac{\nabla^2}{m_+} + i\bar{m}_I \nabla^2 - \bar{\mu} + ih_I \end{pmatrix}$$

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Population- and mass-imbalance behave quite differently. For population imbalance, see

[J. Braun, J.-W. Chen, J. Deng, J. Drut, B. Friman, C.-T. Ma, Y.-D. Tsai '12]

For a large part of the talk: purely mass imbalanced case ( $h = 0$ )

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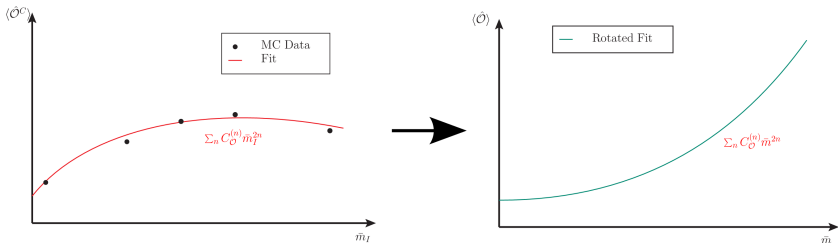
**Sign Problem Circumvented!!** But...

How to get back to real (physical) imbalance?

## Physical Results from Imaginary Calculations

Suppose, some observable has been computed for imaginary mass-imbalance. Then fit the data points with, e.g., some polynomial  $\langle \hat{O}^C \rangle \sim \sum_{n=0}^{N_{\max}} C_{\mathcal{O}}^{(n)} \bar{m}_I^{2n}$  and analytically continue  $\bar{m}_I \rightarrow -i\bar{m}$ .

Schematically:

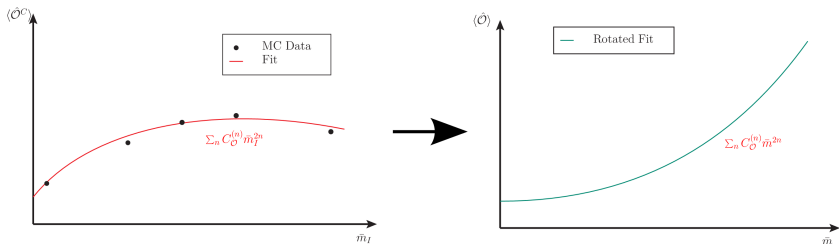




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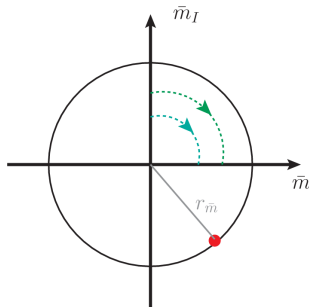
Are there any limits to the method, once Monte Carlo data is available?

## Analytic Limits for the Method

Meaningful results can only be expected, if the series representation for  $\langle \hat{O}^{\mathbb{C}} \rangle$  converges in the complex  $\bar{m}$ -plane:

$$\langle \hat{O}^{\mathbb{C}} \rangle(-i\bar{m}_I) = \langle \hat{O} \rangle(\bar{m}) \Leftrightarrow \bar{m}_I \leq r_{\bar{m}}$$

The radius of convergence is determined by the closest singularity.

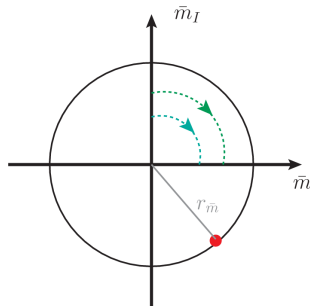


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Knowledge of  $r_{\bar{m}}$  (the singularity structure) is crucial to ascertain reliability of the results, see also examples below.

$\Rightarrow$  Analytical pre-treatment is required

## How can $r_{\bar{m}}$ be obtained?

Plan:

- Perform fully analytical calculation  $\varphi = \text{const}$  mean-field case
- Investigate convergence properties
- Get an idea of the analytic structure of the theory and see what can be used for actual MC data

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Mean-field theory:

Reduce  $\mathcal{Z} = \int \mathcal{D}\varphi \mathcal{D}\varphi^* e^{-\Gamma[\varphi, \varphi^*]}$  to  $\mathcal{Z}_{\text{MF}} = e^{-\Gamma[\varphi_0, \varphi_0^*]}$  and  $\Gamma[\varphi_0, \varphi_0^*] \stackrel{!}{=} \min$

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The grand canonical potential is then just given by

$$\Omega_{\text{MF}} = -\frac{1}{\beta} \ln \mathcal{Z}_{\text{MF}} = \frac{1}{\beta} \Gamma[\varphi_0, \varphi_0^*]$$

## $\Omega_{\text{MF}}$ and the Gap Equation

For  $\varphi = \text{const}$ ,  $\det [\hat{\mathcal{G}}^{-1} + \hat{\Phi}]$  can be computed analytically by performing a Bogoliubov transformation and the Matsubara sum.

