



# **Role of Symmetries in SRG Transformations of NN Interactions**

*Role of Symmetries  
in SRG Transformations of  
NN Interactions*

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Thanks also to:

J. P. Vary (ISU), R. Furnstahl (OSU),

R. Roth (TU Darmstadt), S. Bogner (MSU)

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*Effective Field Theories and the Many-  
Body Problem, INT, 04/01/2009*

Louisiana State University

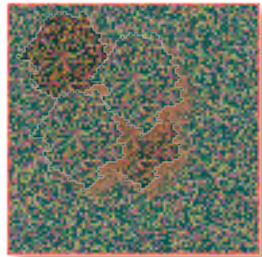


# Similarity Renormalization Group and $V_{NN}$

Bare interaction

$(V_{NN}, V_{NNN}, \dots)$

$H_{s=0}$



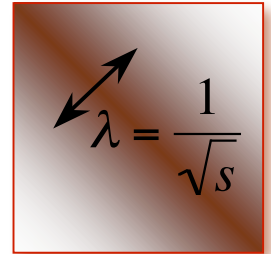
*Unitary*

*transformations*

Renormalized

interaction

$H_s$



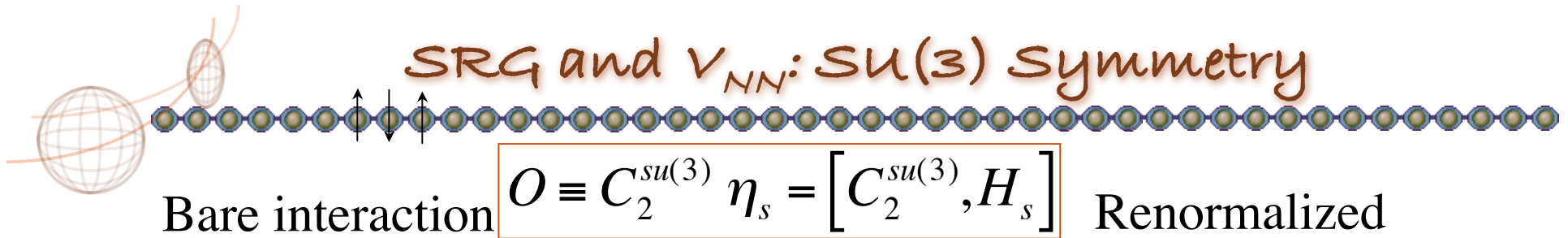
$$H_s = e^{s\eta} H_{s=0} e^{-s\eta}$$

$s \dots$  'flow parameter'

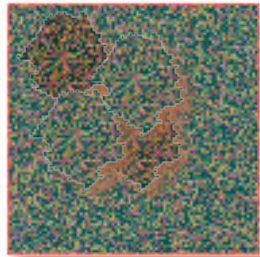
'flow equation'

$$\frac{dH_s}{ds} = [\eta, H_s] \quad \eta = [O, H_s]$$

# SRG and $V_{NN}$ : $SU(3)$ Symmetry

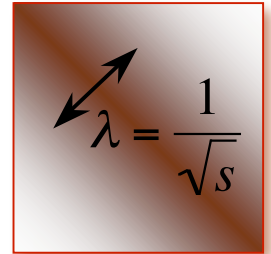


$(V_{NN}, V_{NNN}, \dots)$   
 $H_{s=0}$



**Unitary transformation**

$H_s$



flow equation

$$\frac{dH_s}{ds} = \left[ [C_2^{su(3)}, H_s], H_s \right]$$

Second-order invariant operator of  $SU(3)$   
(diagonal in  $SU(3)$  basis)

$$6C_2^{su(3)} = Q \cdot Q + 3L^2$$

single shell

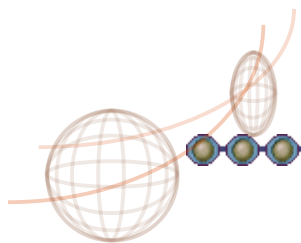
$SU(3)$  basis states:

$$\frac{1}{N} \left[ a_{(n_1 0)st}^\dagger \times a_{(n_2 0)st}^\dagger \right]_{\kappa L; JM}^{(\lambda \mu)S, TT_0} |0\rangle$$

## $SU(3)$ -SRG with $C^{(2)}$ :

- a very effective scheme for renormalization of realistic interactions in HO basis
- addresses the SRG-induced many-body forces

# Simple Illustration (8-shell Basis Space)



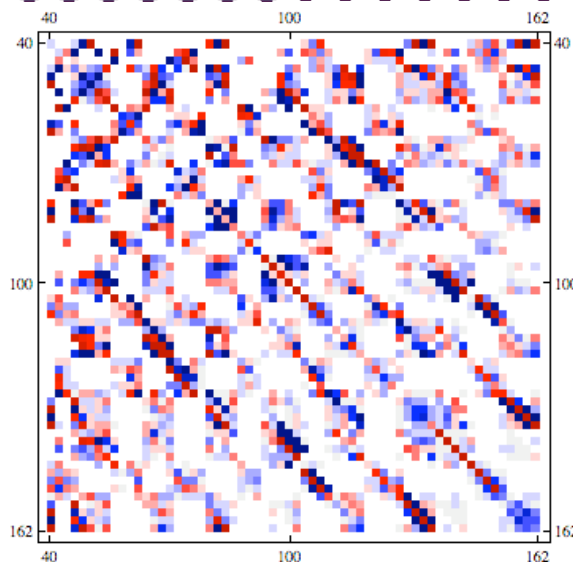
➤ Bare  $NN+$   
Relative  
Kinetic  
Energy

➤ Restricted  
Basis Space  
(8 shells)

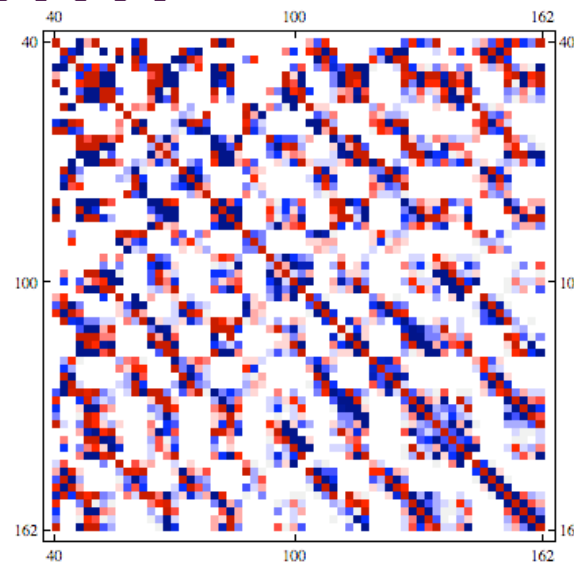
➤ Shown:  
 $J=1, T=0$

➤ Decouples  
higher-lying  
from lower-  
lying modes

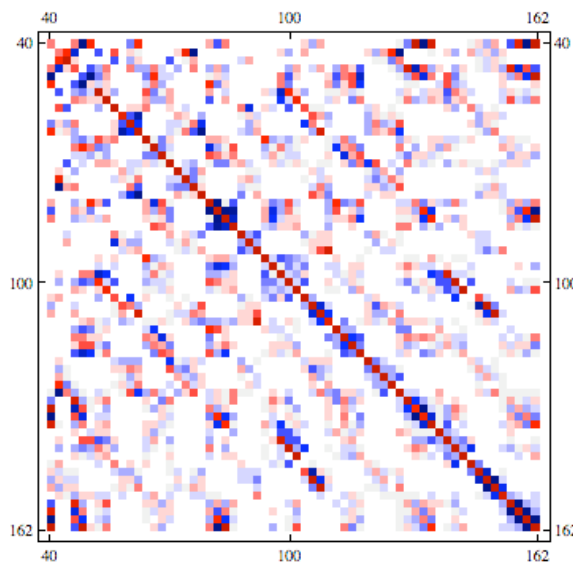
CD-Bonn  
 $\hbar\Omega = 15$  MeV



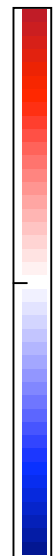
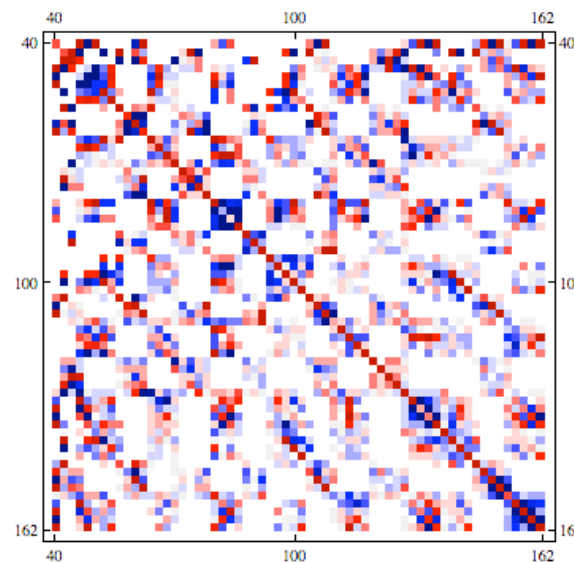
AV18  
 $\hbar\Omega = 18$  MeV



