

# Nuclear Physics from lattice QCD at strong coupling

Philippe de Forcrand  
ETH Zürich and CERN

with Michael Fromm (ETH)

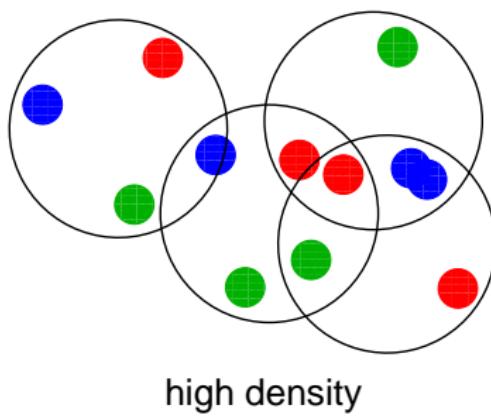
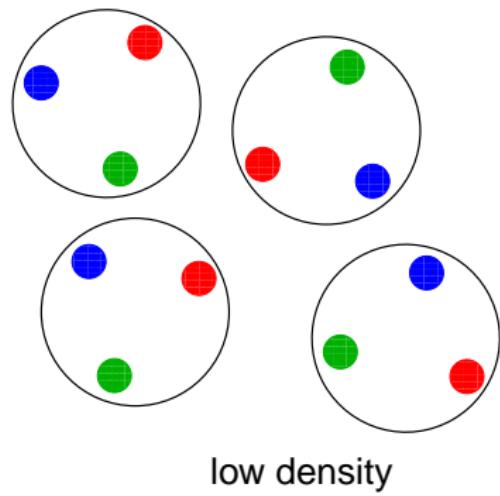
arXiv:0811.1931, 0907.1915 → PRL, 0912.2524  
and in progress



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Matter under extreme conditions: simple ideas

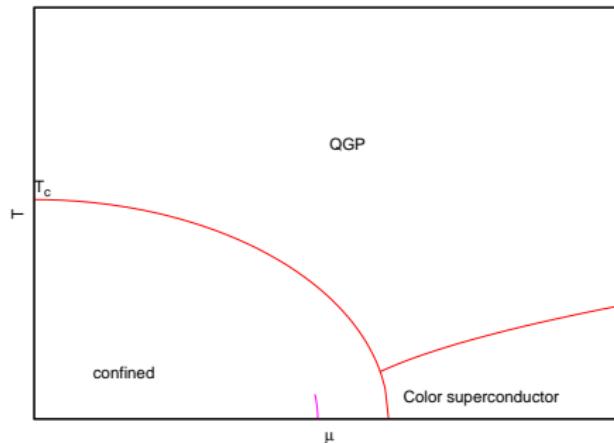
- **Confinement** loses meaning when hadrons overlap



- Same at high temperature (overlapping with pions)
- Bag picture: percolation → **chiral symmetry restoration**

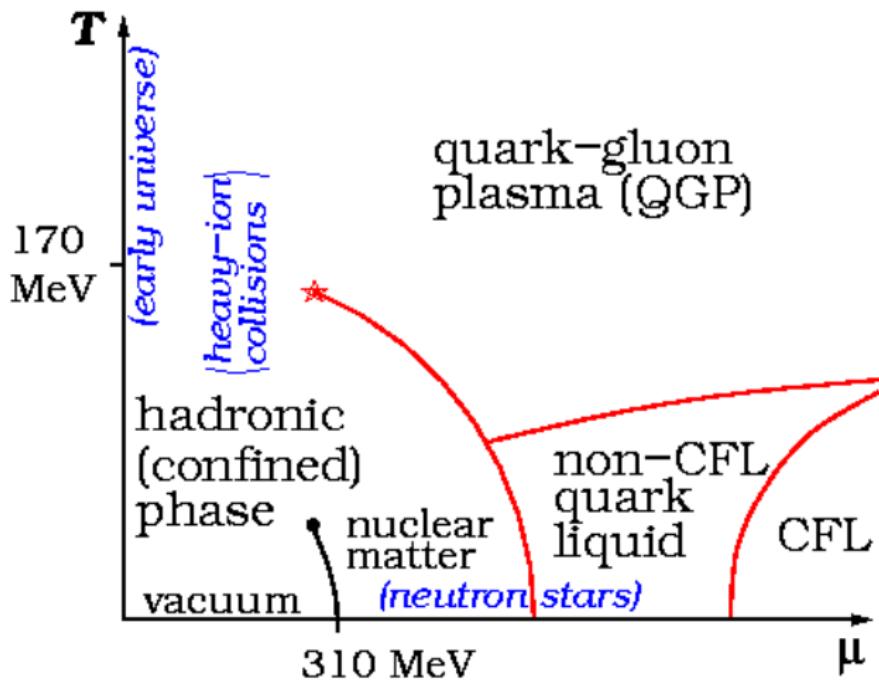
# More formal

- Hadron resonance gas (Hagedorn):  $\rho(E) \propto \exp(+cE)$   
 $Z(T) = \int dE \rho(E) \exp(-E/T) \implies \text{divergent for } T > 1/c$
- Asymptotic freedom:  $g(T, \mu) \rightarrow 0$  for [very] high  $T$  or  $\mu$   
perturbation theory (at low order – Linde)
- high  $T$ : quark-gluon plasma
- high  $\mu$ :  $q-q$  attraction via 1 gluon exchange → color superconductivity



*minimum* phase diagram – phase transitions or crossovers?

