



Ca isotopes: ground states  
Ca isotopes: excited states  
Residual 3N forces



# Shell evolution and pairing in calcium isotopes with two- and three-body forces

Javier Menéndez

Institut für Kernphysik, TU Darmstadt  
ExtreMe Matter Institute (EMMI)

with Jason D. Holt, Achim Schwenk and Johannes Simonis

Computational and Theoretical Advances  
for Exotic Isotopes in the Medium Mass Region

INT Seattle, 18 April 2013



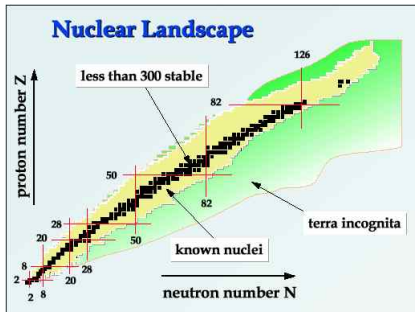


# Outline

- 1 Ca ground states: pairing, shell evolution
- 2 Ca excited states: shell evolution, spectra, transitions
- 3 Residual 3N forces: oxygen and calcium isotopes



# The nuclear many-body approach



Big variety of nuclei in the nuclear chart,  $A \sim 2 \dots 300$

Exact (*ab initio*) calculations only possible in the lightest nuclei

Poses a hard many-body problem: design approximate methods valid in different regions

In the rest of the nuclear chart, pick only the (more) relevant degrees of freedom for observable to study: idea of the Interacting Shell Model



# Medium-mass nuclei: standard view

Standard studies of medium-mass nuclei ( $A \sim 20 - 80$ ) are performed with theoretical approaches based on phenomenology:

- Shell Model calculations  
use fitted interactions or modified G-matrices
- Energy Density Functional interactions  
use Skyrme, Gogny or Relativistic fitted interactions

Interactions are made to reproduce experiment for stable nuclei

- When extrapolated to exotic (neutron rich) regions results differ  
⇒ Need to guide (ideally avoid) fits!
- Why microscopic NN interactions have to be modified?  
⇒ Need to include 3N forces explicitly!



# Towards microscopic medium-mass nuclei calculation

Microscopic calculation of medium-mass nuclei including 3N forces

- Use **Chiral Effective Field Theory** (chiral EFT) interactions, includes naturally NN and 3N forces.
- Perform a renormalization group evolution to  $V_{lowk}$  interaction to enhance convergence of the MBPT calculation
- Apply **Many-Body Perturbation Theory** (MBPT) to 3rd order to obtain interactions to be used in Shell Model (SM) calculations
- **Full diagonalizations** using codes ANTOINE and NATHAN  
Caurier et al. RMP77 427(2005)

⇒ 3N forces are naturally included

Shown necessary to reproduce light nuclei spectra

⇒ All the parameters that appear in the SM hamiltonian calculated from the input of the microscopic interaction (no fits!)



# Chiral EFT NN+3N Forces

Systematic expansion: state-of-the-art chiral EFT forces

- NN forces included up to  $N^3\text{LO}$
- 3N forces included up to  $N^2\text{LO}$

	2N force	3N force	4N force
LO		—	—
NLO		—	—
N <sup>2</sup> LO			—
N <sup>3</sup> LO			

NN fitted to:

- NN scattering data
- $\pi$ -N scattering data

3N fitted to:

- $^3\text{H}$  Binding Energy
- $^4\text{He}$  radius

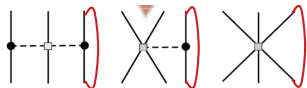
Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum, Kaiser, Meißner...



