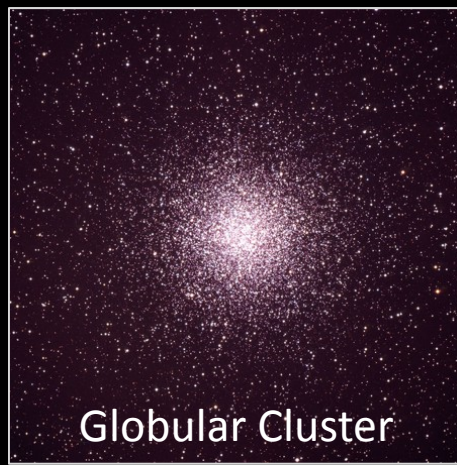
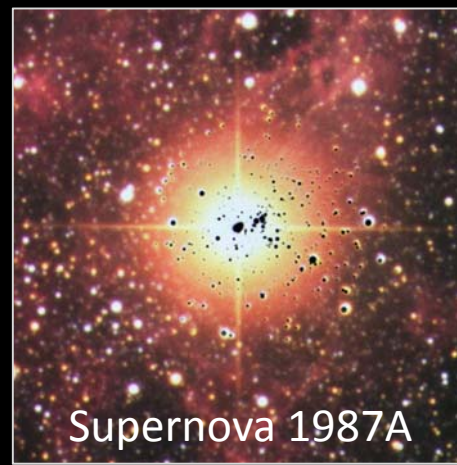


Sun



Globular Cluster

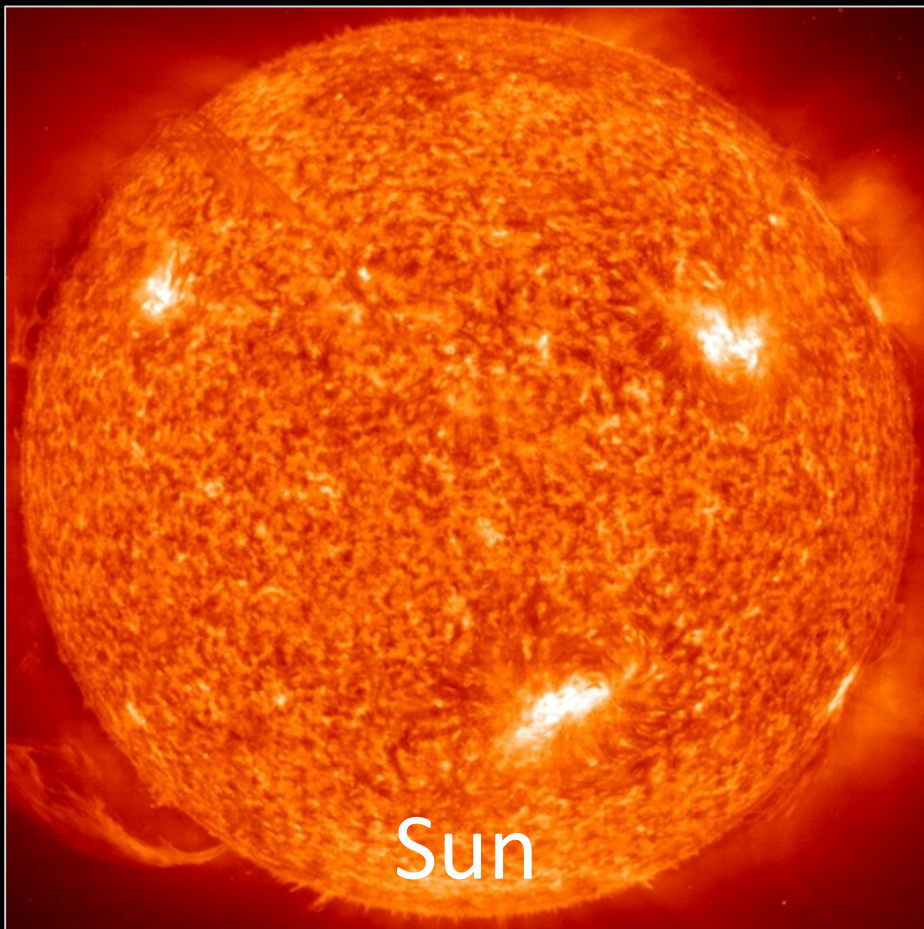


Supernova 1987A



Dark Matter

# Astrophysical and Cosmological Axion Limits



Globular Cluster



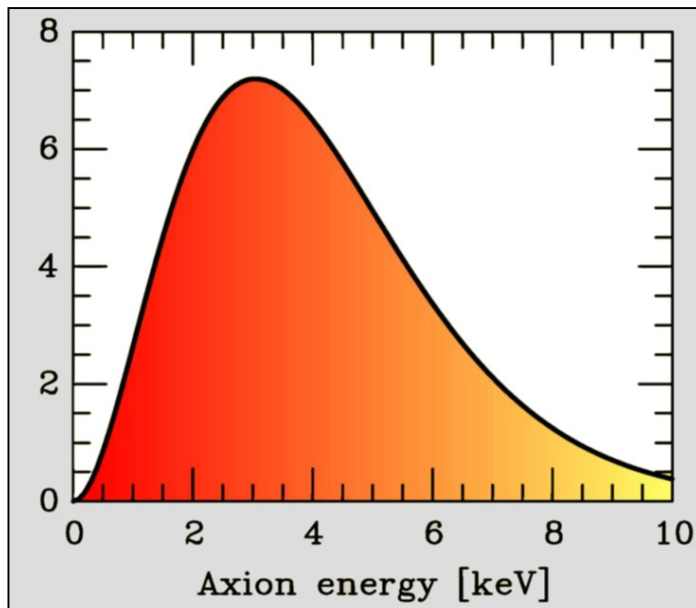
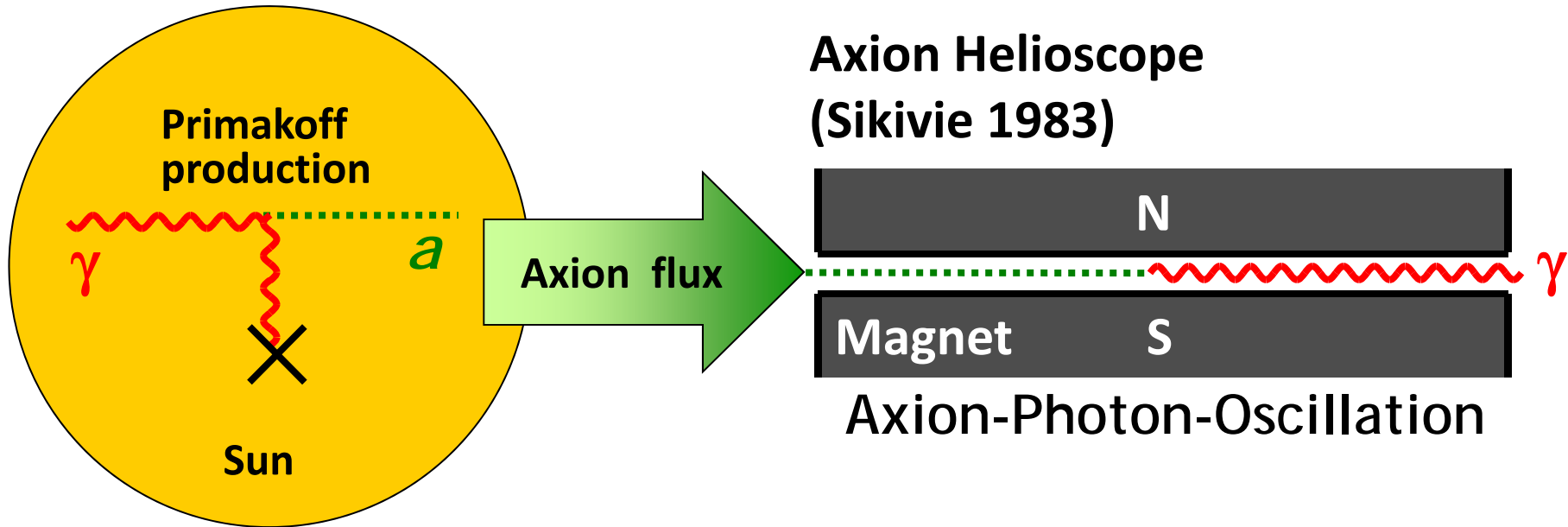
Supernova 1987A



Dark Matter

# Solar Axions

# Search for Solar Axions



- Tokyo Axion Helioscope (“Sumico”) (Results since 1998, up again 2008)
- CERN Axion Solar Telescope (CAST) (Data since 2003)

Alternative technique:

Bragg conversion in crystal

Experimental limits on solar axion flux from dark-matter experiments (SOLAX, COSME, DAMA, CDMS ...)



# Astrophysical bounds on the masses of axions and Higgs particles

Duane A. Dicus and Edward W. Kolb\*

*Center for Particle Theory, The University of Texas, Austin, Texas 78712*

Vigdor L. Teplitz†

*Department of Physics, Virginia Polytechnic Institute and State University, Blacksburg, Virginia 24061*

Robert V. Wagoner

*Institute of Theoretical Physics, Department of Physics, Stanford University, Stanford, California 94305*

(Received 27 April 1978)

Lower bounds on the mass of a light scalar (Higgs) or pseudoscalar (axion) particle are found in three ways: (1) by requiring that their effect on primordial nucleosynthesis not yield a deuterium abundance outside present experimental limits, (2) by requiring that the photons from their decay thermalize and not distort the microwave background, and (3) by requiring that their emission from helium-burning stars (red giants) not disrupt stellar evolution. The best bound is from (3); it requires the axion or Higgs-particle mass to be greater than about 0.2 MeV.

The first process considered is the Primakoff process,<sup>16</sup>  $\gamma + Z \rightarrow \phi + Z$ , shown in Fig. 2. The cross section for this process near threshold is

$$|v|\sigma = 64\pi\alpha Z^2 \frac{\omega\Gamma(\phi \rightarrow 2\gamma)}{m_\phi^2} \frac{(\omega^2 - m_\phi^2)^{1/2}(\omega - m_\phi)}{(m_\phi^2 - 2\omega m_\phi)^2}, \quad (7)$$

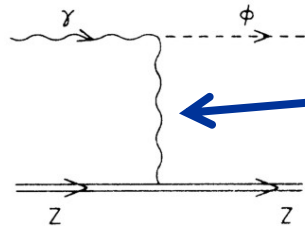


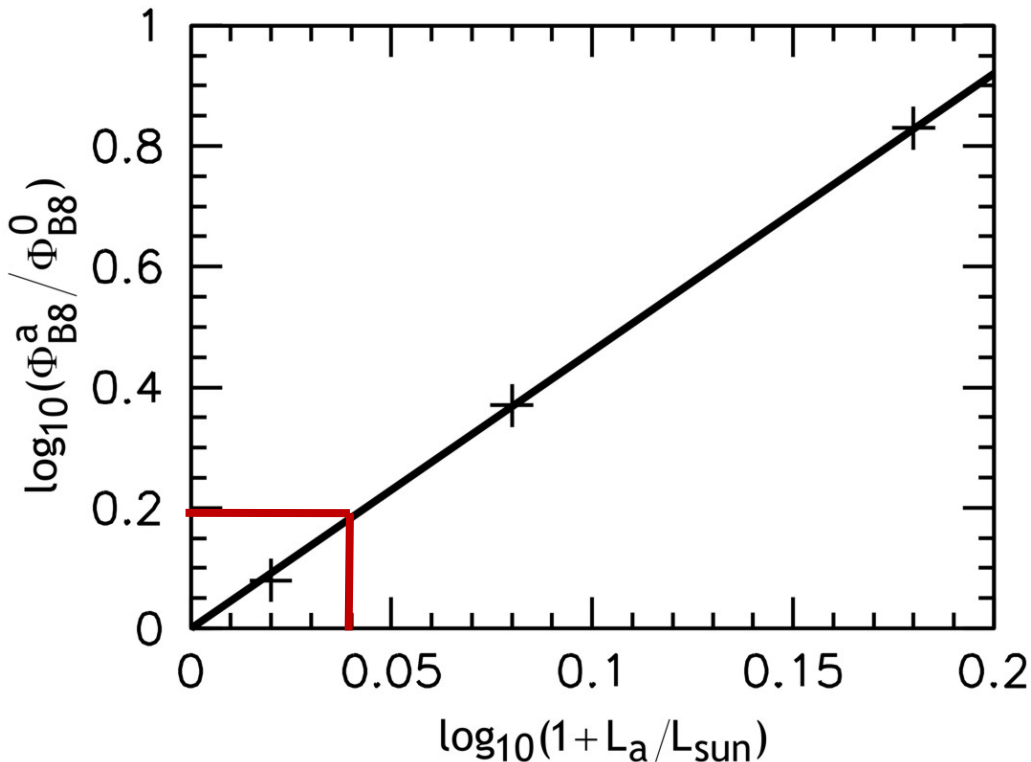
FIG. 2.  $\gamma + Z \rightarrow \phi + Z$  via the Primakoff process.

First discussion of Primakoff effect for WW axions ( $m_a \gg T$ )

For “invisible axions” ( $m_a \ll T$ ) screening effects crucial (G.R., PRD 33, 897:1986)

# Solar Neutrino Limit on Solar Energy Losses

Self-consistent models of the present-day Sun provide a simple power-law connection between a new energy loss  $L_a$  (e.g. axions) and the all-flavor solar neutrino flux from the B8 reaction as measured by SNO



$$\Phi_{\text{B8}}^a = \Phi_{\text{B8}}^0 \left( \frac{L_{\odot} + L_a}{L_{\odot}} \right)^{4.6}$$

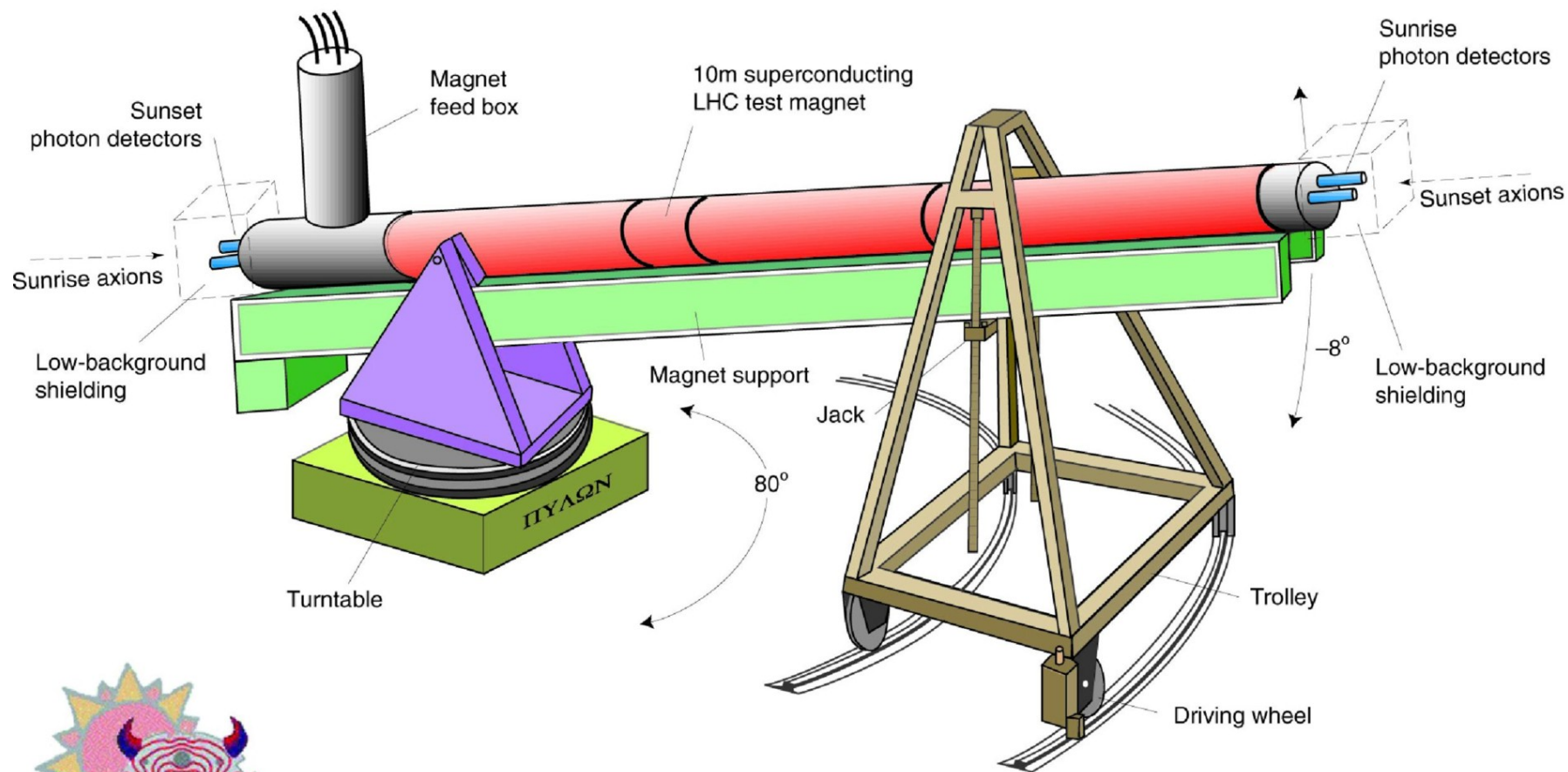
Solar model prediction and SNO measurements imply roughly

$$L_a \lesssim 0.1 L_{\odot}$$

Gondolo & Raffelt, arXiv:0807.2926

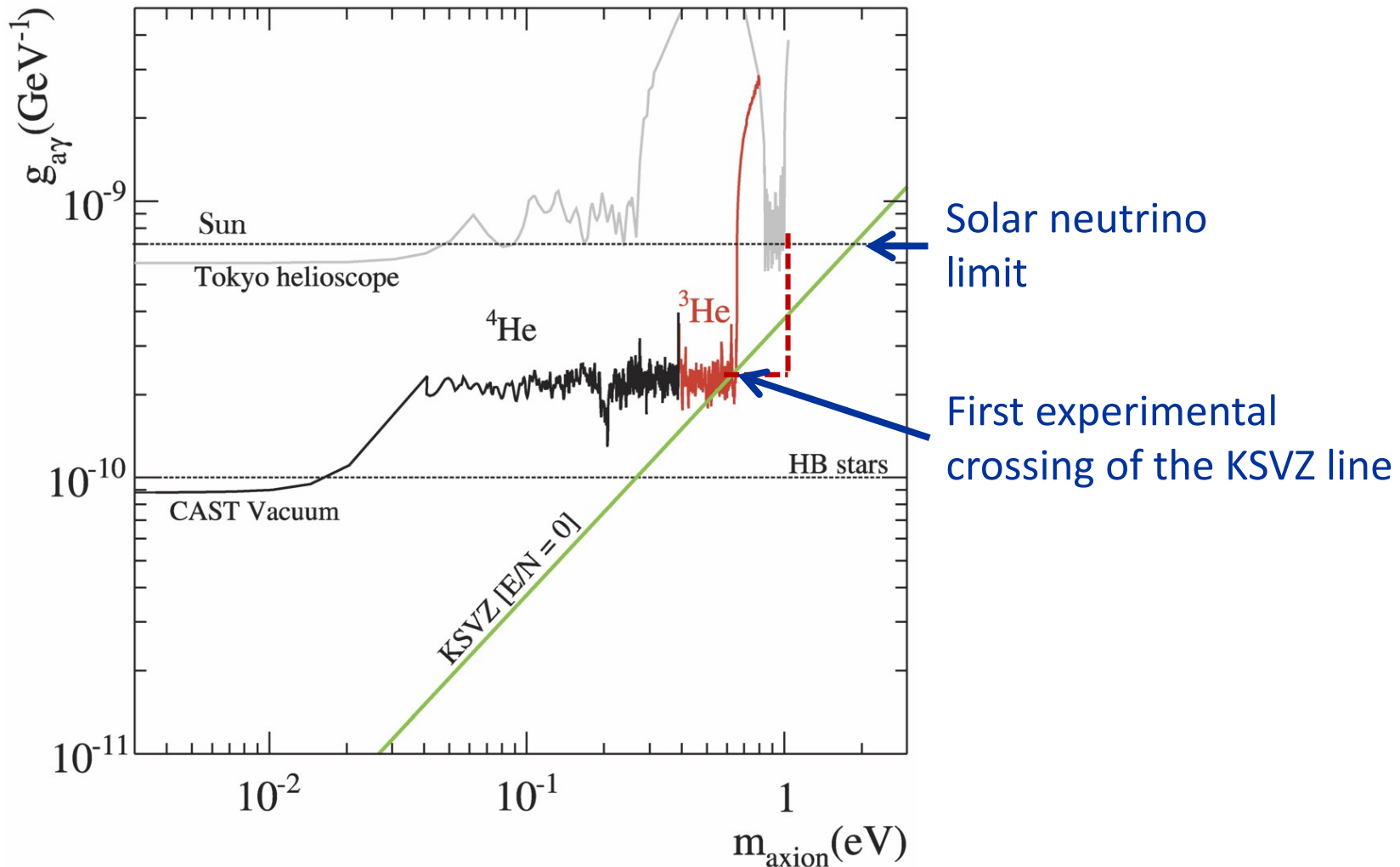
Schlattl, Weiss & Raffelt, hep-ph/9807476