Result ( $m_+ = 1$ , regularizing terms dropped):

$$\Omega_{\text{MF}} [|\varphi|^2] = \int \frac{d^3q}{(2\pi)^3} \left\{ \frac{g_\varphi^2 |\varphi|^2}{2q^2} - \frac{1}{\beta} \ln \left[ \cosh(\beta \bar{m} q^2) + \cosh\left(\beta \sqrt{(q^2 - \bar{\mu}^2)^2 + g_\varphi^2 |\varphi|^2}\right) \right] \right\}$$

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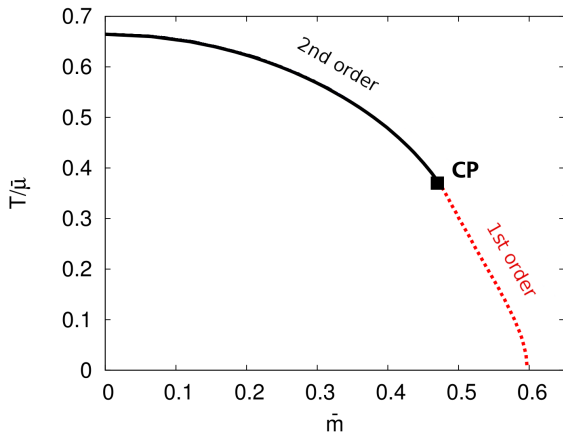
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Gap equation with  $\frac{g_\varphi^2 |\varphi_0|^2}{\bar{\mu}^2} \equiv \bar{\Delta}$ :

$$0 \stackrel{!}{=} \int dq \left\{ \frac{1}{2} + \frac{q^2}{2\sqrt{(q^2 - 1)^2 + \bar{\Delta}}} \left( \frac{1}{1 + e^{\beta \bar{\mu} (q^2 \bar{m} + \sqrt{(q^2 - 1)^2 + \bar{\Delta}})}} - \frac{1}{1 + e^{\beta \bar{\mu} (q^2 \bar{m} - \sqrt{(q^2 - 1)^2 + \bar{\Delta}})}} \right) \right\}$$



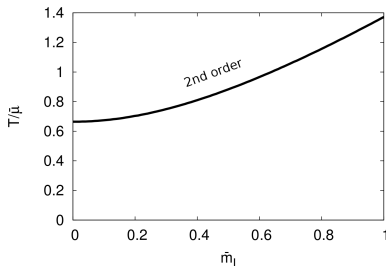
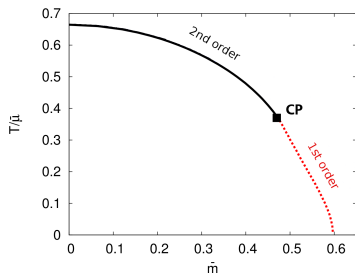
# Mean-Field Phase Diagram for the Mass-Imbalanced Unitary Fermi Gas



Position of the critical point:  $\left(\frac{T_{CP}}{\bar{\mu}}, \bar{m}_{CP}\right) \approx (0.47, 0.37)$

## Comparing Phase Diagrams for $\bar{m}$ and $\bar{m}_I$

Since the functional form of  $\Omega_{MF}$  is known analytically, both phase diagrams can be determined directly:



- No critical point/1st order transition for  $\bar{m}_I$
- Analytic continuation of phase boundary will not reproduce result for all  $\bar{m} \Rightarrow$  radius of convergence  $r_{\bar{m}}$ ?

