

# Semiinclusive DIS - learning about pdf's & open questions

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*Popular saying: New is well-forgotten old*

## Outline

Basic tool

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### **THE VALENCE AND STRANGE-SEA QUARK SPIN DISTRIBUTIONS IN THE NUCLEON FROM SEMI-INCLUSIVE DEEP INELASTIC LEPTON SCATTERING**

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Close & Milner, Phys.Rev. 1992

How good it is

Strangeness,  $p_t$  dependence

$N_{\uparrow\uparrow(\uparrow\downarrow)}^h$  is the number of hadrons produced in a given  $x(x_{Bj}), z \left( z = \frac{P_+^h}{P_+^{\gamma^*}} \right)$  bin

for parallel (antiparallel) helicities of colliding lepton and the target:

$$N_{\downarrow\uparrow(\uparrow\uparrow)}^h \propto \frac{4}{9}u_{\pm}(x)D_u^h(z) + \frac{1}{9}d_{\pm}(x)D_d^h(z) + \frac{4}{9}\bar{u}_{\pm}(x)D_{\bar{u}}^h(z) + \frac{1}{9}\bar{d}_{\pm}(x)D_{\bar{d}}^h(z) + \frac{1}{9}s_{\pm}(x)D_s^h(z) + \frac{1}{9}\bar{s}_{\pm}(x)D_{\bar{s}}^h(z)$$

D's are hadron fragmentation functions integrated over  $\mathbf{p}_t$ , which do not depend on the quark helicity due to parity conservation

For  $h = \pi^+, \pi^-$ , there are three fragmentation functions only which also depend on  $Q^2$

$$D_1(z) \equiv D_u^{\pi^+}(z) = D_d^{\pi^-}(z) = D_{\bar{d}}^{\pi^+}(z) = D_{\bar{u}}^{\pi^-}(z)$$

$$D_2(z) \equiv D_{\bar{u}}^{\pi^+}(z) = D_u^{\pi^-}(z) = D^{\pi^-} = D_{\bar{d}}^{\pi^+}(z)_{\bar{d}}(z)$$

$$D_3(z) \equiv D_s^{\pi^+}(z) = D_{\bar{s}}^{\pi^-}(z) = D_s^{\pi^+}(z) = D_{\bar{s}}^{\pi^+}(z) = D_{\bar{s}}^{\pi^-}(z).$$

For  $N_{\uparrow\downarrow}^{\pi^+ - \pi^-} \equiv N_{\uparrow\downarrow}^{\pi^+} - N_{\uparrow\downarrow}^{\pi^-}$ ,  $N_{\uparrow\uparrow}^{\pi^+ - \pi^-} \equiv N_{\uparrow\uparrow}^{\pi^+} - N_{\uparrow\uparrow}^{\pi^-}$  sea contribution cancels out

$$N_{\uparrow\downarrow}^{\pi^+ - \pi^-} \sim \left[ \frac{4}{9} u_+^v(x) - \frac{1}{9} d_+^v(x) \right] [D_1(z) - D_2(z)]$$

$$N_{\uparrow\uparrow}^{\pi^+ - \pi^-} \sim \left[ \frac{4}{9} u_-^v(x) - \frac{1}{9} d_-^v(x) \right] [D_1(z) - D_2(z)]$$

$$N_{\uparrow\downarrow}^{\pi^+ - \pi^-} + N_{\uparrow\uparrow}^{\pi^+ - \pi^-} \sim \left[ \frac{4}{9} u^v(x) - \frac{1}{9} d^v(x) \right] [D_1(z) - D_2(z)]$$

not sensitive to quark long. polarization

$$N_{\uparrow\downarrow}^{\pi^+ - \pi^-} - N_{\uparrow\uparrow}^{\pi^+ - \pi^-} \propto \left[ \frac{4}{9} \Delta u^v(x) - \frac{1}{9} \Delta^v(x) \right] [D_1(z) - D_2(z)]$$

contains information about polarized valence quark distributions

Asymmetry

$$A^p(x, z) = \frac{N_{\uparrow\downarrow}^{\pi^+ - \pi^-} - N_{\uparrow\uparrow}^{\pi^+ - \pi^-}}{N_{\uparrow\downarrow}^{\pi^+ - \pi^-} + N_{\uparrow\uparrow}^{\pi^+ - \pi^-}}$$

$$A^p(x, z) = \frac{4\Delta u^v(x) - \Delta d^v(x)}{4u^v(x) - d^v(x)}.$$

should be z-independent → provides self consistency test = accuracy of the leading twist for fragmentation.

$$A^{deuteron}(x, z) = \left(1 - \frac{3}{2}P_D\right) \frac{\Delta u^v(x) + \Delta d^v(x)}{u^v(x) + d^v(x)}$$

depolarization ~ 10% due to D-wave

Most of experimental studies used multiplicities rather than inclusive cross sections  
- more difficult to perform self consistency check

## Other final states probing valence quark polarization

Consider two fastest charged pions with  $z_1, z_2 > 0.2$ .

My guess is that

$$D_d^{\pi^- + \pi^-}(z) / D_u^{\pi^- + \pi^-}(z) \gg D_d^{\pi^-}(z) / D_u^{\pi^-}(z) \quad \text{where } z = z_1 + z_2$$

worth exploring other two particle channels - data exist but to my knowledge were never analyzed

## Sea polarization

Challenging as effect is likely to be small

Combining inclusive and semi inclusive possible but errors are likely to be very large

Close & Milner 1992

Asymmetry of the  $K^-$  production multiplicity,  $A^{p \rightarrow K^-}(z)$   
for large  $z$  where fragmentation of  $\bar{u}$ , and  $s$  to  $K^-$  should dominate

$$D_s^{K^-}(z) / D_{\bar{u}}^{K^-}(z) = 1/R \simeq 1/0.3 \quad |_{z \rightarrow 1}$$

?