# LHC Magnet Mounted as a Telescope to Follow the Sun



**Cern Axion Solar Telescope**

# Helioscope Limits



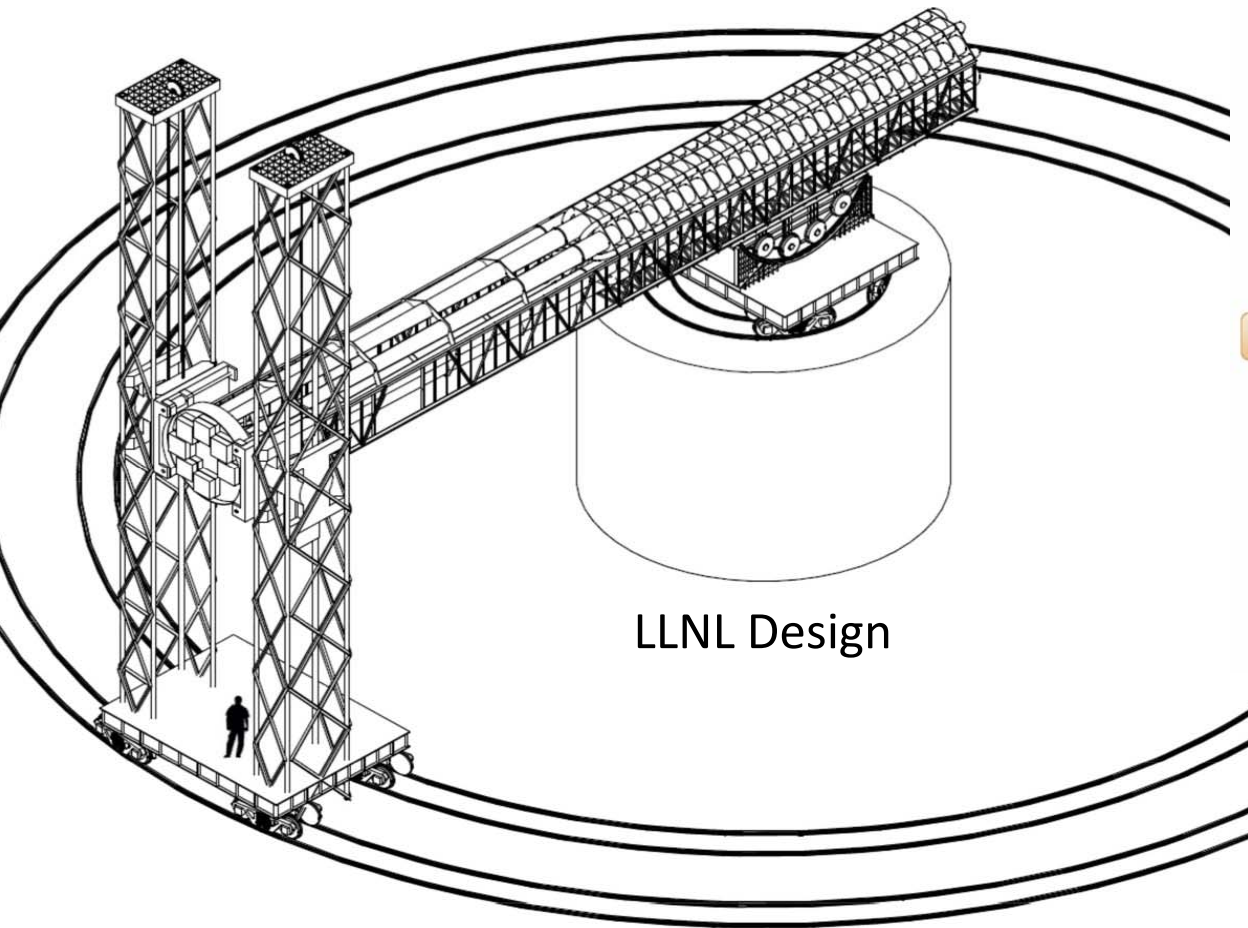
CAST-I results: PRL 94:121301 (2005) and JCAP 0704 (2007) 010

CAST-II results (He-4 filling): JCAP 0902 (2009) 008

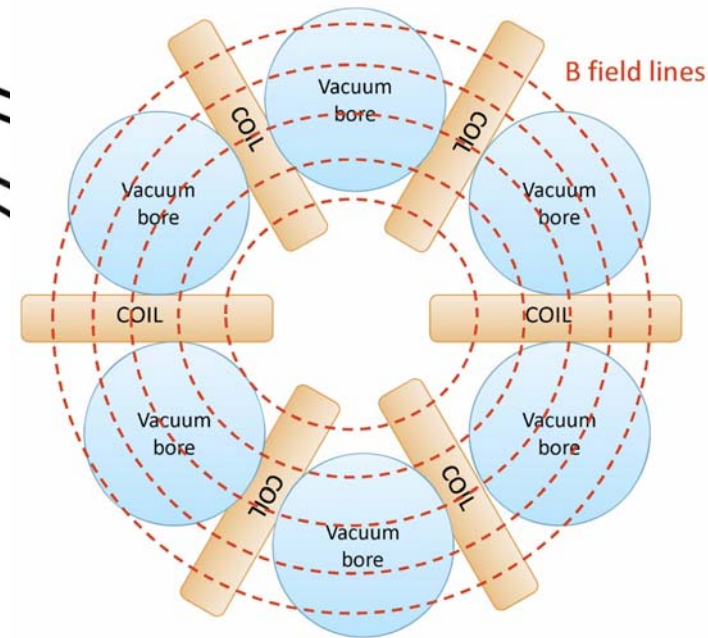
CAST-II results (He-3 filling, range 1): PRL 107 (2011) 261302



# Next Generation Axion Helioscope (IAXO)



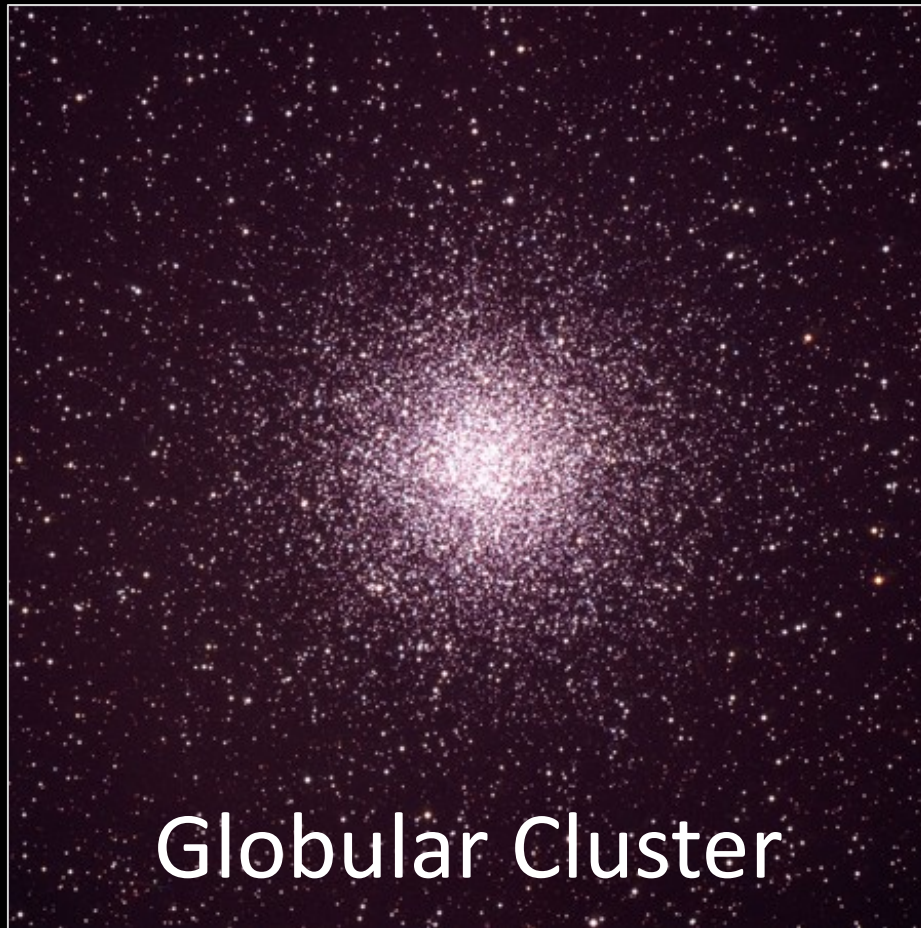
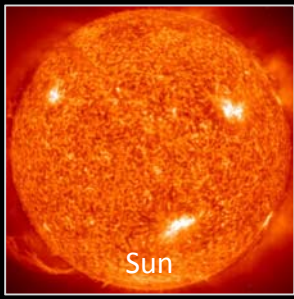
LLNL Design



- Need new magnet with
- Much bigger aperture:  
~1 m<sup>2</sup> per bore
- Lighter (no iron yoke)
- Bores at room temperature

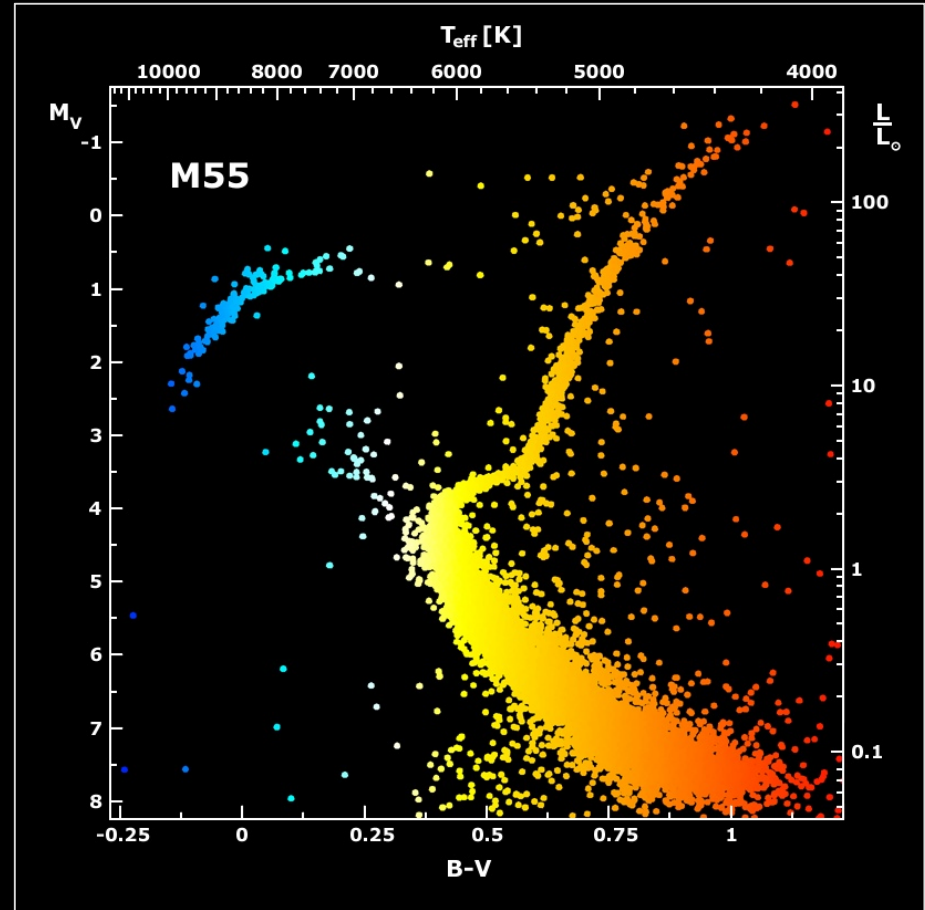
Irastorza et al., “Towards a new generation axion helioscope”, arXiv:1103.5334



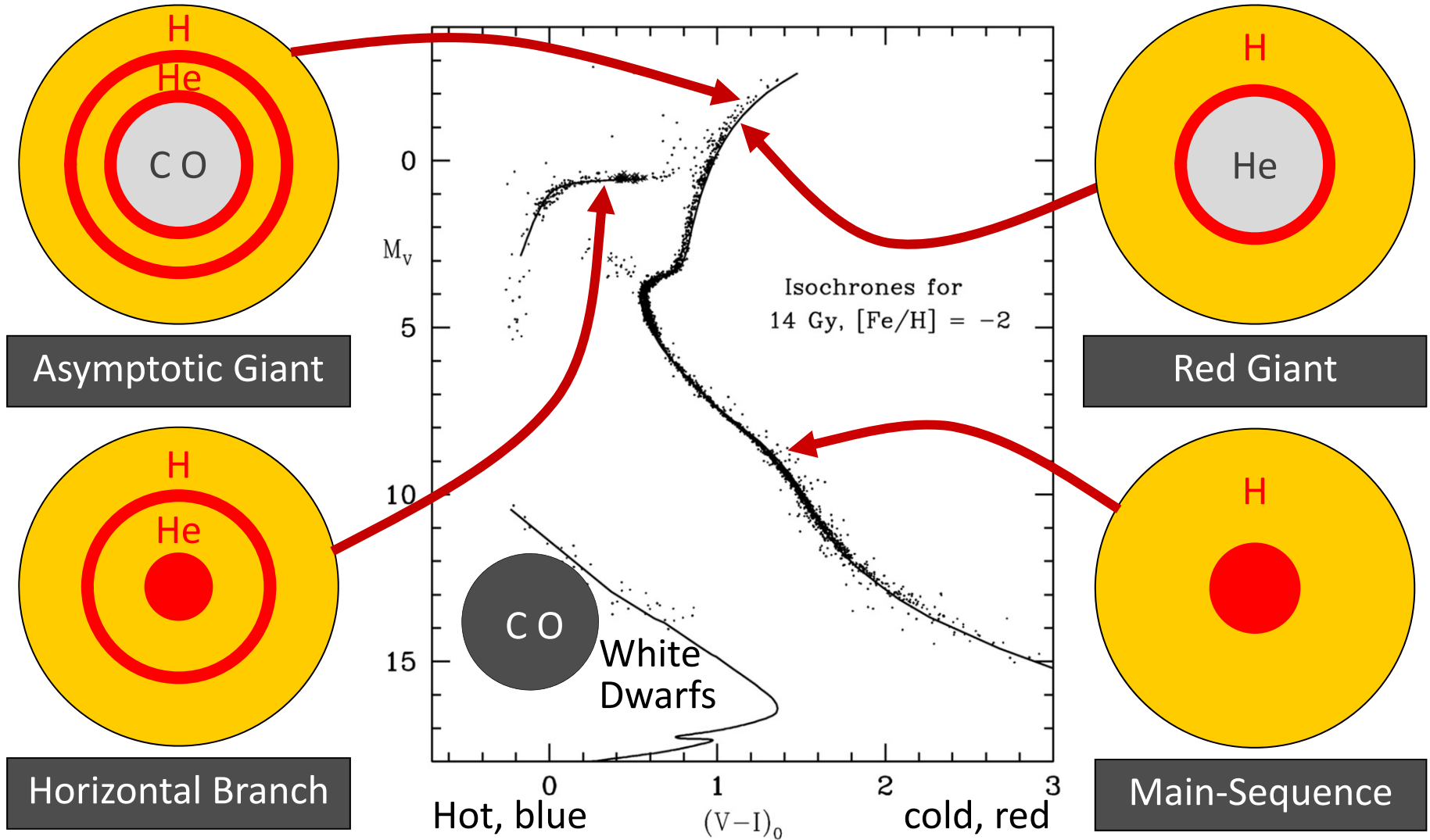


# Axions from Normal Stars

# Galactic Globular Cluster M55

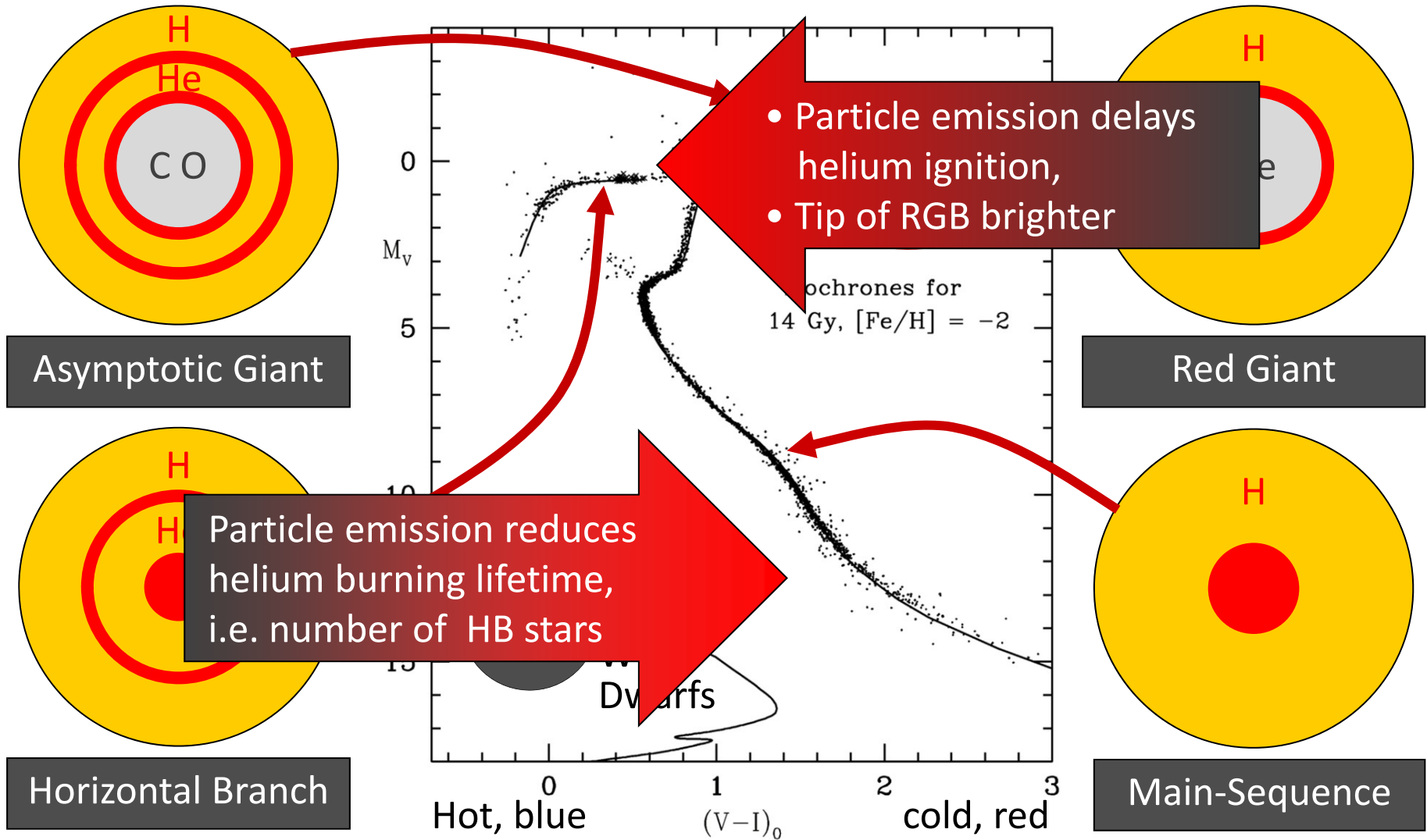


# Color-Magnitude Diagram for Globular Clusters



Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris, 2000)