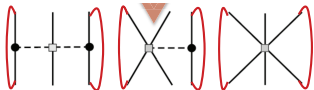
# Normal-ordered 3N Forces

Approximate treatment of 3N forces:

**normal-ordered 2B:** 2 valence, 1 core particle  
⇒ (effective) Two-body Matrix Elements (TBME)

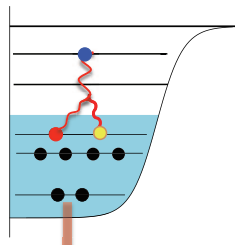
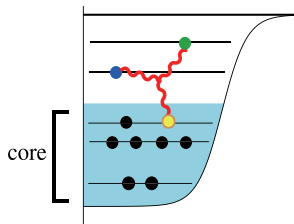


**normal-ordered 1B:** 1 valence, 2 core particles  
⇒ (effective) Single particle energies (SPE)



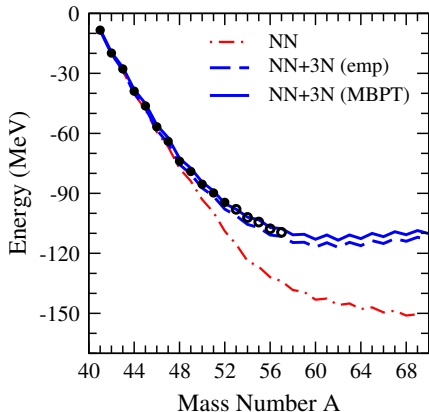
**residual 3B:**

⇒ Estimated to be suppressed by  $N_{valence}/N_{core}$





# Ca isotopes: Ground-state energies



Ca calculations with respect to  $^{40}\text{Ca}$  core

$\hbar\omega = 11.48$  MeV, appropriate for Ca radii

Normal-ordered 3N force contributions  
to TBMEs and SPEs

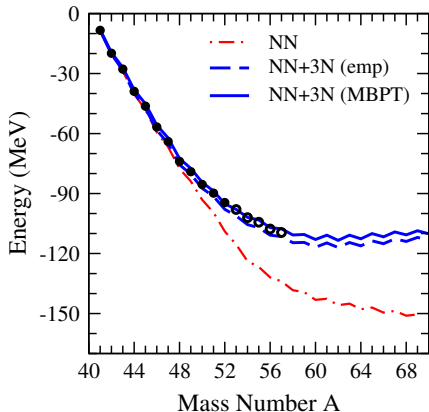
3N forces provide crucial repulsion  
(similar to O case)

NN+3N calculations nice agreement with  
experimental data





# Ca isotopes: Ground-state energies



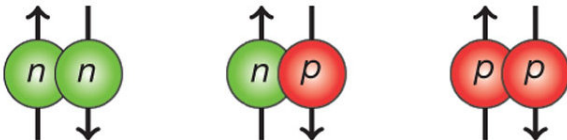
Results particularly sensitive to SPEs, especially more neutron-rich systems, consider two sets:  
 MBPT (calculated from NN+3N forces)  
 Empirical (from GXPF1 interaction)  
 to have an estimate of this uncertainty

Calculation performed in  $pf\ g_{9/2}$  valence space

Flat behaviour towards  $^{60}\text{Ca}$  does not allow clear prediction of the dripline:  
 enlarge valence space  
 include continuum degrees of freedom



# Nuclear Pairing Gaps

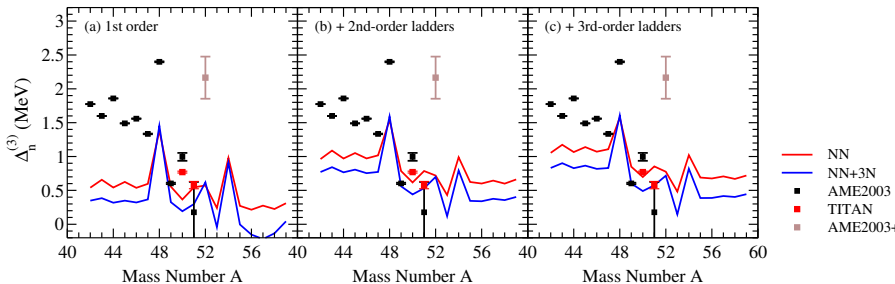


- Pairing correlations are important in nuclei
  - Odd-even mass staggering
  - Moments of Inertia
  - ...
- Theoretical pairing gaps compared to experiment via the three point mass formula:

$$\Delta_N^3 = (-1)^N \frac{BE(N-1) + BE(N+1) - 2BE(N)}{2}$$



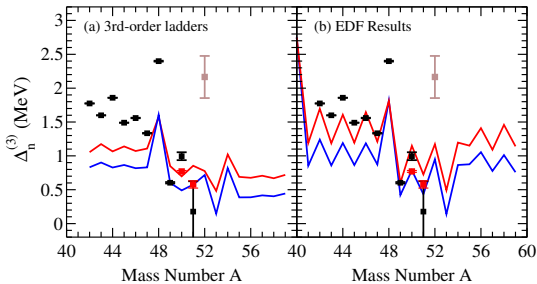
# MBPT convergence



- Successive orders  $pp$ ,  $hh$  ladder diagrams build up pairing gaps
- At third order  $pp$ ,  $hh$  ladders results seem to be converged
- Third order ladders results still away from experiment  
Incorrect even-odd staggering (too attractive mean-field)



