Large cluster dynamical mean field calculations and the momentum-selective Mott transition

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A.J. Millis

A. Georges, M. Ferrero, N. Lin, O. Parcollet, P. Werner S. Fuchs, P. Staar, P. Nukala, M. Summers, T. Pruschke, T. Schulthess, T. Maier L. Pollet, E. Kozik, E. Burovski, M. Troyer

<u>Phys. Rev. B 80, 045120 (2009)</u>	<u>Phys. Rev. B 82, 045104 (2010)</u>	Phys. Rev. Lett. 106, 030401 (2011)
Phys. Rev. B 80, 245102 (2009)	<u>Phys. Rev. B 82, 155101 (2010)</u>	Phys. Rev. B 83, 075122 (2011)

Overview

- Introduction: the pseudogap in High Tc
- Methods and tools I: Cluster DMFT
- Methods and tools II: Impurity solvers
- Controlling DCA: Benchmarking the 3D Hubbard model
- Results for the 2D Hubbard model: Momentum selectivity, pseudogaps, Optical conductivities, Raman, ...

Experiments: Pseudogap

in high-Tc materials: Electronic spectral function is suppressed along the BZ face, but not along zone diagonal.

Key physics dependence on momentum around Fermi surface, Difference of spectral function around Fermi surface.

Doping dependence of region with quasiparticles







Signatures also in NMR, Tunneling, c-axis conductivities, Raman...

*...of this type...

line). The arrow indicates the value of T_c at 10 Oe.

Experiments: Overdoped Ti22@ Institute of Physics Deutsche Physikalische Gesellschaft

French, Analytis, Carrington, Balicas, Hussey: 11,05595 (2009)



Data analysis: $\rho(T) = A + BT + CT^2$ Angle dependent analysis: $\gamma_{\rm iso} \sim A + CT^2$ $\gamma_{\rm aniso} \sim BT(+CT^2)$

Anisotropic component of scattering rate: maximal near antinodal point, minimal near nodal point.

Momentum space differentiation!



Figure 3. Temperature dependence of the isotropic (top panel) and anisotropic (middle panel) scattering rates determined from the ADMR measurements shown in figure 1. NP15K refers to the sample whose ADMR were measured at a single azimuthal angle [11]. The dashed lines in the top and middle panels are fits to $A + CT^2$ and $A + BT + CT^2$, respectively. The insets in each panel depict the Fermi surface (as red solid lines) and the corresponding scattering rates (as black dashed lines. Bottom panel: components of $\gamma_{aniso}(T)$ (black long-dashed lines and green short-dashed lines) and $\gamma_{iso}(T)$ (orange dots) for Tl15sK.

Questions to theory

'Explain' momentum space differentiation

'Explain' pseudogap

'Explain' experimental probes: ARPES, ADMR, optical conductivities, Raman, NMR,...

.....we will try to give an answer in this talk......

Theory

$$H = -\sum_{\langle ij\rangle,\sigma} t_{ij} (c_{i\sigma}^{\dagger} c_{j\sigma} + c_{j\sigma}^{\dagger} c_{i\sigma}) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}.$$

Hubbard model, with t'-anisotropy, treated in a cluster DMFT approximation.

No long range order (AFM, stripes, ...), no multi-orbital physics

Open theoretical question (addressed in this talk): how much of the physics already contained in this model?

Simulations of phase diagrams

Large enough systems to show which features are **robust**

Variation of cluster size, cluster geometry, etc to control approximation

Computation of experimental probes

Cluster DMFT



Example: Tiling of the BZ:

'Machinery' for obtaining approximated self energy: Cluster scheme. We use the DCA: ϕ constant on patches in the BZ

Cluster DMFT is a **controlled approximation**, exact for $N_c \rightarrow \infty$

Restriction to paramagnetic bath (no long-ranged AFM here)

$$\epsilon_p = -2t(\cos(p_x) + \cos(p_y)) - 4t'\cos(p_x)\cos(p_y)$$

DMFT: Metzner, Vollhardt, Phys. Rev. Lett. 62, 324 (1989), Georges, Kotliar, Phys. Rev. B 45, 6479 (1992), Jarrell, Phys. Rev. Lett. 69, 168 (1992), Georges et al., Rev. Mod. Phys. 68, 13 (1996),

DCA: Hettler et al., Phys. Rev. B 58, R 7475 (1998), Lichtenstein, Katsnelson, Phys. Rev. B 62, R9283 (2000) CDMFT: Kotliar et al., Phys. Rev. Lett. 87, 186401 (2001) T. Maier, et al., Rev. Mod. Phys. 77, 1027 (2005).