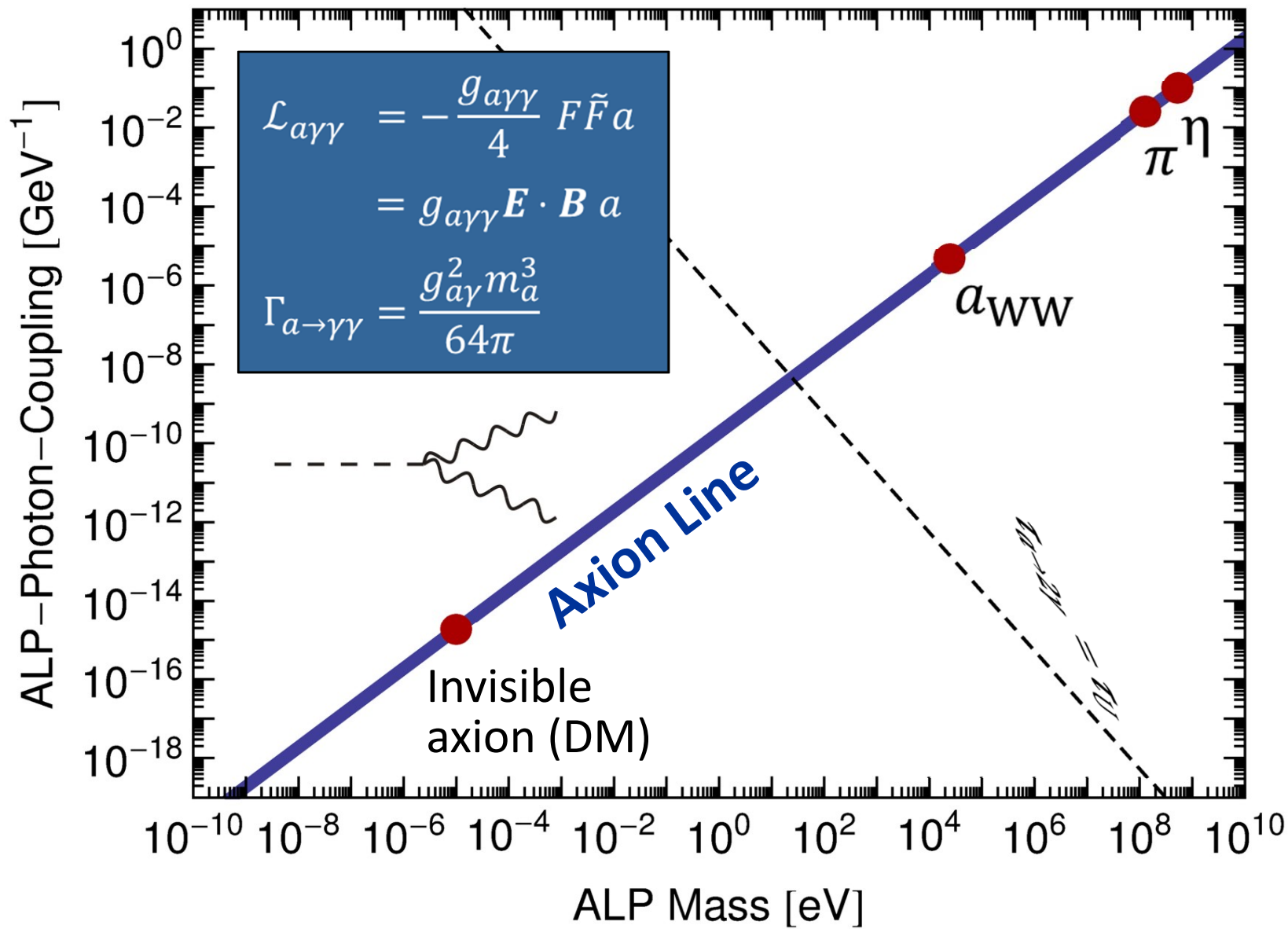
# Color-Magnitude Diagram for Globular Clusters



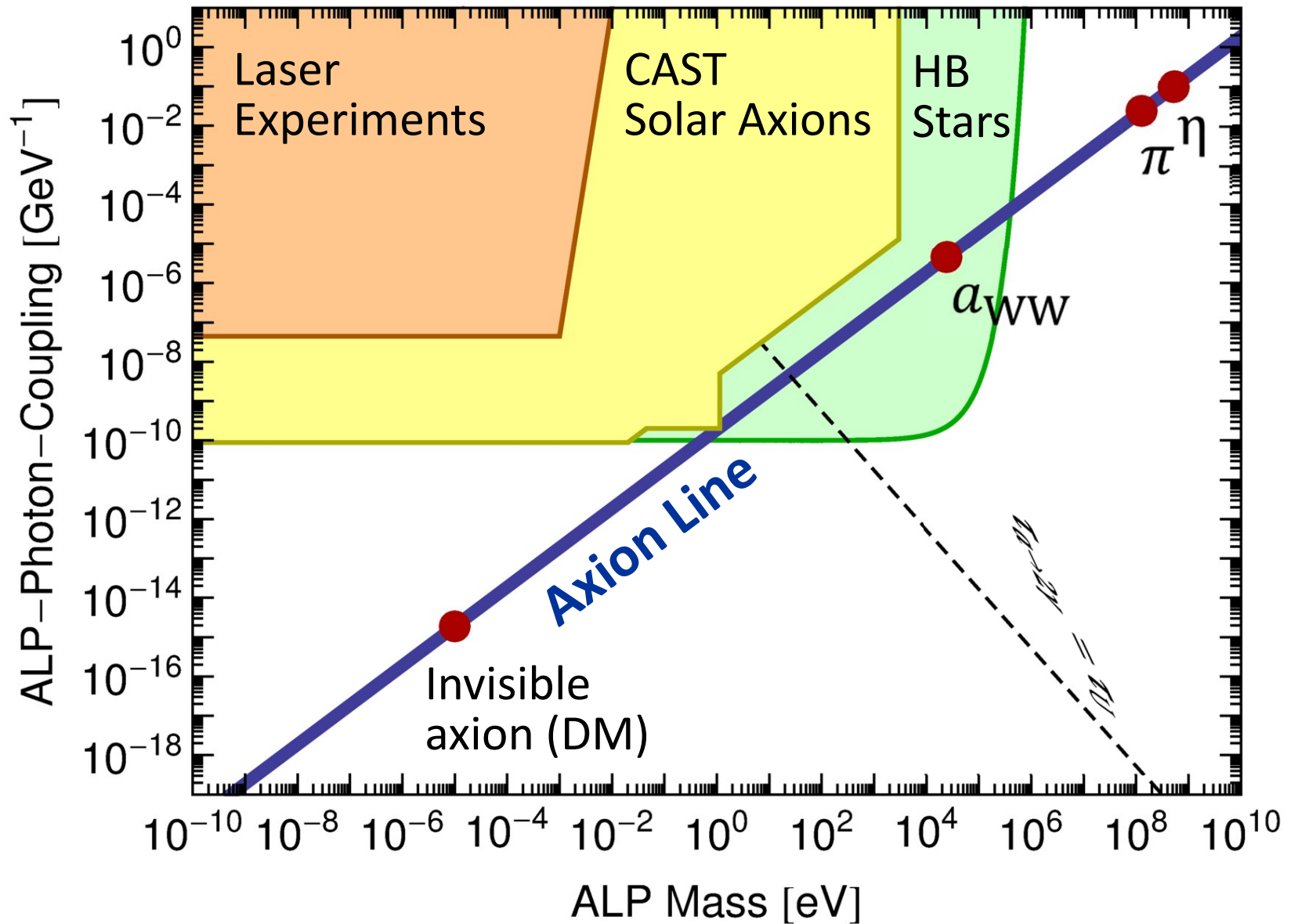
Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris, 2000)



# Parameter Space for Axion-Like Particles (ALPs)



# Parameter Space for Axion-Like Particles



# Shining TeV Gamma Rays through the Universe

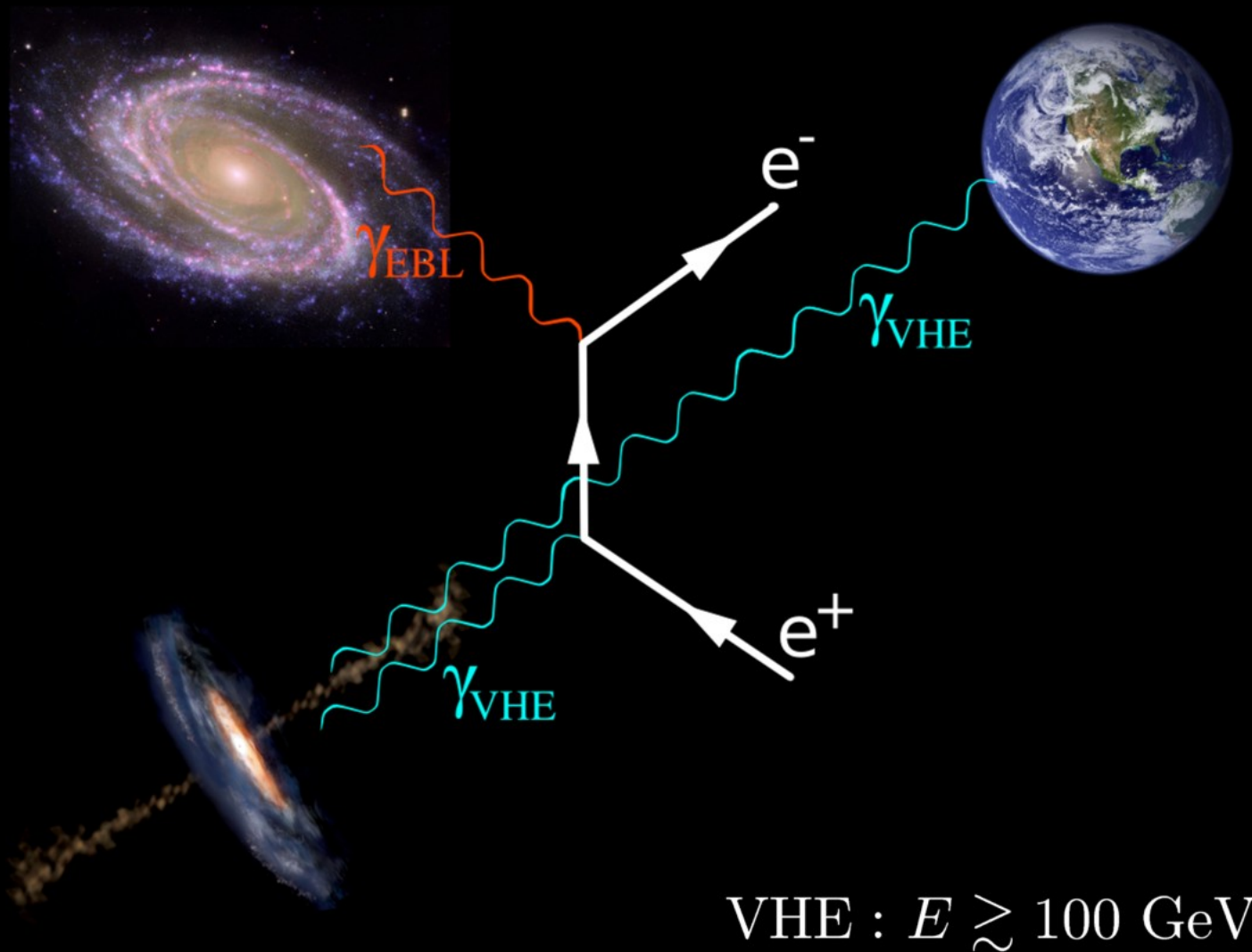


Figure from a talk by Manuel Meyer (Univ. Hamburg)

# Shining TeV Gamma Rays through the Universe

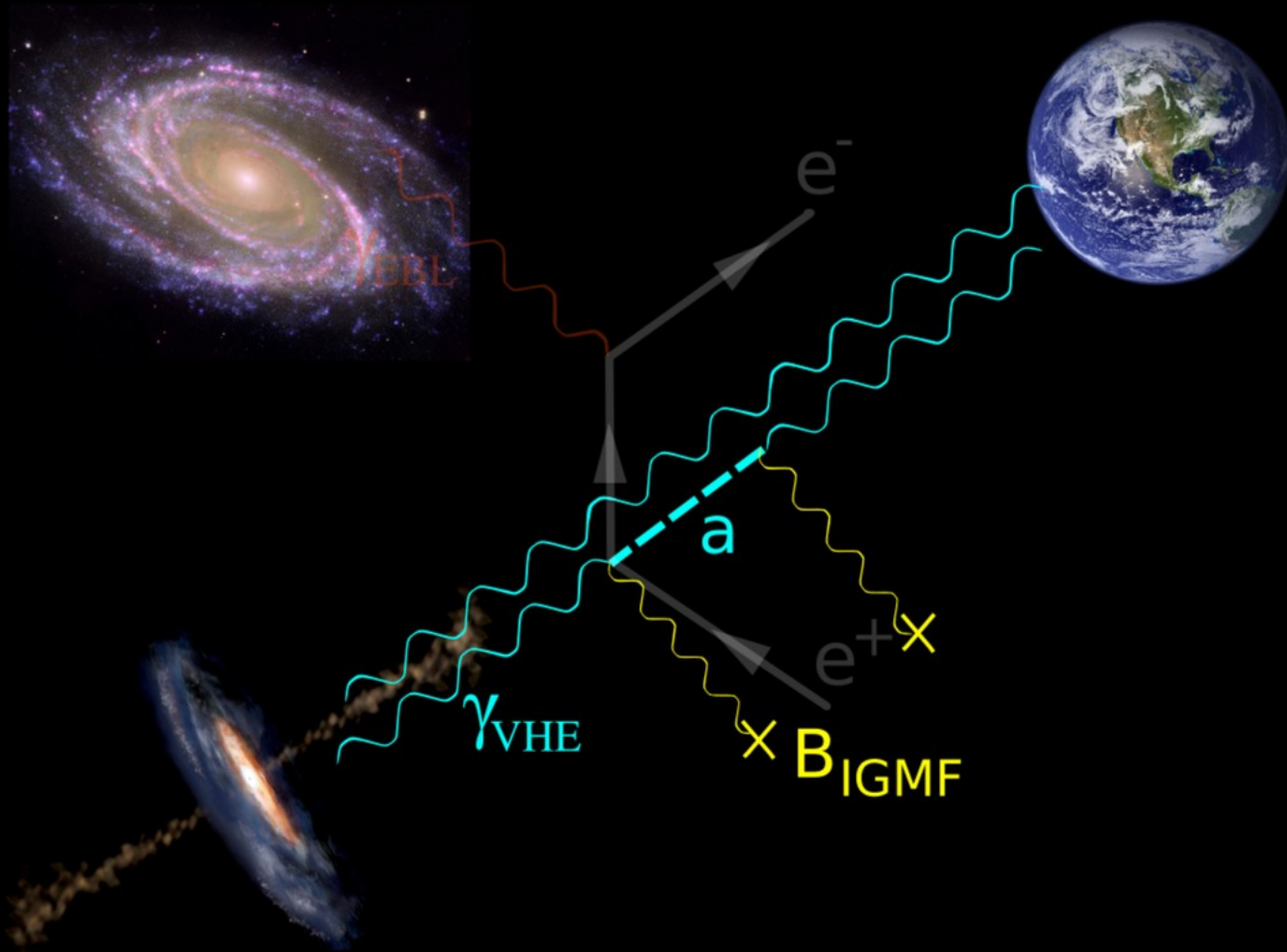
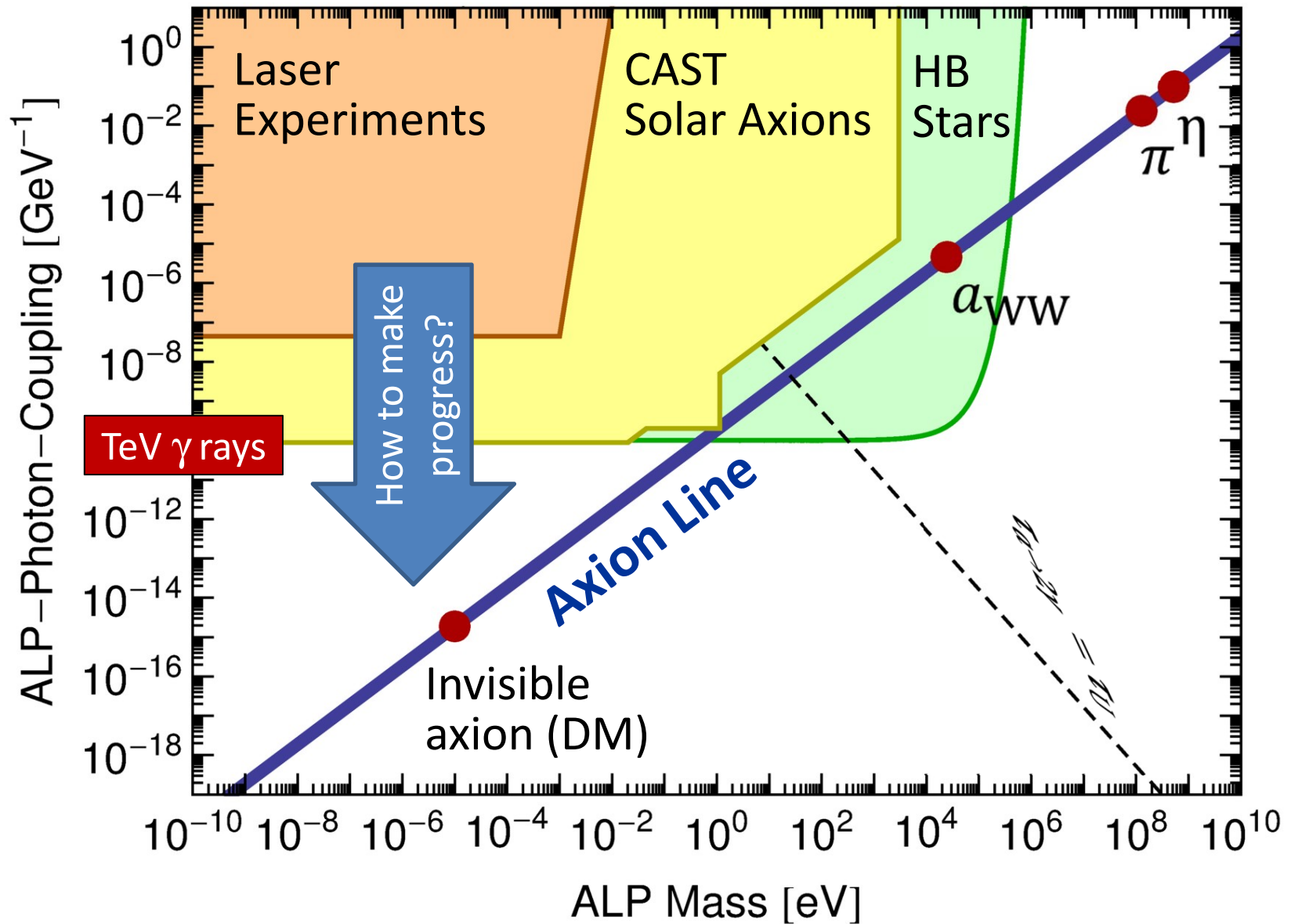
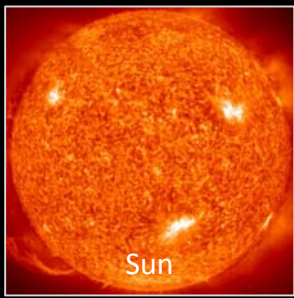


