

# *Ab initio calculations of light-ion fusion reactions*

*INT-12-3 Workshop Structure of Light Nuclei*

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Sofia Quaglioni



## **Collaborators:**

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J. Langhammer (TU Darmstadt)

LLNL-PRES-589117

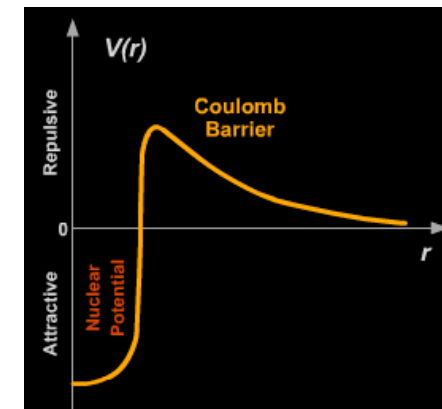
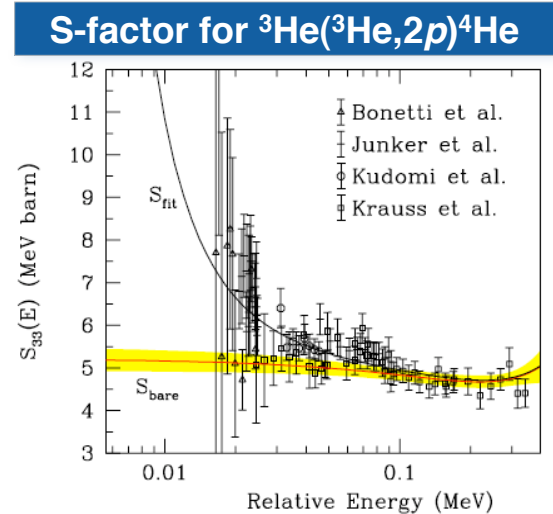
This work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under contract DE-AC52-07NA27344. Lawrence Livermore National Security, LLC



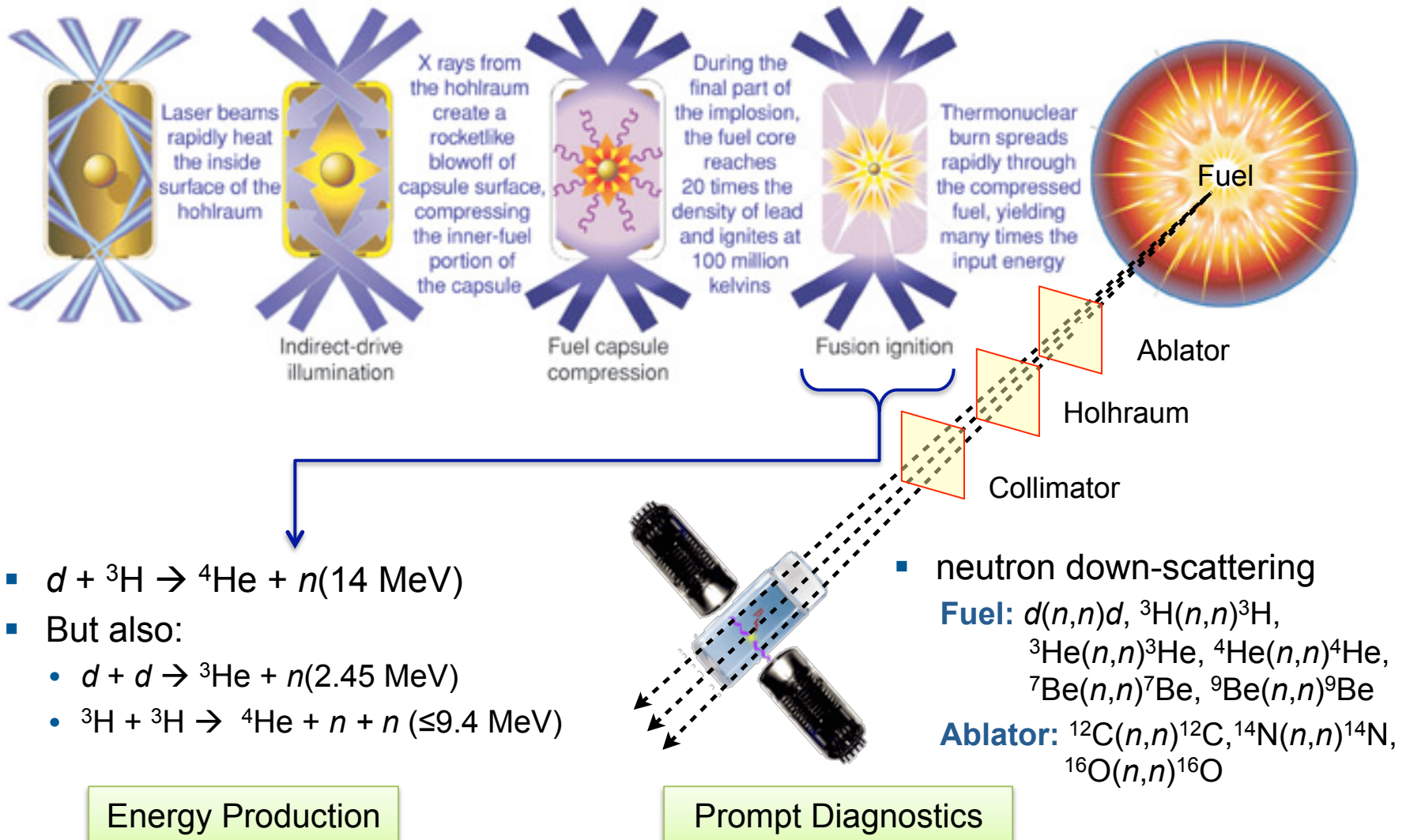
# To understand the evolution of the Universe and the building blocks necessary for human life we need to understand fusion reactions

- Standard model of solar neutrinos:  ${}^7\text{Be}(p,\gamma){}^8\text{B}$ ,  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ ,  ${}^3\text{He}({}^3\text{He},2p){}^4\text{He}$ , ...
  - Stellar nucleosynthesis:  $2\alpha(\alpha,\gamma){}^{12}\text{C}$ ,  ${}^{12}\text{C}(\alpha,\gamma){}^{16}\text{O}$ , ...
  - But ... Difficult or impossible to measure
    - Low rates due to Coulomb repulsion between projectile and target, cross section drops exponentially as  $E \rightarrow 0$
    - Projectile and target not fully ionized → Large electron-screening corrections
- ↓
- Astrophysical energies hard to reach in laboratory
  - Extrapolations from higher energies can be unreliable!

A fundamental theory is needed to enhance predictive capability of stellar modeling



# Light-ion reactions come into play in Earth-based fusion facilities (e.g., National Ignition Facility) when the fuel begins to burn



# From nucleons to nuclei to fusion reactions



## ■ Primary Objectives:

*Arrive at a fundamental understanding of nuclear properties from a unified theoretical standpoint rooted in the fundamental forces among nucleons*

*Develop theoretical foundations for an accurate description of reactions between light ions in a thermonuclear environment*

## ■ How?

- Solve non-relativistic Schrödinger equation for  $A$  (all active) nucleons interacting through two- and three-nucleon (NN+NNN) forces (= *ab initio* calculation)
- Structural properties (bound states, narrow resonances)
  - *Ab initio* many-body approaches ( $A \leq \sim 16$ ); No-Core Shell Model (NCSM)
- Dynamical properties (scattering, reactions)
  - Extend No-Core Shell-Model with the Resonating Group Method (RGM)

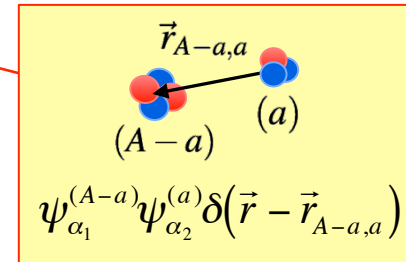
**Can we describe nuclei and their interactions with point-like nucleons and realistic interactions?**

# Ab initio NCSM/RGM Formalism

S. Quaglioni & P. Navrátil, Phys. Rev. Lett. 101, 092501 (2008); Phys. Rev. C 79, 044606 (2009)

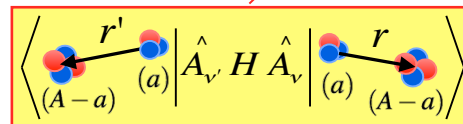
- Starts from:

$$\Psi_{RGM}^{(A)} = \sum_{\nu} \int d\vec{r} g_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \Phi_{\nu\vec{r}}^{(A-a,a)} \right\rangle$$

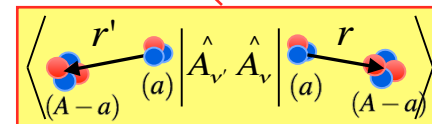


- Projects Schrödinger equation on channel basis:

$$H\Psi_{RGM}^{(A)} = E\Psi_{RGM}^{(A)} \rightarrow \sum_{\nu} \int d\vec{r} \left[ H_{\nu\nu}(\vec{r}', \vec{r}) - E N_{\nu\nu}(\vec{r}', \vec{r}) \right] g_{\nu}(\vec{r}) = 0$$



Hamiltonian kernel



Norm (overlap) kernel

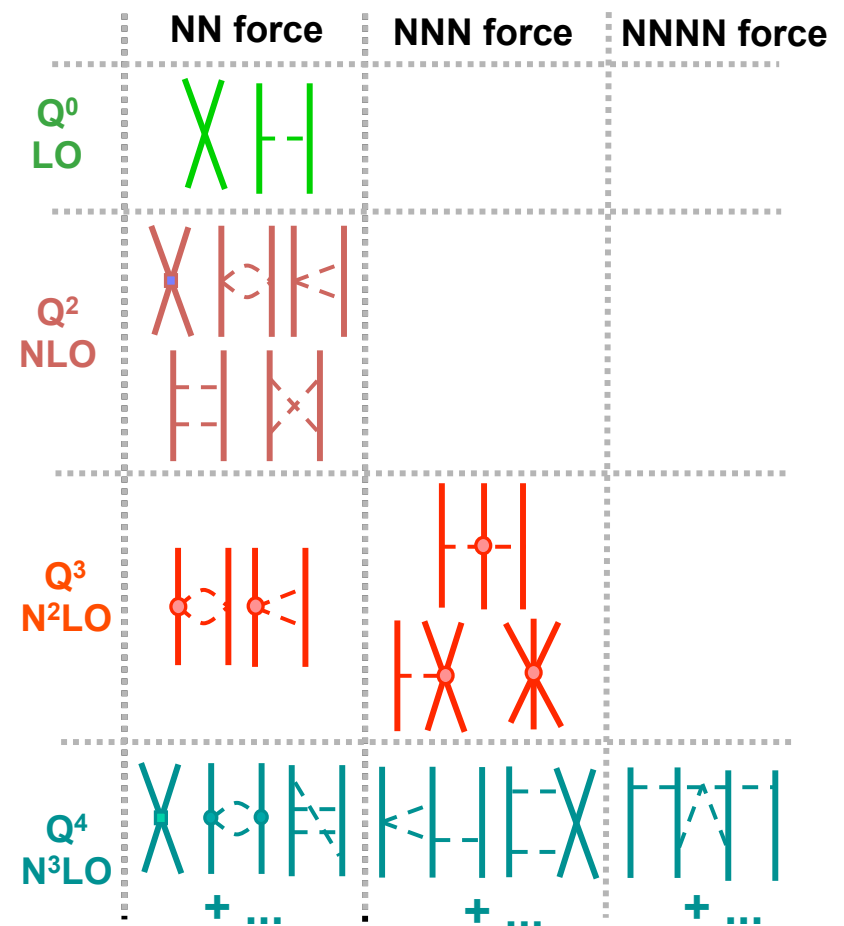
- Constructs integration kernels ( $\approx$  projectile-target potentials) starting from:
  - NN +NNN (chiral EFT) interactions
  - NCSM *ab initio* wave functions

RGM accounts for: 1) interaction (Hamiltonian kernel) and 2) Pauli principle (Norm kernel) between clusters; NCSM accounts for: internal structure of clusters

# Inputs:

## 1) Accurate nuclear interactions (and currents)

- Nuclear forces are governed by quantum chromodynamics (QCD)
  - QCD non perturbative at low energies
- Chiral effective field theory ( $\chi$ EFT)
  - retains all symmetries of QCD
  - explicit degrees of freedom:  $\pi$ , N
- Perturbative expansion in positive powers of  $(Q/\Lambda_\chi) \ll 1$  ( $\Lambda_\chi \sim 1$  GeV)
  - nuclear interactions
  - nuclear currents
- Chiral symmetry dictates operator structure
- Low-energy constants (LECs) absorb short-range physics
  - some day all from lattice QCD
  - now constrained by experiment



Worked out by Van Kolck, Keiser, Meissner, Epelbaum, Machleidt, ...

