



Factorization in high energy nucleus-nucleus collisions

INT, Seattle, October 2011

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- Small-x gluons matter
- Gluon saturation
- Saturation domain
- Color Glass Condensate

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- Leading Order
- Next to Leading Order
- Leading Log resummation

AA collisions

- Power counting
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- Quark production
- Exclusive processes

Summary

François Gelis
IPhT, Saclay



① Color Glass Condensate

② Factorization in Deep Inelastic Scattering

③ Factorization in nucleus-nucleus collisions

④ Extensions

Collaborators :

R. Venugopalan

(BNL)

J. Laidet

(IPhT)

T. Lappi

(Jyväskylä)

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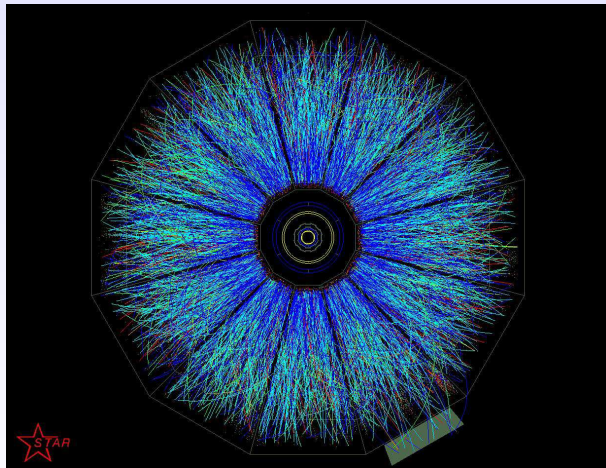
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Heavy ion collision

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QCD Lagrangian

$$\mathcal{L} = -\frac{1}{4}F^2 + \sum_{\text{flavors}} \bar{\psi}_f (i\mathcal{D} - m_f)\psi_f$$

- Free parameters : quark masses m_f , confinement scale Λ_{QCD}

- As my string theory colleagues would put it :
“Since you know the Lagrangian,
what is your problem exactly?”

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The multiple facets of QCD in heavy ion collisions

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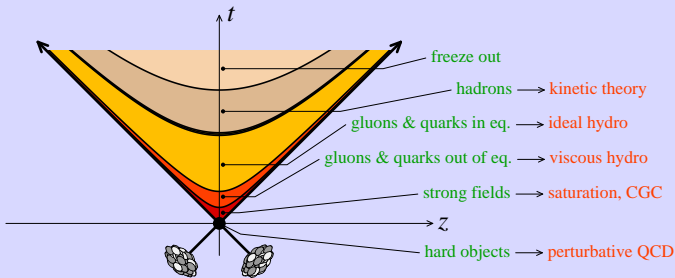
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- Except for the production of hard objects (jets, heavy quarks, direct photons) at the impact of the two nuclei, we have to deal with strong interactions in a non-perturbative regime
NOTE: non-perturbative \neq strongly coupled!!!
- One treats these situations with a range of effective descriptions (**CGC**, **hydrodynamics**, **kinetic theory**) that are more or less closely related to QCD, but always require some QCD input

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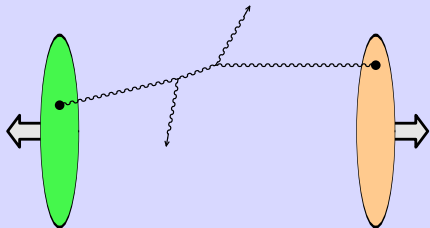
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Longitudinal momentum fraction in AA collisions



