

Effects of retardation in the renormalization group for fermions

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Thanks: UC-Labs Program, NSF

INT - New applications of RG, February 2010

Outline

- system considered: interacting fermions
- RG for interacting fermions
- frequency dependent interactions
- simple example: circular Fermi surface in 2D
- Eliashberg theory
- Large-N expansion
- another simple example: 1D Holstein-Hubbard model
- 2D square lattice at half-filling
- Conclusions

Interacting fermions:

Examples: electrons, quasiparticles, fermionic atoms such as ${}^6\text{Li}$, ${}^{40}\text{K}$.

Generic action:

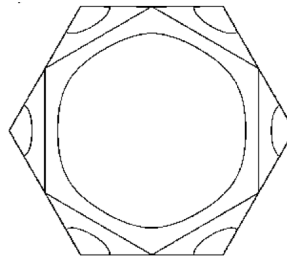
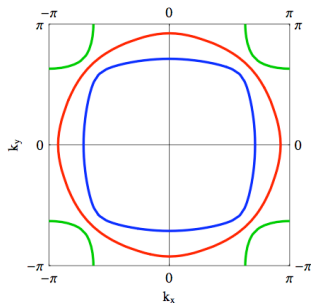
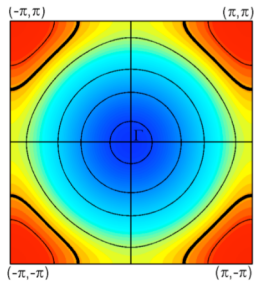
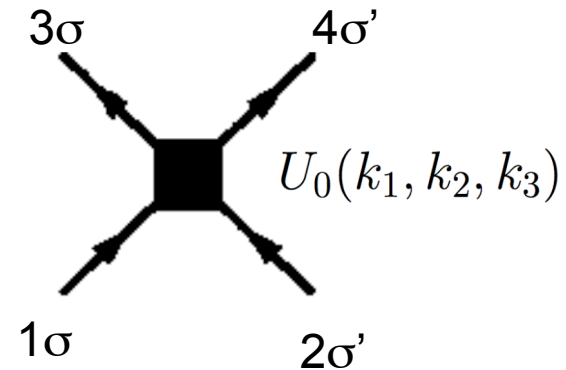
$$S = T \sum_{k,\sigma} \bar{\psi}_{\sigma k} (i\omega_n - \xi_{\mathbf{k}}) \psi_{\sigma k} + T^3 \sum_{k_1, k_2, k_3} \sum_{\sigma, \sigma'} U_0(k_1, k_2, k_3) \bar{\psi}_{\sigma k_3} \bar{\psi}_{\sigma' k_4} \psi_{\sigma' k_2} \psi_{\sigma k_1}$$

where:

$$k \equiv (\omega_n, \mathbf{k})$$

$$k_1 + k_2 = k_3 + k_4$$

$$\xi_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu$$



Frequency-dependent interactions

$$U_0(k_1, k_2, k_3)$$

Examples:

Dynamical Coulomb screening

Phonon-mediated interactions

Interactions mediated by BEC fluctuations

Interactions mediated by any boson-exchange coupling

Retardation effects important when $v_B \lesssim v_F$



$$\lambda = 2N(0)g^2/\omega_E$$

$$U_0(k_1, k_2, k_3) = u_0(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) - 2g(k_1, k_3)g(k_2, k_4)D(k_1 - k_3)$$

Circular Fermi surface, isotropic interaction, BCS channel:

s-wave:

$$\tilde{v}(\omega_1, \omega_3) = N(0) \int \frac{d\theta_1}{2\pi} \int \frac{d\theta_3}{2\pi} \tilde{u}(-k_3, k_3, -k_1, k_1)$$

RG for the couplings:

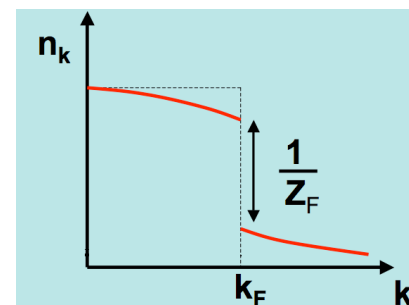
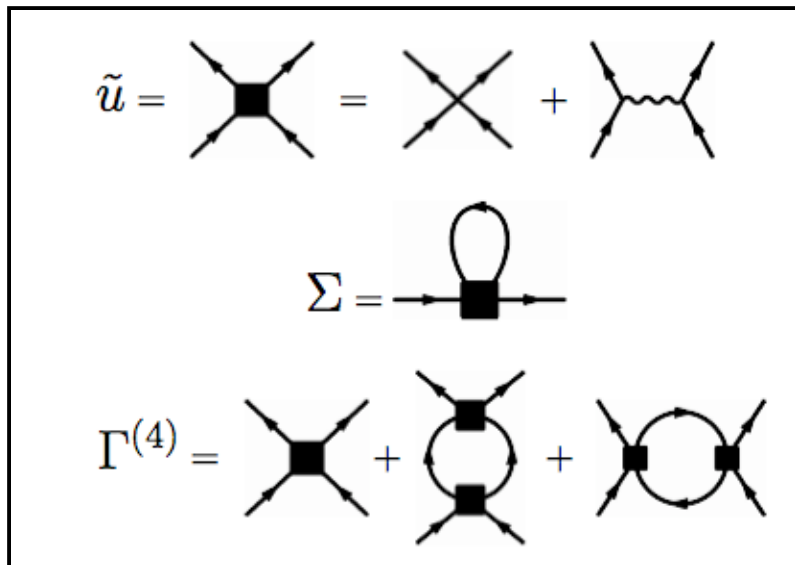
$$\frac{d}{d\ell} \tilde{v}(\omega_1, \omega_3, \ell) = - \int_{-\infty}^{\infty} \frac{d\omega}{\pi} \frac{\Lambda_\ell \tilde{v}(\omega_1, \omega, \ell) \tilde{v}(\omega, \omega_3, \ell)}{\Lambda_\ell^2 + Z_\ell^2(\omega) \omega^2}$$

Initial condition:

$$\tilde{v}(\omega_1, \omega_3, \ell = 0) = u_0 - \lambda \omega_E D(\omega_1 - \omega_3)$$

Self-energy correction:

$$\Sigma(\omega, \mathbf{k}) \approx \Sigma_0 + i(1 - Z(\omega, \mathbf{k}))\omega$$



$$\frac{d}{d\ell} \tilde{v}(\omega_1, \omega_3, \ell) = - \int_{-\infty}^{\infty} \frac{d\omega}{\pi} \frac{\Lambda_\ell \tilde{v}(\omega_1, \omega, \ell) \tilde{v}(\omega, \omega_3, \ell)}{\Lambda_\ell^2 + Z_\ell^2(\omega) \omega^2}$$

matrix equation: $\mathbf{U}_{ij} = v(\omega_i, \omega_j)$

$$\frac{d\mathbf{U}}{d\ell} = -\mathbf{U} \cdot \mathbf{M} \cdot \mathbf{U}$$

Exact solution:

$$\begin{aligned} \mathbf{U}(\ell) &= [1 + \mathbf{U}(0) \cdot \mathbf{P}(\ell)]^{-1} \mathbf{U}(0) \\ \mathbf{P}(\ell) &= \int_0^\ell d\ell' \mathbf{M}(\ell'). \end{aligned}$$

Coupling diverges at $\ell = \ell_c$, where:

$$\det [1 + \mathbf{U}(0) \cdot \mathbf{P}(\ell_c)] = 0$$

Weak-intermediate coupling
McMillan, '68

$$T^* \approx 1.13 \omega_E \exp \left\{ -(1 + \lambda) / (\lambda - \mu^*(1 + \lambda)) \right\}$$

Strong Coupling
Allen-Dynes, '75

$$T^* \approx 0.16 \sqrt{\lambda} \omega_E$$

T^* calculated from:

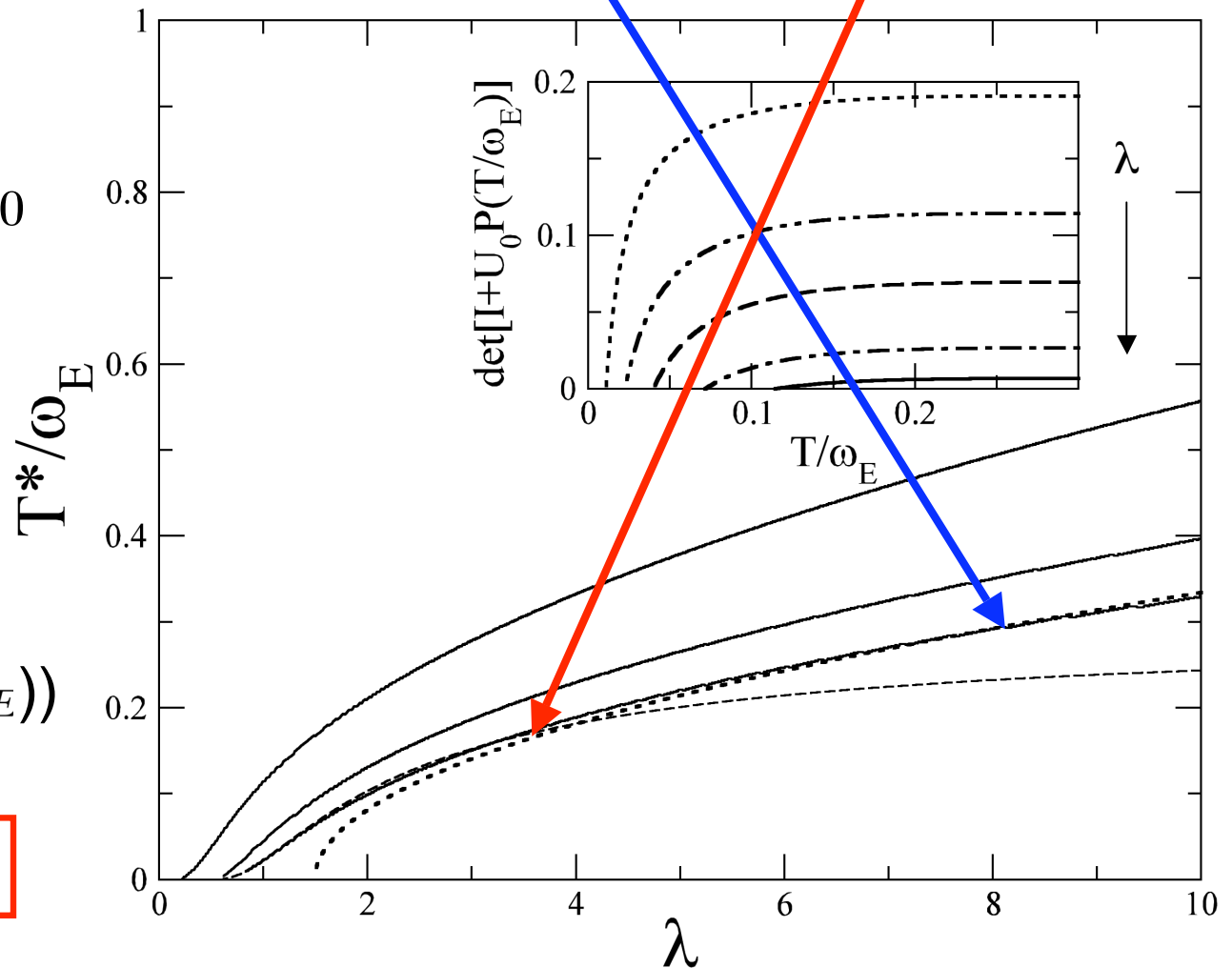
$$\det [\mathbf{1} + \mathbf{U}(0) \cdot \mathbf{P}(T^*/\omega_E)] = 0$$

$$\lambda = 2N(0)g^2/\omega_E$$

Effective e-e interaction:

$$\mu^* = u_0 / (1 + u_0 \ln(\Lambda_0/\omega_E))$$

SWT, AH Castro Neto, R Shankar, DK Campbell, PRB 72, 054531(2005)



matrix equation: $U_{ij} = v(\omega_i, \omega_j)$

$$\frac{d\mathbf{U}}{d\ell} = -\mathbf{U} \cdot \mathbf{M} \cdot \mathbf{U}$$

Exact solution:

$$\begin{aligned}\mathbf{U}(\ell) &= [1 + \mathbf{U}(0) \cdot \mathbf{P}(\ell)]^{-1} \mathbf{U}(0) \\ \mathbf{P}(\ell) &= \int_0^\ell d\ell' \mathbf{M}(\ell').\end{aligned}$$

Coupling diverges at $\ell = \ell_c$, where:

$$\det [1 + \mathbf{U}(0) \cdot \mathbf{P}(\ell_c)] = 0$$

which is equivalent to:

$$[1 + \mathbf{U}(0) \cdot \mathbf{P}(\ell_c)] \cdot \mathbf{f} = 0$$

$$f(\omega) = -\frac{1}{\pi} \int_{\omega'} \int_{\Lambda_c}^{\infty} \frac{[u_0 - \lambda \omega_E D(\omega - \omega')]}{Z_{\Lambda}^2(\omega') \omega'^2 + \Lambda^2} f(\omega')$$

→ gives ELIASHBERG's equations at $T=T_c$

Finite temperature: find a temperature T^* above which Fermi liquid is stable

$$\omega_n = \pi T^* (2n + 1) \quad \Lambda_c \rightarrow 0$$

Define:

$$\phi(\omega_n) = f(\omega_n) / Z(\omega_n)$$

Interaction:

$$Z(\omega_n)\phi(\omega_n) = -\pi T^* \sum_m [u_0 - \lambda \omega_E D(\omega_n - \omega_m)] \frac{\phi(\omega_m)}{|\omega_m|}$$

Self-energy:

$$Z(\omega_n) = 1 + \lambda \omega_E \frac{\pi T^*}{\omega_n} \sum_m \text{sgn}(\omega_m) D(\omega_n - \omega_m)$$

→ Eliashberg's equations

RG evolution of the couplings in the BCS channel ($\lambda = 0.3, 4.0$)

