#### Orbital Angular Momentum and Nucleon Structure







Australian Government Australian Research Council

#### **Anthony W. Thomas**

Workshop on Orbital Angular Momentum in QCD INT Seattle - February 9<sup>th</sup> 2012





# Outline

- A reminder: the proton "spin crisis" is *not* the same as the "spin problem": L<sup>q</sup> + S<sup>q</sup> + J<sup>g</sup> = 0.5
- Progress driven/diverted by search for a huge value of  $\Delta G \sim 4$  eventually much smaller!
- The resolution of the problem
  - one-gluon-exchange
  - the pion cloud
  - input from lattice QCD



QCD evolution essential to comparison with lattice data





#### What do we expect ?

Most quark models start with 3 quarks in the 1s-state of a confining potential: proton spin is ALL carried by its quarks:  $\Sigma = 100\%$ 



N.B. Given low values of  $m_{u,d}$  the quark motion is relativistic and lower Dirac components have spin down:  $\Sigma \sim 65\%$ 





#### **The Beginning**

Volume 206, number 2

PHYSICS LETTERS B

19 May 1988



Aachen, CERN, Freiburg, Heidelberg, Lancaster, LAPP (Annecy), Liverpool, Marseille, Mons, Oxford, Rutherford, Sheffield, Turin, Uppsala, Warsaw, Wuppertal, Yale

J. ASHMAN <sup>a</sup>, B. BADELEK <sup>b,1</sup>, G. BAUM <sup>c,2</sup>, J. BEAUFAYS <sup>d</sup>, C.P. BEE <sup>c</sup>, C BENCHOUK <sup>f</sup>,

#### (93 authors)

The spin asymmetry in deep inelastic scattering of longitudinally polarised muons by longitudinally polarised protons has been measured over a large x range (0.01 < x < 0.7). The spin-dependent structure function  $g_1(x)$  for the proton has been determined and its integral over x found to be  $0.114 \pm 0.012 \pm 0.026$ , in disagreement with the Ellis-Jaffe sum rule. Assuming the validity of the Bjorken sum rule, this result implies a significant negative value for the integral of  $g_1$  for the neutron. These values for the integrals of  $g_1$  lead to the conclusion that the total quark spin constitutes a rather small fraction of the spin of the nucleon.





# **Ancient History of the Spin Crisis**

• EMC Spin Paper: 22 Dec 87 - 19 May 88 • Schreiber-Thomas CBM: 17 May 88 - 8 Dec 88 • Myhrer-Thomas OGE: 13 June 88 - 1 Sept 88 (neither paper could explain reduction to only 14%!) • Efremov-Teryaev Anomaly: 25 May 88 Altarelli-Ross Anomaly: 29 June 88 - 29 Sept 88 • Carlitz, Collins, Mueller and many, many others...





#### **Possible Role of Polarized Glue in the Proton**

$$\begin{split} \Sigma_{\text{na\"ive}} & \rightarrow \ \Sigma_{\text{na}\"\text{ive}} - \ N_{f} \ \alpha_{s} \ (\textbf{Q}^{2}) \ \Delta \textbf{G} \ (\textbf{Q}^{2}) \\ \hline 2 \ \pi \\ and \end{split}$$

**QCD** evolution:  $\alpha_s(Q^2) \Delta G(Q^2)$  does not vanish as  $Q^2 \rightarrow \infty$ 

#### and polarized gluons would resolve crisis



Required ΔG ~ +4.... no physical explanation of such a huge value (8 times proton spin) offered !



