

X-ray optics for axion physics



Vistas in Axion Physics

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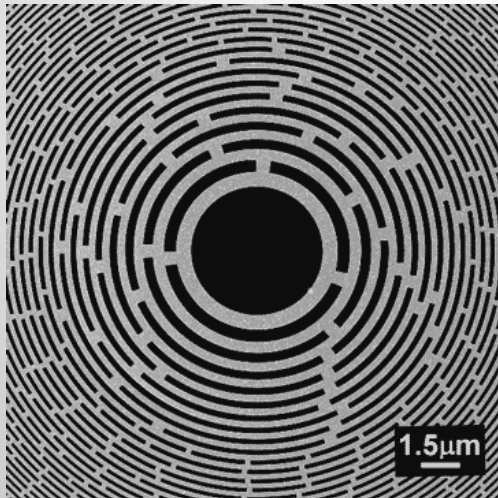
X-RAY OPTICS PRIMER



X-ray optics rely on a wide range of phenomena

Diffraction

- Gratings
- Fresnel zone plates



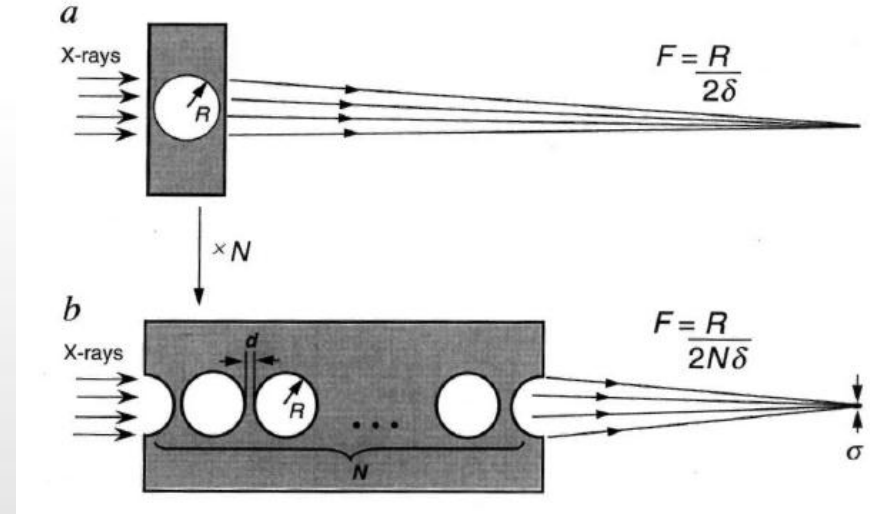
CXRO/LBNL www.cxro.lbl.gov

Absorption

- Pinholes
- Anger cameras
- Coded apertures



KP Ziock et al. Proc IEEE
NSS, (2003)



A Snigirev et al. *Nature*, 384, 49, (1996)

Refraction

- Compound refractive lens

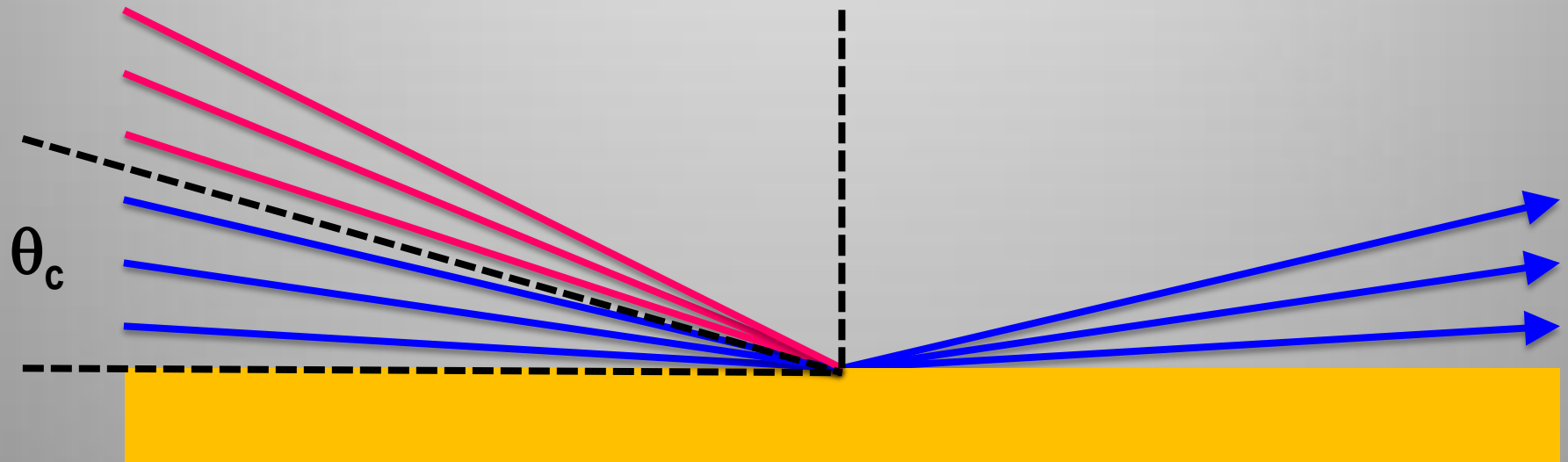
Reflection

- Capillary optics
- Mirrors

Today's talk

Reflective x-ray optics

- Compton first discovered X-rays incident at small glancing angles are totally reflected
 - “The Total Reflexion of X-rays”, *Philosophical Magazine*, **45**, 1121, (1923)
- Index of refraction for high energy photons $n = 1 - \delta - i\beta$
- Total external reflection of light occurs when the incident angle is less than the critical angle $\theta_c = \sqrt{2\delta} \propto \sqrt{Z} / E$.
- Critical angle drops rapidly with energy $\theta_c \sim E^{-1}$.



Original reflective x-ray optic concepts

“Formation of Optical Images by X-rays”

P Kirkpatrick, AV Baez

J Opt Soc Amer, 38, 766, (1948)

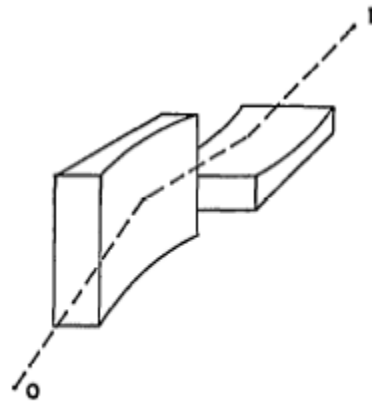


FIG. 11. Arrangement of concave mirrors to produce real images of extended objects with incidence at small grazing angles.

- Two (or more) concave, spherical mirrors
- Does not meet the Abbe sine rule

“Spiegelsysteme streifenden Einfalls als abbildende Optiken für Röntgenstrahlen”

H Wolter, *Phys Ann* 10, 94, (1952)

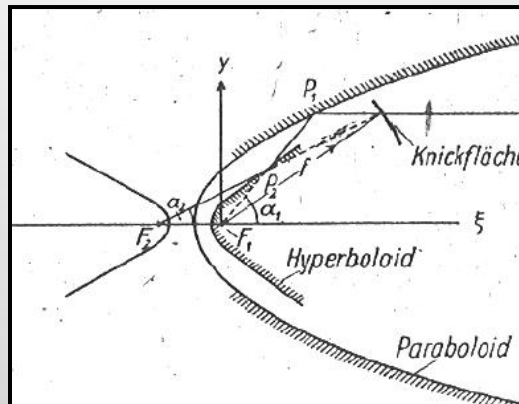


Abb. 15. Spiegelsystem 2. Art

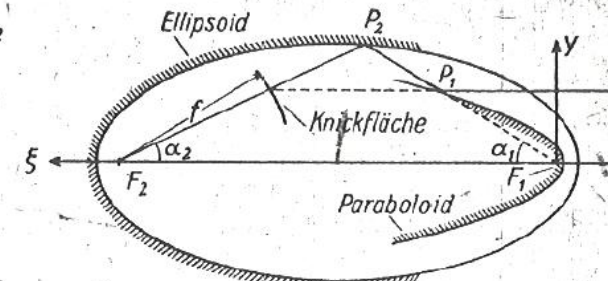


Abb. 16. Spiegelsystem 3. Art

- Even number of conic surfaces of revolutions (hyperbola+parabola, hyperbola+ellipse, etc.)
- Nearly satisfies Abbe sine rule
- Significant increase in solid angle (compared to KB)