Challenge and necessity: apply  $\chi$ EFT forces to nuclei

# Inputs:

## 2) Many-body wave functions of targets and projectiles

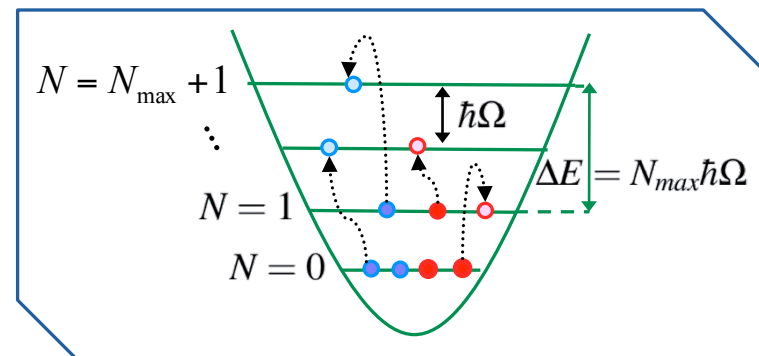
- Solve: 
$$H^{(A-a)} \psi_{\alpha_1}^{(A-a)}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_{A-a}) = E_{\alpha_1}^{(A-a)} \psi_{\alpha_1}^{(A-a)}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_{A-a})$$
$$H^{(a)} \psi_{\alpha_2}^{(a)}(\vec{r}_{A-a+1}, \vec{r}_{A-a+2}, \dots, \vec{r}_A) = E_{\alpha_2}^{(a)} \psi_{\alpha_2}^{(a)}(\vec{r}_{A-a+1}, \vec{r}_{A-a+2}, \dots, \vec{r}_A)$$

- The NCSM approach:

- Large (but finite!) expansions in  $A$ -body **harmonic oscillator (HO)** basis (Jacobi relative or Cartesian single-particle coordinates)

$$\psi^{(K)} = \sum_{N=N_{\min}}^{N_{\max}} c_N \Phi_N^{HO}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_K)$$

- Preserves translational invariance (also with Slater-Determinant basis!)
- Can include NN+NNN interactions
- Uses effective interaction to accelerate convergence to exact solution with  $N_{\max}$



# Inputs:

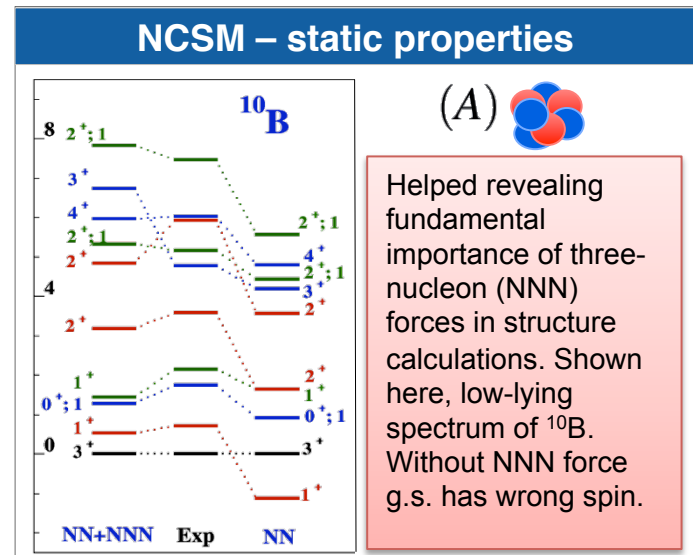
## 2) Many-body wave functions of targets and projectiles

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# A bit of help:

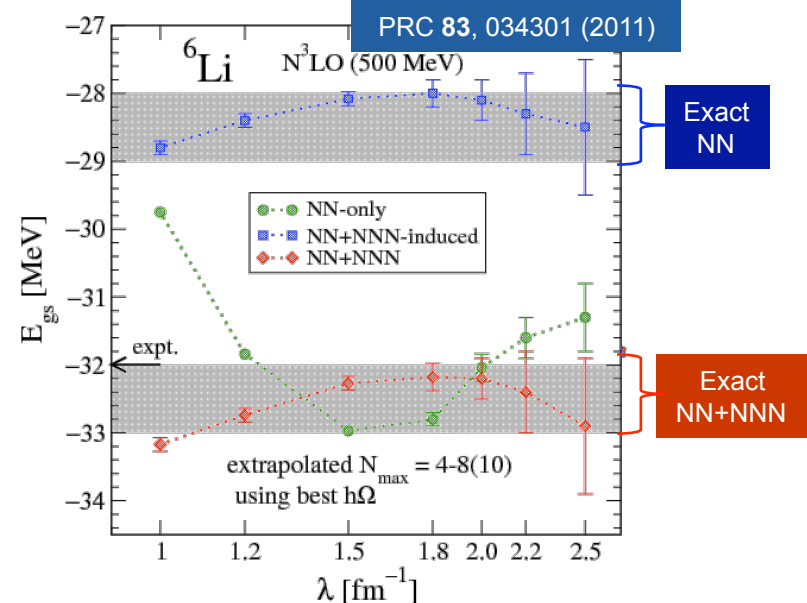
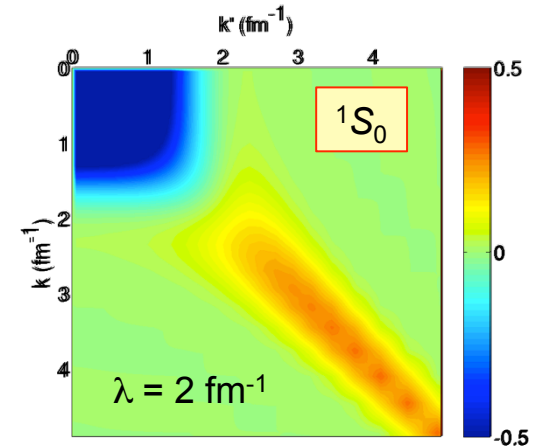
## 3) Effective interaction

- Similarity Renormalization Group (SRG) method
  - Sequence of unitary transformations that decouple low- and high-momentum parts of the interaction

$$H_s = U_s H U_s^+ \Rightarrow \frac{dH_s}{ds} = [[G, H_s], H_s] \quad \left(s = \frac{1}{\lambda^4}\right)$$

- Makes the nuclear many-body problem more tractable
- The same effective interaction used to obtain:
  - Structure of projectiles and targets
  - Non-local projectile-target potentials
- Introduces three-body interactions

The SRG method offers a new (and improved) approach to exact descriptions of light nuclei with realistic NN, NNN interactions



# Norm kernel (Pauli principle)

$$\left\langle \Phi_{v'\vec{r}'}^{(A-a',a')} \left| \hat{A}^{(A-a',a')} \hat{A}^{(A-a,a)} \right| \Phi_{v\vec{r}}^{(A-a,a)} \right\rangle$$

- Formalism is non-trivial and depends on mass numbers of projectiles:  $a, a'$

$a, a' = 1$   $N_{a'=1v',a=1v}(\vec{r}',\vec{r}) =$

$- (A-1) \times$

$$\sum_{n'n} R_{n'l'}(r') \left\langle \Phi_{v'n'}^{(A-1,1)} \left| \hat{P}_{A-1,A} \right| \Phi_{vn}^{(A-1,1)} \right\rangle R_{nl}(r)$$

localized

$\delta_{v'v} \frac{\delta(r'-r)}{r'r}$

$a, a' = 2$   $N_{a'=2v',a=2v}(\vec{r}',\vec{r}) =$

$- 2(A-2) \times$   $+ (A-2)(A-3)/2 \times$

In general, for  $a \geq a'$  need many-body matrix elements of one- to up to  $a$ -body exchanges

# Hamiltonian kernel (Projectile-target potentials)

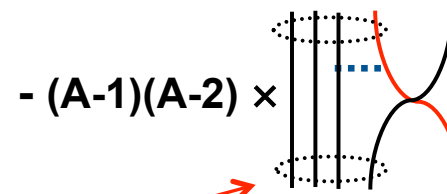
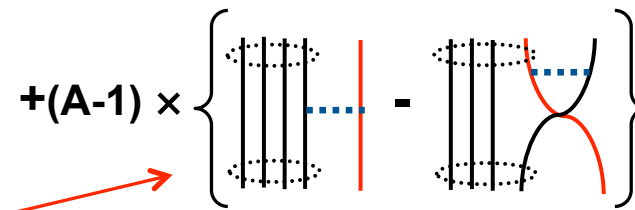
$$\left\langle \Phi_{v'\vec{r}'}^{(A-a',a')} \left| \hat{A}^{(A-a',a')} H \hat{A}^{(A-a,a)} \right| \Phi_{v\vec{r}}^{(A-a,a)} \right\rangle$$

- More complicated than norm kernel ...

$$a, a' = 1$$

$$H_{a'=1v',a=1v}(r',r) = \left[ T_{rel}(r') + V_C(r') + E_{\alpha_1}^{(A-1)} \right] N_{a'=1v',a=1v}(r',r)$$

$$\sum_{n'n} R_{n'\ell'}(r') \left\langle \Phi_{v'n'}^{(A-1,1)} \left| V_{A-1,A} (1 - \hat{P}_{A-1,A}) \right| \Phi_{vn}^{(A-1,1)} \right\rangle R_{n\ell}(r)$$



$$\sum_{n'n} R_{n'\ell'}(r') \left\langle \Phi_{v'n'}^{(A-1,1)} \left| V_{A-2,A} \hat{P}_{A-1,A} \right| \Phi_{vn}^{(A-1,1)} \right\rangle R_{n\ell}(r)$$

+ terms containing NNN potential

The matrix elements of the potential are all localized and can be expanded in HO radial wfs

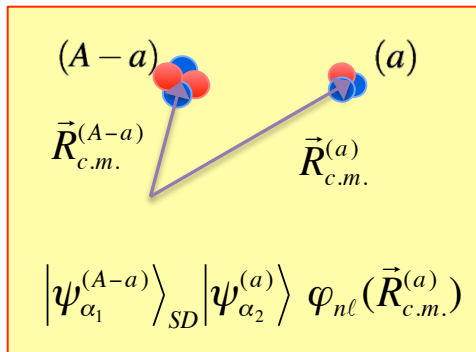
# Matrix elements of translationally invariant operators

- Translational invariance is preserved (exactly!) also with SD cluster basis

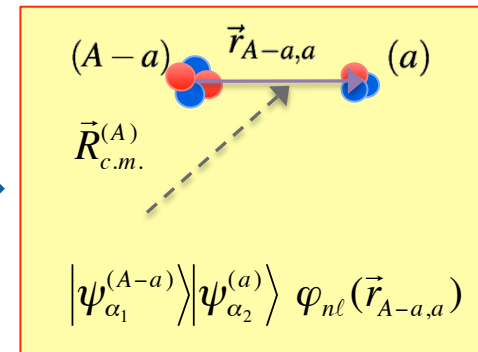
$${}_{SD} \left\langle \Phi_{f_{SD}}^{(A-a',a')} \left| \hat{O}_{t.i.} \right| \Phi_{i_{SD}}^{(A-a,a)} \right\rangle_{SD} = \sum_{i_R f_R} M_{i_{SD} f_{SD}, i_R f_R} \left\langle \Phi_{f_R}^{(A-a',a')} \left| \hat{O}_{t.i.} \right| \Phi_{i_R}^{(A-a,a)} \right\rangle$$

