At the Intersection of Spin and Saturation Physics Transverse Spin Asymmetries in p-p and p-A Collisions

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Outline

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Introduction

- Definitions and Background
- Theoretical Tools

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- Definitions and Background
- Theoretical Tools
- 2 Our Calculation
 - Light-Cone Wave Function
 - Interactions

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- 2 Our Calculation
 - Light-Cone Wave Function
 - Interactions

3 Analysis

- Preliminary Results
- Interpretation

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Introduction Our Calculation

Definitions and Background Theoretical Tools

Single Transverse Spin Asymmetry - What It Is

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Definitions and Background Theoretical Tools

Single Transverse Spin Asymmetry - What It Is



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Definitions and Background Theoretical Tools

Single Transverse Spin Asymmetry - What It Is



 Transversely polarized hadron scatters off an unpolarized target, resulting in an asymmetric distribution of detected particles.

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$$A_N \equiv \frac{d\sigma(\uparrow) - d\sigma(\downarrow)}{d\sigma(\uparrow) + d\sigma(\downarrow)} \equiv \frac{d(\Delta\sigma)}{2d\sigma_{unp}}$$

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• Left/right asymmetry and spin up/down asymmetry are equivalent due to rotational invariance.

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Definitions and Background Theoretical Tools

History and Observation of STSA

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Definitions and Background Theoretical Tools

History and Observation of STSA

• Spin effects believed to be negligible at high energies [Kane et al, '78].

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- Fermilab at $\sqrt{s} \approx 20 \, GeV$ (90's) found $A_N \approx 0$ for mid- and backward-rapidities, but large, increasing A_N at forward rapidities.

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- Fermilab at $\sqrt{s} \approx 20 \, GeV$ (90's) found $A_N \approx 0$ for mid- and backward-rapidities, but large, increasing A_N at forward rapidities.
- RHIC at √s ≈ 200 GeV (00's) confirmed Fermilab's measurements over a wider kinematic range. Observed non-monotonic p_T dependence.

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Definitions and Background Theoretical Tools

History and Observation of STSA



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Definitions and Background Theoretical Tools

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Definitions and Background Theoretical Tools

Possible Mechanisms for Generating STSA

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Possible Mechanisms for Generating STSA



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Definitions and Background Theoretical Tools

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Definitions and Background Theoretical Tools

Possible Mechanisms for Generating STSA



- Sivers effect: Asymmetric PDF's of polarized hadrons. Generally non-perturbative. [Sivers, '90]
- Interactions: Symmetric and asymmetric contributions from hard scattering processes. Generally perturbative.
- Collins effect: Asymmetric FF's of polarized quarks. Generally non-perturbative, and results in asymmetric distribution within a jet. [Collins, '93]

Definitions and Background Theoretical Tools

Update: RHIC Data on Collins Effect

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Definitions and Background Theoretical Tools

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Update: RHIC Data on Collins Effect

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- Identify jets and plot azimuthal dependence of particles relative to jet thrust axis.
- Collins contribution proportional to slope of A_N vs cos(γ)
- Collins effect is consistent with zero for π^0 production.



Introduction Our Calculation

Definitions and Background Theoretical Tools

Theoretical Framework

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Definitions and Background Theoretical Tools

Theoretical Framework

 Collins and Sivers effects: Most analyses use collinear factorization methods, postulating k_T-factorization and including spin (the Generalized Parton Model). This has only been proven in restricted cases.

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- Interactions: initial-state interactions (ISI) and final-state interactions (FSI) can generate an asymmetry at twist-3 in pp collisions.
- Specifically, 3-gluon exchange contributes to these operators, with the gluons in the *C*-even (*f^{abc}*) or *C*-odd (*d^{abc}*) color states. [Ji, '92], [Koike and Yoshida, '11]

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Introduction Our Calculation

Definitions and Background Theoretical Tools

Saturation Formalism

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Definitions and Background Theoretical Tools

Saturation Formalism

• Use light-cone perturbation theory (instead of collinear factorization) to calculate light-cone wave function of projectile in transverse coordinate space.

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- Use light-cone perturbation theory (instead of collinear factorization) to calculate light-cone wave function of projectile in transverse coordinate space.
- Re-sum the parameter α²_sA^{1/3}, corresponding to 2-gluon exchange (Pomeron-type interactions).