Wolter optics for x-ray astronomy

- Wolter originally proposed his designs for an x-ray microscope for biology
 - Not possible to fabricate in the 1950s
- Idea later adopted by Giacconi *et al.* for astronomy
 - Nobel prize in 2002
- Key innovation was the realization that mirrors could be nested inside one another to increase collecting area (solid angle)

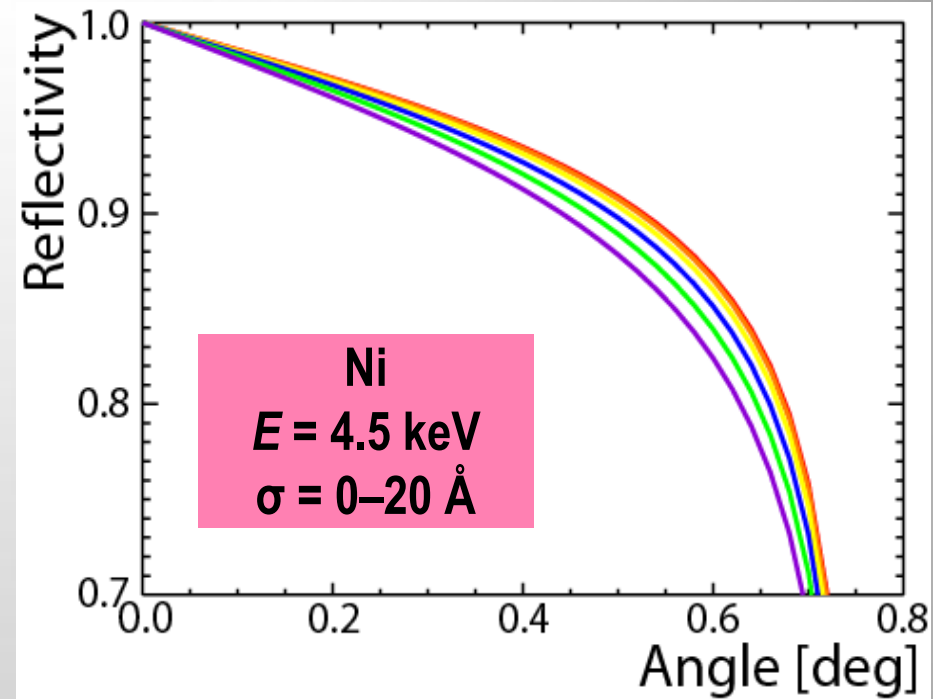
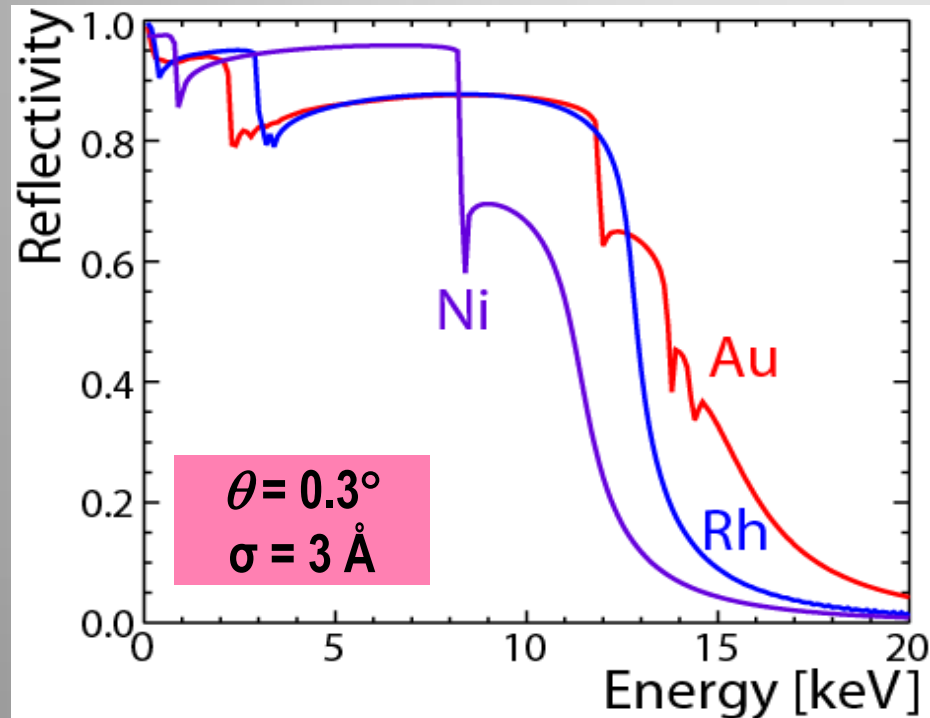
Credit: NASA



X-ray reflectivity

Limited to materials that:

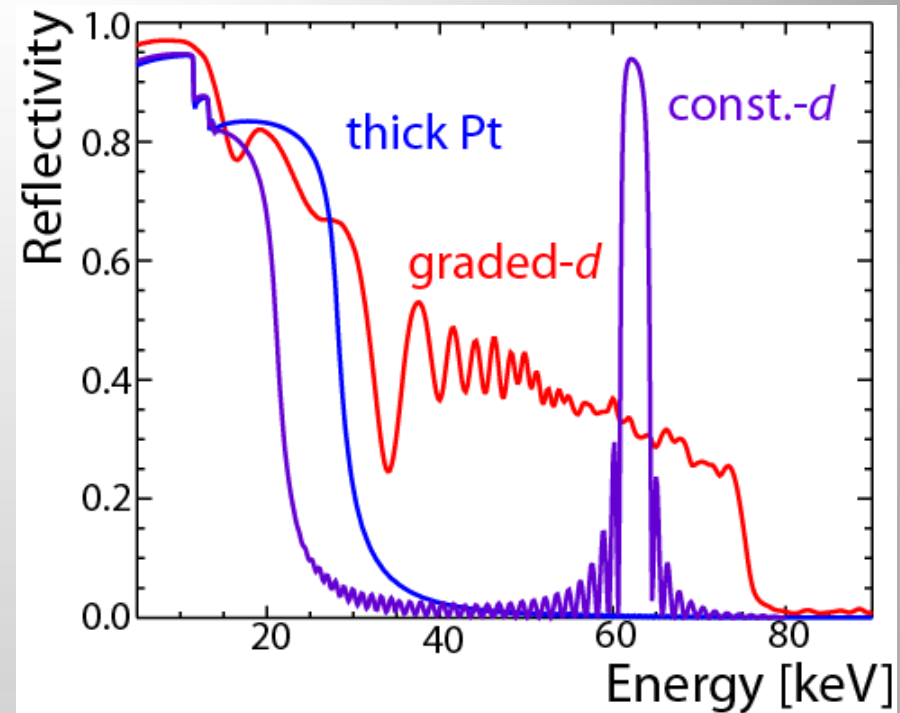
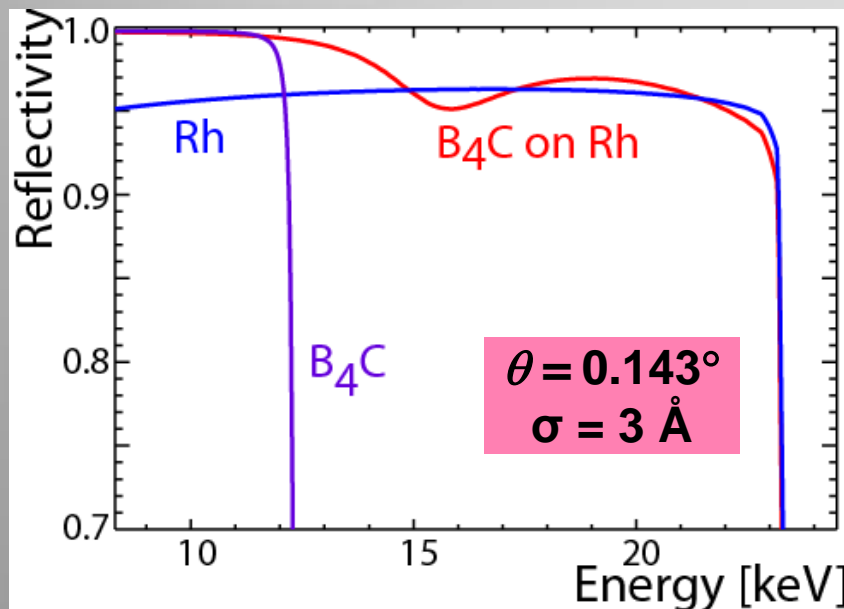
- can be polished well or deposited smoothly (*e.g.*, Ni, Rh, Au, Ir)
- (ideally) do not have absorption edges in operational band



