

No Core CI Calculations for light nuclear systems

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IOWA STATE
UNIVERSITY

SciDAC project – UNEDF

spokespersons: Rusty Lusk (ANL), Witek Nazarewicz (ORNL/UT)

<http://www.unedf.org>

PetaApps award

PIs: Jerry Draayer (LSU), Umit Catalyurek (OSU)

Masha Sosonkina, James Vary (ISU)

INCITE award – Computational Nuclear Structure

PI: James Vary (ISU)

NERSC CPU time



UNEDF SciDAC Collaboration

Universal Nuclear Energy Density Functional



National Science Foundation
WHERE DISCOVERIES BEGIN



SciDAC/UNEDF – Uniform description of nuclear structure

Universal Nuclear Energy Density Functional that spans the entire mass table based on **ab initio** calculations

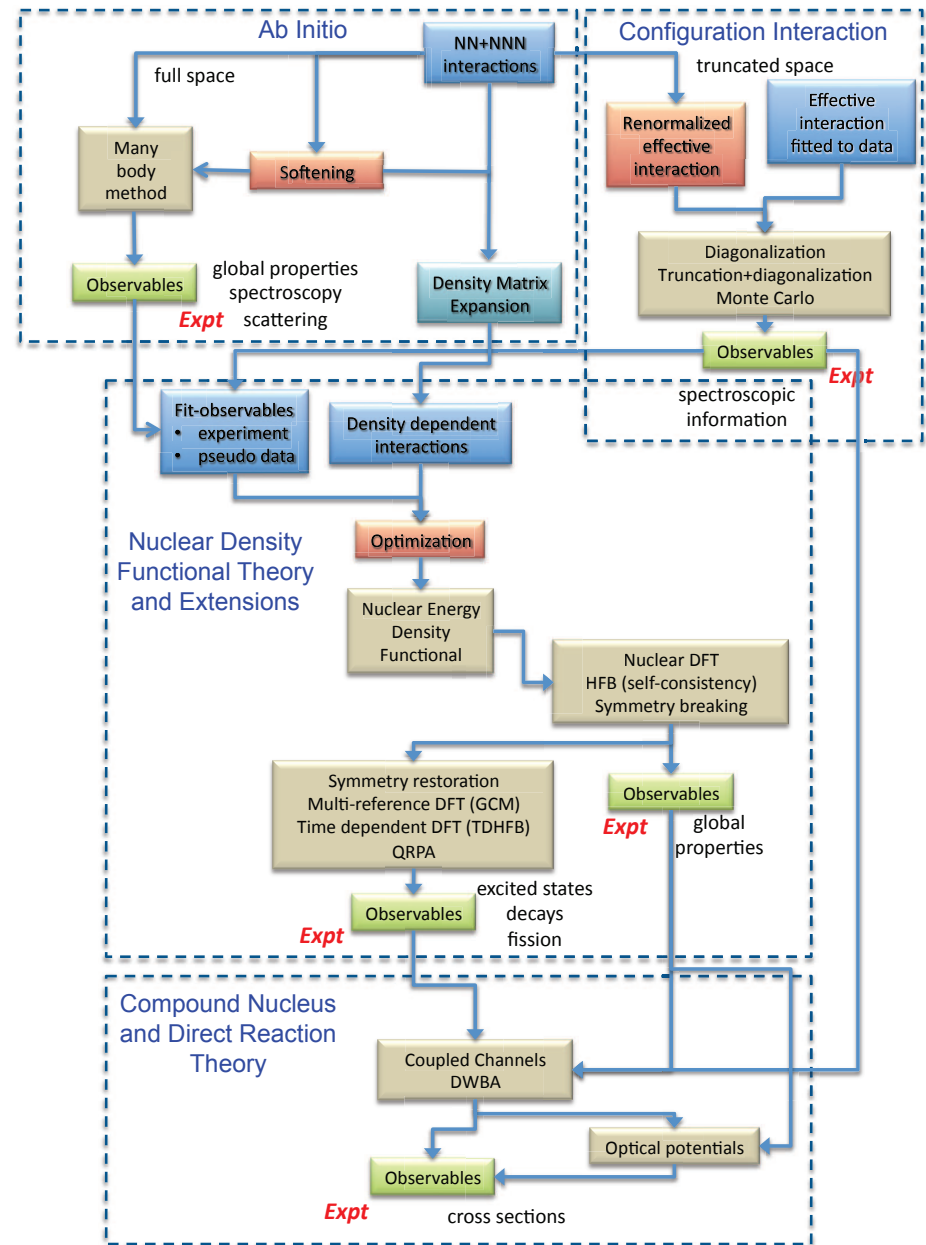
- Greens Function Monte Carlo (Pieper *et al*, ANL)
- No-Core Configuration Interaction calculations
- Coupled Cluster (Papenbrock *et al*, ORNL)

<http://www.unedf.org>

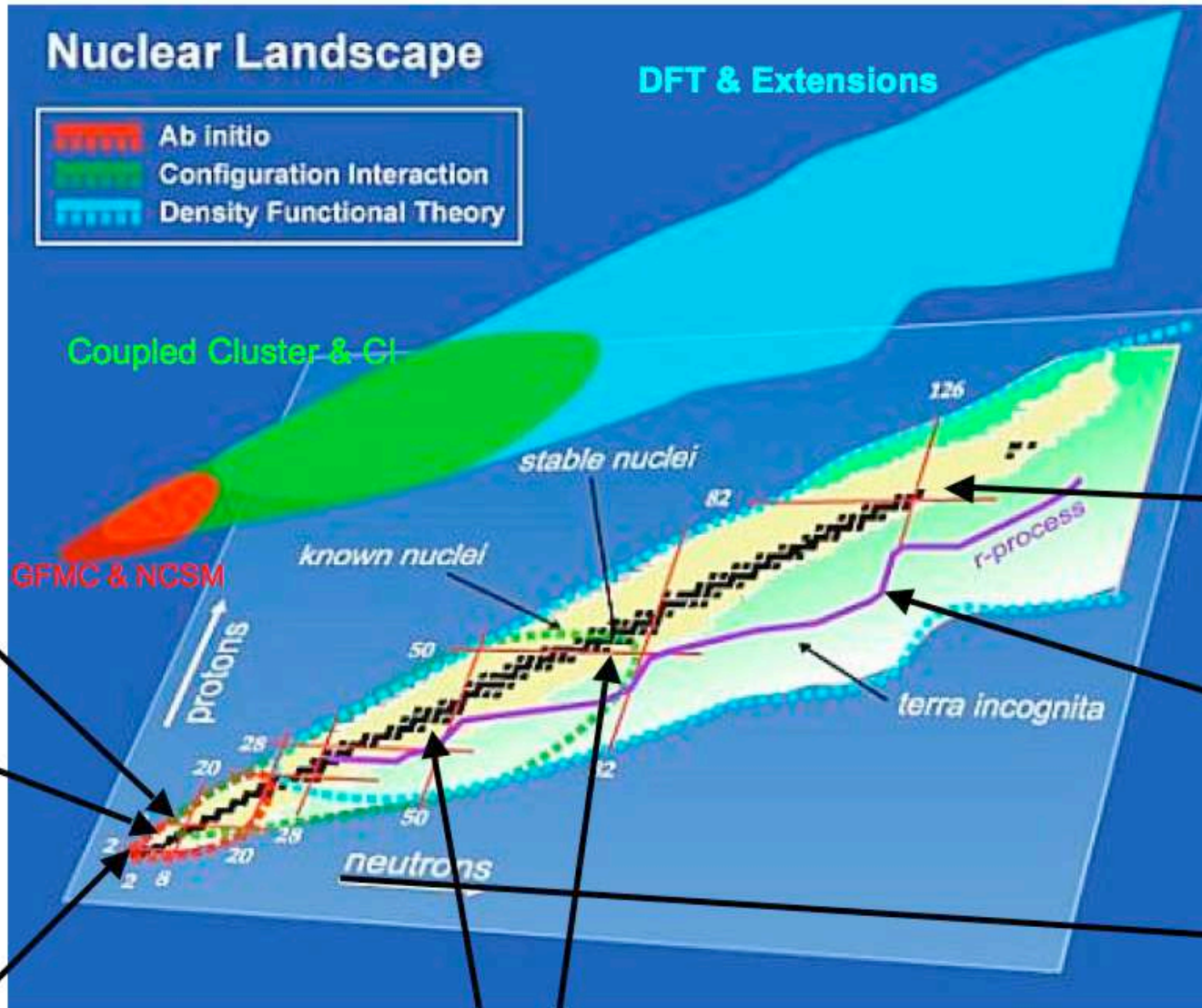
spokespersons:

R. Lusk (ANL)

W. Nazarewicz (ORNL/UT)



“Digital FRIB” and beyond



Astro

$^{12}\text{C}(\alpha, \gamma)$

Hoyle

Standard Model
QCD/ χ EFT

$0\nu-\beta\beta$

Beyond Standard Model

Nuclear Energy

fission

Astro

r-process

neutron star crust

Configuration Interaction Methods



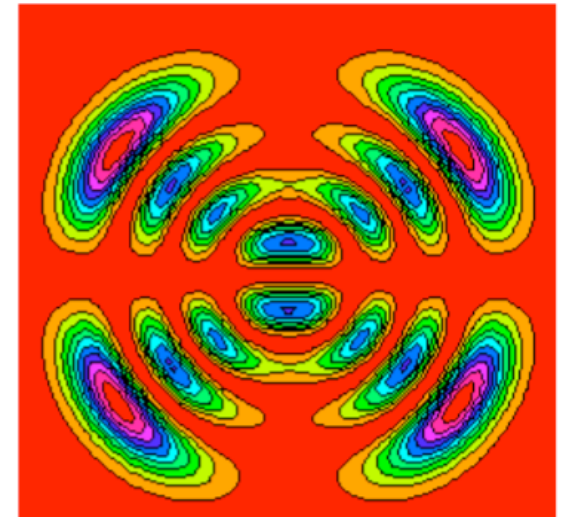
- Expand wave function in basis states $|\Psi\rangle = \sum a_i |\psi_i\rangle$
- Express Hamiltonian in basis $\langle \psi_j | \hat{\mathbf{H}} | \psi_i \rangle = H_{ij}$
- Diagonalize Hamiltonian matrix H_{ij}
- Complete basis \longrightarrow exact result
 - caveat: complete basis is infinite dimensional
- In practice
 - truncate basis
 - study behavior of observables as function of truncation
- Computational challenge
 - construct large $(10^{10} \times 10^{10})$ sparse symmetric real matrix H_{ij}
 - use Lanczos algorithm to obtain lowest eigenvalues & eigenvectors

Many-Body Basis Space

- Expand wave function in basis states $|\Psi\rangle = \sum a_i |\psi_i\rangle$
- Many-Body basis states $|\psi_i\rangle$
 - Slater Determinants of single-particle states $|\phi\rangle$

$$|\psi\rangle = |\phi_1\rangle \otimes \dots \otimes |\phi_A\rangle$$

- single-particle basis states
eigenstates of SU(2) operators
 $\hat{\mathbf{L}}^2, \hat{\mathbf{S}}^2, \hat{\mathbf{J}}^2 = (\hat{\mathbf{L}} + \hat{\mathbf{S}})^2$, and $\hat{\mathbf{J}}_z$
w. quantum numbers $|\phi\rangle = |n, l, s, j, m\rangle$
- radial wavefunctions:
Harmonic Oscillator



sample harmonic oscillator basis function

- M -scheme: many-body basis states eigenstates of $\hat{\mathbf{J}}_z$

$$\hat{\mathbf{J}}_z |\psi\rangle = M |\psi\rangle = \sum_{i=1}^A m_i |\psi\rangle$$

- Alternatives: LS -scheme, **Total- J -scheme**, **Symplectic basis**, . . .

Truncation Schemes

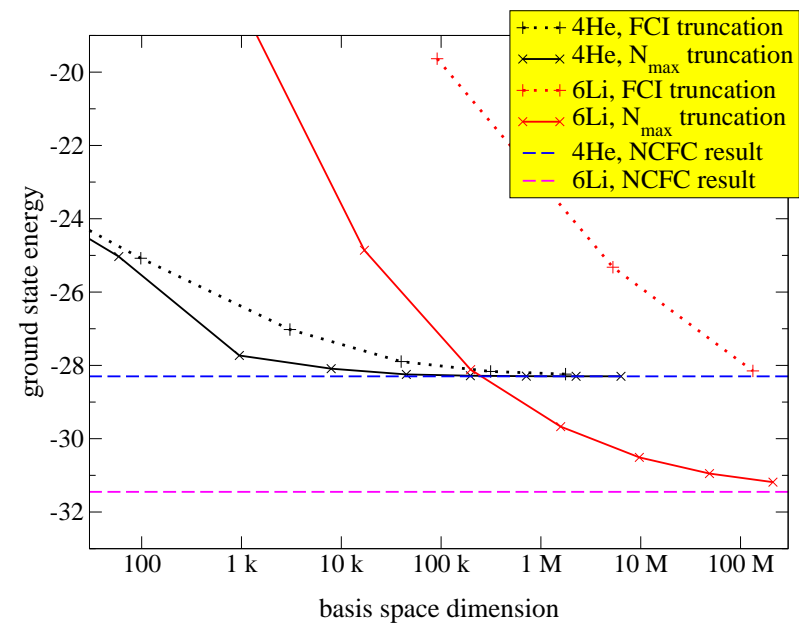
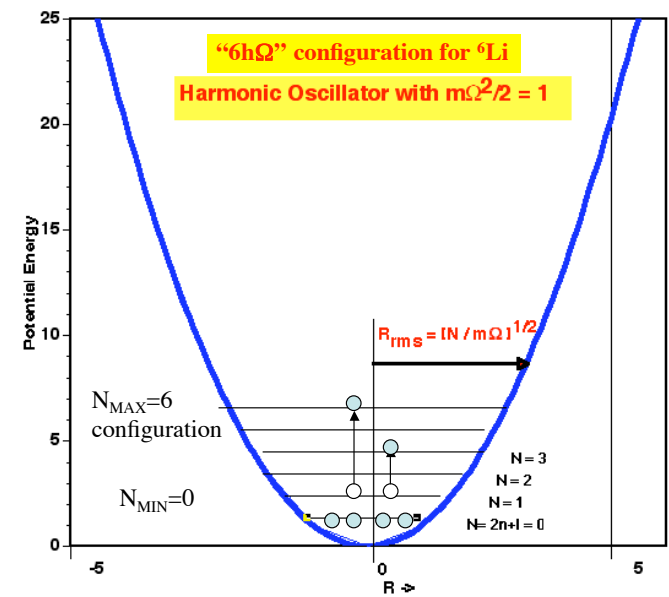
- N_{\max} truncation
 - truncation on the total number of H.O. oscillator quanta above minimal configuration for that nucleus

- allows for exact separation of Center-of-Mass motion and intrinsic motion

- Alternative truncation schemes

- FCI – Full Configuration Interaction – truncation on single-particle basis only

- Importance Sampling, Monte Carlo Sampling, Symplectic, . . .