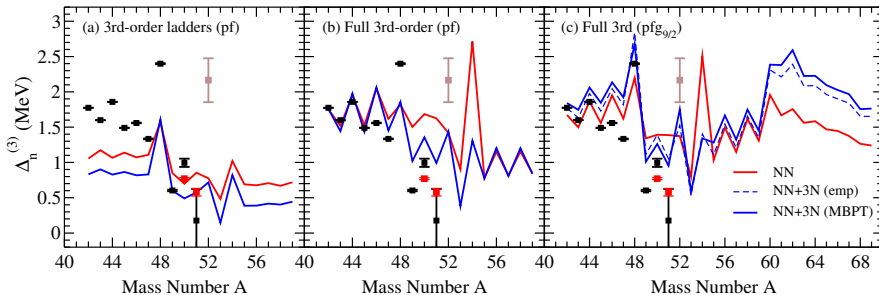
# Pairing, 3N forces and EDF



- At  $pp$ - $hh$  level 3N forces reduce the pairing gaps in 200-500 keV first observed in EDF: Lesinski et al. JPhysG39 015108 (2012)
- EDF  $\Delta_N^3$  slightly closer to experiment, and more staggering  
No indication of shell closures



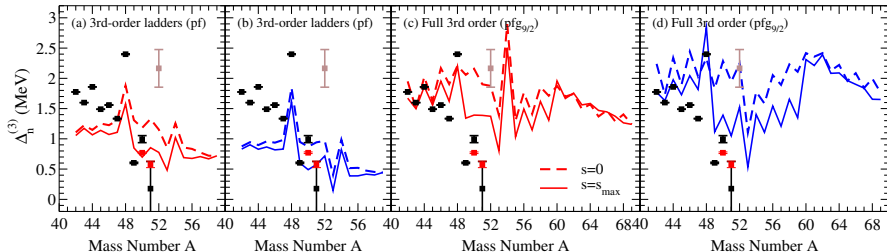
# Nuclear Pairing Gaps: NN vs NN+3N



- Full third order MBPT improves agreement with experiment
- Core-polarization effects significantly enhance pairing gaps
- Good agreement with experimental trends



# Pairing gaps and seniority

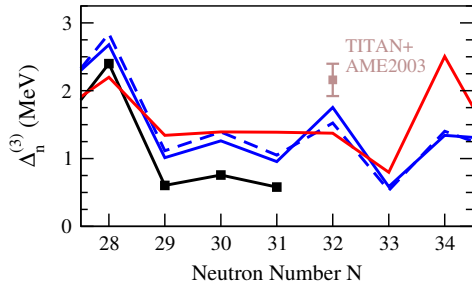


- Perform seniority truncation (limited number broken  $J = 0$  pairs)
- Lowest order (no broken pairs) already good approximation apart from mid-shell nuclei
- 2 broken pairs needed in mid-shell, especially when including  $g_{9/2}$  orbital



# $\Delta_n^{(3)}$ and Shell Evolution

$\Delta_n^{(3)}$  can also tell us about shell evolution



A. T. Gallant et al. PRL109 032506 (2012)

The experimental trend is very well reproduced by NN+3N forces

Theoretical results systematically 0.5 MeV higher than experiment

Shell closure at  $N = 28$ , sub-shell closure  $N = 32$  (moderate) and no apparent  $N = 34$  closure

