

Three-nucleon Force Effects in Electron Scattering Observables

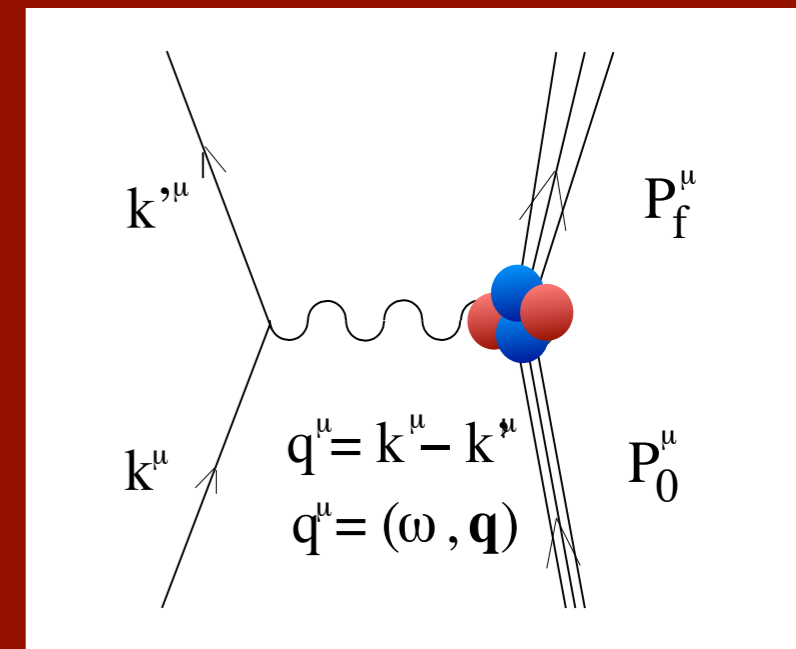
Sonia Bacca | Theory Group | TRIUMF

In collaboration with:

Nir Barnea, Winfried Leidemann, Giuseppina Orlandini

Outline:

- Motivation
- Theoretical tools
- Results on ${}^4\text{He}$



Electron Scattering Reaction

Workshop on “Electroweak properties of light nuclei”
INT Seattle, Nov. 5-9 2012

Motivation

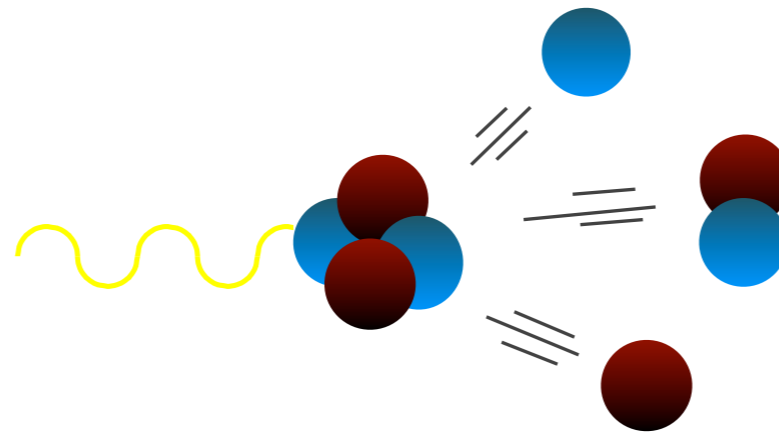
- The coupling constant $\ll 1$

“With the electro-magnetic probe, we can immediately relate the cross section to the transition matrix element of the current operator, thus to the structure of the target itself”

[De Forest-Walecka, Ann. Phys. 1966]

$$\sigma \propto |\langle \Psi_f | J^\mu | \Psi_0 \rangle|^2$$

- In few-body physics one can perform exact calculations both for bound and scattering states \Rightarrow test the nuclear theory on light nuclei

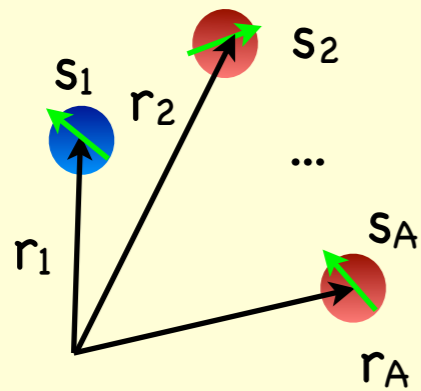


- Provide useful numbers for astrophysics:
 - radiative capture reactions
 - interaction of photons with nucleonic matter ...



Sonia Bacca

Electromagnetic Reactions: Ingredients

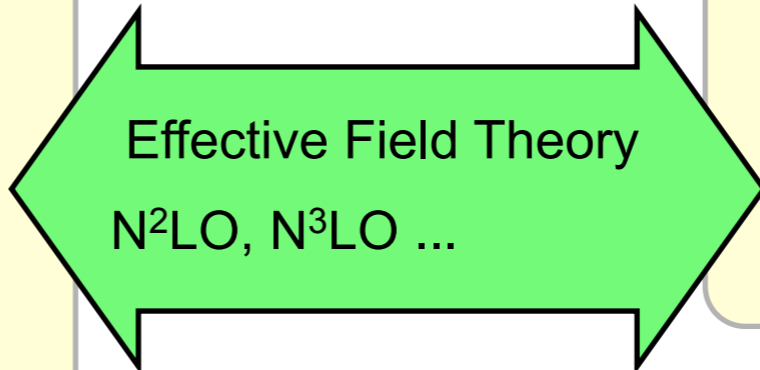
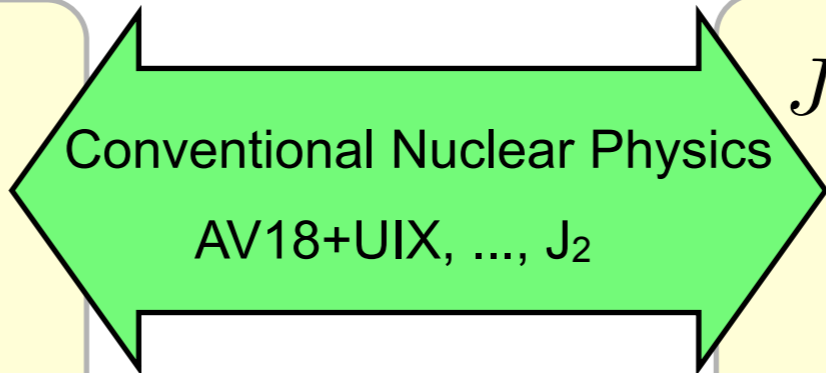


$$H|\psi_i\rangle = E_i|\psi_i\rangle$$

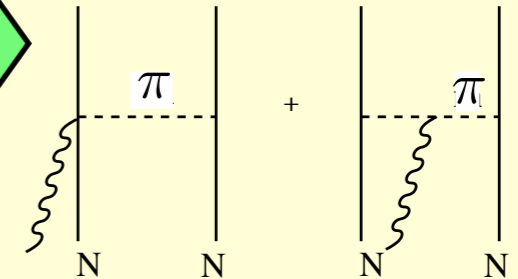
$$H = T + V_{NN} + V_{3N} + \dots$$

High precision two-nucleon potentials:
well constraint on NN phase shifts

Three nucleon forces:
less known, constraint on A>2 observables



$$J^\mu = J_N^\mu + J_{NN}^\mu + \dots$$



exchange currents

subnuclear d.o.f.

J^μ consistent with V

$$\nabla \cdot J = -i[V, \rho]$$

$$\sigma \propto |\langle \Psi_f | J^\mu | \Psi_0 \rangle|^2$$

Exact Initial state &
Final state in the continuum at different
energies and for different A

Objective

★ Compare with experiment to **test nuclear theories** and learn about its predictive power

★ Learn about the **role of many-nucleon forces and currents** by switching them on/off

Final State Interaction

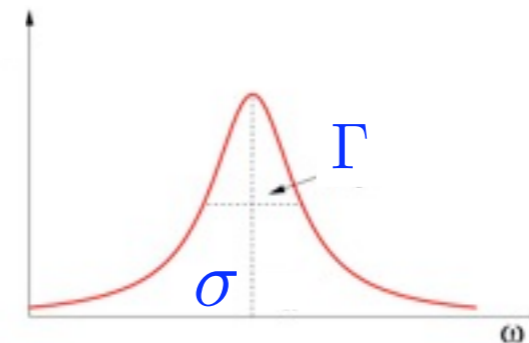
Exact evaluation of the final state in the continuum is limited in energy and A

Solution: **The Lorentz Integral Transform Method**

Efros, Leidemann, Orlandini, PLB **338** (1994) 130
 Efros, Leidemann, Orlandini, Barnea, JPG.:
 Nucl.Part.Phys. **34** (2007) R459



$$R(\omega, \mathbf{q}) = \sum_f |\langle \psi_f | J^\mu(\mathbf{q}) | \psi_0 \rangle|^2 \delta(E_f - E_0 - \omega)$$



$$L(\sigma, \Gamma) = \int d\omega \frac{R(\omega, \mathbf{q})}{(\omega - \sigma)^2 + \Gamma^2} = \langle \tilde{\psi} | \tilde{\psi} \rangle$$

where $|\tilde{\psi}\rangle$ is obtained solving

$$(H - E_0 - \sigma + i\Gamma) |\tilde{\psi}\rangle = J^\mu(\mathbf{q}) |\psi_0\rangle$$

- Due to imaginary part Γ the solution $|\tilde{\psi}\rangle$ is unique
- If the r.h.s. is finite $|\tilde{\psi}\rangle$ has bound state asymptotic behaviour

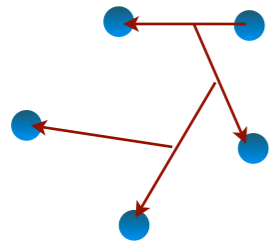


$$L(\sigma, \Gamma) \xleftrightarrow{\text{inversion}} R(\omega, \mathbf{q}) \quad \text{The exact final state interaction is included}$$

Hyper-spherical Harmonics Expansion

- Few-body method - uses relative coordinates

$$|\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A)\rangle = |\varphi(\vec{R}_{CM})\Psi(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})\rangle$$



Recursive definition of hyper-spherical coordinates

$$\vec{\eta}_0 = \sqrt{A}\vec{R}_{CM} \quad \vec{\eta}_1, \dots, \vec{\eta}_{A-1}$$

$$\rho, \Omega$$

$$\rho^2 = \sum_{i=1}^A r_i^2 = \sum_{i=1}^{A-1} \eta_i^2$$

$$H_0(\rho, \Omega) = T_\rho + \frac{K^2(\Omega)}{\rho^2}$$

$$\Psi = \sum_{[K], \nu}^{K_{max}, \nu_{max}} c_\nu^{[K]} e^{-\rho/2b} \rho^{n/2} L_\nu^n\left(\frac{\rho}{b}\right) [\mathcal{Y}_{[K]}^\mu(\Omega) \chi_{ST}^{\bar{\mu}}]_{JT}^a$$



Asymptotic $e^{-a\rho}$ $\rho \rightarrow \infty$

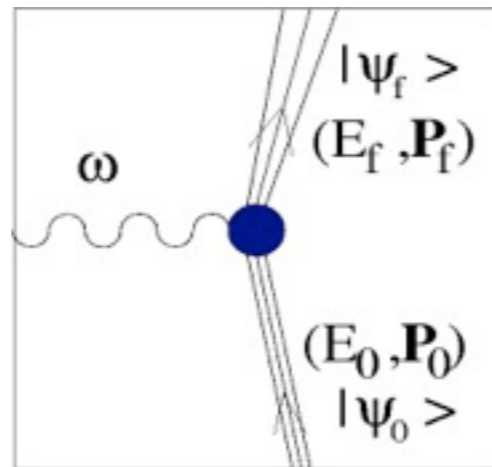
Model space truncation $K \leq K_{max}$, **Matrix Diagonalization** $\langle \psi | H_{(2)} | \psi \rangle = \frac{A(A-1)}{2} \langle \psi | H_{(A, A-1)} | \psi \rangle$