## QCD phase diagram according to Wikipedia



This talk is about: **hadron  $\leftrightarrow$  nuclear matter** transition  
and  $T = 0$  **nuclear interactions**

# Nuclear physics from lattice QCD ?

- Fundamental QCD theory is known:

$$\mathcal{L} = \frac{1}{4} F_{\mu\nu} F_{\mu\nu} + \sum_{i=1}^{N_f} \bar{\psi}_i (\not{D} + m_i) \psi_i$$

(gluons + quarks) confined into hadrons

- One should be able to *derive* interactions between hadrons from QCD
- Lattice QCD:  
only known non-perturbative gauge-invariant regulator of QCD
- Derive nuclear physics from lattice QCD ?  
All OK when quark density is zero (ie.  $\mu = 0$ )  
Non-zero quark density  $\implies$  **sign problem**

# Why are we stuck at $\mu = 0$ ? The “sign problem”

$$Z = \int \prod dU \prod d\bar{\psi} d\psi \exp(-S_{YM} - \int d^3x \int_0^\beta d\tau \sum_{i=1}^{N_f} \bar{\psi}_i (\not{D} + m_i) \psi_i)$$

- quarks anti-commute → integrate analytically:  $\det(\not{D}(U) + m + \mu \gamma_0)$   
 $\gamma_5(i\not{p} + m + \mu \gamma_0)\gamma_5 = (-i\not{p} + m - \mu \gamma_0) = (i\not{p} + m - \mu^* \gamma_0)^\dagger$

$$\boxed{\det \not{D}(\mu) = \det^* \not{D}(-\mu^*)}$$

$\det$  real only if  $\mu = 0$  (or  $i\mu_i$ ), otherwise can/will be complex

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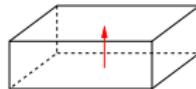
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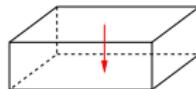
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- The measure  $\omega \sim \det \not{D}$  must be complex to get correct physics



$$\langle \text{Tr Polyakov} \rangle = \exp(-\frac{1}{T} F_q) = \langle \text{Re Pol} \times \text{Re} \omega - \text{Im Pol} \times \text{Im} \omega \rangle$$



$$\langle \text{Tr Polyakov}^* \rangle = \exp(-\frac{1}{T} F_{\bar{q}}) = \langle \text{Re Pol} \times \text{Re} \omega + \text{Im Pol} \times \text{Im} \omega \rangle$$

$$F_q \neq F_{\bar{q}} \Rightarrow \text{Im} \omega \neq 0$$

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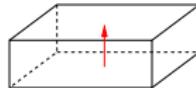
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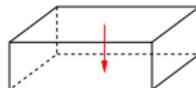
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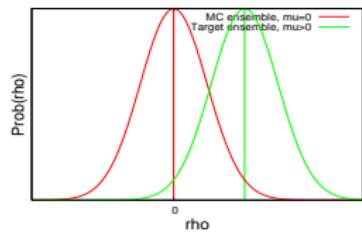
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- Origin:  $\mu \neq 0$  breaks charge conj. symm., ie. usually complex conj.
- Conversely:  $\boxed{\text{weight}(\{U\}) = \text{weight}(\{U^*\}) \text{ real} \Rightarrow \text{Re} \langle \rho_B \rangle = 0}$

# Two difficulties: sign and overlap

MC ensemble has **zero average baryon density  $\rho$**   $\Rightarrow$  **exploit fluctuations in  $\rho$**



Each MC config has **complex weight**  
in target ensemble: **sign** problem.  
 $\rightarrow$  noisy results

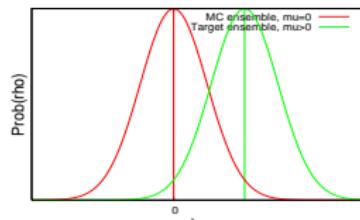
Larger volume.

**Overlap** problem becomes serious,  
starting with large- $\rho$  tail  
 $\rightarrow$  **wrong results** (Glasgow method)  
with finite sample

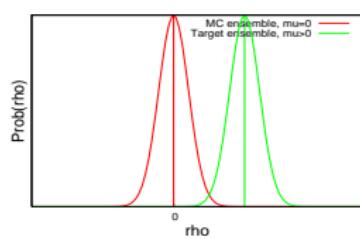
- Strategy: postpone inevitable [double] disaster  $\sim \exp(-\frac{V}{T}f(\mu))$
- Physics (?) at small  $\frac{\mu}{T}$ , small  $V$  — low  $T$  inaccessible
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- Modify theory for milder sign problem? **strong coupling limit**

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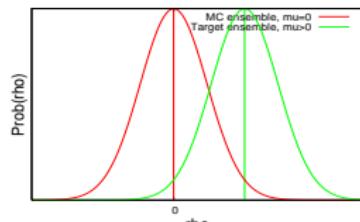


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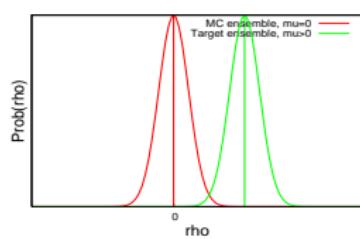
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# Motivation (1)

## Strong coupling LQCD: why bother ?

**Asymptotic freedom:**  $a(\beta_{\text{gauge}}) \propto \exp(-\frac{\beta_{\text{gauge}}}{4N_c b_0})$

ie.  $a \rightarrow 0$  when  $\beta_{\text{gauge}} \equiv \frac{2N_c}{g^2} \rightarrow +\infty$ . Here  $\boxed{\beta_{\text{gauge}} = 0}$  !!

- Lattice “infinitely coarse”
- Physics not universal

Nevertheless:

- Properties similar to QCD: confinement and  $\chi_{\text{SB}}$
- Include (perhaps) next term in strong coupling expansion, ie.  $\beta_{\text{gauge}} > 0$
- When you can't find the solution to the sign problem,

*think different*

When  $\beta_{\text{gauge}} = 0$ , sign problem is **manageable** → **full phase diagram**

# Motivation (2)

- 25+ years of analytic predictions:

80's: Kluberg-Stern et al., Kawamoto-Smit, Damgaard-Kawamoto

$$T_c(\mu = 0) = 5/3, \quad \mu_c(T = 0) = 0.66$$

90's: Petersson et al.,  $1/g^2$  corrections

00's: detailed  $(\mu, T)$  phase diagram: Nishida, Kawamoto,...

09: Ohnishi et al., Münster & Philipsen,...

How accurate is mean-field  $(1/d)$  approximation?