$N^3LO$   
 $\hbar\Omega = 11$  MeV

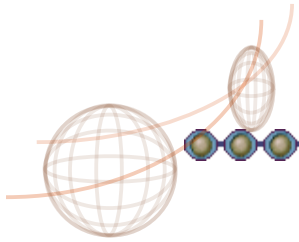


JISP16  
 $\hbar\Omega = 11$  MeV





# Simple Illustration (8-shell Basis Space)



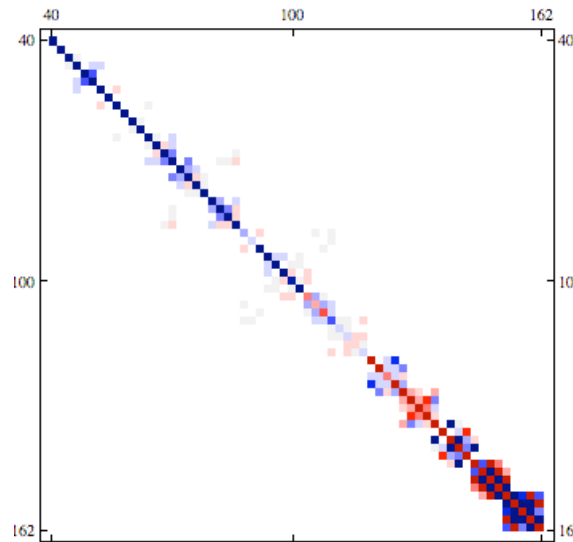
➤ Bare  $NN+$   
Relative  
Kinetic  
Energy

➤ Restricted  
Basis Space  
(8 shells)

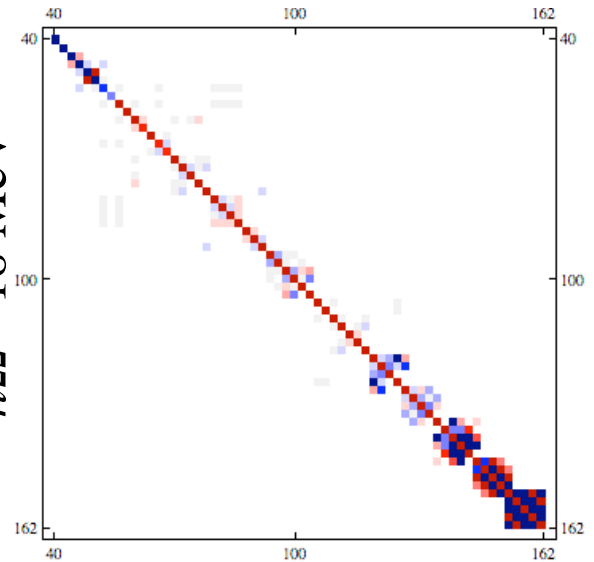
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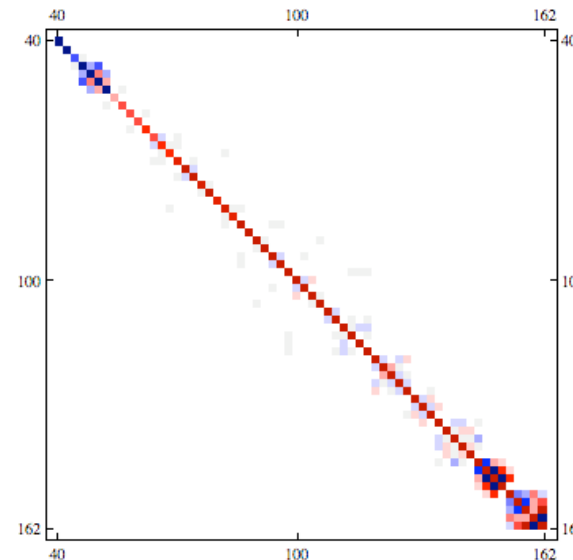
CD-Bonn  
 $\hbar\Omega = 15 \text{ MeV}$



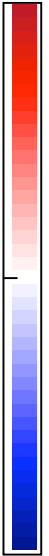
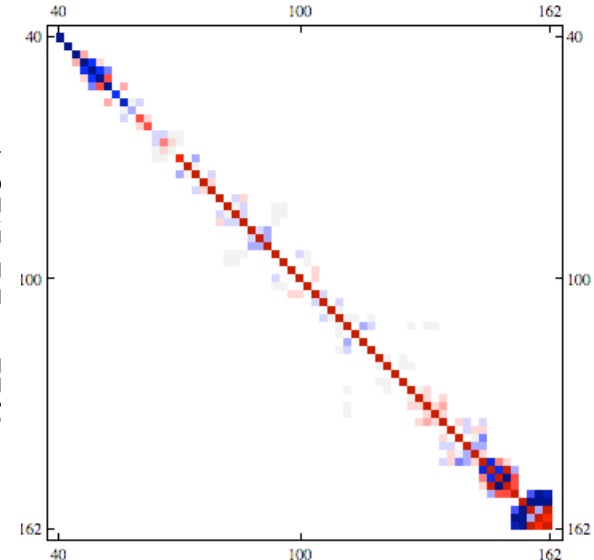
AV18  
 $\hbar\Omega = 18 \text{ MeV}$



$N^3\text{LO}$   
 $\hbar\Omega = 11 \text{ MeV}$



JISP16  
 $\hbar\Omega = 11 \text{ MeV}$



# Significance of Symplectic and SU(3) Symmetry

microscopic

collective

Elliott Model (single shell)

For  $A$  particles:

$$\begin{array}{l}
 L_{1,M}^{(11)} \\
 C_{2,M}^{(11)} \\
 x_i, p_i \longleftrightarrow N^{(00)} \\
 \text{Sp}(3, \mathbb{R}) \supset A_{L,M}^{(20)} \\
 \text{SU}(3) \supset \text{SO}(3) \supset B_{L,M}^{(02)}
 \end{array}$$

Angular Momentum .....  $\vec{x} \times \vec{p}$  }  $\text{SO}(3)$

Quadrupole Moment .....  $x_i x_j$  }  $\text{SU}(3)$

Number Operator ..... essentially HO

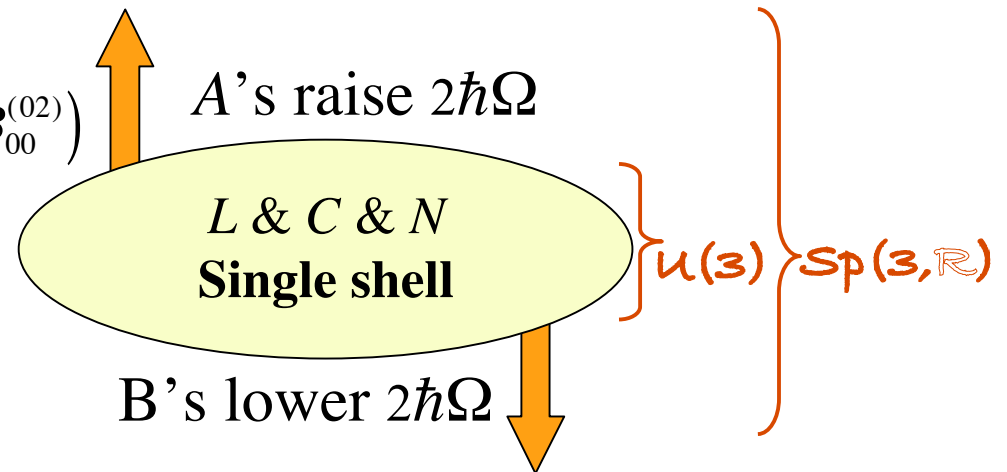
[Hamiltonian  $H_0 = (p^2 + x^2)/2$ ] Hamiltonian

Multi-shell Coupling ...

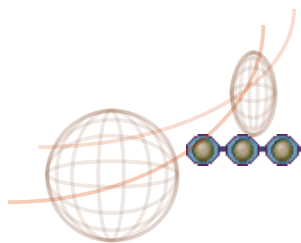
[Monopole,  $L = 0$  & Quadrupole,  $L = 2$ ]

➤ Higher-lying and core excitations

➤ Kinetic energy:  $\frac{H_{00}^{(00)}}{2} - \frac{\sqrt{6}}{4} (A_{00}^{(20)} + B_{00}^{(02)})$



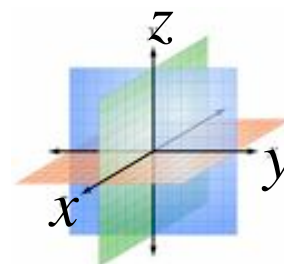
G. Rosensteel and D.J. Rowe, Phys. Rev. Lett. 38 (1977) 10