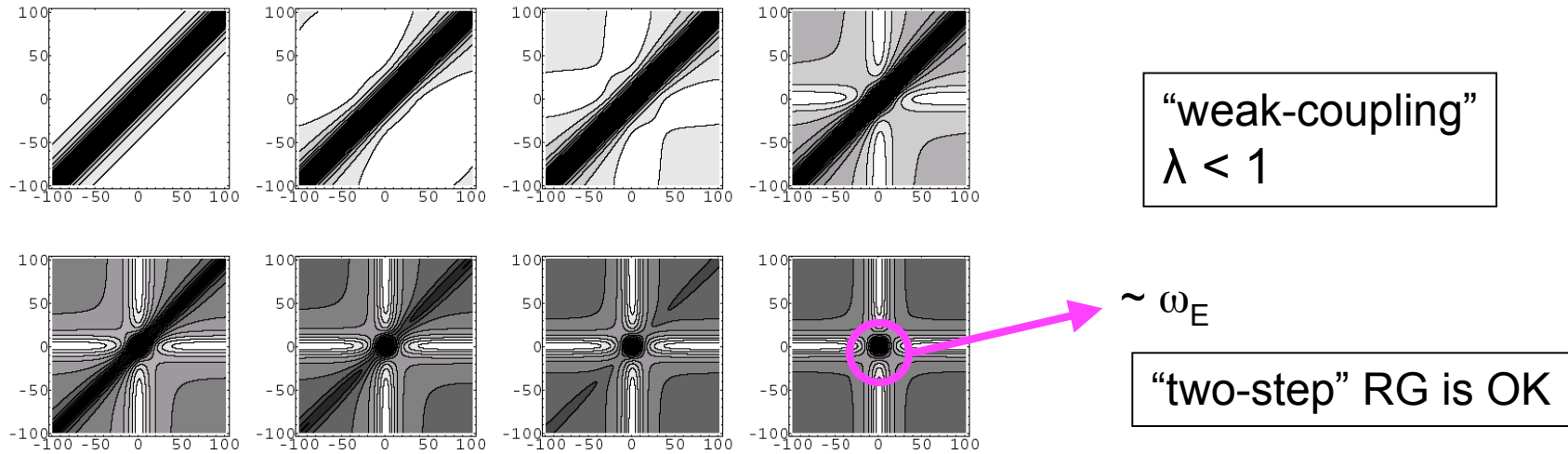
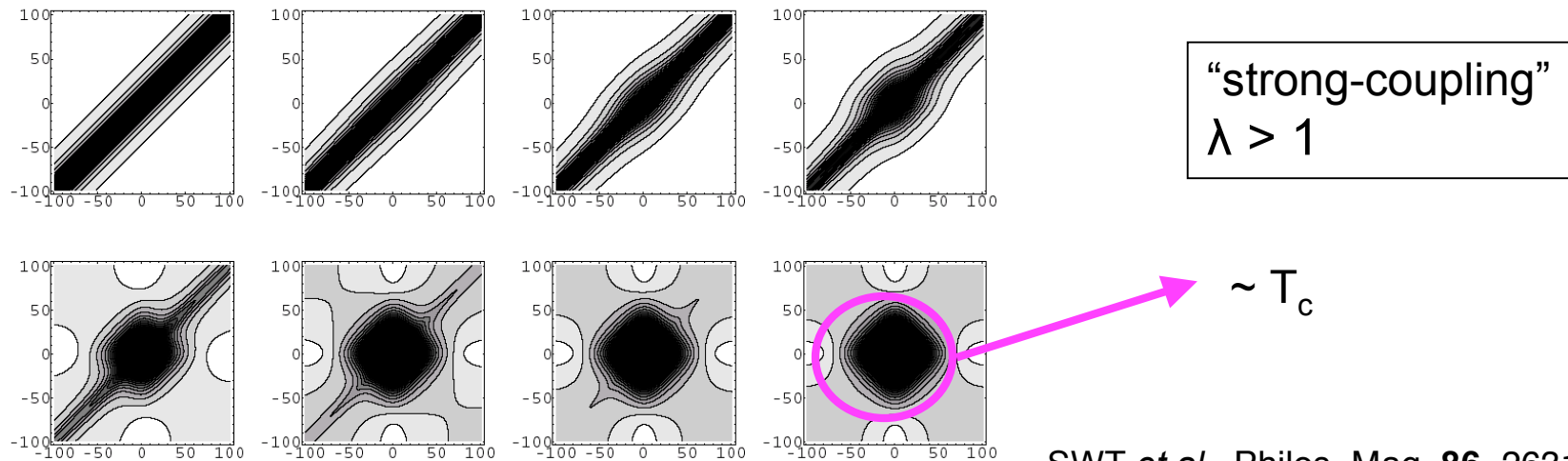


Figure 1: Plots of the $N \times N$ matrix U at different RG scales ℓ . Here the number of frequency divisions $N=200$, and the value of the parameters used are $\lambda=0.3$, $\Lambda_0=100$, $\omega_E=10$, $u_0=0.1$. Panels correspond to $\ell = 0, 2.5, 3, 5, 6.5, 6.9, 7.1$, and 7.19 .



SWT *et al.*, *Philos. Mag.* **86**, 2631 (2006)

Figure 2: Plots of the $N \times N$ matrix U at different RG scales ℓ . Here the number of frequency divisions $N=200$, and the value of the other parameters are $\lambda=4$, $\Lambda_0=100$, $\omega_E=10$, and $u_0=0.1$. Panels correspond to $\ell = 0, 1, 2, 2.5, 3, 3.13, 3.157, 3.172$. The scale $2W_c \approx 40$ distinguishes the high and low frequencies close to ℓ_c .