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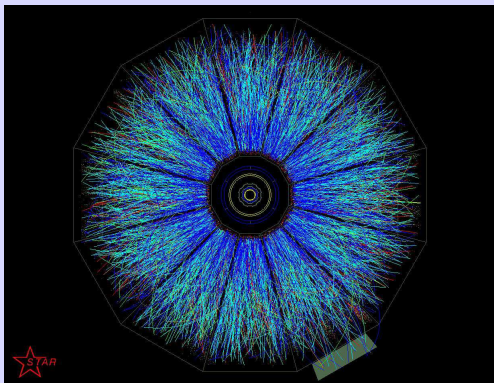
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- The partons that are relevant for the process under consideration carry the longitudinal momentum fractions:

$$x_{1,2} = \frac{P_{\perp}}{\sqrt{s}} e^{\pm Y}$$

- P_{\perp} : transverse momentum
- Y : rapidity
- \sqrt{s} : collision energy

Longitudinal momentum fraction in AA collisions



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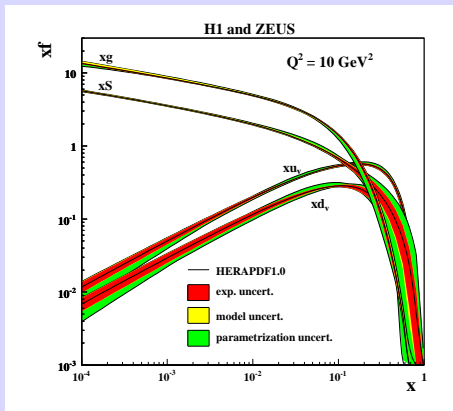
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- 99% of the produced particles below $p_{\perp} \sim 2 \text{ GeV}$
- $x \sim 10^{-2}$ at RHIC ($\sqrt{s} = 200 \text{ GeV}$)
- $x \sim 4 \cdot 10^{-4}$ at the LHC ($\sqrt{s} = 5.5 \text{ TeV}$)
 - ▷ partons at small x are the most important



Parton distributions at small x



- Gluons dominate at any $x \leq 10^{-1}$

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Multiple scatterings and gluon recombination



- Main difficulty: How to treat collisions involving a large number of partons?

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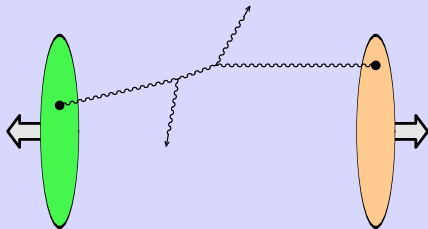
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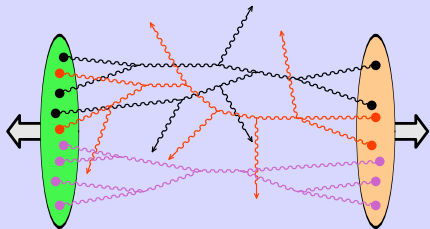
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- **Dilute regime** : one parton in each projectile interact
 - ▷ large Q^2 , no small-x effects
 - ▷ single parton distributions + DGLAP evolution

Multiple scatterings and gluon recombination



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- **Dense regime** : **multiparton processes** become crucial
 - ▷ gluon recombinations are important (**saturation**)
 - ▷ multi-parton distributions + JIMWLK evolution
 - ▷ new techniques are required (**Color Glass Condensate**):

$$\mathcal{L} = -\frac{1}{4}F^2 + J \cdot A$$

(gluons only, field A for $k^+ < \Lambda$, classical source J for $k^+ > \Lambda$)



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Criterion for gluon recombination



Gribov, Levin, Ryskin (1983)

Number of gluons per unit area :

$$\rho \sim \frac{xG_A(x, Q^2)}{\pi R_A^2}$$

Recombination cross-section :

$$\sigma_{gg \rightarrow g} \sim \frac{\alpha_s}{Q^2}$$

Recombination happens if $\rho \sigma_{gg \rightarrow g} \gtrsim 1$, i.e. $Q^2 \lesssim Q_s^2$, with :

$$Q_s^2 \sim \frac{\alpha_s x G_A(x, Q_s^2)}{\pi R_A^2} \sim A^{1/3} \frac{1}{x^{0.3}}$$