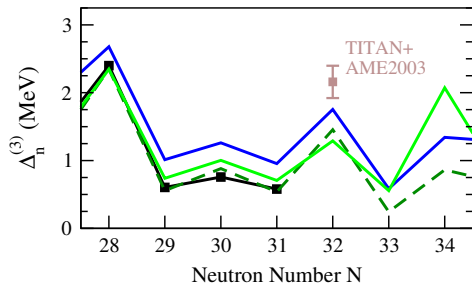
NN forces fail to reproduce  $N = 28$  or  $N = 32$   
predict closed  $N = 34$

Phenomenological interactions also describe data very well



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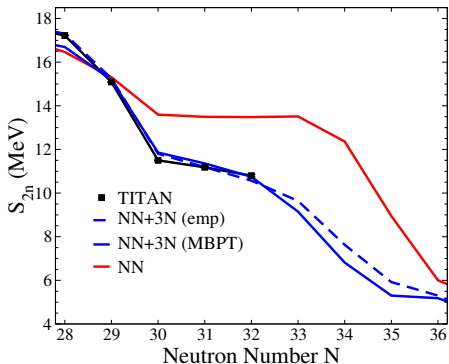




# Two-neutron separation energies

Compare  $S_{2n}$  theoretical calculations with experimental results

$$S_{2n} = -[B(N, Z) - B(N - 2, Z)]$$



A. T. Gallant et al. PRL109 032506 (2012)

NN  $S_{2n}$  too flat:

No  $N = 28$  or  $N = 32$  closures

Points to  $N = 34$  closure

When NN+3N forces are included

Very good agreement with experiment

NN+3N point to  $N = 28$  or  $N = 32$

closures and no  $N = 34$

$^{53,54}\text{Ca}$  masses very recently

measured at ISOLDE

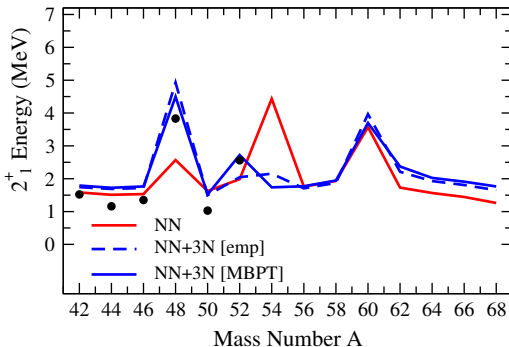
Very good agreement with NN+3N

Nature, in print (2013)



# Shell closures and $2_1^+$ energies

$2_1^+$  energies characterise shell closures of the neutron rich calcium isotopes

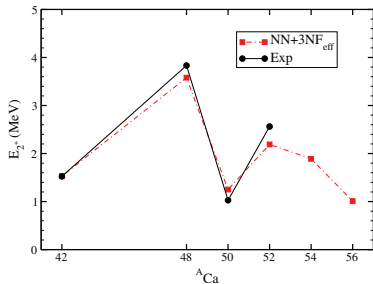


- Correct closure at  $N = 28$  when 3N forces are included
- 3N forces enhance closure at  $N = 32$
- 3N forces reduce strong closure at  $N = 34$  (1.7-2.2 MeV)



# Shell closures and $2_1^+$ energies

Similar results from Coupled-Cluster

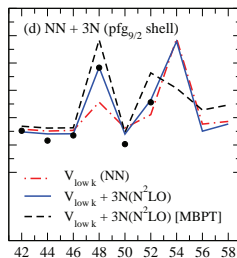
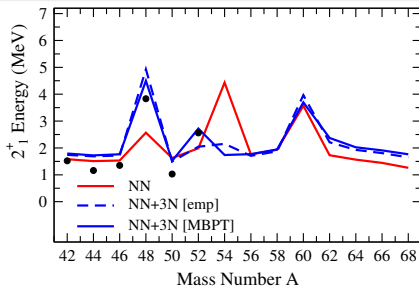


Hagen et al. PRL109 032502 (2012)

$^{54}\text{Ca}$  sensitive to 3N interaction:

Difference 1st/3rd MBPT 3N forces

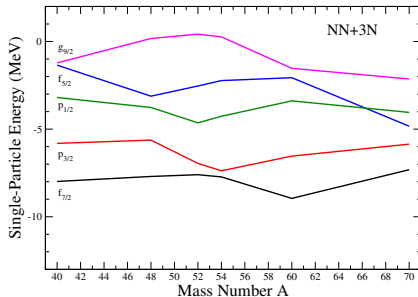
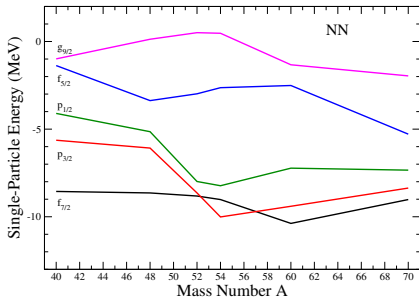
Holt et al. JPG39 085111(2012)





# Effective SPEs and shell evolution

Calculate effective SPEs (monopoles)



Closed-shells  $N = 32$  and  $N = 34$  with NN and NN+3N forces  
guided by ESPEs

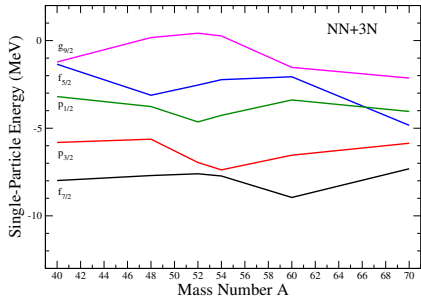
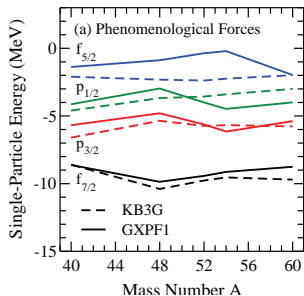
Neither ESPEs shows closed  $N = 28$

Different behaviour as phenomenological interactions



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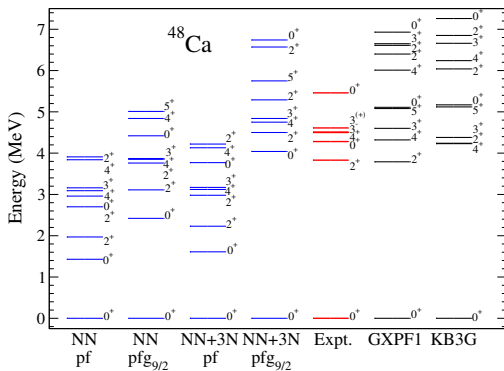
Neither ESPEs shows closed  $N = 28$

Different behaviour as phenomenological interactions



# $^{48}\text{Ca}$ spectra

Challenge: Doubly-closed nucleus  $^{48}\text{Ca}$



Spectra too compressed  
with NN forces only or *pf* space

$2_1^+$  state only  $\sim$ appropriate energy  
in *pf* $g_{9/2}$  NN+3N calculation

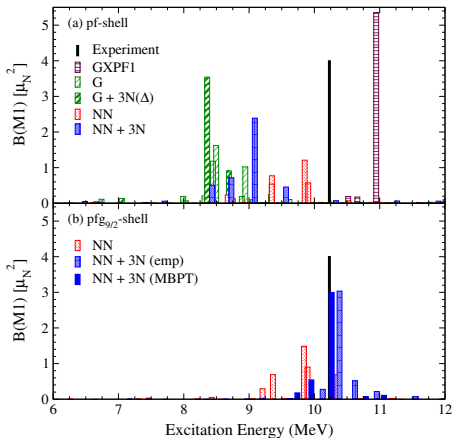
$0_1^+$  state too low (1st excited state)  
especially compared to  
phenomenological interactions

Importance of 3N forces

Importance of including  $g_{9/2}$  orbit



# B(M1) Transition in $^{48}\text{Ca}$



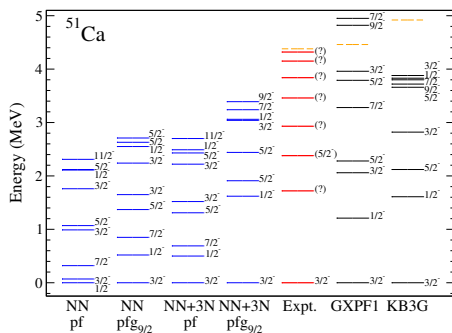
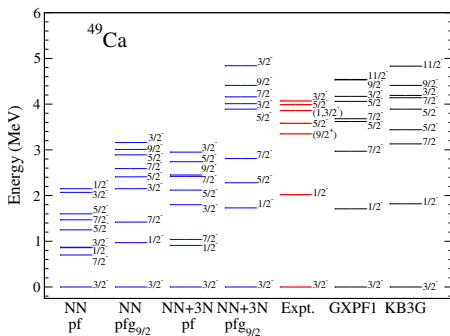
B(M1) strength in  $^{48}\text{Ca}$  too fragmented  
in  $pf$  space  
Phenomenological calculations  
reproduce experimental concentration

