The DVR Basis: An Efficient Alternative to the HO Basis for Nuclear Physics Michael McNeil Forbes National Institute for Nuclear Theory the University of Washington

## Discrete Variable Representation (DVR)

- Quasi-local (projected delta functions) •  $F_n(x_m) \propto \delta_{mn}, \quad \langle F_m | V | F_n \rangle \approx \delta_{mn} V(x_n)$
- Analytic form for Kinetic Energy
- Exponential convergence
  - for appropriate potentials, boundary conditions etc.

Standard DVR Basis  $\Delta_n(x) \propto F_n(x) \propto L_n(x)$ 

• Projected delta-functions:  $\Delta_n(x)$ 

- Let  $\langle x|x_n\rangle = \delta(x-x_n)$ , then  $|\Delta_n\rangle = P|x_n\rangle$
- Interpolating functions:  $L_n(x)$ 
  - $|f\rangle = \sum_{n} f(x_n) |L_n\rangle$
- Orthonormal basis functions:  $F_n(x)$ 
  - $\bullet \left< F_m | F_n \right> = \delta_{mn}$

## Projected Delta Functions



$$P = \sum_{k < k_{c}} |k\rangle \langle k|$$
$$x|x_{n}\rangle = \delta(x - x_{n})$$
$$|\Delta_{n}\rangle = P |x_{n}\rangle$$
$$|F_{n}\rangle = |\hat{\Delta}_{n}\rangle$$
$$= \frac{|\Delta_{n}\rangle}{\sqrt{\langle \Delta_{n} | \Delta_{n} \rangle}}$$

## Non-trivial Consistency of Abscissa



Abscissa must be nodes of  $\Delta_m(x)$ 

 $\Delta_{\mathrm{m}}(\mathrm{x}_{\mathrm{n}}) = \delta_{\mathrm{mn}}/w_{\mathrm{n}}$ 

Associated with orthogonal polynomials

## Interpolating Functions



Just evaluate  $f(x_n)$  at the abscissa:

$$|\mathbf{f}\rangle = \sum_{n} f_{n} |\mathbf{F}_{n}\rangle$$
  
=  $\sum_{n} f(\mathbf{x}_{n}) |\mathbf{L}_{n}\rangle$ 

#### Integration Weights

•
$$w_n = 1/\langle \Delta_n | \Delta_n \rangle = 1/\Delta_n(x_n)$$

• 
$$L_n(x) = w_n^{1/2} F_n(x) = w_n \Delta_n(x)$$

- Gaussian quadrature wights for functions in basis: •  $\langle f|g \rangle = \sum_{n} w_{n} f^{*}(x_{n})g(x_{n})$
- But... make sure to integrate functions in basis (or add more abscissa)

# Diagonal Potential Energy $\langle F_m | V | F_n \rangle \approx \delta_{mn} V(x_m)$

- Not exact, but eigenvalues and eigenvectors still have exponential convergence
- No overlap integrals needed
- Trivial 3 and 4-body operators

### Analytic Kinetic Energy

$$K_{mn} = \langle F_m | \frac{\hbar^2 \nabla^2}{2m} | F_n \rangle, \quad K_{mn} = \langle F_m | \frac{\hbar^2}{2m} \frac{d^2}{dr^2} - \frac{\nu^2 - \frac{1}{4}}{r^2} | F_n \rangle$$

- Include singularities here
  - They can spoil convergence

• Fourier basis (rearrangement): use FFTW

$$\begin{split} L_{n}(x) &= \frac{\sin k_{c}(x-x_{n})}{N \sin \frac{k_{c}(x-x_{n})}{N}} = \frac{1}{N} \sum_{m=-(N-1)/2}^{(N-1)/2} e^{ik_{m}(x-x_{n})} \\ K_{m\neq n} &= \frac{2\pi^{2}(-1)^{m-n}}{L^{2}} \frac{\cos \frac{k_{c}(x_{m}-x_{n})}{N}}{\sin^{2} \frac{k_{c}(x_{m}-x_{n})}{N}}, \\ K_{nn} &= \frac{\pi^{2}}{3a^{2}} \left(1 - \frac{1}{N^{2}}\right). \end{split}$$