- Reflectivity depends not only on material, but also its high-spatial frequency roughness (*i.e.*, finish)

Multilayer performance

- Recipes can be tuned for application; tremendous versatility
- Systems can consist of 1000's of bilayers to just a few layers ("overcoatings")
- Practically, limited by absorption, stress, absorption, material properties and other constraints (cost, time)



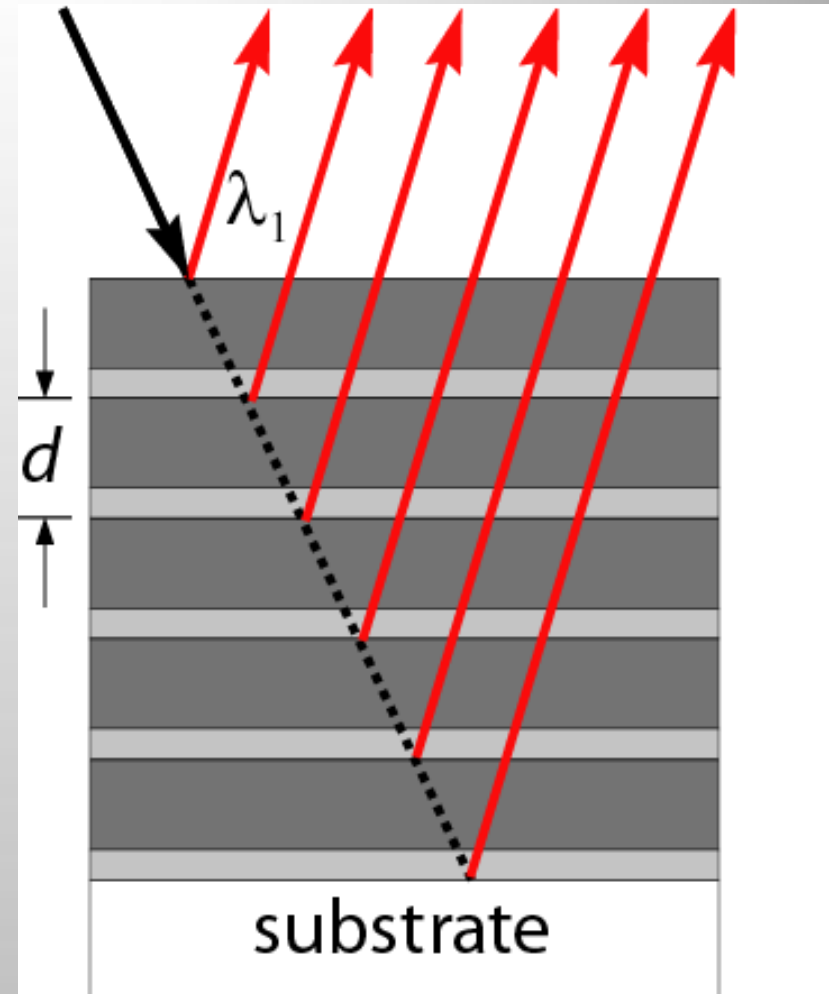
- Semi-infinite Pt coating with $\sigma=3\text{\AA}$
- Constant-d: Pt/SiC, $\sigma=3.0\text{\AA}$, $N=90$, $d=35\text{\AA}$ $\Gamma=0.56$
- Graded-d: Pt/SiC, $\sigma=4.5 \text{ \AA}$, $N=566$

X-ray multilayers

- At high energies, graze angles (θ_c) become too shallow for efficient optics: switch to **multilayers**
- Alternating layers of high- and low-Z materials act as reflecting interfaces, following Bragg's law

$$m\lambda = 2d \sin \theta \sqrt{1 - \frac{2\delta}{\sin^2 \theta}}$$

- Theory described in 1920s-1930s
- First proposed for X-ray applications in early 1970s by Spiller *et al.*
- Initially, constant- d designs used for high reflectivity for particular bands
- Later, Christensen *et al.* proposed [*Proc SPIE*, **1736**, 229, (1992)] varying d , to satisfy the Bragg equation over a range of θ and λ ($\sim 1/E$) at high energies.



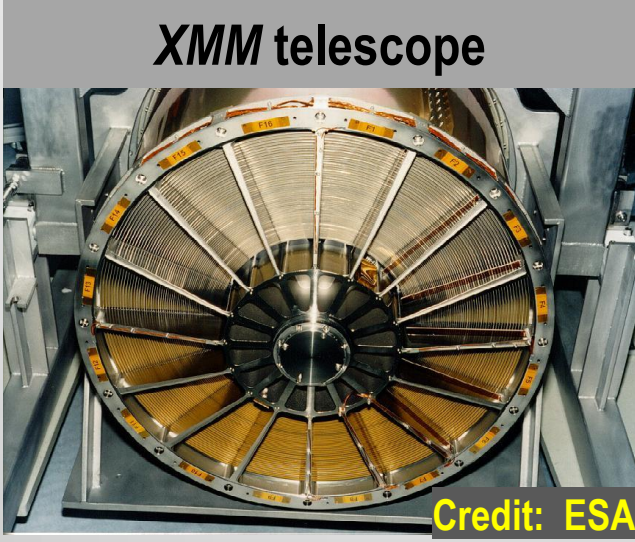
TELESCOPES FOR RECENT ASTROPHYSICS MISSIONS



Moving from the soft x-ray band ...