#### This spurred a tremendous experimental effort

- DIS measurements of spin structure functions of polarized p, d, <sup>3</sup>He (and <sup>6</sup>Li) at SLAC, CERN, Hermes, JLab
- Direct search for high-p<sub>T</sub> hadrons as well as inclusive jet and π<sup>0</sup> production at Hermes, COMPASS, RHIC to directly search for effects of polarized glue in the p
- This effort has lasted the past 25 years, with great success





# Where is the Spin of the proton?

• Modern data (Hermes, COMPASS) yields:  $\Sigma = 0.33 \pm 0.03 \pm 0.05$ 

(c.f. 0.14 ± 0.03 ± 0.10 originally)

- In addition, there is little or no polarized glue - COMPASS:  $g_1^{D} = 0$  to  $x = 10^{-4}$ -  $A_{LL}$  ( $\pi^0$  and jets) at PHENIX & STAR:  $\Delta G \sim 0$ Hermes, COMPASS and JLab:  $\Delta G / G$  small
- Hence: <u>axial anomaly plays at most a very small role in</u> <u>explaining the spin crisis</u>
- Return to alternate explanation lost in 1988 in rush to explore the anomaly





# **Ancient History of the Spin Crisis**

- EMC Spin Paper:
- Brodsky et al. Skyrme:
- Schreiber-Thomas CBM:
- Myhrer-Thomas OGE:

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22 Dec 87 - 19 May 88
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(neither paper could explain reduction to only 14%!)

• Efremov-Teryaev Anomaly: 25 May 88

Altarelli-Ross Anomaly: 29 June 88 - 29 Sept 88









#### **One-Gluon-Exchange Correction**

PHYSICAL REVIEW D

VOLUME 38, NUMBER 5

1 SEPTEMBER 1988

#### **Rapid Communications**

The Rapid Communications section is intended for the accelerated publication of important new results. Since manuscripts submitted to this section are given priority treatment both in the editorial office and in production, authors should explain in their submittal letter why the work justifies this special handling. A Rapid Communication should be no longer than 3½ printed pages and must be accompanied by an abstract. Page proofs are sent to authors, but, because of the accelerated schedule, publication is not delayed for receipt of corrections unless requested by the author or noted by the editor.

#### Spin structure functions and gluon exchange

F. Myhrer

Department of Physics and Astronomy, University of South Carolina, Columbia, South Carolina 29208

A. W. Thomas

Department of Physics and Mathematical Physics, University of Adelaide, Adelaide, South Australia 5000, Australia and Department of Theoretical Physics, Oxford University, Oxford OX1 3NP, Oxfordshire, England\* (Received 13 June 1988)

Two-quark correlations due to gluon exchange give corrections to both the proton and neutron spin-dependent structure functions in the Bjorken sum rule. They are found to be as large as the pionic corrections in the cloudy bag model of the nucleon. While still not enough to explain the result published recently by the European Muon Collaboration, it is compatible with the reanalysis of the data by Close and Roberts.





# **OGE Hyperfine Interaction**

- Essentially <u>every quark model needs this QCD based</u> <u>interaction for hadron spectroscopy</u> – beginning with de Rujula et al.; De Grand et al. ; Isgur & Karl.....
- N-Δ, Σ-Λ splitting etc...
   (MIT bag, constituent quark model(s))
- As soon as this is included one must also calculate the corresponding exchange current corrections
- First done for magnetic moments and non-singlet axial charges by Hogaasen and Myhrer





#### SU(6) violations due to one-gluon exchange

H. Høgaasen

Fysisk Institutt, University of Oslo, Blindern, 0316 Oslo 3, Norway

F. Myhrer

Department of Physics, University of South Carolina, Columbia, South Carolina 29208 (Received 26 October 1987)

The one-gluon-exchange corrections to the baryon magnetic moments and the weak semileptonic decays are shown to have the correct two-body operator in order to explain recent data. An explicit model calculation using a mode sum for the quark propagator is then performed. In this model calculation the two lowest states dominate the corrections. This value of SU(6) breaking explains the measured ratio  $\Sigma^- \rightarrow ne \bar{\nu}/\Lambda \rightarrow pe \bar{\nu}$  as well as why  $\mu_{\Xi^-} < \mu_{\Lambda}$  and it restores  $\mu_p/\mu_n \simeq -\frac{3}{2}$  in chiral bag models.