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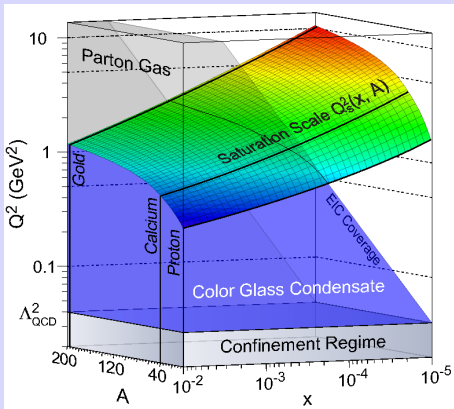
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Saturation scale as a function of x and A



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CGC = effective theory of small x gluons

- The **fast partons** ($k^+ > \Lambda^+$) are frozen by time dilation
▷ described as **static color sources** on the light-cone :

$$J^\mu = \delta^{\mu+} \rho(x^-, \vec{x}_\perp) \quad (0 < x^- < 1/\Lambda^+)$$

- The color sources ρ are **random**, and described by a probability distribution $W_{\Lambda^+}[\rho]$
- **Slow partons** ($k^+ < \Lambda^+$) cannot be considered static over the time-scales of the collision process
 - ▷ must be treated as standard gauge fields
 - ▷ eikonal coupling to the current J^μ : $A_\mu J^\mu$

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Independence w.r.t Λ^+ \rightarrow evolution equation (JIMWLK) :

$$\frac{\partial W_{\Lambda^+}}{\partial \ln(\Lambda^+)} = \mathcal{H} W_{\Lambda^+}$$
$$\mathcal{H} = \frac{1}{2} \int_{\vec{x}_\perp, \vec{y}_\perp} \frac{\delta}{\delta \alpha(\vec{y}_\perp)} \eta(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \alpha(\vec{x}_\perp)}$$

where $-\partial_\perp^2 \alpha(\vec{x}_\perp) = \rho(1/\Lambda^+, \vec{x}_\perp)$

- $\eta(\vec{x}_\perp, \vec{y}_\perp)$ is a non-linear functional of ρ
- Resums all the powers of $\alpha_s \ln(1/x)$ and of Q_s/p_\perp that arise in loop corrections
- Simplifies into the BFKL equation when the source ρ is small (expand η in powers of ρ)

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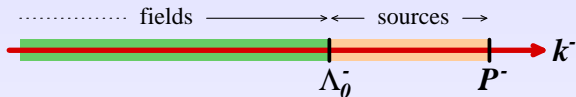
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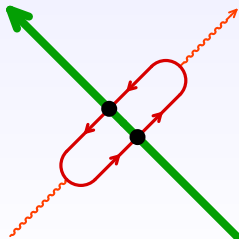
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Inclusive DIS at Leading Order

- CGC effective theory with cutoff at the scale Λ_0^- :



- At **Leading Order**, DIS can be seen as the interaction between the target and a $q\bar{q}$ fluctuation of the virtual photon :



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- Forward dipole amplitude at leading order:

$$T_{\text{LO}}(\vec{x}_{\perp}, \vec{y}_{\perp}) = 1 - \frac{1}{N_c} \text{tr} \underbrace{(U(\vec{x}_{\perp}) U^{\dagger}(\vec{y}_{\perp}))}_{\text{Wilson lines}}$$

$$U(\vec{x}_{\perp}) = \text{P exp } ig \int^{1/xP^-} dz^+ \mathcal{A}^-(z^+, \vec{x}_{\perp})$$

$$[\mathcal{D}_{\mu}, \mathcal{F}^{\mu\nu}] = \delta^{\nu-} \rho(x^+, \vec{x}_{\perp})$$

▷ at LO, the scattering amplitude on a saturated target is entirely given by classical fields

- Note: the $q\bar{q}$ pair couples only to the sources up to the longitudinal coordinate $z^+ \lesssim (xP^-)^{-1}$. The other sources are too slow to be seen by the probe

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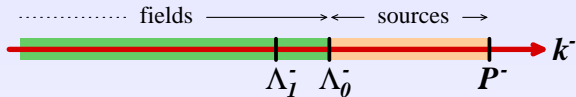
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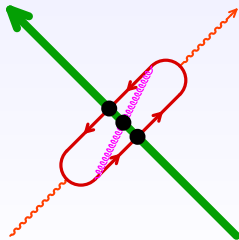