Since  $\bar{s}/\bar{u} \leq \frac{1}{2}$  (for  $Q^2 \sim \text{few GeV}^2$ )  $\longrightarrow$   $A^{p/K^-}(x, z)$  measures  $\bar{u} + \lambda \bar{s}$  ( $\lambda \leq 0.3$ )

However fragmentation functions to kaons are poorly known

large uncertainties in multivariable analyses (HERMES) - previous talks

Additional serious problem - possible evidence for late onset of the scaling behavior for fragmentation to leading kaons

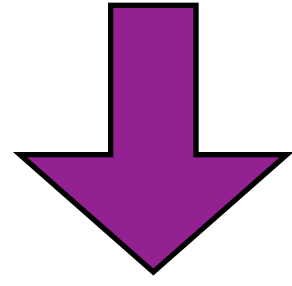
Another idea (FMRSSS89) for strange sea measurement - use fragmentation to  $h = \pi^+ + \pi^-$  ( $\pi^0$  or  $\varphi$ )

in all these cases only two fragmentation functions -

$$D_u^{(\pi^+ + \pi^-)}(z) = D_{\bar{u}}^{(\pi^+ + \pi^-)}(z) = D_d^{(\pi^+ + \pi^-)}(z) = D_{\bar{d}}^{(\pi^+ + \pi^-)}(z) \equiv D(z),$$

$$D_s^{(\pi^+ + \pi^-)}(z) = D_{\bar{s}}^{(\pi^+ + \pi^-)}(z) \equiv D_s(z).$$





$$n^{\uparrow\downarrow}(x, z) \equiv \frac{1}{\sigma_{\uparrow\downarrow}^T} \frac{d\sigma_{\uparrow\downarrow}^{(\pi^+\pi^-)}}{dz} = \frac{[\frac{4}{9}u_+(x) + \frac{1}{9}d_+(x)]D(z) + \frac{1}{9}s_+(x)D_s(z)}{[\frac{4}{9}u_+(x) + \frac{1}{9}d_+(x) + \frac{1}{9}s_+(x)]}$$

$$n^{\uparrow\uparrow}(x, z) \equiv \frac{1}{\sigma_{\uparrow\uparrow}^T} \frac{d\sigma^{(\pi^+\pi^-)}}{dz} = \frac{[\frac{4}{9}u_-(x) + \frac{1}{9}d_-(x)]D(z) + \frac{1}{9}s_-(x)D_s(z)}{[\frac{4}{9}u_-(x) + \frac{1}{9}d_-(x) + \frac{1}{9}s_-(x)]}$$

here  $q(u,d,s)$  is short hand for  $q + \bar{q}$

$$q(x) \equiv q_+(x) + q_-(x), \quad \Delta q(x) \equiv q_+(x) - q_-(x)$$

$$n^{(\uparrow\downarrow),(\uparrow\uparrow)}(x, z) = D(z) + \frac{[D_s(z) - D(z)][s(x) \pm \Delta s(x)]}{18F_1^p(x)[1 \pm A_1^p(x)]}$$

$A_1^p(x)$  inclusive asymmetry

$F_1^p(x)$  spin independent structure function of the proton

$$n^{\uparrow\downarrow}(x, z) - n^{\uparrow\uparrow}(x, z) = \frac{[D_s(z) - D(z)]}{18F_1^p(x)} \left( \frac{s(x) - \Delta s(x)}{1 + A_1^p(x)} - \frac{s(x) + \Delta s(x)}{1 - A_1^p(x)} \right)$$

$$= \frac{[D_s(z) - D(z)]}{9F_1^p(x)} \left( \frac{\Delta s(x) - A_1^p(x)s(x)}{1 - [A_1^p(x)]^2} \right)$$

For large  $z$

$$D_s^{\pi^+\pi^-}(z) \ll D^{\pi^+\pi^-}(z)$$

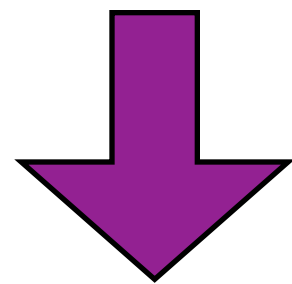
$$D_s^{\pi^0}(z) \ll D^{\pi^0}(z)$$

$$D_s^\phi(z) \gg D^\phi(z)$$

- certain advantages for  $\pi^0$  ( $\pi^0 + \eta$ ) channel as compared to charged pions
- opposite sign of the effect for pions and  $\varphi$ -mesons

Using spin averaged multiplicities we can also write

$$n^{\uparrow\downarrow}(x, ) - n^{\uparrow\uparrow}(x, z) = [n^{\uparrow\downarrow}(x, z) + n^{\uparrow\uparrow}(x, z) - 2D(z)] \left( \frac{\Delta s(x) - A_1^p(x)s(x)}{s(x) - A_1^p(x)\Delta s(x)} \right)$$



*Studies of  $\Delta s$  are difficult but not hopeless. In some sense easier than studies of  $s$ .*