In the extended  $pf_{g_{9/2}}$  space NN  
forces also fragmented strength

NN+3N calculation in  $pf_{g_{9/2}}$  very good  
agreement with experiment



# $^{49}\text{Ca}$ , $^{51}\text{Ca}$ neutron rich spectra



Spectrum compressed unless  $pf_{g_{9/2}}$  NN+3N

Correct  $(1/2)^-$  energy, (but too low  $(5/2)^-$ ),  
possibility to assign experimental spins

Similar quality comparable to phenomenological interactions





# Spectroscopic factors

Have a look at the spectroscopic factors (Shell Model calculation)

	$^{49}\text{Ca}_{gs} \rightarrow ^{48}\text{Ca}$					SF $\frac{1}{2J_i+1}$				
	$0_{gs}^+$	$1_1^+$	$P_{3/2}$ $2_1^+$	$3_1^+$	sum	$2_1^+$	$3_1^+$	$f_{7/2}$ $4_1^+$	$5_1^+$	sum
GXPFI	0.95	0.00	0.00	0.01	0.96	1.19	1.64	2.11	2.63	<b>7.57</b>
(Sum Rule)	(0.96)	(0.01)	(0.03)	(0.04)	(1.04)	(1.25)	(1.72)	(2.23)	(2.69)	<b>(7.89)</b>
pf NN+3N	0.77	0.02	0.01	0.02	0.82	0.15	1.24	1.78	2.28	<b>5.45</b>
(SR)	(0.85)	(0.04)	(0.22)	(0.11)	(1.22)	(1.25)	(1.59)	(2.04)	(2.53)	<b>(7.41)</b>
pf $g_{9/2}$ NN+3N MBPT spe's	0.91	0.01	0.00	0.01	0.93	0.71	1.15	1.57	1.99	<b>5.42</b>
(SR)	(0.93)	(0.02)	(0.09)	(0.04)	(1.08)	(1.00)	(1.32)	(1.70)	(2.10)	<b>(6.12)</b>

	$^{50}\text{Ca}_{gs} \rightarrow ^{49}\text{Ca}$		SF $\frac{1}{2J_i+1}$
	$P_{3/2}$ $\frac{3^-}{2_{gs}}$	$f_{7/2}$ $\frac{7^-}{2_1}$	
GXPFI	1.73		<b>7.71</b>
(SR)	(1.82)		<b>(7.90)</b>
pf NN+3N	1.57		<b>4.54</b>
(SR)	(1.95)		<b>(7.31)</b>
pf $g_{9/2}$ NN+3N MBPT spe's	1.64		<b>4.54</b>
(SR)	(1.81)		<b>(6.09)</b>

Occupancy of the  $f_{7/2}$  orbital lower than phenomenological models (and naively expected)

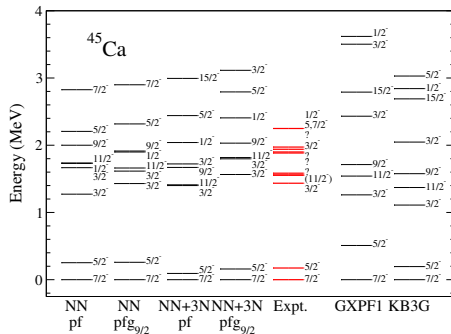
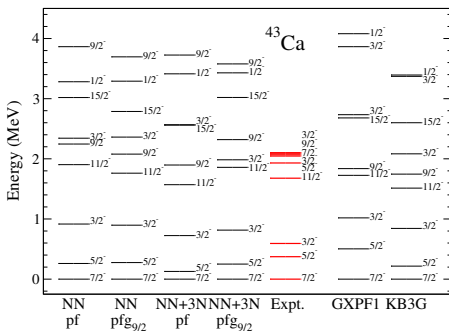
Extended  $g_{9/2}$  orbital takes missing occupancy