# Symplectic $Sp(3, \mathbb{R}) \supset SU(3)$

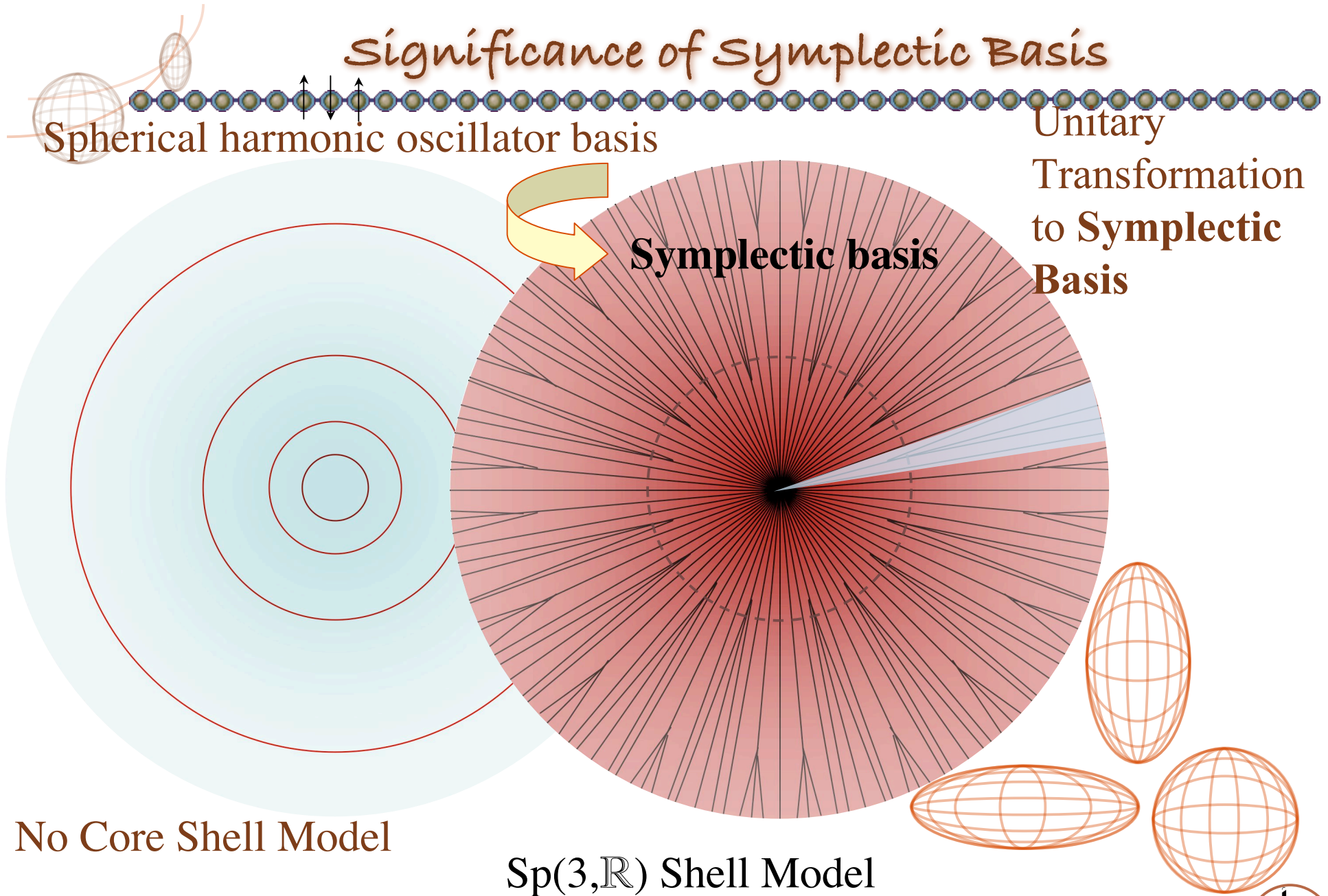


Mars' Deimos



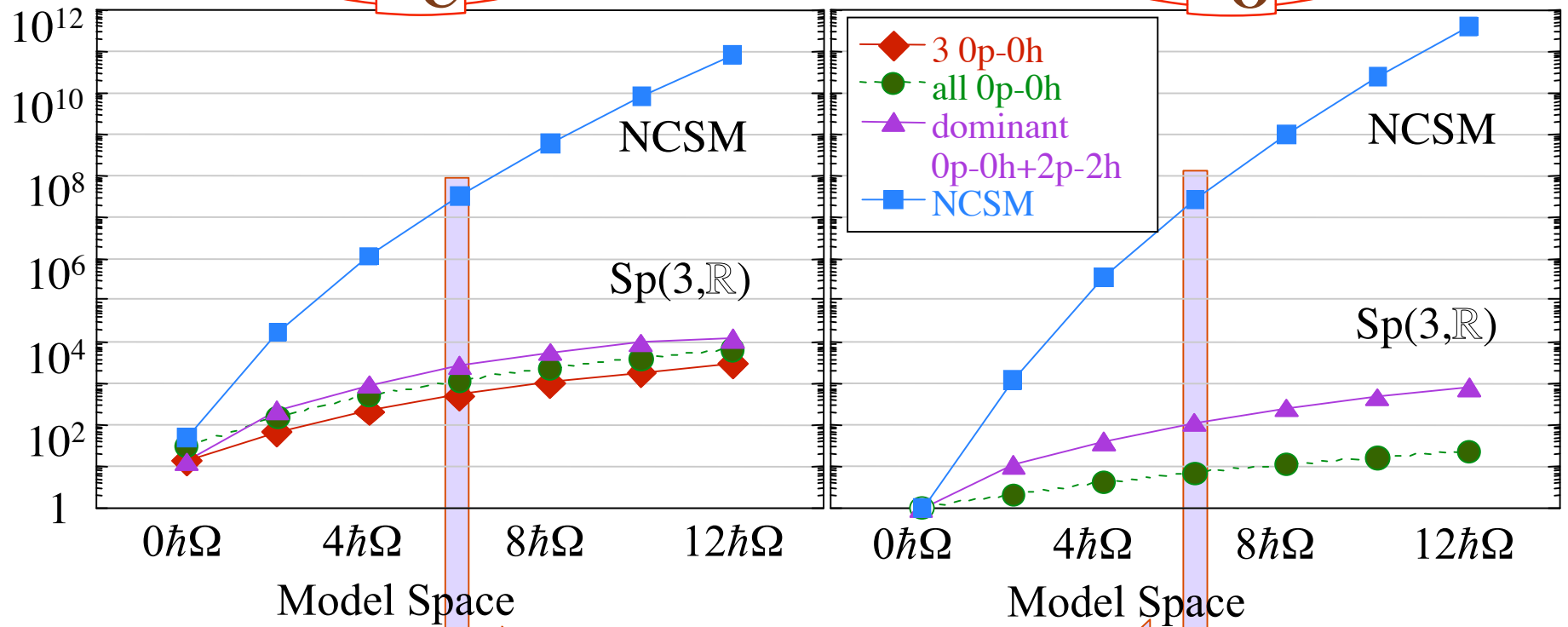
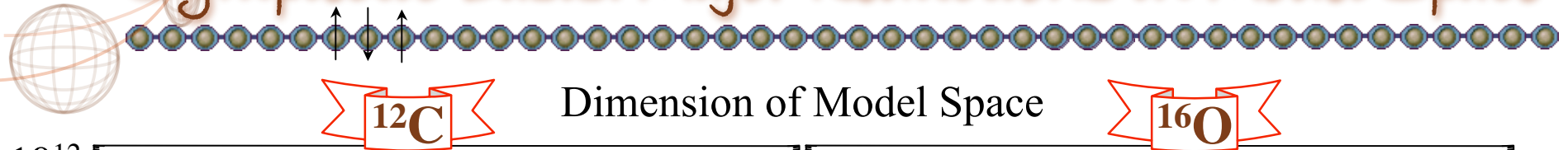
*Intrinsic nucleon dynamics -  
reflected in shape deformation,  
irrelevant of the orientation in space*

# Significance of Symplectic Basis





# Symplectic Basis: Major Reductions in Model Space



Reproducing NCSM results

Dimension of model space

0.009% for  $^{12}\text{C}$

0.0004% for  $^{16}\text{O}$

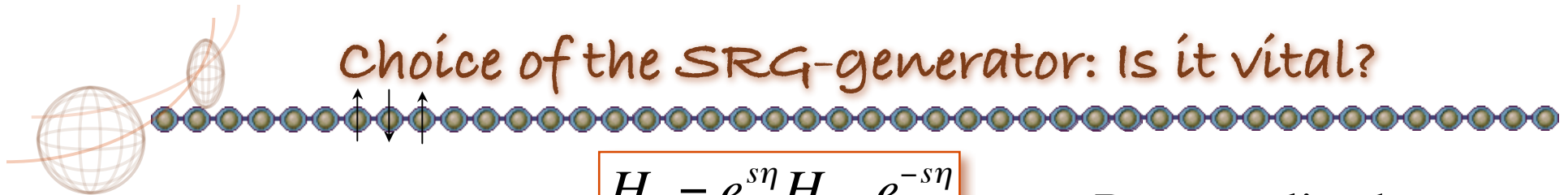
T. Dytrych, KDS,  
C. Bahri, J.P. Draayer, J.P. Vary,  
Phys. Rev. Lett. 98 (2007) 162503

Effective Field Theories and the Many-  
Body Problem, INT, 04/01/2009

Role of Symmetries in SRG  
Transformations of NN interactions



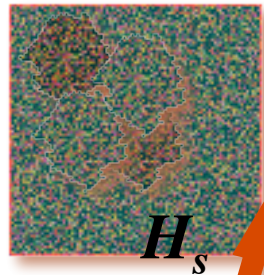
# Choice of the SRG-generator: Is it vital?



Bare interaction  
( $V_{NN}, V_{NNN}, \dots$ )

$$H_s = e^{s\eta} H_{s=0} e^{-s\eta}$$

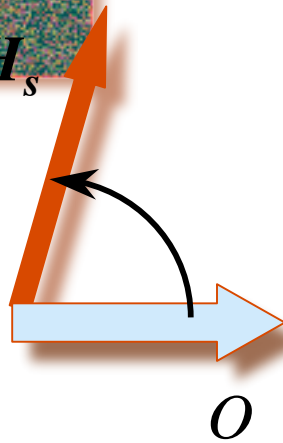
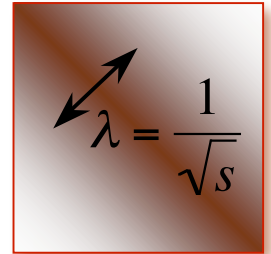
Renormalized  
interaction



$H_{s=0}$

**Unitary**  
transformations

$H_s$



Spectral Distribution Theory

$$\zeta_{H,H'}^\alpha = \frac{\langle (H^\dagger - \langle H^\dagger \rangle^\alpha)(H' - \langle H' \rangle^\alpha) \rangle^\alpha}{\sigma_H \sigma_{H'}}$$

