

# Coupled-cluster theory for nuclei

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INT workshop “Weakly-bound systems in atomic and nuclear physics”

Seattle, March 8-12, 2010

Research partly funded by the US Department of Energy

# Overview

1. Introduction
2. Medium-mass nuclei – saturation properties of NN interactions  
[Hagen, TP, Dean, Hjorth-Jensen, Phys. Rev. Lett. 101, 092502 (2008)]
3. Practical solution to the center-of-mass problem  
[Hagen, TP, Dean, Phys. Rev. Lett. 103, 062503 (2009)]
4. Does  $^{28}\text{O}$  exist?  
[Hagen, TP, Dean, Horth-Jensen, Velamur Asokan, Phys. Rev. C 80, 021306(R) (2009)]

# Energy scales and relevant degrees of freedom

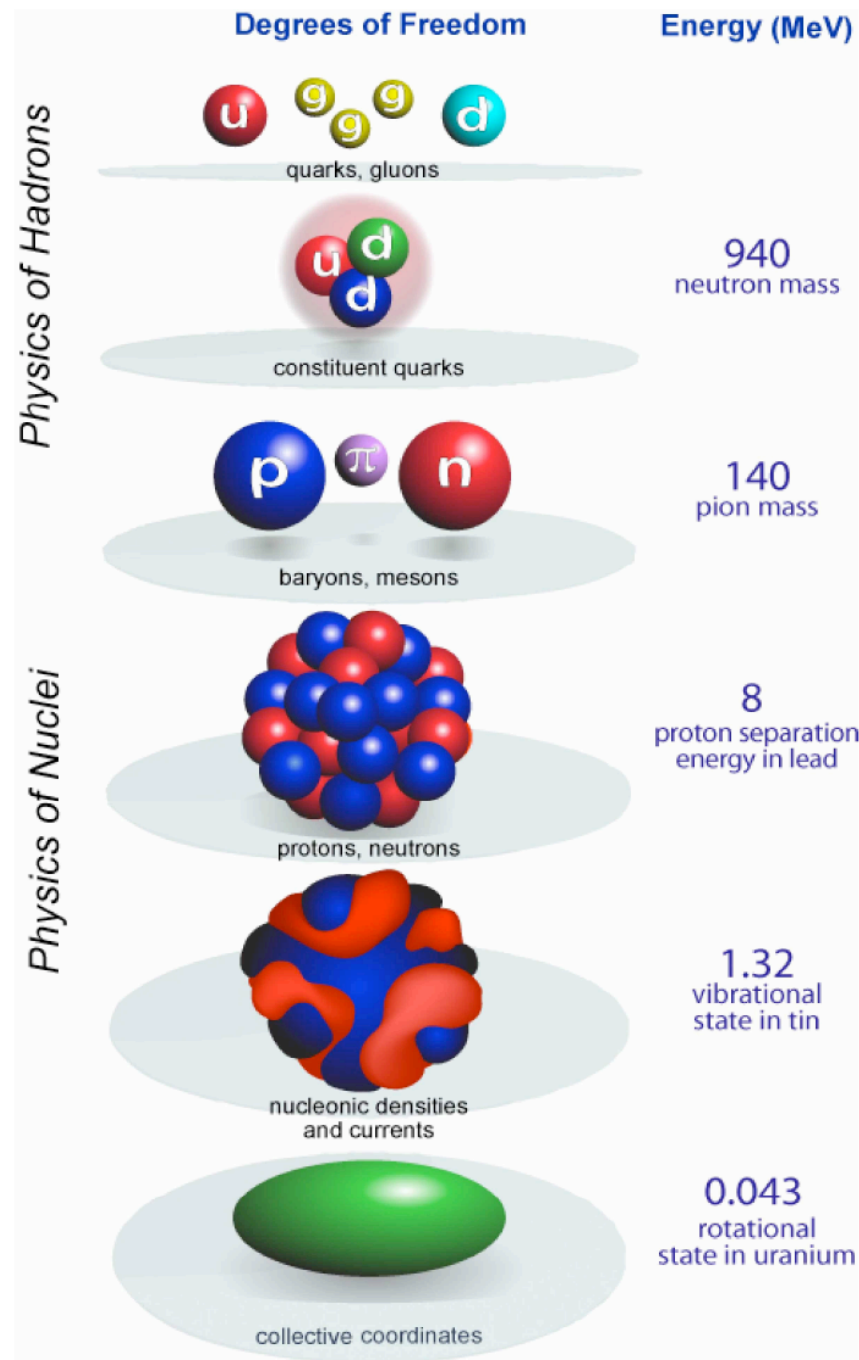
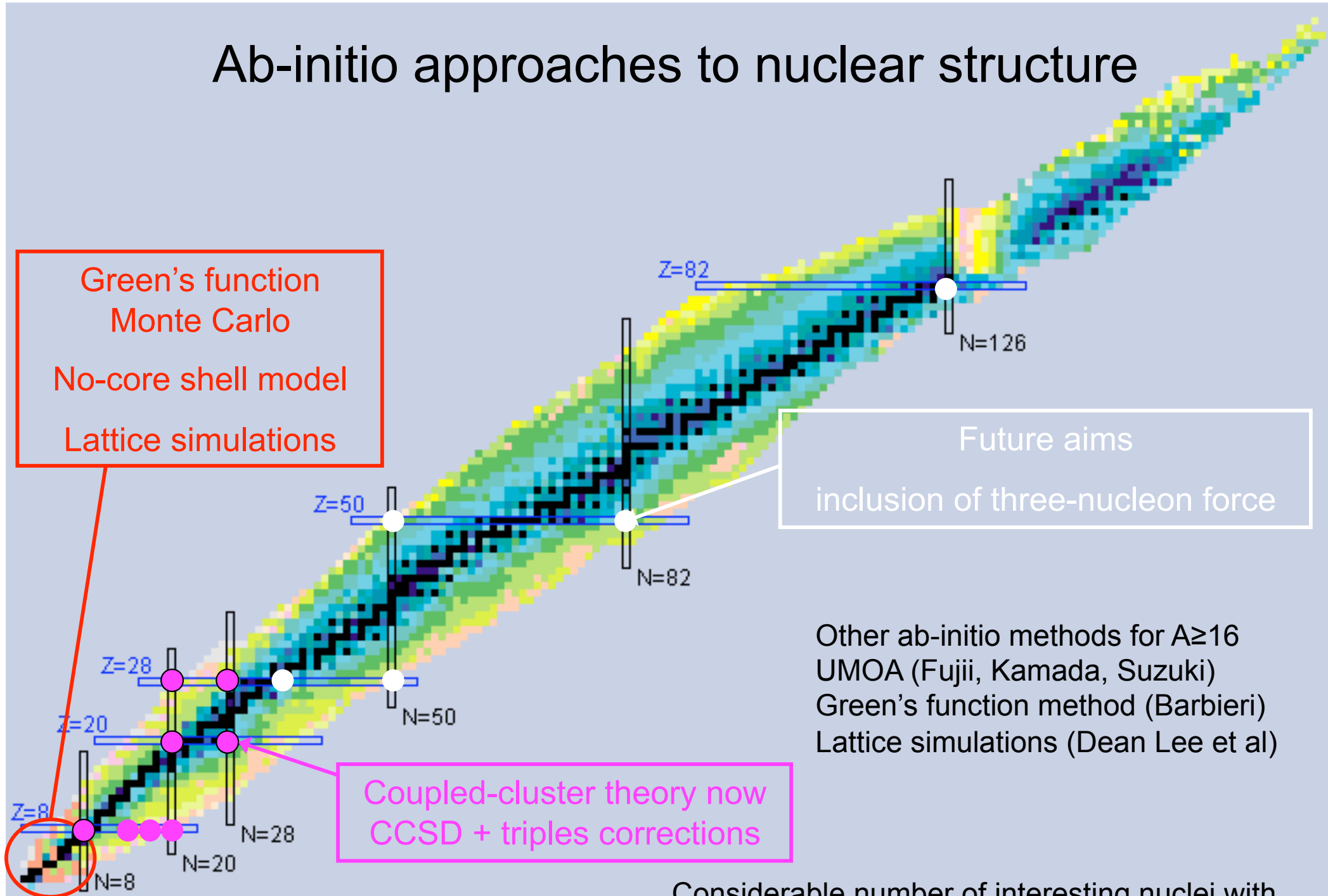


Fig.: SciDAC review (2007)

# Ab-initio approaches to nuclear structure



# Coupled-cluster method (CCSD)

Ansatz:

$$|\Psi\rangle = e^T |\Phi\rangle$$

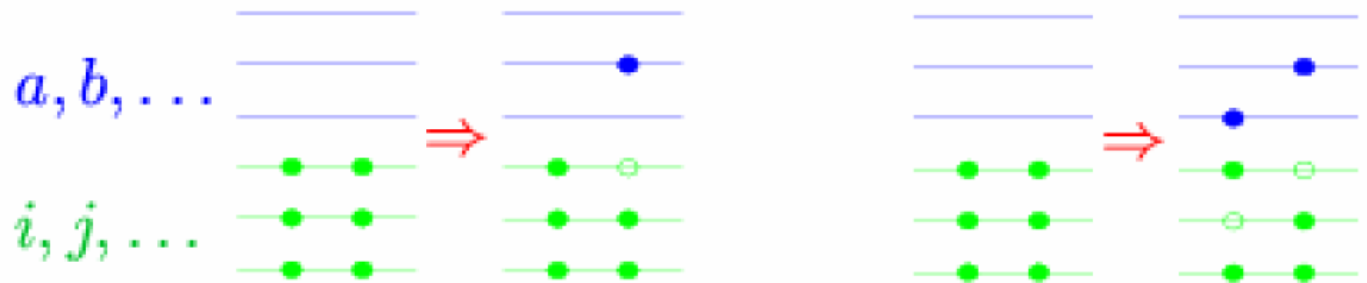
$$T = T_1 + T_2 + \dots$$

$$T_1 = \sum_{ia} t_i^a a_a^\dagger a_i$$

$$T_2 = \sum_{ijab} t_{ij}^{ab} a_a^\dagger a_b^\dagger a_j a_i$$

- ☺ Scales gently (polynomial) with increasing problem size  $\mathcal{O}(u^4)$ .
- ☺ Truncation is the only approximation.
- ☺ Size extensive (error scales with A)

Correlations are *exponentiated* 1p-1h and 2p-2h excitations. Part of np-nh excitations included!