Antisymmetrization algorithm **Barnea and Novoselsky, Ann. Phys. 256 (1997) 192**

Introduce an effective interaction a la Lee-Suzuki

Barnea, Leidemann, Orlandini
PRC 61 (2000) 054001

Photo-disintegration Reaction



Real Photon

$$\omega = \mathbf{q} = E_f - E_0$$

Inclusive cross section $\gamma + A \rightarrow X$

$$\sigma_\gamma \propto \sum_f \left| \langle \Psi_f | J_T | \Psi_0 \rangle \right|^2$$

$$J_T = (J_1^c + J_1^s + J_2)_T$$

explicit degrees of freedom: p and n

implicit degrees of freedom

$$\nabla \cdot J_2 = -i[V, \rho]$$

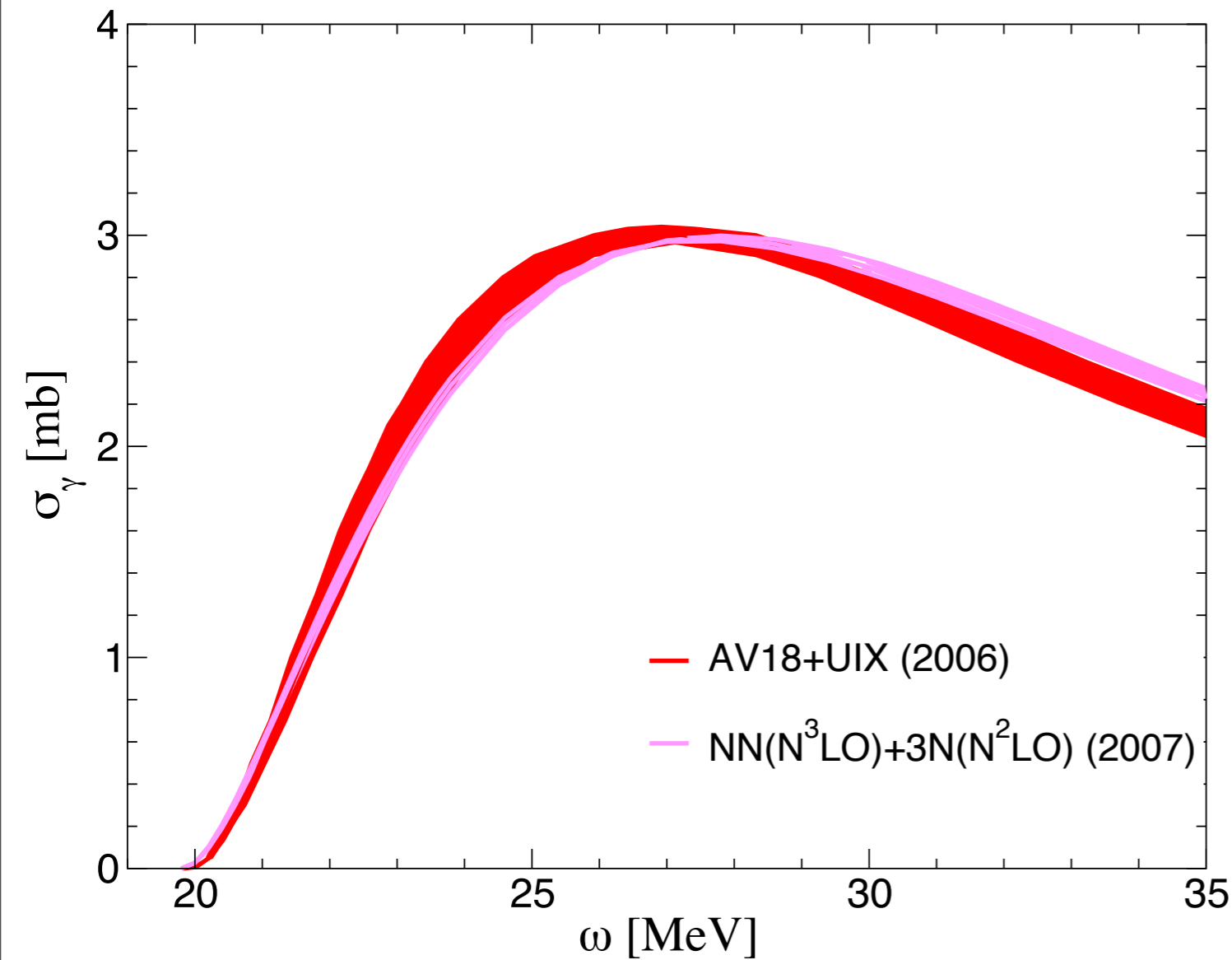
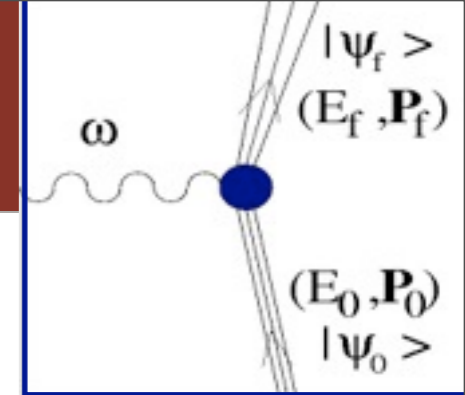


Lucky case: **Photoabsorption cross section at low energy!**

Via "Siegert theorem" MEC are **implicitly** included in the dipole response

$$\sigma_\gamma = \frac{4\pi^2\alpha}{3} \omega R^{E1}(\omega) \quad R^{E1}(\omega) = \sum_f |\langle \Psi_f | E1 | \Psi_0 \rangle|^2 \delta(E_f - E_0 - \omega)$$

Unretarded dipole approximation: **d** \rightarrow 87% of MEC considered up to 100 MeV!



Past

Conventional Hamiltonian

D.Gazit, S.B. et al. PRL 96 112301 (2006)

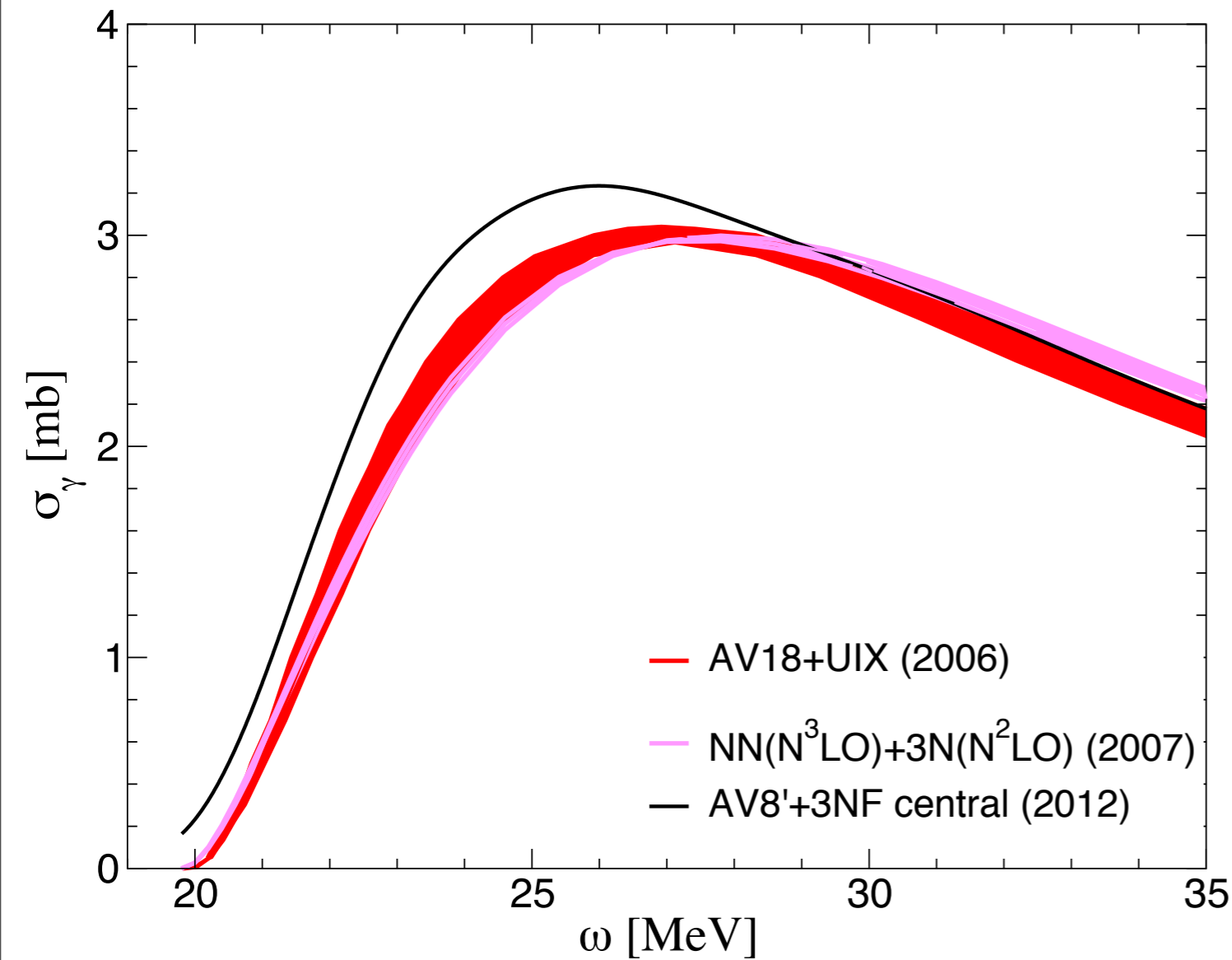
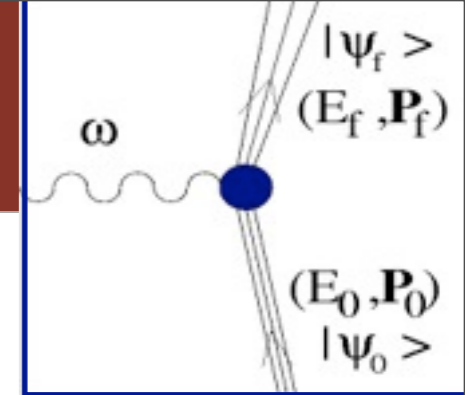
EFT Hamiltonian

S.Quaglioni and P.Navratil PLB 652 (2007)

NN(N³LO) Entem-Machleidt PRC68, 041001(R) (2003)