## Quantitative bounds on $r_{\bar{m}}$

Functional form of  $\Omega_{\text{MF}}(\bar{m})$  not explicitly known due to integration:

$$\Omega_{\text{MF}}(\bar{m}) = \int \frac{d^3q}{(2\pi)^3} \left\{ \frac{g_\varphi^2 |\varphi|^2}{2q^2} - \frac{1}{\beta} \ln \left[ \cosh(\beta \bar{m} q^2) + \cosh\left(\beta \sqrt{(q^2 - \bar{\mu}^2)^2 + g_\varphi^2 |\varphi|^2}\right) \right] \right\}$$

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Complex analysis:  $r_{\bar{m}}$  bounded from below by singularity structure of the *integrand*, i.e. the log term. With  $\Phi = g_\varphi \varphi$

$$\Rightarrow \boxed{r_{\bar{m}} \geq r_{\min} = \sqrt{\frac{\beta^2 |\Phi|^2 + \pi^2}{\beta^2 |\Phi|^2 + \pi^2 + \beta^2 \bar{\mu}^2}}}$$

## Properties and Usefulness of $r_{\min}$

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Limiting cases:

- $r_{\min}|_{T \rightarrow \infty} = 1$ , i.e. access to all possible  $\bar{m}$  for high temperatures
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Physical observables can then be obtained from  $\Omega$ .
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- If observables  $\langle \hat{O}^{\mathbb{C}} \rangle$  are computed directly, as it is most often the case with Monte Carlo, things get a little more complicated...

## Application I: Phase Boundary

Information provided by  $\Omega$ :

- Boundary  $T_c(\bar{m})$  of phase with broken symmetry is defined for every  $\bar{m}$  by the lowest  $T$  with  $\bar{\Delta} = 0$
- Locally smooth manifold up to critical point, global properties not accessible due to implicit form

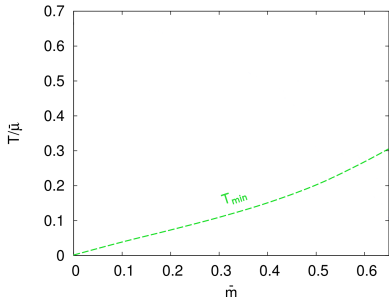


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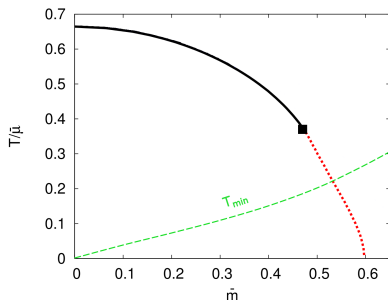


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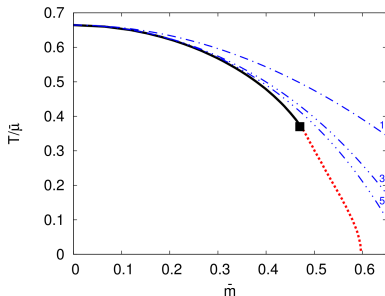
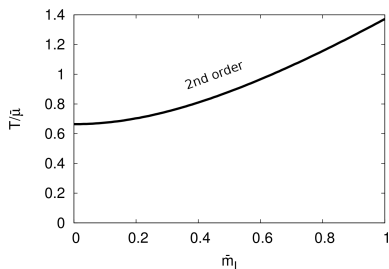
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## Phase Boundary from $\bar{m}_I$

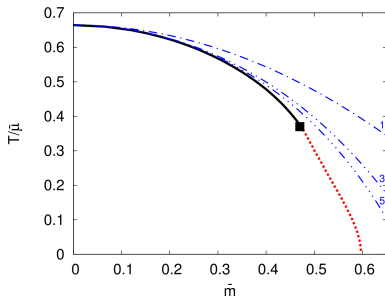
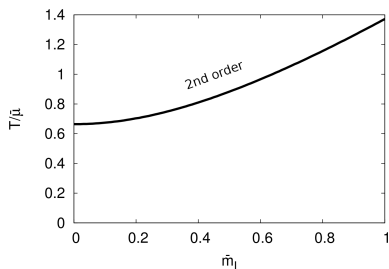
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Continuation of the  $\bar{m}_I$  data yields:



- Fair reproduction of phase boundary up to the critical point
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- Way out: computation & continuation of  $\Omega$  itself, critical point should then be within reach