- Almost no Monte Carlo crosschecks:

89: Karsch-Mütter → MDP formalism →  $\mu_c(T = 0) \sim 0.63$

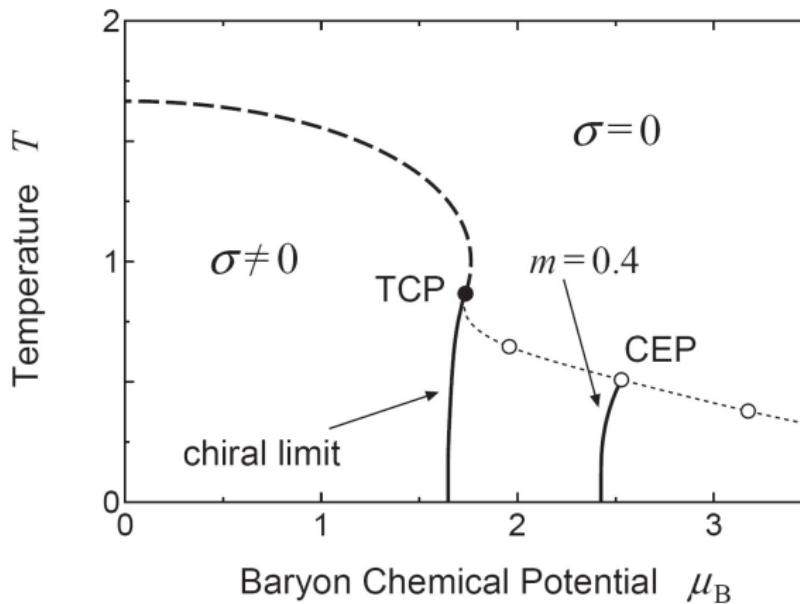
92: Karsch et al.  $T_c(\mu = 0) \approx 1.40$

99: Azcoiti et al., MDP ergodicity ??

06: PdF-Kim, HMC → hadron spectrum  $\sim 2\%$  of mean-field

Can one trust the details of analytic phase-diagram predictions?

## Phase diagram according to Nishida (2004, mean field)



- Very similar to conjectured phase diagram of  $N_f = 2$  QCD
- But no deconfinement here: high density phase is **nuclear matter**
- Baryon mass =  $M_{\text{proton}}$   $\Rightarrow$  lattice spacing  $a \sim 0.45$  fm

# Strong coupling $SU(3)$ with staggered quarks

$Z = \int \mathcal{D}U \mathcal{D}\bar{\Psi} \mathcal{D}\Psi \exp(-\bar{\Psi}(\not{D}(U) + m)\Psi)$ , no plaquette term ( $\beta_{\text{gauge}} = 0$ )

- One colored fermion field per site (6 d.o.f. – no Dirac indices, spinless)
- $\not{D}(U) = \frac{1}{2} \sum_{x,v} \eta_v(x)(U_v(x) - U_v^\dagger(x - \hat{v}))$ ,  $\eta_v(x) = (-)^{x_1 + \dots + x_{v-1}}$

$U(1)_V \times U(1)_A$  symmetry:

$$\left. \begin{array}{l} \psi(x) \rightarrow e^{i\theta} \psi(x) \\ \bar{\psi}(x) \rightarrow e^{-i\theta} \bar{\psi}(x) \end{array} \right\} \text{unbroken} \Rightarrow \text{quark number} \Rightarrow \text{chem. pot.}$$

$$\left. \begin{array}{l} \psi(x) \rightarrow e^{i\varepsilon(x)\theta} \psi(x) \\ \bar{\psi}(x) \rightarrow e^{i\varepsilon(x)\theta} \bar{\psi}(x) \\ \varepsilon(x) = (-)^{x_0 + x_1 + x_2 + x_3} \end{array} \right\} \text{spont. broken } (m=0) \Rightarrow \text{quark condensate}$$

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- Alternative 2:  $\mathcal{D} U = \prod dU$  factorizes → integrate over links Rossi & Wolff  
→ Color singlet degrees of freedom:

- Monomer (meson  $\bar{\Psi}\Psi$ )  $M(x) \in \{0, 1, 2, 3\}$
- Dimer (meson hopping), non-oriented  $n_v(x) \in \{0, 1, 2, 3\}$
- Baryon hopping, oriented  $\bar{B}B_v(x) \in \{0, 1\}$  → self-avoiding loops  $C$

Point-like, hard-core baryons in pion bath

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$$Z(m, \mu) = \sum_{\{M, n_v, C\}} \prod_x \frac{m^{M(x)}}{M(x)!} \prod_{x,v} \frac{(3 - n_v(x))!}{n_v(x)!} \prod_{\text{loops } C} \rho(C)$$

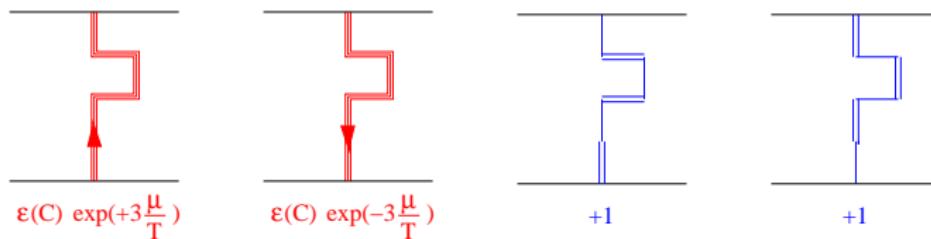
with constraint  $(M + \sum_{\pm v} n_v)(x) = 3 \forall x \notin \{C\}$

# MDP Monte Carlo

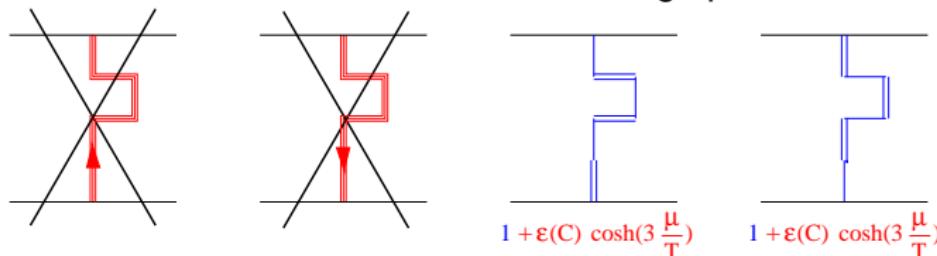
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- sign of  $\prod_C \rho(C)$ : geometric factor  $\varepsilon(C) = \pm 1$  for each loop  $C$ ; 4 types:



Karsch & Mütter: Resum into “MDP ensemble” → sign pb. eliminated at  $\mu = 0$



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 monomer-changing update (**Karsch & Mütter**) restricted to  $m \sim o(1)$

