

# Holographic duality basics

## Lecture 2

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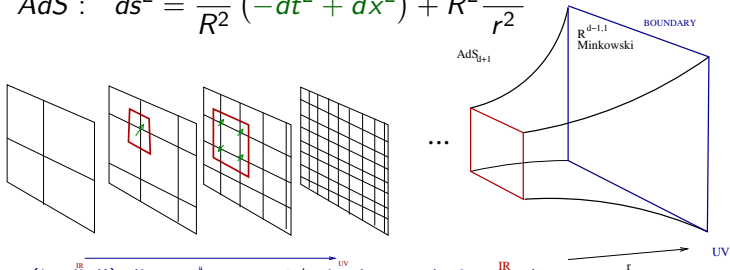
Recap

gravity in spacetimes $_{d+1}$  with timelike asymptotic boundaries  $\leftrightarrow$   $QFT_d$

important special case:

gravity in  $AdS_{d+1} = d$ -dimensional conformal field theory (CFT)  
 isometries of  $AdS_{d+1} \leftrightarrow$  conformal symmetry

$$AdS : ds^2 = \frac{r^2}{R^2} (-dt^2 + d\vec{x}^2) + R^2 \frac{dr^2}{r^2}$$



The extra ('radial') dimension  $r = 1/z$  is the resolution scale.

fields in bulk  $\leftrightarrow$  (possibly-) running couplings

$$Z_{QFT}[\text{sources}, \phi_0] \approx e^{-N^2 I_{\text{bulk}}[\text{boundary conditions at } r \rightarrow \infty]} \Big|_{\text{saddle of } I_{\text{bulk}}}$$

## A word about large $N^2$

most prominent example: 't Hooft limit of  $N \times N$  matrix fields  $X$ .  
physical operators are  $\mathcal{O}_k = \text{tr } X^k$

this accomplishes several related things:

- $\langle \mathcal{O}\mathcal{O} \rangle \sim \langle \mathcal{O} \rangle \langle \mathcal{O} \rangle + o(N^{-2})$

is the statement that *something* (the excitations created by  $\mathcal{O}$ ) behaves classically.

- provides notion of single-particle states in bulk.
- makes saddle well-peaked  $Z \sim e^{-N^2 I}$

important comment:

this is just the best-understood class of examples.

in other examples, the # of dofs goes like  $N^b$ ,  $b \neq 2$ .

I'll always write  $N^2$  as a proxy for this large number.

## An example of a theory with a known gravity dual

$\mathcal{N} = 4$  SYM is a family of superconformal FTs.

The  $\mathcal{N} = 4$  SYM action is schematically

$$\mathcal{L}_{\text{SYM}} \sim \text{tr} \left( F^2 + (D\Phi)^2 + i\bar{\Psi}\Gamma \cdot D\Psi + g^2[\Phi, \Phi]^2 + ig\bar{\Psi}[\Phi, \Psi] \right)$$

this gauge theory comes with 2 parameters:

a coupling constant  $\lambda = g^2 N$  (with  $\beta_\lambda \equiv 0$ )

an integer, the number of colors  $N$ .

$$\boxed{\mathcal{N} = 4 \text{ SYM}_{N,\lambda}} = \boxed{\text{IIB strings in } AdS_5 \times S^5 \text{ of size } \lambda, \hbar = 1/N}$$

[Maldacena 1997]

- large  $N$  makes gravity classical (improves saddle point, suppresses splitting and joining of strings)
- strong coupling (large  $\lambda$ ) makes the geometry big. (improves bulk deriv. expansion)

'IIB strings in ...' specifies a list of bulk fields and interactions.

∃ *infinitely many* other examples of dual pairs [e.g. Hanany, Vegh et al...]

## More dictionary

really a  $\phi_a$  for every  $\mathcal{O}^a$  in CFT. how to match?

1. organize into reps of conformal group
2. single-trace operators correspond to 'elementary fields' in the bulk.

states from multitrace ops  $(\text{tr } X^k)^2|0\rangle$  — 2-particle states of  $\phi$ .