Intermezzo: Center-of-Mass excitations

- Use single-particle coordinates, not relative (Jacobi) coordinates
 - straightforward to extend to many particles
 - have to separate Center-of-Mass motion from intrinsic motion
- Add Lagrange multiplier to Hamiltonian

$$\hat{\mathbf{H}}_{\text{rel}} \longrightarrow \hat{\mathbf{H}}_{\text{rel}} + \Lambda_{CM} \left(\hat{\mathbf{H}}_{CM}^{H.O.} - \frac{3}{2} \hbar\omega \right)$$

with $\hat{\mathbf{H}}_{\text{rel}} = T_{\text{rel}} + V_{\text{rel}}$ the relative Hamiltonian

- separates CM excitations from CM ground state $|\Phi_{CM}\rangle$
- Center-of-Mass wave function **factorizes** for **H.O. basis functions** in combination with **N_{max} truncation**

$$\begin{aligned} |\Psi_{\text{total}}\rangle &= |\phi_1\rangle \otimes \dots \otimes |\phi_A\rangle \\ &= |\Phi_{\text{Center-of-Mass}}\rangle \otimes |\Psi_{\text{intrinsic}}\rangle \end{aligned}$$

where

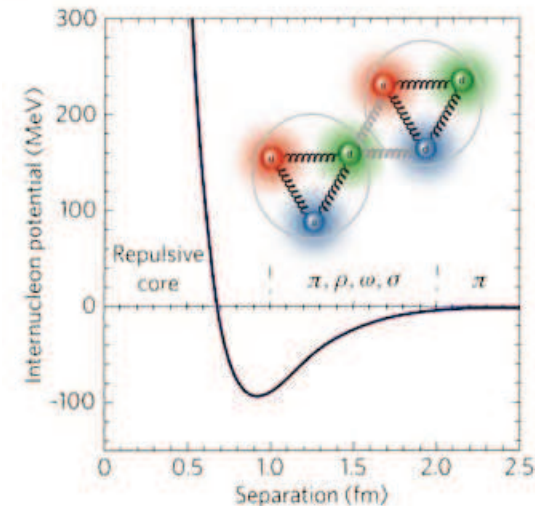
$$\hat{\mathbf{H}}_{\text{rel}} |\Psi_j, \text{intrinsic}\rangle = E_j |\Psi_j, \text{intrinsic}\rangle$$

Configuration Interaction Methods

- Expand wave function in basis states $|\Psi\rangle = \sum a_i |\psi_i\rangle$
- Express Hamiltonian in basis $\langle \psi_j | \hat{\mathbf{H}} | \psi_i \rangle = H_{ij}$

$$\hat{\mathbf{H}} = \hat{\mathbf{T}}_{\text{rel}} + \Lambda_{CM} \left(\hat{\mathbf{H}}_{CM}^{H.O.} - \frac{3}{2} \hbar \omega \right) + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$

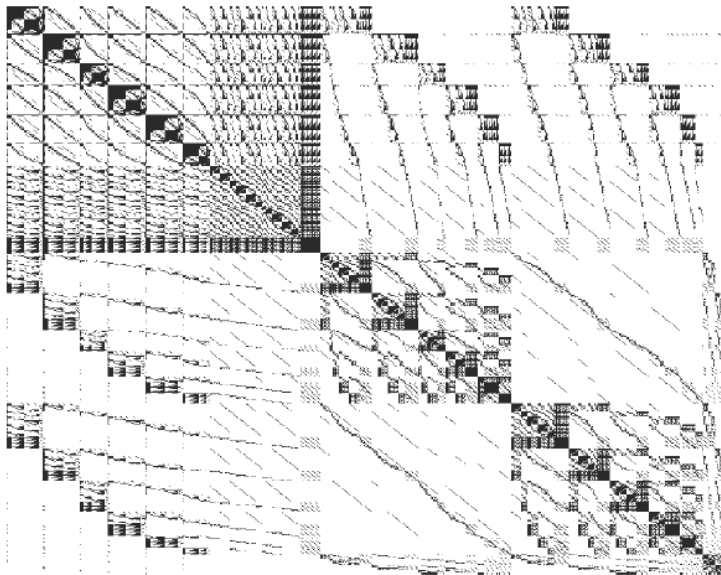
- Pick your favorite potential
 - Argonne potentials: AV8, AV18 (plus Illinois NNN interactions)
 - Bonn potentials
 - Chiral NN interactions (plus chiral NNN interactions)
 - ...
 - JISP16 (phenomenological NN potential)
 - ...



Configuration Interaction Methods

- Expand wave function in basis states $|\Psi\rangle = \sum a_i |\psi_i\rangle$
- Express Hamiltonian in basis $\langle \psi_j | \hat{H} | \psi_i \rangle = H_{ij}$
 - large sparse symmetric matrix

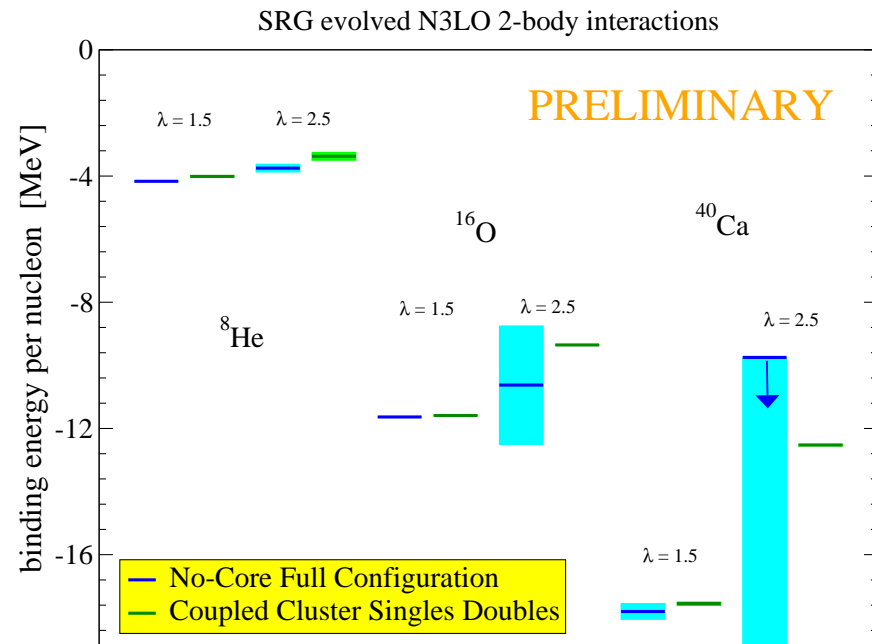
Sparsity Structure for ${}^6\text{Li}$



- Obtain lowest eigenvalues using Lanczos algorithm
 - Eigenvalues: bound state spectrum
 - Eigenvectors: nuclear wavefunctions
- Use wavefunctions to calculate observables
- Challenge: eliminate dependence on basis space truncation

CI calculation – convergence

- Expand wave function in basis states $|\Psi\rangle = \sum a_i |\psi_i\rangle$
- Express Hamiltonian in basis $\langle \psi_j | \hat{H} | \psi_i \rangle = H_{ij}$
- Diagonalize sparse real symmetric matrix H_{ij}
- **Variational**: for any finite truncation of the basis space, eigenvalue is an upper bound for the ground state energy
- Smooth approach to asymptotic value with increasing basis space
 - ⇒ extrapolation to infinite basis
- **Convergence: independence** of basis space parameters
 - different methods (NCFC, CC, GFMC, DME, ...)
 - using the same interaction should give same results within numerical errors



Intermezzo: *Extrapolation Techniques*

Challenge: achieve numerical convergence for no-core Full Configuration calculations using finite model space calculations

- Perform a series of calculations with increasing N_{\max} truncation (while keeping everything else fixed)
- Extrapolate to infinite model space \rightarrow exact results
 - binding energy: exponential in N_{\max}

$$E_{\text{binding}}^N = E_{\text{binding}}^{\infty} + a_1 \exp(-a_2 N_{\max})$$

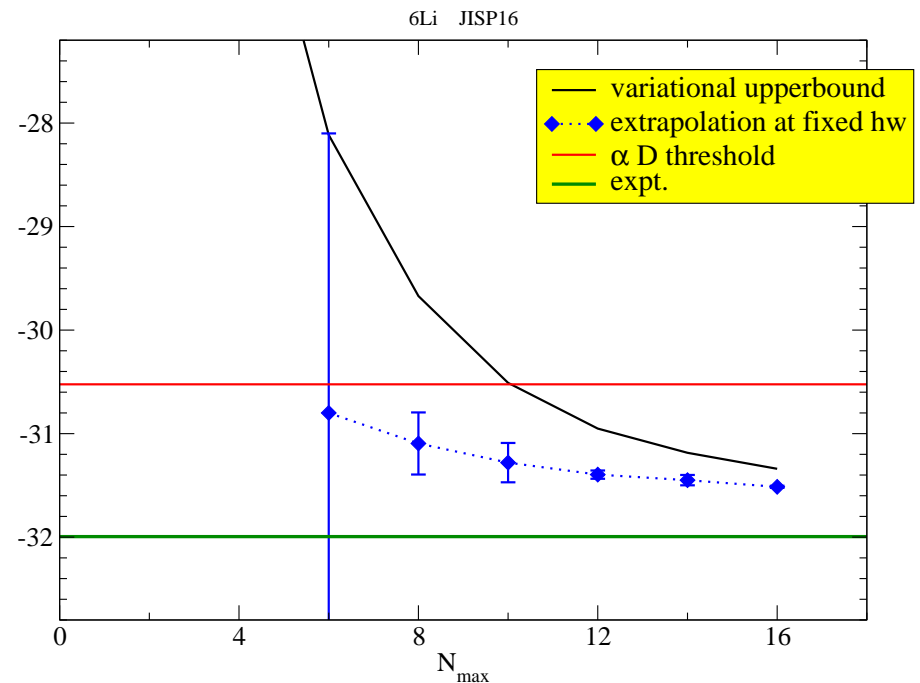
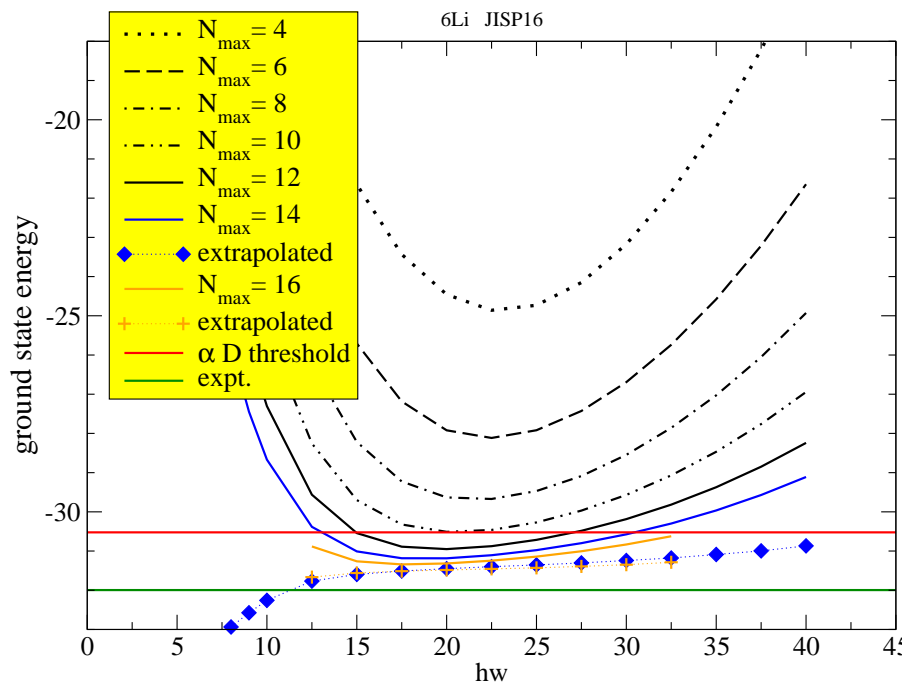
- use 3 or 4 consecutive N_{\max} values to determine $E_{\text{binding}}^{\infty}$
- use $\hbar\omega$ and N_{\max} dependence to estimate numerical error bars
- need at least $N_{\max} = 8$ for meaningful extrapolations

Maris, Shirokov, Vary, Phys. Rev. C79, 014308 (2009)

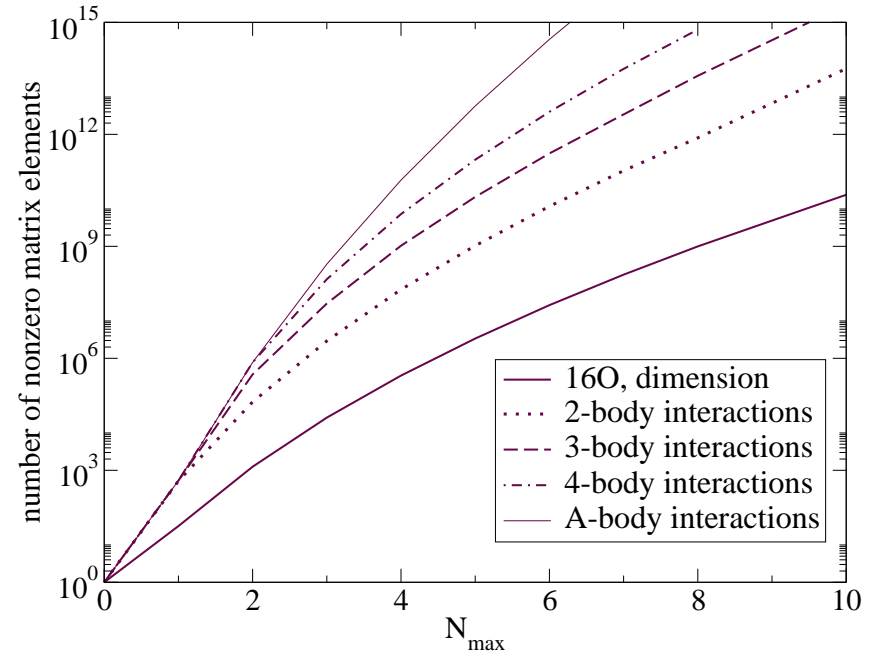
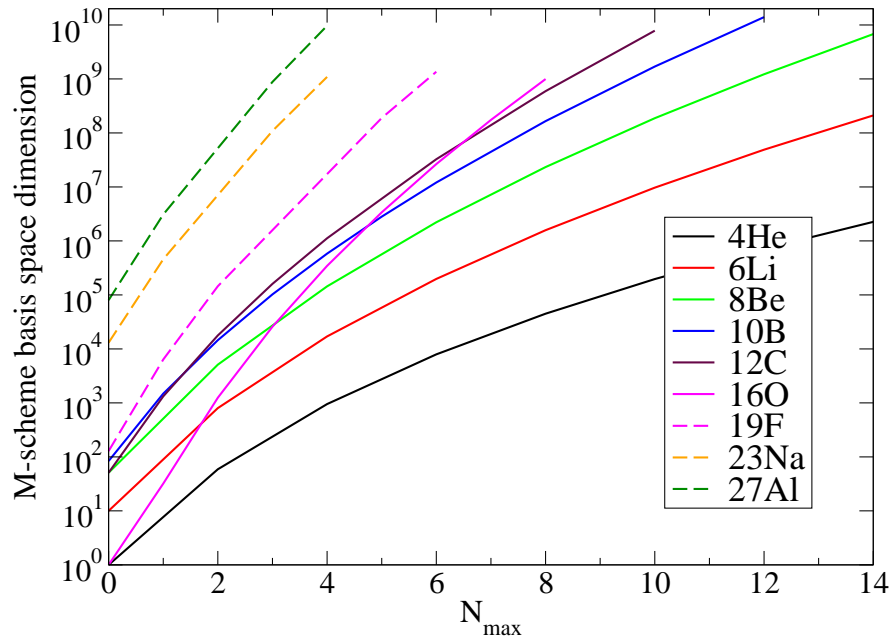
Intermezzo: Extrapolation Techniques

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CI calculations – main challenges

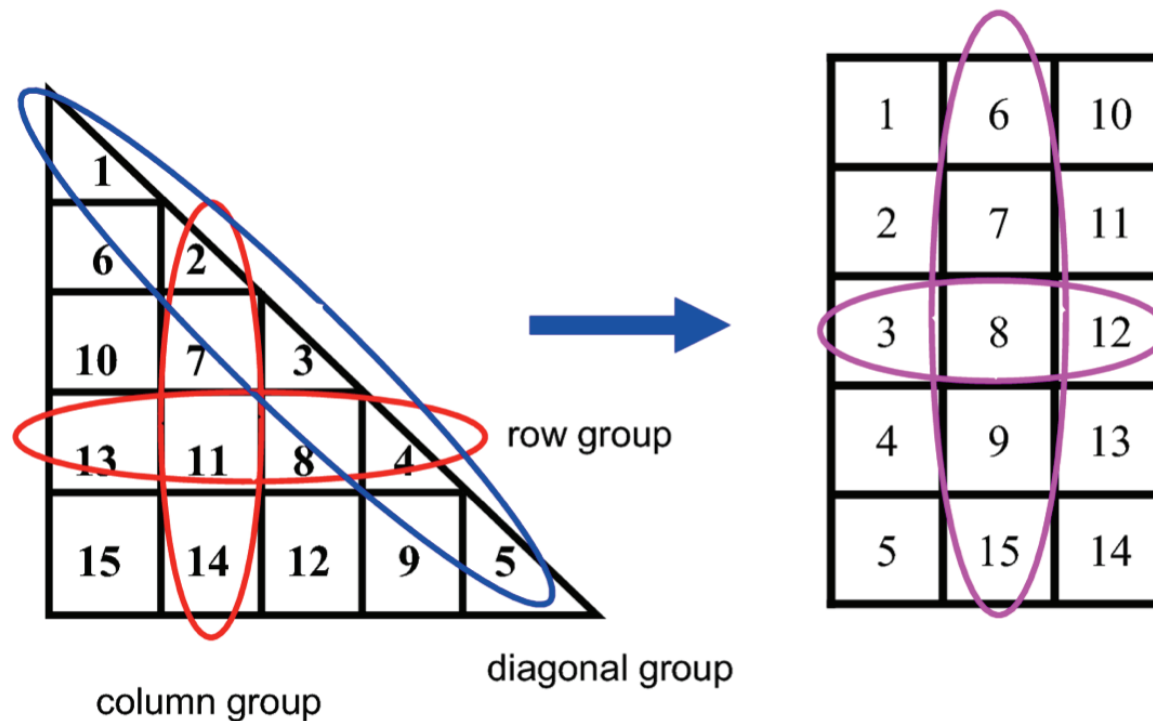


- Single most important computational issue: exponential increase of dimensionality with increasing H.O. levels
- Additional computational issue: sparseness of matrix / number of nonzero matrix elements