# $^{45}\text{Ca}$ , $^{47}\text{Ca}$ light isotopes spectra

Test our interaction with light Ca isotopes

Results in  $pf-pfg_{9/2}$  spaces and based on NN–NN+3N interactions compared to standard phenomenological interactions



Agreement with experiment similar to phenomenological interactions

$sd$  degrees of freedom might still play a role for some excited states



# B(E2) Transition Strengths

Isotope	Transition	KB3G	GXPF1A	MBPT	EXP.
$^{46}\text{Ca}$	$2^+ \rightarrow 0^+$	9.2	9.2	13.3	$25.4 \pm 4.5$
					$36.4 \pm 2.6$
$^{46}\text{Ca}$	$6^+ \rightarrow 4^+$	3.6	3.6	4.8	$5.38 \pm 0.29$
$^{47}\text{Ca}$	$3/2^- \rightarrow 7/2^-$	0.84	3.6	1.0	$4.0 \pm 0.2$
$^{48}\text{Ca}$	$2^+ \rightarrow 0^+$	11.5	11.9	10.3	$19 \pm 6.4$
$^{49}\text{Ca}$	$7/2^- \rightarrow 3/2^-$	0.41	4.0	0.22	$0.53 \pm 0.21$
$^{50}\text{Ca}$	$2^+ \rightarrow 0^+$	8.9	9.1	11.2	$7.4 \pm 0.2$

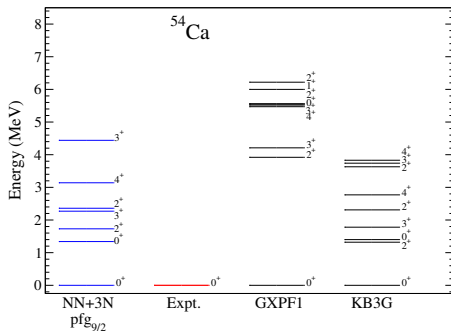
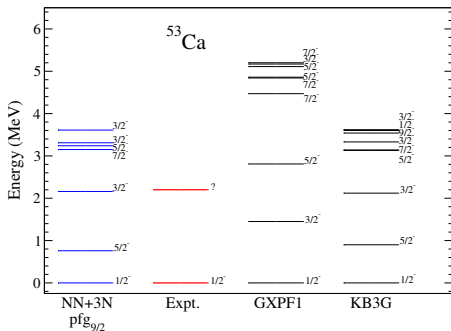
B(E2)s in reasonable agreement with experiment  
(order of magnitude)

Similar quality as phenomenological interactions (very close to KB3G)

$^{46}\text{Ca}$ : *sd* degrees of freedom?



# $^{53}\text{Ca}$ , $^{54}\text{Ca}$ neutron rich spectra:



Phenomenological interactions different results in neutron rich nuclei

MBPT: prediction, more controlled

Explore sensitivity to theoretical uncertainties  
(difference with respect to 3N 1st order results)



# Residual 3N Forces

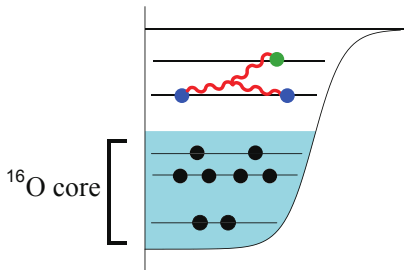
In the most neutron-rich isotopes,  
 3N forces between 3 valence neutrons  
 (suppressed by  $N_{valence}/N_{core}$ )  
 can give a relevant contribution

Exact treatment of residual 3N forces  
 would demand  $V^{3N}$  diagonalization

Expected small correction  
 compared to other 3N contributions  
 Evaluated 1st order perturbation theory:

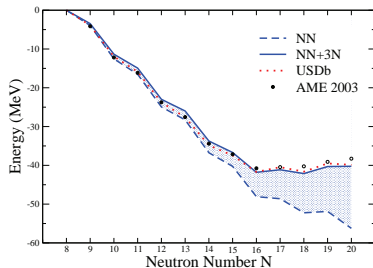
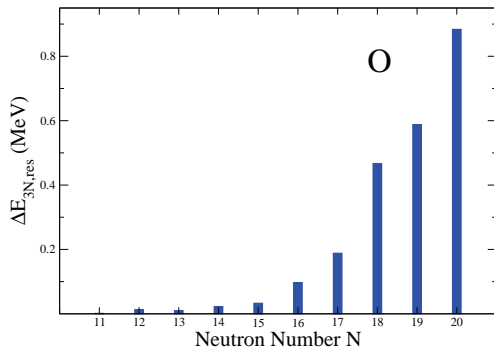
$$\langle \Psi | V^{3N} | \Psi \rangle$$

Residual 3N forces expected more  
 important in O isotopes





# Residual 3N forces in O isotopes



Residual 3N forces small  
and repulsive correction

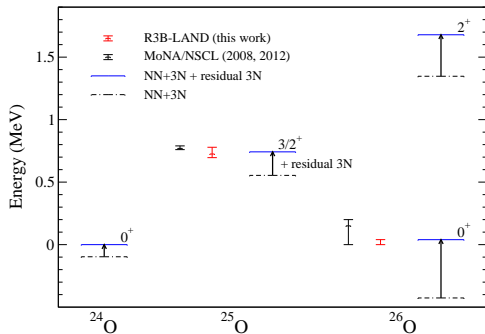
Increase with the number of neutrons

Negligible up to  $^{24}\text{O}$ , up to  $\sim 1$  MeV in  $^{28}\text{O}$

Important in the dripline region: flat energy behaviour



# Residual 3N Forces around O dripline



Caesar, Simonis et al, arXiv:1209.0156

Compare to experimental energies of unbound  $^{25}\text{O}$  and  $^{26}\text{O}$  (relative to  $^{24}\text{O}$ )

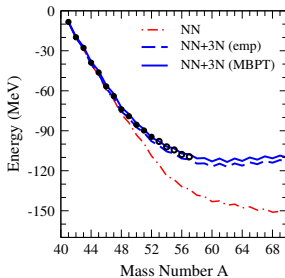
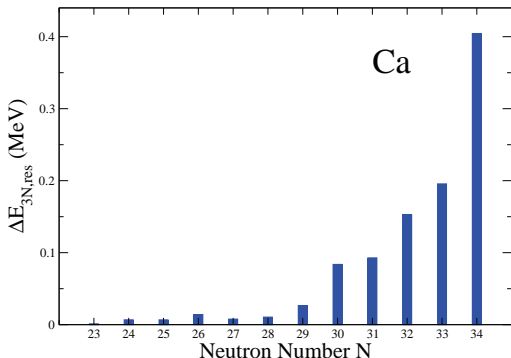
Without residual 3N forces O dripline predicted at  $^{26}\text{O}$  by  $\sim 300$  keV (3N forces to 3rd order in MBPT)

Residual 3N forces drive dripline to  $^{24}\text{O}$  in agreement with experiment

Unbound  $^{25}\text{O}$  and  $^{26}\text{O}$  also in very good agreement with MSU and GSI measurements



# Residual 3N forces in Ca isotopes



Residual 3N forces repulsive

Smaller than in the O case: 20 vs 8 core-neutrons

Negligible for the discussion of  $S_{2n}$ ,  $\Delta_n$  or spectra





# Summary and Outlook

Microscopic calculation based on chiral EFT (NN+3N forces) and MBPT gives good agreement with experimental two-neutron separation energies, pairing gaps and excitation spectra for calcium isotopes:

- Experimental trends in  $S_{2n}$ 's and  $\Delta_n^{(3)}$ 's nicely reproduced
- $S_{2n}$ 's and  $\Delta_n^{(3)}$  together with  $2_1^+$  energies establish shell closures:  $N = 28$  appears,  $N = 32/34$  enhanced/reduced by 3N forces
- Predicted spectra for Ca neutron rich isotopes
- Residual 3N forces included and found relevant in O isotopes

## Outlook:

Explore uncertainties in the theoretical calculation

Lee-Suzuki transformation from  $pf\ g_{9/2}$  to  $pf$  space