3. simple egs fixed by symmetry:

- gauge fields in bulk  $A_\mu$  – global currents  $J^\mu$  in bdy

$$S_{QFT} \ni \int A_\mu J^\mu \quad (\text{massless } A \leftrightarrow \text{conserved } J)$$

- def of QFT stress tensor: response to change in metric on boundary  $S_{QFT} \ni \int \delta g_{\mu\nu} T^{\mu\nu}$

energy momentum tensor:  $T^{\mu\nu}$

global current:  $J^\mu$

scalar operator:  $\mathcal{O}_B$

fermionic operator:  $\mathcal{O}_F$

graviton:  $g_{ab}$

Maxwell field:  $A_a$

scalar field:  $\phi$

fermionic field:  $\psi$ .

$\leftrightarrow$

boundary conditions on bulk fields  $\leftrightarrow$  couplings in field theory

e.g.: boundary value of bulk metric  $\lim_{r \rightarrow \infty} g_{\mu\nu}$

= source for stress-energy tensor  $T^{\mu\nu}$

different couplings in bulk action  $\leftrightarrow$  different field theories

Next: a few technical slides from which we can confirm our interpretation

$$u = \text{RG scale}$$

and see the machinery at work.

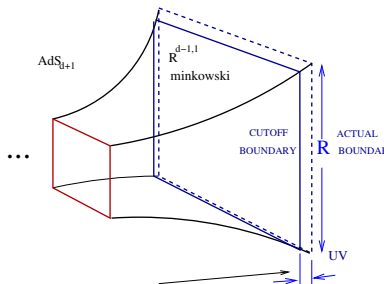
# How to calculate

$$Z_{QFT}[\text{sources}] \approx e^{-N^2 I_{\text{bulk}}[\text{boundary conditions at } z \rightarrow 0]} \Big|_{\text{extremum of } I_{\text{bulk}}}$$

more explicitly:

$$\begin{aligned} Z_{QFT}[\text{sources}, \phi_0] &\equiv \langle e^{-\int \phi_0 \mathcal{O}} \rangle_{CFT} \\ &\approx e^{-N^2 I_{\text{bulk}}[\phi | \phi(z=\epsilon) \stackrel{?}{=} \phi_0]} \Big|_{\phi \text{ solves EOM of } I_{\text{bulk}}} \end{aligned}$$

As when counting dofs, we anticipate UV divergences at the boundary  $z \rightarrow 0$ , cut off the bulk at  $z = \epsilon$  and set bc's there.





## Example: scalar probe

Simple example: scalar field in the bulk. Natural (covariant) action:

$$\Delta S[\phi] = -\frac{\mathfrak{K}}{2} \int d^{d+1}x \sqrt{g} \left[ g^{AB} \partial_A \phi \partial_B \phi + m^2 \phi^2 + b\phi^3 + \dots \right]$$

$\mathfrak{K}$ , a normalization constant: assume the theory of  $\phi$  is weakly coupled,  $\mathfrak{K} \propto N^2$ .

$$(\sqrt{g} = \sqrt{|\det g|} = \left(\frac{L}{z}\right)^{d+1}, \quad g^{AB} = \delta^{AB} z^2)$$

We will study fluctuations around the solution  $\phi = 0$ ,  $AdS$ .

$$(\text{Recall: } \langle \mathcal{O} \mathcal{O} \rangle = \left( \frac{\delta}{\delta \phi_0} \right)^2 \ln Z \Big|_{\phi_0 = 0})$$

→ ignore interactions of  $\phi$  for now.

Integrate by parts:

$$S = -\frac{\mathfrak{K}}{2} \int_{\partial AdS} d^d x \sqrt{g} g^{zB} \phi \partial_B \phi - \frac{\mathfrak{K}}{2} \int \sqrt{g} \phi (-\square + m^2) \phi + o(\phi^3)$$

From this expression we learn:

- ▶ the EOM for small fluctuations of  $\phi$  is  $(-\square + m^2)\underline{\phi} = 0$   
(An underline will indicate fields which solve the equations of motion.)
- ▶ If  $\underline{\phi}$  solves the equation of motion, the on-shell action  $S[\underline{\phi}]$ ,  $Z \equiv e^{-S[\underline{\phi}]}$  is just given by the boundary term.

next: relate bulk masses and operator dimensions

$$\Delta(\Delta - d) = m^2 L^2$$

by studying the AdS wave equation near the boundary.