Coupled cluster equations

$$E = \langle \Phi | \bar{H} | \Phi \rangle$$

$$0 = \langle \Phi_i^a | \bar{H} | \Phi \rangle$$

$$0 = \langle \Phi_{ij}^{ab} | \bar{H} | \Phi \rangle$$

Alternative view: CCSD generates similarity transformed Hamiltonian with no 1p-1h and no 2p-2h excitations.

$$\bar{H} \equiv e^{-T} H e^T = (H e^T)_c = \left( H + H T_1 + H T_2 + \frac{1}{2} H T_1^2 + \dots \right)_c$$

# Peculiarities of coupled-cluster theory for nuclei

## Interaction: One of the main questions / aims

1. A few high-precision potentials available
2. Renormalization scale / scale of external probe provides families of interactions
3. Model-space dependencies must be examined (no “standard” basis sets or model spaces)

## Hamiltonian:

1. Nucleons are fundamental degrees of freedom (single-particle states carry orbital, spin, and isospin labels)
2. Hamiltonian is scalar under rotation
  - Cluster excitation operator is scalar, too
  - Number of j-shells  $\sim A^{2/3}$  for nucleus with mass numbers A
  - **Much larger model spaces accessible (*m*-scheme: 8-10 shells; spherical scheme: 20 shells).**
  - **1 order of magnitude increase in number of single-particle states.**

$$\hat{T} = \sum_{j_a j_i} t_{j_i}^{j_a} \left( \hat{a}_{j_a}^\dagger \times \hat{a}_{j_i} \right)^{(0)} + \sum_{j_a, j_b, j_i, j_j} \sum_J t_{j_i j_j}^{j_a j_b}(J) \left( \left( \hat{a}_{j_a}^\dagger \times \hat{a}_{j_b}^\dagger \right)^{(J)} \times \left( \hat{a}_{j_j} \times \hat{a}_{j_i} \right)^{(J)} \right)^{(0)}$$

# Nuclear shell model

## 1. Traditional shell model:

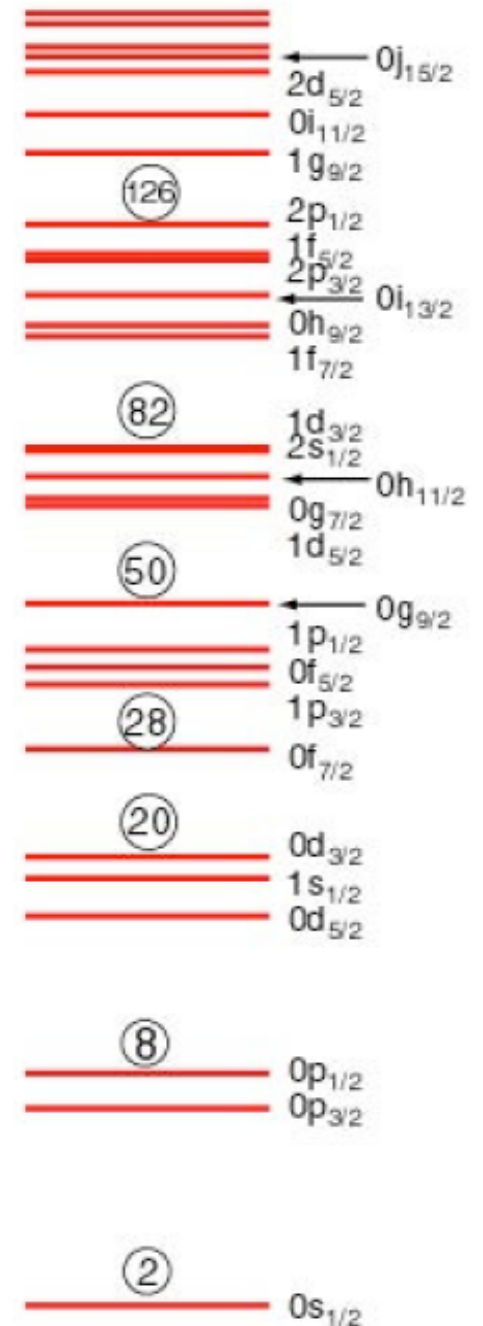
- Quantum well + strong spin-orbit force
- “Freeze” core nucleons and work with valence nucleons

## 2. “Ab-initio” methods:

- Shell model provides basis for wave-function based methods

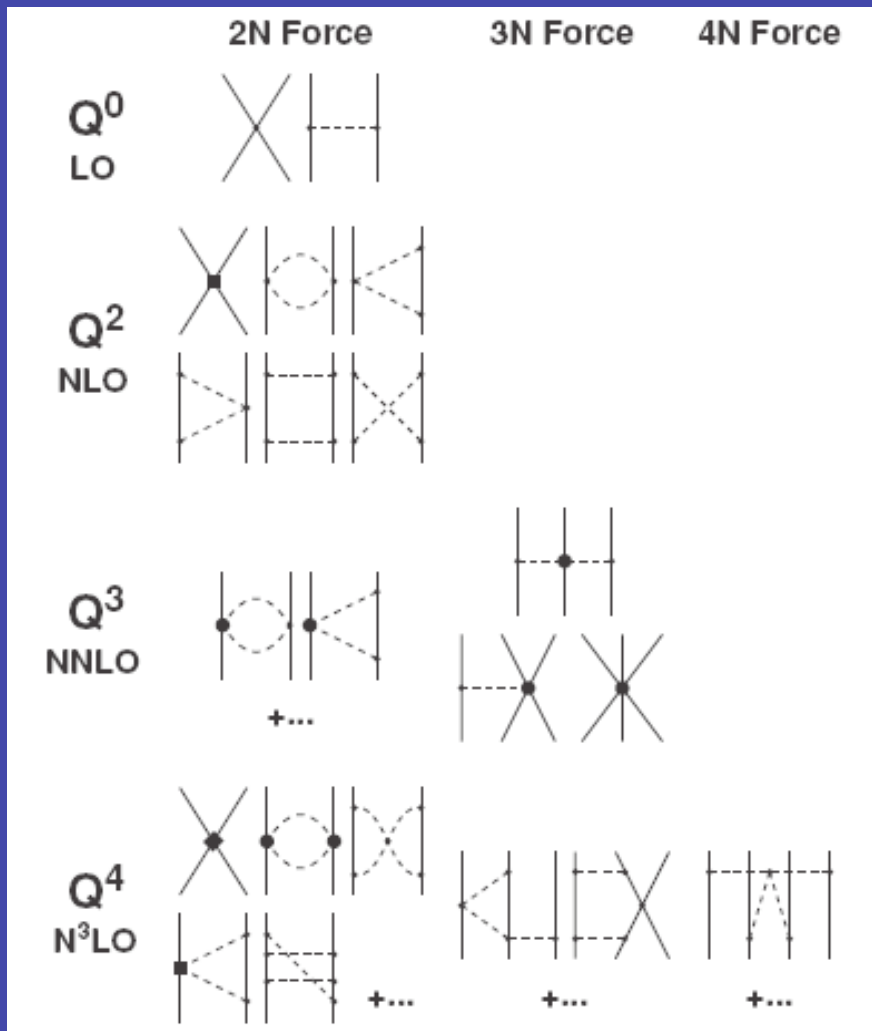
## 3. Harmonic oscillator basis allows to keep all symmetries within CI

- Parameters: oscillator frequency, number of major oscillator shells
- All nucleons active



# Nuclear potential from chiral effective field theory

## Diagrams



van Kolck (1994); Epelbaum et al (2002);  
Machleidt & Entem (2005);

## Ab-initio structure calculations with potentials from chiral EFT

- $A=3, 4$ : Faddeev-Yakubowski method
- $A \leq 10$ : Hyperspherical Harmonics
- $p$ -shell nuclei: NCSM, GFMC(AV18)
- $^{16,22,24,28}\text{O}$ ,  $^{40,48}\text{Ca}$ ,  $^{48}\text{Ni}$ : Coupled cluster, UMOA, Green's functions (NN so far)
- Lattice simulations
- Nuclear matter

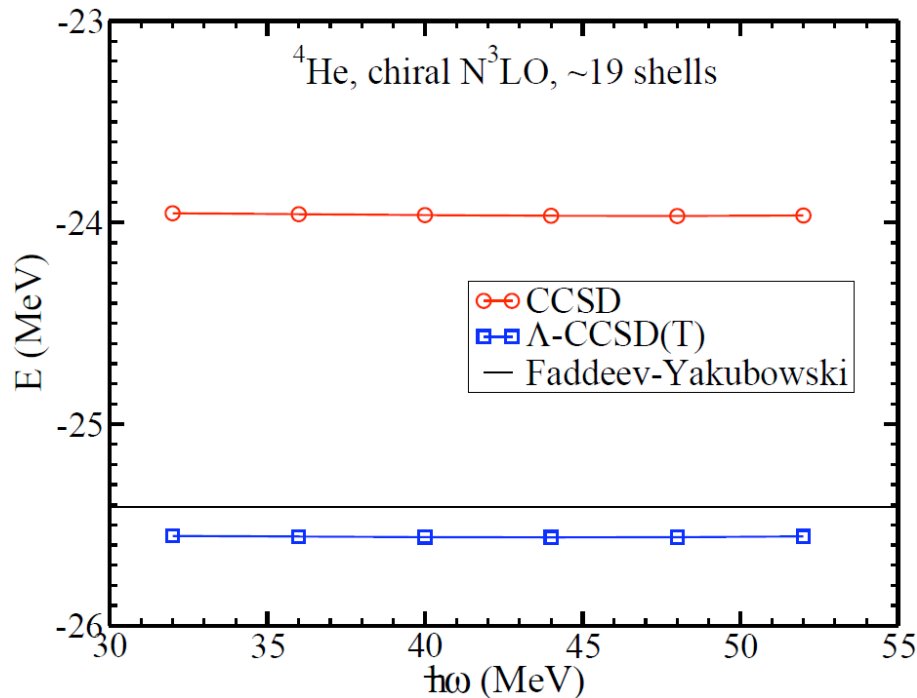
## Questions:

1. Can we compute nuclei from scratch?
2. Role/form of three-nucleon interaction
3. Saturation properties

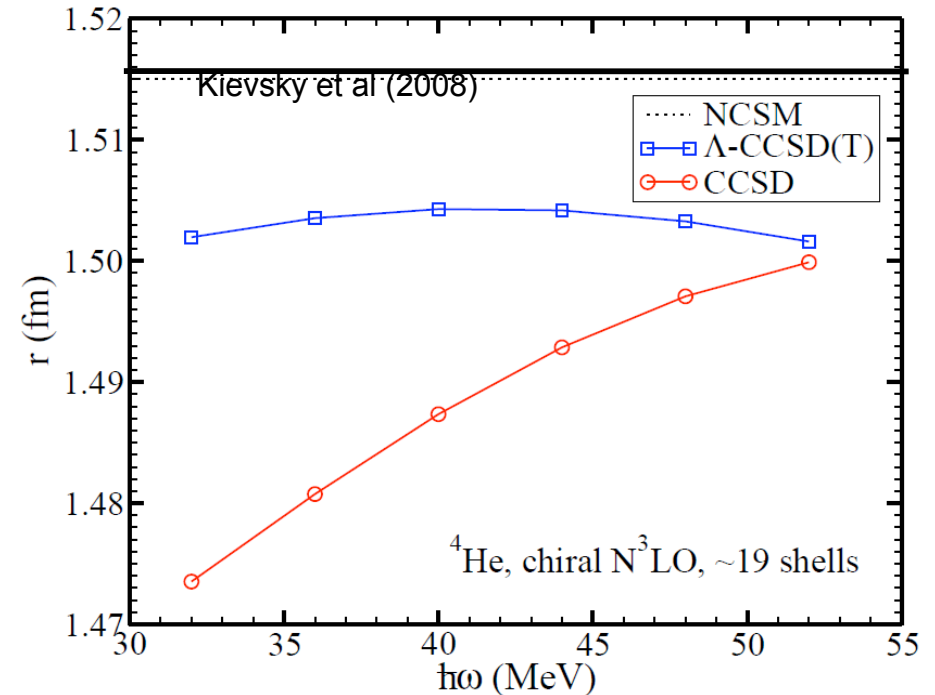


# Precision and accuracy: ${}^4\text{He}$ , chiral $\text{N}^3\text{LO}$ [Entem & Machleidt]

## Ground-state energy

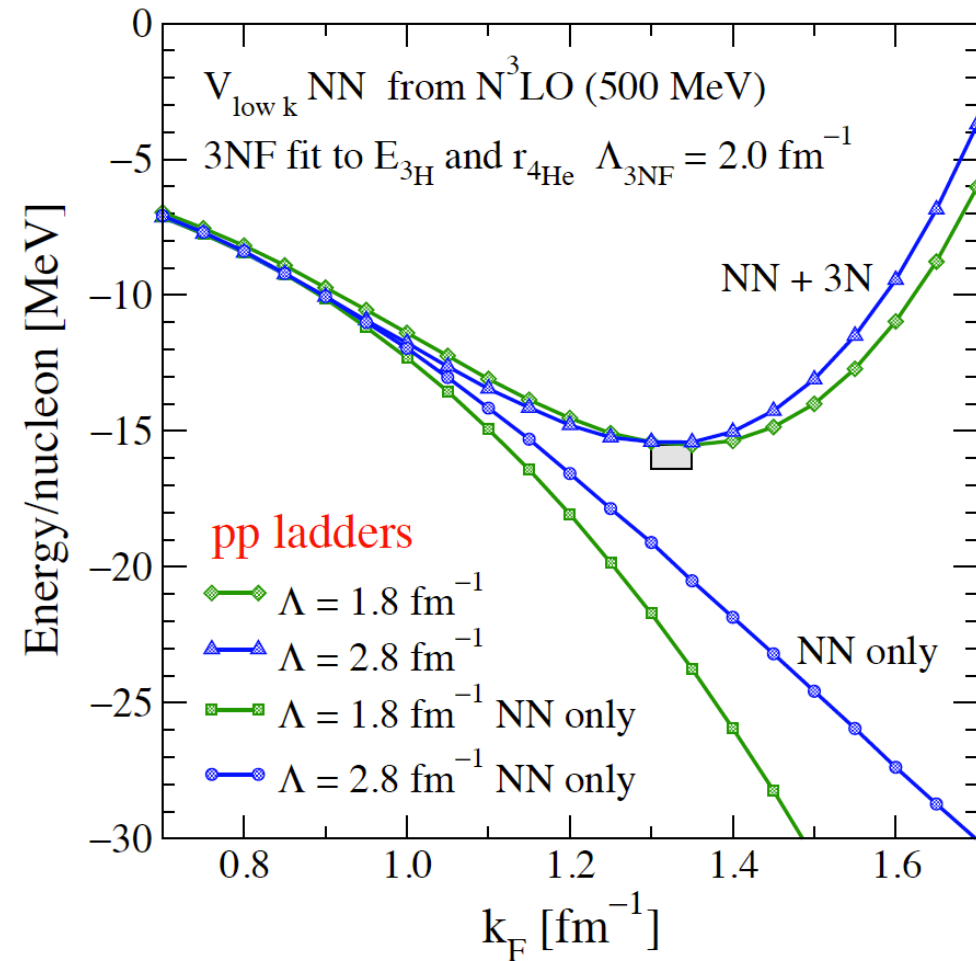
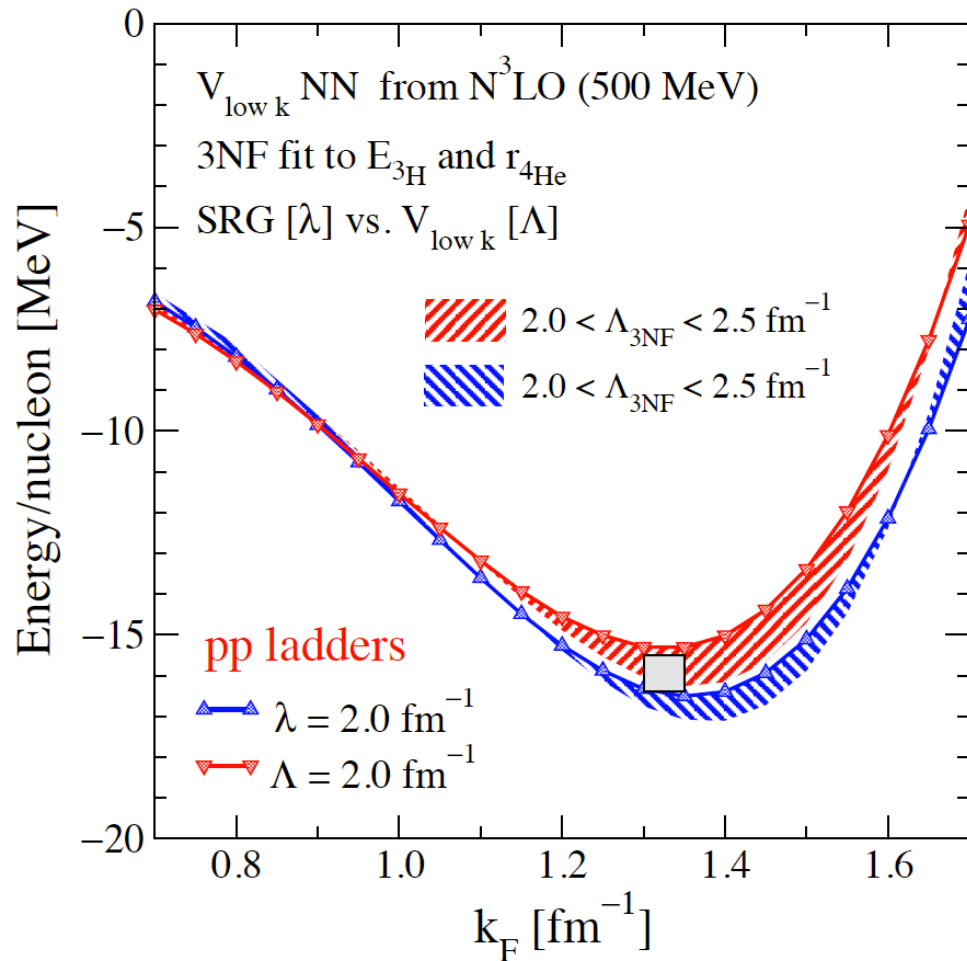


## Matter radius



1. Results exhibit very weak dependence on the employed model space.
2. The coupled-cluster method, in its  $\Lambda$ -CCSD(T) approximation, overbinds by 150keV; radius too small by about 0.01fm.
3. Independence of model space of N major oscillator shells with frequency  $\omega$ :
  - $N\hbar\omega > \hbar^2\Lambda_\chi^2/m$  to resolve momentum cutoff  $\Lambda_\chi$
  - $\hbar\omega < N\hbar^2/(mR^2)$  to resolve nucleus of radius R
4. Number of single-particle states  $\sim (R\Lambda_\chi)^3$

# Nuclear matter with low-momentum interactions



- Saturation of nuclear matter with low-momentum NN and NNN forces.
- Almost no cutoff dependence  $\rightarrow$  physics almost complete
- Perturbative calculation already gives good results.

