Making Sense of Structure/Reaction 'Non-observables'

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What is a 'non-observable'?

- **I don't mean** *in-principle* non-observables
	- T.D. Lee: "The root of all symmetry principles lies in the assumption that it is impossible to observe certain basic quantities; these will be called 'non-observables'."
	- E.g., you can't measure absolute position or time
- True observables are directly measurable quantities
	- E.g., cross sections and energies
	- Association with a Hermitian operator is not enough!
- I mean scale- and scheme-dependent quantities
	- E.g., spectroscopic factors depend on scheme (do ANC's?)
	- Questions to address:
		- Is there a consistent extraction from experiment such that they can be applied in other processes?
		- Can one convert between different prescriptions?
		- What is the ambiguity or convention dependence?
	- Note: Many quantities can be *in-practice* observables depending on the physics context (e.g., negligible ambiguity)

Partial list of 'non-observables' references

- *Equivalent Hamiltonians in scattering theory*, H. Ekstein, (1960)
- *Measurability of the deuteron D state probability*, J.L. Friar, (1979)
- *Problems in determining nuclear bound state wave functions*, R.D. Amado, (1979)
- *Nucleon nucleon bremsstrahlung: An example of the impossibility of measuring off-shell amplitudes*, H.W. Fearing, (1998)
- *Are occupation numbers observable?*, rjf and H.-W. Hammer, (2002)
- *Unitary correlation in nuclear reaction theory: Separation of nuclear reactions and spectroscopic factors*, A.M. Mukhamedzhanov and A.S. Kadyrov, (2010)
- *Non-observability of spectroscopic factors*, B.K. Jennings, (2011)
- *How should one formulate, extract, and interpret 'non-observables' for nuclei?*, rjf and A. Schwenk, (2010) [in J. Phys. G focus issue on Open Problems in Nuclear Structure Theory, edited by J. Dobaczewski]

From rjf and A. Schwenk essay [J. Phys. G 37 (2010)]

- The general structure is that a measured quantity such as a cross section is decomposed as a convolution of subsidiary pieces, usually based on a factorization principle.
- This decomposition is not unique, and so we refer here to the extracted quantities as 'non-observables'.
- The quotes are intended to soften the implication that it is improper to talk about them; nevertheless, unless the conventions (e.g., scale and scheme dependence) are controlled and specified, there will be ambiguities that will be entangled with the structure and reaction approximations.
- The challenge is to formulate and carry out experimental extractions and theoretical calculations of non-observables systematically and consistently.

Parton distributions as paradigm [Marco Stratman]

Deep-inelastic scattering (DIS) $according$ to $pQCD$

the physical structure fct. is independent of μ_t (this will lead to the concept of renormalization group egs.)

> both, pdf's and the short-dist. coefficient depend on μ_t (choice of μ_f : shifting terms between long- and short-distance parts)

Parton distributions as paradigm [Marco Stratman]

Factorization schemes

pictorial representation of factorization:

the separation between long- and short-distance physics is not unique

- 1. choice of μ_f : defines borderline between long-/short-distance
- 2. choice of scheme: re-shuffling finite pieces

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Parton distributions as paradigm: Lessons

- The momentum distribution for a given hadron is not unique
	- With parton distributions one would not talk about the results at a particular *Q*² as being "the" quark or gluon momentum distribution as opposed to distributions for lower or higher *Q*² .
	- Dependence on *Q*² , which serves as the resolution scale and can be changed by renormalization group (RG) evolution, and the PDF analysis at NLO must be performed in a specific renormalization and factorization scheme (e.g., MS or DIS)
	- Controlled factorization allows PDF's from one process to be used in other processes (and at other scales)!
	- For consistency, hard-scattering cross section calculations used for the input processes or that use the extracted PDFs have to be implemented with the same scheme
	- There is careful treatment of the uncertainties in the PDFs; not considered sufficient to just compare different extractions. Instead, Lagrange Multiplier and Hessian techniques have been developed to estimate PDF uncertainties.
- Can we formulate our stucture/reaction theory to have the same control as with PDFs using factorization?

What are the low-energy nuclear physics analogs?

E.g., from D. Bazin ECT^{*} talk, $5/2011$

- extendions:

Questions:

We define the state of the s
	- How general/robust is this factorization?
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• What does it mean to be *consistent* between structure what does it mean to
and reaction models?
		- How does scheme dependence come in?
		- What are the trade-offs? (E.g., does simpler structure mean more complicated reaction?)