Circular Fermi surface, but anisotropic boson-exchange couplings

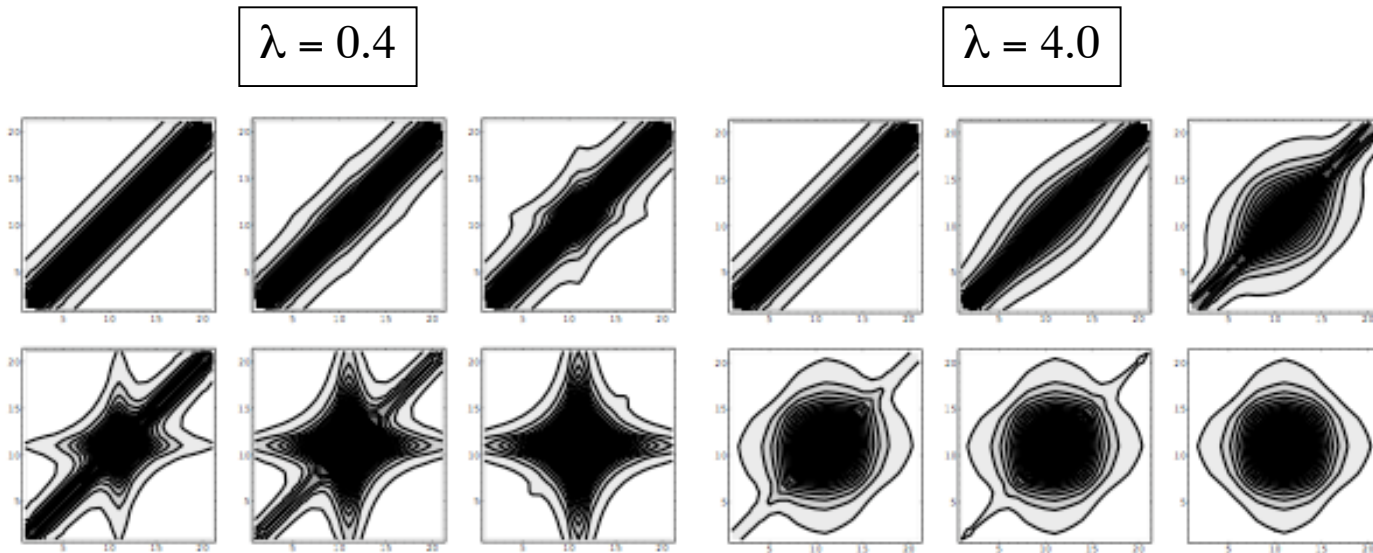


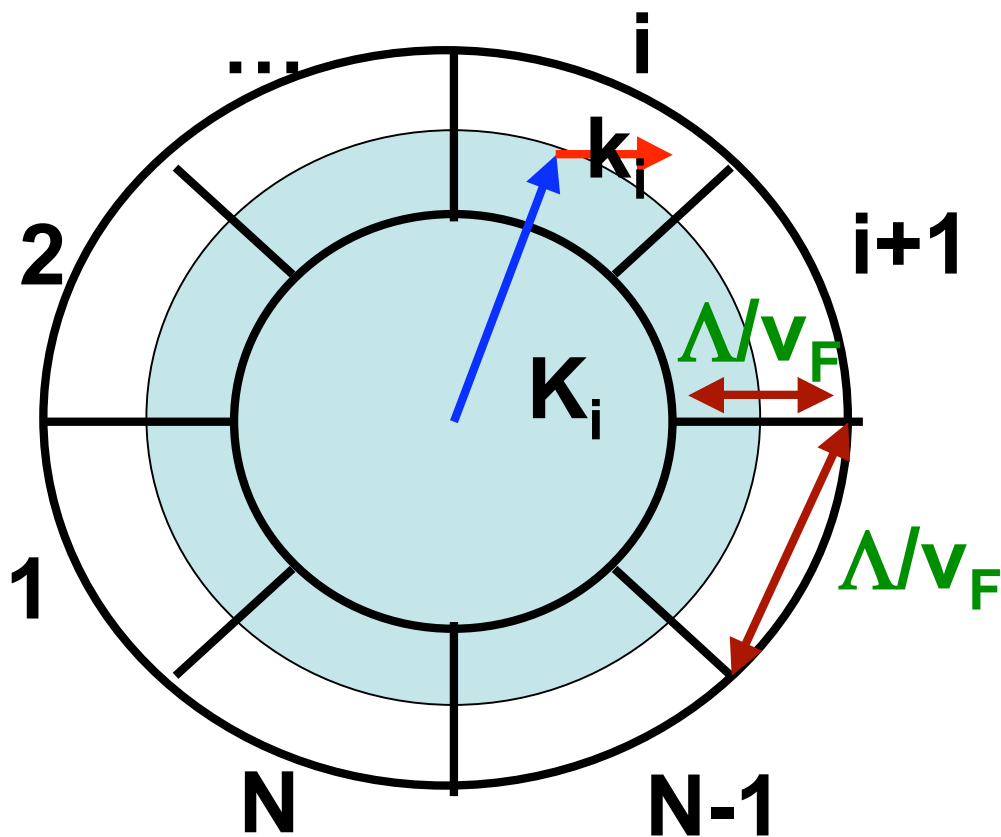
FIG. 2: Same as Fig.[1] but for the $d_{x^2-y^2}$ -channel, $\gamma = 2$. The six panels on the left side are the evolution of the matrix elements at weak coupling, $\lambda = 0.4$ and the panels on the right side are the same but for the strong coupling regime, $\lambda = 4.0$

Generalized Eliashberg equations.

Large-N analysis:

$$N = E_F / \Delta$$

R. Shankar, Rev. Mod. Phys. **68**, 129 (1994)



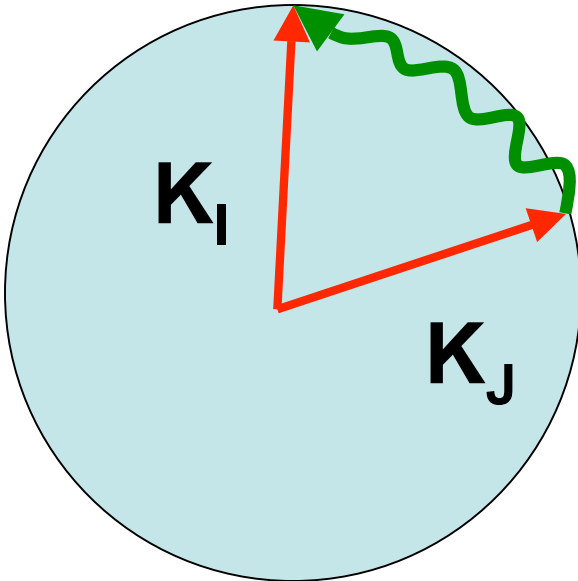
$$\Gamma^{(4)} =$$

$\uparrow k_3$ $\downarrow -k_3$
 $\uparrow k_1$ $\downarrow -k_1$

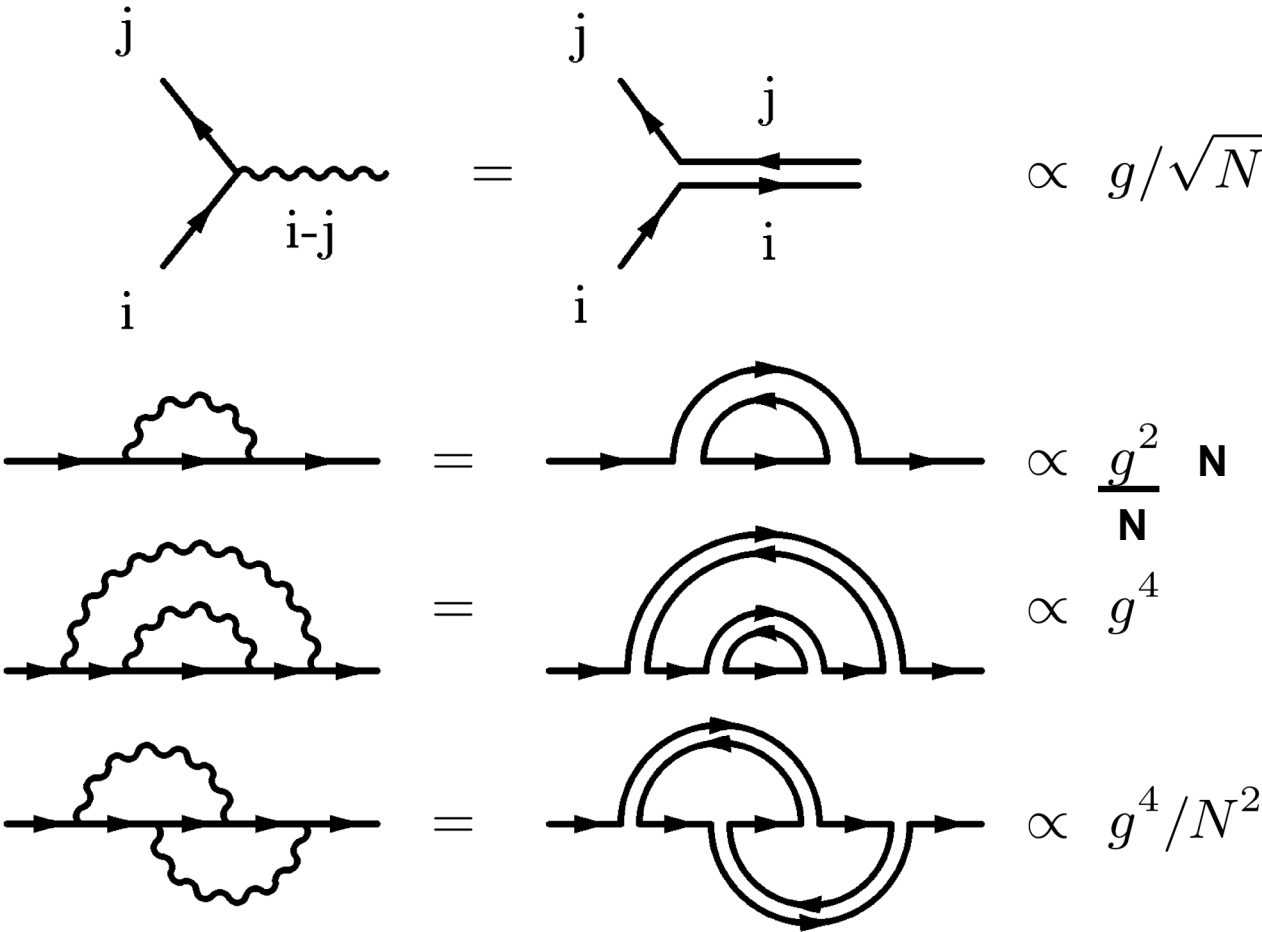
$k+k_1-k_3$
 k

Migdal's theorem ('58)