# Open questions

*Precocious scaling for fragmentation if no (practically) antibaryons is produced for  $W < 4$  (6) GeV. Baryons migrate from target fragmentation to current fragmentation.*

EMC data including extrapolation

$$\Delta^\pi = \int_0^1 [D_u^{\pi^+}(z) - D_u^\pi(z)] dz = 0.382 \pm 0.031,$$

$$\Delta^p = \int_0^1 [D_u^p(z) - D_u^{\bar{p}}(z)] dz = 0.047 \pm 0.011,$$

$$\Delta^K = \int_0^1 [D_u^{K^+}(z) - D_u^{K^-}(z)] dz = 0.122 \pm 0.023.$$

Charge sum rule for u-quark  
roughly OK

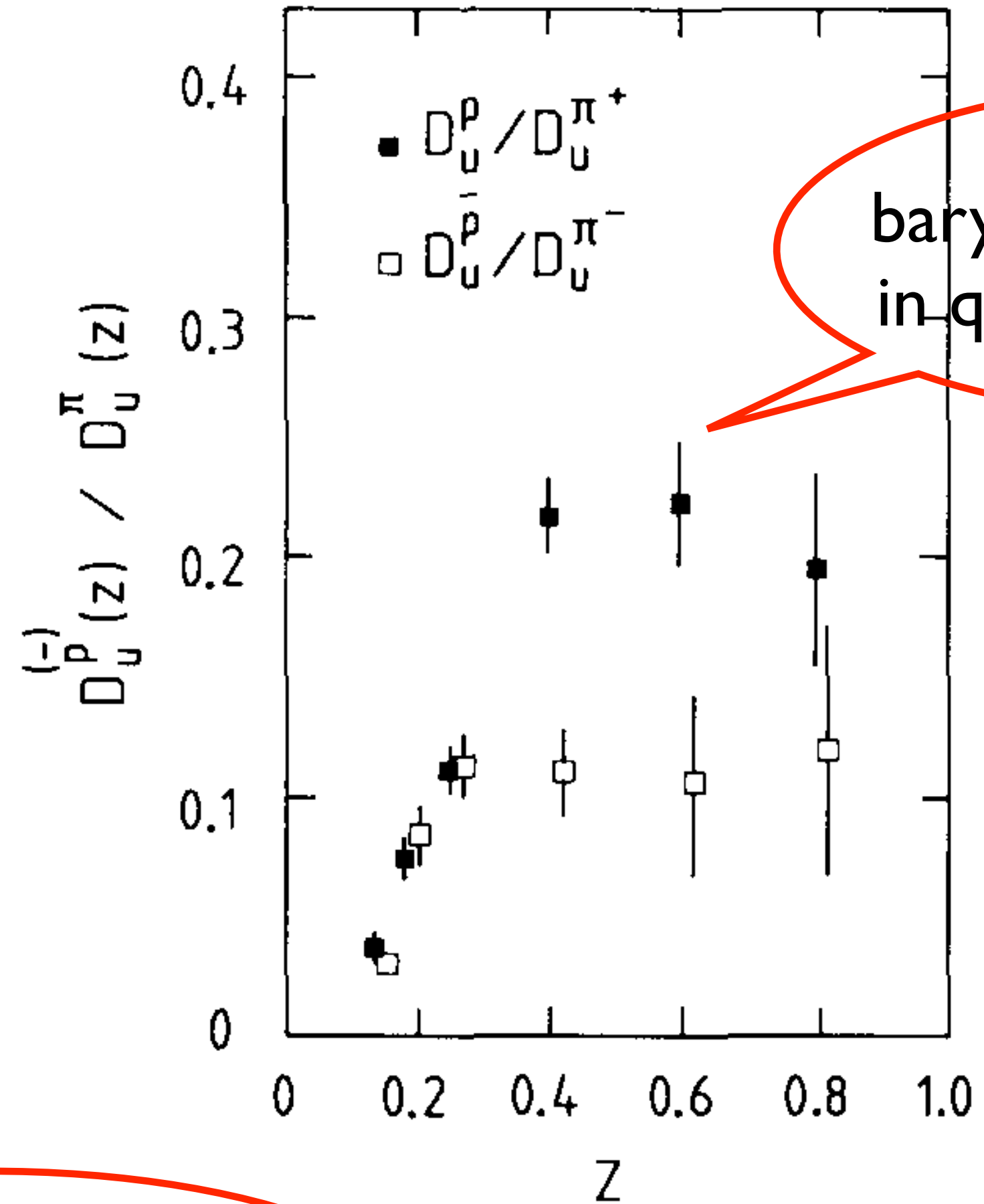
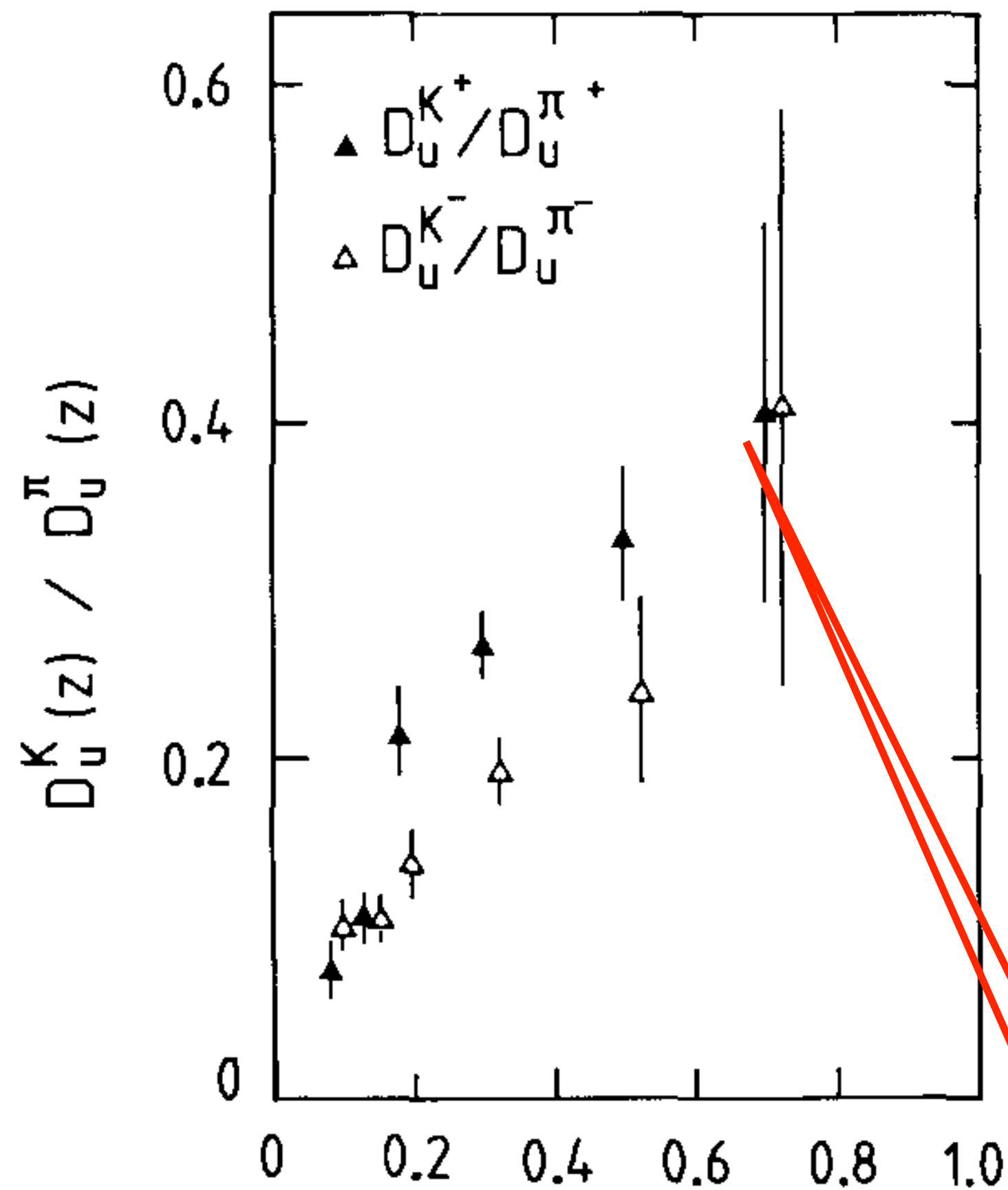
$$\Delta^\pi + \Delta^p + \Delta^K = 0.551 \pm 0.04$$