Calculate these

Interested in these



Matrix inversion



- Advantage: can use powerful second quantization techniques

$${}_{SD} \left\langle \Phi_{v'n'}^{(A-a',a')} \left| \hat{O}_{t.i.} \right| \Phi_{vn}^{(A-a,a)} \right\rangle_{SD} \propto {}_{SD} \left\langle \psi_{\alpha_1'}^{(A-a')} \left| a^+ a \right| \psi_{\alpha_1}^{(A-a)} \right\rangle_{SD}, {}_{SD} \left\langle \psi_{\alpha_1'}^{(A-a')} \left| a^+ a^+ a a \right| \psi_{\alpha_1}^{(A-a)} \right\rangle_{SD}, \dots$$

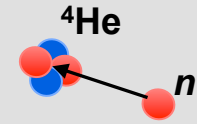
# Solving the RGM equations

- The many-body problem has been reduced to a **two-body problem!**
  - **Macroscopic degrees of freedom:** nucleon clusters
  - **Unknowns:** relative wave function between pairs of clusters
- Non-local integral-differential coupled-channel equations:

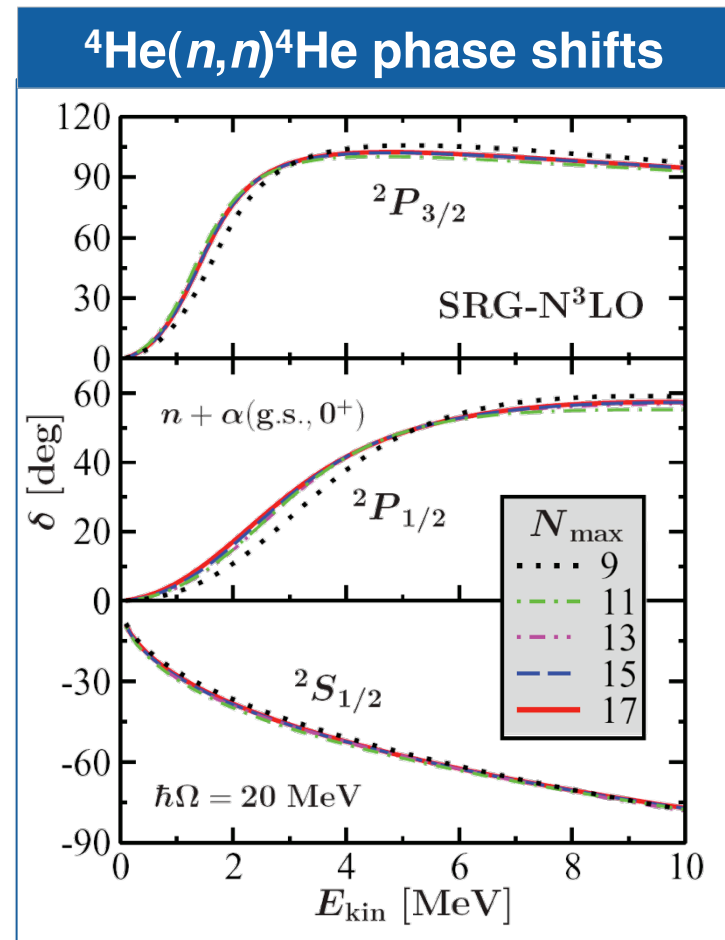
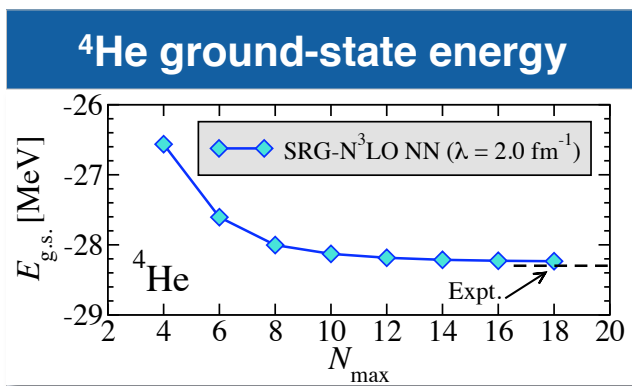
$$\left[ T_{rel}(r) + V_C(r) + E_{\alpha_1}^{(A-a)} + E_{\alpha_2}^{(a)} \right] u_\nu(r) + \sum_{\nu'} \int dr' r' W_{\nu\nu'}(r, r') u_{\nu'}(r') = 0$$

- Solve with microscopic R-matrix theory
  - **Bound state boundary conditions** → eigenenergy + eigenfunction
  - **Scattering state boundary conditions** → Scattering matrix
    - Phase shifts
    - Cross sections
    - ...

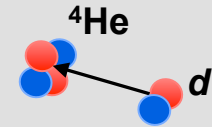
# Convergence with respect to HO basis size ( $N_{\max}$ )



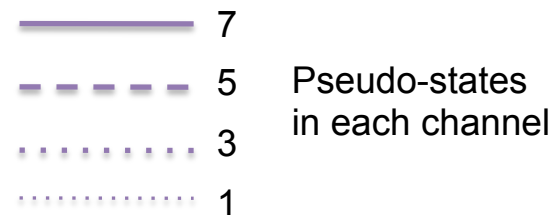
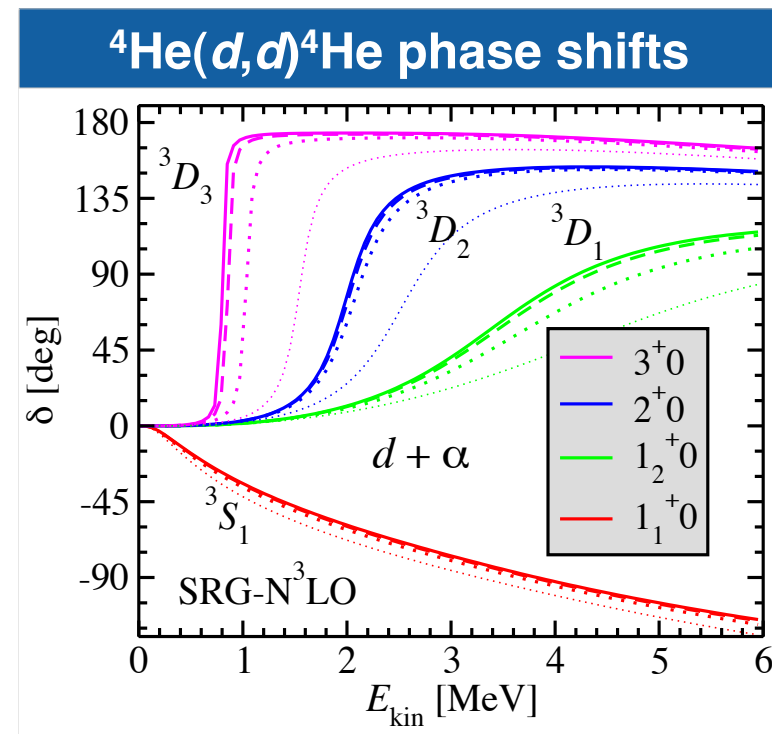
- Influenced by:
  - 1) Convergence of target and projectile wave functions
  - 2) Convergence of localized parts of the integration kernels
- Here:
  - $n + 4\text{He}(\text{g.s.}, 0^+)$  phase shifts
  - SRG- $N^3\text{LO}$  NN potential ( $\lambda = 2 \text{ fm}^{-1}$ )



# Convergence with respect to RGM model space (number/type of binary clusters)

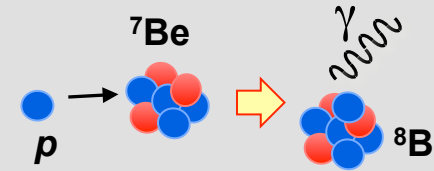


- NCSM/RGM describes binary reactions (below three-body breakup threshold)
- If projectile (or target) can be easily deformed or broken apart
  - Need to account for virtual breakup
  - **Approximate treatment:**  
Include multiple excited (pseudo-) states of the clusters
  - **Exact treatment:**
    - 1) Inclusion of three-body clusters
    - 2) Solution of three-body scattering
- Here:
  - $d(\text{g.s.}, {}^3S_1\text{-}{}^3D_1, {}^3D_2, {}^3D_3\text{-}{}^3G_3) + {}^4\text{He}(\text{g.s.})$
  - SRG-N<sup>3</sup>LO NN potential ( $\lambda = 1.5 \text{ fm}^{-1}$ )



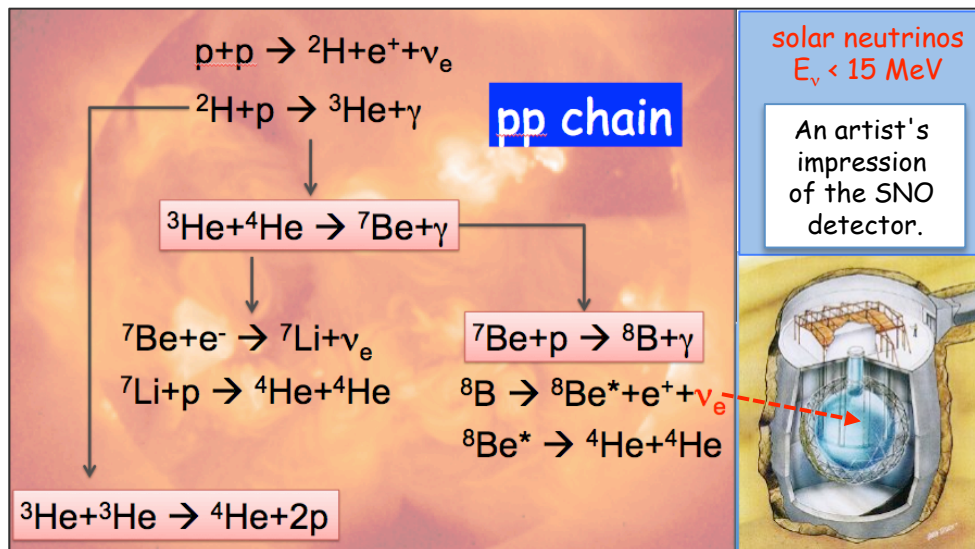
# The ${}^7\text{Be}(p,\gamma){}^8\text{B}$ radiative capture

P. Navrátil, R. Roth, and S.Q., Phys. Lett. B704, 379 (2011)



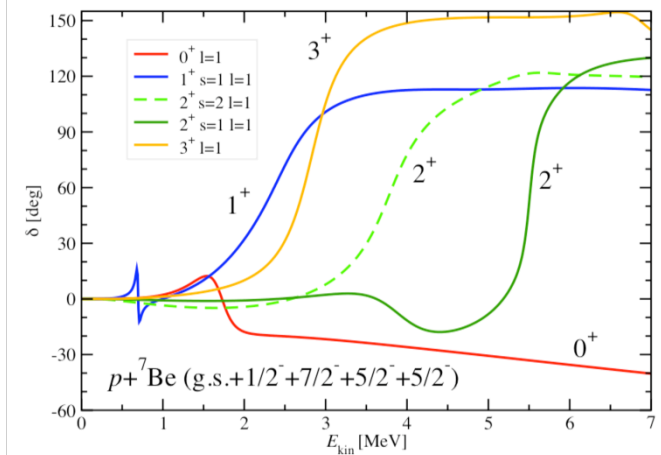
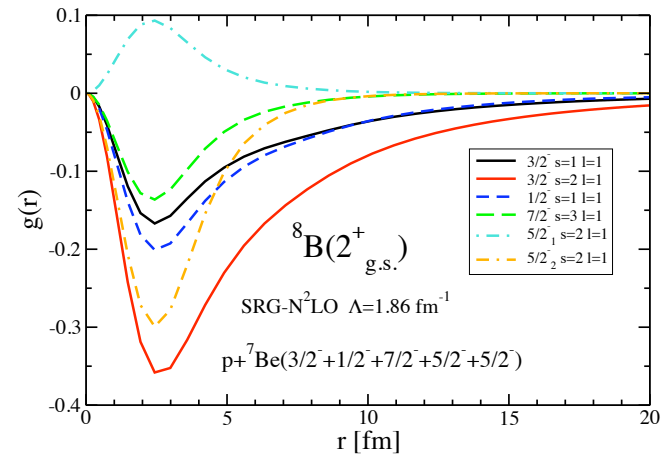
## Solar neutrino problem:

The  ${}^7\text{Be}(p,\gamma){}^8\text{B}$  is the final step in the nucleosynthetic chain leading to  ${}^8\text{B}$  and one of the main inputs of the Standard Solar Model



- ~10% error in latest  $S_{17}(0)$ : dominated by uncertainty in theoretical models

## ${}^8\text{B}$ g.s. and $p+{}^7\text{Be}$ phase shifts



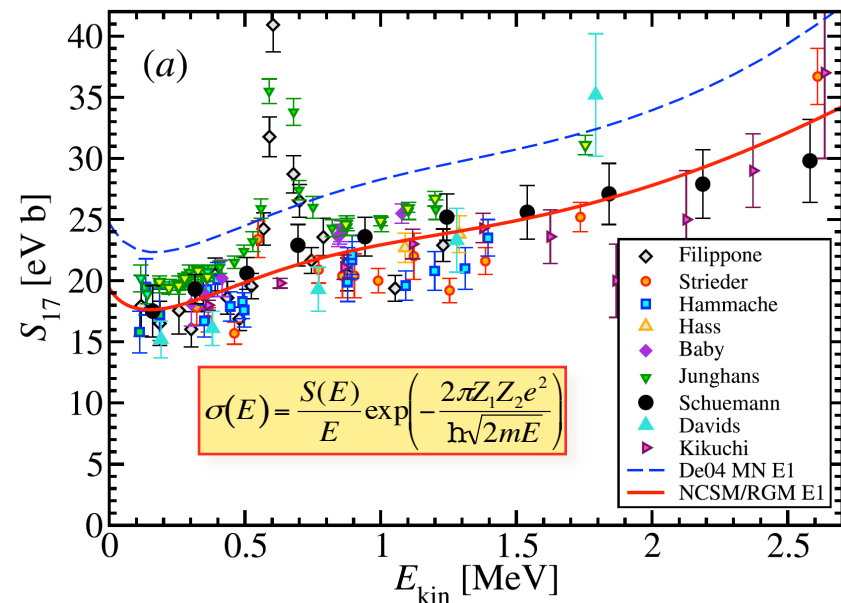


# Ab initio many-body calculation of the ${}^7\text{Be}(p,\gamma){}^8\text{B}$ radiative capture

P. Navrátil, R. Roth,  
and S. Quaglioni, Phys.  
Lett. B704, 379 (2011)

- NCSM/RGM results with largest realistic model space ( $N_{\text{max}} = 10$ ):
  - $p+{}^7\text{Be}(\text{g.s.}, 1/2^-, 7/2^-, 5/2_1^-, 5/2_2^-)$
  - Siegert's E1 transition operator
- Parameter  $\Lambda$  of SRG NN interaction chosen to reproduce separation energy: 136 keV (Expt. 137 keV)
- $S_{17}(0) = 19.4(7)$  eV b on the lower side of, but consistent with latest evaluation
- Study of dependence on the HO basis size  $N_{\text{max}}$  and influence of higher-energy excited states of  ${}^7\text{Be}$  used to estimate 0.7 eV b uncertainty on  $S_{17}(0)$

## ${}^7\text{Be}(p,\gamma){}^8\text{B}$ astrophysical S-factor



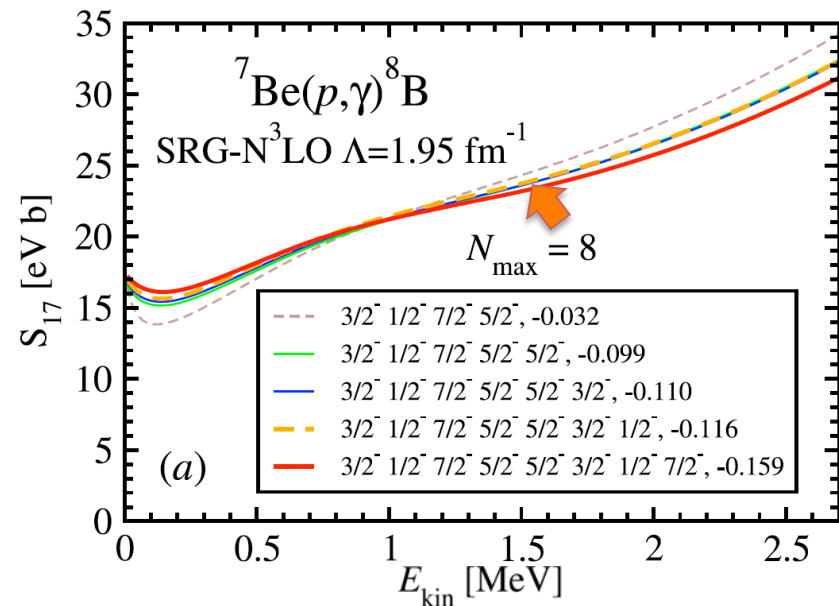
*Ab initio* theory predicts simultaneously both normalization and shape of  $S_{17}$ . Inclusion of  $5/2_2^-$  state improves S-factor energy dependence above 1.5 MeV.

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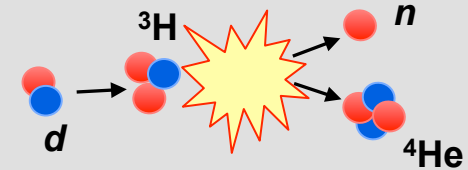
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# The ${}^3\text{H}(d,n){}^4\text{He}$ and ${}^3\text{He}(d,p){}^4\text{He}$ fusion

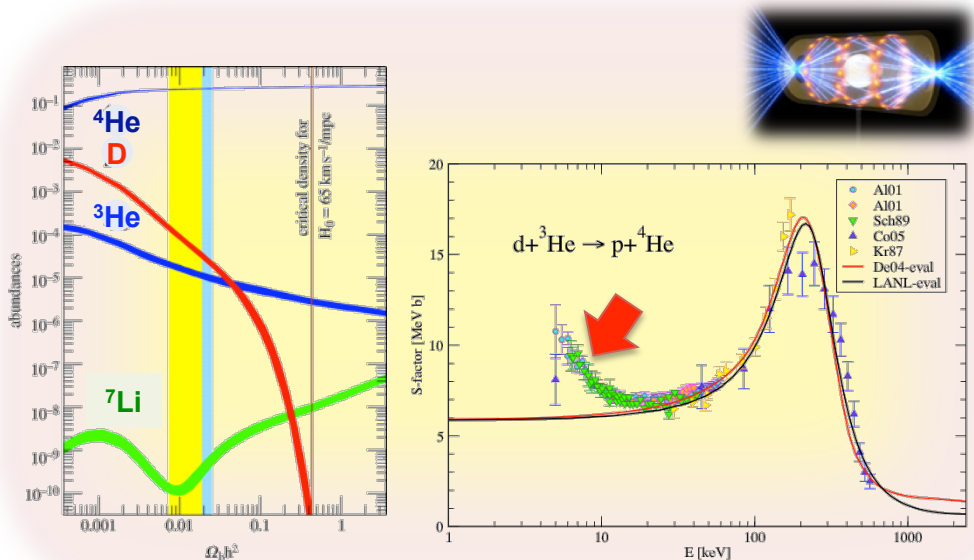
P. Navrátil, S. Quaglioni, PRL 108, 042503 (2012)



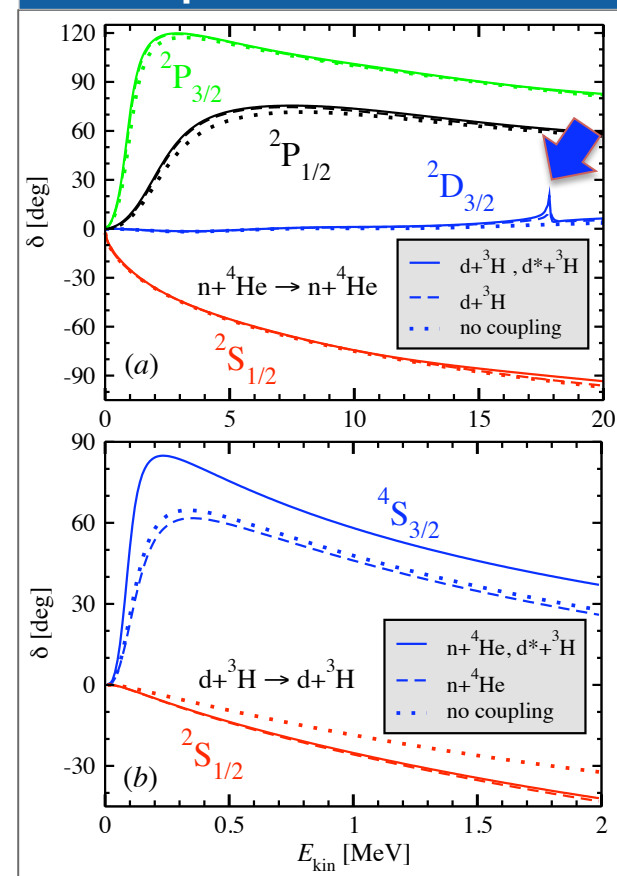
*Nuclear astrophysics:* Predictions of Big Bang nucleosynthesis for light-nucleus abundances

*Fusion research and Plasma physics:*  $d+T$  is the easiest fusion to achieve on Earth;  ${}^3\text{H}(d,\gamma){}^5\text{He}$  branch useful for diagnostic, not known well enough

*Atomic physics:* Considerable electron-screening effects in  $d+{}^3\text{He}$  not completely understood



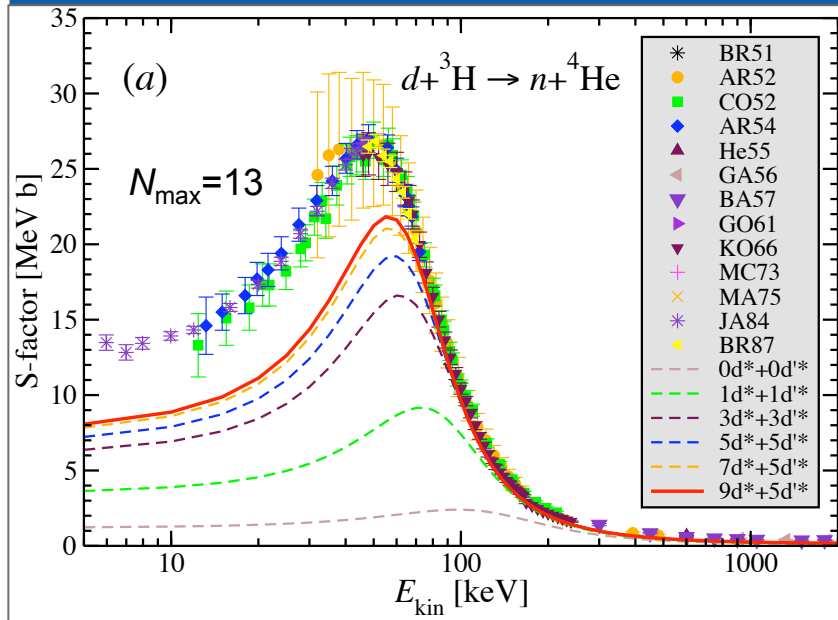
## ${}^4\text{He}(n,n){}^4\text{He}$ & ${}^3\text{He}(d,p){}^4\text{He}$ elastic phase shifts