$$u \sim g^2 \sim 1/N$$



t'Hooft 1974

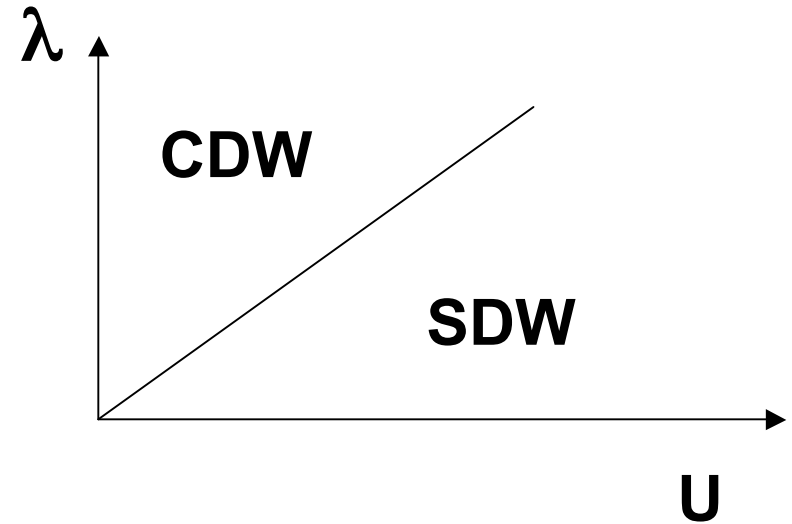


Self-energy

No e-ph vertex corrections

1D Holstein-Hubbard model:

$$H = -t \sum_{i,\sigma} (c_{i+1,\sigma}^\dagger c_{i,\sigma} + H.c.) + U \sum_i n_{i,\uparrow} n_{i,\downarrow} \\ + g_{ep} \sum_{i,\sigma} (a_i^\dagger + a_i) n_{i,\sigma} + \omega_0 \sum_i b_i^\dagger b_i,$$



$$\lambda = 2g_{ep}^2 / \omega_0$$

$$U_{eff} \equiv U - \lambda$$

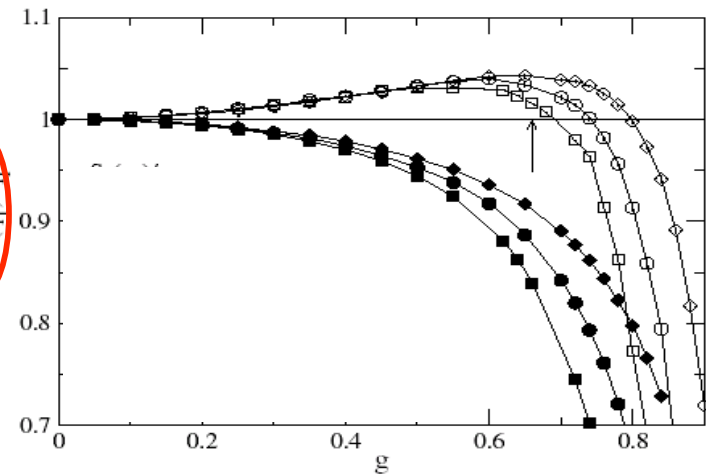
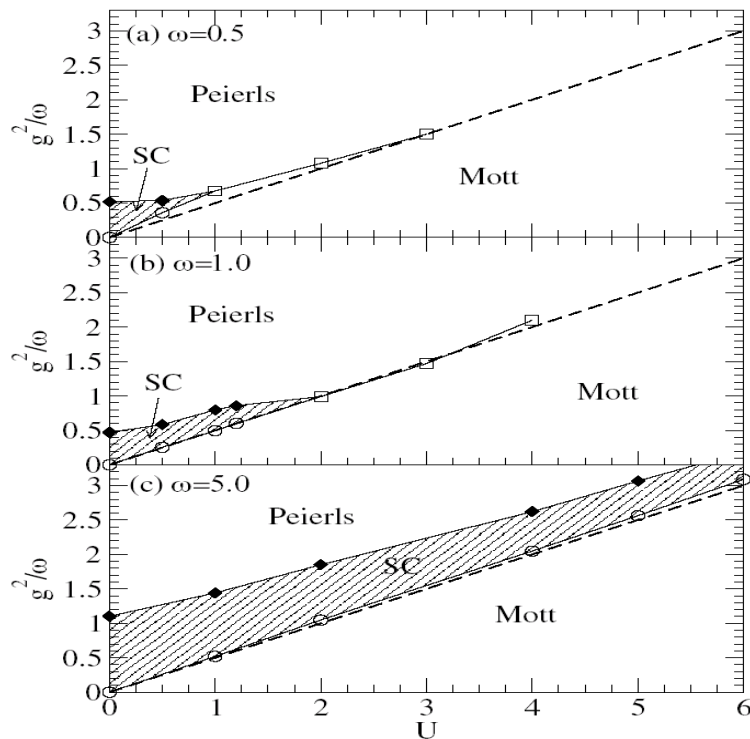
J. E. Hirsch and E. Fradkin, PRB 27, 4302 (1983)

also: H. Fehske, *et al.*, PRB 69, 165115 (2004);

I. P. Bindloss, PRB 71, 205113 (2005)

More recently a third phase has been proposed:

R. T. Clay and R. P. Hardikar, PRL 95, 096401 (2005)



$\pi S(q_{\parallel})/q_{\parallel}$
 \downarrow
 $K\rho$

From Tomonaga-Luttinger liquid theory:

$\bigcirc^{\text{CDW}} \sim \chi^{-K\rho}$

$\bigcirc^{\text{SC}} \sim \chi^{-1/K\rho}$

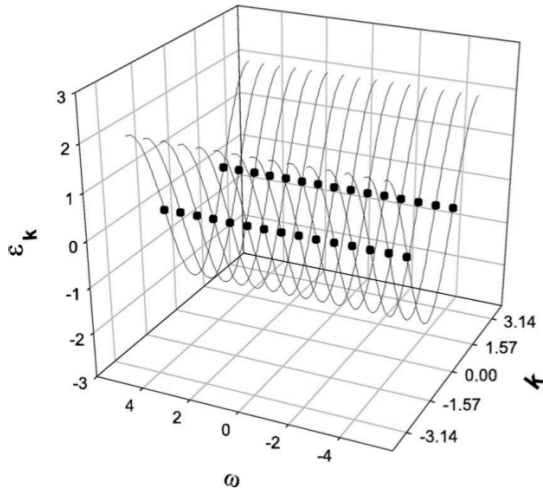
also: C. Wu, *et al.*, PRB 52, 15683 (1995)

E. Jeckelmann, *et al.*, PRB 60, 7950 (1999)

Y. Takada and A. Chatterjee, PRB 67, 0811102 (2003)

Y. Takada, J. Phys. Soc. Jpn., 65, 1544 (1996)

Functional RG analysis:



$$g_1 \rightarrow g_1(\omega_1, \omega_2, \omega_3, \omega_4)$$

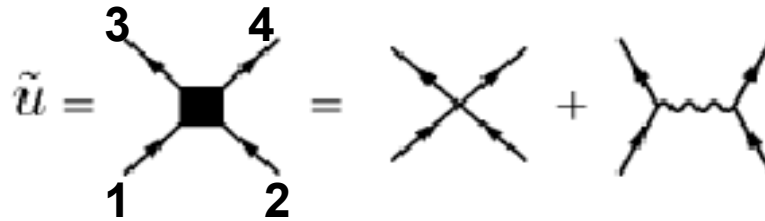
$$g_2 \rightarrow g_2(\omega_1, \omega_2, \omega_3, \omega_4)$$

$$g_3 \rightarrow g_3(\omega_1, \omega_2, \omega_3, \omega_4)$$

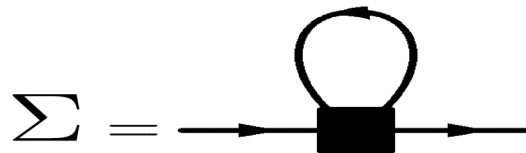
$$g_4 \rightarrow g_4(\omega_1, \omega_2, \omega_3, \omega_4)$$

$$\omega_1 + \omega_2 = \omega_3 + \omega_4$$

Initial conditions:

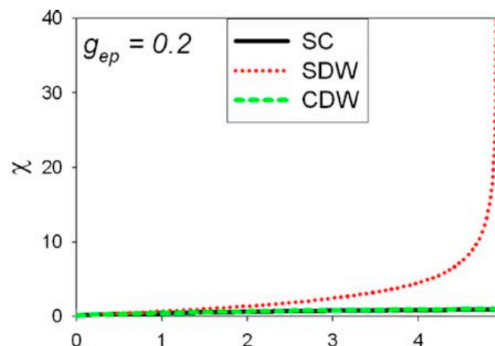
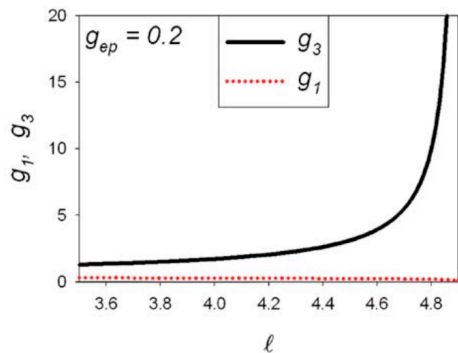


$$g_i(\omega_1, \omega_2, \omega_3, \omega_4) = U - \frac{2g_{ep}^2}{\omega_0} \left(\frac{\omega_0^2}{\omega_0^2 + (\omega_1 - \omega_3)^2} \right)$$



RG flows of susceptibilities and couplings ($\omega_0 = 1$):

$$U_{\text{eff}} > 0$$

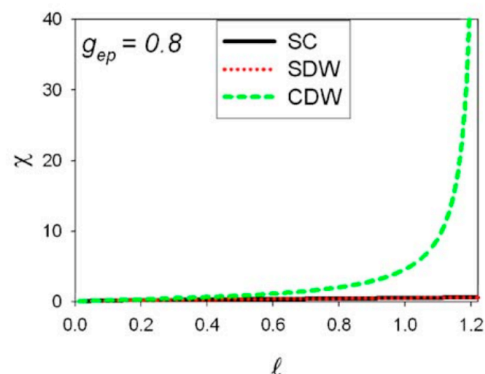
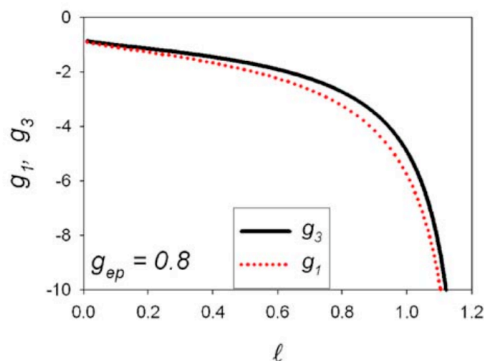


SDW

$$\Delta_C \neq 0$$

$$\Delta_S = 0$$

$$U_{\text{eff}} < 0$$



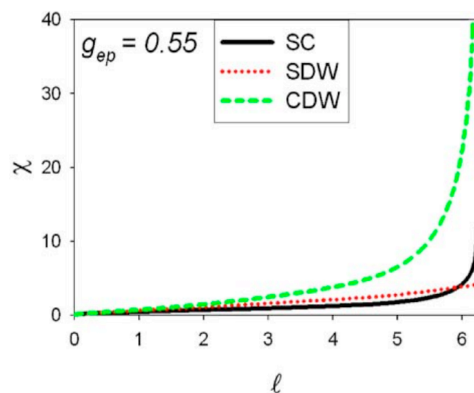
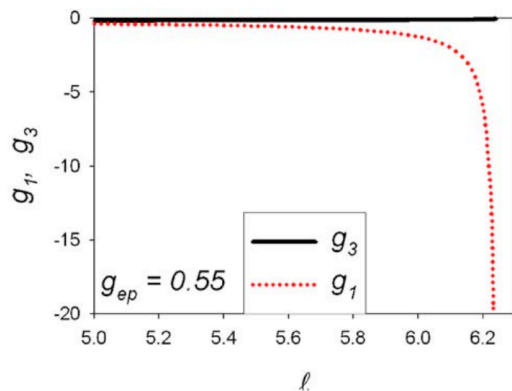
CDW

$$\Delta_C \neq 0$$

$$\Delta_S \neq 0$$

“Intermediate” region:

$$U_{\text{eff}} = -\delta$$



CDW

$$\Delta_C = ?$$

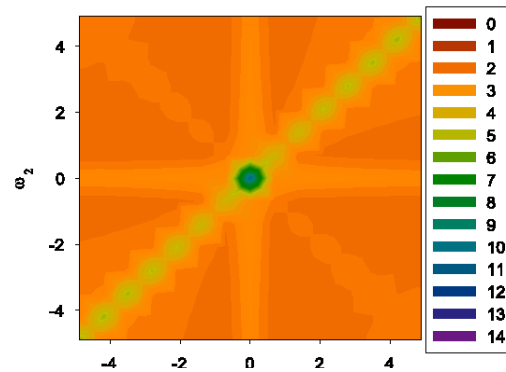
$$\Delta_S \neq 0$$

Frequency structure of $g_3(\omega_1, \omega_2, \omega_1, \omega_2)$

K.-M. Tam *et al.*, PRB
75, 161103 (2007)

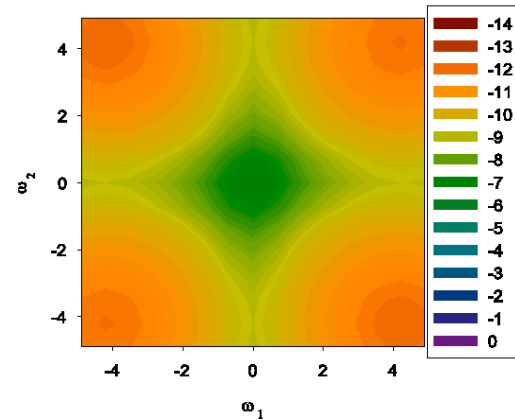
$$g_{ep} = 0.2 \text{ (SDW)}$$

$$U_{eff} < 0$$



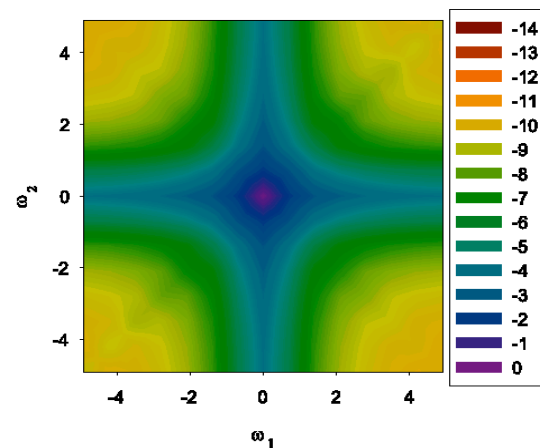
$$g_{ep} = 0.8 \text{ (CDW)}$$

$$U_{eff} > 0$$



$$g_{ep} = 0.55 \text{ (CDW)}$$

$$U_{eff} \approx 0$$



“dynamical umklapp”

How to conciliate with $K_\rho > 1$:

- $K_\rho > 1$ does not mean SC is dominant!