High-performance computing

- Hardware
 - individual desk- and lap-tops
 - local linux clusters
 - NERSC (DOE)
 - 10,000,000 CPU hours for ISU collaboration
 - Leadership Computing Facilities (DOE)
 - **INCITE award – Computational Nuclear Structure** (PI: J. Vary, ISU)
 - 28,000,000 CPU hours on Cray XT5 at ORNL
 - 15,000,000 CPU hours on IBM BlueGene/P at ANL
 - grand challenge award at Livermore (Jurgenson, Navratil, Ormand)
 - applied for CPU time at NCSA (NSF) – Blue Waters (IBM)
- Software
 - Lanczos algorithm – iterative method to find lowest eigenvalues and eigenvectors of sparse matrix
 - implemented in Many Fermion Dynamics
 - parallel F90/MPI/OpenMP C++ code for nuclear physics

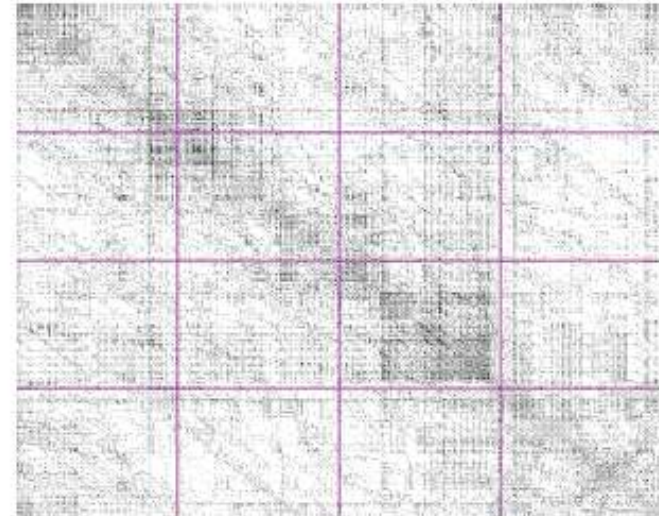
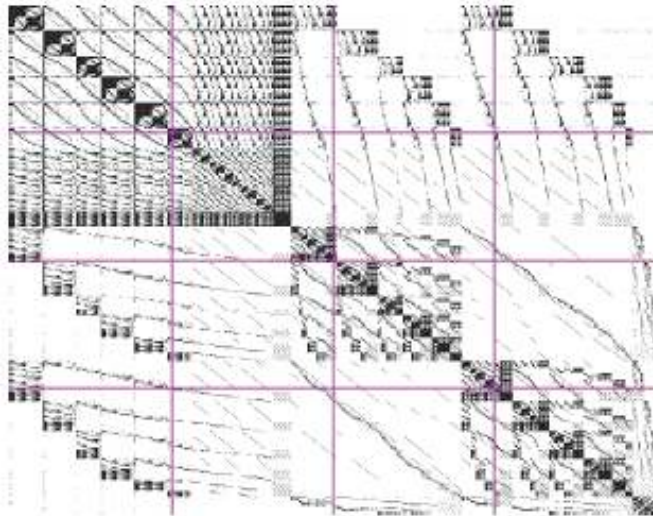
MFDn – 2-dimensional distribution of matrix



- Real symmetric matrix: store only lower (or upper) triangle
- Store Lanczos vectors distributed over all processors
- In principle, we can deal with arbitrary large vectors even if we cannot store an entire vector on a single processor
 - largest dimension: 8 billion, 32 GB / vector in single precision

MFDn – load-balancing

- Lexico-graphical enumeration of basis states on d procs
- Round-robin distribution of basis states over d procs



- Almost perfect load balancing
- However, no (apparent) structure in sparse matrix
 - multi-level blocking scheme to locate nonzero's (Sternberg 2008)

Under development: distribute groups of basis states over d procs in order to retain part of the natural structure of the matrix

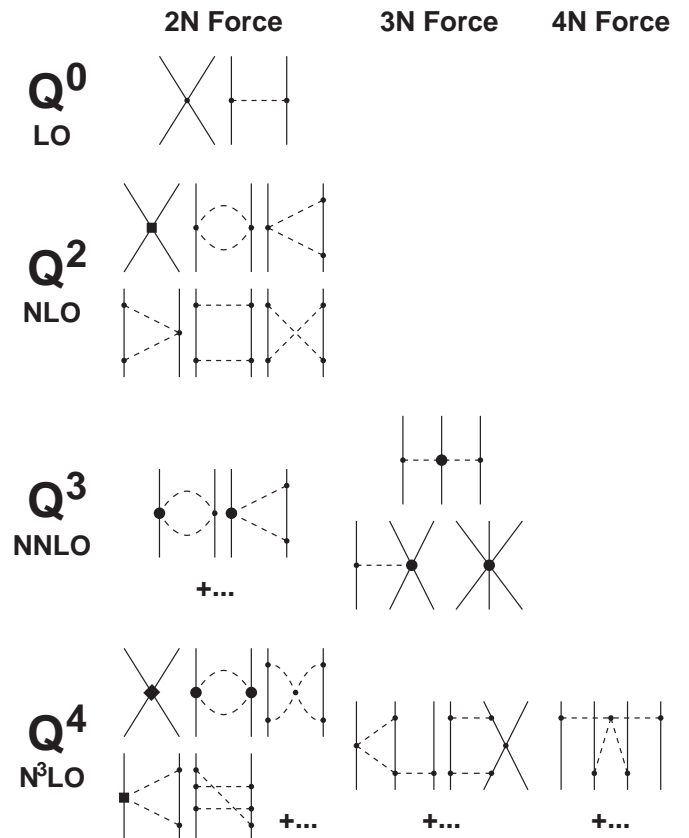
Strong force between nucleons

- Strong interaction in principle calculable from QCD
- Use **chiral perturbation theory** to obtain effective A -body interaction from QCD Entem and Machleidt, Phys. Rev. C68, 041001 (2003)

- controlled power series expansion in Q/Λ_χ with $\Lambda_\chi \sim 1$ GeV
- natural hierarchy for many-body forces

$$V_{NN} \gg V_{NNN} \gg V_{NNNN}$$

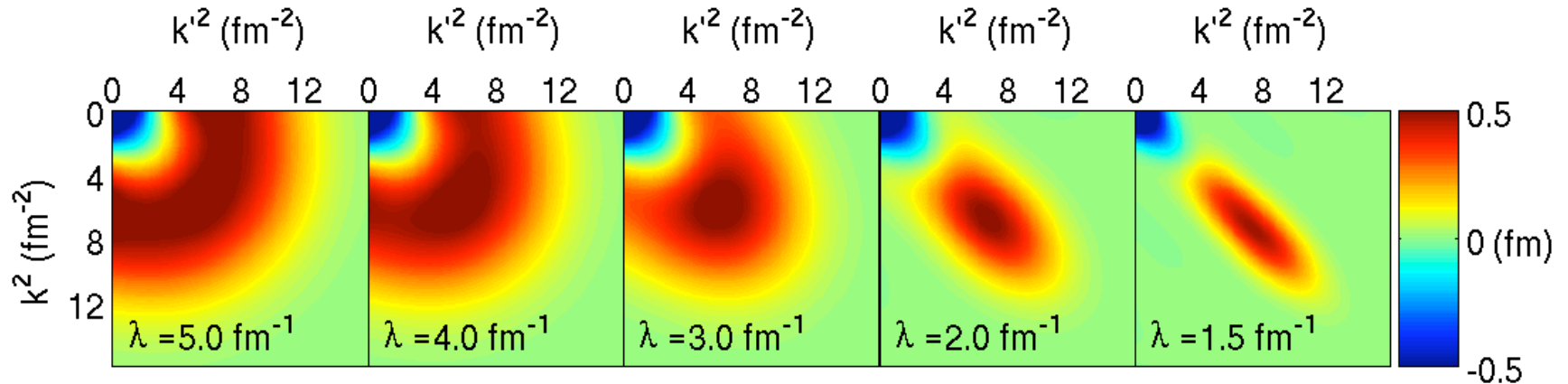
- in principle no free parameters
- in practice a few undetermined parameters
- renormalization necessary
 - Lee–Suzuki–Okamoto
 - Similarity Renormalization Group



Similarity Renormalization Group – NN interaction

SRG evolution

Bogner, Furnstahl, Perry, PRC 75 (2007) 061001

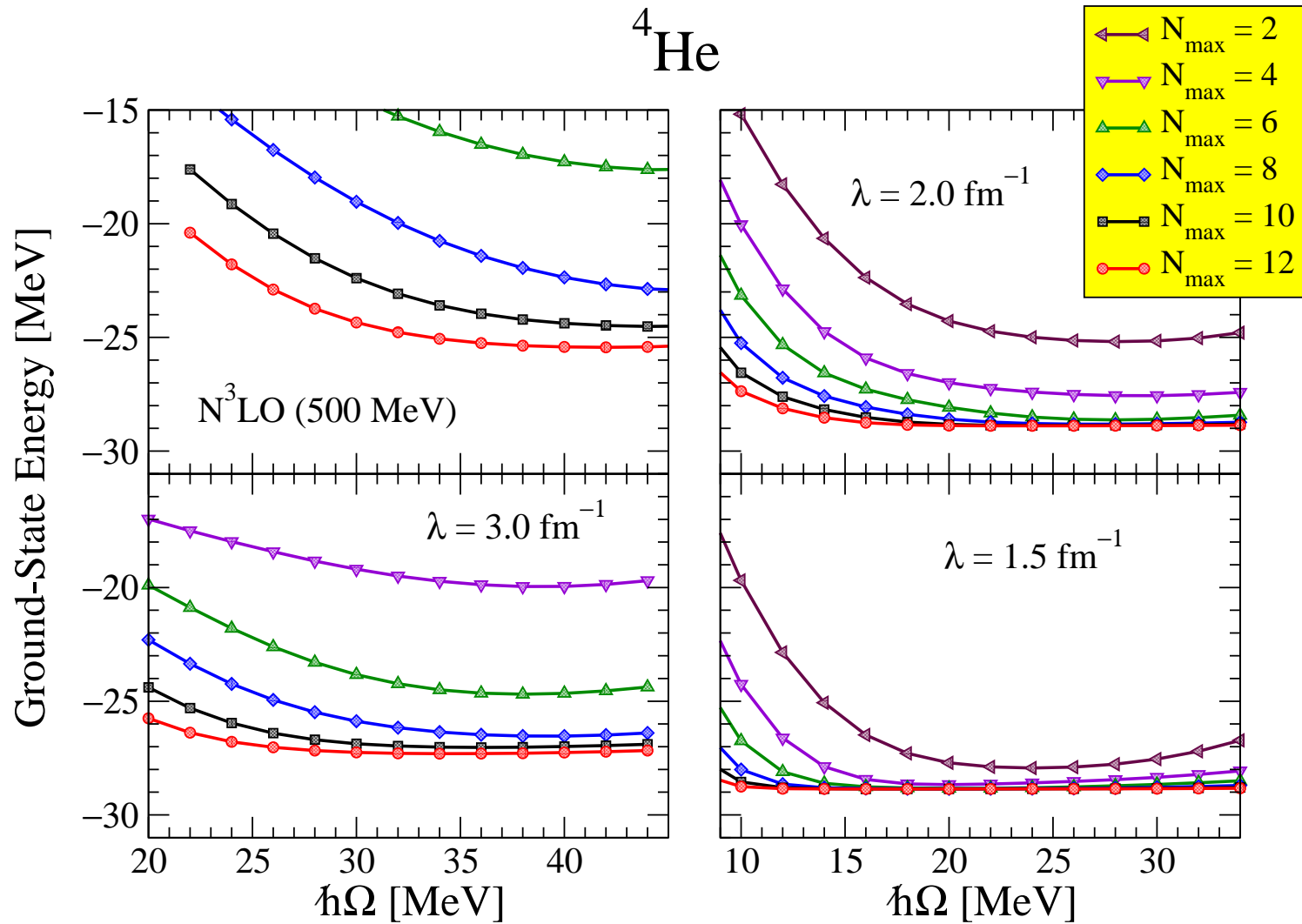


- drives interaction towards band-diagonal structure
 - SRG shifts strength between 2-body and many-body forces
- Initial chiral EFT Hamiltonian
power-counting hierarchy A -body forces

$$V_{NN} \gg V_{NNN} \gg V_{NNNN}$$

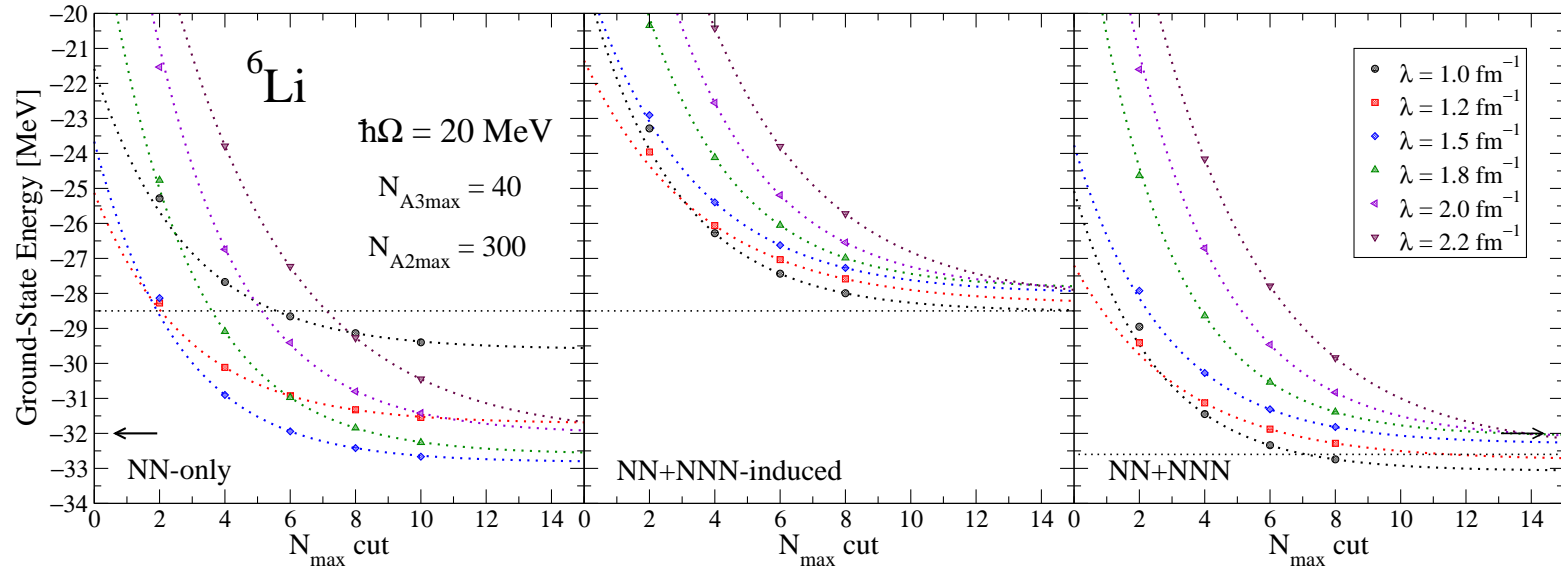
- key issue: preserve hierarchy of many-body forces

Improve convergence rate by applying SRG to N3LO



Bogner, Furnstahl, Maris, Perry, Schwenk, Vary, NPA801, 21 (2008), arXiv:0708.3754

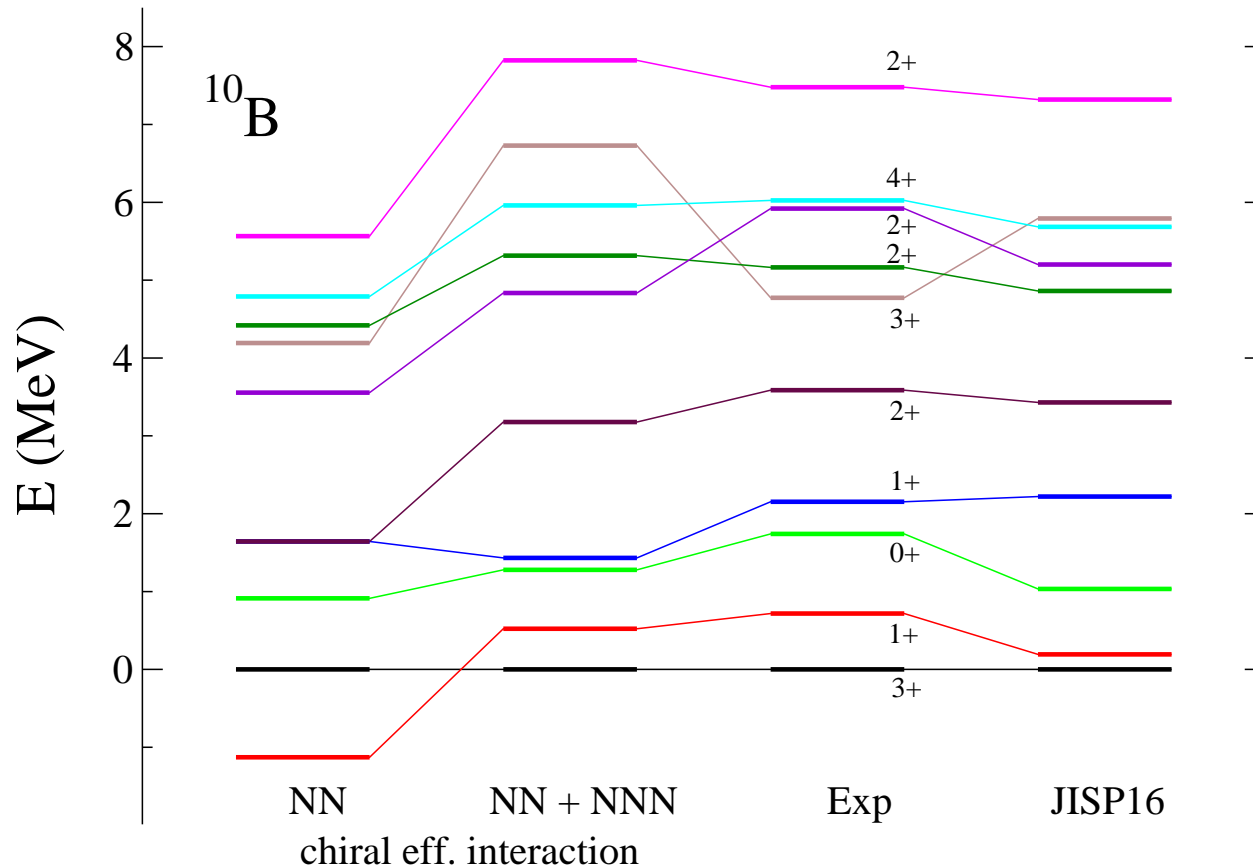
Effect of three-body forces



(Jurgenson, Navratil, Furnstahl, PRC83, 034301 (2011), arXiv:1011.4085)

- Induced 3NF significantly reduce dependence on SRG parameter
- N2LO 3NF
 - binding energy in agreement with experiment
 - may need induced 4NF?
- Calculations for $A = 7$ to 12 in progress (LLNL)

Do we really need 3-body interactions?