- Sinc function basis
- K<sub>mn</sub> dense (but only in each dimension)

$$L_{n}(x) = \operatorname{sinc}\left(k_{c}(x - x_{n})\right)$$
$$K_{m \neq n} = \frac{2(-1)^{m-n}}{(x_{m} - x_{n})^{2}}, \qquad K_{nn} = \frac{\pi^{2}}{3a^{2}}.$$

• Bessel Function Basis: Spherical/Cylindrical symmetry

$$J_{\nu}(z_{\nu n}) = 0, \quad w_{n} = \frac{2}{k_{c} z_{\nu n} J_{\nu}'(z_{\nu n})^{2}},$$

$$F_{n}(r) = (-1)^{n+1} \frac{k_{c} z_{\nu n} \sqrt{2r}}{k_{c}^{2} r^{2} - z_{\nu n}^{2}} J_{\nu}(k_{c} r),$$

$$K_{m \neq n} = \frac{8k_{c}^{2}(-1)^{m-n} z_{\nu n} z_{\nu m}}{(z_{\nu n}^{2} - z_{\nu m}^{2})^{2}},$$

$$K_{nn} = \frac{k_{c}^{2}}{3} \left[1 + \frac{2(\nu^{2} - 1)}{z_{\nu n}^{2}}\right]$$



FIG. 2. Plots of the Bessel DVR functions  $F_{\nu n}(r)$  for K=1 and for selected values of  $\nu$  and n.

- Bessel Function Basis: Spherical/Cylindrical symmetry
- In principle: one basis for each l
- In practice (3D):
  - •use l=0, for even l
  - •use l=1, for odd l
- (may need extra point to represent densities etc.)



FIG. 2. Plots of the Bessel DVR functions  $F_{\nu n}(r)$  for K=1 and for selected values of  $\nu$  and n.

## Simple Implementation

#### • MATLAB

N = 32; n = (1:N); [k,l] = meshgrid(n,n); a = 1; % lattice constant  $x = a^{*}(-N/2:1:N/2-1)';$   $V = x.^{2}/2; \% \text{potential}$  $p = 2^{*}pi/L^{*}(-N/2:1:N/2-1);$ 

 $Tk = 2^{(-1).(k-l)./((sin(pi^{(k-l)/N)).^2 + eps)/N^2;}$  Tk = Tk - diag(diag(Tk));  $Tk = (Tk + eye(N)^{(1+2/N^2)/3})^{pi^2/a^2/2;}$  H = Tk + diag(V);energy = eig(H);

#### • Python

class DVR1D(object):
 r"""Sinc function basis for non-periodic functions over
 an interval`xo +- L/2` with `N` points."""
 def \_\_init\_\_(self, N, L, xo=0.0):
 L = float(L)
 self.N = N
 self.L = L
 self.xo = xo
 self.a = L/N
 self.n = np.arange(N)
 self.x = self.xo + self.n\*self.a - self.L/2.o + self.a/2.o
 self.k\_max = np.pi/self.a

def H(self, V):
 """Return the Hamiltonian with the give potential."""
 \_m = self.n[:, None]
 \_n = self.n[None, :]
 K = 2.0\*(-1)\*\*(\_m-\_n)/(\_m-\_n)\*\*2/self.a\*\*2
 K[self.n, self.n] = np.pi\*\*2/3/self.a\*\*2
 K \*= 0.5 # p^2/2/m
 V = np.diag(V(self.x))
 return K + V

## Phase-Space Coverage



Littlejohn et al. J. Chem. Phys. 116 (2002) 8691

For convergence:

- Must cover same semi-classical phase space
- Consider modeling the Morse (left) potential with HO basis (right)

## Phase-Space Coverage



DVR basis slices phase space into strips

Efficient coverage of typical rectangular "model spaces" with simple IR and UV cutoffs

Littlejohn et al. J. Chem. Phys. 116 (2002) 8691

## Phase-Space Coverage



Optimal coverage of a но with a DVR basis Note: adding more states efficiently expands the space

Littlejohn et al. J. Chem. Phys. 116 (2002) 8691

## HO Eigentstates with DVR basis



Ho potential with optimally tuned DVR basis

Bulgac & Forbes arXiv:1301.7354

#### N = L = 30

Optimal phase space coverage
5 energies to machine precision
24 reasonable energies (10%)



Bulgac & Forbes arXiv:1301.7354



#### N = L = 40

Optimal phase space coverage
8 energies to machine precision
32 reasonable energies (10%)



Bulgac & Forbes arXiv:1301.7354



#### N = L = 50

Optimal phase space coverage
14 energies to machine precision
40 reasonable energies (10%)



Bulgac & Forbes arXiv:1301.7354



### N = 60, L = 30

Higher UV cutoff does not help
5 energies to machine precision
24 reasonable energies (10%)



Bulgac & Forbes arXiv:1301.7354



#### N = 90, L = 30

Higher UV cutoff does not help
5 energies to machine precision
24 reasonable energies (10%)



Bulgac & Forbes arXiv:1301.7354



#### Difficulties with HO Basis



Littlejohn et al. J. Chem. Phys. 116 (2002) 8691

For convergence:

- Must cover same semi-classical phase space
- Consider modeling the Morse (left) potential with HO basis (right)

#### Difficulties with HO Basis

- Large Radius of HO wavefunctions introduce artifacts
- Need large number of states to correct
- (Requires HO wavefunction to high precision!)



#### Difficulties with HO Basis

#### • Tails (turning points) spoil large r behaviour



#### DVR Solves the Problem

• Tails spoil large r behaviour



Our code with HO Basis

Our code with DVR Basis



Monday, April 8, 13

### Difficulties with HO Basis Complex Convergence

#### • Subtle convergence issues:

- IR needs subtle properties of HO wavefunctions Furnstahl, Hagen, & Papenbrock PRC 86 (2012) 031301(R) More, Ekstrom, Furnstahl, Hagen, & Papenbrock arXiv:1302.3815
- UV convergence?
  - Emperical:  $E(\Lambda_{UV}) = E_{\infty} + A_0 \exp(-2\Lambda^2_{UV}/\lambda^2)$

Furnstahl, Hagen, & Papenbrock PRC 86 (2012) 031301(R)

• Where does this Gaussian behaviour come from?

## HO Eigentstates with DVR basis



Bulgac & Forbes arXiv:1301.7354

## Simple Convergence



IR convergence:

- Periodic Box (images)
- Lowest many-body threshold
- Band theory

UV convergence:Fourier analysis

Both are simple exponentials

#### Bulgac & Forbes arXiv:1301.7354

### IR Convergence

#### • Band theory

Exponential (think "tunneling") with scale set by lowest many-body dissociation threshold
e.g. s-wave two-body threshold

$$E(L) = E_{\infty} + \frac{A \exp(-2\sqrt{2MQ(L)})L/\hbar}{L^2}$$

### UV Convergence

Follows from Fourier analysis

$$E(k_c) = E_{\infty} + A \exp(-2k_c r_0)$$

- Exponential (not Gaussian)
  - Recall "emperical" formula for HO basis:
    - $E(\Lambda_{UV}) = E_{\infty} + A \exp(-2\Lambda_{UV}^2/\lambda^2)$

## Simple Convergence



IR convergence:

- Periodic Box (images)
- Lowest many-body threshold
- Band theory

UV convergence:Fourier analysis

Both are simple exponentials

#### Bulgac & Forbes arXiv:1301.7354

### DFT predicts (FF)LO at Unitarity: Supersolid!



Bulgac and Forbes PRL 101 (2008) 215301

Large density contrast (factor of 2)

Similar to contrast of vortex core



#### Observations: Nothing?



MIT Experimental data from Shin et. al (2008)

Paired core Polarized wings Maybe there are no interesting polarized superfluid phases?