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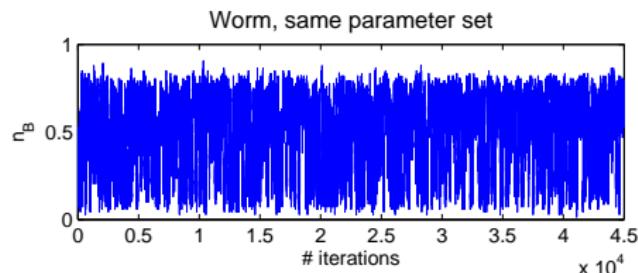
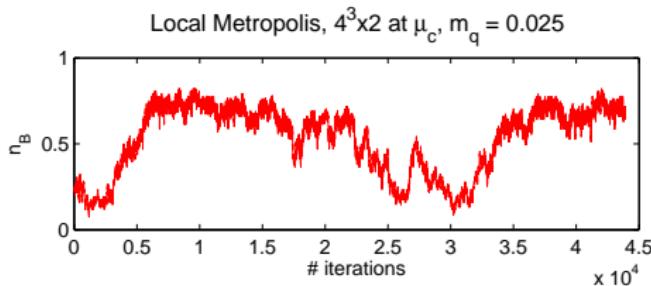
Solved with **worm algorithm** (**Prokof'ev & Svistunov 1998**)

# Worm algorithm for MDP

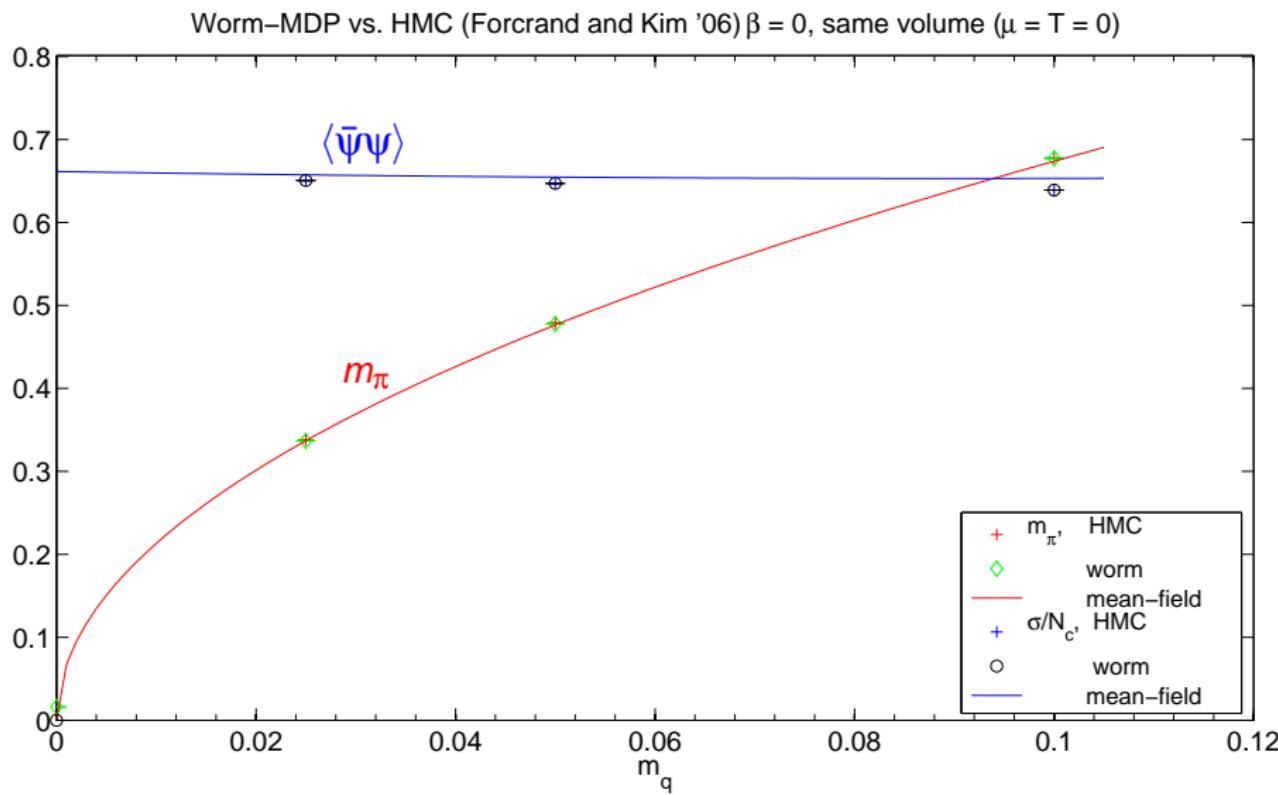
- Sample  $G(x, y)$  rather than  $Z$ , ie. add source and sink
- Monte Carlo: guided random walk of sink, ie. *local* steps (“**worm**”)
- When  $y = x$ , contribution to  $Z \rightarrow$  *global* change
- cf. “directed path” ([Adams & Chandrasekharan](#)) for  $U(N)$

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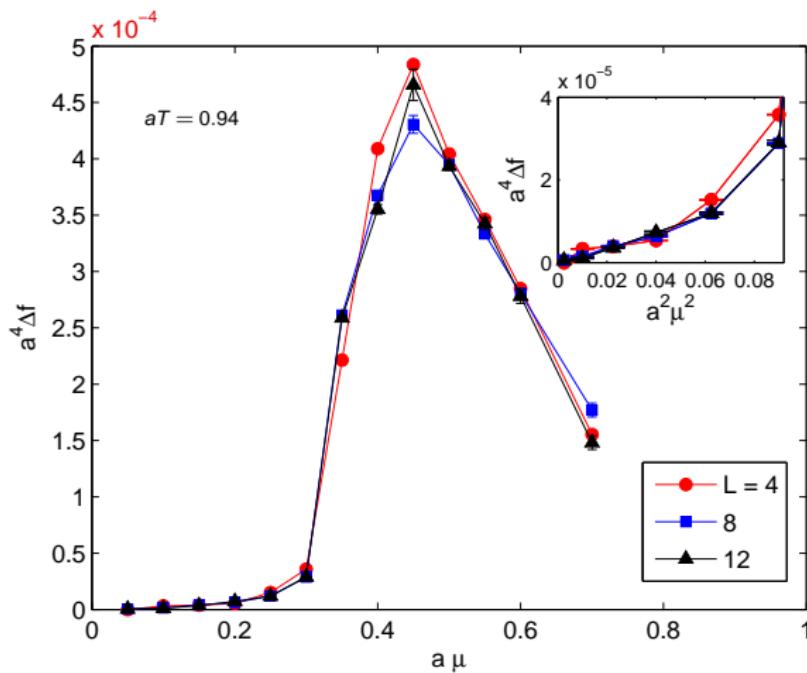
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- Efficient even when  $m_q = 0$