# **OGE Exchange Current : Spin Problem**

• Further reduces the fraction of spin carried by the quarks in the bag model (naively 0.65)



 $\Sigma \rightarrow \Sigma - 3G$  ; with G ~ 0.05  $\Sigma \rightarrow$  0.65 - 0.15 = 0.5

(c)

# • Effect is to transfer quark spin to quark (relativity) and anti-quark (OGE) orbital angular momentum

(d)



Myhrer-Thomas, Phys Rev D38 (1988); and most recent: Altenbuchinger et al., EPJ, arXiv:1012.4409



# **Chiral Symmetry**

- The other critical issue in hadron structure which has come to the fore recently
- Cloudy bag model of Miller, Théberge and Thomas

   naturally yields correct LNA and NLNA behaviour of
   baryon properties
- Chiral quark model of Georgi & Manohar, which doesn't
- Later xQSM etc....





#### The Pion Cloud of the Nucleon

Volume 215, number 1

PHYSICS LETTERS B

8 December 1988

#### SPIN DEPENDENT STRUCTURE FUNCTIONS IN THE CLOUDY BAG MODEL

#### A.W. SCHREIBER AND A.W. THOMAS

Department of Physics and Mathematical Physics, University of Adelaide, North Terrace, Adelaide, South Australia 5000, Australia

Received 17 May 1988

We derive expressions for the integrals of the spin dependent structure functions  $g_1(x)$  for the proton and the neutron in the context of the cloudy bag model. We find that the neutron contributes 5–10% to the Bjorken sum rule, while there is a corresponding decrease for the proton's contribution. It is difficult to reconcile these results with those reported in a recent experiment.





# **Effect of the Pion Cloud**

- Probability to find a bare N is Z ~ 70%
- Biggest Fock Component is N π ~ 20-25% and 2/3 of the time N spin points down



**2 Ρ**<sub>Ν π</sub>

- Next biggest is  $\Delta \pi \sim 5-10\%$
- To this order (i.e. including terms which yield LNA and NLNA contributions):

7

• Spin gets renormalized by a factor : Z - 1/3 P<sub>N  $\pi$ </sub> + 15/9 P<sub> $\Delta \pi$ </sub> ~ 0.75 - 0.8 Hence:  $\Sigma = 0.65 \rightarrow 0.49 - 0.52$ 







# **Support for Pion Cloud Picture**

• Most spectacular example is the <u>prediction</u><sup>\*</sup> of  $\overline{d} > \overline{u}$ , because of the pion cloud (p  $\rightarrow n \pi^+$ )

$$\int_{0}^{1} dx \left[ \dot{d} - \dot{u} \right] = 2 P_{N \pi} / 3 - P_{\Delta \pi} / 3$$
  
\$\varepsilon 0.11 - 0.15\$

(in excellent agreement with latest data)

- Charge distribution of the neutron (don't say it Jerry!)
- Natural understanding of quark mass dependence of data from lattice QCD







# **Adding OGE and Pion Corrections**

- It's immediately apparent that combining these two corrections does not reproduce the EMC result
- BUT it got close: very nice study by Yamaguchi, Tsushima, Kohyama and Kubodera, Nucl. Phys. A500 (1989) 429

#### did this and included kaons too

 Clearly the modern value of Σ will be described very well (.... discussed soon)





#### BUT: Should one add OGE and Pion Corrections?

- Prime phenomenological need for OGE interaction is the hyperfine splitting of hadron masses,
  - In early days of chiral models believed some of this hyperfine splitting came from pion self-energy differences
  - Maybe double counting to include correction to  $\Sigma$  from both pions and OGE??
- Modern understanding: NO! from analysis of data in quenched (QQCD) and full QCD, from Lattice
   implies 50 MeV (or less) of m<sub>∆</sub> – m<sub>N</sub> in this way





Lattice data (from MILC Collaboration) : red triangles
Green boxes: fit evaluating σ's on same finite grid as lattice
Lines are exact, continuum results



Operated by the Southeastern Universities Research Association for the U.S. Department of Energy