Figure from a talk by Manuel Meyer (Univ. Hamburg)



# Parameter Space for Axion-Like Particles





Sun



Globular Cluster



Supernova 1987A



Dark Matter

# SN 1987A Neutrino Signal



**Sanduleak -69 202**



**Supernova 1987A**

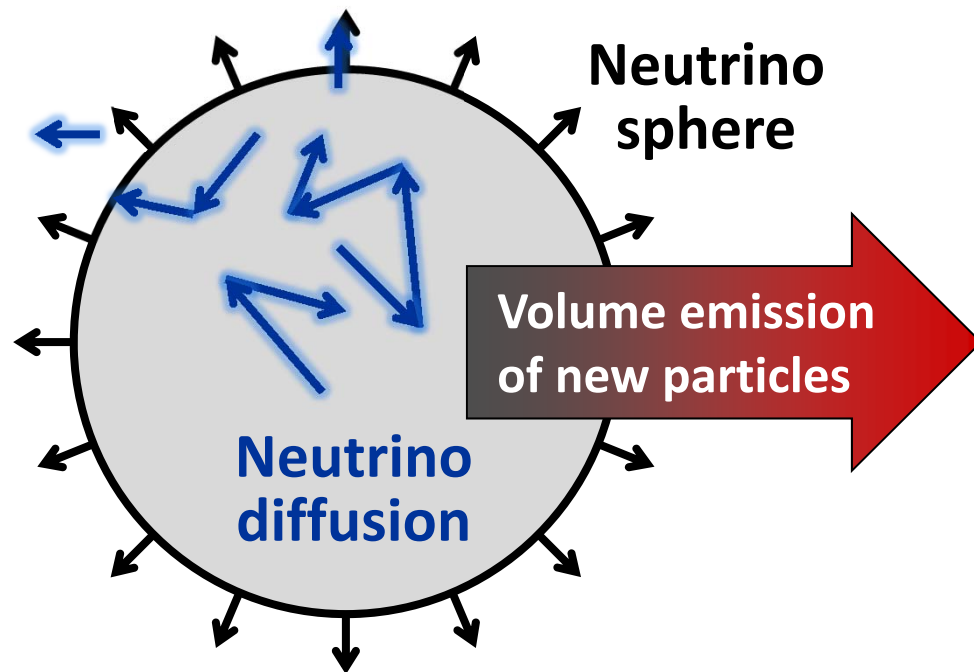
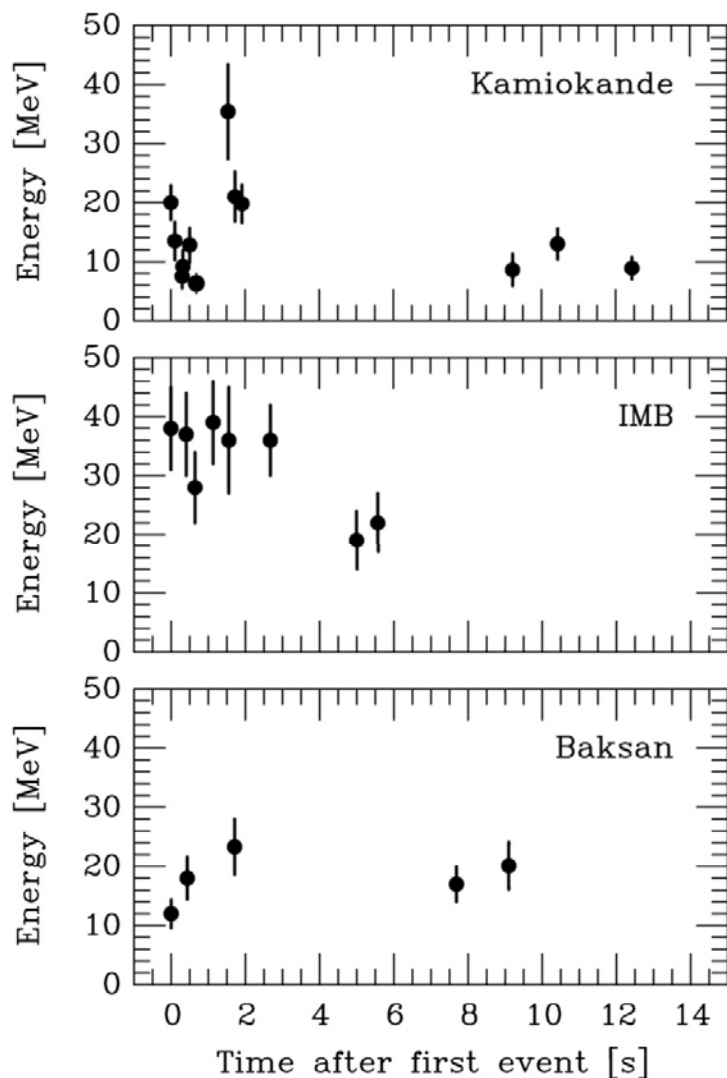
23 February 1987





# Supernova 1987A Energy-Loss Argument

## SN 1987A neutrino signal



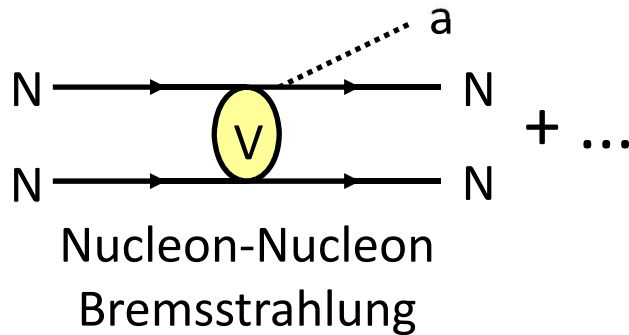
Emission of very weakly interacting particles would “steal” energy from the neutrino burst and shorten it.  
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

**Late-time signal most sensitive observable**



# Axion Emission from a Nuclear Medium

Axion-nucleon interaction:  $\mathcal{L}_{\text{int}} = \frac{C_N}{2f_a} \bar{\Psi}_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a = \frac{C_N}{2f_a} J_\mu^A \partial^\mu a$



Energy-loss rate (erg cm<sup>-3</sup> s<sup>-1</sup>)

$$Q = \int d\Gamma_a \int d\Gamma_{\text{Nucleons}} |\mathcal{M}|^2 \omega \quad (\text{axion energy } \omega)$$

$$= \left( \frac{C_N}{2f_a} \right)^2 \frac{n_B}{4\pi^2} \int_0^\infty d\omega \omega^4 S(-\omega)$$

Dynamical structure function, in nonrelativistic limit correlator of nucleon spin density operator

$$S(\omega, k) = \frac{4}{3n_B} \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle \boldsymbol{\sigma}(t, k) \cdot \boldsymbol{\sigma}(0, k) \rangle$$

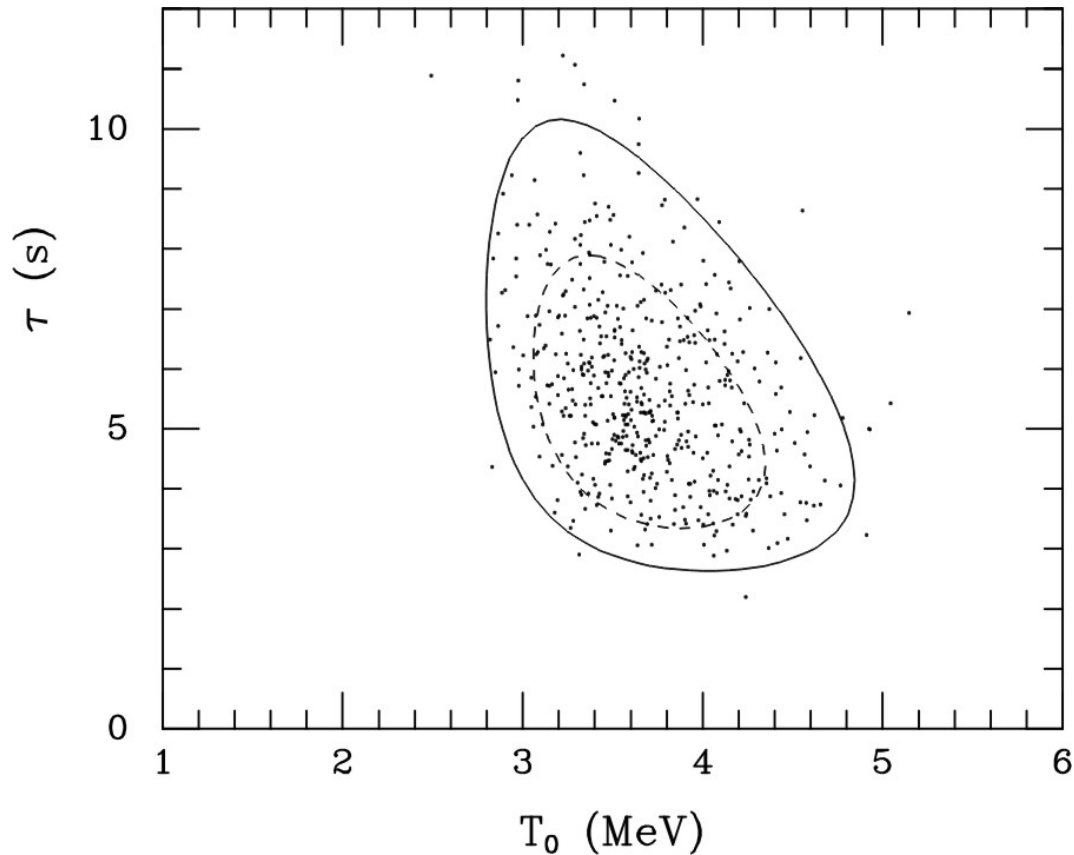
Early calculations using one-pion exchange potential without many body effects or multiple-scattering effects over-estimated emission rate, see e.g.

- Janka, Keil, Raffelt & Seckel, PRL 76:2621,1996.
- Hanhart, Phillips & Reddy, PLB 499:9, 2001.
- Bacca, Hally, Liebendörfer, Perego, Pethick & Schwenk, arXiv:1112.5185 (2011).

# Cooling Time Scale

Exponential cooling model:  $T = T_0 e^{-t/4\tau}$ , constant radius,  $L = L_0 e^{-t/\tau}$

Fit parameters are  $T_0$ ,  $\tau$ , radius, 3 offset times for KII, IMB & BST detectors

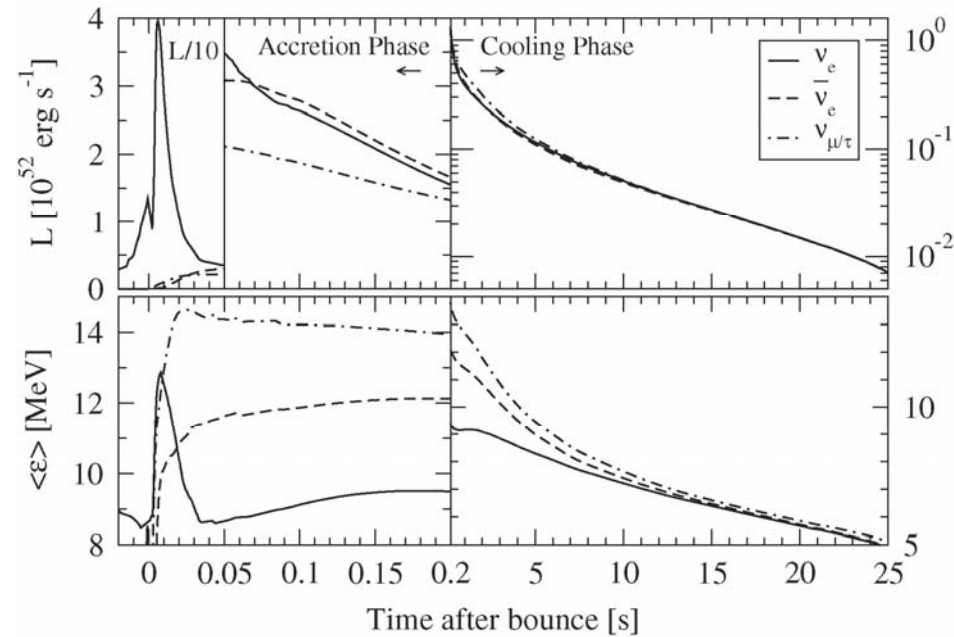
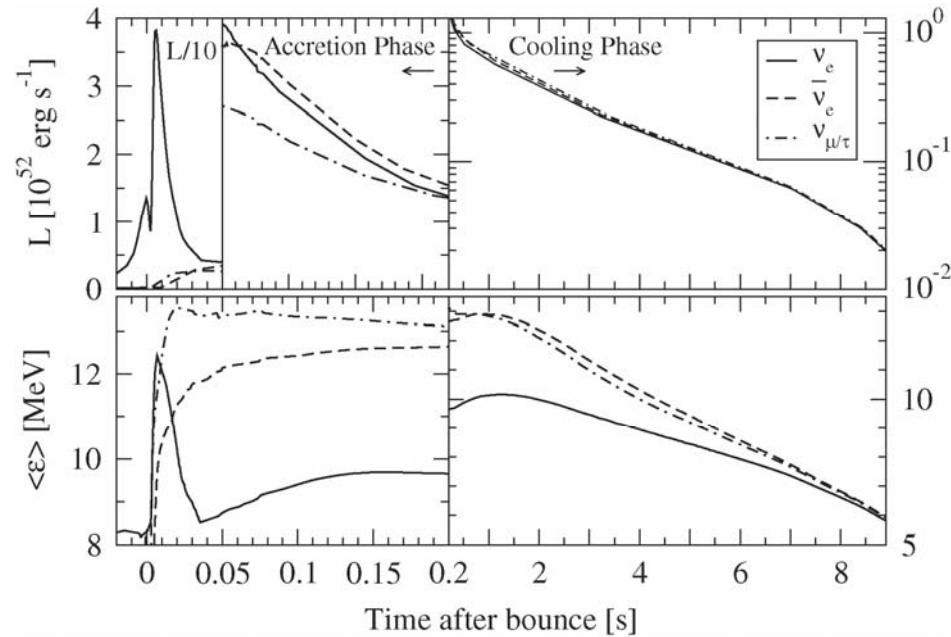