# Ab initio many-body calculations of the ${}^3\text{H}(d,n){}^4\text{He}$ and ${}^3\text{He}(d,p){}^4\text{He}$ fusion

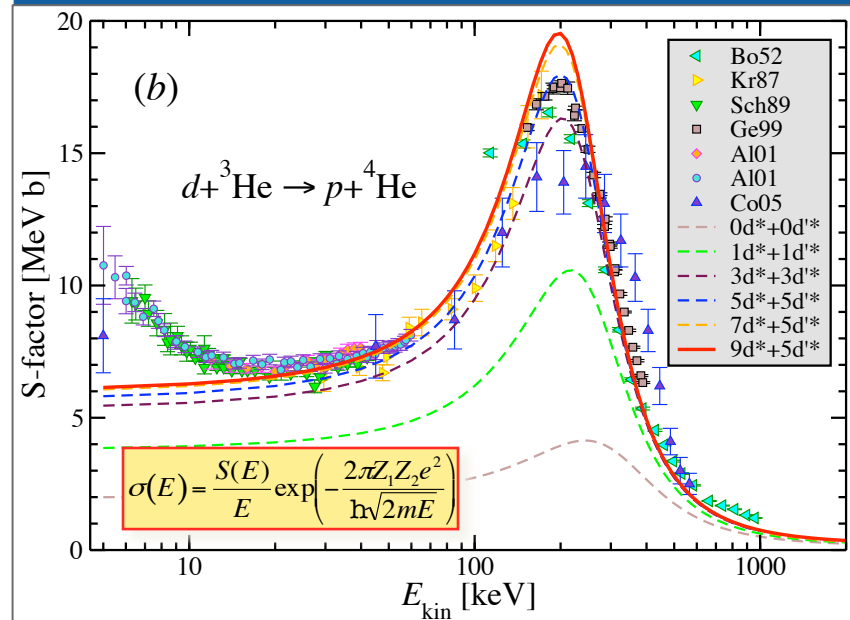
P. Navrátil, S. Quaglioni,  
PRL 108, 042503 (2012)

## ${}^3\text{H}(d,n){}^4\text{He}$ astrophysical S-factor



Calculated S-factors improve with the inclusion of the virtual breakup of the deuterium, obtained by means of excited  ${}^3S_1$ - ${}^3D_1$  ( $d^*$ ) and  ${}^3D_2$  ( $d^{**}$ ) pseudo-states.

## ${}^3\text{He}(d,p){}^4\text{He}$ astrophysical S-factor

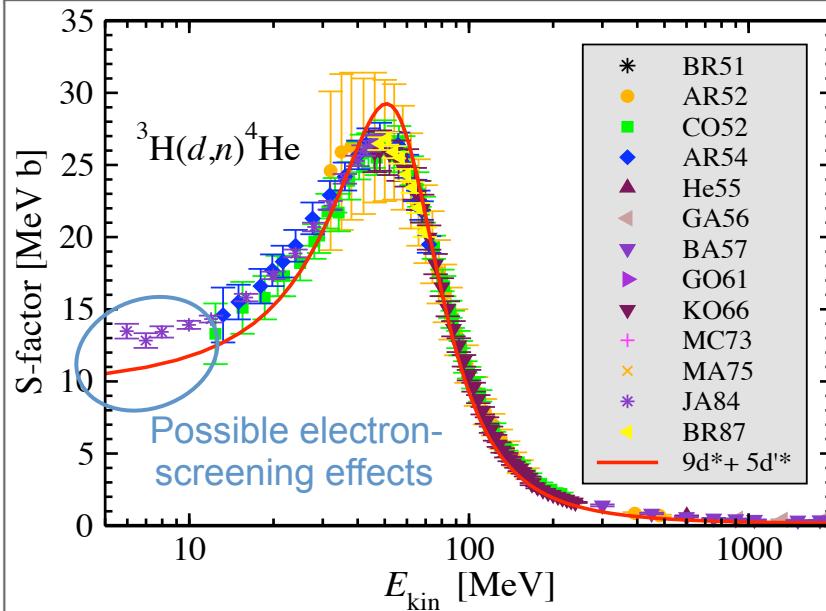


NCSM/RGM results for the  ${}^3\text{He}(d,p){}^4\text{He}$  astrophysical S-factor compared to beam-target measurements. Data curve up and deviate from theoretical results at low energy due to laboratory electron-screening.

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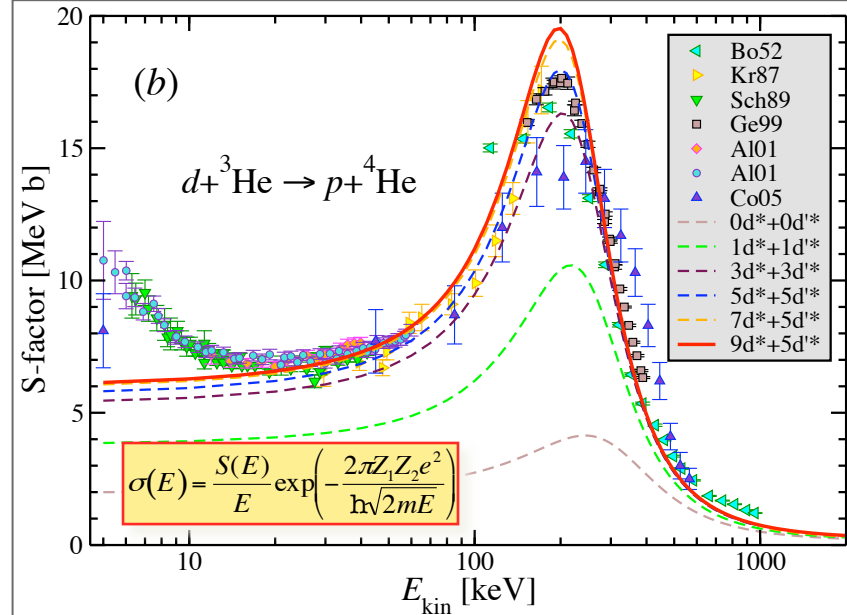
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## ${}^3\text{H}(d,n){}^4\text{He}$ astrophysical S-factor



Changing the evolution parameter  $\lambda$  of the SRG NN interaction from 1.5 to 1.45  $\text{fm}^{-1}$  improves agreement with data (expt. Q value reproduced within 0.3%)

## ${}^3\text{He}(d,p){}^4\text{He}$ astrophysical S-factor

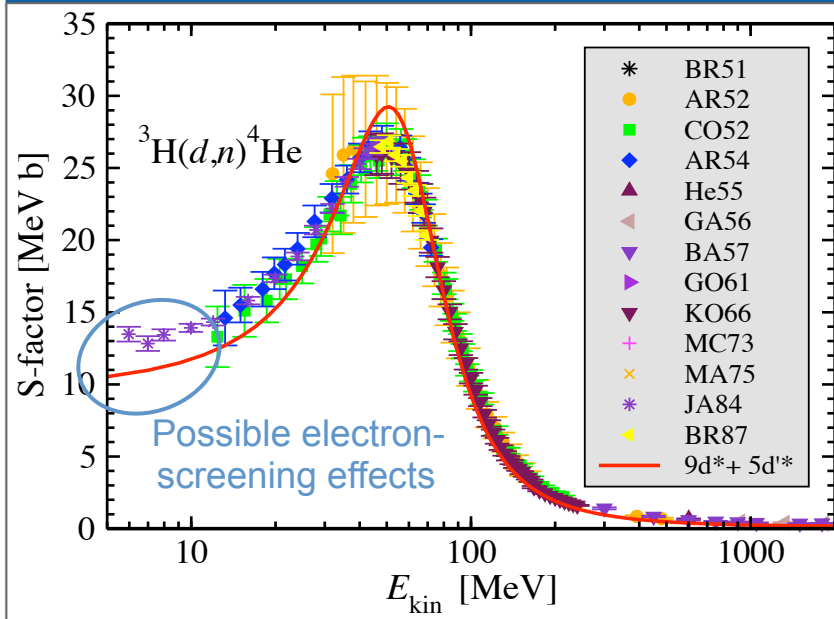


NCSM/RGM results for the  ${}^3\text{He}(d,p){}^4\text{He}$  astrophysical S-factor compared to beam-target measurements. Data curve up and deviate from theoretical results at low energy due to laboratory electron-screening.

# Ab initio many-body calculations of the ${}^3\text{H}(d,n){}^4\text{He}$ and ${}^3\text{He}(d,p){}^4\text{He}$ fusion

P. Navrátil, S. Quaglioni,  
PRL 108, 042503 (2012)

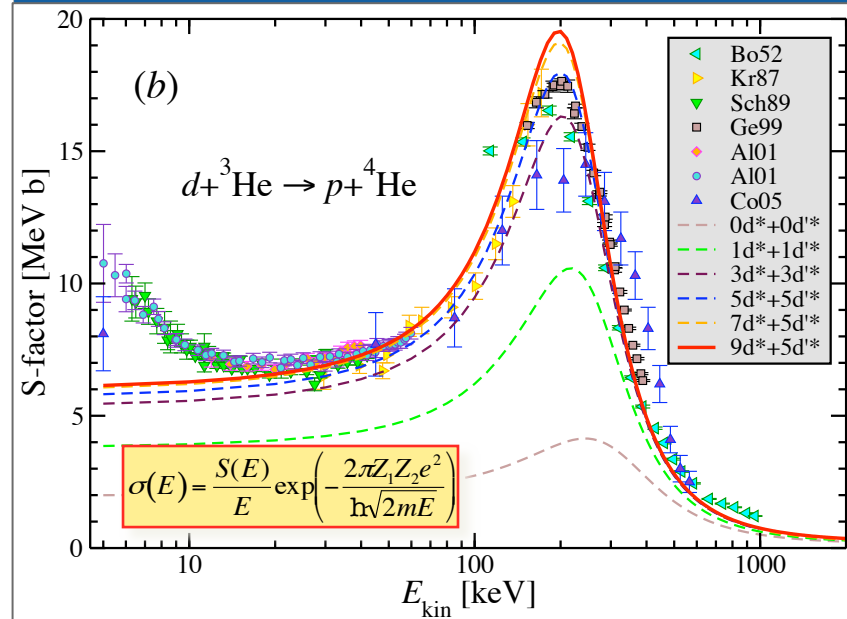
## ${}^3\text{H}(d,n){}^4\text{He}$ astrophysical S-factor



Fundamental description still requires:

- 1) NNN force (SRG-induced + “real”)
- 2) 3-body cluster states & solution of 3-body scattering problem

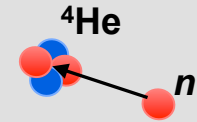
## ${}^3\text{He}(d,p){}^4\text{He}$ astrophysical S-factor



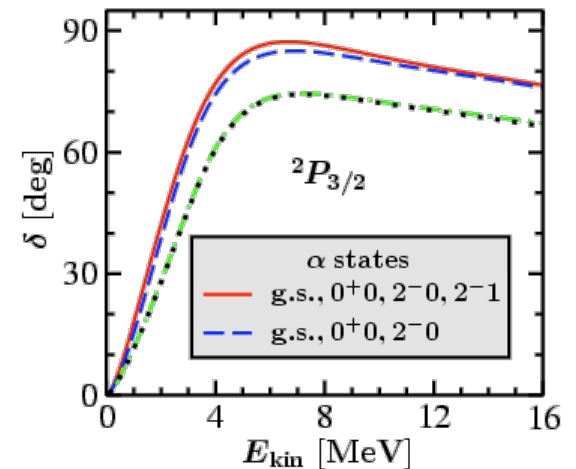
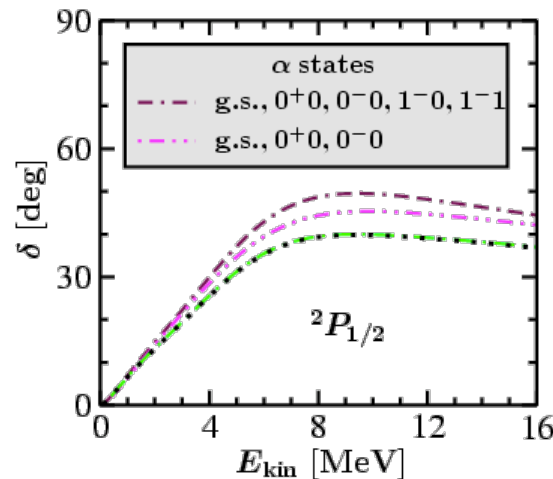
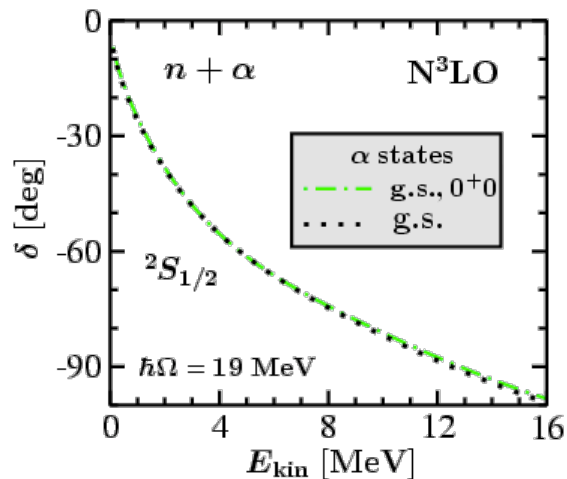
NCSM/RGM results for the  ${}^3\text{He}(d,p){}^4\text{He}$  astrophysical S-factor compared to beam-target measurements. Data curve up and deviate from theoretical results at low energy due to laboratory electron-screening.