### DFT predicts (FF)LO at Unitarity: Supersolid!



Bulgac and Forbes PRL 101 (2008) 215301

Large density contrast (factor of 2)

Similar to contrast of vortex core



#### Observations: Inconclusive

• Need detailed structure or novel signature



MIT Experimental data from Shin et. al (2008)

## Why FFLO not seen?

- It is not there:
  - •Other homogenous phases might be better.
  - •T might be too high (fluctuations kill 1D FFLO).
  - Trap frustrates formation (traps are not flat enough).
- It is not seen:
  - Noise washes out signature.
  - Small physical volume for FFLO.

• Need a nice flat trap: Large physical volume of FFLO

#### TDDFT: Higgs Mode





Bulgac and Yoon PRL 102, 085302 (2009)



$$\iota \partial_{t} \Psi_{n} = H[\Psi] \Psi_{n} = \begin{pmatrix} \frac{-\alpha \nabla^{2}}{2m} - \mu + U & \Delta^{\dagger} \\ \Delta & \frac{\alpha \nabla^{2}}{2m} + \mu - U \end{pmatrix} \begin{pmatrix} u_{n} \\ v_{n} \end{pmatrix}$$



$$\iota \partial_{t} \Psi_{n} = \mathsf{H}[\Psi] \Psi_{n} = \begin{pmatrix} \frac{-\alpha \nabla^{2}}{2m} - \mu + \mathcal{U} & \Delta^{\dagger} \\ \Delta & \frac{\alpha \nabla^{2}}{2m} + \mu - \mathcal{U} \end{pmatrix} \begin{pmatrix} \mathfrak{u}_{n} \\ \mathfrak{v}_{n} \end{pmatrix}$$



#### $48^3$ and $196 \times 32^2$ grids $5 \times 10^5$ independent wavefunctions



#### TDDFT for triaxial GDR with nuclear functionals Stetcu, Bulgac, Magierski, & Roche, PRC 84, (2011) 051309(R) (2011),



### AFQMC

- Unitary Fermi Gas
- Full 3D from 6<sup>3</sup>=216 to 16<sup>3</sup>=4096 grids
  - 20 160 particles
  - 5000 steps of imaginary time

Drut, Lähde, Wlazłowski, & Magierski, PRA 85 (2012) 051601 Wlazłowski, Magierski, Drut, Bulgac, & Roche, PRL 110 (2013) 090401

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## Exact Diagonalization ("Triton" and "Alpha")



Use DVR for relative coords. Directly solve 6D and 9D Schrödinger Eq. Lanczos iterations • No matrices O(N In N) Several minutes on laptop Hilbert space to 8<sup>9</sup>=10<sup>8</sup> • α=0.5 to 1.5 fm • Λ=300 to 930 MeV/c

Bulgac & Forbes arXiv:1301.7354

## Exact Diagonalization ("Triton" and "Alpha")



Fourier basis "lower bound"

Band structure lowersenergy(Tunneling to neighboring cells)

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## Exact Diagonalization ("Triton" and "Alpha")



Bulgac & Forbes arXiv:1301.7354

Dirichlet basis "upper bound"

Boundary conditions raises energy

#### DVR: an Efficient basis

- •Quasi-local
  - $\langle F_m | V | F_n \rangle \approx \delta_{mn} V(x_n)$
  - $f_n = f(x_n)/w_n$
- Good phase-space coverage
- Easy to implement



- Straightforward convergence properties
- An efficient alternative to HO basis?