Inclusive DIS at NLO

- Consider now quantum corrections to the previous result, restricted to **modes with $\Lambda_1^- < k^- < \Lambda_0^-$** (the upper bound prevents double-counting with the sources):



- At **NLO**, the $q\bar{q}$ dipole must be corrected by a gluon, e.g. :



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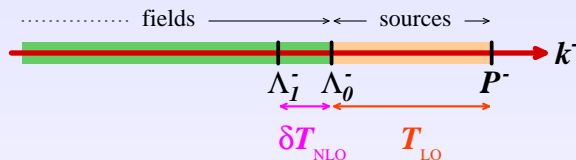
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- At leading log accuracy, the contribution of the quantum modes in that strip is :

$$\delta T_{\text{NLO}}(\vec{x}_{\perp}, \vec{y}_{\perp}) = \ln\left(\frac{\Lambda_0^-}{\Lambda_1^-}\right) \mathcal{H} T_{\text{LO}}(\vec{x}_{\perp}, \vec{y}_{\perp})$$

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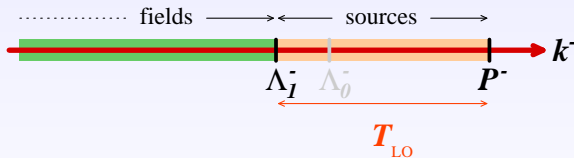
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- These NLO corrections can be absorbed in the LO result,

$$\left\langle T_{\text{LO}} + \delta T_{\text{NLO}} \right\rangle_{\Lambda_0^-} = \left\langle T_{\text{LO}} \right\rangle_{\Lambda_1^-}$$

provided one defines a new effective theory with a lower cutoff Λ_1^- and an extended distribution of sources $W_{\Lambda_1^-}[\rho]$:



$$W_{\Lambda_1^-} \equiv \left[1 + \ln \left(\frac{\Lambda_0^-}{\Lambda_1^-} \right) \mathcal{H} \right] W_{\Lambda_0^-}$$

(JIMWLK equation for a small change in the cutoff)

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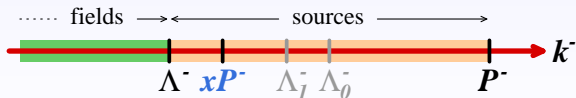
- Iterate the previous process to integrate out all the slow field modes at leading log accuracy:

Inclusive DIS at Leading Log accuracy

$$\sigma_{\gamma^* T} = \int_0^1 dz \int d^2 \vec{r}_\perp |\psi(\mathbf{q}|z, \vec{r}_\perp)|^2 \sigma_{\text{dipole}}(\mathbf{x}, \vec{r}_\perp)$$

$$\sigma_{\text{dipole}}(\mathbf{x}, \vec{r}_\perp) \equiv 2 \int d^2 \vec{X}_\perp \int [D\rho] W_{xP^-}[\rho] T_{\text{LO}}(\vec{x}_\perp, \vec{y}_\perp)$$

- One does not need to evolve down to $\Lambda^- \rightarrow 0$: the DIS amplitude becomes independent of Λ^- when $\Lambda^- \lesssim xP^-$



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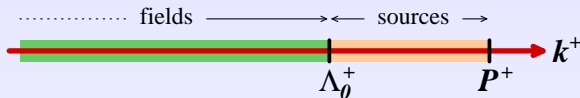
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- CGC effective theory with cutoff at the scale Λ_0^+ :



$$S = \underbrace{-\frac{1}{4} \int F_{\mu\nu} F^{\mu\nu}}_{S_{\text{YM}}} + \int \underbrace{(J_1^\mu + J_2^\mu)}_{\text{fast partons}} A_\mu$$