# [Non-trivial] consistency check with HMC

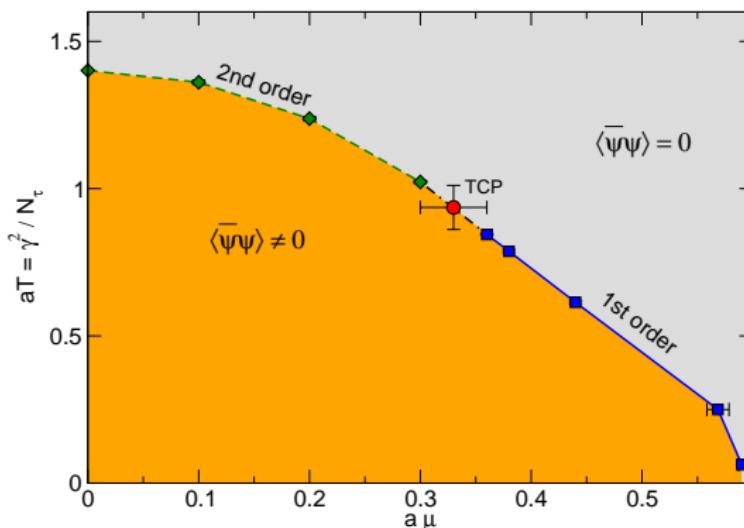


# Sign problem? Monitor $-\frac{1}{V} \log \langle \text{sign} \rangle$



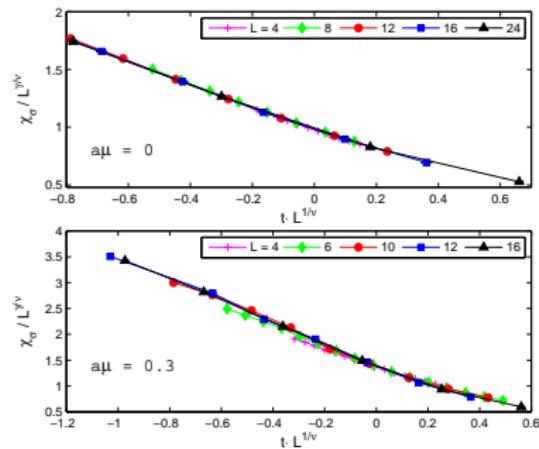
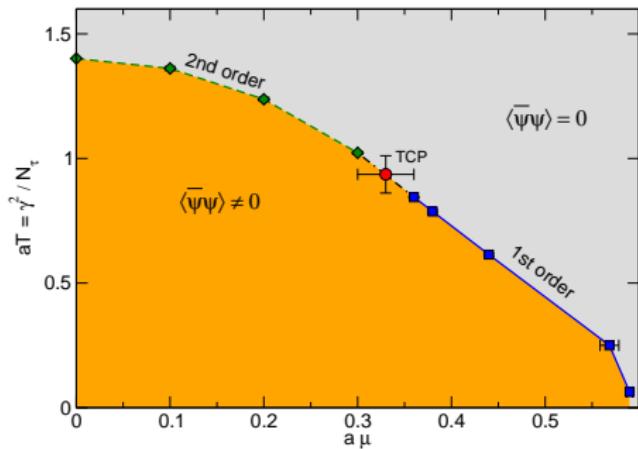
- $\langle \text{sign} \rangle = \frac{Z_{||}}{Z} \sim \exp(-\frac{V}{T} \Delta f(\mu^2))$  as expected;  $\Delta f \sim \mu^2 + O(\mu^4)$
- Can reach  $\sim 16^3 \times 4 \forall \mu$ , ie. adequate

# Phase diagram in the chiral limit



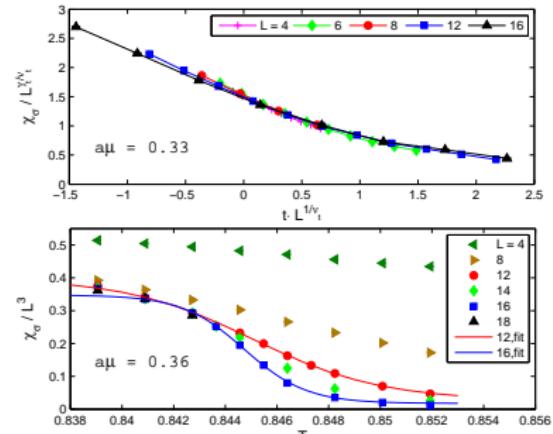
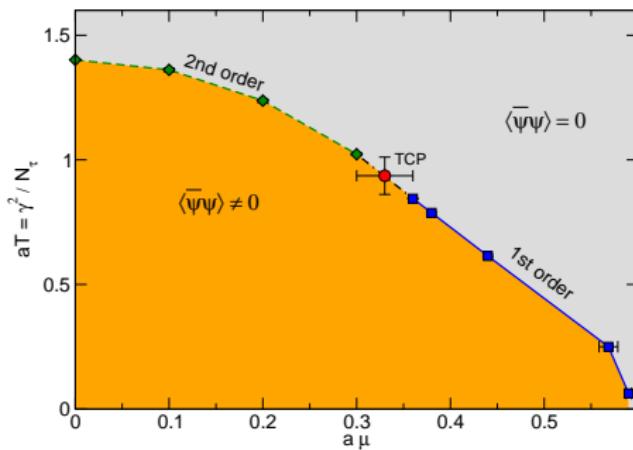
- Phase boundary for breaking/restoration of  $U(1)$  chiral symmetry
- Mean field analysis: 2nd order at  $\mu = 0$ ,  $T_c = 5/3$
- If 2nd order, then expect 3d O(2) universality class
- Monte Carlo: 2nd order at  $\mu = 0$  ([Karsch et al, 1992](#))
- 1rst order at  $T = 0$ :  $\rho_B$  jumps from 0 to 1 baryon per site  $\Rightarrow$  tricrit. pt. TCP