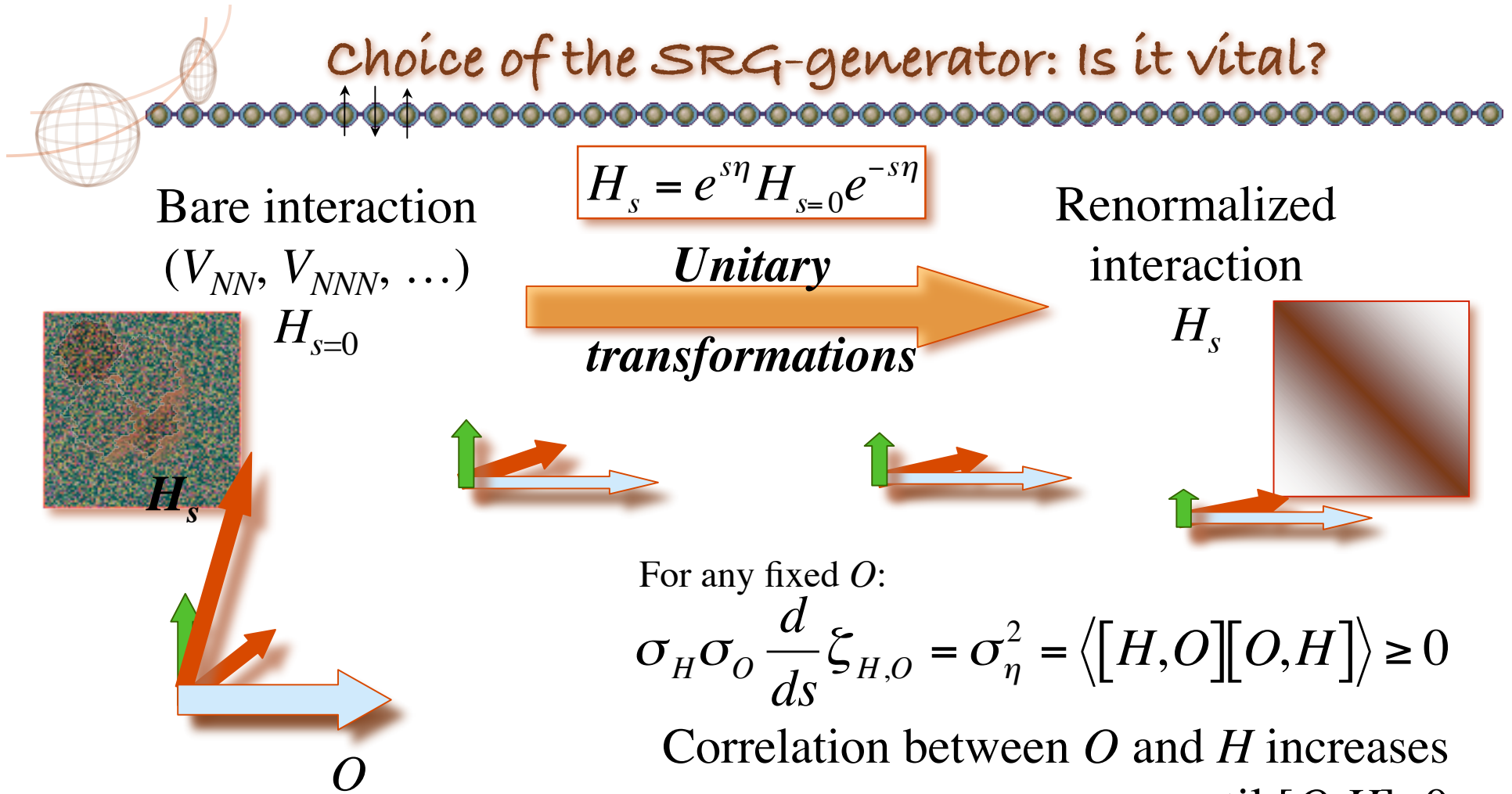
$$(\sigma_H^\alpha)^2 = \langle (H - \langle H \rangle^\alpha)^2 \rangle^\alpha$$

$$\cos \theta = \frac{\vec{v} \cdot \vec{v}'}{|\vec{v}| |\vec{v}'|}$$

$$\frac{dH_s}{ds} = [\eta, H_s] \quad \eta = [O, H_s]$$



# Choice of the SRG-generator: Is it vital?



For any fixed  $O$ :

$$\sigma_H \sigma_O \frac{d}{ds} \zeta_{H,O} = \sigma_\eta^2 = \langle [H,O][O,H] \rangle \geq 0$$

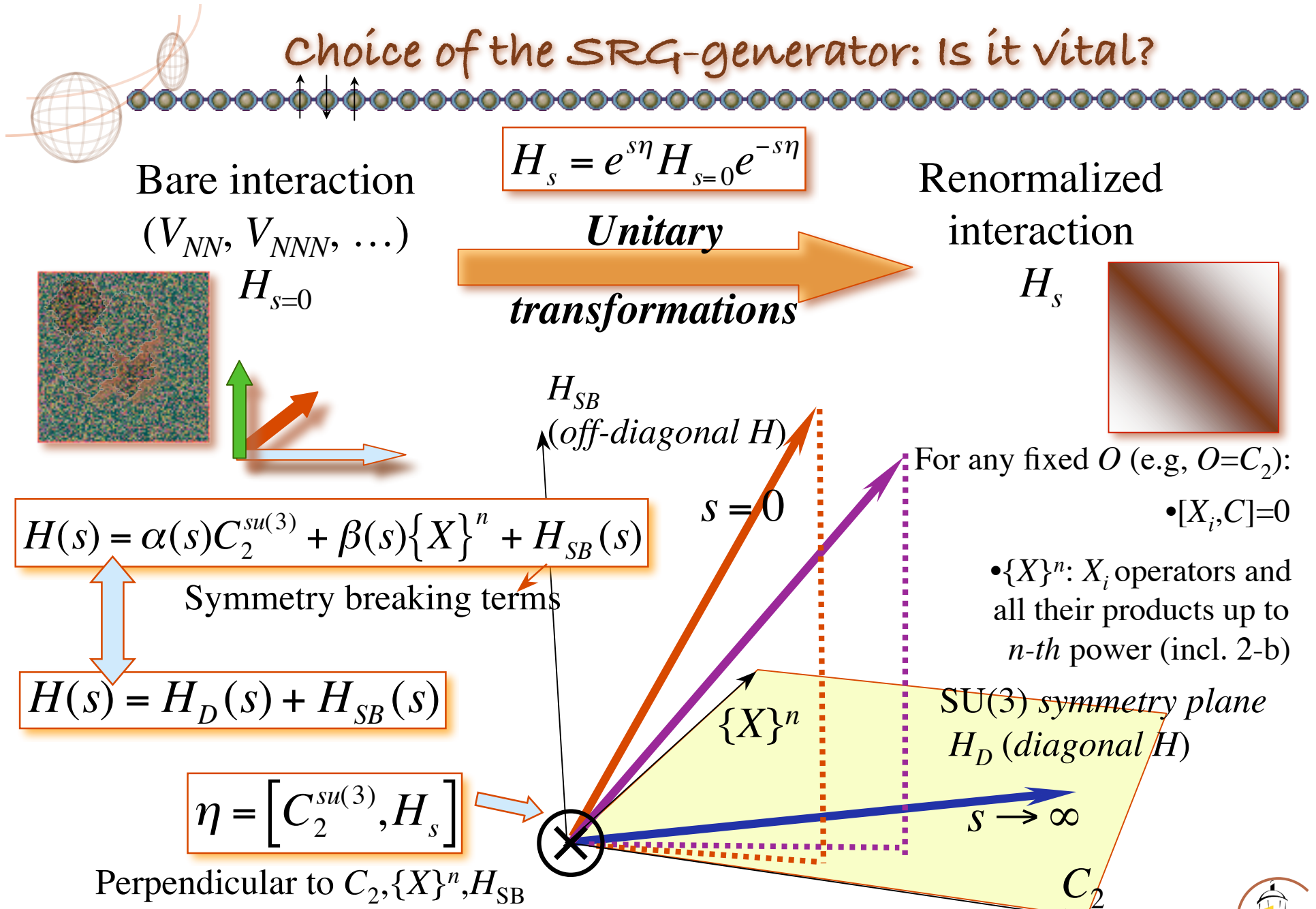
Correlation between  $O$  and  $H$  increases until  $[O,H]=0$

$$[X,O]=0$$

$$H(s \rightarrow \infty) = \alpha(s)O + \beta(s)\{X\}^n$$

$$\frac{dH_s}{ds} = [\eta, H_s] \quad \eta = [O, H_s]$$

# Choice of the SRG-generator: Is it vital?



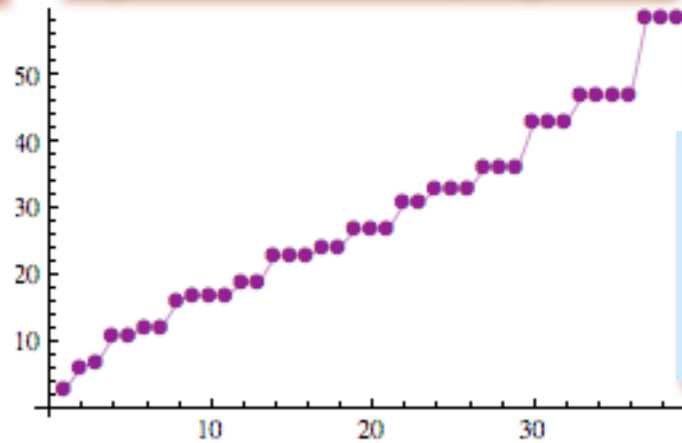
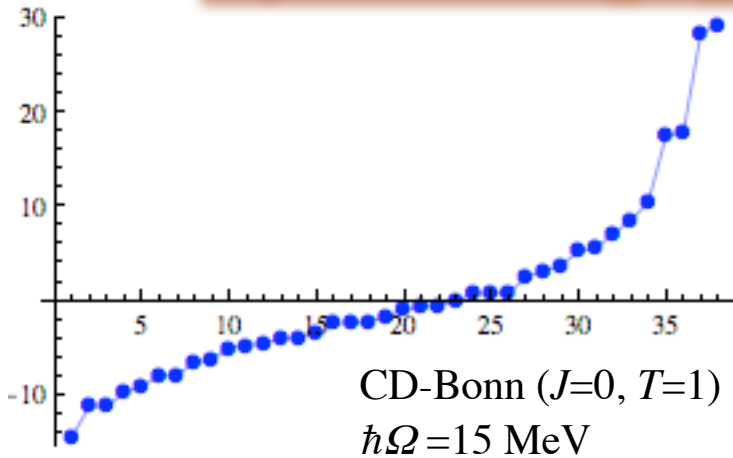
# "Minimizing" the Many-body forces