Loredo and Lamb, Bayesian analysis  
astro-ph/0107260

# Long-Term Cooling of EC SN (Garching 2009)

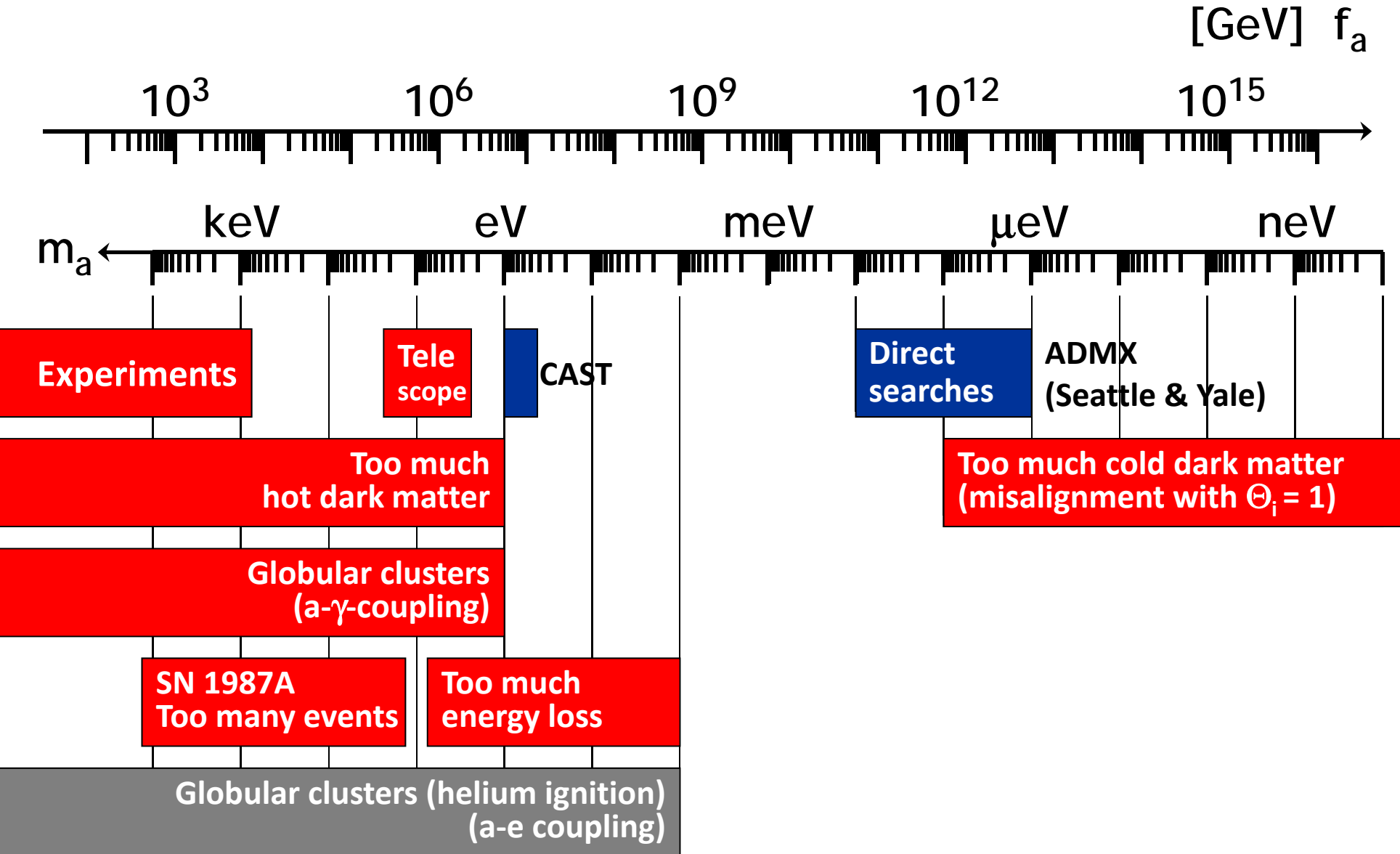
Neutrino opacities with strong  
NN correlations and nucleon  
recoil in neutrino-nucleon scattering.  
Exponential cooling with  $\tau = 2.6$  s  
Barely allowed by SN 1987A

Neutrino opacities without these effects  
(~ Basel case?)  
Much longer cooling times



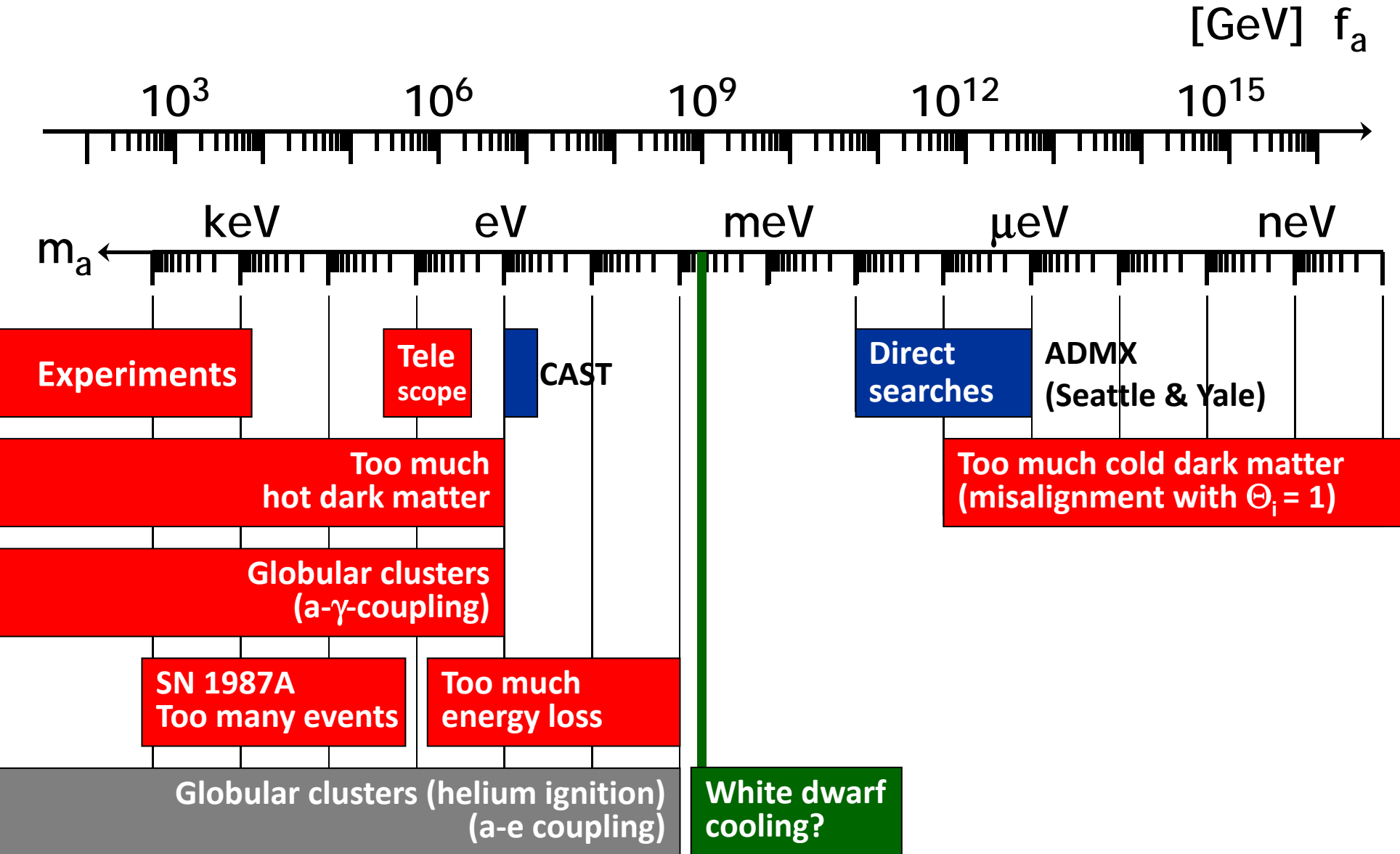
L. Hüdepohl et al. (Garching Group), arXiv:0912.0260

# Axion Bounds and Searches

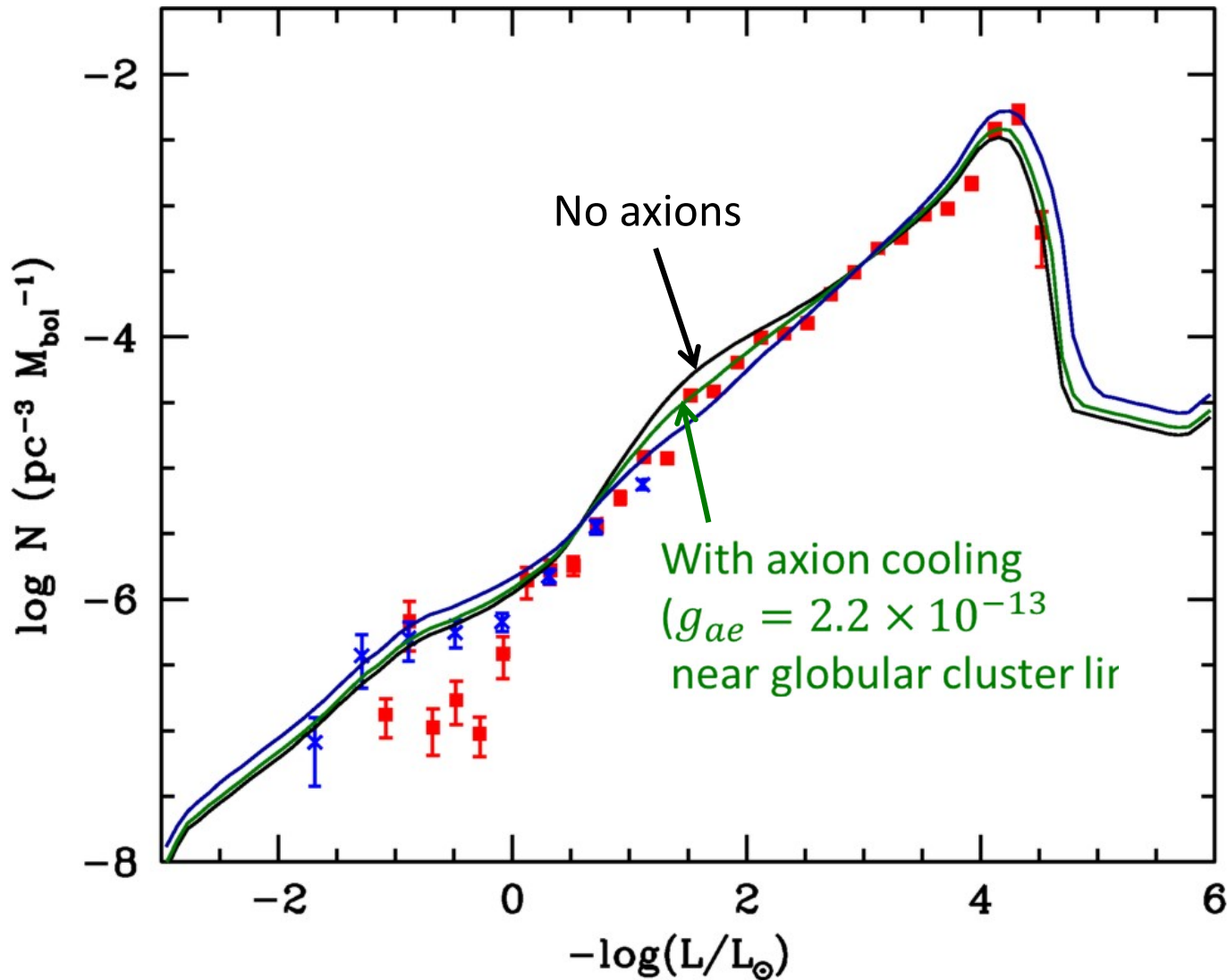




# Axion Bounds and Searches



# Do White Dwarfs Need Axion Cooling?

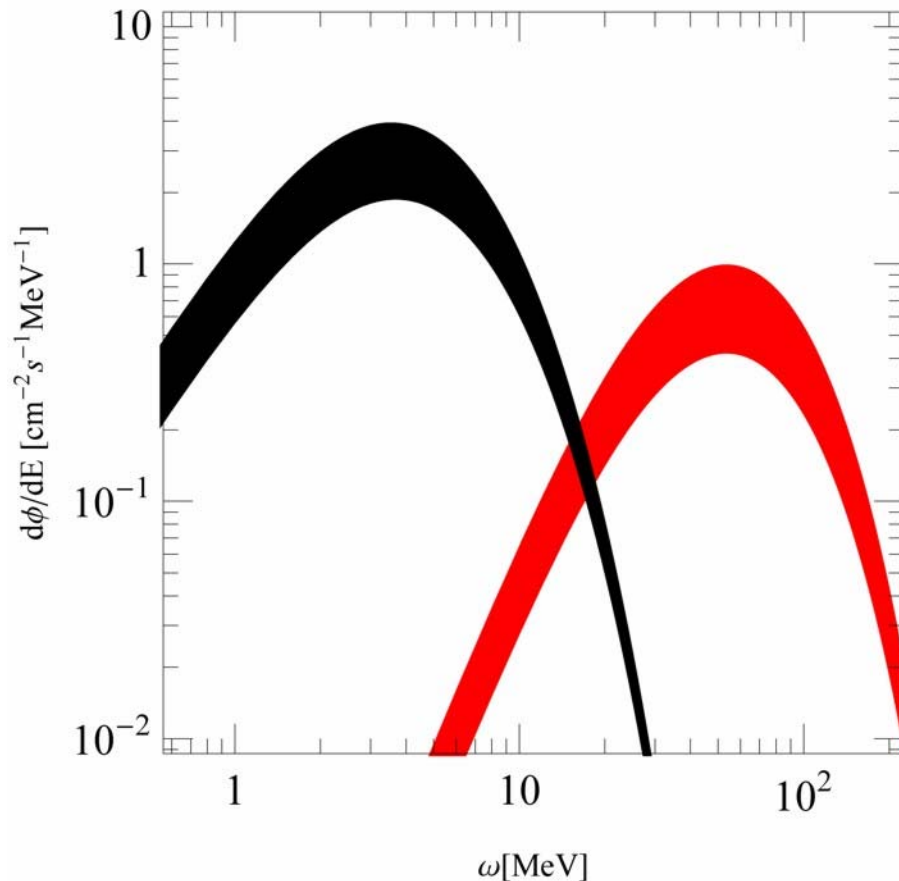


White dwarf  
luminosity function  
(number of WDs per  
brightness interval)

Isern et al.,  
arXiv:1204.3565

# Diffuse Supernova Axion Background (DSAB)

- Neutrinos from all core-collapse SNe comparable to photons from all stars
- Diffuse Supernova Neutrino Background (DSNB) similar energy density as extra-galactic background light (EBL), approx 10% of CMB energy density
- DSNB probably next astro neutrinos to be measured



- Axions with  $m_a \sim 10$  meV near SN 1987A energy-loss limit
- Provide DSAB with comparable energy density as DSNB and EBL
- No obvious detection channel

Raffelt, Redondo & Viaux  
work in progress (2011)



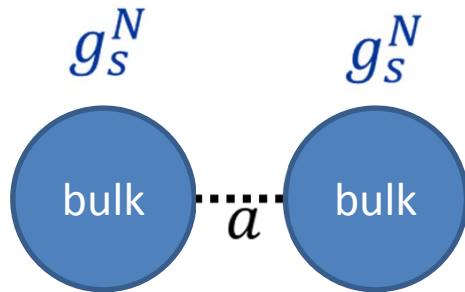
## New macroscopic forces?

J. E. Moody\* and Frank Wilczek

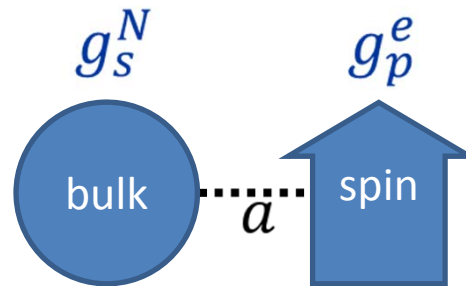
*Institute for Theoretical Physics, University of California, Santa Barbara, California 93106*

(Received 17 January 1984)

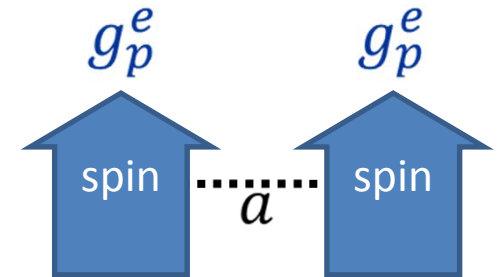
The forces mediated by spin-0 bosons are described, along with the existing experimental limits. The mass and couplings of the invisible axion are derived, followed by suggestions for experiments to detect axions via the macroscopic forces they mediate. In particular, novel tests of the  $T$ -violating axion monopole-dipole forces are proposed.



Tests of Newton's law  
& equivalence principle:  
Scalar axion coupling  $(g_s^N)^2$



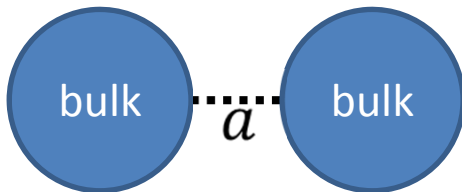
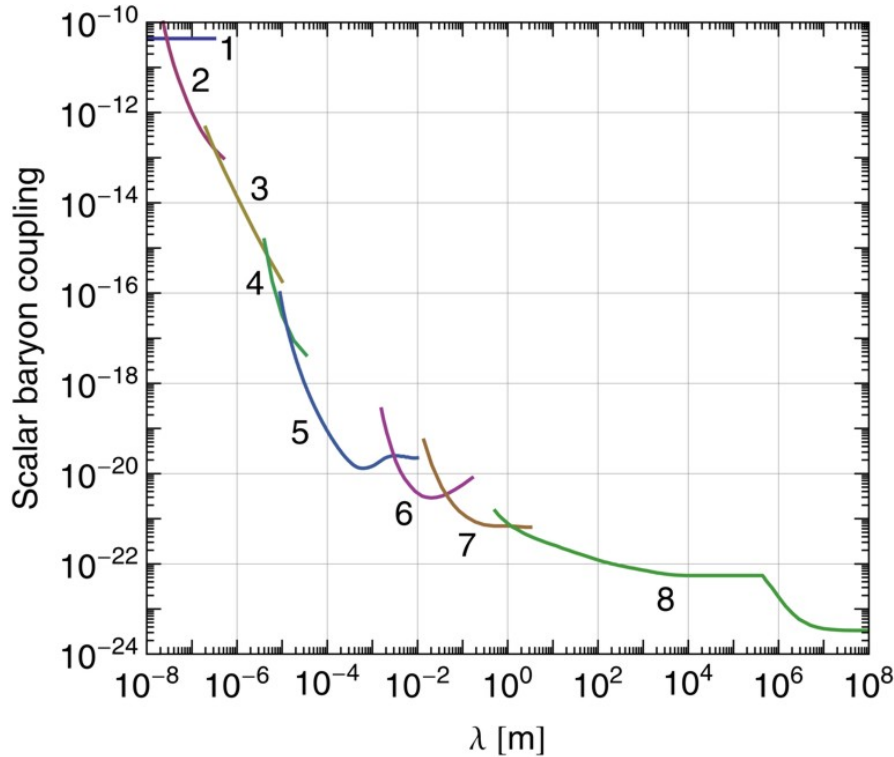
Torsion balance using  
polarized electron spins  
Axion couplings  $g_s^N g_p^e$   
 $T$ -violating force