The source of convention or scheme dependence

• General form: cross section as convolution

• but individual parts are not unique

Short-range unitary transformation *U* leaves m.e.'s invariant:

$$
O_{mn} \equiv \langle \Psi_m | O | \Psi_n \rangle = \left(\langle \Psi_m | U^{\dagger} \right) U O U^{\dagger} \left(U | \Psi_n \rangle \right) = \langle \widetilde{\Psi}_m | \widetilde{O} | \widetilde{\Psi}_n \rangle
$$

But the matrix elements of *O* itself between the transformed states are in general modified:

$$
\widetilde{O}_{mn}\equiv \langle \widetilde{\Psi}_{m} | O | \widetilde{\Psi}_{n} \rangle \neq O_{mn} \quad \Longrightarrow \quad \text{e.g., } \langle \Psi^{A-1}_{n} | a(\textbf{r}) | \Psi^{A}_{0} \rangle \text{ changes}
$$

- **•** Field theory version: the equivalence principle says that only on-shell quantities can be measured. Field redefinitions change off-shell dependence only.
- Claim: In a low-energy effective theory, there is no preferred set of states (or preferred Hamiltonian) so transformations that modify *short-range* unresolved physics generate equally acceptable states. So $O_{mn} \neq O_{mn} \Longrightarrow$ ambiguous.

Quantities that vary with convention or scheme

- **o** deuteron D-state probability [e.g., Friar, PRC 20 (1979)]
- off-shell effects (e.g., from NN bremsstrahlung) [Fearing/Scherer, PRC **62** (2000)]
- occupation numbers [Hammer/rjf, PLB **531** (2002)]
- spectroscopic factors [Mukhamedzhanov/Kadyrov, PRC **82** (2010)]
- proton radius (cf. charge radius) [Polyzou, PRC **58** (1998)]
- short-range part of wave functions (SRC's)
- wound integrals
- short-range potentials; e.g., contribution of short-range 3-body forces
- \bullet and so on \ldots

Deuteron true and scheme-dependent observables

• Unitary transformations labeled by Λ ($V_{\text{low }k}$ here)

- \implies soften interactions by lowering resolution (how far?)
- \implies reduced short-range and tensor correlations
- D-state probability changes (cf. spectroscopic factors)
- Asymptotic D-S ratio is unchanged (cf. ANC's)

Correlation of *P^D* **with spectroscopic factors**

- Increased occupation probability with increased non-locality and correlated reduction in short-range tensor strength
- Is the correlation quantitatively predictable?

Cutoff dependence in coupled cluster calculations 6

FIG. 4: (Color online) Spectroscopic factor SF(1/2−) for neutron and proton removal as a function of the oscillator spacing $\hbar\omega$ for nucleon-nucleon interactions with different cutoffs in a model space with $N = 6$.

Wave functions are more single-particle-like as Λ/λ decreases, but do reaction operators become significantly less one-body?

Changing the scheme: (short-range) NN potential

- $V_{\text{low }k}$ or SRG unitary transformations to soften interactions
- Project non-local NN potential: $\overline{V}_{\lambda}(r) = \int d^3r' V_{\lambda}(r,r')$
	- Roughly gives action of potential on long-wavelength nucleons
- **Central part (S-wave)** [Note: The V_{λ} 's are all phase equivalent!]

• Tensor part (S-D mixing) [graphs from K. Wendt]

Determining the nuclear potential from lattice QCD

[S. Aoki, *Hadron interactions in lattice QCD*, arXiv:1107.1284]

- Why not just calculate energy as function of separation $\implies V(r)$?
	- Only works in heavy mass limit (e.g., works for B-mesons)
- But is this unique? No!
	- choice of nucleon interpolating field \Rightarrow different $V(\mathbf{x})$
	- choice of "wave function" smearing (changes overlap)

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Determining the nuclear potential from lattice QCD

[S. Aoki, *Hadron interactions in lattice QCD*, arXiv:1107.1284]

- ". . . the potential depends on the choice of nucleon operator. . . " which "... is considered to be a 'scheme' to define the potential."
- **.** "Is such a scheme-dependent quantity useful? The answer to this question is probably 'yes', since the potential is useful to understand or describe the phenomena."
- Claim: useful to choose a scheme that yields good convergence of the velocity expansion (close to local)

Are wave functions measurable? [from W. Dickhoff] Atoms studied with the (e,2e) reaction

But compare approximations for $(e, 2e)$ on atoms to those for $(e, e'p)$ on nuclei! (Impulse approx., FSI, vertex, ...)