3N(N³LO) local version from Navratil with

$C_D=1$ $C_E=-0.029$



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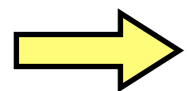
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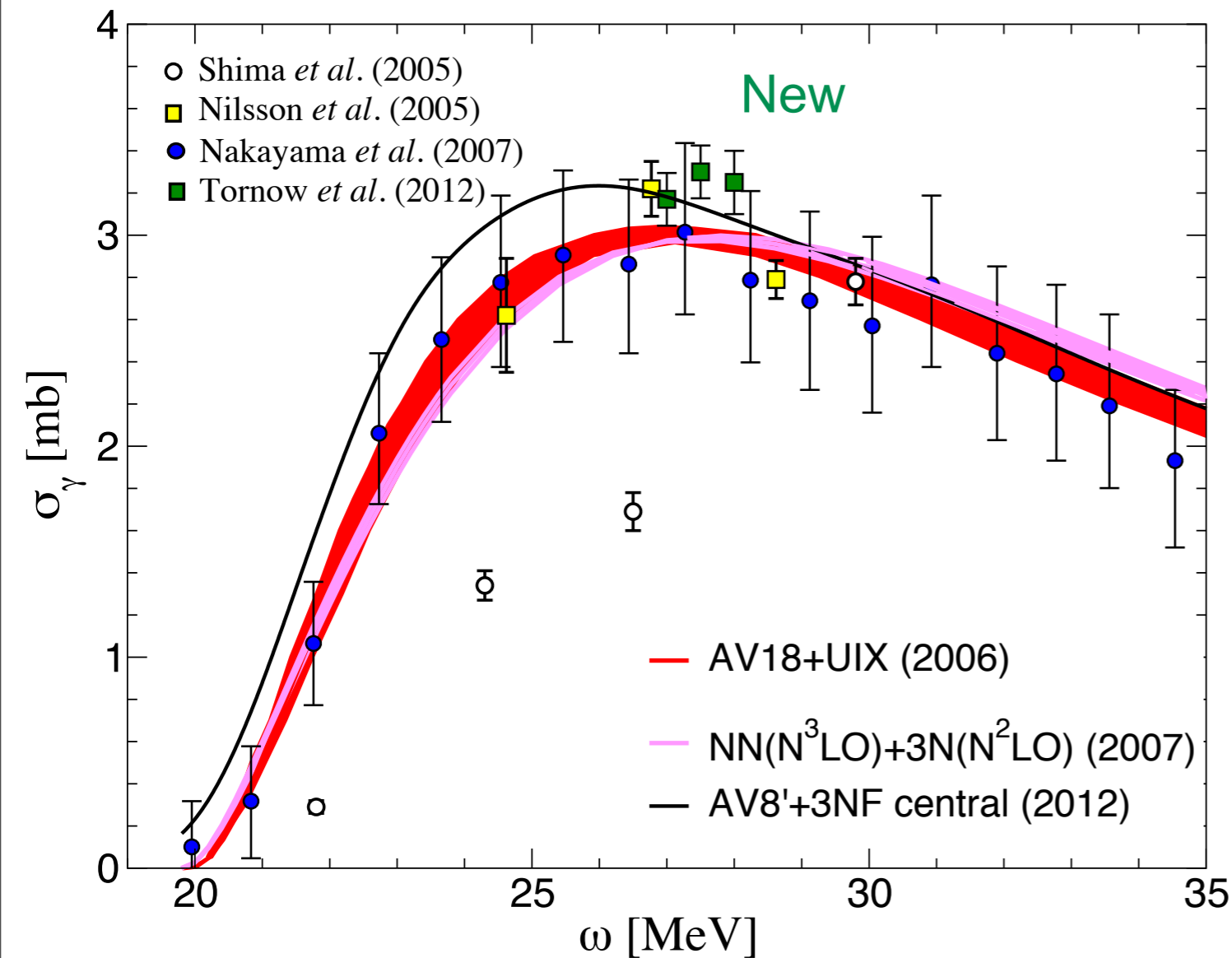
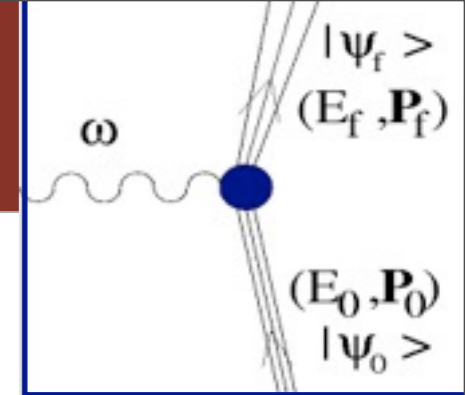
New

Phenomenological Hamiltonian

W.Horiuchi et al. PRC 85 054002 (2012)



Moderate sensitivity to the Hamiltonian used; theory variation about 10% in peak



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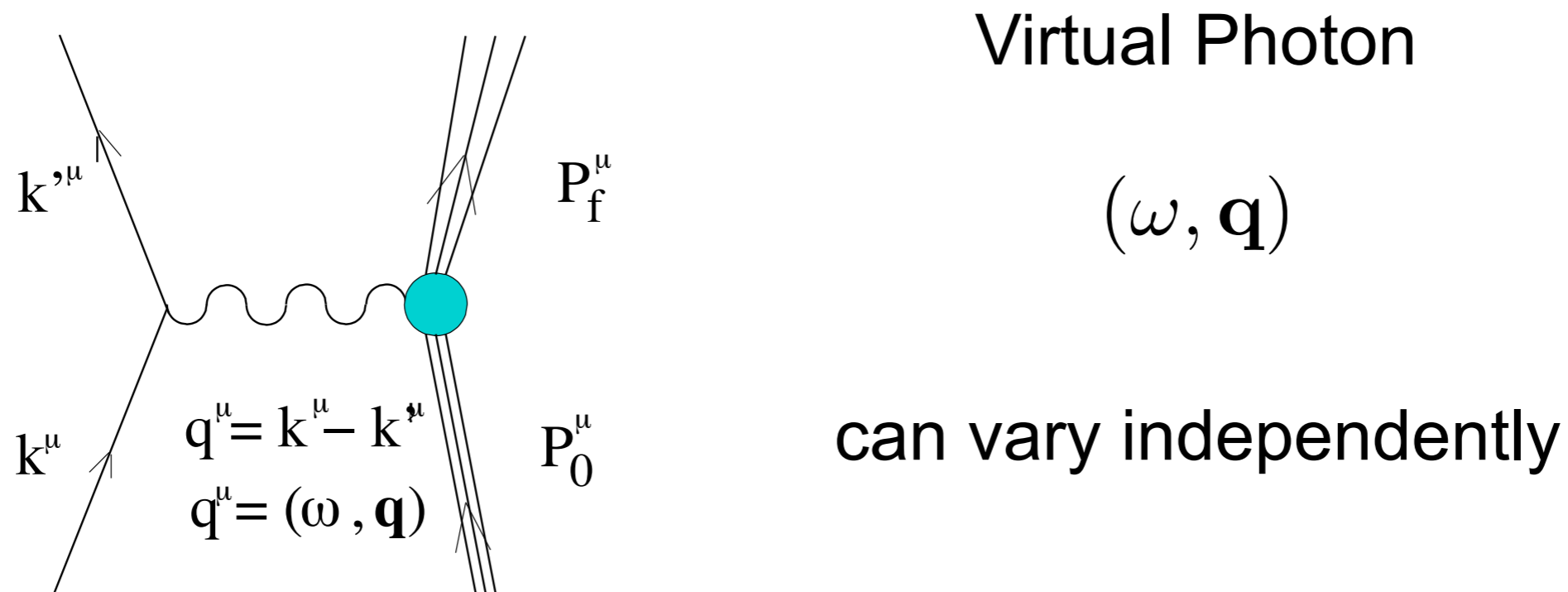
Phenomenological Hamiltonian

W.Horiuchi et al. PRC 85 054002 (2012)

➡ Moderate sensitivity to the Hamiltonian used; theory variation about 10% in peak

➡ More recent experimental activity seems to confirm higher data with peak around 27 MeV

Electron Scattering Reaction



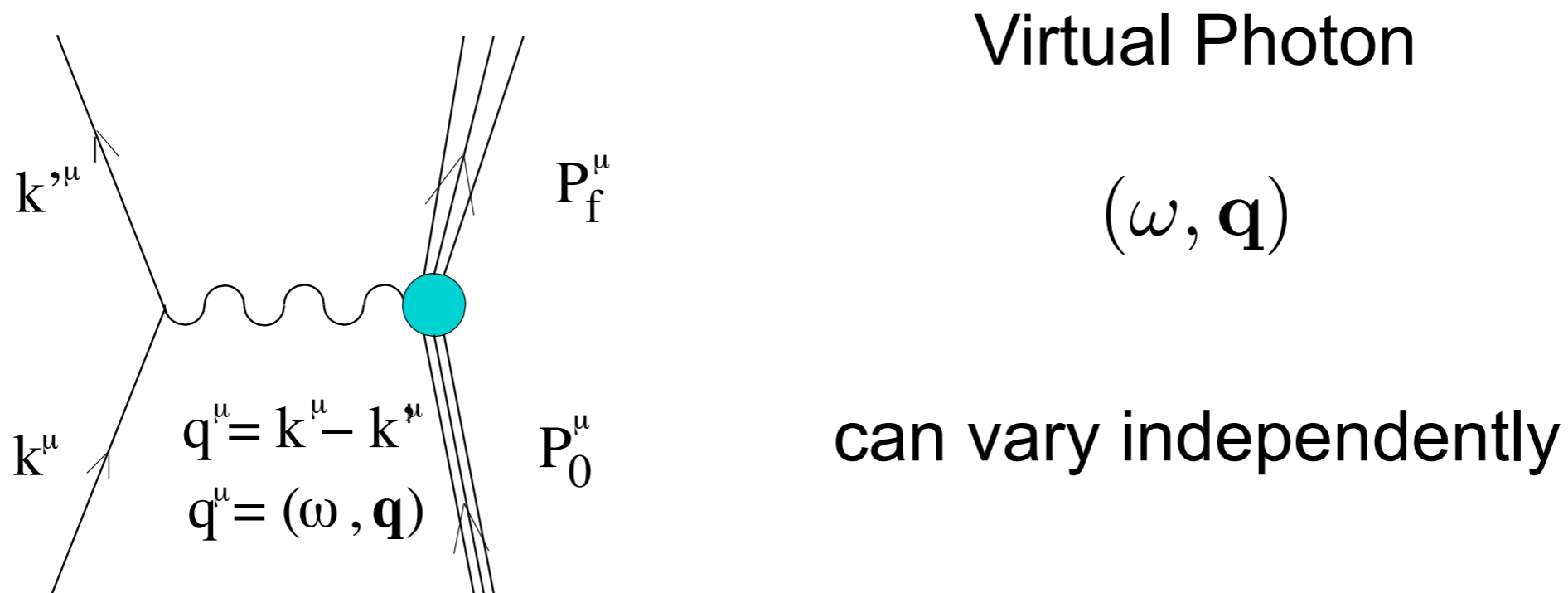
Inclusive cross section $A(e, e')X$

$$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_M \left[\frac{Q^4}{\mathbf{q}^4} R_L(\omega, \mathbf{q}) + \left(\frac{Q^2}{2\mathbf{q}^2} + \tan^2 \frac{\theta}{2} \right) R_T(\omega, \mathbf{q}) \right]$$

with $Q^2 = -q_\mu^2 = \mathbf{q}^2 - \omega^2$ and θ scattering angle

and σ_M Mott cross section

Electron Scattering Reaction



Inclusive cross section $A(e, e')X$

$$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_M \left[\frac{Q^4}{\mathbf{q}^4} R_L(\omega, \mathbf{q}) + \left(\frac{Q^2}{2\mathbf{q}^2} + \tan^2 \frac{\theta}{2} \right) R_T(\omega, \mathbf{q}) \right]$$

$$R_L(\omega, \mathbf{q}) = \sum_f |\langle \Psi_f | \rho(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left(E_f - E_0 - \omega + \frac{\mathbf{q}^2}{2M} \right) \leftarrow \text{charge operator}$$

$$R_T(\omega, \mathbf{q}) = \sum_f |\langle \Psi_f | J_T(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left(E_f - E_0 - \omega + \frac{\mathbf{q}^2}{2M} \right) \leftarrow \text{current operator}$$

Electron Scattering Reaction

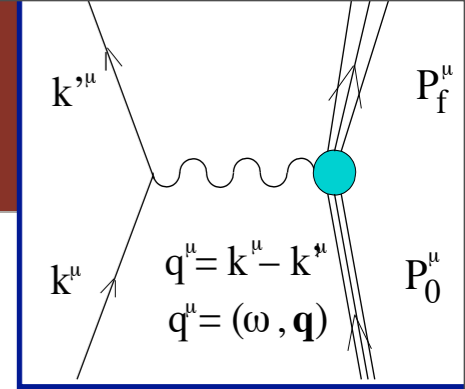
${}^4\text{He}$



First step:

Study $R_L(\omega, \mathbf{q})$ (many-body operators negligible)
to investigate the effect of 3NF