Spectrum of ^{10}B

with chiral 2- and
3-body forces
at $N_{\text{max}} = 6$

nonlocal 2-body
interaction JISP16
at $N_{\text{max}} = 8$

Vary, Maris, Negoita, Navratil, Gueorguiev, Ormand, Nogga, Shirokov, and Stoica,
in “Exotic Nuclei and Nuclear/Particle Astrophysics (II)”, AIP Conf. Proc. 972, 49 (2008);
N3LO+3NF from Navratil, Gueorguiev, Vary, Ormand, and Nogga, PRL 99, 042501 (2007);
for JISP16 see Shirokov, Vary, Mazur, Weber, PLB **644**, 33 (2007)

Phenomeological NN interaction: JISP16

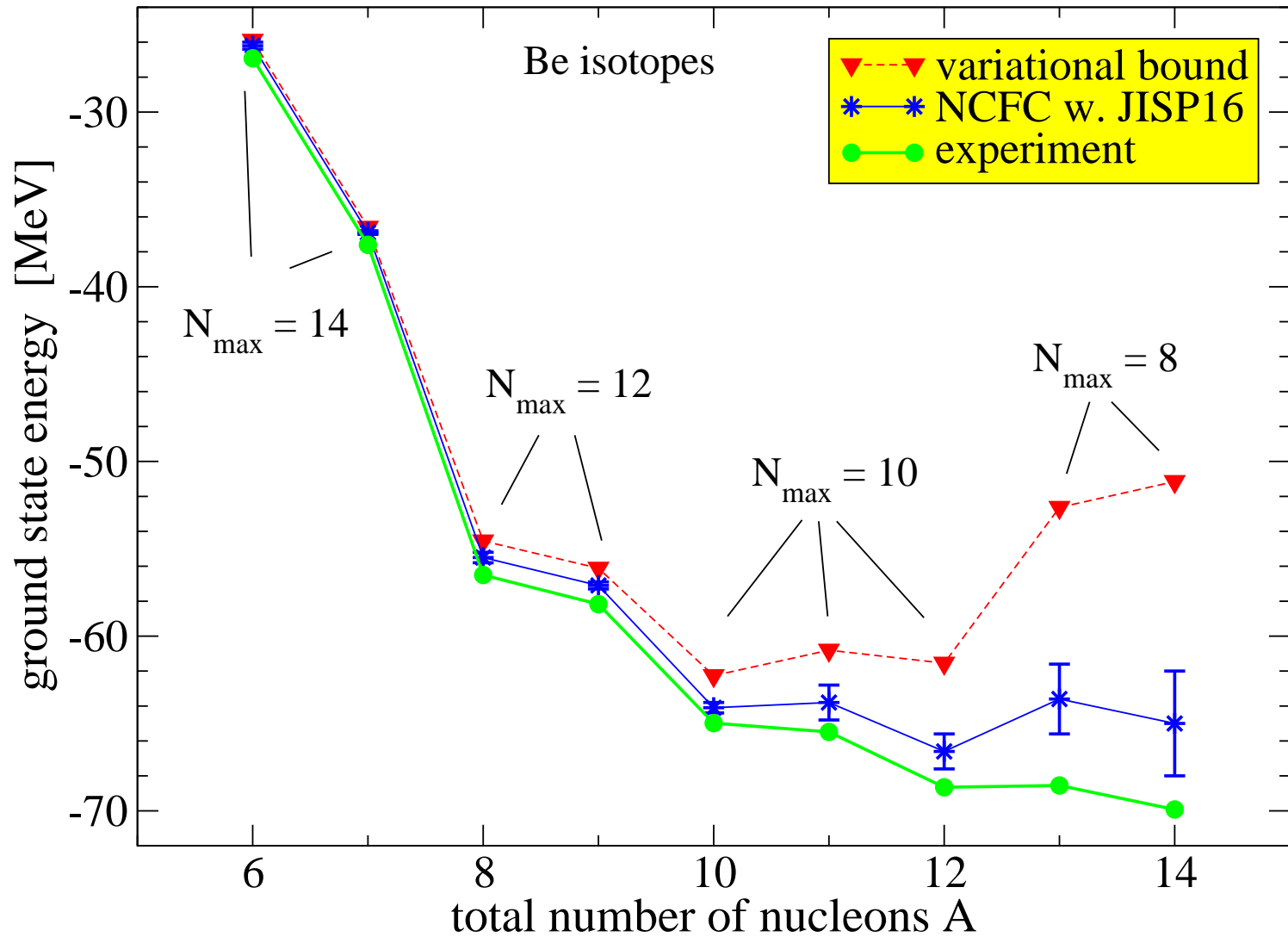
A.M. Shirokov, J.P. Vary, A.I. Mazur, T.A. Weber, PLB 644, 33 (2007)

J-matrix Inverse Scattering Potential tuned up to ^{16}O

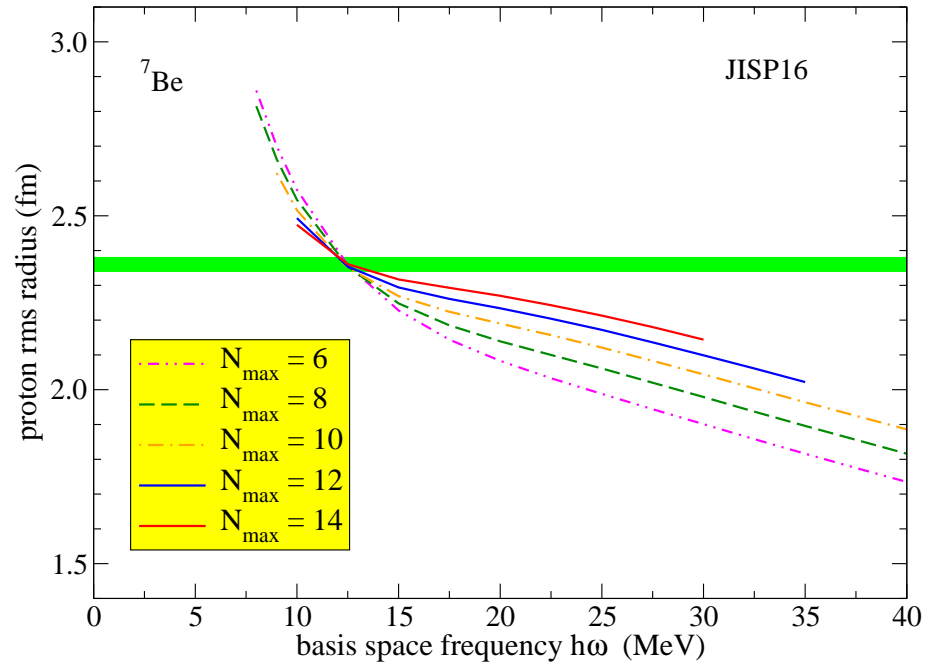
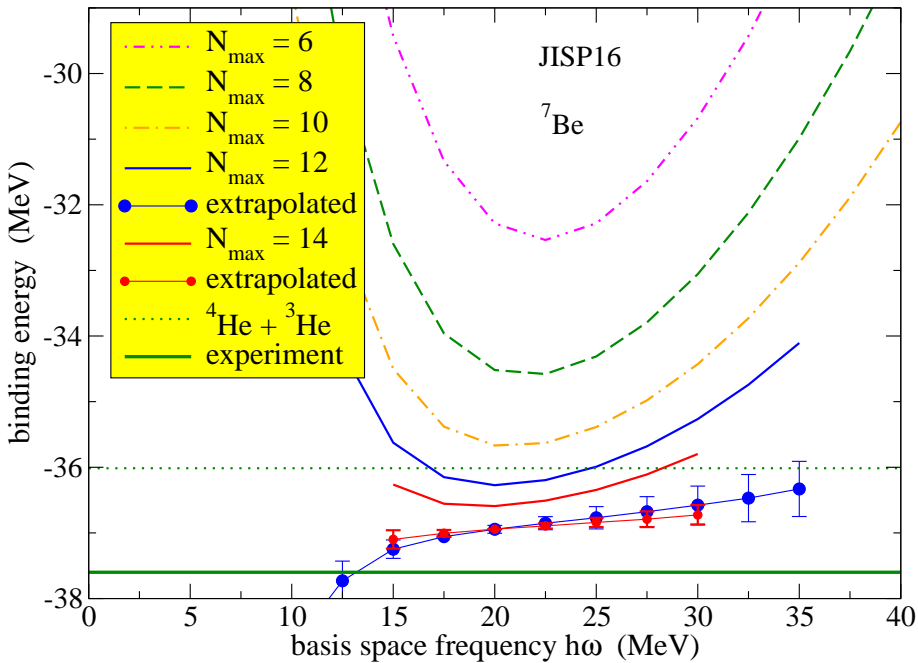
- finite rank separable potential in H.O. representation
- fitted to available NN scattering data
- use unitary transformations to tune off-shell interaction to
 - binding energy of ^3He
 - low-lying spectrum of ^6Li (JISP6, precursor to JISP16)
 - binding energy of ^{16}O
- good fit to a range of light nuclear properties
- very soft potential compared to other NN potentials
- nonlocal potential (by construction)
- details available at

<http://nuclear.physics.iastate.edu/>

Ground state energy Be-isotopes with JISP16

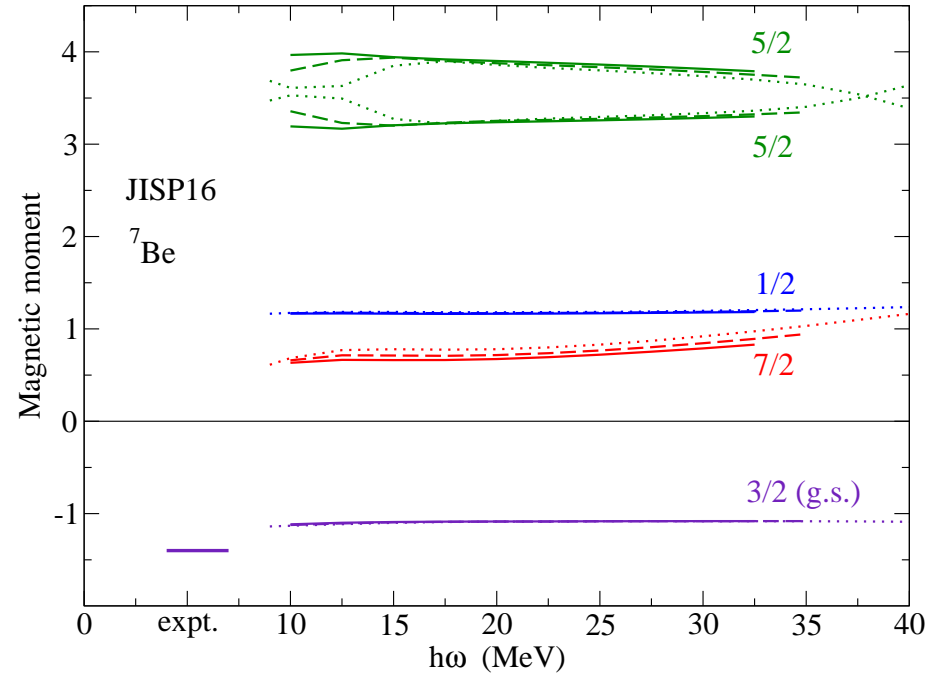
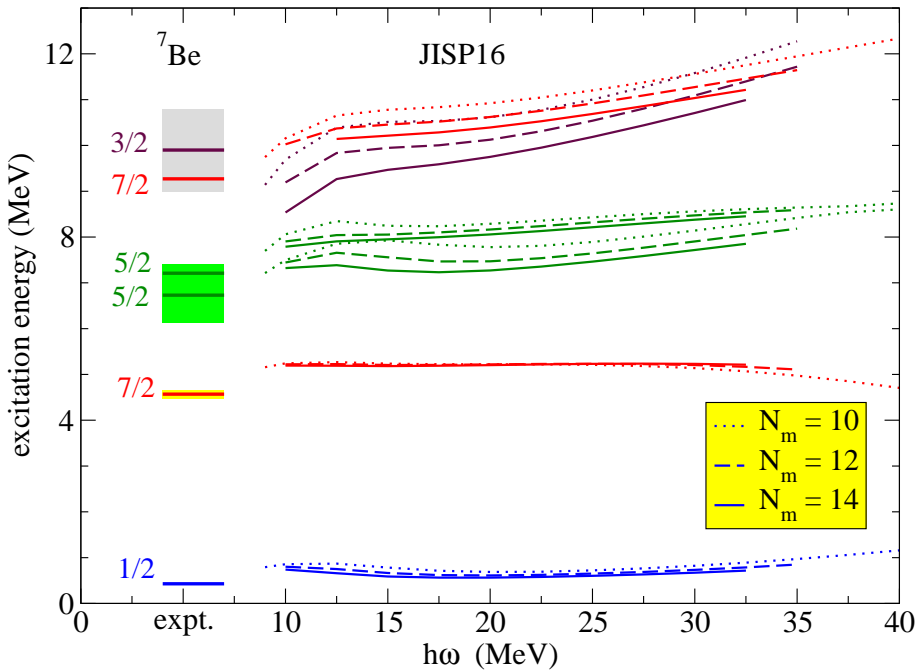


7Be – Ground state properties



- Binding energy converges monotonically, with optimal H.O. frequency around $\hbar\omega = 20$ MeV to 25 MeV
- Ground state about 0.7 MeV underbound with JISP16
- Proton point radius does not converge monotonically