Eigenvalues of  $H=T_{rel}+V_{NN}$

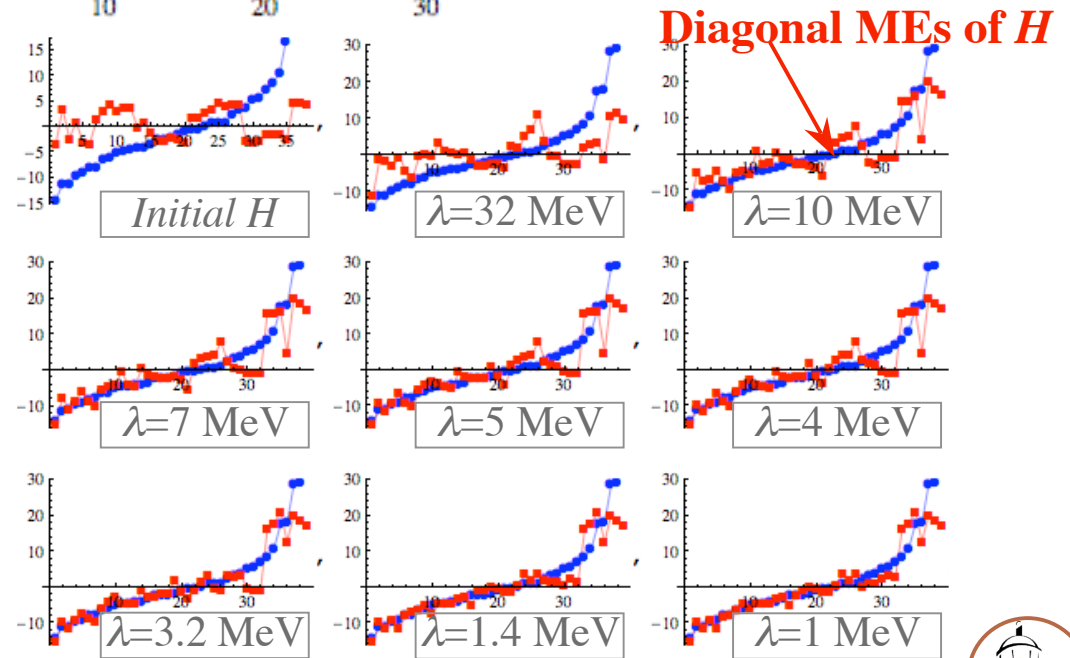
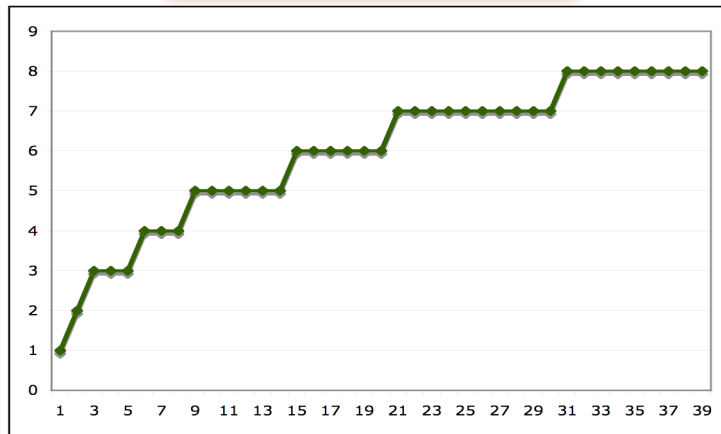
Eigenvalues of  $C^{(2)}$  (diag. MEs)