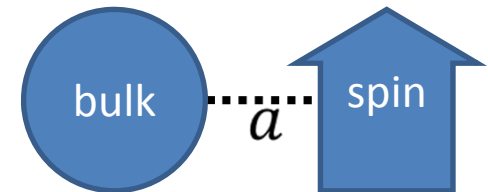
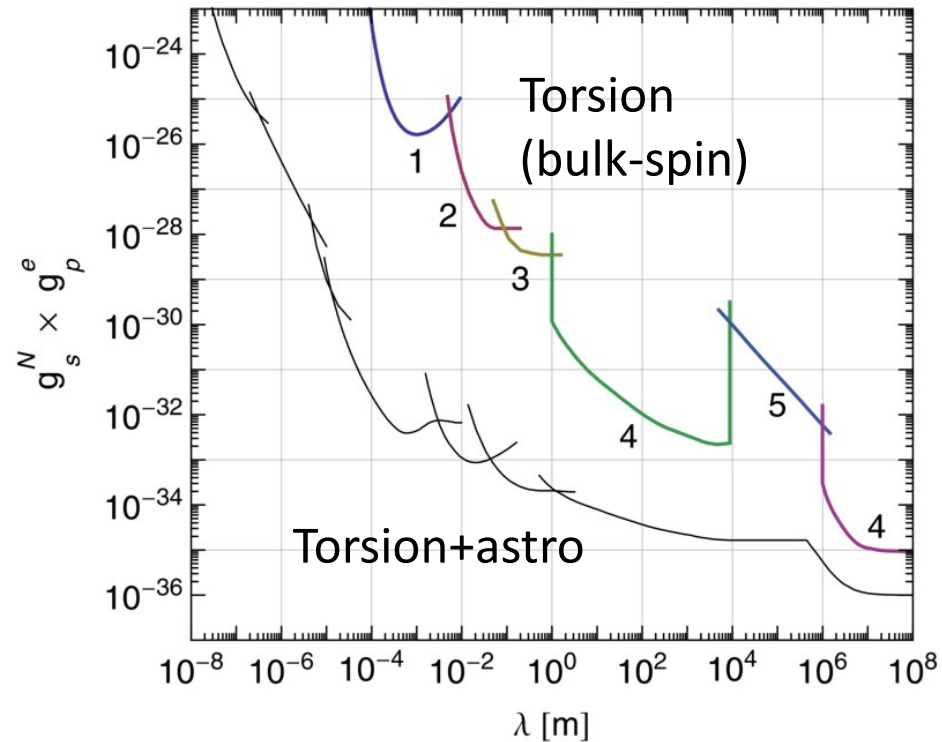
Spin-spin forces  
hard to measure  
Axion couplings  $(g_p^e)^2$

# Long-Range Force Experiments

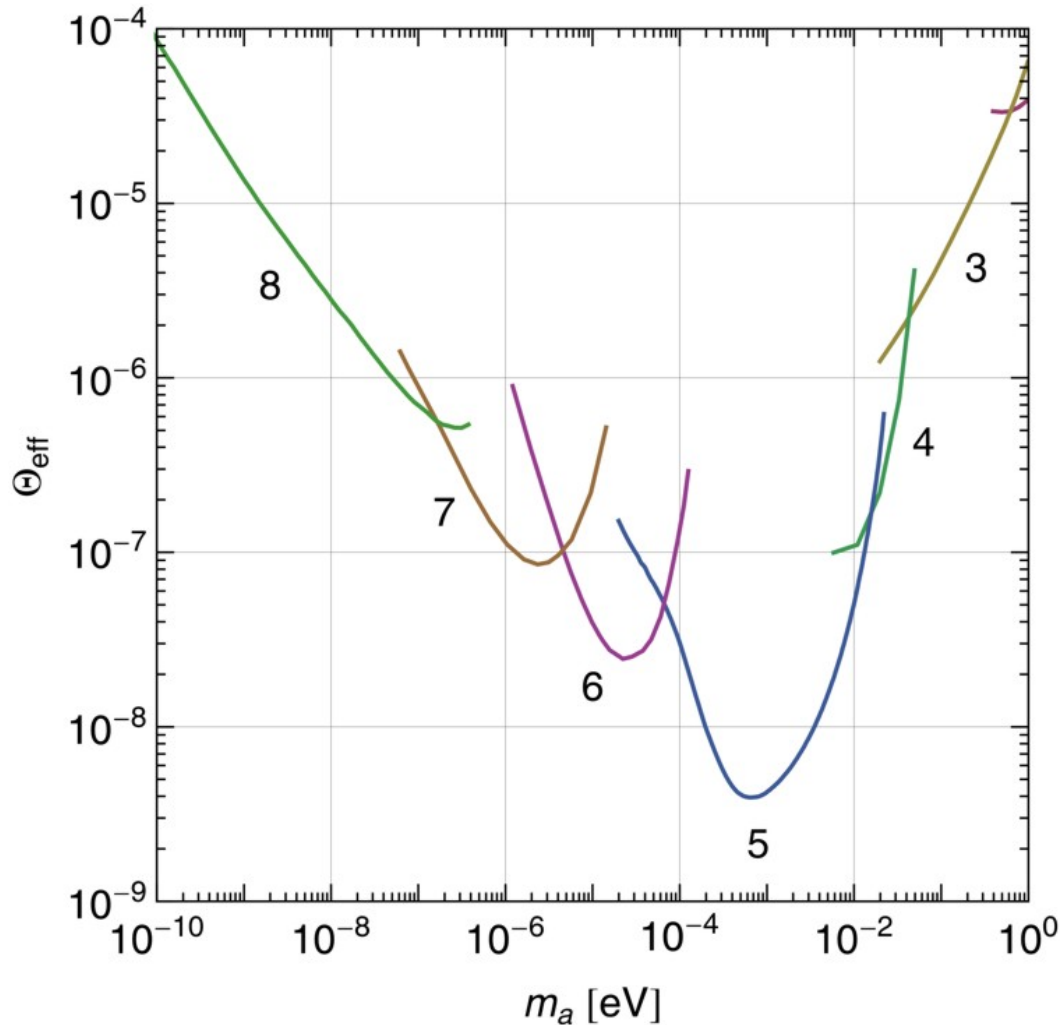
Long-range force limits from tests of Newton's law and equivalence principle (Mostly from Eöt-Wash Group, Seattle)



Limits from long-range  $g_s^N$  limits times **astrophysical  $g_p^e$  limits**, compared with direct  $g_s^N g_p^e$  constraints



# Limits on CP Violation from Long-Range Forces

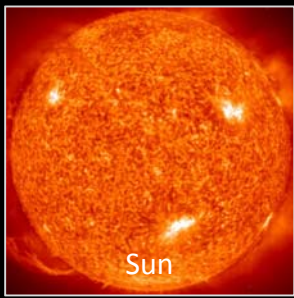


Assume axion scalar CP-violating force with nucleons

$$g_s^N = \Theta_{\text{eff}} \frac{f_\pi}{f_a} \sim \Theta_{\text{eff}} \frac{m_a}{m_\pi}$$

Eöt-Wash constraint provides best limit around  $m_a \sim 1$  meV

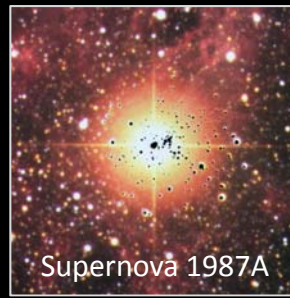




Sun



Globular Cluster



Supernova 1987A

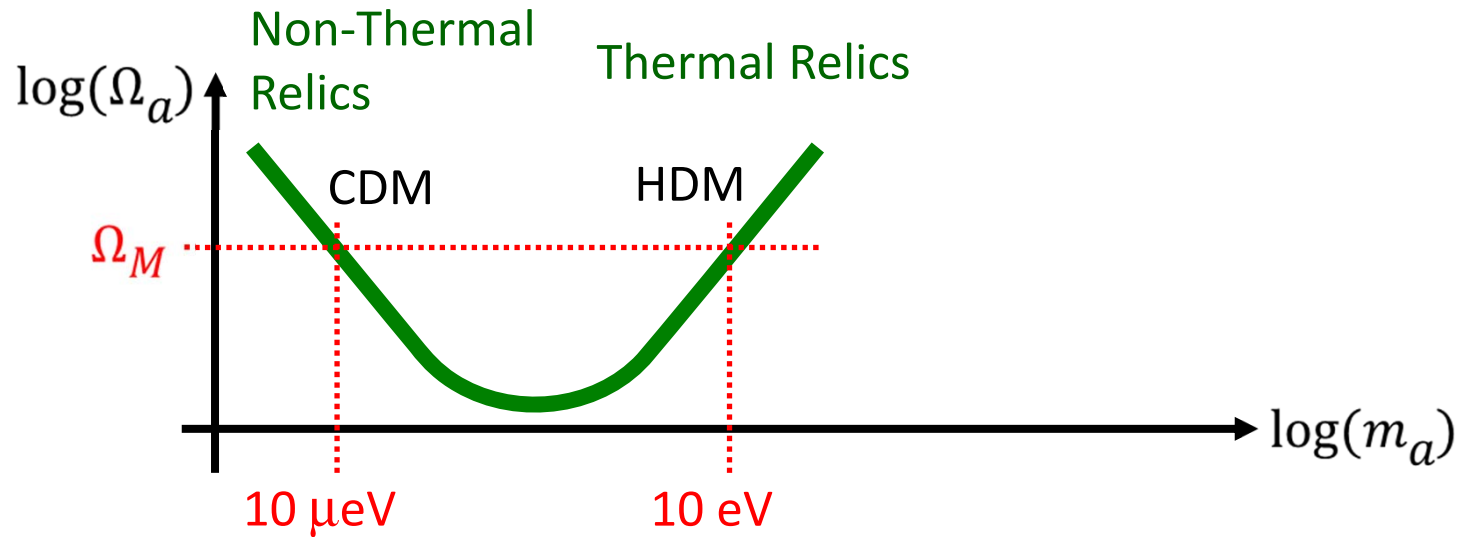


Dark Matter

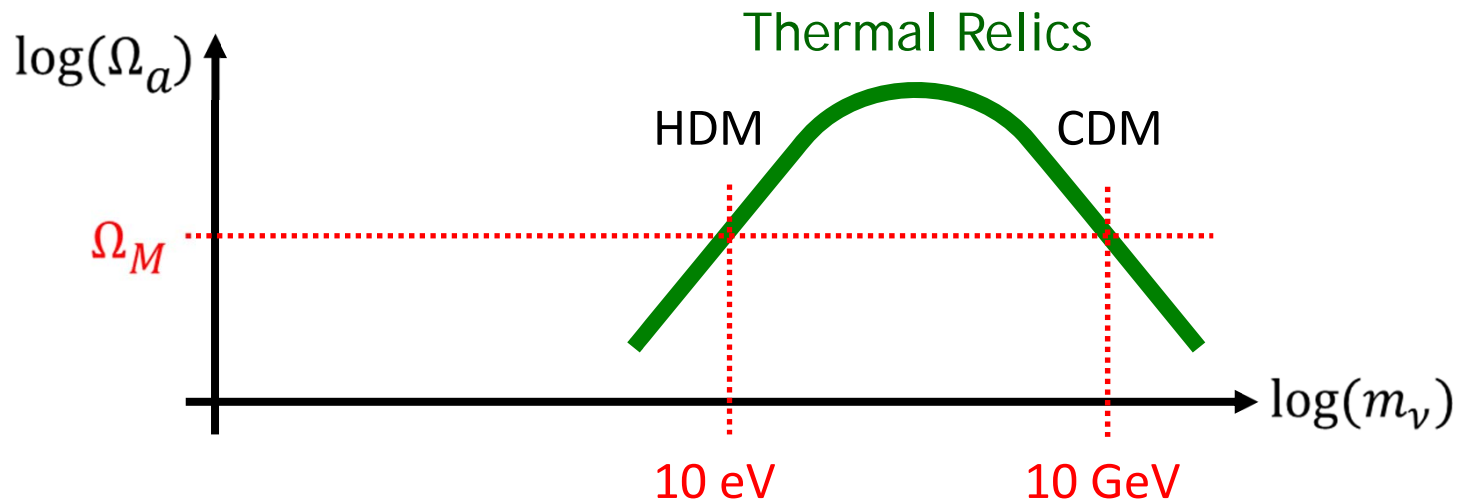
# Cosmological Constraints

# Lee-Weinberg Curve for Neutrinos and Axions

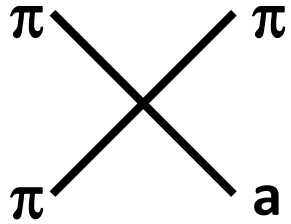
Axions



Neutrinos  
& WIMPs

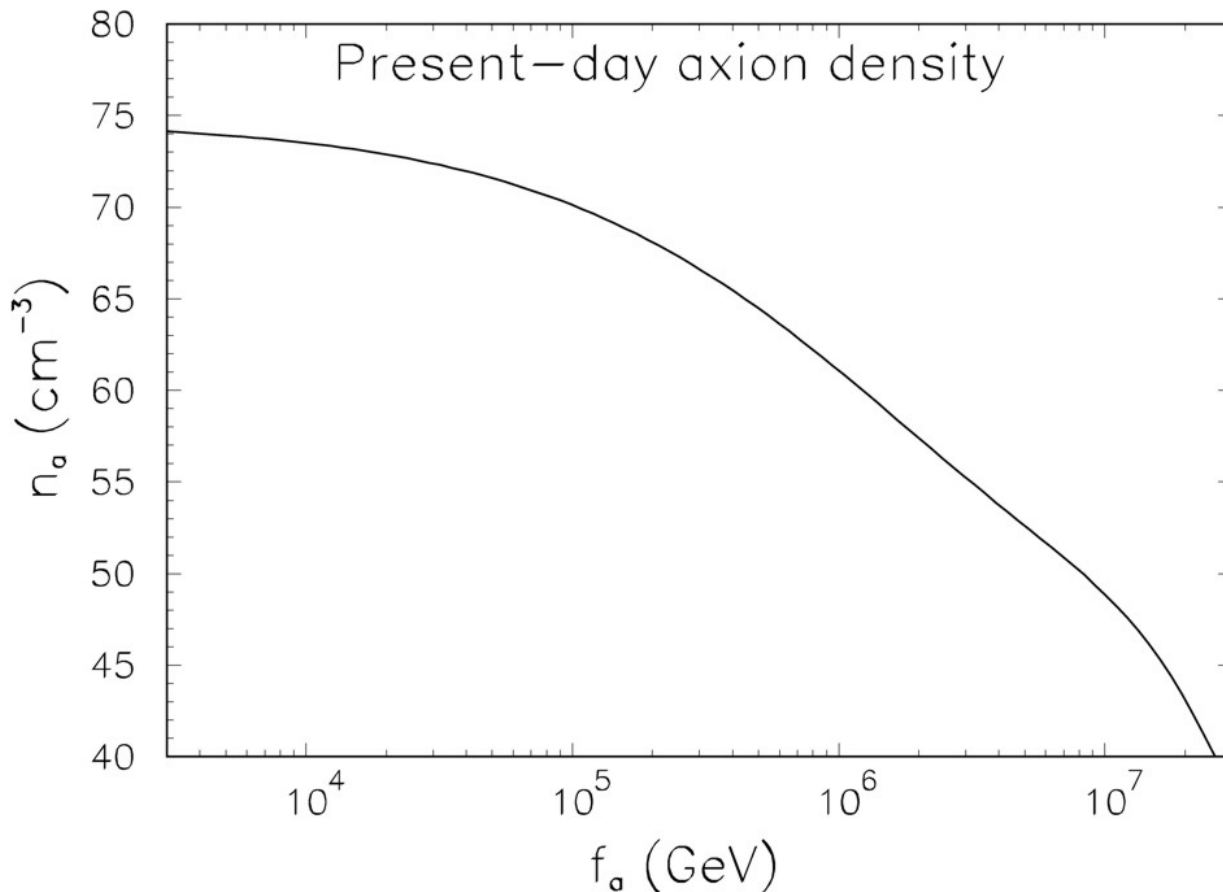


# Axion Hot Dark Matter from Thermalization after $\Lambda_{\text{QCD}}$



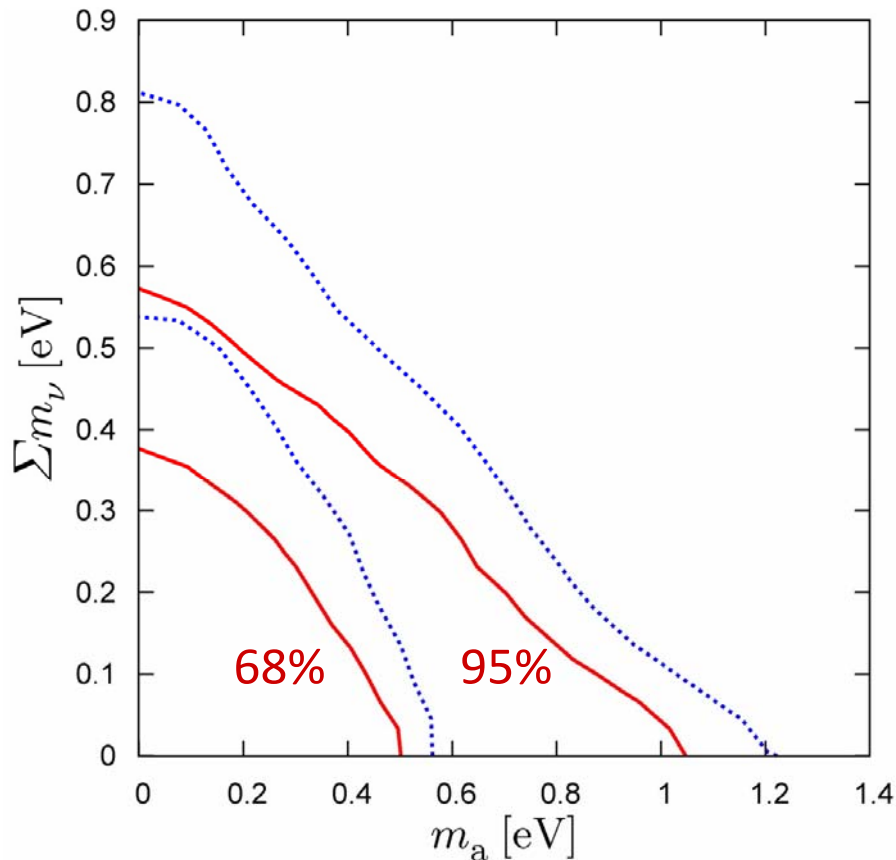
$$L_{a\pi} = \frac{C_{a\pi}}{f_a f_\pi} (\pi^0 \pi^+ \partial_\mu \pi^- + \pi^0 \pi^- \partial_\mu \pi^+ - 2\pi^+ \pi^- \partial_\mu \pi^0) \partial^\mu a$$

Chang & Choi, PLB 316 (1993) 51



Hannestad, Mirizzi  
& Raffelt,  
hep-ph/0504059

# Neutrino and Axion Hot Dark Matter Limits



**Figure 1.** 2D marginal 68% and 95% contours in the  $\sum m_\nu$ - $m_a$  plane. The blue lines correspond to our results using CMB+HPS, and the red lines using CMB+HPS+HST.