$$O^{CDW}(x) \propto x^{-\alpha K_\rho} \equiv x^{-K_{CDW}}$$

$$O^{SC}(x) \propto x^{-\beta/K_\rho} \equiv x^{-K_{SC}}$$

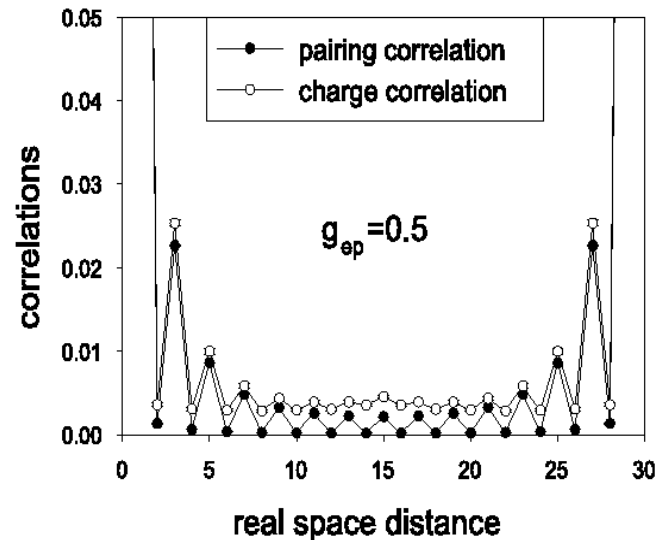
D. Loss and T. Martin, PRB 50, 12160 (1994)

M. Tezuka, *et al.*, PRL 96, 226401 (2005)

Ladder systems:

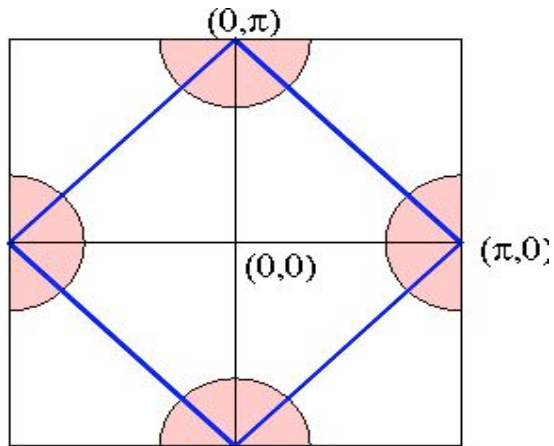
K.-M. Tam *et al.*, PRB 75, 195119 (2007)

Direct calculation of susceptibilities (Determinantal Quantum Monte-Carlo):

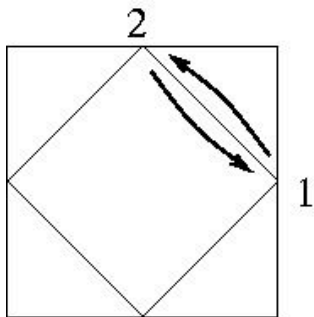


K.-M. Tam *et al.* (unpublished)

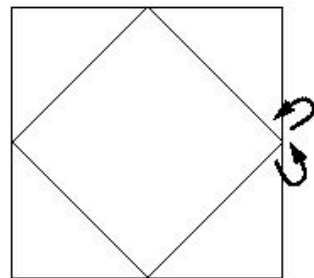
Two-patch model for van Hove problem:



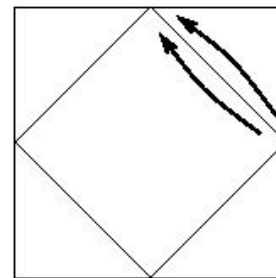
H. Schulz, Europhys. Lett. (1987)



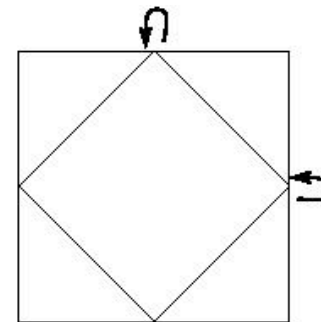
$$g_1 = u(1221)$$



$$g_2 = u(1111)$$



$$g_3 = u(2211)$$

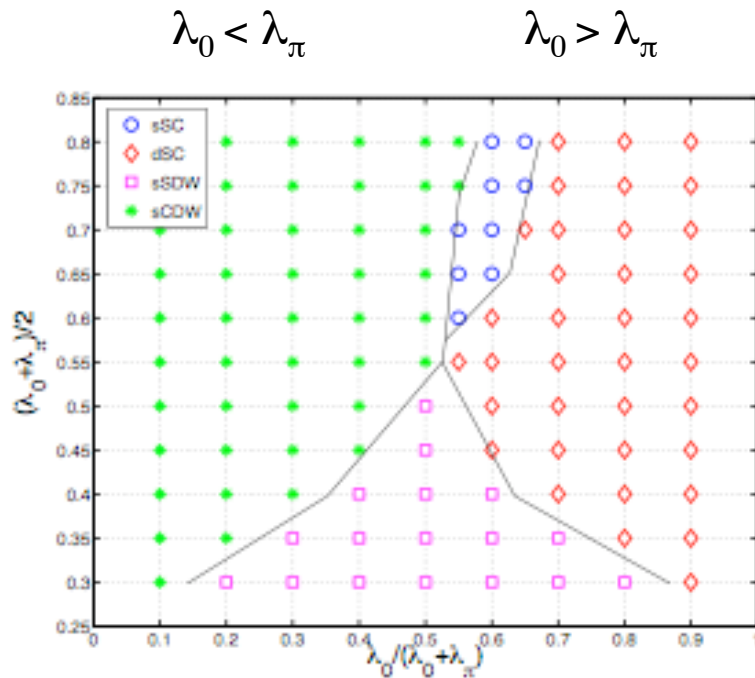


$$g_4 = u(1212)$$

phonon coupling: $\lambda = 2N(0)g^2 / \omega_E$

Allow for anisotropic phonons, calculate flow of susceptibilities:

$$u_0 = 0.5, \omega_E = 1.0$$



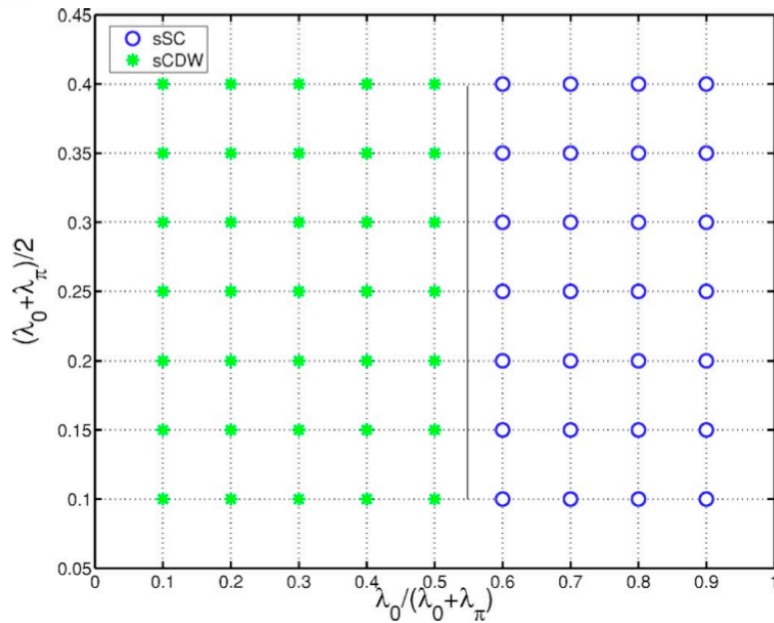
$$g_{1,3}^{\ell=0}(\omega_1, \omega_2, \omega_3) = u_0 - \lambda_\pi \frac{\omega_E^2}{\omega_E^2 + (\omega_1 - \omega_3)^2}$$

$$g_{2,4}^{\ell=0}(\omega_1, \omega_2, \omega_3) = u_0 - \lambda_0 \frac{\omega_E^2}{\omega_E^2 + (\omega_1 - \omega_3)^2}$$