# Back to where we started: $n+^4\text{He}$ scattering

## Convergence with respect to RGM model space



- NCSM/RGM calculation with  $n+^4\text{He}(\text{ex})$ ,  $N_{\text{max}} = 15$ ,  $\hbar\Omega = 19$  MeV
- $\chi\text{EFT } N^3\text{LO}$  NN potential: convergence reached with **two-body effective** interaction



- very mild effects of  $0^+0$  on  $^2S_{1/2}$
- the negative-parity states have larger effects on  $^2P_{1/2}$  and  $^2P_{3/2}$ 
  - $0^-0, 1^-0$  and  $1^-1$  affect  $^2P_{1/2}$
  - $2^-0$  and  $2^-1$  affect  $^2P_{3/2}$

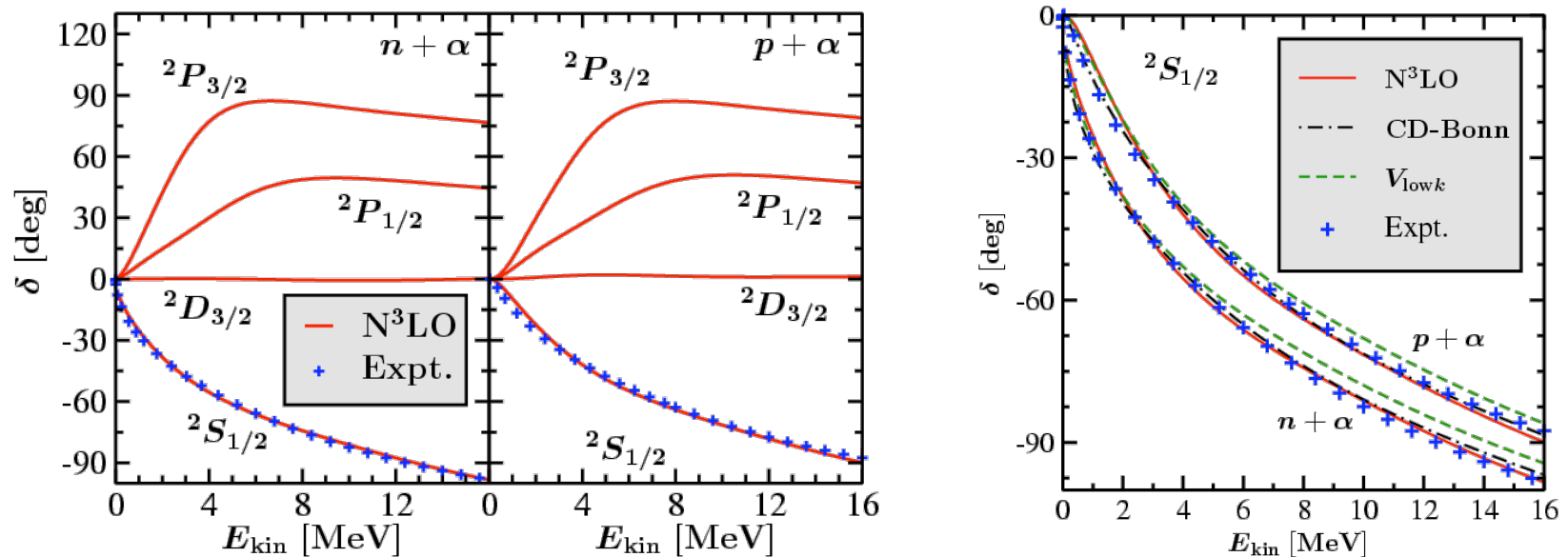
24.25	$1^-0$
23.64	$1^-1$
23.33	$2^-1$
21.84	$2^-0$
21.01	$0^-0$
20.21	$0^+0$
//	
	$0^+0$

$^4\text{He}$

The resonances are sensitive to the inclusion of the first six excited states of  $^4\text{He}$

# Nucleon- $\alpha$ phase-shifts with $\chi$ EFT N<sup>3</sup>LO NN interaction

- NCSM/RGM calculation with  $N+^4\text{He}$ (g.s.,  $0^+0$ ,  $0^-0$ ,  $1^-0$ ,  $1^-1$ ,  $2^-0$ ,  $2^-1$ )
- $\chi$ EFT N<sup>3</sup>LO NN potential: convergence with 2-body effective interaction

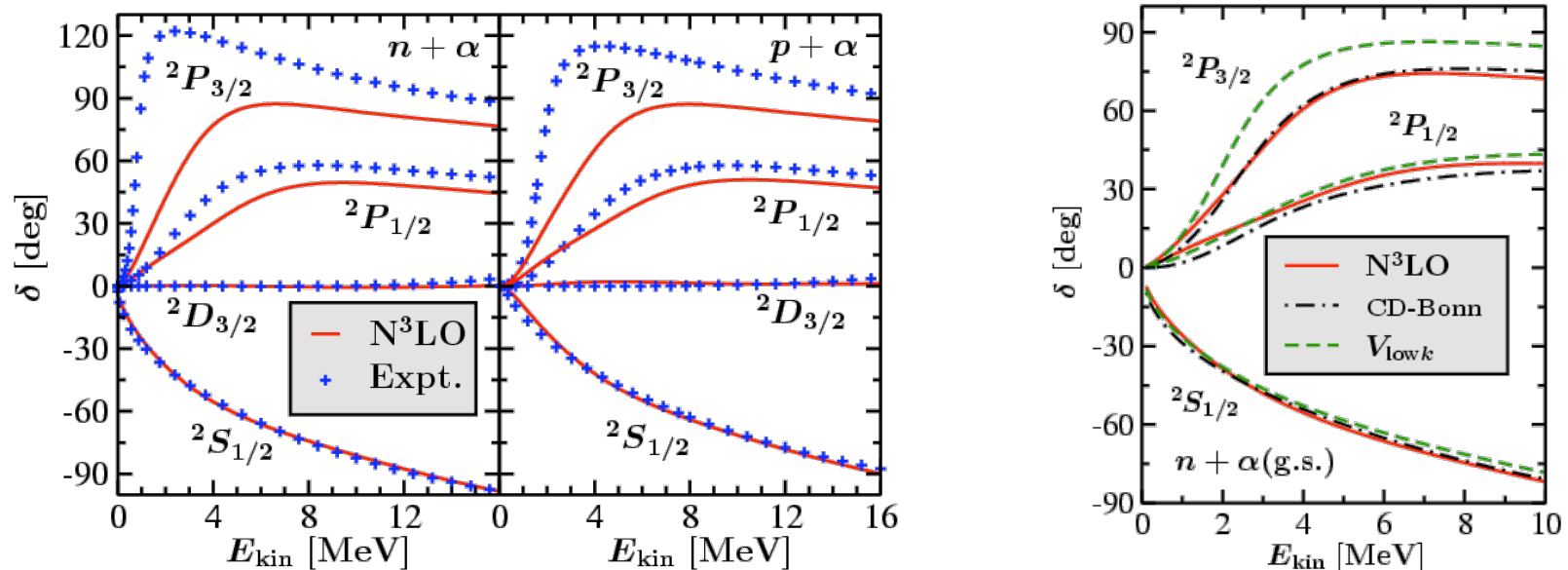


- $^2S_{1/2}$  in agreement with Expt. (dominated by  $N$ - $\alpha$  repulsion - Pauli principle)



# Nucleon- $\alpha$ phase-shifts with $\chi$ EFT N<sup>3</sup>LO NN interaction

- NCSM/RGM calculation with  $N+{}^4\text{He}$ (g.s., 0<sup>+</sup>0, 0<sup>-</sup>0, 1<sup>-</sup>0, 1<sup>-</sup>1, 2<sup>-</sup>0, 2<sup>-</sup>1)
- $\chi$ EFT N<sup>3</sup>LO NN potential: convergence with 2-body effective interaction



- ${}^2S_{1/2}$  in agreement with Expt. (dominated by  $N$ - $\alpha$  repulsion - Pauli principle)
- Insufficient spin-orbit splitting between  ${}^2P_{1/2}$  and  ${}^2P_{3/2}$  (sensitive to interaction)

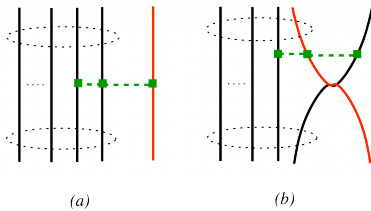
# Including the NNN force into the NCSM/RGM approach

## Nucleon-nucleus formalism

$$\left\langle \Phi_{\nu'r'}^{J\pi T} \left| \hat{A}_{\nu'} V^{NNN} \hat{A}_{\nu} \right| \Phi_{\nu r}^{J\pi T} \right\rangle = \left\langle \begin{array}{c} (A-1) \\ \bullet \bullet \\ \nearrow \\ \bullet (a'=1) \\ r' \end{array} \left| V^{NNN} \left( 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right) \right| \begin{array}{c} (A-1) \\ \bullet \bullet \\ \nwarrow \\ \bullet (a=1) \\ r \end{array} \right\rangle$$

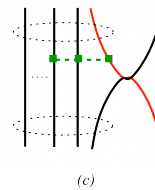
$$\mathcal{V}_{\nu'\nu}^{NNN}(r, r') = \sum R_{n'l'}(r') R_{nl}(r) \left[ \frac{(A-1)(A-2)}{2} \langle \Phi_{\nu'n'}^{J\pi T} | V_{A-2A-1A} (1 - 2P_{A-1A}) | \Phi_{\nu n}^{J\pi T} \rangle \right. \\ \left. - \frac{(A-1)(A-2)(A-3)}{2} \langle \Phi_{\nu'n'}^{J\pi T} | P_{A-1A} V_{A-3A-2A-1} | \Phi_{\nu n}^{J\pi T} \rangle \right].$$

Direct potential: in the model space  
(interaction is localized!)



$$\propto_{SD} \langle \psi_{\alpha_1}^{(A-1)} | a_i^+ a_j^+ a_l a_k | \psi_{\alpha_1}^{(A-1)} \rangle_{SD}$$

Exchange potential: in the model space  
(interaction is localized!)