XMM-Newton; ESA

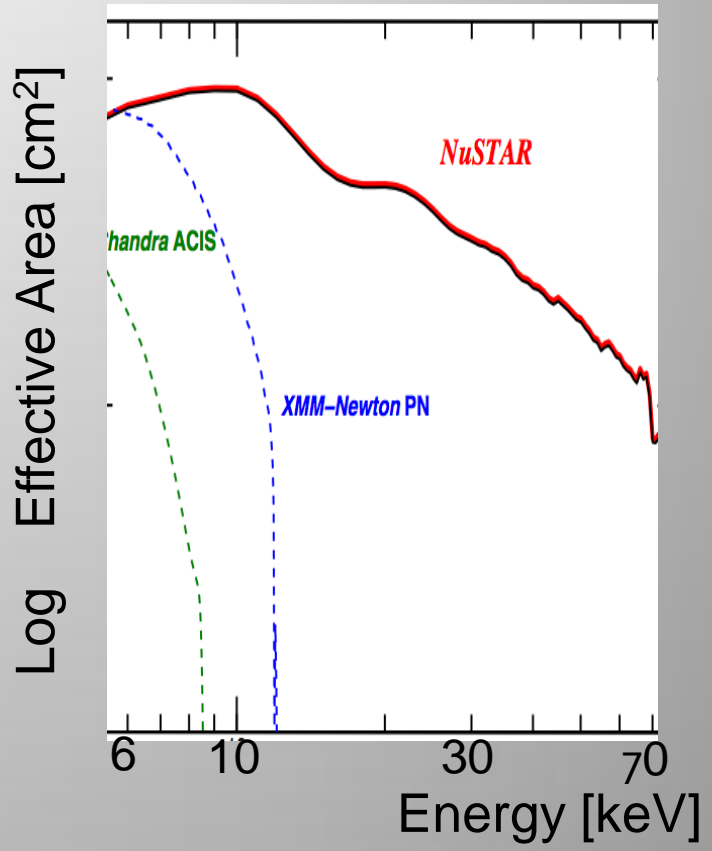
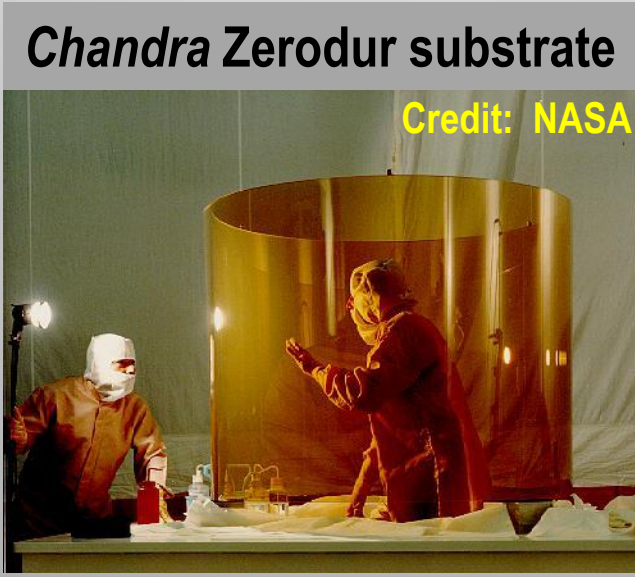
- 15" HPD
- 3 telescopes, 58 nested shells
- 120 m² surface area
- Mirrors: 200M DM (\$120M US)
- Total: \$700M US
- Replicated from precision mandrels



NuSTAR, a NASA SMEX satellite scheduled for launch in June 2012

Chandra; NASA

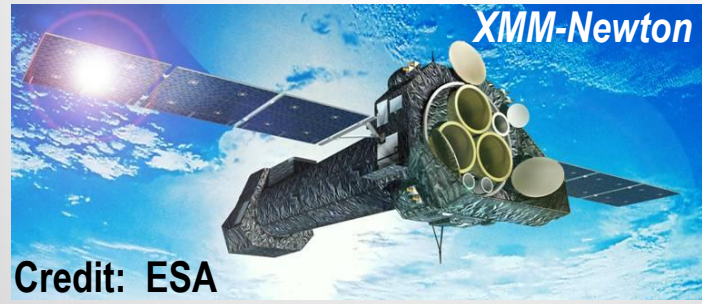
- 0.5" HPD
- 1 telescope, 4 nested shells
- 10 m² surface area
- Mirrors: \$700M US
- Total: \$1600M US
- Polished monolithic blanks



... into the hard x-ray band

XMM-Newton; ESA

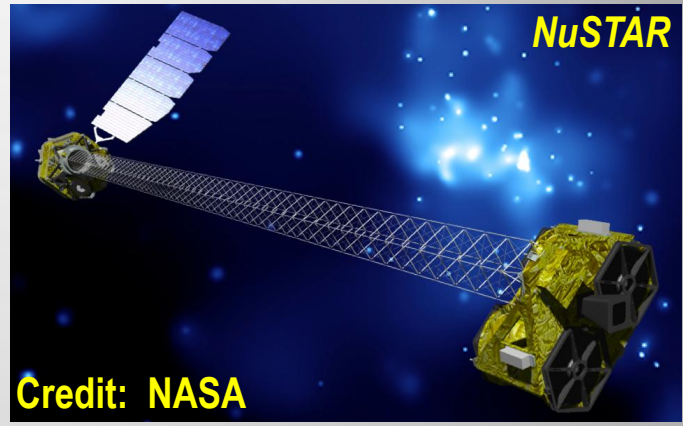
- 15" HPD
- 3 telescopes, 58 nested shells
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- Mirrors: 200M DM (\$120M US)
- Total: \$700M US
- Replicated from precision mandrels



Credit: ESA



Credit: CXC



Credit: NASA

Chandra; NASA

- 0.5" HPD
- 1 telescope, 4 nested shells
- 10 m² surface area
- Mirrors: \$700M US
- Total: \$1600M US
- Polished monolithic blanks

NuSTAR

- 45" HPD goal
- 2 telescopes, 130 nested shells
- 80 m² surface area
- Mirrors: \$10-15M US
- Total: \$100M US
- **Thermally-formed, multilayer-coated mirrors**



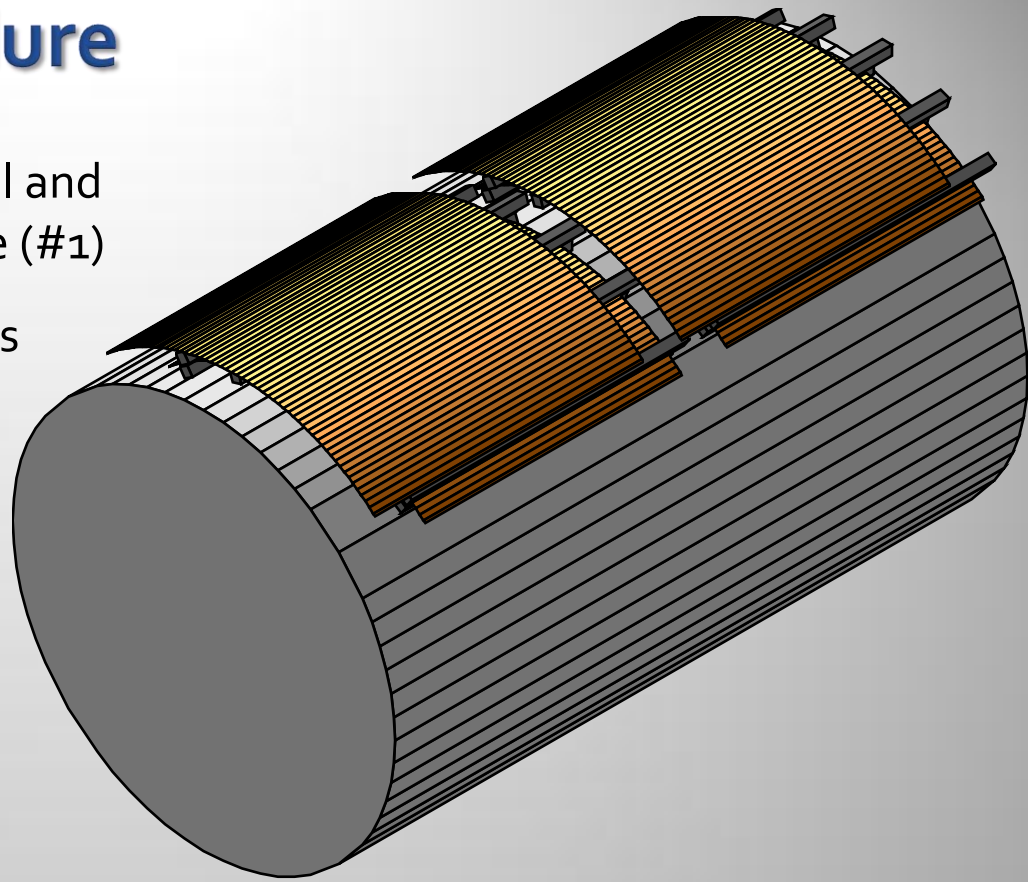
Solution: glass substrates

- Work dates back as far as 1988
 - Labov, *Applied Optics*, **27**, 1465, (1988).
- Columbia/DTU/LLNL starts in earnest in late 1990's for the High Energy Focusing Telescope (HEFT) balloon mission
- Start with flat panel float glass (Schott and Corning) which has nice thickness uniformity and excellent surface finish (roughness $\sigma = \text{few } \text{\AA}$)
- Thermally form ("slump") into near net shape
 - Take flat pieces of glass and turn into cylindrical shapes
- Coat with appropriate reflective coatings
- Assemble into an optic