Baryon Charge sum rule bad

$$\Delta^B < \Delta^p \times 2 \times (1 + \lambda_s)^2 < 0.2$$

neutrons

Ratios of the u quark fragmentation functions into kaons (protons antiprotons) and pions vs. the energy fraction. The errors shown are the statistical errors.



baryons are important in quark fragmentation

strangeness suppression,  $\lambda_s$  is rather mild

$$\lambda_s(\text{EMC}) \sim 0.4 \gg \lambda_s(\text{HERMES}) \sim 0.16$$

from fit using PYTHIA ??

Does  $\lambda_s$  appears to increases with hardness of the process?  
(perhaps also  $W$ - see next slides)



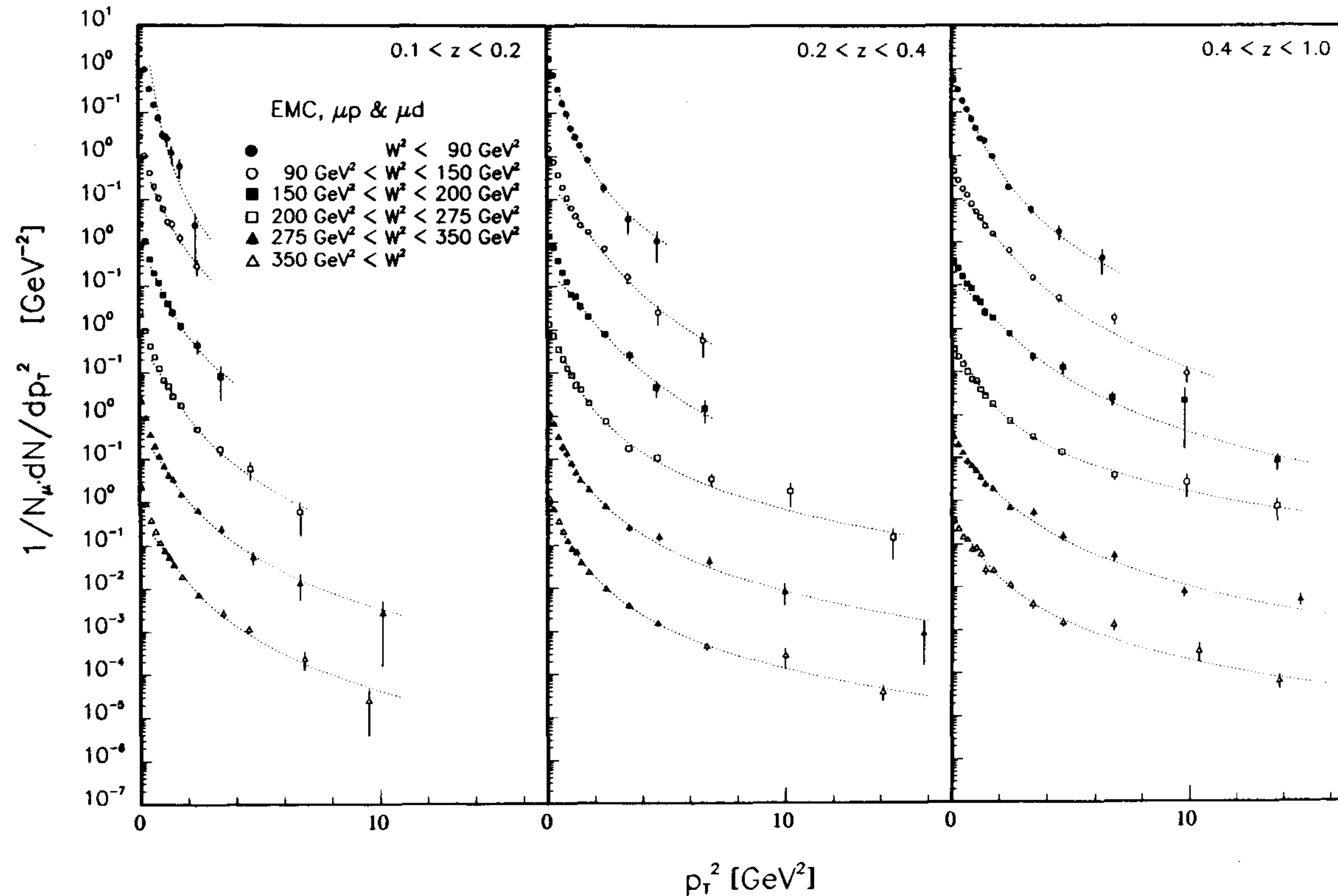
Corrections to factorize form for semi inclusive DIS are probably large/significant in a wide energy range. Perhaps “optimal” choices of variables / lack of dynamics range mask them.

$$z = \frac{P_+^h}{P_+^{\gamma^*}} \quad \text{vs} \quad z = \frac{E^h}{\nu}$$

Example: Taking spectrum  $\sim (1-z)^2$ , for  $x=1/3$ ,  $Q^2=2\text{GeV}^2$  difference is 20%

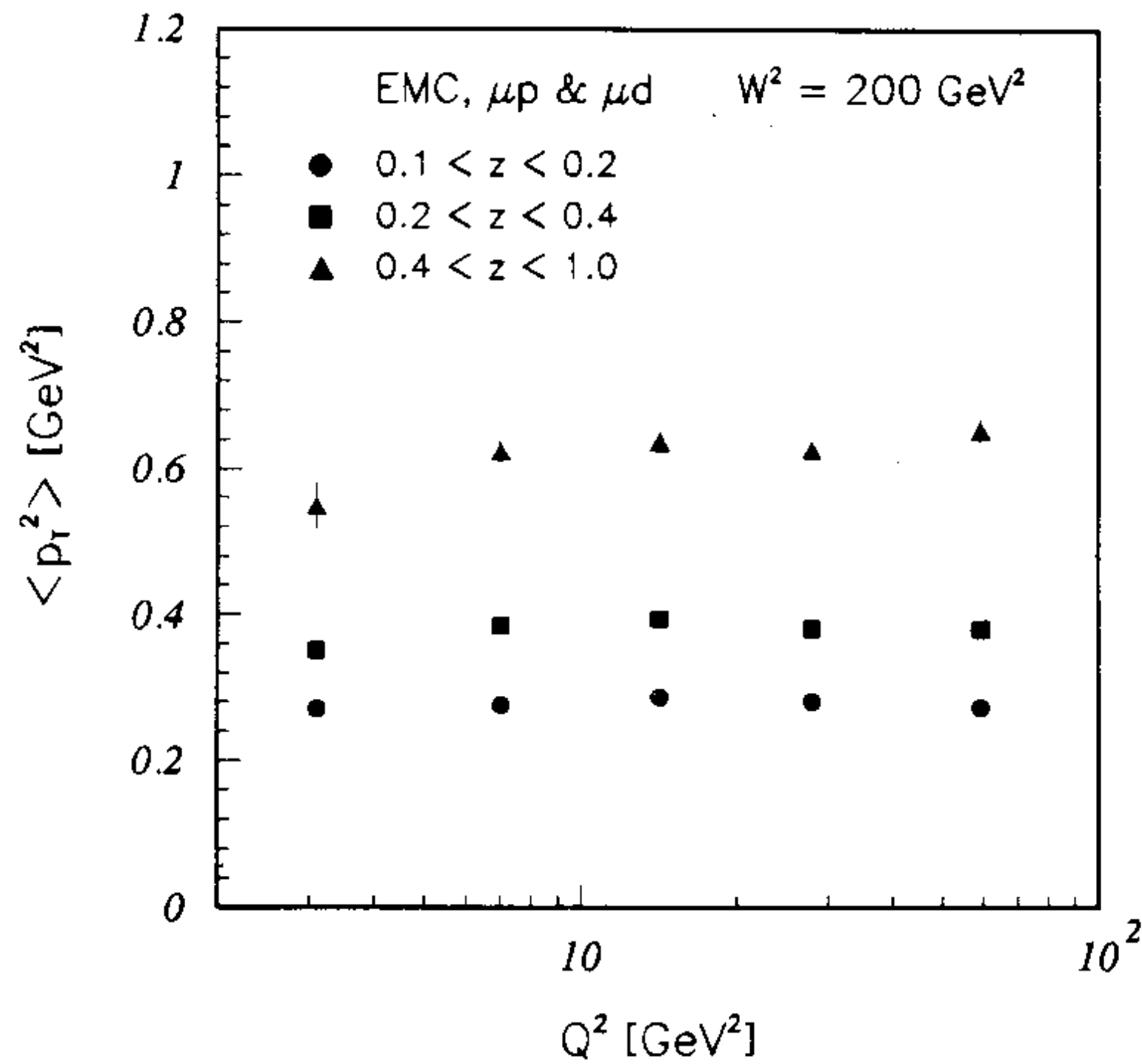
# Fragmentation and $p_t$ broadening. Open questions - of relevance for transverse spin asymmetries.