# Ground-state energies of medium-mass nuclei

## CCSD results for chiral N<sup>3</sup>LO (NN only)

Nucleus	$E/A$	$V/A$	$\Delta E/A$
<sup>4</sup> He	-5.99	-22.75	1.08
<sup>16</sup> O	-6.72	-30.69	1.25
<sup>40</sup> Ca	-7.72	-36.40	0.84
<sup>48</sup> Ca	-7.40	-37.97	1.27
<sup>48</sup> Ni	-6.02	-36.04	1.21

[Hagen, TP, Dean, Hjorth-Jensen, Phys. Rev. Lett. 101, 092502 (2008)]

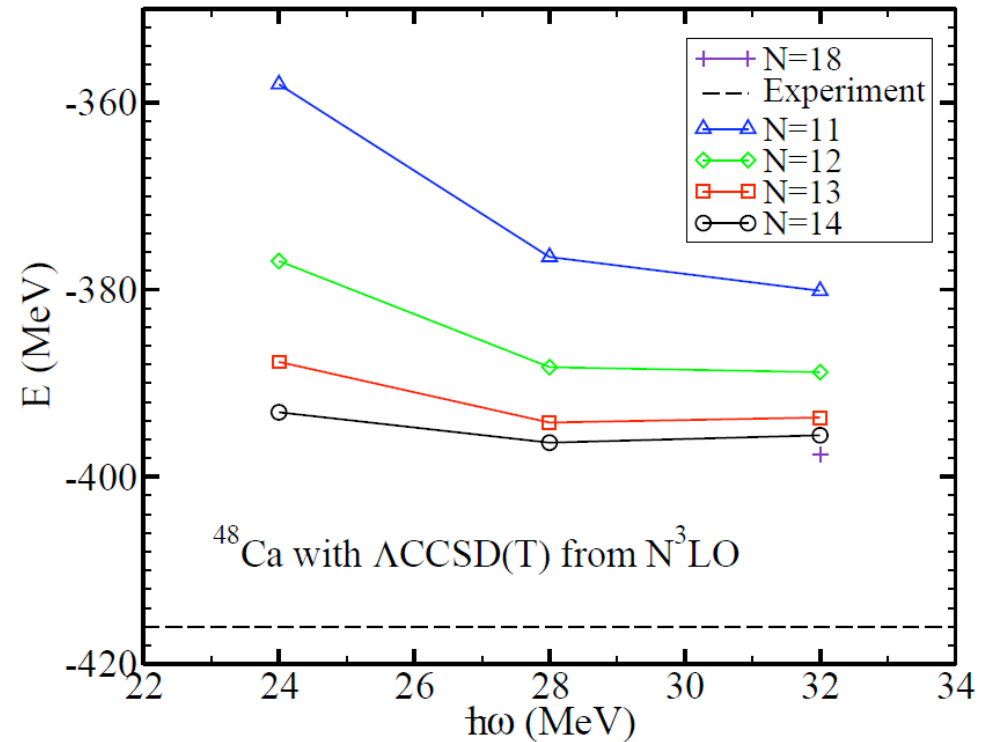
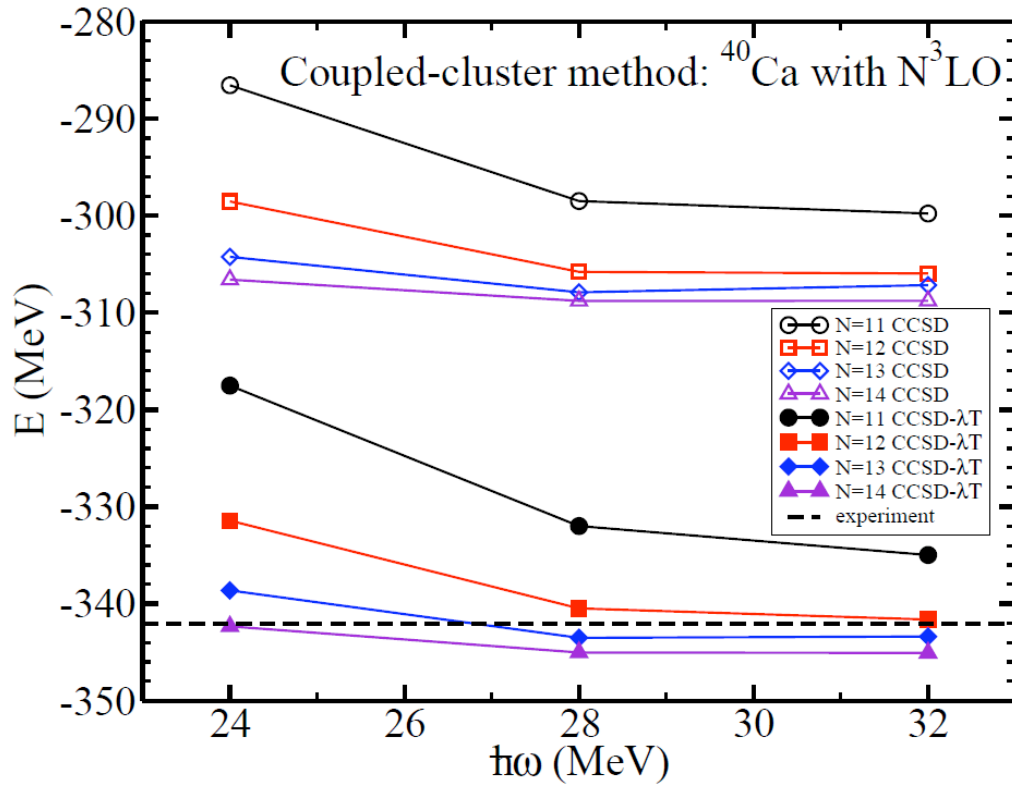
### Main results

1. Well converged CCSD results with respect to size of model space (< 1% change in binding energy when going from 14 to 15 oscillator shells).
2. Three-nucleon force and triples corrections expected to yield ~1MeV additional binding?
3. Mirror nuclei <sup>48</sup>Ca and exotic <sup>48</sup>Ni differ by 1.38 MeV / A → close to mass-table predictions

How do corrections due to three-body clusters modify this picture?

# Ground-state energies of medium-mass nuclei

## CCSD results for chiral $N^3LO$ (NN only)



Binding energy per nucleon

Nucleus	CCSD	$\Lambda$ -CCSD(T)	Experiment
$^4\text{He}$	5.99	6.39	7.07
$^{16}\text{O}$	6.72	7.56	7.97
$^{40}\text{Ca}$	7.72	8.63	8.56
$^{48}\text{Ca}$	7.40	8.28	8.67

Compare  $^{16}\text{O}$  to different approach  
Fujii et al., Phys. Rev. Lett. 103,  
182501 (2009)

$B/A=6.62$  MeV (2 body clusters)  
 $B/A=7.47$  MeV (3 body clusters)

# Center-of-mass coordinate

The nuclear Hamiltonian is invariant under rotations and translations

Approach that preserves both symmetries:

☺ Jacobi coordinates

☹ Antisymmetrization very expensive → limited to  $A \leq 10$  or so

[Faddeev Yakubowsky; Hyperspherical Harmonics; Manchester group's CCM].

Antisymmetry best dealt within second quantization:

☹ No single-particle basis available that consists of simultaneous eigenstates of the angular momentum operator and the momentum operator.

☺ Within a complete  $N\hbar\omega$  oscillator space, the wave function is guaranteed to factorize

$$\psi = \psi_{\text{cm}} \psi_{\text{in}}$$

Intrinsic wave function  $\psi_{\text{in}}$  invariant under translation

Center-of-mass wave function  $\psi_{\text{cm}}$  is Gaussian whose width is set by the oscillator length of the employed oscillator basis

The factorization is key. The form of  $\psi_{\text{cm}}$  is irrelevant.

# Center-of-mass coordinate (cont'd)

Intrinsic nuclear Hamiltonian

$$H_{\text{in}} = T - T_{\text{cm}} + V ,$$
$$= \sum_{1 \leq i < j \leq A} \left( \frac{(\vec{p}_i - \vec{p}_j)^2}{2mA} + V(\vec{r}_i - \vec{r}_j) \right)$$

Obviously,  $H_{\text{in}}$  commutes with any center-of-mass Hamiltonian  $H_{\text{cm}}$ .

**Situation:** The Hamiltonian depends on  $3(A-1)$  coordinates, and is solved in a model space of  $3A$  coordinates. What is the wave function in the center-of-mass coordinate?

**Q:How can one demonstrate the factorization of wave function  $\psi$ :**

**A:** Find a suitable center-of-mass Hamiltonian  $H_{\text{cm}}$  whose eigenstate is  $\psi$ .

**Our approach:**

Demonstrate that  $\langle H_{\text{cm}} \rangle \approx 0$  for a center-of-mass Hamiltonian with zero-energy ground state.

$$H_{\text{cm}}(\tilde{\omega}) = T_{\text{cm}} + \frac{1}{2}mA\tilde{\omega}^2 R_{\text{cm}}^2 - \frac{3}{2}\hbar\tilde{\omega}$$

Frequency  $\tilde{\omega}$  to be determined.

# Toy problem

Two particles in one dimension  
with intrinsic Hamiltonian

$$H = \frac{p^2}{2m} + V(x)$$

$$V(x) = -V_0 \exp(-(x/l)^2)$$

$$x = (x_1 - x_2) / \sqrt{2}$$

$$p = (p_1 - p_2) / \sqrt{2}$$

Single-particle basis of  
oscillator wave functions with  
 $m, n = 0, \dots, N$