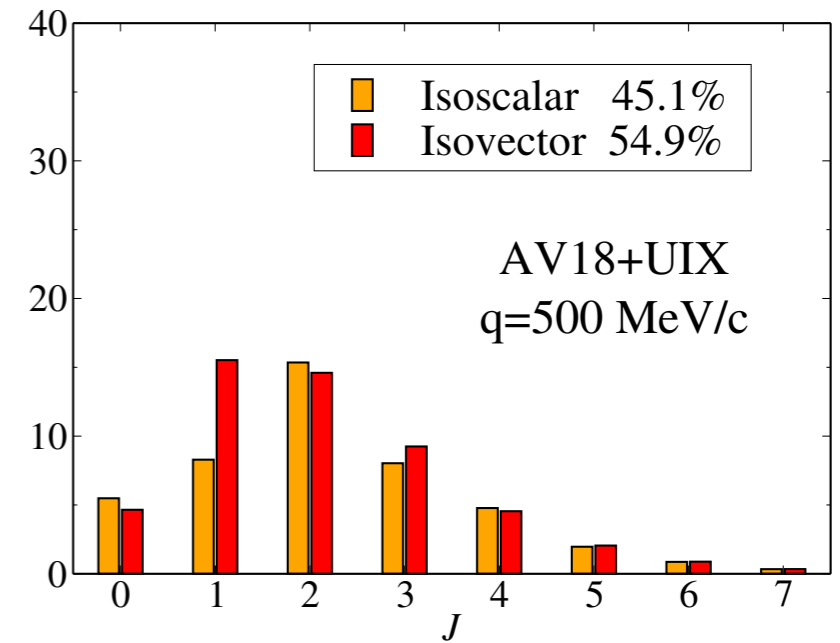
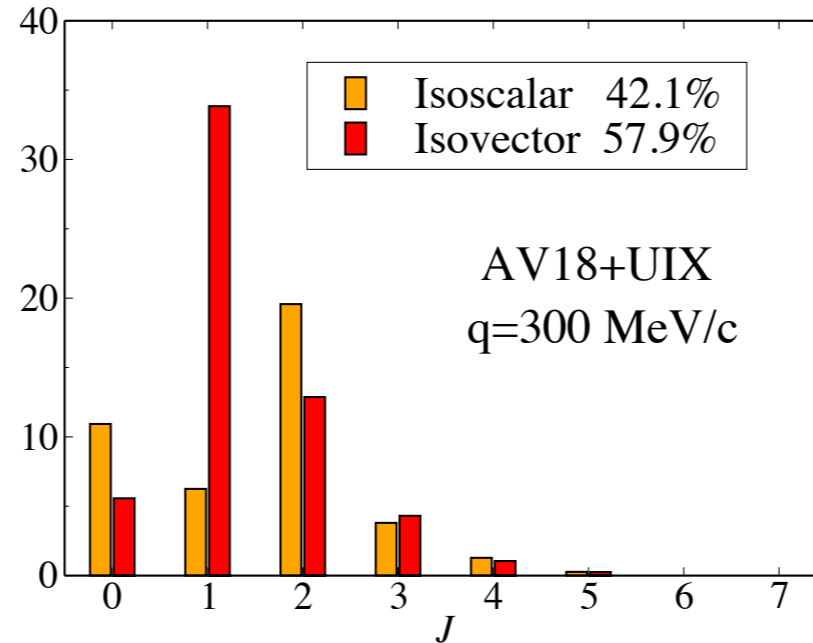
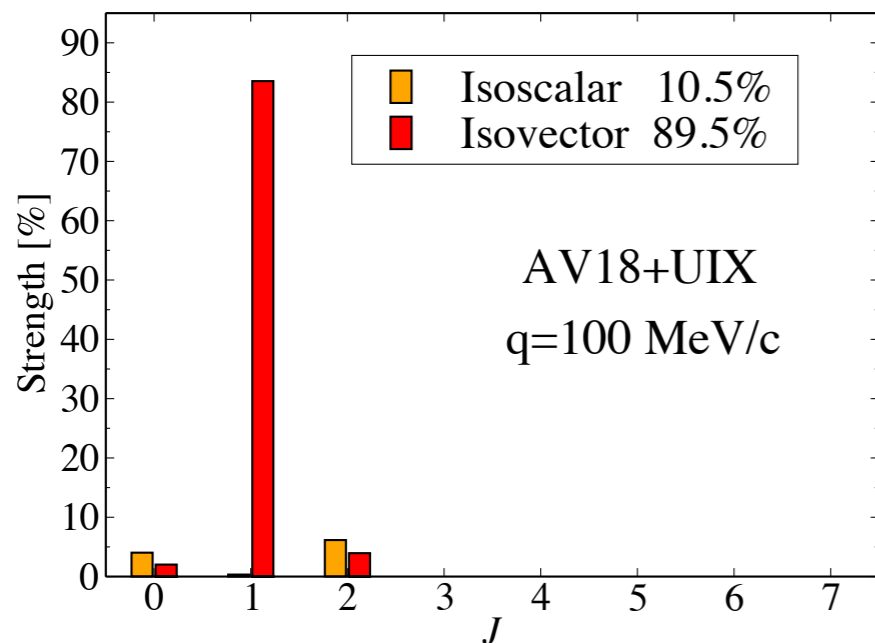
Electron Scattering Reaction

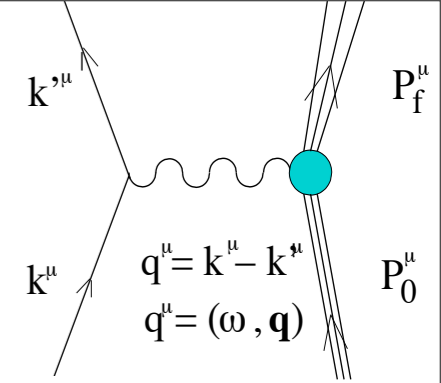


$$R_L(\omega, \mathbf{q}) = \sum_f |\langle \Psi_f | \rho(\mathbf{q}) | \Psi_0 \rangle|^2 \delta \left(E_f - E_0 - \omega + \frac{\mathbf{q}^2}{2M} \right)$$

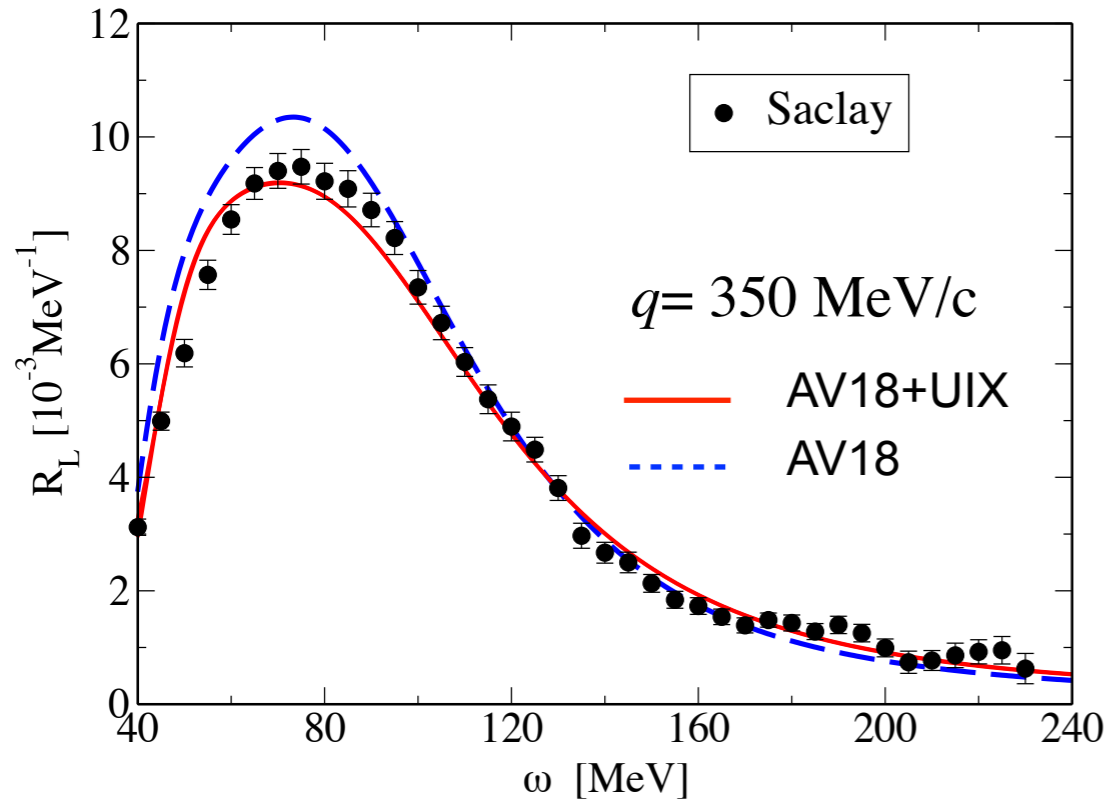
$$\rho(\mathbf{q}) = \sum_k^A e^{i\mathbf{q} \cdot \mathbf{r}'_k} \frac{1 + \tau_k^3}{2} = \sum_J C_J^S(\mathbf{q}) + C_J^V(\mathbf{q})$$

Distribution of the total inelastic strength among multipoles: **first sum rule**

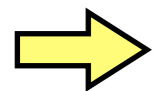
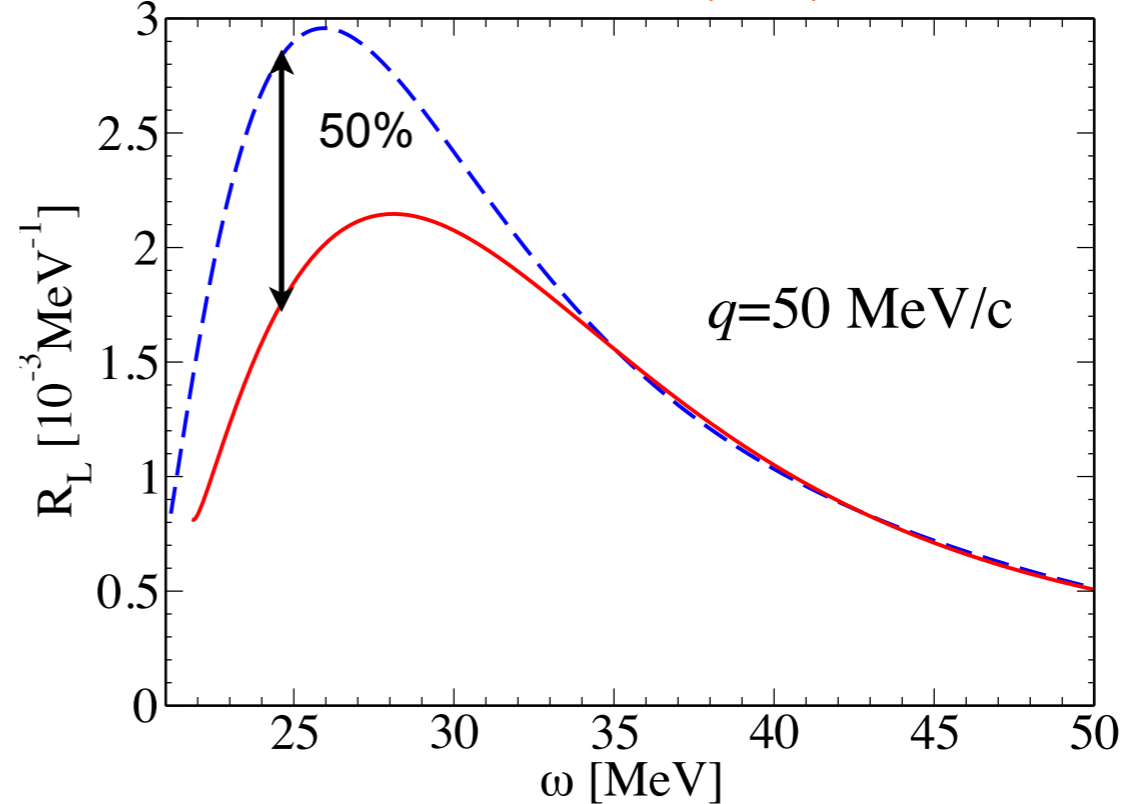