## Wave equation in $AdS$

translational invariance in  $d$  dimensions,  $x^\mu \rightarrow x^\mu + a^\mu$ ,

$$\text{Fourier : } \phi(z, x^\mu) = e^{ik_\mu x^\mu} f_k(z), \quad k_\mu x^\mu \equiv -\omega t + \vec{k} \cdot \vec{x}$$

$$\begin{aligned} 0 &= (g^{\mu\nu} k_\mu k_\nu - \frac{1}{\sqrt{g}} \partial_z (\sqrt{g} g^{zz} \partial_z) + m^2) f_k(z) \\ &= \frac{1}{L^2} [z^2 k^2 - z^{d+1} \partial_z (z^{-d+1} \partial_z) + m^2 L^2] f_k(z), \end{aligned} \quad (1)$$

we used  $g^{AB} = (z/L)^2 \delta^{AB}$ ,  $\sqrt{g} = \sqrt{|\det g|} = (\frac{z}{L})^{d+1}$ .

Near boundary ( $z \rightarrow 0$ ), power law solns, (spoiled by the  $z^2 k^2$  term).

Try  $f_k = z^\Delta$  in (1):

$$\begin{aligned} 0 &= k^2 z^{2+\Delta} - z^{d+1} \partial_z (\Delta z^{-d+\Delta}) + m^2 L^2 z^\Delta \\ &= (k^2 z^2 - \Delta(\Delta - d) + m^2 L^2) z^\Delta, \end{aligned}$$

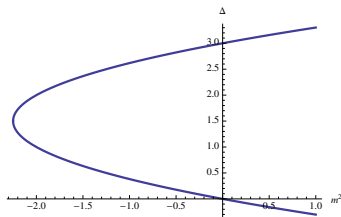
and for  $z \rightarrow 0$  we get:

$$\Delta(\Delta - d) = m^2 L^2 \quad (2)$$

The two roots of (2) are  $\Delta_\pm = \frac{d}{2} \pm \sqrt{(\frac{d}{2})^2 + m^2 L^2}$ .

## Comments

$$\Delta_{\pm} = \frac{d}{2} \pm \sqrt{\left(\frac{d}{2}\right)^2 + m^2 L^2}.$$



- ▶ The solution proportional to  $z^{\Delta_-}$  is bigger near  $z \rightarrow 0$ .  $\rightarrow$  usually the source ('non-normalizable')
- ▶  $\Delta_+ > 0 \quad \forall \quad m$ :  $z^{\Delta_+}$  always decays near the boundary
- ▶  $\Delta_+ + \Delta_- = d$ .

We want to impose boundary conditions that allow solutions.

Leading  $z \rightarrow 0$  behavior of generic solution:  $\phi \sim z^{\Delta_-}$ , we impose

$$\phi(x, z)|_{z=\epsilon} \stackrel{!}{=} \phi_0(x, \epsilon) = \epsilon^{\Delta_-} \phi_0^{Ren}(x),$$

where  $\phi_0^{Ren}$  is a renormalized source field.

## Wavefunction renormalization of $\mathcal{O}$ (Heuristic but useful)

Suppose:  $(g_{\mu\nu} \stackrel{z \approx \epsilon}{\equiv} \frac{dz^2}{z^2} + \gamma_{\mu\nu} dx^\mu dx^\nu$  defines the boundary metric  $\gamma$ .)

$$\begin{aligned} S_{bdy} &\ni \int_{z=\epsilon} d^d x \sqrt{\gamma} \phi_0(x, \epsilon) \mathcal{O}(x, \epsilon) \\ &= \int d^d x \left(\frac{L}{\epsilon}\right)^d (\epsilon^{\Delta_-} \phi_0^{Ren}(x)) \mathcal{O}(x, \epsilon), \end{aligned}$$

where we have used  $\sqrt{\gamma} = (L/\epsilon)^d$ .

Demanding that this be finite as  $\epsilon \rightarrow 0$ :

$$\begin{aligned} \mathcal{O}(x, \epsilon) &\sim \epsilon^{d-\Delta_-} \mathcal{O}^{Ren}(x) \\ &= \epsilon^{\Delta_+} \mathcal{O}^{Ren}(x), \end{aligned}$$

(we used  $\Delta_+ + \Delta_- = d$ )

The scaling dimension of  $\mathcal{O}^{Ren}$  is  $\Delta_+ \equiv \Delta$ .