Credible regions for neutrino plus axion hot dark matter (WMAP-7, SDSS, HST)  
Hannestad, Mirizzi, Raffelt & Wong [arXiv:1004.0695]

Marginalizing over neutrino hot dark matter component

$$m_a < 0.7 \text{ eV (95\% CL)}$$

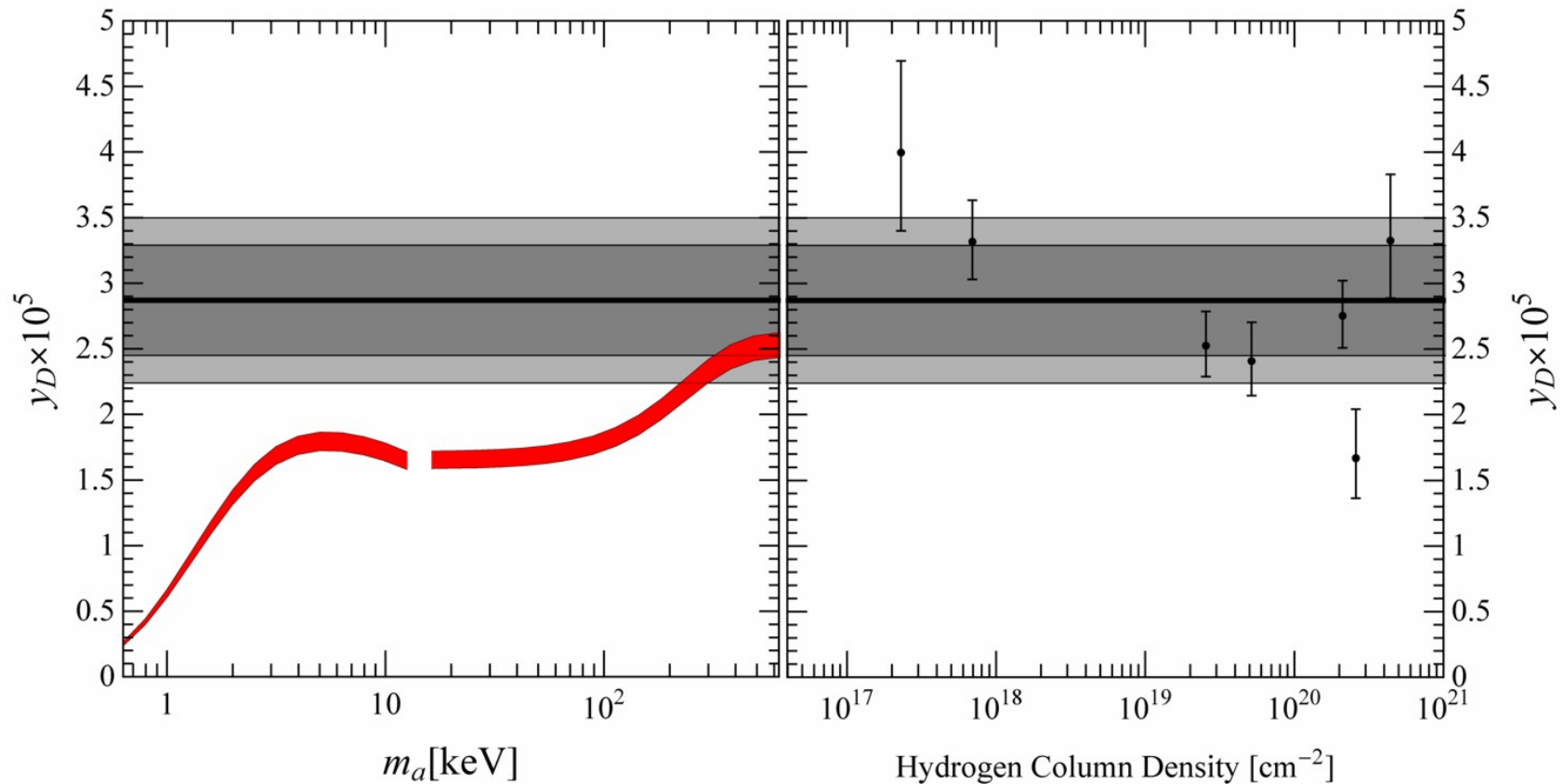
Assuming no axions

$$\sum m_\nu < 0.4 \text{ eV (95\% CL)}$$



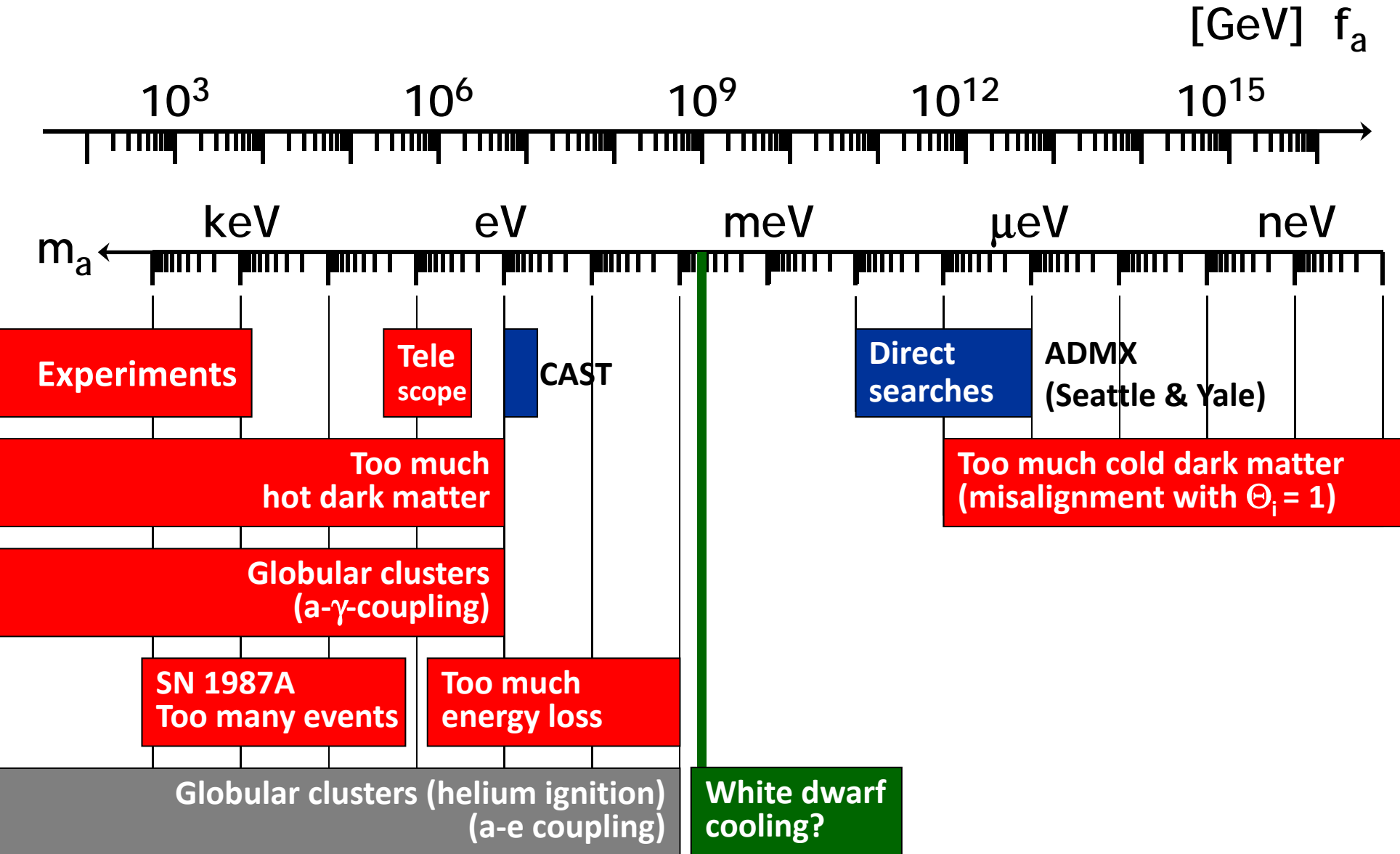
# BBN limits on sub-MeV mass axions

- Axions essentially in thermal equilibrium throughout BBN
- $e^+e^-$  annihilation partly heats axions  $\rightarrow$  missing photons
- Reduced photon/baryon fraction during BBN
- Reduced deuterium abundance, using WMAP baryon fraction



Cadamuro, Hannestad, Raffelt & Redondo, arXiv:1011.3694 (JCAP)

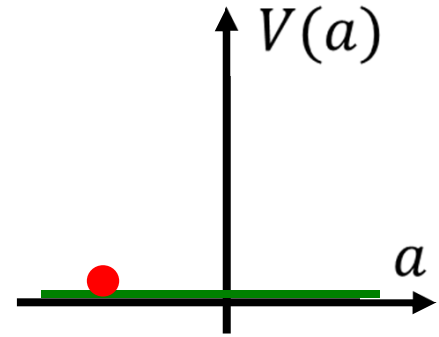
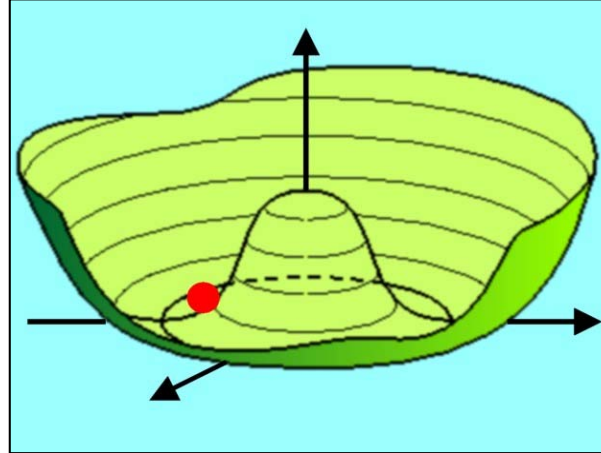
# Axion Bounds and Searches



# Creation of Cosmological Axions

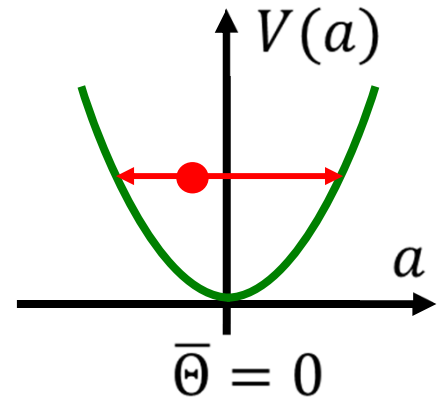
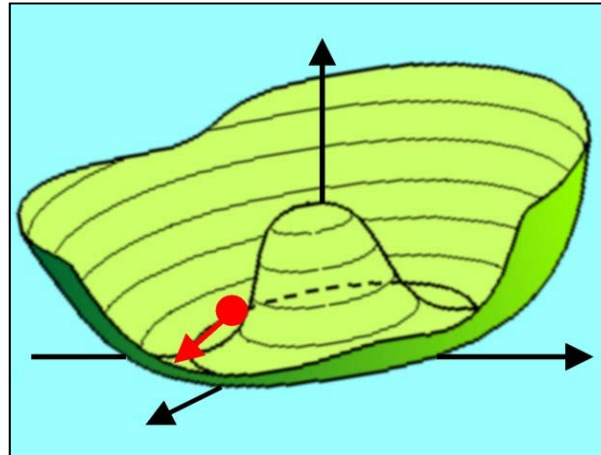
$T \sim f_a$  (very early universe)

- $U_{PQ}(1)$  spontaneously broken
- Higgs field settles in “Mexican hat”
- Axion field sits fixed at  $a_i = \Theta_i f_a$



$T \sim 1 \text{ GeV}$  ( $H \sim 10^{-9} \text{ eV}$ )

- Axion mass turns on quickly by thermal instanton gas
- Field starts oscillating when  $m_a \gtrsim 3H$
- Classical field oscillations (axions at rest)



**Axions are born as nonrelativistic, classical field oscillations**  
**Very small mass, yet cold dark matter**

# Cosmic Axion Density

Modern values for QCD parameters and temperature-dependent axion mass imply (Bae, Huh & Kim, arXiv:0806.0497)

$$\Omega_a h^2 = 0.195 \Theta_i^2 \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{1.184} = 0.105 \Theta_i^2 \left( \frac{10 \mu\text{eV}}{m_a} \right)^{1.184}$$

If axions provide the cold dark matter:  $\Omega_a h^2 = 0.11$

$$\Theta_i = 0.75 \left( \frac{10^{12} \text{GeV}}{f_a} \right)^{0.592} = 1.0 \left( \frac{m_a}{10 \mu\text{eV}} \right)^{0.592}$$

- $\Theta_i \sim 1$  implies  $f_a \sim 10^{12}$  GeV and  $m_a \sim 10 \mu\text{eV}$  (“classic window”)
- $f_a \sim 10^{16}$  GeV (GUT scale) or larger (string inspired) requires  $\Theta_i \lesssim 0.003$  (“anthropic window”)



# Axion Cosmology in PLB 120 (1983)

## THE NOT-SO-HARMLESS AXION

Michael DINE

*The Institute for Advanced Study, Princeton, NJ 08540, USA*

and

Willy FISCHLER

*Department of Physics*

Received 17 September 1982

Received manuscript

Cosmological aspects discussed by Sikivie is needed to give an upper bound

## A COSMOLOGICAL BOUND ON THE INVISIBLE AXION

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*Particle Theory*

Received 14 September 1982

The production of axions with  $f_a \lesssim 10^9$  GeV are found

## COSMOLOGY OF THE INVISIBLE AXION

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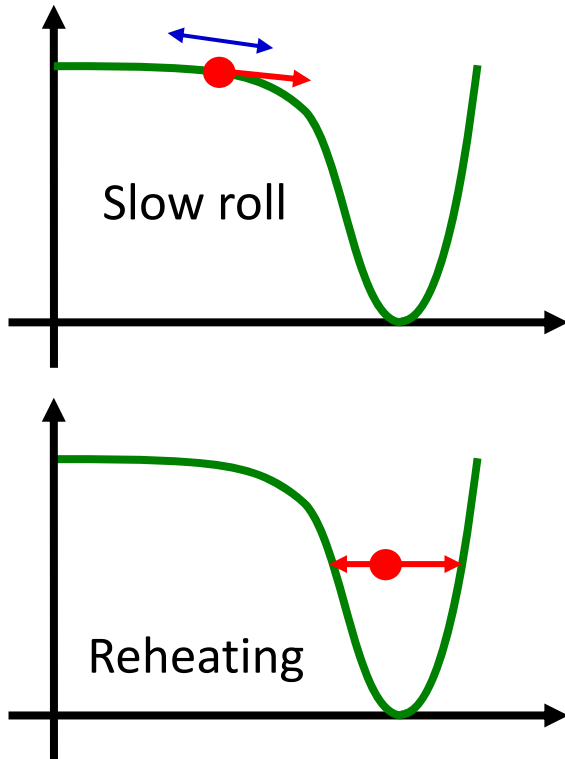
Received 10 September 1982

We identify a new cosmological problem for models which solve the strong  $CP$  puzzle with an invisible axion, unrelated to the domain wall problem. Because the axion is very weakly coupled, the energy density stored in the oscillations of the classical axion field does not dissipate rapidly; it exceeds the critical density needed to close the universe unless  $f_a \lesssim 10^{12}$  GeV, where  $f_a$  is the axion decay constant. If this bound is saturated, axions may comprise the dark matter of the universe.

# Creation of Adiabatic vs. Isocurvature Perturbations

## Inflaton field

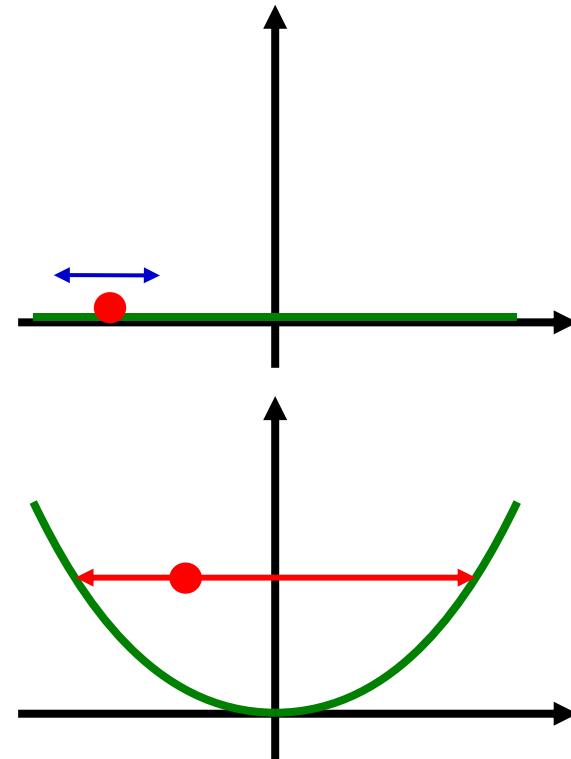
De Sitter expansion imprints  
scale invariant fluctuations



Inflaton decay  $\rightarrow$  matter & radiation  
Both fluctuate the same:  
Adiabatic fluctuations

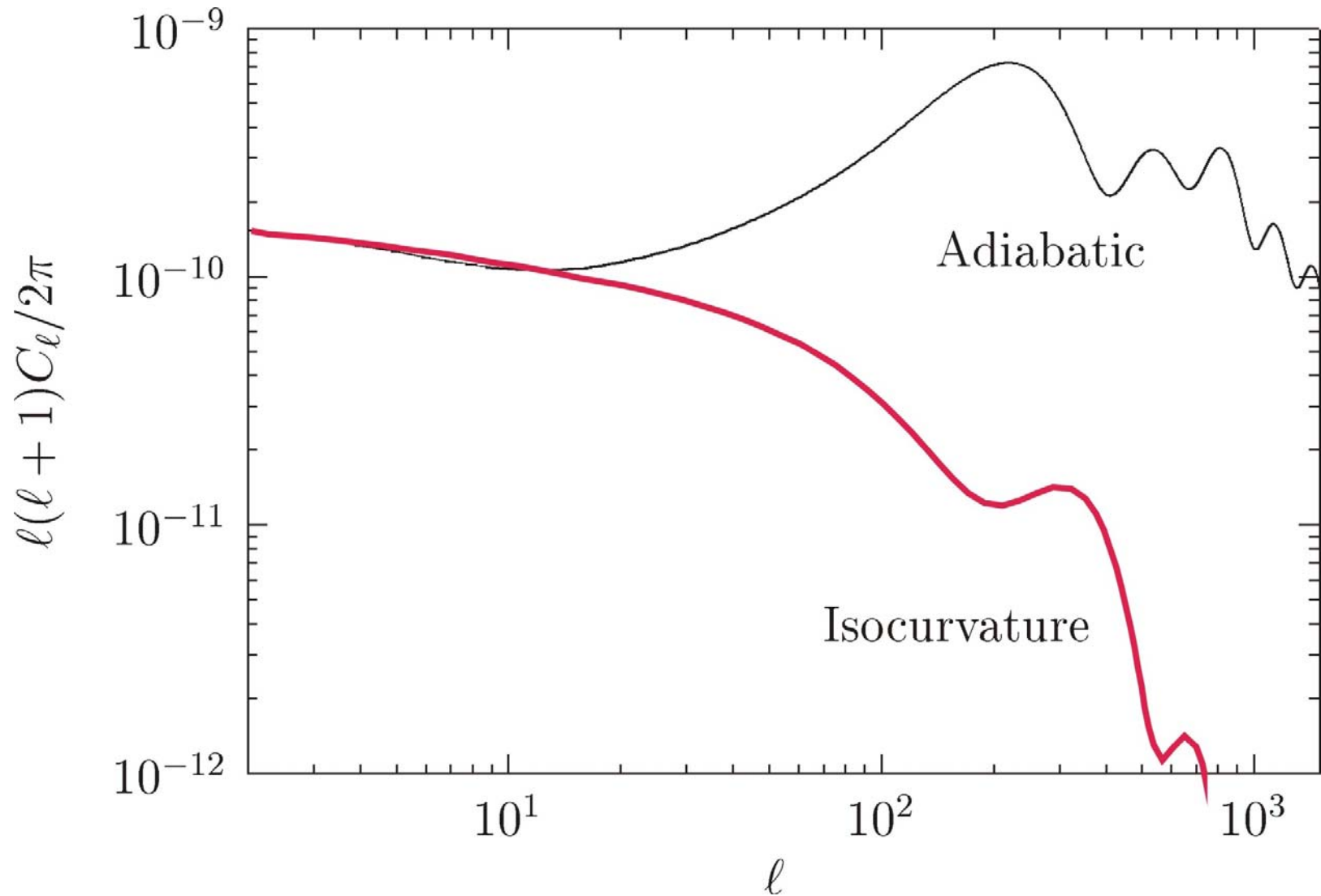
## Axion field

De Sitter expansion imprints  
scale invariant fluctuations



Inflaton decay  $\rightarrow$  radiation  
Axion field oscillates late  $\rightarrow$  matter  
Matter fluctuates relative to radiation:  
Entropy fluctuations