Spectroscopic factors in atoms

For a bound final *N*-1 state the spectroscopic factor is given by

$$
S = \int d\vec{p} \left| \left\langle \Psi_n^{N-1} \left| a_{\vec{p}} \right| \Psi_0^N \right\rangle \right|^2
$$

For H and He the 1*s* electron spectroscopic factor is 1 For Ne the valence 2*p* electron has *S*=0.92 with two additional fragments, each carrying 0.04, at higher energy.

One-body scattering, small scheme dependence \implies robust SF

Unitary cold atoms: Is *n*(*k*) **observable?**

Tail of momentum distribution + contact [Tan; Braaten/Platter]

When $R/a_\text{s} \ll kR \ll 1 \Longrightarrow$ tiny scheme dependence

Is the tail of *n*(*k*) **for nuclei measurable? (cf. SRC's)**

Using EFT and field redefinitions as tool

• EFT:
$$
\mathcal{L}_{\text{eff}} = \psi^{\dagger} \left[i \frac{\partial}{\partial t} + \frac{\nabla^2}{2M} \right] \psi - \frac{C_0}{2} (\psi^{\dagger} \psi)^2 - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots
$$

- **e** general short-range interactions, but not unique!
- Try simple field redefinition to check scheme dependence:

$$
\psi \longrightarrow \psi + \alpha \frac{4\pi}{\Lambda^3} (\psi^{\dagger} \psi) \psi \qquad \alpha \sim \mathcal{O}(1) \Longrightarrow \text{``natural''} \Longrightarrow \text{estimate!}
$$

- "new" vertices: 2–body off-shell \triangle , 3–body ∘ $\propto \frac{8\pi\alpha}{\Lambda^3} C_0 (\psi^\dagger\psi)^3$
- asymptotic "on-shell" quantities (S-matrix elements) must be unchanged by redefinition
- **•** Energy density is model (α) independent *if* all terms kept
	- sum of new terms is zero, so energy is unchanged

$$
\bigoplus + \circled{C} \circlearrowright + \circled{C} = 0
$$

What about momentum occupation number?

Occupation No. =⇒ **Momentum Distribution**

Insert *a* † $\frac{1}{k}$ a_k \Longrightarrow $\overline{\times}$

But nonzero contribution ∆*n*(*k*) from induced vertices:

- There is no *preferred* definition for transformed operator
	- \implies only defined for specific convention
	- \implies momentum distributions for different schemes differ

Analysis of (e,e'p) Experiments? [cf. (e,2e) on atoms]

- Suppose external source $J(x)$ coupled to fermions
	- **EFT:** need most general current coupled to $J(x)$ for all α
- Consider lowest order with simplest $(\alpha = 0)$ current

if $\alpha=$ 0, just impulse approximation $J\psi^\dagger\psi$

- if $\alpha \neq {\mathsf 0}$ [recall $\psi \longrightarrow \psi + \alpha \frac{4\pi}{\Lambda^3} (\psi^\dagger \psi) \psi]$, then same cross section *only* if vertex contribution from modified operator *and* modified final (and initial) state interactions are included
- There are *always* contributions from all three at each order
	- sub-leading pieces are mixed by field redefinitions \Longrightarrow isolating $J\psi^\dagger\psi$ is model dependent
	- \bullet How large is ambiguity? Set by natural size $\alpha \sim \mathcal{O}(1)$

Ab initio electron scattering with LIT [from G. Orlandini]

- Ab initio calculations of longitudinal (e, e') response functions show importance of FSI for quasi-elastic regime
	- PWIA fails for quasi-elastic peak and at low ω
	- **•** FSI effects decrease with q in peak but not at low ω
- Direct proton knockout and neglect of FSI tested for (*e*, *e* ⁰*p*)
	- Both antisymmetrization effects and FSI play important roles
	- Approximate estimates of FSI effects can be poor

Why are ANC's different? Coordinate space

- ANC's, like phase shifts, are asymptotic properties \implies short-range unitary transformations do not alter them [e.g., see Mukhamedzhanov/Kadyrov, PRC **82** (2010)]
- **•** In contrast, SF's rely on *interior* wave function overlap
- (Note difference in S-wave and D-wave ambiguities)

Why are ANC's different? Momentum space

[based on R.D. Amado, PRC **19** (1979)]

$$
\begin{aligned}\n\bullet \quad & \frac{k^2}{2\mu} \langle \mathbf{k} | \psi_n \rangle + \langle \mathbf{k} | V | \psi_n \rangle = -\frac{\gamma_n^2}{2\mu} \langle \mathbf{k} | \psi_n \rangle \\
& \Longrightarrow \langle \mathbf{k} | \psi_n \rangle = -\frac{2\mu \langle \mathbf{k} | V | \psi_n \rangle}{k^2 + \gamma_n^2} \\
\bullet \quad & \langle \mathbf{r} | \psi_n \rangle = \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k} \cdot \mathbf{r}} \langle \mathbf{k} | \psi_n \rangle \\
& \xrightarrow{|\mathbf{r}| \to \infty} A_n e^{-\gamma_n r} / r\n\end{aligned}
$$

³ integral dominated by pole from 1.