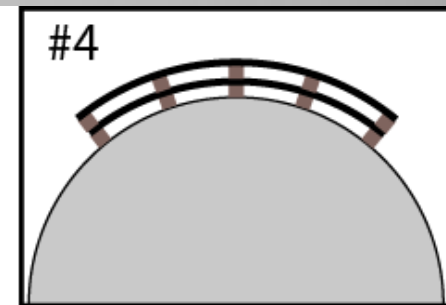
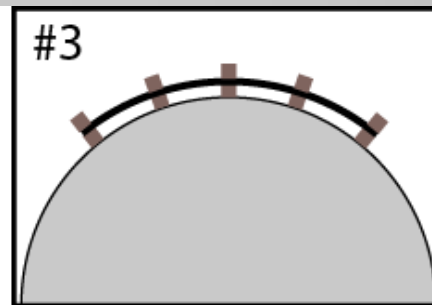
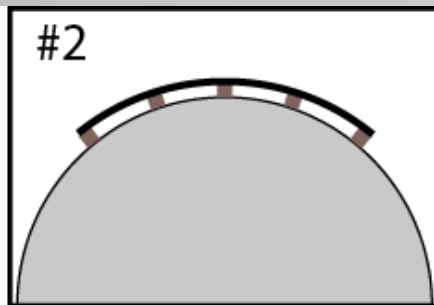
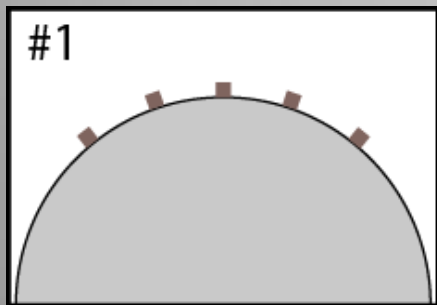


Optics assembly procedure

- Epoxy graphite spacers onto a mandrel and machined to the correct radius & angle (#1)
- Epoxy ML-coated substrates to spacers (#2)
- After epoxy cures, epoxy next layer of spacers to previous layer of glass (#3)
- Machine these spacers, epoxy another layer of glass into place (#4)
- Repeat until entire optic is assembled

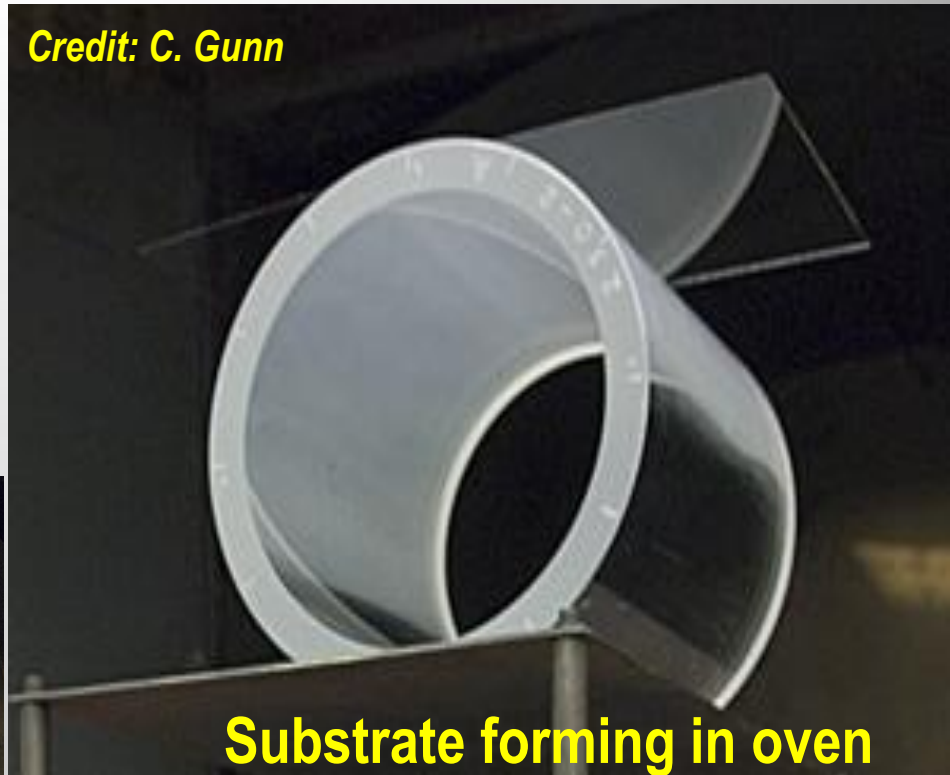


J.E. Koglin et al., *Proc. SPIE*, 4851, 607, (2003)



Optics start with thermally forming substrates

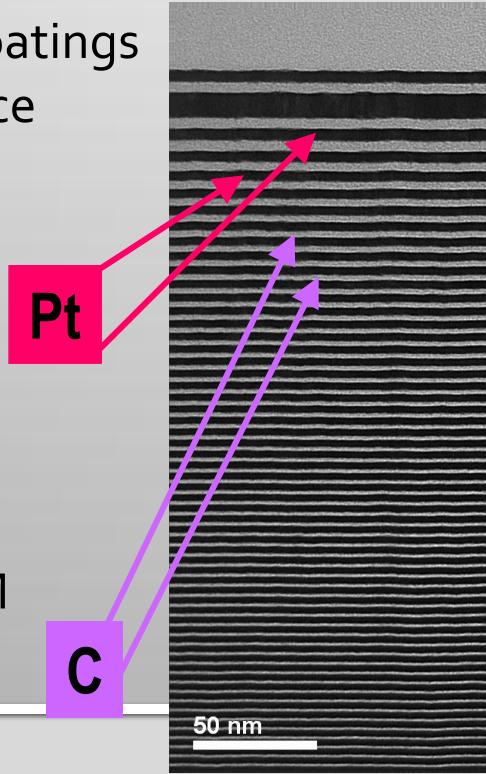
- Optics PI, Charles Hailey, Columbia University
- Team includes: Columbia U., DTU-Space, NASA GSFC, LLNL



- GSFC approach slumps glass directly onto highly polished mandrels
- Excellent figure (10–20" HPD) has been demonstrated

Depth-graded multilayer coatings provide broad band response

- Two material systems used, driven by need to detect Ti-44 lines at 68 & 78 keV
 - Pt/C provides highest response
 - W/Si less expensive and smoother
- 10 different multilayer recipes used
- X-ray testing to optimize coatings and understand performance
 - 8 keV (DTU)
 - 60 keV (NSLS)
 - 10-90 keV (RaMCaF)
- Extensive metrology to validate models
 - Surface roughness, TEM compositional studies

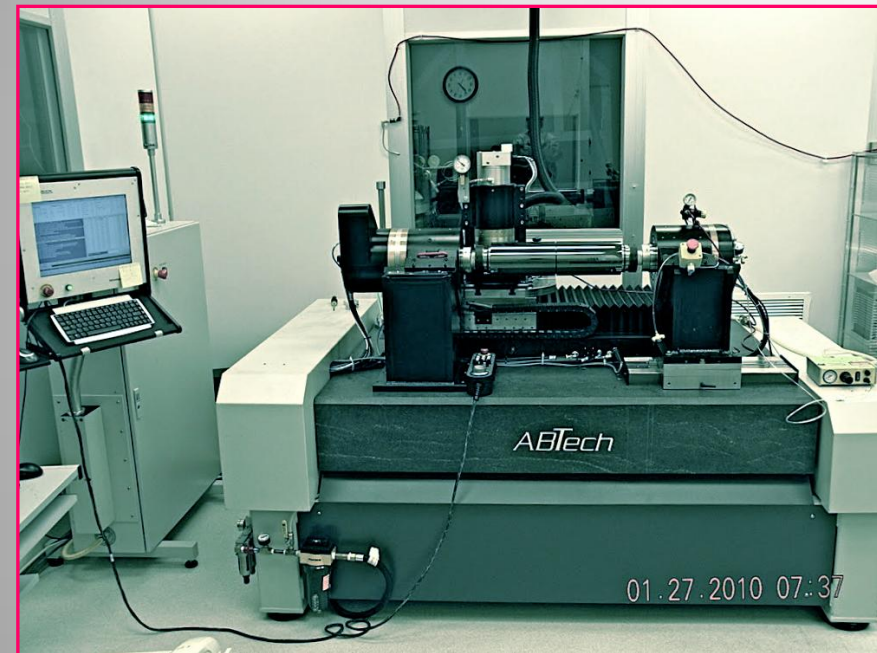


Danish Technical University-Space Coating Facility

F Christensen et al., Proc SPIE, 8147, (2011)