*Will illustrate using EMC data:*

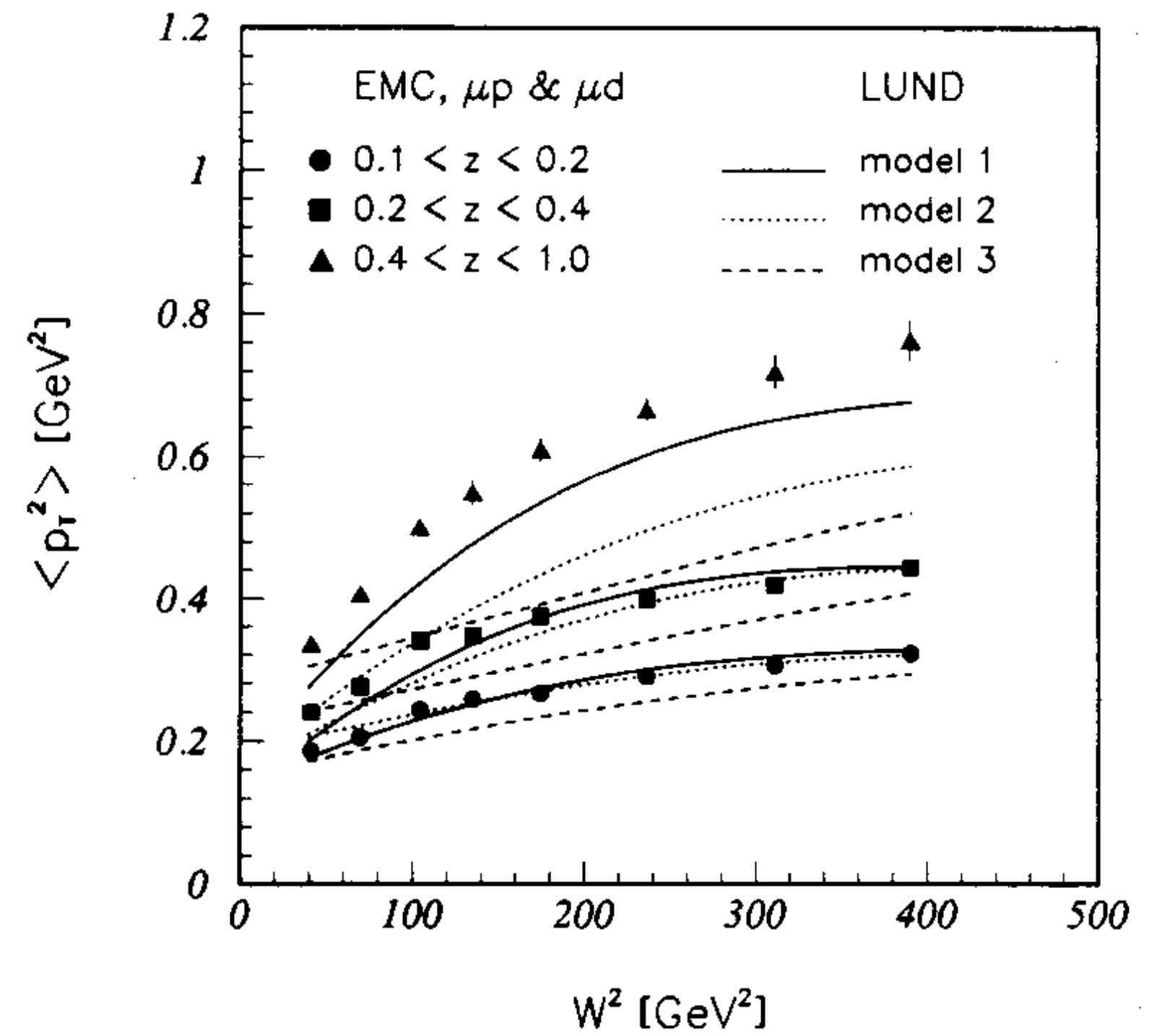


**Fig. 3.** Normalised differential  $p_t^2$  distributions for charged hadrons of the merged  $\mu p$ - and  $\mu d$ -data in different  $W^2$  and  $z$  bins. The dotted lines represent fits using the ansatz  $\frac{1}{N_\mu} \cdot \frac{dN_h}{dp_t^2} \propto 1/(m^2 + p_t^2)^\alpha$  inspired by a propagator form. The errors shown are statistical only