$$6C_{su(3)}^{(2)} = \underbrace{Q \cdot Q + 3L^2}_{\text{single shell}}$$

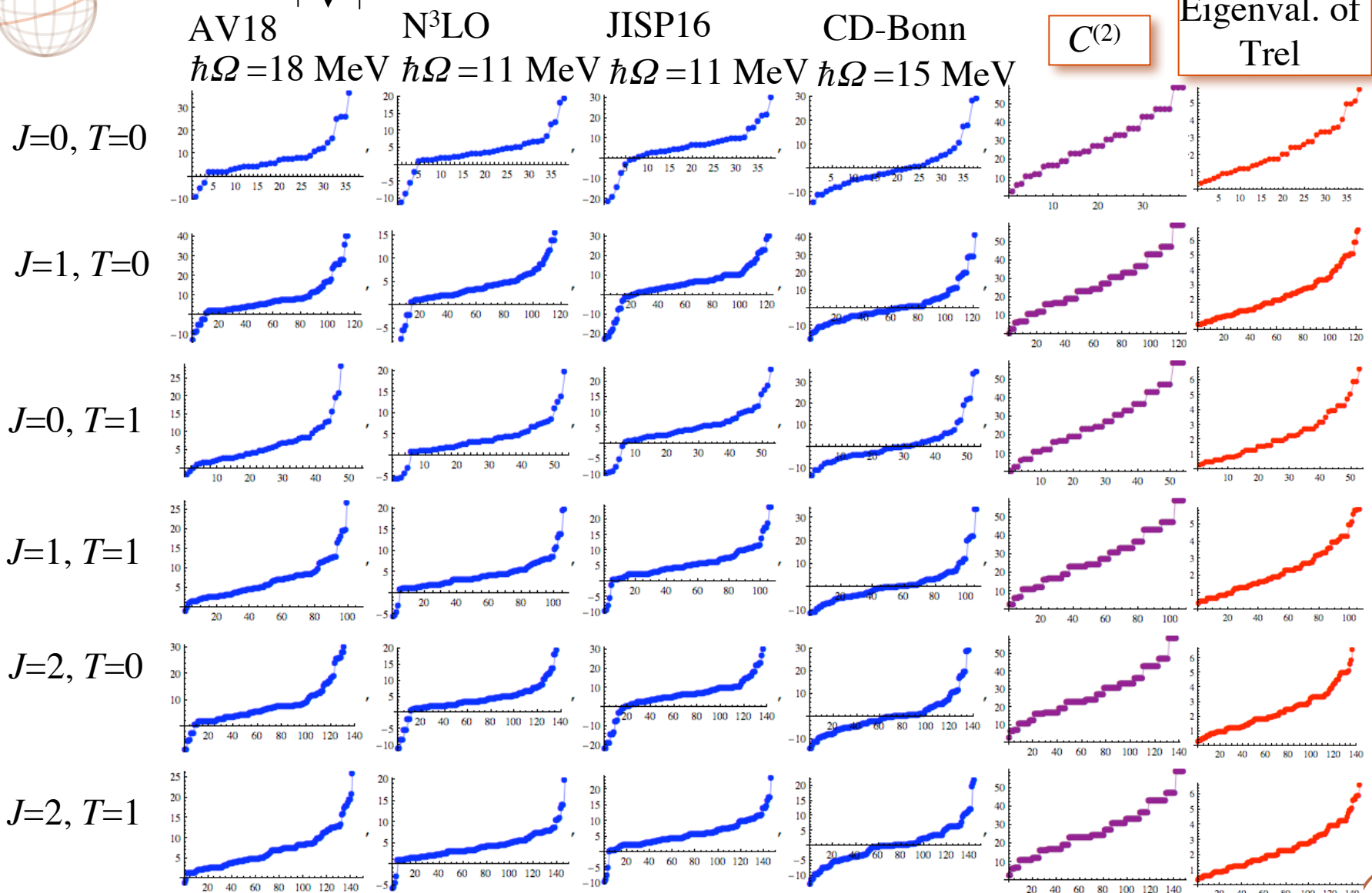


Similar energy spectra imply close correlation

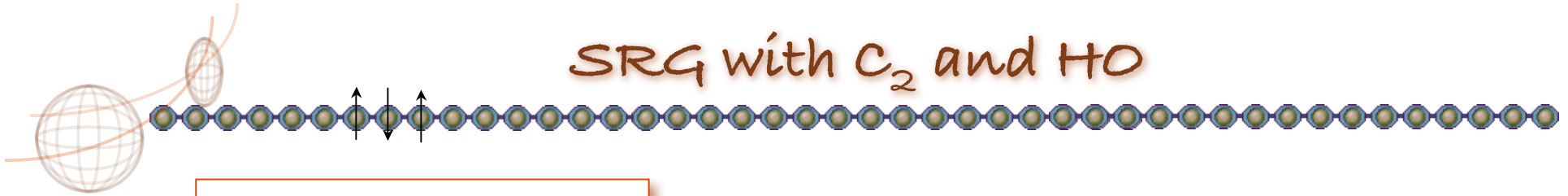
For comparison:  
HO Hamiltonian



# Eigenvalues of various NN and for different JT: close similarity to $C_2$

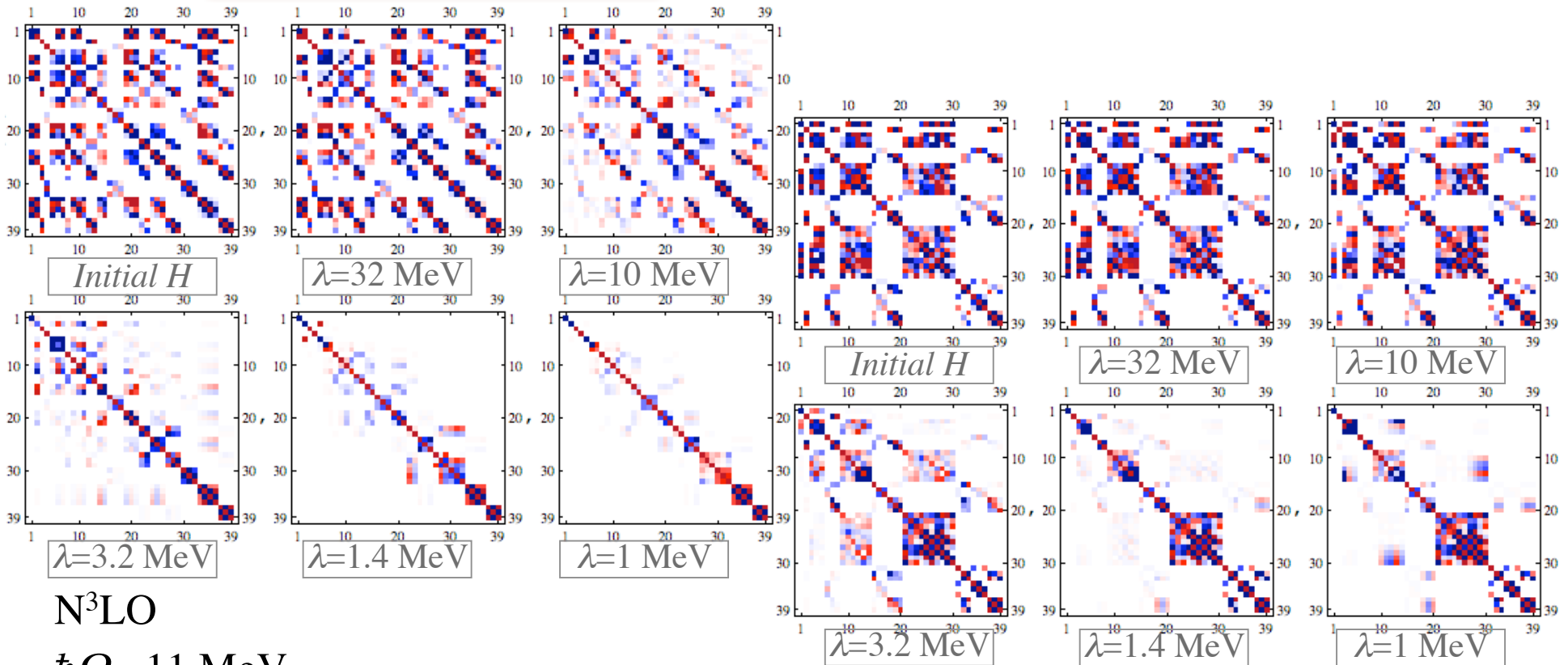


# SRG with $C_2$ and HO



$$\underbrace{6C_2^{su(3)}}_{\text{single shell}} = Q \cdot Q + 3L^2$$

HO Hamiltonian



$N^3LO$

$\hbar\Omega = 11$  MeV

$J=0, T=0$



# Detecting the Many-body Forces: Integrity Basis

$$[X_i, C_2^{su(3)}] = 0$$

$$H_D(s) = \alpha(s)C_{su(3)}^{(2)} + \beta(s)\{X\}^n$$

$$\{X\}^n : 1, X_i, X_i^2, \dots, X_i^n,$$

$$X_i X_j, \dots, X_i^p X_j^{n-p}, \dots, (X_i^p X_j^q \dots X_k^r)$$

- Linearly dependent
- Expressed in terms of a set of SU(3) preserving operators

many-body interactions	integrity basis dimension (S=0)
0	1
1	1
2	3
3	5
4	9
5	13
6	22

## Integrity Basis, S=0

TABLE I

Counting defining space matrix elements and integrity basis scalars for U(3) → R(3)

Particle rank k	Representations (λ, μ) L	Number of matrix elements	Integrity-basis scalars
0	(00)0	1	1
1	(10)1	1	n
2	(20)0, 2 (01)1	3	n <sup>2</sup> , L <sup>2</sup> , C <sub>2</sub>
3	(30)1, 3 (11)1, 2 (00)0	5	n <sup>3</sup> , nL <sup>2</sup> , nC <sub>2</sub> , C <sub>3</sub> , X <sub>3</sub>
4	(40)0, 2, 4 (21)1, 2, 3 (02)0, 2 (10)1	9	n <sup>4</sup> , n <sup>2</sup> L <sup>2</sup> , n <sup>2</sup> C <sub>2</sub> , nC <sub>3</sub> , nX <sub>3</sub> L <sup>4</sup> , (C <sub>2</sub> ) <sup>2</sup> , L <sup>2</sup> C <sub>2</sub> , X <sub>4</sub>
5	(50)1, 3, 5 (31)1, 2, 3, 4 (12)1, 2, 3 (20)0, 2 (01)1	13	n <sup>5</sup> , n <sup>3</sup> L <sup>2</sup> , n <sup>3</sup> C <sub>2</sub> , n <sup>2</sup> C <sub>3</sub> , n <sup>2</sup> X <sub>3</sub> nL <sup>4</sup> , n(C <sub>2</sub> ) <sup>2</sup> , nL <sup>2</sup> C <sub>2</sub> , nX <sub>4</sub> L <sup>2</sup> C <sub>3</sub> , L <sup>2</sup> X <sub>3</sub> , C <sub>2</sub> C <sub>3</sub> , C <sub>2</sub> X <sub>3</sub>
6	(60)0, 2, 4, 6 (41)1, 2, 3, 4, 5 (22)1, 2, 2, 3, 4 (03)1, 3 (30)1, 3 (11)1, 2 (00)0	22	n <sup>6</sup> (k=5 scalars) L <sup>6</sup> , L <sup>4</sup> C <sub>2</sub> , L <sup>2</sup> C <sub>2</sub> <sup>2</sup> , L <sup>2</sup> X <sub>4</sub> C <sub>2</sub> <sup>2</sup> , C <sub>2</sub> X <sub>4</sub> , C <sub>3</sub> <sup>2</sup> , C <sub>3</sub> X <sub>3</sub> , X <sub>3</sub> <sup>2</sup>
7	(70)1, 3, 5, 7 (51)1, 2, 3, 4, 5, 6 (32)1, 2, 3, 3, 4, 5 (13)1, 2, 3, 4 (40)0, 2, 4 (21)1, 2, 3 (02)0, 2 (10)1	30	n <sup>7</sup> (k=6 scalars) L <sup>6</sup> C <sub>3</sub> , L <sup>4</sup> X <sub>3</sub> , L <sup>2</sup> C <sub>2</sub> C <sub>3</sub> , L <sup>2</sup> C <sub>2</sub> X <sub>3</sub> C <sub>2</sub> <sup>2</sup> C <sub>3</sub> , C <sub>2</sub> <sup>2</sup> X <sub>3</sub> , C <sub>3</sub> X <sub>4</sub> , X <sub>3</sub> X <sub>4</sub>

$H_{SU}$  (off-diagonal H)

$H_D$  (diagonal H):  
SU(3) symmetry plane

J.P. Draayer and G. Rosensteel, Nucl. Phys. A 439 (1985) 61





# Detecting the Many-body Forces: Integrity Basis

$$[X_i, C_2^{su(3)}] = 0$$

$$H_D(s) = \alpha(s)C_{su(3)}^{(2)} + \beta(s)\{X\}^n$$

$$\{X\}^n : 1, X_i, X_i^2, \dots, X_i^n,$$

$$X_i X_j, \dots, X_i^p X_j^{n-p}, \dots, (X_i^p X_j^q \dots X_k^r)$$

- Linearly dependent
- Expressed in terms of a set of SU(3) preserving operators

many-body interactions	integrity basis dimension (S=0)
0	1
1	1
2	3
3	5
4	9
5	13
6	22

SRG-induced  $2N+3N+4N$  (S=0):

19 SU(3) preserving operators  
[analytic MEs]

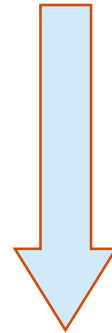
SRG-induced dominating many-body forces  
can be tracked during the evolution