NuSTAR telescopes assembled at the Nevis Laboratories, Columbia University



Two custom-built assembly machines are used to precisely mount the glass segments at Columbia's Nevis Laboratory

J Koglin *et al.*, *Proc SPIE*, **8147**, (2011)
W Craig *et al.*, *Proc SPIE*, **8147**, (2011)



Glass is positioned and clamped for overnight cure of epoxy

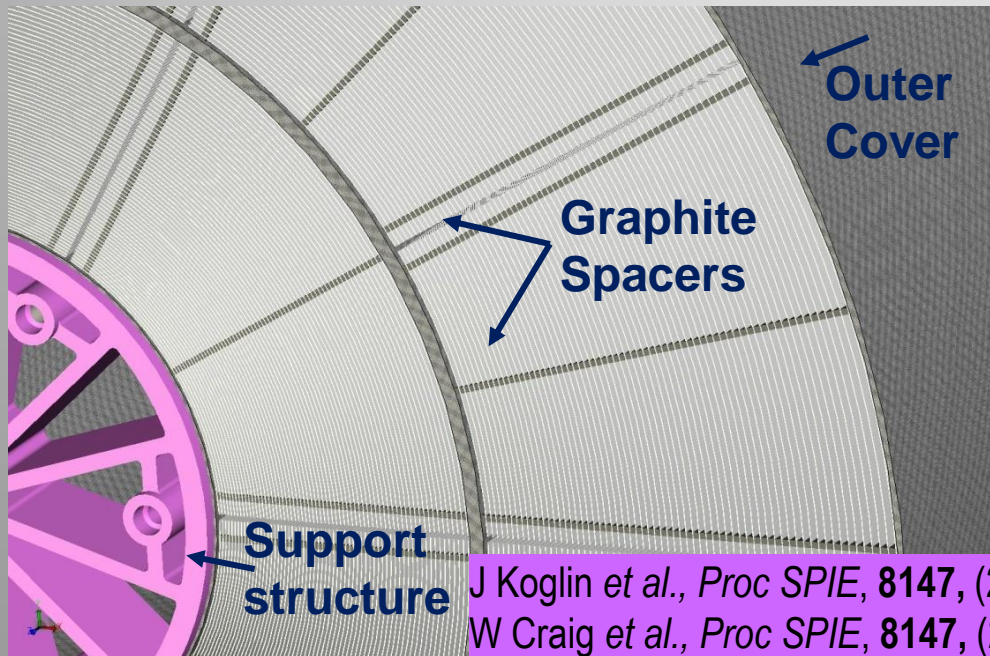
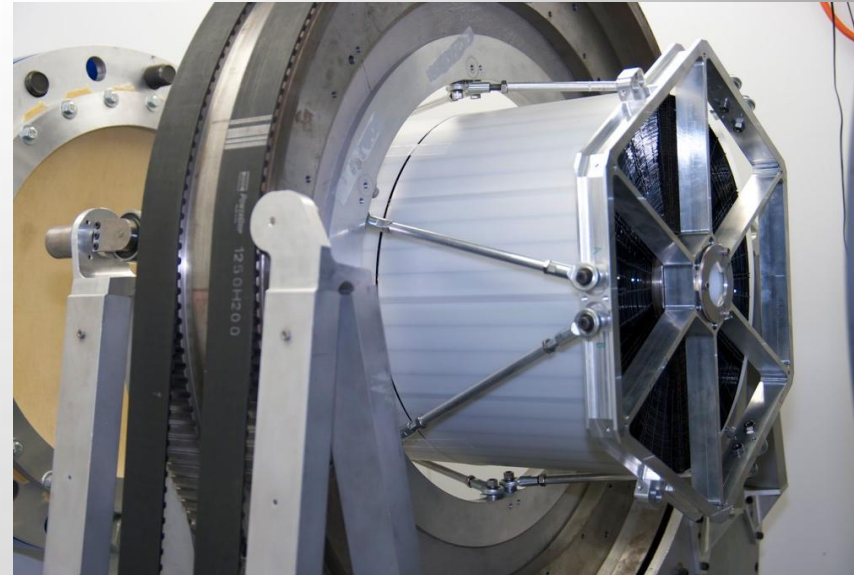


Optic with more than 100 layers

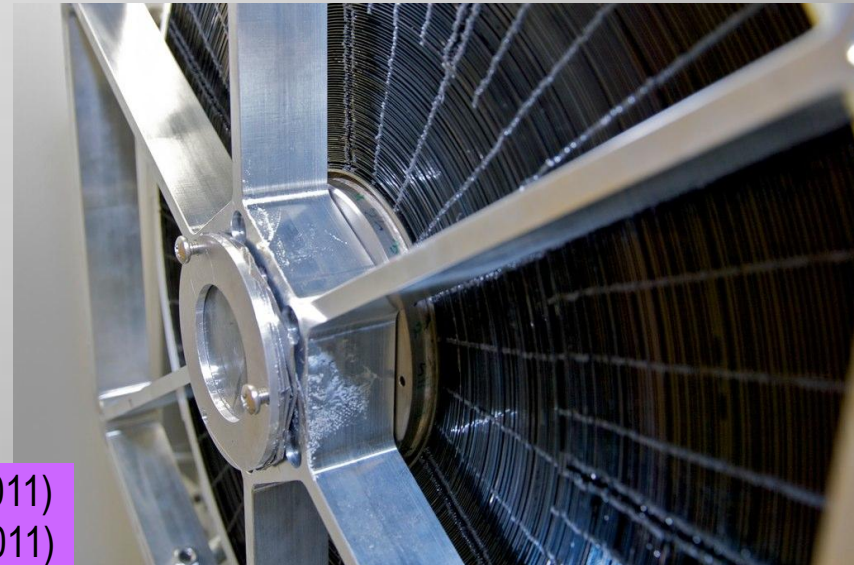
NuSTAR telescope details

Focal Length	10.15 m
Shell Radii	51–191 mm
Graze Angles	0.074–0.224°
Shell Length	225 mm
Mirror Thickness	0.2 mm
Shells Per Module	130
Mirror Segments Per Module	2340

C Hailey *et al.* *Proc SPIE*, 7732, (2010)



J Koglin *et al.*, *Proc SPIE*, 8147, (2011)
W Craig *et al.*, *Proc SPIE*, 8147, (2011)