- To confirm:  $\langle \mathcal{O}(x) \mathcal{O}(0) \rangle \sim \frac{1}{|x|^{2\Delta}}$
- For small  $m^2$ ,  $\exists$  'alternative quantization': another CFT from the same bulk theory with Neumann boundary conditions on  $\phi$ .

## Relevantness

$$\Delta_{\pm} = \frac{d}{2} \pm \sqrt{\left(\frac{d}{2}\right)^2 + m^2 L^2}$$

- If  $m^2 > 0$ :  $\Delta \equiv \Delta_+ > d$ , so  $\mathcal{O}_{\Delta}$  is an irrelevant operator.

$$\Delta S = \int d^d x (\text{mass})^{d-\Delta} \mathcal{O}_{\Delta},$$

the effects of such an operator go away in the IR, at energies  $E < \text{mass}$ .

$\phi \sim z^{\Delta} \phi_0$  is this coupling.

It grows in the UV (small  $z$ ). If  $\phi_0$  is a finite perturbation, it will back-react on the metric and destroy the asymptotic AdS-ness of the geometry: extra data about the UV will be required.

- $m^2 = 0 : \leftrightarrow \Delta = d$  means that  $\mathcal{O}$  is marginal.
- If  $m^2 < 0$ :  $\Delta < d$ , so  $\mathcal{O}$  is a relevant operator. Note that in AdS,  $m^2 < 0$  is ok (i.e. not unstable) if  $m^2$  is not too negative.

(Note:  $\Delta(m)$  depends on the spin of the bulk field.)

## Vacuum of CFT, euclidean case

Return to the scalar wave equation in momentum space:

$$0 = [z^{d+1} \partial_z (z^{-d+1} \partial_z) - m^2 L^2 - z^2 k^2] f_k(z)$$

If  $k^2 > 0$  (spacelike or Euclidean) the general solution is  
( $a_K, a_I$ , integration consts):

$$f_k(z) = a_K z^{d/2} K_\nu(kz) + a_I z^{d/2} I_\nu(kz), \quad \nu = \Delta - \frac{d}{2} = \sqrt{(d/2)^2 + m^2 L^2}.$$

In the interior of AdS ( $z \rightarrow \infty$ ), the Bessel functions behave as

$$K_\nu(kz) \stackrel{z \rightarrow \infty}{\approx} e^{-kz} \quad I_\nu(kz) \stackrel{z \rightarrow \infty}{\approx} e^{kz}.$$

regularity in the interior uniquely fixes  $f_k \propto K_\nu$ .

Plugging this into the action  $S$  gives  $\langle \mathcal{O}(x) \mathcal{O}(0) \rangle \sim \frac{1}{|x|^{2\Delta}}$

note:  $\exists$  nonlinear uniqueness statement, 'Graham-Lee theorem'

## Correlation functions of scalar operators from $AdS$

The solution with  $f_k(z = \epsilon) = 1$  ('the regulated bulk-to-boundary propagator'), is

$$\underline{f}_k(z) = \frac{z^{d/2} K_\nu(kz)}{\epsilon^{d/2} K_\nu(k\epsilon)} \quad \left( \int dk e^{ikx} f_k(\epsilon) = \delta^d(x) \right)$$

The general position space solution can be obtained by Fourier decomposition:

$$\underline{\phi}^{[\phi_0]}(x) = \int d^d k e^{ikx} \underline{f}_k(z) \phi_0(k, \epsilon) .$$

'on-shell action' (i.e. the action evaluated on the saddle-point solution):

$$\begin{aligned} S[\underline{\phi}] &= -\frac{\hat{\kappa}}{2} \int d^d x \sqrt{\gamma} \underline{\phi} n \cdot \partial \underline{\phi} \\ &= -\frac{\hat{\kappa} L^{d-1}}{2} \int d^d k \phi_0(k, \epsilon) \mathcal{F}_\epsilon(k) \phi_0(-k, \epsilon) \end{aligned}$$

$$\mathcal{F}_\epsilon(k) = z^{-d} \underline{f}_{-k}(z) z \partial_z \underline{f}_k(z) |_{z=\epsilon} + (k \leftrightarrow -k)$$