# Managing the SRG-Induced Many-body Forces: SU(3)-coupled SRG Evolution

$$\frac{dH_s}{ds} = \left[ \left[ C_2^{su(3)}, H_s \right], H_s \right]$$

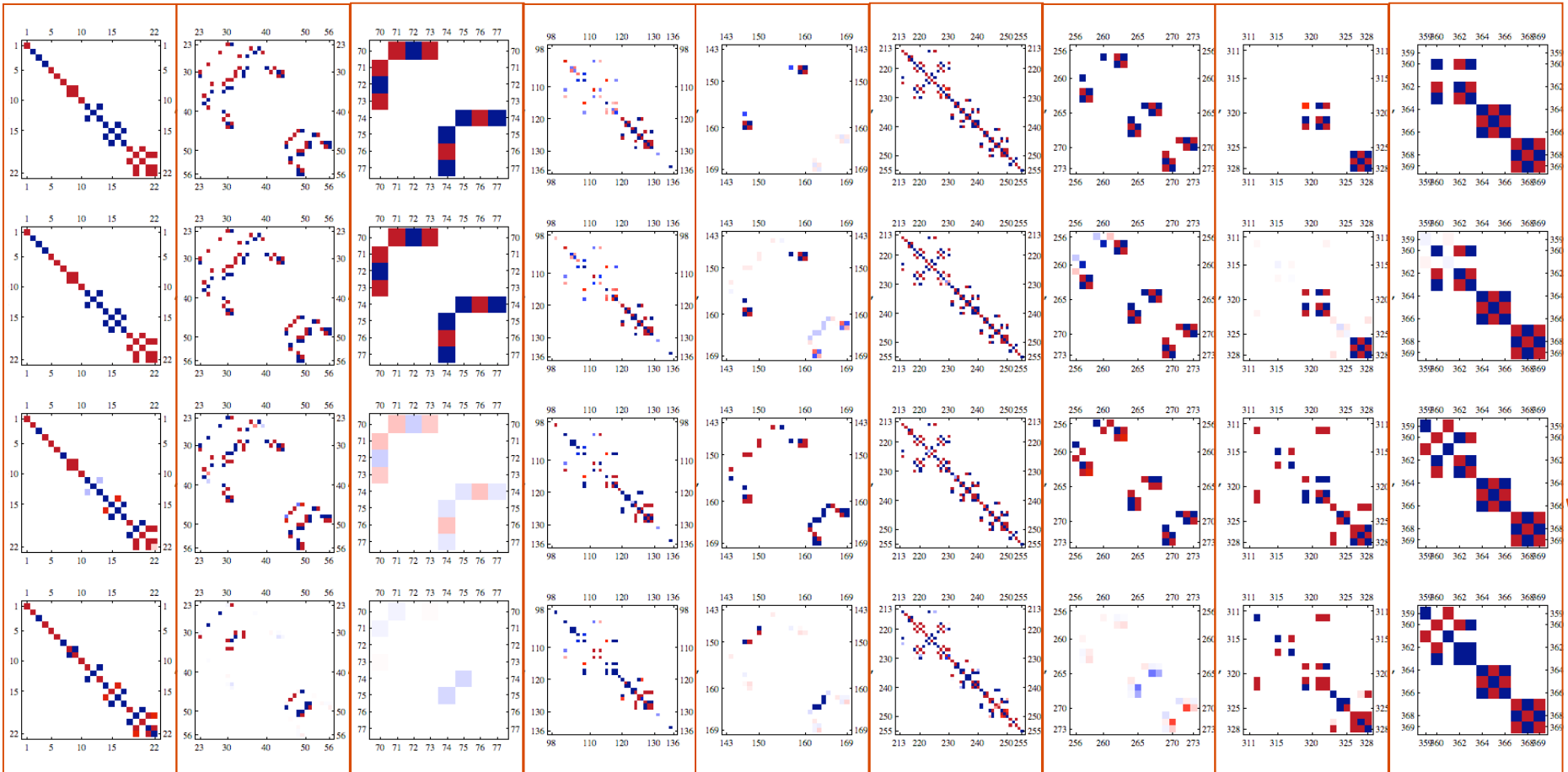
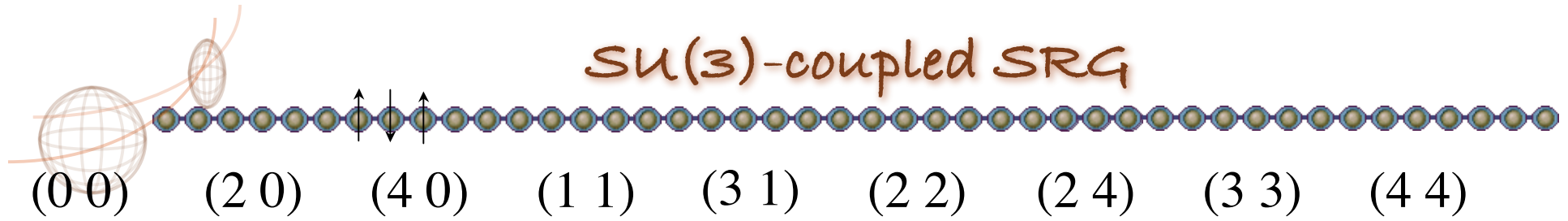
$$\frac{d}{ds} V^{\omega_0 S_0 T_0} = \left[ \left[ C_2^{(00)00}, V^{\omega_1 S_1 T_1} \right]^{\omega_1 S_1 T_1}, V^{\omega_2 S_2 T_2} \right]^{\omega_0 S_0 T_0} \quad \omega = (\lambda \mu)$$

$$\left\langle n_1 n_2 \dots n_A (\lambda \mu) ST \left\| V^{\omega_0 S_0 T_0} \right\| n'_1 n'_2 \dots n'_A (\lambda' \mu') S' T' \right\rangle$$



$$\left( V^{\omega_1 S_1 T_1} \right) \times \left( V^{\omega_2 S_2 T_2} \right)$$

# SU(3)-coupled SRG



4 shells

CD-Bonn  
 $\hbar\Omega = 15$  MeV

$$\frac{d}{ds} V^{\omega_0 S_0 T_0} = \left[ \left[ C_2^{(00)00}, V^{\omega_1 S_1 T_1} \right]_{\omega_1 S_1 T_1}, V^{\omega_2 S_2 T_2} \right]_{\omega_0 S_0 T_0}$$

Effective Field Theories and the Many-Body Problem, INT, 04/01/2009

Role of Symmetries in SRG Transformations of NN interactions



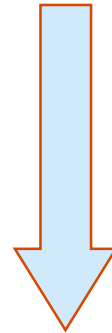
# Managing the SRG-Induced Many-body Forces: SU(3)-coupled SRG Evolution

$$\frac{dH_s}{ds} = \left[ \left[ C_2^{su(3)}, H_s \right], H_s \right]$$

$$\frac{d}{ds} V^{\omega_0 S_0 T_0} = \left[ \left[ C_2^{(00)00}, V^{\omega_1 S_1 T_1} \right]^{\omega_1 S_1 T_1}, V^{\omega_2 S_2 T_2} \right]^{\omega_0 S_0 T_0}$$

$$\omega = (\lambda \mu)$$

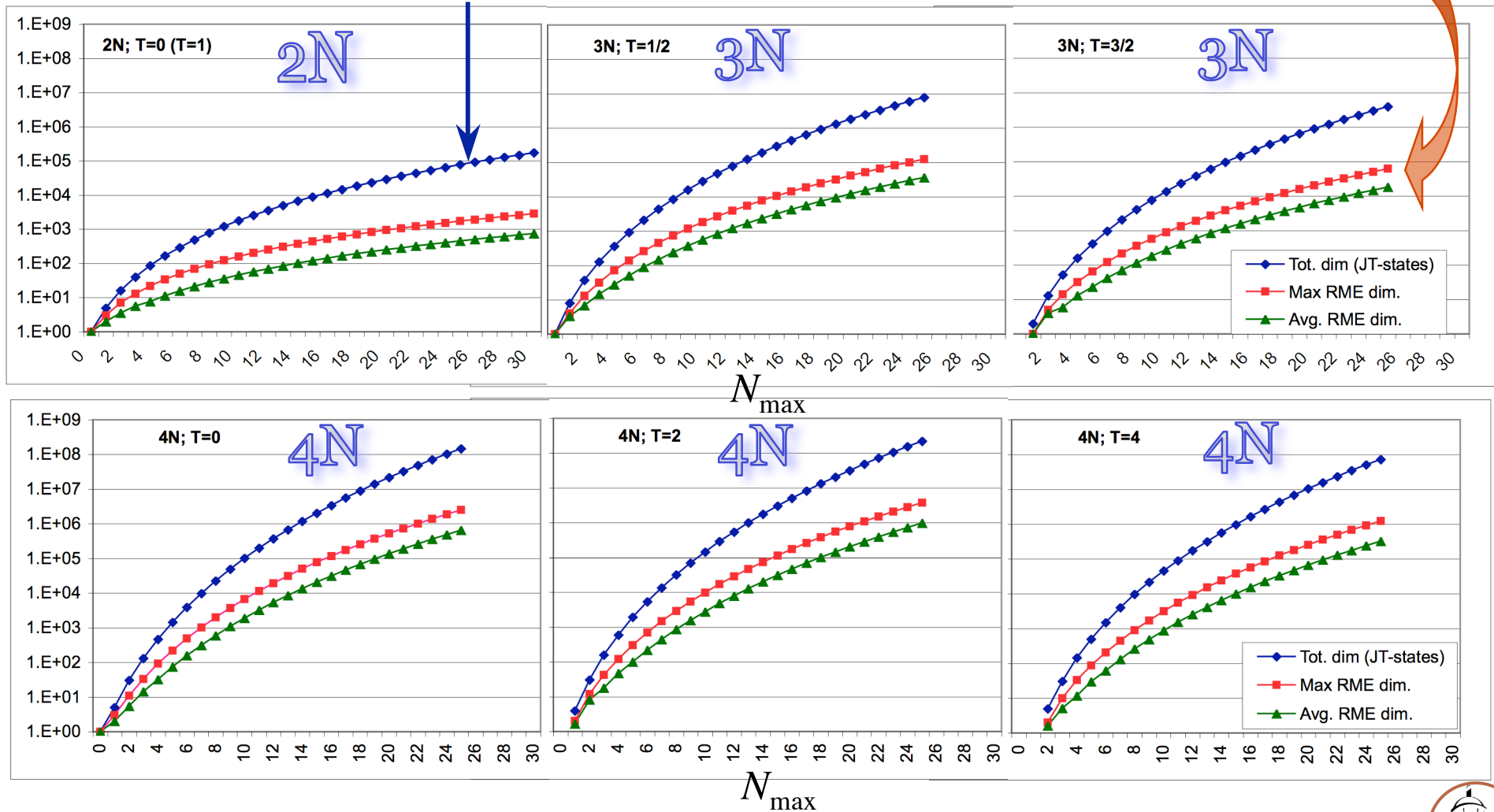
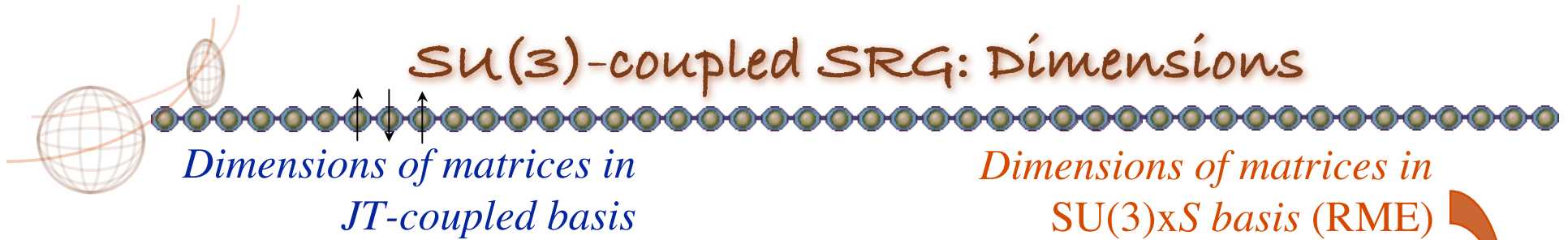
$$\left\langle n_1 n_2 \dots n_A (\lambda \mu) S T \left\| V^{\omega_0 S_0 T_0} \right\| n'_1 n'_2 \dots n'_A (\lambda' \mu') S' T' \right\rangle$$