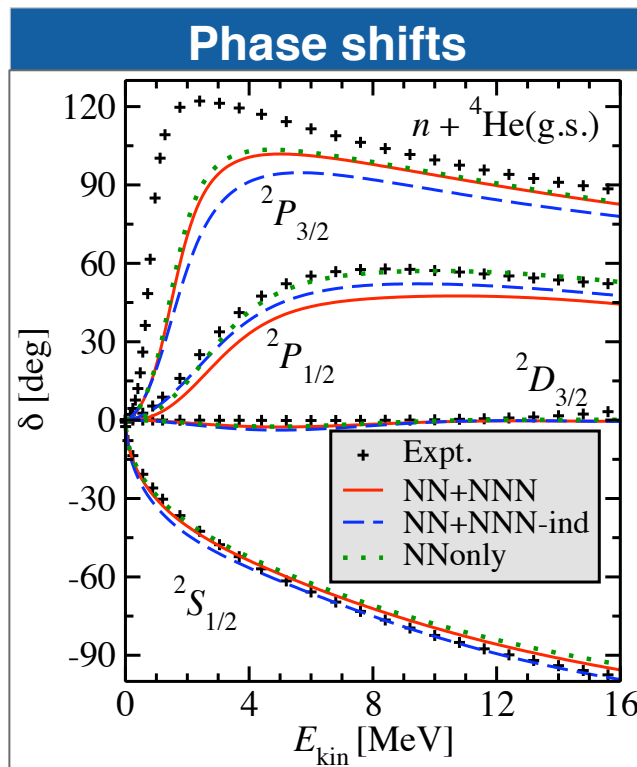
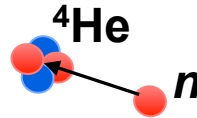


$$\propto_{SD} \langle \psi_{\alpha_1}^{(A-1)} | a_h^+ a_i^+ a_j^+ a_m a_l a_k | \psi_{\alpha_1}^{(A-1)} \rangle_{SD}$$

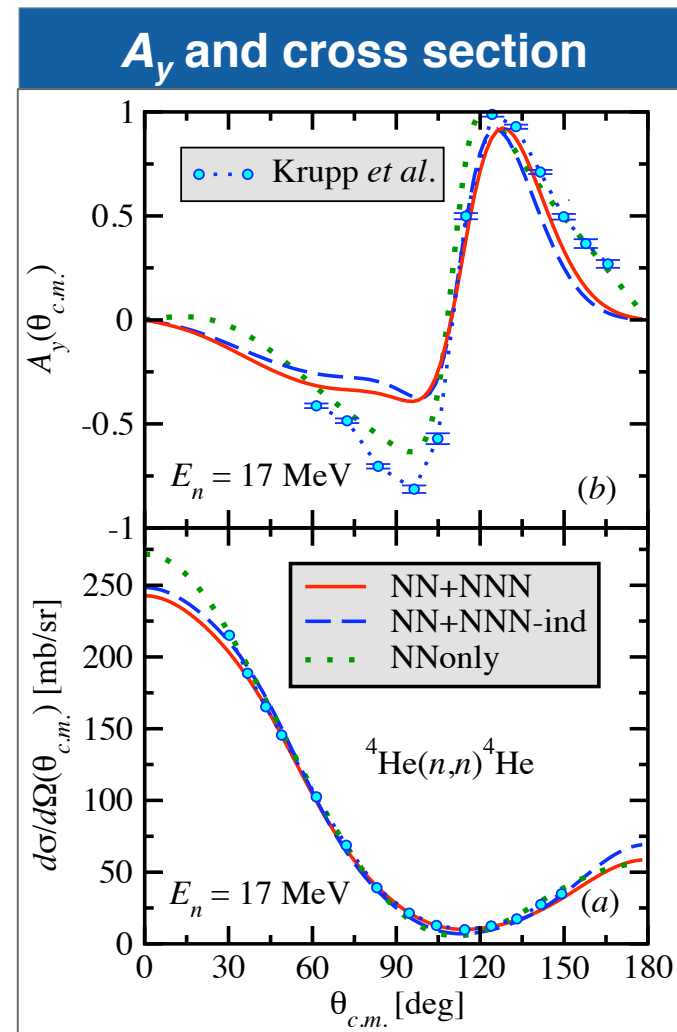
# $^4\text{He}(n,n)^4\text{He}$ with SRG-evolved $\text{N}^3\text{LO NN} + \text{N}^2\text{LO NNN}$

G. Hupin, J. Langhammer, S. Quaglioni, P. Navratil, R. Roth, work in progress

- $n+^4\text{He}(\text{g.s.})$ ,  $N_{\text{max}}=13$ ,  $h\Omega = 20$  MeV
- SRG-( $\text{N}^3\text{LO NN} + \text{N}^2\text{LO NNN}$ ) potential with  $\lambda = 2$  fm $^{-1}$



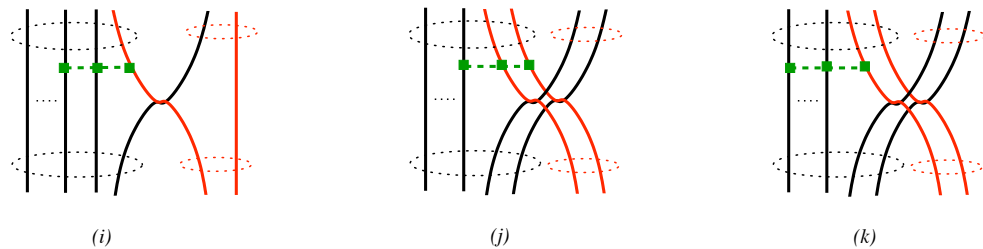
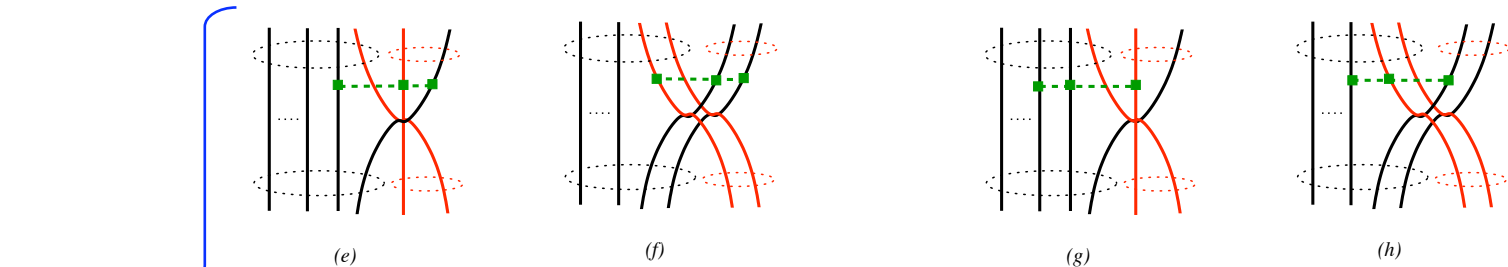
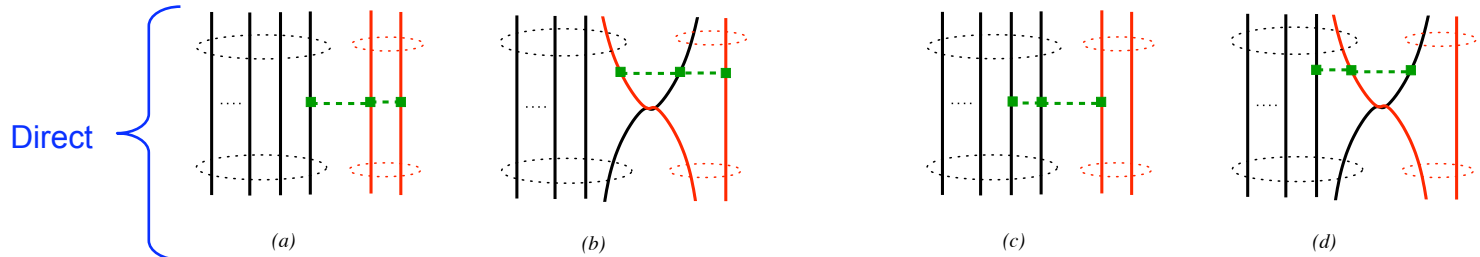
Still missing: excited states of  $^4\text{He}$



# Including the NNN force into the NCSM/RGM approach

## Deuteron-nucleus formalism

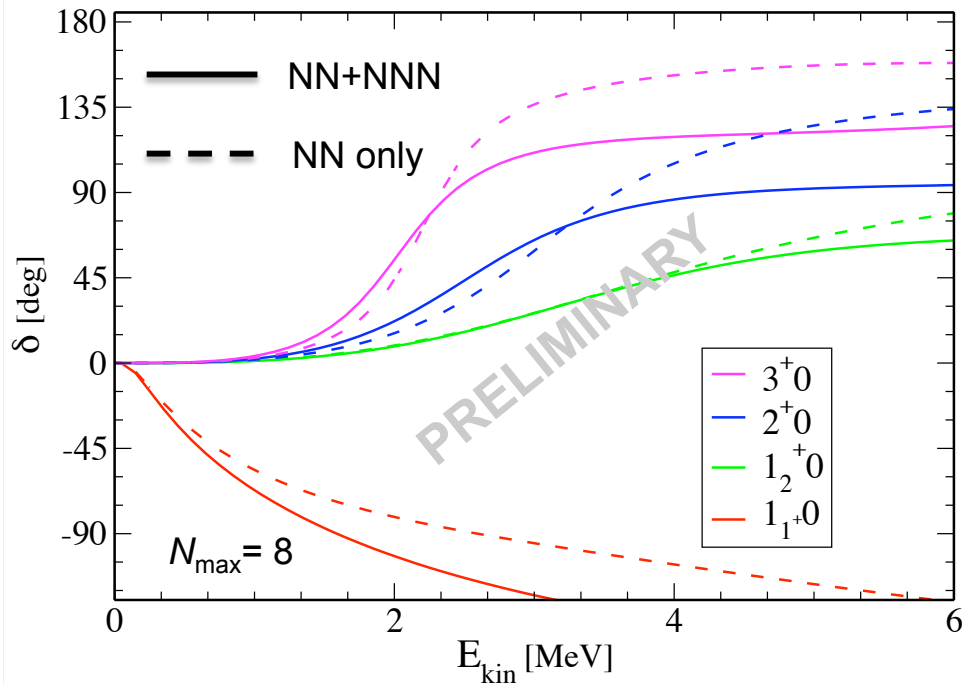
$$\langle \Phi_{v'r'}^{J\pi T} | \hat{A}_{v'} V^{NNN} \hat{A}_v | \Phi_{vr}^{J\pi T} \rangle = \left\langle \begin{array}{c} (A-2) \\ \text{Diagram} \\ (a'=2) \end{array} \right| V^{NNN} \left( 1 - \sum_{i=1}^{A-2} \sum_{k=A-1}^A \hat{P}_{i,k} + \sum_{i<j=1}^{A-2} \hat{P}_{i,A-1} \hat{P}_{j,A} \right) \left| \begin{array}{c} (A-2) \\ \text{Diagram} \\ (a=2) \end{array} \right\rangle$$



# ${}^4\text{He}(d,d){}^4\text{He}$ with SRG-evolved chiral NN+NNN force

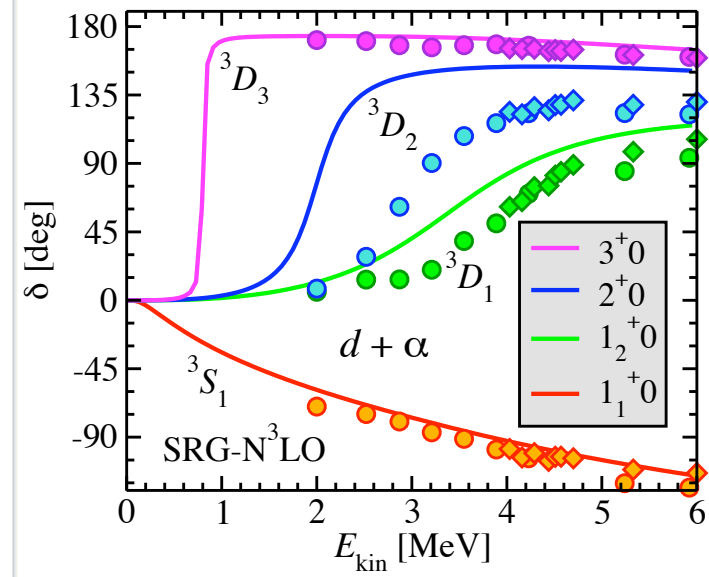
G. Hupin, S. Quaglioni, P. Navratil, work in progress

## Phase shifts with $\lambda = 2 \text{ fm}^{-1}$



Here:  
 $d(\text{g.s.}) + {}^4\text{He}(\text{g.s.})$  scattering phase shifts for  
 SRG-(chiral NN+NNN) potential with ( $\lambda=2 \text{ fm}^{-1}$ ).