# **Nucleon -** $\Delta$ **Splitting**



calculation of the exchange current correction is more or less unchanged



and... one can <u>add</u> the pion and OGE corrections

### **Final Result for Quark Spin**

 $\Sigma = (Z - P_{N \pi}/3 + 5 P_{\Delta \pi}/3) (0.65 - 3 G)$ = (0.7,0.8) times (0.65 - 0.15) = (0.35, 0.40) c.f. Experiment: 0.33 ± 0.03 ± 0.05 • ALL effects, relativity and OGE and the pion cloud

swap quark spin for valence orbital angular momentum

and anti-quark orbital angular momentum

(>60% of the spin of the proton)



Myhrer & Thomas, hep-ph/0709.4067



### The Balance Sheet – fraction of total spin

	2 L <sub>u+ubar</sub>	2 L <sub>d+dbar</sub>	Σ
Non-relativistic			1.0
Relativity (e.g. Bag)	0.46	-0.11	0.65
Plus OGE	0.52	-0.02	0.50
Plus pion	0.50	0.12	0.38

At model scale:  $L_u + S_u = 0.25 + 0.42 = 0.67 = J_u$ :  $L_d + S_d = 0.06 - 0.22 = -0.16 = J_d$ 



Phys Rev Lett, 101 (2008) 102003

#### **LHPC Lattice Results**

• At first glance shocking :

L<sup>u</sup> ~ - 0.1 and L<sup>d</sup> ~ + 0.1 (c.f. + 0.25 and +0.06 in our "resolution")





#### The key : J<sup>q</sup> (L<sup>q</sup>) is not scale invariant – what scale?

- Known since mid-70s (Le Yaouanc et al., Parisi, Bell, Jaffe...) that connection between quark models and QCD *must be* at a low scale
- This is because momentum fraction carried by quarks is monotonically decreasing with increasing Q<sup>2</sup>
   whereas: in models quarks carry all the momentum
- Used (for example) by Glück-Reya to model HERA data to 10<sup>5</sup> GeV<sup>2</sup>, starting with valence dominated distributions (in LO) at μ<sup>2</sup> = 0.23 GeV<sup>2</sup> (Phys Lett 359, 205 (1995))





## More Modern (Confining) NJL Calculations



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# **Solution of the LO Evolution Equations**<sup>\*</sup>

L<sup>u</sup> and L<sup>d</sup> both small and cross-over rapidly: AWT, PRL 101 (2008) 102003 - model independent !





Evolution equns: Ji, Tang, Hoodbhoy, PRL 76 (1996) 740

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# Update

- Recently (Bass-AWT Phys Lett B684 (2010) 216) update to check g<sub>A</sub><sup>8</sup> and ensure that g<sub>A</sub><sup>3</sup> is correct
- $g_A^8 = 0.46 \pm 0.05$  (not 0.57 : 20% SU(3) breaking)
- This implies that value of Σ extracted from experiment (needs g<sub>A</sub><sup>8</sup>) should be 0.36 ± 0.03 ± 0.05
- To be compared with calculated Σ = 0.42 ± 0.07 (no polarized gluon correction included)
- In this case we find: J<sup>u,d,s</sup> = (+0.66,-0.17, +0.01) at the model scale and L<sup>u,d,s</sup> = (+0.23, +0.045, +0.015)





## Modern value of $\Delta s$

- The value suggested by the Bass-Thomas analysis (also 1989 work of Yamaguchi et al.) is Δs is between -0.01 and - 0.02
- Then Σ and g<sub>A</sub><sup>8</sup> differ by only ~ 0.06 (modulo minor effects of glue through the anomaly)
- Latest careful evaluation<sup>\*</sup> of strange polarization in a careful lattice study of "disconnected" term, by
   Bali et al. [QCDSF], arXiv:1112.3324 *indeed* yields
   Δs = -0.02 ± 0.010 ± 0.004 (MSbar at 7.4 GeV<sup>2</sup>)



\*Essential to take into account flavor mixing – lattice artifact



#### **NLO Evolution** – using Bass-Thomas update

**Remarkable agreement between model and LQCD** 



#### Similar study Altenbuchinger et al. (EPJ : arXiv:1012.4409)



N.B. These authors also pointed out additional correction for gauge invariant orbital angular momentum – important for L<sup>q</sup> especially :

e.g.  $L^q$  = 0.28  $\rightarrow$   $L^q{}_{GI}$  = 0.42 ... with matching change  $% L^q{}_{GI}$  in  $J^q$ 





 $\overline{S_{1/2}}$ 

 $S_{1/2}$ 

 $S_{1/2}$ 

 $S_{1/2}$ 

# **Experimental effort just beginning!**

For the moment analysis highly model dependent ....