# Phase diagram in the chiral limit



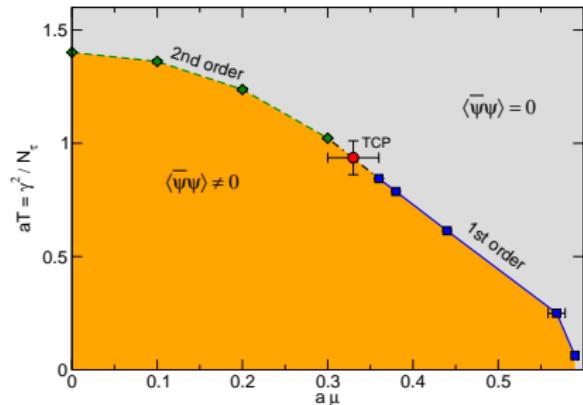
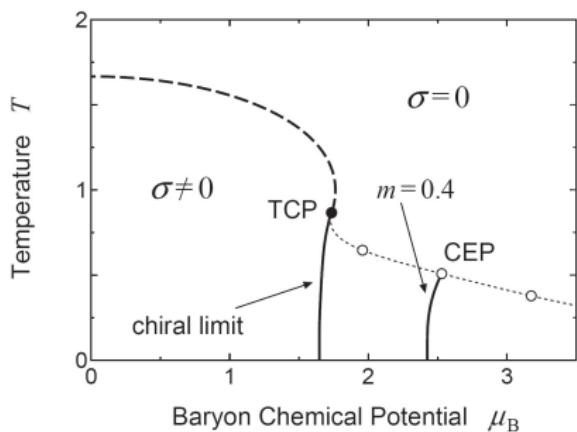
- $\chi_\sigma = \frac{1}{V} \frac{\partial^2}{\partial m_q^2} \log Z = \langle \sum_x \bar{\psi}\psi(x) \bar{\psi}\psi(0) \rangle \sim L^{\gamma/\nu} \tilde{\chi}(tL^{1/\nu})$   
 $\rightarrow \frac{\chi_\sigma}{L^{\gamma/\nu}}$  is *universal* function of  $tL^{1/\nu}$
- Data collapse using 3d O(2) exponents for  $a\mu = 0$  and  $0.30$

# Phase diagram in the chiral limit



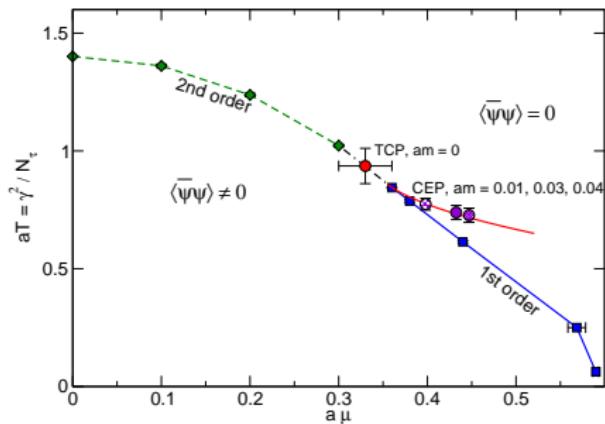
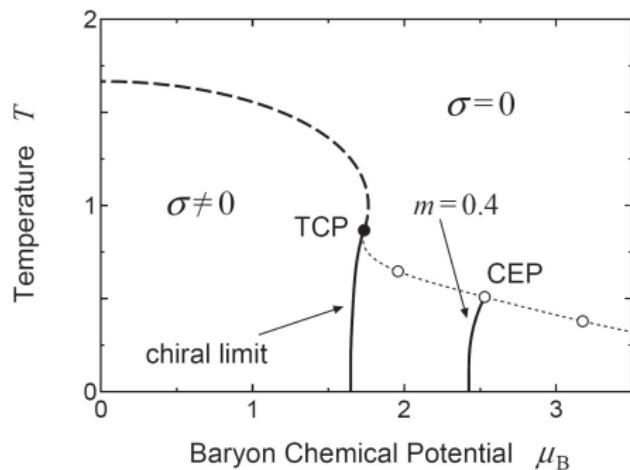
- Data collapse using mean-field exponents ( $d=3$  is TCP upper crit. dim.) for  $a\mu = 0.33$
- 1st-order Borgs-Kotecky:  $Z(T) = \exp(-\frac{V}{T} f_1(T)) + c \exp(-\frac{V}{T} f_2(T))$  for  $a\mu = 0.36$

# Compare with Nishida (2004) for $m_q = 0$



- TCP:  $(\mu, T) = (0.33(3), 0.94(7))$  (Monte Carlo) vs  $(0.577, 0.866)$  (mean-field)
- No reentrant phase diagram (caused by *decreasing* entropy in dense phase)  
cf. Clausius-Clapeyron:  $\frac{dT}{d\mu} = -\frac{\Delta n}{\Delta S} \rightarrow$  vertical at  $T = 0$
- Beware of quantitative mean-field predictions for phase diagram

# Phase diagram away from the chiral limit (in progress)



- Qualitatively similar to mean-field
- CEP moves **fast** with  $m_q$ , following **tricritical scaling**