**Fig. 5.**  $\langle p_t^2 \rangle$  of charged hadrons for fixed  $W^2$  as a function of  $Q^2$  in different  $z$  bins. The errors shown are statistical only



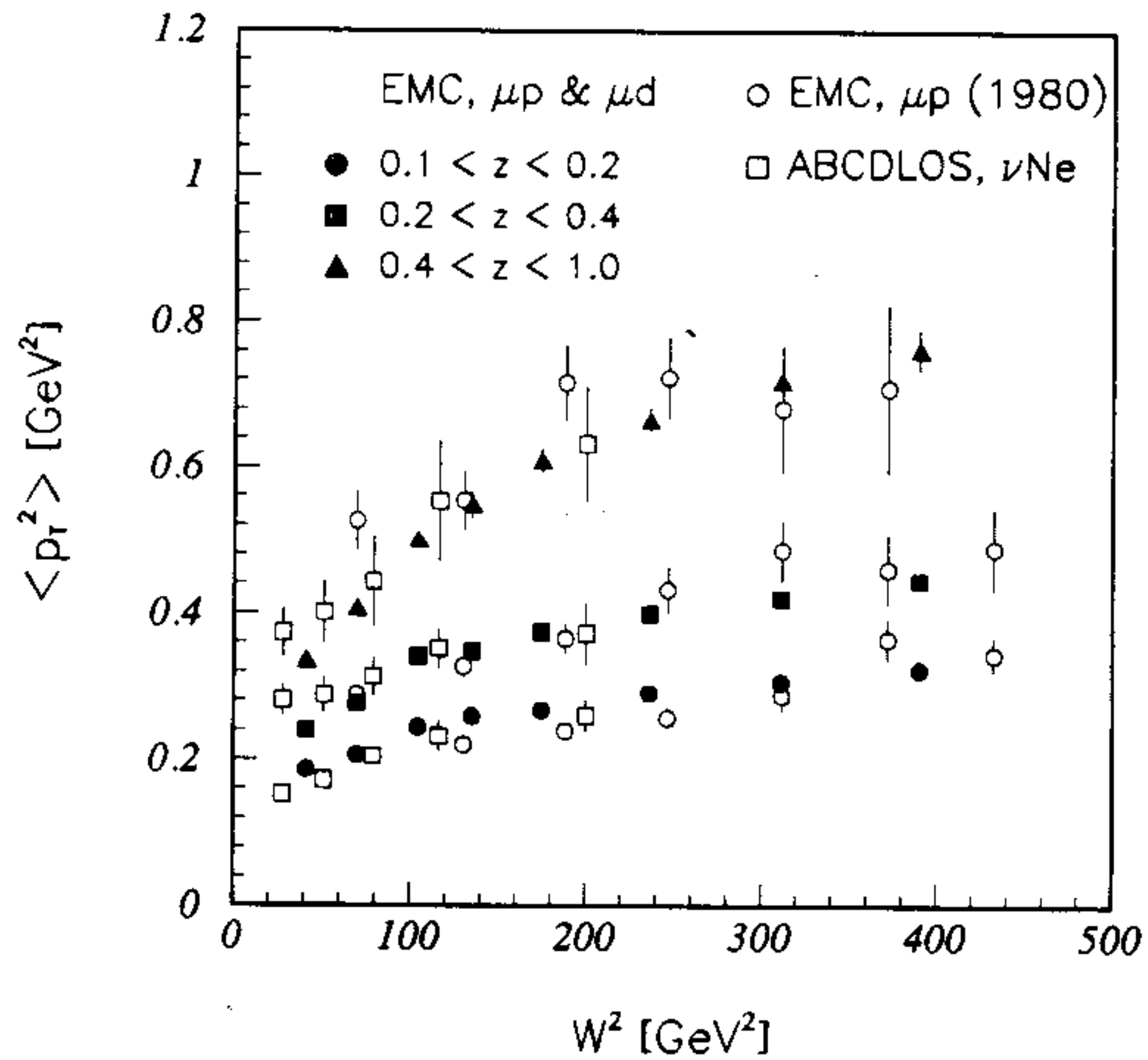
**Fig. 8.** Comparison of the  $W^2$  and  $z$  dependence of  $\langle p_t^2 \rangle$  of charge hadrons with different versions of the Lund fragmentation model [18, 20]. The errors shown are statistical only

Weak increase with  $Q^2$  - pQCD?