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- Color-charge density fluctuations generate saturation scale $Q_s^2 \sim \alpha_s^2 A^{1/3}$ that acts as an IR cutoff.
- At high enough energies that recoil can be neglected, quark and gluon propagators become Wilson lines.
- Easy to incorporate small-x evolution into the light-cone wave function.

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Definitions and Background Theoretical Tools

The Plan of Attack: Putting Them Together

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The Plan of Attack: Putting Them Together

 Calculate one non-eikonal gluon emission in the wave function to capture lowest-order spin-dependence.

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- Identify the specific coupling of parts of the wave function to parts of the interaction which generate STSA.



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• Modified mass $\tilde{m} \equiv (1 - \alpha)m$

Light-Cone Wave Function Interactions

Light-Cone Wave Function: Non-Eikonal Emission

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Light-Cone Wave Function Interactions

Light-Cone Wave Function: Non-Eikonal Emission

• Initial state: quark spin $\chi = \pm 1$ polarized along $x^{(1)}$ -axis. $U_{\chi} \equiv \frac{1}{\sqrt{2}}(U_{(+z)} - \chi U_{(-z)})$

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 Splitting wave function Φ_{λχχ'}



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$$\Phi_{\lambda\chi\chi'}(\underline{z}-\underline{x})T^{a}\delta^{2}[\underline{x}-\underline{u}+\alpha(\underline{z}-\underline{x})] = \int \frac{d^{2}k}{(2\pi)^{2}}\frac{d^{2}p}{(2\pi)^{2}} e^{i\underline{k}\cdot(\underline{z}-\underline{x})}e^{i\underline{p}\cdot(\underline{x}-\underline{u})} \frac{gT^{a}}{p^{--k^{-}-(p-k)^{-}}} \frac{\overline{U}_{\chi'}(k)}{\sqrt{k^{+}}}(\gamma\cdot\epsilon^{(\lambda)})\frac{U_{\chi}(p)}{\sqrt{p^{+}}}$$

Light-Cone Wave Function Interactions

Light-Cone Wave Function: Non-Eikonal Emission

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Light-Cone Wave Function Interactions

Light-Cone Wave Function: Non-Eikonal Emission

• Direct evaluation of splitting wave function gives: $\begin{aligned} &\Phi_{\lambda\chi\chi'}(\underline{z}-\underline{x}) = \\ &i\frac{\epsilon^{(\lambda).(\underline{z}-\underline{x})}}{|\underline{z}-\underline{x}|} \, \tilde{m} \, \mathcal{K}_1(\tilde{m}|\underline{z}-\underline{x}|) \left[(1+\alpha)\delta_{\chi\chi'} - \lambda(1-\alpha)\delta_{\chi,-\chi'} \right] \\ &+ \frac{(1-\alpha)\chi}{\sqrt{2}} \, \tilde{m} \, \mathcal{K}_0(\tilde{m}|\underline{z}-\underline{x}|) \left[\delta_{\chi\chi'} + \lambda\delta_{\chi,-\chi'} \right] \end{aligned}$

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- Transverse wave function mixes the longitudinal same-spin (K_1) and spin-flip (K_0) terms.

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- Note vector structure of the two terms.

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- Transverse wave function mixes the longitudinal same-spin (K_1) and spin-flip (K_0) terms.
- Note vector structure of the two terms.
- Entire splitting function is proportional to the quark mass: a consequence of not being in a pure helicity state.

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Light-Cone Wave Function Interactions

Interactions: Eikonal Rescattering

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Interactions: Eikonal Rescattering

• Work in $A^+ = 0$ light-cone gauge of the projectile. Gauge links at infinity become 1.

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Interactions: Eikonal Rescattering

- Work in $A^+ = 0$ light-cone gauge of the projectile. Gauge links at infinity become 1.
- Consider scattering before or after splitting; emission during scattering is suppressed by powers of CMS energy.
- Represent eikonal scattering with Wilson lines

$$V_{\underline{x}} = \mathcal{P} \exp\left[-ig\int dx^{+}T^{a}A^{a-}(\underline{x},x^{+},\underline{b})\right]$$



Light-Cone Wave Function Interactions

Interactions: Eikonal Rescattering

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Interactions: Eikonal Rescattering

• Splitting + Scattering: $\langle \psi_{int}^2 \rangle = \delta^2 [\underline{u} - \alpha \underline{z} - (1 - \alpha) \underline{x}] \delta^2 [\underline{w} - \alpha \underline{y} - (1 - \alpha) \underline{x}] \times \langle \Phi_{\chi}^2 \rangle (\underline{z} - \underline{x}, \underline{y} - \underline{x}) \mathcal{I}(\underline{x}, \underline{y}, \underline{z}, \underline{u}, \underline{w}, \underline{b})$