S.B. *et al.*, PRL **102**, 162501 (2009)



S.B. *et al.*, PRC **80**, 064001 (2009)

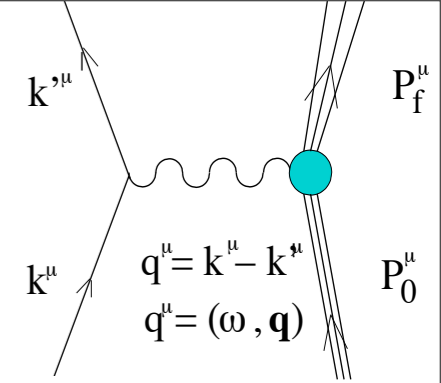


Comparison with experiment improves with 3NF and at low q the reduction of the peak is up to 50%

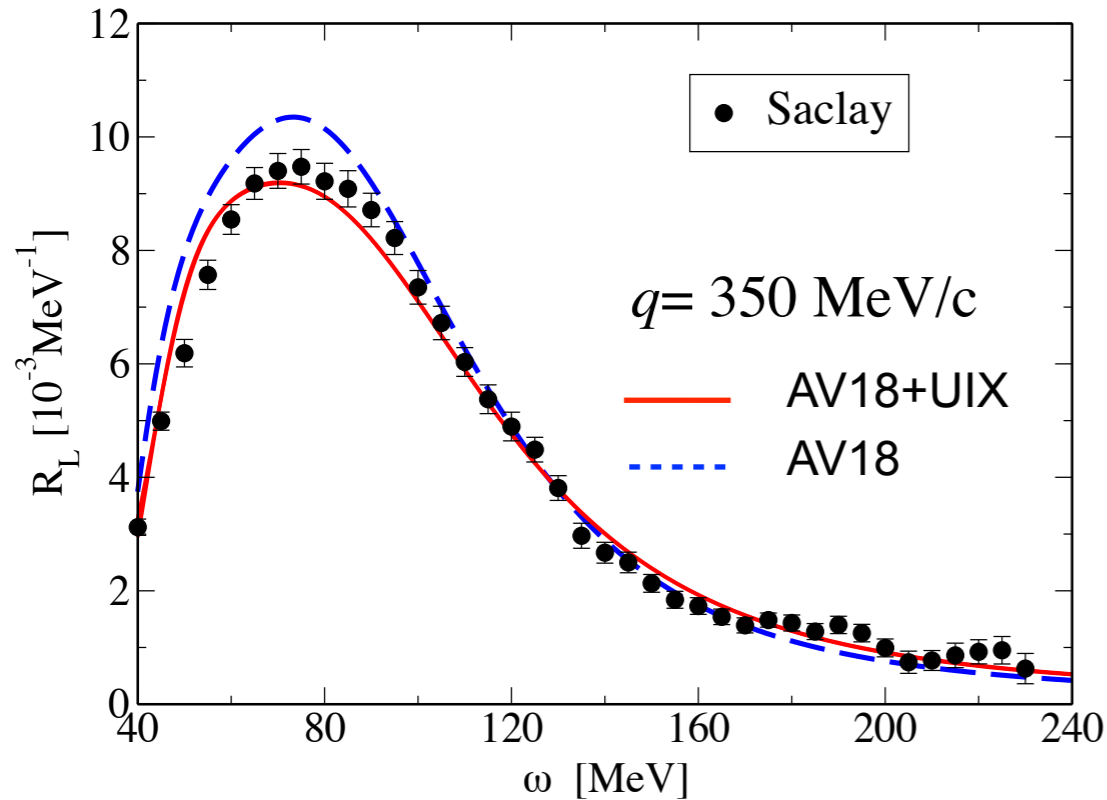
Note:

Structure of the response close to threshold is not considered here. This is the response 1-2 MeV above threshold.

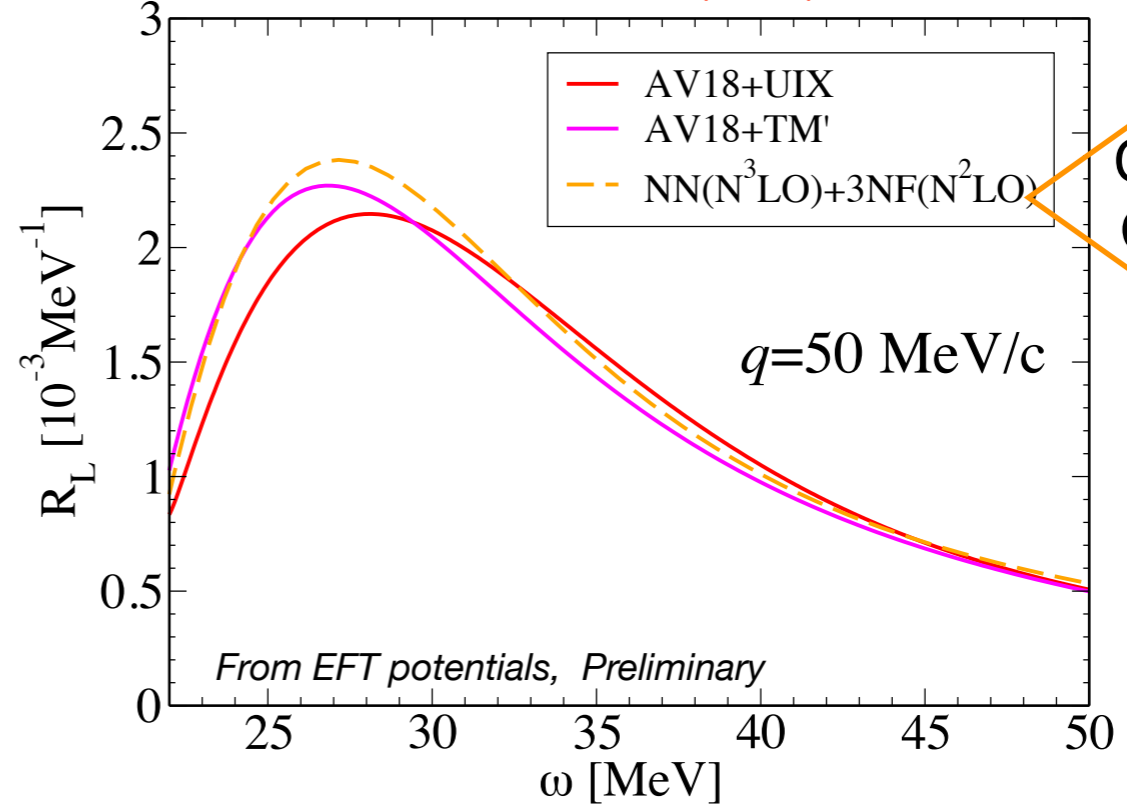
Electron Scattering Reaction



S.B. et al., PRL 102, 162501 (2009)



S.B. et al., PRC 80, 064001 (2009)



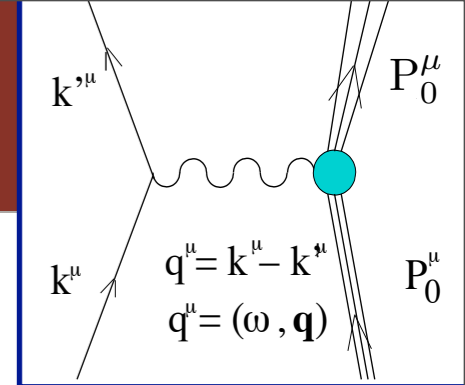
$C_D=1$
 $C_E=-0.029$

➔ Comparison with experiment improves with 3NF and at low q the reduction of the peak is up to 50%

➔ It is not a simple binding effect!

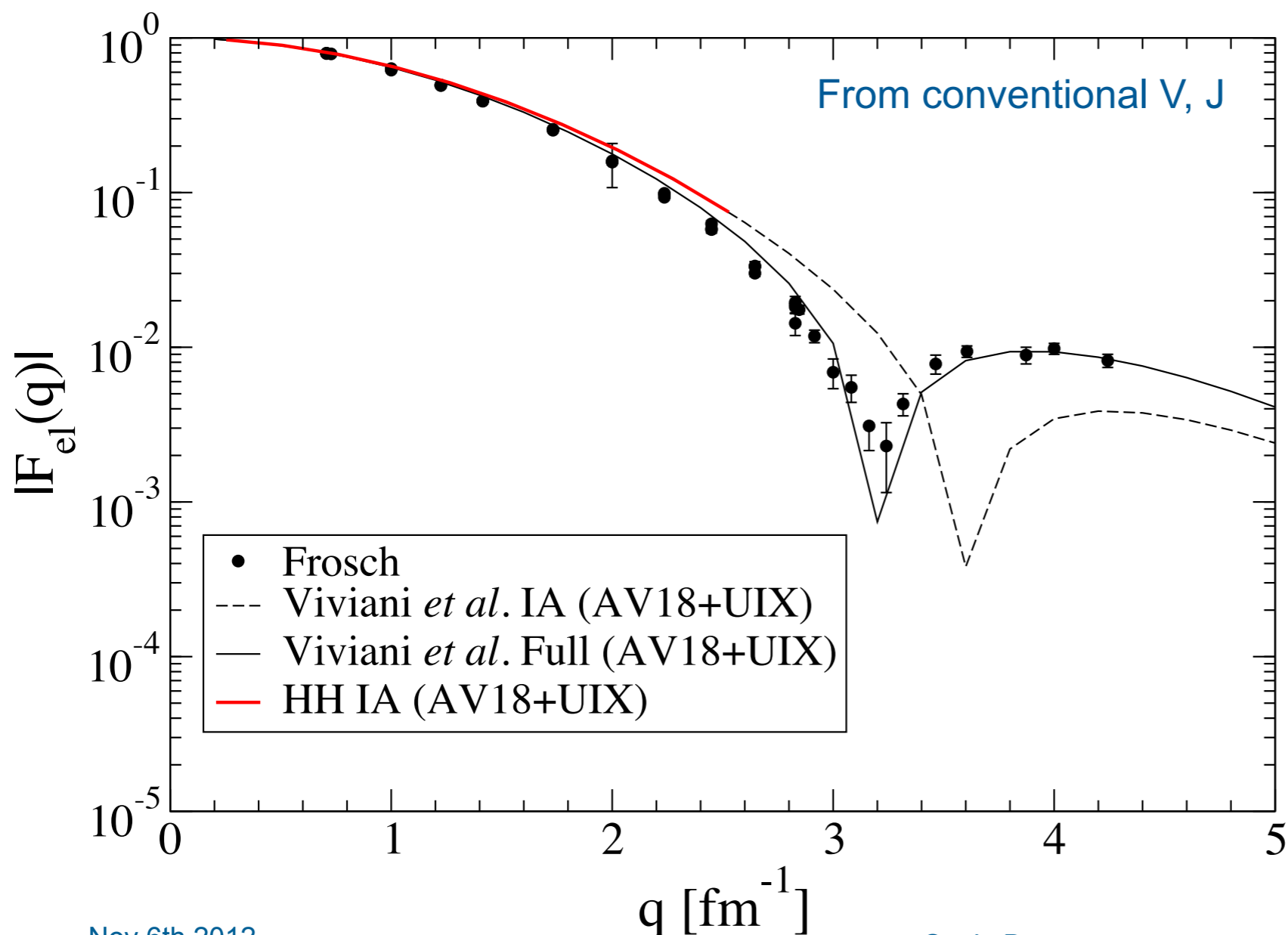
	— AV18+UIX	— AV18+TM'	- - - NN(N ³ LO)+3NF(N ² LO)	
B.E./MeV	28.40	28.46	28.357(7)	➔ In agreement with:
				28.34(2) NCSM FBS 41 (2007)
				28.37 Pisa JPG 35 063101 (2008)

➔ Stimulating new experiments: MAMI taken data $q > 150$ MeV/c; S-Dalrac will maybe take data at lower q