4 extrapolate $\langle \mathbf{k} | V | \psi_n \rangle$ to $k^2 = -\gamma_n^2$

- Or, residue from extrapolating on-shell T-matrix to deuteron pole \implies invariant under unitary transformations
- **•** Inverse scattering puzzle: A_n uniquely determined because assumed longest-range part of *V* from one-pion exchange
- Next vertex singularity at $-(\gamma + m_\pi)^2 \Longrightarrow$ same for FSI

More questions and some possible answers

How should one choose a scheme/convention?

- To make calculations easier or more convergent
	- QCD running coupling and scale: improved perturbation theory; choosing a gauge: e.g., Coulomb or Lorentz
	- (Near-) local potential: quantum Monte Carlo methods work
	- Low-*k* potential: many-body perturbation theory works, or to make microscopic connection to shell model
- \bullet Better interpretation or intuition \Rightarrow predictability
- Use range of schemes to test calculations and learn physics

Can we (should we) use a reference Hamiltonian?

- That is, to allow us to make comparisons
- If so, which one? (cleanest extraction from experiment?)

More questions and some possible answers

How do we match Hamiltonians and operators? πN gets included in an isoscalar two-body

- or Ocean Materia narificando and

or Use EFT perspective
- Ge. E. The spective
• E.g., electromagnetic currents [D.R. Phillips, nucl-th/0503044]

- Model independent because complete (up to some order)
- Can identify consistent operator and interaction
- $F_{\rm eff}$ is diagrams representing the leading contribution to \sim Tells you when new info is required
- Use RG as tool to evolve consistent operators

Can EFT or RG help to construct optical potentials? structure. At O(eP²) the isoscalar nucleon form factors are dominated by short-distance

Is it ok to fine-tune SRG λ ? [e.g. Navratil, Quaglioni, Roth] **P. A. R. P. D. R. R. R. P. D. R. R. R. R. P. R. R. P. R. P. R. R. P. R. R. P. P. P. P. P.**

- arXiv:1105.5977 **Lawrence Livermore National Laboratory** ⁸**!!"!#\$%&'#((((((**
- ⁶**!!"!#\$%&'#((((((** \bullet SRG $\lambda = 1.86$ fm⁻¹
	- match separation energy

- arXiv:1009.3965
- SRG $\lambda = 1.5$ fm⁻¹
- low $\lambda \Longrightarrow$ more convergent

What about long-range correlations? ar abour iong-range co \mathbf{S}

- SF calculations with FRPA mixing of states around the Fermi surface is still missing is still missing in the Fermi surface is still missing.
- N³LO Hamiltonian
	- Soft \Rightarrow small SRC
	- SRC contribution changes dramatically with lower resolution
- Compare short-range correlations (SRC) to long-range correlations from particle-vibration coupling
- $LRC \gg SRC!!$
- Are long-range correlations scheme dependent?

C. Barbieri, PRL 103 (2009) ^k & ⁱ!; (5)

TABLE I. Spectroscopic factors (given as a fraction of the IPM) for valence orbits around ⁵⁶Ni. For the SC FRPA calculation in the large harmonic oscillator space, the values shown are obtained by including only SRC, SRC and LRC from particle-vibration couplings (full FRPA), and by SRC, particlevibration couplings and extra correlations due to configuration mixing (FRPA + ΔZ_{γ}). The last three columns give the results of SC FRPA and SM in the restricted $1p0f$ model space. The ΔZ_{α} s are the differences between the last two results and are taken as corrections for the SM correlations that are not already included in the FRPA formalism.