Cluster DMFT – impurity solvers

$$\Sigma(k,\omega) = \sum_{n} \Sigma_{n}(\omega)\phi_{n}(k) \approx \sum_{n}^{N_{c}} \Sigma_{n}(\omega)\phi_{n}(k)$$

Algorithm that produces $\sum_{n} (\omega)$: Mapping onto a quantum impurity problem & self-consistent hybridization with a "bath".

$$H_{\text{loc}} = \sum_{i} \epsilon_{i} (n_{i\uparrow} + n_{i\downarrow}) + U n_{i\uparrow} n_{i\downarrow}$$
$$H_{\text{QI}} = H_{\text{loc}} + H_{\text{hyb}} + H_{\text{bath}}$$
$$H_{\text{bath}} = \sum_{k\alpha} \epsilon_{k\alpha} c_{k\alpha}^{\dagger} c_{k\alpha}$$
$$H_{\text{hyb}} = \sum_{k\alpha b} V_{k}^{\alpha b} c_{k\alpha}^{\dagger} d_{b} + H.c.$$

Computationally hard part: obtaining the impurity Green's function / self energy from this Hamiltonian:

- Solve large cluster impurity problems, at and away from half filling, for small and large interactions (density-density), at finite temperature.
- No further approximations ($\Delta \tau$ errors, bath discretization, ...).

Review: E. Gull, A. J. Millis, A. I. Lichtenstein, A. N. Rubtsov, M. Troyer, P. Werner, arXiv:1012.4474 (Rev. Mod. Phys., in press) E. Gull, P. Werner, O. Parcollet, M. Troyer, EPL 82,57003 (2008)

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$$H_{\text{hyb}} = \sum_{k\alpha b} V_{k}^{\alpha b} c_{k\alpha}^{\dagger} d_{b} + H.c.$$

Only Candidates: Continuous-Time quantum Monte Carlo algorithms. We use: Continuous-Time Auxiliary Field (CT-AUX) algorithm.

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Continuous-Time quantum Monte Carlo impurity solvers

Review: E. Gull, A. J. Millis, A. I. Lichtenstein, A. N. Rubtsov, M. Troyer, P. Werner, arXiv:1012.4474 (Rev. Mod. Phys., in press)

Diagrammatic expansion of the partition function of an impurity model in the interaction or the hybridization, sampling of the resulting series stochastically up to infinite order.

$$H_{\rm QI} = H_a + H_b$$

$$Z = \operatorname{Tr} T_{\tau} e^{-\beta H_a} \exp\left[-\int_0^\beta d\tau H_b(\tau)\right] = \sum_k (-1)^k \int_0^\beta d\tau_1 \dots \int_{\tau_{k-1}}^\beta d\tau_k \operatorname{Tr}\left[e^{-\beta H_a} H_b(\tau_k) H_b(\tau_{k-1}) \dots H_b(\tau_1)\right]$$

Hybridization Expansion

 $H_a = H_{\text{loc}};$ $H_b = H_{\text{hyb}} + H_{\text{bath}}$

Exponential scaling in size of local Hilbert space

P. Werner, A. Comanac, L. de Medici, M. Troyer, and A. J. Millis, Phys. Rev. Lett. 97, 076405 (2006) Continuous-Time Auxiliary Field $H_a = H_{bath} + H_{hyb} + H_{loc}^0;$ $H_b = H_{loc}^I$

Efficiency dependent on type of interaction in $\ H^{I}_{\rm loc}$

E. Gull, P. Werner, O. Parcollet, M. Troyer, EPL 82,57003 (2008)

Continuous-Time Auxiliary Field impurity solver

Auxiliary field decoupling of interaction term s=±1

$$1 - \frac{\beta U}{K} \left(n_{i\uparrow} n_{i\downarrow} - \frac{n_{i\uparrow} + n_{i\downarrow}}{2} \right) = \frac{1}{2} \sum_{s=\pm 1} \exp\left(\gamma s (n_{i\uparrow} - n_{i\downarrow})\right),$$
$$\cosh(\gamma) = 1 + \frac{U\beta}{2K}.$$