7Be – Excited states



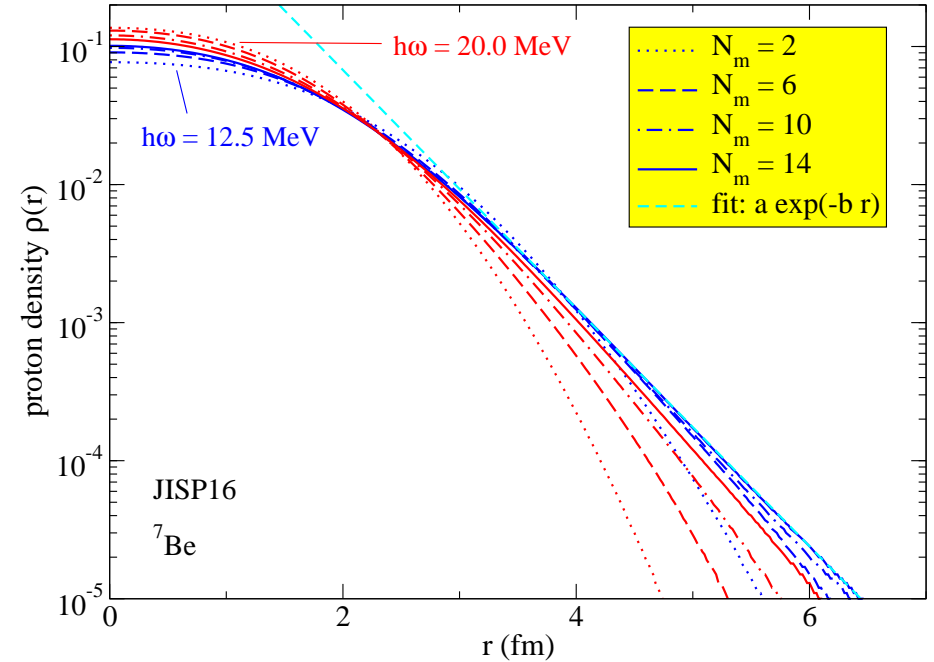
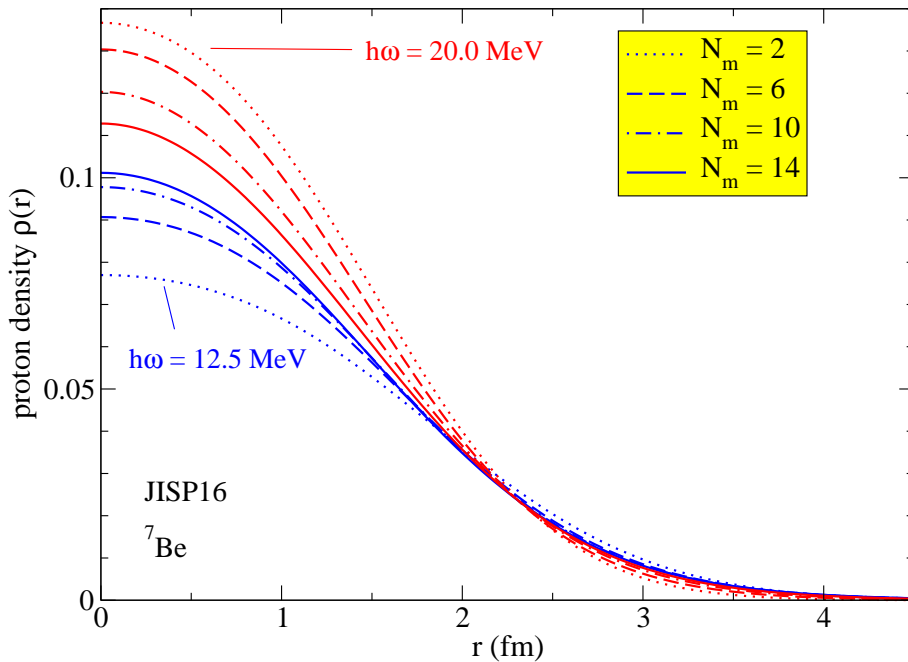
- Excitation energy of narrow states
 - converge rapidly
 - agree with experiments
- Broad resonances depend $\hbar\omega$

- Magnetic moments well converged
 - 2-body currents needed for agreement with data (meson-exchange currents)

*7*Be – Proton density

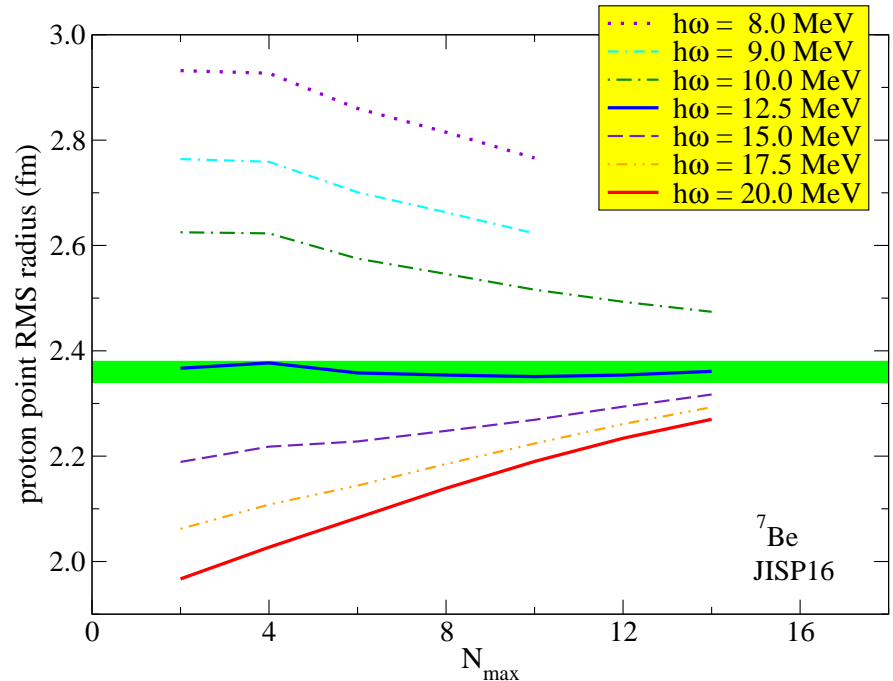
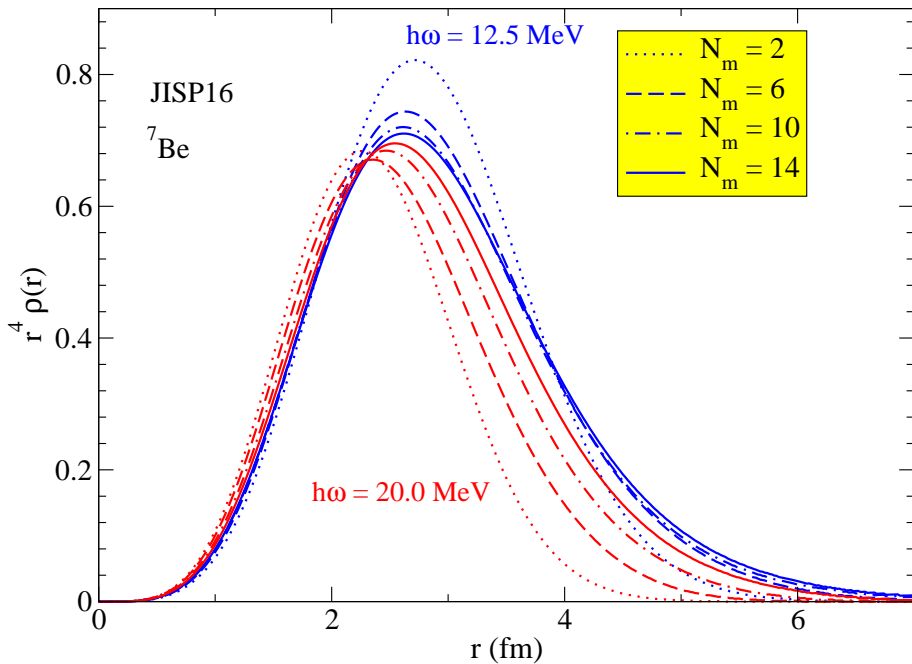
- Intrinsic density – center-of-mass motion taken out

w. Cockrell, PhD student ISU



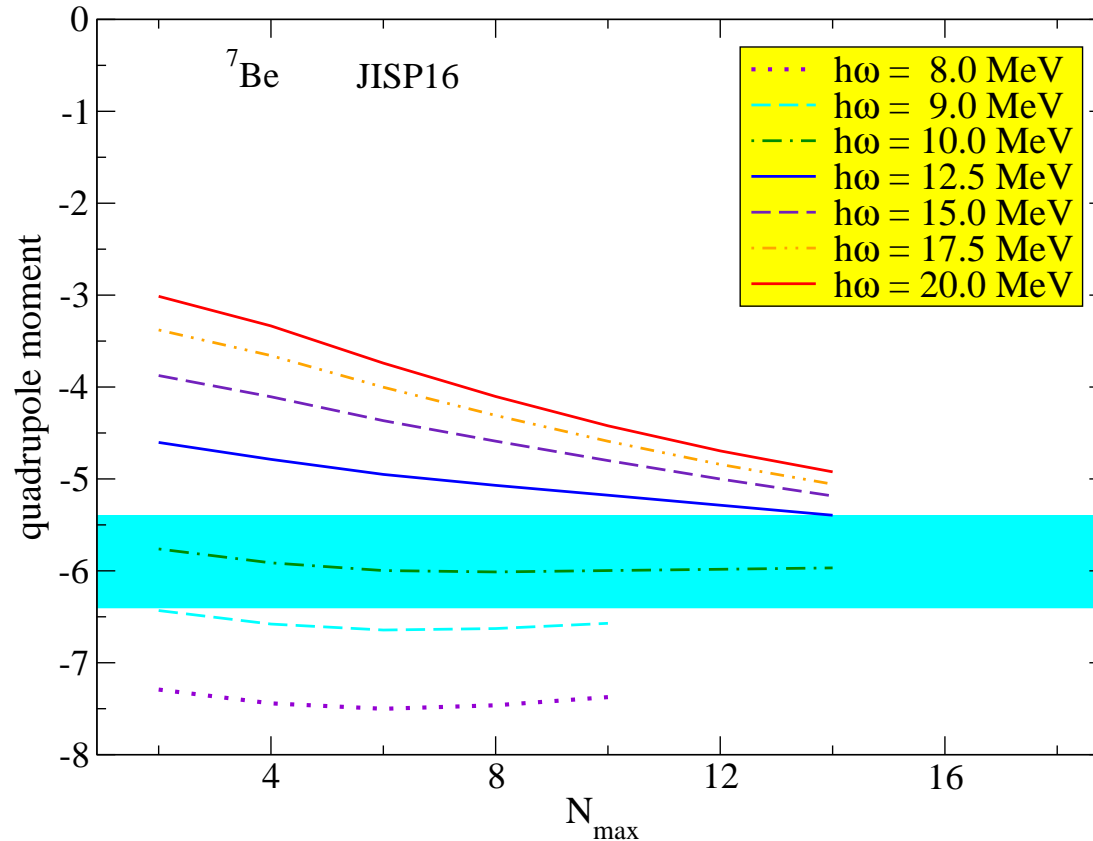
- Slow build up of asymptotic tail of wavefunction
- Proton density appears to converge more rapidly at $\hbar\omega = 12.5$ MeV than at 20 MeV because long-range part of wavefunction is better represented with smaller H.O. parameter

7Be – Proton radius



- Calculation one-body observables $\langle i | \mathcal{O} | j \rangle \sim \int \mathcal{O}(r) r^2 \rho_{ij}(r) dr$
- RMS radius: $\mathcal{O}(r) = r^2$
- Slow convergence of RMS radius due to slow build up of asymptotic tail
- Ground state RMS radius in agreement with data

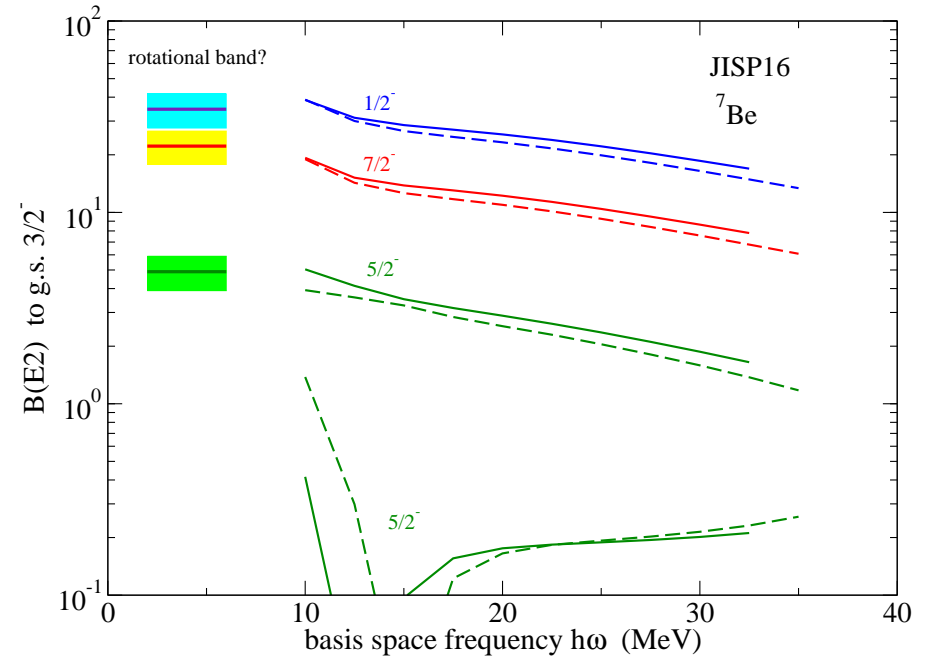
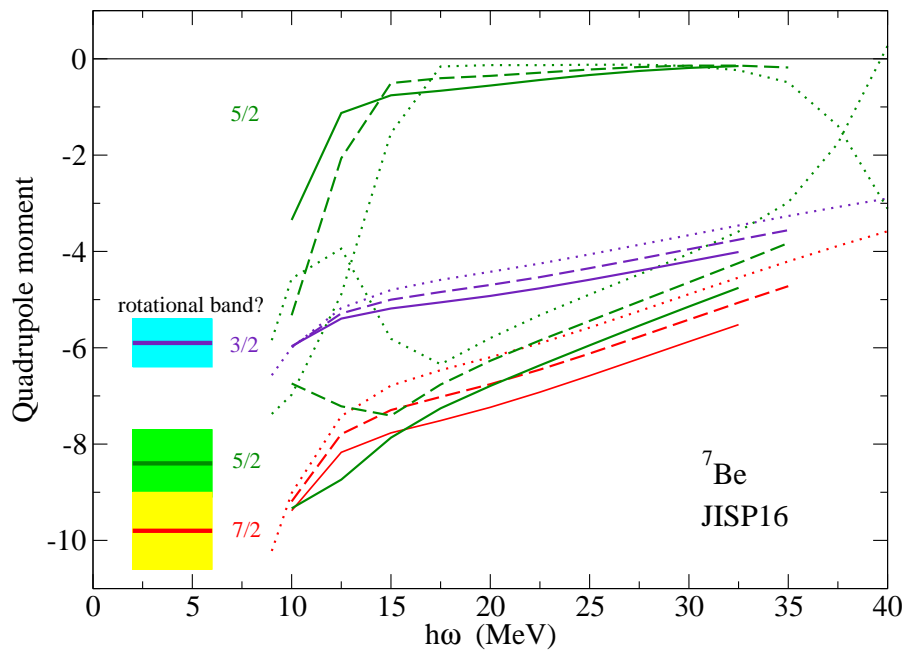
*7*Be – Quadrupole moment



- Ground state quadrupole moment in agreement with data
- Optimal basis space around $\hbar\omega = 10$ MeV to 12 MeV
- Similar slow convergence for E2 transitions

7Be – Rotational band

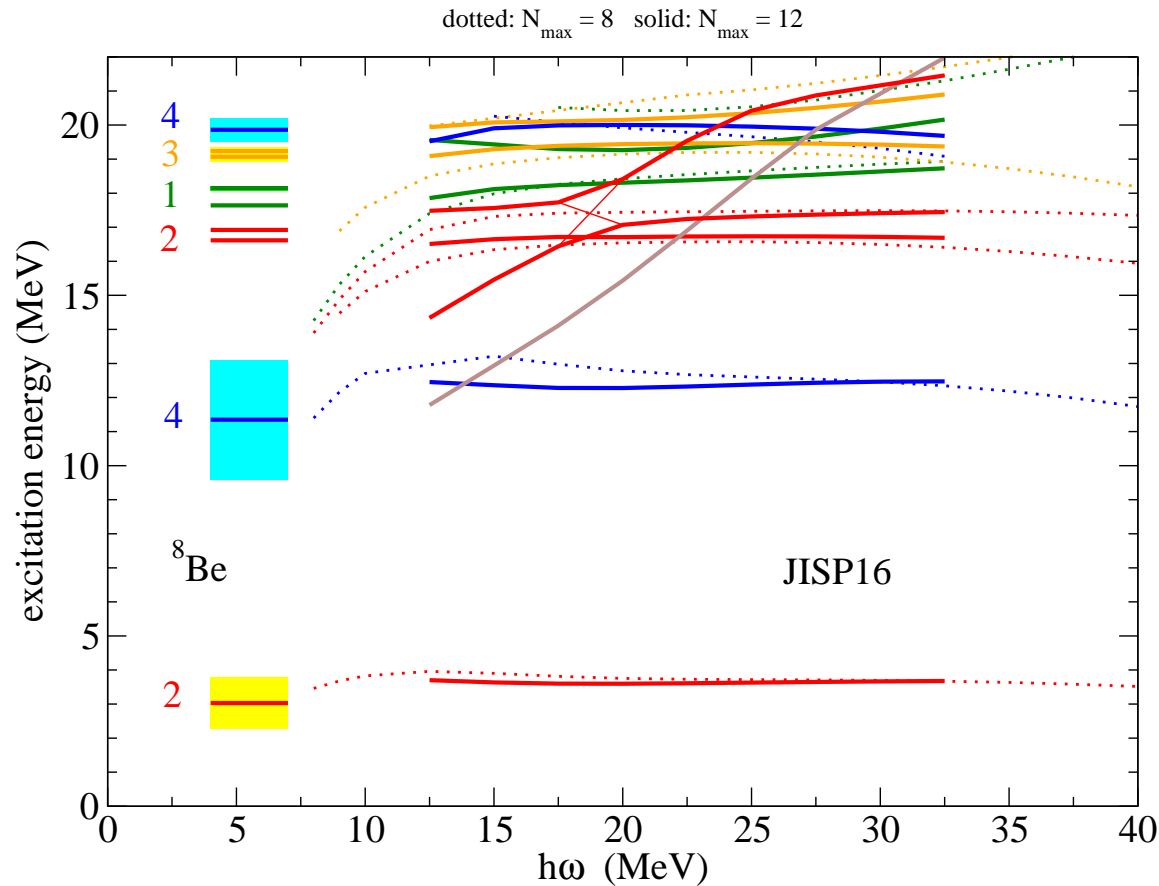
E2 observables suggest rotational structure for $\frac{3}{2}$, $\frac{1}{2}$, $\frac{7}{2}$, $\frac{5}{2}$ states



$$Q(J) = \frac{\frac{3}{4} - J(J+1)}{(J+1)(2J+3)} Q_0^{\frac{1}{2}}$$

$$B(E2; i \rightarrow f) = \frac{5}{16\pi} \left(Q_0^{\frac{1}{2}} \right)^2 \left(J_i, \frac{1}{2}; 2, 0 \left| J_f, \frac{1}{2} \right. \right)^2$$