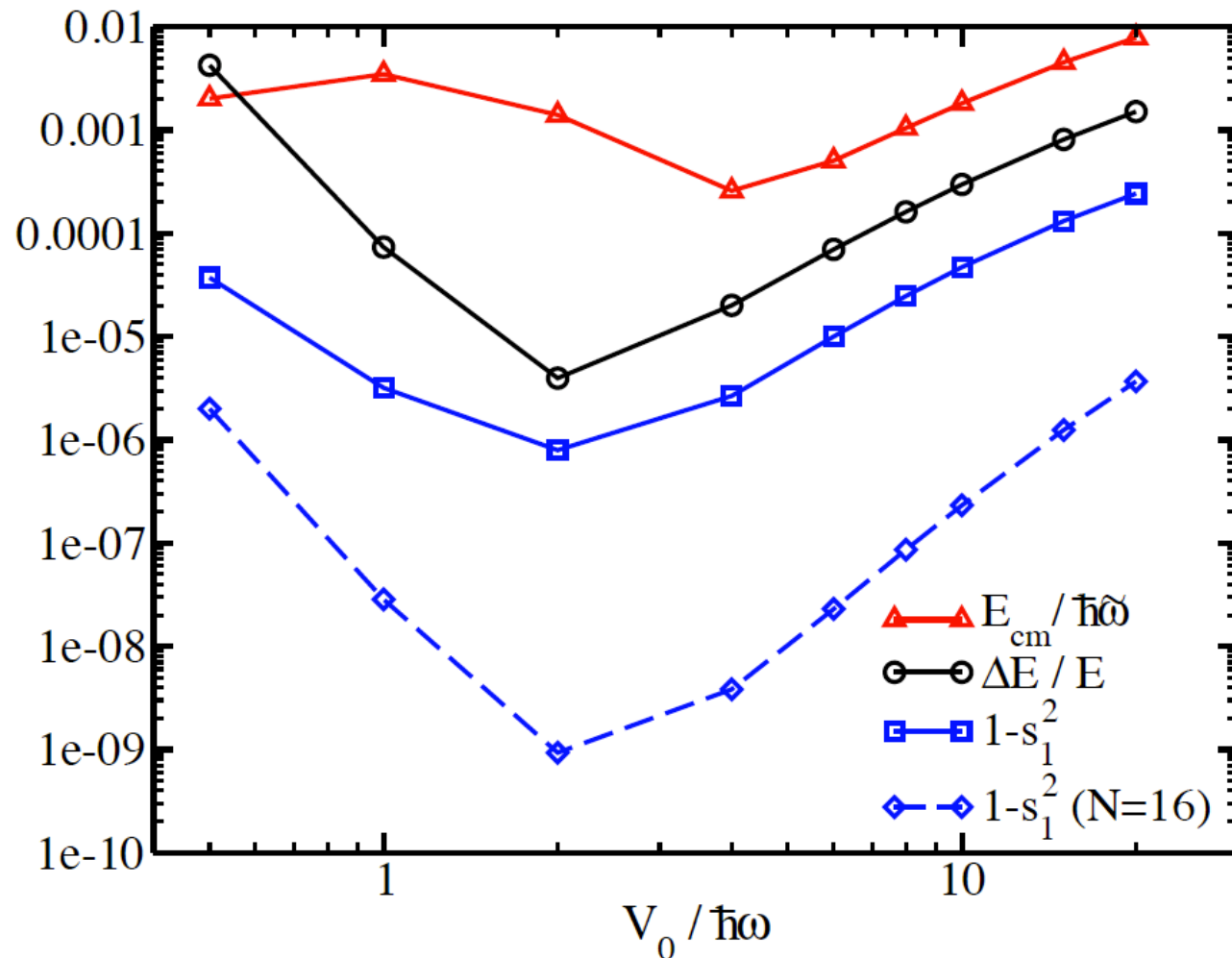
$$\Phi_m(x_1/l) \Phi_n(x_2/l)$$

## Results:

1. Ground-state is factored  
with  $s_1 \approx 1$

$$\psi_A = \sum_j s_j \psi_{\text{cm}}^{(j)} \psi_{\text{in}}^{(j)}$$

2. CoM wave function is  
approximately a Gaussian



# Determination of $\psi_{\text{cm}}$

**Assumption:**  $\psi_{\text{cm}}$  is (approximately) a Gaussian for all model-space frequencies

- Gaussian center-of-mass wave function is the zero-energy ground state of

$$H_{\text{cm}}(\tilde{\omega}) = T_{\text{cm}} + \frac{1}{2}mA\tilde{\omega}^2 R_{\text{cm}}^2 - \frac{3}{2}\hbar\tilde{\omega}$$

- Determine unknown frequency from from taking expectation value of identity

$$H_{\text{cm}}(\omega) + \frac{3}{2}\hbar\omega - T_{\text{cm}} = \frac{\omega^2}{\tilde{\omega}^2} \left( H_{\text{cm}}(\tilde{\omega}) + \frac{3}{2}\hbar\tilde{\omega} - T_{\text{cm}} \right)$$

- Use  $E_{\text{cm}}(\tilde{\omega}) = 0$   
 $\langle T_{\text{cm}} \rangle = \frac{3}{4}\hbar\tilde{\omega}$

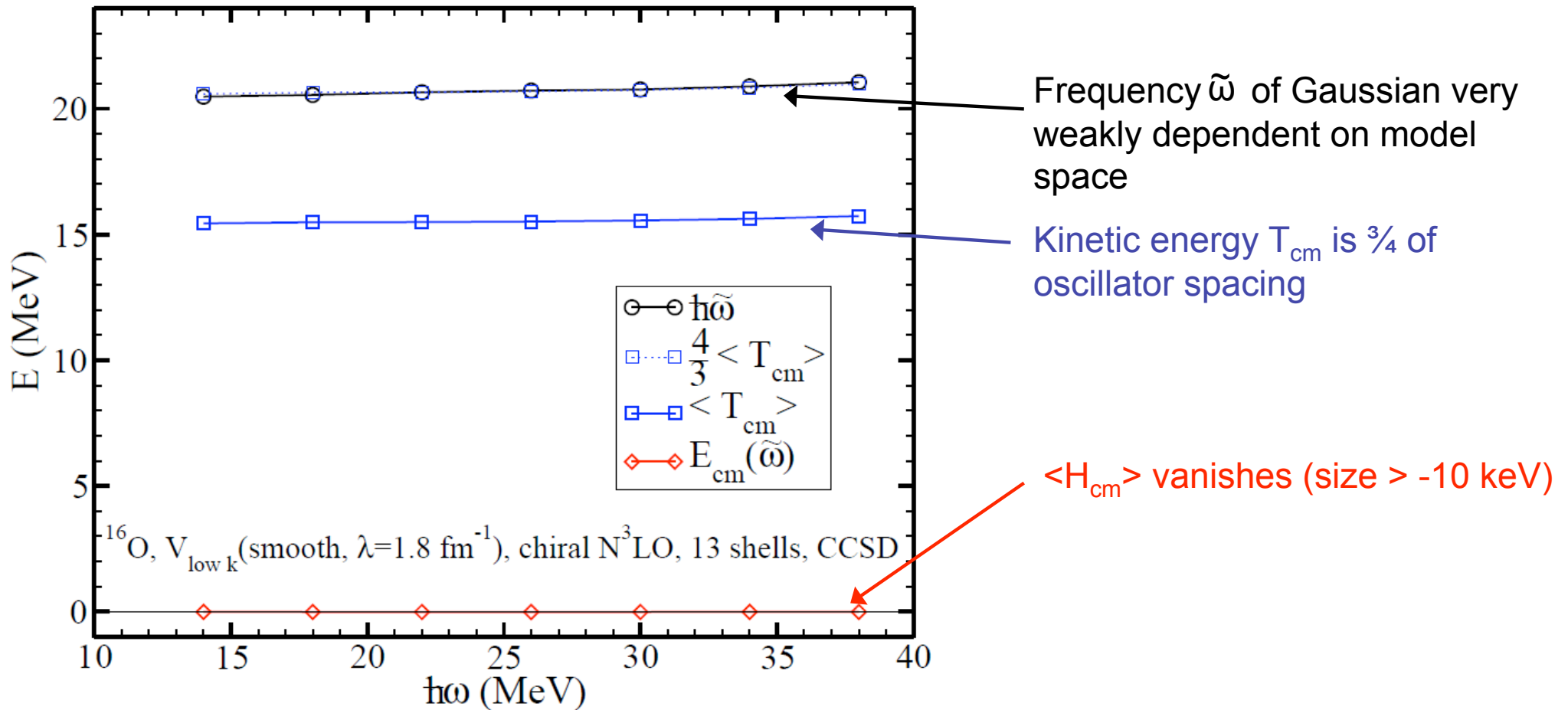
Two possible frequencies

$$\hbar\tilde{\omega} = \hbar\omega + \frac{2}{3}E_{\text{cm}}(\omega) \pm \sqrt{\frac{4}{9}(E_{\text{cm}}(\omega))^2 + \frac{4}{3}\hbar\omega E_{\text{cm}}(\omega)}$$



# Gaussian center-of-mass wave function

$^{16}\text{O}$  with  $V_{\text{low}k}$  ( $1.8 \text{ fm}^{-1}$ , smooth) within CCSD



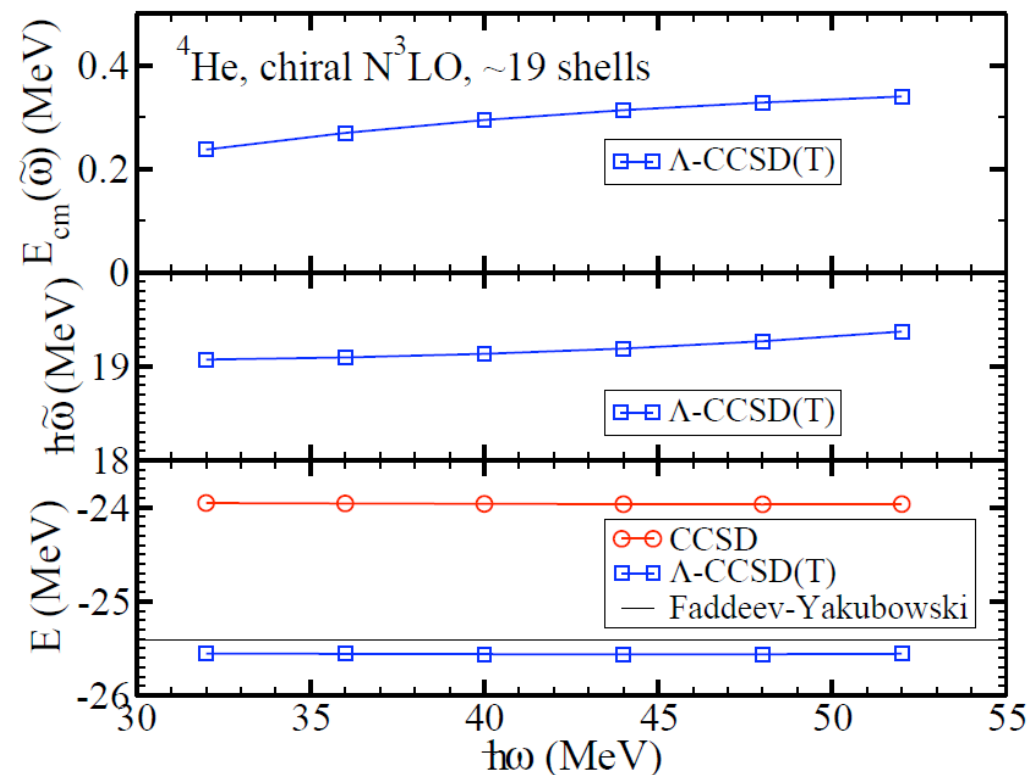
The intrinsic Hamiltonian does not reference the center-of-mass coordinate.