Elastic Form Factor ${}^4\text{He}(e,e'){}^4\text{He}$

$$F_{\text{el}}(q) = \frac{1}{Z} \langle \Psi_0 | \rho(q) | \Psi_0 \rangle \quad \text{Because } J_0=0 \text{ only isoscalar monopole contribute}$$

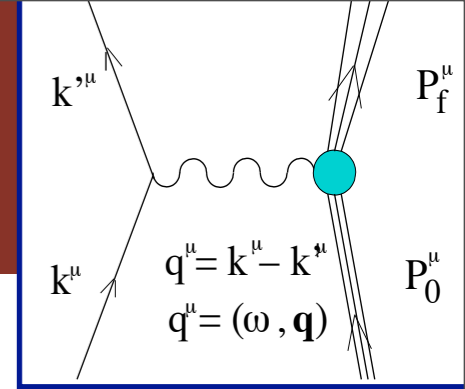
$$\rho(q) \rightarrow \mathcal{M}(q) = \frac{G_E^s(q)}{2} \sum_k^A j_0(qr_k)$$



Impulse approximation (IA)
 valid below 2 fm^{-1}
 Viviani *et al.*, PRL **99** (2007) 112002

We reproduce perfectly Viviani's
 results in impulse approximation

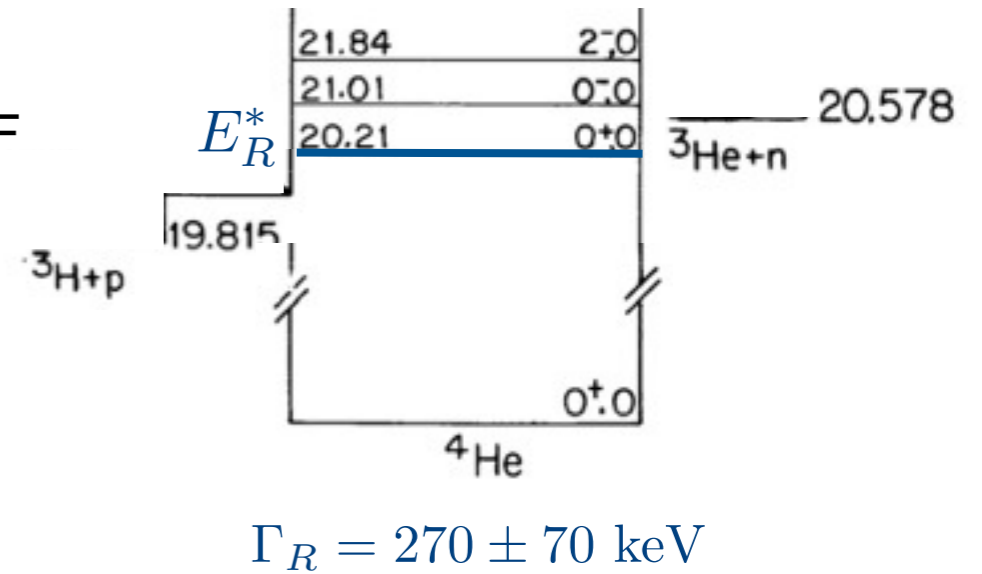
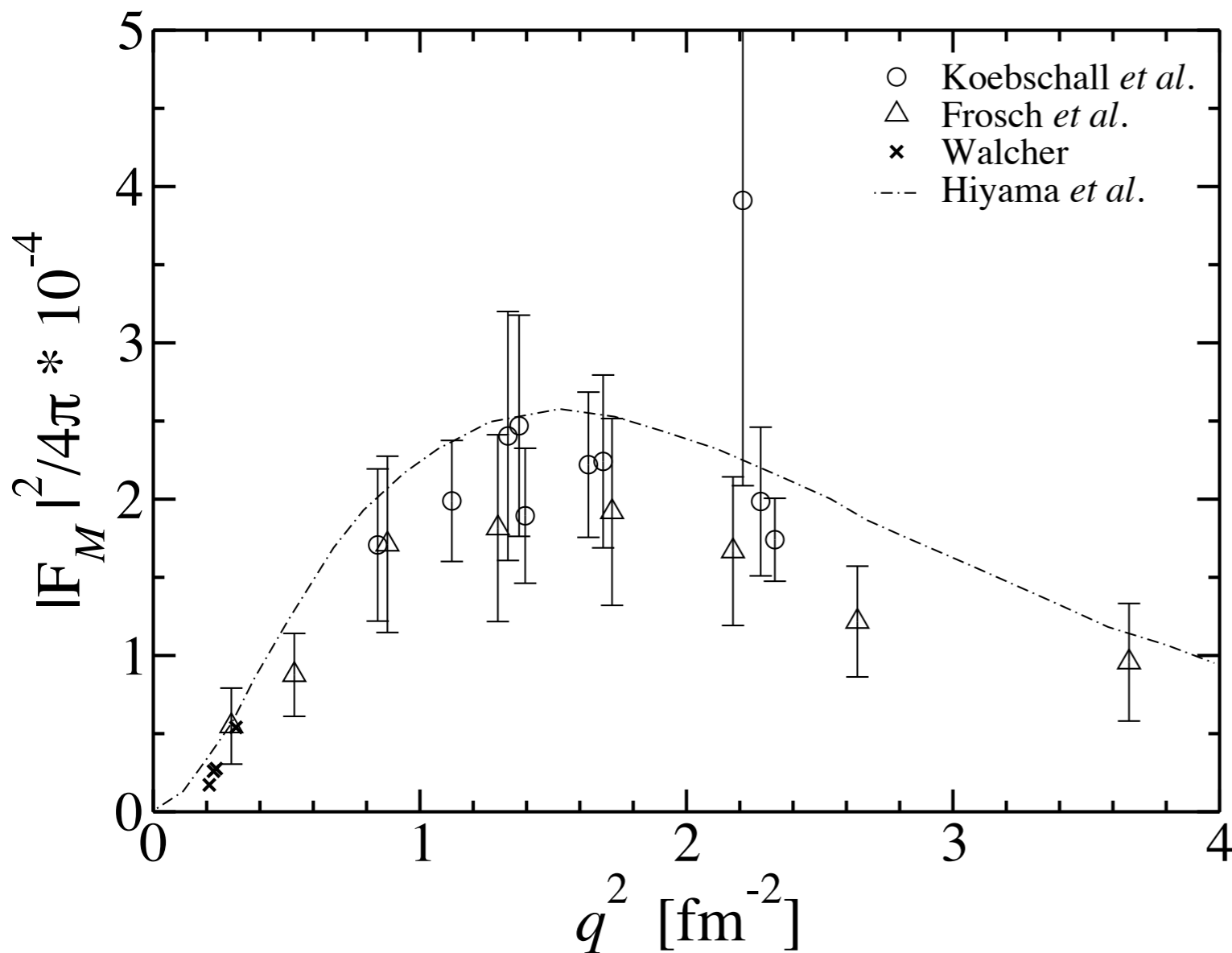
Monopole Resonance ${}^4\text{He}(e,e')0^+$



Resonant Transition Form Factor
 $0_1^+ \rightarrow 0_2^+$

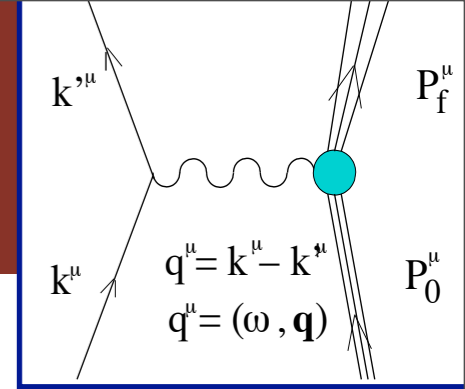
$$|F_{\mathcal{M}}(q)|^2 = \frac{1}{Z^2} \int d\omega R_{\mathcal{M}}^{\text{res}}(q, \omega)$$

First ab-initio calculation: Hiyama *et al.*, PRC **70** 031001 (2004)
 obtained good description of data with phenomenological central 3NF



AV8' + central 3NF
 $E_0 = -28.44$ MeV
 $E_0^{\text{exp}} = -28.30$ MeV

Monopole Resonance ${}^4\text{He}(e,e')0^+$

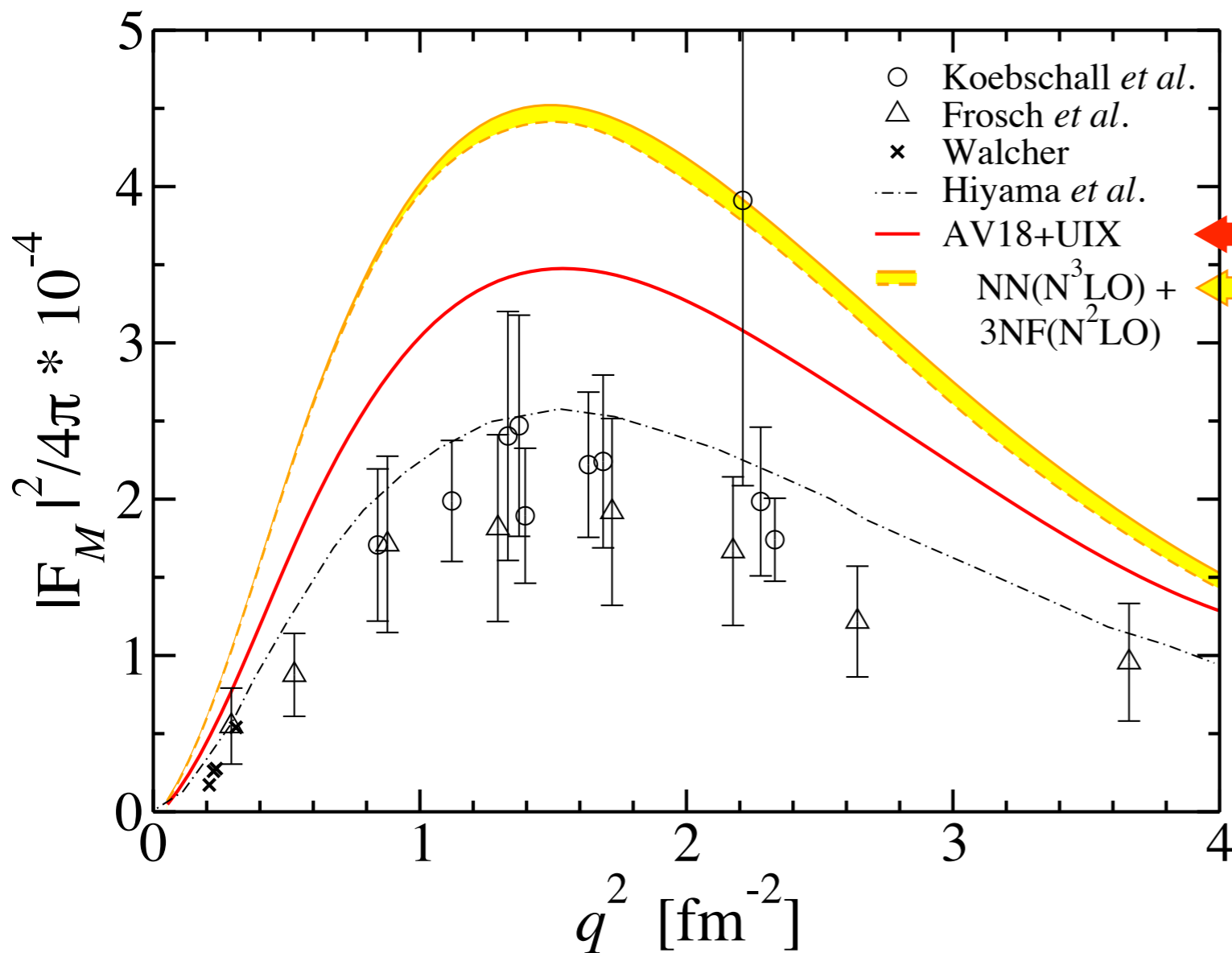


Resonant Transition Form Factor
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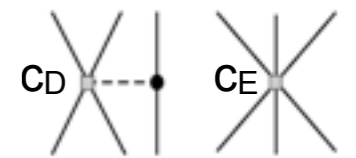
$$|F_{\mathcal{M}}(q)|^2 = \frac{1}{Z^2} \int d\omega R_{\mathcal{M}}^{\text{res}}(q, \omega)$$