X-RAY OPTICS FOR SOLAR AXION PHYSICS



Guiding philosophy for an axion experiment

$$g_{a\gamma}^4 \propto \underbrace{b^{1/2} \varepsilon^{-1}}_{\text{detectors}} \times \underbrace{s^{1/2} \varepsilon_0^{-1}}_{\text{optics}} \times \underbrace{(BL)^{-2} A^{-1}}_{\text{magnet}} \times \underbrace{t^{-1/2}}_{\text{exposure}}$$

b = background
 ε = efficiency

s = spot size
 ε_0 = efficiency

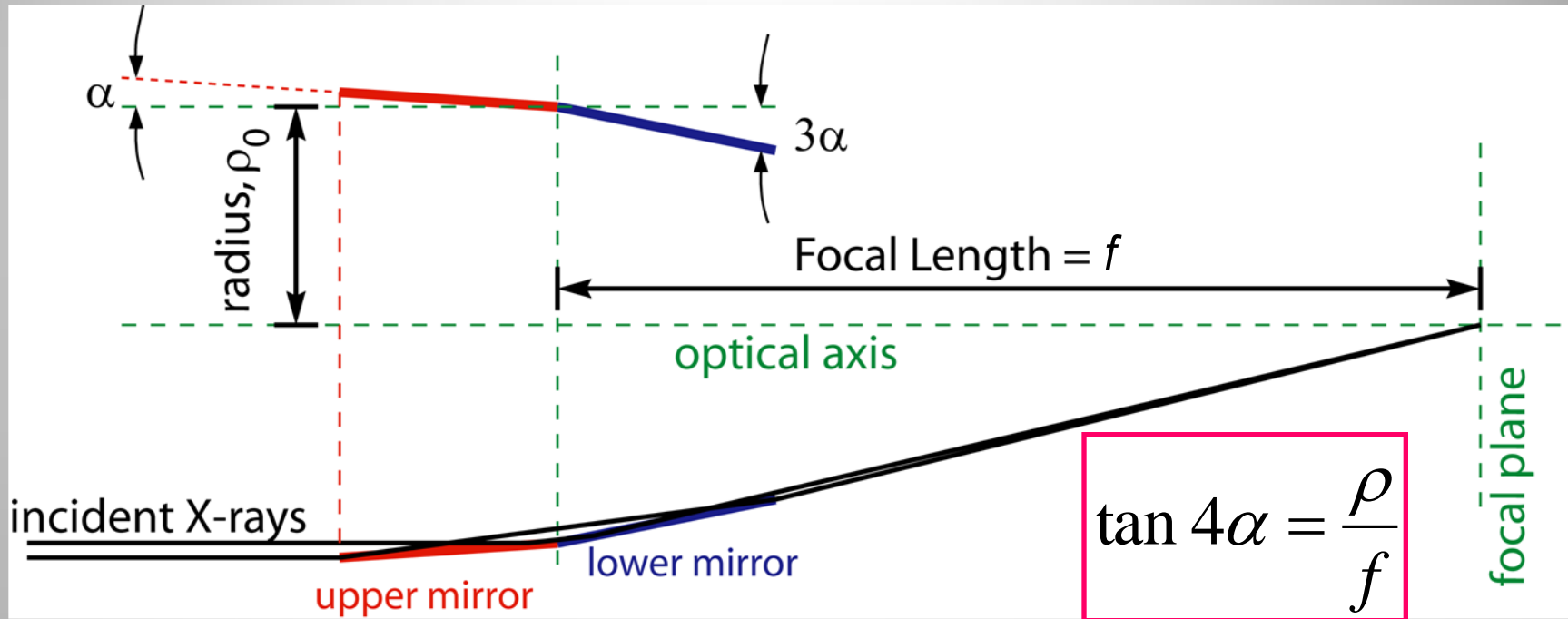
B = magnetic field
 L = magnet length
 A = cross-sectional area

t = time

- Optics are needed, primarily, because detectors have non-zero backgrounds (s above)
 - Optics “squeeze” x-ray beam from a size equal to the diameter of the magnet bore to something much smaller
- Spectral response and effective area are also important (ε_0 above)
 - Both of these properties influence the throughput of the optic
- Practical constrains
 - Size (e.g. focal length), cost



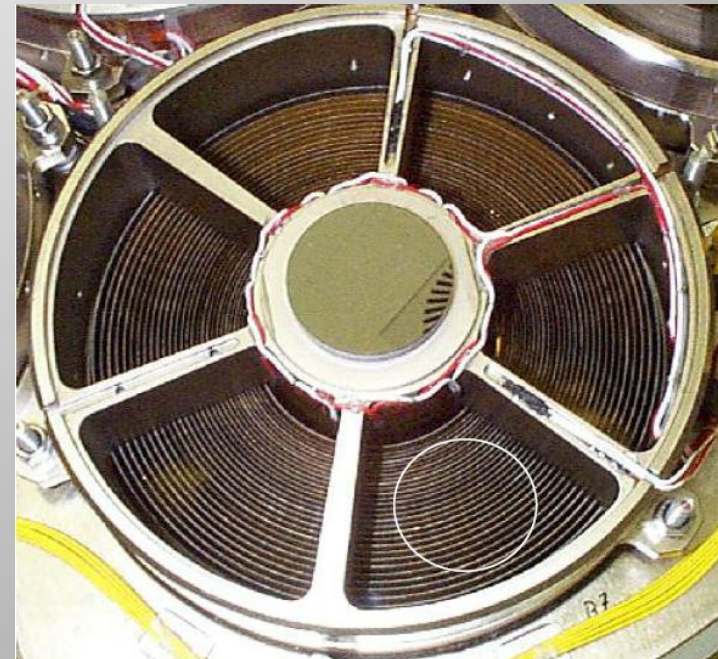
Wolter basics



- Usually, start with maximum allowable graze angle α
- Next, use constraints (e.g., $f < 1.6\text{m}$ for CAST or minimum allowable substrate radius ρ_{\min})

How small can you go?

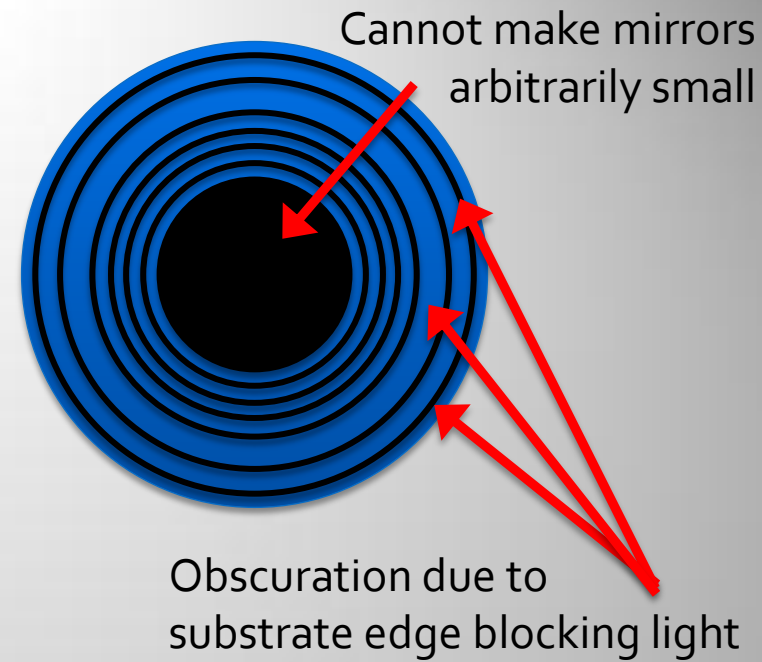
- Optics are usually specified in terms of angular resolution
- The source may also have angular extent
- State-of-the-possible today
 - Optics: 10-60 arcsec half-power diameter (HPD)
 - Sources: Solar axions come from the central 180" of the Sun
- CAST, ABRIXAS x-ray telescope
 - Spot size
 - $180'' \times f = 0.87 \text{ mrad} \times 1600 \text{ mm}$
 - = 1.4 mm diameter (theory)
 - = 3.4 mm diameter (actual)
 - CAST Magnet bore = 43 mm diameter
 - Reduction in area = $(43/3.4)^2 \approx 150$