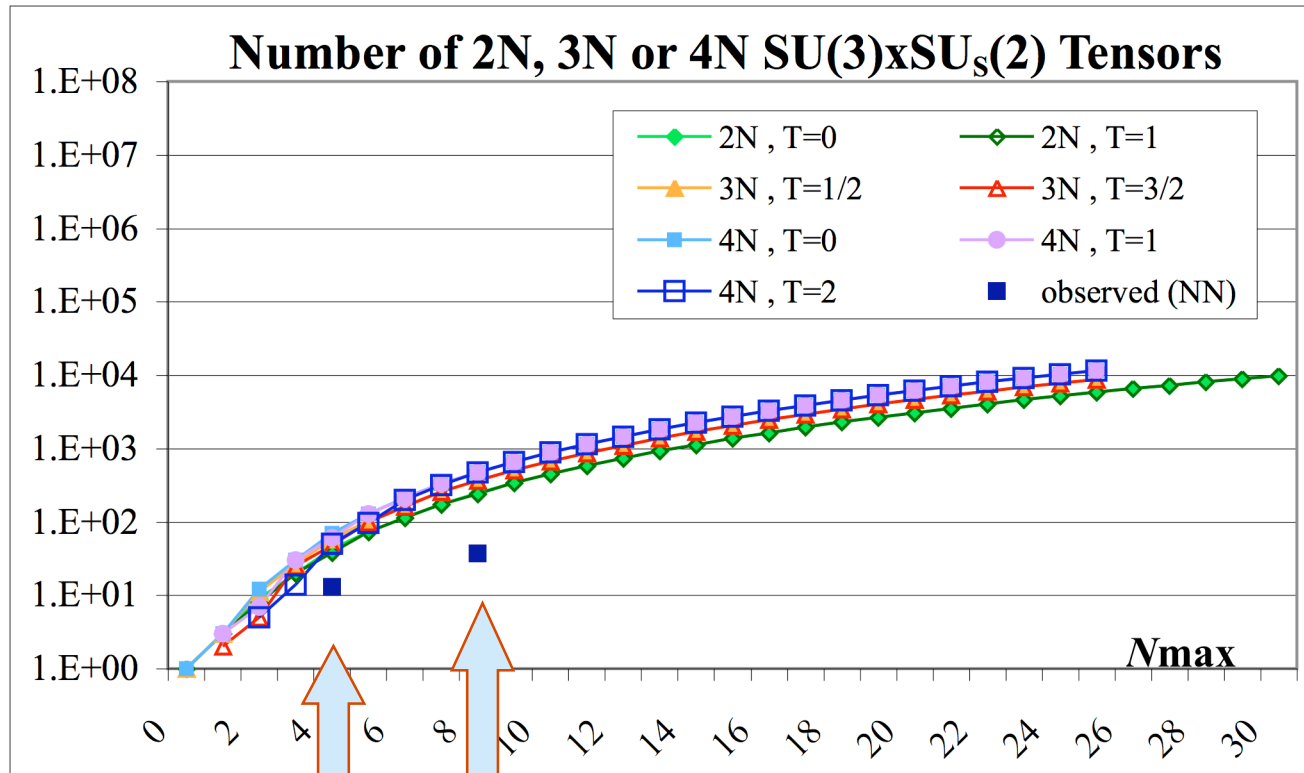
What are the dimensions  
of such matrices?

$$\left( V^{\omega_1 S_1 T_1} \right) \times \left( V^{\omega_2 S_2 T_2} \right)$$

# SU(3)-coupled SRG: Dimensions



# Number of $SU(3) \times SU_s(2)$ $2N, 3N, 4N$ Tensors



$$V^{\omega_1 S_1 T_1}$$

95% of NN interaction:

not all  $SU(3) \times SU_s(2)$  tensors appear to be significant in NN



8 shells

# Dominance of some $SU(3) \times SU_S(2)$ Modes: $SU(3)$ diagonal and symplectic-like modes

T=0

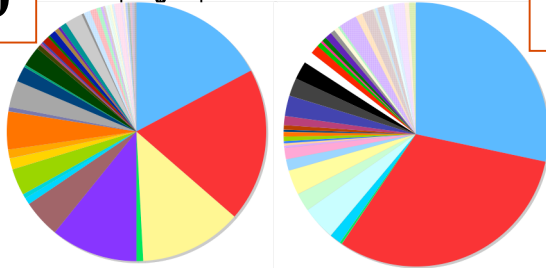
T=1

T=0

T=1

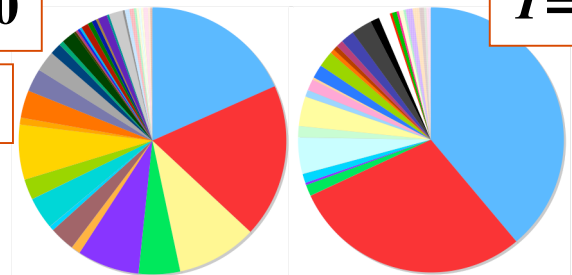
NN

CD-Bonn  
 $\hbar\Omega = 15\text{MeV}$

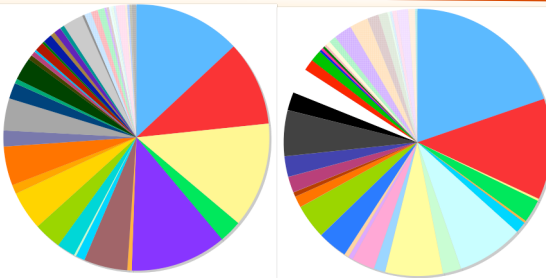


Renormalized

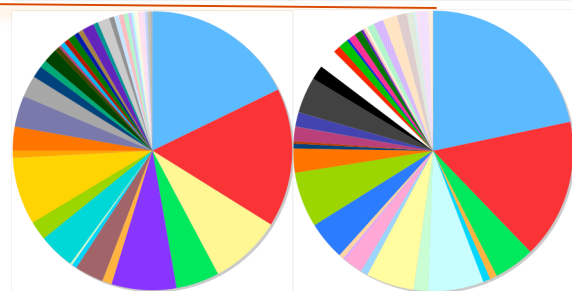
Lee-Suzuki  
 $6\hbar\Omega; \text{C-12}$



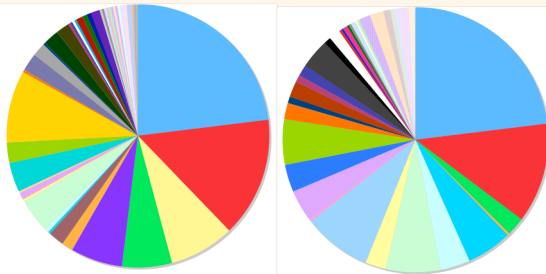
$N^3LO$   
 $\hbar\Omega = 11\text{MeV}$



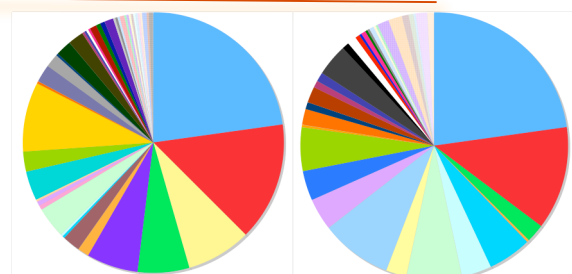
$V_{\text{low-k}}$



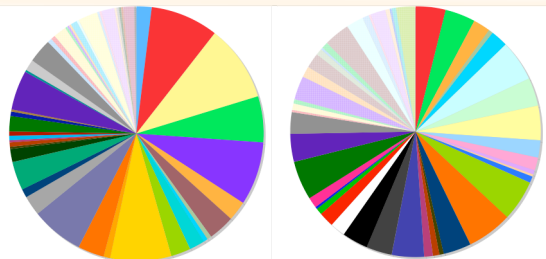
JISP16  
 $\hbar\Omega = 15\text{MeV}$



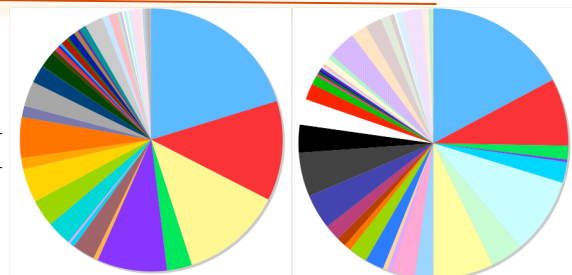
Lee-Suzuki  
 $6\hbar\Omega; \text{O-16}$



AV18  
 $\hbar\Omega = 18\text{MeV}$



UCOM



## Summary

- Symmetries are found essential in interactions/many-body systems
- We suggest use of SU(3) basis together with the second-order SU(3) invariant as the evolution operator for the SRG approach
- SRG in SU(3) basis appears to be a very effective scheme for renormalization of the  $NN$  interaction:  $C_2$  is a good choice-- physically relevant/ weak many-body forces expected
- SU(3)-coupled SRG: possible to be applied in 3-b and 4-b SU(3)-coupled basis and track many-body forces (integrity basis)
- Particularly suitable for the Sp-NCSM