# Transition to nuclear matter: $T = 0, \mu = \mu_c$

## Puzzle:

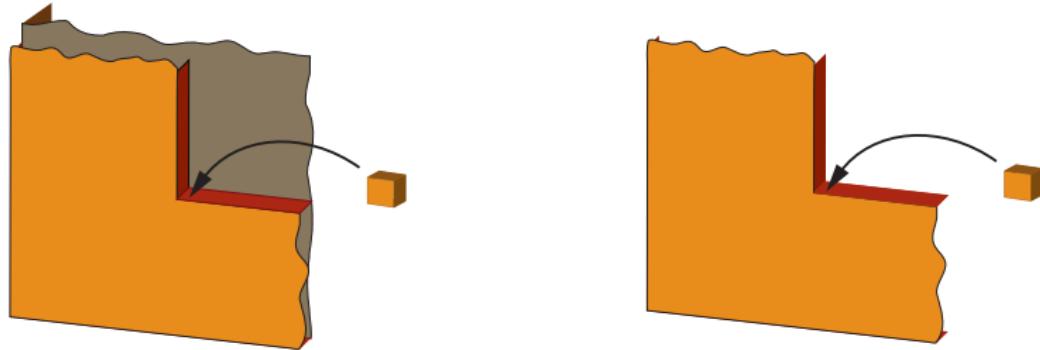
- Mean-field baryon mass is  $\approx 3$   $\Rightarrow$  expect  $\mu_c = \frac{1}{3}F_B(T=0) \approx 1$
- Mean-field estimate  $\mu_c \sim 0.55 - 0.66$  much smaller, ie.  $\mu_c^B \sim 600$  MeV !  
Mean field gives wrong  $M_B$ ? wrong  $\mu_c$ ?
- Check  $M_B$  and  $\mu_c$  by Monte Carlo  $\longrightarrow$  ok (next slide)

Remaining explanation: nuclear attraction  $\sim 1/3$  baryon mass !!

Why so large ?    Nuclear potential ?    Nuclear spectroscopy ??

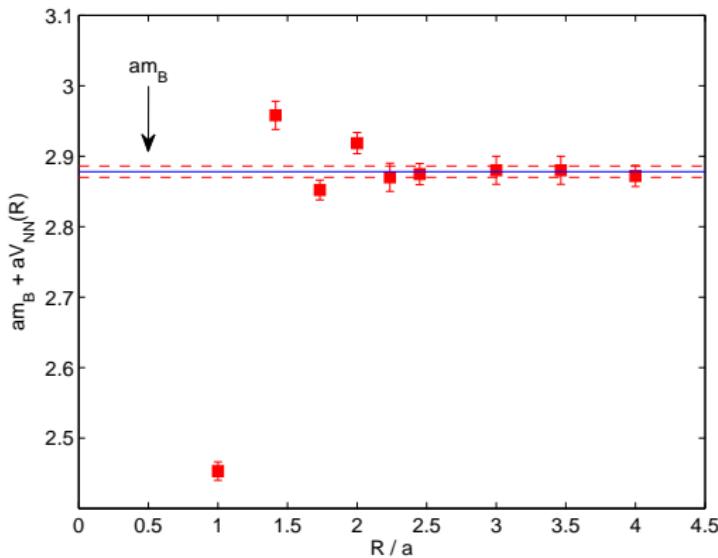
$\mu_c(T=0)$ 

- $T = 0$  dense phase is **baryon crystal** (1 baryon per site)
- $\mu_c$  is free energy necessary to add 1 baryon to dense phase



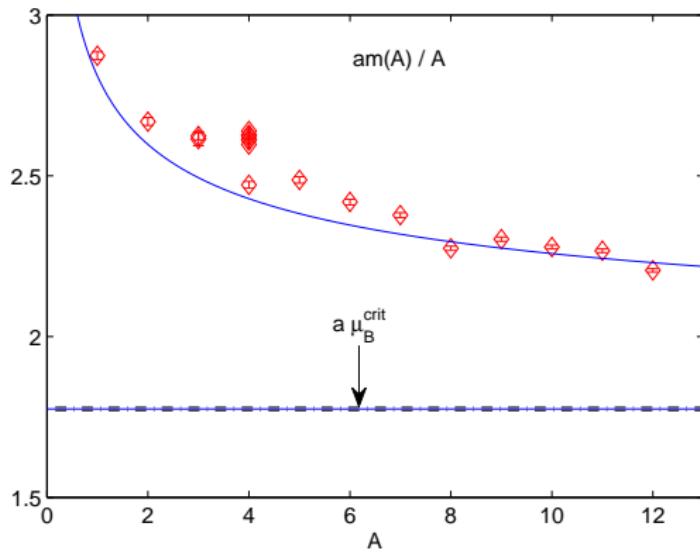
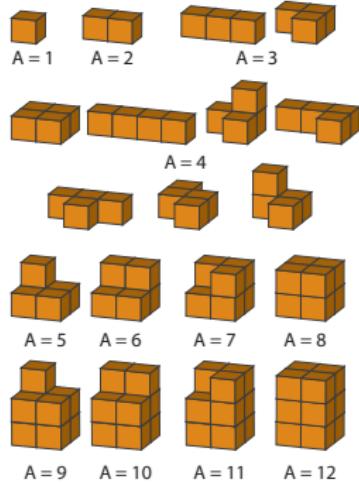
- Monte Carlo:  $a\mu_c^B = 1.78(1)$  compared with  $am_B = 2.88(1)$
- Each baryon binds to 3 nearest-neighbours → attraction  
 $V_{NN}(r=a) \sim \frac{2.88 - 1.78}{3} a^{-1} \sim 120 \text{ MeV} !!$
- **Surface tension:** first layer of dense phase → 2 nearest-neighbours only  
 $\sigma \approx \frac{1}{2} |V_{NN}(r=a)| a^{-2} \sim (200 \text{ MeV})^3$
- Complete nuclear potential ?

# Nuclear potential



- Nucleons are point-like → no ambiguity with definition of static potential
- Nearest-neighbour attraction  $\sim 120$  MeV at distance  $\sim 0.5$  fm: cf. real world  
Baryon worldlines self-avoiding → no meson exchange here (just **hard core**)  
Attraction due to bath of neutral pions: cf. **Casimir effect** (see later)