$$\langle \mathcal{O}(k_1) \mathcal{O}(k_2) \rangle_c^\epsilon = -\frac{\delta}{\delta \phi_0(k_1)} \frac{\delta}{\delta \phi_0(k_2)} S = (2\pi)^d \delta^d(k_1 + k_2) \mathcal{F}_\epsilon(k_1) .$$



$$K_\nu(u) = u^{-\nu}(a_0 + a_1 u^2 + a_2 u^4 + \dots) \quad (\text{leading term})$$

$$+ u^\nu \ln u (b_0 + b_1 u^2 + b_2 u^4 + \dots) \quad (\text{subleading term})$$

$$\mathcal{F}_\epsilon(k) = 2\epsilon^{-d+1} \partial_z \left( \frac{(kz)^{-\nu+d/2}(a_0 + \dots) + (kz)^{\nu+d/2} \ln kz (b_0 + \dots)}{(k\epsilon)^{-\nu+d/2}(a_0 + \dots) + (k\epsilon)^{\nu+d/2} \ln k\epsilon (b_0 + \dots)} \right)$$

$$= 2\epsilon^{-d} \left[ \left\{ \frac{d}{2} - \nu(1 + c_2(\epsilon^2 k^2) + c_4(\epsilon^4 k^4) + \dots) \right\} \right. \\ \left. + \left\{ \nu \frac{2b_0}{a_0} (\epsilon k)^{2\nu} \ln(\epsilon k) (1 + d_2(\epsilon k)^2 + \dots) \right\} \right]$$

$$\equiv \text{(I)} + \text{(II)}$$

(I): Laurent series in  $\epsilon$  with coefficients  $k^{\text{even integer}}$

(i.e. analytic in  $k$  at  $k=0$ ).  $\equiv$  contact terms  $\equiv$  short distance goo:

$$\int d^d k e^{-ikx} (\epsilon k)^{2m} \epsilon^{-d} = \epsilon^{2m-d} \square_x^m \delta^d(x) \quad (m \in \mathbb{Z}_+)$$

The  $\epsilon^{2m-d}$  agrees w/  $\epsilon$  is a UV cutoff for the QFT.

## Checking that $\langle \mathcal{O}(x)\mathcal{O}(0) \rangle \sim \frac{1}{|x|^{2\Delta}}$

The interesting bit of  $\mathcal{F}(k)$ , which gives the  $x_1 \neq x_2$  behavior of the correlator, is non-analytic in  $k$ :

$$(II) = -2\nu \cdot \frac{b_0}{a_0} k^{2\nu} \ln(k\epsilon) \cdot \epsilon^{2\nu-d} (1 + \mathcal{O}(\epsilon^2)),$$

input of Bessel:  $\frac{b_0}{a_0} = \frac{(-1)^{\nu-1}}{2^{2\nu} \nu \Gamma(\nu)^2}$  for  $\nu \in \mathbb{Z}$

FT of leading term:  $\int d^d k e^{-ikx} (II) = \frac{2\nu \Gamma(\Delta_+)}{\pi^{d/2} \Gamma(\Delta_+ - d/2)} \frac{1}{x^{2\Delta_+}} \epsilon^{2\nu-d}$ .

- AdS radius appears only in overall normalization, in the combination  $\mathfrak{R} L^{d-1}$ .
- Multiplicative renormalization removes the  $\epsilon^{2\nu-d}$ .
- Holographic Renormalization: add to  $S_{bulk}$  the local, intrinsic boundary term

$$\begin{aligned} \Delta S = S_{c.t.} &= \frac{\mathfrak{R}}{2} \int_{\text{bdy}} d^d x \left( -\Delta_- L^{d-1} \epsilon^{2\Delta_- - d} (\phi_0^{\text{Ren}}(x))^2 \right) \\ &= -\Delta_- \frac{\mathfrak{R}}{2L} \int_{\partial \text{AdS}, z=\epsilon} \sqrt{\gamma} \phi^2(z, x) \end{aligned}$$

Affect neither bulk EOM nor  $G_2(x_1 \neq x_2)$ , cancels divergences.

## Real-time

In Euclidean signature (or Lorentzian signature with spacelike  $k^2$ ) regularity in the IR uniquely determined the correct solution.