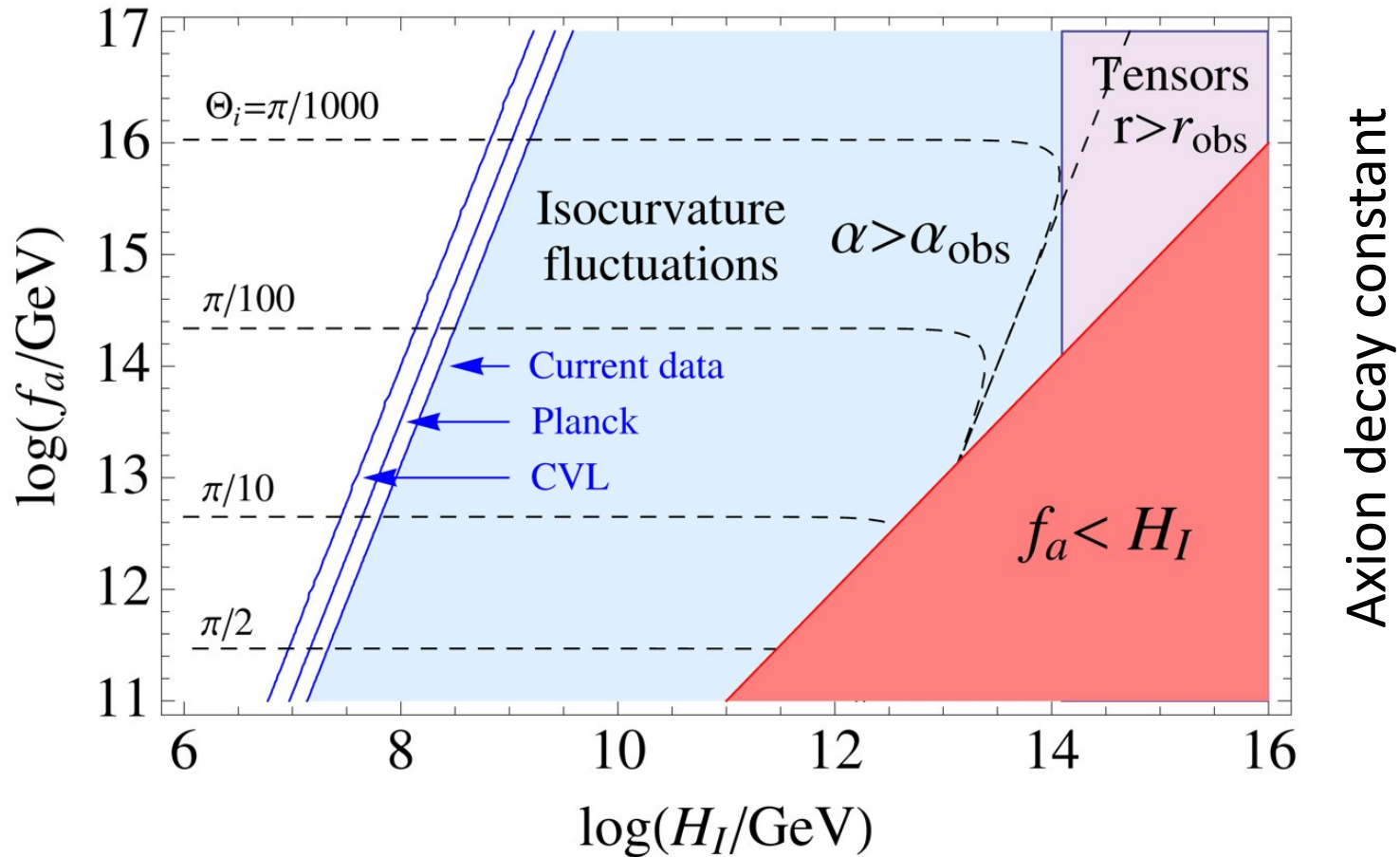
# Adiabatic vs. Isocurvature Temperature Fluctuations



Adapted from Fox, Pierce & Thomas, hep-th/0409059

# Isocurvature Forecast

Hubble scale during inflation



Hamann, Hannestad, Raffelt & Wong, arXiv:0904.0647



# Cold Axion Populations

## Case 1

### Inflation after PQ symmetry breaking

Homogeneous mode oscillates after

$$T \lesssim \Lambda_{\text{QCD}}$$

Dependence on initial misalignment angle

$$\Omega_a \propto \Theta_i^2$$

Dark matter density a cosmic random number (“environmental parameter”)

- Isocurvature fluctuations from large quantum fluctuations of massless axion field created during inflation
- Strong CMB bounds on isocurvature fluctuations
- Scale of inflation required to be small

## Case 2

### Reheating restores PQ symmetry

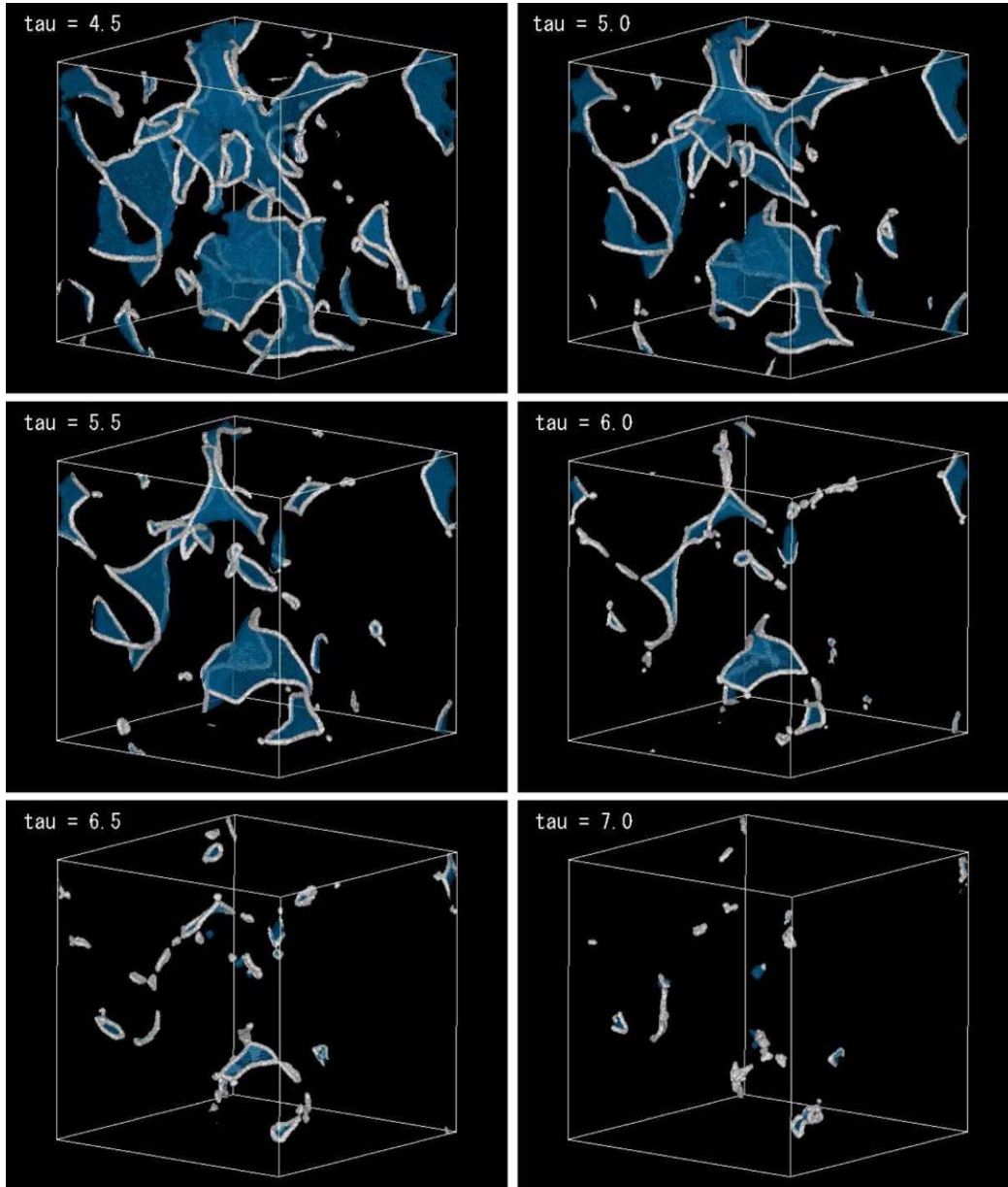
- Cosmic strings of broken  $U_{\text{PQ}}(1)$  form by Kibble mechanism
- Radiate long-wavelength axions
- $\Omega_a$  independent of initial conditions
- $N = 1$  or else domain wall problem

Inhomogeneities of axion field large, self-couplings lead to formation of mini-clusters

Typical properties

- Mass  $\sim 10^{-12} M_{\text{sun}}$
- Radius  $\sim 10^{10}$  cm
- Mass fraction up to several 10%

# Axion Production by Domain Wall and String Decay



Recent numerical studies of collapse of string-domain wall system

$$\Omega_a h^2 = (16 \pm 6) \left( \frac{f_a}{10^{12} \text{ GeV}} \right)^{1.19} \times \left( \frac{g_{*,1}}{70} \right)^{-0.41} \left( \frac{\Lambda}{400 \text{ MeV}} \right)$$

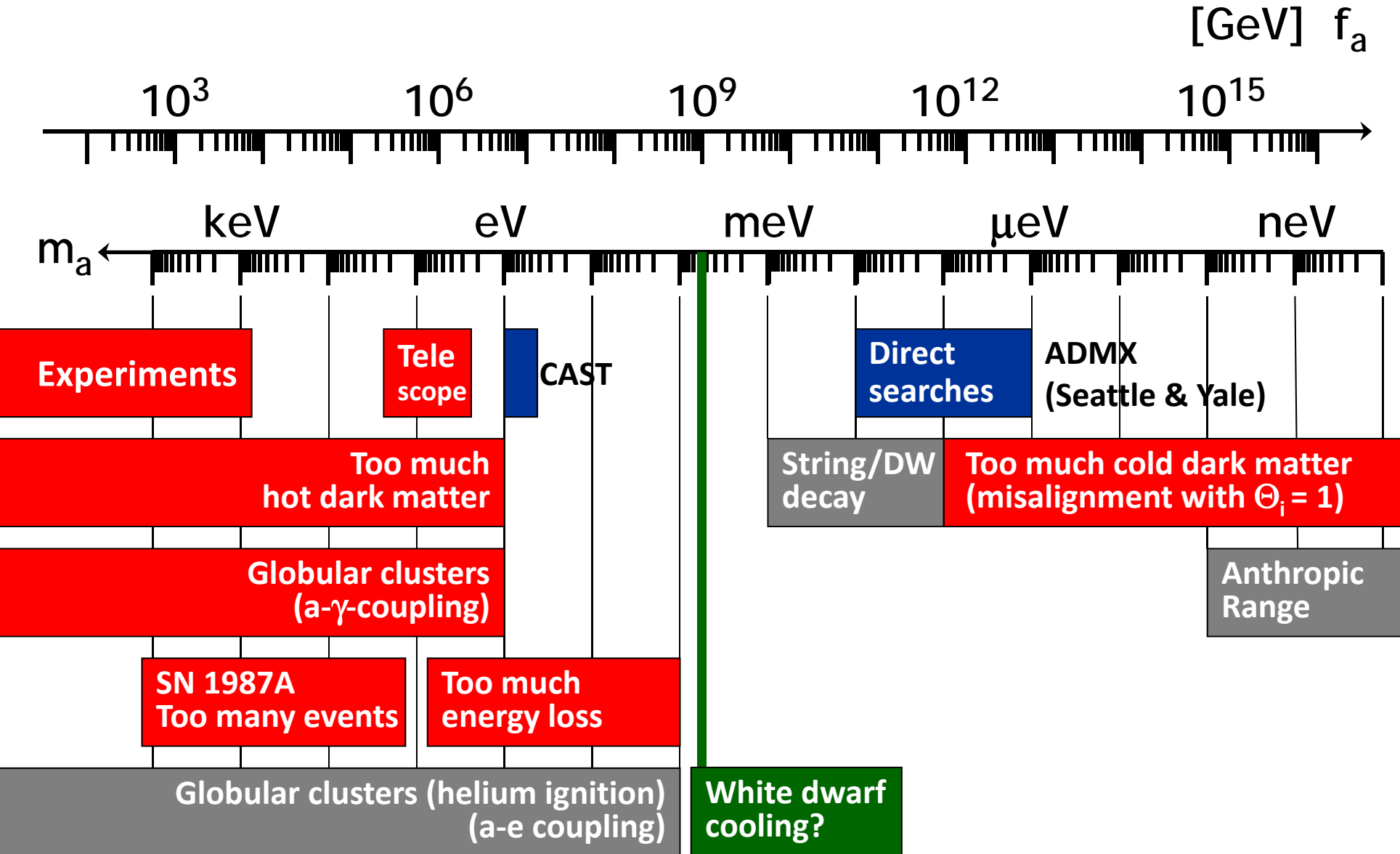
Implies a CDM axion mass of

$$m_a \sim 1 \text{ meV}$$

Hiramatsu, Kawasaki, Saikawa, Sekiguchi, arXiv:1202.5851 (2012)

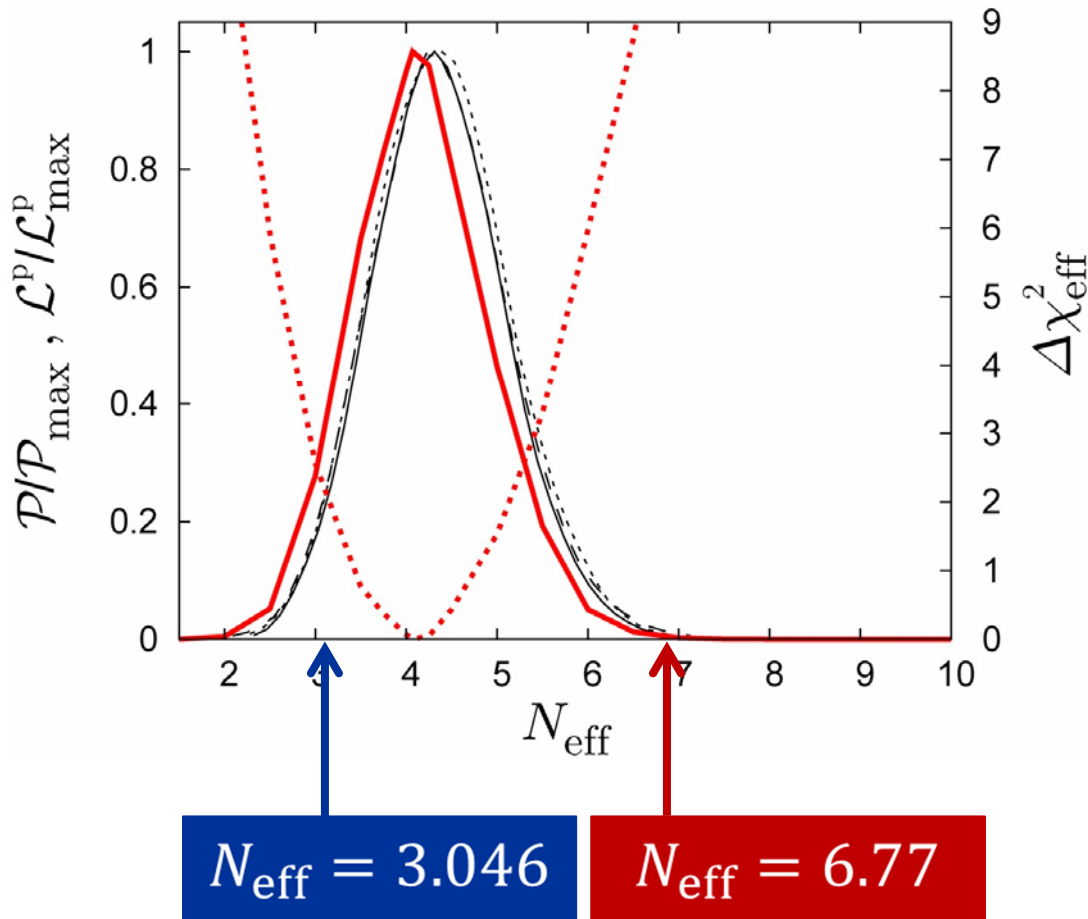
Remains to be confirmed, interpretation of numerical studies not entirely straightforward

# Axion Bounds and Searches



# Excluding CDM Axions With Radiation Density?

Cosmic radiation density derived from data of WMAP-7+ACT+HST (Hamann, arXiv:1110.4271), PLANCK will settle (Paper expected Jan 2013)



CDM axions reaching thermal equilibrium with photons after BBN?

Sucks up photons, increases effective neutrino density.

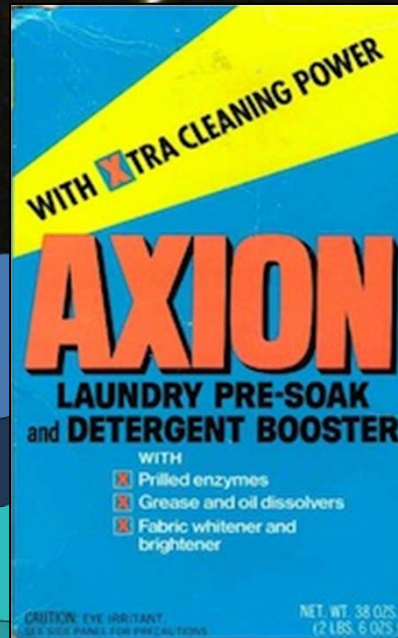
(Erken, Sikivie, Tam & Yang arXiv:1104.4507, PRL 2012)

CDM axions excluded?

My opinion: Doubts about axion-photon thermalization.



**Dark Energy 73%**  
**(Cosmological Constant)**



**Ordinary Matter 4%**  
**(of this only about 10% luminous)**

**Dark Matter 23%**

**Neutrinos 0.1–2%**