- Expansion in g^2 in the saturated regime:

$$\frac{dN_1}{dy d^2 \vec{p}_\perp} \sim \frac{1}{g^2} \left[C_0 + C_1 g^2 + C_2 g^4 + \dots \right]$$

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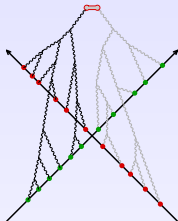
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$$\left. \frac{dN_1}{dyd^2\vec{p}_\perp} \right|_{\text{LO}} = \sum_{\text{trees}}$$



Inclusive gluon spectrum at LO :

$$\left. \frac{dN_1}{dyd^2\vec{p}_\perp} \right|_{\text{LO}} \propto \int d^4x d^4y e^{ip \cdot (x-y)} \dots \mathcal{A}^\mu(x) \mathcal{A}^\nu(y)$$

$$\underbrace{[\mathcal{D}_\mu, \mathcal{F}^{\mu\nu}]}_{\text{Yang-Mills equation}} = \mathcal{J}_1^\nu + \mathcal{J}_2^\nu, \quad \lim_{t \rightarrow -\infty} \mathcal{A}^\mu(t, \vec{x}) = 0$$

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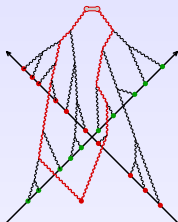
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$$\left. \frac{dN_1}{dyd^2\vec{p}_\perp} \right|_{\text{NLO}} = \sum_{\text{trees}}$$



Inclusive gluon spectrum at NLO :

$$\left. \frac{dN_1}{dyd^2\vec{p}_\perp} \right|_{\text{NLO}} = \left[\frac{1}{2} \int \int_{\vec{u}, \vec{v} \in \Sigma} [a_k \mathbb{T}]_u [a_k^* \mathbb{T}]_v + \int_{\vec{u} \in \Sigma} [\alpha \mathbb{T}]_u \right] \left. \frac{dN_1}{dyd^2\vec{p}_\perp} \right|_{\text{LO}}$$

$\Sigma =$ initial Cauchy surface , $\mathbb{T} \sim \delta/\delta\mathcal{A}_{\text{init}}$

- does not include virtual quarks loops
- a_k and α are calculable analytically

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Shift operator \mathbb{T} – Definition

Equations of motion for a field and a small perturbation

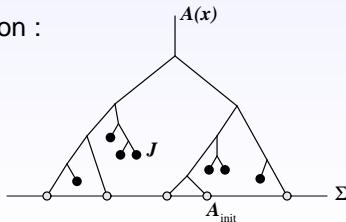
$$\square \mathcal{A} + V'(\mathcal{A}) = J$$

$$[\square + V''(\mathcal{A})] a = 0$$

- Linear relationship between \mathcal{A} and a :

$$a(x) = \int_{\vec{u} \in \Sigma} [a \mathbb{T}]_{\vec{u}} \mathcal{A}(x)$$

- Diagrammatic interpretation :



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Shift operator \mathbb{T} – Definition

Equations of motion for a field and a small perturbation

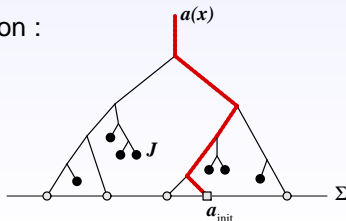
$$\square \mathcal{A} + V'(\mathcal{A}) = J$$

$$[\square + V''(\mathcal{A})] a = 0$$

- Linear relationship between \mathcal{A} and a :

$$a(x) = \int_{\vec{u} \in \Sigma} [a \mathbb{T}]_{\vec{u}} \mathcal{A}(x)$$

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- \mathbb{T} acts as a 1st order linear differential operator :

$$\begin{aligned}\mathbb{T}(AB) &= (\mathbb{T}A)B + A(\mathbb{T}B) \\ \mathbb{T}(F(A)) &= F'(A)(\mathbb{T}A)\end{aligned}$$