Yet, the resulting center-of-mass wave function is a Gaussian.

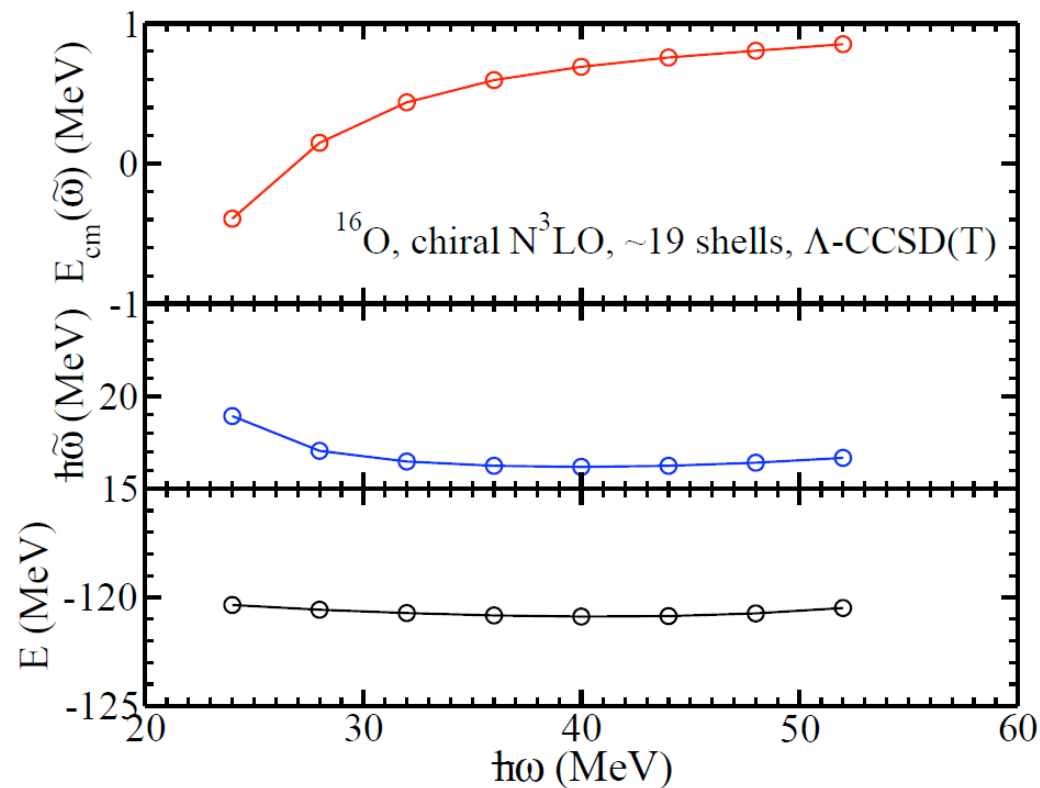
# Approximate factorization also for “hard” interactions:

$^4\text{He}$ ,  $^{16}\text{O}$ , and  $^{48}\text{Ca}$  from Entem & Machleidt’s chiral  $\text{N}^3\text{LO}$

$^4\text{He}$



$^{16}\text{O}$



Coupled-cluster wave function factorizes approximately.

Note: spurious states are separated by about  $15 - 20 \text{ MeV} \gg E_{\text{cm}}$ .

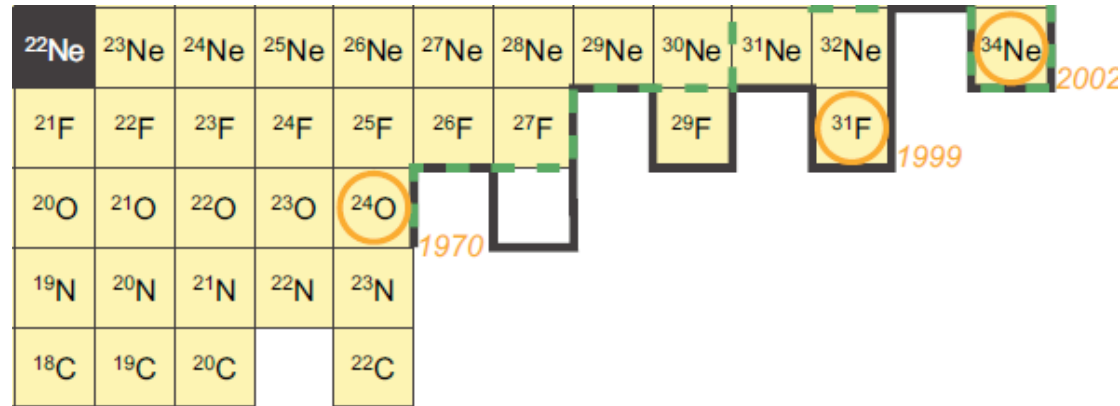
No understanding of Gaussian CoM wave function (yet).

Nucleus	$\hbar\tilde{\omega}$
$^4\text{He}$	19.1 MeV
$^{16}\text{O}$	16.5 MeV
$^{48}\text{Ca}$	14.9 MeV

# Neutron drip line in oxygen isotopes

## Experimental situation

- “Last” stable oxygen isotope  $^{24}\text{O}$
- $^{25}\text{O}$  unstable (Hoffman et al 2008)
- $^{26,28}\text{O}$  not seen in experiments
- $^{31}\text{F}$  exists (adding on proton shifts drip line by 6 neutrons!?)



## Theoretical situation

- USD interaction predicts stable  $^{26,28}\text{O}$  (Brown)
- sf-pf shell calculation can reproduce data after adjusting TBME (Otsuka et al.)
- Shell model w/ continuum couplings employs two different interactions for oxygen isotopes near and far away from b-stability to reproduce data (Volya & Zelevinsky)
- Shell model with 3NF:  $^{24}\text{O}$  is last bound isotope (Otsuka, Suzuki, Holt, Schwenk, Akaishi).

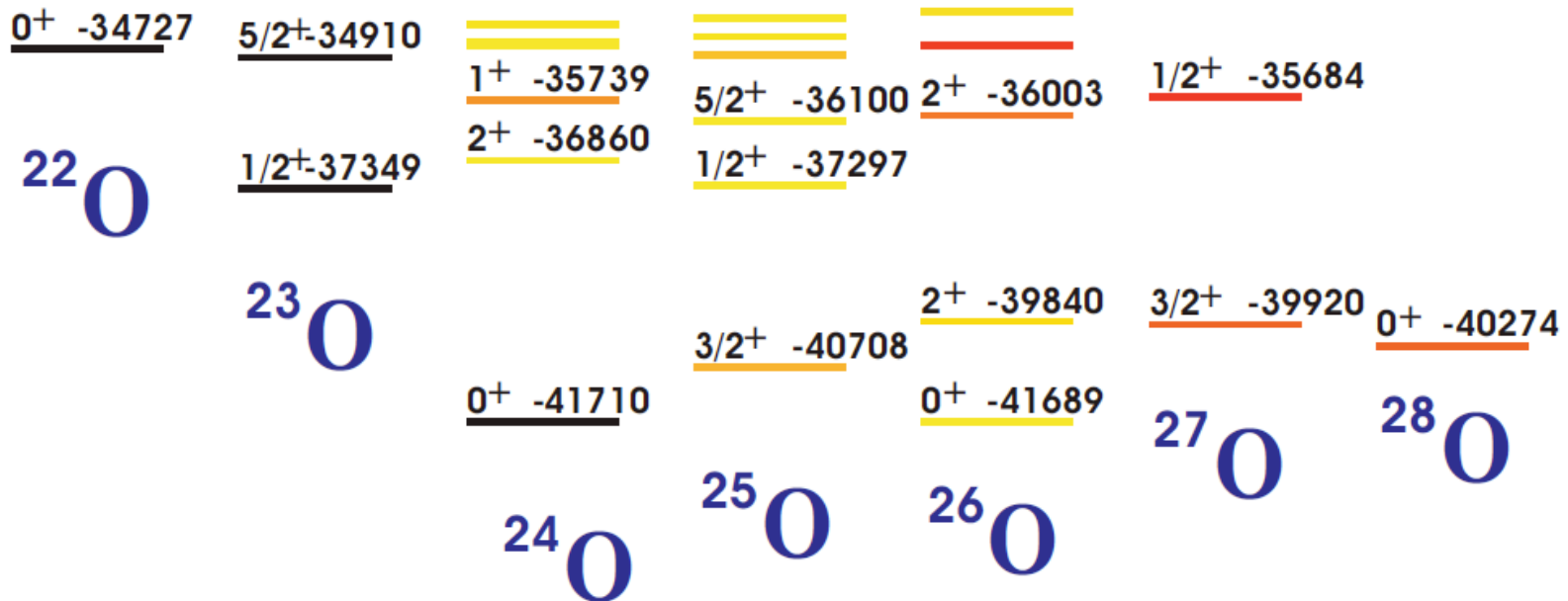
Most theoretical papers rule out a stable  $^{28}\text{O}$ .