... from DVCS: (JLAB PRL 99 (2007) 242501 and HERMES JHEP 0806:066 (2008)



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#### **Some Remaining Physics Issues**

- What control do we have over systematic errors in lattice QCD calculations?
- Especially: volume dependence chiral extrapolation extrapolation in t
- Recall: to determine L<sup>q</sup> one subtracts Δq from J<sup>q</sup>
- We know how hard it is to get g<sub>A</sub> BUT the Q<sup>2</sup> dependence of g<sub>A</sub> is off by a factor of two in state of the art simulations – where we know the answer





#### L<sup>u-d</sup> as example of physics significance

- Wakamatsu and collaborators find L<sup>u-d</sup> fairly large and negative in χQSM (Wakamatsu & Tsujimoto, P R D71 (2005) 074001)
- Very similar to lattice results but model scale MUCH lower (~ 0.3 – 0.4 GeV<sup>2</sup> following Diakonov)

• At LO: 
$$L^{u-d}(t) + \frac{\Delta u - \Delta d}{2} = \left(\frac{t}{t_0}\right)^{-\frac{32}{9\beta_0}} \left(L^{u-d}(t_0) + \frac{\Delta u - \Delta d}{2}\right)$$

and evolution is slow if J<sup>u-d</sup> (object in bracket) is small

- Δu Δd on right is whatever is implicit in lattice??
   BUT on left we usually use measured g<sub>A</sub> !
- Our NLO results and NLO and NNLO of Altenbuchinger et al. suggest that L<sup>u-d</sup> is most likely positive at a subation
   typical model scale....

#### LQCD Calculation: e.g.Hägler et al. (LHPC)PR D77 094502

$$\mathcal{O}_{\Gamma}(x) = \int \frac{d\lambda}{4\pi} e^{i\lambda x} \bar{q} \left(\frac{-\lambda n}{2}\right) \Gamma \mathcal{P} e^{-ig \int_{-\lambda/2}^{\lambda/2} d\alpha n \cdot A(\alpha n)} q\left(\frac{\lambda n}{2}\right) \xrightarrow{x+\xi} \mathcal{P}, \Lambda \rightarrow \mathcal{P$$

#### OPE :

$$\langle P'|\mathcal{O}^{\mu_1}|P\rangle = \langle \langle \gamma^{\mu_1} \rangle \rangle A_{10}(t) + \frac{i}{2m} \langle \langle \sigma^{\mu_1 \alpha} \rangle \rangle \Delta_{\alpha} B_{10}(t),$$

$$\langle P'|\mathcal{O}^{\{\mu_1 \mu_2\}}|P\rangle = \bar{P}^{\{\mu_1} \langle \langle \gamma^{\mu_2} \rangle \rangle A_{20}(t) + \frac{i}{2m} \bar{P}^{\{\mu_1} \langle \langle \sigma^{\mu_2} \rangle^{\alpha} \rangle \Delta_{\alpha} B_{20}(t) + \frac{1}{m} \Delta^{\{\mu_1} \Delta^{\mu_2\}} C_{20}(t),$$

where: 
$$\mathcal{O}_{[\gamma_5]}^{\{\mu_1\dots\mu_n\}} = \bar{q}(0)\gamma^{\{\mu_1[\gamma_5]}i\vec{D}^{\mu_2}\cdots i\vec{D}^{\mu_n\}}q(0)$$



#### LQCD Needs Chiral Extrapolation and t $\rightarrow$ 0

Lattice groups typically use dim-reg over large range of  $m_{\pi}^2$ 

#### - we know this is beyond range of convergence and therefore suspect (prefer FRR)

— also extrapolate B<sub>20</sub> (t) *linearly* in t over (0,1.2) GeV<sup>2</sup>