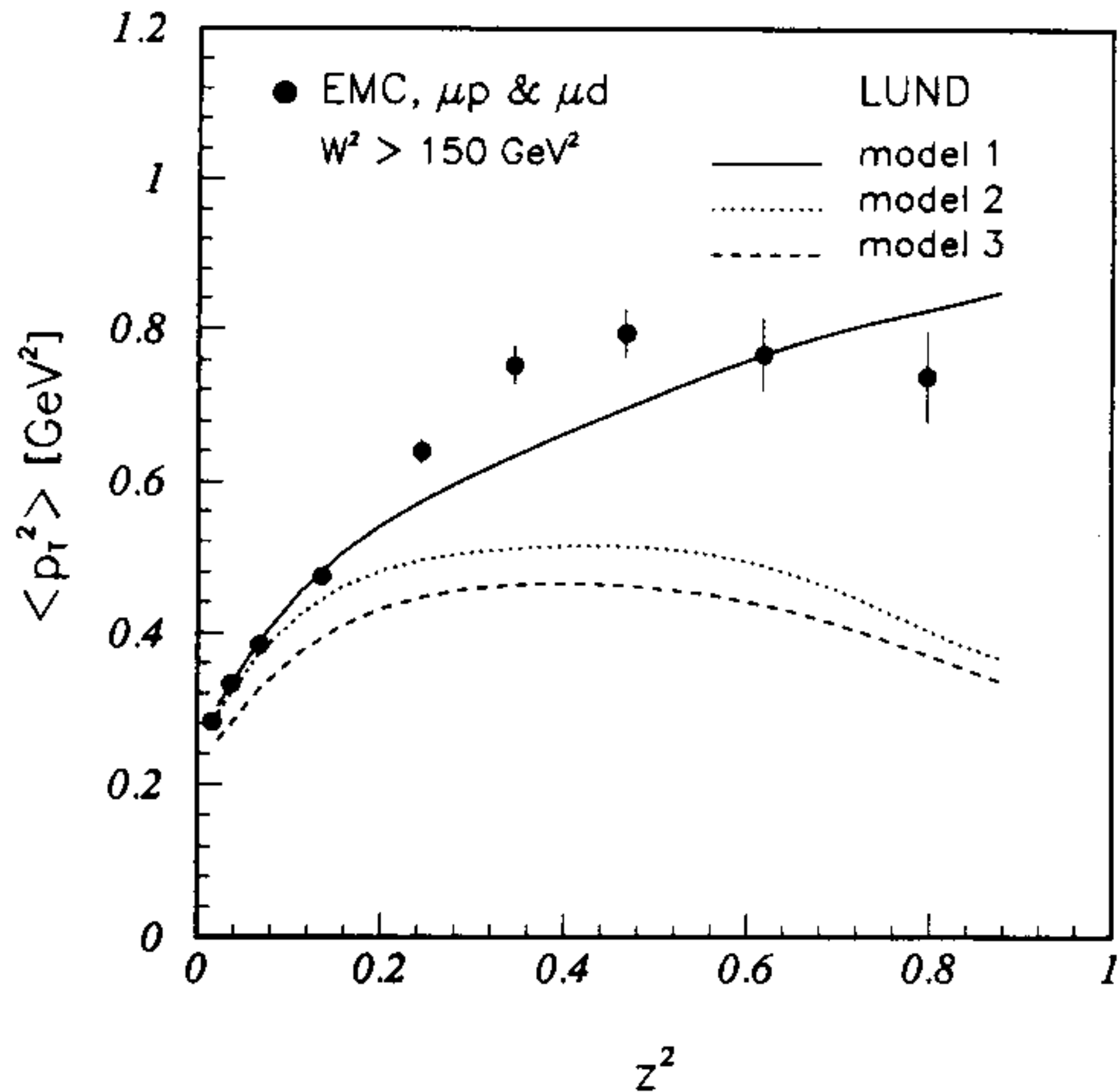
Strong increase with  $W^2$  - pQCD????

Effect of larger phase volume ?

The rise was seen  
before EMC:



**Fig. 6.** Comparison of  $\langle p_T^2 \rangle$  of charged hadrons as a function of  $W^2$  with BEBC data of the collaboration ABCDLOS [29] and a previous EMC analysis [27]. The three  $z$  ranges for the data shown are the same for the three analyses. The errors shown are statistical only



**Fig. 9.** Comparison of the  $z^2$  dependence of  $\langle p_t^2 \rangle$  of charged hadrons with different versions of the Lund fragmentation model [18, 20]. The errors shown are statistical only

## Parton model:

$$\langle p_t^2 \rangle = \langle p_t^2(q \rightarrow h) \rangle + \langle k_t(\text{primordial})^2 \rangle z^2$$

pQCD including  
soft interactions  
of knocked out parton:

$$\langle p_t^2 \rangle = \langle p_t^2(q \rightarrow h) \rangle + (\langle k_t(\text{primordial})^2 \rangle + \langle k_t(\text{soft})^2 \rangle) z^2$$

$$\langle p_t^2(q \rightarrow h)(z) \rangle$$

drops at large  $z$  due to suppression  
of the gluon radiation

Is broadening seems too large for primordial  $k_t$  effect grows with  $W$  (decrease of  $x$ ). Sea quarks have larger  $\langle k_t \rangle$ . EMC : correlations in rapidity between leading hadron and hadrons in the target fragmentation would be different- probably wrong argument as the second parton is also at smallish  $x$ . Good check would be to compare  $p_t$  distributions for channels dominated by valence quarks and by sea quarks.

Coulomb exchange effect? Elastic rescattering. Could increase with  $W$ .

Could increase with decrease of  $x$  - larger longitudinal distances

need effect  $\gg$  than  $p_t$  broadening in pA scattering where

$$\Delta p_t^2 (A \sim 200) \sim 0.1 \text{GeV}^2$$

while the gluon thickness 3 times larger

need to check what hadrons and at what rapidities balance  $p_t$  of leading hadron. ( sum rule  $\sum p_t = -p_t$  forward)

# Conclusions

More attention is necessary to accuracy LT for fragmentation.

Probably the biggest challenge is transverse momentum dependence on  $W$ .

For strange sea - channels with smallest systematics are should be investigated -  $\pi^0$ ,  $\varphi$ .

Comment on experiments with polarized nuclear targets.  
If one wants to use  $^3\text{He}$ , one needs in parallel experiments with  $^7\text{Li}$ ,...