First ab-initio calculation with realistic three-nucleon forces and with the Lorentz Integral Transform method

S.B. *et al.*, [arXiv:1210.7255](https://arxiv.org/abs/1210.7255)



conventional forces
 EFT forces



Two-parameterization of the 3NF from EFT:

$C_D=1$ $C_E=-0.029$ ${}^3\text{H}$ g.s. energy

Navratil, *FBS* **41** (2007) 117-140

$C_D=-0.2$ $C_E=-0.205$ ${}^3\text{H}$ g.s. energy and beta-decay

Gazit *et al.*, *PRL* **103** (2009) 102502

Pronounced sensitivity to the input Hamiltonian

The LIT for the resonant transition

In proximity of the resonance
both in theory and experiment

$$R_{\mathcal{M}}(q, \omega) = R_{\mathcal{M}}^{\text{res}}(q, \omega) + R_{\mathcal{M}}^{\text{bg}}(q, \omega) \quad (\star)$$

We use a square integrable basis (HH) to
calculate the LIT, not the response
rigorous because of finite Γ

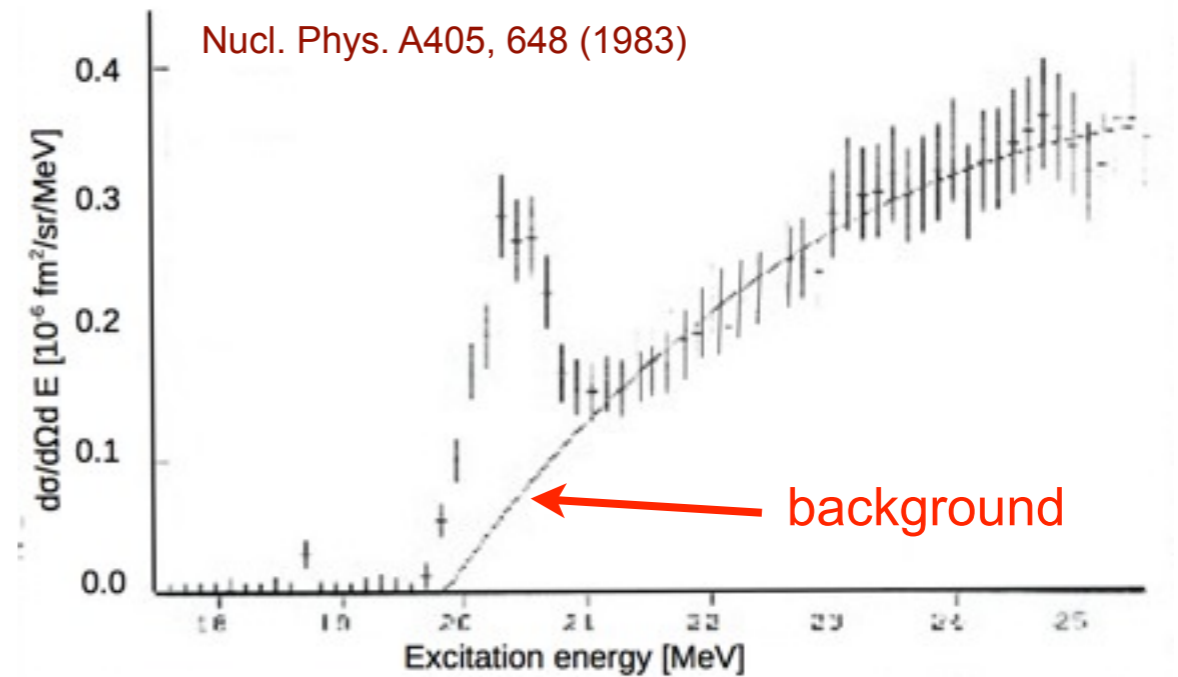
$$\mathcal{L}_{\mathcal{M}}(q, \sigma, \Gamma) = \frac{\Gamma}{\pi} \sum_{\nu=1}^N \frac{|\langle \Psi_{\nu} | \mathcal{M}(q) | \Psi_0 \rangle|^2}{(\sigma - e_{\nu} + E_0)^2 + \Gamma^2}$$

where Ψ_{ν}, e_{ν} are eigenstate and eigenvalues of H on our basis

We see one very pronounced strength $|\langle \Psi_{\nu_R} | \mathcal{M}(q) | \Psi_0 \rangle|^2$ located at the energy

$$e_{\nu} - E_0 = E_R^*$$

Exploit the power of the LIT method (calculate the far continuum) to subtract the background



The LIT for the resonant transition

In proximity of the resonance
both in theory and experiment

$$R_{\mathcal{M}}(q, \omega) = R_{\mathcal{M}}^{\text{res}}(q, \omega) + R_{\mathcal{M}}^{\text{bg}}(q, \omega) \quad (\star)$$

Inversion of the LIT

ansatz

$$\mathcal{R}_{\mathcal{M}}(q, \omega) = \sum_i c_i \chi_i(\omega, \alpha)$$

$$\mathcal{L}_{\mathcal{M}}(\sigma, \Gamma) = \sum_i c_i \mathcal{L}[\chi_i(\omega, \alpha)]$$