In Lorentzian signature with timelike  $k^2$  ( $\omega^2 > \vec{k}^2$ ),  
 $\exists$  many solutions with the same UV behavior ( $z \rightarrow 0$ ), different IR behavior:

$$z^{d/2} K_\nu(\pm iqz) \stackrel{z \rightarrow \infty}{\approx} e^{\pm iqz} \quad q \equiv \sqrt{\omega^2 - \vec{k}^2}$$

these modes oscillate near the Poincaré horizon.

this ambiguity reflects the multiplicity of real-time Green's f'ns.

Important example: **retarded Green's function**, describes causal response of the system to a perturbation.

## Linear response: nothing fancy, just QM

The retarded Green's function for two observables  $\mathcal{O}_A$  and  $\mathcal{O}_B$  is

$$G_{\mathcal{O}_A \mathcal{O}_B}^R(\omega, k) = -i \int d^{d-1}x dt e^{i\omega t - ik \cdot x} \theta(t) \langle [\mathcal{O}_A(t, x), \mathcal{O}_B(0, 0)] \rangle$$

$$\theta(t) = 1 \text{ for } t > 0, \text{ else zero.}$$

(We care about this because it determines what  $\langle \mathcal{O}_A \rangle$  does if we kick the system via  $\mathcal{O}_B$ .)

the source is a time dependent perturbation to the Hamiltonian:

$$\delta H(t) = \int d^{d-1}x \phi_{B(0)}(t, x) \mathcal{O}_B(x).$$

$$\begin{aligned} \langle \mathcal{O}_A \rangle(t, x) &\equiv \text{Tr } \rho(t) \mathcal{O}_A(x) \\ &= \text{Tr } \rho_0 U^{-1}(t) \mathcal{O}_A(t, x) U(t) \end{aligned}$$

in interaction picture:  $U(t) = T e^{-i \int^t \delta H(t') dt'}$  (e.g.  $\rho_0 = e^{-\beta H_0}$ )

## Linear response, cont'd

linearize in small perturbation:

$$\begin{aligned}\delta\langle\mathcal{O}_A\rangle(t,x) &= -i\text{Tr}\rho_0\int^t dt'[\mathcal{O}_A(t,x),\delta H(t')] \\ &= -i\int^t d^{d-1}x' dt'\langle[\mathcal{O}_A(t,x),\mathcal{O}_B(t',x')]\rangle\phi_{B(0)}(t',x') \\ &= \int dx'G_R(x,x')\phi_B(x')\end{aligned}$$

fourier transform:

$$\delta\langle\mathcal{O}_A\rangle(\omega,k) = G_{\mathcal{O}_A\mathcal{O}_B}^R(\omega,k)\delta\phi_{B(0)}(\omega,k)$$

## Linear response, an example

**perturbation:** an external electric field,  $E_x = i\omega A_x$

couples via  $\delta H = A_x J^x$  where  $J$  is the electric current ( $\mathcal{O}_B = J_x$ )

**response:** the electric current ( $\mathcal{O}_A = J_x$ )

$$\delta \langle \mathcal{O}_A \rangle(\omega, k) = G_{\mathcal{O}_A \mathcal{O}_B}^R(\omega, k) \delta \phi_{B(0)}(\omega, k)$$

it's safe to assume  $\langle J \rangle_{E=0} = 0$ :

$$\langle \mathcal{O}_J \rangle(\omega, k) = G_{JJ}^R(\omega, k) A_x = G_{JJ}^R(\omega, k) \frac{E_x}{i\omega}$$

Ohm's law:  $J = \sigma E$

$\implies$  Kubo formula :

$$\sigma(\omega, k) = \frac{G_{JJ}^R(\omega, k)}{i\omega}$$

# Holographic real-time prescription

Claim [Son-Starinets 2002]: corresponds to the solution which at  $z \rightarrow \infty$  describes stuff falling into the horizon

- ▶ Both the retarded response and stuff falling through the horizon describe things that *happen*, rather than *unhappen*.
- ▶ You can check that this prescription gives the correct analytic structure of  $G_R(\omega)$  ([Son-Starinets] and all the hundreds of papers that have used this prescription).
- ▶ It has been derived from a holographic version of the Schwinger-Keldysh prescription [Herzog-Son, Maldacena, Skenderis-van Rees].

The fact that stuff goes past the horizon and doesn't come out is what breaks time-reversal invariance in the holographic computation of  $G^R$ .