$$s =$$

$$Z = \sum_{k=0}^{\infty} \sum_{s_1, \dots s_k = \pm 1} \int_0^\beta d\tau_1 \dots \int_{\tau_{k-1}}^\beta d\tau_k \left(\frac{K}{2\beta}\right)^k Z_k(\{s_k, \tau_k\}), \qquad \text{Partition function expansion}$$
$$Z_k(\{s_i, \tau_i\}) \equiv \operatorname{Tr} \prod_{i=k}^1 \exp(-\Delta \tau_i H_0) \exp(s_i \gamma (n_{\uparrow} - n_{\downarrow})). \qquad \begin{array}{l} \text{Compute trace of product of} \\ \text{exponentials of one-body operators as} \\ \text{determinant of matrix.} \end{array}$$

Stochastic sampling of diagrams of the partition function:

Continuous-Time Auxiliary Field impurity solver

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Continuous-Time Auxiliary Field impurity solver

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's as determinant of matrix.

Stochastic sampling of diagrams of the partition function:



Continuous-Time Auxiliary Field impurity solver

Auxiliary field decoupling of interaction term s=±1

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Stochastic sampling of diagrams of the partition function:

Continuous-Time Auxiliary Field impurity solver

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Stochastic sampling of diagrams of the partition function:









E. Gull, P. Werner, O. Parcollet, M. Troyer, EPL 82,57003 (2008) Continuous-Time Auxiliary Field impurity solver



Expansion order

Average expansion order as a function of interaction

Average expansion order as a function of inverse temperature



E. Gull, P. Staar, S. Fuchs, P. Nukala, M. Summers, T. Pruschke, T.C. Schulthess, T. Maier, Phys. Rev. B 83, 075122 (2011)

Sub-Matrix updates

Standard updates in auxiliary field impurity solvers: rank one operations (ger), $O(N^2)$ operations for $O(N^2)$ data: dominated by data access.





Sub-Matrix updates: based on matrix (gemm) operations: $O(N^3)$ operations on $O(N^2)$ data: runs at speed of (fast) CPU/Cache.



Linear algebra reformulated, overhead grows with size of Γ but operations 10x faster

E. Gull, P. Staar, S. Fuchs, P. Nukala, M. Summers, T. Pruschke, T.C. Schulthess, T. Maier, Phys. Rev. B 83, 075122 (2011)

Sub-Matrix updates



Scaling as a function of problem size

Scaling as a function of # of CPUs (single particle measurements)



See also talk by Lode Pollet, L45.00011 (Tuesday)

Phys. Rev. Lett. 106, 030401 (2011)

Intermezzo: 3D Hubbard Model

'Optical Lattice Emulator': Goal is to experimentally simulate simple model Hamiltonians using cold atomic (fermionic) gases



T. Esslinger, Annu. Rev. Condens. Matter Phys. 1, 129-152 (2010)



Test model: 3D Hubbard

$$H = -\sum_{\langle ij\rangle,\sigma} t_{ij} (c_{i\sigma}^{\dagger} c_{j\sigma} + c_{j\sigma}^{\dagger} c_{i\sigma}) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}.$$

Temperatures in experiment are high (for now).

Questions to theory

When will we reach T_N ?

What is the equation of state of this model? (for all fillings, as a function of U/t and T/t?)

Exact answer needed.

Solve quantum impurity model self-consistently for a range of cluster sizes:



Compute thermodynamics: energy, density, entropy, free energy, double occupancy, spin correlation functions, ...: Observable estimates and errors for a finite size system.

Extrapolate observable estimate to the infinite system size limit using known finite size scaling



Fine size scaling behavior: Maier, Jarrell, Phys. Rev. B 65, 041104(R) (2002) $N^{-2/3}$

Validation against lattice QMC (1/2 filling) and HTSE (high T)

Comparison HTSE / DCA? (6th, 8th, 10th order)

HTSE order by order convergence: at U=8 correct down to T ~ 1.6t (at half filling). Worse away from half filling.

Agreement of 10th order HTSE with DCA down to T~1.4t.