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Interactions: Eikonal Rescattering

• Splitting + Scattering:

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\times \langle \Phi_{\chi}^2 \rangle (\underline{z} - \underline{x}, \underline{y} - \underline{x}) \, \mathcal{I}(\underline{x}, \underline{y}, \underline{z}, \underline{u}, \underline{w}, \underline{b})$$
• Splitting wave function:

$$\langle \Phi_{\chi}^2 \rangle = \\
\frac{2\alpha_s}{\pi} \tilde{m}^2 \Big[(1 + \alpha^2) \frac{(\underline{z} - \underline{x}) \cdot (\underline{y} - \underline{x})}{|\underline{z} - \underline{x}| | \underline{y} - \underline{x}|} \, K_1(\tilde{m} | \underline{z} - \underline{x}|) K_1(\tilde{m} | \underline{y} - \underline{x}|) + \\
+ (1 - \alpha)^2 K_0(\tilde{m} | \underline{z} - \underline{x}|) K_0(\tilde{m} | \underline{y} - \underline{x}|) - \\
- \chi \alpha (1 - \alpha) \Big(\frac{\underline{z}^{(2)} - \underline{x}^{(2)}}{|\underline{z} - \underline{x}|} K_0(\tilde{m} | \underline{y} - \underline{x}|) K_1(\tilde{m} | \underline{z} - \underline{x}|) + \\
+ \frac{\underline{y}^{(2)} - \underline{x}^{(2)}}{|\underline{y} - \underline{x}|} K_1(\tilde{m} | \underline{y} - \underline{x}|) K_0(\tilde{m} | \underline{z} - \underline{x}|) \Big) \Big]$$

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Light-Cone Wave Function Interactions

Interactions: Eikonal Rescattering

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Our Calculation Analysis

Light-Cone Wave Func Interactions

Interactions: Eikonal Rescattering

• Interaction: $\begin{aligned} \mathcal{I}(\underline{x}, \underline{y}, \underline{z}, \underline{u}, \underline{w}, \underline{b}) &= \\ \frac{C_F}{N_c} \operatorname{Tr}(V_z V_y^{\dagger} + V_u V_w^{\dagger}) - \frac{1}{2N_c} \left[\operatorname{Tr}(V_z V_x^{\dagger}) \operatorname{Tr}(V_x V_w^{\dagger}) + \\ \operatorname{Tr}(V_u V_x^{\dagger}) \operatorname{Tr}(V_x V_y^{\dagger}) \right] + \frac{1}{2N_c^2} \operatorname{Tr}(V_z V_w^{\dagger} + V_u V_y^{\dagger}) \end{aligned}$

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Light-Cone Wave Function

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Light-Cone Wave Function Interactions

Symmetry and Antisymmetry: k_T -Parity

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Symmetry and Antisymmetry: k_T -Parity

Separate the interaction by its k_T - parity (left/right asymmetry) and the wave function by its spin dependence:

 I = *I*_{symm} + *iI*_{anti}
 (Φ²_χ) = Φ²_{unp} + χΦ²_{pol}

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- By rotational invariance, $\underline{k} \rightarrow -\underline{k}$ and $\chi \rightarrow -\chi$ should give the same asymmetry.
- After averaging over impact parameters d^2b , rotationally non-invariant terms vanish (vector structure vs. k_T -parity): $\Phi_{pol}^2 \mathcal{I}_{symm} = 0$ $\Phi_{unp}^2 \mathcal{I}_{anti} = 0$

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Light-Cone Wave Function Interactions

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Light-Cone Wave Function Interactions

Symmetry and Antisymmetry: k_T -Parity

• Contributions to the STSA come from the spin-dependent part of the wave function Φ_{pol}^2 coupling to the antisymmetric part of the interaction \mathcal{I}_{anti} .