F. D. Klironomos and SWT, PRB
74, 205109 (2006)

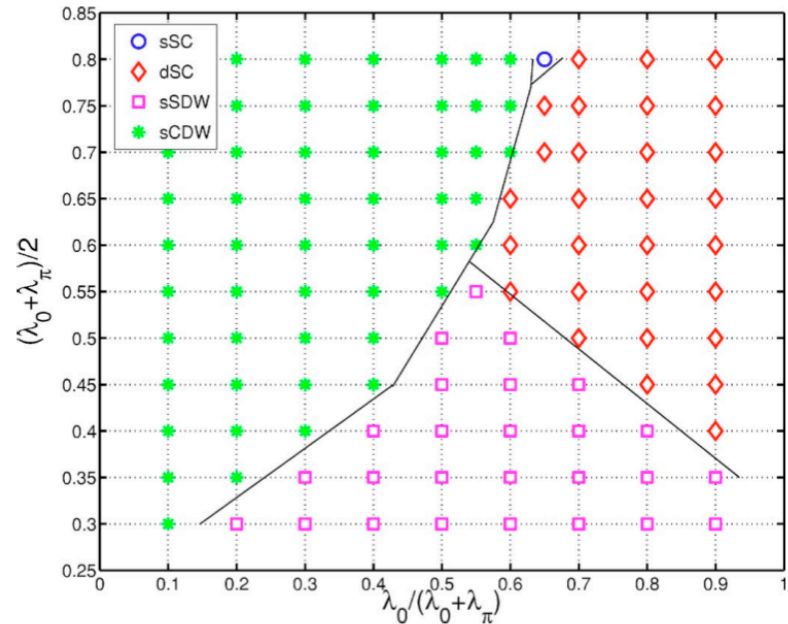
FIG. 1: (Color online) Phase diagram for Einstein phonons of frequency $\omega_E = 1.0$. Four phases involving antiferromagnetism (sSDW) (purple squares), charge density wave (sCDW) (green stars) and s-wave (sSC) (blue circles) and d-wave (dSC) (red rhombs) superconductivity compete in the vicinity where the average phononic strength $\bar{\lambda}$ approaches the bare on-site repulsion $u_0 = 0.5$. The lines distinguishing the different domains are guides to the eye.

$$u_0 = 0, \omega_E = 1.0$$



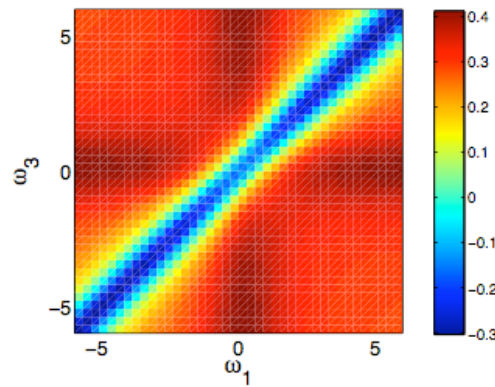
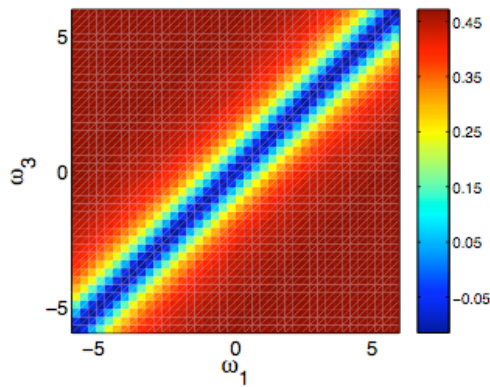
Need repulsive component
for d-wave SC to develop.

$$u_0 = 0.5, \omega_E = 0.1$$



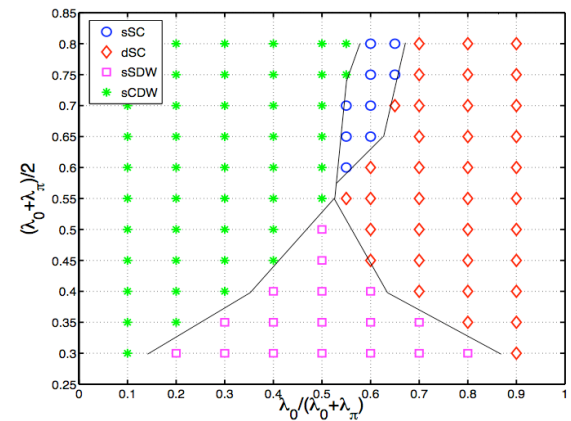
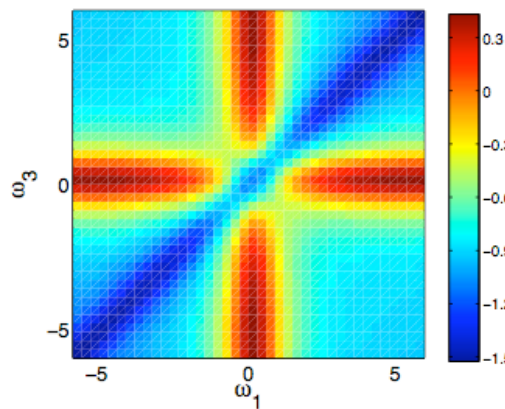
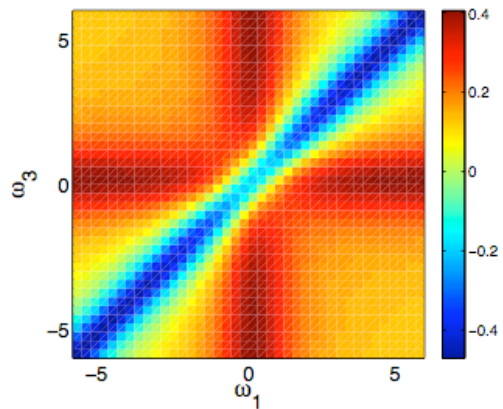
Density-wave phases regions
increase when ω_E is decreased.

RG evolution of $g_2(\omega_1, -\omega_1, \omega_3, -\omega_3)$ for $\lambda_0=0.6$, $\lambda_\pi=0.4$, and $\omega_E=1.0$.



$$g_{1,3}^{\ell=0}(\omega_1, \omega_2, \omega_3) = u_0 - \lambda_\pi \frac{\omega_E^2}{\omega_E^2 + (\omega_1 - \omega_3)^2}$$

$$g_{2,4}^{\ell=0}(\omega_1, \omega_2, \omega_3) = u_0 - \lambda_0 \frac{\omega_E^2}{\omega_E^2 + (\omega_1 - \omega_3)^2}$$



F. D. Klironomos
and SWT, PRB **74**,
205109 (2006)

There can be dominant BCS pairings even at half-filling due to a separation of scales: a given coupling may have a different sign at low and high frequencies

Summary:

- Functional RG for interacting fermions with frequency-dependent interactions.
- Multiple energy scales.
- Applications:
 - *2D Circular Fermi surface, Eliashberg equations, large-N expansion*
 - *1D Holstein-Hubbard model*
 - *2D square lattice at half-filling*

Fermion-Boson mixtures of cold atoms:

- *fermionic atoms + BEC of bosonic atoms*
- *on-site repulsion + long-range attraction + lattice geometry*
- *square lattice, triangular lattice*
- *L. Mathey et al., PRL 2006; PRB 2007, Klironomos et al., PRL 2007.*

Collaborators:

Nicolas Lopez (*UCR*)

Chuntai Shi (*UCR*)

Ryan Kalas (*CNLS, LANL*)

Eddy Timmermans (*CNLS, LANL*)

Filippos Klironomos (*Freiburg*)

Klironomos and SWT, PRB 2006; PRL 2007

Rafael Roldán (*Nijmegen*)

Roldan et al. PRB 2009

Maria Pilar López-Sancho (*ICMM-CSIS, Madrid*)

Ludwig Mathey (*NIST*)

Mathey et al. PRL 2006, PRB 2007

Ka-Ming Tam (*U. Waterloo*)

Tam et al. PRL 2006, PRB 2007

Antonio H. Castro Neto (*BU*)

Ramamurti Shankar (*Yale*)

PRB 2005

David K. Campbell (*BU*)