Agreement with lattice QMC within error bars with for all T



See also: in depth analysis of HTSE & DMFT by L. De Leo, J.S. Bernier, C. Kollath, A. Georges, V. W. Scarola, Phys. Rev. A 83, 023606 (2011)

How well does single site DMFT work? (Single Site, PM self consistency)

First deviations at half filling are visible at T ~ 1.6t [AFM T_N at ~0.5t]





Away from half filling, for $n \le 0.7$: same behavior as in 2D; DMFT is essentially exact, no momentum dependence of the self energy:

$$\Sigma(k,\omega) = \sum_{n} \Sigma_{n}(\omega)\phi_{n}(k) = \Sigma_{\text{DMFT}}(\omega)$$

$$\uparrow$$

$$n < 0.7$$

Solve quantum impurity model self-consistently for a range of cluster sizes:



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Solve quantum impurity model self-consistently for a range of cluster sizes:



Solve quantum impurity model self-consistently for a range of cluster sizes:



k-dependence of the self energy systematically reintroduced, convergence for self energy observed: Approximation controlled



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Phys. Rev. Lett. 106, 030401 (2011)

Finite Size Simulations vs DCA

• Convergence in DCA is faster: results from 64-84-100 sites comparable to 6³, 8³, 10³ sites in lattice simulation.

• Sign problem is better ('bath helps with sign problem') near half filling.

3D Hubbard Model (conclusions)

We have solved the 3D Hubbard model (at high temperature)! Full tables, entire phase diagram with energies, densities, entropies, double occupancies, spin correlation functions available online

http://prl.aps.org/supplemental/PRL/v106/i3/e030401

Finite size scaling feasible for non-trivial systems in practice: change the status of cluster DMFT from an uncontrolled approximation to a method for obtaining **controlled results** with **accurate error bars**, similar to BSS / finite Lattice simulations

Nontrivial regime accessible: about 5x lower in temperature than HTSE & DMFT.

Cluster DMFT

Variation of cluster sizes and geometries, crucial to establish robustness of features!

In DCA: No periodization / interpolation schemes.

In this talk: cluster geometries of size 2–16 (larger: hampered by sign problem)

Clear Nodal / Antinodal separation on clusters large enough.

Cluster DMFT

Variation of cluster sizes and geometries, crucial to establish robustness of features!

In DCA: No periodization / interpolation schemes.

In this talk: cluster geometries of size 2–16 (larger: hampered by sign problem)

Clear Nodal / Antinodal separation on clusters large enough.

2D Hubbard with t': Generic Phase Diagram

See also:
C. Huscroft et al., Phys. Rev. Lett. 86, 139 (2001),
A. Macridin, et al., Phys. Rev. Lett. 97, 036401 (2006),
O. Parcollet, G. Biroli, and G. Kotliar, Phys. Rev. Lett. 92, 226402 (2004),
Park et al., Phys. Rev. Lett. 101, 186403 (2008)
E. Gull, P. Werner, X. Wang, M. Troyer, and A. J. Millis, EPL 84, 37009 (2008),
Y. Z. Zhang and M. Imada, Phys. Rev. B 76, 045108 (2007),
M. Ferrero, P. S. Cornaglia, L. De Leo, O. Parcollet, G. Kotliar, and A. Georges, <u>EPL 85, 57009</u> (2009) ...and several other studies...

New aspects:

Larger clusters, lower temperatures, scans of entire phase diagrams: enabled by new computational methods.

P. Werner, E. Gull, O. Parcollet, A. J. Millis, <u>Phys. Rev. B 80, 045120 (2009)</u> (short version, 8-site)
E. Gull, O. Parcollet, P. Werner, A. J. Millis, <u>Phys. Rev. B 80, 245102 (2009)</u> (long version, t', 8-site)
E. Gull, M. Ferrero, O. Parcollet, A. Georges, A.J. Millis, <u>Phys. Rev. B 82, 155101 (2010)</u> (cluster size dependence)
N. Lin, E. Gull, and A.J. Millis, Phys. Rev. B 82, 045104 (2010) (analytic continuations, Raman, c-axis)

Phase Diagram

8-site Matsubara self-energy: blue: antinode. red: node

Interaction transitions at half filling

For weak interaction: Fermi-Liquid (-like) phase (FLL)

Crossover: At slightly larger interaction Momentum-Space Differentiation (MSD), Each momentum sector consistent with Fermi Liquid, but variations between momentum sectors

Transition 1: (Continuous, at the T accessible) to a Sector Selective Phase: Anti-nodal part of the Fermi surface gapped), nodal part metallic. Analogous to orbitally selective Mott transition

Momentum selectivity proposed in minimal 2-site model: M. Ferrero, P. S. Cornaglia, L. De Leo, O. Parcollet, G. Kotliar, and A. Georges, <u>EPL 85, 57009</u>