## Application II: Bertsch Parameter $\xi_{T=0}$

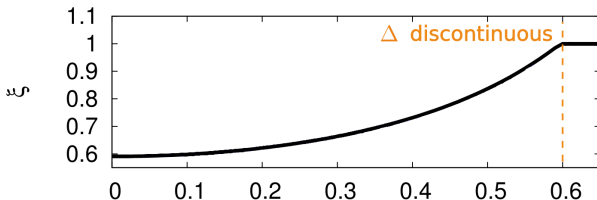
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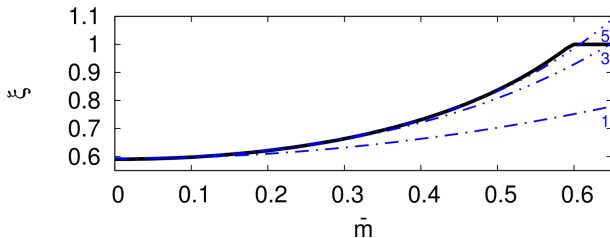
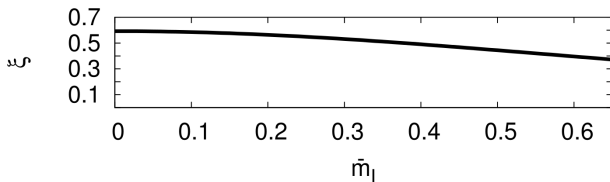
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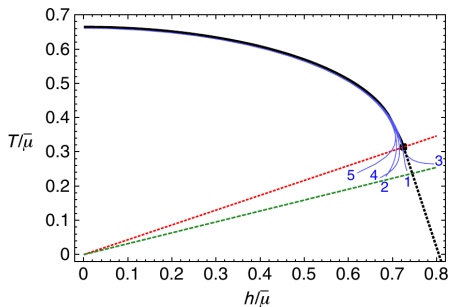
- Smooth observable implicitly depends on  $\bar{\Delta}$
- By complex analysis:  $r_\xi \leq \min[r_{min}, r_\Delta]$
- First order phase transition is expected to limit continuation of  $\xi^{\mathbb{C}}$

## Bertsch Parameter from $\bar{m}_I$



- Good reproduction of  $\xi$  up to the  $\bar{\Delta}$  discontinuity
- Smooth observables indeed limited by  $r_{\min}$  or  $r_{\Delta}$

## Detour: Population-Imbalance



[J. Braun, J.-W. Chen, J. Deng, J. Drut, B. Friman, C.-T. Ma, Y.-D. Tsai '12]

$$\mathcal{G}_{\uparrow}^{-1} = -i\omega_n - \frac{\nabla^2}{m_+} - i\bar{m}_I \nabla^2 - \bar{\mu} - ih_I, \quad \omega_n = (2n + 1)\pi T$$

- Due to interference with Matsubara frequencies:  $h_I \stackrel{!}{<} \pi T$
- Continuation of  $\xi_{T=0}$  not possible for population imbalanced case
- Structurally similar to finite  $\mu$  problem in lattice QCD



## Remarks on $r_{\min}$ for Monte Carlo

Schematic structure of the grand canonical potential from Monte Carlo:

$$\Omega_{MC} \sim \ln \left\{ \sum_{\{\varphi(x)\}} \det \left[ \hat{\mathcal{G}}^{-1} + \hat{\Phi} \right] e^{-\int m_{\varphi}^2 |\varphi(x)|^2} \right\}$$

Rigorous statements:

- For every fixed configuration  $\varphi(x)$ , the corresponding analytical calculation would correspond to the above mean-field procedure
- Overall radius of convergence:  $r_{MC} \geq \min_{\{\varphi(x)\}} [r_{\min}]$
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First practical estimate:  $r_{MC} \geq r_{\min}(\varphi = 0)$

## Summary

- Imbalanced strongly interacting Fermi gases are tough systems to compute with Monte Carlo methods due to a sign problem
- Imaginary imbalance parameters remove the sign problem
  - Physical observables may be extracted by analytic continuation
  - Large parts of the phase diagram of the 3D unitary Fermi gas are in reach
- Extraction of physical results is limited by convergence issues
  - Radius of convergence of the grand potential  $\Omega$
  - Analyticity of the observables and dependencies
  - Type of imbalance
- Analytic structure at mean-field level provides hints for treatment of genuine Monte Carlo data

## Outlook

- Extend investigation of convergence properties of observables
- Make connection to Monte Carlo more rigorous, ideally provide rigorous quantitative bounds
- Apply method in an actual Monte Carlo study

⇒ Work in progress by Joaquín Drut ⇐