8Be – Spectrum positive parity

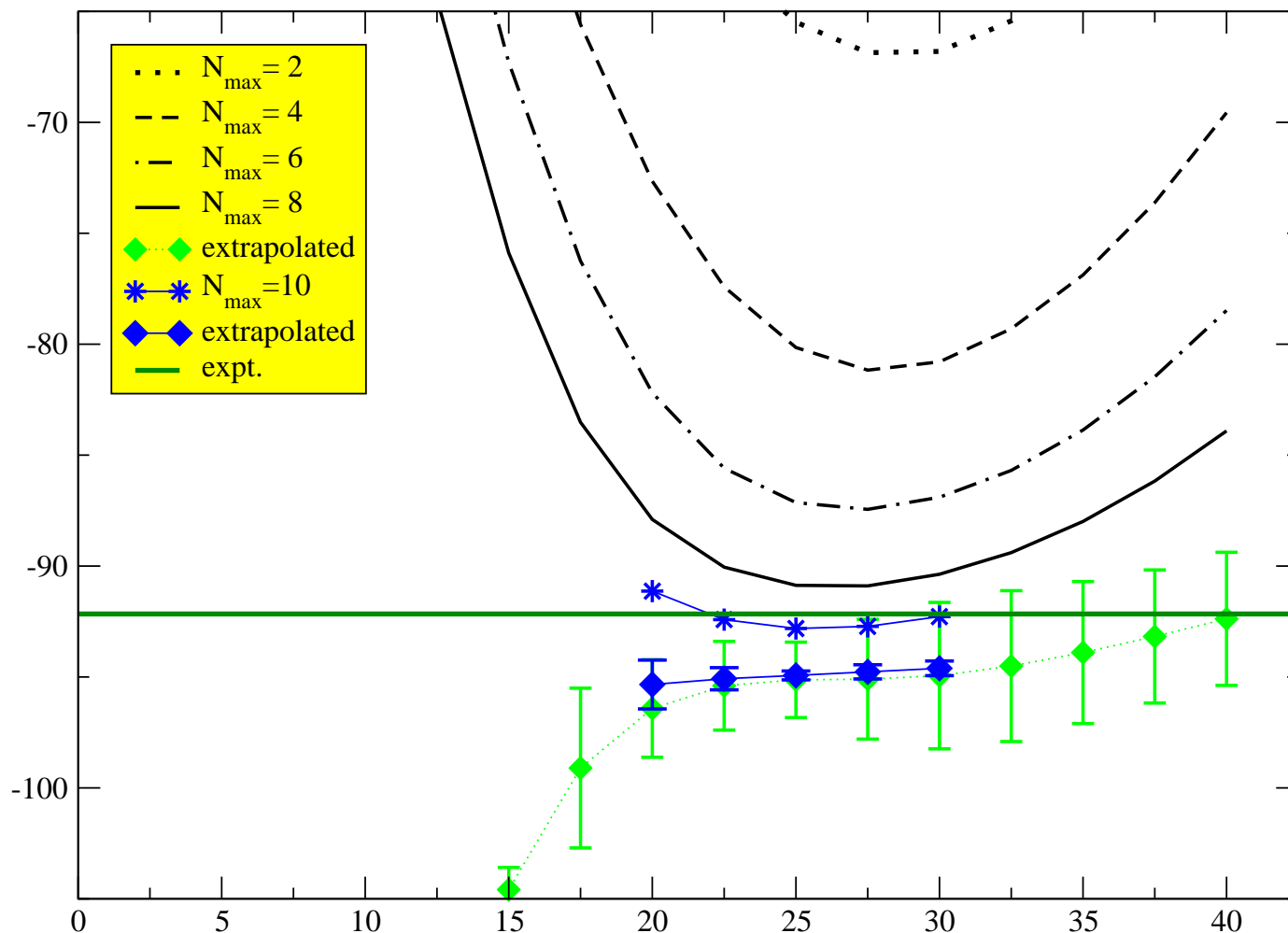


- Pairs of isospin 0 and 1 states with $J = 2, 1,$ and 3
- Evidence of continuum states ($J = 0$ and 2) at $N_{\max} = 12$
- Rotational band

| | expt. | calc | rotor |
|-----------|-------|------|-------|
| E_4/E_2 | 3.75 | 3.40 | 3.33 |

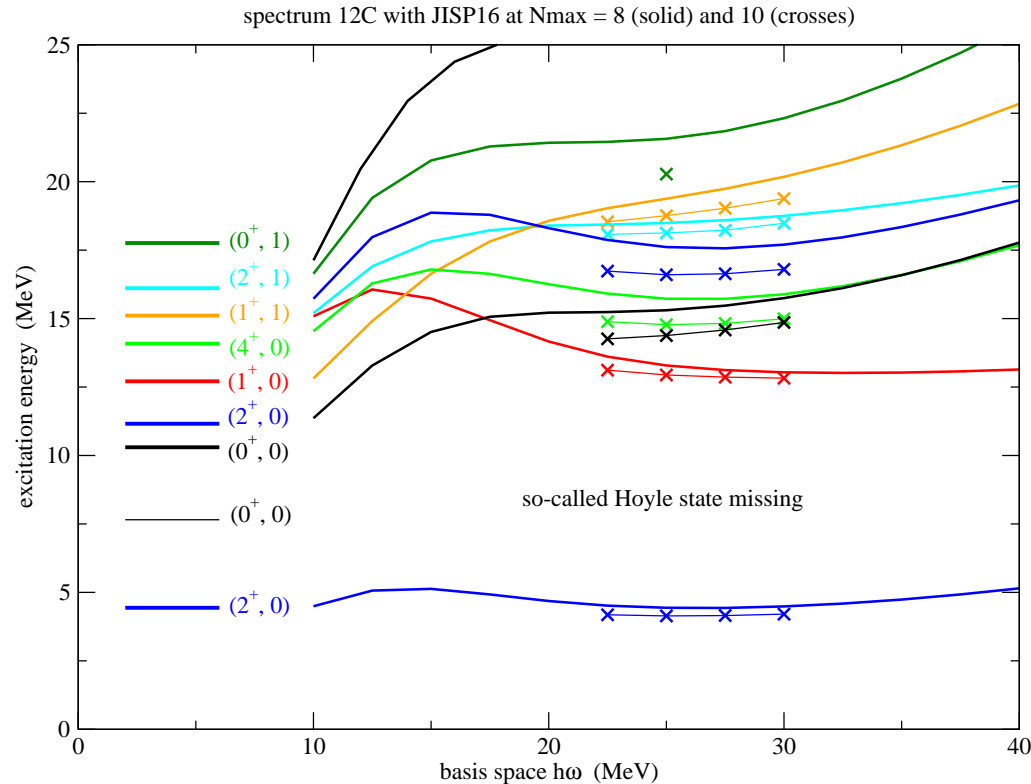
- Ground state above 2α threshold: radius not converged
- Quadrupole moments 2^+ and 4^+ not converged, nor $B(E2)$'s, but in qualitative agreement with rotational structure

Results with JISP16 for ^{12}C



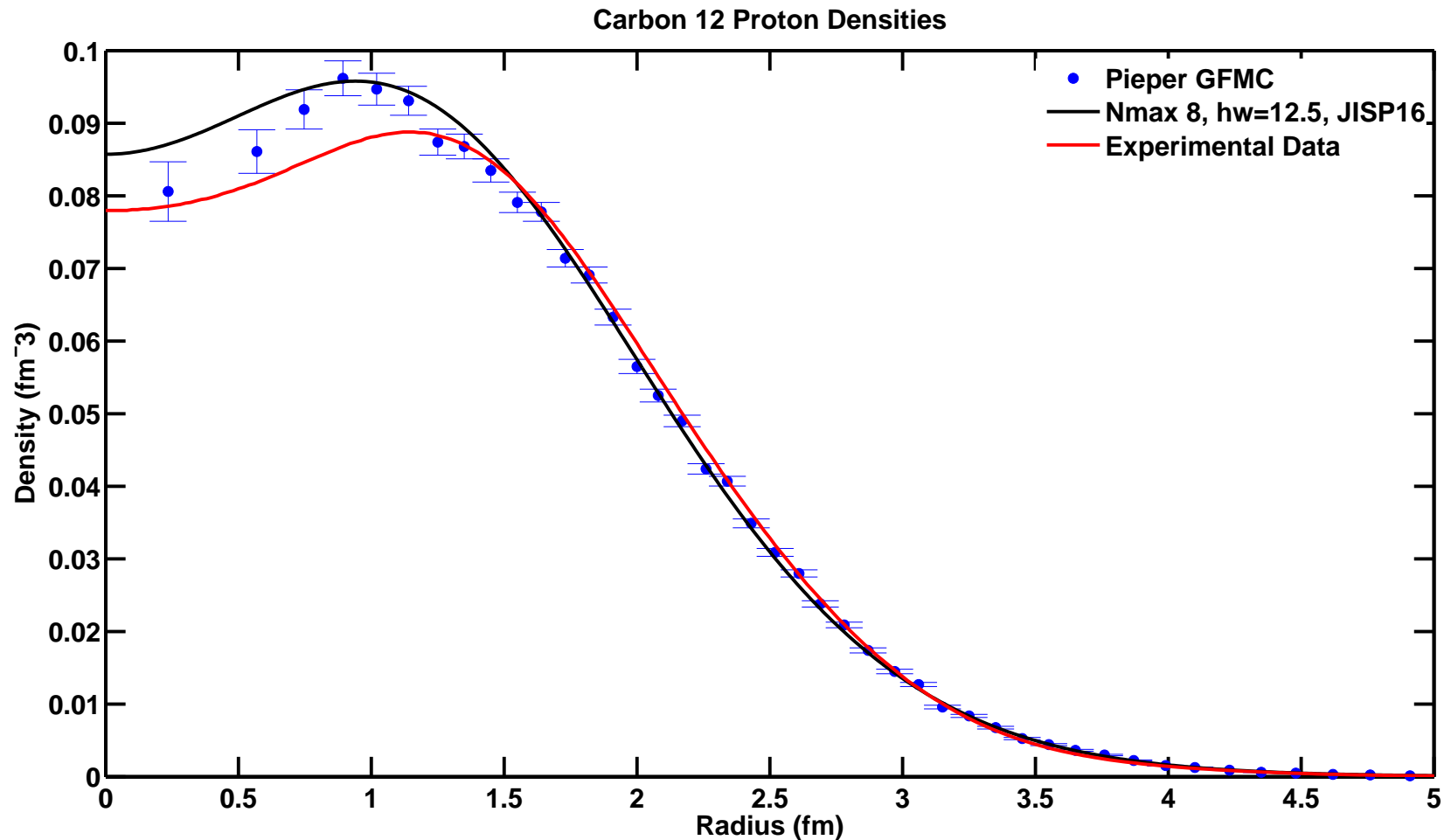
- Calculations for $N_{\max} = 10$ underway (D = 8 billion) using 100,000 cores on JaguarPF (ORNL) under INCITE award

Spectrum of ^{12}C with JISP16 – work in progress



- Pos. parity states in agreement with data, except for Hoyle state
- Electromagnetic transitions in progress
 - rotational 2^+ and 4^+ states, significantly enhanced $B(E2)$
 - optimal basis $\hbar\omega$ for Q and $B(E2)$ around $\hbar\omega = 12.5$ MeV
- Neutrino and pion scattering calculations in progress

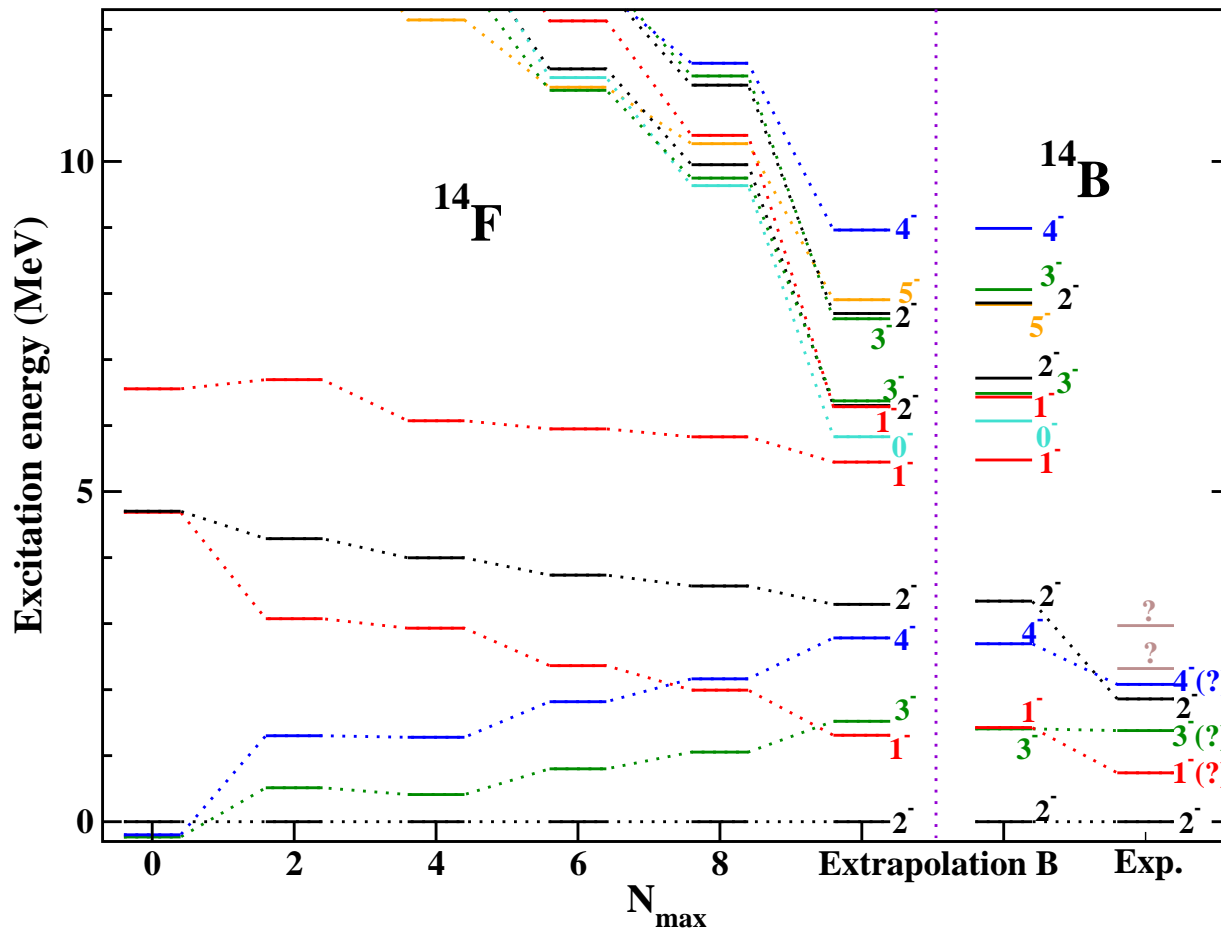
Density of ^{12}C with JISP16



- GFMC: AV18 + IL7, on BlueGene/P using 131,072 cores (INCITE)
“More scalability, Less pain”, Lusk, Pieper, and Butler, SciDAC review 17, 30 (2010)
- JISP16 density at $N_{\max} = 8$, $\hbar\omega = 12.5$ MeV