Parton distributions as paradigm: Factorization

- PDF analysis: part of convolution for cross section can be calculated reliably for given experimental conditions so that the remaining part can be extracted as a universal quantity, to be related to other processes and kinematic conditions
- For hard-scattering processes with large momentum transfer scale *Q*, *factorization* allows separation of momentum and distance scales in reaction
	- The time scale for binding interactions in the rest frame is time dilated in the center-of-mass frame, so the interaction of an electron with a hadron in deep-inelastic scattering is with single non-interacting partons
	- Short-distance part calculated systematically in low-order perturbative QCD; long-distance part identified in PDF's (momentum distribution for partons in hadrons)
- PDF's relate deep inelastic scattering of leptons, Drell-Yan, jet production, and more
	- Measure in limited set of reactions and then perturbative calculations of hard scattering and PDF evolution enable first principles predictions of cross sections for other processes

Case study: Large Q^2 electron scattering e study: Large

 $\frac{[1.4 < Q^2 < 2.6 GeV^2]}{5600 \text{ cm}^2 \cdot 10^{81} \cdot 96 \cdot 10^{8250} \cdot (2004)}$ Vertex depends on the resolution!

O K. Hebeler/E. Anderson ⇒ evolve *operators* to low resolution

Egiyan et al. PRL 96, 1082501 (2006)

 $\mathcal{F}_{\mathcal{A}}$, we have the cross section ratios for \mathcal{A} 1*:*4 GeV2. The horizontal dashed lines indicate the *NN* (1*:*5 *<*

acceptance and for the elementary electron-nucleon cross

Nuclear scaling: $n_A(p) \approx C_A n_D(p)$ at large p

short-range correlations

(Frankfurt et al.)

- universal *p* dependence from *IQOQ*
- *C_A* factor from low-momentum m.e.

Factorization with SRG [Anderson et al., arXiv:1008.1569]

- \bullet If $k < \lambda$ and $q \gg \lambda \Longrightarrow$ factorization: $U_{\lambda}(k,q) \to K_{\lambda}(k)Q_{\lambda}(q)$
- Operator product expansion for nonrelativistic wf's (see Lepage)

$$
\Psi^\infty_\alpha(q) \approx \gamma^\lambda(q) \int_0^\lambda \rho^2 dp \; Z(\lambda) \Psi^\lambda_\alpha(p) + \eta^\lambda(q) \int_0^\lambda \rho^2 dp \, \rho^2 \, Z(\lambda) \, \Psi^\lambda_\alpha(p) + \cdots
$$

• Construct unitary transformation to get $U_{\lambda}(k, q) \approx K_{\lambda}(k)Q_{\lambda}(q)$ $\mathcal{U}_\lambda(k,q) = \sum \braket{k|\psi^\lambda_\alpha}\!\braket{\psi^\infty_\alpha|q} \to \left[\sum^{\alpha_\mathsf{low}}\!\!\bra{k|\psi^\lambda_\alpha}\right]^\lambda$ α α $\int_0^{\infty} p^2 dp \; Z(\lambda) \Psi_{\alpha}^{\lambda}(p) \Big] \gamma^{\lambda}(q) + \cdots$

Test of factorization of *U*:

 U_{λ} (k_i , *q*) $\frac{U_{\lambda}(k_i,q)}{U_{\lambda}(k_0,q)} \rightarrow \frac{K_{\lambda}(k_i)Q_{\lambda}(q)}{K_{\lambda}(k_0)Q_{\lambda}(q)}$ $\frac{K_{\lambda}(k_1) \Delta_{\lambda}(q)}{K_{\lambda}(k_0)Q_{\lambda}(q)}$ so for $q \gg \lambda \Rightarrow \frac{K_{\lambda}(k_i)}{K_{\lambda}(k_0)} \stackrel{\mathrm{LO}}{\longrightarrow} 1$

 \bullet Look for plateaus: $k_i \leq 2$ fm⁻¹ $\leq q$

Simpler calculations of pair densities calculations of pair densities the np pairs are in deuteron-like T,S=0,1 states, while

Many-body perturbation theory may be sufficient at low resolution!

Simpler calculations of pair densities calculations of pair densities

Many-body perturbation theory may be sufficient at low resolution!

Recap

- Summary points
	- Scheme-dependent observables are robust for some systems, but not generally for nuclei! Must specify it! (e.g., $V_{NN\cdots N}$, \hat{O} 's)
	- SF's are scheme-dependent, ANC's are (generally) not
		- surface-integral formulation sounds promising!
	- Unitary transformations show *natural* scheme dependence
	- Parton distribution functions as a paradigm
		- \implies Can we have controlled factorization?
- Questions for which EFT/RG may help
	- How should one choose a scheme/convention?
	- Can we (should we) use a reference Hamiltonian?
	- What *is* the scheme-dependence of SF's and other quantities?
	- Is the assumption of one-body operators viable? Required?
	- How do we match Hamiltonians and operators?
	- What can EFT or RG say about N-nucleus optical potentials?
	- What is the role of short-range/long-range correlations?
	- . . . and many more!