Here, the ingoing choice is  $\phi(t, z) \sim e^{-i\omega t + iqz}$ :

as  $t$  grows, the wavefront moves to larger  $z$ .

(the solution which computes causal response is  $z^{d/2} K_{+\nu}(iqz)$ .)

The same prescription, adapted to the black hole horizon, works in the finite temperature case.

# What to do with the solution

determining  $\langle \mathcal{O}\mathcal{O} \rangle$  is like a scattering problem in QM

The solution of the equations of motion, satisfying the desired IR bc, behaves near the boundary as

$$\underline{\phi}(z, x) \approx \left(\frac{z}{L}\right)^{\Delta_-} \phi_0(x) (1 + \mathcal{O}(z^2)) + \left(\frac{z}{L}\right)^{\Delta_+} \phi_1(x) (1 + \mathcal{O}(z^2));$$

this formula defines the coefficient  $\phi_1$  of the subleading behavior of the solution.

All the information about  $G$  is in  $\phi_0, \phi_1$ .

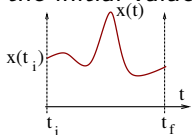
recall:  $Z[\phi_0] \equiv e^{-W[\phi_0]} \simeq e^{-S_{\text{bulk}}[\underline{\phi}]}$   $\Big|_{\phi \xrightarrow{z \rightarrow 0} z^{\Delta_-} \phi_0}$

confession: this is a euclidean eqn. next: a nice general trick. [Iqbal-Liu]



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**classical mechanics interlude:** consider a particle in 1d with action  $S[x] = \int_{t_i}^{t_f} dt L$ . The variation of the action with respect to the initial value of the coordinate is the initial momentum:



$$\Pi(t_i) = \frac{\delta S}{\delta x(t_i)}, \quad \Pi(t) \equiv \frac{\partial L}{\partial \dot{x}} \quad . \quad (3)$$


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Thinking of the radial direction of  $AdS$  as time, a mild generalization of (3): [Iqbal-Liu]

$$\langle \mathcal{O}(x) \rangle = \frac{\delta W[\phi_0]}{\delta \phi_0(x)} = \lim_{z \rightarrow 0} \left( \frac{z}{L} \right)^{\Delta_-} \Pi(z, x)|_{\text{finite}},$$

where  $\Pi \equiv \frac{\partial \mathcal{L}}{\partial (\partial_z \phi)}$  is the bulk field-momentum with  $z$  treated as time.

two minor subtleties:

(1) the factor of  $z^{\Delta_-}$  arises because of our renormalization of  $\phi$ :  $\phi \sim z^{\Delta_-} \phi_0$ , so

$$\frac{\partial}{\partial \phi_0} = z^{-\Delta_-} \frac{\partial}{\partial \phi(z=\epsilon)}.$$

(2)  $\Pi$  itself in general has a term proportional to the source  $\phi_0$

# Linear response from holography

With these caveats, away from the support of the source:

$$\langle \mathcal{O}(x) \rangle = \mathfrak{K} \frac{2\Delta - d}{L} \phi_1(x).$$

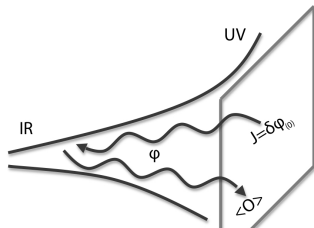
linearize in the size of the perturbing source:

$$\langle \mathcal{O}(x) \rangle = G_R \cdot \phi_0$$

**summary:** The leading behavior of the solution encodes the source *i.e.* the perturbation of the *action* of the QFT. The coefficient of the subleading falloff encodes the response

[Balasubramanian et al, 1996].

$$G \propto \frac{\phi_1}{\phi_0}$$



[figure: Hartnoll, 0909.3553]

## (Quasi)normal modes

determining  $\langle \mathcal{O} \mathcal{O} \rangle$  is like a scattering problem in QM