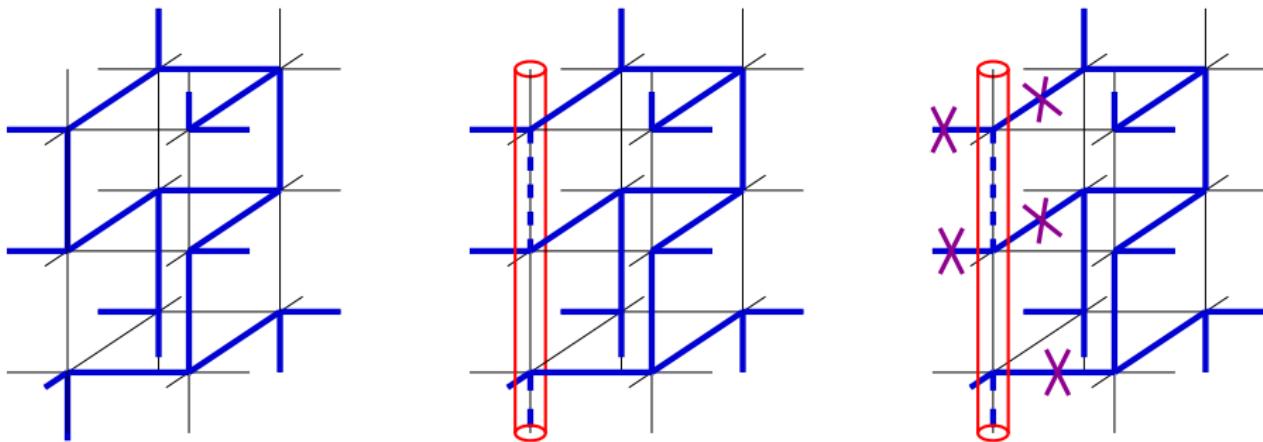
# Nuclear spectroscopy



- Can compare masses of differently shaped “isotopes”
- $E(B=2) - 2E(B=1) \sim -0.4$ , ie. “deuteron” binding energy ca. 120 MeV
- $am(A) \sim a\mu_B^{\text{crit}}A + (36\pi)^{1/3}\sigma a^2 A^{2/3}$ , ie. (bulk + surface tension)  
Bethe-Weizsäcker parameter-free ( $\mu_B^{\text{crit}}$  and  $\sigma$  fixed)
- “Magic numbers” with increased stability:  $A = 4, 8, 12$

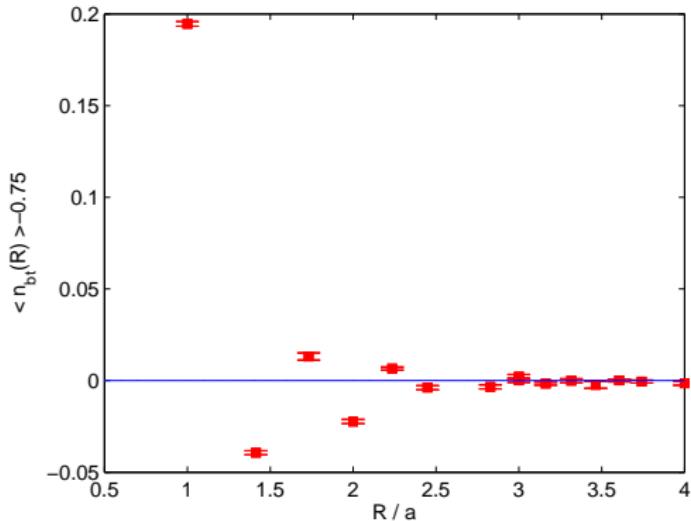
# Nuclear attraction as *steric* effect

- Here, baryons make self-avoiding loops  $\rightarrow$  no meson exchange
- Attraction comes from **rearrangement of pion bath**: 3 meson links per site  
more time-like mesons near static baryon  $\rightarrow$  higher energy



# Nuclear attraction as *steric* effect

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more time-like mesons near static baryon → higher energy



- $am_B \approx 2.88 = (3 - 0.75) + \Delta E_\pi$ , ie. "valence" + "pion cloud"
- For 2 nearest-neighbour baryons,  $\Delta E_\pi$  decreases → attraction

# Conclusions

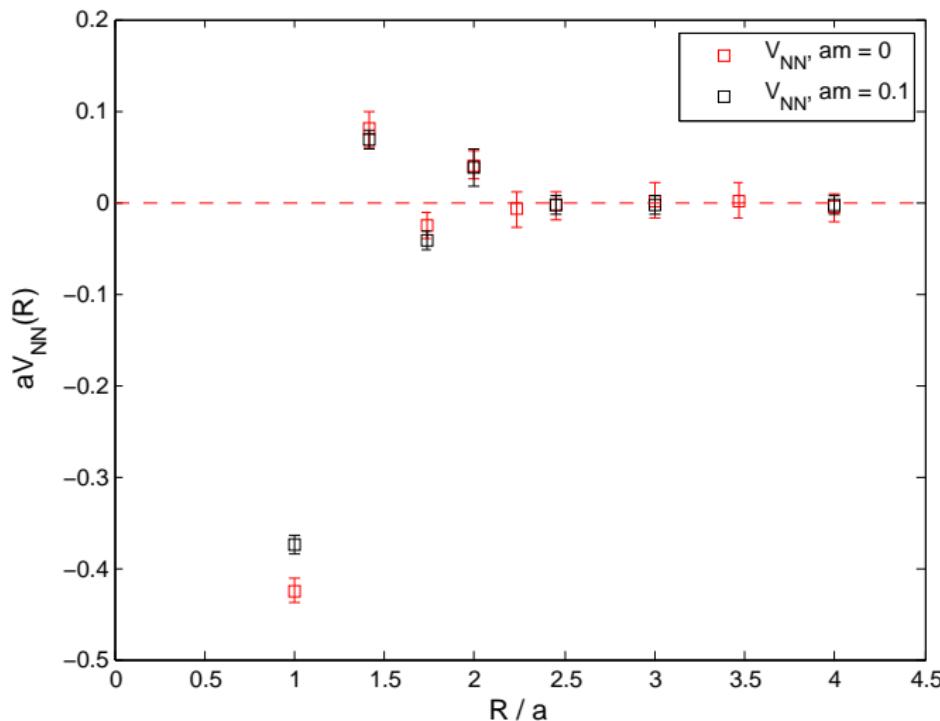
## Summary

- Take mean-field results with a grain of salt
- “Clean-up” of phase diagram justified
- [Crude] nuclear matter from QCD
- “Understand” nuclear interaction as steric effect

## Outlook

- Non-zero quark mass:
  - Critical end-point as a function of  $m_q$
  - Nuclear potential & spectroscopy as a function of  $m_q$
- Include second quark species → isospin (degenerate masses or not)
- Include  $\mathcal{O}(\beta)$  effects ?

# Backup slide: Influence of pion mass on nuclear potential



- Nearest-neighbour attraction weakens (as expected). Not much else.

Backup slide:  $\mathcal{O}(\beta)$  effects