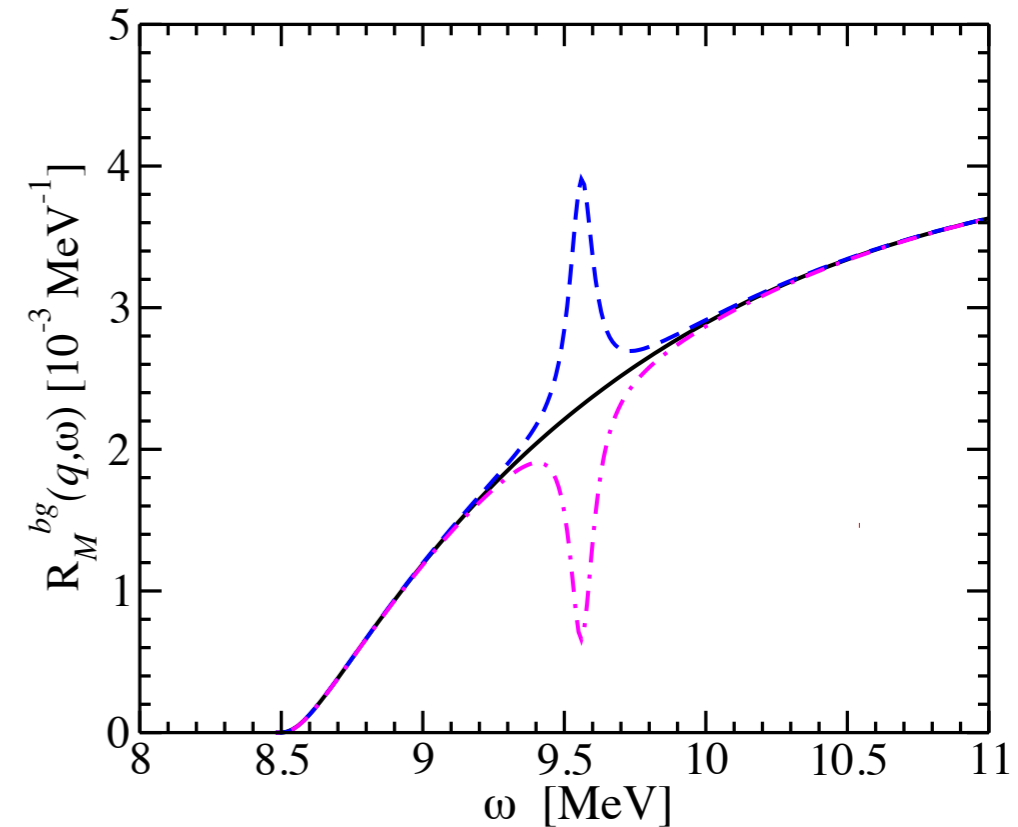
least square fit of c_i



$$f_R(q) \frac{\Gamma}{\pi} \frac{1}{(\sigma - E_R + E_0)^2 + \Gamma^2}$$

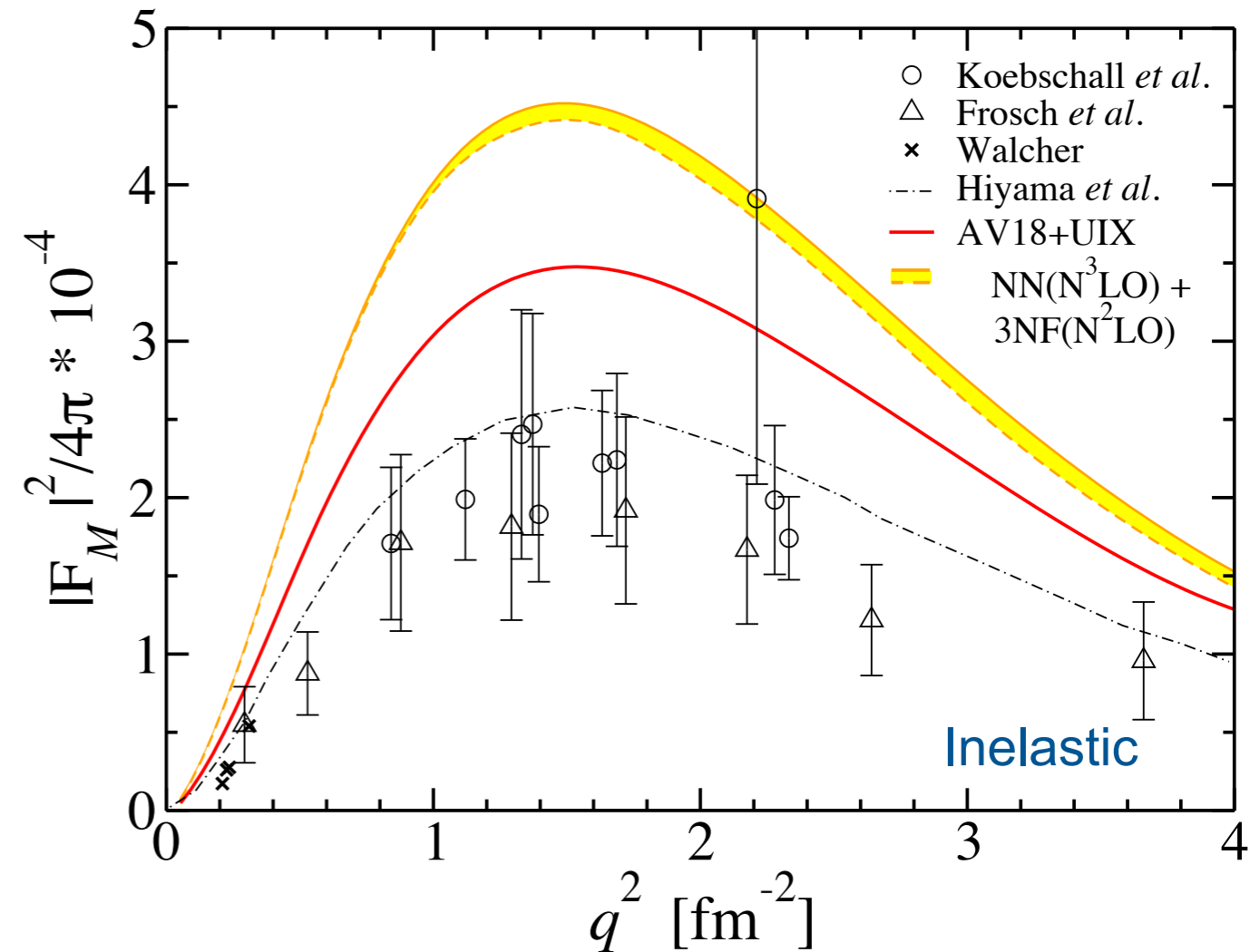
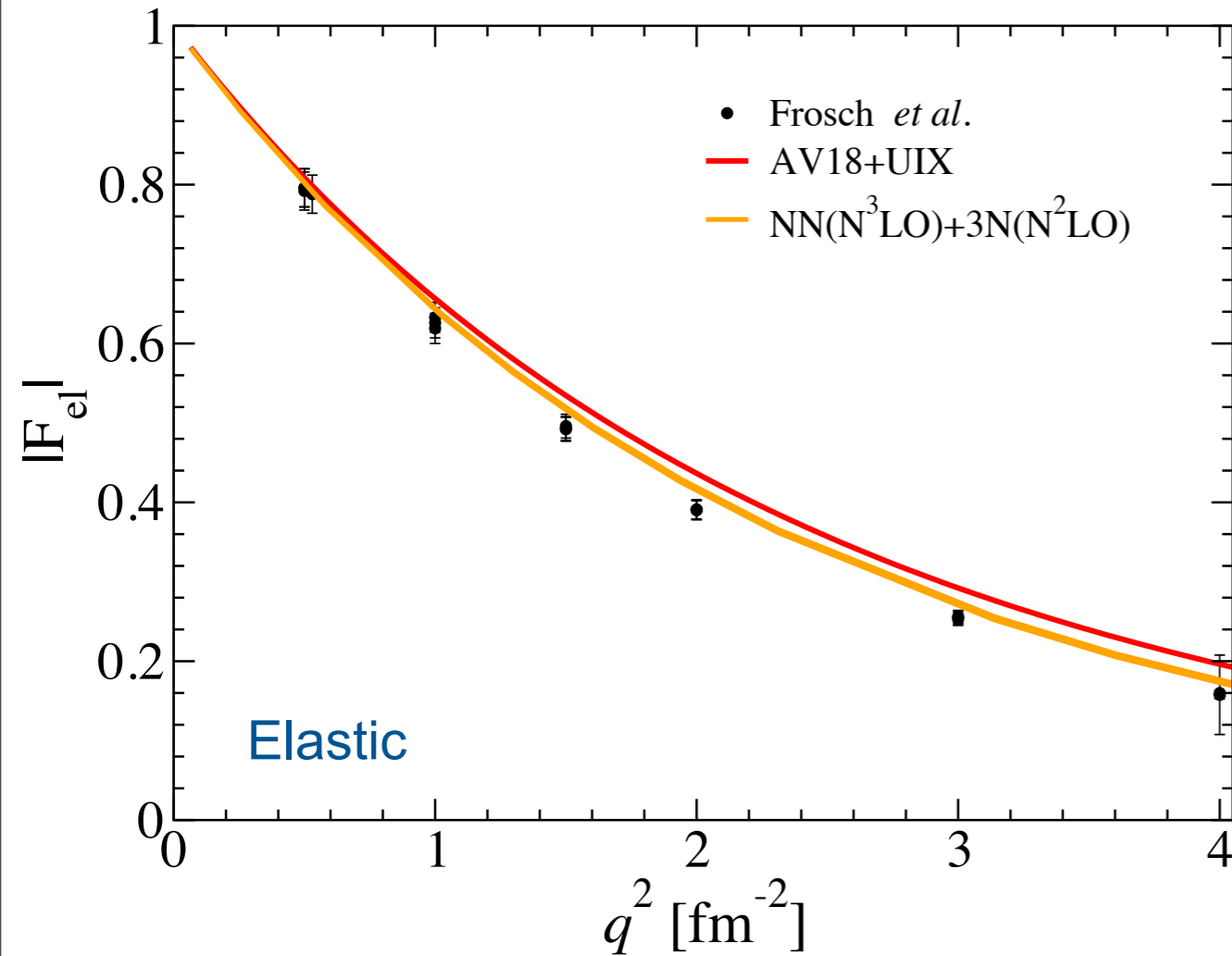
LIT of a delta by
numerically
choosing
 $\gamma \ll \Gamma$

Fit $f_R(q)$ to obtain a smooth **background** $\rightarrow f_R(q)$ is related to the resonant form factor



Sensitivity to Nuclear Hamiltonians

S.B. *et al.*, [arXiv:1210.7255](https://arxiv.org/abs/1210.7255)



➔ The inelastic monopole resonance acts as a prism to nuclear Hamiltonians.

AV8' + central 3NF	$E_0 = -28.44$ MeV
AV18+UIX	$E_0 = -28.40$ MeV
NN(N ³ LO)+3NF(N ² LO)	$E_0 = -28.357$ MeV
	$E_0^{\text{exp}} = -28.30$ MeV



Analysis of this result

Realistic three-nucleon forces do not reproduce the data for $|F_{\mathcal{M}}|^2$
 Particularly large difference are found with chiral EFT potentials.

This is unexpected! What can be the source of this behaviour?

- **Numerics?** Our calculations are well converged (few % level) in the HH basis

K_{\max}	12	14	16	18
$10^4 F_{\mathcal{M}} ^2$	4.59	4.75	4.85	4.87

- **Many-body charge operators?**

Conventional Nuclear Physics

Impulse approximation valid for elastic form factor below 2 fm^{-1}

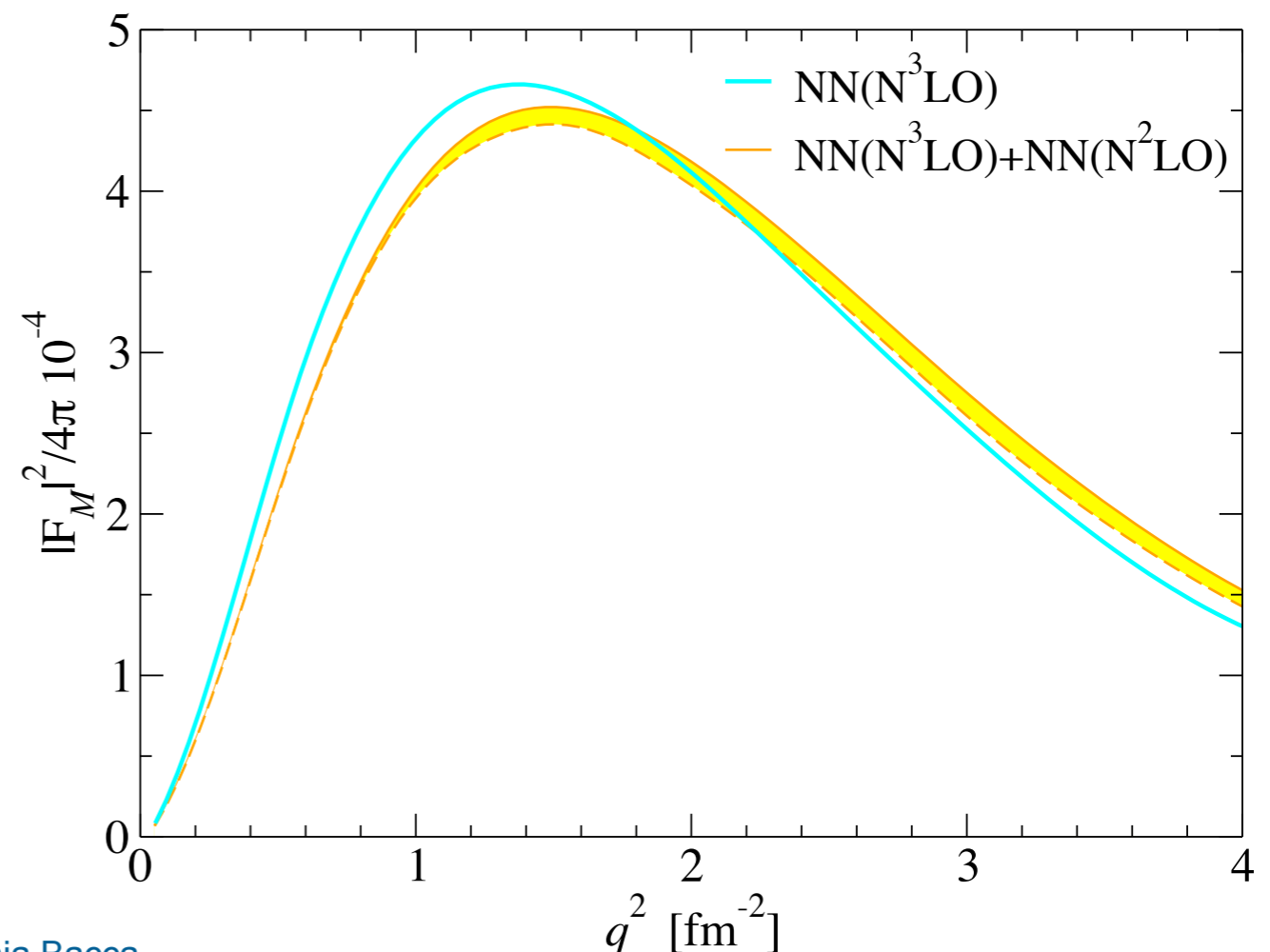
Viviani *et al.*, PRL **99** (2007) 112002

EFT approach

work done by Park *et al.*, Epelbaum, Koelling *et al.*, Pastore *et al.*, many-body operators appear at high order in EFT

- **Higher order 3NF (N³LO)?**

Unlikely...

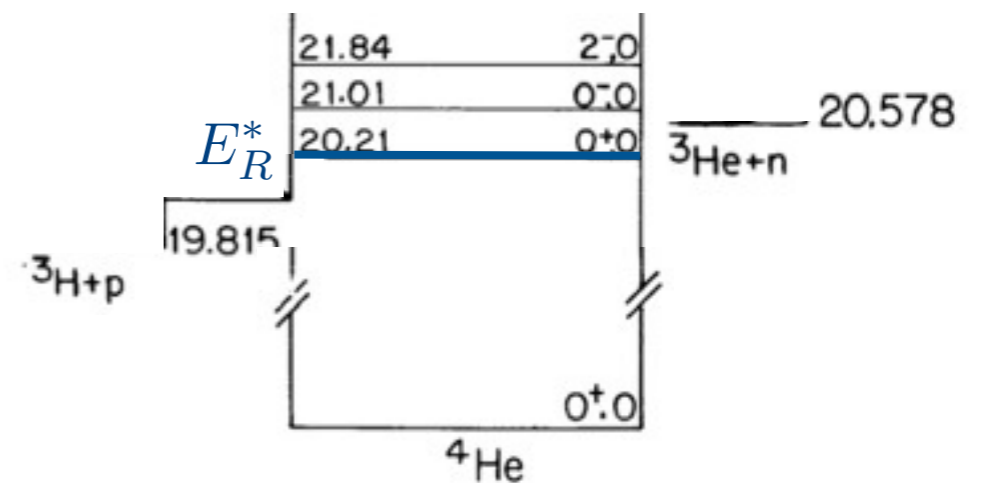


Analysis of this result

- Location of the resonance?

AV8' + central 3NF	$E_R^* = 20.25 \text{ MeV}$
AV18+UIX	$E_R^* = 21.00(20) \text{ MeV}$
NN(N ³ LO)+3NF(N ² LO)	$E_R^* = 21.01(30) \text{ MeV}$

$$E_R^* = 20.21 \text{ MeV}$$



The “realistic Hamiltonians” fail to reproduce the correct position of the 0^+_2 resonance

More theoretical work needed to understand this.

- Can this be measured again?

- The LIT is a very powerful method to an exact study of electron scattering observables
 - ★ Showed results obtained in conjunction with the HH for $A=4$
- The investigation of electromagnetic observables allows to
 - ★ Shed more light on role of 3NF → Electromagnetic reactions are sensitive to 3NF and to different nuclear Hamiltonians
 - ★ Study the effect of exchange currents

Future

- ★ Use forces and currents from EFT
- ★ Extend these studies to heavier nuclei