No approach flawless, i.e. no approach includes everything (continuum effects, 3NFs, no adjustments of interaction)

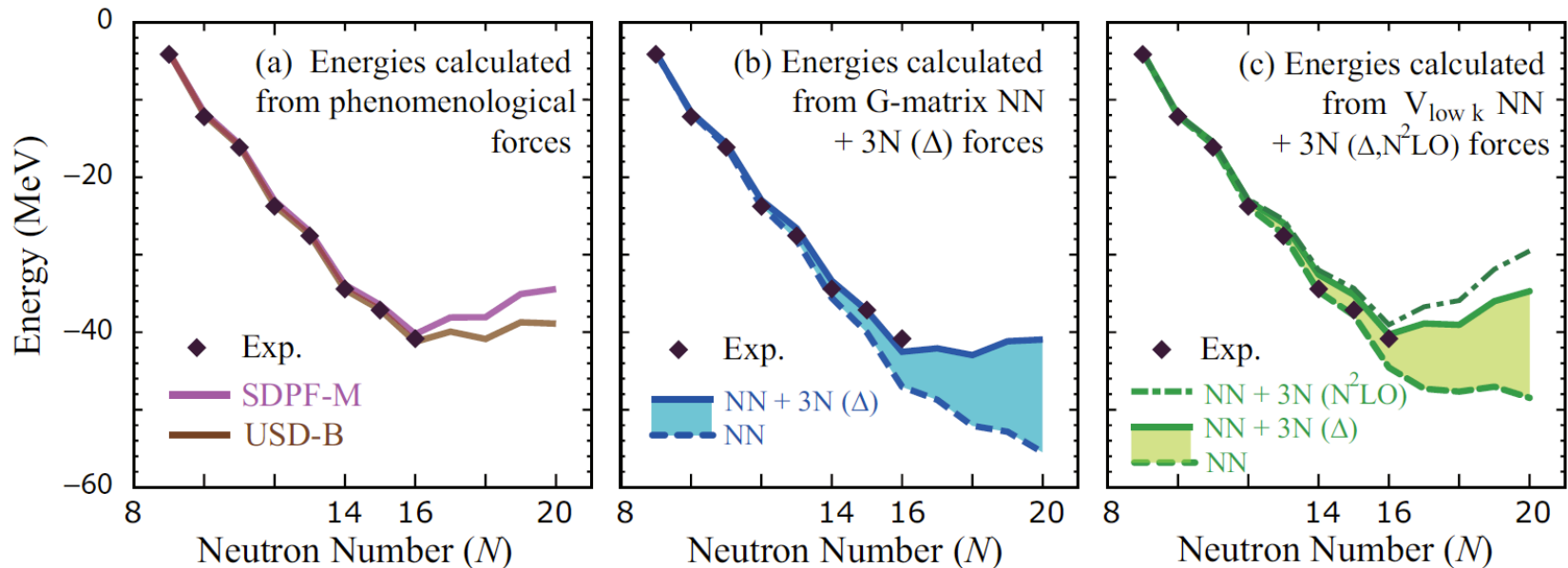
Theoretical difficulties: uncertainties in the effective interaction, quantify the resulting errors.

→ ab-initio calculations: coupled-cluster can address closed sub-shell nuclei  $^{22,24,28}\text{O}$  with chiral interactions; study cutoff dependence

# Examples of theoretical calculations

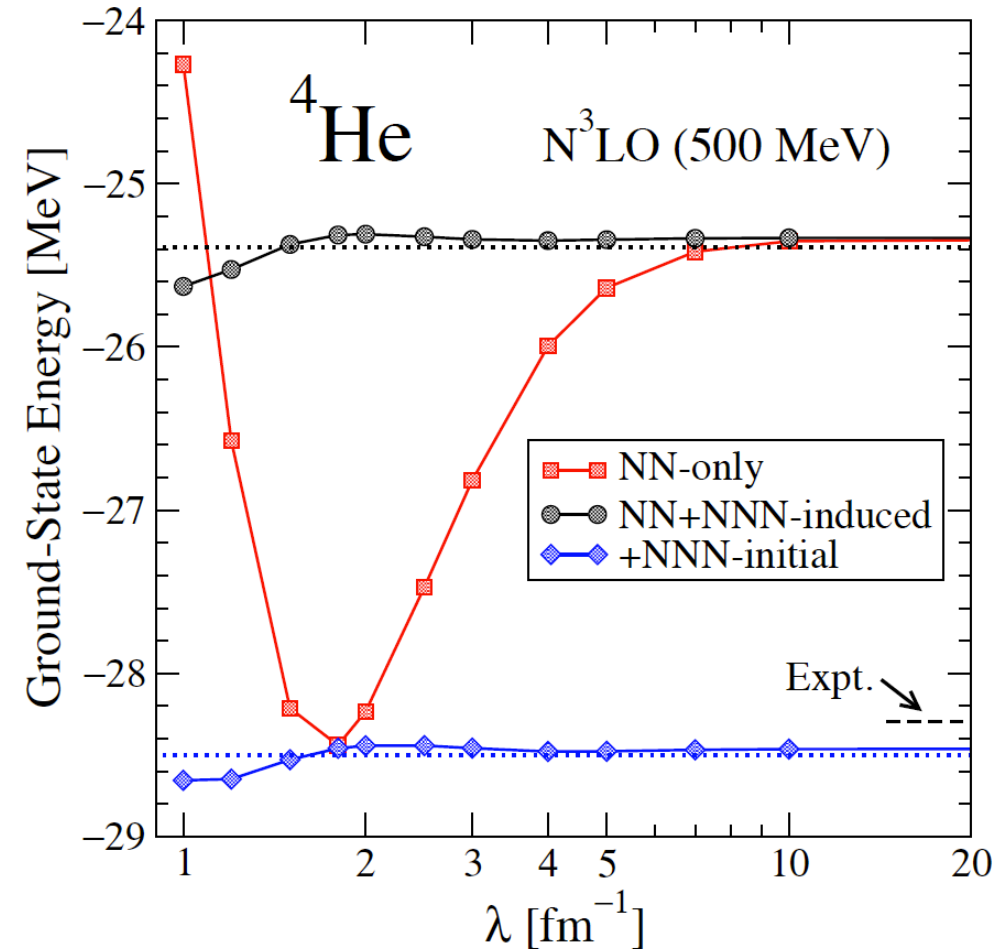
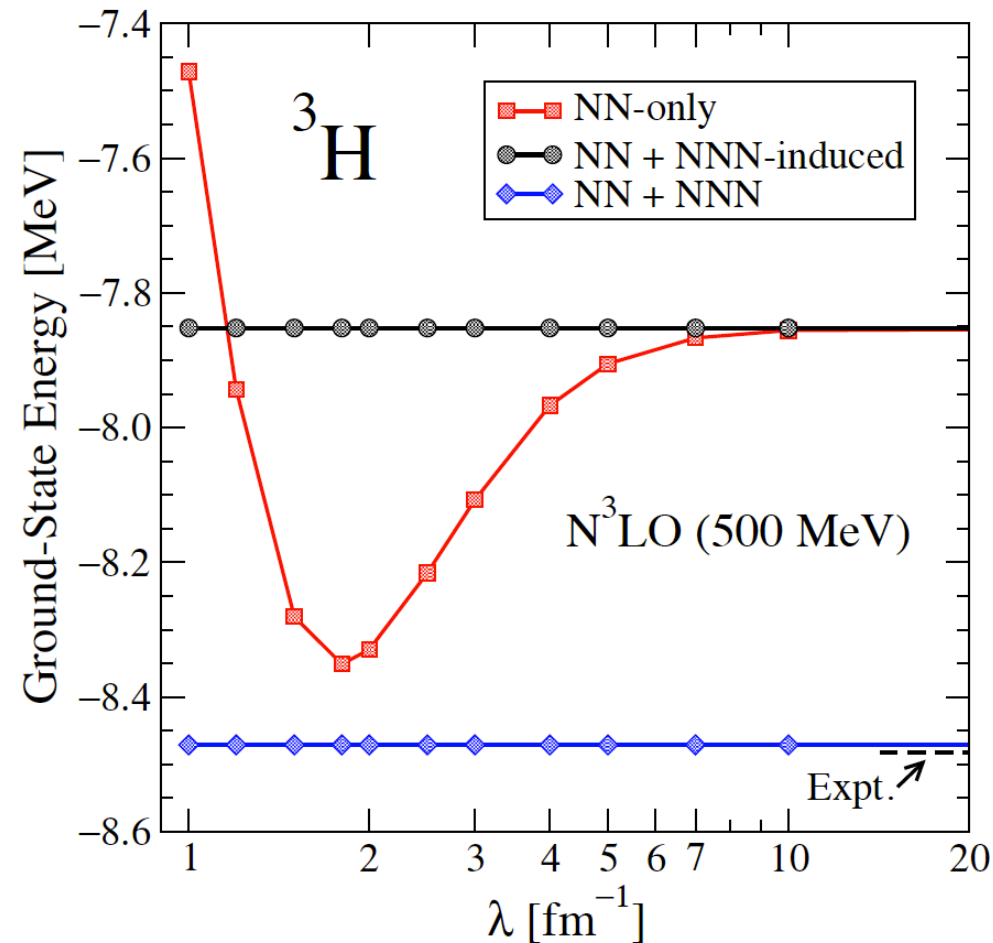


Volya & Zelevinsky, Phys. Rev. Lett. 94 (2005) 052501: Continuum + empirical interaction



Otsuka, Suzuki, Holt, Schwenk, Akaishi, arXiv:0908.2607: 3NF within small model space