Scientific Discovery – unstable nucleus ^{14}F

Maris, Shirokov, Vary, arXiv:0911.2281 [nucl-th], Phys. Rev. C81, 021301(R) (2010)



dimension $2 \cdot 10^9$

nonzero m.e. $2 \cdot 10^{12}$

runtime 2 to 3 hours on
7,626 quad-core nodes

on Jaguar (XT4)

(INCITE 2009)

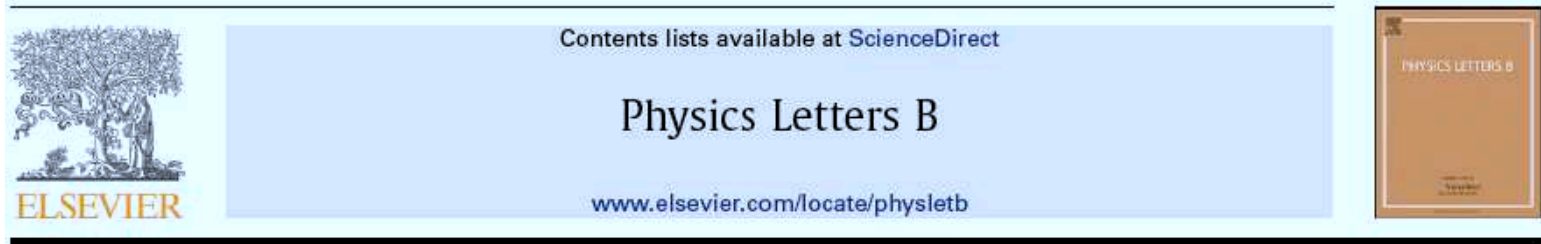
- Predicted ground state energy: 72 ± 4 MeV (unstable)
- Mirror nucleus ^{14}B : 86 ± 4 MeV agrees with experiment 85.423 MeV

Predictions for ^{14}F confirmed by experiments at Texas A&M

Theory published PRC: Feb. 4, 2010

Physics Letters B 692 (2010) 307–311

Experiment published: Aug. 3, 2010



First observation of ^{14}F

V.Z. Goldberg^{a,*}, B.T. Roeder^a, G.V. Rogachev^b, G.G. Chubarian^a, E.D. Johnson^b, C. Fu^c,
A.A. Alharbi^{a,1}, M.L. Avila^b, A. Banu^a, M. McCleskey^a, J.P. Mitchell^b, E. Simmons^a,
G. Tabacaru^a, L. Trache^a, R.E. Tribble^a

^a Cyclotron Institute, Texas A&M University, College Station, TX 77843-3366, USA
^b Department of Physics, Florida State University, Tallahassee, FL 32306-4350, USA
^c Indiana University, Bloomington, IN 47408, USA

NCFC predictions (JISP16) in close agreement with experiment

TAMU Cyclotron Institute

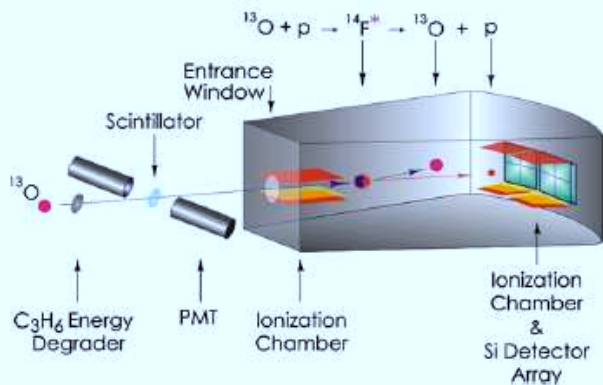


Fig. 1. (Color online.) The setup for the ^{14}F experiment. The “gray box” is the scattering chamber. See explanation in the text.

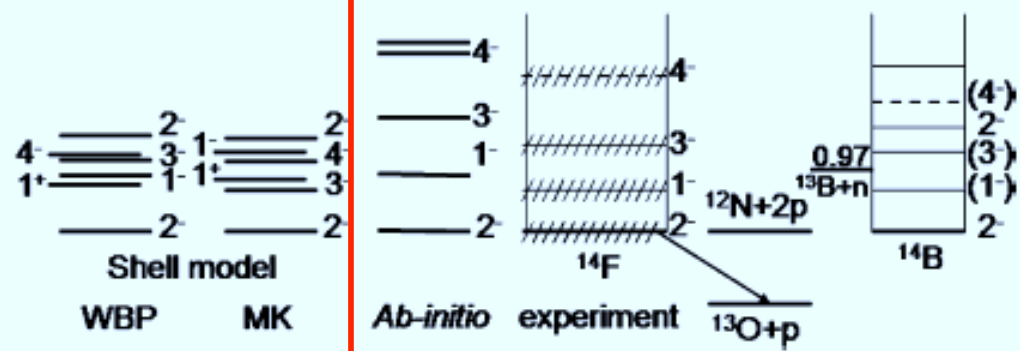
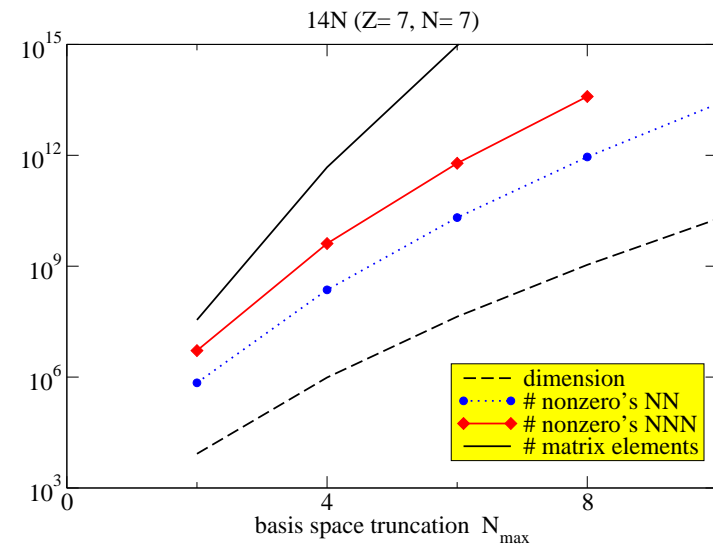
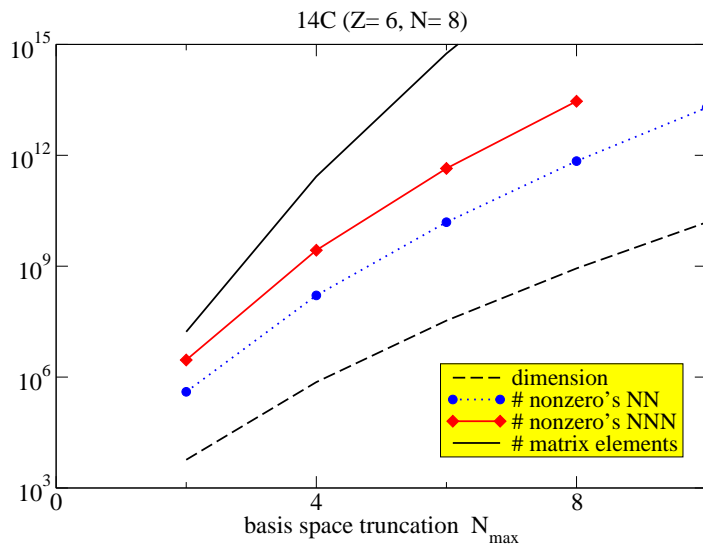


Fig. 6. ^{14}F level scheme from this work compared with shell-model calculations, *ab-initio* calculations [3] and the ^{14}B level scheme [16]. The shell model calculations were performed with the WBP [21] and MK [22] residual interactions using the code COSMO [23].

Petascale Early Science – Ab initio structure of Carbon-14



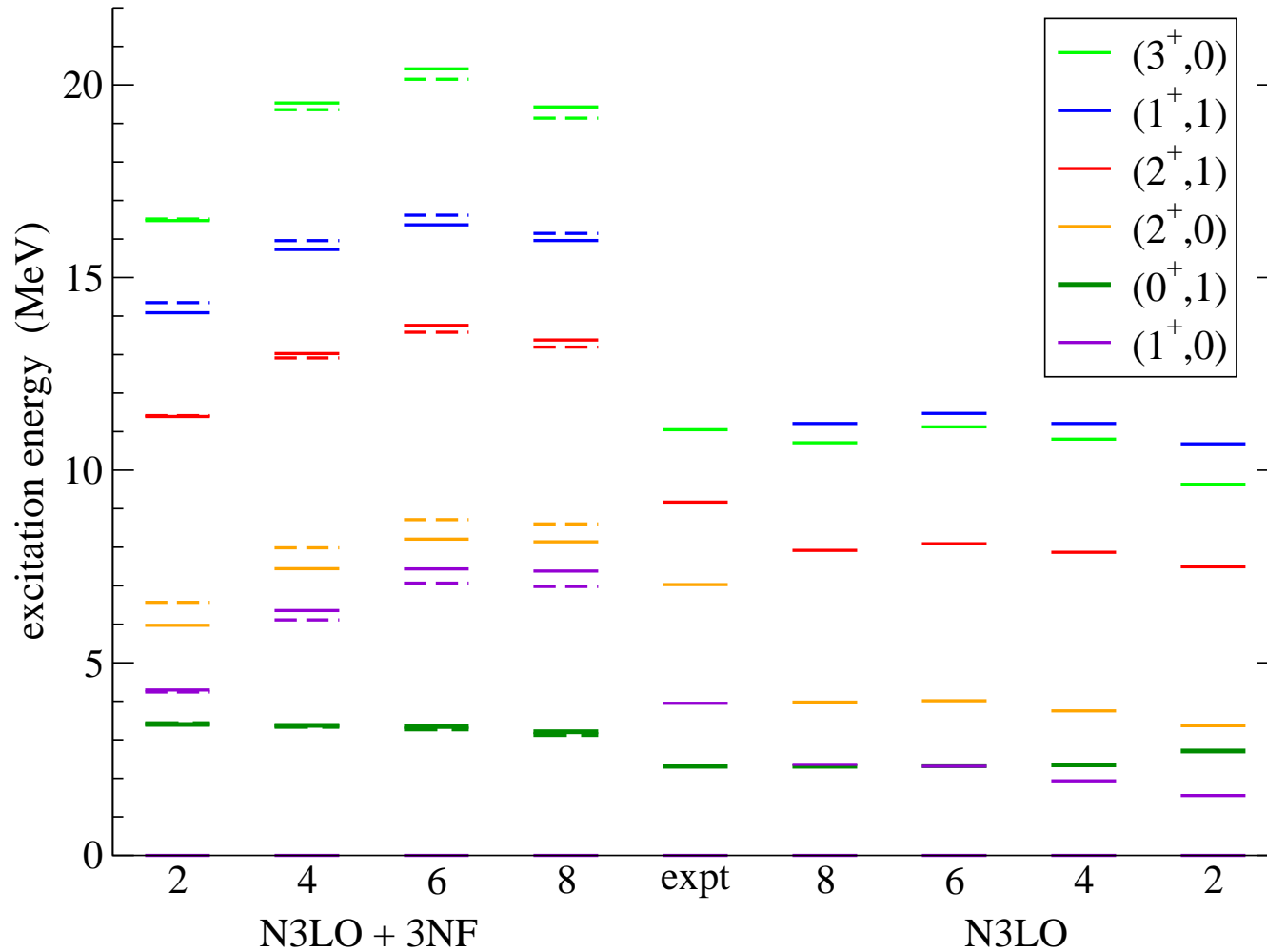
- Chiral effective 2-body plus 3-body interactions at $N_{\max} = 8$
- Basis space dimension 1.1 billion
- Number of nonzero m.e. 39 trillion
- Memory to store matrix (CRF) 320 TB
- Total memory on JaguarPF 300 TB



ran on JaguarPF (XT5) using up to 36k 8GB processors (216k cores)
after additional code-development for partial “reconstruct-on-the-fly”

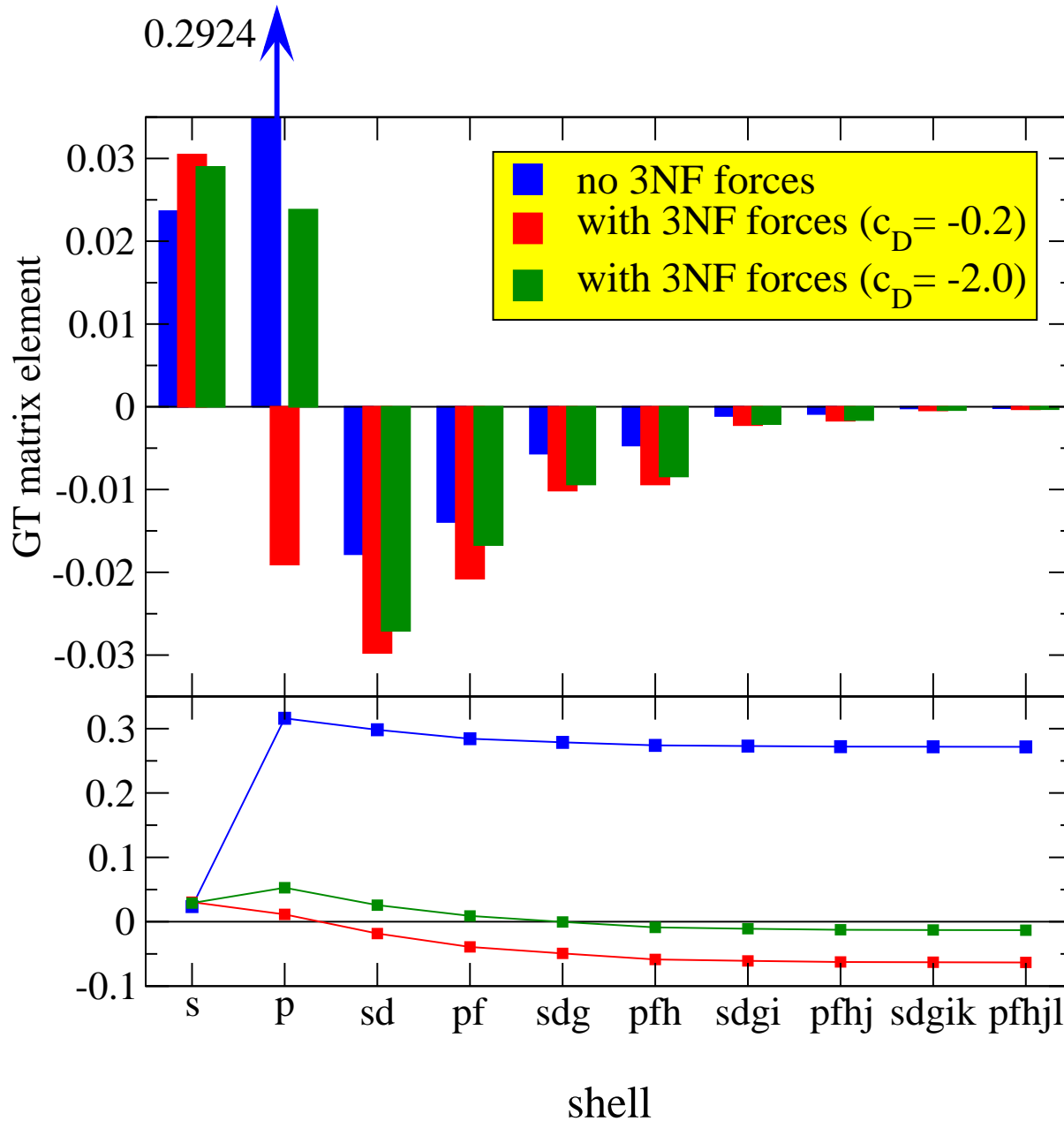
Ab initio structure of Carbon-14 and Nitrogen-14

Maris, Vary, Navratil, Ormand, Nam, Dean, arXiv:1101.5124 [nucl-th]



chiral 2-body plus 3-body forces (left) and 2-body forces only (right)

Origin of the anomalously long life-time of ^{14}C



- near-complete cancellations between dominant contributions within p -shell
- very sensitive to details

Maris, Vary, Navratil,
Ormand, Nam, Dean,
arXiv:1101.5124 [nucl-th]

Neutrons in a trap: Why

- Validate ab-initio DFT approaches against microscopic ab-initio calculations
 - compare Density Matrix Expansion and ab-initio NCFC calculations
 - using the **same** interaction
 - calculating the **same** observables for the **same** systems
- Construct Universal Nuclear Energy Density Functional consistent with ab-initio calculations
- Theoretical 'laboratory' to explore
 - properties of different nuclear interactions
 - effect of density and gradient on nuclear properties for different interactions
- Model for neutron-rich systems in particular those with closed shell protons (Oxygen, Calcium)

Essential in order to make meaningful comparison with other methods:
quantify dependence on basis space truncation parameters