## Phase shifts with $\lambda = 1.5 \text{ fm}^{-1}$



Here:  $N_{\text{max}} = 12$   
 $d(\text{g.s.}, {}^3S_1-{}^3D_1, {}^3D_2, {}^3D_3-{}^3G_3) + {}^4\text{He}(\text{g.s.})$   
 SRG- $N^3\text{LO}$  NN potential ( $\lambda=1.5 \text{ fm}^{-1}$ )

Preliminary results in a small model space and with only d and  ${}^4\text{He}$  g.s., look promising

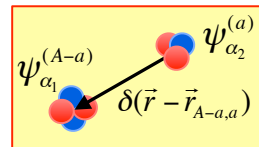
# Extended *ab initio* NCSM/RGM Formalism

## Three-body cluster dynamics

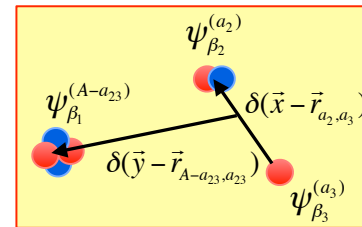
- Starts from:

$$\Psi_{RGM}^{(A)} = \sum_{v_2} \int g_{v_2}(\vec{r}) \hat{A}_{v_2} |\phi_{v_2 \vec{r}}\rangle d\vec{r} + \sum_{v_3} \iint G_{v_3}(\vec{x}, \vec{y}) \hat{A}_{v_3} |\Phi_{v_3 \vec{x} \vec{y}}\rangle d\vec{x} d\vec{y}$$

**2-body channels**



plus

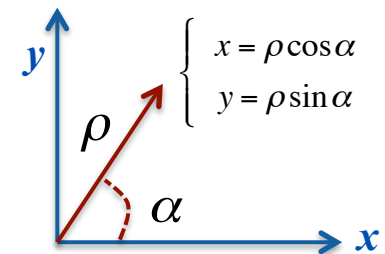


**3-body channels**

- 3-body dynamics within Hyperspherical Harmonics:  $\mathbf{x}, \mathbf{y} \rightarrow \rho, \Omega_5 = \{\alpha, \Omega_x, \Omega_y\}$

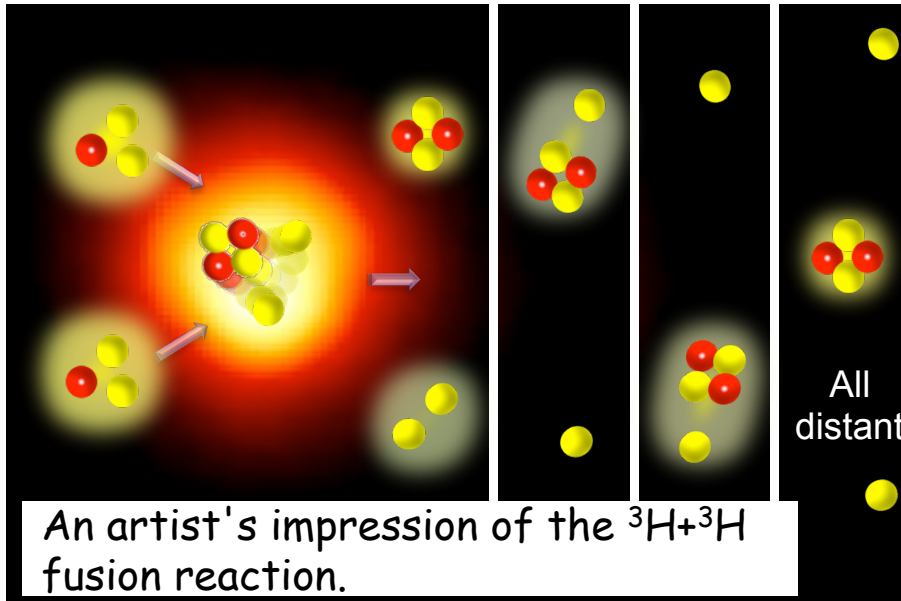
$$\sum_{vK} \int d\rho \rho^5 \left[ \underbrace{H_{v',v}^{K',K}(\rho', \rho)}_{\text{Hamiltonian kernel}} - E \underbrace{N_{v',v}^{K',K}(\rho', \rho)}_{\text{Norm (overlap) kernel}} \right] \rho^{-5/2} \chi_{vK}(\rho) = 0$$

$$\iint F_{K'}^{\ell_x \ell_y}(\alpha') \left\langle \left\langle \vec{y}' \right| \hat{A}_{v_3} H \hat{A}_{v_3} \left| \vec{x}' \right\rangle \left\langle \vec{x} \right| \hat{A}_{v_3} H \hat{A}_{v_3} \left| \vec{y} \right\rangle \right\rangle F_K^{\ell_x \ell_y}(\alpha) d\Omega_5 d\Omega_5'$$

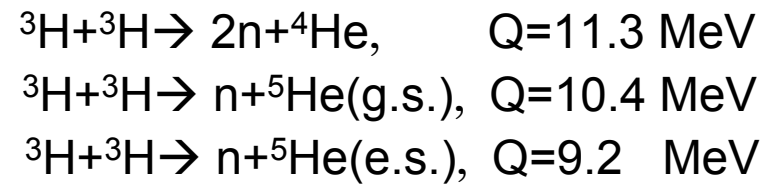


# Towards ${}^3\text{H}({}^3\text{H},2n){}^4\text{He}$ and ${}^3\text{He}({}^3\text{He},2p){}^4\text{He}$

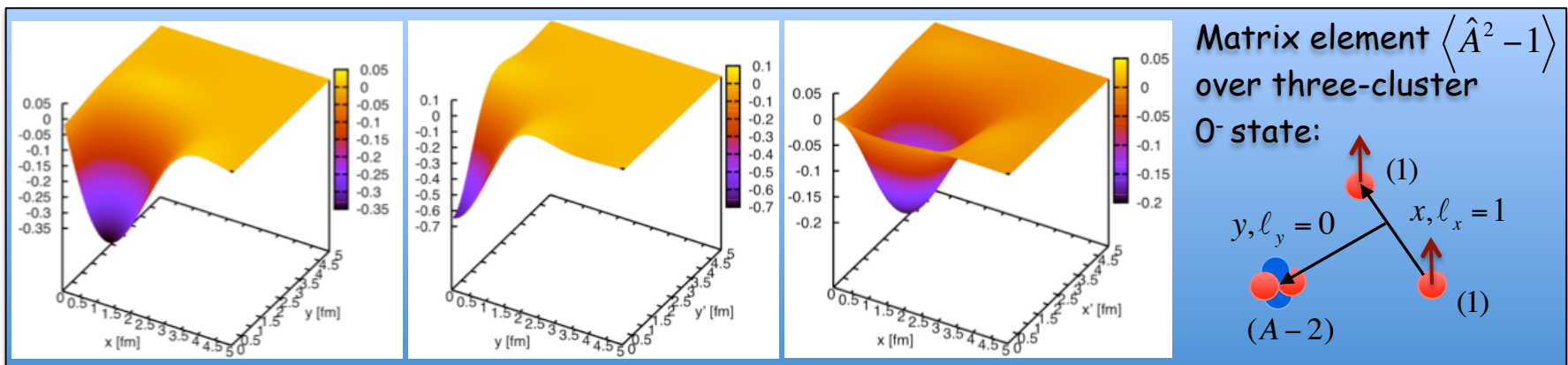
## Three-body breakup reactions



The  ${}^3\text{H}+{}^3\text{H}$  fusion is often studied with the help of a sequential decay model:



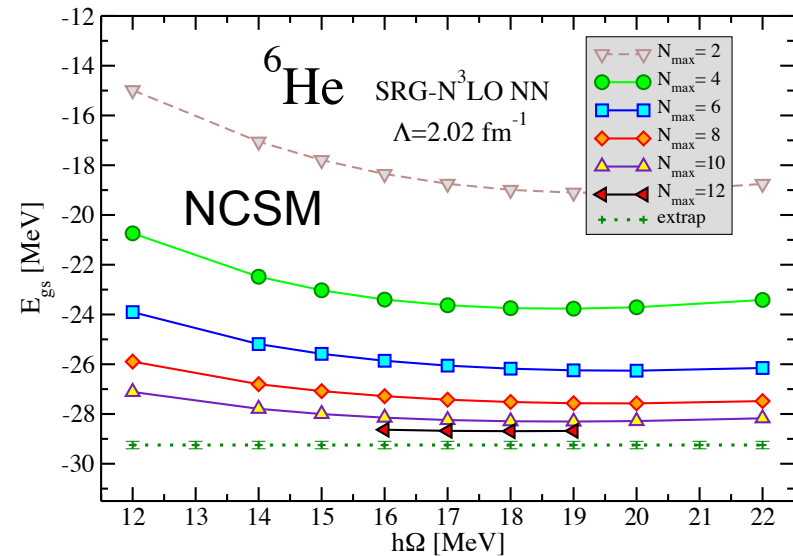
All (two-body breakup mechanisms included) are a manifestation of the three-body continuum



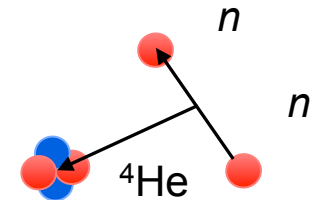
# First results for ${}^6\text{He}$ ground state

S. Quaglioni, C. Romero-Redondo, P. Navratil, work in progress

- Preliminary NCSM/RGM results
  - $n+n+{}^4\text{He}(\text{g.s.})$ ,  $N_{\text{max}} = 12$ ,  $h\Omega = 16$  MeV
  - SRG- $N^3\text{LO}$  NN with  $\lambda = 1.5$  fm $^{-1}$
- Comparison with NCSM:
  - $\sim 1$  MeV difference in binding energy due to excitations of  ${}^4\text{He}$  core, at present included only in NCSM
  - Contrary to NCSM, NCSM/RGM  ${}^4\text{He}+n+n$  w.f. has appropriate asymptotic behavior
    - Essential to describe  ${}^6\text{He}$  excited states in the continuum (e.g.,  $1^-$  soft dipole resonance)



HO model space	$E_{\text{g.s.}}({}^4\text{He})$ (NCSM)	$E_{\text{g.s.}}({}^6\text{He})$ (NCSM)	$E_{\text{g.s.}}({}^6\text{He})$ (NCSM/RGM) PRELIMINARY
$N_{\text{max}} = 12$	-28.22 MeV	-29.75 MeV	-28.72 MeV





# Conclusions and Outlook

- With the NCSM/RGM approach we are extending the *ab initio* effort to describe low-energy reactions and weakly-bound systems
- Ability to describe:
  - Nucleon-nucleus collisions
  - Deuterium-nucleus collisions
  - (*d,N*) transfer reactions
  - $^3\text{H}$ - and  $^3\text{He}$ -nucleus collisions
- Recent results with SRG- $\text{N}^3\text{LO}$  NN pot.:
  - $^3\text{H}(n,n)^3\text{H}$ ,  $^4\text{He}(d,d)^4\text{He}$ ,  $^3\text{H}(d,n)^4\text{He}$ ,  
 $^3\text{He}(d,p)^4\text{He}$ ,  $^7\text{Be}(p,\gamma)^8\text{B}$
- Work in progress
  - Inclusion of NNN force in *N*-nucleus and d-nucleus formalism
  - Three-cluster NCSM/RGM and treatment of three-body continuum:
    - First results for  $^6\text{He}$  ground state within  $^4\text{He}+n+n$  cluster basis
  - Initial results for  $^3\text{He}$ - $^4\text{He}$  scattering
  - ...