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$$d(\Delta\sigma) = \frac{-\chi\alpha_{S}}{8\pi^{4}} \frac{\alpha^{2}}{\tilde{m}} \int d^{2}x d^{2}y d^{2}z \, e^{-i(\underline{k}-\alpha\underline{p})\cdot(\underline{z}-\underline{y})} \times \left[\left(\frac{\partial}{\partial z^{(2)}} + \frac{\partial}{\partial y^{(2)}} \right) K_{0}(\tilde{m}|\underline{y}-\underline{x}|) K_{0}(\tilde{m}|\underline{z}-\underline{x}|) \right] i \mathcal{I}_{anti}(\underline{x},\underline{y},\underline{z},\underline{b})$$

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• Explicitly separate each trace into a symmetric piece S_{xy} (the Pomeron) and an antisymmetric piece O_{xy} (the Odderon):

$$i\mathcal{I}_{anti} = C_F(iO_{zy} + iO_{uw}) + N_c(iO_{yx}S_{xu} + iO_{xu}S_{yx} + iO_{xu}S_{yx})$$

$$+iO_{wx}S_{xz}+iO_{xz}S_{wx})+\frac{1}{N_c}(iO_{zw}+iO_{uy})$$

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Preliminary Results Interpretation

The Emerging Picture

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Preliminary Results Interpretation

The Emerging Picture

• The transverse wave function has definite *k*_T-parity and happens to be completely even.

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Preliminary Results Interpretation

The Emerging Picture

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Preliminary Results Interpretation

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Preliminary Results Interpretation

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- Nonlinear terms include both Odderon exchange and Pomeron exchange.
- At minimum, need one non-eikonal vertex (emission here) to generate STSA. Hence $A_N \propto m$.
- (ISI)² and (FSI)² contribute to $d\sigma_{unp}$. Only (ISI/FSI) interference terms generate the relative phase needed for STSA.

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Preliminary Results Interpretation

How <u>Not</u> to Generate STSA

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Preliminary Results Interpretation

How <u>Not</u> to Generate STSA

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Preliminary Results Interpretation

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- But this gives a STSA that is identically zero! Terms related by k_T -parity cancel, and the other terms vanish explicitly.

Preliminary Results Interpretation

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- This introduces translational invariance into the scattering, which automatically kills any asymmetry.
- To generate any asymmetry from the interaction, finite size effects must be incorporated.

Preliminary Results Interpretation

Sources of STSA (Preliminary Estimates)

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Preliminary Results Interpretation

Sources of STSA (Preliminary Estimates)

• Incomplete cancellation of the linear terms due to finite size effects, e.g. a crude cutoff $\Theta(R - |\underline{x} - \underline{b}|)$.

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Preliminary Results Interpretation

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- Incomplete cancellation of the linear terms due to finite size effects, e.g. a crude cutoff Θ(R |<u>x</u> <u>b</u>|).
- Contributions come from exponential tails of the Bessel functions; STSA is highly suppressed as the nuclear radius increases: A_N ~ α_Se^{-mR} ~ α_Se^{-(A^{1/3})}.

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- Nonlinear terms (Odderon + Pomeron) that couple to gradients of the nuclear profile $\sum T(\underline{b})$: $A_N \sim \frac{\alpha_S^3}{A^{1/3}}$
- More suppressed overall, but with weaker dependence on *A*.

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Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

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Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

Strengths

• LCPT allows a direct calculation from first principles, without needing to assume a non-perturbative ansatz.

Preliminary Results Interpretation

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Preliminary Results Interpretation

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Preliminary Results Interpretation

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Preliminary Results Interpretation

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- Compatibility with saturation allows analysis of both pp and pA scattering within the same formalism.
- Reveals an experimental connection to the elusive Odderon.
- Qualitatively, we expect a crossover between the edge effects and the nonlinear effects generating STSA at some value of *A*.

Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

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Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

Weaknesses

 It is difficult to compare the magnitudes of multiple sources of STSA, since some of them are nonperturbative.

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Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

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Preliminary Results Interpretation

Strengths and Weaknesses of Our Method

Weaknesses

- It is difficult to compare the magnitudes of multiple sources of STSA, since some of them are nonperturbative.
- This method hinges on eikonal kinematics; recoil corrections cannot be incorporated into the Wilson lines.
- Describing finite-size effects with ⊖-functions is <u>very</u> crude. Is that really better than assuming a nonperturbative ansatz?

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Introduction Our Calculation Analysis

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Future Work/Improvements (Wishful Thinking)

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Introduction Our Calculation Analysis

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Future Work/Improvements (Wishful Thinking)

• Better estimation of the transverse integrals, especially their k_T -dependence.

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- Clarify the roles and interplay of the symmetries involved:
 C (Odderon vs Pomeron), P (<u>k</u> vs -<u>k</u>), and T (ISI vs FSI).

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Thank You!