- \mathbb{T} generates shifts of the initial field on Σ in any functional of the classical field :

$$\exp \left[\int_{\vec{u} \in \Sigma} [a \mathbb{T}]_{\vec{u}} \right] F[\mathcal{A}_{\text{init}}] = F[\mathcal{A}_{\text{init}} + a]$$

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③ Factorization in nucleus-nucleus collisions

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Logs of Λ^+ and Λ^-

$$\frac{1}{2} \int \int_{\mathbf{k}} [a_{\mathbf{k}} \mathbb{T}]_{\mathbf{u}} [a_{\mathbf{k}}^* \mathbb{T}]_{\mathbf{v}} + \int_{\bar{\mathbf{u}} \in \Sigma} [\alpha \mathbb{T}]_{\mathbf{u}} =$$

$$= \ln(\Lambda^+) \mathcal{H}_1 + \ln(\Lambda^-) \mathcal{H}_2 + \text{terms w/o logs}$$

$\mathcal{H}_{1,2} = \text{JIMWLK Hamiltonian}$

- Roughly speaking, the mapping is:

$$[a_{\mathbf{k}} \mathbb{T}]_{\mathbf{u}} \longrightarrow \int d^2 \vec{\mathbf{x}}_{\perp} \frac{\mathbf{u}_{\perp}^i - \mathbf{x}_{\perp}^i}{(\mathbf{u}_{\perp} - \mathbf{x}_{\perp})^2} [\Omega(\mathbf{x}_{\perp}) - \Omega(\mathbf{u}_{\perp})]_{ab} \nabla_{\mathbf{x}}^b$$

- No mixing between the logs of Λ^+ and Λ^-
- Ensures the factorizability of these logs into JIMWLK-evolved distributions $W[\rho_{1,2}]$

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- One can factorize all the powers of $\alpha_s \log(1/x_{1,2})$

Single inclusive gluon spectrum at Leading Log accuracy

$$\left\langle \frac{dN_1}{dyd^2\vec{p}_\perp} \right\rangle_{\text{LLog}} = \int [D_{\rho_1} D_{\rho_2}] W_1[\rho_1] W_2[\rho_2] \underbrace{\frac{dN_1[\rho_{1,2}]}{dyd^2\vec{p}_\perp}}_{\text{for fixed } \rho_{1,2}}$$

- The factor $dN_1/dyd^2\vec{p}_\perp$ under the integral does not depend on y : the rapidity dependence comes entirely from the distributions $W_{1,2}$
- This factorization establishes a link to other reactions (such as DIS) in the saturated regime

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Multi-gluon correlations at Leading Log

- The previous factorization can be extended to multi-particle inclusive spectra :

$$\left\langle \frac{dN_n}{dy_1 d^2\vec{p}_{1\perp} \cdots dy_n d^2\vec{p}_{n\perp}} \right\rangle_{\text{LLog}} = \int [D_{\rho_1} D_{\rho_2}] W_1[\rho_1] W_2[\rho_2] \frac{dN_1[\rho_{1,2}]}{dy_1 d^2\vec{p}_{1\perp}} \cdots \frac{dN_n[\rho_{1,2}]}{dy_n d^2\vec{p}_{n\perp}}$$

- Note: at Leading Log accuracy, all the rapidity correlations come from the evolution of the distributions $W[\rho_{1,2}]$
 - ▷ they are a property of the pre-collision initial state
- This formula predicts long range ($\Delta y \sim \alpha_s^{-1}$) correlations in rapidity

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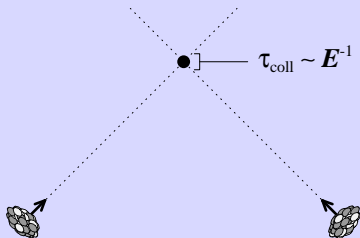
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Why factorization works: causality



- The duration of the collision is very short: $\tau_{\text{coll}} \sim E^{-1}$

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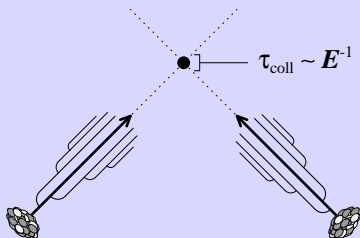
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Why factorization works: causality