M. Kuster et al., *New J. Phys*, **9**, 169, (2007)

Considerations for IAXO

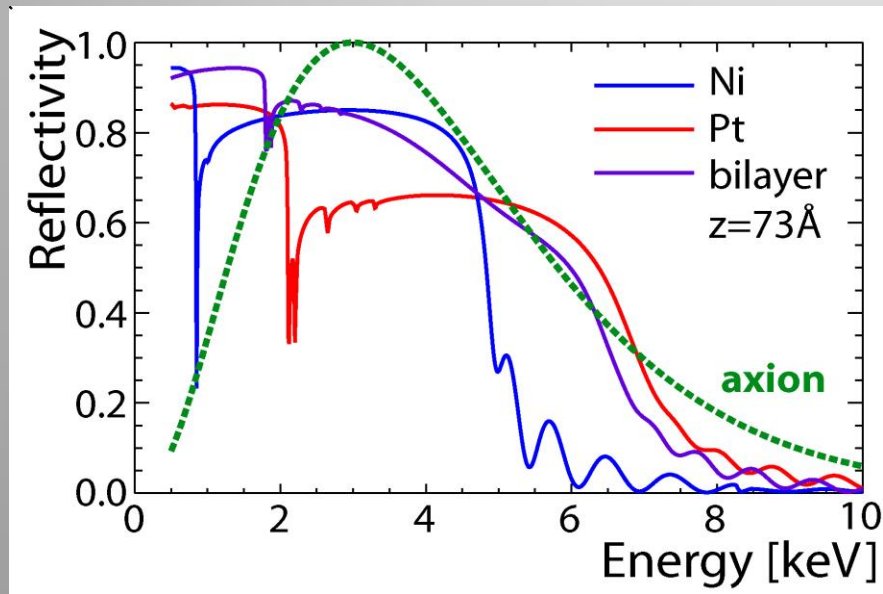
- 8 magnet bores \rightarrow at least this many telescopes
- Large areas, $\rho = 300$ mm
 - Cannot be asymmetric (like CAST)
- Need *NuSTAR*-like approach to fabrication to keep costs down
- Notional focal length
 - $\alpha_{\max} \approx 0.8^\circ$
 - $f_{\min} \approx \rho_{\max} / \tan(4\alpha_{\max}) \approx 5.4$ m
- Complex trade required to optimize spot-size and spectrally-matched throughput



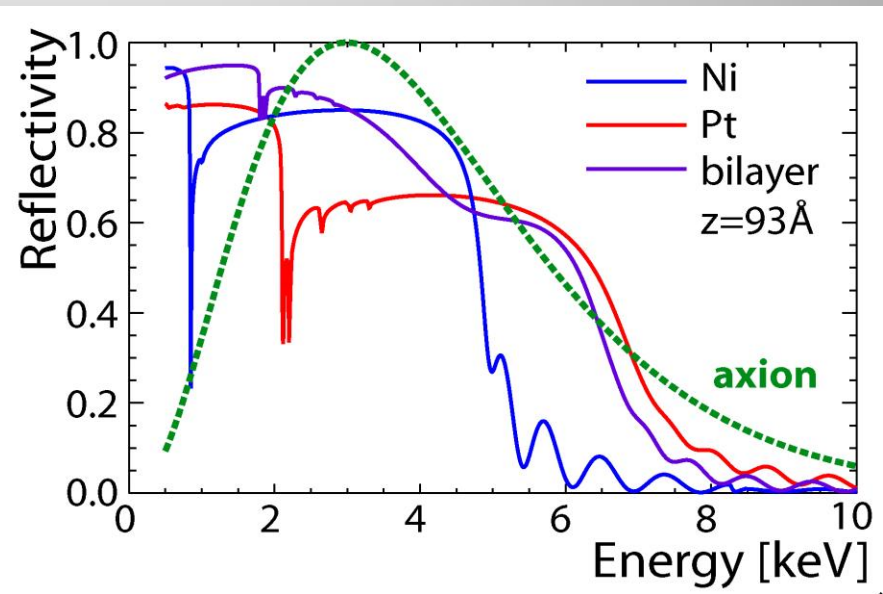
Optimal coatings depends on several factors

- Must worry about total system throughput:
 - $d\Phi(E) \otimes QE(E) \otimes \text{EffArea}(E)$ (axion spectrum, detector efficiency and telescope area)
- Fix $\alpha = 0.7^\circ$ and QE is unity from (a) 2-5 keV or (b) 1-10 keV
- Compare pure Ni and Pt coatings with a simple bi-layer, B_4C on W

(a) 2-5 keV



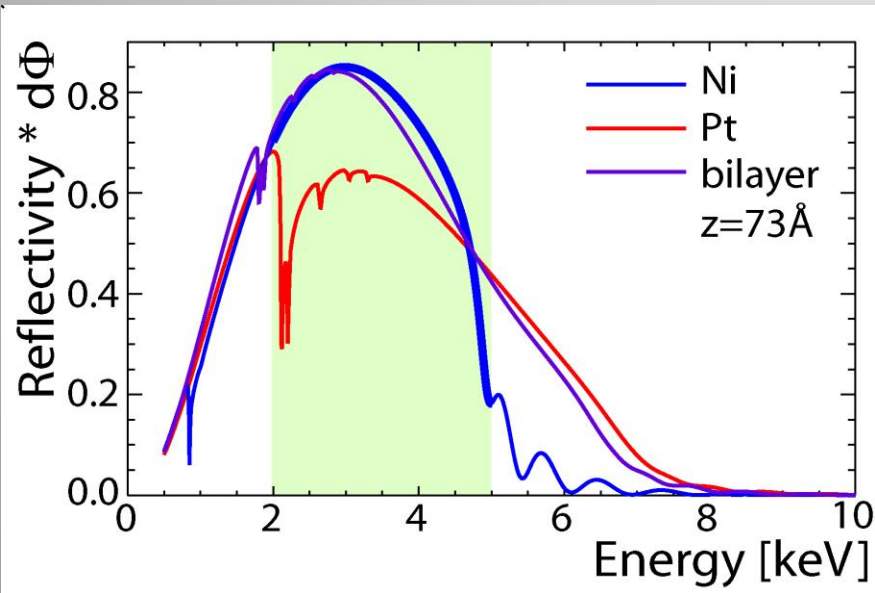
(b) 1-10 keV



Optimal coatings depends on several factors

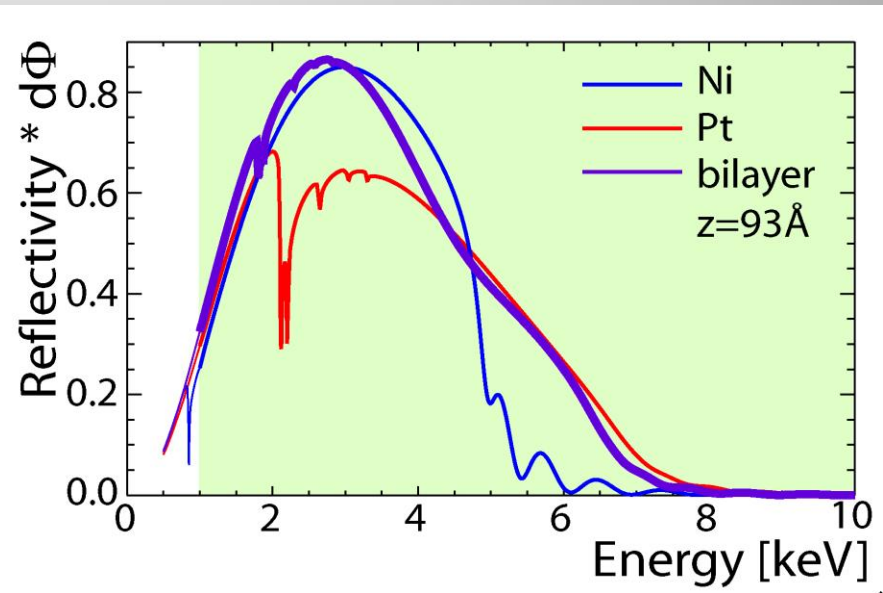
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- Compare pure Ni and Pt coatings with a simple bi-layer, B_4C on W

(a) 2-5 keV



Ni best, Pt: 80%, bilayer: 99%

(b) 1-10 keV



bilayer best, Pt: 88%, Ni: 87%