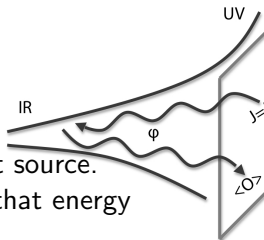
The solution of the equations of motion, satisfying the desired IR bc, behaves near the boundary as

$$\underline{\phi}(z, x) \stackrel{z \rightarrow 0}{\approx} \left(\frac{z}{L}\right)^{\Delta_-} \phi_0(x) (1 + \mathcal{O}(z^2)) + \left(\frac{z}{L}\right)^{\Delta_+} \phi_1(x) (1 + \mathcal{O}(z^2));$$

$$G \propto \frac{\phi_1}{\phi_0}$$

[figure: Hartnoll, 0909.3553]

$G$  has poles when  $\phi_1 \neq 0, \phi_0 = 0$ : response without source.  
this means that the system has an actual mode at that energy  
(if  $\omega \in \mathbb{C}$ , 'quasinormal mode')



Important conceptual point: the Hilbert spaces are the same.

## A useful visualization: 'Witten diagrams'

e.g. consider 3-point function,  $\langle \mathcal{O}\mathcal{O}\mathcal{O} \rangle = \left( \frac{\delta}{\delta\phi_0} \right)^3 \ln Z|_{\phi_0=0}$ .  
 cubic coupling matters:

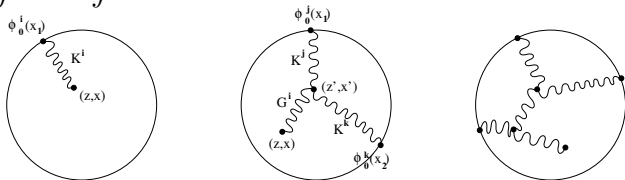
$$(\square - m_1^2)\phi_1(z, x) = b\phi_2\phi_3 \quad \text{and perms.}$$

Solve perturbatively in  $\phi_0$ :  $(K, G$  are Green's f'ns for  $\square - m_i^2)$

$$\underline{\phi}^1(z, x) = \int d^d x_1 K^{\Delta_1}(z, x; x_1) \phi_0^1(x_1)$$

$$+ b \int d^d x' dz' \sqrt{g} G^{\Delta_1}(z, x; z', x')$$

$$\times \int d^d x_1 \int d^d x_2 K^{\Delta_2}(z', x'; x_1) \phi_0^2(x_1) K^{\Delta_3}(z', x'; x_2) \phi_0^3(x_2) + o(b^2 \phi_0^3)$$



external legs  $\leftrightarrow$  sources  $\phi_0$ , vertices  $\leftrightarrow$  bulk interactions

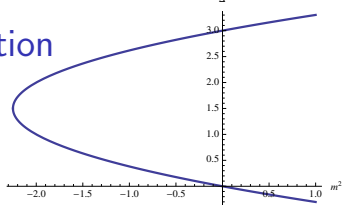
## Comment on alternative quantization

$$\Delta_{\pm} = \frac{d}{2} \pm \sqrt{\left(\frac{d}{2}\right)^2 + m^2 L^2}.$$

Lowest  $\Delta_+$  is  $d/2$ . Unitarity bound is  $\frac{d-2}{2}$ .

Idea [Klebanov-Witten 99]: If under

$\delta\phi$ ,  $\delta S \neq \infty$ , maybe we can let  $\delta\phi$  fluctuate.



$$\text{With } S_{\text{above}} = \int_{\text{bulk}} [(\partial\phi)^2 + \phi^2]$$

$\delta\phi \sim \delta\phi_1 z^\Delta$  gives  $\delta S_{\text{above}} = \infty$  for  $\Delta > d/2$ .

$$\text{With } S = S_{\text{above}} + \# \int_{\text{bdy}} \sqrt{\gamma} \phi n \cdot \partial\phi$$

the fluctuation with  $\phi \sim z^\Delta$  is normalizable for  $\Delta < \frac{d-2}{2}$ .

Result: can treat  $\phi_1 z^{\Delta_-}$  as source,  $\phi_0 z^{\Delta_+}$  as response:

$$G_{\text{alt}} = \frac{\phi_0}{\phi_1} = G_{\text{usual}}^{-1}.$$

Interpretation: alternative quantization is a CFT with a relevant double trace operator  $\Delta(\mathcal{O}^2) = 2\Delta_-$

Perturbation (by  $\Delta S_{\text{alt}} = \int_{\text{bdy}} \sqrt{\gamma} \phi^2$ ) leads back to ordinary quantization.

Next: thermal equilibrium