PG/ SS

MSD

FL

MI

MSD

FLL

Transition 2: (First Order) to a Mott insulating Phase. All parts of the noninteracting Fermi surface gapped

P. Werner, E. Gull, O. Parcollet, A. J. Millis, <u>Phys. Rev. B 80, 045120 (2009)</u>
E. Gull, O. Parcollet, P. Werner, A. J. Millis, <u>Phys. Rev. B 80, 245102 (2009)</u>
E. Gull, M. Ferrero, O. Parcollet, A. Georges, A.J. Millis, <u>Phys. Rev. B 82, 155101 (2010)</u>

see also: Liebsch, Tong, Phys. Rev. B 80, 165126 (2009) for CDMFT

On the hole doped side: same story as in interaction transition.

On the electron-doped side: direct transition to the **Mott Insulator**. Large t': first order. Small t': intermittent sector selective phase, continuous.

[1st order: see also Macridin et al., Phys. Rev. B 74, 085104 (2006)]

E. Gull, O. Parcollet, P. Werner, A. J. Millis, <u>Phys. Rev. B 80, 245102 (2009)</u> E. Gull, M. Ferrero, O. Parcollet, A. Georges, A.J. Millis, <u>Phys. Rev. B 82, 155101 (2010)</u>

see also: M. Ferrero, P. S. Cornaglia, L. De Leo, O. Parcollet, G. Kotliar, and A. Georges, <u>EPL 85, 57009</u> Liebsch, Tong, <u>Phys. Rev. B 80, 165126 (2009)</u> and Sordi, Haule, Tremblay, <u>Phys. Rev. Lett. 104, 226402</u> (2010)

Phase Diagram

Partial occupancy in each momentum sector:

In momentum-selective phase pinned to Mott insulating value: antinode is incompressible, node is metallic.

when 2 reducing doping from x=0.157 to x=0.047: gap develops in the antinodal part of BZ, nodal part stays metallic.

Generic Phase Diagram

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As a function of t'/t: Two transitions merging into one first order transition on the electron doped side

E. Gull, O. Parcollet, P. Werner, A. J. Millis, Phys. Rev. B 80, 245102 (2009)

First order transition: see also A. Macridin et al., Phys. Rev. B 74, 085104 (2006).

P. Werner, E. Gull, O. Parcollet, A.J. Millis, Phys. Rev. B 80, 045120 (2009), E. Gull, P. Werner, O. Parcollet, A.J. Millis, Phys. Rev. B 80, 245102 (2009)

Cluster Size Dependence

Sector selective transition is robust in DCA (for all clusters large enough to have nodal antinodal differentiation), is the DCA representation of pseudogap physics.

P. Werner, E. Gull, O. Parcollet, A.J. Millis, Phys. Rev. B 80, 045120 (2009), E. Gull, P. Werner, O. Parcollet, A.J. Millis, Phys. Rev. B 80, 245102 (2009)

Cluster Size Dependence

N. Lin, E. Gull, and A.J. Millis, Phys. Rev. B 82, 045104 (2010)

Sector Selective Regime: ARPES & Pseudogap

Sector selective transition is the cluster DMFT representation of pseudogap physics.

ARPES: Shen et al., Science 307, 901 (2005)

No long range order is required.

Remarkable agreement with other experimental probes: c-axis, in-plane optical conductivity, Raman.

Momentum Space Differentiation

Momentum space differentiation (n \sim 0.8): Nodal scattering rate vanishing more rapidly than antinodal scattering rate.

Isotropic Fermi Liquid regime (n ~ 0.7): Nodal and Antinodal scattering rate identical.

Similar to anisotropic component observed in Angle-Dependent Magneto-Resistance

French, Analytis, Carrington, Balicas, Hussey: NJP 11, 05595 (2009)

Conclusions

We have established DCA as a reliable tool to obtain results for the thermodynamic limit (for the infinite (lattice) system).

Algorithmic and numerical improvements: much larger systems accessible, scans of phase space possible.

2D Hubbard model, phase diagram: contains many features observed in High-Tc experiments: Momentum space differentiation, pseudogap: Node metallic, antinode insulating. No long-ranged order required.

Sector selectivity is the Cluster DMFT signature of the pseudogap, Features are robust: observed for all clusters large enough, also in CDMFT, no interpolation / analytical continuation

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