- The duration of the collision is very short: $\tau_{\text{coll}} \sim E^{-1}$
- The logarithms we want to resum arise from the radiation of soft gluons, which takes a long time
 - ▷ it must happen (long) before the collision

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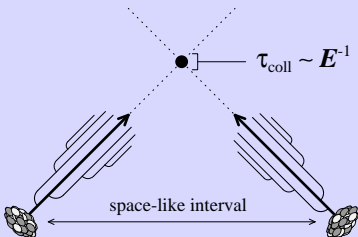
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Why factorization works: causality



- The duration of the collision is very short: $\tau_{\text{coll}} \sim E^{-1}$
- The logarithms we want to resum arise from the radiation of soft gluons, which takes a long time
 - ▷ it must happen (long) before the collision
- The projectiles are not in causal contact before the impact
 - ▷ the logarithms are intrinsic properties of the projectiles, independent of the measured observable

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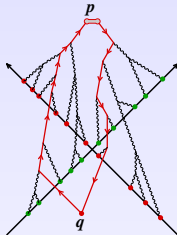
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Quark spectrum at LO [FG, Kajantie, Lappi (2005)]



$$\left. \frac{dN_q}{dy d^2 \vec{p}_\perp} \right|_{\text{LO}} = \sum_{\text{trees}}$$



Inclusive quark spectrum at LO :

$$\left. \frac{dN_q}{dy d^2 \vec{p}_\perp} \right|_{\text{LO}} \propto \int d^4 x d^4 y e^{ip \cdot (x-y)} \dots \psi_q^\dagger(x) \psi_q(y)$$

$$\underbrace{[i\cancel{\partial} - g\mathcal{A} - m]}_{\text{Dirac equation}} \psi_q(x) = 0, \quad \lim_{t \rightarrow -\infty} \psi_q(t, \vec{x}) = v(\mathbf{q}) e^{iq \cdot x}$$

- Note : for the quarks, LO = 1-loop, NLO = 2-loops

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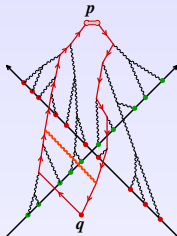
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Quark spectrum at NLO [FG, Laidet (in progress)]

$$\left. \frac{dN_q}{dyd^2\vec{p}_\perp} \right|_{\text{NLO}} = \sum_{\text{trees}}$$



Inclusive quark spectrum at NLO :

$$\begin{aligned} \left. \frac{dN_q}{dyd^2\vec{p}_\perp} \right|_{\text{NLO}} &= \left[\frac{1}{2} \int \int_{\vec{u}, \vec{v} \in \Sigma} \mathbf{k} [a_{\mathbf{k}} \mathbb{T} + b_{\mathbf{k}} \mathbb{T}_\psi]_{\mathbf{u}} [a_{\mathbf{k}}^* \mathbb{T} + b_{\mathbf{k}}^\dagger \mathbb{T}_\psi]_{\mathbf{v}} \right. \\ &\quad \left. + \int_{\vec{u} \in \Sigma} [\alpha \mathbb{T} + \beta \mathbb{T}_\psi]_{\mathbf{u}} \right] \left. \frac{dN_q}{dyd^2\vec{p}_\perp} \right|_{\text{LO}} \end{aligned}$$

$$\mathbb{T}_\psi \sim \delta/\delta\psi_{\text{init}}$$

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- Reminder :

$$[a_k \mathbb{T}]_{\mathbf{u}} \longrightarrow \int d^2 \vec{\mathbf{x}}_{\perp} \frac{\mathbf{u}_{\perp}^i - \mathbf{x}_{\perp}^i}{(\mathbf{u}_{\perp} - \mathbf{x}_{\perp})^2} [\Omega(\mathbf{x}_{\perp}) - \Omega(\mathbf{u}_{\perp})]_{ab} [\nabla_{\mathbf{x}}^b]_A$$

where $[\dots]_A$ means that the derivative hits only the Wilson lines contained in color fields