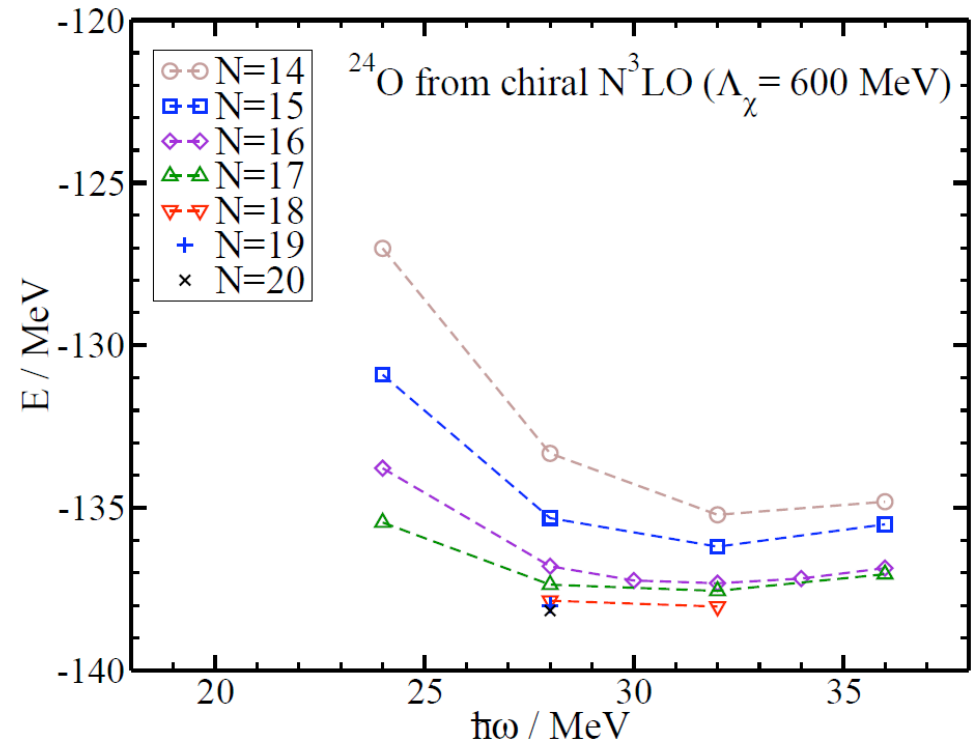
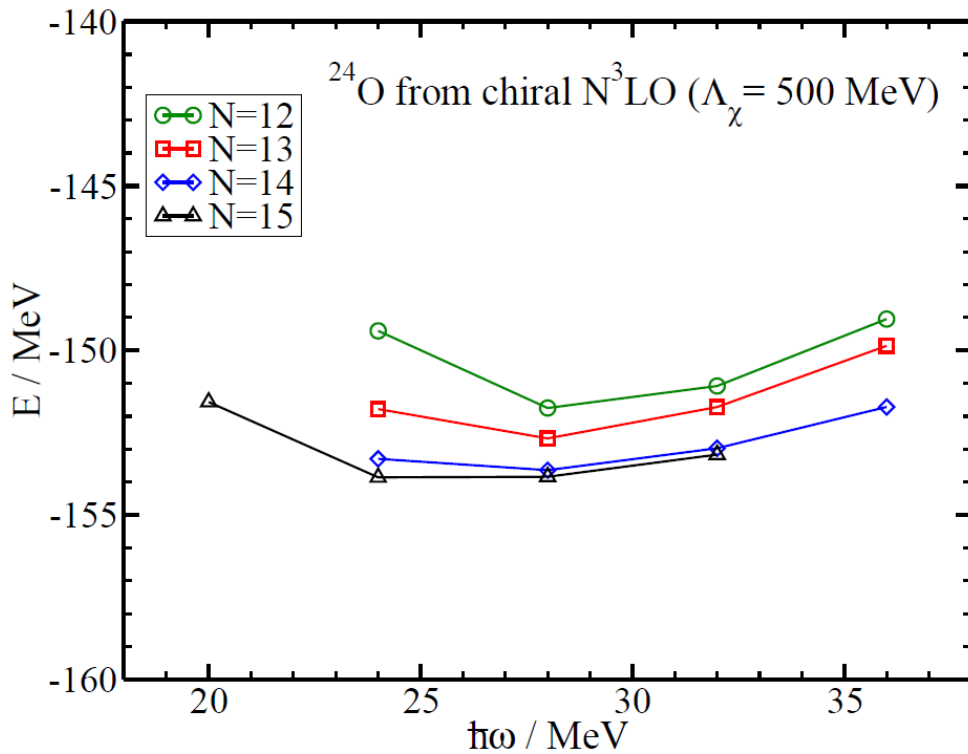
# Solution of ${}^3\text{H}$ and ${}^4\text{He}$ with induced and initial 3NF



Jurgenson, Navratil & Furnstahl, Phys. Rev. Lett. 103, 082501 (2009)

Cutoff-dependence hints at missing physics, specifically short-ranged many-body forces.

# Neutron-rich oxygen isotopes



$\Lambda_\chi = 500$  MeV potential converges in about 15 major oscillator shells

$\Lambda_\chi = 600$  MeV potential converges in about 20 shells

# Summary of results

Energies	$^{16}\text{O}$	$^{22}\text{O}$	$^{24}\text{O}$	$^{28}\text{O}$
$(\Lambda_\chi = 500 \text{ MeV})$				
$E_0$	24.11	50.37	56.19	71.58
$\Delta E_{\text{CCSD}}$	-144.77	-175.79	-190.39	-207.67
$\Delta E_3$	-13.31	-19.22	-19.64	-19.85
$E$	-120.66	-144.64	-153.84	-155.94
$(\Lambda_\chi = 600 \text{ MeV})$				
$E_0$	22.08	46.33	52.94	68.57
$\Delta E_{\text{CCSD}}$	-119.04	-156.51	-168.49	-182.42
$\Delta E_3$	-14.95	-20.71	-22.49	-22.86
$E$	-111.91	-130.89	-138.04	-136.71
Experiment	-127.62	-162.03	-168.38	

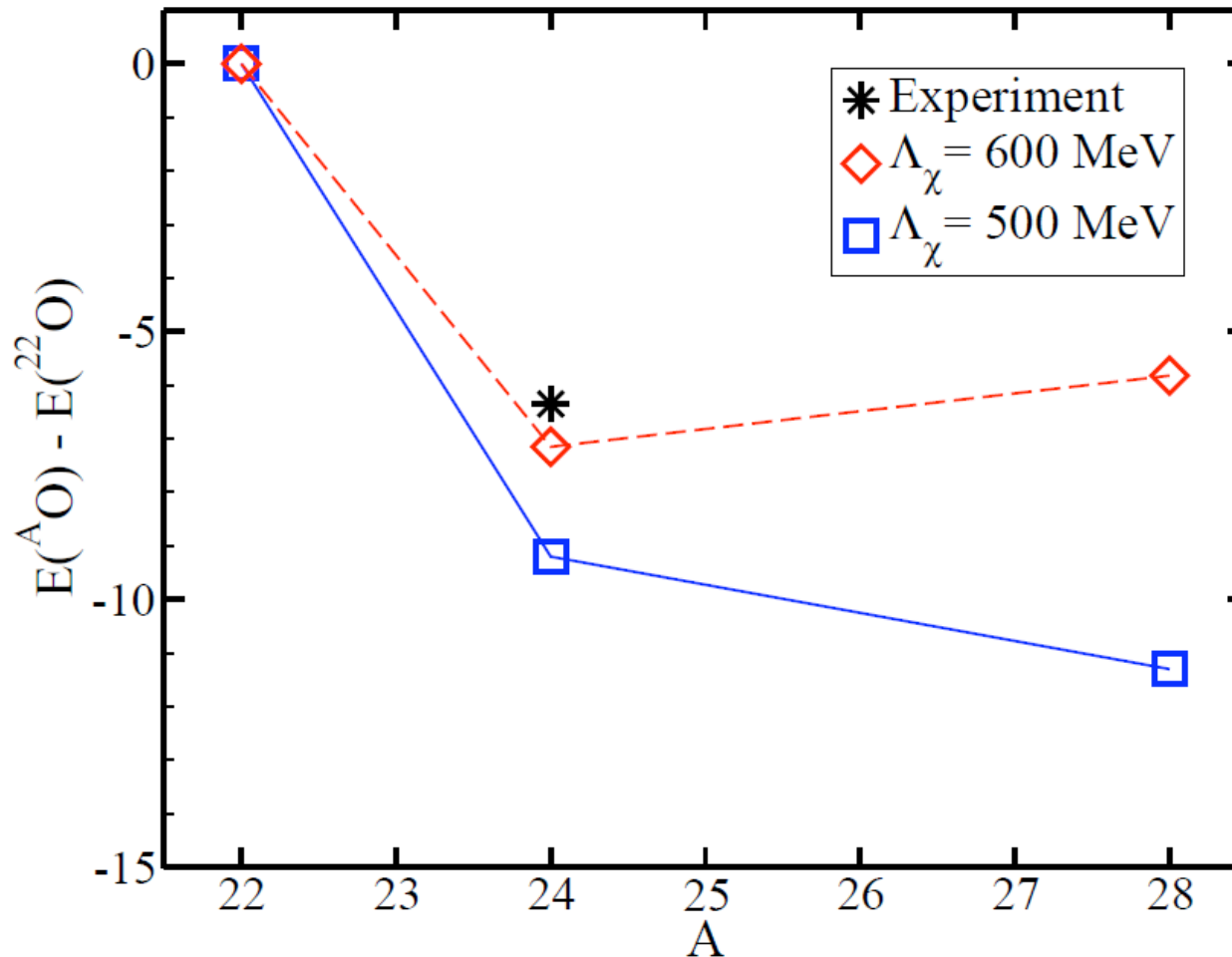
← ~90% of correlation energy

← ~10% of correlation energy

Estimate of theoretical uncertainties:

1. Finite model space ~2MeV
2. Truncation at triples clusters ~2MeV (educated guess)
3. Omission of three-nucleon forces (cutoff dependence) ~15MeV

# Is $^{28}\text{O}$ bound relative to $^{24}\text{O}$ ?



Too close to call. Theoretical uncertainties  $\gg$  differences in binding energies.

Chiral potentials by Entem & Machleidt's different from G-matrix-based interactions.

Ab-initio theory cannot rule out a stable  $^{28}\text{O}$ .

Three-body forces largest potential contribution that decides this question.



# Summary

## **Saturation properties of medium-mass nuclei:**

- “Bare” interactions from chiral effective field theory can be converged in large model spaces
- Chiral NN potentials miss  $\sim 0.4$  MeV per nucleon in binding energy in medium-mass nuclei

## **Practical solution to the center-of-mass problem:**

- Demonstration that coupled-cluster wave function factorizes into product of intrinsic and center-of-mass state
- Center-of-mass wave function is Gaussian
- Factorization very pure for “soft” interactions and approximate for “hard” interaction

## **Neutron-rich oxygen isotopes:**

- Ab-initio theory cannot rule out a stable  $^{28}\text{O}$
- Greatest uncertainty from omitted three-nucleon forces

## Outlook

Towards heavier masses (Ni, Sn, Pb isotopes) & inclusion of 3NFs

Single-particle energies from ab-initio calculations

Drip-line nuclei (He, Li, O, Ca)

$\alpha$ -particle excitations (low-lying  $0^+$  states in doubly magic nuclei)