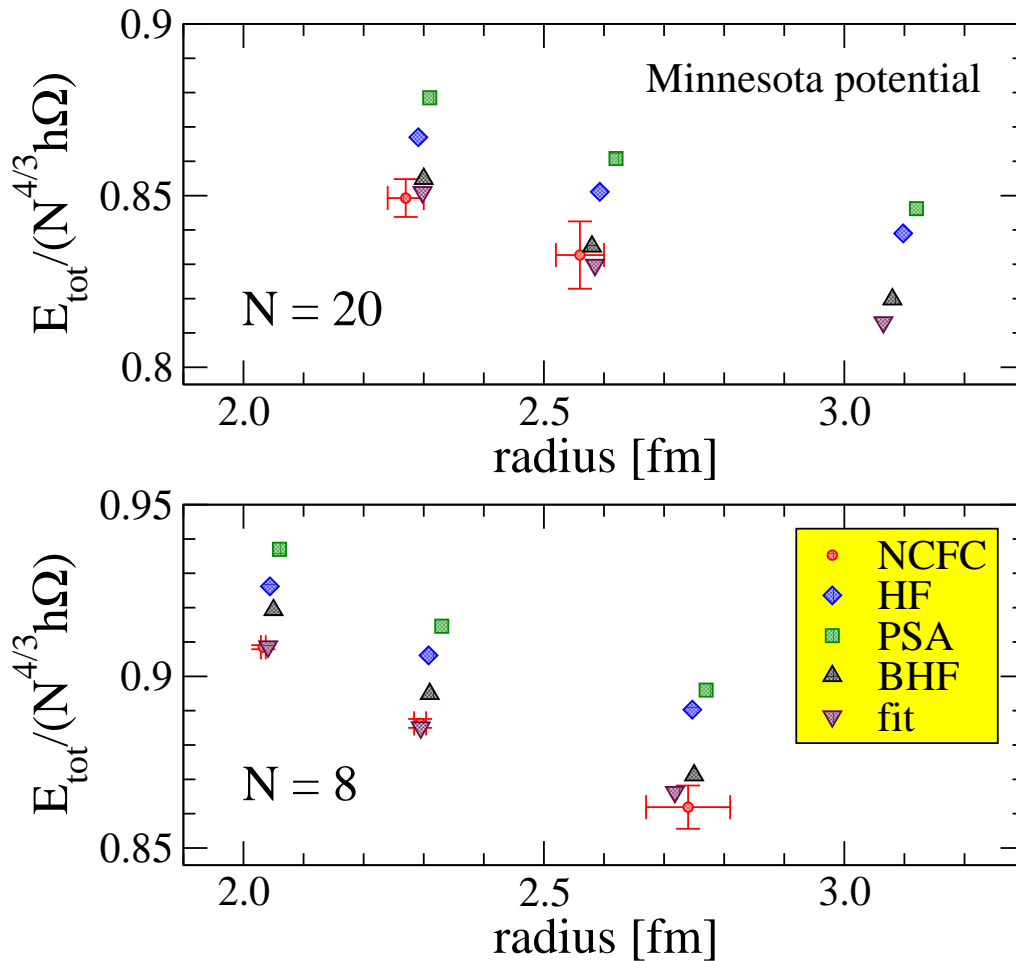
Validating *ab-initio* DME/DFT calculations

Bogner, Furnstahl, Kortelainen, Maris, Stoistov, Vary, in preparation

- Simple model for interaction
 - Minnesota potential
- Ab-initio NCFC calculations for neutrons in H.O. potential
 - including numerical error estimates on all 'observables'
- DFT using same NN interaction as NCFC
 - Hartree–Fock
 - Density Matrix Expansion, Hartree–Fock
 - Density Matrix Expansion, Brueckner–Hartree–Fock
- DFT fit to NCFC results
- Comparison for 8, 14, and 20 neutrons
 - total and internal energy per neutron
 - rms radius
 - form factor $F(q)$

Minnesota potential – Total energy vs. radius

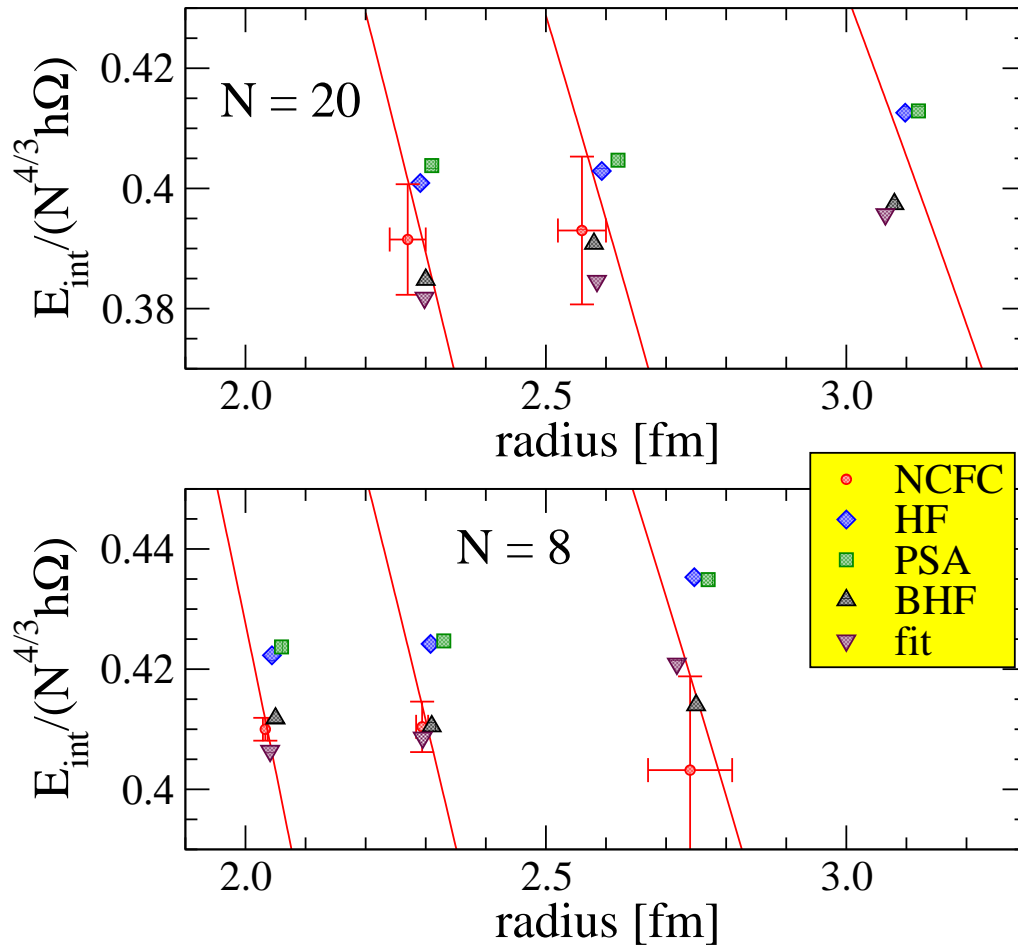
Bogner, Kortelainen, Furnstahl, Stoistov, Vary, PM, work in progress



- Neither HF nor DME/PSA HF in agreement with NCFC
- DME BHF close to NCFC results often within error estimates
- Fit with volume term and surface term can reproduce NCFC data

Internal energy vs. radius

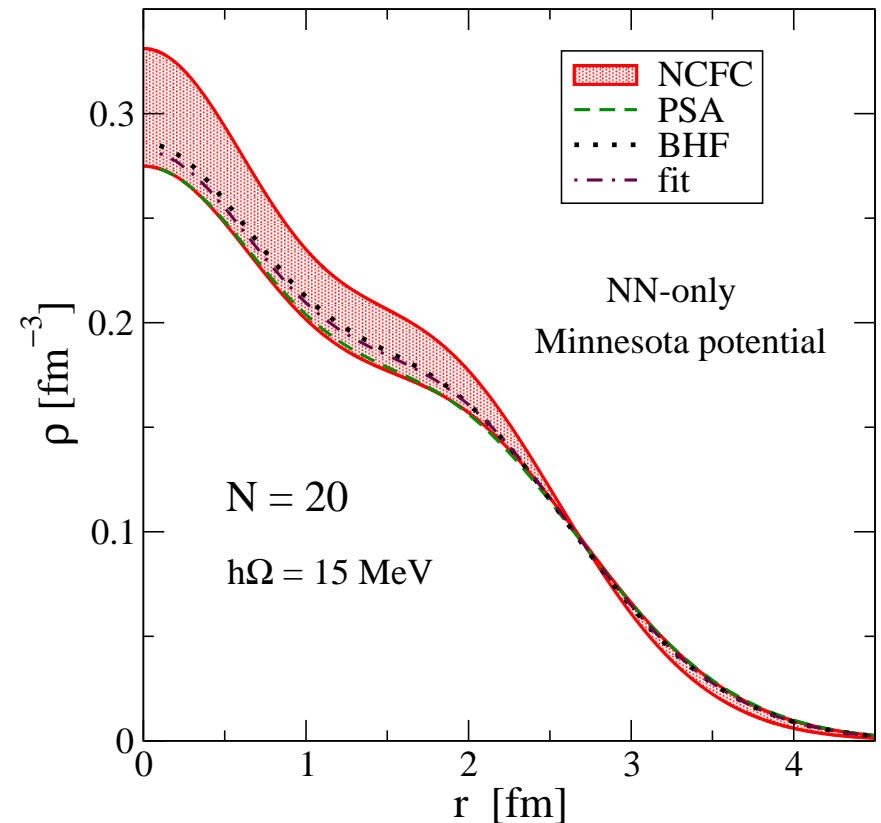
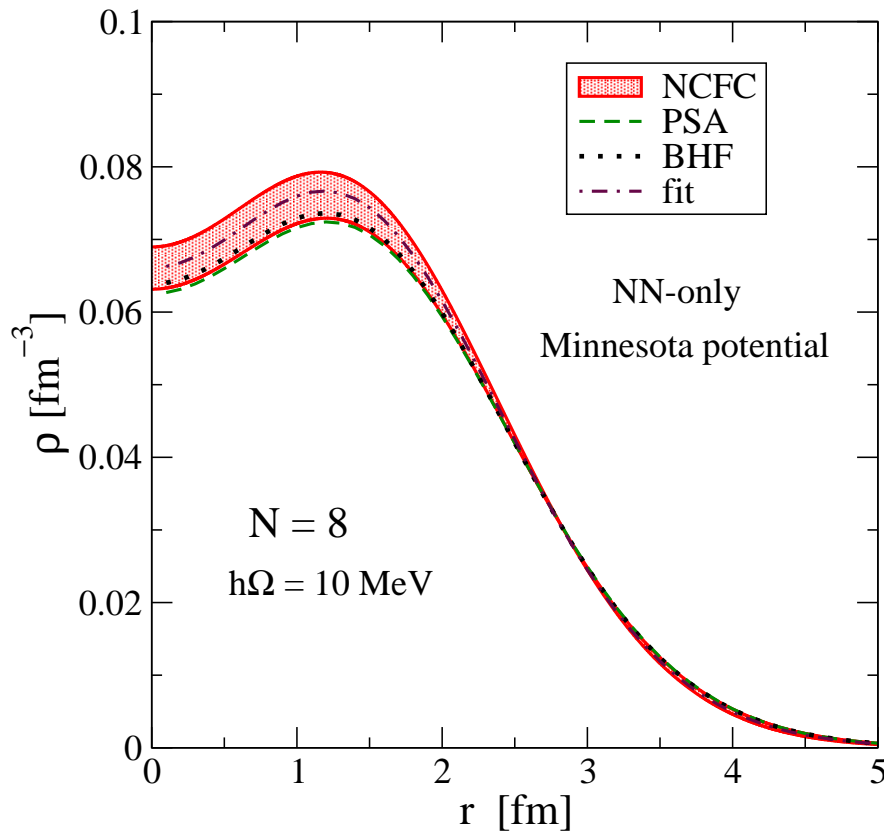
Variational upper bound on combination $E_{\text{int}} + \frac{1}{2} N m \Omega^2 r^2$



- Neither HF nor DME/PSA HF within variational bound
- DME BHF close to NCFC results often within error estimates and within bounds
- Fit with volume term and surface term can reproduce NCFC data

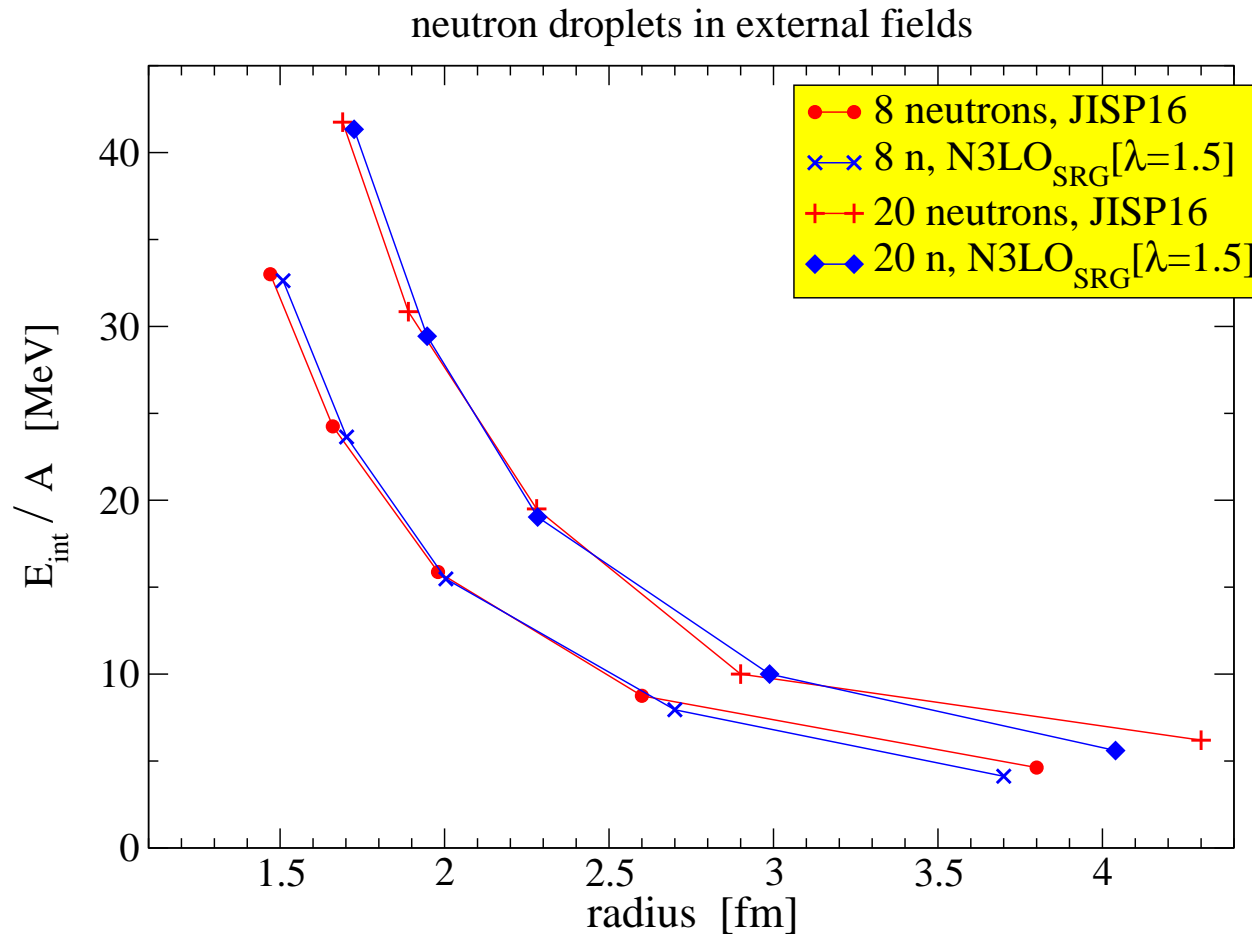
Minnesota potential – density

Bogner, Kortelainen, Furnstahl, Stoistov, Vary, PM, work in progress



- Agreement between DME/DFT calculations and NCFC
- Density profile dominated by H.O. external field modified by NN interaction

E_{int} vs. radius – more realistic potentials



Results virtually identical for N3LO($\lambda = 1.5$) and JISP16 despite different results for nuclei (e.g. 186 vs. 144 MeV for ^{16}O)

presented at JUSTIPEN–EFES–Hokudai–UNEDF workshop, 2008, Hokkaido, Japan

Conclusions

- MFDn: Scalable and load-balanced CI code for nuclear structure
 - new version under development, has run on 200k+ cores on Jaguar (ORNL) enabling largest model-space calculations
- Significant benefits from collaboration between nuclear physicists, applied mathematicians, and computer scientists
- JISP16, nonlocal phenomenological 2-body interaction
 - good description of light nuclei (more than just energies!)
 - rapid convergence
 - prediction of new isotope, ^{14}F
- Understanding of the anomalously large lifetime of ^{14}C
- Validation of DFT/DME calculations (in progress)
- Main challenge: construction and diagonalization of extremely large ($D > 1$ billion) sparse matrices
- Future developments: Taming the scale explosion