- Conjecture :

$$[b_k \mathbb{T}_{\psi}]_{\mathbf{u}} \longrightarrow \int d^2 \vec{\mathbf{x}}_{\perp} \frac{\mathbf{u}_{\perp}^i - \mathbf{x}_{\perp}^i}{(\mathbf{u}_{\perp} - \mathbf{x}_{\perp})^2} [\Omega(\mathbf{x}_{\perp}) - \Omega(\mathbf{u}_{\perp})]_{ab} [\nabla_{\mathbf{x}}^b]_{\psi}$$

- Then: $[\nabla_{\mathbf{x}}^b]_A + [\nabla_{\mathbf{x}}^b]_{\psi} = \nabla_{\mathbf{x}}^b$, with no restriction on where the derivative acts (color field or spinor)
▷ we would recover the JIMWLK Hamiltonian

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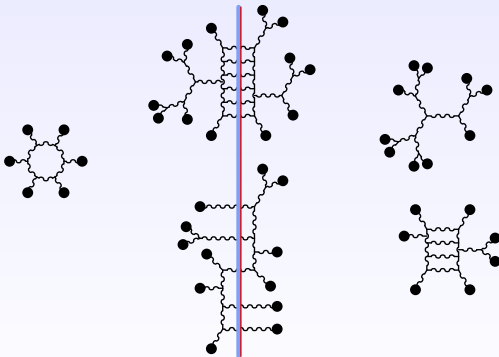
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Exclusive processes

- Main difficulty: the vacuum graphs are complex when the fields are coupled to an external source, and they do not cancel in exclusive quantities



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- Consider a function $z(\vec{p})$, and define the functional

$$F[z] \equiv \sum_n \frac{1}{n!} \int [d\Phi_n] z(\vec{p}_1) \cdots z(\vec{p}_n) |\langle \vec{p}_1 \cdots \vec{p}_{n\text{out}} | 0_{\text{in}} \rangle|^2$$

- Any physical quantity can be obtained from $F[z]$
 - Single inclusive spectrum :

$$\frac{dN_1}{dy d^2 \vec{p}_\perp} = \left. \frac{\delta F[z]}{\delta z(\vec{p})} \right|_{z=1}$$

- Differential probability for producing exactly one gluon :

$$\frac{dP_1}{dy d^2 \vec{p}_\perp} = \left. \frac{\delta F[z]}{\delta z(\vec{p})} \right|_{z=0}$$

▷ differ only by the point where the derivative is evaluated

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Exclusive processes – Generating functional at LO

Leading Order

$$\left. \frac{\delta \log F[z]}{\delta z(\vec{p})} \right|_{\text{LO}} \propto \int d^4x d^4y e^{ip \cdot (x-y)} \dots \mathcal{A}_+(x) \mathcal{A}_-(y)$$

- \mathcal{A}_+ and \mathcal{A}_- are solutions of the Yang-Mills eqs.
- But non-retarded z -dependent boundary condition
- When applied to P_1 , we get

$$\left. \frac{dP_1}{dy d^2\vec{p}_\perp} \right|_{\text{LO}} = F[0] \times \int d^4x d^4y e^{ip \cdot (x-y)} \dots \mathcal{A}_+(x) \mathcal{A}_-(y) \Big|_{z=0}$$

- $F[0]$ = probability of producing nothing
= survival probability
- Main problem: for inclusive quantities, the NLO calculation could be arranged nicely because all the fields are retarded. Not true here...

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- Collisions of hadrons/nuclei at high energy require some knowledge about the multigluon Fock states of the projectiles. An effective description of these states is provided by the color glass condensate
- The LO is given by classical fields
- Higher orders contains logs of the energy
- For inclusive gluonic quantities, the leading logs can be factorized into two factors that describe the color content of the projectiles
- Most likely, this is also true for the inclusive quark spectrum
- Exclusive quantities are much more complicated...

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