### LHPC Results cont'.



**Results:**  $J^{u} - J^{d} = + 0.21 \pm 0.04$  $L^{u} - L^{d} = -0.42 \pm 0.04$  $L^{u} = -0.19 \pm 0.02$  $L^{d} = + 0.23 \pm 0.02$ (modulo disconnected terms) - small errors rely on fit with low # parameters over huge range of t,  $m_{\pi}$ - volume dependence taken to be small SPECIAL RESEARCH CENTRE FOR THE 

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### **Check Using Finite Range Regulator**

As in G<sub>M</sub><sup>s</sup> (Q<sup>2</sup>) study of Wang et al., PR D75 (2007) 073012



### **FRR Treatment of GPD moments**<sup>\*</sup>



#### Results similar to LHPC analysis e.g. $J^u - J^d \sim 0.22$

BUT errors may be larger ... Also, extrapolation in t using a dipole rather than a linear function increases J<sup>u-d</sup> by 25%



\*Wang & Thomas, PR D81 (2010) 114015



#### **Volume Dependence of g<sub>A</sub> Dramatic**

• As well as  $m_{\pi} \rightarrow m_{\pi}^{phys}$  and  $t \rightarrow 0$ , one has to deal with finite a and  $L \rightarrow \infty$ . Latter is especially problematic given that  $J^{u-d}$  includes some implicit  $\Delta u - \Delta d$ : while RBC-UKQCD studies have shown strong volume dependence for this

- no detailed study yet for GPDs



## **Chiral Corrections**

- Using expansions based on being in the power counting regime (very low pion mass) are very unreliable
- FRR works exceptionally well a "model" but it's under control and yields stable, reliable results



#### Extrapolation of $\langle x \rangle_{u-d}$ and $g_A$



Fits to full FRR calculation use simple form:

ADELAIDE

$$Z_2/Z_i = \alpha_i + \beta_i m_{\pi}^2 + \frac{\gamma_{i,\text{LNA}}}{(4\pi f_{\pi})^2} m_{\pi}^2 \log\left[\frac{m_{\pi}^2}{m_{\pi}^2 + \mu_i^2}\right] , \qquad i = q, \Delta q, \delta q$$

as in Detmold et al., PRL 87 (2001) 172001 where importance of the chiral extrapolation was first demonstrated for  $< x >_{u-d}$ 

# Summary

- Two decades of experiments have given us important new insight into spin structure of the p
- U(1) axial anomaly appears to play little role in resolving the problem
  - not as severe as in original EMC paper
- Instead, important details of the non-perturbative structure of the nucleon DO resolve the "crisis"
  - OGE hyperfine interaction
  - chiral symmetry: pion cloud
  - relativistic motion of quarks

Ingredients of a minimal description of proton structure





# Summary (cont.)

- Important consequence for quark model: a large fraction of the proton spin is carried as orbital angular momentum by valence quarks and by anti-quarks in the proton
- Effect of QCD Evolution is to:
  - flip ordering of L<sup>u</sup> and L<sup>d</sup>
  - reduce the size of orbital angular momentum
  - restore agreement between data, LQCD and the Myhrer-Thomas explanation
- Study of GPDs at JLab at 12 GeV *may eventually* provide the primary tool to verify this (also transversity?)





# Summary (cont.)

- For the time being lattice QCD offers the best hope of a determination of L<sup>u</sup> and L<sup>d</sup>
- However:
  - L<sup>u+d</sup> uncertain: omission of disconnected terms;
  - L<sup>u-d</sup> uncertain: need to extrapolate in t and  $m_{\pi}$  over large distance *and* need to subtract <u>implicit value of  $g_{\underline{A}}$ </u> which may have significant finite volume errors
- For reasonable guess at finite volume effect L<sup>u-d</sup> agrees very well with model of Myhrer and Thomas
- Much larger lattice volumes and smaller pion masses should resolve the problem use FRR for extrapolation









